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2 **Assessment of Integrated Watershed Health based on Natural Environment,**

3 **Hydrology, Water Quality, and Aquatic Ecology**

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10

11 **Abstract**

12 Watershed health, including the natural environment, hydrology, water quality, and aquatic ecology, was assessed for
13 the Han River basin (34,148 km²) in South Korea using the Soil and Water Assessment Tool (SWAT). The evaluation
14 procedures followed those of the Healthy Watersheds Assessment by the U.S. Environmental Protection Agency (EPA).
15 To evaluate watershed health (basin natural capacity), 6 components of the watershed landscape were examined:
16 stream geomorphology, hydrology, water quality, aquatic habitat condition, and biological condition. In particular, for
17 the hydrology and water quality components, the SWAT was applied for the study basin with 237 sub-watersheds
18 (within a standard watershed on the Korea Hydrologic Unit Map) and including three multipurpose dams, one
19 hydroelectric dam, and three multifunction weirs. The SWAT was calibrated (2005–2009) and validated (2010–2014)
20 using each dam and weir operation, the flux tower evapotranspiration, TDR soil moisture, and groundwater level data
21 for the hydrology assessment and using sediment, total phosphorus, and total nitrogen data for the water quality
22 assessment. The water balance considering the surface–groundwater interactions and the variation in stream water
23 quality were quantified according to the sub-watershed-scale relationship between the watershed hydrologic cycle and
24 stream water quality. We assessed the integrated watershed health according to the U.S. EPA evaluation process based
25 on the vulnerability levels of the natural environment, water resources, water quality, and ecosystem components. The
26 results suggest that approaches aimed at simultaneously improving the water quality, hydrology, and aquatic ecology
27 conditions may be necessary to improve integrated watershed health.

28



29 Keywords: Watershed health assessment; SWAT; Watershed hydrology; Water quality; Aquatic ecology

30



31 **1. Introduction**

32 Watershed management can be defined as the integrated and iterative decision process applied to maintain the
33 sustainability of resources through the balanced use and conservation of water quantity, land, vegetation, and other
34 natural resources within the watershed. The river is a constituent element of the watershed ecosystem that is of primary
35 concern for watershed management; the river discharge and water quality are key components of the watershed
36 ecosystem, and their interactions can be affected by land use and vegetation cover. The Han River basin in South
37 Korea, with its large-scale water supply dams and weirs, is a rare case worldwide. Twenty-six years ago, the
38 government initiated programs designed to restore the environmental and human health-related quality of the Han
39 River basin. However, an integrated approach considering water supply, water quality improvement, and natural
40 ecosystem maintenance and their interactions within the watershed was lacking. It has become clear that a broader
41 view of watershed ecosystems is essential if we are to truly protect the chemical, physical, and biological integrity of
42 our watersheds (U.S. EPA, 2012).

43 One of the key components of watershed management strategies is to increase the protection of healthy waters,
44 including healthy watersheds. A key component of watershed health is its ability to withstand, recover from, or adapt
45 to disturbances, such as floods and droughts. A more complete understanding of the watershed ecosystem components
46 affecting watershed health is important for identifying management actions to protect healthy watersheds. Without an
47 integrated watershed health assessment system, the successes in restoring impaired waters will be limited and the
48 many socioeconomic benefits of healthy watershed systems will be lost.

49 In general, the assessment of the major components of watershed health must incorporate evaluations of the natural
50 environment, hydrology, water quality and aquatic ecology. A number of studies have recently assessed the potential
51 for effective watershed management through an analysis of a variety of health indicators. Sanchez et al. (2015)
52 characterized the relationships between in-stream health indicators (flow, sediment, and nutrient loads) using the Soil
53 and Water Assessment Tool (SWAT) model and socioeconomic measures of communities using spatial clustering
54 techniques and confirmatory factor analysis in the Saginaw River watershed in Michigan. Cook et al. (2015) explored
55 the effects of both water quality and habitat on benthic macroinvertebrates using the data from a three-year field study
56 and Virginia Stream Condition Index (VSCI) scores to evaluate site-specific environmental variables (land use, habitat
57 metrics, water quality parameters), examining these relationships in five watersheds along the Virginia–Kentucky
58 border. Tango and Batiuk (2016) analyzed interactions affecting the watershed and bay water quality recovery



59 responses to management actions and a range of health conditions and impairments by measuring the physical,
60 chemical and biological parameters in Chesapeake Bay.

61 The U.S. EPA has made considerable efforts to move towards integrated evaluations of watershed health. For
62 example, The Virginia Watershed Integrity Model uses an integrated approach to evaluate the landscape condition and
63 terrestrial habitat to identify ecologically important catchments across the landscape (Virginia Department of
64 Conservation and Recreation, 2008). Minnesota's Watershed Assessment Tool used hydrology, geomorphology,
65 biology, connectivity, and water quality data in an integrated context to evaluate the health of Minnesota's watersheds
66 (Minnesota Department of Natural Resources, 2011). The Oregon Watershed Assessment addressed landscape, habitat,
67 biology, water quality, hydrology, and geomorphology through field assessments and follow-up analyses based on a
68 classification and condition assessment of channel habitat types (Watershed Professionals Network, 1999). The
69 California Watershed Assessment Manual evaluated the six essential ecological attributes of landscape status:
70 hydrology/geomorphology, biotic condition, chemical/physical condition, natural disturbance regimes, and ecological
71 condition (Shilling, 2007).

72 Regional water quantity and quality can be assessed by systematic modeling using the hydrologic model SWAT
73 (Arnold et al., 1998) because of its robust approach based on the soil water balance at the watershed scale. The SWAT
74 model has been successfully applied to a number of river basins and is widely used to study the long-term impacts of
75 hydrological (e.g., Sun and Cornish 2005; Wan et al., 2013; Ahn et al., 2016; Karlsson et al., 2016; Sellami et al., 2016;
76 Chung et al., 2017) and environmental changes (e.g., Eckhardt and Ulbrich, 2003; Rosenberg et al., 2003; Bouraoui
77 et al., 2004; Chaplot, 2007; Mehdi et al., 2015; Zhou and Li, 2015). Thus, the use of this qualified watershed model is
78 highly useful for assessments of continuous time-series changes and spatial distributions changes in watershed
79 information.

80 However, most previous studies have employed a fragmentary approach to investigating one or several
81 environmental issues using monitoring data for a limited period without assessing the various components (e.g.,
82 landscape, stream channels, hydrology, water quality, habitat, biological diversity, etc.). Thus, the methodology
83 suggested in this study is essential to explore the integrated influence of large-scale watersheds with various watershed
84 characteristics and to assess the overall health of watersheds.

85 Therefore, the main objective of this study is to conduct a watershed health assessment analysis of the natural
86 environment, hydrology, water quality, and aquatic ecology of the Han River basin (34,148 km²) in South Korea using



87 monitoring data and SWAT modeling output. Detailed information regarding the framework is presented below.

88

89 **2. Materials and methods**

90 2.1 Methodology for watershed health assessment

91 The foundation of watershed health assessment is the compilation and summarization of watershed parameters based
92 on the primary physical attributes of watershed conditions. According to the United States Environmental Protection
93 Agency (U.S. EPA, 2012), there are six essential indicators fundamental to the assessment of watershed health: 1)
94 landscape condition, 2) geomorphology, 3) hydrology, 4) water quality, 5) habitat, and 6) biological condition. A sub-
95 index for each of the six components is developed from these indicators. The sub-index values are then aggregated
96 into a single Watershed Health Index value for each watershed. This methodology can be used to assess the natural
97 capacity of a watershed and its problems and to draft possible solutions for effective watershed management. All sub-
98 index and index values are relative (i.e., "healthier" vs. "not as healthy") rather than absolute (i.e., no "healthy vs.
99 unhealthy" cutoff score is identified) and thus are meant for comparing the relative differences among watersheds
100 rather than precisely defining healthy vs. unhealthy watersheds.

101 In this study, the indicators for watershed health assessment are selected based on the six essential components and
102 methodology suggested by the U.S. EPA. All of the indicators for watershed health are evaluated to match the situation
103 in South Korea using measurable data or watershed modeling results. In particular, the methodology is developed to
104 assess the effects of hydrology and water quality on watershed health to analyze the possible long-term changes in the
105 watershed as simulated through a watershed-scale hydrological model, the SWAT. According to existing research that
106 has assessed the long-term changes in the Han River basin, the changes in runoff due to climate change in Han River
107 basin is expected to cause many changes to the future seasonal water volume, and water scarcity is predicted to increase
108 in the long term (Jun et al., 2011; Kim et al., 2014). Urban land cover in the Han River basin is positively associated
109 with increases in water pollution, which has increased for the majority of the monitoring stations (Chang, 2008).
110 Healthy areas can be identified based on standard watersheds from Korea Hydrologic Unit Map. The Korea
111 Hydrologic Unit Map is a standard map that combines data from national organizations for water resource
112 development, planning, and management. The standard watersheds are the smallest hydrologic unit designated by the
113 Korean government. Figure 1 shows a flowchart of the modeling procedures. The specific objectives of this study are
114 as follows:



- 115 • To develop a method for reconstructing water quantity and quality time-series data of the basin using the
116 SWAT model. The reconstructed time-series are used as water quantity and quality indicators and for sub-
117 index development. Because watershed health assessment relies on the continuous flow of time-series
118 information, the SWAT model was established and calibrated to obtain flow records at ungauged hydrology
119 and water quality stations.
- 120 • To establish a reference condition for each indicator to assess the sub-index through normalization of the
121 following components: landscape condition, geomorphology, hydrology, water quality, habitat, and biological
122 condition.
- 123 • To assign integrated watershed health scores combining multiple indicators representing different attributes
124 of healthy watersheds based on a standard watershed on the Korea Hydrologic Unit Map.

125

126 <Figure 1>

127

128 2.2 Study area description

129 The Han River basin (34,148 km²) is one of the five major river basins in South Korea (99,720 km²); it occupies
130 approximately 31% of the country and falls within the latitude-longitude range of 36.03° N to 38.55° N and 126.24°
131 E to 129.02° E, respectively (Figure 2). The basin has three main rivers, the North Han River (12,969 km²), the South
132 Han River (12,894 km²), and the Imjin River (8,285 km²). The North Han River and South River merge and then flow
133 into the metropolitan city of Seoul, a city of 10 million residents. The water resources of the river basin must be
134 managed sustainably due to the expanding water demand of the Seoul area, including its satellite cities (12 million
135 individuals), and the potential changes to water resources due to climate change must be evaluated (Ahn and Kim,
136 2016). The dominant land use of the Han River basin is forest (73%, 25,033 km²), followed by cultivated cropland in
137 the lowland fertile areas (5,915 km²), including rice paddy fields (6%) and upland crops (12%) (Figure 2b). Over the
138 30 years of weather data from 1985 to 2014, the average annual precipitation is 1,395 mm and the annual mean
139 temperature is 11.5 °C. Figure 2a shows the study area and the 237 sub-watersheds (within a standard watershed on
140 the Korea Hydrologic Unit Map) delineated for the SWAT modeling and watershed health assessment, and Figure 2c
141 shows the four test areas for comparison of the watershed health index scores.

142



143 <Figure 2>

144

145 2.3 Data collection

146 A summary of datasets and associated organization sources, metrics, and measurement methods used in the assessment
147 is provided in Table 1. These data were used to calculate the health assessment components for each of the six
148 watersheds.

149 For the landscape, stream geomorphology and aquatic habitat assessment, Geographic Information System (GIS)
150 datasets were used. The elevation data used the 90 m grid size Shuttle Radar Topography Mission (SRTM) digital
151 elevation model (DEM) supplied by the International Center for Tropical Agriculture (CIAT). The land cover map for
152 nine classes of land cover (coniferous forest, deciduous forest, mixed forest, paddy rice, upland crop, urban, grassland,
153 bare field, and water) for 2008 was obtained from the Korea Ministry of Environment (KME). The stream map for
154 national and local streams was obtained from the Ministry of Land, Infrastructure, and Transport (MOLIT) of South
155 Korea. The information on the location and number reservoirs for the Han River basin was obtained from the Korea
156 Rural Community Corporation (KRC).

157 For the hydrology and water quality assessments, the SWAT modeling outputs for a total of 237 sub-watersheds
158 for the Han River basin, including ungauged locations, were used. The monitoring data for hydrology include only
159 streamflow and do not include data for the water balance components associated with the surface-groundwater
160 interaction. The monitoring data for water quality are not exhaustive. The period of the water quality components of
161 interest for this study, such as sediments, total nitrogen (T-N) and total phosphorus (T-P), is not sufficient to analyze
162 long-term changes. The daily continuous record of precipitation (PREC), total runoff (TQ), surface runoff (SQ),
163 infiltration (INFILT), soil water storage (SW), lateral flow (LQ), percolation (PERCOL), groundwater recharge
164 (RECHARGE), and return flow (GWQ) data for the hydrology metric and sediment, T-N, and T-P for the water quality
165 metric were obtained from SWAT modeling for a thirty-year period (1985–2014).

166 For the biological assessment, the monitoring data were obtained from the Korea Ministry of Environment (KME)
167 in South Korea which has been monitoring river ecological data for 360 monitoring stations in the Han River and its
168 tributaries since 2008. Samples of trophic diatom communities (339 species), benthic macroinvertebrate communities
169 (344 species), and fish communities (394 species) were collected from the monitoring stations in September and
170 October of each year during the six years (2008–2013) and the Trophic Diatom Index (TDI), Benthic



171 Macroinvertebrate Index (BMI), and Fish Assessment Index (FAI) were calculated and classified by ranking the
172 arithmetic means. Details of the data collection and calculation procedures are provided in the Nationwide Aquatic
173 Ecological Monitoring Program Report (Ministry of Environment, 2013).

174

175 <Table 1>

176

177 2.4 Hydrology and water quality simulations using the SWAT model

178

179 The SWAT model is a physically based, continuous, long-term, distributed parameter model designed to predict the
180 effects of land management practices on hydrology and water quality in agricultural watersheds under varying soil,
181 land use, and management conditions (Arnold et al., 1998). The SWAT model is based on the concept of hydrologic
182 response units (HRUs), which are portions of a sub-basin with unique land use, management, and soil attributes. The
183 runoff, sediment, and nutrient loadings from each HRU are calculated separately based on weather, soil properties,
184 topography, vegetation, and land management and are then summed to determine the total loading from the sub-basin
185 (Neitsch et al., 2002). A detailed description can be found in the Soil and Water Assessment Tool user's manual and
186 theoretical documentation (Neitsch et al., 2005).

187 The watershed health assessment requires the indicator data for hydrology and water quality to be simulated by the
188 SWAT model, and the detailed component selection is presented in Sections 2.5.3 and 2.5.4. This section briefly
189 summarizes the model data and implementation and the statistical results of calibration and validation.

190

191 2.4.1 Measured data for the SWAT model evaluation

192 The Han River Basin was divided into 237 sub-watersheds and 1,987 HRUs for SWAT modeling. The sub-watershed
193 delineation was defined using the 90 m SRTM DEM supplied by the CIAT. A 2008 land cover map for nine classes
194 (coniferous forest, deciduous forest, mixed forest, paddy rice, upland crop, urban, grassland, bare field, and water)
195 were obtained from KME (Figure 2b). A soil map containing texture, depth and drainage attributes was rasterized to
196 a 90 m grid size from a 1:25,000 scale vector map supplied by the Korea Rural Development Administration (RDA)

197 In this study, three multipurpose dams (Hoengseong, Soyang, and Chungju), one hydroelectric dam (Paldang), and
198 three multifunction weirs (Kangcheon, Yeosu and Ipo) were selected as SWAT model calibration points (Figure 2a).



199 The Hoengseong Dam (HSD) and Chungju Dam (CJD), located in the upstream region of the South Han River basin,
200 have storage capacities of 87 million m³ and 2.8 billion m³, respectively. Its storage capacity makes CJD the second
201 largest dam in South Korea. The Soyang Dam (SYD), located upstream in the North Han River basin, has a storage
202 capacity of 2.9 billion m³, making it the largest dam in South Korea. The Kangecheon weir (KCW), Yeosu weir (YJW)
203 and Ipo weir (IPW) were constructed by the government in 2012 to secure water resources and prevent flooding. These
204 weirs are directly linked to the Paldang Dam (PDD), which can supply more than 2.6 million m³ of water per day to
205 Seoul and its metropolitan areas and has a storage capacity of 244 million m³. The observation data were prepared to
206 evaluate the SWAT model and simulate of the hydrological cycle and water quality including daily meteorological
207 data, dam inflow, dam outflow, dam storage, evapotranspiration, soil moisture, sediments, T-N, and T-P. Thirty-one
208 years (1984–2014) of daily meteorological data (precipitation, maximum and minimum temperature, relative humidity,
209 wind speed, and solar radiation) were collected from nineteen weather stations of the KMA. For the calibration and
210 validation of the watershed hydrology with dam operations, ten years (2005–2014) of daily dam inflow, outflow and
211 storage volume data for the multipurpose dams were obtained from three water level stations (HSD, SYD and CJD)
212 monitored by the Korea Water Resources Corporation and one water level station (PDD) monitored by the Korea
213 Hydro & Nuclear Power Co., Ltd. In addition, two years (2013–2014) of daily measured dam inflow, outflow and
214 storage volume data for the three multifunction weirs (KCW, YJW and IPW) monitored by the Korea Water Resources
215 Corporation were used. For the calibration and validation of stream water quality, ten years (2005–2014) of eight-day
216 intervals for sediments, T-N, and T-P data were obtained from seven stations (SG, CSG, JW, KCW, YJW, IPW, and
217 PDD) for the hydrology monitored by the KME. Figure 2a shows the gauging stations for the SWAT modeling.

218

219 2.4.2 Calibration and validation of the model

220 The SWAT model was calibrated at seven locations in the main river reaches using five years (2005–2009) of daily
221 inflow, storage volume data for the dams and weirs, sediments, T-N, and T-P data and was subsequently validated
222 using another five years (2010–2014) of data using the average calibrated parameters. In addition, the model was
223 spatially calibrated and validated using evapotranspiration and soil moisture data measured at two locations (SM and
224 CM) and groundwater level data measured at five locations (GPGP, YPGG, YPYD, YIMP, and HCGD) over five years
225 (2009–2013). The parameters were calibrated by trial and error until they achieved the necessary modeling
226 performance. The calibrated parameters and hydrograph of the calibration results in the Han River basin were



227 described by Chung et al (2017).

228 The statistical results for hydrology and water quality for the model calibration and validation are summarized in
229 Table 2. The coefficient of determination (R^2), the Nash and Sutcliffe model efficiency (NSE), the root-mean-square
230 error (RMSE), and the percent bias (PBIAS) were used to evaluate the ability of the SWAT model to replicate temporal
231 trends in the observed hydrological and water quality data. In the case of dam inflow, the R^2 value was greater than
232 0.59. The average NSE was 0.59 at HSD, 0.78 at SYD, 0.61 at CJD, 0.79 at KCW, 0.77 at YJW, 0.88 at IPW, and 0.87
233 at PDD. The PBIAS values of HSD, CJD, SYD, KCW, YJW, IPW and PDD were 13.5%, 12.2%, 9.4%, 11.5%, 19.8%,
234 21.4%, and 4.5%, respectively. In the case of the dam storage volume, the average R^2 was between 0.40 and 0.96 and
235 the PBIAS was between 0.9% and 18.9% for each calibration point. The average R^2 for evapotranspiration was
236 between 0.70 and 0.81, the soil moisture was between 0.75 and 0.85, and the groundwater level was between 0.40 and
237 0.70 for each calibration point. The average R^2 for the sediment was between 0.54 and 0.90, T-N was between 0.46 and
238 0.82, and T-P was between 0.47 and 0.80 for each calibration point. The calibration results were consistent with the
239 SWAT calibration guidelines ($NSE \geq 0.5$, $PBIAS \leq 28\%$, and $R^2 \geq 0.6$, Moriasi et al., 2007; Santhi et al., 2001) and were
240 found to be satisfactory.

241

242 <Table 2>

243

244 2.5 Data reconstruction for watershed health assessment

245 2.5.1 Landscape condition

246 The area of natural land cover (forest, wetland, river, and natural grassland) within a watershed can be an important
247 indicator of watershed health. Impervious land cover associated with roads and residential and urban areas can increase
248 watershed runoff, leading to instream flow alteration, geomorphic instability, and increased pollutant loading.
249 According to previous studies, a smaller area of impervious land cover may have significant impacts on aquatic
250 ecosystem health (e.g., King et al., 2011; Wang and Yin, 1997).

251 The extent and connectivity of the natural land cover within a watershed are very important for ecological integrity.
252 Natural land cover within the watershed, and especially within headwater areas and riparian corridors, helps to
253 maintain the hydrologic regime, regulates inputs of nutrients and organic matter, and provides habitat for fish and
254 wildlife (U.S. EPA, 2012). In the present study, assessing the connectivity of the natural land cover (forest, wetland,



255 river, and natural grassland) of watersheds involved a green area assessment; green areas comprise areas of
256 unfragmented natural land cover and corridors of sufficient width to allow the migration of wildlife between the
257 watersheds (Figure 3a). For the 237 sub-watersheds of Han River basin, the percentage of each watershed area
258 occupied by natural land cover (habitat blocks) was calculated using GIS techniques. The green area metric was
259 calculated as follows:

260

$$261 \quad \text{Green area metric} = \frac{\text{Area (km}^2\text{) of natural land cover in watershed}}{\text{Total area (km}^2\text{) in watershed}} \quad (1)$$

262

263 The amount of natural land cover within the active river area is another important indicator of the landscape
264 condition. The natural land cover within the active river area, including the river channel, lakes and ponds, and the
265 riparian lands, is necessary for the physical and ecological functioning of the aquatic ecosystem (U.S. EPA, 2012).
266 Active river areas, in their natural state, maintain the ecological integrity of rivers, streams, and riparian areas and the
267 connection of those areas to the local ground water system (IPCC, 2007). The methods used to delineate the active
268 river area involve GIS techniques and analyses of elevation, land cover, and wetlands data. For the streamside areas
269 not yet decided the criteria for identifying, an area with a width of 30–50 meters can be used as a cutoff for identifying
270 streamside material contribution areas (US. EPA, 2012). In this study, for the 237 sub-watersheds of Han River basin,
271 the percentage of natural land cover within the riparian area within 50 meters of stream was calculated for each
272 watershed using GIS techniques (Figure 3b). The active river area metric was calculated as follows:

273

$$274 \quad \text{Active river area metric} = \frac{\text{Area (km}^2\text{) of natural land cover in active river area}}{\text{Total area (km}^2\text{) in active river area}} \quad (2)$$

275

276 <Figure 3>

277

278 2.5.2 Stream geomorphic condition

279 The natural stream geomorphology can be an important indicator of watershed health because it can fragment both
280 the terrestrial and aquatic habitats throughout a watershed. Kline et al. (2009) performed detailed assessments of
281 stream geomorphic conditions using the Vermont Stream Geomorphic Assessment Protocols for the streams of



282 Vermont, USA. The assessment protocols are GIS-based analyses using elevation, land cover, and stream network
283 data layers to classify stream types and evaluate the conditions of individual reaches based on a comparison to
284 reference conditions for that stream type.

285 Table 3 provides descriptions of the stream geomorphic condition categories that are determined through the stream
286 impact rating and the stream order for the watershed health assessment of the geomorphic condition in the Han River
287 basin. In this study, the assessment of geomorphic condition was performed in a manner similar to that used for the
288 stream condition categories of the Vermont Stream Geomorphic Assessment Protocols. The stream order was
289 calculated for nine levels (Figure 4a) using a DEM and stream map, and four river classifications were created through
290 follow-up with detailed land cover assessments (Figure 4b). There are four river classifications for reference
291 (mountainous river, stream order 1), good (small river, stream orders 2–3), fair (local river, stream orders 4–5), and
292 poor (urban and national river, stream orders 6–9). The percentage of the assessed stream length in the reference
293 condition was calculated for each watershed. The stream geomorphology metric was calculated as follows:

294

$$295 \text{ Stream geomorphology metric} = \frac{\text{Stream length (km) of reference condition in watershed}}{\text{Total stream length (km) in watershed}} \quad (3)$$

296

297 <Figure 4>

298 <Table 3>

299

300 2.5.3 Hydrologic condition

301 The assessment of the hydrologic condition of a watershed requires long-term streamflow observation data for the 237
302 sub-watersheds of Han River basin. However, there were not enough gauging stations to fully assess the entire
303 watershed over the full thirty-year period. There were no data for the water balance components associated with the
304 surface–groundwater interaction, except for streamflow. Where long-term flow data are not available, they can be
305 estimated using hydrologic modeling techniques. To this end, the SWAT hydrologic model was used to simulate the
306 water balance components within the Han River basin.

307 To simulate the potentially available water quantity of the basin, the model was applied by dividing the basin into
308 237 sub-watersheds considering the water resources facilities operation (inflow and storage volume) of three
309 multipurpose dams, one hydroelectric dam, and three multifunction weirs. The SWAT simulation outputs—including



310 PREC and TQ for the total processes; SQ for the surface processes; INFILT, SW, and LQ for the soil water dynamics;
311 and PERCOL, RECHARGE, and GWQ for the groundwater dynamics—of each of the 237 sub-watersheds were
312 reported. All the results of the SWAT model were output in mm.

313 The annual average water balance components at the surface, in the unsaturated zone, and in a shallow aquifer can
314 serve as indicators of potential hydrologic alteration. The surface water and lateral groundwater flow interactions were
315 of major importance for the water balance in the Han River basin. In particular, infiltration, return flow, and
316 groundwater recharge were important factors for the whole hydrological cycle. In this study, the SWAT model results
317 were used to reconstruct daily time-series for the hydrologic components PREC, TQ, SQ, INFILT, SW, LQ, PERCOL,
318 RECHARGE, and GWQ for a thirty-year period (1985–2014) (Figure 5). The annual average value for the total of the
319 237 sub-watersheds during this period was used as the reference condition (Table 4). Dividing the simulated value of
320 the watershed by the reference condition yields the storage ratio of the nine components. The storage ratios of the nine
321 components were divided into four hydrologic classifications—total metric (PREC and TQ), surface processes metric
322 (SQ), soil water dynamics metric (INFILT, SW, and LQ), and groundwater dynamics metric (PERCOL, RECHARGE,
323 and GWQ)—for use in establishing specific management objectives. The storage ratio of each component for the four
324 hydrology metrics was calculated for each watershed and used as a metric of the hydrologic condition. The hydrology
325 metric was calculated as follows:

326

$$327 \text{ Hydrology metric} = \frac{\text{Simulated value (mm) (PREC,TQ,SQ,INFILT,SW,LQ,PERCOL,RECHARGE,and GWQ) of watershed}}{\text{Average value (mm) for all watersheds in basin}} \quad (4)$$

328

329 <Figure 5>

330

331 2.5.4 Water quality condition

332 The assessment of the water quality of the watershed also requires long-term observational data from the 237 sub-
333 watersheds of the Han River basin. However, the monitoring data for water quality are not exhaustive and not sufficient
334 to analyze long-term changes. In this study, the SWAT model was used to simulate the water quality sediment loads
335 (tons), T-N (kg) and the T-P (kg) within the Han River basin.

336 The SWAT model results were used to reconstruct load-based daily time-series for the water quality constituents
337 sediment (mg/L), T-N (mg/L), and T-P (mg/L) for a thirty-year period (1985–2014) (Figure 6). As part of the Basic



338 Environmental Policy Act (BEPA), South Korea has specified ecoregional water quality criteria for identifying the
339 least-disturbed sites throughout South Korea. These criteria were used to identify the streams and lakes that are likely
340 to be in the reference condition based on their sediment, T-N, and T-P concentrations. The "marginally good" level of
341 a seven-point scale (excellent, very good, good, marginally good, fair, poor, very poor) of water quality criteria for
342 streams and lakes was used for the reference condition (Table 4). The percentage of the assessed value in the reference
343 condition was calculated for each watershed. The water quality metric was calculated as follows:

344

$$345 \text{ Water quality metric} = \frac{\text{Simulated value (mg/L) (sediment, T-N, and T-P) of watershed}}{\text{Reference value (mg/L) in watershed}} \quad (5)$$

346

347 <Figure 6>

348

349 2.5.5 Aquatic habitat condition

350 The quality of aquatic habitat is dependent on the surrounding landscape and the hydrologic and geomorphic processes.
351 Therefore, habitat condition is partly accounted for through indicators representing those assessment components. The
352 potential for organisms to migrate upstream and downstream within a riverine system can also serve as an indicator
353 of aquatic habitat condition. Lakeshores also have riparian zones that serve as a source of organic material to the lake
354 aquatic habitat and help stabilize the lake perimeter (U.S. EPA, 2012). EPA's National Lakes Assessment (NLA)
355 identified poor lakeshore habitat as the most prominent stressor to the biological health of lakes (U.S. EPA, 2009).
356 The density of reservoirs per stream length was calculated and used as an indicator of aquatic habitat connectivity
357 (Figure 7a). The aquatic habitat connectivity metric was calculated as follows:

358

$$359 \text{ Aquatic habitat connectivity metric} = \frac{\text{Number of reservoirs in watershed}}{\text{Total stream length (km) in watershed}} \quad (6)$$

360

361 Intact wetlands help to maintain natural hydrologic regimes, provide important habitat for fish and wildlife, and
362 regulate water quality. The percentage of the watershed occupied by wetlands was calculated and used as an additional
363 indicator of habitat condition for each watershed (Figure 7b). The wetland metric was calculated as follows:

364



365
$$\text{Wetland metric} = \frac{\text{Area (km}^2\text{) of wetlands in watershed}}{\text{Total area (km}^2\text{) in watershed}} \quad (7)$$

366

367 <Figure 7>

368

369 2.5.6 Biological condition

370 Based on the understanding that aquatic ecological environmental degradation is one of the leading causes of stream
371 impairment, the Ministry of Environment of South Korea began collecting variables of biological community diversity
372 as part of its Nationwide Aquatic Ecological Monitoring Program for a six-year period (2008–2013). Based on a
373 statistical evaluation of these data, three biological indicators (TDI, BMI, and FAI) were chosen to identify healthy
374 instream conditions for the Han River basin. In the Han River basin, the TDI, BMI, and FAI were developed from
375 epilithic diatoms, benthic macroinvertebrates, and fish assessments for estimating the overall biological condition
376 during the six years (2008–2013); these data can be used to identify healthy instream conditions in the context of
377 aquatic ecosystem health. Healthy watersheds should have TDI, BMI, and FAI scores close to the reference conditions.
378 According to the Nationwide Aquatic Ecological Monitoring Program Report (Ministry of Environment, 2013), the
379 indices with a range from 0 to 100 were classified on a four-point scale of best, good, fair, and poor for the biological
380 condition criteria, and the best and good levels were used as the reference condition (Table 3). The percentage of the
381 assessed scores on the TDI, BMI, and FAI in the reference condition was calculated for each watershed (Figure 8).
382 The biological condition metric was calculated as follows:

383

384
$$\text{Biological condition metric} = \frac{\text{Observed value (TDI, BMI, and FAI) of watershed}}{\text{Reference value for watershed}} \quad (8)$$

385

386 <Figure 8>

387

388 2.6 Watershed health index formulation

389 The definition of the watershed health index is presented by the U.S. EPA for integrated watershed health evaluations.
390 Watershed health was evaluated by normalizing the metric scores to integrate the data on multiple healthy watershed
391 attributes into a composite score. Normalization was conducted by simply defining a reference value for the indicator



392 score that is considered healthy based on percentile rank. For communication purposes, the indicator score was scaled
393 to normalize the final sub-index and watershed health index scores to range from 0 to 1. Table 4 shows the definition
394 of the “healthy” reference value for the hydrology, water quality, and biological indicators. The indicator scores must
395 also be directionally aligned, meaning that higher scores should equate to “better” conditions for each metric. For
396 metrics that are not directionally aligned in their original units (e.g., water quality components), the inverse (1/X) of
397 each value can be taken.

398 A composite index of watershed health was constructed by averaging the normalized indicator scores for each
399 attribute. For attributes with more than one indicator, a sub-index was first calculated. The sub-indices were then
400 averaged to obtain the integrated watershed health index score (U.S. EPA, 2012). Depending on the specific
401 management objectives, it may be appropriate to place more weight on some ecological attributes than on others and
402 to use optional sub-indexes. At that point, the process becomes subjective and a logical decision framework can be
403 used to solicit and document expert opinion (Smith et al., 2003). Weighting was not used in this study for integrated
404 assessment. The normalized metrics, sub-index, and integrated watershed health index were calculated as follows:

405

$$406 \quad \text{Normalized metric value} = \frac{\text{Observed or simulated metric for watershed } x}{\text{Reference metric value for all watersheds in basin}} \quad (9)$$

407

$$408 \quad \text{Sub-index} = \frac{(\text{Normalized metric } 1 + \text{Normalized metric } 2 + \dots + \text{Normalized metric } x)}{\text{Total number of metrics}} \quad (10)$$

409

$$410 \quad \text{Watershed health index} = \frac{(\text{sub-index } 1 + \text{sub-index } 2 + \dots + \text{sub-index } x)}{\text{Total number of sub-indices}} \quad (11)$$

411

412 <Table 4>

413

414 **3. Results and discussion**

415 3.1 Watershed health by each component in the Han River basin

416 Using the data reconstruction results for the six components of landscape, stream geomorphology, hydrology, water
417 quality, aquatic habitat condition, and biological condition, the watershed health analysis for each component was
418 conducted in 237 sub-watersheds as standard watersheds of the Han River basin. The sampling areas used to explain



419 the differences in watershed health results for each component were standard watersheds 101206 (urban 1.4% and
420 forest 88.1%), 100201 (urban 0.8% and forest 88.2%) and 101801 (urban 9.8% and forest 5%) (Figure 2a). The 101206,
421 100201, and 101801 standard watersheds are located in the upstream region of the Soyang Dam (SYD), in the
422 upstream region of the Chungju Dam (CJD), and in the downstream region of the Paldang Dam (PDD), respectively.

423 Figure 3 shows the landscape condition for green area (Figure 3a) and active river area (Figure 3b) indicators in
424 the Han River basin. Figure 12a shows the sub-index score for the watershed health assessment calculated according
425 to these two assessment indicators. The spatial patterns of watershed health for green areas were healthier for upstream
426 watersheds because the farther the watersheds are from the urban area, the greater in the increase in natural land cover.
427 The spatial patterns of watershed health for the active river area within 50 m of a stream were healthier for the upstream
428 watersheds for the same reason. For the 101206 standard watershed, the normalized values of the green area and the
429 active river area were 0.93 and 0.82, respectively, and the sub-index score of 0.89, which integrated the two normalized
430 values, indicated a very healthy watershed. For the 100201 standard watershed, the normalized values of the green
431 area and the active river area were 0.78 and 0.57, respectively, and the sub-index score of .0.66, which integrates the
432 two normalized values, indicates a less healthy watershed. In contrast, the 101801 standard watershed was revealed
433 to be in very poor health, with a score of 0.17 for the sub-index, while the normalized values of the green area and
434 active river area were 0.25 and 0.09, respectively. Hence, the study found that the downstream reaches of the Han
435 River basin are in greater need of green areas and active river areas compared to the upstream.

436 Figure 4 shows the stream geomorphology condition in the Han River basin. Figure 12b shows the sub-index score
437 for the watershed health assessment calculated using stream geomorphology indicators. The percentage of the length
438 of the assessed stream channel in reference condition was greater for the upstream watershed than the downstream
439 watershed. The high-gradient mountainous streams in the upstream watershed are characterized by relatively clean
440 streams that have not been subject to land cover modifications and river improvement work.

441 Figure 5 shows the SWAT model results for use in assessing the condition of hydrologic components PREC (a),
442 TQ (b), SQ (c), INFILT (d), SW (e), LQ (f), PERCOL (g), RECHARGE (h), and GWQ (i) for the period from 1985
443 to 2014 in the Han River basin. Figure 6 shows the SWAT model results for use in the water quality condition
444 assessment of the water quality constituents sediment (a), T-N (b), and T-P (c) for the same period in the Han River
445 basin. The sub-index results of the hydrologic and water quality conditions calculated are shown in Figure 12c and d,
446 respectively. The precipitation in the watershed directly affects the surface runoff and sediment transport and is the



447 most important factor impacting the maintenance of water quantity and can thus be used to identify areas critical for
448 maintaining watershed health. Nutrient (T-N and T-P) loads are often correlated with surface runoff and sediment
449 transport rates (USDA-SCS, 1972). The fugitive sediment from the landscape is carried by overland flow (surface
450 runoff), and the dominant pathway for nitrate loss is through leaching to groundwater and then via baseflow (Randall
451 and Mulla, 2001).

452 The sub-indices of hydrologic condition calculated by the four hydrologic classifications, such as the total metric
453 (PREC and TQ), surface processes metric (SQ), soil water dynamics metric (INFILT, SW, and LQ), and groundwater
454 dynamics metric (PERCOL, RECHARGE, and GWQ), and the water quality condition calculated by sediment, T-N,
455 and T-P were split into three periods of ten years—1985–1994, 1995–2004, and 2005–2014—for the assessment of
456 changes over time (Figure 9). The test areas used to explain the differences in the results of watershed health the for
457 hydrologic and water quality components are the SYD watershed and CJD watershed located in the upstream region
458 and the PDD watershed and lower watershed located in the downstream region (Figure 2c). For the SYD watershed
459 (Figure 9a), the watershed health scores of the surface water, soil water, and groundwater hydrology increased in the
460 recent past compared to the period 1985–1994 due to the slight increases in PREC and TQ; thus, the watershed water
461 quality was diminished. The health of the hydrology in the CJD watershed showed a decreased tendency in contrast
462 to the SYD watershed as a result of the decrease in PREC and TQ (Figure 9b). In the case of the PDD watershed and
463 the lower watershed, the groundwater of the PDD watershed was not sufficient, but overall watershed health scores
464 remained within their reference levels (approximately 0.5) (Figure 9c and d). This water quantity stress (large volume
465 of water in the stream) may have negative effects on water quality, with a decreased watershed health score for the
466 sediment, T-N, and T-P. In particular, the SYD watershed was rich in soil water and the CJD watershed was rich in
467 surface and groundwater.

468 Figure 10 shows the watershed health index score changes for the hydrologic and water quality conditions during
469 1995–2004 and the most recent ten years (2005–2014) based on the reference period (1985–1994). Improved health,
470 deteriorating health, and no change area in the Han River basin are illustrated with green, red, and white, respectively.
471 On the whole, the watershed hydrologic condition was better in the North Han River basin compared to the South Han
472 River basin. In particular, during the last ten years (Figure 10b), the watershed health was poorer due to worse results
473 for the surface processes metric and soil water dynamics compared to the 1995–2004 period (Figure 10a). However,
474 in the case of water quality, during the last ten years (Figure 10d), the watershed health increasingly improved in parts



475 of Han River basin compared to 1995–2004 (Figure 10c), while the water quality of the Chungju dam (CJD) watershed
476 was growing worse. The water quality policy of South Korea, developed after years of hard work and high costs, thus
477 resulted in some improvements.

478 Figure 11 shows the overlay results (Figure 11c) showing the poor watershed health of both hydrology (Figure 11a)
479 and water quality (Figure 11b). The five poor levels of hydrology and water quality were calculated as the difference
480 between (b) and (a) of Figure 10 and between (d) and (c) of Figure 10, respectively. The spatial distributions of poor
481 watershed health levels allow us to understand the vulnerable areas in parts of the CJD watershed, the upstream SYD
482 watershed, and the downstream PDD watershed with respect to hydrology and water quality.

483

484 <Figure 9>

485 <Figure 10>

486 <Figure 11>

487

488 Figure 7 shows the aquatic habitat condition for the aquatic habitat connectivity (Figure 7a) and wetland (Figure
489 7b) indicators in the Han River basin. Figure 12e shows the sub-index score for the watershed health assessment
490 calculated according to these two assessment indicators. The spatial distribution patterns of the reservoirs for aquatic
491 habitat connectivity were concentrated in the downstream areas of the Han River basin. The spatial distribution
492 patterns of the wetlands seem to follow a similar pattern. For the 101206 standard watershed, the normalized values
493 of the aquatic habitat connectivity and wetland were 0.00 (no reservoir) and 0.99, respectively, and the sub-index score
494 of 0.90, which integrates the two normalized values, indicates a very healthy watershed. In contrast, for the 100201
495 standard watershed, the normalized values of the aquatic habitat connectivity and wetland were 0.46 and 0.34,
496 respectively, and the sub-index score of 0.28, which integrates the two normalized values, indicated an unhealthy
497 watershed. At the 101801 standard watershed, the aquatic habitat condition results from the aquatic habitat
498 connectivity (0.77) and wetland (0.66) indicators show a relatively high value of 0.68.

499 The biological pollution classes of the TDI, BMI, and FAI were examined by ecoregion and river basin (Figure 8).
500 These relationships were found to be significantly correlated. In the downstream areas, the TDI, BMI, and FAI are
501 worse. However, the degree to which the TDI, BMI and FAI predict trophic diatom, benthic macroinvertebrate, and
502 fish communities depends on the presence and levels of other stressors, such as large amounts of chlorophyll-a (Chl-



503 a), low dissolved oxygen (DO) and biochemical oxygen (BOD), and high temperature. The normalized values of TDI,
504 BMI and FAI were 0.70, 0.98, and 0.92, respectively, in the 101206 standard watershed located upstream; 0.69, 0.98,
505 and 0.72, respectively, in the 100201 standard watershed located upstream; and 0.32, 0.25, and 0.25, respectively, in
506 the 101801 standard watershed located downstream.. The sub-index analysis of the TDI, BMI, and FAI was completed
507 except in the no-data areas (North Korea) in the Han River Basin (Figure 12f). The sub-index scores integrating the
508 three normalized values were 0.91 and 0.83 for the 101206 and 100201 standard watersheds, respectively, indicating
509 very healthy watersheds, and the sub-index score of 0.26 at the 101801 standard watershed indicated an unhealthy
510 watershed.

511 The outputs of the watershed health provide basic data for local communities to proactively plan for growth. The
512 sub-index results of the watershed health assessment for each component can be optionally used to guide the master
513 planning process for watershed management at the watershed scale depending on the specific management objectives
514 and can be combined with any of the other sub-indices in the Han River basin for use in determining priority
515 conservation areas.

516

517 3.2 Assessment of integrated watershed health

518 To assess the overall watershed health in the Han River basin, the results of the individual assessments were
519 synthesized to provide an integrated watershed health index score for the thirty-year period (1985–2014). The sample
520 areas used to explain the differences in watershed health results for each component were standard watersheds 101206
521 (urban 1.4% and forest 88.1%), 100201, (urban 0.8% and forest 88.2%) and 101801 (urban 9.8% and forest 55.7%)
522 (Figure 2a). The 101206, 100201, and 101801 standard watersheds were located in the upstream region of the Soyang
523 dam (SYD), in the upstream region of the Chungju dam (CJD), and in the downstream region of the Paldang dam
524 (PDD), respectively.

525 Figure 12 displays the normalized scores for each of the six attribute sub-indices and integrated watershed health score.
526 The integrated watershed health exhibited a decreased tendency farther down the watershed. The integrated watershed
527 health of the 101206 and 100201 standard watersheds was revealed to be very good, with ratings of 1 and 0.91,
528 respectively. However, the 101206 standard watershed exhibited distinctive weakness with respect to hydrologic
529 condition (0.06), especially in the surface (0.16) and groundwater (0.17). Although the 100201 standard watershed
530 was a very healthy watershed, like the 101206 watershed, it showed a distinctive weakness with respect to water



531 quality (0.1) and aquatic habitat condition (0.28). It is important to develop systematic plans to suit watershed
532 circumstances and characteristics so that watershed management is more effective. The 101801 watershed was
533 revealed to be in poor health, with a water quality rating of 0.25. This area requires urgent action to restore the
534 landscape, water quality, and biological conditions and to protect the water quantity. Table 5 shows watershed health
535 scores in test areas (upper/lower stream) of the Han River basin.

536

537 <Figure 12>

538 <Table 5>

539

540 **4. Conclusions**

541 In this study, a watershed health assessment of the Han River basin in South Korea was performed using monitoring
542 data and SWAT modeling results. Six essential indicators of healthy watersheds were used in the assessment: 1)
543 landscape condition, 2) geomorphology, 3) hydrology, 4) water quality, 5) habitat, and 6) biological condition. In
544 particular, the sub-index of watershed health related to hydrology and water quality was developed to assess the
545 possible long-term changes in the watershed using SWAT modeling results.

546 During the most recent ten-year period (2005–2014), the watershed health declined, as indicated by the worse
547 results for the surface processes metric and soil water dynamics compared to the 1995–2004 period. The spatial
548 distributions of poor watershed health levels revealed the vulnerable areas in parts of the CJD watershed, upstream of
549 the SYD watershed, and downstream of the PDD watershed with respect to hydrology and water quality.

550 The sub-index results of the watershed health assessment for each component can be used to guide the master
551 planning process for watershed management at the watershed scale based on specific management objectives and can
552 be combined with any of the other sub-indices in the Han River basin for use in determining priority conservation
553 areas.

554

555 **Acknowledgments**

556 This research was supported by a grant (14AWMP-B082564-01) from the Advanced Water Management Research
557 Program funded by the Ministry of Land, Infrastructure and Transport of the Korean government.

558



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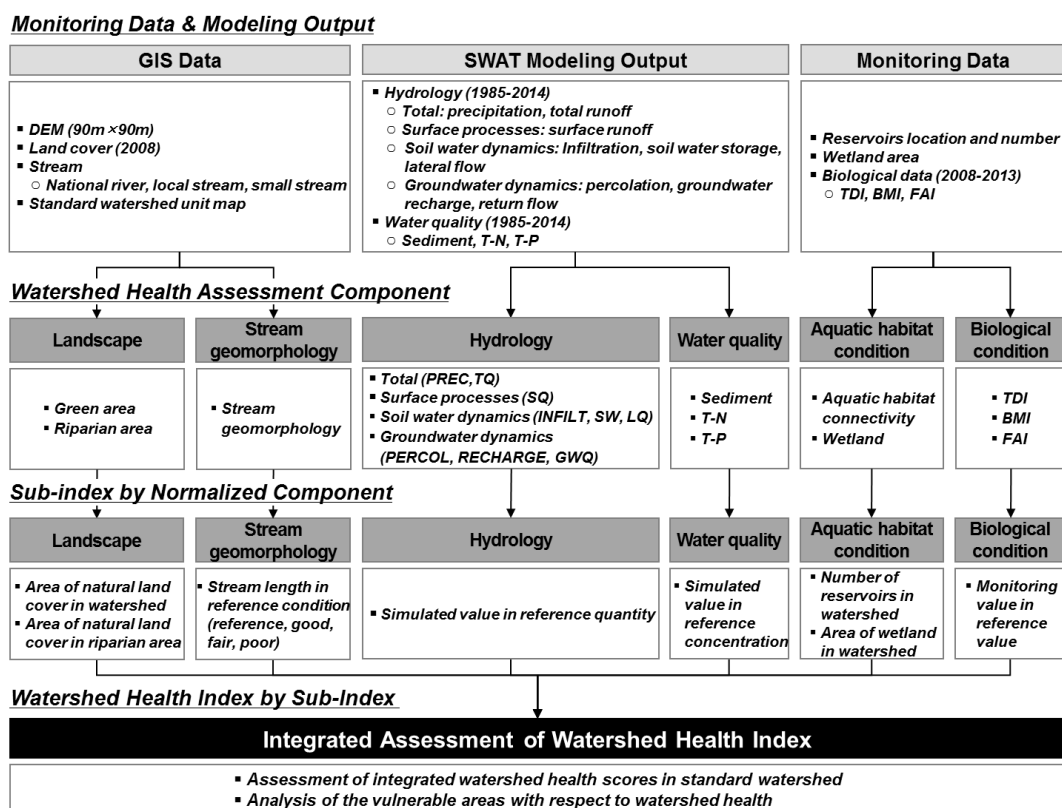
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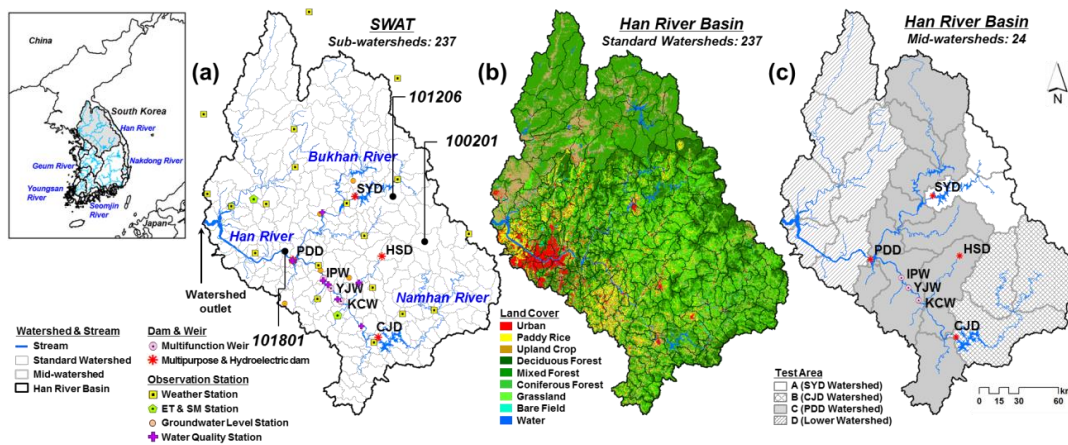
659 Figure 1. Flowchart of the study procedure for the watershed health assessment.



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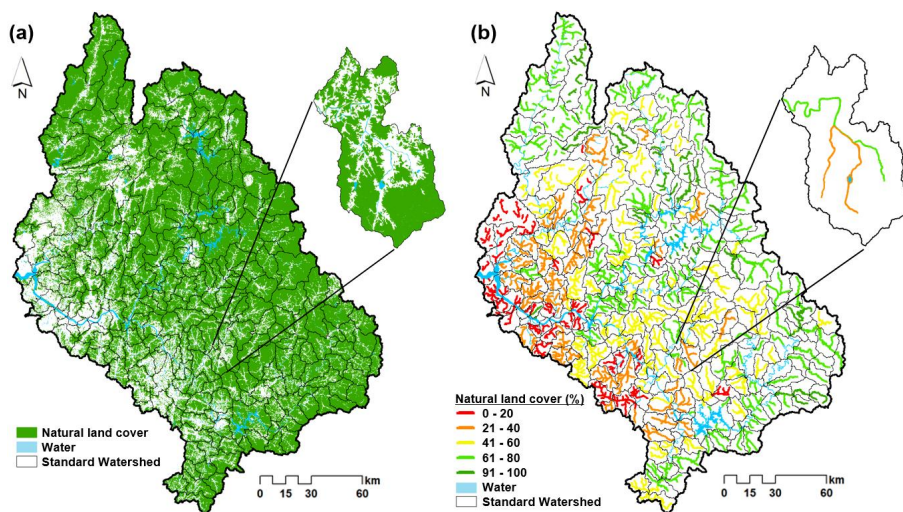
663 Figure 2. Locations of (a) the Han River basin boundaries and gauging stations for the watershed (SWAT) modeling,
 664 (b) land cover classification, and (c) test area.



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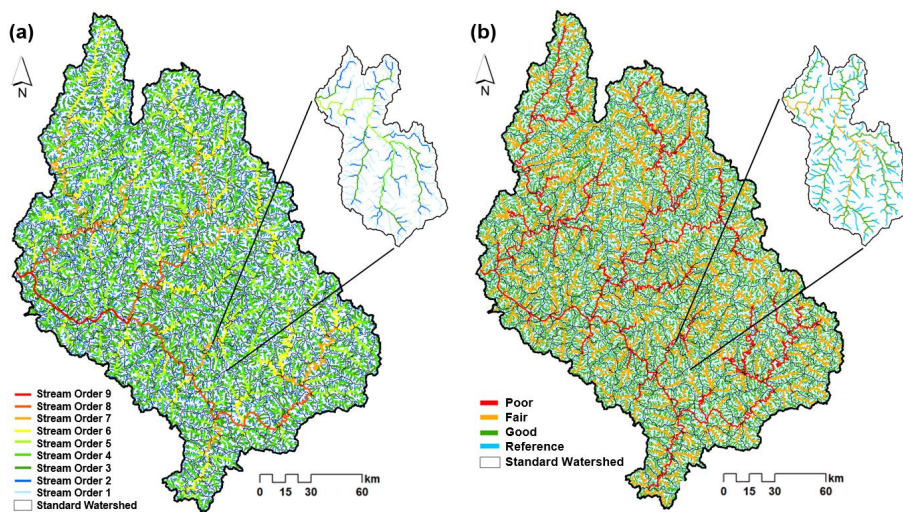


670 Figure 3. Landscape condition for (a) green area and (b) riparian area.





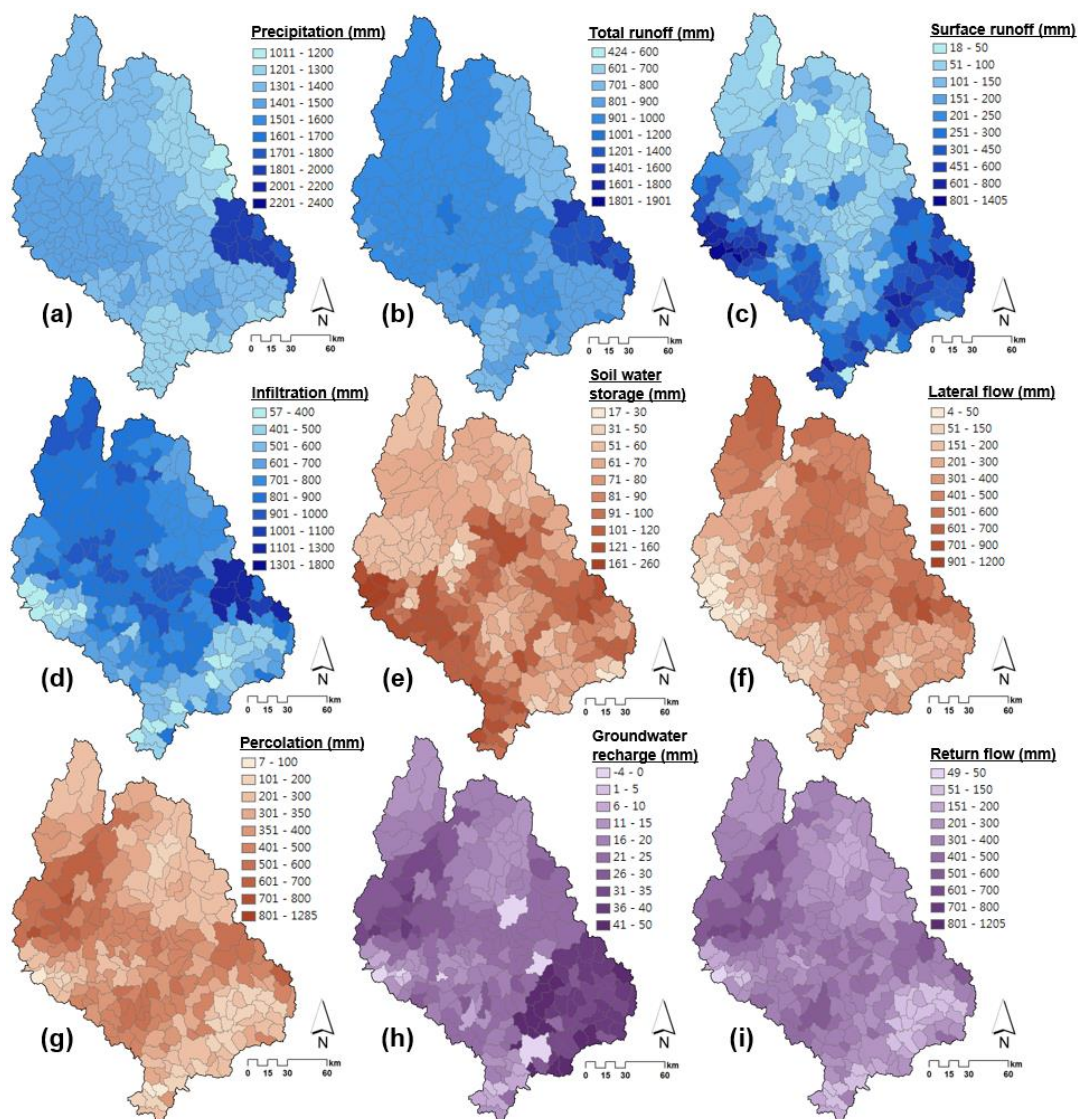
675 Figure 4. Stream geomorphic conditions: (a) stream order and (b) stream geomorphic conditions.



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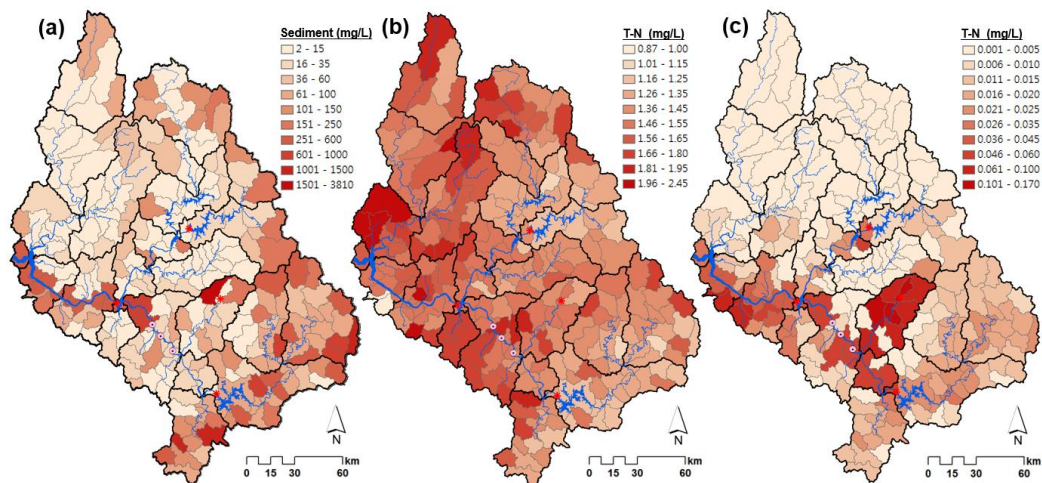
680 Figure 5. Hydrologic condition for (a) precipitation, (b) total runoff, (c) surface runoff, (d) infiltration, (e) soil water
 681 storage, (f) lateral flow, (g) percolation, (h) groundwater recharge, and (b) return flow according to the hydrological
 682 (SWAT) modeling for the period from 1985 to 2014 in the Han River basin.



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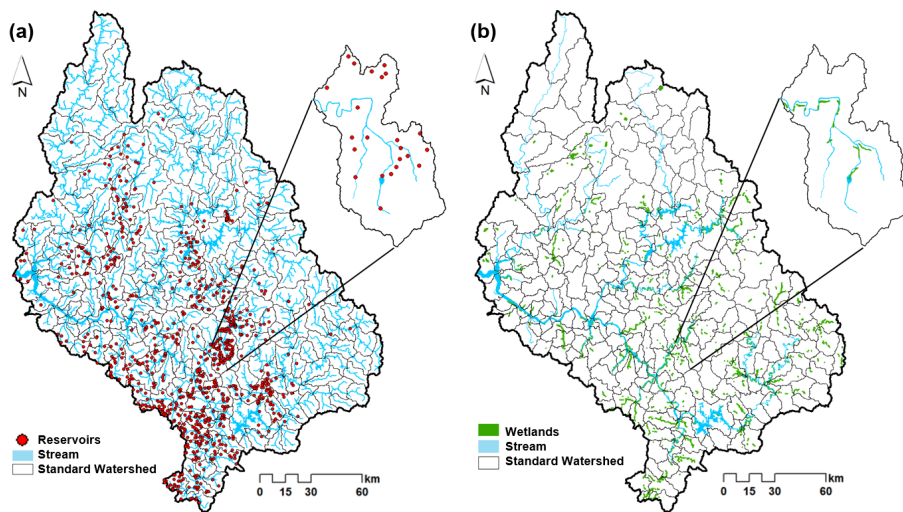
688 Figure 6. Water quality condition for (a) sediment, (b) T-N and (c) T-P according to the hydrological (SWAT) modeling
689 for the period from 1985 to 2014 in the Han River basin.



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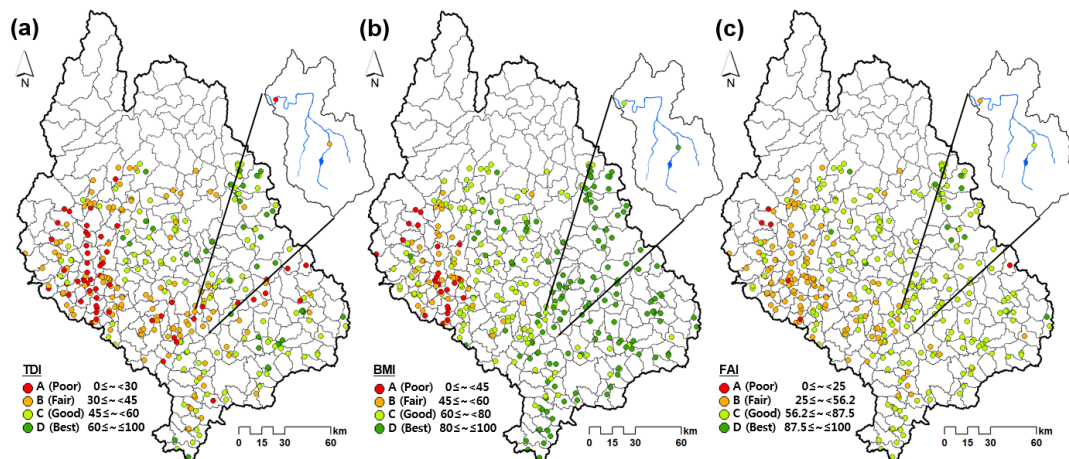


694 Figure 7. Aquatic habitat conditions for (a) aquatic habitat connectivity and (b) wetland.





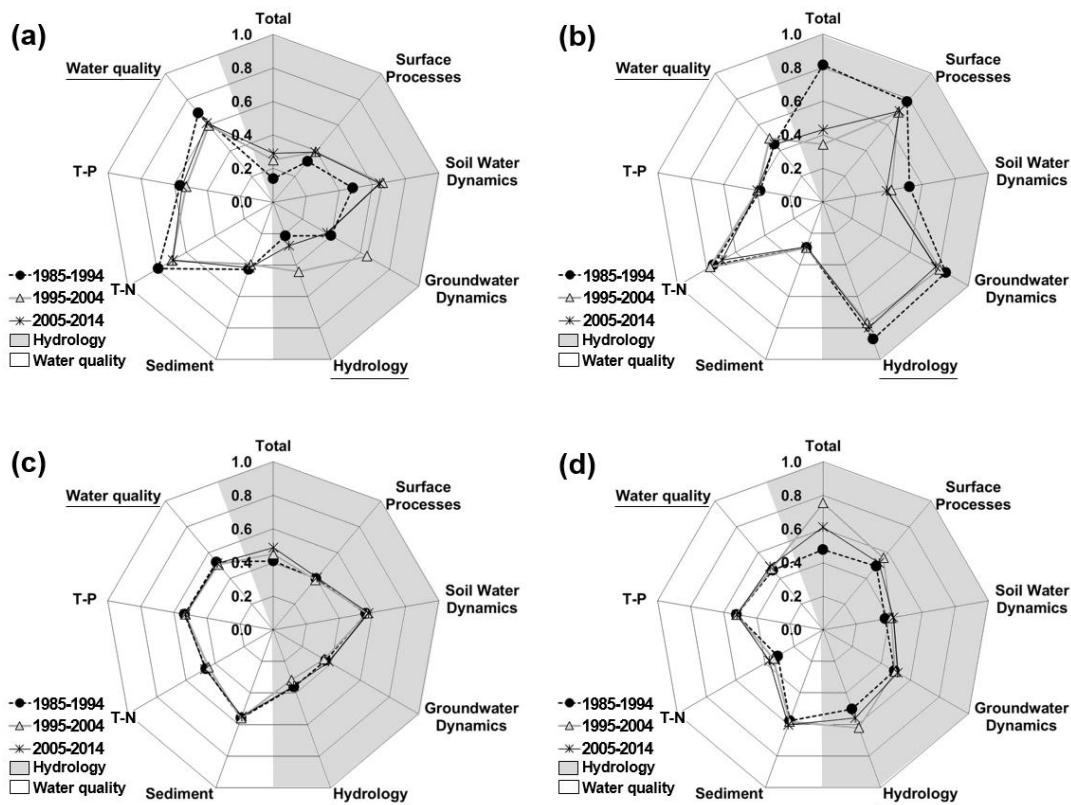
698 Figure 8. Biological conditions of (a) TDI, (b) BMI and (c) FAI according to the observed monitoring data for the
699 period from 2008 to 2013 in the Han River basin.



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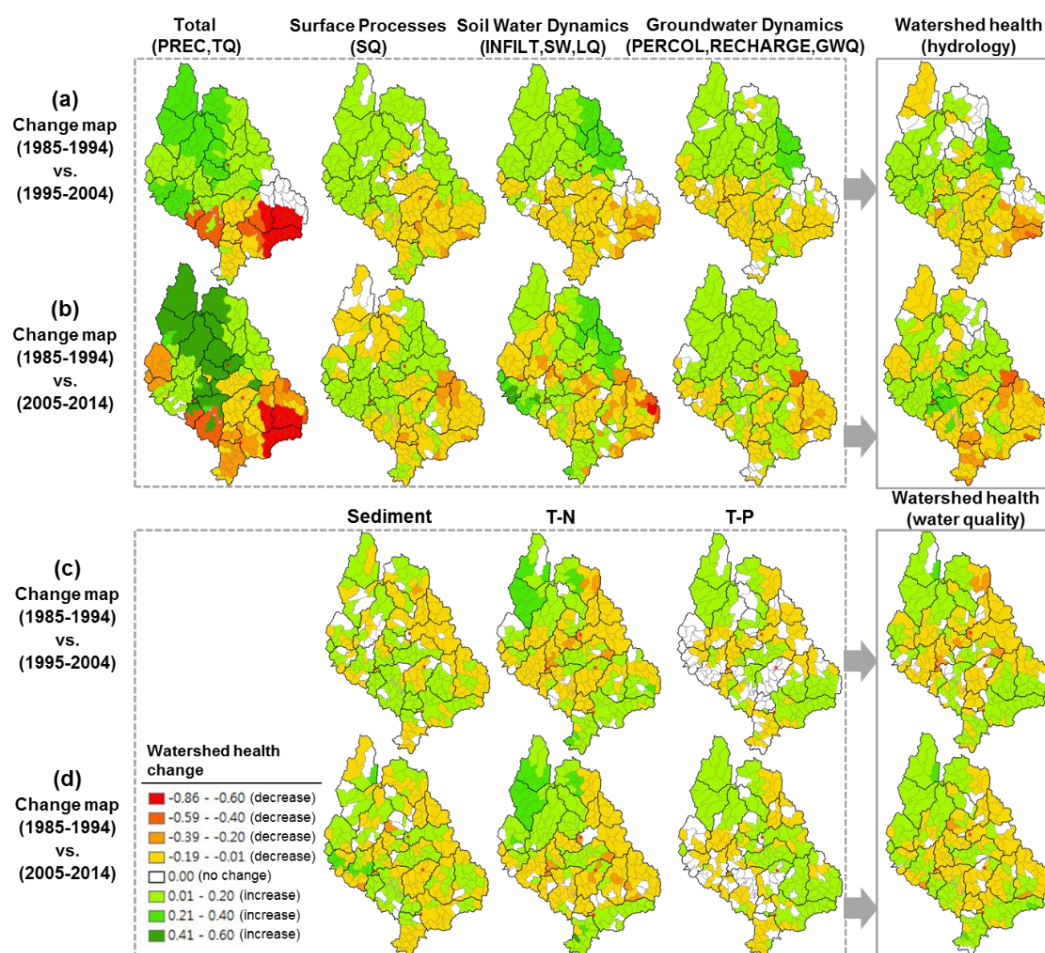
704 Figure 9. Change in hydrology and water quality for (a) A (SYD watershed), (b) B (CJD watershed), (c) C (PDD
 705 watershed), and (d) D (lower watershed) test areas for three ten-year periods.



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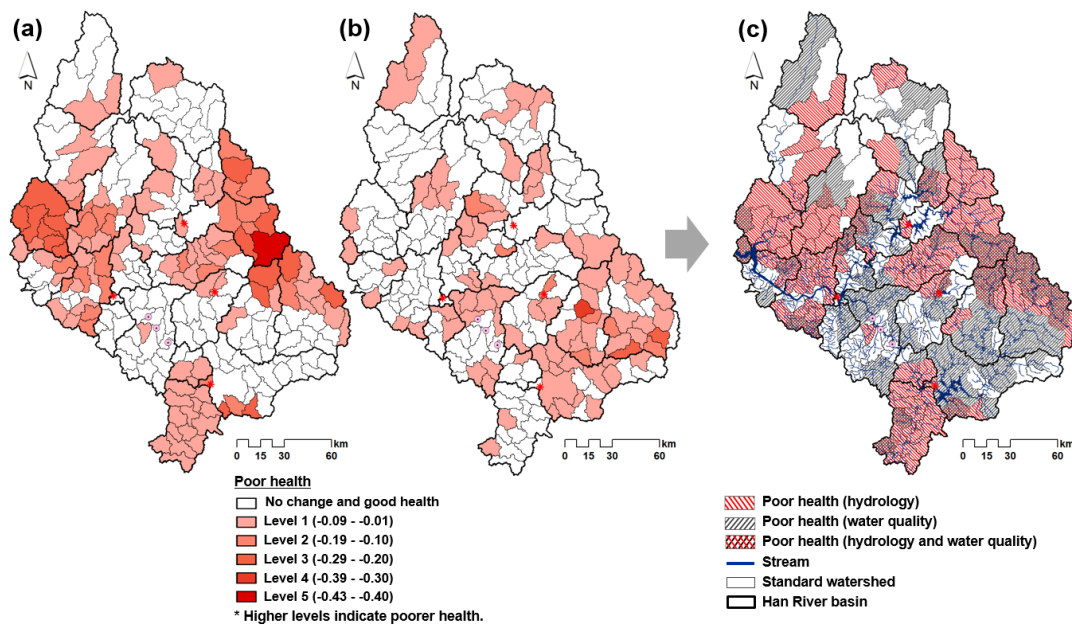
708 Figure 10. The watershed health index score changes for the hydrologic (a and b) and water quality (c and d) conditions
 709 during the period 1995–2004 and the most recent ten-year period (2005–2014) based on the reference period (1985–
 710 1994).



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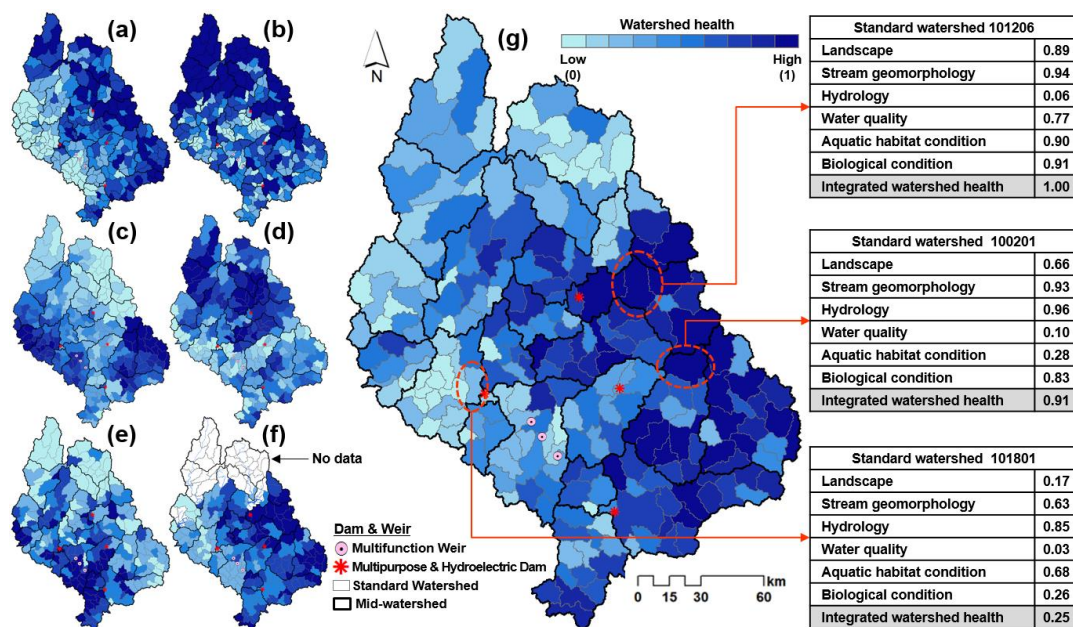
714 Figure 11. The poor watershed health revealed by (a) hydrology, (b) water quality, and (c) overlay results.



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720 Figure 12. The results of the watershed health index for (a) landscape, (b) stream geomorphology, (c) hydrology, (d)
 721 water quality, (e) aquatic habitat, (f) biological condition, and (g) integrated watershed health.



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727 Table 1 Metrics and summary dataset used for the assessment of watershed health in the study watershed

Component (metric)	Measurement method	Dataset
<i>Landscape</i>		
Green infrastructure metric	Percentage of watershed occupied by natural land cover	Land cover 2008 ^[a]
Active river area metric	Percentage of natural land cover within the active river area	Land cover 2008, stream ^[b]
<i>Geomorphology</i>		
Stream geomorphology metric	Percentage of assessed stream length in reference condition	SRTM DEM (90×90) ^[c] , stream
<i>Hydrology</i>		
Total metric	Precipitation and total runoff storage ratio	SWAT modeling data (1985–2014) PREC, TQ
Surface processes metric	Surface runoff storage ratio	SQ
Soil water dynamics metric	Infiltration, soil water and lateral flow storage ratio	INFILT, SW, LQ
Groundwater dynamics metric	Percolation, groundwater recharge and return flow storage ratio	PERCOL, RECHARGE, GWQ
<i>Water quality</i>		
Water quality metric	Percentage of assessed value in reference criteria	SWAT modeling data (1985–2014) Sediment, T-N, T-P
<i>Aquatic habitat condition</i>		
Habitat connectivity metric	Reservoir density (number of reservoirs per stream length)	Reservoir location map ^[d] , stream
Wetland metric	Percentage of watershed occupied by wetlands	Land cover 2008
<i>Biological condition</i>		
Biological metric	Percentage of assessed score in reference condition	Monitoring data (2008–2013) ^[e] TDI, BMI, FAI

728 Main data sources included ^[a] the Korea Ministry of Environment (KME); ^[b] the Ministry of Land, Infrastructure, and Transport (MOLIT) in
729 South Korea; ^[c] the International Center for Tropical Agriculture (CIAT); ^[d] the Korea Rural Community Corporation (KRC); and ^[e] the Korea
730 Ministry of Environment (KME) in South Korea (Ministry of Environment, 2013).

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734 Table 2 Calibration and validation results for dam inflow, dam storage volume, evapotranspiration and soil moisture,
 735 groundwater level fluctuation, sediments, T-N, and T-P at each calibration point.

Model output	Evaluation criteria	Cal.	Val.	Cal.	Val.	Cal.	Val.	Cal.	Val.	Cal.	Val.	Cal.	Val.	Cal.	Val.
Dam inflow (mm)	Locations	HSD		SYD		CJD		KCW		YJW		IPW		PDD	
	R ²	0.82	0.84	0.90	0.89	0.81	0.74	0.90	0.63	0.91	0.62	0.93	0.59	0.92	0.88
	NSE	0.61	0.57	0.78	0.78	0.63	0.58	0.78	0.79	0.77	0.76	0.81	0.95	0.83	0.76
	RMSE (mm/day)	7.9	9.3	3.8	3.9	3.5	3.1	6.5	0.7	9.1	2.4	9.2	2.9	0.8	2.3
	PBIAS (%)	14.5	12.5	10.3	14.0	8.9	9.9	18.0	4.9	25.5	14.1	25.6	17.2	2.2	6.8
Dam storage (10 ⁶ m ³)	Locations	HSD		SYD		CJD		KCW		YJW		IPW		PDD	
	R ²	0.73	0.77	0.94	0.96	0.87	0.84	0.57	0.85	0.47	0.83	0.47	0.79	0.40	0.44
	PBIAS (%)	18.9	9.9	16.3	9.3	18.2	15.2	5.1	7.4	3.7	11.1	9.1	7.2	0.9	1.4
Evapotrans- piration (mm)	Locations	SM		CM											
	R ²	0.81	0.73	0.70	0.74	-	-	-	-	-	-	-	-	-	-
	NSE	0.64	0.45	0.50	0.55	-	-	-	-	-	-	-	-	-	-
	RMSE (mm/day)	2.3	9.1	4.0	3.0	-	-	-	-	-	-	-	-	-	-
Soil moisture (%)	Locations	SM		CM											
	R ²	0.85	0.75	0.78	0.78	-	-	-	-	-	-	-	-	-	-
Groundwater level (EL.m)	Locations					GPGP		YPPG		YPPD		YIMP		HCGD	
	R ²	-	-	-	-	0.70	0.63	0.64	0.45	0.70	0.41	0.53	0.40	0.69	0.67
Sediment (ton) T-N (kg) T-P (kg)	Locations	SG		CSG		JW		KCW		YJW		IPW		PDD	
	R ²	0.78	0.70	0.78	0.76	0.90	0.71	0.54	0.64	0.84	0.54	0.69	0.66	0.72	0.80
	R ²	0.58	0.71	0.64	0.71	0.82	0.68	0.50	0.61	0.52	0.49	0.46	0.62	0.66	0.62
	R ²	0.77	0.77	0.88	0.88	0.80	0.56	0.56	0.58	0.50	0.47	0.66	0.70	0.74	0.69
	R ²	0.77	0.77	0.88	0.88	0.80	0.56	0.56	0.58	0.50	0.47	0.66	0.70	0.74	0.69

^[a] Cal. = calibration period (HSD, SYD, CJD and PDD: 2005-2009, KCW, YJW and IPW: 2013) and Val. = validation period (HSD, SYD, CJD and PDD: 2010-2014, KCW, YJW and IPW: 2014)

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743 Table 3 Description of the stream geomorphic condition categories (Kline et al., 2009) and stream order for watershed

744 health assessment of geomorphic condition in the Han River basin

Condition	Description	River classification	Stream order (1–9)
Reference	In Equilibrium – no apparent or significant channel, floodplain, or land cover modifications; channel geometry is likely to be in balance with the flow and sediment produced in its watershed.	Mountainous river	1
Good	In Equilibrium but may be in transition into or out of the range of natural variability – minor erosion or lateral adjustment but adequate floodplain function; any adjustment from historical modifications nearly complete.	Small river	2–3
Fair	In Adjustment – moderate loss of floodplain function or moderate to major plan-form adjustments that could lead to channel avulsions.	Local river	4–5
Poor	In Adjustment and Stream Type Departure – may have changed to a new stream type, or central tendency of fluvial processes or significant channel and floodplain modifications may have altered the channel geometry such that the stream is not in balance with the flow and sediment produced in its watershed.	Urban river, National river	6–9

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749 Table 4 Summary of hydrology, water quality and biological criteria used to screen for reference condition in the Han

750 River basin

Component	Source	Reference condition
<i>Hydrology</i>		
Precipitation	River basin average of 30 years (1985–2014) simulated by SWAT	1,395.1 (mm)
Total runoff		919.5 (mm)
Surface runoff		249.4 (mm)
Infiltration		726.4 (mm)
Soil water storage		85.3 (mm)
Lateral flow		345.9 (mm)
Percolation		363.8 (mm)
Groundwater recharge		22.9 (mm)
Return flow		324.2 (mm)
<i>Water quality</i>		
Sediment	The levels greater than "marginally good" level on a seven-point scale (excellent, very good, good, marginally good, fair, poor, very poor) of water quality criteria for streams and lakes devised by the Basic Environmental Policy Act (BEPA) in South Korea.	15 (mg/L)
T-N		0.6 (mg/L)
T-P		0.05 (mg/L)
<i>Biological condition</i>		
TDI	The "best" and "good" levels on a four-point scale (best, good, fair and poor) of biological condition criteria devised by the Korea Ministry of Environment (KME) (Ministry of Environment, 2013).	72.5
BMI		80.0
FAI		78.1

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755 Table 5 Results of watershed health score in each test area (upper/lower stream) of the Han River basin

Component	A (SYD watershed)	B (CJD watershed)	C (PDD watershed)	D (Lower watershed)
Landscape	0.80	0.66	0.53	0.26
Green infrastructure metric	0.85	0.67	0.52	0.25
Active river area metric	0.74	0.65	0.53	0.28
Geomorphology	0.75	0.47	0.46	0.54
Hydrology	0.21	0.74	0.37	0.60
Total	0.19	0.51	0.44	0.65
Surface processes	0.36	0.73	0.40	0.53
Soil water dynamics	0.61	0.44	0.58	0.39
Groundwater dynamics	0.30	0.55	0.45	0.58
Water quality	0.63	0.45	0.52	0.48
Sediment	0.40	0.29	0.55	0.61
T-N	0.76	0.70	0.49	0.32
T-P	0.52	0.40	0.53	0.53
Aquatic habitat condition	0.39	0.43	0.55	0.45
Habitat connectivity	0.22	0.30	0.52	0.40
Wetland	0.53	0.51	0.49	0.41
Biological condition	0.92	0.73	0.47	0.23
TDI	0.83	0.67	0.50	0.25
BMI	0.88	0.78	0.46	0.22
FAI	0.92	0.70	0.47	0.27
Integrated assessment	0.82	0.75	0.47	0.30

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