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## The climate of desiccation in the SW Cape

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### Abstract

10 Hydro-meteorology conditions in the Southwest Cape of South Africa are analyzed for historical  
11 trends in satellite and station measurements. Results show an increase of coastal upwelling, low-  
12 level subsidence and shorter winters. The shearing by offshore easterly winds causes a circulation  
13 over the SW Cape which entrains dry air from the south coast upwelling zone. Potential evaporation  
14 exceeds precipitation and streamflow discharge has declined particularly northwest of the Hotten-  
15 tots Holland mountains. Many of Cape Town's water reservoirs are drying up, and show steep in-  
16 creases in surface temperature (+.2C/yr) and browning of perimeter vegetation. The unfavorable  
17 wind shear is compounded by negative sensible heat flux and a capping inversion, so alongshore  
18 winds and mountain-top clouds divert seaward, desiccating the upper Berg River catchment.

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25 Key words: SW Cape, hydro-climate deficit

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27 **1. Introduction**

28 The southwestern (SW) Cape of South Africa (34S, 19E) lies at the transition between the sub-  
29 tropical easterly and mid-latitude westerly wind regimes, and has a semi-arid, rainy-winter climate.  
30 The adjacent interior (Karoo) has sparse vegetation fronted by coastal mountains over 1000 m. Past  
31 research on climate change at country-scale has found a  $\sim 0.02^{\circ}\text{C}/\text{yr}$  increase of temperature (Kruger  
32 and Shongwe 2004; Morishima and Akasaka 2010) consistent with global averages, and mixed  
33 trends in rainfall (Tadross et al 2005; MacKellar et al 2014). Yet, water resources within reach of  
34 Cape Town's 4 M people (west of 20E) have dwindled to unsustainable levels (13% of storage ca-  
35 pacity =  $217 \text{ M m}^3$  < [www.dwa.gov.za/Hydrology/](http://www.dwa.gov.za/Hydrology/) > as of April 2018). Water supplies are so  
36 scarce that numerous engineering projects are underway (Muller 2017), in addition to rationing of  
37 50 L/day/person. Here an analysis of meteorological factors underlying the water deficit is conduct-  
38 ed to promote awareness and strategic planning. During this era, global greenhouse gases have risen  
39 2 ppm/yr, national industrial emissions exceeded 600M T/yr (Bekker et al 2008; DEAT 2009), and  
40 continental agricultural emissions reached 1350M T/yr (Semazzi and Song 2001; Sinha et al 2003).

41 **2. Data and Methods**

42 The SW Cape of South Africa (SA) has a dense network of rainfall, streamflow and potential evap-  
43 oration stations maintained by the Dept of Water Affairs (DWA). Here monthly long-term records  
44 with > 90% completeness are obtained in the Upper Berg River catchment, (lat/lon): -33.65/19.01; -  
45 33.83/19.08; -33.93/19.06; -34.32/18.99. High resolution NOAA MODIS satellite data are analyzed  
46 for land surface temperature and vegetation color. The dispersion of urban emissions is represented  
47 by OMI satellite NO<sub>2</sub> measurements (Bucsela et al 2013) averaged 2010-2017. Gridded fields are  
48 generated by data assimilation of in-situ and satellite observations, and include: CRU4 rainfall and  
49 Palmer Drought Severity Index (PDSI; Harris et al 2014); NCEP2 atmosphere reanalysis (Kana-  
50 mitsu et al 2002); NOAAoi2 sea surface temperature (SST; Reynolds et al 2007), NOAA net out-  
51 going long-wave radiation (OLR; Lee 2015), CHIRPS2 and GPCC7 rainfall (Funk et al. 2015;



52 Schneider et al 2014), MERRA2 atmosphere reanalysis (Reinecker et al 2011, Molod et al 2015),  
53 and SODA3 ocean reanalysis (Carton and Giese 2008).

54 Monthly averages over the key area: 34.5-33.5S, 18.5-19.5E are used to calculate trends by linear  
55 regression, as in (Jury 2013, 2018). The rate of change or slope is evaluated across the field (for  
56 maps and sections) and key area (for time series) over the period 1980-2017 using the above re-  
57 analysis datasets. Statistical evaluations use the Pearson's 2-tailed t-test and degrees of freedom.  
58 With a  $DF = 37$ , 90% confidence is achieved by  $r > |0.27|$  or  $r^2 > .06$ . Some records are longer: the  
59 DWA stations 1956+, GPCC7 and CRU4 rainfall / PDSI 1901+; while some are shorter: MODIS  
60 satellite data 2000+. Ranking the satellite land surface temperature, a hot dry spell of 1-8 January  
61 2011 is analyzed using reanalysis fields and SA Weather Service hourly observations and radio-  
62 sonde profiles from Cape Town airport (CPT). The mesoscale structure of SW Cape hydrology is  
63 linked to the uptake of climate change from rising greenhouse gases, and natural multi-year fluctua-  
64 tions (Poccard et al 2000).

### 65 **3. Results**

#### 66 **3.1 SW Cape local and regional trends**

67 The SW Cape urban NO<sub>2</sub> emissions (Fig 1a) illustrate the atmospheric impact of 4 M residents and  
68 their resource needs. Higher pollution concentrations at 33.75S, 18.75E extend northward in a  
69 broad arc, according to OMI satellite measurements averaged 2010-2017. Values  $> 10 \mu\text{g}/\text{m}^3$  are  
70 consistent with in-situ data (SOER 2017). The wind and rainfall trend map (Fig 1b) illustrates a  
71 cyclonic circulation and drying to the northwest of the mountains.

72 SST trends (Fig 1c) are positive offshore but negative nearshore (cf. Rouault et al 2010), and point  
73 to intensification of coastal upwelling by the southeasterly winds 1980-2017. The offshore Ekman  
74 transport and cyclonic wind shear lifts cool water over the shelf, with desiccating effect as seen be-  
75 low.



76 Land surface temperatures (Fig 2c) display increases of 0.1 C/yr (Fig 2a) in the MODIS era (2000-  
77 2017). Values are highest at the Berg River dam near Franschoek (0.2 C/yr), ~10 times above glob-  
78 al and national means (Kruger and Shongwe 2004). Such a high rate of warming is caused by reced-  
79 ing water around the perimeter of the reservoir, and heating of bare soil during periods of high solar  
80 radiation (Appendix 1 photo; cf. Earth observatory 2018). In conjunction, the vegetation color frac-  
81 tion has declined around the Berg River dam near Franschoek (Fig 2d). A ‘brownier’ surface is also  
82 found in the Theewaterskloof Reservoir (-0.02 /yr) and west of the Hottentots Holland mountains.  
83 Yet greening is noted in the MODIS era (2000-2017) to the east of 19.1E (+0.01 /yr), and Chirps2  
84 rainfall trends are weakly positive there (Fig 2e) due to the up-slope of easterly winds.

85 The main hydrological concern is the diminishing rainfall northwest of the Hottentots Holland,  
86 across the populated Cape Flats. The annual rain trend is  $-0.5 \text{ mm month}^{-1}/\text{yr}$  in the vicinity of Wel-  
87 lington (33.64S, 19.00E). The histogram of NOAA infrared vegetation temperature, comparing the  
88 1982-88 and 2010-16 era (Fig 2f), illustrates shifts in both cold and warm seasons. In the 12.5C  
89 range typical of winter, there was a reduction from 18 to 12 months. In the 30-32.5C range typical  
90 of summer, there was huge increase from 3 to 24 months. The histogram indicates a desiccating  
91 regime, which is placed in context below.

### 92 **3.2 Temporal characteristics**

93 In this section, a temporal analysis is presented for station observations in the key area. Ensemble  
94 rainfall trends per month (Fig 3a) are downward except for small rises in August and November.  
95 Months with significant declines include January, March, October and December. Months with the  
96 largest drying trends are May and September, at the beginning and end of winter. Streamflow dis-  
97 charge shows a downward trend in the Upper Berg River catchment (Fig 3b). Of note are the low  
98 summer flows in the early 1970s that culminated in three dry years: 1978-1980. Streamflow dis-  
99 charge recovered over a lengthy era from 1981 to 2014, but then declined in both winter and sum-  
100 mer to almost zero by 2017 (end of record).



101 A longer term perspective is offered by CRU4 PDSI anomalies (Fig 3c) that show a persistent  
102 downward trend through the 20<sup>th</sup> century, consistent with Wolski (2018). The 1970s dry spell re-  
103 curred in the most recent decade (2010+). Apart from the 1950s and 1980s, the water budget has  
104 shown deficit conditions: potential evaporation exceeded precipitation. The downward trend in  
105 PDSI is 17% of total variance. The DWA pan evaporation measurements (Fig 3d) have a weak up-  
106 ward trend since 1956. There is a large annual cycle that peaks in summer each year, when desic-  
107 cating weather prevails.

108 Seasonal variability tends to obscure the climate change signal, so individual monthly trends are  
109 considered. The linear upward trend in potential evaporation accounts for 15% of variance from  
110 January to March with a summer-time slope of 0.15 mm day<sup>-1</sup>/yr in the period 1956-2017. Similar-  
111 ly, the satellite net OLR shows positive trends in the key area (reduced cloud cover), as listed in  
112 Table 2. Desiccation is most significant in the December to April months (1979-2017).

### 113 3.4 Regional drivers of desiccation

114 Trends are mapped in vertical sections along 34S from 15.5E to 24.5E in Fig 4a-d. A surprising  
115 result is that subsident trends within the easterlies are strongest south of the Langeberg mountains  
116 (20-24E). Air temperature trends are relatively weak at .01 C/yr in the 1000-900 hPa layer.  
117 Warming increases westward consistent with a change from moistening 21-24E to drying 16-19E.  
118 Turning attention to the shelf oceanography, it is evident that upwelling has intensified (-.02 C/yr)  
119 from surface to 40 m depth to the east of 19E, despite a warming trend elsewhere in the period  
120 1980-2016. The warm air / cool sea trend causes sensible heat flux ( $Q_h$ ) to decline  $-.2 \text{ W m}^2/\text{yr}$ .  
121 Hence the lower atmosphere is stabilized along the South Cape coast. As a secondary consequence,  
122 the subsidence inversion strengthens and caps the surface easterly flow, as outlined in Jury and  
123 Reason (1989). The Froude number, calculated from  $F = U/NH$ , offers a way to determine whether  
124 the airflow will ascend the SW Cape mountains ( $F \geq 1$ ) or go around them ( $F < 1$ ). With a westerly  
125 wind of  $U = 10 \text{ m/s}$ , a mountain height of  $H = 10^3 \text{ m}$ , and a winter-time unstable lapse rate of  $N =$



126  $((g/\theta_0)(d\theta/dz))^{0.5} \sim 10^{-2}$ , the airflow lifts over the mountains causing stratiform rainfall. Under the  
127 summer-time easterly wind regime, the U and H values are the same. But surface cooling and low-  
128 level warming induce a stable lapse rate of  $N \sim 2 \cdot 10^{-2}$ , so  $F = 0.5$ . The airflow is diverted around the  
129 mountains instead of going over the Hottentots Holland; leaving them sunny and prone to  
130 desiccation.

131 As the sub-tropical ridge shifts poleward, there is a broad zone of intensifying easterly flow from  
132 32-40S, 0-40E (Fig 4e). Evidence of Venturi acceleration at the southern tip of Africa (35S, 20E)  
133 emerges in zonal wind trends of  $-0.05 \text{ m s}^{-1}/\text{yr}$  (1980-2017). The associated easterly shear spins-up a  
134 wind rotor over the SW Cape (cf. Fig 1b) with desiccating consequences.

135 The change of winds from easterly to southeasterly can be traced to three influences: firstly the  
136 change in coastal orientation, secondly the day-time thermal gradient / seabreeze, and thirdly the  
137 land-friction / Ekman spiral. Seabreeze forcing is:  $dV = ((g H / \theta) d\theta / dy) dt$  with  $g$  gravity,  $H$  sur-  
138 face layer  $\sim 30 \text{ m}$ , potential temperature  $\theta \sim 300\text{K}$ ,  $d\theta \sim 3\text{K}$  sea-land increase, and  $dy, dt$  are length,  
139 time scales ( $\sim 2 \cdot 10^4$ ). The Ekman spiral effect is:  $V = U_g (e^{-az} \sin(-az))$ , with  $U_g$  geostrophic easterly  
140 wind ( $\sim 10 \text{ m/s}$ ),  $a = (f / 2 K)^{0.5}$ ,  $z = 30 \text{ m}$ , coriolis  $f = 7 \cdot 10^{-5} \text{ s}^{-1}$ , and terrestrial eddy viscosity  $K > 2$   
141  $\text{m}^2 \text{ s}^{-1}$ . As the easterly winds turn equatorward, subsidence is generated:  $W = V(\Delta Z)(\beta/f)$ , with  $V \sim$   
142  $5 \text{ m/s}$ , thickness  $\Delta Z \sim 5 \cdot 10^3 \text{ m}$ ,  $\beta = df/dy \sim -1.5 \cdot 10^{-11} \text{ s}^{-1} \text{ m}^{-1}$ , and  $f = 7 \cdot 10^{-5} \text{ s}^{-1}$ . Sinking motion of  $W$   
143  $\sim -5 \cdot 10^{-3} \text{ m/s}$  actively dries out the lower atmosphere. The pattern of  $-5 \text{ m/s}$  zonal wind anomalies  
144 for Dec-Jan 2011 (Fig 4f) leads into a case study of desiccation.

### 145 3.3 Dry spell case study

146 Ranking the MODIS day-time land surface temperature record in the SW Cape (33.5-34.5S, 18.5-  
147 19.5E), the period 1-8 January 2011 is the hottest case (42 C) in the period 2000-2017. The map of  
148 surface temperatures (Fig 5a) reveals a narrow strip of cool conditions along the windward coastal  
149 promontories such as Cape Point (20 C). However across the Cape Flats and interior valleys (Berg,  
150 Brede) the day-time land surface temperatures exceed 50 C! The air-flow at 850 hPa (Fig 5b) shows



151 cyclonic curvature and  $> 5$  m/s easterlies over the ocean to the south. The vegetation color fraction  
152 (Fig 5c) diminished 0.1 from the end of December to mid-January 2011 in the Upper Berg River  
153 catchment, west of Hottentots Holland mountains. A vertical section analysis shows a strong easter-  
154 ly ‘jet’ capped by an inversion (Fig 5d) in the period 1-8 January 2011. In the offshore zone (35S),  
155 the near-surface winds were 10 m/s and temperatures were  $< 20$  C. During this dry spell, coastal  
156 upwelling caused SST  $< 15$  C (Fig 5e). A consequence of warm air overlying cool sea is thermal  
157 stability, which inhibits the inland penetration of moisture (cf. Fig 5b). The CPT weather station  
158 reported desiccating weather conditions 3-6 January (Fig 5f) characterized by  $T_{\max} = 34$  C and  
159  $T_{\text{dew}} = 16$  C. Southerly winds strengthened 3-4 January to 35 km/hr and then abated following pas-  
160 sage of the South Atlantic high pressure cell. CPT radiosonde profiles on 6 January 2011 (Appendix  
161 2) describe characteristics in the 400-700 m subsidence inversion:  $110^\circ$  / 14 kt winds, 32.6 C tem-  
162 perature, -14.6 C dewpoint, and specific humidity 1.36 g/kg due to entrainment of dry air from aloft  
163 (cf. 500 hPa sinking motions in Fig 5e). Many DWA stations near Wellington recorded potential  
164 evaporation  $> 12$  mm/day!

#### 165 **4. Summary**

166 Hydro-meteorology conditions and trends in the Southwest Cape of South Africa have been studied  
167 using historical satellite and station measurements, and gridded reanalysis fields. Results show an  
168 increase of coastal upwelling, low-level subsidence and shorter winters. The sub-tropical ridge has  
169 shifted poleward causing an increase of easterly winds along 35S. The wind shear induces a cyclon-  
170 ic rotor over the SW Cape, which entrains dry air from the interior Karoo and south coast upwelling  
171 zone. Precipitation has declined particularly northwest of the Hottentots Holland mountains and  
172 many water reservoirs show steep increases in surface temperature (+2 C/yr) and browning of pe-  
173 rimeter vegetation since 2000. The unfavorable wind shear is compounded by negative sensible  
174 heat flux and a capping inversion, so winds and mountain-top clouds divert seaward. The regime  
175 shift from mid-latitude westerly winds and winter rainfall, to sub-tropical easterly winds and sum-  
176 mer dry spells has depleted water resources. Hence recycling, desalination, importation, and aquifer



177 extraction projects are underway (EWN 2018), and water conservation has become rooted in popu-  
178 lar thinking and community awareness. The above results contribute to this educational initiative,  
179 and suggest that water deficits in the SW Cape are here to stay.

## 180 **5. Acknowledgements**

181 S.A. Dept of Education SAPSE funding support is acknowledged. Data were analyzed from  
182 websites: IRI Climate Library, KNMI Climate Explorer, APDRC Hawaii, SA Dept of Water  
183 Affairs, and Wundermap.

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245 **Table 1** Datasets used in the trend analysis. References are listed in text; web sources are given in  
246 acknowledgement.

	name	resolution
CHIRPS2	Climate hazards infrared precipitation with station v2 (Meteosat + gauge)	5 km
CRU4	Climate Research Unit v4 (gauge-based, PDSI, rainfall)	50 km
DWA	Dept of Water Affairs station data for streamflow and potential evaporation	1 km
GPCC7	Global Precipitation Climatology Center v7 (gauge-based)	50 km
MERRA2	Modern Era Reanalysis for Research and Applications v2 (model-based)	60 km
NCEP2	National Center for Environmental Prediction v2 Reanalysis	180 km
NOAA	National Oceanic and Atmospheric Administration (satellite data)	5-100 km
OMI	Ozone Monitoring Instrument (AURA satellite) v3	25 km
SODA	Simple Ocean Data Assimilation v3 (model-based ocean reanalysis)	50 km

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249 **Table 2** Trends in satellite net OLR averaged over the key area, expressed as the correlation be-  
250 tween the linear slope and the time series, per month in the period 1979-2017. Insignificant p-  
251 values are confined to May-June. Positive r-values refer to reduced cloud cover and desiccation.

months	r-value	p-value
Jan	0.646	0.000
Feb	0.406	0.009
Mar	0.381	0.015
Apr	0.573	0.000
May	0.214	0.192
Jun	0.206	0.208
Jul	0.441	0.005
Aug	0.396	0.013
Sep	0.461	0.003
Oct	0.408	0.010
Nov	0.322	0.045
Dec	0.508	0.001

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253 **Figures**

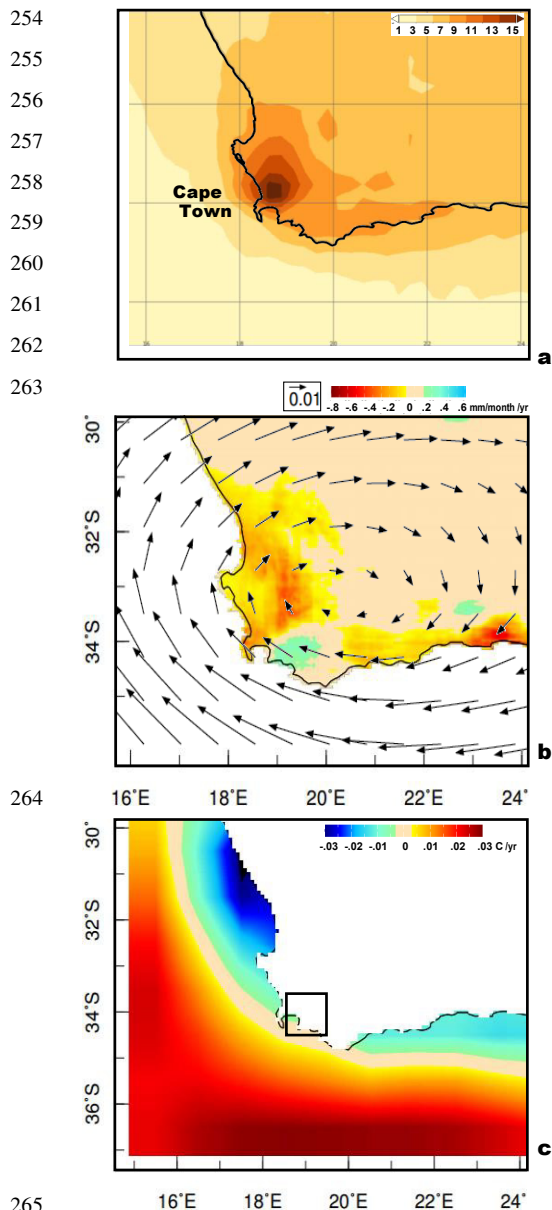


Figure 1 (a) 2010-2017 average OMI satellite NO<sub>2</sub> concentration illustrating urban emissions ( $\mu\text{g}/\text{m}^3$ ). The 1981-2017 linear trend of: (b) 1000-925 hPa NCEP2 wind vectors (m/s /yr) and Chirps 2 rainfall (shading), (c) NOAA oiv2 SST. Map covers the SW Cape region; square in (c) is the key area for temporal analysis.

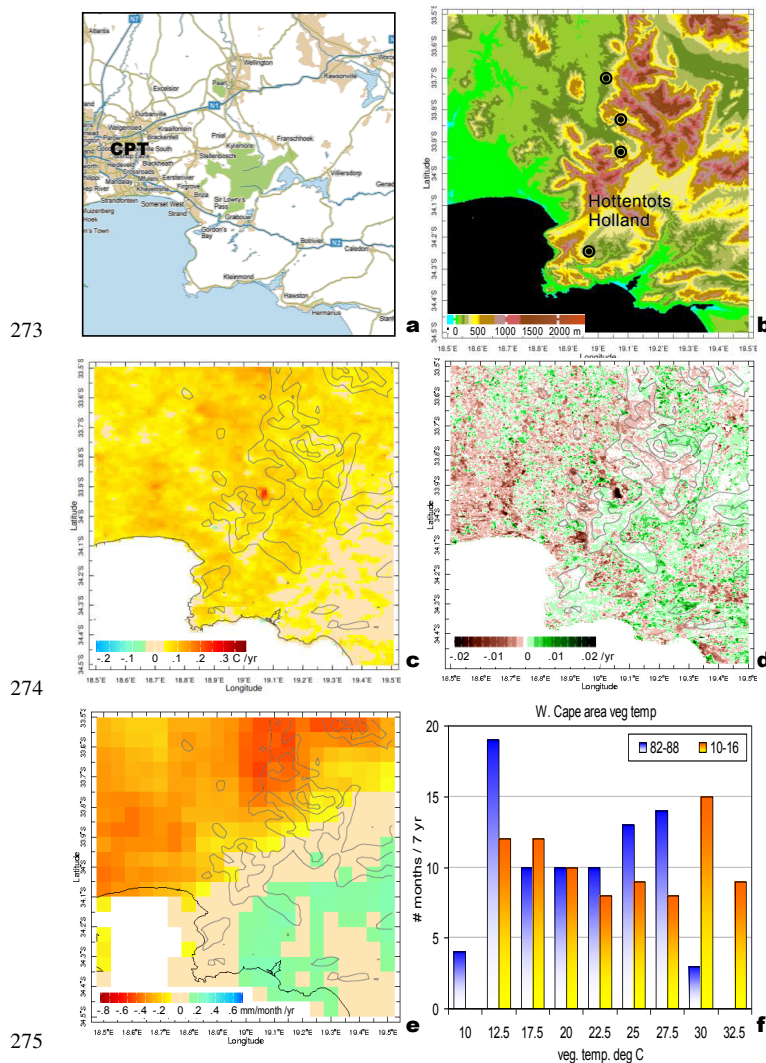
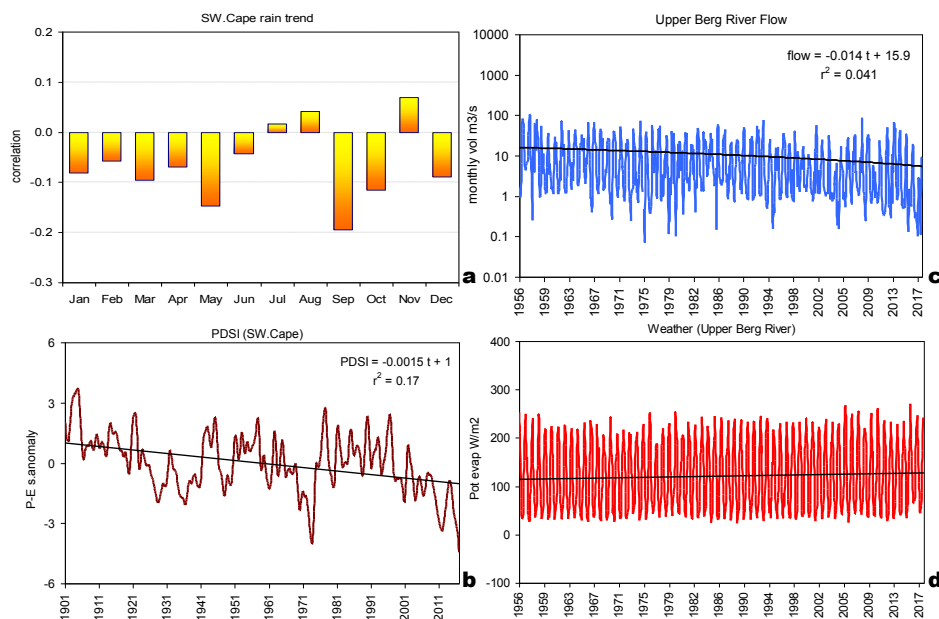


Figure 2 SW Cape (a) locations, (b) topography and DWA stations, (c) Modis surface temperature trend (2000-2017), (d) vegetation fraction trend (2000-2017), (e) Chirps2 rainfall trend (1981-2017), (f) histogram of NOAA satellite vegetation temperature: # months per bin (C) in the first versus last 7 yr.



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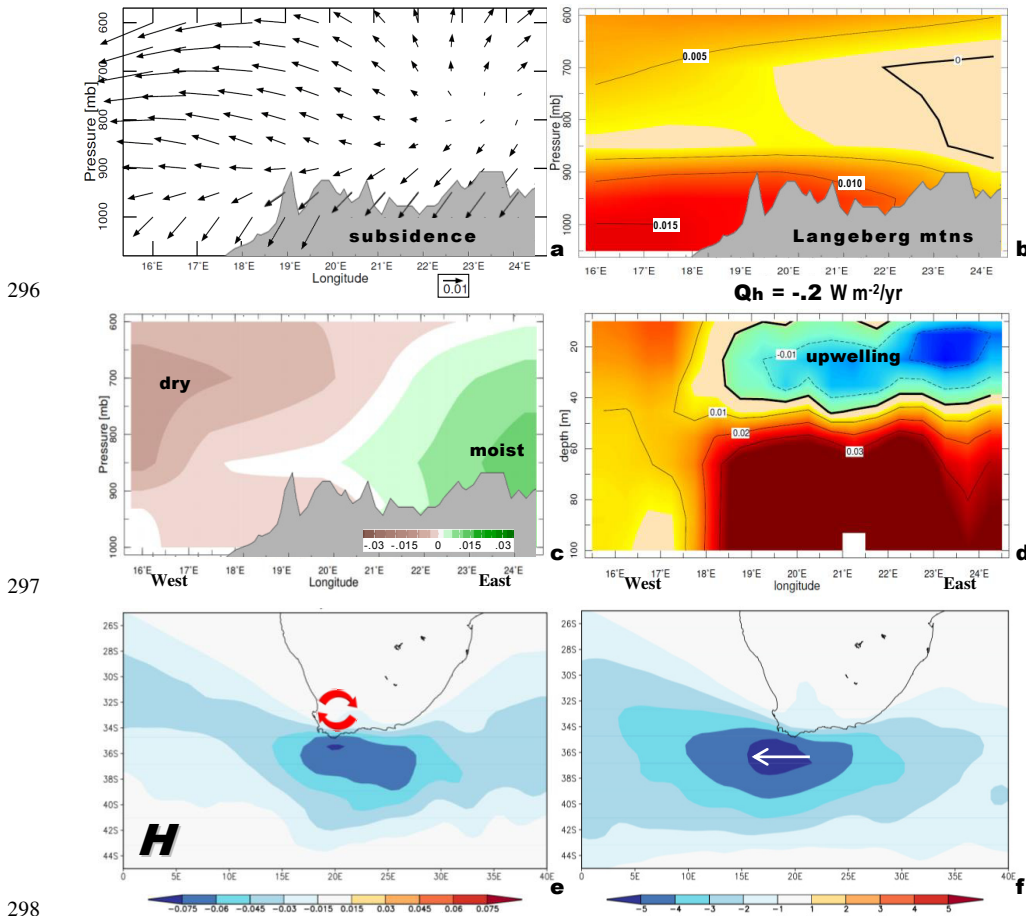
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290 Figure 3 (a) Bar-chart of temporal correlation of SW Cape rainfall with linear trend, per month, average of  
 291 three datasets (1901+). (b) Time series of CRU4 PDSI representing precipitation minus potential evaporation  
 292 anomalies (1901+). Time series of averaged station data in the upper Berg River catchment: (c) streamflows  
 293 (log-scale) and (d) potential evaporation (1956+). Trends are given and variance is listed for PDSI anom-  
 294 lies.

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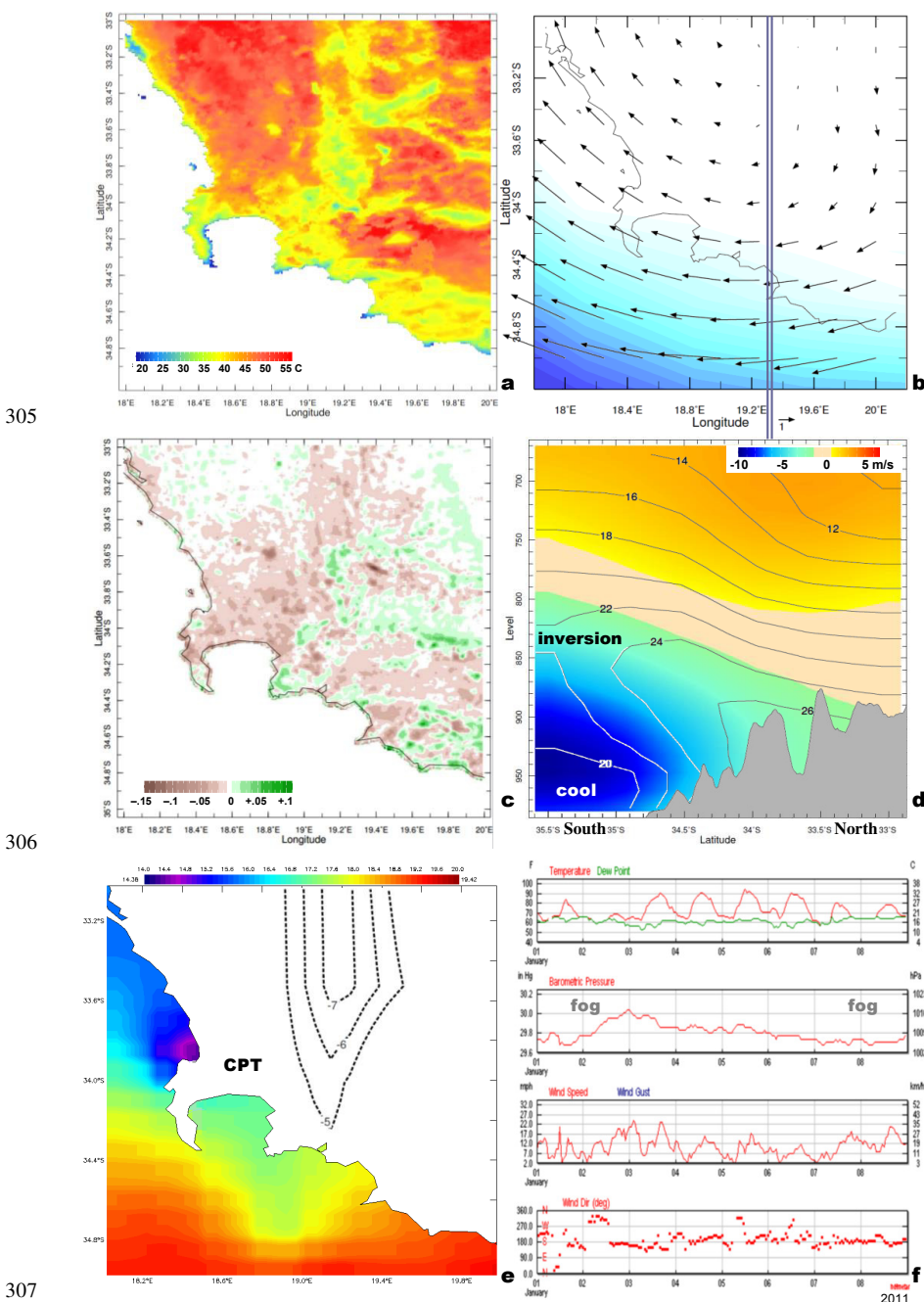
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Figure 4 Trend in vertical section west-east averaged in SW Cape latitudes (33.5-35S) of (a) zonal circulation (vectors U,W), (b) air temperature (C/yr), (c) specific humidity (ppt/yr), and (d) sea temperature (C/yr); extending from 15.75E to 24.5E (1980+). (e) Dec-Jan trend of surface zonal wind (1979+) m/s /yr and (f) surface zonal wind anomaly Dec-Jan 2011 m/s.  $Q_h$  (between b,d) = trend of sensible heat flux. The SW Cape rotor is depicted in (e).





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308 Figure 5 Case study of hot dry weather 1-8 Jan 2011, (a) day-time land surface temperature, (b) 850 hPa  
 309 wind vectors and latent heat flux (blue shading  $5\text{-}50\text{ W m}^{-2}$ ), (c) change in vegetation fraction before to after,  
 310 (d) vertical section on 19.33E (line in (b) of zonal wind (shaded) and temperature (contour) with mountain  
 311 profile, (e) sea surface temperature and 500 hPa vertical motion (contours  $\times 10^{-3}\text{ m/s}$ ), (f) hourly weather  
 312 observations at CPT airport during the case study.

313



314 **Appendix 1**

315 Aerial photo by Jean Tresfon, of the Berg River Dam near Franschoek (33.90S, 19.06E) in early 2018, show-  
316 ing desiccation on the perimeter.



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320 **Appendix 2**

321 CPT radiosonde significant-level data up to 850 hPa during case study, with inversion, berg winds (upper)

322 and dry layer (lower) highlighted.

6 Jan 2011 0Z

Pres hPa	Z m	Temp	T dew C	Q g/kg	Dir deg	Spd kt
850	1518	25	-1	4.2	155	16
877	1243	27	2	5.07	138	15
925	771	30.2	6.2	6.47	110	14
931	713	30.8	6.8	6.7	114	14
962	420	32.6	6.6	6.39	135	11
968	364	32.2	7.2	6.62	139	11
974	309	30.8	8.8	7.35	143	11
986	200	26.2	12.2	9.13	151	10
997	102	25.2	13.2	9.65	158	9
1000	76	24	15	10.83	160	9
1004	42	22.2	16.2	11.67	220	10

6 Jan 2011 9Z

Pres hPa	Z m	Temp	T dew C	Q g/kg	Dir deg	Spd kt
850	1558	24	-6	2.89	205	12
909	968	29.4	-14.6	1.36	201	10
925	813	30.4	-13.6	1.45	200	9
938	689	31.4	-10.6	1.83	207	8
944	632	31	-1	3.78	210	8
950	576	29	6	6.21	214	8
962	465	26.6	11.6	8.99	220	7
991	202	28	13	9.58	235	5
1000	122	28.6	12.6	9.24	240	5
1003	95	29.2	12.2	8.97	257	6
1009	42	32.2	7.2	6.35	290	7

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