## Dear Editor and Reviewers:

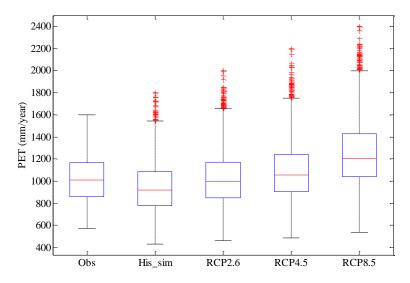
On behalf of all the contributing authors, I would like to express our sincere appreciations of your letter and reviewers' constructive comments concerning our article entitled "Responses of runoff to historical and future climate variability over China" (Manuscript No.: hess-2017-98). Those comments are all valuable and very helpful for revising and improving our paper, as well as the important guiding significance to our researches. We have studied comments carefully and have made correction which we hope meet with approval. In this revised version, changes to our manuscript were all highlighted within the document by using red colored text. Point-by-point responses to Referee #2 are listed below this letter.

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# **Anonymous Referee #2:**

1. I'm glad my previous comments were found to be useful. I can fully understand why the authors have not wished to repeat the future projections using the Penman method applied to the original CMIP5 projections (this would be a large undertaking) and to makes sense to try to use an approproximation for this by adjusting the Thornwaite-based results. It seems that this works reasonably well for the range of PET seen in the historical period. However, I think it is important to know how well this cover the range of PET projected for the future - if the maximum future PET is within or only slightly above the range tested in figure 3, then we can probably be confident that this method yields a good estimate of the what a Penman-based estimate would give for the future. However, if the future PET extends well above this range, the projections should therefore be viewed with more caution. Therefore I recommend that the authors give some information on the range of PET projected for the future, preferably shown on figure 3 in some way, so that the reader is aware of possible limitations of this approximation.

Response: Thank you for your nice comments. In the revised manuscript, we used a multiplicative correction method (equation (1)) to correct the *PET* that calculated from the Thornthwaite method. The results indicated that the corrected annual *PET* shows a good agreement with that calculated by the Penman method, suggesting that the multiplicative correction method is reasonable for predicting future *PET* of the GCMs (Figure 3). Figure 3 shows a comparison of annual *PET* of the period 1960–2008 calculated from the Penman method and the Thornthwaite method corrected by the multiplicative correction method. We failed to give some information of future annual *PET* of GCMs on Figure 3, since there are no estimates of future *PET* from the Penman method. However, we make a comparison of corrected mean annual *PET* from observation and the CMIP5 multi-model ensemble. As shown in Figure S1, the historical range of *PET* (1971–2000) from the CMIP5 multi-model ensemble shows a good agreement with the observational range (1960–2008). Under the future scenarios, the range of *PET* projected is slightly above that of the historical period due to climate warming. This further indicates that the multiplicative correction method used is reasonable for estimating future *PET* of the GCMs.



**Figure S1**. Box plots of mean annual *PET* for all 0.5° grid points over China during the observation period (1960–2008), historical simulation period 1971–2000 (His\_sim) and future period (2071–2100) under three emission scenarios (RCP2.6, RCP4.5, and RCP8.5) of the CMIP5 multi-model ensemble. The *PET* is calculated from the Thornthwaite method and corrected by the multiplicative correction method.

2. I think it would also be worth explaining why Thornthwaite was used in the first place. e.g. is it following on form previous work?

Response: Thank you for your nice comments. We have made an explanation on the use of Thornthwaite method for predicting future *PET* in the revised manuscript (line 117).

3. In lines 345-346 of the revised manuscript, the authors say:

"Therefore, a more physically-basedbased PET calculation method (such as the Penman method) needs to be considered in the GCMs". I don't think "in the GCMs" is really what is meant here, as the authors are referring to their own calculations not those carried out \*in\* the GCMs - the GCM land surface schemes make their own calculations of ET, but those are not used here. The phrase "in the GCMs" should therefore be dropped from this sentence.

Response: Thank you for your nice comments. We have removed "in the GCMs" from this sentence.

4. Finally, a technical correction is needed, which I must admit I noticed first time but forgot to include in my previous review (apologies). In Table 1, the resolution of all the CMIP5 GCMs is given as 1\*1 degree. However, this is not the resolution of the GCMs - I assume that it must be the resolution of the post-processed data obtained by the authors via the Canadian Climate Centre (presumably the data have been re-gridded from the native resolution to 1 degree resolution). This should be clarified.

Response: Thank you for your nice comments. The CMIP5 GCMs data, that we used, are statistically downscaled and regridded onto a common  $1^{\circ}\times 1^{\circ}$  global grid by the Canadian Climate Data and Scenarios (CCDS). We have made a clarification for this point on the caption of Table 1 in the revised manuscript according to your good suggestions.

# 1 Responses of runoff to historical and future climate variability

# 2 over China

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Abstract. China has suffered some of the effects of global warming, and one of the potential implications of climate

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- 10 warming is the alteration of the temporal-spatial patterns of water resources. Based on the long-term (1960-2008) water budget data and climate projections from 28 Global Climate Models (GCMs) of the Coupled Model Intercomparison Project 11 Phase 5 (CMIP5), this study investigated the responses of runoff (R) to historical and future climate variability in China at 12 13 both grid and catchment scales using the Budyko-based elasticity method. Results show that there is a large spatial variation 14 in precipitation (P) elasticity (from 1.1 to 3.2) and potential evaporation (PET) elasticity (from -2.2 to -0.1) across China. The P elasticity is larger in northeast and western China than in southern China, while the opposite occurs for PET elasticity. 15 16 The catchment properties elasticity of R appears to have a strong non-linear relationship with the mean annual aridity index 17 and tends to be more significant in more arid regions. For the period 1960–2008, the climate contribution to R ranges from 18 -2.4 % yr<sup>-1</sup> to 3.6 % yr<sup>-1</sup> across China, with the negative contribution in northeast China and the positive contribution in western China and some parts of the southwest. The results of climate projections indicate that although there is large 19 uncertainty involved in the 28 GCMs, most project a consistent change in P (or PET) in China at the annual scale. For the 20 21 period 2071-2100, the mean annual P is projected to increase in most parts of China, especially the western regions, while 22 the mean annual PET is projected to increase in all of China, particularly the southern regions. Furthermore, greater
- Key words: Runoff; Budyko hypothesis; climate elasticity; climate variability; CMIP5 GCMs; China

# 1 Introduction

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Climate change has become increasingly significant (IPCC, 2013), and numerous studies have reported that climate warming is likely leading to the alteration of the hydrological cycle (Oki and Kanae, 2006; Jung et al., 2010). The dynamic properties of the hydrological cycle are governed by the interactions and feedbacks between atmospheric and land surface hydrologic

increases are projected for higher emission scenarios. Overall, due to climate change, the arid regions and humid regions of

China are projected to become wetter and drier in the period 2071–2100, respectively (relative to the baseline 1971–2000).

processes on a catchment scale. The potential consequences of anthropogenic climate change on the hydrological cycle have received significant attention over the last two decades (Wang et al., 2012; IPCC, 2013).

Runoff (*R*), as a commonly adopted indicator of the hydrologic cycle, is critical to human lives and economic activities (Milly et al., 2005). There is a great deal of previous work exploring the impact of climate variations on *R*, with the motivation stemming from the region's vast resources (Christensen et al., 2004; Guo et al., 2009, Piao et al., 2010; Chen et al., 2012; Harding et al., 2012; Wang et al., 2012; Xu et al., 2013b), dangers of flooding (Kay et al., 2006, 2009, 2012; Raff et al., 2009; Liu et al., 2013; Xiao et al., 2013; Wang et al., 2013; Smith et al., 2014; Wu et al., 2014, 2015), and agricultural water uses (Vano et al., 2010). The most common practices in these previous studies are to use the hydrological models driven by the output from Global Climate Models (GCMs) to simulate the hydrological process (e.g., *R*) under future climate change scenarios. However, the key issue faced by such studies is the need to convert coarse resolution GCM outputs to local catchment-scale climatic variables at a higher spatial resolution to serve as the input to a hydrological model (Vano et al., 2015; Wu et al., 2015). The impact assessments are resource intensive and usually subject to uncertainties related to the choice of hydrological model, GCMs, emissions scenarios, and downscaling techniques (Vano et al., 2014, 2015).

With the uncertainty in *R* due to climate change, simple tools able to provide robust estimates of this impact are essential to support policy and planning decisions. Climate elasticity, as an important indicator, provides a measure of sensitivity of the changes in *R* due to the changes in climate. Schaake (1990) made the first attempt to introduce the concept of elasticity and related the climate elasticity of *R* to precipitation (*P*). Since then numerous climate elasticity methods have been developed for evaluating the hydrologic response to climate change all over the world (Schaake, 1990; Dooge et al., 1999; Sankarasubramanian et al., 2001; Milly and Dunne, 2002; Fu et al., 2007; Zheng et al., 2009; Ma et al., 2010; Yang and Yang, 2011; Yang et al., 2014; Vano et al., 2015). Sankarasubramanian et al. (2001) provided a detailed category of climate elasticity methods for modelling climate change impacts. One of the most common methods is to analytically derive the sensitivity of *R* based on the Budyko hypothesis, due to its clear theory and that it does not rely on a large amount of data (Yang and Yang, 2011). More importantly, the Budyko-based elasticity method can derive the climate elasticity and can also represent the impact of the catchment characteristics through the parameters of the Budyko model. Accordingly, it is widely applied for the assessment of the hydrologic impacts of climate change (Dooge et al., 1999; Zheng et al., 2009; Yang and Yang, 2011; Yang et al., 2014).

China is a vast land, spanning many degrees of latitude with complicated terrain, which results in a large regional variation in climate elasticity. The investigation of the P elasticity of R has been reported in many regions of China, such as the Miyun

Reservoir basin (Ma et al., 2010), Luan River basin (Xu et al., 2013a), the headwater catchments of the Yellow River basin (Zheng et al., 2009), Poyang Lake basin (Sun et al., 2013), and Hai River and Yellow River basins (Yang and Yang, 2011; Liu and McVicar, 2012). Recently Yang et al. (2014) investigated the climate elasticity of *R* for the 210 catchments of China based on the Budyko-based elasticity approach. The results indicated that the *P* elasticity exhibits a large regional variation, with a small range in southern China, the Songhua River basin and the northwest and a large range in the Hai River basin, the Yellow River basin, and the Liao River basin. Although the aforementioned studies have certainly made advances in understanding the climate elasticity of *R* in China, our knowledge about the responses of *R* to climate change over various temporal and spatial scales remains rather limited due to the large regional variation in climate types and catchment characteristics. The question of how climate change will affect *R* over China in the future is also an important problem to be addressed. Developing a more accurate and quantitative understanding of the changing water resources over various temporal and spatial scales under a changing environment is therefore a high priority for China.

Based on the unique long-term (1960–2008) land surface dataset of China and the climate projections from 28 GCMs of the Coupled Model Intercomparison Project Phase 5 (CMIP5), the objectives of this research are (1) to investigate the changes of R and climate variables and their relationship at an interannual scale; (2) to estimate quantitatively the climate elasticity and catchment properties elasticity of R across China at both grid and catchment scales; and (3) to predict climate change and the changes in R due to future climate change for China from the CMIP5 projections at both grid and catchment scales.

## 2 Data and methodology

# 2.1 Data sets

Monthly data of potential evaporation (*PET*) covering the period 1960–2008 over China are provided by the Hydroclimatology Group of Princeton University (Sheffield et al., 2006, 2012). The *PET* is estimated by the Penman equation (Penman, 1948; Shuttleworth, 1993), using the updated meteorological dataset obtained from Sheffield et al. (2006, 2012). A long-term (1960–2008) daily land surface dataset over China, including *P*, surface runoff (*RS*), and baseflow (*BS*), with a 0.25 degree spatial resolution were obtained from the Land Surface Processes and Global Change Research Group (Zhang et al., 2014). In this dataset, *P* is driven by interpolating gauged daily precipitation from 756 meteorological stations of the Chinese Meteorological Administration (CMA). *RS* and *BS* are derived from the Variable Infiltration Capacity (VIC) model forced by the gridded daily climate forcings (i.e. *P*, maximum and minimum temperature, and wind speed). VIC model parameters, including the infiltration shape parameter, the second and third soil layer depths, and the three parameters in the base flow scheme, were estimated by using an optimization algorithm of the multi-objective complex evolution of the University of Arizona (Zhang et al., 2014). The simulated monthly *RS* and *BS* match well with the observations at the large

river basins in China (Zhang et al., 2014). Compared with the global product of a similar nature, this dataset provides a more reliable estimate of land surface variables over China (Nijssen et al., 2001; Adamet al., 2006; Rodell et al., 2004; Sheffield et al., 2006; Sheffield and Wood, 2007; Pan et al., 2012). In this study, the data of *P*, *RS*, and *BS* are initially regridded onto 0.5° grids over China using the linear interpolation method. All the daily data (*P*, *RS*, and *BS*) and monthly data (*PET*) are then aggregated temporally for the annual scale over China. The *R* was calculated by the sum of *RS* and *BS* at each of the 0.5° grid points.

Climate projections from 28 CMIP5 GCMs (as shown in Table 1) are provided by the Canadian Climate Data and Scenarios (CCDS, <a href="http://www.cccsn.ec.gc.ca/index.php?page=gridded-data">http://www.cccsn.ec.gc.ca/index.php?page=gridded-data</a>). These data, including simulations of surface air temperature (T), P, sea ice thickness, sea ice concentration, snow depth, and near-surface wind speed, are statistically downscaled and regridded onto a common  $1^{\circ}\times 1^{\circ}$  global grid by the CCDS. In this study, monthly P and monthly T over China, including one historical simulation for the period 1971-2000 and three emission scenarios (RCP2.6, RCP4.5, and RCP8.5) for the future period 2071-2100 from each of the 28 CMIP5 models and the multi-model ensemble of 28 CMIP5 models, are used for the projections of climate change. The data are initially disaggregated to  $0.5^{\circ}$  grids over China then corrected by using a 'delta change' method (Wu et al., 2016), on the basis of the observed data of P and T as provided by the Climatic Research Unit (CRU) of the University of East Anglia (Harris et al., 2014).

Figure 2 shows the comparison of observed mean annual T and P and the corresponding simulations from 28 CMIP5 models before and after bias correction for the 14 basins in China. The basin number is consistent with that given in Figure 1. As shown, the uncorrected model simulations tend to underestimate T and overestimate P for most of the basins, with more uncertainties for the simulation of P than for T. Compared to the uncorrected model results, the bias correction results represent large improvements and show a good agreement with the observed values for these basins. Therefore, the bias correction model simulations are acceptable for the investigation of climate change projections in this study.

As the GCM data used only consist of *P* and *T*, the *PET* of GCM is estimated by the Thornthwaite method (Thornthwaite, 1948) and then corrected by a multiplicative bias correction method as follows:

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$$PET_{cor,GCM,i} = PET_{Th,GCM,i} \times \frac{\overline{PET}_{Pen,obs,i}}{\overline{PET}_{Th,obs,i}}$$
 (1)

where  $PET_{cor,GCM,i}$  and  $PET_{Th,GCM,i}$  are bias-corrected annual PET and the PET calculated from the Thornthwaite method,

respectively, for the *i*th grid point of the GCMs.  $\overline{PET}_{Pen,obs,i}$  and  $\overline{PET}_{Th,obs,i}$  are the 49-year (1960–2008) averages of PET calculated from the Penman and Thornthwaite methods, respectively, for the *i*th grid point.

Based on the *T* data from the CRU, the Thornthwaite method is used to calculate *PET* to test the applicability of Equation (1).

Figure 3 shows a comparison of annual *PET* calculated from the Penman method and that from the Thornthwaite method corrected by Equation (1) during the period 1960–2008. It is clear that the corrected *PET* agrees well with the *PET* from the Penman method, with the correlation coefficients of 0.94 and 0.958 at the catchment and grid scales, respectively. This suggests that Equation (1) can be acceptable for the bias correction of *PET* in the GCMs.

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# 2.2 Sensitivity of runoff to climate and catchment properties

- The Budyko framework has been widely used to study basin-scale water and energy balances. Two of the one-parameter formulations of the Budyko curve proposed by Choudhury (1999) (Equation (2), see also Yang et al., 2008) and Fu (1981)
- 133 (Equation (3), see also Zhang et al., 2004) are expressed as:

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$$E = P \frac{PET}{(P^n + PET^n)^{1/n}}, \quad n \in (0, \infty)$$
 (2)

$$E = P + PET - (P^{\omega} + PET^{\omega})^{1/\omega}, \ \omega \in (1, \infty)$$
(3)

where n and  $\omega$  are empirical parameters, representing the effects of other factors (e.g. land surface characteristics, the average slope, vegetation type or land use, and climate seasonality) on the water-energy balance (Yang et al., 2008, 2014; Roderick and Farquhar, 2011; Li et al., 2013a). Yang et al. (2008) calibrated the parameters n and  $\omega$  using long-term water balance data from 108 catchments from the nonhumid regions of China and found that these two empirical parameters are linearly correlated.

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Based on the Budyko hypothesis and assuming steady state conditions, Roderick et al. (2011) and Yang and Yang (2011) derived the elasticity method to estimate the contribution to *R* from the changes in climate (represented by *P* and *PET*) and catchment properties as follows:

$$\frac{dR}{R} = \varepsilon_P \cdot \frac{dP}{P} + \varepsilon_{PET} \cdot \frac{dPET}{PET} + \varepsilon_n \cdot \frac{dn}{n}$$
 (4)

- where  $\varepsilon_P$ ,  $\varepsilon_{PET}$ , and  $\varepsilon_n$  represent the elasticity coefficients of P, PET, and catchment properties respectively, and are expressed as:
- $\varepsilon_{P} = \frac{P}{R} (1 \frac{\partial E}{\partial P}) \tag{5a}$

$$\varepsilon_{PET} = -\frac{PET}{R} \frac{\partial E}{\partial PET}$$
 (5b)

$$\varepsilon_n = -\frac{n}{R} \frac{\partial E}{\partial n}$$
 (5c)

where  $\frac{\partial E}{\partial P}$ ,  $\frac{\partial E}{\partial PET}$ , and  $\frac{\partial E}{\partial n}$  denote the first order partial derivatives of the Budyko equation with respect to P, PET, and

the parameter n. In this study, both Equations (2) and (3) are used for the estimation of the elasticity of P, PET, and catchment properties over China.

#### 2.3 Trend estimate method

The Mann-Kendall (M-K) nonparametric test (Mann, 1945; Kendall, 1975) is an effective tool for detecting the statistical significance of trends in the time series of meteorological and hydrological variables (Yang et al., 2014; Wu and Huang, 2015). In this study, the M-K method is used to detect the significance of monotonic trends in hydroclimatic time series. The nonparametric trend slope estimator developed by Sen (1968) is used for the magnitude estimation of the trends in a hydroclimatic time series.

#### 3 Results

#### 3.1 Interannual variability of climatic variables and runoff

The standard deviations for annual P, PET, and R are computed for each of the 0.5° grids in China, and the PET deviation ratio ( $\sigma_{PET}/\sigma_P$ ) and the R deviation ratio ( $\sigma_R/\sigma_P$ ) are calculated. The spatial distributions of PET deviation ratio and R deviation ratio across China are displayed in Figure 4(a) and (b). As shown, the PET deviation ratio is rather small in most parts of China, especially the southern regions, while a larger value is observed mainly in the Xinjiang region, where there are greater aridity indices. Generally, atmospheric water is enough to accommodate the limited PET in humid climates, which would lead to a limited response of PET to P variability. Specifically, the interannual variability of PET is more sensitive to that of P in arid climates (with water limits) than in humid climates (with energy limits). In contrast to the PET deviation ratio, the R deviation ratio tends to increase from arid climates to humid climates. The reason for this is that, in arid climates, the catchment water supply is very limited and gives priority to evaporation and soil storage capability, which leads to little variation in R.

Figure 4(c) shows the relationship between the R deviation ratio and mean annual aridity index  $(\overline{\phi})$  for all 0.5° grids in China. As indicated,  $\overline{\phi}$  is a major control for the R deviation ratio under not very dry conditions (e.g.  $\overline{\phi}$  <10); that is, the R deviation ratio decreases with increased  $\overline{\phi}$ . However, under very dry conditions (e.g.  $\overline{\phi}$  >10) the R deviation ratio becomes

insensitive to  $\overline{\phi}$ , since in this case, other factors, such as soil storage capacity, can also have a large impact on the variation of R.

# 3.2 Sensitivity of runoff to climate and catchment properties

#### 3.2.1 Climate elasticity

The P elasticity and PET elasticity of R based on Equations (2) and (3) are estimated at each of the  $0.5^{\circ}$  grids in China. As shown in Figure 5, the spatial patterns of P elasticity and PET elasticity from Equations (2) and (3) are almost the same in all regions of China. There is a large spatial variation in P elasticity and PET elasticity, i.e. ranging from 1.1 to 3.2 and from -2.2 to -0.1 across China, respectively. In particular, P elasticity is more significant in the northeast and western areas than in southern China, which is in contrast to PET elasticity. Figure 6 shows the relationship between  $\overline{\phi}$  and climate (P and PET) elasticity. As shown, the P (PET) elasticity first increases (decreases) and then decreases (increases) with the increase of  $\overline{\phi}$  under not very dry conditions (i.e.  $\overline{\phi} < 10$ ). However, when  $\overline{\phi}$  becomes large enough (e.g.  $\overline{\phi} > 10$ ), both P and PET elasticity becomes insensitive to  $\overline{\phi}$ .

The climate elasticity estimated for each of the 14 large basins is shown in Table 2. The values of P elasticity are in the range of 1.39–2.28, with a larger (~smaller) elasticity in the Haihe River and Inner Mongolia River (Southwest Drainage). A similar phenomenon is found for PET elasticity, which suggests that Haihe River (Southwest Drainage) is the most (least) sensitive to PET among the 14 basins. Overall the values of P elasticity and PET elasticity derived by Equation (2) are very close to those from Equation (3), but the difference between them tends to be larger for dry basins with increasing aridity indices.

By using the estimates of climate elasticity derived by Equation (2), the change in R as a function of the percentage change in P and PET is calculated for the 14 basins (Figure 7). The R is positively related to P and negatively related to PET, and the magnitudes and patterns of the response of R to changes in P and PET vary in different scales. Generally, the R is more sensitive to climate in the Haihe River and Inner Mongolia River, while relatively weak sensitivity is found in the Southwest Drainage and Yangtze.

# 3.2.2 Catchment properties elasticity

The spatial distributions of catchment properties elasticity from Equations (2) and (3) are displayed in Figure 5(e) and (f). As shown, the catchment properties elasticities for these two equations are rather similar across China, and the values of

Equation (3) are generally smaller than those from Equation (2). Regarding the spatial pattern, the catchment properties elasticity is very weak (approximately equal to 0) in southern China and some regions of northeast China, but it tends to be more significant in some water-limited regions of northwest China. Figure 6(c) shows the relationship between  $\overline{\phi}$  and the parameter elasticity for all 0.5° grids in China. It suggests that  $\overline{\phi}$  is a major control for catchment properties elasticity across China, i.e. the catchment properties elasticity would become stronger with increasing aridity indices. The catchment properties elasticities estimated for the 14 large basins are shown in Table 2. The catchment properties elasticity shows a large spatial variation, ranging from -2.78 to -0.24 for Equation (2) and from -4.3 to -0.33 for Equation (3). Overall, the changes in R are more sensitive to catchment properties in arid basins with larger aridity indices, which is consistent with the findings at the grid scale.

#### 3.3 Climate change during 1960-2008

The annual trend magnitudes in P, R, PET, and aridity index during the period 1960–2008 are shown in Figure 8 (a), (b), (c), and (d). As indicated, both P and R show an increasing trend mainly in the northwest and southeast regions and a decreasing trend mainly in the central region and North China plain. A significant increasing in PET is detected mainly in northeast China and eastern China, while the decreases mainly occur in most parts of western China. The aridity index tends to show an increasing trend in most parts of China, indicating an increasing risk of meteorological drought in these regions during the past several decades. In contrast, the decrease of aridity index is only found in some parts of western China.

#### 3.4 Changes in runoff due to climate change during 1960-2008

Using the estimates of climate elasticity from Equation (2), the contributions of P, PET, and climate (i.e. P&PET) to R in China for the period 1960–2008 are calculated (as shown in Figure 8(e), (f), and (g)). A positive contribution (up to 3.7 %  $yr^{-1}$ ) from P to R is mainly recorded in western China, while a negative contribution is found mainly in northeast China and North China plain. Negative and positive contributions of PET to R mainly occur in northeast China and western China, respectively. The contributions of climate, i.e. the sum of the contributions from P and PET, ranges from -2.4 %  $yr^{-1}$  to 3.6 %  $yr^{-1}$  across China. The spatial pattern of climate is rather similar to that of P, showing a negative contribution in northeast China and a positive contribution in western China and some parts of the southeast. Particularly, the largest positive contribution of climate occurs in the Tibetan plateau. The contributions of P, PET, and climate (i.e. P&PET) to R in the 14 river basins for the period 1960–2008 are shown in Table 3. A positive contribution of P is detected in Southeast Drainage, Southwest Drainage, Qiangtang, Qinghai, Xinjiang and Hexi, while an opposite contribution is found in other basins. In contrast, a negative contribution of PET is found in most of the basins (except for Qiangtang and Hexi). In general, there is an increased R in Southeast Drainage, Southwest Drainage, Qiangtang, Qinghai, Xinjiang and Hexi (from 0.06 to 1 %  $yr^{-1}$ )

and a decreased R in other basins (from -1.12 to -0.12 % yr<sup>-1</sup>).

#### 3.5 Future climate change

Figure 9 shows the uncertainty range of the relative change in mean annual *P* and *PET* in the basins for the period 2071–2100 under the RCP2.6, RCP4.5, and RCP8.5 scenarios as predicted by 28 CMIP5 models (relative to the baseline 1971–2000). As shown, there is a large difference between different GCMs and emission scenarios, which highlights the uncertainty inherent in projections of climate change. However, overall *P* is projected to increase in most of the basins, and greater increases are projected for higher emission scenarios. Meanwhile, greater increases tend to be projected for more arid basins, suggesting a decreasing risk of meteorological drought in the future. The average changes (red dotted lines) of mean annual *P* for the 14 basins range from 2.4 % to 11.0 % in RCP2.6, from 4.2 % to 16.0 % in RCP4.5, and from 3.1 % to 23.7 % in RCP8.5. The largest increase in the RCP2.6 and RCP8.5 scenarios is found for the Qinghai River, while the largest increase in the RCP4.5 scenario is projected for the Hexi River. For *PET*, there is an increase projected in all basins due to climate warming, with the largest and smallest increases in the RCP8.5 and RCP2.6 scenarios, respectively. However, a large uncertainty exists among the GCMs, which is similar to that for *P*. Furthermore, the uncertainty range tends to be larger with higher emission scenarios. The average changes (red dotted lines) of *PET* for the basins range from 7.0 % to 12.0 % in RCP2.6, from 13.5 % to 22.2 % in RCP4.5, and from 27.9 % to 49.8 % in RCP8.5. The largest and smallest average increases are projected for the Pearl River and Qiangtang River, respectively.

Figure 10 displays the multi-model ensemble median relative change in mean annual P and PET in China for the period 2071–2100 (relative to the baseline 1971–2000). The projected changes in P (or PET) have a similar spatial pattern for the three emission scenarios; that is, P is projected to show an increase in western China and the northeast, and PET is projected to increase significantly in southern China and some parts of the Tibetan plateau, especially for the RCP8.5 scenario. In addition, note that there are small changes in P and significant increases in PET projected for southern China. This would result in an increasing risk of meteorological drought in the future.

#### 3.6 Future changes in runoff due to climate change

Based on the estimates of elasticity from Equation (2), the percentage changes in the contributions of annual P and PET, as well as climate, to R from the 28 GCMs for the period 2071–2100 are calculated for each of the 14 basins (relative to the baseline 1971–2000). As shown in Figure 11, the changes in P contribution mainly follow the changes in P (Figure 9). A positive contribution from P is projected for most of the basins, and larger contributions occur in more arid basins, as well as in higher emission scenarios. Negative contributions of PET to R are projected for all basins due to the negative coefficients

of *PET* elasticity. Smaller contributions of *PET* are mainly found in the Southwest Drainage. In contrast, larger contributions are projected mainly in the Huaihe River, Haihe River, and Inner Mongolia River, where the percentage decreases from the 28 models can be up to 25 %, 35 %, and 90 % in the RCP2.6, RCP4.5 and RCP8.5 scenarios, respectively.

Climate change is projected to reduce the R in some humid basins, such as the Southeast Drainage and Pearl River, where the average changes in the three emission scenarios range from -22.83 % to -3.0 % and from -23.6 % to -3.5 %, respectively (Figure 11 (g), (h) and (i)). For other basins, particularly for arid basins, the R is projected to increase due to climate change. The largest average changes in R under the RCP2.6 and RCP4.5 scenarios are found in the Qinghai River (12.85 % and 16.18 %, respectively). For the RCP8.5 scenario, they are found in the Qiangtang River (18.59 %). Note that there is an obvious decrease in R (-17.59 %) projected for the Huaihe River under RCP8.5 scenario, which is mainly caused by the larger negative contribution of PET.

Figure 12 shows the spatial distributions of the relative changes in the contributions of annual P and PET as well as climate to R in China for 2071–2100. This is based on the CMIP5 multi-model ensemble medians. Compared with the baseline 1971–2000, the increases in R due to the changes in P are projected in western China and some parts of northern China, and this phenomenon is particularly significant in the RCP8.5 scenario (up to 60.3 %). In contrast, the changes in PET are projected to reduce the R in all of China, with the larger decreases occurring mainly in the North China plain, northeast, and some parts of western China. Overall, climate change is projected to cause an obvious increase (decrease) of R in western China (southern China) under any emission scenario (Figure 12(g), (h) and (i)). This suggests that the arid regions (humid regions) in China will become wetter (drier) in the future.

# 4 Discussion

#### 4.1 The estimation of elasticity

The Budyko-based elasticity method is applied to quantify sensitivity of runoff to climate and catchment properties across China. Two Budyko models proposed by Choudhury (1999) and Fu (1981) are used for the comparison of the estimation of the climate elasticity of R. The results suggest that the climate elasticity is insensitive to the Budyko equations. The climate elasticity of R has been estimated in many regions of China. For example, the values of P elasticity are estimated as 2.4 for the Miyun Reservoir basin (Ma et al., 2010), 2.6 for the Luan River basin (Xu et al., 2013a), 2.1 for the headwater catchments of the Yellow River basin (Zheng et al., 2009), 1.4–1.7 for the Poyang Lake basin (Sun et al., 2013), 1.7–3.1 for the Hai River basin (Xu et al., 2014), 1.1–2.0 for southern China, the Songhua River basin, and the northwest, 2.1–4.8 for the Hai River basin, the Yellow River basin, and the Liao River basin (Yang et al., 2014), and 1.6–3.8 for the 63 catchments of

China (Yang and Yang, 2011). In addition, the PET elasticity is estimated as -1.04 for the headwater catchments of the Yellow River basin (Zheng et al., 2009) and from -1 to -0.2 for the Poyang Lake basin (Sun et al., 2013). Those results are close to our results for P elasticity ranging from 1.1 to 3.2, and for PET elasticity ranging from -2.2 to -0.1 in China. It is worth noting that the values of P elasticity tend to be larger in the northeast and some parts of western China that are located in arid climates. This is in good agreement with the findings by Sankarasubramanian et al. (2001), which indicated that a larger P elasticity occurs in more arid regions. However, some parts of Xinjiang, which is more arid than southern China, have smaller P elasticity. Meanwhile, some parts of southern China, which is more humid than other regions in China, have larger P elasticity (Figure 5). In addition, the Haihe River basin, located in less arid climates than that of the northwest, shows the largest P elasticity in China (Table 2). A similar phenomenon is also introduced in Yang et al. (2014). One of the major reasons for this difference may be attributed to the impacts of human activities that alter the patterns of R in these regions. In addition, uncertainties in water budget data, such as the errors in the simulation of R and in the estimation of PET, may also contribute to this difference.

The comparisons for the estimates of  $\varepsilon_n$  and  $\varepsilon_\omega$  suggest that although the values of  $\varepsilon_n$  and  $\varepsilon_\omega$  are mainly dependent on the parameters of Budyko models, the spatial pattern of  $\varepsilon_n$  is consistent with that of  $\varepsilon_\omega$  at the 0.5° grid points over China (Figure 5(e) and (f)). Yang et al. (2008) indicated that the parameters n and  $\omega$  from Equations (2) and (3) are linearly correlated. We also conducted a regression analysis of  $\varepsilon_n$  and  $\varepsilon_\omega$  for all 0.5° grid points over China and found a strong linear correlation between  $\varepsilon_n$  and  $\varepsilon_\omega$  ( $\varepsilon_\omega = 1.7061\varepsilon_n + 0.0986$ ,  $r^2 = 0.96$ ). In addition, our results show that R is more sensitive to catchment properties ( $\varepsilon_n$  and  $\varepsilon_\omega$ ) in the more arid regions (Figure 5(e) and (f)). The possible internal connection is that the arid regions with less vegetation coverage and stronger evaporation do not effectively hold the rainfall water that will be evaporated, leading to the smaller proportion of rainfall for R.

#### 4.2 Sensitivity analysis for *PET* calculation methods

We compare four *PET* calculation methods, including the Penman method, the Thornthwaite method, the FAO-56 Penman–Monteith method (Allen et al., 1998), and the Thornthwaite method corrected by Equation (1), to test the robustness of the *PET* elasticity result subject to *PET* uncertainties. In terms of mean annual *PET* as shown in Figure 13 (a), the Thornthwaite method gives relatively low *PET* among the four methods, especially in arid basins (e.g., Qiangtang, Qinghai, Xinjiang and Hexi). This is in agreement with previous studies, which indicated that the Thornthwaite method tends to underestimate *PET* in the arid areas (Hashemi and Habibian, 1979; Malek 1987; Garcia et al., 2004). In contrast, the mean annual *PET* by the other three methods are quite consistent, especially for the Penman method and the Thornthwaite method corrected by Equation (1). A similar result was also reported by Zeng and Cai (2016), which indicated that estimations of water balance at

both annual and month scales are generally robust under various *PET* calculation methods (not including the Thornthwaite method). The *PET* elasticity calculations from the four different *PET* data for the 14 river basins are shown in Figure 13(b). The Thornthwaite method yields stronger *PET* elasticity than other three methods in most of the basins mainly due to the underestimation of *PET*. However, the other three methods give very similar results in all 14 basins. In summary, the estimation of *PET* elasticity is robust to the *PET* calculations from the Penman method, the FAO-56 Penman–Monteith method, and the Thornthwaite method corrected by Equation (1), but is not acceptable for the Thornthwaite method.

In general, the Thornthwaite method corrected by Equation (1) significantly improves the accuracy of *PET* (Figure 3 and Figure 13(a)). However, it should be emphasized that the Thornthwaite method is an empirical equation that neglects the effects of atmospheric conditions, such as wind speed, humidity and radiation (McVicar et al., 2012). In addition, the Equation (1) used for the bias correction of *PET* belongs to a 'delta method' (Graham et al., 2007; Sperna Weiland et al., 2010), which only considers the average change but ignores the differences in the standard deviation and the coefficient of variation between the projection and baseline periods (Watanabe et al., 2012). Therefore, a more physically-based *PET* calculation method (such as the Penman method) needs to be considered to fully understand the *PET* calculation uncertainties in the projections of climate change.

# 4.3 The projections of climate change and runoff

The hydrological impacts of climate change have been investigated in many regions of China, such as the Hanjiang basin (Chen et al., 2007; Guo et al., 2009), the catchment of the Loess Plateau (Wang et al., 2013), the Qingjiang River basin (Chen et al., 2012), the Qiantang River basin (Xu et al., 2013b), the Songhuajiang River basin (Su et al., 2015), the southeastern Tibetan Plateau (Li et al., 2013b), the Pearl River basin (Yan et al., 2015), the Xin River basin (Zhang et al., 2016), the sub-catchments of the Yangtze and Yellow River basins (Xu et al., 2011), the Huang-Huai-Hai region (Lu et al., 2012), and ten major river basins in China (Wang et al., 2012). There is a large uncertainty involved in these impact studies, which results in a large difference in climate projections. For example, Wang et al. (2012) indicated that the prevailing pattern of "north dry and south wet" in China will likely be exacerbated under future climate warming. However, the results of most GCMs in this study suggest that the arid regions and humid regions of China are projected to become wetter and drier in the future, respectively. The main difference between the two studies is the use of different climate models, emission scenarios, and time periods. This also demonstrates that the results of climate projections should be taken with caution, since the regional climate simulations (especially of precipitation) from the GCMs are still not robust at the present stage.

This study focuses on the hydrological change due to climate change (i.e., changes in P and PET), while the effects of the

variability of catchment properties (e.g., land cover change, groundwater and river water extraction, urbanization, irrigation, etc.) on the hydrology are overlooked here. Most of the available GCMs lack of key regional feedback processes involving land use, such as forest plantations, irrigation, and urbanization feedbacks that are critically important throughout China (Piao et al., 2010). The projected changes in catchment properties therefore need to be involved in the GCMs to account for their hydrological impacts. In addition, recent studies indicated that plant responses to increasing CO<sub>2</sub> tend to keep more water on land, therefore resulting in a greater increase in *R* (Milly and Dunne, 2016; Swann et al, 2016). That is to say, the hydrological models (e.g., VIC model), without the schemes of the plant stomatal responses to CO<sub>2</sub>, would lead to an underestimation of *R* under high CO<sub>2</sub>. Therefore, the implications of plants needing less water under high CO<sub>2</sub> should be included in the assessment of hydrological impacts of climate change.

#### 4.4 Uncertainties

Generally, a multitude of sources of uncertainty are involved in the impact assessment of climate change. In this study, uncertainty mainly comes from the GCMs, emission scenarios, the elasticity method, and the estimation error of the water budget data. To highlight the uncertainty from the GCMs, the 28 GCMs as produced by different research institutes around the world, are used for the comparison of climate change projections. There exists a large difference in the projections of *P* and *PET* among the 28 GCMs. Particularly, the uncertainty range of *P* tends to be larger for more arid regions, while the uncertainty range of *PET* tends to be larger for more humid regions (Figure 9). This highlights the impact of potential misleading conclusions if only one climate model were to be used for the impact assessments. The large uncertainty driven by the GCMs in relation to the hydrological impacts of climate change has been reported in many previous studies (Kay et al., 2009; Prudhomme and Davies, 2009; Chen et al., 2011; Teng et al., 2012; Liu et al., 2013; Wu et al., 2014, 2015). It is worth noting that although the projected ranges of *P* and *PET* show large variability in various GCMs, most project a consistent change (i.e. increase) in *P* and *PET* for the future period (Figure 9). In contrast, the uncertainty from the emission scenarios is smaller than that from the GCMs, since the projected changes in *P* (or *PET*) show a similar pattern under all emission scenarios (Figure 9). The main difference is that the projected changes tend to be more significant in higher emission scenarios.

The elasticity equation (i.e. Equation (4)) used in this study is driven from the linear approximation of the Budyko equation (Equations (2) and (3)) by neglecting the higher order. Such approximation would possibly lead to an uncertainty in the estimation of climate elasticity. Yang et al. (2014) indicated that the error in estimation of elasticity tends to increase with increasing changes in *P* and *PET*, as well as the increased parameter of the Budyko equation. Future research is needed to quantify the effects of the errors on the estimation of elasticity under various climate conditions.

In addition to uncertainty in PET calculation (as discussed in section 4.2), there are also uncertainties associated with the estimates of other water budget components, such as R. As shown in Figure 14, the sensitivity of climate (i.e., P and PET) elasticity to R varies considerably between basins and tends to be larger in more humid basins. Moreover, PET elasticity is more sensitive to changes in R compared with P elasticity for all 14 basins. As indicated by Zhang et al. (2014), although the R is realistically estimated for most of the basins (especially for humid basins) in China with a small relative error, there is still a large relative error for few arid basins in western China due to the lack of meteorological observations. Therefore, the large errors in simulated R of the VIC model may result in large uncertainties in elasticity calculation, particularly in western China. Also note that some other natural water sources, such as snow and glaciers, which may contribute to R, are overlooked in this study. Lute and Abatzoglou (2014) highlighted the importance of extreme snowfall events in shaping the interannual variability of the water balance. The melting of snow and glaciers is generally significant at a seasonal time scale in some high altitude regions of China. Neglecting the effects of snow and glaciers would lead to a bias in the modelling of R for these regions.

#### Conclusion

In this study, the Budyko-based elasticity method was used to investigate the responses of runoff to historical and future climate variability over China at both grid and catchment scales. The climate and catchment properties elasticities of runoff were estimated based on the long-term (1960–2008) land surface data from Zhang et al. (2014). Twenty-eight GCMs with three emission scenarios from the CMIP5 were collected for the projections of climate change and its contribution to runoff in China during the period 2071–2100. The uncertainties associated with the estimates of *PET*, *R*, climate elasticity, as well as climate projections, are discussed in detail. The main findings are summarised as follows:

(1) The interannual variability of PET is more sensitive to that of P in more arid regions, while the opposite occurs in the response of interannual variability of R to that of P. A large spatial variation exists in P elasticity (from 1.1 to 3.2) and PET elasticity (from -2.2 to -0.1) across China. The P elasticity is larger in northeast and western China than in southern China, which is opposite to that of PET elasticity. Among the 14 river basins, the Haihe River and Southwest Drainage have the largest and smallest climate elasticities, respectively. The catchment properties elasticity of R is sensitive to mean annual aridity indices and tends to be stronger in more arid regions with increasing aridity indices.

(2) For the period 1960–2008, the positive (negative) contributions from *P* to *R* are mainly found in western China (northeast China and North China plain), and the positive (negative) contributions of *PET* mainly occur in western China (northeast

China). Overall, the climate contribution to *R* ranges from -2.4 % yr<sup>-1</sup> to 3.6 % yr<sup>-1</sup> across China during the period 1960–2008, with a negative contribution in northeast China and a positive contribution in western China and some parts of the southwest. The largest positive and negative contributions of climate occur in the Qiangtang and Haihe River basins, respectively.

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(3) There is a large uncertainty in climate projections among the 28 GCMs. Moreover, the uncertainty range of the P(PET) projection tends to be larger for more arid (humid) regions. However, most of the GCMs project a consistent change in annual P or annual PET. For the period 2071–2100, the P is projected to increase in most parts of China, especially the western regions, and the PET is projected to increase in all of China, particularly the southern regions. Furthermore, greater increases are projected for higher emission scenarios. Due to future climate warming, the arid regions and humid regions of China are projected to become wetter and drier in the period 2071–2100, respectively (relative to the baseline 1971–2000).

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The results of this study (especially of the climate change projections) should be taken with caution, since uncertainties in the results exist because of several issues, including the different simulations of GCMs, the estimation error of climate elasticity, and the estimation error in the water budget components. A thorough investigation of the uncertainty involved in the hydrologic effects of climate change in China should be considered in future research.

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Zhang, Y., You, Q., Chen, C., and Ge, J.: Impacts of climate change on streamflows under RCP scenarios: A case study in

**Table 1**. CMIP5 GCMs used in this study. The GCM data were statistically downscaled and regridded onto a common 1°×1° global grid by the Canadian Climate Data and Scenarios (CCDS).

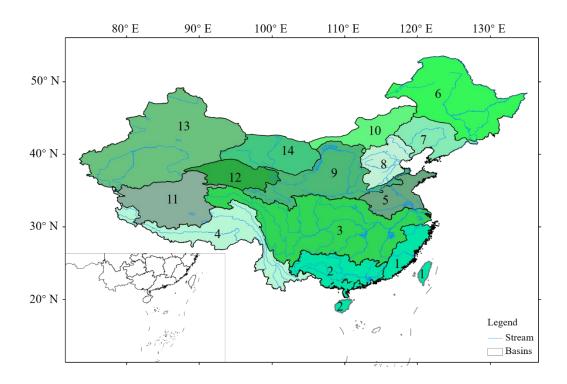
No.	Model	Institution (Country)	Resolution
1	BCC-CSM1-1	Beijing Climate Center, China Meteorological Administration,	1010
2	BCC-CSM1-1-m	China	1°×1°
3	BNU-ESM	College of Global Change and Earth System Science, Beijing Normal University, China	1°×1°
4	CCSM4	National Center for Atmospheric Research, USA	1°×1°
5	CESM1-CAM5	Community Earth System Model Contributors, USA	1°×1°
		Centre National de Recherches Météorologiques / Centre	
6	CNRM-CM5	Européen de Recherche et Formation Avancée en Calcul	1°×1°
		Scientifique, France	
		Commonwealth Scientific and Industrial Research Organization	
7	CSIRO-Mk3-6-0	in collaboration with Queensland Climate Change Centre of	1°×1°
		Excellence, Australia	
8	CanESM2	Canadian Centre for Climate Modelling and Analysis, Canada	1°×1°
9	EC-EARTH	EC-EARTH consortium	1°×1°
		LASG, Institute of Atmospheric Physics, Chinese Academy of	
10	FGOALS-g2	Sciences and CESS, Tsinghua University, China	1°×1°
11	FIO-ESM	The First Institute of Oceanography, SOA, China	1°×1°
12	GFDL-CM3		
13	GFDL-ESM2G	NOAA Geophysical Fluid Dynamics Laboratory, USA	1°×1°
14	GFDL-ESM2M		
15	GISS-E2-H		40.40
16	GISS-E2-R	NASA Goddard Institute for Space Studies, USA	1°×1°
		National Institute of Meteorological Research/Korea	
17	HadGEM2-AO	Meteorological Administration, South Korea	1°×1°
		Met Office Hadley Centre (additional HadGEM2-ES	
18	HadGEM2-ES	realizations contributed by Instituto Nacional de Pesquisas	1°×1°
		Espaciais), UK	
19	IPSL-CM5A-LR		40.40
20	IPSL-CM5A-MR	Institut Pierre-Simon Laplace, France	1°×1°
21	MIROC-ESM	Japan Agency for Marine-Earth Science and Technology,	
21	MINOC ESM	Atmosphere and Ocean	10 10
22	MIROC-ESM-CHEM	Research Institute (The University of Tokyo), and National	1°×1°
	MINTO ESTIT CITEM	Institute for Environmental Studies, Japan	
		Atmosphere and Ocean Research Institute (The University of	
23	MIROC5	Tokyo), National Institute for Environmental Studies, and Japan	1°×1°
		Agency for Marine-Earth Science and Technology, Japan	
24	MDIESMID	May Planck Institut für Mataorelagia (May Planck Institute for	
24	MPI-ESM-LR	Max-Planck-Institut für Meteorologie (Max Planck Institute for	1°×1°
25	MPI-ESM-MR	Meteorology), Germany	
26	MRI-CGCM3	Meteorological Research Institute, Japan	1°×1°
27	NorESM1-M	Norwegian Climate Centre, Norway	1°×1°
28	NorESM1-ME	Tiol wegian Chinace Contre, 1101 way	1 ^1

**Table 2**. The estimations of P elasticity, PET elasticity, and catchment properties elasticity of R in the 14 river basins of China based on Equations (2) and (3). The basin number is consistent with that given in Figure 1. The numbers in the parentheses indicate the 1960–2008 mean aridity index.

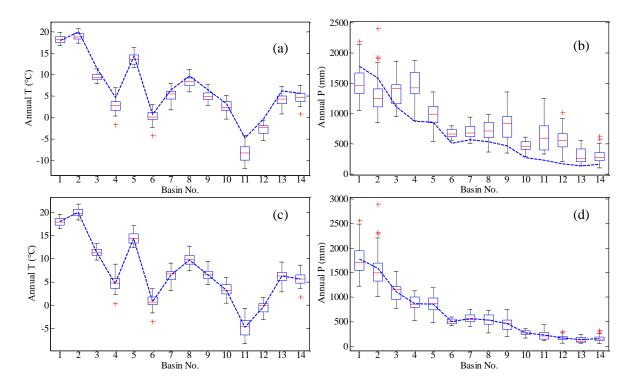
Dasin No	$\mathcal{E}_P$		$arepsilon_{PET}$		$\varepsilon_n$ or $\varepsilon_\omega$	
Basin No.	Eq.(2)	Eq.(3)	Eq.(2)	Eq.(3)	Eq.(2)	Eq.(3)
1 (0.52)	1.64	1.65	-0.64	-0.65	-0.24	-0.33
2 (0.64)	1.63	1.64	-0.62	-0.63	-0.41	-0.61
3 (0.81)	1.55	1.56	-0.55	-0.55	-0.57	-0.93
4 (1.19)	1.40	1.39	-0.40	-0.39	-0.73	-1.44
5 (1.19)	2.09	2.08	-1.08	-1.07	-1.03	-1.47
6 (1.43)	2.06	2.04	-1.05	-1.02	-1.25	-1.83
7 (1.71)	1.92	1.88	-0.91	-0.87	-1.35	-2.10
8 (2.14)	2.28	2.21	-1.29	-1.22	-1.89	-2.70
9 (2.38)	1.78	1.72	-0.79	-0.73	-1.53	-2.54
10 (4.41)	2.23	2.11	-1.22	-1.10	-2.78	-4.16
11 (4.70)	1.81	1.72	-0.82	-0.72	-2.17	-3.67
12 (6.68)	1.72	1.62	-0.73	-0.63	-2.28	-4.08
13 (8.09)	1.66	1.56	-0.65	-0.55	-2.26	-4.27
14 (8.63)	1.63	1.53	-0.64	-0.54	-2.26	-4.30

**Table 3**. The contributions of P, PET, and climate (i.e. P&PET) to R in the 14 basins of China for the period 1960–2008. The basin number is consistent with that given in Figure 1. The numbers in the parentheses indicate the 1960–2008 mean aridity index.

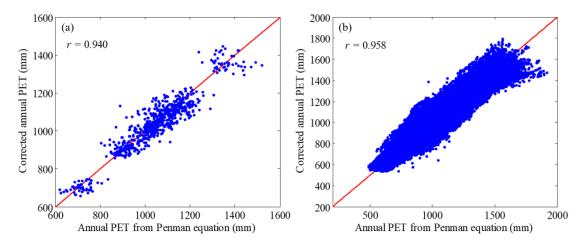
Basin No.	P (%/a)	<i>PET</i> (%/a)	<i>P&amp;PET</i> (%/a)
1 (0.52)	0.19	-0.13	0.06
2 (0.64)	-0.03	-0.09	-0.12
3 (0.81)	-0.07	-0.07	-0.14
4 (1.19)	0.14	-0.01	0.13
5 (1.19)	-0.18	-0.27	-0.45
6 (1.43)	-0.35	-0.31	-0.66
7 (1.71)	-0.57	-0.34	-0.91
8 (2.14)	-0.74	-0.38	-1.12
9 (2.38)	-0.38	-0.04	-0.42
10 (4.41)	-0.40	-0.26	-0.66
11 (4.70)	0.99	0.01	1.00
12 (6.68)	0.43	-0.01	0.42
13 (8.09)	0.84	-0.02	0.82
14 (8.63)	0.11	0.08	0.19



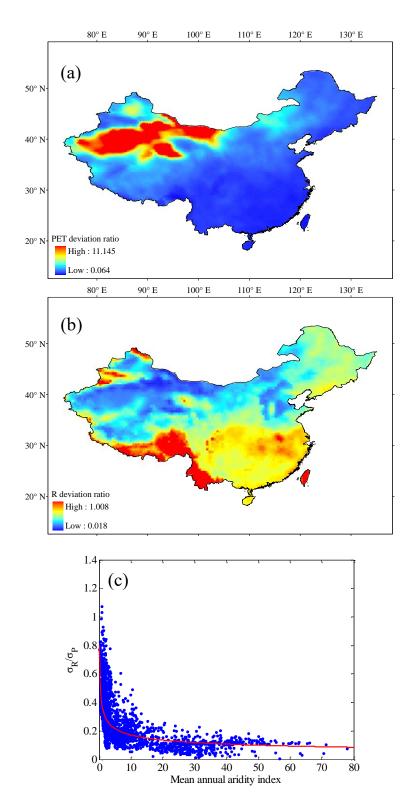
**Figure 1**. Location of the main river basins in China. The numbers denote the river basins with increasing aridity index: 1, Southeast Drainage (0.52); 2, Pearl River (0.64); 3, Yangtze River (0.81); 4, Southwest Drainage (1.19); 5, Huaihe River (1.19); 6, Heilongjiang River (1.43); 7, Liaohe River (1.71); 8, Haihe River (2.14); 9, Yellow River (2.38); 10, Inner Mongolia River (4.41); 11, Qiangtang River (4.70); 12, Qinghai River (6.68); 13, Xinjiang River (8.09), 14, Hexi River (8.63). The numbers in the parentheses indicate the 1960–2008 mean aridity index.



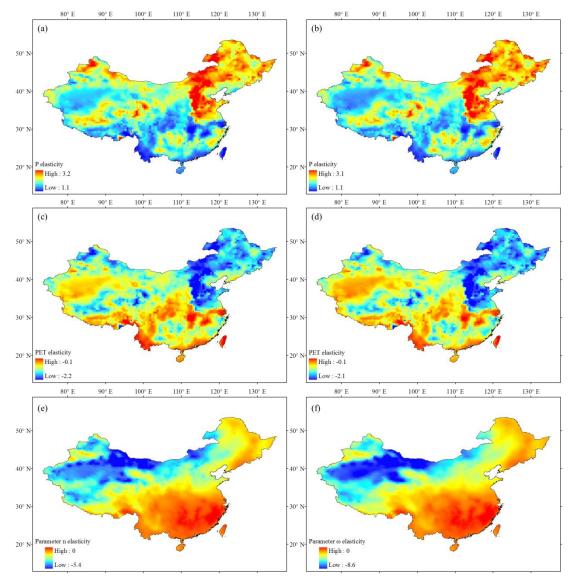
**Figure 2**. Box plots of the simulation results of (a) mean annual T and (b) mean annual P and the bias correction results of (c) mean annual T and (d) mean annual P from 28 GCMs for the period 1971–2000 in the 14 river basins. The boxes denote the interquartile model spread (range between the 25th and 75th quantiles), with the horizontal line indicating the ensemble median and the whiskers showing the extreme range of the 28 CMIP5 model simulations. The blue dotted lines denote the observed results of mean annual T and mean annual P for the period 1971–2000. The basin number is consistent with that given in Figure 1.



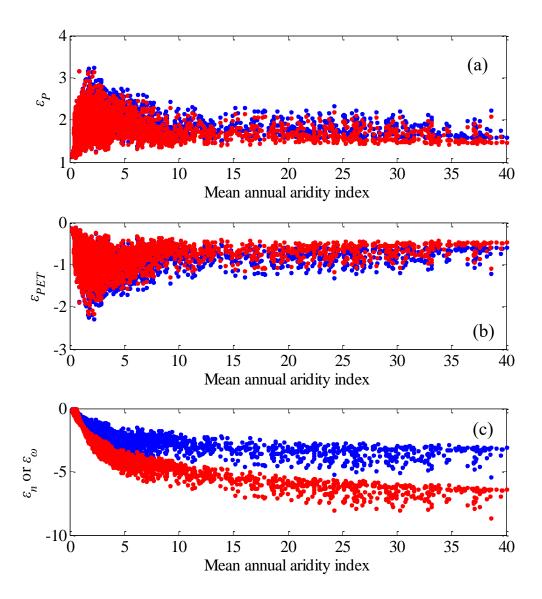
**Figure 3.** Comparison of annual *PET* calculated from the Penman method and the Thornthwaite method corrected by Equation (1) during the period 1960–2008 for (a) the 14 river basins and (b) all 0.5° grid points over China.



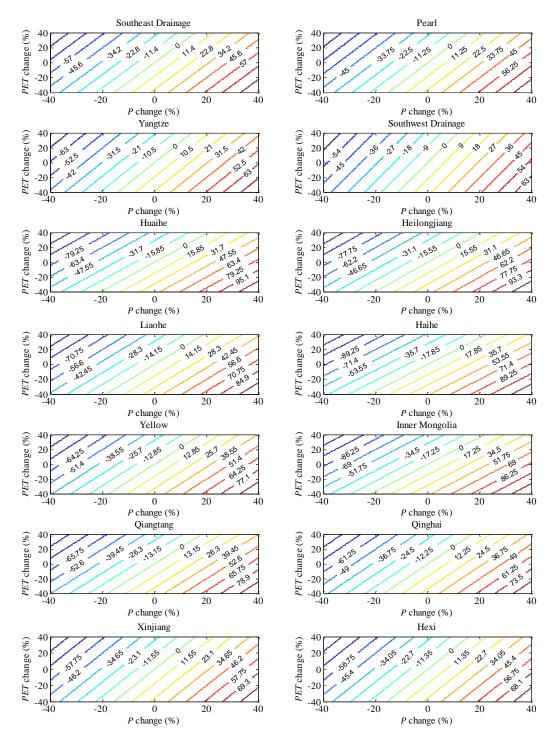
**Figure 4.** Spatial distributions of (a) *PET* deviation ratio and (b) *R* deviation ratio and (c) the relationship between *R* deviation ratio and mean annual aridity index  $(\overline{\phi})$  for all 0.5° grid points in China.



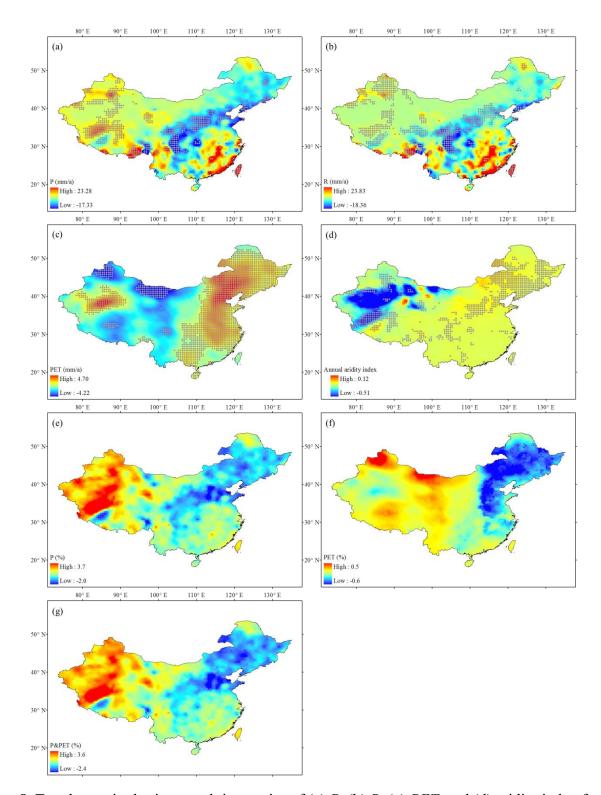
**Figure 5**. Spatial distributions of the *P* elasticity of *R* across China from (a) Equation (2) and (b) Equation (3). Spatial distributions of the *PET* elasticity of *R* across China from (c) Equation (2) and (d) Equation (3). Spatial distributions of the parameter elasticity of *R* across China from (e) Equation (2) and (f) Equation (3).



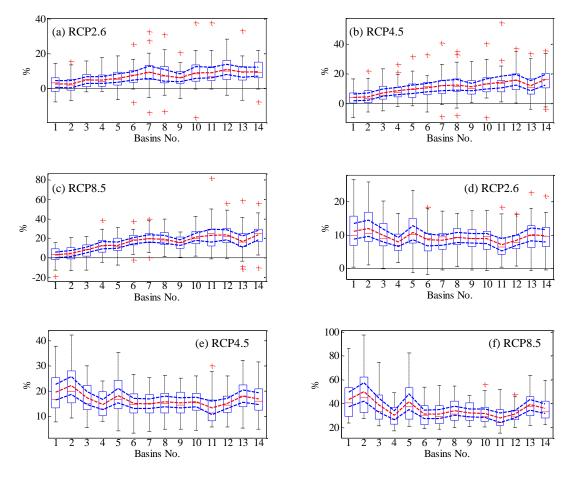
**Figure 6.** The relationship between mean annual aridity index and (a) *P* elasticity, (b) *PET* elasticity, and (c) parameter elasticity. The blue points represent the case of Equation (2), and the red points represent the case of Equation (3).



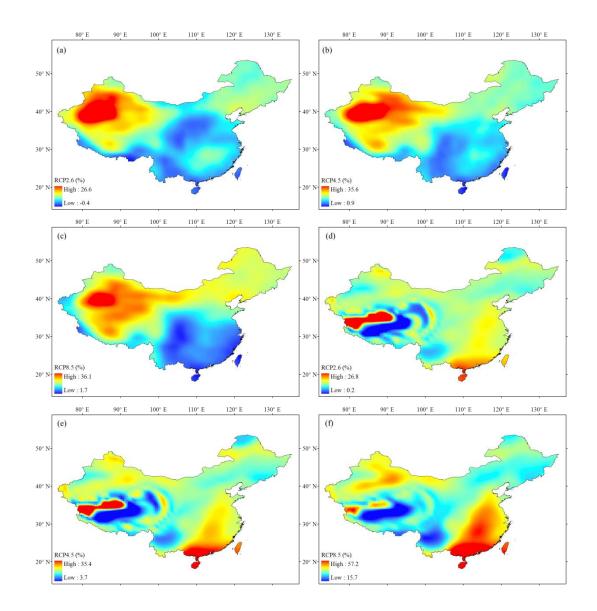
**Figure 7**. Contour plot of percentage R change due to the changes in P and PET for the 14 river basins. The P elasticity and PET elasticity of R are estimated based on Equation (2).



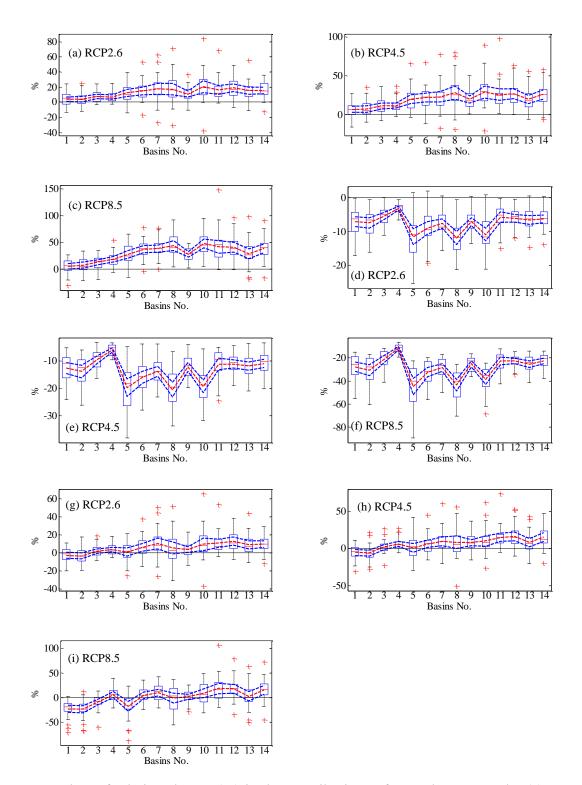
**Figure 8.** Trend magnitudes in annual time series of (a) P, (b) R, (c) PET, and (d) aridity index for the period 1960–2008 and spatial distributions of the contributions (unit: % yr<sup>-1</sup>) of (e) P, (f) PET, and (g) climate (i.e. P&PET) to R in China for the period 1960–2008. The trend magnitudes are estimated by the Sen's method. Grey dots are shown as statistically significant positive/negative trends (p < 0.05).



**Figure 9.** Box plots of relative change (%) in mean annual *P* under (a) RCP2.6, (b) RCP4.5, and (c) RCP8.5 scenarios and in mean annual *PET* under (d) RCP2.6, (e) RCP4.5, and (f) RCP8.5 scenarios calculated from 28 CMIP5 models in 14 basins for the period 2071–2100 (relative to the baseline 1971–2000). The boxes denote the interquartile model spread (range between the 25th and 75th quantiles), with the horizontal line indicating the ensemble median and the whiskers showing the extreme range of the 28 CMIP5 model simulations. Red dotted lines denote the average values of the multi-model ensemble. Blue dotted lines denote the 95 % significance levels range of the average values of the multi-model ensemble. The basin number is consistent with that given in Figure 1.

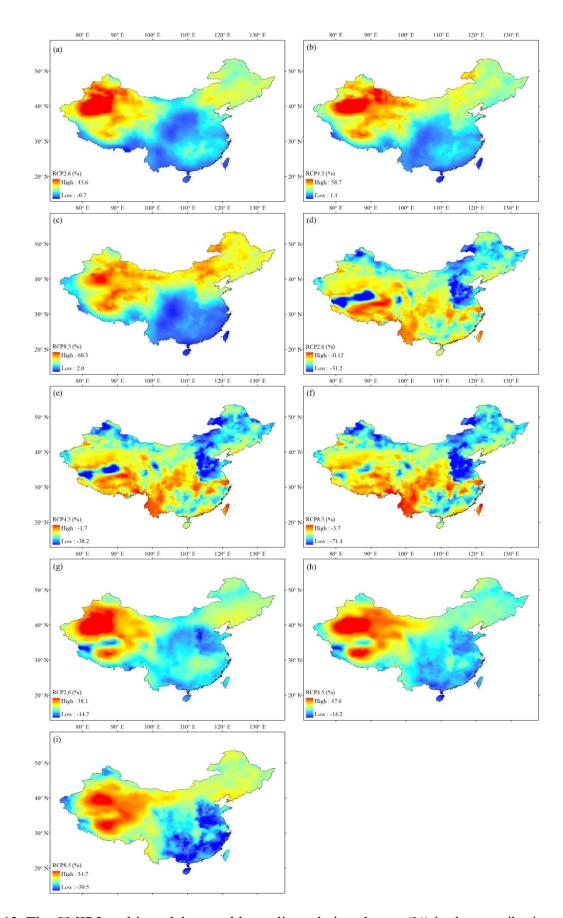


**Figure 10.** The CMIP5 multi-model ensemble median relative change (%) in mean annual *P* under (a) RCP2.6, (b) RCP4.5, and (c) RCP8.5 scenarios and in mean annual *PET* under (d) RCP2.6, (e) RCP4.5, and (f) RCP8.5 scenarios in China for the period 2071–2100 (relative to the baseline 1971–2000).



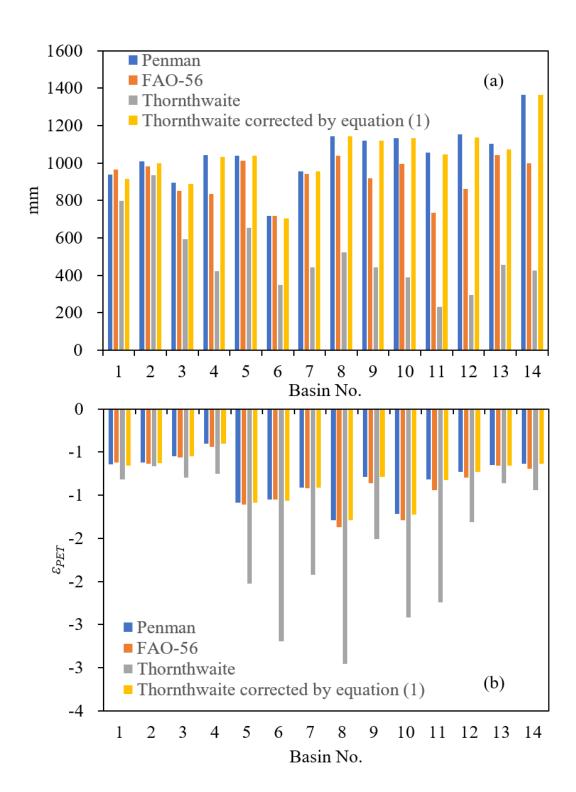
**Figure 11**. Box plots of relative change (%) in the contributions of annual *P* to *R* under (a) RCP2.6, (b) RCP4.5, and (c) RCP8.5 scenarios, in the contributions of annual *PET* to *R* under (d) RCP2.6, (e) RCP4.5, and (f) RCP8.5 scenarios, and in the contributions of climate to *R* under (g) RCP2.6, (h) RCP4.5, and (i) RCP8.5 scenarios calculated from 28 CMIP5 models in 14 basins for the period 2071–2100 (relative to the baseline 1971–2000). The boxes denote the interquartile model spread (range between the 25th and 75th quantiles) with the horizontal line indicating the ensemble median and the whiskers showing the extreme range of the 28 CMIP5 model simulations. Red dotted lines denote the

average values of the multi-model ensemble. Blue dotted lines denote the 95% significance levels range of the average values of the multi-model ensemble. The basin number is consistent with that given in Figure 1.

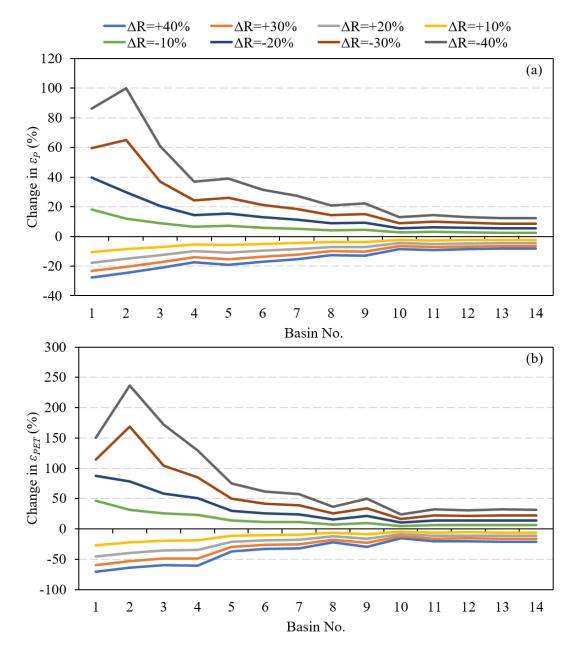


**Figure 12**. The CMIP5 multi-model ensemble median relative change (%) in the contributions of annual *P* to *R* under (a) RCP2.6, (b) RCP4.5, and (c) RCP8.5 scenarios, in the contributions of annual *PET* to *R* under (d) RCP2.6, (e) RCP4.5, and (f) RCP8.5 scenarios, and in the contributions of climate

to R under (g) RCP2.6, (h) RCP4.5, and (i) RCP8.5 scenarios in China for the period 2071–2100 (relative to the baseline 1971–2000).



**Figure 13**. (a) Mean annual *PET* calculated from the four methods for the 14 river basins of China during the period 1960–2008. (b) *PET* elasticity calculated from Equation (2) based on the four *PET* data for the 14 river basins of China during the period 1960–2008. The basin number is consistent with that given in Figure 1.



**Figure 14**. Comparison of changes in (a) *P* elasticity and (b) *PET* elasticity in response to changes in *R* for the 14 river basins of China. The basin number is consistent with that given in Figure 1.