"Estimating long-term groundwater storage and its controlling factors in Alberta, Canada", by Soumendra N. Bhanja, Xiaokun Zhang, Junye Wang

General Comment from Authors and highlights of revision:

Following the suggestion of the Reviewers, we have done a complete, major revision of the manuscript. We have diligently responded to all of the reviewer concerns in the previous version of the manuscript, whose responses are stated below. Doing so, we have rewritten several sections, provided new analyses, inserted new figures, tables and discussions, which we believe, have improved the manuscript to a great respect. We have:

- 1. Inserted two additional figures in Figure 1 to indicate well depths and the type of aquifer encountered
- 2. Analyzed and discussed snowmelt and its influence on groundwater storage. We have included the analyses to study the influence of rainfall and snowmelt on groundwater storage. We have modified the Figure 8 and added Table S6 containing the results
- 3. Discussed the results related to the confined and unconfined aquifers in Results and Discussions section. Provided more details on the wells used in supplementary section (Table S2)
- 4. Re-written the Methods section with updated details on groundwater recharge estimates from the global-scale model
- 5. Updated Abstract and Introduction as suggested by the second reviewer
- 6. Updated the title

Reviewer #1:

General comments: The study presents an interesting use of gravity based remote sensing data (GRACE) for monitoring of groundwater resources in Alberta region and comparing it to available in situ monitoring well data. It is mostly nicely structured and written that the study is easy to follow for the reader. However, there are issues especially concerning the use of the data and the methods that should be revised thoroughly to enhance the quality of the manuscript.

Reply: We would like to thank the reviewer for his/her interest in our work and also for careful consideration of the manuscript. We have addressed all of his/her concerns in the revised manuscript.

Rev 1. Comment 1: First main issue is how the in situ data is used. Authors mention in the abstract and in the text that the unconfined and unconfined aquifer monitoring wells are separated from the in situ data and different approach for groundwater storage change has been used (equation 1 & 2). This is good as the well reading from confined aquifer compared to unconfined aquifer tell a different information on the aquifer storage. However, this separation of the data does not show in results or in discussion. In addition, this connects to the second issue of the manuscript. You have not given any information where on the studied catchments the wells are situated. As there is no spatial data for the wells or the information how the confined and unconfined aquifers are presented in each catchment, it is rather hard to say how representative

the in situ data is for a specific catchment where you have the satellite data calculated and compared.

For example: the basin 7 in situ data and GRACE data do not seem to correlate. You have 15 wells in this catchment, but are these e.g. situated in one aquifer? Are they all unconfined aquifers? The average well data in figure 3 might indicate a strong annual snowmelt impact to the groundwater level in basin 7 average groundwater levels. This would happen in unconfined aquifers in snow dominated region (see comment on snow melt below). With the information given in the manuscript this cannot be confirmed or discussed in detail.

Reply: We would like to thank the reviewer for raising this concern. We fully agreed with him. We have added Figs 1 d-e and Table S2 to show the spatial distributions of both confined and unconfined wells in each catchment. We have added two paragraphs within Section 3.1 to discuss effects of snowmelt and aquifer types and provided a figure in supplementary information.

Table S2: Basin-wide distribution of wells screened in different types of aquifers

Basin ID	Unconfined	Semi-confined	Confined	Unclassified	Total
1				3	3
2	6		7	2	15
3	2		6		8
4	5	2	14		21
5	3	6	19		28
6	1	3	16	1	21
7	3	1	4	7	15
8	2	1	6	1	10
9		1	4	1	6
10	1	1	7		9
11	1	2	17	1	21
Total	24	17	100	16	157

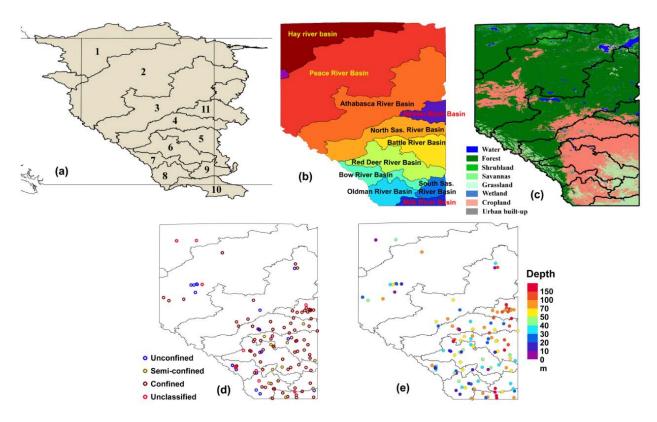


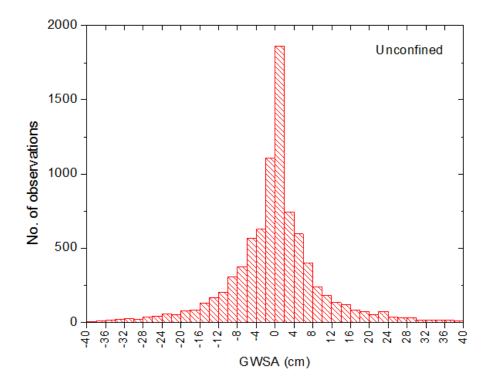
Figure 1: Major river basins in Alberta, (a) full basin extent; (b) Alberta only; (c) dominant land cover types; (d) aquifer types represented through the studied wells; (e) depth of wells screened in Alberta, overlaid by basin boundaries

"Out of the 157 measurement locations used in the study, 24 are located in unconfined aquifers, 17 are located within semi-confined aquifers, 100 are located within confined aquifers and 16 are unclassified (Figure 1d). The screen depth of the wells varies from 6 m to 220 m (Figure 1e)." [Page: 4; Lines: 7-10]

We added two paragraphs to discuss snowmelt impact and different types of aquifers.

"Another important factor influencing groundwater recharge as well as the groundwater storage, is the snowmelt processes prevailing in cold regions during the onset of spring-summer. The river basins have been receiving substantial amount of snowfall during winter months (Figure 3). This leads to snow accumulation in the region. At the end of winter season, snowmelt processes are majorly accounting for our observation of increasing GWSA in April onwards (Figure 3). The observation is in line with the observations from the earlier studies conducted within the study region (Hayashi and Farrow, 2014; Hood and Hayashi, 2015). Comparatively higher rates of precipitation during summer months and the snowmelt during the start of the summer season, are the major processes responsible for the observation of higher GWSA during summertime at the entire study region (Figure 3)." [Page: 8; Lines: 4-11]

"GWSA_{obs} values from the unconfined aquifers reflect higher magnitude than that in the confined aquifers (Figure S1). This is because of the intrinsic property of the different types of aquifers. For instance, dewatering from the saturated zone during a pumping event, is mainly responsible for the release of water in unconfined aquifer (Alley et al., 1999). On the other hand, a net decrease in groundwater potential and associated reduction in water pressure have been occurred during a pumping event in a confined aquifer. The indigenous water expands slightly due to the decrease in water pressure, leading to slight compression in the aquifer material (Alley et al., 1999). This can explain why the groundwater storage change in the confined aquifers are comparatively lower than that in the unconfined aquifers." [Page: 8; Lines: 12-19]



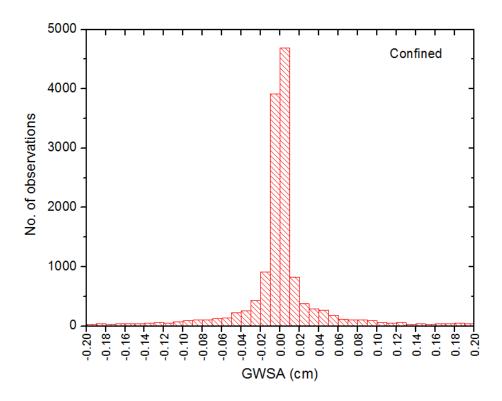


Figure S1: Histogram of GWSA estimates from unconfined and confined aquifers

Rev 1. Comment 2: How deep aquifers the wells are monitoring? If the screening zone is for a deeper, confined aquifer, how much a yearly recharge impacts this aquifer? All in all, it would be beneficial to present more in detail how the monitoring wells are presenting the prevailing aquifer conditions in different catchments.

Reply: We would like to thank the reviewer for his/her suggestion. We have provided more details on well depth and types of aquifers encountered in the revised version of the manuscript. Please see our answer to **Rev 1. Comment 1**. Recharge impact on groundwater storage in confined aquifer is a complex issue to deal with, this is beyond the scope of this manuscript at present. Here, we are not dealing with the absolute storage but estimating the storage anomaly (that is the deviation of storage from a mean value). If the confined aquifer recharge is constant over the years, it will be cancelled out by computing storage anomaly.

Rev 1. Comment 3: And concerning the methods used: the smallest catchment size (or part of the catchment studied) in this manuscript is Milk basin with 11834 km2. In total, the size in three of the catchments is smaller than 20000 km2. Is the size of the catchments a problem for the GRACE data methods used or does it cause uncertainty? This issue is previously discussed e.g. in Wishvakarma et al. 2017 for different GRACE approach.

Reply: We thank the reviewer for his/her suggestion. We agree that the use of GRACE data is not always appropriate for smaller basins. We have discussed these issues in Section 2.7 Assumptions and limitations.

"We have shown the satellite-based estimates for all of the basins, however, users should be cautious to use GRACE data in the smallest basins. This is because GRACE's native resolution could not allow users to directly use the data for smaller basins. Other processes, such as, the use of GRACE and integrated land surface model's operation could make the data available to use for smaller basins (Landerer and Swenson, 2012; Watkins et al., 2015). Data processing methods Proposed by Dutt Vishwakarma et al. (2016) could be used to make the data available for smaller basins with GRACE-SH products." [Page: 7; Lines: 15-20]

Rev 1. Comment 4: Authors have studied how the precipitation is connected to the GWSA (chaper 3.5). However, role of snow is not discussed in detail. In many northern areas the snow melt can be the driving factor for the groundwater storage recharge. Same goes to large areas in Alberta. As during the winter months the precipitation accumulates in snowpack and then usually melts in a short period, it would be more beneficial to compare warm period precipitation and winter time conditions (<0 degree C) separately, or take the snow water equivalent from GLDAS and add this to your analyses. With the straight comparison between monthly precipitation and GWSA a large portion of the yearly hydrological dynamics is missing. Authors have tested different approach in chapter 3.6., but this approach does not takes into account in detail the snow accumulation and snow melt.

Reply: We would like to thank the reviewer for his/her concern. We have now included the analyses of snowmelt and its influence on GWSA. We have modified the Figure 8 and include the combined data of rainfall and snowmelt along with the precipitation and GWSA. We have modified the Section 3.3 as:

"In general, precipitation is the major controlling factor for variations in water storage (Scanlon et al., 2012). In this study, we have observed that GWSA values are not directly influenced by the precipitation pattern in some of the basins (Figure 8). The HP trend analysis shows a good match of GWSA_{obs} with precipitation in basins 1 and 10 only (Figure 8, Table S5). GWSA_{obs} trends are not following precipitation pattern in other basins (Figure 8, Table S5). The cross-correlation analysis between HP trends provide similar inferences (Table S5). In order to investigate the relationship with more detail, the Granger causality analyses (Granger, 1988) were performed with order 1 (insignificant results were found when other orders were used). Results show precipitation significantly (p value <0.01) causes GWSA_{obs} in 4 of the 11 studied basins, basin 1, 5, 7 and 11. The results were found to be insignificant or even negatively correlated in other basins (Table S5).

A part of the precipitation, in particular, snowfall has little influence in modulating the groundwater storage, unless it is converted to snowmelt water. Therefore, we have studied the combined influence of rainfall and snowmelt water on GWSA_{obs}. Here, the rainfall and the snowmelt water data are retrieved from the three LSMs (CLM, VIC and Noah) in GLDAS archive and used in combination. Good match between rainfall and snowmelt water, and GWSA_{obs} have been obtained in basins 1 and 11. Cross-correlation analyses indicate similar

inference (Table S6). Granger causality analyses (order 1) show the combined effect of rainfall and snowmelt water significantly causes GWSA_{obs} in 6 basins: 1, 2, 5, 7, 9 and 11 respectively. This implies that other factors, such as domestic and industrial water withdrawal etc., play major roles in influencing the GWSA in other basins." [Pages: 9-10; Lines: 19-2]

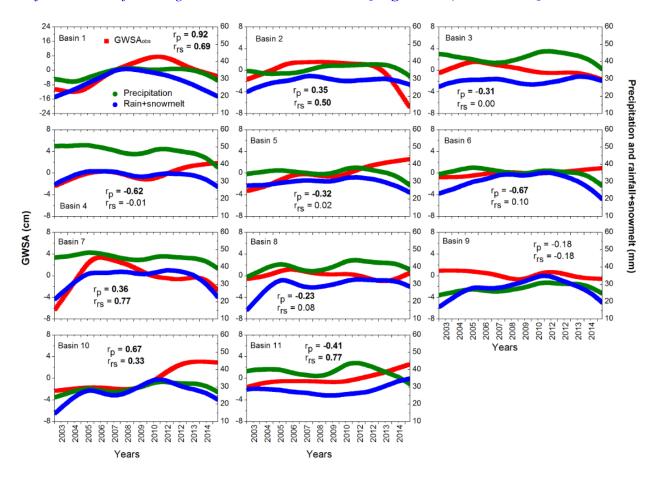


Figure 8: Basin-wide time-series of HP filter data for in situ GWSA (OBS, red squares), precipitation data (green circles) and rainfall+snowmelt data (blue circles). Pearson's correlation coefficient (r) values are provided in in-set and statistically significant (p value < 0.01) values are shown in bold font. r_p and r_{rs} indicate correlation between GWSA, and precipitation and rainfall+snowmelt, respectively

Also included these in abstract and conclusions.

"A combination of rainfall and snowmelt positively influence the GWSA_{obs} in 6 basins." [Page: 1; Lines: 19-20]

"A combination of rainfall and snowmelt water causes significant GWSA variations in 6 basins, indicating prevalence of other factors for influencing GWSA in the remaining basins." [Page: 11; Lines: 2-4]

We have also added cross-correlation analyses details in Table S6 between rainfall+snowmelt and the GWSA_{obs}.

Table S6: Correlation analysis between Hodrick-Prescott trend of rainfall+snowmelt and GWSA_{obs} (no lag, 1 month lag and 2 months lag)

Basin id	R	R	R
	No lag	1 month lag	2 months lag
1	0.69	0.72	0.75
2	0.50	0.47	0.43
3	0.00	-0.02	-0.03
4	-0.01	0.02	0.05
5	0.02	0.06	0.10
6	0.10	0.13	0.16
7	0.77	0.76	0.74
8	0.08	0.06	0.05
9	-0.18	-0.19	-0.20
10	0.33	0.37	0.40
11	0.77	0.77	0.76

In the revised version of the manuscript, we have also discussed the snowmelt issues in the Result and Discussions Section 3.1.

"Another important factor influencing groundwater recharge as well as the groundwater storage, is the snowmelt processes prevailing in cold regions during the onset of spring-summer. The river basins have been receiving substantial amount of snowfall during winter months (Figure 3). This leads to snow accumulation in the region. At the end of winter season, snowmelt processes are majorly accounting for our observation of increasing GWSA in April onwards (Figure 3). The observation is in line with the observations from the earlier studies conducted within the study region (Hayashi and Farrow, 2014; Hood and Hayashi, 2015). Comparatively higher rates of precipitation during summer months and the snowmelt during the start of the summer season, are the major processes responsible for the observation of higher GWSA during summertime at the entire study region (Figure 3)." [Page: 8; Lines: 4-11]

Detailed comments:

Rev 1. Comment 5: Use of abbreviations: the text does not follow good order of abbreviations. E.g. in abstract in line 17 you present GWSAobs first time without explanation. And in line 19 has GWsat two times which mixes reader of the abstract. Same continues in text. E.g. in page 2 line 34 GWS is presented first time without explanation.

Reply: We would like to thank the reviewer for his/her careful observation. We have modified the sentences as suggested by the reviewer.

"Storage coefficients for the individual wells were incorporated to compute the monthly in situ groundwater storage (GWSA_{obs})." [Page: 1; Lines: 13-14]

"They used ground water levels at 36 wells, mostly confined to the southern Alberta region, and were correlated with both the GRACE total water storage (TWS) and groundwater storage (GWS) variations." [Page: 2; Lines: 14-16]

Rev 1. Comment 6: Page 2, line 20: extra comma

Reply: Thanks for the observation. The comma has been deleted.

Rev 1. Comment 7: Page 2, line 9, space after point

Reply: Thanks for the observation. A space is given after the point in the revised version.

Rev 1. Comment 8: Page 3, lines 17-18: sentence structure

Reply: Following the reviewer's suggestion, we have modified the sentences in the revised version.

"To find the role of natural hydrological components (e.g. precipitation, snowmelt, evapotranspiration) for influencing groundwater storage variations. We have also studied long-term groundwater recharge trends from a global-scale hydrological model for inferring long-term variabilities in groundwater recharge rates." [Page: 3; Lines: 4-6]

Rev 1. Comment 9: Page 7: the two equations have a wrong number

Reply: We would like to thank the reviewer for his/her careful consideration. The equation numbers are modified in the revised version.

Rev 1. Comment 10: Page 7, line 20: repetition from previous sentence

Reply: Following the reviewer's suggestion, we have modified the sentence.

"Basin 3 has been subjected to the highest amount of licensed groundwater withdrawal allocation in Alberta (basin 3 accounts for 39% of the total groundwater usage in Alberta)." [Page: 7; Lines: 28-30]

Rev 1. Comment 11: Page 10, line 6: 470 wells were monitored but 157 were used (page 4, lines 13-15) Is Figure 8 is not presented in the text.

Reply: We would like to thank the reviewer for his/her suggestions. We have modified the sentence in conclusion, reflecting the number of wells use for final analyses.

"A network of 157 daily groundwater monitoring wells was used to compute groundwater storage anomalies (GWSA) in 11 major river basins in Alberta, Canada between January 2003 and April 2015." [Page: 10; Lines: 22-23]

We have referred the Figure 8 in text within Section 3.3.

"In general, precipitation is the major controlling factor for variations in water storage (Scanlon et al., 2012). In this study, we have observed that GWSA values are not directly influenced by the precipitation pattern in some of the basins (Figure 8). The HP trend analysis shows a good match of GWSA_{obs} with precipitation in basins 1 and 10 only (Figure 8, Table S5). GWSA_{obs} trends are not following precipitation pattern in other basins (Figure 8, Table S5). The cross-correlation analysis between HP trends provide similar inferences (Table S5). In order to investigate the relationship with more detail, the Granger causality analyses (Granger, 1988) were performed with order 1 (insignificant results were found when other orders were used). Results show precipitation significantly (p value <0.01) causes GWSA_{obs} in 4 of the 11 studied basins, basin 1, 5, 7 and 11. The results were found to be insignificant or even negatively correlated in other basins (Table S5).

A part of the precipitation, in particular, snowfall has little influence in modulating the groundwater storage, unless it is converted to snowmelt water. Therefore, we have studied the combined influence of rainfall and snowmelt water on GWSA_{obs}. Here, the rainfall and the snowmelt water data are retrieved from the three LSMs (CLM, VIC and Noah) in GLDAS archive and used in combination. Good match between rainfall and snowmelt water, and GWSA_{obs} have been obtained in basins 1 and 11. Cross-correlation analyses indicate similar inference (Table S6). Granger causality analyses (order 1) show the combined effect of rainfall and snowmelt water significantly causes GWSA_{obs} in 6 basins: 1, 2, 5, 7, 9 and 11 respectively. This implies that other factors, such as domestic and industrial water withdrawal etc., play major roles in influencing the GWSA in other basins." [Pages: 9-10; Lines: 19-2]

Prof. B. Klöve's comments:

<u>SC #1</u> General comments: The work by Bhanja et al. presents a study where GRACE observational products are compared with monitored and estimated groundwater storage changes

in Alberta, Canada. The study shows that GRACE data can be used to understand groundwater storage changes and responses. As such, the results are important and the study of broad international interest. However, the manuscript is poorly organized and several sections are poorly written.

Reply: We sincerely thank Prof. Klöve for his interest in our work. We have thoroughly revised the manuscript to take into consideration your concerns and comments. We have re-organized and rewritten several sections, insert new figures, tables and discussions, which we believe, have improved the manuscript to a great respect.

SC1 Comment 1: The title promises too much. A more specific title with the focus on Alberta as case study would be more appropriate considering the content of the work. Also, is Alberta really a cold region or temperate region?

Reply: Following Prof. Klöve's suggestion, we have modified the title to "Estimating long-term groundwater storage and its controlling factors in Alberta, Canada". In general, most parts of Alberta falls within cold climate region based on Koppen-Geiger classification. Please refer to Figure 6 in Peel et al. (2007).

SC1 Comment 2: Generally, the role of snow accumulation and melt is not well discussed or included in the work. Certainly, this must be a main reason for seasonal changes in water storage in regions with winter and snow (4 seasons). Improve e.g. section 3.1. on this issue. Also on line 29, page 8, the statement on precipitation is a bit odd for cold climate (correct to snow).

Reply: We would like to thank Prof. Klöve for raising this concern. We agree with him that snowmelt is a main factor in groundwater storage in cold region. We have now included the analyses of snowmelt and its influence on GWSA. We have modified the Figure 8 and included the combined data of rainfall and snowmelt along with the precipitation and GWSA. We have modified the subsection 3.3 as:

"In general, precipitation is the major controlling factor for variations in water storage (Scanlon et al., 2012). In this study, we have observed that GWSA values are not directly influenced by the precipitation pattern in some of the basins (Figure 8). The HP trend analysis shows a good match of GWSA_{obs} with precipitation in basins 1 and 10 only (Figure 8, Table S5). GWSA_{obs} trends are not following precipitation pattern in other basins (Figure 8, Table S5). The cross-correlation analysis between HP trends provide similar inferences (Table S5). In order to investigate the relationship with more detail, the Granger causality analyses (Granger, 1988) were performed with order 1 (insignificant results were found when other orders were used). Results show precipitation significantly (p value <0.01) causes GWSA_{obs} in 4 of the 11 studied basins, basin 1, 5, 7 and 11. The results were found to be insignificant or even negatively correlated in other basins (Table S5).

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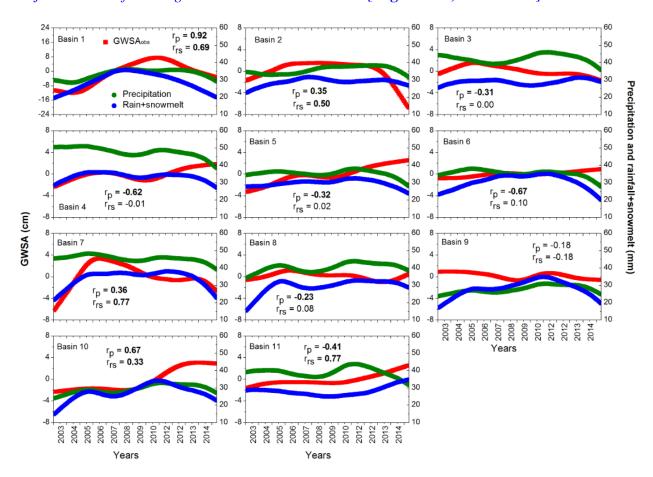


Figure 8: Basin-wide time-series of HP filter data for in situ GWSA (OBS, red squares), precipitation data (green circles) and rainfall+snowmelt data (blue circles). Pearson's correlation coefficient (r) values are provided in in-set and statistically significant (p value < 0.01) values are shown in bold font. r_p and r_{rs} indicate correlation between GWSA, and precipitation and rainfall+snowmelt, respectively

Also included these in abstract and conclusions.

"A combination of rainfall and snowmelt positively influence the GWSA_{obs} in 6 basins." [Page: 1; Lines: 19-20]

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In the revised version of the manuscript, we have also discussed the snowmelt issues in the Result and Discussions Section 3.1.

[&]quot;Another important factor influencing groundwater recharge as well as the groundwater storage, is the snowmelt processes prevailing in cold regions during the onset of spring-summer. The river basins have been receiving substantial amount of snowfall during winter months

(Figure 3). This leads to snow accumulation in the region. At the end of winter season, snowmelt processes are majorly accounting for our observation of increasing GWSA in April onwards (Figure 3). The observation is in line with the observations from the earlier studies conducted within the study region (Hayashi and Farrow, 2014; Hood and Hayashi, 2015). Comparatively higher rates of precipitation during summer months and the snowmelt during the start of the summer season, are the major processes responsible for the observation of higher GWSA during summertime at the entire study region (Figure 3)." [Page: 8; Lines: 4-11]

SC1 Comment 3: I find it surprising that the global scale hydrological modelling used to estimate recharge has not explained in the methods at all (only shortly in section 3.5). A subsection is needed on this under section 2 including aspects of uncertainty. Revise section 3.6 to focus on results of the modelling.

Reply: Following Prof. Klöve's suggestion, we have included subsection 2.6 for description of the model generated groundwater recharge output including uncertainties. Section 3.4 (earlier 3.6) has now included only the results and discussions related to the modelled recharge.

We used global scale hydrological modelling output to discuss about the patterns and trends of the groundwater prevailing over the region. There are no direct measurement for groundwater recharge is available.

"We have studied the long-term (1960-2009) groundwater recharge occurrence from the global-scale model output because of unavailability of direct groundwater recharge measurement in the region." [Page: 10; Lines: 14-15]

"2.6 Groundwater recharge from global-scale hydrological model

In order to find the historical groundwater recharge pattern, we used a global-scale hydrological model, WaterGAP (version 2.2) (Doll et al., 2014) to estimate long-term groundwater recharge data (1960-2009). The WaterGAP simulates global-scale water storage and transport including human water use and groundwater recharge from surface water bodies at $0.5^{\circ} \times 0.5^{\circ}$ resolution (Doll et al., 2014). Water withdrawal from both groundwater and surface water have also been considered. We used a combination of diffuse groundwater recharge and recharge from the surface water bodies, which we termed as "total groundwater recharge". As the WaterGAP simulation consider simple water balance approach for groundwater recharge estimation, uncertainties may arise as a function of groundwater table gradient (Doll et al., 2014). Furthermore, increasing groundwater recharge from surface water bodies as a function of groundwater withdrawal, has not been considered here (Doll et al., 2014). More information on model processes, data used and other details can be found in Doll et al. (2014)." [Page: 6; Lines: 16-24]

Detailed comments:

SC1 Comment 4: Several sections are poorly organized such as: â A c abstract: the 4 fist lines are too general. Provide 1 line as intro. â A c introduction: delete the first 2 paragraphs which are really poor in content (lines 1-18), and split the 3nd paragraph into 2-4 sub section on lines e.g. 23, 28 â A c the third objective is not presented as a number (bullet point) similar to the other sub-objectives. Why not?

Reply: We thank Prof. Klöve for his suggestion. We have modified the sections in the revised version of the manuscript. We have deleted two introductory sentences from Abstract.

Following your suggestion, we have deleted the initial paragraphs of Introduction section and divided the third paragraph into three paragraphs.

We would like to thank Prof. Klöve for his careful observation. The third objective is now numbered as 3.

Abstract:

"Groundwater is one of the most important natural resources for economic development and environmental sustainability. In this study, we estimated groundwater storage in 11 major river basins across Alberta, Canada using a combination of remote sensing (Gravity Recovery and Climate Experiment-GRACE), in situ surface water data, and land surface modelling estimates (GWSA_{sat}). We applied separate calculations for unconfined and confined aquifers, for the first time, to represent their hydrogeological differences. Storage coefficients for the individual wells were incorporated to compute the monthly in situ groundwater storage (GWSA_{obs}). The GWSA_{sat} from the two satellite-based products were compared with GWSA_{obs} estimates. The estimates of GWSA_{sat} were in good agreement with the GWSA_{obs} in terms of pattern and magnitude (e.g., RMSE ranged from 2 to 14 cm). While comparing GWSA_{sat} with GWSA_{obs}, most of the statistical analyses provide mixed responses, however the Hodrick-Presscott trend analysis clearly showed a better performance of the GRACE-mascon estimate. The results showed trends of GWSA_{obs} depletion in 5 of the 11 basins. Our results indicate that the precipitation played an important role in influencing the GWSA_{obs} variation in 4 of the 11 basins studied. A combination of rainfall and snowmelt positively influence the GWSA_{obs} in 6 basins. Water budget analysis showed an availability of comparatively lower terrestrial water in 9 of the 11 basins in the study period. Historical groundwater recharge estimates indicate a reduction of groundwater recharge in 8 basins during 1960-2009. The output of this study could be used to develop sustainable water withdrawal strategies in Alberta, Canada." [Page: 1; Lines: 9-23]

Introduction:

"Fresh water is an important resource for economic development and social sustainability around the world. Approximately, 1.2 billion people live in water scarce areas across the globe (UN-Water/FAO, 2007). More than a billion people lack access to safe drinking water and this number is increasing due to an increasing population (Connor, 2015). However, the effects of

climate change on glaciers and snowpack, and human activities, such as over-use and over-extraction of resources, can result in lowering water tables and groundwater depletion (Scanlon et al., 2016; Bhanja et al., 2017b). In situ monitoring of wells is the traditional approach for estimating groundwater storage. However, well monitoring is spatially not continuous and has a high cost at a large region. There are only scant observation stations in some areas, especially in semi-arid and arid environments, or cold climate regions covered by glacier and snowpack, due to difficulties of access and monitoring. As a result, proper groundwater management and decision-making are hampered considerably by the scarcity of data.

Remote sensing data from the Gravity Recovery and Climate Experiment (GRACE) satellite mission could be used to estimate groundwater storage at a continuous and large scale across the globe, and offers a new opportunity for groundwater storage assessment (Rodell et al., 2007). Although the GRACE satellite mission currently provides global-scale data for the detection of temporal gravity changes (Tapley et al., 2004), these temporal gravity changes are not a direct measurement of groundwater storage. A relationship would have to be established between temporal gravity changes and groundwater storage variations through the continuously evolving algorithms (Watkins et al., 2015). Estimates of groundwater storage using the remote sensing have been performed around the globe (Swenson et al., 2006; Rodell et al., 2007; Strassberg et al., 2007; Rodell et al., 2009; Tiwari et al., 2009; Scanlon et al., 2012; Shamsudduha et al., 2012; Voss et al., 2013; Bhanja et al., 2014; Richey et al., 2015; Panda and Wahr, 2016; Bhanja et al., 2016; Chen et al., 2016; Long et al., 2016; Bhanja et al., 2017b; Bhanja et al., 2018). Huang et al. (2016) used remote sensing data for computing the groundwater storage anomalies (GWSA) in order to estimate groundwater storage in Alberta. They used ground water levels at 36 wells, mostly confined to the southern Alberta region, and were correlated with both the GRACE total water storage (TWS) and groundwater storage (GWS) variations. Then they compared the TWS with groundwater levels instead of the groundwater storage and without considering surface water data due to the lack of available high resolution data.

Recent studies (e.g. Huang et al., 2015; Nanteza et al., 2017) have considered both confined and unconfined aquifers for in situ GWSA computation but they have not separated the data from the two types. The two types of aquifers have different recharge and storage patterns. Confined aquifers are overlain by relatively impermeable rock or clay, which limits vertical water infiltration, while in unconfined aquifers, vertical water infiltration can occur from precipitation, snowmelt, surface water etc. The two types of aquifers are also responding differently with effect from pumping (Alley et al., 1999). Therefore, these should be studied separately for estimating groundwater storage at a region. Further, Rodell et al. (2007) indicated the importance of surface water factors in the GWSA estimation and sought for inclusion of surface water storage variations in GWSA disaggregation. They also pointed out the importance of separating contributions to temporal mass variability using auxiliary observations and numerical models when estimating groundwater storage changes in large scale regions. In cold climate regions,

such as in Alberta, the surface water could make a significant contribution to groundwater storage variations due to the effects of climate change on snowpack, glaciers, permafrost, and wetlands. Therefore, more efforts are required to properly evaluate groundwater storage for aquifer storage coefficients in transforming groundwater level information to groundwater storage in cold climate regions (Feng et al., 2013). The main objectives of this study are:

- 1. To investigate the long term groundwater storage conditions in cold climate regions, such as the 11 river basins in Alberta, Canada, by combining all of the processing steps, such as the surface water storage estimates.
- 2. To validate the remote sensing estimates from two different remote sensing products using the maximum available in situ observation well data. The in situ groundwater storage has been estimated by combining the storage coefficients and aquifer thickness (for confined aquifers) with the water table fluctuation.
- 3. To find the role of natural hydrological components (e.g. precipitation, snowmelt, evapotranspiration) for influencing groundwater storage variations. We have also studied long-term groundwater recharge trends from a global-scale hydrological model for inferring long-term variabilities in groundwater recharge rates." [Pages: 1-3; Lines: 25-6]

SC1 Comment 5: In section 2, on lines 12-20, some information is provided about the aquifers. A map of the aquifers of Alberta could be useful. More importantly, how are the aquifers split into confined, semiconfined and unconfined?

Reply: We would like to thank Prof. Klöve for his concern. We have added two new figures within Figure 1, indicating the types of aquifer encountered and the screen depth of the wells. We have also discussed this issue in text and provided a figure and a table in supplementary information. Aquifer map development is out of the scope of this study, however, it can be found in Lemay and Guha (2009).

Table S2: Basin-wide distribution of wells screened in different types of aquifers

Basin ID	Unconfined	Semi-confined	Confined	Unclassified	Total
1				3	3
2	6		7	2	15
3	2		6		8
4	5	2	14		21

Total	24	17	100	16	157
11	1	2	17	1	21
10	1	1	7		9
9		1	4	1	6
8	2	1	6	1	10
7	3	1	4	7	15
6	1	3	16	1	21
5	3	6	19		28

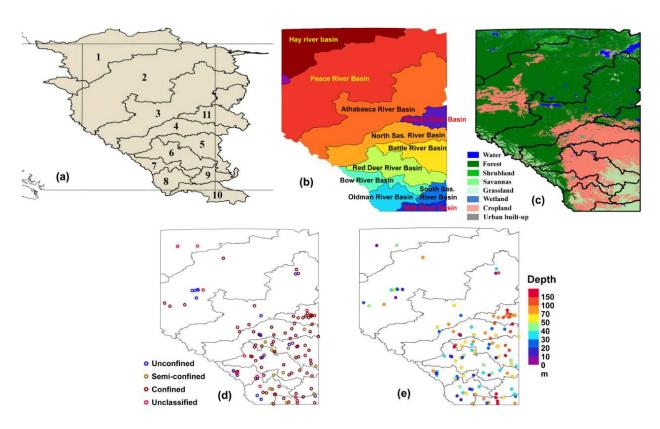


Figure 2: Major river basins in Alberta, (a) full basin extent; (b) Alberta only; (c) dominant land cover types; (d) aquifer types represented through the studied wells; (e) depth of wells screened in Alberta, overlaid by basin boundaries

"Out of the 157 measurement locations used in the study, 24 are located in unconfined aquifers, 17 are located within semi-confined aquifers, 100 are located within confined aquifers and 16

are unclassified (Figure 1d). The screen depth of the wells varies from 6 m to 220 m (Figure 1e)." [Page: 4; Lines: 7-10]

We added two paragraphs to discuss snowmelt impact and different types of aquifers.

"Another important factor influencing groundwater recharge as well as the groundwater storage, is the snowmelt processes prevailing in cold regions during the onset of spring-summer. The river basins have been receiving substantial amount of snowfall during winter months (Figure 3). This leads to snow accumulation in the region. At the end of winter season, snowmelt processes are majorly accounting for our observation of increasing GWSA in April onwards (Figure 3). The observation is in line with the observations from the earlier studies conducted within the study region (Hayashi and Farrow, 2014; Hood and Hayashi, 2015). Comparatively higher rates of precipitation during summer months and the snowmelt during the start of the summer season, are the major processes responsible for the observation of higher GWSA during summertime at the entire study region (Figure 3)." [Page: 8; Lines: 4-11]

"GWSA_{obs} values from the unconfined aquifers reflect higher magnitude than that in the confined aquifers (Figure S1). This is because of the intrinsic property of the different types of aquifers. For instance, dewatering from the saturated zone during a pumping event, is mainly responsible for the release of water in unconfined aquifer (Alley et al., 1999). On the other hand, a net decrease in groundwater potential and associated reduction in water pressure have been occurred during a pumping event in a confined aquifer. The indigenous water expands slightly due to the decrease in water pressure, leading to slight compression in the aquifer material (Alley et al., 1999). This can explain why the groundwater storage change in the confined aquifers are comparatively lower than that in the unconfined aquifers." [Page: 8; Lines: 12-19]

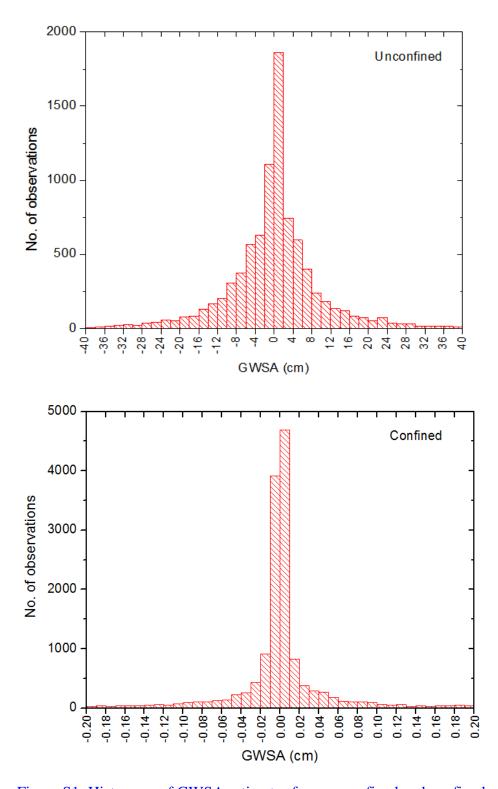


Figure S1: Histogram of GWSA estimates from unconfined and confined aquifers

SC1 Comment 6: In section 2.6, the equations 4-8 are general knowledge and should be deleted.

Reply: Following the suggestion, we have moved the Equations 4-8 to Supplementary information in the revised manuscript.

SC1 Comment 7: I feel that more information is needed on the comparison of GRACE MS and SH is needed in section 3.1.

Reply: Based on Figure 3 only, it is found that the GRACE MS and SH estimates match each other. Detailed information on comparison of GRACE MS and SH products are provided in section 3.2. We indicated this at the end of section 3.1.

"In general, the magnitude of the GWSA_{sat} compares well with that of the GWSA_{obs} (Figure 3)." [Page: 8; Line: 21]

"Overall, the two satellite based estimates are found to be closely matching with one another, detailed comparisons are provided in section 3.2." [Page: 8; Lines: 24-25]

SC1 Comment 8: Combine section 3.3-3.4. Also provide a meaningful title! RMSE etc. is not a good choice of title. Provide the result or outcome in the title.

Reply: We would like to thank Prof. Klöve for raising this concern. We have merged the three section 3.2-3.4 to make a single Section 3.2 "Comparison between observed and satellite-based GWSA". We have also modified the title of the section.

SC1 Comment 9: The section 3.6. on assumptions is quite odd. Focus perhaps on uncertainty or delete the section, or put it into section 2.

Reply: Following your suggestion, we have moved the Section 3.6 from a "Results and Discussions" section to "Materials and Methods" section. The new section number is 2.8.

SC1 Comment 10: The "conclusions" section 4 is well written.

Reply: We would like to thank Prof. Klöve for his appreciation.

References:

Peel, M.C., Finlayson, B.L. and McMahon, T.A.: Updated world map of the Köppen-Geiger climate classification, Hydrology and earth system sciences, 11, 1633-1644, 2007.

Lemay, T. G. and Guha, S.: Compilation of Alberta groundwater information from existing maps and data sources ERCB/AGS Open File Report 2009-02, ISBN 978-0-7785-6969-5 (http://ags.aer.ca/document/OFR/OFR_2009_02.PDF accessed on November 21, 2017), 2009.

Estimating long-term groundwater storage and its controlling factors in cold regions Alberta, Canada

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Abstract. Groundwater is one of the most important natural resources for economic development and environmental sustainability. However, groundwater storage can be significantly affected by climate change through permafrost thaw, snowpack change, and glacier retreat in cold climate regions, and human activities due to over-use and over extraction of resources. Therefore, it is very important to be able to estimate long term groundwater storage for biodiversity and sustainable development. In this study, we estimated groundwater storage in 11 major river basins across Alberta, Canada using a combination of remote sensing (Gravity Recovery and Climate Experiment-GRACE), in situ surface water data, and land surface modelling estimates (GWSA_{sat}). We applied separate calculations for unconfined and confined aquifers, for the first time, to represent their hydrogeological differences. Storage coefficients for the individual wells were incorporated to compute the monthly in situ groundwater storage (GWSAobs). The GWSAsat from the two satellite-based products were compared with in situ groundwater storage (GWSAobs) estimates. The estimates of GWSAsat were in good agreement with the GWSA_{obs} in terms of pattern and magnitude (e.g., RMSE ranged from 2 to 14 cm). While comparing GWSA_{sat} with GWSA_{set}GWSA_{obs}, most of the statistical analyses provide mixed responses, however the Hodrick-Presscott trend analysis clearly showed a better performance of the GRACE-mascon estimate. The results showed trends of GWSAobs depletion in 5 of the 11 basins. Our results indicate that the precipitation played an important role in influencing the GWSA_{obs} variation in 4 of the 11 basins studied. A combination of rainfall and snowmelt positively influence the GWSA obs in 6 basins. Water budget analysis showed an availability of comparatively lower terrestrial water in 9 of the 11 basins in the study period. Historical groundwater recharge estimates indicate a reduction of groundwater recharge in 8 basins during 1960-2009. The output of this study could be used to develop sustainable water withdrawal strategies in Alberta, Canada.

1 Introduction

Fresh water is an important resource for economic development and social sustainability around the world. Approximately, 1.2 billion people live in water scarce areas across the globe (UN-Water/FAO, 2007). More than a billion people lack access to safe drinking water and this number is increasing due to an increasing population (Connor, 2015). However, the effects of

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climate change on glaciers and snowpack, and human activities, such as over-use and over-extraction of resources, can result in lowering water tables and groundwater depletion (Scanlon et al., 2016; Bhanja et al., 2017b). It is reported that groundwater systems are vulnerable in some of the densely populated regions across the globe (Rodell et al., 2009; Tiwari et al., 2009; Wada et al., 2010; Scanlon et al., 2012; Bhanja et al., 2014; Richey et al., 2015; Chen et al., 2016; Bhanja et al., 2017b). Intense anthropogenic groundwater withdrawal could lead to groundwater drought in countries such as India (Goldin, 2016). Furthermore, groundwater over use and excessive pumping could lead to severe water contamination due to saltwater intrusion (Mukherjee et al., 2015; MacDonald et al., 2016) and arsenie mobility (Harvey et al., 2002; Fendorf et al., 2010).

In particular, groundwater flow in permafrost regions is restricted by the ice rich soil layers at depth, which acts as an aquiclude (Woo, 1986). Groundwater storage might be affected by the effects of climate change on the snowpack and glaciers in arid, cold climate regions, such as Alberta, Canada. For example, a significant portion of the population (approx. 20% or 600,000 people) depends on groundwater for their domestic use in Alberta (AEP, 2011). Total licensed groundwater use in Alberta was estimated to be 300 million cubic meters (MCM) in 2010 (AEP, 2011). Out of the total groundwater usage, the industrial sector accounted for 41%, followed by agriculture and domestic sectors at a rate of 23% and 19% respectively (AEP, 2011). An increased level of occurrences of Arsenic in groundwater have been reported in the Cold Lake region of Alberta, as outcome result of human activity (Javed and Siddique, 2016). Therefore, groundwater resources must be properly managed to balance the needs of domestic, industrial and irrigational water for sustainable development (Konikow and Kendy, 2005). To achieve a balance of groundwater resources, it is necessary to estimate long term groundwater storage and supply at the regional scale.

In situ monitoring of wells is the traditional approach for estimating groundwater storage. However, well monitoring is spatially not continuous and has a high cost at a large region-scale., There are only scant observation stations in some areas, especially in semi-arid and arid environments, or cold climate regions covered by glacier and snowpack, due to difficulties of access and monitoring. As a result, proper groundwater management and decision-making are hampered considerably by the scarcity of data.

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-Remote sensing data from the Gravity Recovery and Climate Experiment (GRACE) satellite mission could be used to estimate groundwater storage at a continuous and large scale across the globe, and offers a new opportunity for groundwater storage assessment (Rodell et al., 2007). Although the GRACE satellite mission currently provides global-scale data for the detection of temporal gravity changes (Tapley et al., 2004), these temporal gravity changes are not a direct measurement of groundwater storage. A relationship would have to be established between temporal gravity changes and groundwater storage variations through the continuously evolving algorithms (Watkins et al., 2015). Estimates of groundwater storage using the remote sensing have been performed been performed around the globe (Swenson et al., 2006; Rodell et al., 2007; Strassberg et al., 2007; Rodell et al., 2009; Tiwari et al., 2009; Scanlon et al., 2012; Shamsudduha et al., 2012; Voss et al., 2013; Bhanja et al., 2014; Richey et al., 2015; Panda and Wahr, 2016; Bhanja et al., 2016; Chen et al., 2016; Long et al., 2016; Bhanja et al., 2017b; Bhanja et al., 2018). Huang et al. (2016) used remote sensing data for computing the

groundwater storage anomalies (GWSA) in order to estimate groundwater storage in Alberta. In their study, tThey used ground water levels at 36 wells, mostly confined to the southern Alberta region, were and were correlated with both the GRACE total water storage (TWS) and groundwater storage (GWS) variations. Then they compared the TWS with groundwater levels instead of the groundwater storage and without considering surface water data due to the lack of available high resolution data.

-Recent studies (e.g. Huang et al., 2015; Nanteza et al., 2017) have considered both confined and unconfined aquifers for in situ GWSA computation but they have not separated the data from the two types. The two types of aquifers have different recharge and storage patterns. Confined aquifers are overlain by relatively impermeable rock or clay, which limits vertical water infiltration, while in unconfined aquifers, vertical water infiltration can occur from precipitation, snowmelt, surface water etc. The two types of aquifers are also responding differently with effect from pumping (Alley et al., 1999). Therefore, these should be studied separately for estimating groundwater storage at a region. Further, Rodell et al. (2007) indicated the importance of surface water factors in the GWSA estimation and sought for inclusion of surface water storage variations in GWSA disaggregation. They also pointed out the importance of separating contributions to temporal mass variability using auxiliary observations and numerical models when estimating groundwater storage changes in large scale regions. In cold climate regions, such as in Alberta, the surface water could make a significant contribution to groundwater storage variations due to the effects of climate change on snowpack, glaciers, permafrost, and wetlands. -Therefore, more efforts are required to properly evaluate groundwater storage for specific yield values aquifer storage coefficients in transforming groundwater level information to groundwater storage in cold climate regions (Feng et al., 2013).

The main objectives of this study are:

- To investigate the long term groundwater storage conditions in cold climate regions, such as the 11 river basins in Alberta, <u>Canada</u>, by combining all of the processing steps, such as the surface water storage estimates.
- 2. To validate the remote sensing estimates from two different remote sensing products using the maximum available in situ observation well data. The in situ groundwater storage has been estimated by combining the storage coefficients and aquifer thickness (for confined aquifers) with the water table fluctuation.

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3. To find the role of natural hydrological components (e.g. precipitation, snowmelt, evapotranspiration) in-for influencing groundwater storage variations in the studied basins. W. We have also studied long-term groundwater recharge trends from a global-scale hydrological model for inferring long-term variabilities in groundwater recharge rates.

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2 Materials and Methods

2.1 Study area

This study has been conducted in the major river basins (the map has been made following Lemay and Guha, 2009; AEP, 2011; AEP, 2017) within the province of Alberta (Figure 1a, 1b). The Peace River basin is the largest basin in the province, followed by the Athabasca River basin and Hay River basin, respectively (Table 1). Most parts of the study region have been characterized as the cold climate region (Peel et al., 2007). Basin-scale, annual average precipitation varies within 330 to 570 mm/year (Table 1). We used Global Land Cover Facility (GLCF) native resolution data (resolution: ~460 m × 460 m; www.landcover.org) for characterizing land cover (Channan et al., 2014). Most of the land areas in Alberta are covered by natural vegetation (i.e. Forest, Shrubland, mixture of Shrub and Grassland (MSG), and Grassland; Figure 1c, Supplementary Table Table S1). The second most prevalent land-cover type is cropland (Figure 1c, Supplementary Table Table S1). Surface water bodies (water and permanent wetland) cover less than 6% of the area of all the river basins (Figure 1c, Supplementary Table Table S1).

We used monthly mean precipitation data from the archives of the Climatic Research Unit (CRU), University of East Anglia. The quality controlled, gridded 0.25^0_- ×_0.250, monthly mean TS4.0 total precipitation products are used here (Harris et al., 2014). The precipitation-gauge based data was collected through the World Meteorological Organization (WMO), National Oceanographic and Atmospheric Administration (NOAA), as well as other international and national agencies across the globe for preparing this dataset (Harris et al., 2014). The precipitation data are spatially averaged in order to provide basin-scale data. CRU data have been found to have the best match of other available products while comparing with in situ precipitation measurements in China (Zhao and Fu, 2006). Precipitation data exhibits temporal as well as spatial variations in the study period with values of 150 mm/year to >1000 mm/year (Figure 2). In general, the lowest precipitation has been observed in 2004 and the highest in 2010 (Figure 2). Spatially averaged basin-scale precipitation values indicate the highest precipitation rates prevail in the North Saskatchewan River basin (basin 4, 573 mm/year; Table 1) and lowest rates prevail in the South Saskatchewan River basin (basin 9, 334 mm/year; Table 1). Precipitation rates are highly seasonal in Alberta (Figure 3).

25 2.2 In situ measurements of groundwater storage

Groundwater level (GWL) depth data are obtained from the Government of Alberta, Alberta Environment and Parks, Government of Alberta (http://environment.alberta.ca/apps/GOWN/#). Daily GWL depth data are obtained for 470 monitoring wells distributed across the province of Alberta. The data is screened for data continuity (at least 80% of the data are present in each location) within the study period 2003-2015, resulting in the use of GWL data from 157 measurement locations. Daily GWL information is converted to monthly GWL at individual locations. Because of the differences in GWS variations within different types of aquifers, these wells need to be classified as unconfined, semi-confined and confined. Out of the 157 measurement locations used in the study, 24 are located in unconfined aquifers, 17 are located within semi-

confined aquifers, 100 are located within confined aquifers and 16 are unclassified <u>(Figure 1d)</u>. <u>Basin-wide details of distribution of wells are provided in Table S2</u>. The screen depth of the wells varies from 6 m to 220 m (Figure 1e). <u>The wells located in unclassified or semi-confined aquifers are characterized as either confined or unconfined based on their location hydrogeology and screen depth.</u> For example, a well screened at a semi-confined aquifer with shallower depth and underlain by permeable materials, can be classified as an unconfined aquifer for storage calculations and vice versa.

We have studied the subsurface hydrogeology in detail using well-specific lithology information from the Government of Alberta, Alberta Environment and Parks, Government of Alberta (http://environment.alberta.ca/apps/GOWN/#). The wells located in unclassified or semi-confined aquifers are characterized as either confined or unconfined based on their location hydrogeology and screen depth. In order to compute groundwater storage anomalies (GWSA_{obs}) in an unconfined aquifer, the GWSA needs to be accurately represented using the storage coefficients of groundwater levels of the aquifer (Scanlon et al., 2012). We have followed the equation (Todd and Mays, 2005; Bhanja et al., 2017a):

$$GWSA_{obs} = (h_m \times S_v - h_i \times S_v)$$
 (

Where, h_m and h_i represent the mean GWL depth and GWL depths at different time periods at a location; S_y represents the specific yield of the aquifer. S_y is assigned to the individual data based on the specific yield of the geologic material in its screen position. Specific yield data corresponding to a specific geologic material are presented in the Supplementary Table 2Table S3.

GWSA_{obs} in a confined aquifer have been estimated following the equation (Todd and Mays, 2005):

$$GWSA_{obs} = (h_m \times S_s \times b - h_i \times S_s \times b)$$
 (2)

Where, S_s is the specific storage and b is the thickness of the aquifer. S_s of a material varies over a wide range, the details of material-specific S_s are provided in Supplementary Table 3Table S4. Thickness of the aquifer for the individual aquifer units is obtained from the Alberta Environment and Parks. Government of Alberta, Alberta Environment and Parks. The data are assigned to individual wells based on their screening zone and thickness of the particular aquifer unit.

2.3 Surface water storage processing

Surface water level daily time-series are obtained (n= 393) from the Water Office, Government of Canada (wateroffice.ec.gc.ca) for the study region. After rearranging the data based on near-continuous data availability, we used 65 locations with > 80% of the data availability range. The data are temporally averaged at each location for estimating monthly mean values. The number of locations that fall within each of the river basins are spatially averaged to obtain the month-scale spatially averaged surface water anomaly. The surface water coverage fraction varies over the study region (Figure 1c and Supplementary Table Table S1). In order to get realistic surface water storage variations, surface water area fractions have been multiplied with the spatially averaged surface water anomaly in each river basin.

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2.4 Gravity recovery and climate experiment (GRACE)

We have obtained the monthly mean liquid water equivalent thickness, $1^0 \times 1^0$ gridded data from the archives of the National Aeronautics and Space Administration's (NASA) Jet Propulsion Laboratory (JPL)-archive. JPL-mascon solutions, version RL05, was used for 137 months (the data were not available for some of the months, details can be found in Watkins et al., 2015) between January 2003 and April 2015. The GRACE mission observes changes in gravity in the Earth's subsurface and provide the data on a continuous basis. The gravity change information has been processed further in order to obtain the terrestrial water storage (TWS) change data (details can be found here: http://grace.jpl.nasa.gov/data/get-data/jpl_global_mascons/ accessed on 14 November, 2017). Satellite Laser Ranging (SLR) has been incorporated for estimating degree 2 and order 0 coefficients (Cheng and Tapley, 2004). Processes to improve the geocenter correction have been reported by Swenson et al. (2008). The Glacial isostatic adjustment (GIA) related post glacial rebound signals are removed using the process by Geruo et al. (2013). In the mascon approach, the entire globe is characterized as equally-spaced 3^0 spherical mass concentration blocks (Watkins et al., 2015). In order to improve the TWS estimates, scale factors provided with the data are multiplied (Bhanja et al., 2016). Scale factors are estimated in order to improve the performance of the TWS estimates.

The spherical harmonics (SH) related TWS information has been obtained for 137 months (between January 2003 and April 2015) from the NASA JPL archive. We used 1° × 1° gridded RL05 data sets of SH solutions (Landerer and Swenson, 2012). Three independent solutions from the Center for Space Research at the University of Texas at Austin, the NASA JPL, and the German Space Agency (GFZ), were retrieved and combined to use in this study. Like the mascon approach, several similar techniques are applied to obtain the TWS change in the SH approach (Source: http://grace.jpl.nasa.gov/data/get-data/monthly-mass-grids-land/ accessed on 14 November, 2017). Errors associated with N-S stripes in the TWS data are removed using a destriping filter. A Gaussian filter at 300-km width is also applied to the data. In order to improve the TWS estimates, the scale factor provided with the data are multiplied (Bhanja et al., 2016).

One advantage of the mascon approach is the introduction of *a priori* information that leads to the removal of correlated noise (stripes) in the data. As a result, post-processing filters are not required to be applied (Watkins et al., 2015). TWS data obtained from the mascon approach are less dependent on scale factors for estimating basin-scale mass change estimates (Watkins et al., 2015).

2.5 Estimating groundwater storage from remote sensing and global models

Satellite-based groundwater storage anomalies (GWSA_{sat}) are estimated using a mass balance approach after removing other components of the hydrological cycle from the TWSA. These components include soil moisture anomaly (SMA), anomalies in snow water equivalents (SNA), and anomalies in surface water variations (SWA). Anomalies are estimated after removing the all-time mean value from the individual monthly values for all of the components. Soil moisture and snow water equivalents data were retrieved from NASA's Global Land Data Assimilation System (GLDAS) (Rodell et al., 2004) for 148

months in the study period. The GLDAS include observation data from satellite sensors and ground-based measurements in order to improve the simulation output (Rodell et al., 2015).— Bhanja et al. (2016) reported better GWSA estimates while using a combination of data from simulations of three different land surface models (LSM), comparing the use of any single model's output. We also used a combined estimate from the outputs of the Community Land Model (CLM), Variable Infiltration Capacity (VIC), and Noah (Rodell et al., 2004). Surface water variation plays an important role in estimating GWSA. We have computed the surface water variations using in situ data, described in section 2.3. GWSA can be estimated using the following equation:

$$GWSA_{sat} = TWSA - SMA - SNA - SWA$$
 (3)

2.6 Groundwater recharge from global-scale hydrological model

In order to find the historical groundwater recharge pattern, we used a global-scale hydrological model, WaterGAP (version 2.2) (Doll et al., 2014) to estimate long-term groundwater recharge data (1960-2009). The WaterGAP simulates global-scale water storage and transport including human water use and groundwater recharge from surface water bodies at $0.5^{\circ} \times 0.5^{\circ}$ resolution (Doll et al., 2014). Water withdrawal from both groundwater and surface water have also been considered. _We used a combination of diffuse groundwater recharge and recharge from the surface water bodies, which we termed as "total groundwater recharge". As the WaterGAP simulation consider simple water balance approach for groundwater recharge estimation, uncertainties may arise as a function of groundwater table gradient (Doll et al., 2014). Furthermore, increasing groundwater recharge from surface water bodies as a function of groundwater withdrawal, has not been considered here (Doll et al., 2014). More information on model processes, data used and other details can be found in Doll et al. (2014). We used a combination of diffuse groundwater recharge from the surface water bodies, which we termed as "total groundwater recharge".

2.6-7 Statistical approaches

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In order to compare the datasets using statistically robust techniques, we have used the root mean square error (RMSE), Pearson's correlation, skewness, kurtosis, and the coefficient of variation. RMSE has been used to show the departures from the true (in situ estimates here) value (Helsel and Hirsch, 2002).

25 RMSE =
$$\sqrt{\sum_{i=1}^{n} \frac{(x_{i}-y_{i})^{2}}{n}}$$
 (4)

Pearson's correlation (r) = $\frac{\sum_{i=1}^{n} (x_{i}-\bar{x})(y_{i}-\bar{y})}{\sqrt{\sum_{i=1}^{n} (x_{i}-\bar{x})^{2}} \sqrt{\sum_{i=1}^{n} (y_{i}-\bar{y})^{2}}}$ (5)

Skewness = $\frac{\frac{1}{n} \sum_{i=1}^{n} (x_{i}-\bar{x})^{2}}{\sqrt{n-1}}$ (6)

Kurtosis = $\sqrt{\frac{1}{n} \sum_{i=1}^{n} (x_{i}-\bar{x})^{2}}$ (7)

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Coefficient of variation (CV) = $\frac{\sigma}{\mu}$ (8)

Where, x_i and y_i are the two different GWSA estimates i.e. GWSA_{obs} and GWSA_{set}, $i \in \{1, 2, 3, ..., n\}$ is the number of samples: \bar{x} and y_i indicate mean values: \bar{y} indicate standard deviation.

- The trend analyses are provided frombased on the linear regression analysis. In order to represent the non-linearity present within the data, we used the Hodrick-Prescott (HP) filter (Hodrick and Prescott, 1997), a non-parametric, non-linear trend analysis. The HP filter employs a specific approach for separating trend (T_t) and cycle (c_t) components in the data (y_t).
 - In order to estimate the trend and cycle separately, the HP filter solves the following equation (Hodrick and Prescott, 1997): Min (T) $\sum_{t=1}^{T} ((y_t - T_t)^2 + \lambda((T_{t+1} - T_t) - (T_t - T_{t-1}))^2$ (5)

10 Where T_{t+1} and T_{t-1} represents the trend component with time steps of t+1 and t-1, respectively. The long term average of the cyclical components is close to zero (Hodrick and Prescott, 1997). The smoothing parameter (λ) is a positive number that reduces the variability within cyclical components (Hodrick and Prescott, 1997). The value of λ was chosen to be 14400 for monthly data (Hodrick and Prescott, 1997; Ravn and Uhlig, 2002).

2.8 Assumptions and limitations

On the basis of data availability, we have not included the entire extent of the river basins (Figure 1a) in the current analysis. The river basins are selected based only on their geopolitical extent in the province of Alberta (Figure 1b). For in situ estimates, GWSA information is spatially averaged for providing the basin's GWSA estimates and also to compare them with the satellite-based estimates following Bhanja et al. (2017a) and Scanlon et al. (2018) etc. The time-period of the study is restricted by the availability of data. Separation of GWSA signals from TWSA by removing all other components is a
 challenging task due to the lack of in situ measurements of other components and the large uncertainties associated with LSM simulated products (Scanlon et al., 2015). We have shown the satellite-based estimates for all of the basins, however, users should be cautious to use GRACE data in the smallest basins. This is because GRACE's native resolution could not allow users to directly use the data for smaller basins. Other processes, such as, the use of GRACE and integrated land surface model's operation could make the data available to use for smaller basins (Landerer and Swenson, 2012; Watkins et al., 2015). Data processing methods Proposed by Dutt Vishwakarma et al. (2016) could be used to make the data available for smaller basins with GRACE-SH products.

3 Results and Discussions

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3.1 Groundwater storage anomalies

In situ GWSA (GWSA_{obs}) values ranged from -30 to 30 cm in all of the basins, with the highest fluctuations observed in basin 7. GWSA_{obs} exhibits near zero values in basins 5, 6, 10 and 11 (Figure 3). GWSA_{obs} magnitudes in different basins can arise as a result of diversity in specific yield values in the underlying material (Supplementary Table 2Table S3). In situ estimates show seasonality, i.e. variations with precipitation rates etc., in basin 7. Trends in the GWSA_{obs} show decreasing GWSA between 2003 and 2015 in basins 2, 3, 7, 8 and 9 (Table 1). The results indicate that GWSA_{obs} depletion is in the range of -0.20 in basins 2 and 3. It is interesting to note that the basins 7, 8 and 9 are composed of >25% cropland (Supplementary Table Table S1). On the other hand, bBasin 3 has been subjected to the highest amount of licensed groundwater withdrawal allocation in Alberta (basin 3 accounts for 39% of the total groundwater usage in Alberta). On the other hand, an increasing trend has been observed in the remaining basins (Table 1). One probable cause for the groundwater table increase in these basins could be related to precipitation variability. The study region has been subjected to large-scale drought during 1999-2005 (Hanesiak et al., 2011). As a result, the TWS recovery in 2004-2007 has also been observed by Lambert et al. (2013).

Another important factor influencing groundwater recharge as well as the groundwater storage, is the snowmelt processes prevailing in cold regions during the onset of spring-summer. The river basins have been receiving substantial amount of snowfall during winter months (Figure 3). This leads to snow accumulation in the region. At the end of winter season, snowmelt processes are majorly accounting for our observation of increasing GWSA in April onwards (Figure 3). The observation is in line with the observations from the earlier studies conducted within the study region (Hayashi and Farrow, 2014; Hood and Hayashi, 2015). Comparatively higher rates of precipitation during summer months and the snowmelt during the start of the summer season, are the major processes responsible for the observation of higher GWSA during summertime at the entire study region (Figure 3).

-GWSA_{obs} values from the unconfined aquifers reflect higher magnitude than that in the confined aquifers (Figure S1). This is because of the intrinsic property of the different types of aquifers. For instance, dewatering from the saturated zone during a pumping event, is mainly responsible for the release of water in unconfined aquifer (Alley et al., 1999). On the other hand, a net decrease in groundwater potential and associated reduction in water pressure have been occurred during a pumping event in a confined aquifer. The indigenous water expands slightly due to the decrease in water pressure, leading to slight compression in the aquifer material (Alley et al., 1999). This can explain why the groundwater storage change in the confined aquifers are comparatively lower than that in the unconfined aquifers.

Remote sensing estimates of GWSA (GWSA_{sat}) using the two different estimates assessments, GRACE-MS and GRACE-SH approaches, show temporal variations ranging from -20 to 20 cm. However, the seasonal amplitudes are not similar in different basins (Figure 3). In general, the magnitude of the GWSA_{sat} compares well with that of the GWSA_{obs} (Figure 3). GWSA_{sat} exhibits a-large amplitudes in basins 4, 7 and 8 (Figure 3). In general, the GWSA_{sat} estimates from the two

products match well with the observed estimates (Figure 3). The estimations are in line with the values reported for the Mackenzie River basin in by Scanlon et al. (2018). Overall, the two satellite based estimates are found to be closely matching with one another, detailed comparisons are provided in section 3.2.

3.2 RMSE, correlation, skewness and kurtosis Comparison between observed and satellite-based GWSA

5 Deviations from the observed values are measured by the root mean squared error (RMSE) that combines both bias and lack of precision (Helsel and Hirsch, 2002). The RMSE estimates show a good match of satellite-based GWSA estimates in comparing the in situ estimates. RMSE was found to be within 5 cm in most of the basins (Figure 4a). In general, both of the satellite-based estimates exhibit similar RMSE in basins 2, 3, 5, 6 and 11 (Figure 4a). Pearson's correlation coefficient (r) provides information on the linear association between the two variables (Helsel and Hirsch, 2002). Comparing the two products, correlation coefficients are found to be higher for the MS product in most of the basins (Figure 4b). Skewness has been used to represent the symmetricity in the data distribution (Helsel and Hirsch, 2002) and kurtosis has been used to represent the tail length of data distribution. Skewness and kurtosis have been used here in order to compare the GWSA estimates from the two satellite-based estimates with the in situ estimate. Comparing the two estimates, skewness and kurtosis analyses provide mixed results. For example, one product provides better results in some of the basins, the other in the remaining basins (Figure 4c, 4d).

3.3 Coefficient of variation (CV) and scatter analyses

Data dispersion can be measured through the coefficients of variation (CV). In general, CV data are found to match well for the two satellite-based products and the in situ estimates (Figure 5). CV data shows mixed responses when comparing the two satellite-based estimates. Scatter analysis shows the characteristic of the relationship (i.e. linear, non-linear) between the two variables (Helsel and Hirsch, 2002). Scatter analysis results do not provide any distinct comparison between the MS, SH estimates and the in situ estimate (Figure 6). The in situ data contains signatures of individual wells and, as a result, are influenced by local-scale climatic, hydrogeologic, and anthropogenic responses. However, the satellite-based estimates are providing responses from a large region and may not be influenced by local-scale fluctuations (Bhanja et al., 2016).

5 3.4 Hodrick-Prescott (HP) filter estimates

We used a non-parametric filtering (HP filter) approach for computing the trends in GWSA and compared the results with in situ estimates. HP trends of $GWSA_{obs}$ show the recent depleting trends in basins 1, 2, 3, 7 and 9 (Figure 7). In general, the HP trends of satellite-based estimates are relatively similar to each other. However, a comparatively better match of GWSA for the GRACE-MS product and in situ estimates has been observed in basins 4, 5, 6, 10 and 11. Significantly negative ($p_{value} < 0.01$) correlation has been observed for both estimates in basins 7, 8 and 9, which are subjected to irrigation with >25% land area coverage affected (Figure 1b and Supplementary Table Table S1).

3.53 GWSA and pPrecipitation and snowmelt influence on GWSA relationship

In general, precipitation is the major controlling factor for variations in water storage (Scanlon et al., 2012). In this study, we have observed that GWSA values are not directly influenced by the precipitation pattern in some of the basins (Figure 8). The HP trend analysis shows a good match of GWSA_{obs} with precipitation in basins 1 and 10 only (Figure 8, Supplementary Table 4Table S5). GWSA_{obs} trends are not following precipitation pattern in other basins (Figure 8, Table S5). The cross-correlation analysis between HP trends provide similar inferences (Supplementary Table 4Table S5). In order to investigate the relationship with more detail, the Granger causality analyses (Granger, 1988) were performed with order 1 (insignificant results were found when other orders were used). Results show precipitation significantly (p value <0.01) causes GWSA_{obs} in 4 of the 11 studied basins, basin 1, 5, 7 and 11. The results were found to be insignificant or even negatively correlative correlated in other basins (Supplementary Table 4Table S5). This implies that other factors, such as domestic and industrial water withdrawal, recharge from snowmelt etc., play a major role in influencing the GWSA in those basins.

A part of the precipitation, in particular, snowfall has little influence in modulating the groundwater storage, unless it is converted to snowmelt water. Therefore, we have studied the combined influence of rainfall and snowmelt water on

converted to snowmelt water. Therefore, we have studied the combined influence of rainfall and snowmelt water on GWSA_{pbs}. Here, the rainfall and the snowmelt water data are retrieved from the three LSMs (CLM, VIC and Noah) in GLDAS archive and used in combination. Good match between rainfall and snowmelt water, and GWSA_{pbs} have been obtained in basins 1 and 11. Cross-correlation analyses indicate similar inference (Table S6). Granger causality analyses (order 1) show the combined effect of rainfall and snowmelt water significantly causes GWSA_{obs} in 6 basins: 1, 2, 5, 7, 9 and 11 respectively. This implies that other factors, such as domestic and industrial water withdrawal; recharge from snowmelt-etc., play a-major roles in influencing the GWSA in thoseother basins.

20 3.64 GWSA and the natural water budget

Observation of a non-significant relationship of precipitation, snowmelt water and GWS anomalies in most of the basins indicated the influence of other factors controlling GWS. The natural water availability for terrestrial water components (i.e. groundwater, surface water, soil moisture, etc.) have been studied by delineating the difference (DIFF) between precipitation (P) and evapotranspiration (ET) in another way, called the net precipitation flux (Syed et al., 2005; Rodell et al., 2015). Long et al. (2014) found ET estimatesoutputs from LSMs provide the best ET estimates comparing in situ observations. We retrieved data from the simulation of the Noah land surface model, version 2.1, as a part of the GLDAS simulation (Rodell et al., 2004). The GLDAS include observation data from satellite sensors and ground-based measurements in order to improve the simulation output (Rodell et al., 2015). The DIFF data exhibit negative values during summer months (Figure 9). Comparatively lower P and higher ET values are observed in the summer months, making the DIFF negative. The basin-wise DIFF values show reducing estimates in 9 of the 11 basins with the highest being observed in the Peace River basin (basin 2), where the DIFF estimate shows a net reduction of 0.41 km³ of water between 2003 and 2015 (Table 1). Reduction in DIFF values is putting stress on terrestrial water as well as groundwater conditions in the study region. In order to find the

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historical groundwater recharge pattern, we used long-term (1960-2009) groundwater recharge data from a global-scale hydrological model, WaterGAP (details of WaterGAP simulation can be found in Doll et al., 2014). We used a combination of diffuse groundwater recharge and recharge from the surface water bodies, which we termed as "total groundwater recharge". We have studied the long-term (1960-2009) groundwater recharge occurrence from the global-scale model output because of unavailability of direct groundwater recharge measurement in the region. The simulated, historical total groundwater recharge was found to be negative in 8 out of 11 basins, suggesting a change in rechargeable water volume. Groundwater storage, being a combination of recharge from precipitation, snowmelt water and surface water bodies, the inter-aquifer flow, discharge to surface water bodies and the anthropogenic withdrawal, could be largely impacted from reductions of the first two-three terms. Increasing human activities linked with groundwater withdrawal could lead to severe groundwater stress if it continues uncontrolled.

3.7 Assumptions and limitations

On the basis of data availability, we have not included the entire extent of the river basins in the current analysis. The river basins are selected based only on their geopolitical extent in the province of Alberta (Figure 1). For in situ estimates, GWSA information is spatially averaged for providing the basin's GWSA estimates and also to compare them with the satellite-based estimates following Bhanja et al. (2017a) and Scanlon et al. (2018) etc. The time period of the study is restricted by the availability of data. Separation of GWSA signals from TWSA by removing all other components is a challenging task due to the lack of in situ measurements of other components and the large uncertainties associated with LSM simulated products (Scanlon et al., 2015).

4 Conclusions

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A network of 470-157 daily groundwater monitored monitoring wells_was used to compute groundwater storage anomalies (GWSA) in 11 major river basins in Alberta, Canada between January 2003 and April 2015. Well-specific hydrogeology information and separate treatment of the unconfined and confined aquifers were used for the calculation. Results show that the GWSA trends exhibit depletion in some of the basins that are dominated by anthropogenic groundwater withdrawal, either from irrigational use or domestic and industrial uses. A GWSA depletion rate has been observed as high as -0.20 cm/year in the Athabasca River basin. The GWSA estimates obtained from remote-sensing probes provided opportunities to evaluate groundwater conditions in remote, ungauged regions. We used two recently released satellite products for estimating GWSA_{sat} in the studied basins. A combination of surface water measurements (n=393) and land surface model estimates of soil moisture and snow water equivalents were used. In general, our-the remote sensing estimates are in good agreement with that of the observed estimates, implying that remote sensing estimates could be used in future to monitor groundwater storage in the region at a near-continuous rate. We have investigated the influence of precipitation and snowmelt water on GWSA variations. Results show that precipitation caused significant GWSA variations in 4 out of 11

studied basins. A combination of rainfall and snowmelt water causes significant GWSA variations in 6 basins, indicating prevalence of other factors for influencing GWSA in the remaining basins. Water budget analysis of terrestrial water availability show reductions of available water during the study period in 9 basins. Results indicate groundwater recharge rates have been decreasing from 1960-2009 in 8 of the basins studied. Outputs of this study may be used to frame sustainable water withdrawal strategies in Alberta, keeping in mind the available water for groundwater recharge.

Acknowledgements and Data

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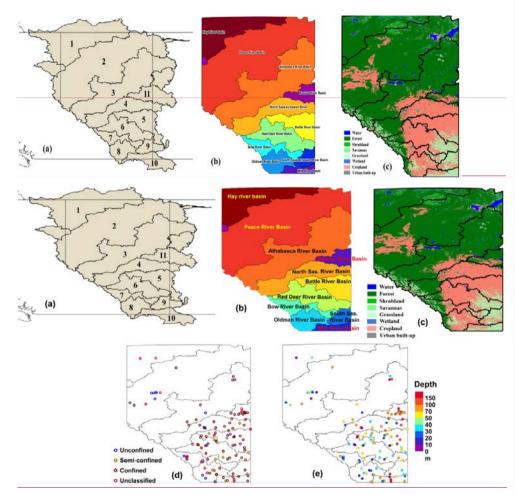


Figure 1: Major river basins in Alberta, (a) full basin extent; (b) Alberta only; (c) dominant land cover types; (d) aquifer types represented through the studied wells; (e) depth of wells screened in Alberta, overlaid by basin boundaries

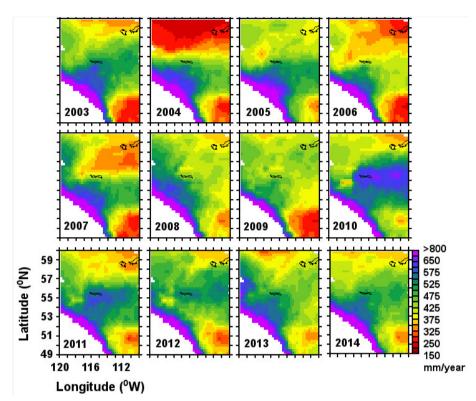


Figure 2: Annual precipitation rates (mm/year) in Alberta between 2003 and 2014

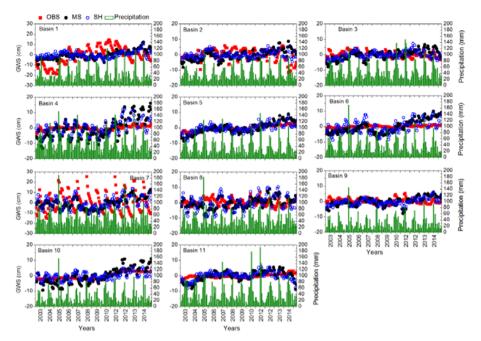


Figure 3: Basin-wise, monthly time-series of in situ GWSA (OBS, red squares), GWSA obtained using GRACE mascon product (MS, black filled circles) and GRACE SH products (SH, blue open circles), respectively. Monthly, spatial averaged precipitation data are shown using green columns

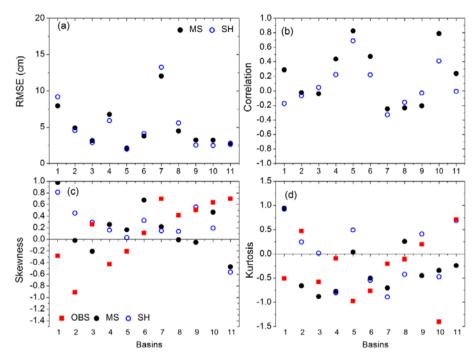


Figure 4: Basin-wise estimates of (a) RMSE; (b) correlation; (c) skewness and (d) kurtosis

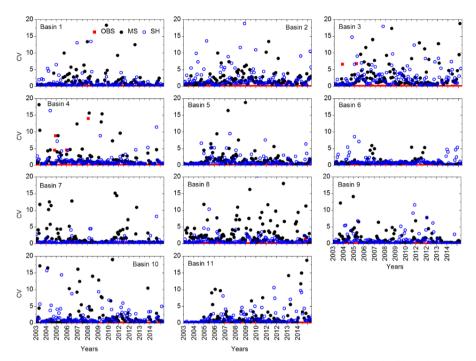


Figure 5: Basin-wise coefficient of variation (CV) analysis for in situ GWSA (OBS, red squares), GWSA obtained using GRACE mascon product (MS, black filled circles) and GRACE SH products (SH, blue open circles), respectively

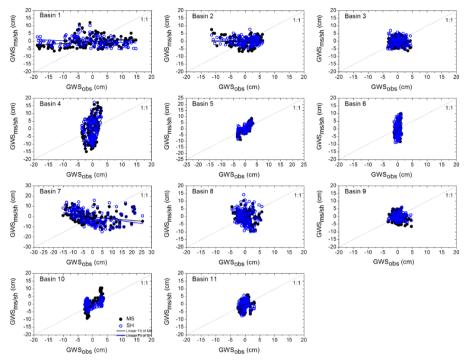


Figure 6: Basin-wise scatter analysis of in situ GWSA with the GWSA obtained using GRACE mascon product (MS, black filled circles) and GRACE SH products (SH, blue open circles), respectively

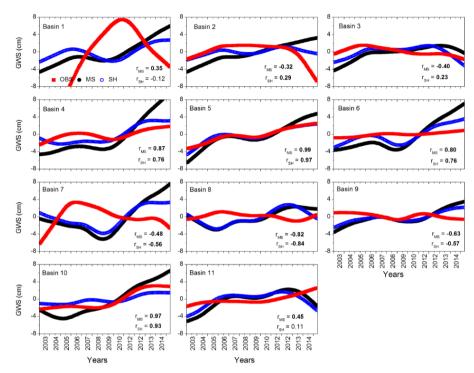
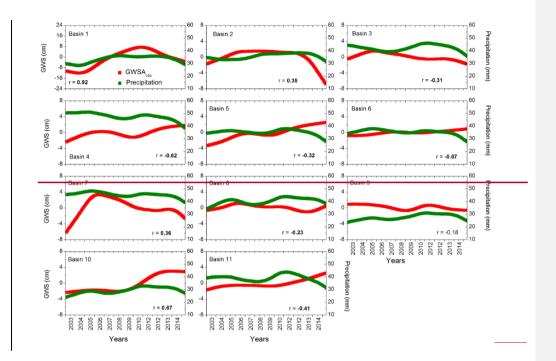


Figure 7: Basin-wise time-series of HP filter data for in situ GWSA (OBS, red squares), GWSA obtained using GRACE mascon product (MS, black filled circles) and GRACE SH products (SH, blue open circles), respectively. Pearson's correlation coefficient values are provided in in-set and statistically significant ($p\ value < 0.01$) values are shown in bold font



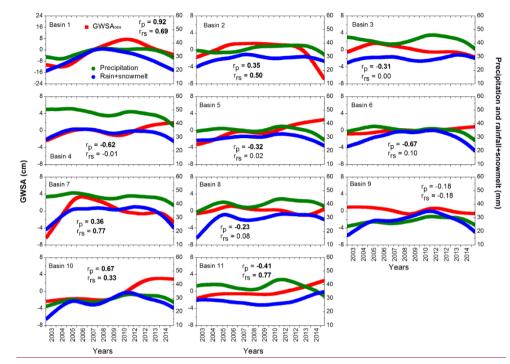


Figure 8: Basin-wise time-series of HP filter data for in situ GWSA (OBS, red squares), and precipitation data (green circles) and rainfall+snowmelt data (blue circles). Pearson's correlation coefficient (r) values are provided in in-set and statistically significant (p value < 0.01) values are shown in bold font, rp and rs indicate correlation between GWSA, and precipitation and rainfall+snowmelt, respectively

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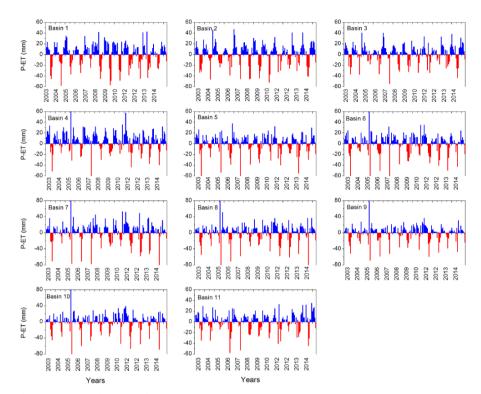


Figure 9: Basin-wise time-series of P-ET values. Positive values are shown in blue colour and negative values are shown in red colour

Table 1: Details of the river basins used (within Alberta only), number of wells used, precipitation and GWSA_{obs} trends (statistically significant (p value< 0.01) trend estimates are shown in bold font)

5

Basin	Basin na	me	Ocean	Basin Area (m²)	Number of	Precipitation	GWSAobs
ID					wells	(mm/year)	trends
							(cm/year)
1	Hay River Basin		Arctic	66196942347	3	401	1.22
			Ocean				
2	Peace	River	Arctic	213025952509	15	429	-0.19
	Basin		Ocean				

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	3	Athabasca River		Arctic	144499671762	8	508	-0.19
l		Basin		Ocean				
	4	North		Hudson	57046775461	21	573	0.21
l		Saskatchewan		Bay				
		River						
Ī	5	Battle	River	Hudson	36561280700	28	424	0.43
l		Basin		Bay	Bay			
	6	Red Deer	River	Hudson	50024664775	21	425	0.12
l		Basin		Bay				
	7	Bow	River	Hudson	25639800168	15	546	-0.04
1		Basin		Bay				
	8	Oldman	River	Hudson	27023265616	10	486	-0.08
1		Basin		Bay				
	9	South		Hudson	13504374212	6	334	-0.10
l		Saskatchewan		Bay				
		River Bas	in					
	10	Milk	River	Hudson	11833516877	9	353	0.52
l		Basin		Bay				
	11	Beaver	River	Hudson	16904014071	21	469	0.24
l		Basin		Bay				