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2 **Assessment of Integrated Watershed Health based on the Natural**

3 **Environment, Hydrology, Water Quality, and Aquatic Ecology**

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10

11 **Abstract**

12 The watershed health, including the natural environment, hydrology, water quality, and aquatic ecology, is assessed
13 for the Han River basin (34,148 km²) in South Korea by using the Soil and Water Assessment Tool (SWAT). The
14 evaluation procedures follow those of the Healthy Watersheds Assessment by the U.S. Environmental Protection
15 Agency (EPA). Six components of the watershed landscape are examined to evaluate the watershed health (basin
16 natural capacity): stream geomorphology, hydrology, water quality, aquatic habitat condition, and biological condition.
17 In particular, the SWAT is applied to the study basin for the hydrology and water quality components, including 237
18 sub-watersheds (within a standard watershed on the Korea Hydrologic Unit Map) along with three multipurpose dams,
19 one hydroelectric dam, and three multifunction weirs. The SWAT is calibrated (2005–2009) and validated (2010–2014)
20 by using each dam and weir operation, the flux-tower evapotranspiration, the time-domain reflectometry (TDR) soil
21 moisture, and groundwater level data for the hydrology assessment and by using sediment, total phosphorus, and total
22 nitrogen data for the water quality assessment. The water balance, which considers the surface–groundwater
23 interactions and variations in the stream-water quality, are quantified according to the sub-watershed-scale relationship
24 between the watershed hydrologic cycle and stream-water quality. We assess the integrated watershed health according
25 to the U.S. EPA evaluation process based on the vulnerability levels of the natural environment, water resources, water
26 quality, and ecosystem components. The results indicate that the watershed’s health declined during the most recent
27 ten-year period of 2005–2014, as indicated by the worse results for the surface process metric and soil water dynamics
28 compared to those of the 1995–2004 period. The integrated watershed health tended to decrease farther downstream

29 within the watershed.

30

31 Keywords: Watershed health assessment; SWAT; Watershed hydrology; Water quality; Aquatic ecology

32

33 **1. Introduction**

34 Watershed management can be defined as the integrated and iterative decision process that is applied to maintain the
35 sustainability of resources through the balanced use and conservation of water quantity, land, vegetation, and other
36 natural resources within the watershed. Rivers are a constituent element of watershed ecosystems that are of primary
37 concern for watershed management; river discharge and water quality are key components of watershed ecosystems,
38 and their interactions can be affected by land use and vegetation cover. The Han River basin in South Korea, with its
39 large-scale water supply dams and weirs, is a rare case. Twenty-six years ago, the government initiated programs to
40 restore the environmental and human health-related quality of the Han River basin. However, an integrated approach
41 that considers the water supply, water-quality improvement, and natural-ecosystem maintenance and their interactions
42 within the watershed has been lacking. A broader view of watershed ecosystems is essential to truly protect the
43 chemical, physical, and biological integrity of our watersheds (U.S. EPA, 2012).

44 One of the key components of watershed-management strategies is to increase the protection of healthy
45 waters, including healthy watersheds. A key component of watershed health is its ability to withstand, recover from,
46 or adapt to disturbances, such as floods and droughts. A more complete understanding of the watershed-ecosystem
47 components that affect watershed health is important to identify management actions to protect healthy watersheds.
48 Without an integrated watershed-health-assessment system, any successes in restoring impaired waters will be limited
49 and the many socioeconomic benefits of healthy watershed systems will be lost.

50 Generally, the assessment of the major components of watershed health must incorporate evaluations of
51 the natural environment, hydrology, water quality and aquatic ecology. A number of studies have recently assessed the
52 potential for effective watershed management through an analysis of a variety of health indicators. Sanchez et al.
53 (2015) characterized the relationships among in-stream health indicators (flow, sediment, and nutrient loads) by using
54 the Soil and Water Assessment Tool (SWAT) and the socioeconomic measures of communities by using spatial-
55 clustering techniques and confirmatory-factor analysis in the Saginaw River watershed in Michigan. Cook et al. (2015)
56 examined these relationships in five watersheds along the Virginia–Kentucky border and explored the effects of both
57 the water quality and habitat on benthic macroinvertebrates by using data from a three-year field study and Virginia
58 Stream Condition Index (VSCI) scores to evaluate site-specific environmental variables (land use, habitat metrics, and
59 water-quality parameters). Tango and Batiuk (2016) analyzed the interactions that affect the watershed and bay-water-
60 quality recovery responses to management actions and a range of health conditions and impairments by measuring the

61 physical, chemical and biological parameters in Chesapeake Bay.

62 The U.S. EPA has made considerable efforts to move towards integrated evaluations of watershed health.
63 For example, the Virginia Watershed Integrity Model uses an integrated approach to evaluate the landscape condition
64 and terrestrial habitat to identify ecologically important catchments across the landscape (Virginia Department of
65 Conservation and Recreation, 2008). Minnesota's Watershed Assessment Tool uses hydrology, geomorphology,
66 biology, connectivity, and water quality data in an integrated context to evaluate the health of Minnesota's watersheds
67 (Minnesota Department of Natural Resources, 2011). The Oregon Watershed Assessment addresses the landscape,
68 habitat, biology, water quality, hydrology, and geomorphology through field assessments and follow-up analyses based
69 on a classification and condition assessment of channel habitat types (Watershed Professionals Network, 1999). The
70 California Watershed Assessment Manual evaluates the six essential ecological attributes of landscape status:
71 hydrology/geomorphology, biotic condition, chemical/physical condition, natural disturbance regimes, and ecological
72 condition (Shilling, 2007).

73 The regional water quantity and quality can be assessed through systematic modeling by using the
74 hydrologic model SWAT (Arnold et al., 1998) because of its robust approach based on the soil water balance at the
75 watershed scale. The SWAT model has been successfully applied to a number of river basins and is widely used to
76 study the long-term effects of hydrological (e.g., Sun and Cornish 2005; Wan et al., 2013; Ahn et al., 2016; Karlsson
77 et al., 2016; Sellami et al., 2016; Chung et al., 2017) and environmental changes (e.g., Eckhardt and Ulbrich, 2003;
78 Rosenberg et al., 2003; Bouraoui et al., 2004; Chaplot, 2007; Mehdi et al., 2015; Zhou and Li, 2015). Thus, the use of
79 this qualified watershed model is highly useful for assessments of continuous time-series changes and spatial-
80 distribution changes in watershed information.

81 However, most previous studies employed a fragmentary approach to investigate one or several
82 environmental issues by using monitoring data for a limited period without assessing the various components (e.g.,
83 landscape, stream channels, hydrology, water quality, habitat, biological diversity, etc.). Thus, the methodology that
84 is suggested in this study is essential to explore the integrated influence of large-scale watersheds with various
85 watershed characteristics and assess the overall health of watersheds.

86 Therefore, the main objective of this study is to conduct a watershed health-assessment analysis of the
87 natural environment, hydrology, water quality, and aquatic ecology of the Han River basin (34,148 km²) in South
88 Korea by using monitoring data and SWAT-modeling outputs. Detailed information regarding the framework is

89 presented below.

90

91 **2. Materials and methods**

92 2.1 Methodology for watershed-health assessment

93 The foundation of watershed-health assessment is the compilation and summarization of watershed parameters based
94 on the primary physical attributes of watershed conditions. According to the United States Environmental Protection
95 Agency (U.S. EPA, 2012), six essential indicators are fundamental to the assessment of watershed health: 1) the
96 landscape condition, 2) geomorphology, 3) hydrology, 4) water quality, 5) habitat, and 6) biological condition. A sub-
97 index for each of the six components is developed from these indicators. The sub-index values are then aggregated
98 into a single Watershed Health Index value for each watershed. This methodology can be used to assess the natural
99 capacity of a watershed and its problems and draft possible solutions for effective watershed management. All sub-
100 index and index values are relative (i.e., "healthier" vs. "not as healthy") rather than absolute (i.e., no "healthy vs.
101 unhealthy" cutoff score is identified) and thus are meant for comparing the relative differences among watersheds
102 rather than precisely defining healthy vs. unhealthy watersheds.

103 In this study, indicators for watershed-health assessment are selected based on the six essential
104 components and methodology that was suggested by the U.S. EPA. All the indicators for watershed health are
105 evaluated to match the situation in South Korea by using measurable data or watershed modeling results. In particular,
106 the methodology is developed to assess the effects of hydrology and water quality on watershed health to analyze the
107 possible long-term changes in the watershed as simulated through a watershed-scale hydrological model, namely, the
108 SWAT. According to existing research that assessed the long-term changes in the Han River basin, the changes in
109 runoff from climate change in the Han River basin are expected to cause many changes to the future seasonal water
110 volume, and water scarcity is predicted to increase in the long term (Jun et al., 2011; Kim et al., 2014). Urban land
111 cover in the Han River basin is positively associated with increases in water pollution, which has increased for the
112 majority of the monitoring stations (Chang, 2008). Healthy areas can be identified based on standard watersheds from
113 the Korea Hydrologic Unit Map. The Korea Hydrologic Unit Map is a standard map that combines data from national
114 organizations for water-resource development, planning, and management. These standard watersheds are the smallest
115 hydrologic units that are designated by the Korean government. Figure 1 shows a flowchart of the modeling procedures.
116 The specific objectives of this study are as follows:

- 117 • Develop a method to reconstruct water quantity and quality time-series data of the basin by using the SWAT
118 model. The reconstructed time-series are used as water quantity and quality indicators and for sub-index
119 development. Watershed-health assessment relies on the continuous flow of time-series information, so the
120 SWAT model is established and calibrated to obtain flow records at ungauged hydrology and water-quality
121 stations.
- 122 • Establish a reference condition for each indicator to assess the sub-index by normalizing the following
123 components: the landscape condition, geomorphology, hydrology, water quality, habitat, and biological
124 condition.
- 125 • Assign integrated watershed health scores that combine multiple indicators to represent different attributes of
126 healthy watersheds based on a standard watershed on the Korea Hydrologic Unit Map.

127

128 <Figure 1>

129

130 2.2 Study area description

131 The Han River basin (34,148 km²) is one of the five major river basins in South Korea (99,720 km²). This basin
132 occupies approximately 31% of the country and falls within the latitude-longitude range from 36.03° N to 38.55° N
133 and from 126.24° E to 129.02° E, respectively (Figure 2). The basin has three main rivers: the North Han River (12,969
134 km²), the South Han River (12,894 km²), and the Imjin River (8,285 km²). The North and South Han Rivers merge
135 and then flow into the metropolitan city of Seoul, a city of 10 million residents. The water resources of the river basin
136 must be sustainably managed because of the expanding water demand of the Seoul area, including its satellite cities
137 (12 million individuals), and potential changes to water resources from climate change must be evaluated (Ahn and
138 Kim, 2016). The dominant land use of the Han River basin is forest (73%, 25,033 km²), followed by cultivated
139 cropland in the lowland fertile areas (5,915 km²), including rice paddy fields (6%) and upland crops (12%) (Figure
140 2b). Over the 30 years of weather data from 1985 to 2014, the average annual precipitation was 1,395 mm and the
141 annual mean temperature was 11.5 °C. Figure 2a shows the study area and the 237 sub-watersheds (within a standard
142 watershed on the Korea Hydrologic Unit Map) that were delineated for the SWAT modeling and watershed health
143 assessment, and Figure 2c shows the four test areas for a comparison of the watershed-health index scores.

144

145 <Figure 2>

146

147 2.3 SWAT model description

148

149 The SWAT model is a physically based, continuous, long-term, distributed parameter model that is designed to predict
150 the effects of land-management practices on hydrology and water quality in agricultural watersheds under varying soil,
151 land-use, and management conditions (Arnold et al., 1998). The SWAT model is based on the concept of hydrologic
152 response units (HRUs), which are portions of a sub-basin with unique land-use, management, and soil attributes. The
153 runoff, sediment, and nutrient loadings from each HRU are calculated separately based on the weather, soil properties,
154 topography, vegetation, and land management and are then summed to determine the total loading from the sub-basin
155 (Neitsch et al., 2002). A detailed description can be found in the Soil and Water Assessment Tool's user's manual and
156 theoretical documentation (Neitsch et al., 2005).

157

158 2.4 Data collection

159 A summary of the datasets and associated organization sources, metrics, and measurement methods that were used in
160 the assessment is provided in Table 1. These data were used to calculate the health-assessment components for each
161 of the six watersheds.

162 Geographic Information System (GIS) datasets were used for the landscape, stream geomorphology and
163 aquatic habitat assessment. The elevation data used the 90-m grid-size Shuttle Radar Topography Mission (SRTM)
164 digital elevation model (DEM) that was supplied by the International Center for Tropical Agriculture (CIAT). The
165 land-cover map for nine classes of land cover (coniferous forest, deciduous forest, mixed forest, paddy rice, upland
166 crop, urban, grassland, bare field, and water) for 2008 was obtained from the Korea Ministry of Environment (KME).
167 The stream map for national and local streams was obtained from the Ministry of Land, Infrastructure, and Transport
168 (MOLIT) of South Korea. The information on the location and number of reservoirs for the Han River basin was
169 obtained from the Korea Rural Community Corporation (KRC).

170 The SWAT-modeling outputs for a total of 237 sub-watersheds for the Han River basin, including ungauged
171 locations, were used for the hydrology and water-quality assessments. The monitoring data for the hydrology include
172 only streamflow and do not include data for the water-balance components that are associated with surface–

173 groundwater interactions. The monitoring data for the water quality are not exhaustive. The period of the water quality
174 components of interest for this study, such as the sediments, total nitrogen (T-N) and total phosphorus (T-P), is not
175 sufficient to analyze long-term changes. The continuous daily record of precipitation (PREC), total runoff (TQ),
176 surface runoff (SQ), infiltration (INFILT), soil water storage (SW), lateral flow (LQ), percolation (PERCOL),
177 groundwater recharge (RECHARGE), and return flow (GWQ) data for the hydrology metric and the record of
178 sediment, T-N, and T-P for the water quality metric were obtained from SWAT modeling for a thirty-year period (1985–
179 2014).

180 For the biological assessment, the monitoring data were obtained from the Korea Ministry of
181 Environment (KME) in South Korea, which has been monitoring river ecological data for 360 monitoring stations in
182 the Han River and its tributaries since 2008. Samples of trophic diatom communities (339 species), benthic
183 macroinvertebrate communities (344 species), and fish communities (394 species) were collected from the monitoring
184 stations in September and October of each year over a six-year period (2008–2013), and the Trophic Diatom Index
185 (TDI), Benthic Macroinvertebrate Index (BMI), and Fish Assessment Index (FAI) were calculated and classified by
186 ranking the arithmetic means. Details of the data collection and calculation procedures are provided in the Nationwide
187 Aquatic Ecological Monitoring Program Report (Ministry of Environment, 2013).

188

189 <Table 1>

190

191 2.5 Hydrology and water-quality simulations using the SWAT model

192

193 Watershed-health assessment requires the indicator data for the hydrology and water quality to be simulated by the
194 SWAT model. The detailed component selection is presented in Sections 2.6.3 and 2.6.4. This section briefly
195 summarizes the model data and implementation and the statistical results of the calibration and validation.

196

197 2.5.1 Measured data for the SWAT model evaluation

198 The Han River basin was divided into 237 sub-watersheds and 1,987 HRUs for SWAT modeling. The sub-watershed
199 delineation was defined by using the 90-m SRTM DEM from the CIAT. A 2008 land-cover map for nine classes
200 (coniferous forest, deciduous forest, mixed forest, paddy rice, upland crop, urban, grassland, bare field, and water)

201 was obtained from the KME (Figure 2b). A soil map that contained texture, depth and drainage attributes was rasterized
202 to a 90-m grid size from a 1:25,000 scale vector map that was supplied by the Korea Rural Development
203 Administration (RDA).

204 The observation data were prepared to evaluate the SWAT model and simulate the hydrological cycle and water
205 quality, including daily meteorological data, dam inflow, dam outflow, dam storage, evapotranspiration, soil moisture,
206 sediments, T-N, and T-P. Thirty-one years (1984–2014) of daily meteorological data (precipitation, maximum and
207 minimum temperature, relative humidity, wind speed, and solar radiation) were collected from nineteen weather
208 stations of the KMA. In this study, three multipurpose dams (Hoengseong, Soyang, and Chungju), one hydroelectric
209 dam (Paldang), and three multifunction weirs (Kangcheon, Yeosu and Ipo) were selected as SWAT-model calibration
210 points (Figure 2a). For the calibration and validation of the watershed hydrology with dam operations, ten years (2005–
211 2014) of daily dam inflow, outflow and storage-volume data for the multipurpose dams were obtained from three
212 water level stations (Hoengseong Dam, HSD; Soyang Dam, SYD; and Chungju Dam, CJD) that are monitored by the
213 Korea Water Resources Corporation and one water level station (PDD) that is monitored by the Korea Hydro &
214 Nuclear Power Co., Ltd. In addition, two years (2013–2014) of daily measured dam inflow, outflow and storage
215 volume data for the three multifunction weirs (Kangcheon Weir, KCW; Yeosu Weir, YJW; and Ipo Weir, IPW) that are
216 monitored by the Korea Water Resources Corporation were used. The flow and water quality of the Han River are
217 affected by the discharge operations of these large dams and weirs; therefore, dam and weir operations must be
218 incorporated into the modeling framework to enable successful modeling. In the SWAT model, dam operations are
219 modeled based on measured daily discharges, measured monthly discharges, average annual discharges, or target
220 storage volumes. In this study, the measured daily discharges from the four dams and three weirs were directly
221 imported into the SWAT model.

222 For the calibration and validation of the stream water quality, ten years (2005–2014) of eight-day intervals for
223 sediment, T-N, and T-P data were obtained from seven hydrology stations (SG, CSG, JW, KCW, YJW, IPW, and PDD)
224 that are monitored by the KME. Figure 2a shows the gauging stations for the SWAT modeling.

225

226 2.5.2 Calibration and validation of the model

227 The SWAT model was calibrated at seven locations in the main river reaches by using five years (2005–
228 2009) of daily inflow, storage volume data for the dams and weirs, sediment, T-N, and T-P data and was subsequently

229 validated by using another five years (2010–2014) of data with the average calibrated parameters. In addition, the
230 model was spatially calibrated and validated by using evapotranspiration and soil moisture data that were measured
231 at two locations (SM and CM) and groundwater level data that were measured at five locations (GPGP, YPGG, YPYD,
232 YIMP, and HCGD) over five years (2009–2013).

233 In this study, uncertainty analysis was performed for the hydrology by using the daily dam inflow using
234 the SUFI-2 method. This method was chosen because of its applicability to both simple and complex hydrological
235 models. SUFI-2 is convenient and easy to implement and widely used in hydrology (e.g., Freer et al., 1996; Cameron
236 et al., 2000; Blazkova et al., 2002). In SUFI-2, parameter uncertainty considers all sources of uncertainty, e.g., input
237 uncertainty, conceptual model uncertainty, and parameter uncertainty (Gupta et al., 2005). The degree to which
238 uncertainties are considered is quantified by a measure called the P factor, which is the percentage of the measured
239 data that are bracketed by the 95% prediction uncertainty (95PPU). Another measure that quantifies the strength of a
240 calibration or uncertainty analysis is the R factor, which is the average thickness of the 95PPU band divided by the
241 standard deviation of the measured data. The excellence of calibration and prediction uncertainty is judged based on
242 the closeness of the P factor to 1 and the closeness of the R factor to 0. Twenty parameters were selected by sensitivity
243 analysis for the uncertainty analysis. In this study, three iterations were performed with 1,300 (100+200+1,000) model
244 runs in each iteration. The coverages of the measurements (P factor) and the average thickness (R factor) of the 95PPUs
245 for the model predictions were 0.79 and 0.32, respectively, for the dam inflow during the calibration and validation
246 periods.

247 In this study, both calibration and validation were manually performed by using a trial-and-error
248 approach within recommended ranges to maximize the expert knowledge of watershed characteristics and modeling
249 experience. The final values were selected based on a statistical evaluation of the performance measures. Twenty of
250 the most influential parameters were selected for calibration. These parameters are related to surface-runoff (CN2,
251 CNCOEF, SURLAG, OV_N, and CH_N), evapotranspiration (ESCO), soil-water (SOL_AWC and SOL_K),
252 groundwater (GW_DELAY, GWQMN, ALPHA_BF, REVAPMN, and GW_REVAP), and reservoir-operation
253 (RES_ESA, RES_EVOL, RES_PSA, RES_PVOL, RES_VOL, RES_K, and EVRSV) processes. The calibrated
254 parameters and hydrograph of the calibration results in the Han River basin were described by Chung et al. (2017).

255 The statistical results for the hydrology and water quality for the model calibration and validation are summarized
256 in Table 2. The coefficient of determination (R^2), the Nash and Sutcliffe model efficiency (NSE), the root-mean-square

257 error (RMSE), and the percent bias (PBIAS) were used to evaluate the ability of the SWAT model to replicate temporal
258 trends in the observed hydrological and water quality data. The R^2 value for the dam inflow was greater than 0.59.
259 The average NSE was 0.59 at HSD, 0.78 at SYD, 0.61 at CJD, 0.79 at KCW, 0.77 at YJW, 0.88 at IPW, and 0.87 at
260 PDD. The PBIAS values of HSD, CJD, SYD, KCW, YJW, IPW and PDD were 13.5%, 12.2%, 9.4%, 11.5%, 19.8%,
261 21.4%, and 4.5%, respectively. The average R^2 for the dam-storage volume was between 0.40 and 0.96 and the PBIAS
262 was between 0.9% and 18.9% for each calibration point. The average R^2 for evapotranspiration was between 0.70 and
263 0.81, that for the soil moisture was between 0.75 and 0.85, and that for the groundwater level was between 0.40 and
264 0.70 for each calibration point. The average R^2 for the sediment was between 0.54 and 0.90, that for the T-N was
265 between 0.46 and 0.82, and that for the T-P was between 0.47 and 0.80 for each calibration point. The calibration
266 results were consistent with the SWAT calibration guidelines ($NSE \geq 0.5$, $PBIAS \leq 28\%$, and $R^2 \geq 0.6$; Moriasi et al.,
267 2007; Santhi et al., 2001) and were found to be satisfactory. Additionally, the model calibration and validation included
268 the NSE with inverse discharge (1/Q) for low flow. The average NSE with inverse discharge (1/Q) during the
269 calibration (2005–2009) and validation (2010–2014) periods was 0.35 at HSD, 0.53 at SYD, 0.30 at CJD, 0.54 at
270 KCW, 0.47 at YJW, 0.69 at IPW, and 0.58 at PDD.

271

272 <Table 2>

273

274 2.6 Data reconstruction for the watershed-health assessment

275 2.6.1 Landscape condition

276 The area of natural land cover (forest, wetland, river, and natural grassland) within a watershed can be an important
277 indicator of watershed health. Impervious land cover that is associated with roads and residential and urban areas can
278 increase watershed runoff, leading to instream flow alteration, geomorphic instability, and increased pollutant loading.
279 According to previous studies, a smaller area of impervious land cover may significantly affect aquatic ecosystem
280 health (e.g., King et al., 2011; Wang and Yin, 1997).

281 The extent and connectivity of the natural land cover within a watershed are very important for ecological
282 integrity. Natural land cover within the watershed, and especially within headwater areas and riparian corridors,
283 maintains the hydrologic regime, regulates the inputs of nutrients and organic matter, and provides habitats for fish
284 and wildlife (U.S. EPA, 2012). In this study, assessing the connectivity of the natural land cover (forest, wetland, river,

285 and natural grassland) of watersheds involved a green-area assessment; green areas comprise areas of unfragmented
286 natural land cover and corridors of sufficient width to allow the migration of wildlife between the watersheds (Figure
287 3a). For the 237 sub-watersheds of the Han River basin, the percentage of each watershed area that was occupied by
288 natural land cover (habitat blocks) was calculated by using GIS techniques. The green area metric was calculated as
289 follows:

$$291 \text{ Green area metric} = \frac{\text{Area (km}^2\text{) of natural land cover in watershed}}{\text{Total area (km}^2\text{) in watershed}}$$

292 (1)

293
294 The amount of natural land cover within the active river area is another important indicator of the landscape
295 condition. The natural land cover within the active river area, including the river channel, lakes and ponds, and the
296 riparian lands, is necessary for the physical and ecological functioning of the aquatic ecosystem (U.S. EPA, 2012).
297 Active river areas, in their natural state, maintain the ecological integrity of rivers, streams, and riparian areas and the
298 connection of these areas to the local groundwater system (IPCC, 2007). The methods that are used to delineate the
299 active river area involve GIS techniques and analyses of elevation, land-cover, and wetland data. For streamside areas
300 for which criteria have not yet been decided, an area with a width of 30–50 m can be used as a cutoff to identify
301 streamside material contribution areas (U.S. EPA, 2012). In this study, the percentage of natural land cover within the
302 riparian area within 50 m of the stream was calculated for the 237 sub-watersheds in the Han River basin by using
303 GIS techniques (Figure 3b). The active river area metric was calculated as follows:

$$305 \text{ Active river area metric} = \frac{\text{Area(km}^2\text{) of natural land cover in active river area}}{\text{Total area (km}^2\text{) in active river area}}$$

306 (2)

307
308 <Figure 3>

310 2.6.2 Stream geomorphic condition

311 The natural stream geomorphology can be an important indicator of watershed health because it can fragment both

312 the terrestrial and aquatic habitats throughout a watershed. Kline et al. (2009) performed detailed assessments of
313 stream geomorphic conditions by using the Vermont Stream Geomorphic Assessment Protocols for streams in Vermont,
314 USA. These assessment protocols are GIS-based analyses that use elevation, land cover, and stream network data
315 layers to classify stream types and evaluate the conditions of individual reaches based on a comparison to reference
316 conditions for that stream type.

317 Table 3 provides descriptions of the stream geomorphic conditions that are determined through the stream-impact
318 rating and the stream order for the watershed-health assessment of the geomorphic condition in the Han River basin.
319 In this study, the geomorphic condition was assessed in a similar manner to what was used for the stream-condition
320 categories of the Vermont Stream Geomorphic Assessment Protocols. The stream order was calculated for nine levels
321 (Figure 4a) by using a DEM and stream map, and four river classifications were created through follow-up analyses
322 with detailed land-cover assessments (Figure 4b). Four river classifications were used: reference (mountainous river,
323 stream order 1), good (small river, stream orders 2–3), fair (local river, stream orders 4–5), and poor (urban and
324 national river, stream orders 6–9). The percentage of the assessed stream length in the reference condition was
325 calculated for each watershed. The stream geomorphology metric was calculated as follows:

326

$$327 \text{ Stream geomorphology metric} = \frac{\text{Stream length (km) of reference condition in watershed}}{\text{Total stream length(km) in watershed}}$$

328 (3)

329

330 <Figure 4>

331 <Table 3>

332

333 2.6.3 Hydrologic condition

334 The assessment of the hydrologic condition of a watershed requires long-term streamflow observation data for the 237
335 sub-watersheds of Han River basin. However, insufficient gauging stations were available to fully assess the entire
336 watershed over the entire thirty-year period. No data were available for the water-balance components that were
337 associated with surface–groundwater interactions, except for the streamflow. Where unavailable, these long-term flow
338 data could be estimated by using hydrologic modeling techniques. Thus, the SWAT hydrologic model was used to
339 simulate the water-balance components within the Han River basin.

340 To simulate the potentially available water quantity of the basin, the model was applied by dividing the basin into
341 237 sub-watersheds according to the operation of water-resource facilities (inflow and storage volume) in three
342 multipurpose dams, one hydroelectric dam, and three multifunction weirs. The SWAT simulation outputs—including
343 PREC and TQ for the total processes; SQ for the surface processes; INFILT, SW, and LQ for the soil water dynamics;
344 and PERCOL, RECHARGE, and GWQ for the groundwater dynamics—of each of the 237 sub-watersheds were
345 reported. All the results of the SWAT model were output in millimeters.

346 The annual average water-balance components at the surface, in the unsaturated zone, and in a shallow aquifer can
347 serve as indicators of potential hydrologic alteration. Surface-water and lateral groundwater flow interactions are very
348 important for the water balance in the Han River basin. In particular, the infiltration, return flow, and groundwater
349 recharge are important factors for the entire hydrological cycle. In this study, the SWAT model results were used to
350 reconstruct daily time-series for the hydrologic components PREC, TQ, SQ, INFILT, SW, LQ, PERCOL,
351 RECHARGE, and GWQ over a thirty-year period (1985–2014) (Figure 5). The annual average value for the 237 sub-
352 watersheds during this period was used as the reference condition (Table 4). Dividing the simulated value of the
353 watershed by the reference condition yielded the storage ratio of the nine components. The storage ratios of the nine
354 components were divided into four hydrologic classifications—the total metric (PREC and TQ), surface process metric
355 (SQ), soil water dynamics metric (INFILT, SW, and LQ), and groundwater dynamics metric (PERCOL, RECHARGE,
356 and GWQ)—to establish specific management objectives. The storage ratio of each component for the four hydrology
357 metrics was calculated for each watershed and used as a metric of the hydrologic condition. The hydrology metric was
358 calculated as follows:

359

$$360 \text{ Hydrology metric} = \frac{\text{Simulated value (mm) (PREC,TQ,SQ,INFILT,SW,LQ,PERCOL,RECHARGE,and GWQ) of watershed}}{\text{Average value (mm) for all watersheds in basin}}$$

361 (4)

362

363 <Figure 5>

364

365 2.6.4 Water quality condition

366 Assessing the water quality of a watershed also requires long-term observational data from the 237 sub-watersheds of
367 the Han River basin. However, the monitoring data for water quality are not exhaustive and not sufficient to analyze

368 long-term changes. In this study, the SWAT model was used to simulate the water-quality sediment loads (tons), T-N
369 (kg) and the T-P (kg) within the Han River basin.

370 The SWAT model results were used to reconstruct load-based daily time-series for the water-quality
371 constituent sediments (mg/L), T-N (mg/L), and T-P (mg/L) over a thirty-year period (1985–2014) (Figure 6). As part
372 of the Basic Environmental Policy Act (BEPA), South Korea has specified eco-regional water-quality criteria to
373 identify the least-disturbed sites throughout South Korea. These criteria were used to identify the streams and lakes
374 that are likely to be in the reference condition based on their sediment, T-N, and T-P concentrations. The "marginally
375 good" level of a seven-point scale (excellent, very good, good, marginally good, fair, poor, very poor) of water-quality
376 criteria for streams and lakes was used for the reference condition (Table 4). The percentage of the assessed values in
377 the reference condition was calculated for each watershed. The water quality metric was calculated as follows:

378

$$379 \text{ Water quality metric} = \frac{\text{Simulated value (mg/L) (sediment, T-N, and T-P) of watershed}}{\text{Reference value (mg/L) in watershed}}$$

380 (5)

381

382 <Figure 6>

383

384 2.6.5 Aquatic habitat condition

385 The quality of aquatic habitats depends on the surrounding landscape and hydrologic and geomorphic processes.
386 Therefore, the habitat condition is affected by indicators that represent these assessment components. The potential
387 for organisms to migrate upstream and downstream within a riverine system can also serve as an indicator of the
388 aquatic habitat condition. Lakeshores also have riparian zones that serve as a source of organic material to the lake
389 aquatic habitat and help stabilize the lake perimeter (U.S. EPA, 2012). The EPA's National Lakes Assessment (NLA)
390 identified poor lakeshore habitats as the most prominent stressor to the biological health of lakes (U.S. EPA, 2009).
391 The density of reservoirs per stream length was calculated and used as an indicator of aquatic-habitat connectivity
392 (Figure 7a). The aquatic habitat connectivity metric was calculated as follows:

393

$$394 \text{ Aquatic habitat connectivity metric} = \frac{\text{Number of reservoirs in watershed}}{\text{Total stream length (km) in watershed}}$$

395 (6)

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Intact wetlands maintain natural hydrologic regimes, provide important habitats for fish and wildlife, and regulate water quality. The percentage of the watershed that was occupied by wetlands was calculated and used as an additional indicator of the habitat condition for each watershed (Figure 7b). The wetland metric was calculated as follows:

$$\text{Wetland metric} = \frac{\text{Area (km}^2\text{) of wetlands in watershed}}{\text{Total area (km}^2\text{) in watershed}}$$

(7)

<Figure 7>

2.6.6 Biological condition

Based on the understanding that aquatic ecological environmental degradation is one of the leading causes of stream impairment, the Ministry of Environment of South Korea began collecting variables of biological community diversity as a component of its Nationwide Aquatic Ecological Monitoring Program for a six-year period (2008–2013). Three biological indicators (TDI, BMI, and FAI) were chosen based on a statistical evaluation of these data to identify healthy instream conditions for the Han River basin. In the Han River basin, the TDI, BMI, and FAI were developed from epilithic diatoms, benthic macroinvertebrates, and fish assessments to estimate the overall biological condition during the six-year period (2008–2013); these data can be used to identify healthy instream conditions in the context of aquatic ecosystem health. Healthy watersheds should have TDI, BMI, and FAI scores that are close to the reference conditions. Indices with a range from 0 to 100 were classified on a four-point scale of best, good, fair, and poor for the biological condition criteria according to the Nationwide Aquatic Ecological Monitoring Program Report (Ministry of Environment, 2013), and the best and good levels were used as the reference condition (Table 3). The percentage of the assessed scores on the TDI, BMI, and FAI in the reference condition was calculated for each watershed (Figure 8). The biological condition metric was calculated as follows:

$$\text{Biological condition metric} = \frac{\text{Observed value (TDI,BMI,and FAI) of watershed}}{\text{Reference value for watershed}} \quad (8)$$

423

424 <Figure 8>

425

426 2.7 Watershed-health index formulation

427 The definition of the watershed-health index was created by the U.S. EPA for integrated watershed-health evaluations.

428 The watershed health was evaluated by normalizing the metric scores to integrate the data on multiple healthy

429 watershed attributes into a composite score. Normalization was conducted by simply defining a reference value for

430 the indicator score that was considered healthy based on the percentile rank. For communication purposes, the

431 indicator score was scaled to normalize the final sub-index and watershed health index scores to range from 0 to 1.

432 Table 4 shows the definition of the “healthy” reference value for the hydrology, water quality, and biological indicators.

433 The indicator scores must also be directionally aligned, meaning that higher scores should equate to “better” conditions

434 for each metric. The inverse (1/X) of each value can be taken for metrics that are not directionally aligned in their

435 original units (e.g., water quality components).

436 A composite index of the watershed health was constructed by averaging the normalized indicator scores

437 for each attribute. A sub-index was calculated first for attributes with more than one indicator. The sub-indices were

438 then averaged to obtain the integrated watershed-health index score (U.S. EPA, 2012). Depending on the specific

439 management objectives, placing more weight on some ecological attributes than on others and to use optional sub-

440 indices may be appropriate. At this point, the process becomes subjective and a logical decision framework can be

441 used to solicit and document expert opinions (Smith et al., 2003). Weighting was not used in this study for integrated

442 assessment. The normalized metrics, sub-index, and integrated watershed-health index were calculated as follows:

443

$$444 \text{ Normalized metric value} = \frac{\text{Observed or simulated metric for watershed } x}{\text{Reference metric value for all watersheds in basin}} \quad (9)$$

445

$$446 \text{ Sub-index} = \frac{(\text{Normalized metric 1} + \text{Normalized metric 2} + \dots + \text{Normalized metric } x)}{\text{Total number of metrics}}$$

447 (10)

448

$$449 \text{ Watershed health index} = \frac{(\text{sub-index 1} + \text{sub-index 2} + \dots + \text{sub-index } x)}{\text{Total number of sub-indices}} \quad (11)$$

450

451 <Table 4>

452

453 **3. Results and discussion**

454 3.1 Watershed health by each component in the Han River basin

455 Watershed health analysis for each component was conducted in the 237 sub-watersheds of the Han River basin by
456 using the data reconstruction results for the six components. The sampling areas that were used to explain the
457 differences in the watershed-health results for each component were the standard watersheds 101206 (urban 1.4% and
458 forest 88.1%), 100201 (urban 0.8% and forest 88.2%) and 101801 (urban 9.8% and forest 5%) (Figure 2a). The 101206,
459 100201, and 101801 standard watersheds are located in the upstream region of the Soyang Dam (SYD), in the
460 upstream region of the Chungju Dam (CJD), and in the downstream region of the Paldang Dam (PDD), respectively.

461 Figure 12a shows the sub-index scores for the watershed-health assessment according to two assessment
462 indicators (Figure 3). The spatial patterns of the watershed health for green areas were healthier in upstream watersheds
463 because the natural land cover was greater the farther the watersheds were from urban areas. The spatial patterns of
464 the watershed health for the active river area within 50 m of a stream were healthier for the upstream watersheds for
465 the same reason. For the 101206 standard watershed, the normalized values of the green area and the active river area
466 were 0.93 and 0.82, respectively, and the sub-index score of 0.89, which integrated the two normalized values,
467 indicated a very healthy watershed. For the 100201 standard watershed, the normalized values of the green area and
468 the active river area were 0.78 and 0.57, respectively, and the sub-index score of .0.66, which integrated the two
469 normalized values, indicates a less healthy watershed. In contrast, the 101801 standard watershed was revealed to be
470 in very poor health, with a score of 0.17 for the sub-index, while the normalized values of the green area and active
471 river area were 0.25 and 0.09, respectively. Hence, this study found that the downstream reaches of the Han River
472 basin are in greater need of green areas and active river areas compared to the upstream reaches.

473 Figure 12b shows the sub-index scores for the watershed-health assessment when using stream
474 geomorphology indicators (Figure 4). The percentage of the length of the assessed stream channel in the reference
475 condition was greater for the upstream watershed than for the downstream watershed. The high-gradient mountainous
476 streams in the upstream watershed are characterized by relatively clean streams that have not been subject to land-
477 cover modifications or river-improvement work.

478 The sub-index results of the hydrologic (Figure 5) and water-quality (Figure 6) conditions are shown in
479 Figures 12c and d, respectively. The precipitation in the watershed directly affects the surface runoff and sediment
480 transport and is the most important factor that affects the maintenance of the water quantity and can thus be used to
481 identify critical areas for maintaining watershed health. Nutrient (T-N and T-P) loads are often correlated with surface
482 runoff and sediment transport rates (USDA-SCS, 1972). The fugitive sediment from the landscape is carried by
483 overland flow (surface runoff), and the dominant pathway for nitrate loss is through leaching into groundwater and
484 then via base flow (Randall and Mulla, 2001).

485 The sub-indices of the hydrologic condition that were calculated by the four hydrologic classifications,
486 such as the total metric, surface process metric, soil water dynamics metric, and groundwater dynamics metric, and
487 the water quality condition that was calculated by the sediment, T-N, and T-P were split into three periods of ten
488 years—1985–1994, 1995–2004, and 2005–2014—to assess changes over time (Figure 9). The test areas that were
489 used to explain the differences in the watershed-health results for the hydrologic and water quality components were
490 the SYD and CJD watersheds in the upstream region and the PDD and lower watersheds in the downstream region
491 (Figure 2c). For the SYD watershed (Figure 9a), the watershed health scores of the surface water, soil water, and
492 groundwater hydrology increased in the recent past compared to the period 1985–1994 because of the slight increases
493 in PREC and TQ; thus, the watershed water quality decreased. The health of the hydrology in the CJD watershed
494 showed a decreasing tendency in contrast to the SYD watershed because of the decrease in PREC and TQ (Figure 9b).
495 The groundwater of the PDD watershed was not sufficient, but the overall watershed-health scores for the PDD and
496 lower watersheds remained within their reference levels (approximately 0.5) (Figure 9c and d). This water-quantity
497 stress (large volume of water in the stream) may have negatively affected the water quality, with a decreased
498 watershed-health score for the sediment, T-N, and T-P. In particular, the SYD watershed was rich in soil water and the
499 CJD watershed was rich in surface and groundwater.

500 Figure 10 shows the changes in the watershed-health index score for the hydrologic and water quality
501 conditions during 1995–2004 and the most recent ten years (2005–2014) based on the reference period (1985–1994).
502 “Improved health”, “deteriorating health”, and “no change” in the Han River basin are illustrated with green, red, and
503 white, respectively. The watershed’s hydrologic condition was better in the North Han River basin compared to the
504 South Han River basin. In particular, during the last ten years (Figure 10b), the watershed’s health was poorer because
505 of worse results for the surface process metric and soil water dynamics compared to those of the 1995–2004 period

506 (Figure 10a). However, during the last ten years (Figure 10d), the watershed's health increasingly improved in portions
507 of the Han River basin compared to 1995–2004 (Figure 10c), while the water quality of the Chungju dam (CJD)
508 watershed deteriorated. The water-quality policy of South Korea, which was developed after years of hard work and
509 high costs, resulted in some improvements.

510 Figure 11 shows the poor watershed health in terms of the hydrology (Figure 11a), water-quality (Figure
511 11b), and overlay results (Figure 11c). The five poor levels for the hydrology and water quality were calculated as the
512 difference between (b) and (a) in Figure 10 and between (d) and (c) in Figure 10, respectively. The spatial distributions
513 of the poor watershed-health levels enable us to understand the vulnerable areas in the CJD watershed, the upstream
514 SYD watershed, and the downstream PDD watershed with respect to the hydrology and water quality.

515

516 <Figure 9>

517 <Figure 10>

518 <Figure 11>

519

520 Figure 12e shows the sub-index scores for the watershed-health assessment according to two assessment
521 indicators (Figure 7). The spatial-distribution patterns of the reservoirs for aquatic-habitat connectivity were
522 concentrated in the downstream areas of the Han River basin. The spatial-distribution patterns of the wetlands seemed
523 to follow a similar pattern. For the 101206 standard watershed, the normalized values of the aquatic-habitat
524 connectivity and wetland were 0.00 (no reservoir) and 0.99, respectively, and the sub-index score of 0.90, which
525 integrated the two normalized values, indicates a very healthy watershed. In contrast, the normalized values of the
526 aquatic-habitat connectivity and wetland for the 100201 standard watershed were 0.46 and 0.34, respectively, and the
527 sub-index score of 0.28, which integrated the two normalized values, indicates an unhealthy watershed. At the 101801
528 standard watershed, the aquatic-habitat condition results from the aquatic-habitat connectivity (0.77) and wetland
529 (0.66) indicators showed a relatively high value of 0.68.

530 A sub-index analysis of the TDI, BMI, and FAI (Figure 8) was conducted, except in the no-data areas
531 (North Korea) in the Han River basin (Figure 12f). The relationships of the TDI, BMI, and FAI were found to be
532 significantly correlated. The TDI, BMI, and FAI were worse in the downstream areas. However, the degree to which
533 the TDI, BMI and FAI predict trophic diatom, benthic macroinvertebrate, and fish communities depends on the

534 presence and levels of other stressors, such as large amounts of chlorophyll-a (Chl-a), low dissolved oxygen (DO) and
535 biochemical oxygen (BOD), and high temperature. The normalized values of the TDI, BMI and FAI were 0.70, 0.98,
536 and 0.92, respectively, in the 101206 standard watershed located upstream; 0.69, 0.98, and 0.72, respectively, in the
537 100201 standard watershed located upstream; and 0.32, 0.25, and 0.25, respectively, in the 101801 standard watershed
538 located downstream. The sub-index scores after integrating the three normalized values were 0.91 and 0.83 for the
539 101206 and 100201 standard watersheds, respectively, indicating very healthy watersheds, and the sub-index score of
540 0.26 at the 101801 standard watershed indicated an unhealthy watershed.

541 The outputs of the watershed health provide basic data for local communities to proactively plan for
542 growth. The sub-index results of the watershed-health assessment for each component can be optionally used to guide
543 the master-planning process for watershed management at the watershed scale depending on the specific management
544 objectives and can be combined with any of the other sub-indices in the Han River basin to determine priority
545 conservation areas.

546

547 3.2 Assessment of the integrated watershed health

548 To assess the overall watershed health in the Han River basin, the results of the individual assessments were
549 synthesized to provide an integrated watershed-health index score for the thirty-year period (1985–2014). The sample
550 areas that were used to explain the differences in the watershed-health results for each component were the standard
551 watersheds 101206 (urban 1.4% and forest 88.1%), 100201 (urban 0.8% and forest 88.2%), and 101801 (urban 9.8%
552 and forest 55.7%) (Figure 2a). The 101206, 100201, and 101801 standard watersheds were located in the upstream
553 region of the Soyang dam (SYD), in the upstream region of the Chungju dam (CJD), and in the downstream region of
554 the Paldang dam (PDD), respectively.

555 Figure 12 displays the normalized scores for each of the six attribute sub-indices and integrated watershed-health
556 scores. The integrated watershed health exhibited a decreasing tendency farther down the watershed. The integrated
557 watershed health of the 101206 and 100201 standard watersheds was revealed to be very good, with ratings of 1 and
558 0.91, respectively. However, the 101206 standard watershed exhibited a distinctive weakness with respect to the
559 hydrologic condition (0.06), especially in the surface (0.16) and groundwater (0.17). Although the 100201 standard
560 watershed was a very healthy watershed, similar to the 101206 watershed, the former showed a distinctive weakness
561 with respect to the water quality (0.1) and aquatic habitat condition (0.28). Systematic plans must be developed to suit

562 watershed circumstances and characteristics so that watershed management is more effective. The 101801 watershed
563 was revealed to be in poor health, with a water-quality rating of 0.25. This area requires urgent action to restore the
564 landscape, water quality, and biological conditions and to protect the water quantity. Table 5 shows the watershed-
565 health scores in the test areas (upper/lower stream) of the Han River basin.

566

567 <Figure 12>

568 <Table 5>

569

570 **4. Conclusions**

571 In this study, a watershed-health assessment of the Han River basin in South Korea was performed by using monitoring
572 data and SWAT modeling results. Six essential indicators of healthy watersheds were used in the assessment: 1) the
573 landscape condition, 2) geomorphology, 3) hydrology, 4) water quality, 5) habitat, and 6) biological condition. In
574 particular, a sub-index of the watershed health that was related to the hydrology and water quality was developed to
575 assess possible long-term changes in the watershed by using SWAT modeling results.

576 During the most recent ten-year period (2005–2014), the watershed’s health declined, as indicated by
577 the worse results for the surface process metric and soil water dynamics compared to those of the 1995–2004 period.
578 The spatial distributions of the poor watershed-health levels revealed vulnerable areas in portions of the CJD
579 watershed, upstream SYD watershed, and downstream PDD watershed with respect to the hydrology and water quality.

580 The sub-index results of the watershed-health assessment for each component can be used to guide the
581 master-planning process for watershed management at the watershed scale based on specific management objectives
582 and can be combined with any of the other sub-indices in the Han River basin to determine priority conservation areas.
583 Listing all the information of the watershed-health assessment can indicate vulnerable or healthy regions in the desired
584 area and can provide basic data for action. The effectiveness of the watershed-health evaluation in this study can
585 produce reliable information because this approach is entirely physically based. This approach can be utilized in a
586 number of standard watersheds, local communities, and regions throughout the Han River basin and can be practically
587 implemented in the watershed as a comprehensive watershed-management plan by government authorities or
588 representative stakeholders.

589 Finally, the limitations of this study include the simulation of water quantity and quality data for possible

590 long-term changes in the watershed model. Although the prediction of long-term water quantity and quality data with
591 this modeling is essential to assess water-resource systems, the hydrologic and water quality conditions cannot be
592 perfectly projected because of uncertainties in the models, climate data and other inputs that are required for the
593 simulations. However, the results of this study are useful in terms of identifying potential watershed-health issues that
594 are associated with ongoing watershed changes.

595

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599

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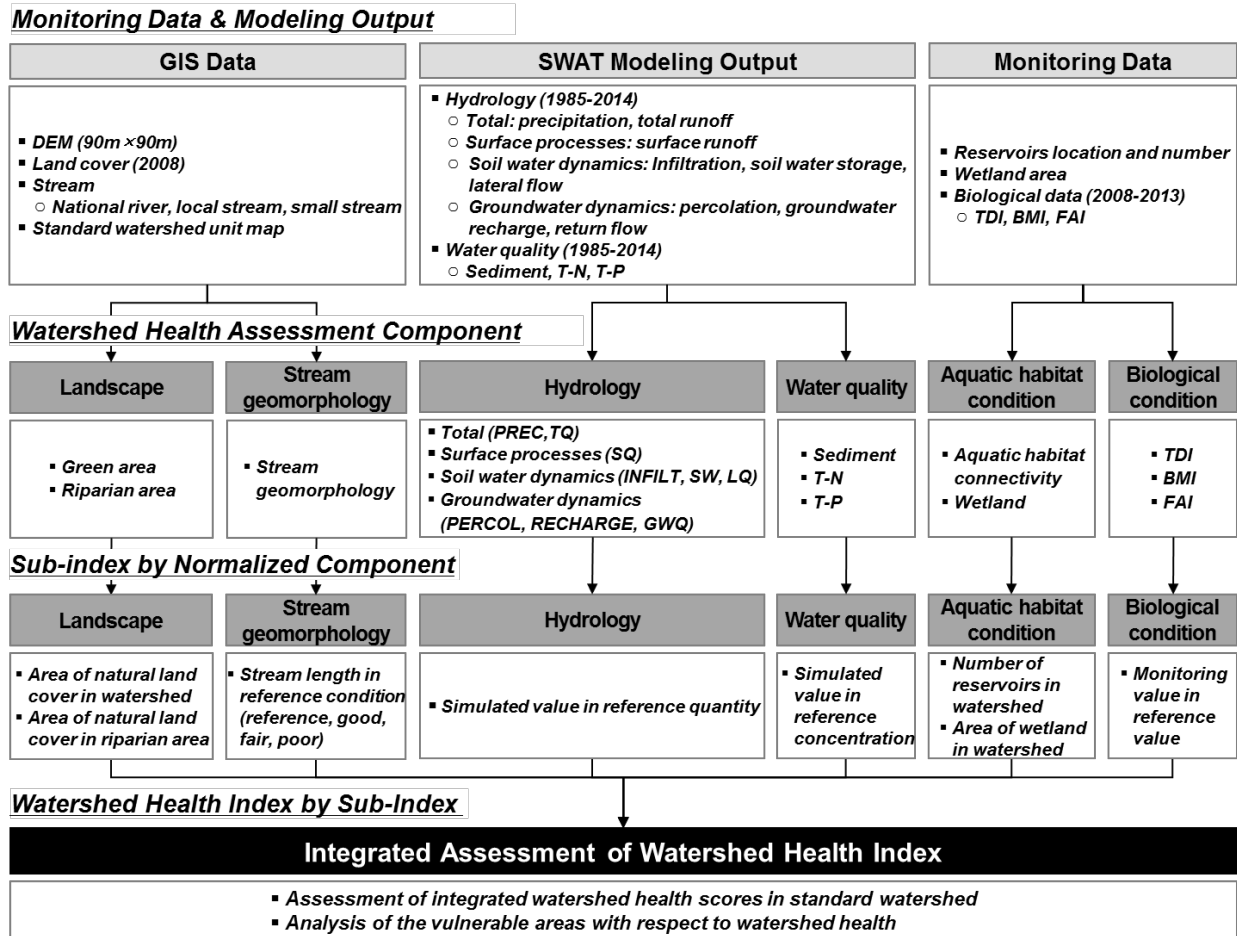
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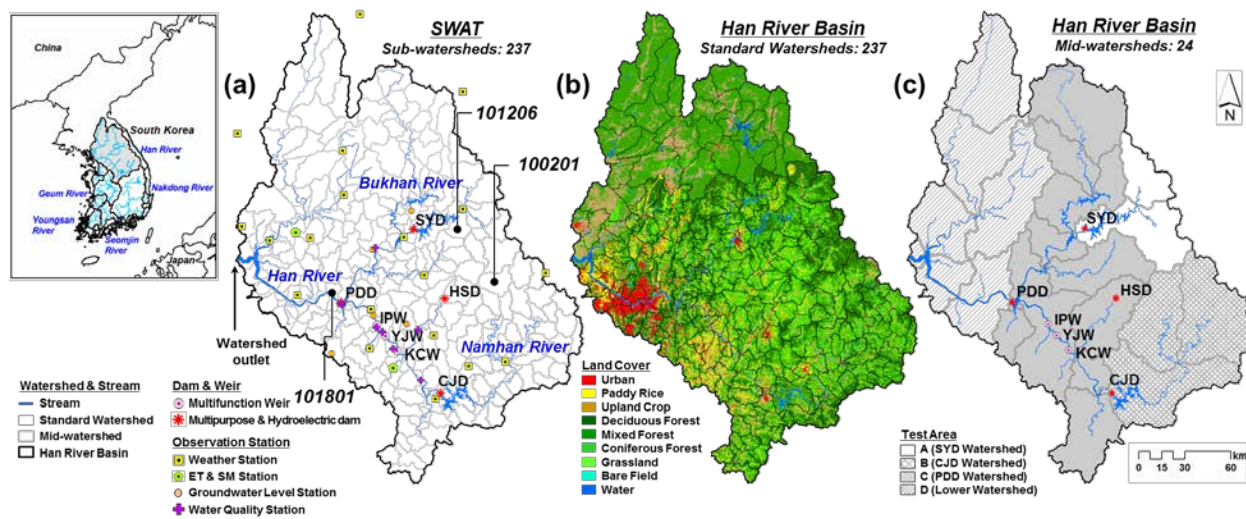
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708 Figure 1. Flowchart of the study procedure for the watershed-health assessment.



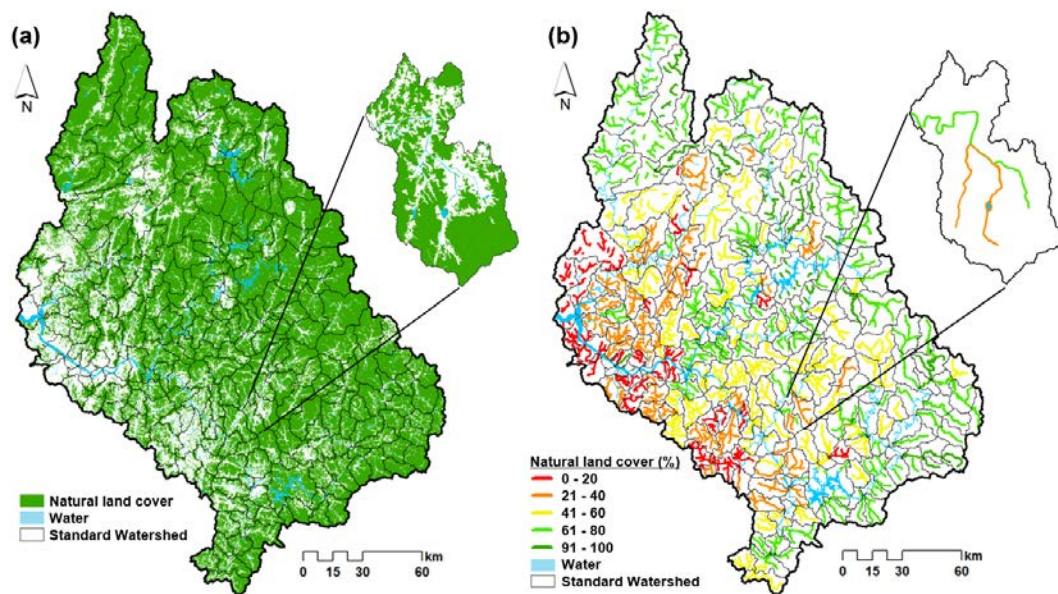
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712 Figure 2. Locations of the (a) Han River basin's boundaries and gauging stations for the watershed (SWAT) modeling,
 713 (b) land-cover classification, and (c) test area.



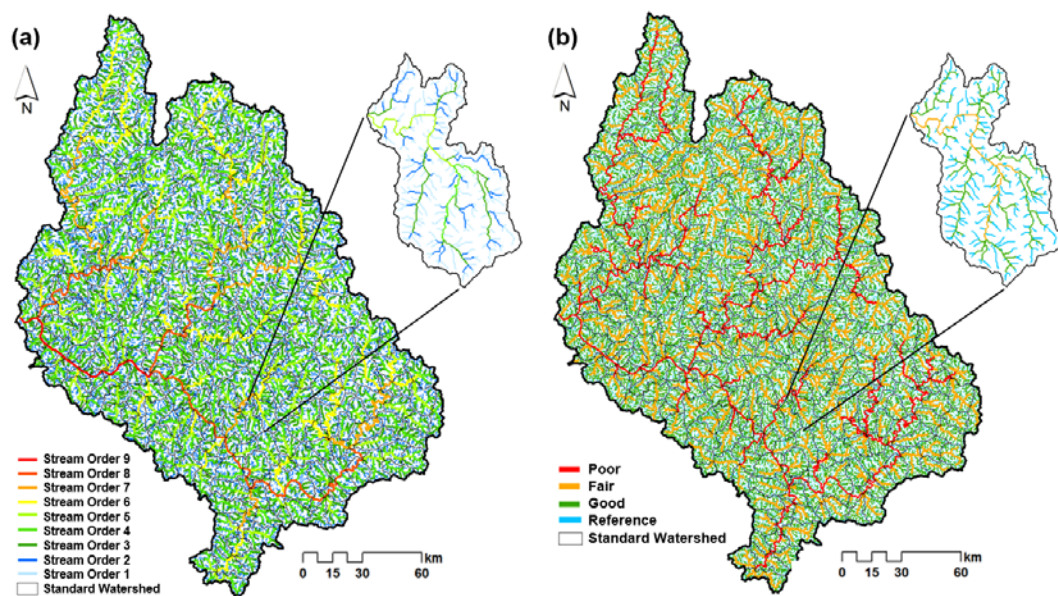
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719 Figure 3. Landscape condition for the (a) green area and (b) riparian area.



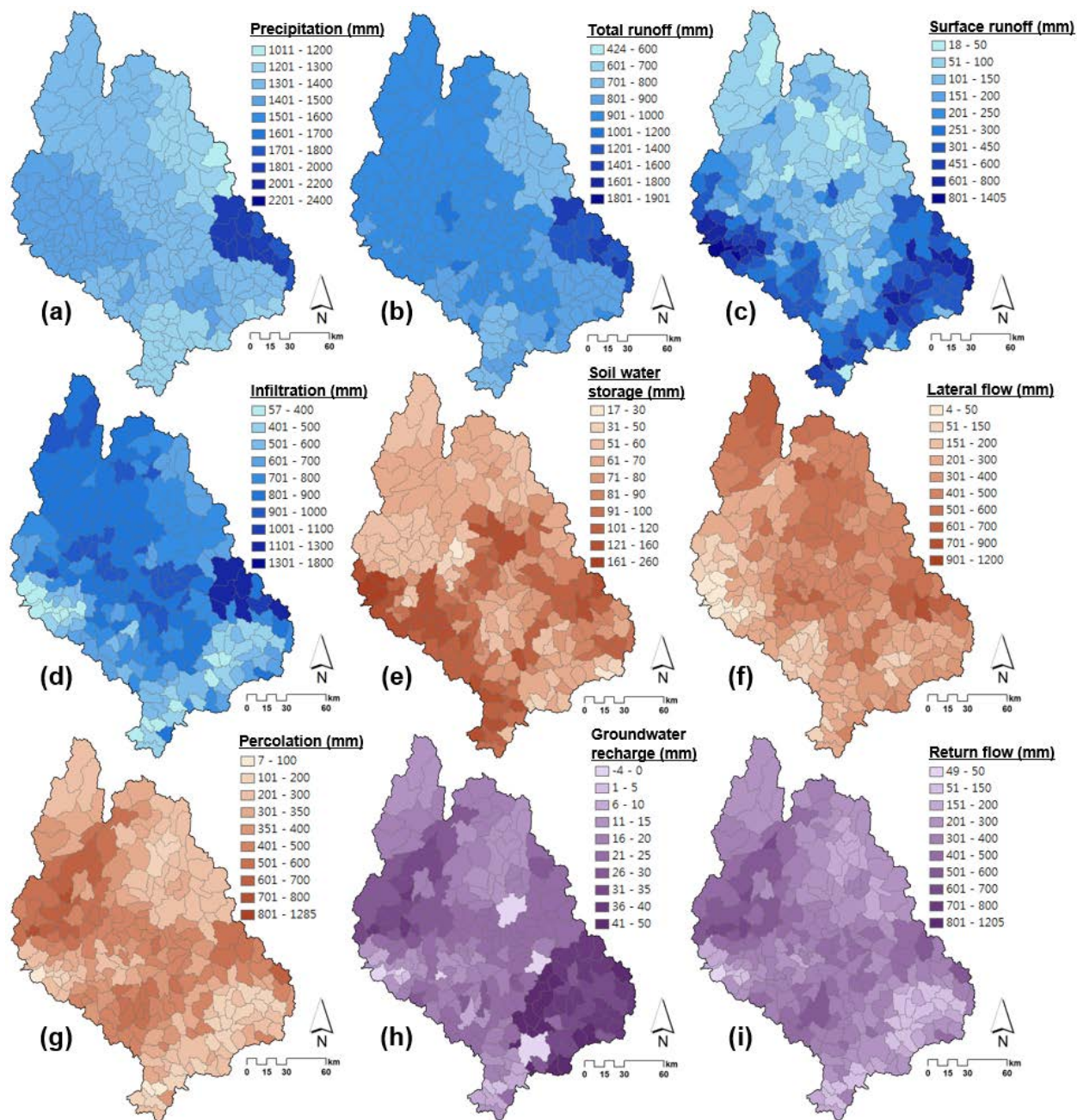
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724 Figure 4. Stream geomorphic conditions: (a) stream order and (b) stream geomorphic conditions.



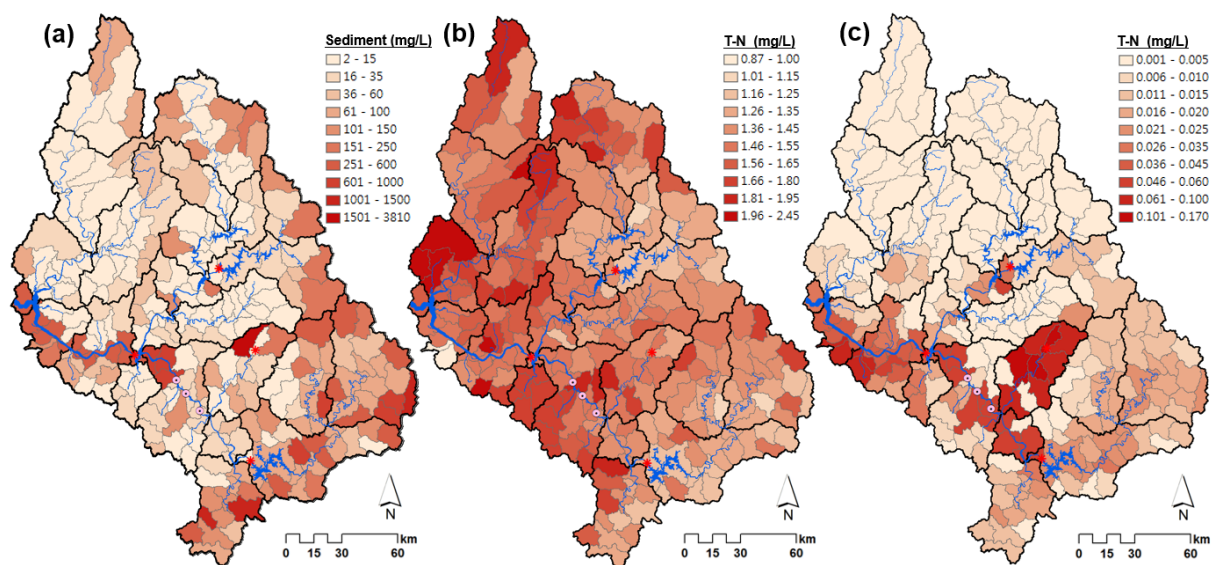
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729 Figure 5. Hydrologic condition for the (a) precipitation, (b) total runoff, (c) surface runoff, (d) infiltration, (e) soil
 730 water storage, (f) lateral flow, (g) percolation, (h) groundwater recharge, and (i) return flow according to the
 731 hydrological (SWAT) modeling for the period from 1985 to 2014 in the Han River basin.



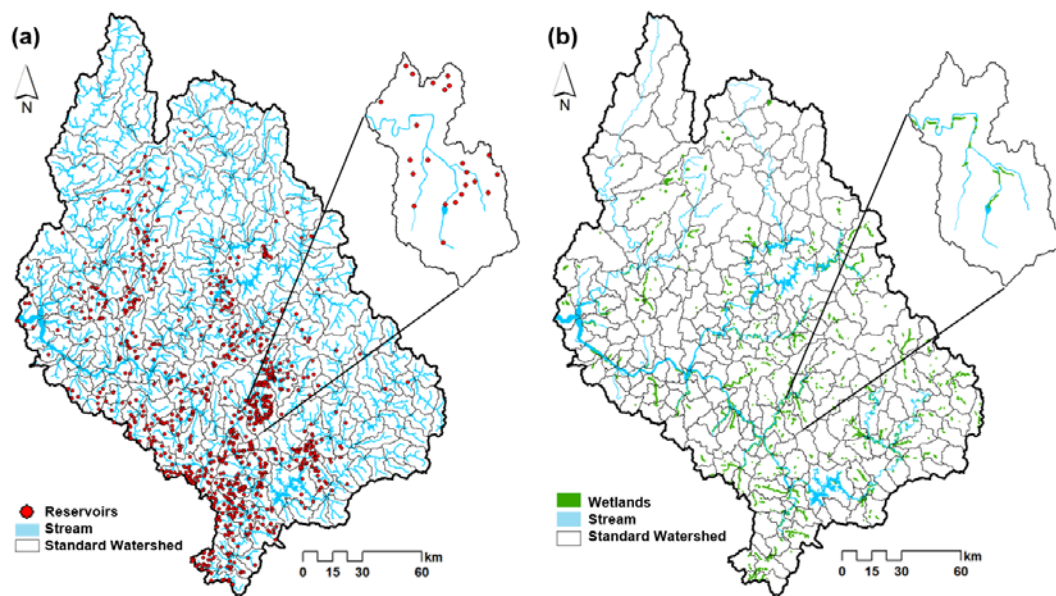
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737 Figure 6. Water quality condition for the (a) sediment, (b) T-N and (c) T-P according to the hydrological (SWAT)
738 modeling for the period from 1985 to 2014 in the Han River basin.



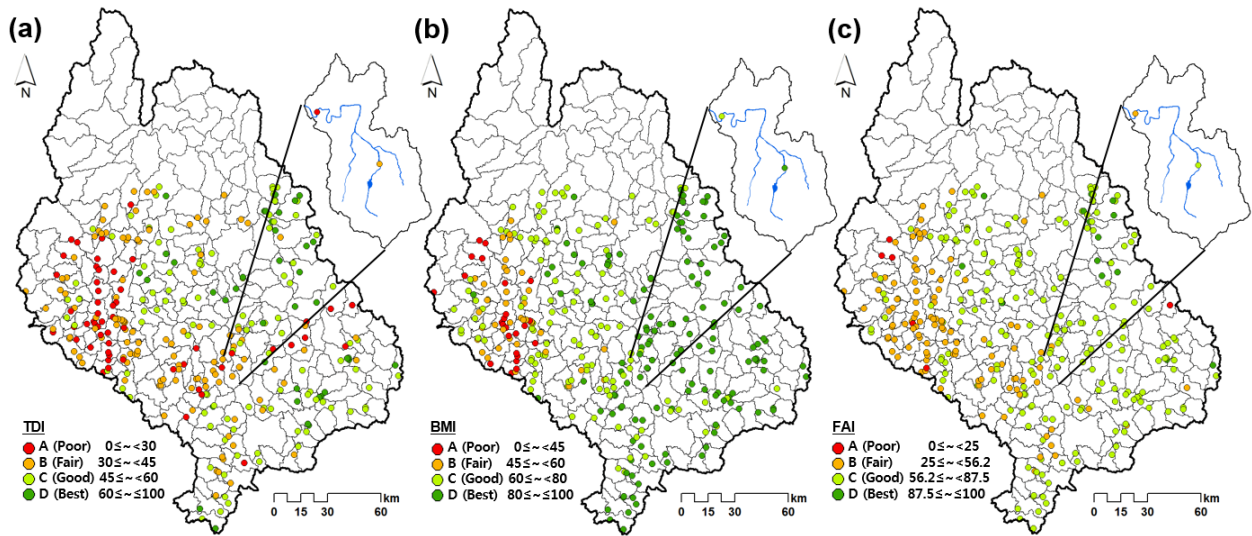
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743 Figure 7. Aquatic habitat conditions for the (a) aquatic habitat connectivity and (b) wetlands.



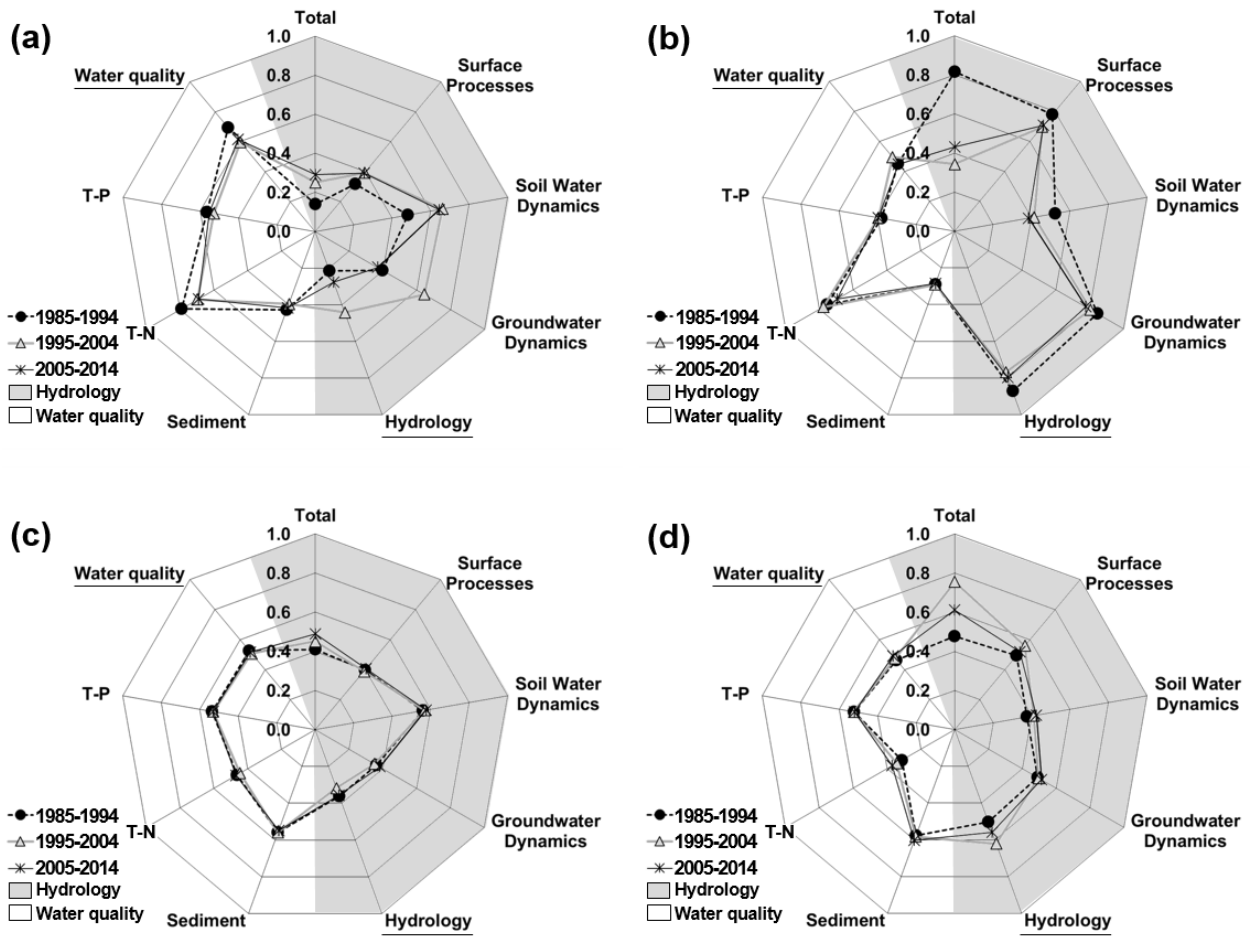
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747 Figure 8. Biological conditions of the (a) FAI, (b) BMI and (c) FAI according to the observed monitoring data for the
748 period from 2008 to 2013 in the Han River basin.



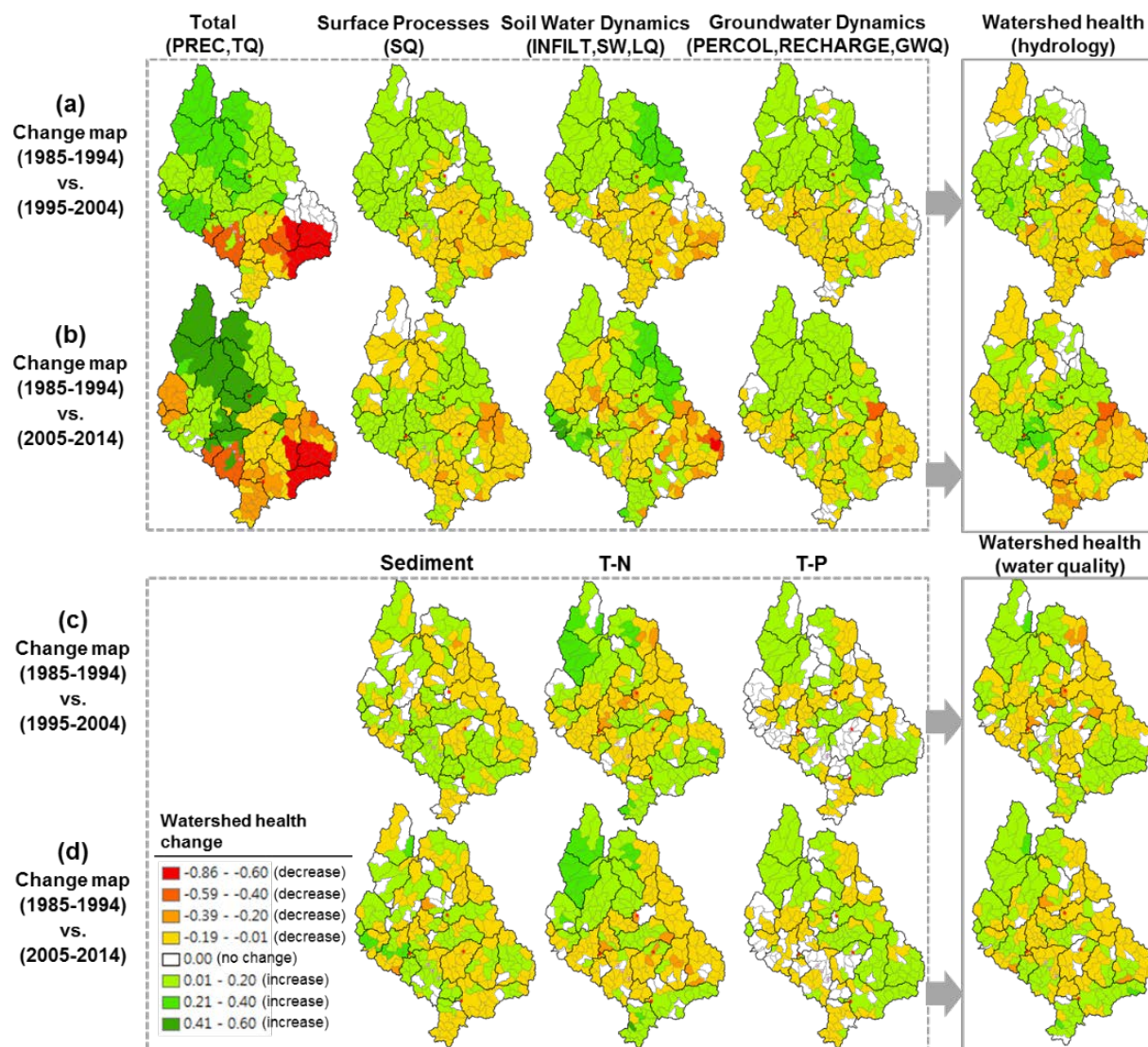
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753 Figure 9. Change in hydrology and water quality for the (a) A (SYD watershed), (b) B (CJD watershed), (c) C (PDD
 754 watershed), and (d) D (lower watershed) test areas for three ten-year periods.



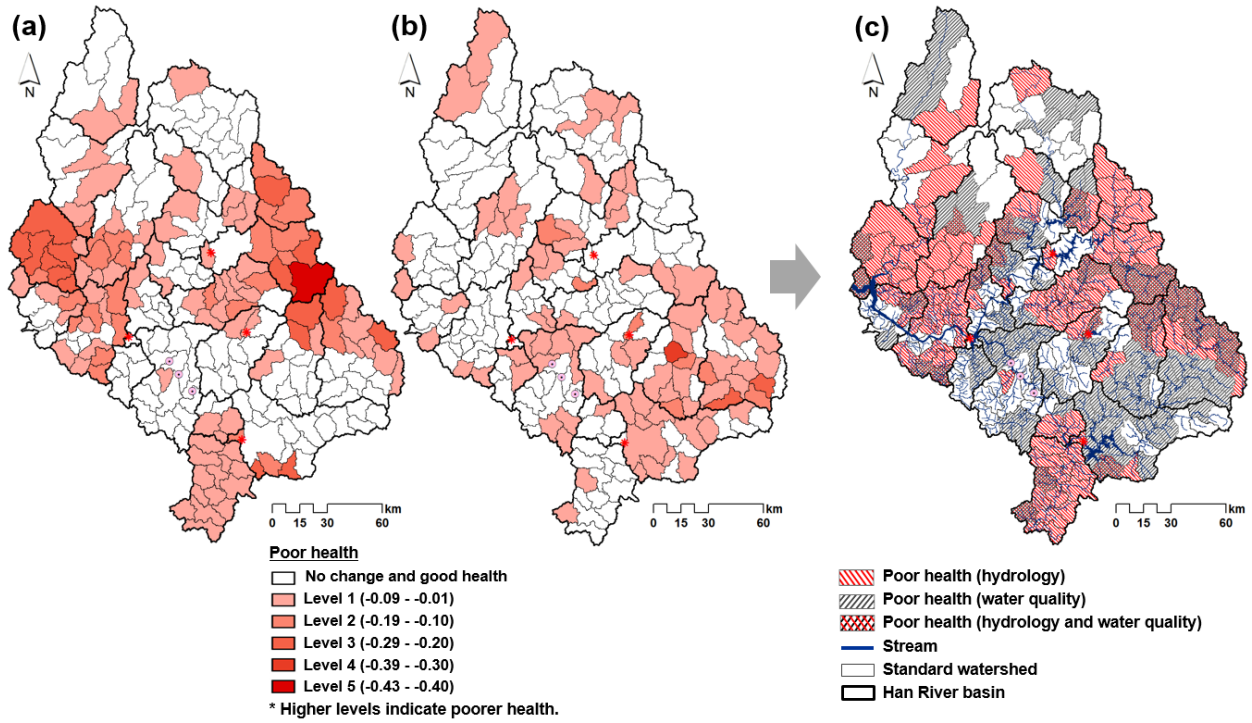
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757 Figure 10. Watershed-health index score changes for the hydrologic (a and b) and water quality (c and d) conditions
 758 during the period 1995–2004 and the most recent ten-year period (2005–2014) based on the reference period (1985–
 759 1994).



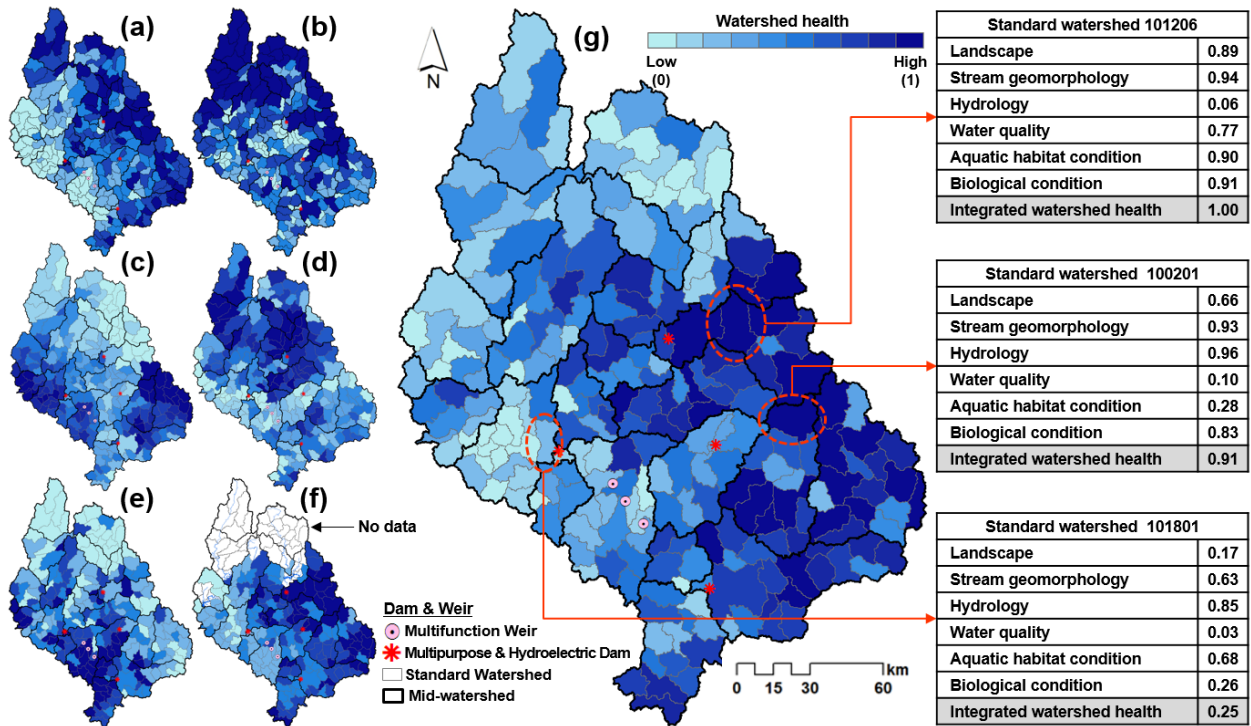
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763 Figure 11. Poor watershed health as revealed by the (a) hydrology, (b) water-quality, and (c) overlay results.



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769 Figure 12. Watershed-health index results for the (a) landscape, (b) stream geomorphology, (c) hydrology, (d) water
 770 quality, (e) aquatic habitat, (f) biological condition, and (g) integrated watershed health.



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776 Table 1 Metrics and summary dataset that was used to assess the watershed health in the study watershed.

Component (metric)	Measurement method	Dataset
<i>Landscape</i>		
Green infrastructure metric	Percentage of the watershed that is occupied by natural land cover	<i>GIS data</i> Land cover 2008 ^[a]
Active river area metric	Percentage of natural land cover within the active river area	Land cover 2008, stream ^[b]
<i>Geomorphology</i>		
Stream geomorphology metric	Percentage of assessed stream length in the reference condition	<i>GIS data</i> SRTM DEM (90×90) ^[c] , stream
<i>Hydrology</i>		
Total metric	Precipitation and total runoff storage ratio	<i>SWAT modeling data (1985–2014)</i> PREC, TQ
Surface processes metric	Surface runoff storage ratio	SQ
Soil water dynamics metric	Infiltration, soil water and lateral flow storage ratio	INFILT, SW, LQ
Groundwater dynamics metric	Percolation, groundwater recharge and return flow storage ratio	PERCOL, RECHARGE, GWQ
<i>Water quality</i>		
Water quality metric	Percentage of the assessed value in the reference criteria	<i>SWAT modeling data (1985–2014)</i> Sediment, T-N, T-P
<i>Aquatic habitat condition</i>		
Habitat connectivity metric	Reservoir density (number of reservoirs per stream length)	<i>GIS data</i> Reservoir location map ^[d] , stream
Wetland metric	Percentage of the watershed that is occupied by wetlands	Land cover 2008
<i>Biological condition</i>		
Biological metric	Percentage of the assessed score in the reference condition	<i>Monitoring data (2008–2013)</i> ^[e] TDI, BMI, FAI

777 Main data sources included ^[a] the Korea Ministry of Environment (KME); ^[b] the Ministry of Land, Infrastructure, and Transport (MOLIT) in
778 South Korea; ^[c] the International Center for Tropical Agriculture (CIAT); ^[d] the Korea Rural Community Corporation (KRC); and ^[e] the Korea
779 Ministry of Environment (KME) in South Korea (Ministry of Environment, 2013).

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783 Table 2 Calibration and validation results for the dam inflow, dam-storage volume, evapotranspiration and soil
 784 moisture, groundwater-level fluctuation, sediments, T-N, and T-P at each calibration point.

Model output	Evaluation criteria	Cal.	Val.	Cal.	Val.	Cal.	Val.	Cal.	Val.	Cal.	Val.	Cal.	Val.	Cal.	Val.
Dam inflow (mm)	Locations	HSD		SYD		CJD		KCW		YJW		IPW		PDD	
	R ²	0.82	0.84	0.90	0.89	0.81	0.74	0.90	0.63	0.91	0.62	0.93	0.59	0.92	0.88
	NSE	0.61	0.57	0.78	0.78	0.63	0.58	0.78	0.79	0.77	0.76	0.81	0.95	0.83	0.76
	NSE (1/Q)	0.44	0.26	0.49	0.56	0.34	0.25	0.47	0.60	0.46	0.47	0.62	0.75	0.65	0.51
	RMSE (mm/day)	7.9	9.3	3.8	3.9	3.5	3.1	6.5	0.7	9.1	2.4	9.2	2.9	0.8	2.3
	PBIAS (%)	14.5	12.5	10.3	14.0	8.9	9.9	18.0	4.9	25.5	14.1	25.6	17.2	2.2	6.8
Dam storage (10 ⁶ m ³)	Locations	HSD		SYD		CJD		KCW		YJW		IPW		PDD	
	R ²	0.73	0.77	0.94	0.96	0.87	0.84	0.57	0.85	0.47	0.83	0.47	0.79	0.40	0.44
	PBIAS (%)	18.9	9.9	16.3	9.3	18.2	15.2	5.1	7.4	3.7	11.1	9.1	7.2	0.9	1.4
Evapotrans- piration (mm)	Locations	SM		CM		-	-	-	-	-	-	-	-	-	-
	R ²	0.81	0.73	0.70	0.74	-	-	-	-	-	-	-	-	-	-
	NSE	0.64	0.45	0.50	0.55	-	-	-	-	-	-	-	-	-	-
	RMSE (mm/day)	2.3	9.1	4.0	3.0	-	-	-	-	-	-	-	-	-	-
	PBIAS (%)	9.6	30.2	11.6	23.7	-	-	-	-	-	-	-	-	-	-
Soil moisture (%)	Locations	SM		CM		-	-	-	-	-	-	-	-	-	-
	R ²	0.85	0.75	0.78	0.78	-	-	-	-	-	-	-	-	-	-
Groundwater level (EL.m)	Locations	-	-	-	-	GPGP		YPPG		YPPD		YIMP		HCGD	
	R ²	-	-	-	-	0.70	0.63	0.64	0.45	0.70	0.41	0.53	0.40	0.69	0.67
Sediment (tons) T-N (kg) T-P (kg)	Locations	SG		CSG		JW		KCW		YJW		IPW		PDD	
	R ²	0.78	0.70	0.78	0.76	0.90	0.71	0.54	0.64	0.84	0.54	0.69	0.66	0.72	0.80
	R ²	0.58	0.71	0.64	0.71	0.82	0.68	0.50	0.61	0.52	0.49	0.46	0.62	0.66	0.62
	R ²	0.77	0.77	0.88	0.88	0.80	0.56	0.56	0.58	0.50	0.47	0.66	0.70	0.74	0.69
	R ²	0.77	0.77	0.88	0.88	0.80	0.56	0.56	0.58	0.50	0.47	0.66	0.70	0.74	0.69

785 ^[a] Cal. = calibration period (HSD, SYD, CJD and PDD: 2005-2009; KCW, YJW and IPW: 2013) and Val. = validation period (HSD,
 786 SYD, CJD and PDD: 2010-2014; KCW, YJW and IPW: 2014)

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792 Table 3 Description of the stream geomorphic conditions (Kline et al., 2009) and stream order for the watershed-health
 793 assessment of the geomorphic condition in the Han River basin.

Condition	Description	River classification	Stream order (1–9)
Reference	In Equilibrium – no apparent or significant channel, floodplain, or land-cover modifications; the channel geometry is likely to be in balance with the flow and sediment that are produced in its watershed.	Mountainous river	1
Good	In Equilibrium but may be in transition into or out of the range of natural variability – minor erosion or lateral adjustment but adequate floodplain function; any adjustments from historical modifications nearly complete.	Small river	2–3
Fair	In Adjustment – moderate loss of floodplain function or moderate to major plan-form adjustments that could lead to channel avulsions.	Local river	4–5
Poor	In Adjustment and Stream Type Departure – may have changed to a new stream type, or central tendency of fluvial processes or significant channel and floodplain modifications may have altered the channel geometry such that the stream is not in balance with the flow and sediment that are produced in its watershed.	Urban river, National river	6–9

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798 Table 4 Summary of the hydrology, water-quality and biological criteria that were used to screen for the reference
 799 condition in the Han River basin.

Component	Source	Reference condition
<i>Hydrology</i>		
Precipitation	River-basin average of 30 years (1985–2014) as simulated by SWAT	1,395.1 (mm)
Total runoff		919.5 (mm)
Surface runoff		249.4 (mm)
Infiltration		726.4 (mm)
Soil water storage		85.3 (mm)
Lateral flow		345.9 (mm)
Percolation		363.8 (mm)
Groundwater recharge		22.9 (mm)
Return flow		324.2 (mm)
<i>Water quality</i>		
Sediment	Levels greater than the "marginally good" level on a seven-point scale (excellent, very good, good, marginally good, fair, poor, very poor) of water-quality criteria for streams and lakes as devised by the Basic Environmental Policy Act (BEPA) in South Korea.	15 (mg/L)
T-N		0.6 (mg/L)
T-P		0.05 (mg/L)
<i>Biological condition</i>		
TDI	"Best" and "good" levels on a four-point scale (best, good, fair and poor) of biological condition criteria devised by the Korea Ministry of Environment (KME) (Ministry of Environment, 2013).	72.5
BMI		80.0
FAI		78.1

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804 Table 5 Watershed-health score results in each test area (upper/lower stream) of the Han River basin.

Component	A (SYD watershed)	B (CJD watershed)	C (PDD watershed)	D (Lower watershed)
<i>Landscape</i>	0.80	0.66	0.53	0.26
Green infrastructure metric	0.85	0.67	0.52	0.25
Active river area metric	0.74	0.65	0.53	0.28
<i>Geomorphology</i>	0.75	0.47	0.46	0.54
<i>Hydrology</i>	0.21	0.74	0.37	0.60
Total	0.19	0.51	0.44	0.65
Surface processes	0.36	0.73	0.40	0.53
Soil water dynamics	0.61	0.44	0.58	0.39
Groundwater dynamics	0.30	0.55	0.45	0.58
<i>Water quality</i>	0.63	0.45	0.52	0.48
Sediment	0.40	0.29	0.55	0.61
T-N	0.76	0.70	0.49	0.32
T-P	0.52	0.40	0.53	0.53
<i>Aquatic habitat condition</i>	0.39	0.43	0.55	0.45
Habitat connectivity	0.22	0.30	0.52	0.40
Wetland	0.53	0.51	0.49	0.41
<i>Biological condition</i>	0.92	0.73	0.47	0.23
TDI	0.83	0.67	0.50	0.25
BMI	0.88	0.78	0.46	0.22
FAI	0.92	0.70	0.47	0.27
<i>Integrated assessment</i>	0.82	0.75	0.47	0.30

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