

1 WATER-USE DYNAMICS OF AN ALIEN INVADED RIPARIAN FOREST WITHIN
2 SUMMER RAINFALL ZONE OF SOUTH AFRICA

3
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10
11 **Abstract**

12
13 In South Africa the invasion of riparian forests by alien trees has the potential to affect the
14 country's limited water resources. Tree water-use measurements have therefore become an
15 important component of recent hydrological studies. It is difficult for South African
16 government initiatives, such as the Working for Water (WfW) alien clearing programme, to
17 justify alien tree removal and implement rehabilitation unless hydrological benefits are known.
18 The objective of this study was to investigate the water-use (transpiration rates) of a selection
19 of introduced and indigenous tree species and quantify the hydrological benefit that could be
20 achieved through a suitable rehabilitation programme. Consequently water-use within a riparian
21 forest in the upper Mgeni catchment of KwaZulu-Natal in South Africa was monitored over a
22 two-year period. The site consisted of an indigenous stand of eastern mistbelt forest that had
23 been invaded by *Acacia mearnsii*, *Eucalyptus nitens* and *Solanum mauritianum*. The heat ratio
24 method of the heat pulse velocity sap flow technique and the stem steady state techniques were
25 used to measure the sap flow of a selection of indigenous and introduced species. The
26 indigenous trees at New Forest showed clear seasonal trends in the daily sap flow rates varying
27 from 8 to 25 L·day⁻¹ in summer (sap flow being directly proportional to tree size). In the winter
28 periods this was reduced to between 3 and 6 L·day⁻¹ when limited energy flux was available to
29 drive the transpiration process. The water-use in the *A. mearnsii* and *E. grandis* trees showed
30 a slight seasonal trend, with a high flow during the winter months in contrast to the indigenous
31 species. The water-use in the understorey indicated that multi-stemmed species used up to 12
32 L·day⁻¹. Small alien trees (<30 mm) *A. mearnsii*, and *S. mauritianum* used up to 4 L·day⁻¹ each.
33 The total accumulated sap flow per year for the three individual *A. mearnsii* and *E. grandis*
34 trees was 6 548 and 7 405 L·a⁻¹ respectively. In contrast, the indigenous species averaged 2
35 934 L·a⁻¹, clearly demonstrating the higher water-use of the introduced species. After spatial
36 up-scaling, it was concluded that, at the current state of invasion (21 % of the stand being alien
37 species), the stand used 40 % more water per unit area than if the stand were in a pristine state.
38 If the stand were to be heavily invaded, at the same stem density of the indigenous forest, a 100
39 % increase in water-use would occur over an average rainfall year.

40
41 **Key Words:** *Indigenous trees, introduced trees, sap flow, transpiration, upscaling*

1. Introduction

Ten million hectares of South Africa has been invaded by 180 alien species, which is over 8 percent of the country's total area (van Wilgen *et al.*, 2001). The majority of this invasion extent is within riparian areas that have readily available water and are difficult to manage (Working for Water, 2011). In South Africa there is a limited understanding of the extent to which tree species (particularly those in the riparian area) contribute to total evaporation. As such, it is difficult for government organizations and scientists to justify alien tree removal and rehabilitation, unless a known hydrological benefit can be demonstrated. The deep fertile soils, with high soil moisture contents associated with riparian areas, make them ideal for plant establishment and growth (Everson *et al.*, 2007). In South Africa, these areas are extremely vulnerable to invasion by pioneer plant species, particularly species that have historically been introduced for commercial forestry. In South Africa, there is a widespread belief (which has been supported by numerous studies: Olbrich *et al.*, 1996; Dye *et al.*, 2001; Everson *et al.*, 2007; Dye *et al.*, 2008; Gush and Dye, 2008; Gush and Dye, 2009; Gush and Dye, 2015) in South Africa that indigenous tree species, in contrast to the introduced tree species, use less water and should be planted more widely in land rehabilitation programmes. Little research has been undertaken on the riparian area where the availability of water to trees is often not limited (except in severe drought conditions).

The benefits of healthy riparian zones in providing basic ecosystem services are well known (Askey-Dorin *et al.* 1999; Richardson *et al.*, 2007). These benefits and the impacts of degradation through alien plant invasions were fully described in a study by Scott-Shaw *et al.* (2017) on the water-use of plants in the Mediterranean climate of the Western Cape region of South Africa. Here we summarize the most important aspects relevant to this study.

1. Commercial forestry has been blamed for increasing the green water (water lost by total evaporation) and decreasing the blue water (water in rivers and dams) in areas across South Africa (Jewitt, 2006). For these reasons, invasive alien plants, particularly introduced commercial trees, are considered to be a major threat to water resources and biodiversity.
2. There is a widespread belief in South Africa and globally that indigenous tree species, in contrast to the introduced trees, are water efficient and should be planted more widely in land restoration programmes. This is based on observations that indigenous trees are generally slow growing, and that growth and water-use are broadly linked (Everson *et al.*, 2008; Gush, 2011).
3. At the ecosystem scale, a comprehensive review of numerous internationally published studies indicate that invasive species use up to 189 % more water than indigenous dominated stands, particularly in tropical moist forests (Nosetto *et al.*, 2005; Yezpez *et al.*, 2005; Fritzsche *et al.*, 2006). These findings, typically outside of South Africa are limited to mostly herbaceous species with very few recent studies focusing on measurement of introduced trees. In the high rainfall areas of South Africa, invasive alien plants growing in riparian areas (5 726 km²) are estimated to reduce annual streamflow by 523 x 10⁶ m³ with a predicted annual reduction estimated to be as high as 1 314 x 10⁶ m³ if allowed to reach a fully invaded state (Cullis *et al.*, 2007).
4. Management of invaded riparian zones can result in hydrological gains disproportionately greater than the catchment area affected, with up to three times more streamflow yield than upslope areas (Scott and Lesch, 1996; Scott, 1999).
5. For many field and modelling applications, accurate estimates of total evaporation (ET) are required, but are often lacking. Sap flux density measurements give precise information on flow directions as well as spatial and temporal flow distribution (Vandegehuchte and

1 Steppe, 2013). The heat pulse velocity (HPV) method is the most accurate of the available
2 methods when compared against gravimetric methods (Steppe *et al.*, 2010; Vandegehuchte
3 and Steppe, 2013).
4

5 While extensive research has been undertaken on the water-use of terrestrial ecosystems, little
6 is known about riparian tree water-use and growth for both indigenous and introduced tree
7 species. This gap in knowledge has led to uncertainty and contention over riparian restoration
8 and rehabilitation techniques. In this research, the water-use was measured for various
9 indigenous and introduced species that have been identified as economically or ecologically
10 viable. Results from this study provides substance that may facilitate riparian habitat
11 rehabilitation. The New Forest site in KwaZulu-Natal, South Africa is part of a Working for
12 Water (WfW) clearing programme. The government-funded WfW programme clears
13 catchment areas of invasive alien plants with the aim of restoring hydrological functioning
14 while also providing poverty relief to local communities through job creation (Turpie *et al.*,
15 2008). The aim of this study was to quantify the potential hydrological benefit of the conversion
16 of invaded stands to more pristine stands for forest management practices.
17

18 **2. Methods**

19

20 An overview of the study site, sampling design and equipment used to carry out the study has
21 been provided in this Section. Details on the Heat Pulse Velocity (HPV) technique has been
22 documented in a previous paper (Scott-Shaw *et al.*, 2017) and will not be repeated here.
23

24 **2.1. The Study Area**

25

26 The New Forest riparian area is located at latitude 29°28'30" S and longitude 29°52'48" E at
27 approximately 1760 m above sea level (Figure 1). The riparian area occurs along a tributary to
28 the upper Umgeni River, within Quaternary Catchment (QC) U20A. The New Forest riparian
29 area falls within the Eastern Mistbelt forest zone. This forest type when in a pristine state is
30 typically dominated by *Leucosidea sericea*, *Halleria lucida*, *Celtis africana* and *Afrocarpus*
31 *falcatus*. Mistbelt forest is a species rich, multi-layered and has a dense shrubby understorey.
32 The forests show a mix of coarse grained, canopy gap/disturbance-driven dynamics and fine-
33 grained, regeneration characteristics (Mucina and Rutherford, 2006). The surrounding natural
34 areas are covered by Highland Sourveld (Acocks' 1988) or Drakensberg Foothill Moist
35 Grasslands (Mucina and Rutherford, 2006). The study site is typical of invasive alien plant
36 (IAP) invasion, whereby plantations have been grown in traditionally fire dominated grasslands
37 and have subsequently invaded the surrounding riparian areas. Eastern Mistbelt forests can be
38 characterised as cool, tall inland forests (Pooley, 2003). The mountain slopes of the area consist
39 of fractured dolerite dykes and basaltic outpourings (Crowson, 2008). The soils show evidence
40 of high precipitation and age with shallow unstructured soils occurring on the upper slopes, red
41 a-pedal soils on the midslope, and soils with an underlying G-horizon dominating the low-lying
42 areas.
43

44 Approximately 80% of the precipitation occurs in the summer months (October to March), which
45 mostly consists of orographically-induced and squall-line thunderstorms (Schulze, 1982).
46 Interception from mist makes a large contribution to the seasonal precipitation and determines
47 the distribution of the Mistbelt forest. The long-term mean annual precipitation is between 941
48 and 1000 mm·a⁻¹ with a distinct dry season from May to August. Average air temperatures
49 range from 25.2 °C in the summer to 16.9 °C in winter, with the highest air temperatures
50 occurring on the north-facing slopes. Cool mountain winds occur at night with warm up-valley

1 winds occurring during the day (Crowson, 2008). Strong berg (westerly) winds are prevalent
2 during August to September and play a significant role in the spread of fires (Schulze, 1982).

3
4 New Forest farm is privately owned. The area south of the Umgeni tributary has been planted
5 with *Acacia mearnsii* and *Pinus patula* since the 1960s. The riparian area has since been heavily
6 invaded (> 20 %) with *A. mearnsii*, *Eucalyptus nitens* and *Solanum mauritianum*. Riparian
7 invasive alien tree clearing by WfW has been ongoing in the area.

9 2.2 Sampling Design

10
11 Five sites, each representing frequently occurring indigenous and introduced tree species, were
12 instrumented for water-use monitoring. These trees included a size range of invasive *A.*
13 *mearnsii* and *E. nitens* trees; a selection of common indigenous trees such as *Gymnosporia*
14 *buxifolia*, *Celtis africana* and *Searsia pyroides* and a selection of trees growing in the
15 understory (*S. mauritianum*, *A. mearnsii* and *Buddleja salviifolia*). As the sites were in close
16 proximity to each other, there were no variations in soil, climate and access to water between
17 the sites. The leaf area index (LAI) within this stand was 3.1 during the summer months with
18 a reduction to 2.2 during the winter months due to the presence of deciduous species. There
19 was little variation in LAI throughout the forest due to a uniform invasion by introduced trees
20 and the disturbed nature of the indigenous species across the stand.

21
22 The trees within the riparian forest were in a disturbed state. The overall canopy height of the
23 indigenous species was low, ranging from 4.1 to 8.3 meters. The invasive species were
24 significantly taller, ranging from 13.1 to 16.6 meters. The physical characteristics of each
25 monitored tree is provided in Table 1. There was variability between the stem moisture content
26 and wood density between species, which can be explained by the different physical
27 characteristics of the trees measured (variations in sap wood depth and active xylem
28 concentration). A forest ecology study (Everson *et al.*, 2016) undertaken at New Forest
29 compiled stem density measurements for re-growth forest, invaded riparian areas and on *S.*
30 *mauritianum* dominated plots. The findings indicated that in the riparian forest, there was a
31 density of 1 632 stems·ha⁻¹ of invasive species with 6 090 stems·ha⁻¹ of indigenous species. In
32 the *S. mauritianum* plots, there were 1 337 stems·ha⁻¹ of the invasive species, with 2 600
33 stems·ha⁻¹ of the remaining indigenous species.

34 2.3. Meteorological Station

35
36
37 A meteorological station was established on the 19th of September 2012 at New Forest farm in
38 a nearby natural grassland, 250 m from the tree monitoring sites. Rainfall using a tipping bucket
39 rain gauge (TE525, Texas Electronics Inc., Dallas, Texas, USA) was measured at a height of
40 1.2 meters from the ground. Air temperature and relative humidity (HMP45C, Vaisala Inc.,
41 Helsinki, Finland), solar irradiance (LI-200, LI-COR, Lincoln, Nebraska, USA), net radiation
42 (NR-Lite, Kipp and Zonen, Delft, The Netherlands) wind speed and direction (Model 03002,
43 R.M. Young, Traverse city, Michigan, USA) were all measured at a height of 2 m from the
44 ground. These were measured at a 10 s interval and the appropriate statistical outputs were
45 recorded every hour. A flat and uniform short grassland area which was regularly mowed was
46 selected to meet the requirement for FAO 56 reference evaporation calculation.

47 2.4 Tree Water-use Measurements

1 A Heat Pulse Velocity (HPV) system using the heat ratio algorithm (Burgess, 2001) was set up
2 to monitor long-term sap flow on all of the selected trees over a two-year period. The
3 instrumentation is described further by Clulow *et al.* (2013) and Scott-Shaw *et al.* (2017) and
4 included hourly measurements of sap flow heater trace using a pair of type T-thermocouple
5 probes. Regular maintenance was undertaken to ensure sufficient power and operation of the
6 equipment. An increment borer was used to take non-destructive samples for sapwood depth,
7 tree age, wood density and moisture content (described by Marshall, 1958), and to allow for
8 up-scaling of probe measurements to whole tree water-use ($L \cdot h^{-1}$). Non-functional or damaged
9 xylem (referred to as wounding) around the thermocouples was accounted for using wound
10 correction coefficients described by Burgess (2001). Tree growth was recorded during each
11 site visit by measuring diameter at breast height and canopy height using a VL402 hypsometer
12 (Haglöf, Sweden). Leaf area index using a LAI-2200 (LI-COR, Lincoln, Nebraska, USA) was
13 measured regularly throughout the stand.

14
15 The Stem Steady State (SSS) technique, which estimates sap flow by solving a heat balance
16 for a segment of stem that is supplied with a known amount of heat (Grime and Sinclair, 1999),
17 was implemented on the smaller trees in the under-storey that were not quantifiable using the
18 HPV technique. Two Dynamax Flow 32-K systems (Dynamax, Houston, TX, USA) were
19 installed at New Forest. Each of these systems was powered by a 12V 100Ah battery, and
20 consisted of a CR1000 data logger (Campbell Scientific Inc.) and an AM16/32B multiplexer.
21 A voltage control unit regulated the voltage output depending on the number of collars and the
22 size of the collars. The gauge's insulating sheath (referred to as a 'collar') contains a system of
23 thermocouples that measure temperature gradients associated with conductive heat losses
24 vertically (up and down the stem), and radially through the sheath (Allen and Grime, 1994). A
25 foam insulation and weather shield were installed around the stem in order to sufficiently
26 minimize extraneous thermal gradients that could influence the heated section of stem (Smith
27 and Allen, 1996). The conduction of heat vertically upwards and downwards was calculated
28 by measuring voltages which corresponded to the temperature difference between two points
29 above and below the heater (Savage *et al.*, 2000). The radial heat was calculated by measuring
30 the temperature difference of the insulated layer surrounding the heater (Savage *et al.*, 2000).
31 Finally, the voltage applied to the heater was measured. These measurements allowed the
32 energy flux ($J \cdot s^{-1}$) to be calculated (Savage *et al.*, 2000).

33 34 **2.5 Soil Water Measurements**

35
36 Hourly volumetric soil water contents were recorded at sites 1 and 2 within the riparian forest
37 with three time domain reflectometry (TDR) probes (Campbell Scientific Inc, CS 615) installed
38 horizontally at each site. The probes were installed at depths of 0.1, 0.3 and 0.5 m below the
39 litter layer, due to shallow soils at the site. A thick litter layer was observed throughout the site
40 consisting of mostly indigenous leaves and large broken branches from cattle and climatic
41 disturbances. The hourly volumetric water content measurements provided an understanding
42 of the responses of trees to rainfall events, or stressed conditions. Additional soil samples were
43 taken to determine the distribution of roots, soil bulk density and soil water content.

44 45 **2.6 Up-scaling Tree Water-Use**

46
47 Although the riparian stand had a heterogeneous composition, the availability of detailed stem
48 density measurements (Everson *et al.*, 2016) allowed for a methodology to be followed based
49 on relevant up-scaling studies (Ford *et al.*, 2007; Miller *et al.*, 2007):
50

- 1 • Medoid (representative of the population) trees were selected for sap flow measurement
- 2 - most commonly occurring indigenous species (canopy and understory)
- 3 - most commonly occurring introduced species (canopy and understory)
- 4 - a range of size classes for each species
- 5 • A species density analysis was undertaken (species and diameter (>50 mm) in multiple
- 6 400 m² plots).
- 7 • A relationship was derived between the measured whole tree water-use (using HPV and
- 8 SSS) and each representative size class and species class identified in the density
- 9 measurements. This allowed for the estimation of the stand water flux (Q_{stand}).
- 10 • The Q_{stand} was divided by the plot area (400 m²) to allow for the estimation of water-use
- 11 per unit area.

12
13 Techniques that measure total evaporation over a spatial area are usually ideal for up-scaling
14 sap-flow measurements. However, at sites with limited aerodynamic fetch common in riparian
15 areas, techniques such as eddy covariance may not always be used in the up-scaling process.
16 With the availability of detailed stem density data, up-scaling whole-tree measurements to
17 stand-level measurements can be done. However, limitations exist regarding the equipment
18 available to maximize replications.

19 20 **3. Results**

21 22 **3.1. Weather Conditions during the Study Period**

23
24 The historical mean annual precipitation (MAP) for the New Forest area is 941 mm. During
25 the two-year monitoring period the area received 1164 and 1110 mm·a⁻¹ for 2013 and 2014
26 respectively. The rainfall distribution had a strong seasonal trend throughout the two years with
27 an exceptionally high amount of 120 mm·day⁻¹ in November 2014 (Figure 2). The daily solar
28 radiation peaked at 39 MJ·m⁻² following the same seasonal trend to that of the daily air average
29 temperatures.

30
31 During periods of high solar radiation, the water vapour pressure deficit was high and
32 correlated to peaks in transpiration rates. An average daily air temperature of 18.4 °C was
33 recorded at New Forest in the summer months. During these months, daily maximum air
34 temperatures occasionally exceeded 30 °C. During the winter months, the air temperatures
35 averaged 11.7 °C due to numerous days with low solar radiation. Periods of low solar radiation
36 correspond to overcast and/or rainfall periods and would likely result in little to no transpiration
37 occurring. The daily reference total evaporation (ET_0), derived from data captured on site,
38 averaged approximately 1 mm·day⁻¹ in the winter period to 5 mm·day⁻¹ during the summer
39 period. The monthly climate data illustrates the seasonal rainfall and air temperature trend
40 (Figure 3). The seasonal distribution of rainfall is important as it is during these periods of
41 water scarcity when the vegetative water-use becomes significant.

42 43 **3.2. Tree Water-Use**

44
45 The radial heat pulse velocity of a *G. buxifolia* was measured over a short summer period
46 (Figure 4). The velocity of water moving through the tree was highest (up to 20 cm·h⁻¹) nearest
47 to the bark. Probes inserted deeper in the tree (> 15 mm) measured very little flow suggesting
48 that there was less active xylem at these depths, resulting in a small sapwood area. During the
49 winter period the radial heat pulse velocity of *A. mearnsii* had maximal flow 25 mm below the

1 bark (Figure 5). There was still flow occurring at a depth of 35 mm, indicating a much bigger
2 sapwood area than that of the indigenous tree. Furthermore, the sap velocity was high, (> 20
3 $\text{cm}\cdot\text{h}^{-1}$) even during the dry winter period. These findings also indicated that correct probe
4 placement is essential in accurately representing the entire sapwood area of each tree.

5
6 Individual whole tree water-use showed a clear seasonal water-use trend for the semi-
7 deciduous and deciduous indigenous species (Figure 6). This was attributed to fewer daylight
8 hours and less heat units during the winter months than in the summer months resulting in
9 reduced available energy; therefore limiting the transpiration process. The daily water-use of
10 *S. pyroides* averaged $8 \text{ L}\cdot\text{day}^{-1}$ in summer compared to $3 \text{ L}\cdot\text{day}^{-1}$ in winter, resulting in an
11 accumulated total water-use of $1639 \text{ L}\cdot\text{a}^{-1}$ (Figure 6 a). The deciduous *C. africana* used large
12 amounts of water in the summer, with an average of $25 \text{ L}\cdot\text{day}^{-1}$. In the winter periods, after leaf
13 fall, this species used no water, resulting in a reduction of the total annual water-use ($4307 \text{ L}\cdot\text{a}^{-1}$).
14 In contrast, *G. buxifolia* used approximately $15 \text{ L}\cdot\text{day}^{-1}$ in summer compared to $6 \text{ L}\cdot\text{day}^{-1}$ in
15 winter, resulting in an accumulated total water-use of $3870 \text{ L}\cdot\text{a}^{-1}$ over the same period (Figure
16 6 a, b, c).

17
18 The introduced *A. mearnsii* of a similar stem diameter showed little seasonal variation (Figure
19 6 d). This tree averaged $22 \text{ L}\cdot\text{day}^{-1}$ during summer periods and $14 \text{ L}\cdot\text{day}^{-1}$ during winter periods
20 yielding a total of $5743 \text{ L}\cdot\text{a}^{-1}$, higher than that of the indigenous species and comparable to
21 other large introduced species measured throughout South Africa (Gush *et al.*, 2015).

22
23 With regards to the understorey, the water-use of the multi-stemmed *B. salviifolia*, measured
24 using the SSS technique, had the highest daily water-use (up to $12 \text{ L}\cdot\text{day}^{-1}$) (Figure 7). This
25 tree, although short, had the greatest canopy area due to its lateral growth patterns with its
26 numerous stems. In comparison, the smaller *A. mearnsii* used considerably less water, with a
27 peak of $4 \text{ L}\cdot\text{day}^{-1}$. The three *S. mauritianum* trees were highly variable, ranging from very low
28 flows ($0.4 \text{ L}\cdot\text{day}^{-1}$) to in excess of $4 \text{ L}\cdot\text{day}^{-1}$. Although these values are small in comparison to
29 the larger trees measured, it does show the importance of the understorey in-stand
30 measurements. These trees, particularly the *S. mauritianum*, have a high density suggesting
31 that the cumulative water-use of these trees is important when scaling up to the total forest
32 water-use.

33
34 The daily summer water-use of indigenous trees at site 1 (Table 2) showed low water-use with
35 an average of between 9 and $15 \text{ L}\cdot\text{day}^{-1}$ in the summer months. Likewise, the indigenous trees
36 at site 3 were low water-users. Despite being deciduous, the *C. africana* used the most water
37 of all the indigenous trees measured. This tree was the tallest of the indigenous trees measured
38 and was not shaded by other species. Given that this species is deciduous, it is important to
39 note that this tree uses a minimal amount of water in the winter when water resources are
40 limited. The indigenous *B. salviifolia*, measured using the SSS technique had a similar water-
41 use to that of the lower climax species.

42 The daily summer water-use of the *A. mearnsii* and the *E. grandis* were high in comparison to
43 the indigenous species. These trees used between 18 and $27 \text{ L}\cdot\text{day}^{-1}$ in the summer months and
44 between 14 and $17 \text{ L}\cdot\text{day}^{-1}$ in the winter months. On average, the introduced species used 2.4
45 times more water than the average indigenous species. However, this is a direct comparison
46 and would differ to up-scaled comparisons due to the different stem densities of each species.

47 3.3. Soil Profile and Water Content

49

1 The volumetric soil water content (VWC) measured at New Forest was highly responsive to
2 rainfall events (Figure 8 and 9). During the wet summer season, the VWC at the indigenous
3 site 1 (Figure 6) ranged from 27 % in the upper horizon to 35 % in the lower horizon. This
4 indicated a higher clay content in the lower horizon. Towards the dry season, as the vegetation
5 continues to use water, the VWC was depleted to 10 % in the upper horizons. At the introduced
6 site 2, the soils were uniform throughout the horizons. During the summer periods, the profile
7 soil water averaged 27 % whereas it depleted to 9 % or 11 mm of water per 100 mm depth of
8 soil during the dry periods.

9
10 The soils had a dry bulk density (ρ_b) of $1.22 \text{ g}\cdot\text{cm}^{-3}$, a particle density (ρ_{particle}) of $2.54 \text{ g}\cdot\text{cm}^{-3}$
11 and a porosity 0.52, typically characteristic of sandy-loam soils. Introduced forestry species are
12 known to have deep rooting systems, with observations of greater than 8 m in South Africa
13 (Everson *et al.*, 2006). This suggested that during dry periods, this stand can access water from
14 deeper layers in the soil profile. However, given the shallow depth of all the soils and the close
15 proximity of the sites to the stream, it is clear that the vegetation in this area was not limited
16 by water availability.

17
18 The VWC at both sites did not respond significantly to rainfall events under $6 \text{ mm}\cdot\text{h}^{-1}$ unless
19 during consecutive events. Based on seasonally high transpiration rates we conclude that deep
20 rooted plants in the riparian zone at the site are energy flux limited rather than moisture limited.

21 **3.4. Upscaling Tree Water-Use**

22
23
24 The results obtained from both the HPV and SSS techniques were used to determine an actual
25 annual water-use per unit area of the invaded mistbelt forest. Two hypothetical scenarios, a
26 pristine forest and a heavily invaded forest, were also tested. Using the stem density per size
27 class taken from ecological research completed in the area (Everson *et al.*, 2016), stands of
28 forest were compared. As the forest did not have a closed canopy, understorey trees were
29 numerous as more photosynthetically active radiation (PAR) was available throughout the
30 stand. The water-use for a two-year average of the riparian forest in its current state (21 %
31 invaded) was upscaled for all species and size classes. The total stand water-use was
32 approximately $3.3 \text{ ML}\cdot\text{ha}^{-1}\cdot\text{a}^{-1}$ ($330 \text{ mm}\cdot\text{a}^{-1}$). This was 29 % of the average annual precipitation
33 recorded during the monitoring period ($1030 \text{ mm}\cdot\text{a}^{-1}$).

34
35 Two hypothetical scenarios were applied using the existing size class distribution for each
36 species class and extrapolated based on an assumed invasion level. Assuming that the site was
37 rehabilitated to a more pristine state, using stem density for non-invaded areas, the upscaled
38 indigenous stand would use $2.39 \text{ ML}\cdot\text{ha}^{-1}\cdot\text{a}^{-1}$ ($239 \text{ mm}\cdot\text{a}^{-1}$). This would be 21 % of the average
39 annual precipitation. If the stand were to degrade further and become heavily invaded, the
40 upscaled invaded stand would use $4.88 \text{ ML}\cdot\text{ha}^{-1}\cdot\text{a}^{-1}$ ($488 \text{ mm}\cdot\text{a}^{-1}$). This would be 43 % of the
41 average annual precipitation. Based on these results we conclude that the invaded stand uses
42 40 % more water per unit area annually than a pristine indigenous stand. If the stand were to
43 become heavily invaded, a two-fold increase in water-use would occur (104 % increase) with
44 concomitant impacts on the water balance (streamflow). The inter- and intra-species water-use
45 variations, particularly within the heterogeneous indigenous stand, highlight the importance of
46 good replications of a representative sample tree species and size classes. The results also show
47 that it is important to highlight the slope position, physiological characteristics and climatic
48 variations occurring during measurement periods.

1 Due to the prevalence of severe droughts in this area, these results are more likely to provide
2 substance to land managers and decision makers, indicating the hydrological benefit of
3 restoration and rehabilitation activities.
4

4. Discussion and Conclusion

In South Africa, it has been well documented that introduced commercial tree species, in contrast to indigenous tree species, use more water and, if removed, would result in a net hydrological gain (Olbrich *et al.*, 1996; Dye *et al.*, 2001; Everson *et al.*, 2007; Dye *et al.*, 2008; Gush and Dye, 2008; Gush and Dye, 2009; Gush and Dye, 2015). The greater sapwood area in introduced species, as well as their fast establishment, tree density and rapid growth, results in a greater transpiration rate than indigenous species per unit area. The HPV and SSS techniques have been used, both locally and internationally, on numerous vegetation types. The accuracy of these measurements has been validated using gravimetric methods (Burgess *et al.*, 2001; Granier and Loustau, 2001; O’Grady *et al.*, 2006; Steppe *et al.*, 2010; Vandegehuchte and Steppe, 2013; Uddin and Smith, 2014; Forster, 2017). In South Africa, the HPV technique has been shown to provide accurate estimates of sap flow in both introduced tree species such as *Acacia mearnsii*, *Pinus patula* and *Eucalyptus nitens*, and indigenous tree species such as *Rapanea melanophloeos*, *Podocarpus henkelii* and *Celtis africana* (Smith and Allen, 1996; Dye *et al.*, 2001; Everson *et al.*, 2007; Dye *et al.*, 2008). There is consensus in the literature that rehabilitation or restoration measures can result in maximising benefits such as goods and services, while minimising water consumption (Gush, 2011).

A recent study showed that introduced stands could use up to six times more water than indigenous species in the riparian area (Scott-Shaw *et al.*, 2017). However, this difference was largely related to stem density at a site where high winter rainfall and deep sandy soils were conducive to a high density mature introduced stand. The stand at New Forest, which was highly disturbed and was in a constant state of recovery, did not have a high stem density of mature trees in its current state. The measurements undertaken at this site have allowed for an accurate direct comparison of indigenous and introduced tree water-use. Additionally, the measurements of trees growing in the understorey have provided interesting findings, indicating significant water-use in the sub-canopy layer. The results showed that individual tree water-use is largely inter-species specific. As the introduced species remain active during the dry winter periods, their cumulative water-use is significantly greater than that of the indigenous species. Small tress (< 30 mm) in the understorey can use up to 2000 L·a⁻¹, which is important for up-scaling to stand water-use. Up-scaled comparisons showed that due to the invasion by *A. mearnsii* and *E. grandis* (21 %), the stand water-use has increased by 40 %. This is an important finding as it provides clear evidence to justify the hydrological benefit of clearing programmes. If the stand were to be completely invaded, at the same stem density as the indigenous stand, the water-use would double for this particular area. The findings from the understorey suggest that the water-use from this zone should not be excluded from future studies, especially where there is no canopy closure. The promotion of indigenous deciduous trees for rehabilitation or clearing programmes may be important as there would be no transpiration during periods when water resources are limited.

Spatial estimates of evapotranspiration are required but are difficult to obtain in remote areas with limited aerodynamic reach. Remote sensing could be one area where this could be useful given appropriate validation. However the nature of the “thin” riparian strip will require finer scales than provided by most remote sensing products used for evaporation modelling (e.g. Landsat 8). The use of drones could provide the best option for these narrow riparian strips in the subsequent studies. Management dynamics are important in these environments. There is potential for these data to be used in a modelling framework with specific inputs for invaded mixed riparian forests. This would provide a suitable land management tool.

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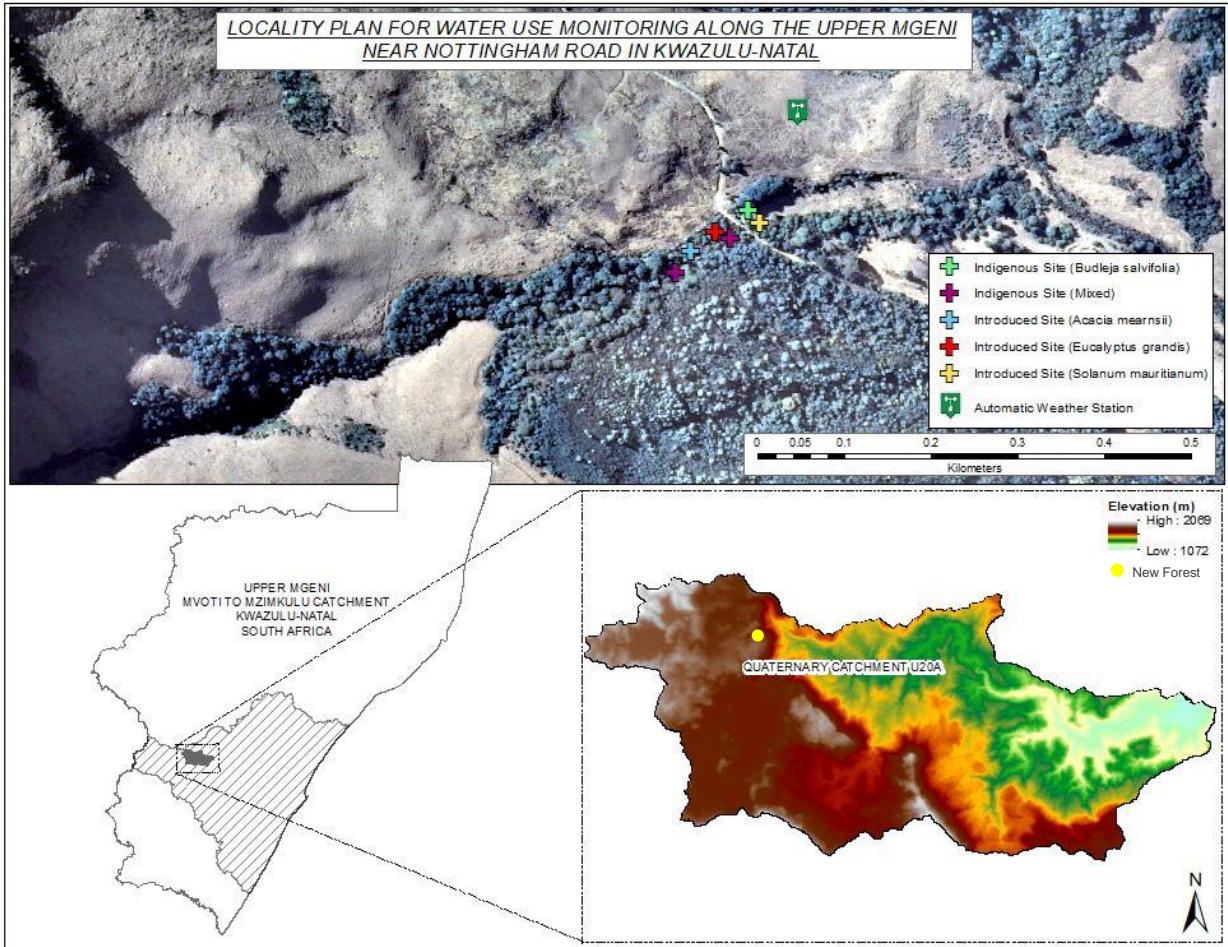
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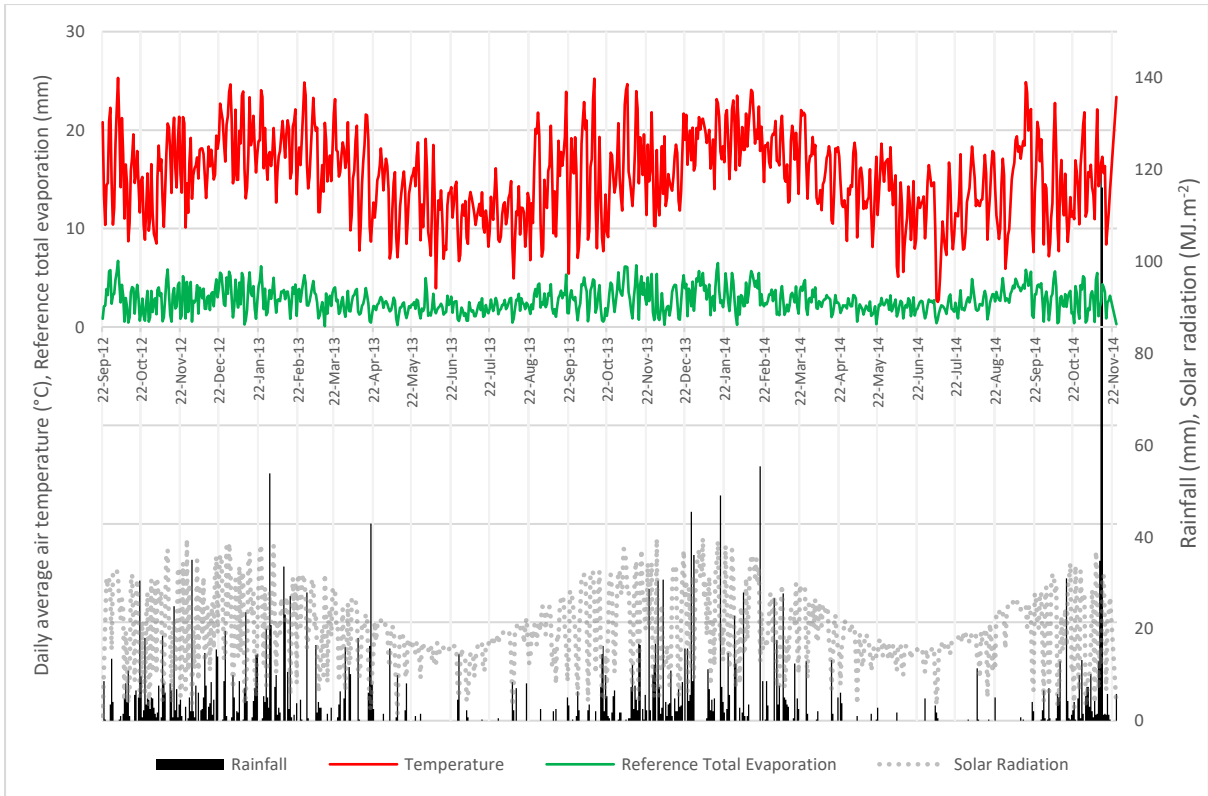
Figure 1. Location of New Forest farm research area within KwaZulu-Natal, South Africa.

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Table 1. Tree physiology and specific data required for the calculation of sap flow and up-scaling.

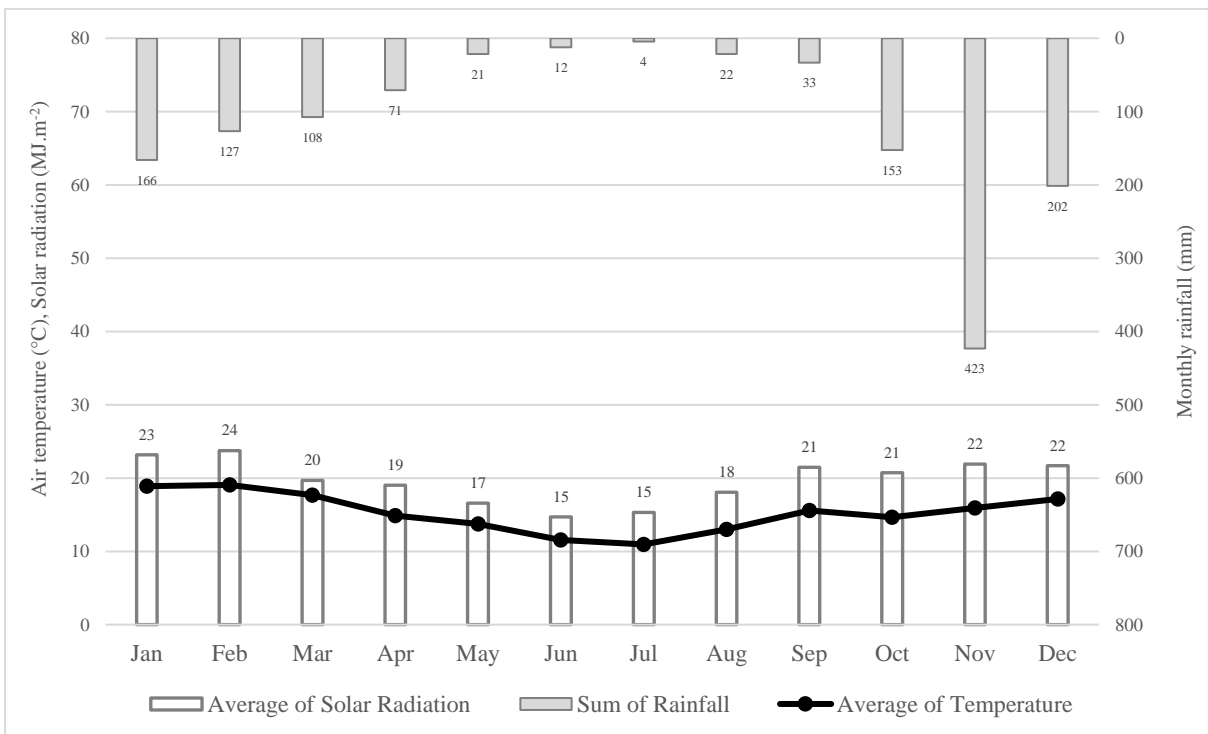
Indigenous Forest (Site 1)	Diameter (mm)	Size Class	Growth Stage	Moisture fraction	Average wounding (mm)	Wood density (g·cm ⁻³)	Representative stem density (stems·ha ⁻¹)
* <i>Searsia pyroides</i>	98	Small	S	0.41	3.1	0.60	
* <i>Gymnosporia buxifolia</i>	114	Small	C	0.44	2.6	0.65	6 090
* <i>Gymnosporia buxifolia</i>	58	Small	C	0.44	2.6	0.66	
Introduced/Alien Forest (Site 2)							
* <i>Acacia mearnsii</i>	131	Medium	S	0.48	3.0	0.69	1 632
* <i>Acacia mearnsii</i>	166	Medium	S	0.47	3.0	0.69	
Indigenous Forest (Site 3)							
* <i>Celtis africana</i>	102	Medium	S	0.49	4.8	0.68	
* <i>Kiggerlaria africana</i>	50	Medium	P	0.46	3.1	0.69	6 090
* <i>Leucosidea sericea</i>	212	Large	C	0.47	2.8	0.64	
Introduced/Alien Forest (Site 4)							
* <i>Eucalyptus nitens</i>	165	Small	S	0.51	3.8	0.71	1 632
* <i>Eucalyptus nitens</i>	96	Small	P	0.51	3.9	0.71	
Mixed understorey (Site 5)							
# <i>Buddleja salvifolia</i>	28 ⁺	Small	S	N/A	N/A	N/A	2 600
# <i>Solanum mauritianum</i>	25	Small	P	N/A	N/A	N/A	-
# <i>Solanum mauritianum</i>	10	Small	P	N/A	N/A	N/A	-
# <i>Solanum mauritianum</i>	19.1	Small	P	N/A	N/A	N/A	1 337
# <i>Solanum mauritianum</i>	26.7	Small	P	N/A	N/A	N/A	-
# <i>Acacia mearnsii</i>	25.6	Small	P	N/A	N/A	N/A	-

4 *Note: * indicates that the HPV technique was used and # indicates that the SSS technique was used. +indicates average stem diameter for multi-stemmed trees.
5 Growth stage is represented by primary (P), secondary (S) or climax (C).
6 N/A indicates that the measurement parameter was 'not applicable' for the technique used.



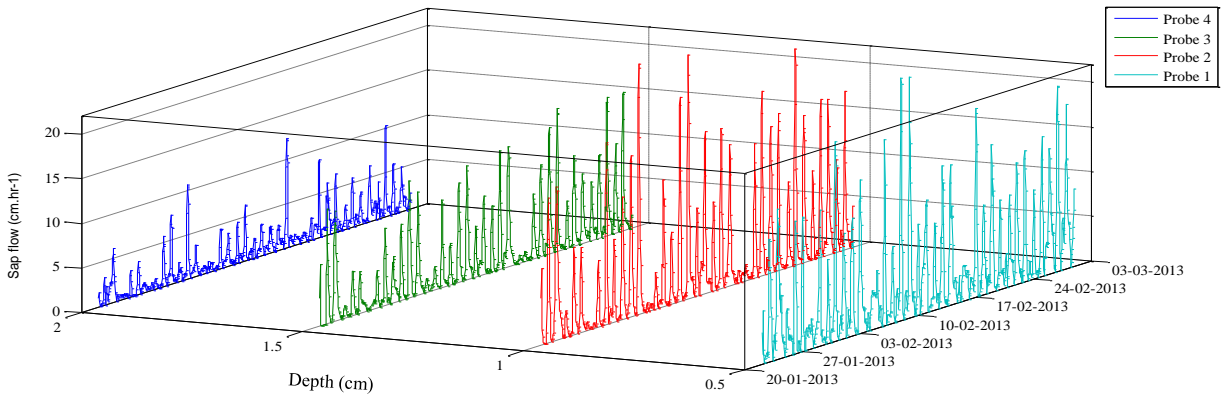
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Figure 2. The daily rainfall, solar radiation, average air temperatures and reference total evaporation at New Forest.



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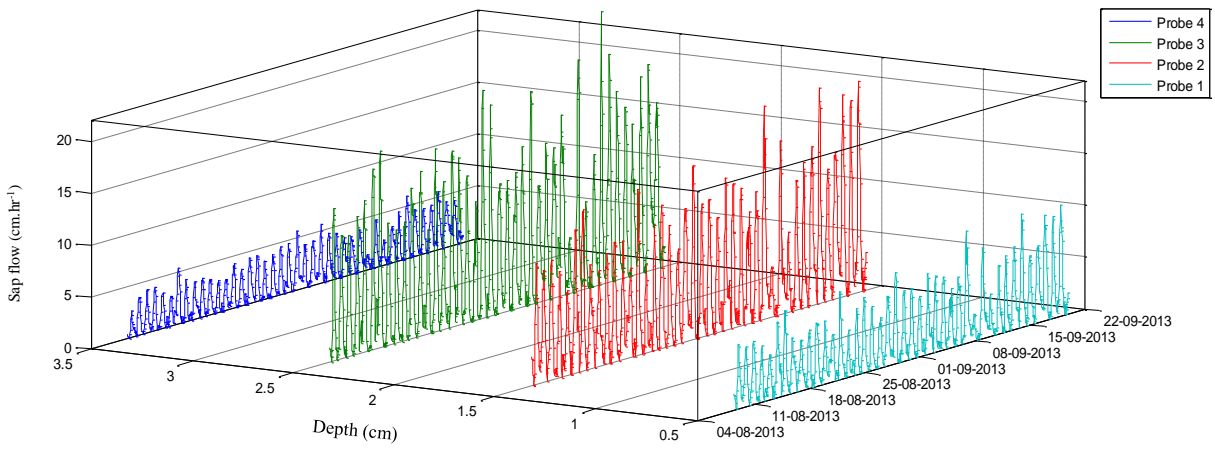
Figure 3. The monthly rainfall, monthly solar radiant density, and average monthly air temperatures at New Forest averaged over two years.



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Figure 4. Hourly heat pulse velocity of a *G. buxifolia* (\varnothing : 114 mm) at New Forest



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Figure 5. Hourly heat pulse velocity of an *A. mearnsii* (\varnothing : 131 mm) at New Forest

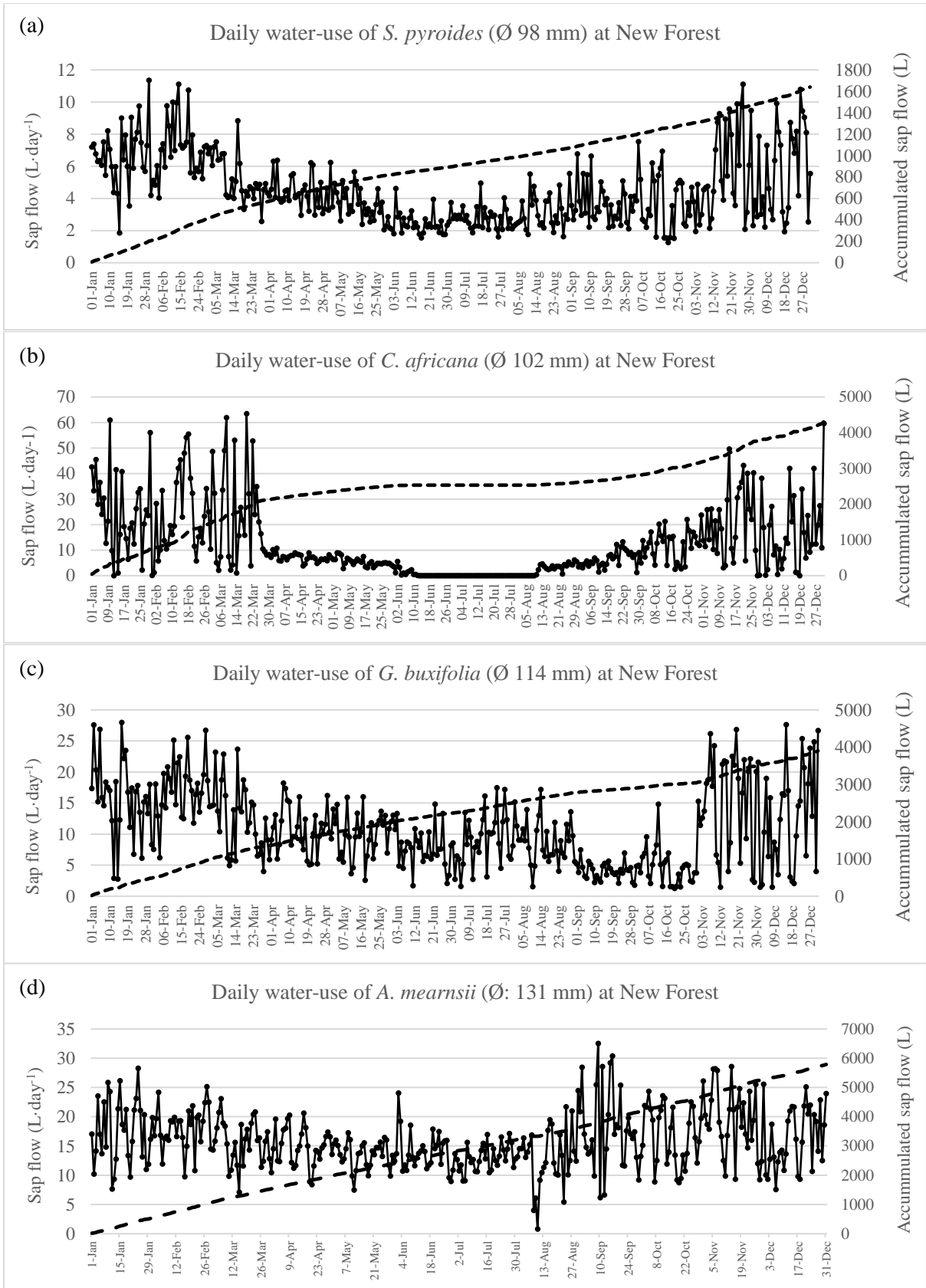
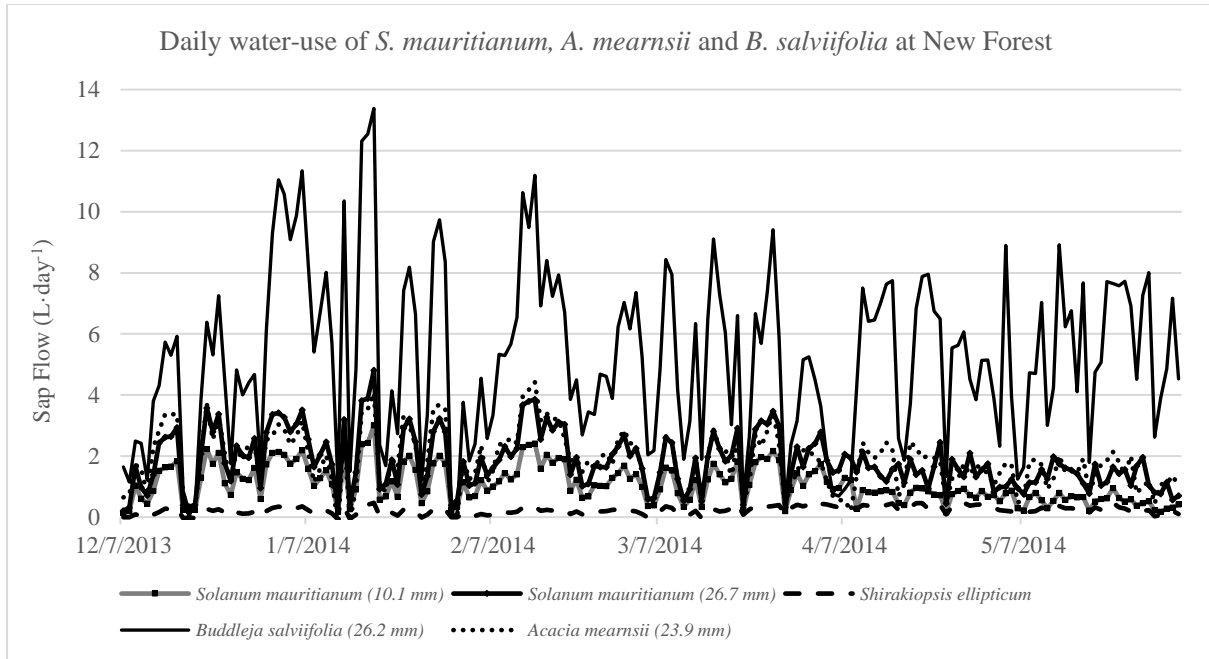


Figure 6. Daily sap flow (dotted line) and accumulated sap flow (dashed line) averaged over two years (2013 & 2014) from an indigenous *S. pyroides* (a), *C. africana* (b), *G. buxifolia* (c) and an introduced *A. mearnsii* (d) at New Forest.



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Figure 7. Daily water-use for three *S. mauritianum*, a multi-stemmed *B. salviifolia* and an *A. mearnsii* using the SSS technique at New Forest from December 2013 to June 2014.

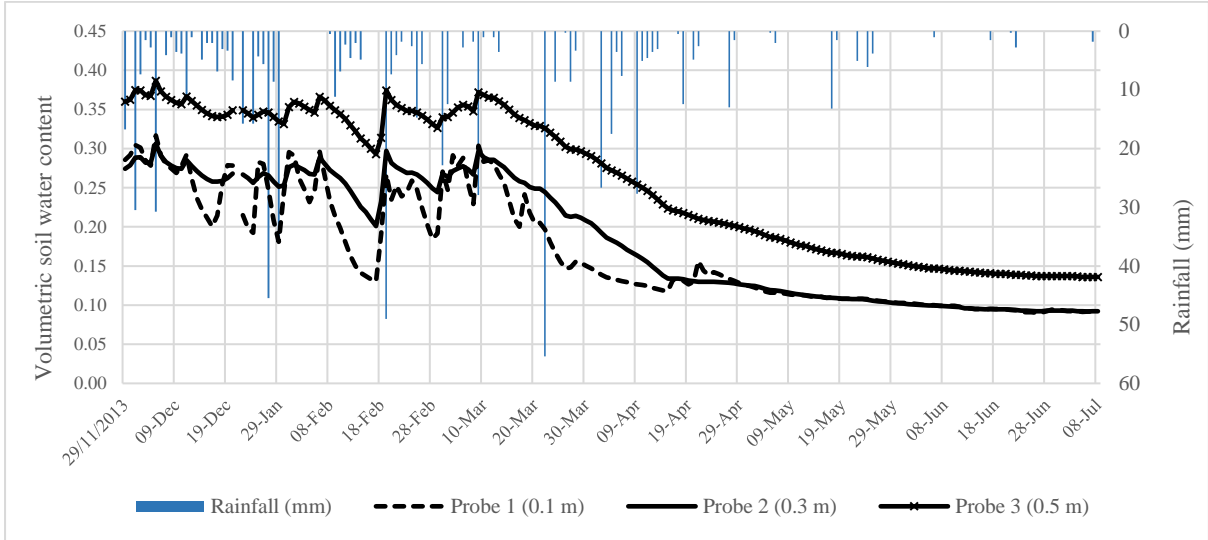
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Table 2. Sap flow (daily and accumulated) for each species measured at New Forest

Forest Type / Location	Species	Diameter (mm)	Daily Average Summer Sap Flow (L·d ⁻¹)	Daily Average Winter Sap Flow (L·d ⁻¹)	Standard Deviation	Annual Accumulated Sap Flow (L·a ⁻¹)
Indigenous Forest (Site 1)	<i>S. pyroides</i>	98	9	3.6	1.06	1 639
	<i>G. buxifolia</i>	114	15	3.9	0.82	3 901
	<i>G. buxifolia</i>	58	12	3.8	0.96	2 883
Introduced/Alien Forest (Site 2)	<i>A. mearnsii</i>	131	18	15	1.10	5 786
	<i>A. mearnsii</i>	166	23	17	0.99	7 310
Indigenous Forest (Site 3)	<i>C. africana</i>	102	22	0.9	2.43	4 307
	<i>K. africana</i>	50	10	3.7	1.45	2 508
	<i>L. sericea</i>	212	9	4	0.32	2 369
Introduced/Alien Forest (Site 4)	<i>E. grandis</i>	165	27	15	1.41	7 668
	<i>E. grandis</i>	96	25	14	1.64	7 142
Mixed understorey (Site 5)	<i>B. salviifolia</i>	28	5.9	5.5	2.82	2 080
	<i>S. mauritianum</i>	25	0.4	0.3	0.61	127
	<i>S. mauritianum</i>	10	2.0	0.9	0.91	529
	<i>S. mauritianum</i>	19.1	2.9	1.2	0.13	748
	<i>S. mauritianum</i>	26.7	3.3	1.6	0.73	894
	<i>A. mearnsii</i>	25.6	3.4	1.8	0.71	949

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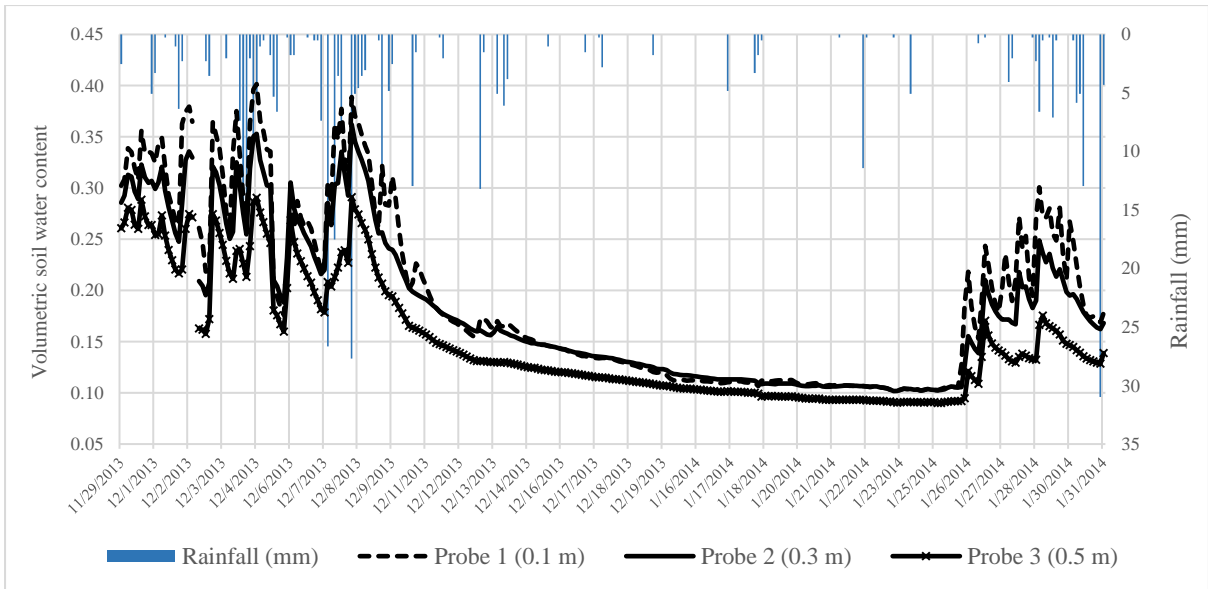


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Figure 8. Hourly volumetric soil water content and the hourly rainfall at site 1 at New Forest.



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Figure 9. Hourly soil volumetric water content and the hourly rainfall at site 2 at New Forest.