

1 **MS No.:** hess-2017-88

2 **MS Type:** Research article

3 **Special Issue:** Coupled terrestrial-aquatic approaches to watershed-scale water resource  
4 sustainability

5 **Title:** Assessment of Integrated Watershed Health based on the Natural Environment, Hydrology,  
6 Water Quality, and Aquatic Ecology

7 **Journal:** Hydrology and Earth System Sciences

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10 **Anonymous Referee #1**

11  
12 **COMMENTS:** This study evaluated health condition of a watershed of the Han River basin (34,148 km<sup>2</sup>) in South  
13 Korea was performed using monitoring data and SWAT modeling results. Six essential indicators of healthy  
14 watersheds were used in the assessment: landscape condition, geomorphology, hydrology, water quality, habitat, and  
15 biological condition. The research findings from this study provided guidance for watershed management at the  
16 watershed scale based on specific management objectives and can combined with any of the other sub-indices in the  
17 Han River basin for use in determining priority conservation areas. This paper is well organized and well written  
18 generally. Detailed method description was incorporated. The scientific results and conclusions were presented in a  
19 clear, concise, and well-structured way. The number and quality of references is appropriate. But method and results  
20 should be reduced. The importance of six essential indicators of healthy watersheds was not well described. More in-  
21 depth discussion should be included to support the interpretations and conclusions. This manuscript can be reviewed  
22 after major revisions. What is the novel idea this manuscript provided to scientific knowledge? Please describe it and  
23 use your results and discussion to support it.

24  
25 **General**

- 26 1. The last sentence of the abstract “The results suggest that approaches aimed at simultaneously improving  
27 the water quality, hydrology, and aquatic ecology conditions may be necessary to improve integrated  
28 watershed health.” Is this the scientific questions being answered in this manuscript? Please provide specific  
29 discussion of results and summarize them in conclusion to support this point. Otherwise, I do not think this  
30 sentence should be here.

31 **• Response:**

32 (Lines 27-32) We removed the last sentence of the abstract and revised this section as follows: “The results  
33 indicate that the watershed’s health declined during the most recent ten-year period of 2005–2014, as  
34 indicated by the worse results for the surface process metric and soil water dynamics compared to those of  
35 the 1995–2004 period. The integrated watershed health tended to decrease farther downstream within the  
36 watershed.”

- 37  
38 2. 2.4 Hydrology and water quality simulations using the SWAT model: the session is mainly focus on basic  
39 information about SWAT. If it is not specific for your project, it is better to put information in the  
40 Introduction rather than in Methods. And authors already described data collection related to SWAT model  
41 setup and SWAT outputs in 2.3, thus it is better to introduce SWAT model before discussing data related to  
42 it.

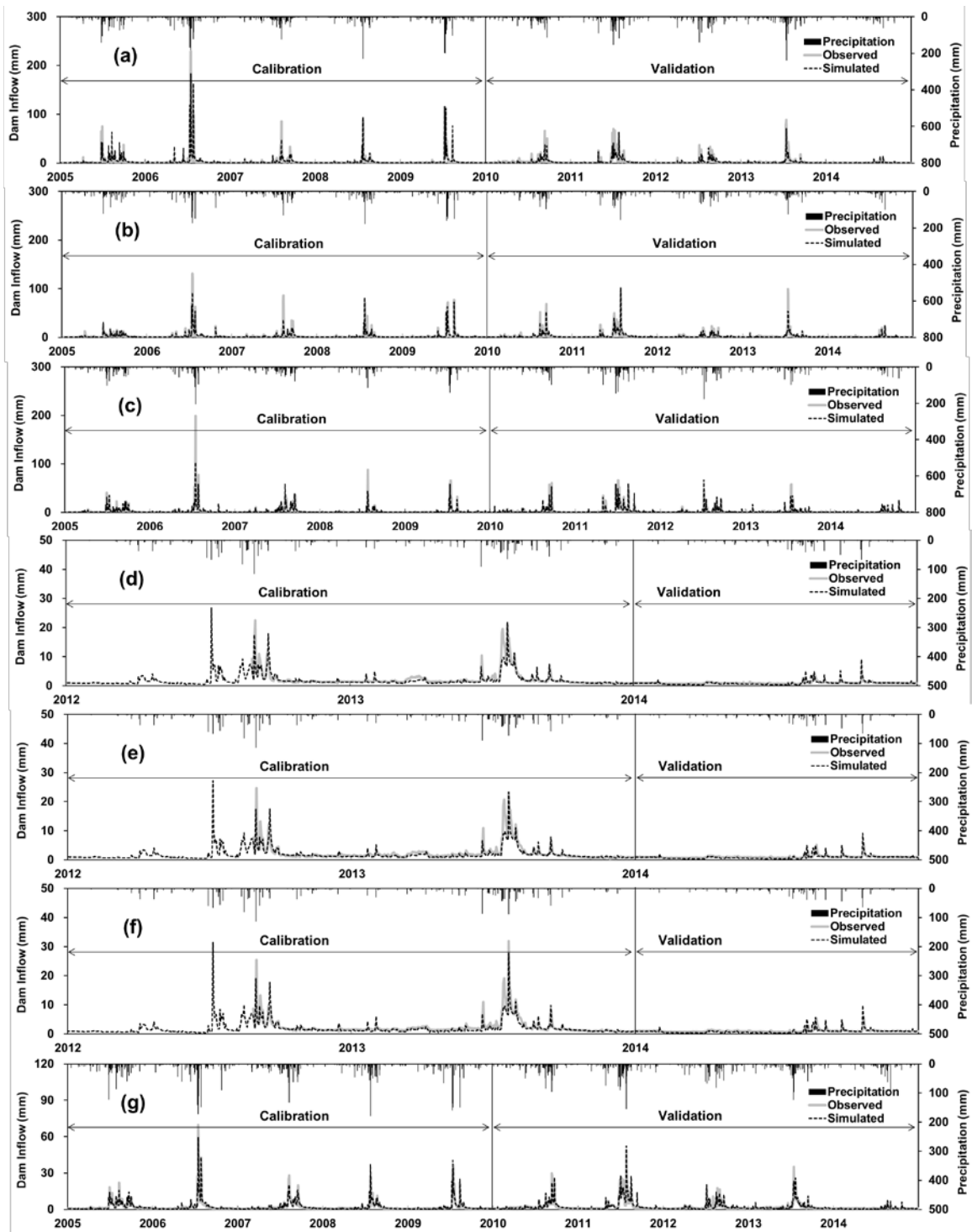
43 **• Response:**

44 (Lines 153-162, and 199-206) Section 2.4, “Hydrology and water quality simulations using the SWAT  
45 model”, mainly focused on both basic information regarding the SWAT and the model calibration and  
46 validation for the hydrology and water-quality simulation data. The information in this section is very  
47 important for the watershed-health assessment. We added a new section 2.3, “SWAT model description”,  
48 before section 2.4, “Data collection”, and removed the basic information regarding the SWAT in section 2.5,  
49 “Hydrology and water quality simulations with the SWAT model”.

51 3. Is 90 m grid size DEM data sufficient to accurately simulate hydrology and water quality at such a large  
52 area? Is there any higher resolution elevation data can be used?

53 • **Response:**

54 (Lines 212-213) Our study area included portions of North Korea. We had a 30-m DEM that covered South  
55 Korea, but we did not have data in North Korea. Therefore, we used a 90-m global DEM from the Shuttle  
56 Radar Topography Mission (SRTM) of the International Centre for Tropical Agriculture (CIAT). As shown  
57 Figures 1, 2, 3, and 4 below, the results for the hydrology and water quality were reasonable. I believe that  
58 precipitation had an even greater effect on the hydrologic simulations than the DEM resolution did. In  
59 addition, the resolution of the 90-m DEM was appropriate to simulate the watershed's hydrology for the 237  
60 sub-watersheds (average area of 144 km<sup>2</sup>) by using the SWAT model.  
61

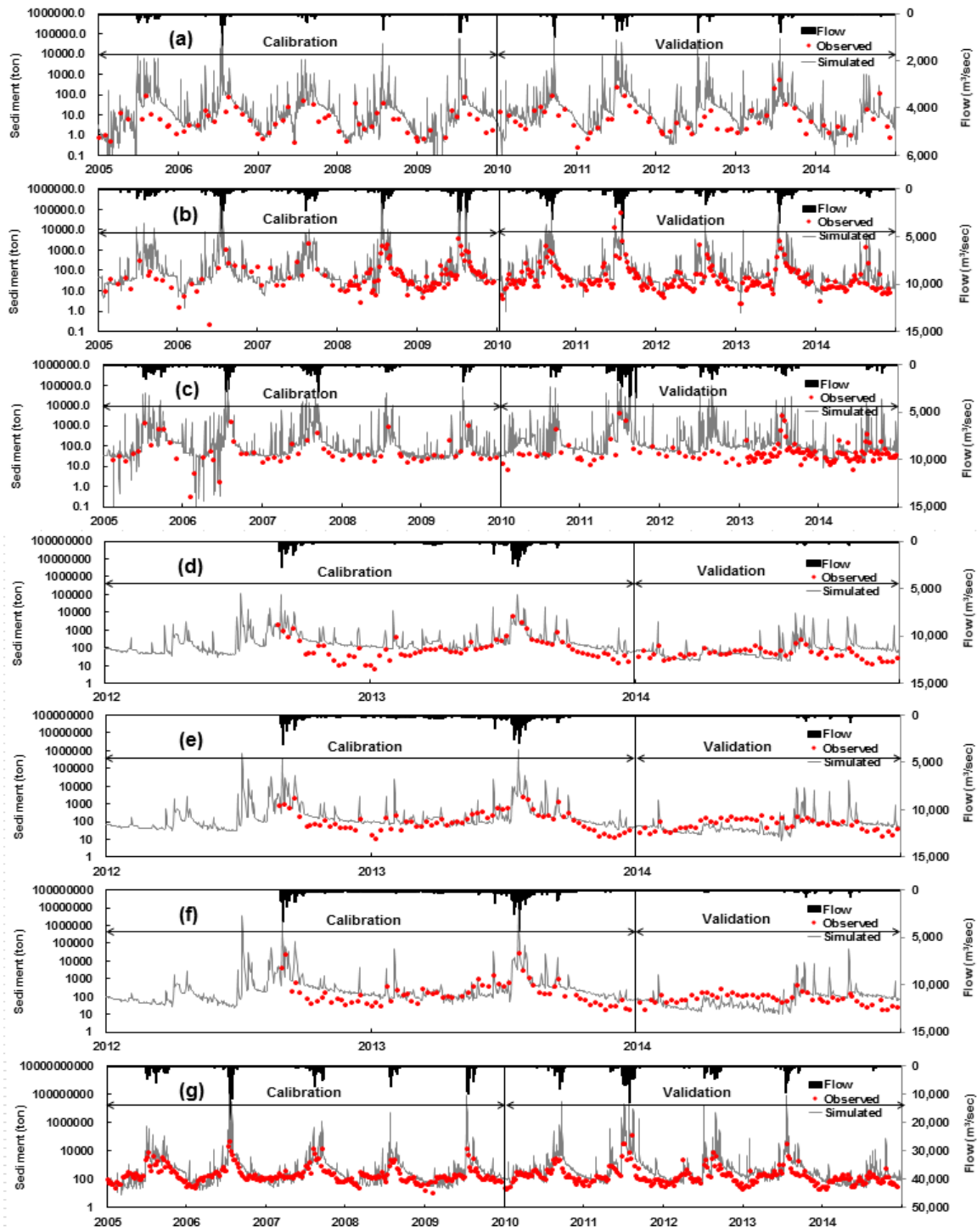


62

63 **Figure 1 Comparison of the observed and SWAT-simulated daily dam inflow during the calibration**  
 64 **(2005–2009) and validation (2010–2014) periods at (a) HSD, (b) SYD, (c) CJD, (d) KCW, (e) YJW,**  
 65 **(f) IPW, and (g) PDD.**

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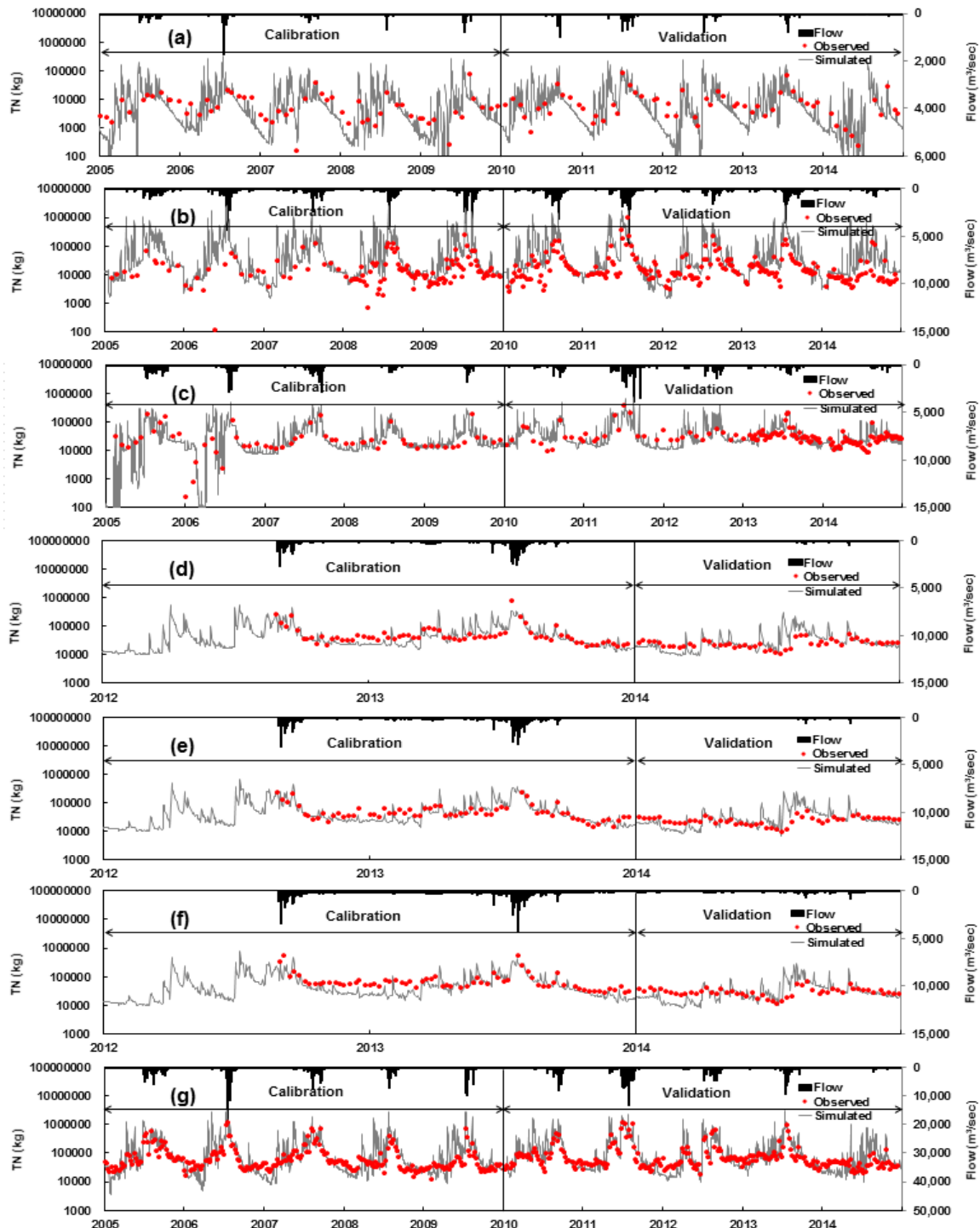
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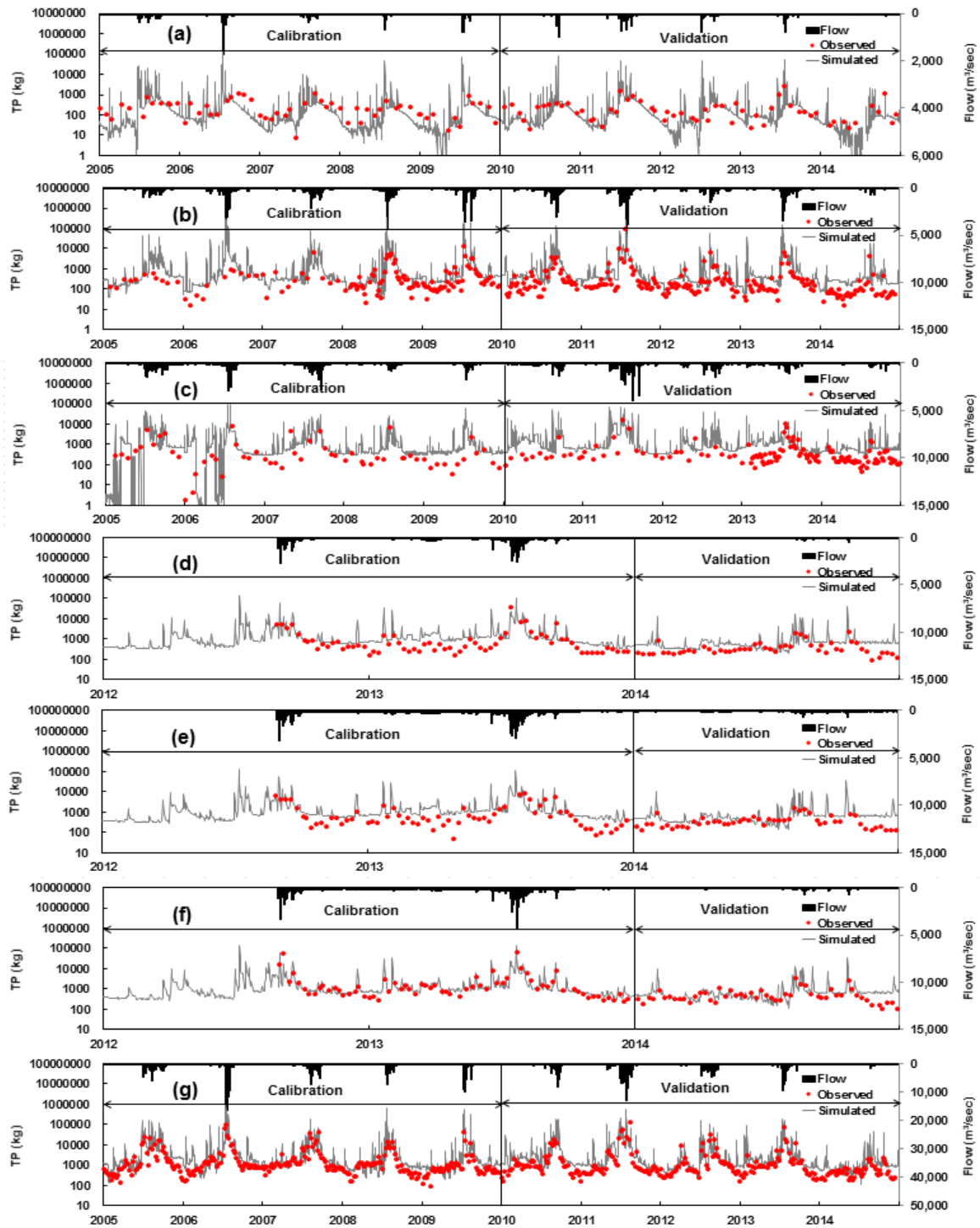
**Figure 2 Comparison of the observed and SWAT-simulated daily sediment during the calibration (2005–2009) and validation (2010–2014) periods at (a) SG, (b) CSG, (c) JW, (d) KCW, (e) YJW, (f) IPW, and (g) PDD.**



73

74 **Figure 3 Comparison of the observed and SWAT-simulated daily T-N during the calibration (2005–**  
 75 **2009) and validation (2010–2014) periods at (a) SG, (b) CSG, (c) JW, (d) KCW, (e) YJW, (f) IPW,**  
 76 **and (g) PDD.**

77



78

79 **Figure 4 Comparison of the observed and SWAT-simulated daily T-P during the calibration (2005–**  
 80 **2009) and validation (2010–2014) periods at (a) SG, (b) CSG, (c) JW, (d) KCW, (e) YJW, (f) IPW,**  
 81 **and (g) PDD.**

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87 4. Is calibration period (2005–2009) and validation period (2010–2014) both incorporate wet and dry years?

88 • **Response:**

89 (Lines 249-254) We incorporated both wet and dry years in the calibration (2005–2009) and validation  
90 periods (2010–2014). The average annual precipitation of the Han River basin is 1,300 mm. For the  
91 calibration period (2005–2009), the wet and dry years were 2006 (1,625 mm) and 2008 (1,160 mm). For the  
92 validation period (2010–2014), the wet and dry years were 2011 (1,640 mm) and 2014 (734 mm).  
93

94 5. Statistical evaluation criteria R2, NSE and PBIAS are all sensitive to high values. Criteria less sensitive to  
95 high values, such as Modified NSE and KGE, may could be incorporated.

96 • **Response:**

97 (Lines 291-293) We added the NSE with inverse discharge (1/Q) in Table 2. We also added the following  
98 sentences: “Additionally, the model calibration and validation included the NSE with inverse discharge (1/Q)  
99 for low flow. The average NSE with inverse discharge (1/Q) during the calibration (2005–2009) and  
100 validation (2010–2014) periods was 0.35 at HSD, 0.53 at SYD, 0.30 at CJD, 0.54 at KCW, 0.47 at YJW,  
101 0.69 at IPW, and 0.58 at PDD.”  
102

103 6. Page 8 line 197: this paragraph described a lot of detailed information about dams. It is better to condense  
104 it and save more space for in-depth discussions. How was dam information being set in SWAT model?

105 • **Response:**

106 (Lines 218-226 and 230-243) We removed the paragraph that described the dam informations. We added the  
107 following sentences regarding the dam information that was set in the SWAT model: “The flow and water  
108 quality of the Han River are affected by the discharge operations of these large dams and weirs; therefore,  
109 dam and weir operations must be incorporated into the modeling framework to enable successful modeling.  
110 In the SWAT model, dam operations are modeled based on measured daily discharges, measured monthly  
111 discharges, average annual discharges, or target storage volumes. In this study, the measured daily  
112 discharges from the four dams and three weirs were directly imported into the SWAT model.”  
113

114 7. Page 9 line 226: “The calibrated parameters and hydrograph of the calibration results in the Han River basin  
115 were described by 227 Chung et al (2017).” Parameter definition, physical meaning, range used for  
116 calibration and calibrated values are very important information. Please describe this information in  
117 supplementary materials to prove that your calibration and validation is reliable.

118 • **Response:**

119 (Lines 269-276) We added the following sentences regarding information for the parameter definitions and  
120 physical meanings: “In this study, both calibration and validation were manually performed by using a trial-  
121 and-error approach within recommended ranges to maximize the expert knowledge of watershed  
122 characteristics and modeling experience. The final values were selected based on a statistical evaluation of  
123 the performance measures. Twenty of the most influential parameters were selected for calibration. These  
124 parameters are related to surface-runoff (CN2, CNCOEF, SURLAG, OV\_N, and CH\_N), evapotranspiration  
125 (ESCO), soil-water (SOL\_AWC and SOL\_K), groundwater (GW\_DELAY, GWQMN, ALPHA\_BF,  
126 REVAPMN, and GW\_REVAP), and reservoir-operation (RES\_ESA, RES\_EVOL, RES\_PSA, RES\_PVOL,  
127 RES\_VOL, RES\_K, and EVRSV) processes.”

128 As shown below, the adjusted parameter values and definitions were included in Table 1 from Chung et al.  
129 (2017).  
130

Table 1. Descriptions of calibrated parameters in Soil and Water Assessment Tool (SWAT) [32].

Parameter	Definition	Range	Adjusted Value (Average)		
			Dams	Weirs	
Surface runoff	CN2	SCS curve number for moisture conditions	35-98	+12.5	+7
	CNCOEF	Plant ET curve number coefficient	0.5-2	2	2
	SURLAG	Surface runoff lag coefficient	1-24	4	4
	OV_N	Manning's "n" value for overland flow	0.01-30	0.14	0.14
	CH_N(1)	Manning's "n" value for tributary channels	0.01-30	0.014	0.014
Evapotranspiration	ESCO	Soil evaporation compensation coefficient	0-1	0.9125	0.95
Soil water	SOL_AWC	Available water capacity	0-1	0.135	0.14
	SOL_K	Saturated hydraulic conductivity (mm/hr)	0-2000	25.8	25.8
Ground water	GW_DELAY	Delay time for aquifer recharge (days)	0-500	29	31
	GWQMN	Threshold water level in a shallow aquifer for baseflow (mm)	0-5000	1375	1000
	ALPHA_BF	Baseflow recession constant	0-1	0.725	0.048
	REVAPMN	Threshold water level in a shallow aquifer for "revap" (mm)	0-1000	750	750
	GW_REVAP	Groundwater "revap" coefficient	0.02-0.2	0.02	0.02
	Reservoir	RES_ESA	Reservoir surface area of the emergency spillway (km <sup>2</sup> )	-	48.25
RES_EVOL		Volume of water needed to fill the reservoir storage Volume of the emergency spillway (10 <sup>6</sup> m <sup>3</sup> )	-	1495.25	13.667
RES_PSA		Reservoir surface area of the principal spillway (km <sup>2</sup> )	-	43	3
RES_PVOL		Reservoir storage volume of the principal spillway (10 <sup>6</sup> m <sup>3</sup> )	-	1257.25	11.33
RES_VOL		Initial reservoir volume (10 <sup>6</sup> m <sup>3</sup> )	-	674.75	9
RES_K		Hydraulic conductivity of the reservoir bottom (mm/hr)	0-1	0.2	0.3
EVRSV		Lake evaporation coefficient	0-1	0.525	0.6

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8. Results and discussion generally is redundant. This part need to be condensed. Some information can be incorporated in supplementary materials.

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• **Response:**  
(Lines 483-614) Following the reviewer's suggestion, the manuscript has been generally revised, and we removed duplicate information as much as possible to condense section 3, "Results and discussion".

139

9. Page 10 line 237: "T-N was between 0.46 and" There should be a space between "0.46" and "and".

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• **Response:**  
(Line 288) We added a space between "0.46" and "and".

144  
145  
146  
147

10. Page 10 line 239: should there have a space before and after => ?

• **Response:**  
(Line 290) We added a space before and after  $\geq$ .

148

11. Page 19 line 478: Please improve wording of the first sentence.

• **Response:**



149 (Lines 552-554) We revised this sentence as follows: “Figure 11 shows the poor watershed health in terms  
150 of the hydrology (Figure 11a), water-quality (Figure 11b), and overlay results (Figure 11c).”

- 151  
152 12. Conclusion did not interpolate researching findings well. The results showed the watershed health declined  
153 and targeted the vulnerable areas, but what is boarder impacts of these results? How will it be beneficial for  
154 watershed management? It would be more meaningful if authors can incorporate this information.

155 • **Response:**

156 (Lines 633-638) We added the following sentences regarding the effects of the study results and beneficial  
157 effects for watershed management in the Conclusions section: “Listing all the information of the watershed-  
158 health assessment can indicate vulnerable or healthy regions in the desired area and can provide basic data  
159 for action. The effectiveness of the watershed-health evaluation in this study can produce reliable  
160 information because this approach is entirely physically based. This approach can be utilized in a number  
161 of standard watersheds, local communities, and regions throughout the Han River basin and can be  
162 practically implemented in the watershed as a comprehensive watershed management plan by government  
163 authorities or representative stakeholders.”

- 164  
165 13. What is limitation of this study, such as water quantity, quality data, or model input limitations? How to  
166 improve it in the further study? What kind of take-home messages you would like to delivery to readers?

167 • **Response:**

168 (Lines 639-644) We added the following sentences regarding the limitations of this study in terms of the  
169 water quantity, quality data, and model input in the Conclusions section: “Finally, the limitations of this  
170 study include the simulation of water quantity and quality data for possible long-term changes in the  
171 watershed model. Although the prediction of long-term water quantity and quality data with this modeling  
172 is essential to assess water-resource systems, the hydrologic and water quality conditions cannot be perfectly  
173 projected because of uncertainties in the models, climate data and other inputs that are required for the  
174 simulations. However, the results of this study are useful in terms of identifying potential watershed-health  
175 issues regarding ongoing watershed changes.”

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182 sustainability  
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184 Water Quality, and Aquatic Ecology  
185 **Journal:** Hydrology and Earth System Sciences  
186

187 **Anonymous Referee #2**  
188

189 **COMMENTS:** This study assesses health condition of the Han River basin in South Korea based on monitoring  
190 data, water quantity and quality time series simulations of the SWAT model and an ensemble of indicators related to  
191 6 components of the watershed landscape related to stream geomorphology, hydrology, water quality, aquatic habitat  
192 condition, and biological condition. The paper deals with an interesting topic which is watershed health condition.  
193 Indeed, there is a weak understanding of the complex processes and watershed components interactions that govern  
194 the healthy/unhealthy state of the watershed and such paper is needed to bridge the gap. This is a nice paper, well  
195 written and structured in a coherent way. But to my opinion, the approach needs to be improved by including an  
196 uncertainty assessment/analysis of the SWAT model.  
197 Authors used SWAT model simulations for water quality and quantity time series reconstruction which in-turn were  
198 used for indicators and sub-index development, as stated in the first specific object of the paper. Rely on model  
199 simulation for developing these indicators may add uncertainty in the indicators and sub-indexes. In addition, the  
200 definition of the reference condition here is crucial and used as a kind of “threshold” to discriminate between healthy  
201 and unhealthy watershed condition. This choice is based on SWAT simulation without any uncertainty analysis. I  
202 would prefer to see an acceptable range of reference condition based on model uncertainty analysis rather a single  
203 value of reference indicator.  
204

205 **General**

206 1. lines 314-316: Authors mentioned that surface water and lateral groundwater flow interactions were of major  
207 importance for the water balance in the Han River basin. In particular, infiltration, return flow, groundwater  
208 recharge were important factors for the whole hydrological cycle. These results were based on SWAT  
209 simulations. Again, in absence of model uncertainty analysis the contribution of these components to the  
210 total water balance may vary or change depending on the parameter of the model. Therefore, I don't think  
211 that metrics developed based on the above results can be used for establishing specific management  
212 objectives as stated by the authors in line 323.

213 • **Response:**

214 (Lines 255-268) We added a new paragraph in section 2.5.2, “Calibration and validation of the model”: “In  
215 this study, uncertainty analysis was performed for the hydrology by using the daily dam inflow with the  
216 SUFI-2 method. This method was chosen because of its applicability to both simple and complex  
217 hydrological models. SUFI-2 is convenient and easy to implement and widely used in hydrology (e.g., Freer  
218 et al., 1996; Cameron et al., 2000; Blazkova et al., 2002). In SUFI-2, parameter uncertainty considers all  
219 sources of uncertainty, e.g., input uncertainty, conceptual model uncertainty, and parameter uncertainty  
220 (Gupta et al., 2005). The degree to which uncertainties are considered is quantified by a measure called the  
221 P factor, which is the percentage of the measured data that are bracketed by the 95% prediction uncertainty  
222 (95PPU). Another measure that quantifies the strength of a calibration or uncertainty analysis is the R factor,  
223 which is the average thickness of the 95PPU band divided by the standard deviation of the measured data.  
224 The excellence of the calibration and prediction uncertainty is judged based on the closeness of the P factor  
225 to 1 and the closeness of the R factor to 0. Twenty parameters were selected by sensitivity analysis for the  
226 uncertainty analysis. In this study, three iterations were performed with 1,300 (100+200+1,000) model runs  
227 in each iteration. The coverages of the measurements (P factor) and the average thickness (R factor) of the  
228 95PPUs for the model predictions were 0.79 and 0.32, respectively, for the dam inflow during the calibration  
229 and validation periods.”  
230

231 (Lines 291-293) We added the NSE with inverse discharge (1/Q) in Table 2. We also added the following  
232 sentences: “Additionally, the model calibration and validation included the NSE with inverse discharge (1/Q)  
233 for low flow. The average NSE with inverse discharge (1/Q) during the calibration (2005–2009) and  
234 validation (2010–2014) periods was 0.35 at HSD, 0.53 at SYD, 0.30 at CJD, 0.54 at KCW, 0.47 at YJW,  
235 0.69 at IPW, and 0.58 at PDD.”

236  
237 (Lines 639-644) We added new sentences about limitation of water quantity, quality data, and model input  
238 in Conclusion section as follows: “Finally, the limitations of this study include the simulation of water  
239 quantity and quality data for possible long--term changes in the watershed model. Although the prediction  
240 of long-term water quantity and quality data with this modeling is essential to assess water\_resource systems,  
241 the hydrologic and water quality conditions cannot be perfectly projected because of uncertainties in the  
242 models, climate data and other inputs that are required for the simulations. However, the results of this study  
243 are useful in terms of identifying potential watershed--health issues regarding ongoing watershed changes.”  
244 We agree with your opinion. We recognized that the model involves uncertainty, so we attempted to simulate  
245 the spatial trends of the water quantity and quality. The indicator score for the hydrology metric was re-  
246 scaled to normalize each sub-index score to a range from 0 to 1 by using the percentile rank method. This  
247 index score shows the relative results for each standard watershed of the study area by calculating the various  
248 hydrologic components according to the reference condition.

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1

2 **Assessment of Integrated Watershed Health based on the Natural**

3 **Environment, Hydrology, Water Quality, and Aquatic Ecology**

4

5 So Ra Ahn<sup>a</sup> and Seong Joon Kim<sup>b</sup>

6

7 <sup>a</sup>Assistant Research Scientist (Ahn), Texas A&M AgriLife Research Center at El Paso, Texas 79927, USA; and

8 <sup>b</sup>Professor (Kim), Department of Civil and Environmental System Engineering, Konkuk University, Seoul 05029,  
9 South Korea, Email: kimsj@konkuk.ac.kr

10

11 **Abstract**

12 ~~The w~~Watershed health, including the natural environment, hydrology, water quality, and aquatic ecology, ~~is~~was  
13 assessed for the Han River basin (34,148 km<sup>2</sup>) in South Korea ~~by~~ using the Soil and Water Assessment Tool (SWAT).  
14 The evaluation procedures followed ~~ed~~ those of the Healthy Watersheds Assessment by the U.S. Environmental  
15 Protection Agency (EPA). ~~Six~~To evaluate watershed health (basin natural capacity), ~~6~~ components of the watershed  
16 landscape ~~are~~were examined ~~to evaluate the watershed health (basin natural capacity)~~: stream geomorphology,  
17 hydrology, water quality, aquatic habitat condition, and biological condition. In particular, ~~for the hydrology and water~~  
18 ~~quality components~~, the SWAT ~~is~~was applied ~~to~~for the study basin ~~for the hydrology and water quality components~~,  
19 ~~including with~~ 237 sub-watersheds (within a standard watershed on the Korea Hydrologic Unit Map) ~~along with~~and  
20 ~~including~~ three multipurpose dams, one hydroelectric dam, and three multifunction weirs. The SWAT ~~is~~was calibrated  
21 (2005–2009) and validated (2010–2014) ~~by~~ using each dam and weir operation, the flux-~~tower~~ evapotranspiration,  
22 ~~the time-domain reflectometry (TDR)~~ soil moisture, and groundwater level data for the hydrology assessment and ~~by~~  
23 using sediment, total phosphorus, and total nitrogen data for the water quality assessment. The water balance, ~~which~~  
24 ~~considering~~ the surface-groundwater interactions and ~~the~~ variations ~~in the stream-~~water quality, ~~are~~were quantified  
25 according to the sub-watershed-scale relationship between the watershed hydrologic cycle and stream-water quality.  
26 We assessed ~~ed~~ the integrated watershed health according to the U.S. EPA evaluation process based on the vulnerability  
27 levels of the natural environment, water resources, water quality, and ecosystem components. ~~The results suggest that~~  
28 ~~approaches aimed at simultaneously improving the water quality, hydrology, and aquatic ecology conditions may be~~

29 ~~necessary to improve integrated watershed health.~~ The results indicate that the watershed's health declined during the  
30 most recent ten-year period of 2005–2014, as indicated by the worse results for the surface process metric and soil  
31 water dynamics compared to those of the 1995–2004 period. The integrated watershed health tended to decrease  
32 farther downstream within the watershed.

33

34 Keywords: Watershed health assessment; SWAT; Watershed hydrology; Water quality; Aquatic ecology

35

36 **1. Introduction**

37 Watershed management can be defined as the integrated and iterative decision process that is applied to maintain the  
38 sustainability of resources through the balanced use and conservation of water quantity, land, vegetation, and other  
39 natural resources within the watershed. R~~The rivers are is~~ a constituent element of ~~the~~ watershed ecosystems that are is  
40 of primary concern for watershed management; ~~the~~-river discharge and water quality are key components of ~~the~~  
41 watershed ecosystems, and their interactions can be affected by land use and vegetation cover. The Han River basin  
42 in South Korea, with its large-scale water supply dams and weirs, is a rare case ~~worldwide~~. Twenty-six years ago, the  
43 government initiated programs ~~designed~~ to restore the environmental and human health-related quality of the Han  
44 River basin. However, an integrated approach that considers theing water supply, water-quality improvement, and  
45 natural-ecosystem maintenance and their interactions within the watershed has beenwas lacking. A~~It has become clear~~  
46 ~~that a~~ broader view of watershed ecosystems is essential ~~if we are~~ to truly protect the chemical, physical, and biological  
47 integrity of our watersheds (U.S. EPA, 2012).

48 One of the key components of watershed-management strategies is to increase the protection of healthy  
49 waters, including healthy watersheds. A key component of watershed health is its ability to withstand, recover from,  
50 or adapt to disturbances, such as floods and droughts. A more complete understanding of the watershed-ecosystem  
51 components that affecting watershed health is important tofor identifying management actions to protect healthy  
52 watersheds. Without an integrated watershed-health-assessment system, any~~the~~ successes in restoring impaired  
53 waters will be limited and the many socioeconomic benefits of healthy watershed systems will be lost.

54 G~~In~~ generally, the assessment of the major components of watershed health must incorporate evaluations  
55 of the natural environment, hydrology, water quality and aquatic ecology. A number of studies have recently assessed  
56 the potential for effective watershed management through an analysis of a variety of health indicators. Sanchez et al.  
57 (2015) characterized the relationships amongbetween in-stream health indicators (flow, sediment, and nutrient loads)  
58 by using the Soil and Water Assessment Tool (SWAT) ~~model~~ and the socioeconomic measures of communities by  
59 using spatial-clustering techniques and confirmatory-factor analysis in the Saginaw River watershed in Michigan.  
60 Cook et al. (2015) examined these relationships in five watersheds along the Virginia-Kentucky border and explored  
61 the effects of both the water quality and habitat on benthic macroinvertebrates by using ~~the~~-data from a three-year  
62 field study and Virginia Stream Condition Index (VSCI) scores to evaluate site-specific environmental variables (land  
63 use, habitat metrics, and water-quality parameters), ~~examining these relationships in five watersheds along the~~

64 ~~Virginia-Kentucky border~~. Tango and Batiuk (2016) analyzed the interactions that affecting the watershed and bay-  
65 water-quality recovery responses to management actions and a range of health conditions and impairments by  
66 measuring the physical, chemical and biological parameters in Chesapeake Bay.

67 The U.S. EPA has made considerable efforts to move towards integrated evaluations of watershed health.  
68 For example, ~~t~~The Virginia Watershed Integrity Model uses an integrated approach to evaluate the landscape condition  
69 and terrestrial habitat to identify ecologically important catchments across the landscape (Virginia Department of  
70 Conservation and Recreation, 2008). Minnesota's Watershed Assessment Tool uses ~~s~~d hydrology, geomorphology,  
71 biology, connectivity, and water quality data in an integrated context to evaluate the health of Minnesota's watersheds  
72 (Minnesota Department of Natural Resources, 2011). The Oregon Watershed Assessment addresses ~~the~~d landscape,  
73 habitat, biology, water quality, hydrology, and geomorphology through field assessments and follow-up analyses based  
74 on a classification and condition assessment of channel habitat types (Watershed Professionals Network, 1999). The  
75 California Watershed Assessment Manual evaluates ~~s~~d the six essential ecological attributes of landscape status:  
76 hydrology/geomorphology, biotic condition, chemical/physical condition, natural disturbance regimes, and ecological  
77 condition (Shilling, 2007).

78 The rRegional water quantity and quality can be assessed through~~by~~ systematic modeling by using the  
79 hydrologic model SWAT (Arnold et al., 1998) because of its robust approach based on the soil water balance at the  
80 watershed scale. The SWAT model has been successfully applied to a number of river basins and is widely used to  
81 study the long-term ~~effects~~impacts of hydrological (e.g., Sun and Cornish 2005; Wan et al., 2013; Ahn et al., 2016;  
82 Karlsson et al., 2016; Sellami et al., 2016; Chung et al., 2017) and environmental changes (e.g., Eckhardt and Ulbrich,  
83 2003; Rosenberg et al., 2003; Bouraoui et al., 2004; Chaplot, 2007; Mehdi et al., 2015; Zhou and Li, 2015). Thus, the  
84 use of this qualified watershed model is highly useful for assessments of continuous time-series changes and spatial-  
85 distributions changes in watershed information.

86 However, most previous studies ~~have~~ employed a fragmentary approach to investigate ~~ing~~ing one or several  
87 environmental issues by using monitoring data for a limited period without assessing the various components (e.g.,  
88 landscape, stream channels, hydrology, water quality, habitat, biological diversity, etc.). Thus, the methodology that  
89 is suggested in this study is essential to explore the integrated influence of large-scale watersheds with various  
90 watershed characteristics and ~~to~~ assess the overall health of watersheds.

91 Therefore, the main objective of this study is to conduct a watershed health-assessment analysis of the

92 natural environment, hydrology, water quality, and aquatic ecology of the Han River basin (34,148 km<sup>2</sup>) in South  
93 Korea by using monitoring data and SWAT-modeling outputs. Detailed information regarding the framework is  
94 presented below.

95

## 96 **2. Materials and methods**

### 97 2.1 Methodology for watershed-health assessment

98 The foundation of watershed-health assessment is the compilation and summarization of watershed parameters based  
99 on the primary physical attributes of watershed conditions. According to the United States Environmental Protection  
100 Agency (U.S. EPA, 2012), ~~there are~~ six essential indicators are fundamental to the assessment of watershed health: 1)  
101 the landscape condition, 2) geomorphology, 3) hydrology, 4) water quality, 5) habitat, and 6) biological condition. A  
102 sub-index for each of the six components is developed from these indicators. The sub-index values are then aggregated  
103 into a single Watershed Health Index value for each watershed. This methodology can be used to assess the natural  
104 capacity of a watershed and its problems and ~~to~~ draft possible solutions for effective watershed management. All sub-  
105 index and index values are relative (i.e., "healthier" vs. "not as healthy") rather than absolute (i.e., no "healthy vs.  
106 unhealthy" cutoff score is identified) and thus are meant for comparing the relative differences among watersheds  
107 rather than precisely defining healthy vs. unhealthy watersheds.

108 In this study, ~~the~~ indicators for watershed-health assessment are selected based on the six essential  
109 components and methodology that was suggested by the U.S. EPA. All ~~of~~ the indicators for watershed health are  
110 evaluated to match the situation in South Korea by using measurable data or watershed modeling results. In particular,  
111 the methodology is developed to assess the effects of hydrology and water quality on watershed health to analyze the  
112 possible long-term changes in the watershed as simulated through a watershed-scale hydrological model, namely, the  
113 SWAT. According to existing research that ~~has~~ assessed the long-term changes in the Han River basin, the changes in  
114 runoff ~~from due to~~ climate change in the Han River basin are expected to cause many changes to the future seasonal  
115 water volume, and water scarcity is predicted to increase in the long term (Jun et al., 2011; Kim et al., 2014). Urban  
116 land cover in the Han River basin is positively associated with increases in water pollution, which has increased for  
117 the majority of the monitoring stations (Chang, 2008). Healthy areas can be identified based on standard watersheds  
118 from the Korea Hydrologic Unit Map. The Korea Hydrologic Unit Map is a standard map that combines data from  
119 national organizations for water-resource development, planning, and management. These standard watersheds are



120 the smallest hydrologic units that are designated by the Korean government.– Figure 1 shows a flowchart of the  
121 modeling procedures. The specific objectives of this study are as follows:

- 122 • ~~D~~~~To~~ develop a method ~~to~~~~for~~ reconstructing water quantity and quality time-series data of the basin ~~by~~ using  
123 the SWAT model. The reconstructed time-series are used as water quantity and quality indicators and for sub-  
124 index development. ~~W~~~~Because~~ watershed health assessment relies on the continuous flow of time-series  
125 information, ~~so~~ the SWAT model ~~is~~~~was~~ established and calibrated to obtain flow records at ungauged  
126 hydrology and water quality stations.
- 127 • ~~E~~~~To~~ establish a reference condition for each indicator to assess the sub-index ~~by~~~~through~~ normalization of  
128 the following components: ~~the~~ landscape condition, geomorphology, hydrology, water quality, habitat, and  
129 biological condition.
- 130 • ~~A~~~~To~~ assign integrated watershed health scores ~~that~~ combining multiple indicators ~~to~~ representing different  
131 attributes of healthy watersheds based on a standard watershed on the Korea Hydrologic Unit Map.

132

133 <Figure 1>

134

## 135 2.2 Study area description

136 The Han River basin (34,148 km<sup>2</sup>) is one of the five major river basins in South Korea (99,720 km<sup>2</sup>). ~~This basin is~~  
137 occupies approximately 31% of the country and falls within the latitude-longitude range ~~from~~~~of~~ 36.03° N to 38.55° N  
138 and ~~from~~ 126.24° E to 129.02° E, respectively (Figure 2). The basin has three main rivers: ~~the~~ the North Han River  
139 (12,969 km<sup>2</sup>), the South Han River (12,894 km<sup>2</sup>), and the Imjin River (8,285 km<sup>2</sup>). The North ~~and South~~ Han Rivers  
140 ~~and South River~~ merge and then flow into the metropolitan city of Seoul, a city of 10 million residents. The water  
141 resources of the river basin must be ~~managed~~ sustainably ~~managed because of~~~~due to~~ the expanding water demand of  
142 the Seoul area, including its satellite cities (12 million individuals), and ~~the~~ potential changes to water resources  
143 ~~from~~~~due to~~ climate change must be evaluated (Ahn and Kim, 2016). The dominant land use of the Han River basin is  
144 forest (73%, 25,033 km<sup>2</sup>), followed by cultivated cropland in the lowland fertile areas (5,915 km<sup>2</sup>), including rice  
145 paddy fields (6%) and upland crops (12%) (Figure 2b). Over the 30 years of weather data from 1985 to 2014, the  
146 average annual precipitation ~~was~~~~is~~ 1,395 mm and the annual mean temperature ~~was~~~~is~~ 11.5 °C. Figure 2a shows the  
147 study area and the 237 sub-watersheds (within a standard watershed on the Korea Hydrologic Unit Map) ~~that were~~

148 delineated for the SWAT modeling and watershed health assessment, and Figure 2c shows the four test areas for a  
149 comparison of the watershed health index scores.

150

151 <Figure 2>

152

### 153 2.3 SWAT model description

154

155 The SWAT model is a physically based, continuous, long-term, distributed parameter model that is designed to predict  
156 the effects of land-management practices on hydrology and water quality in agricultural watersheds under varying  
157 soil, land-use, and management conditions (Arnold et al., 1998). The SWAT model is based on the concept of  
158 hydrologic response units (HRUs), which are portions of a sub-basin with unique land-use, management, and soil  
159 attributes. The runoff, sediment, and nutrient loadings from each HRU are calculated separately based on the weather,  
160 soil properties, topography, vegetation, and land management and are then summed to determine the total loading  
161 from the sub-basin (Neitsch et al., 2002). A detailed description can be found in the Soil and Water Assessment Tool's  
162 user's manual and theoretical documentation (Neitsch et al., 2005).

163

#### 164 2.3.4 Data collection

165 A summary of the datasets and associated organization sources, metrics, and measurement methods that were used in  
166 the assessment is provided in Table 1. These data were used to calculate the health assessment components for each  
167 of the six watersheds.

168 Geographic Information System (GIS) datasets were used for the landscape, stream geomorphology  
169 and aquatic habitat assessment, ~~Geographic Information System (GIS) datasets were used.~~ The elevation data used the  
170 90-m grid-size Shuttle Radar Topography Mission (SRTM) digital elevation model (DEM) that was supplied by the  
171 International Center for Tropical Agriculture (CIAT). The land-cover map for nine classes of land cover (coniferous  
172 forest, deciduous forest, mixed forest, paddy rice, upland crop, urban, grassland, bare field, and water) for 2008 was  
173 obtained from the Korea Ministry of Environment (KME). The stream map for national and local streams was obtained  
174 from the Ministry of Land, Infrastructure, and Transport (MOLIT) of South Korea. The information on the location  
175 and number of reservoirs for the Han River basin was obtained from the Korea Rural Community Corporation (KRC).

176 ~~For the hydrology and water quality assessments~~, the SWAT modeling outputs for a total of 237 sub-watersheds  
177 for the Han River basin, including ungauged locations, were used for the hydrology and water-quality assessments.  
178 The monitoring data for the hydrology include only streamflow and do not include data for the water-balance  
179 components that are associated with ~~the~~ surface-groundwater interactions. The monitoring data for the water quality  
180 are not exhaustive. The period of the water quality components of interest for this study, such as the sediments, total  
181 nitrogen (T-N) and total phosphorus (T-P), is not sufficient to analyze long-term changes. The ~~daily~~-continuous daily  
182 record of precipitation (PREC), total runoff (TQ), surface runoff (SQ), infiltration (INFILT), soil water storage (SW),  
183 lateral flow (LQ), percolation (PERCOL), groundwater recharge (RECHARGE), and return flow (GWQ) data for the  
184 hydrology metric and the record of sediment, T-N, and T-P for the water quality metric were obtained from SWAT  
185 modeling for a thirty-year period (1985–2014).

186 For the biological assessment, the monitoring data were obtained from the Korea Ministry of  
187 Environment (KME) in South Korea, which has been monitoring river ecological data for 360 monitoring stations in  
188 the Han River and its tributaries since 2008. Samples of trophic diatom communities (339 species), benthic  
189 macroinvertebrate communities (344 species), and fish communities (394 species) were collected from the monitoring  
190 stations in September and October of each year ~~over a~~during the six-year periods (2008–2013), and the Trophic  
191 Diatom Index (TDI), Benthic Macroinvertebrate Index (BMI), and Fish Assessment Index (FAI) were calculated and  
192 classified by ranking the arithmetic means. Details of the data collection and calculation procedures are provided in  
193 the Nationwide Aquatic Ecological Monitoring Program Report (Ministry of Environment, 2013).

194

195 <Table 1>

196

197 2.4-5 Hydrology and water-quality simulations using the SWAT model

198

199 ~~The SWAT model is a physically based, continuous, long-term, distributed parameter model designed to predict the~~  
200 ~~effects of land management practices on hydrology and water quality in agricultural watersheds under varying soil,~~  
201 ~~land use, and management conditions (Arnold et al., 1998). The SWAT model is based on the concept of hydrologic~~  
202 ~~response units (HRUs), which are portions of a sub-basin with unique land use, management, and soil attributes. The~~  
203 ~~runoff, sediment, and nutrient loadings from each HRU are calculated separately based on weather, soil properties,~~

204 topography, vegetation, and land management and are then summed to determine the total loading from the sub-basin  
205 (Neitsch et al., 2002). A detailed description can be found in the Soil and Water Assessment Tool user's manual and  
206 theoretical documentation (Neitsch et al., 2005).

207 ~~W~~The watershed-health assessment requires the indicator data for ~~the~~ hydrology and water quality to be simulated  
208 by the SWAT model, ~~and~~ ~~T~~the detailed component selection is presented in Sections 2.56.3 and 2.56.4. This section  
209 briefly summarizes the model data and implementation and the statistical results of ~~the~~ calibration and validation.

#### 211 2.45.1 Measured data for the SWAT model evaluation

212 The Han River ~~b~~Basin was divided into 237 sub-watersheds and 1,987 HRUs for SWAT modeling. The sub-watershed  
213 delineation was defined ~~by~~ using the 90-m SRTM DEM ~~from~~supplied by the CIAT. A 2008 land-cover map for nine  
214 classes (coniferous forest, deciduous forest, mixed forest, paddy rice, upland crop, urban, grassland, bare field, and  
215 water) ~~was~~were obtained from ~~the~~ KME (Figure 2b). A soil map ~~that~~ contained~~ing~~ texture, depth and drainage  
216 attributes was rasterized to a 90-m grid size from a 1:25,000 scale vector map ~~that was~~ supplied by the Korea Rural  
217 Development Administration (RDA).

218 ~~In this study, three multipurpose dams (Hoengseong, Soyang, and Chungju), one hydroelectric dam (Paldang), and~~  
219 ~~three multifunction weirs (Kangecheon, Yeoju and Ipo) were selected as SWAT model calibration points (Figure 2a).~~  
220 ~~The Hoengseong Dam (HSD) and Chungju Dam (CJD), located in the upstream region of the South Han River basin,~~  
221 ~~have storage capacities of 87 million m<sup>3</sup> and 2.8 billion m<sup>3</sup>, respectively. Its storage capacity makes CJD the second~~  
222 ~~largest dam in South Korea. The Soyang Dam (SYD), located upstream in the North Han River basin, has a storage~~  
223 ~~capacity of 2.9 billion m<sup>3</sup>, making it the largest dam in South Korea. The Kangecheon weir (KCW), Yeoju weir (YJW)~~  
224 ~~and Ipo weir (IPW) were constructed by the government in 2012 to secure water resources and prevent flooding. These~~  
225 ~~weirs are directly linked to the Paldang Dam (PDD), which can supply more than 2.6 million m<sup>3</sup> of water per day to~~  
226 ~~Seoul and its metropolitan areas and has a storage capacity of 244 million m<sup>3</sup>.~~The observation data were prepared to  
227 evaluate the SWAT model and simulate ~~of~~the hydrological cycle and water quality, including daily meteorological  
228 data, dam inflow, dam outflow, dam storage, evapotranspiration, soil moisture, sediments, T-N, and T-P. Thirty-one  
229 years (1984–2014) of daily meteorological data (precipitation, maximum and minimum temperature, relative humidity,  
230 wind speed, and solar radiation) were collected from nineteen weather stations of the KMA. ~~In this study, three~~  
231 ~~multipurpose dams (Hoengseong, Soyang, and Chungju), one hydroelectric dam (Paldang), and three multifunction~~

232 weirs (Kangcheon, Yeosu and Ipo) were selected as SWAT--model calibration points (Figure 2a). For the calibration  
233 and validation of the watershed hydrology with dam operations, ten years (2005–2014) of daily dam inflow, outflow  
234 and storage-volume data for the multipurpose dams were obtained from three water level stations (Hoengseong Dam,  
235 HSD; Soyang Dam, SYD; and Chungju Dam, CJD) that are monitored by the Korea Water Resources Corporation  
236 and one water level station (PDD) that is monitored by the Korea Hydro & Nuclear Power Co., Ltd. In addition, two  
237 years (2013–2014) of daily measured dam inflow, outflow and storage volume data for the three multifunction weirs  
238 (Kangcheon Weir, KCW; Yeosu Weir, YJW; and Ipo Weir, IPW) that are monitored by the Korea Water Resources  
239 Corporation were used. The flow and water quality of the Han River are affected by the discharge operations of these  
240 large dams and weirs; therefore, dam and weir operations must be incorporated into the modeling framework to enable  
241 successful modeling. In the SWAT model, dam operations are modeled based on measured daily discharges, measured  
242 monthly discharges, average annual discharges, or target storage volumes. In this study, the measured daily discharges  
243 from the four dams and three weirs were directly imported into the SWAT model.

244 For the calibration and validation of the stream water quality, ten years (2005–2014) of eight-day intervals for  
245 sediments, T-N, and T-P data were obtained from seven hydrology stations (SG, CSG, JW, KCW, YJW, IPW, and PDD)  
246 that are for the hydrology monitored by the KME. Figure 2a shows the gauging stations for the SWAT modeling.

247

## 248 2.45.2 Calibration and validation of the model

249 The SWAT model was calibrated at seven locations in the main river reaches by using five years (2005–  
250 2009) of daily inflow, storage volume data for the dams and weirs, sediments, T-N, and T-P data and was subsequently  
251 validated by using another five years (2010–2014) of data with using the average calibrated parameters. In addition,  
252 the model was spatially calibrated and validated by using evapotranspiration and soil moisture data that were measured  
253 at two locations (SM and CM) and groundwater level data that were measured at five locations (GPGP, YPGG, YPYD,  
254 YIMP, and HCGD) over five years (2009–2013).

255 In this study, uncertainty analysis was performed for the hydrology by using the daily dam inflow using  
256 the SUFI-2 method. This method was chosen because of its applicability to both simple and complex hydrological  
257 models. SUFI-2 is convenient and easy to implement and widely used in hydrology (e.g., Freer et al., 1996; Cameron  
258 et al., 2000; Blazkova et al., 2002). In SUFI-2, parameter uncertainty considers all sources of uncertainty, e.g., input  
259 uncertainty, conceptual model uncertainty, and parameter uncertainty (Gupta et al., 2005). The degree to which

260 uncertainties are considered is quantified by a measure called the P factor, which is the percentage of the measured  
261 data that are bracketed by the 95% prediction uncertainty (95PPU). Another measure that quantifies the strength of a  
262 calibration or uncertainty analysis is the R factor, which is the average thickness of the 95PPU band divided by the  
263 standard deviation of the measured data. The excellence of calibration and prediction uncertainty is judged based on  
264 the closeness of the P factor to 1 and the closeness of the R factor to 0. Twenty parameters were selected by sensitivity  
265 analysis for the uncertainty analysis. In this study, three iterations were performed with 1,300 (100+200+1,000) model  
266 runs in each iteration. The coverages of the measurements (P factor) and the average thickness (R factor) of the 95PPUs  
267 for the model predictions were 0.79 and 0.32, respectively, for the dam inflow during the calibration and validation  
268 periods.

269 ~~The parameters were calibrated by trial and error until they achieved the necessary modeling~~  
270 ~~performance.~~ In this study, both calibration and validation were manually performed by using a trial-and-error  
271 approach within recommended ranges to maximize the expert knowledge of watershed characteristics and modeling  
272 experience. The final values were selected based on a statistical evaluation of the performance measures. Twenty of  
273 the most influential parameters were selected for calibration. These parameters are related to surface--runoff (CN2,  
274 CNCOEF, SURLAG, OV N, and CH N), evapotranspiration (ESCO), soil--water (SOL AWC and SOL K),  
275 groundwater (GW DELAY, GWQMN, ALPHA BF, REVAPMN, and GW REVAP), and reservoir--operation  
276 (RES ESA, RES EVOL, RES PSA, RES PVOL, RES VOL, RES K, and EVRSV) processes. The calibrated  
277 parameters and hydrograph of the calibration results in the Han River basin were described by Chung et al. (2017).

278 The statistical results for the hydrology and water quality for the model calibration and validation are summarized  
279 in Table 2. The coefficient of determination ( $R^2$ ), the Nash and Sutcliffe model efficiency (NSE), the root-mean-square  
280 error (RMSE), and the percent bias (PBIAS) were used to evaluate the ability of the SWAT model to replicate temporal  
281 trends in the observed hydrological and water quality data. ~~T~~In the case of dam inflow, the  $R^2$  value for the dam inflow  
282 was greater than 0.59. The average NSE was 0.59 at HSD, 0.78 at SYD, 0.61 at CJD, 0.79 at KCW, 0.77 at YJW, 0.88  
283 at IPW, and 0.87 at PDD. The PBIAS values of HSD, CJD, SYD, KCW, YJW, IPW and PDD were 13.5%, 12.2%,  
284 9.4%, 11.5%, 19.8%, 21.4%, and 4.5%, respectively. ~~T~~In the case of the dam storage volume, the average  $R^2$  for the  
285 dam-storage volume was between 0.40 and 0.96 and the PBIAS was between 0.9% and 18.9% for each calibration  
286 point. The average  $R^2$  for evapotranspiration was between 0.70 and 0.81, that for the soil moisture was between 0.75  
287 and 0.85, and that for the groundwater level was between 0.40 and 0.70 for each calibration point. The average  $R^2$  for

288 the sediment was between 0.54 and 0.90, that for the T-N was between 0.46 and 0.82, and that for the T-P was between  
289 0.47 and 0.80 for each calibration point. The calibration results were consistent with the SWAT calibration guidelines  
290 (NSE  $\geq$  0.5, PBIAS  $\leq$  28%, and R<sup>2</sup>  $\geq$  0.6; Moriasi et al., 2007; Santhi et al., 2001) and were found to be satisfactory.  
291 Additionally, the model calibration and validation included the NSE with inverse discharge (1/Q) for low flow. The  
292 average NSE with inverse discharge (1/Q) during the calibration (2005–2009) and validation (2010–2014) periods  
293 was 0.35 at HSD, 0.53 at SYD, 0.30 at CJD, 0.54 at KCW, 0.47 at YJW, 0.69 at IPW, and 0.58 at PDD.

294

295 <Table 2>

296

297 2.5-6 Data reconstruction for the watershed health assessment

298 2.56.1 Landscape condition

299 The area of natural land cover (forest, wetland, river, and natural grassland) within a watershed can be an important  
300 indicator of watershed health. Impervious land cover that is associated with roads and residential and urban areas can  
301 increase watershed runoff, leading to instream flow alteration, geomorphic instability, and increased pollutant loading.  
302 According to previous studies, a smaller area of impervious land cover may ~~have~~ significantly affect impacts on  
303 aquatic ecosystem health (e.g., King et al., 2011; Wang and Yin, 1997).

304 The extent and connectivity of the natural land cover within a watershed are very important for ecological  
305 integrity. Natural land cover within the watershed, and especially within headwater areas and riparian corridors, ~~helps~~  
306 ~~to~~ maintains the hydrologic regime, regulates the inputs of nutrients and organic matter, and provides habitats for fish  
307 and wildlife (U.S. EPA, 2012). In ~~this present~~ study, assessing the connectivity of the natural land cover (forest,  
308 wetland, river, and natural grassland) of watersheds involved a green-area assessment; green areas comprise areas of  
309 unfragmented natural land cover and corridors of sufficient width to allow the migration of wildlife between the  
310 watersheds (Figure 3a). For the 237 sub-watersheds of the Han River basin, the percentage of each watershed area  
311 that was occupied by natural land cover (habitat blocks) was calculated by using GIS techniques. The green area metric  
312 was calculated as follows:

313

314 
$$\text{Green area metric} = \frac{\text{Area (km}^2\text{) of natural land cover in watershed}}{\text{Total area (km}^2\text{) in watershed}}$$

315 (1)

316

317 The amount of natural land cover within the active river area is another important indicator of the landscape  
318 condition. The natural land cover within the active river area, including the river channel, lakes and ponds, and the  
319 riparian lands, is necessary for the physical and ecological functioning of the aquatic ecosystem (U.S. EPA, 2012).  
320 Active river areas, in their natural state, maintain the ecological integrity of rivers, streams, and riparian areas and the  
321 connection of these areas to the local ground-water system (IPCC, 2007). The methods that are used to delineate the  
322 active river area involve GIS techniques and analyses of elevation, land-cover, and wetlands data. For the streamside  
323 areas for which criteria have not yet been decided the criteria for identifying, an area with a width of 30–50 meters  
324 can be used as a cutoff tofor identifying streamside material contribution areas (U.S. EPA, 2012). In this study, for  
325 the 237 sub-watersheds of Han River basin, the percentage of natural land cover within the riparian area within 50  
326 meters of the stream was calculated for the 237 each sub-watersheds in the Han River basin by using GIS techniques  
327 (Figure 3b). The active river area metric was calculated as follows:

328

$$329 \text{ Active river area metric} = \frac{\text{Area}(km^2)\text{-of natural land cover in active river area}}{\text{Total area}(km^2)\text{ in active river area}}$$

330 (2)

331

332 <Figure 3>

333

### 334 2.56.2 Stream geomorphic condition

335 The natural stream geomorphology can be an important indicator of watershed health because it can fragment both  
336 the terrestrial and aquatic habitats throughout a watershed. Kline et al. (2009) performed detailed assessments of  
337 stream geomorphic conditions by using the Vermont Stream Geomorphic Assessment Protocols for the streams inof  
338 Vermont, USA. These assessment protocols are GIS-based analyses that useing elevation, land cover, and stream  
339 network data layers to classify stream types and evaluate the conditions of individual reaches based on a comparison  
340 to reference conditions for that stream type.

341 Table 3 provides descriptions of the stream geomorphic conditions-categories that are determined through the  
342 stream-impact rating and the stream order for the watershed-health assessment of the geomorphic condition in the  
343 Han River basin. In this study, the-assessment-of geomorphic condition was assessedperformed in a manner-similar



344 manner to what was used for the stream-condition categories of the Vermont Stream Geomorphic Assessment  
 345 Protocols. The stream order was calculated for nine levels (Figure 4a) by using a DEM and stream map, and four river  
 346 classifications were created through follow-up analyses with detailed land-cover assessments (Figure 4b). ~~F~~There are  
 347 ~~four river classifications were used: for~~ reference (mountainous river, stream order 1), good (small river, stream orders  
 348 2–3), fair (local river, stream orders 4–5), and poor (urban and national river, stream orders 6–9). The percentage of  
 349 the assessed stream length in the reference condition was calculated for each watershed. The stream geomorphology  
 350 metric was calculated as follows:

351

$$352 \text{ Stream geomorphology metric} = \frac{\text{Stream length (km) of reference condition in watershed}}{\text{Total stream length(km) in watershed}}$$

353 (3)

354

355 <Figure 4>

356 <Table 3>

357

### 358 2.56.3 Hydrologic condition

359 The assessment of the hydrologic condition of a watershed requires long-term streamflow observation data for the 237  
 360 sub-watersheds of Han River basin. However, ~~insufficient~~there were not enough gauging stations were available to  
 361 fully assess the entire watershed over the ~~entire~~full thirty-year period. ~~No~~There were no data were available for the  
 362 water-balance components that were associated with ~~the~~ surface-groundwater interactions, except for the streamflow.  
 363 Where unavailable, these long-term flow data ~~are~~ could not available, they can be estimated by using hydrologic  
 364 modeling techniques. ~~Thus~~To this end, the SWAT hydrologic model was used to simulate the water-balance  
 365 components within the Han River basin.

366 To simulate the potentially available water quantity of the basin, the model was applied by dividing the basin into  
 367 237 sub-watersheds ~~according to~~considering the operation of water-resources facilities ~~operation~~ (inflow and storage  
 368 volume) ~~in~~of three multipurpose dams, one hydroelectric dam, and three multifunction weirs. The SWAT simulation  
 369 outputs—including PREC and TQ for the total processes; SQ for the surface processes; INFILT, SW, and LQ for the  
 370 soil water dynamics; and PERCOL, RECHARGE, and GWQ for the groundwater dynamics—of each of the 237 sub-  
 371 watersheds were reported. All the results of the SWAT model were output in millimeters.

372 The annual average water–balance components at the surface, in the unsaturated zone, and in a shallow aquifer  
 373 can serve as indicators of potential hydrologic alteration. ~~S~~The surface–water and lateral groundwater flow  
 374 interactions ~~are~~were ~~very~~of ~~major~~ importance~~tee~~ for the water balance in the Han River basin. In particular, ~~the~~  
 375 infiltration, return flow, and groundwater recharge ~~are~~were important factors for the ~~entire~~whole hydrological cycle.  
 376 In this study, the SWAT model results were used to reconstruct daily time-series for the hydrologic components PREC,  
 377 TQ, SQ, INFILT, SW, LQ, PERCOL, RECHARGE, and GWQ ~~over~~for a thirty-year period (1985–2014) (Figure 5).  
 378 The annual average value for the ~~total of the~~ 237 sub-watersheds during this period was used as the reference condition  
 379 (Table 4). Dividing the simulated value of the watershed by the reference condition yielded~~s~~ the storage ratio of the  
 380 nine components. The storage ratios of the nine components were divided into four hydrologic classifications—~~the~~  
 381 total metric (PREC and TQ), surface processes metric (SQ), soil water dynamics metric (INFILT, SW, and LQ), and  
 382 groundwater dynamics metric (PERCOL, RECHARGE, and GWQ)—~~to~~for use in establishing specific management  
 383 objectives. The storage ratio of each component for the four hydrology metrics was calculated for each watershed and  
 384 used as a metric of the hydrologic condition. The hydrology metric was calculated as follows:

385

$$386 \text{ Hydrology metric} = \frac{\text{Simulated value (mm) (PREC,TQ,SQ,INFILT,SW,LQ,PERCOL,RECHARGE,and GWQ) of watershed}}{\text{Average value (mm) for all watersheds in basin}}$$

387 (4)

388

389 <Figure 5>

390

#### 391 2.56.4 Water quality condition

392 ~~A~~The assessment of the water quality of ~~a~~the watershed also requires long-term observational data from the 237  
 393 sub-watersheds of the Han River basin. However, the monitoring data for water quality are not exhaustive and not  
 394 sufficient to analyze long-term changes. In this study, the SWAT model was used to simulate the water–quality  
 395 sediment loads (tons), T-N (kg) and the T-P (kg) within the Han River basin.

396 The SWAT model results were used to reconstruct load-based daily time-series for the water–quality  
 397 constituents ~~sediments~~ (mg/L), T-N (mg/L), and T-P (mg/L) ~~over~~for a thirty-year period (1985–2014) (Figure 6). As  
 398 part of the Basic Environmental Policy Act (BEPA), South Korea has specified eco–regional water–quality criteria  
 399 ~~to~~for identifying the least-disturbed sites throughout South Korea. These criteria were used to identify the streams and

400 lakes that are likely to be in the reference condition based on their sediment, T-N, and T-P concentrations. The  
401 "marginally good" level of a seven-point scale (excellent, very good, good, marginally good, fair, poor, very poor) of  
402 water-quality criteria for streams and lakes was used for the reference condition (Table 4). The percentage of the  
403 assessed values in the reference condition was calculated for each watershed. The water quality metric was calculated  
404 as follows:

$$406 \text{ Water quality metric} = \frac{\text{Simulated value (mg/L) (sediment, T-N, and T-P) of watershed}}{\text{Reference value (mg/L) in watershed}}$$

407 (5)

408

409 <Figure 6>

410

#### 411 2.56.5 Aquatic habitat condition

412 The quality of aquatic habitats is dependent on the surrounding landscape and the hydrologic and geomorphic  
413 processes. Therefore, the habitat condition is affected partly accounted for by through indicators that representing  
414 these assessment components. The potential for organisms to migrate upstream and downstream within a riverine  
415 system can also serve as an indicator of the aquatic habitat condition. Lakeshores also have riparian zones that serve  
416 as a source of organic material to the lake aquatic habitat and help stabilize the lake perimeter (U.S. EPA, 2012). The  
417 EPA's National Lakes Assessment (NLA) identified poor lakeshore habitats as the most prominent stressor to the  
418 biological health of lakes (U.S. EPA, 2009). The density of reservoirs per stream length was calculated and used as an  
419 indicator of aquatic-habitat connectivity (Figure 7a). The aquatic habitat connectivity metric was calculated as follows:

420

$$421 \text{ Aquatic habitat connectivity metric} = \frac{\text{Number of reservoirs in watershed}}{\text{Total stream length (km) in watershed}}$$

422 (6)

423

424 Intact wetlands help to maintain natural hydrologic regimes, provide important habitats for fish and  
425 wildlife, and regulate water quality. The percentage of the watershed that was occupied by wetlands was calculated  
426 and used as an additional indicator of the habitat condition for each watershed (Figure 7b). The wetland metric was  
427 calculated as follows:

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$$\text{Wetland metric} = \frac{\text{Area (km}^2\text{) of wetlands in watershed}}{\text{Total area (km}^2\text{) in watershed}}$$

(7)

<Figure 7>

### 2.5.6 Biological condition

Based on the understanding that aquatic ecological environmental degradation is one of the leading causes of stream impairment, the Ministry of Environment of South Korea began collecting variables of biological community diversity as a component part of its Nationwide Aquatic Ecological Monitoring Program for a six-year period (2008–2013). ~~Based on a statistical evaluation of these data,~~ Three biological indicators (TDI, BMI, and FAI) were chosen based on a statistical evaluation of these data to identify healthy instream conditions for the Han River basin. In the Han River basin, the TDI, BMI, and FAI were developed from epilithic diatoms, benthic macroinvertebrates, and fish assessments ~~to for estimateing~~ the overall biological condition during the six-year periods (2008–2013); these data can be used to identify healthy instream conditions in the context of aquatic ecosystem health. Healthy watersheds should have TDI, BMI, and FAI scores that are close to the reference conditions. ~~According to the Nationwide Aquatic Ecological Monitoring Program Report (Ministry of Environment, 2013), the~~ indices with a range from 0 to 100 were classified on a four-point scale of best, good, fair, and poor for the biological condition criteria according to the Nationwide Aquatic Ecological Monitoring Program Report (Ministry of Environment, 2013), and the best and good levels were used as the reference condition (Table 3). The percentage of the assessed scores on the TDI, BMI, and FAI in the reference condition was calculated for each watershed (Figure 8). The biological condition metric was calculated as follows:

$$\text{Biological condition metric} = \frac{\text{Observed value (TDI, BMI, and FAI) of watershed}}{\text{Reference value for watershed}} \quad (8)$$

<Figure 8>

455 2.6.7 Watershed-health index formulation

456 The definition of the watershed-health index ~~was~~ ~~is~~ ~~created~~ ~~presented~~ by the U.S. EPA for integrated watershed-health  
457 evaluations. ~~The w~~Watershed health was evaluated by normalizing the metric scores to integrate the data on multiple  
458 healthy watershed attributes into a composite score. Normalization was conducted by simply defining a reference  
459 value for the indicator score that ~~was~~ ~~is~~ considered healthy based on ~~the~~ percentile rank. For communication purposes,  
460 the indicator score was scaled to normalize the final sub-index and watershed health index scores to range from 0 to  
461 1. Table 4 shows the definition of the “healthy” reference value for the hydrology, water quality, and biological  
462 indicators. The indicator scores must also be directionally aligned, meaning that higher scores should equate to “better”  
463 conditions for each metric. ~~The inverse (1/X) of each value can be taken f~~For metrics that are not directionally aligned  
464 in their original units (e.g., water quality components), ~~the inverse (1/X) of each value can be taken.~~

465 A composite index of ~~the~~ watershed health was constructed by averaging the normalized indicator scores  
466 for each attribute. ~~A sub-index was calculated first f~~For attributes with more than one indicator, ~~a sub-index was first~~  
467 ~~calculated.~~ The sub-indices were then averaged to obtain the integrated watershed-health index score (U.S. EPA,  
468 2012). Depending on the specific management objectives, ~~it may be appropriate to plac~~~~ing~~ ~~e~~ more weight on some  
469 ecological attributes than on others and to use optional sub-indices ~~may be appropriate~~~~exes~~. At ~~this~~ ~~at~~ point, the process  
470 becomes subjective and a logical decision framework can be used to solicit and document expert opinions (Smith et  
471 al., 2003). Weighting was not used in this study for integrated assessment. The normalized metrics, sub-index, and  
472 integrated watershed-health index were calculated as follows:

473

$$474 \text{ Normalized metric value} = \frac{\text{Observed or simulated metric for watershed } x}{\text{Reference metric value for all watersheds in basin}} \quad (9)$$

475

$$476 \text{ Sub-index} = \frac{(\text{Normalized metric 1} + \text{Normalized metric 2} + \dots + \text{Normalized metric } x)}{\text{Total number of metrics}}$$

477 (10)

478

$$479 \text{ Watershed health index} = \frac{(\text{sub-index 1} + \text{sub-index 2} + \dots + \text{sub-index } x)}{\text{Total number of sub-indices}} \quad (11)$$

480

481 <Table 4>

482

### 483 3. Results and discussion

#### 484 3.1 Watershed health by each component in the Han River basin

485 ~~Using the data reconstruction results for the six components of landscape, stream geomorphology, hydrology, water~~  
486 ~~quality, aquatic habitat condition, and biological condition~~ W, the watershed health analysis for each component was  
487 conducted in the 237 sub-watersheds ~~as standard watersheds~~ of the Han River basin by using the data reconstruction  
488 results for the six components. The sampling areas that were used to explain the differences in the watershed health  
489 results for each component were the standard watersheds 101206 (urban 1.4% and forest 88.1%), 100201 (urban 0.8%  
490 and forest 88.2%) and 101801 (urban 9.8% and forest 5%) (Figure 2a). The 101206, 100201, and 101801 standard  
491 watersheds are located in the upstream region of the Soyang Dam (SYD), in the upstream region of the Chungju Dam  
492 (CJD), and in the downstream region of the Paldang Dam (PDD), respectively.

493 ~~Figure 3 shows the landscape condition for green area (Figure 3a) and active river area (Figure 3b)~~  
494 ~~indicators in the Han River basin~~. Figure 12a shows the sub-index scores for the watershed health assessment  
495 calculated according to ~~these~~ two assessment indicators (Figure 3). The spatial patterns of the watershed health for  
496 green areas were healthier infor upstream watersheds because the natural land cover was greater the farther the  
497 watersheds wereare from the urban areas, the greater in the increase in natural land cover. The spatial patterns of the  
498 watershed health for the active river area within 50 m of a stream were healthier for the upstream watersheds for the  
499 same reason. For the 101206 standard watershed, the normalized values of the green area and the active river area  
500 were 0.93 and 0.82, respectively, and the sub-index score of 0.89, which integrated the two normalized values,  
501 indicated a very healthy watershed. For the 100201 standard watershed, the normalized values of the green area and  
502 the active river area were 0.78 and 0.57, respectively, and the sub-index score of .0.66, which integrateds the two  
503 normalized values, indicates a less healthy watershed. In contrast, the 101801 standard watershed was revealed to be  
504 in very poor health, with a score of 0.17 for the sub-index, while the normalized values of the green area and active  
505 river area were 0.25 and 0.09, respectively. Hence, thise study found that the downstream reaches of the Han River  
506 basin are in greater need of green areas and active river areas compared to the upstream reaches.

507 ~~Figure 4 shows the stream geomorphology condition in the Han River basin~~. Figure 12b shows the sub-  
508 index scores for the watershed health assessment wheneaculated using stream geomorphology indicators (Figure 4).  
509 The percentage of the length of the assessed stream channel in the reference condition was greater for the upstream

510 watershed than for the downstream watershed. The high-gradient mountainous streams in the upstream watershed are  
511 characterized by relatively clean streams that have not been subject to land-cover modifications and river-  
512 improvement work.

513 ~~Figure 5 shows the SWAT model results for use in assessing the condition of hydrologic components~~  
514 ~~PREC (a), TQ (b), SQ (c), INFILT (d), SW (e), LQ (f), PERCOL (g), RECHARGE (h), and GWQ (i) for the period~~  
515 ~~from 1985 to 2014 in the Han River basin. Figure 6 shows the SWAT model results for use in the water quality~~  
516 ~~condition assessment of the water quality constituents sediment (a), T-N (b), and T-P (c) for the same period in the~~  
517 ~~Han River basin.~~The sub-index results of the hydrologic (Figure 5) and water-quality (Figure 6) conditions  
518 ~~calculated~~ are shown in Figures 12c and d, respectively. The precipitation in the watershed directly affects the surface  
519 runoff and sediment transport and is the most important factor that affects~~impacting~~ the maintenance of the water  
520 quantity and can thus be used to identify ~~areas~~ critical areas for maintaining watershed health. Nutrient (T-N and T-P)  
521 loads are often correlated with surface runoff and sediment transport rates (USDA-SCS, 1972). The fugitive sediment  
522 from the landscape is carried by overland flow (surface runoff), and the dominant pathway for nitrate loss is through  
523 leaching into groundwater and then via base flow (Randall and Mulla, 2001).

524 The sub-indices of the hydrologic condition that were calculated by the four hydrologic classifications,  
525 such as the total metric ~~(PREC and TQ)~~, surface processes metric ~~(SQ)~~, soil water dynamics metric ~~(INFILT, SW, and~~  
526 ~~LQ)~~, and groundwater dynamics metric ~~(PERCOL, RECHARGE, and GWQ)~~, and the water quality condition that  
527 was calculated by the sediment, T-N, and T-P were split into three periods of ten years—1985–1994, 1995–2004, and  
528 2005–2014—~~to for the~~ assessment of changes over time (Figure 9). The test areas that were used to explain the  
529 differences in the ~~results of~~ watershed-health ~~resultsthe~~ for the hydrologic and water quality components ~~wereare~~ the  
530 SYD ~~watershed~~ and CJD watersheds ~~located~~ in the upstream region and the PDD ~~watershed~~ and lower watersheds  
531 ~~located~~ in the downstream region (Figure 2c). For the SYD watershed (Figure 9a), the watershed health scores of the  
532 surface water, soil water, and groundwater hydrology increased in the recent past compared to the period 1985–1994  
533 ~~because of~~~~due to~~ the slight increases in PREC and TQ; thus, the watershed water quality ~~decreased~~~~was diminished~~.  
534 The health of the hydrology in the CJD watershed showed a ~~decreas~~~~inged~~ tendency in contrast to the SYD watershed  
535 ~~becauseas a result~~ of the decrease in PREC and TQ (Figure 9b). ~~TIn the case of the PDD watershed and the lower~~  
536 ~~watershed,~~ the groundwater of the PDD watershed was not sufficient, but the overall watershed-health scores for the  
537 PDD and lower watersheds remained within their reference levels (approximately 0.5) (Figure 9c and d). This water-

538 quantity stress (large volume of water in the stream) may have negatively affected the water quality, with a  
539 decreased watershed health score for the sediment, T-N, and T-P. In particular, the SYD watershed was rich in soil  
540 water and the CJD watershed was rich in surface and groundwater.

541 Figure 10 shows the changes in the watershed health index score for the hydrologic and water  
542 quality conditions during 1995–2004 and the most recent ten years (2005–2014) based on the reference period (1985–  
543 1994). “Improved health”, “deteriorating health”, and “no change” area in the Han River basin are illustrated with  
544 green, red, and white, respectively. On the whole, the watershed’s hydrologic condition was better in the North Han  
545 River basin compared to the South Han River basin. In particular, during the last ten years (Figure 10b), the  
546 watershed’s health was poorer because of worse results for the surface processes metric and soil water dynamics  
547 compared to those of the 1995–2004 period (Figure 10a). However, in the case of water quality, during the last ten  
548 years (Figure 10d), the watershed’s health increasingly improved in portions of the Han River basin compared to  
549 1995–2004 (Figure 10c), while the water quality of the Chungju dam (CJD) watershed deteriorated was growing worse.  
550 The water quality policy of South Korea, which was developed after years of hard work and high costs, thus resulted  
551 in some improvements.

552 Figure 11 shows the overlay results (Figure 11c) showing the poor watershed health of both hydrology  
553 (Figure 11a) and water quality (Figure 11b). Figure 11 shows the poor watershed health in terms of the hydrology  
554 (Figure 11a), water quality (Figure 11b), and overlay results (Figure 11c) of a combination of both. The five poor  
555 levels for the hydrology and water quality were calculated as the difference between (b) and (a) in Figure 10 and  
556 between (d) and (c) in Figure 10, respectively. The spatial distributions of the poor watershed health levels  
557 enable us to understand the vulnerable areas in parts of the CJD watershed, the upstream SYD watershed, and  
558 the downstream PDD watershed with respect to the hydrology and water quality.

559  
560 <Figure 9>

561 <Figure 10>

562 <Figure 11>

563

564 Figure 7 shows the aquatic habitat condition for the aquatic habitat connectivity (Figure 7a) and wetland  
565 (Figure 7b) indicators in the Han River basin. Figure 12e shows the sub-index scores for the watershed health



566 assessment ~~calculated~~ according to ~~these~~ two assessment indicators (Figure 7). The spatial-distribution patterns of the  
567 reservoirs for aquatic-habitat connectivity were concentrated in the downstream areas of the Han River basin. The  
568 spatial-distribution patterns of the wetlands seemed to follow a similar pattern. For the 101206 standard watershed,  
569 the normalized values of the aquatic-habitat connectivity and wetland were 0.00 (no reservoir) and 0.99, respectively,  
570 and the sub-index score of 0.90, which integrated~~s~~ the two normalized values, indicates a very healthy watershed. In  
571 contrast, ~~for the 100201 standard watershed,~~ the normalized values of the aquatic-habitat connectivity and wetland  
572 for the 100201 standard watershed were 0.46 and 0.34, respectively, and the sub-index score of 0.28, which integrated~~s~~  
573 the two normalized values, indicates~~d~~ an unhealthy watershed. At the 101801 standard watershed, the aquatic-habitat  
574 condition results from the aquatic-habitat connectivity (0.77) and wetland (0.66) indicators showed~~d~~ a relatively high  
575 value of 0.68.

576 ~~The biological pollution classes of the TDI, BMI, and FAI were examined by ecoregion and river basin~~  
577 ~~(Figure 8). A sub-index analysis of the TDI, BMI, and FAI (Figure 8) was conducted, except in the no-data areas~~  
578 ~~(North Korea) in the Han River basin (Figure 12f). These~~ The relationships of the TDI, BMI, and FAI were found to  
579 be significantly correlated. ~~In the downstream areas,~~ the TDI, BMI, and FAI ~~were~~ were worse in the downstream areas.  
580 However, the degree to which the TDI, BMI and FAI predict trophic diatom, benthic macroinvertebrate, and fish  
581 communities depends on the presence and levels of other stressors, such as large amounts of chlorophyll-a (Chl-a),  
582 low dissolved oxygen (DO) and biochemical oxygen (BOD), and high temperature. The normalized values of the TDI,  
583 BMI and FAI were 0.70, 0.98, and 0.92, respectively, in the 101206 standard watershed located upstream; 0.69, 0.98,  
584 and 0.72, respectively, in the 100201 standard watershed located upstream; and 0.32, 0.25, and 0.25, respectively, in  
585 the 101801 standard watershed located downstream. ~~The sub-index analysis of the TDI, BMI, and FAI was completed~~  
586 ~~except in the no-data areas (North Korea) in the Han River Basin (Figure 12f).~~ The sub-index scores after integrating  
587 the three normalized values were 0.91 and 0.83 for the 101206 and 100201 standard watersheds, respectively,  
588 indicating very healthy watersheds, and the sub-index score of 0.26 at the 101801 standard watershed indicated an  
589 unhealthy watershed.

590 The outputs of the watershed health provide basic data for local communities to proactively plan for  
591 growth. The sub-index results of the watershed-health assessment for each component can be optionally used to guide  
592 the master-planning process for watershed management at the watershed scale depending on the specific management  
593 objectives and can be combined with any of the other sub-indices in the Han River basin to for use in determining

594 priority conservation areas.

595

### 596 3.2 Assessment of the integrated watershed health

597 To assess the overall watershed health in the Han River basin, the results of the individual assessments were  
598 synthesized to provide an integrated watershed-health index score for the thirty-year period (1985–2014). The sample  
599 areas that were used to explain the differences in the watershed-health results for each component were the standard  
600 watersheds 101206 (urban 1.4% and forest 88.1%), 100201, (urban 0.8% and forest 88.2%), and 101801 (urban 9.8%  
601 and forest 55.7%) (Figure 2a). The 101206, 100201, and 101801 standard watersheds were located in the upstream  
602 region of the Soyang dam (SYD), in the upstream region of the Chungju dam (CJD), and in the downstream region of  
603 the Paldang dam (PDD), respectively.

604 Figure 12 displays the normalized scores for each of the six attribute sub-indices and integrated watershed-health  
605 scores. The integrated watershed health exhibited a decreasing tendency farther down the watershed. The integrated  
606 watershed health of the 101206 and 100201 standard watersheds was revealed to be very good, with ratings of 1 and  
607 0.91, respectively. However, the 101206 standard watershed exhibited a distinctive weakness with respect to the  
608 hydrologic condition (0.06), especially in the surface (0.16) and groundwater (0.17). Although the 100201 standard  
609 watershed was a very healthy watershed, similar to the 101206 watershed, the former showed a distinctive  
610 weakness with respect to the water quality (0.1) and aquatic habitat condition (0.28). It is important to develop  
611 systematic plans must be developed to suit watershed circumstances and characteristics so that watershed management  
612 is more effective. The 101801 watershed was revealed to be in poor health, with a water-quality rating of 0.25. This  
613 area requires urgent action to restore the landscape, water quality, and biological conditions and to protect the water  
614 quantity. Table 5 shows the watershed-health scores in the test areas (upper/lower stream) of the Han River basin.

615

616 <Figure 12>

617 <Table 5>

618

## 619 4. Conclusions

620 In this study, a watershed-health assessment of the Han River basin in South Korea was performed by using  
621 monitoring data and SWAT modeling results. Six essential indicators of healthy watersheds were used in the

622 assessment: 1) the landscape condition, 2) geomorphology, 3) hydrology, 4) water quality, 5) habitat, and 6) biological  
623 condition. In particular, the sub-index of the watershed health that was related to the hydrology and water quality  
624 was developed to assess the possible long-term changes in the watershed by using SWAT modeling results.

625           During the most recent ten-year period (2005–2014), the watershed's health declined, as indicated by  
626 the worse results for the surface processes metric and soil water dynamics compared to those of the 1995–2004 period.  
627 The spatial distributions of the poor watershed-health levels revealed the vulnerable areas in portions of the CJD  
628 watershed, upstream of the SYD watershed, and downstream of the PDD watershed with respect to the hydrology and  
629 water quality.

630           The sub-index results of the watershed-health assessment for each component can be used to guide the  
631 master-planning process for watershed management at the watershed scale based on specific management objectives  
632 and can be combined with any of the other sub-indices in the Han River basin to for use in determining priority  
633 conservation areas. Listing all the information of the watershed-health assessment can indicate vulnerable or healthy  
634 regions in the desired area and can provide basic data for action. The effectiveness of the watershed-health evaluation  
635 in this study can produce reliable information because this approach is entirely physically based. This approach can  
636 be utilized in a number of standard watersheds, local communities, and regions throughout the Han River basin and  
637 can be practically implemented in the watershed as a comprehensive watershed-management plan by government  
638 authorities or representative stakeholders.

639           Finally, the limitations of this study include the simulation of water quantity and quality data for possible  
640 long-term changes in the watershed model. Although the prediction of long-term water quantity and quality data with  
641 this modeling is essential to assess water-resource systems, the hydrologic and water quality conditions cannot be  
642 perfectly projected because of uncertainties in the models, climate data and other inputs that are required for the  
643 simulations. However, the results of this study are useful in terms of identifying potential watershed-health issues that  
644 are associated with ongoing watershed changes.

645

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649

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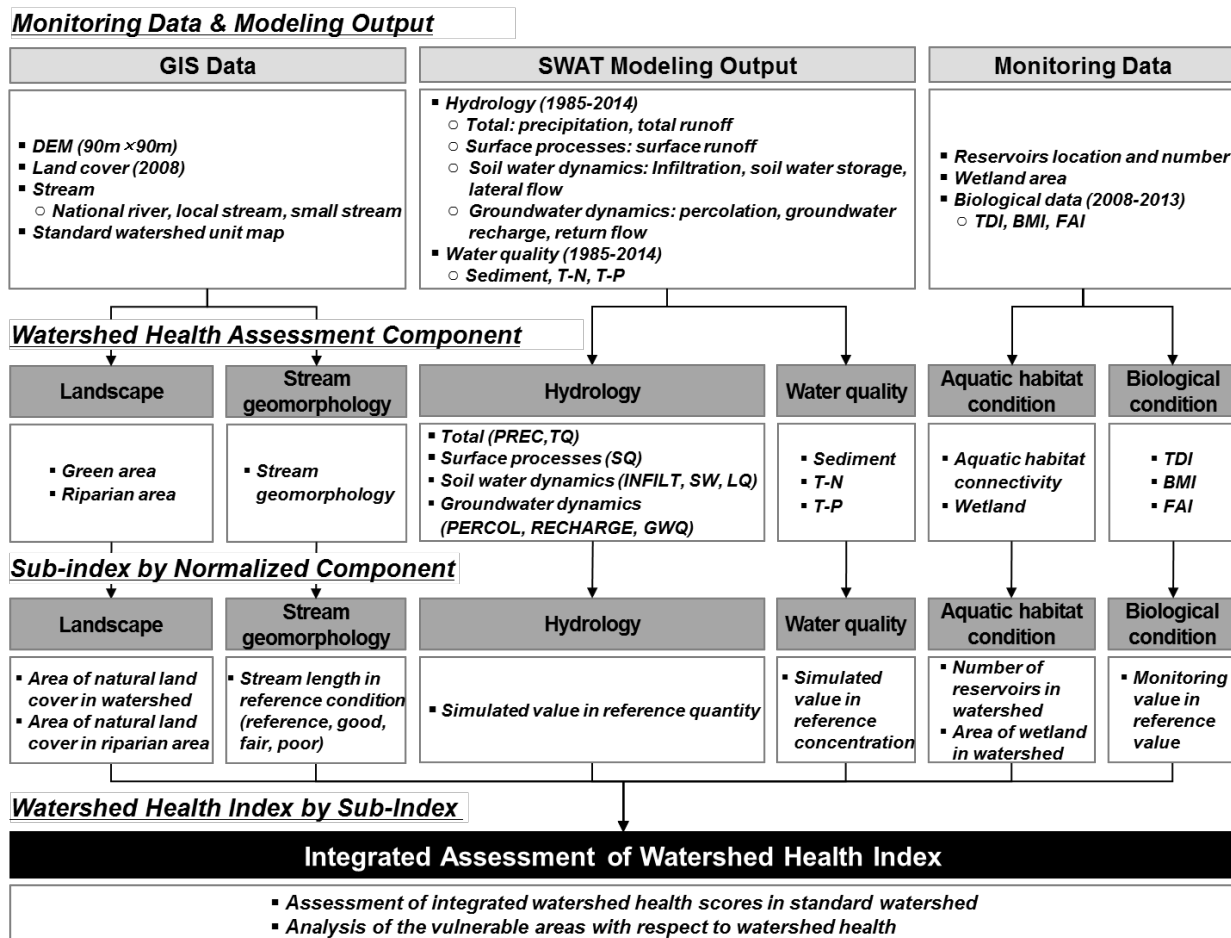
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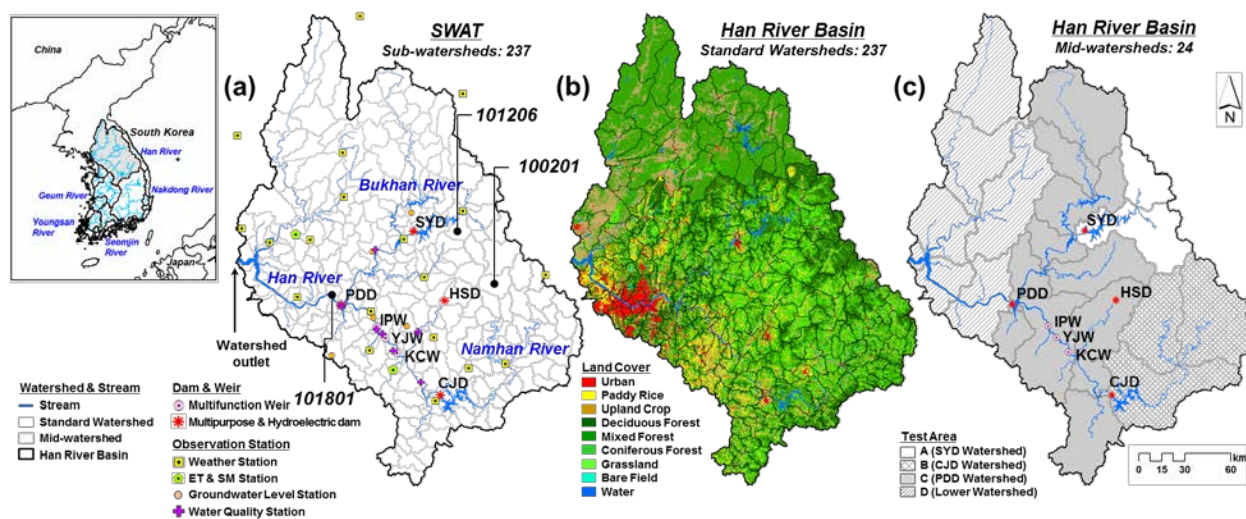
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758 Figure 1. Flowchart of the study procedure for the watershed-health assessment.



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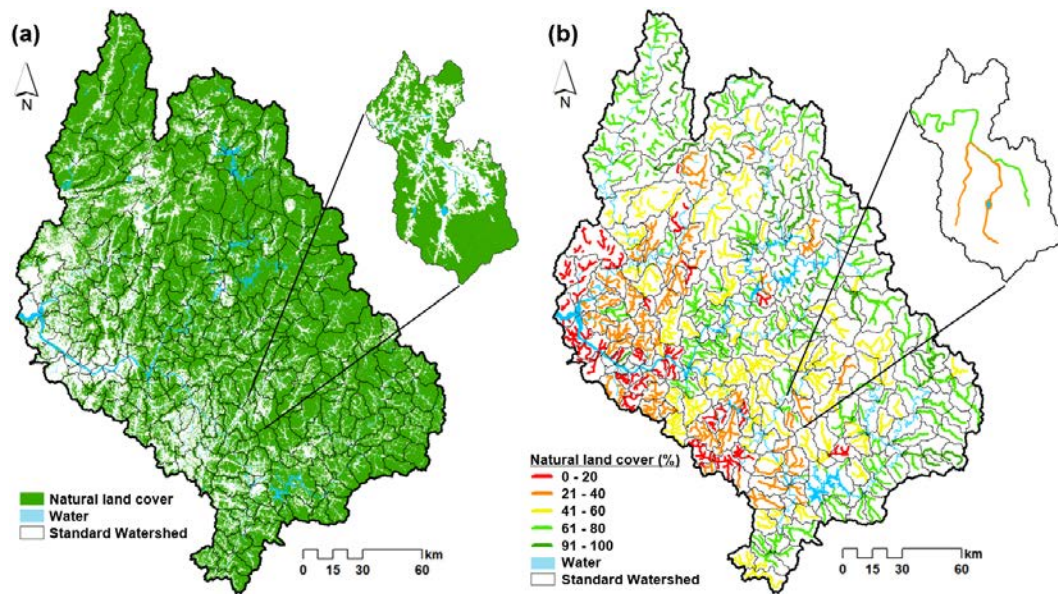
762 Figure 2. Locations of the (a) ~~the~~ Han River basin's boundaries and gauging stations for the watershed (SWAT)  
 763 modeling, (b) land-cover classification, and (c) test area.



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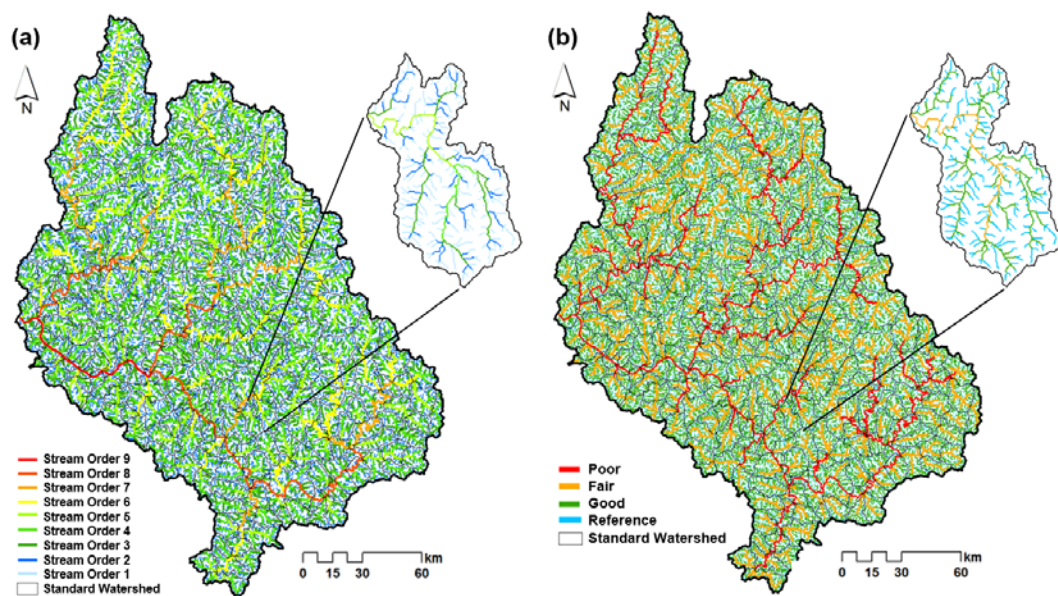


769 Figure 3. Landscape condition for the (a) green area and (b) riparian area.



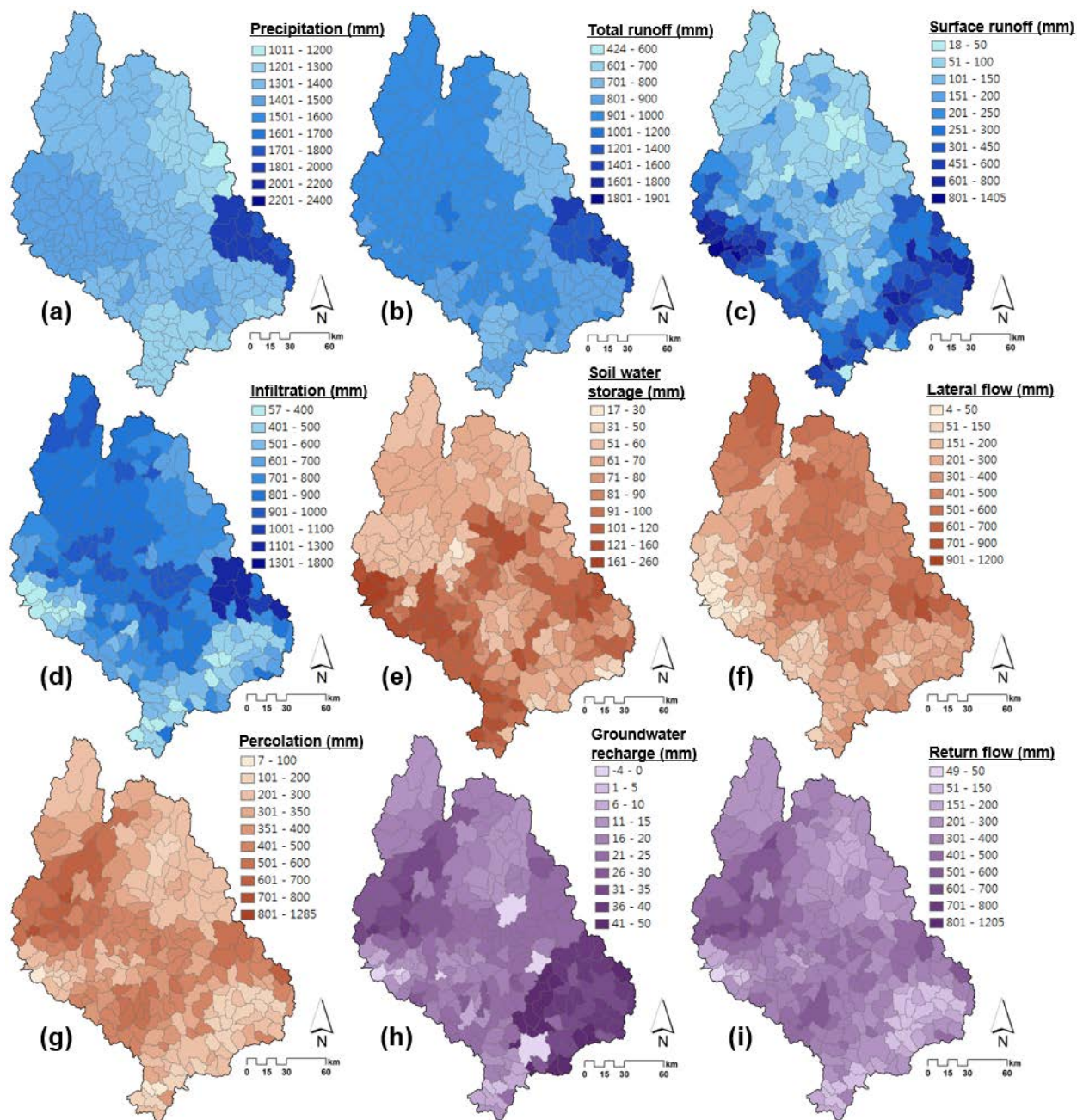
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774 Figure 4. Stream geomorphic conditions: (a) stream order and (b) stream geomorphic conditions.



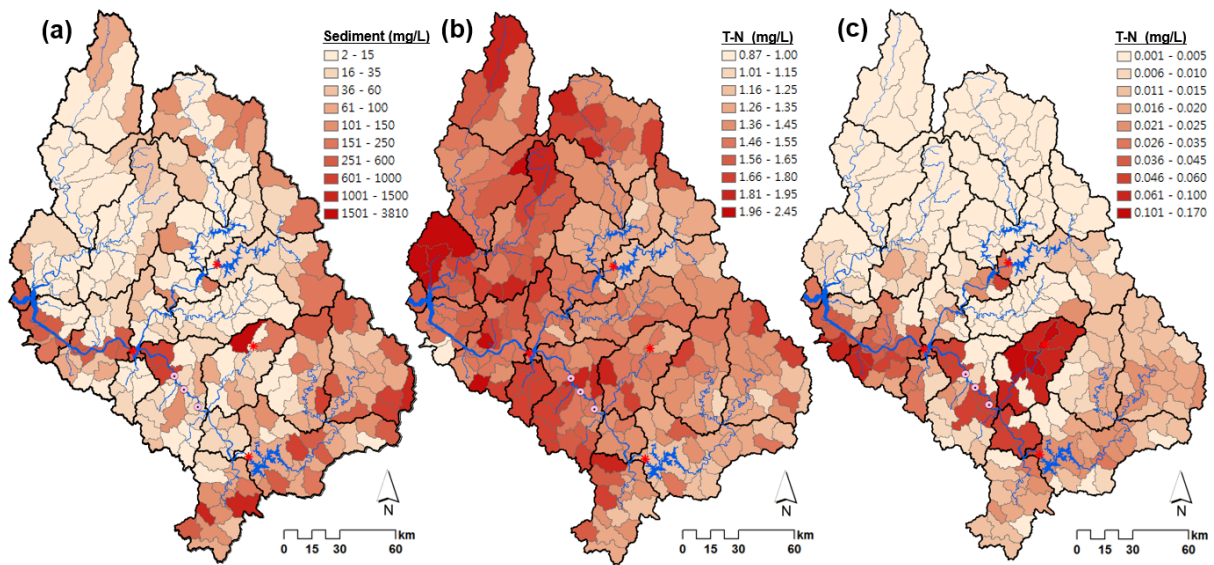
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779 Figure 5. Hydrologic condition for the (a) precipitation, (b) total runoff, (c) surface runoff, (d) infiltration, (e) soil  
 780 water storage, (f) lateral flow, (g) percolation, (h) groundwater recharge, and (i**b**) return flow according to the  
 781 hydrological (SWAT) modeling for the period from 1985 to 2014 in the Han River basin.



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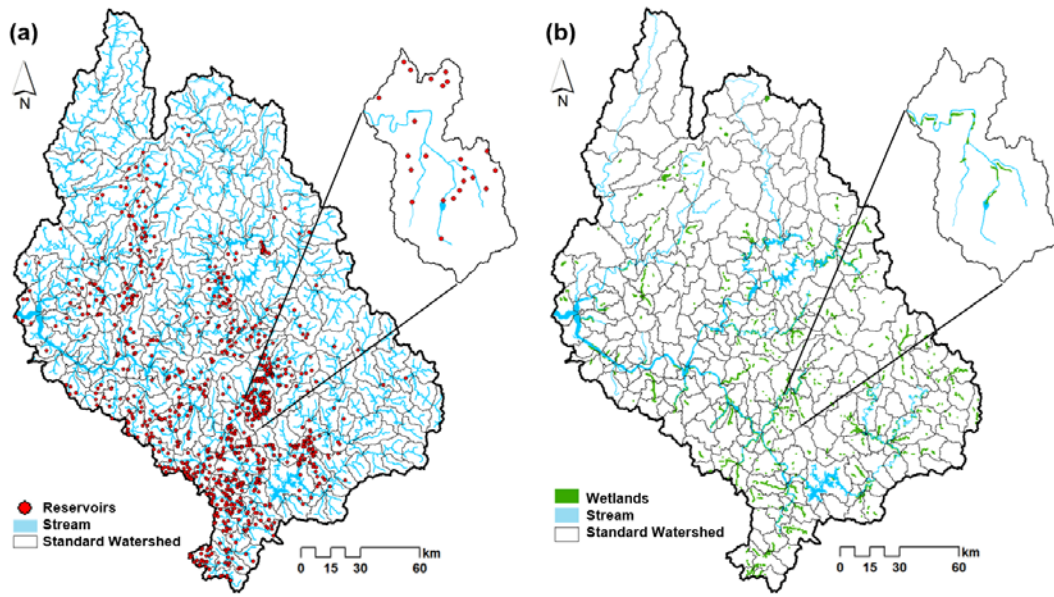
787 Figure 6. Water quality condition for the (a) sediment, (b) T-N and (c) T-P according to the hydrological (SWAT)  
788 modeling for the period from 1985 to 2014 in the Han River basin.



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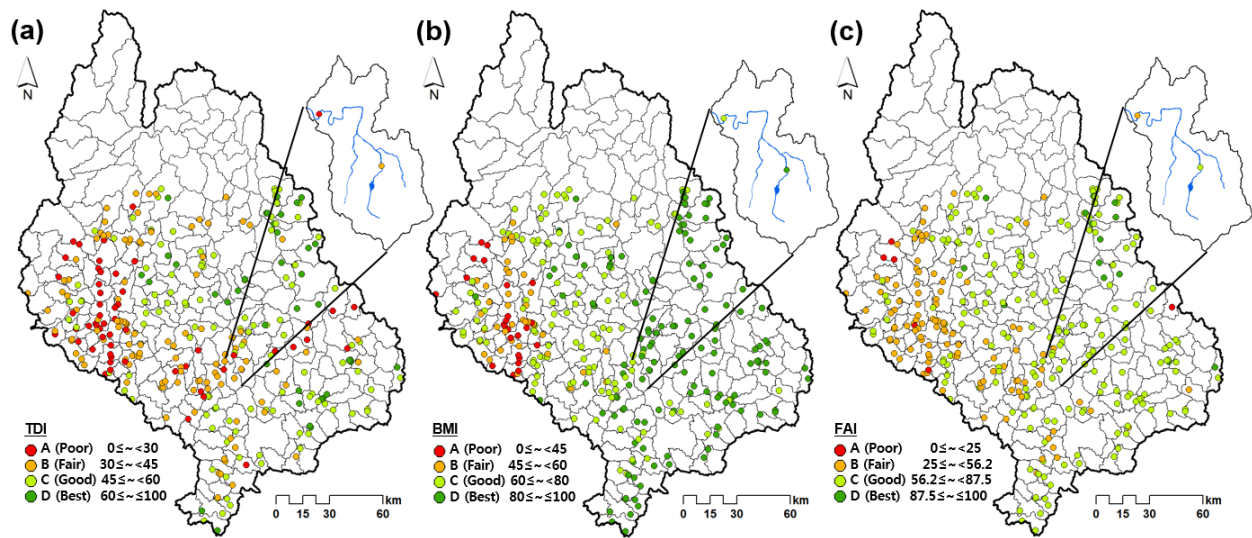
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Figure 7. Aquatic habitat conditions for the (a) aquatic habitat connectivity and (b) wetlands.



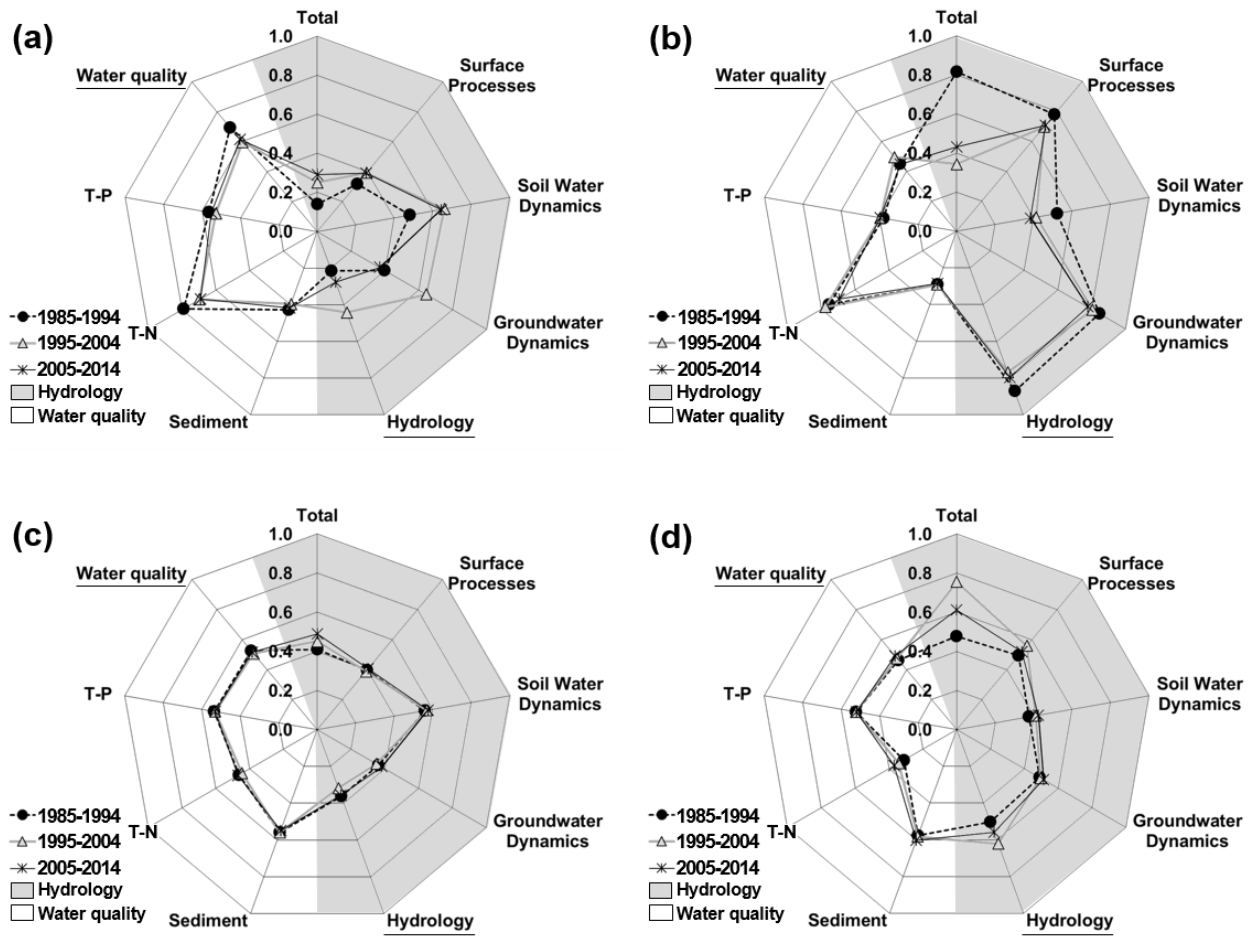
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797 Figure 8. Biological conditions of the (a) FAI, (b) BMI and (c) FAI according to the observed monitoring data for the  
798 period from 2008 to 2013 in the Han River basin.



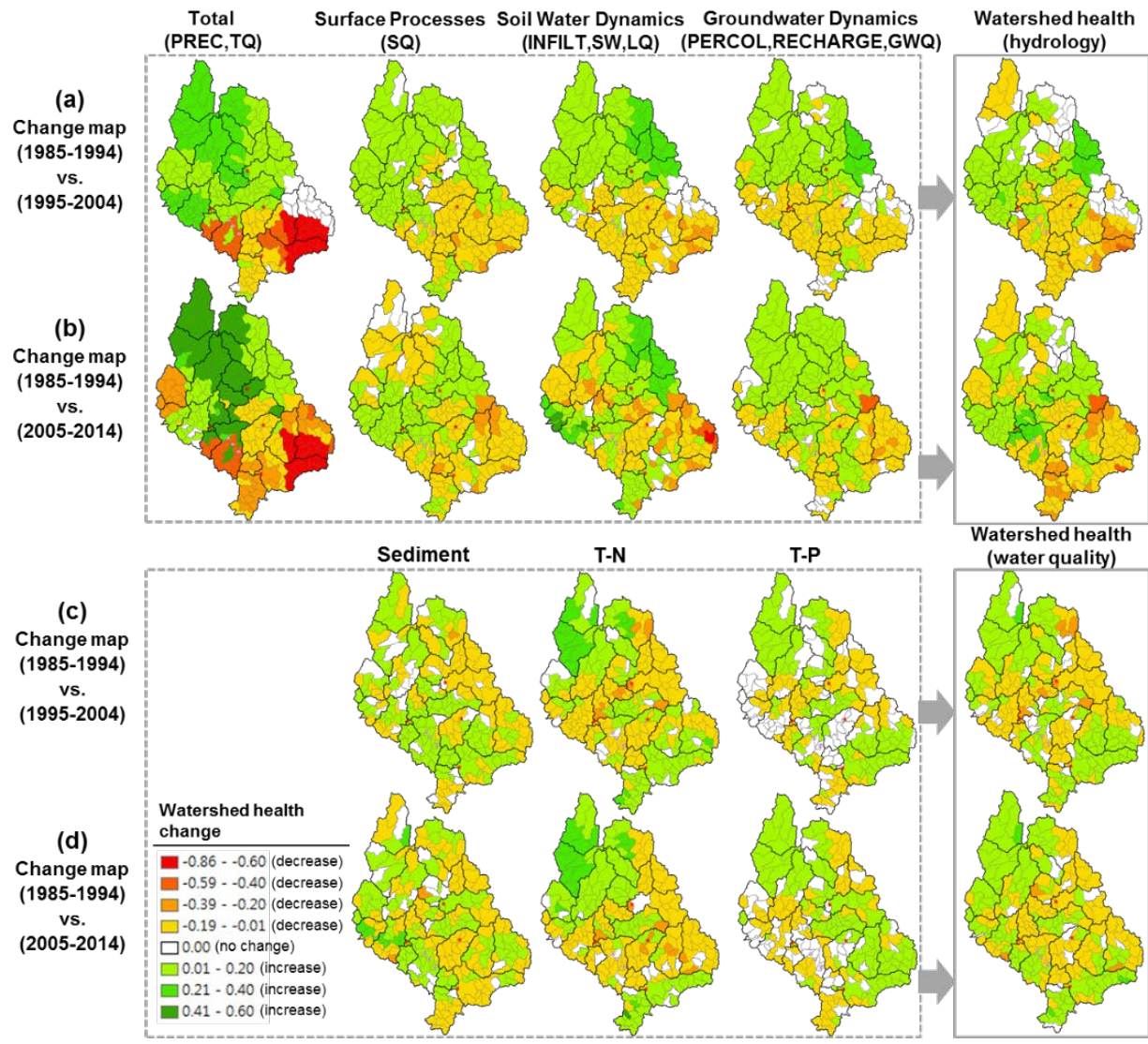
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803 Figure 9. Change in hydrology and water quality for the (a) A (SYD watershed), (b) B (CJD watershed), (c) C (PDD  
 804 watershed), and (d) D (lower watershed) test areas for three ten-year periods.



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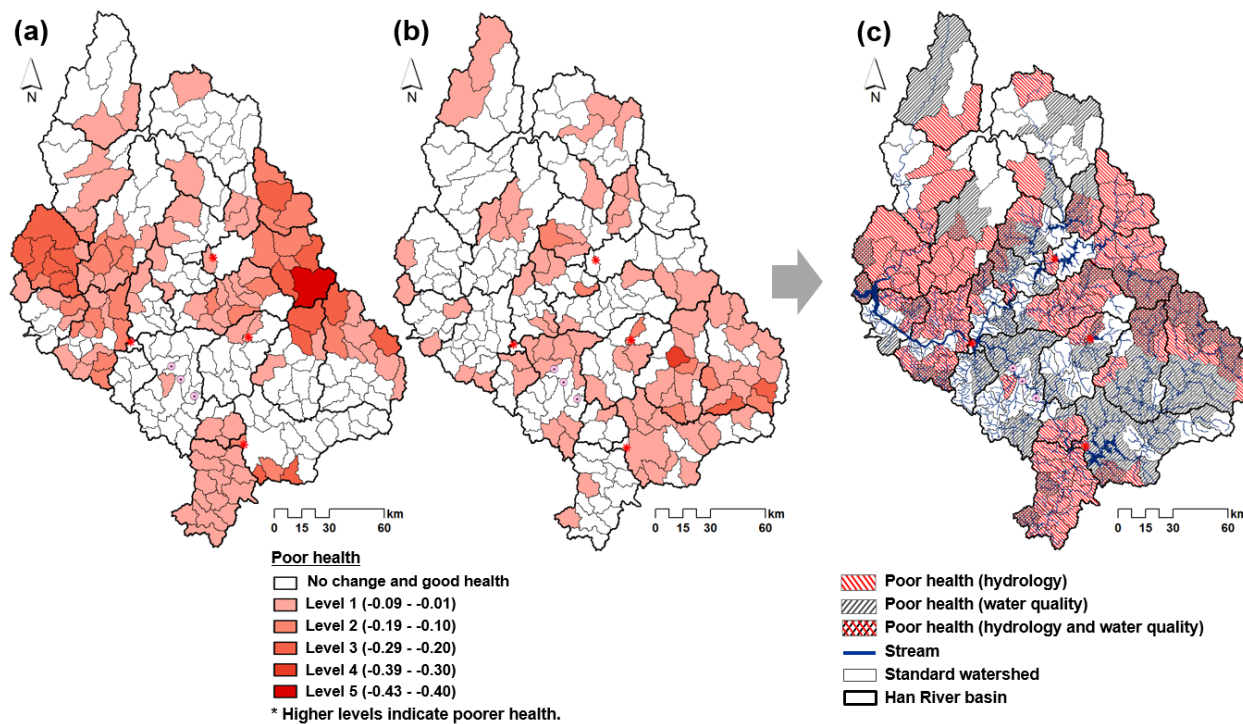
807 Figure 10. ~~W~~The watershed health index score changes for the hydrologic (a and b) and water quality (c and d)  
 808 conditions during the period 1995–2004 and the most recent ten-year period (2005–2014) based on the reference  
 809 period (1985–1994).



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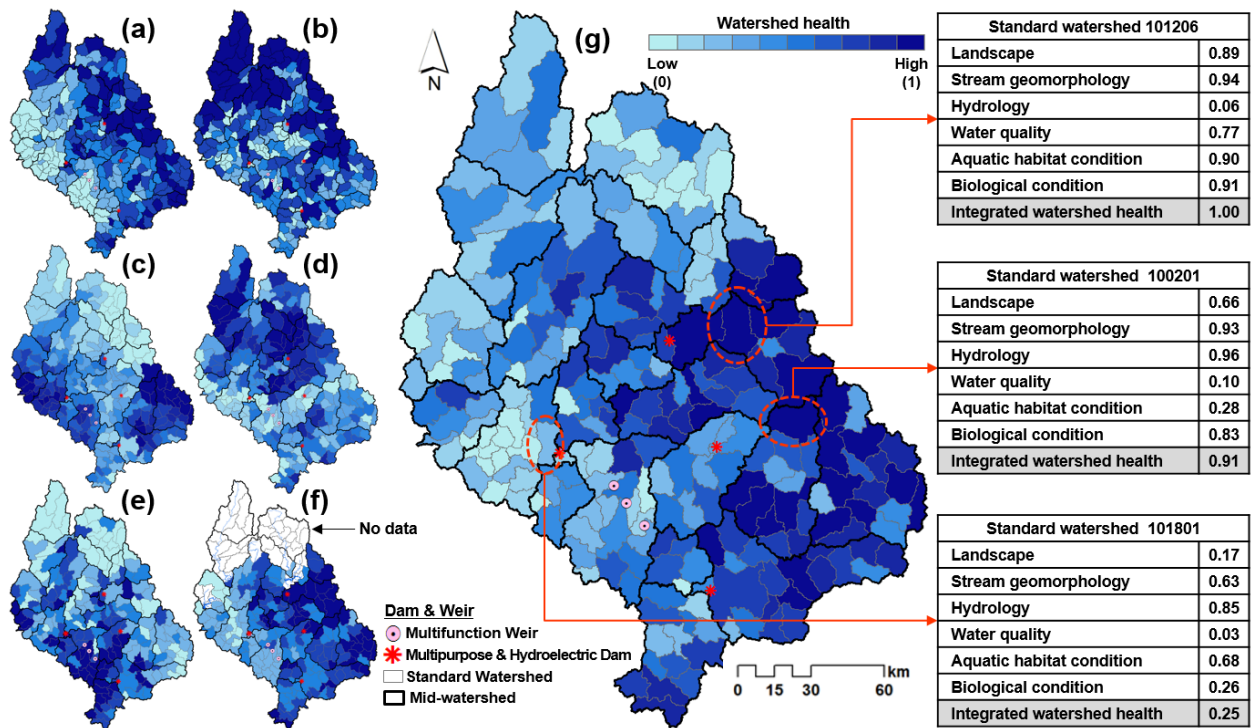


813 Figure 11. The poor watershed health as revealed by the (a) hydrology, (b) water-quality, and (c) overlay results.



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819 Figure 12. ~~W~~The results of the watershed-health index results for the (a) landscape, (b) stream geomorphology, (c)  
 820 hydrology, (d) water quality, (e) aquatic habitat, (f) biological condition, and (g) integrated watershed health.



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826 Table 1 Metrics and summary dataset ~~that was used to for the assess the ment of~~ watershed health in the study watershed.

Component (metric)	Measurement method	Dataset
<i>Landscape</i>		
Green infrastructure metric	Percentage of <del>the watershed that is</del> occupied by natural land cover	<i>GIS data</i> Land cover 2008 <sup>[a]</sup>
Active river area metric	Percentage of natural land cover within the active river area	Land cover 2008, stream <sup>[b]</sup>
<i>Geomorphology</i>		
Stream geomorphology metric	Percentage of assessed stream length in <del>the</del> reference condition	<i>GIS data</i> SRTM DEM (90×90) <sup>[c]</sup> , stream
<i>Hydrology</i>		
Total metric	Precipitation and total runoff storage ratio	<i>SWAT modeling data (1985–2014)</i> PREC, TQ
Surface processes metric	Surface runoff storage ratio	SQ
Soil water dynamics metric	Infiltration, soil water and lateral flow storage ratio	INFILT, SW, LQ
Groundwater dynamics metric	Percolation, groundwater recharge and return flow storage ratio	PERCOL, RECHARGE, GWQ
<i>Water quality</i>		
Water quality metric	Percentage of <del>the</del> assessed value in <del>the</del> reference criteria	<i>SWAT modeling data (1985–2014)</i> Sediment, T-N, T-P
<i>Aquatic habitat condition</i>		
Habitat connectivity metric	Reservoir density (number of reservoirs per stream length)	<i>GIS data</i> Reservoir location map <sup>[d]</sup> , stream
Wetland metric	Percentage of <del>the watershed that is</del> occupied by wetlands	Land cover 2008
<i>Biological condition</i>		
Biological metric	Percentage of <del>the</del> assessed score in <del>the</del> reference condition	<i>Monitoring data (2008–2013)</i> <sup>[e]</sup> TDI, BMI, FAI

827 Main data sources included <sup>[a]</sup> the Korea Ministry of Environment (KME); <sup>[b]</sup> the Ministry of Land, Infrastructure, and Transport (MOLIT) in  
828 South Korea; <sup>[c]</sup> the International Center for Tropical Agriculture (CIAT); <sup>[d]</sup> the Korea Rural Community Corporation (KRC); and <sup>[e]</sup> the Korea  
829 Ministry of Environment (KME) in South Korea (Ministry of Environment, 2013).

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833 Table 2 Calibration and validation results for the dam inflow, dam-storage volume, evapotranspiration and soil  
 834 moisture, groundwater-level fluctuation, sediments, T-N, and T-P at each calibration point.

Model output	Evaluation criteria	Cal.	Val.	Cal.	Val.	Cal.	Val.	Cal.	Val.	Cal.	Val.	Cal.	Val.	Cal.	Val.
Dam inflow (mm)	Locations	HSD		SYD		CJD		KCW		YJW		IPW		PDD	
	R <sup>2</sup>	0.82	0.84	0.90	0.89	0.81	0.74	0.90	0.63	0.91	0.62	0.93	0.59	0.92	0.88
	NSE	0.61	0.57	0.78	0.78	0.63	0.58	0.78	0.79	0.77	0.76	0.81	0.95	0.83	0.76
	<u>NSE (1/Q)</u>	<u>0.44</u>	<u>0.26</u>	<u>0.49</u>	<u>0.56</u>	<u>0.34</u>	<u>0.25</u>	<u>0.47</u>	<u>0.60</u>	<u>0.46</u>	<u>0.47</u>	<u>0.62</u>	<u>0.75</u>	<u>0.65</u>	<u>0.51</u>
	RMSE (mm/day)	7.9	9.3	3.8	3.9	3.5	3.1	6.5	0.7	9.1	2.4	9.2	2.9	0.8	2.3
	PBIAS (%)	14.5	12.5	10.3	14.0	8.9	9.9	18.0	4.9	25.5	14.1	25.6	17.2	2.2	6.8
Dam storage (10 <sup>6</sup> m <sup>3</sup> )	Locations	HSD		SYD		CJD		KCW		YJW		IPW		PDD	
	R <sup>2</sup>	0.73	0.77	0.94	0.96	0.87	0.84	0.57	0.85	0.47	0.83	0.47	0.79	0.40	0.44
	PBIAS (%)	18.9	9.9	16.3	9.3	18.2	15.2	5.1	7.4	3.7	11.1	9.1	7.2	0.9	1.4
Evapotrans- piration (mm)	Locations	SM		CM		-	-	-	-	-	-	-	-	-	-
	R <sup>2</sup>	0.81	0.73	0.70	0.74	-	-	-	-	-	-	-	-	-	-
	NSE	0.64	0.45	0.50	0.55	-	-	-	-	-	-	-	-	-	-
	RMSE (mm/day)	2.3	9.1	4.0	3.0	-	-	-	-	-	-	-	-	-	-
	PBIAS (%)	9.6	30.2	11.6	23.7	-	-	-	-	-	-	-	-	-	-
Soil moisture (%)	Locations	SM		CM		-	-	-	-	-	-	-	-	-	-
	R <sup>2</sup>	0.85	0.75	0.78	0.78	-	-	-	-	-	-	-	-	-	-
Groundwater level (EL.m)	Locations	-	-	-	-	GPGP		YPPG		YPPD		YIMP		HCGD	
	R <sup>2</sup>	-	-	-	-	0.70	0.63	0.64	0.45	0.70	0.41	0.53	0.40	0.69	0.67
Sediment (tons) T-N (kg) T-P (kg)	Locations	SG		CSG		JW		KCW		YJW		IPW		PDD	
	R <sup>2</sup>	0.78	0.70	0.78	0.76	0.90	0.71	0.54	0.64	0.84	0.54	0.69	0.66	0.72	0.80
	R <sup>2</sup>	0.58	0.71	0.64	0.71	0.82	0.68	0.50	0.61	0.52	0.49	0.46	0.62	0.66	0.62
	R <sup>2</sup>	0.77	0.77	0.88	0.88	0.80	0.56	0.56	0.58	0.50	0.47	0.66	0.70	0.74	0.69
	R <sup>2</sup>	0.77	0.77	0.88	0.88	0.80	0.56	0.56	0.58	0.50	0.47	0.66	0.70	0.74	0.69

835 <sup>[a]</sup> Cal. = calibration period (HSD, SYD, CJD and PDD: 2005-2009; KCW, YJW and IPW: 2013) and Val. = validation period (HSD,  
 836 SYD, CJD and PDD: 2010-2014; KCW, YJW and IPW: 2014)

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842 Table 3 Description of the stream geomorphic conditions categories (Kline et al., 2009) and stream order for the  
 843 watershed health assessment of the geomorphic condition in the Han River basin.

Condition	Description	River classification	Stream order (1–9)
Reference	In Equilibrium – no apparent or significant channel, floodplain, or land <u>cover modifications</u> ; <u>the</u> channel geometry is likely to be in balance with the flow and sediment <u>that are</u> produced in its watershed.	Mountainous river	1
Good	In Equilibrium but may be in transition into or out of the range of natural variability – minor erosion or lateral adjustment but adequate floodplain function; any adjustments from historical modifications nearly complete.	Small river	2–3
Fair	In Adjustment – moderate loss of floodplain function or moderate to major plan-form adjustments that could lead to channel avulsions.	Local river	4–5
Poor	In Adjustment and Stream Type Departure – may have changed to a new stream type, or central tendency of fluvial processes or significant channel and floodplain modifications may have altered the channel geometry such that the stream is not in balance with the flow and sediment <u>that are</u> produced in its watershed.	Urban river, National river	6–9

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848 Table 4 Summary of the hydrology, water-quality and biological criteria that were used to screen for the reference  
 849 condition in the Han River basin.

Component	Source	Reference condition
<i>Hydrology</i>		
Precipitation	River-basin average of 30 years (1985–2014) <u>as</u> simulated by SWAT	1,395.1 (mm)
Total runoff		919.5 (mm)
Surface runoff		249.4 (mm)
Infiltration		726.4 (mm)
Soil water storage		85.3 (mm)
Lateral flow		345.9 (mm)
Percolation		363.8 (mm)
Groundwater recharge		22.9 (mm)
Return flow		324.2 (mm)
<i>Water quality</i>		
Sediment	<u>The</u> levels greater than <u>the</u> "marginally good" level on a seven-point scale (excellent, very good, good, marginally good, fair, poor, very poor) of water-quality criteria for streams and lakes <u>as</u> devised by the Basic Environmental Policy Act (BEPA) in South Korea.	15 (mg/L)
T-N		0.6 (mg/L)
T-P		0.05 (mg/L)
<i>Biological condition</i>		
TDI	<u>The</u> "Best" and "good" levels on a four-point scale (best, good, fair and poor) of biological condition criteria devised by the Korea Ministry of Environment (KME) (Ministry of Environment, 2013).	72.5
BMI		80.0
FAI		78.1

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Table 5 ~~W~~Results of watershed health score ~~results~~ in each test area (upper/lower stream) of the Han River basin.

Component	A (SYD watershed)	B (CJD watershed)	C (PDD watershed)	D (Lower watershed)
<b><i>Landscape</i></b>	<b>0.80</b>	<b>0.66</b>	<b>0.53</b>	<b>0.26</b>
Green infrastructure metric	0.85	0.67	0.52	0.25
Active river area metric	0.74	0.65	0.53	0.28
<b><i>Geomorphology</i></b>	<b>0.75</b>	<b>0.47</b>	<b>0.46</b>	<b>0.54</b>
<b><i>Hydrology</i></b>	<b>0.21</b>	<b>0.74</b>	<b>0.37</b>	<b>0.60</b>
Total	0.19	0.51	0.44	0.65
Surface processes	0.36	0.73	0.40	0.53
Soil water dynamics	0.61	0.44	0.58	0.39
Groundwater dynamics	0.30	0.55	0.45	0.58
<b><i>Water quality</i></b>	<b>0.63</b>	<b>0.45</b>	<b>0.52</b>	<b>0.48</b>
Sediment	0.40	0.29	0.55	0.61
T-N	0.76	0.70	0.49	0.32
T-P	0.52	0.40	0.53	0.53
<b><i>Aquatic habitat condition</i></b>	<b>0.39</b>	<b>0.43</b>	<b>0.55</b>	<b>0.45</b>
Habitat connectivity	0.22	0.30	0.52	0.40
Wetland	0.53	0.51	0.49	0.41
<b><i>Biological condition</i></b>	<b>0.92</b>	<b>0.73</b>	<b>0.47</b>	<b>0.23</b>
TDI	0.83	0.67	0.50	0.25
BMI	0.88	0.78	0.46	0.22
FAI	0.92	0.70	0.47	0.27
<b><i>Integrated assessment</i></b>	<b>0.82</b>	<b>0.75</b>	<b>0.47</b>	<b>0.30</b>

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