# Soil water stable isotopes reveal evaporation dynamics at the soilplant-atmosphere interface of the critical zone

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#### Abstract

Understanding the influence of vegetation on water storage and flux in the upper soil is crucial in assessing the consequences of climate and land use change. We sampled the upper 20 cm of podzolic soils at 5 cm intervals in four sites differing in their vegetation (Scots Pine (*Pinus sylvestris*) and heather (*Calluna sp. and Erica Sp*)) and aspect.

- 10 The sites were located within the Bruntland Burn long-term experimental catchment in the Scottish Highlands; a low energy, wet environment. Sampling took place on 11 occasions between September 2015 and September 2016 to capture seasonal variability in isotope dynamics. The pore waters of soil samples were analysed for their isotopic composition ( $\delta^2$ H and  $\delta^{18}$ O) with the direct equilibration method. Our results show that the soil waters in the top soil are, despite the low potential evaporation rates in such northern latitudes, kinetically fractionated compared to the
- 15 precipitation input throughout the year. This fractionation signal decreases within the upper 15 cm resulting in the top 5 cm being isotopically differentiated to the soil at 15 – 20 cm soil depth. There are significant differences in the fractionation signal between soils beneath heather and soils beneath Scots pine, with the latter being more pronounced. But again, this difference diminishes within the upper 15 cm of soil. The enrichment in heavy isotopes in the topsoil follows a seasonal hysteresis pattern, indicating a lag time between the fractionation signal in the soil and the
- 20 increase/decrease of soil evaporation in spring/autumn. Based on the kinetic enrichment of the soil water isotopes, we estimated the soil evaporation losses to be about 5 and 10 % of the infiltrating water for soils beneath heather and Scots pine, respectively. The high sampling frequency in time (monthly) and depth (5 cm intervals) revealed high temporal and spatial variability of the isotopic composition of soil waters, which can be critical, when using stable isotopes as tracers to assess plant water uptake patterns within the critical zone or applying them to calibrate tracer-aided hydrological models either at the plot to the catchment scale.

### 1. Introduction

Processes in the soil-plant-atmosphere continuum exert a major influence on water partitioning into evaporation, transpiration and recharge fluxes. Therefore, the outer part of the Earth's terrestrial surface, where the subsurface is closely coupled with the atmosphere and vegetation, is often referred to as the critical zone (Brooks et al., 2015).

30 However, the dynamics of feedbacks between soils and vegetation remain insufficiently understood (Werner and Dubbert, 2016). Consequently, there is an increased interest in improving the conceptualization of the upper boundary of soils, as the important interface between soils-plant-atmosphere. For example, it has been shown that evapotranspiration dynamics can affect travel times of percolating water in the unsaturated zone (Sprenger et al.,

2016c; Heße et al., 2017) and catchment outflows (van der Velde et al., 2015; Rinaldo et al., 2011). Additionally, understanding the age distributions of evapotranspiration water has recently gained interest in the literature (Harman, 2015; Soulsby et al., 2016a; van Huijgevoort et al., 2016; Queloz et al., 2015). However, estimates of these evapotranspiration ages and catchment travel times require a sound understanding of the storage and mixing dynamics

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of the subsurface and surface water pools which form the sources of evapotranspiration within catchments. Disentangling these atmospheric losses into evaporation and transpiration is particularly challenging.

Stable isotopes of water (<sup>2</sup>H and <sup>18</sup>O) are a powerful tool for the analysis of the partitioning of water (see review by Kool et al., 2014). They are often seen as ideal tracers, since they are part of the water molecule. During root water uptake, the isotopic composition of the remaining soil water is usually not altered (Wershaw et al., 1966; Dawson and

10 Ehleringer, 1991). However, evaporation leads to isotopic fractionation, where the remaining water is generally enriched in heavy isotopes (equilibrium fractionation). Additionally, in natural open systems with a humidity of < 100 %,  $\delta^2$ H is more likely to be evaporated than  $\delta^{18}$ O, because of their different atomic weights, leading to kinetic nonequilibrium fractionation (Craig et al., 1963). Therefore, evaporation losses result in an isotopic signal in the residual water that is distinct from the original isotopic composition of the precipitation waters that were formed in isotopic

15 equilibrium (Dansgaard, 1964).

Such enrichment from kinetic fractionation was found in soil water isotopes across various climatic regions; with more pronounced evaporative signals reaching deeper into the soils in arid and Mediterranean environments than in temperate regions (Sprenger et al., 2016b). However, the temporal variability of the isotopic fractionation in the field has not yet been studied, despite recent technical developments that enabled easier analysis of stable isotopes in soil

- 20 water (see review by Sprenger et al., 2015a). While Rothfuss et al. (2015) sampled the soil water isotopes in a soil column undergoing evaporation in the laboratory, field studies are usually limited to few sampling campaigns or few days (Twining et al., 2006; Gaj et al., 2016; Volkmann et al., 2016). Only recently, Oerter and Bowen (2017) applied in-situ soil water isotope measurements to cover almost one year, but their study was limited to one particular location. Comparison of the soil water stable isotope dynamics that occur within an entire year at a number of dominant
- 25 landscape units are yet missing. Additionally, soil water isotopes have been studied much less extensively in the colder regions of the northern latitudes (but see Tetzlaff et al., 2014; Geris et al., 2015b; Geris et al., 2015a). However, the knowledge of soil water isotopic composition is of fundamental importance when studying the root water uptake pattern of plants (Rothfuss and Javaux, 2017). While comparisons of the isotopic signal in soil waters with waters of plant tissues have been reported for decades (see review by Ehleringer and Dawson, 1992), recently posed research
- 30 questions on which water of the soils pore system is used by plants (Brooks et al., 2010) are enhancing ecohydrological studies on soil – plant interactions in the critical zone. While studies based on two sampling campaigns (Goldsmith et al., 2012; Evaristo et al., 2016) were supportive of the ecohydrological separation, as presented by Brooks et al. (2010), newly published work with higher temporal resolution of soil water and xylem water isotope sampling suggests that there are seasonal differences with regard to ecohydrological separation (McCutcheon et al., 2016; Hervé-Fernández
- 35 et al., 2016). A comparative study by Evaristo et al. (2015) showed that the isotopic composition of plant waters just like soil waters in the upper horizons – are usually kinetically fractionated. However, Evaristo et al. (2015) did not

cover the northern latitudes in their review, since there has been only preliminary studies, looking into the root water uptake of the vegetation in this low energy region (Geris et al., 2015a; Geris et al., 2017). Geris et al. (2015a) found relatively little to moderate fractionation in the soil waters at -10 cm soil depth and xylem waters in the Bruntland Burn catchment in Northern Scotland. However, recent findings about kinetic fractionation in the water pools and

- 5 tracks of an extended drainage network in a raised bog within the Bruntland Burn showed that evaporation can have a fractionating effect on the stable isotopes of peatland waters, despite the relatively low energy available (Sprenger et al., 2017b). While such isotopic fractionation of open waters in peatlands of the northern latitude were found by others (Carrer et al., 2016; Gibson et al., 2000; Isokangas et al., 2017), the potential fractionation dynamics of the water in the upper soil layer in these cold regions have not previously been studied.
- 10 Thus, there is a particular need to better understand such ecohydrological processes in the higher latitudes (Tetzlaff et al., 2013). These environments are known to be especially sensitive to future climate change projections, since relatively little warming could cause intense changes in the water balance when snowfall and snow melt dynamics (Mioduszewski et al., 2014) and vegetation phenology shift (Shen et al., 2014). The upper soil layers (top 30 cm), where about 90 % of the root mass is usually present in the Northern temperate and boreal biomes (Jackson et al.,
- 15 1996), are of special interest to better understand how vegetation influences the partitioning of soil water into evaporation, transpiration and recharge. The marked seasonality in the northern environments and its impact on the evaporation signal in soil water stable isotopes are fertile areas for investigation.

Here, we address the following research questions in order to improve understanding of the evaporation dynamics at the soil-plant-atmosphere interface and their influences on the water storage and mixing in the critical zone:

How do precipitation input and the soil water storage mix and affect the soil water isotope dynamics over time?
 How can one infer soil evaporation dynamics in the field from soil water isotopic fractionation?
 How do soil characteristics, vegetation cover and aspect drive evaporation fractionation dynamics?

#### 2. Methods and study sites

# 2.2 Environmental conditions

- 25 Our study was conducted in the Bruntland Burn (BB) experimental catchment (3.2 km<sup>2</sup>) in the Scottish Highlands; a sub-catchment of the Girnock burn, a long-term ecohydrological research site. A detailed description of the soil and vegetation characteristics follows in section 2.3. The underlying geology of the BB is characterized by granitic and metamorphic rocks (Soulsby et al., 2007). About 60 % of the catchment is covered by up to 40 m of glacial drift deposits which maintain a high groundwater storage (Soulsby et al., 2016b). The climate is temperate/boreal oceanic
- 30 with mean daily air temperatures ranging between 2°C in January and 13°C in July. Annual precipitation is about 1000 mm yr<sup>-1</sup>, which is fairly evenly distributed and occurs mainly as rainfall (usually < 5 % as snow) of low intensities (50 % of rainfall at intensities of < 10 mm d<sup>-1</sup>) (Soulsby et al., 2015). The annual potential evaporation (PET) is about 400 mm yr<sup>-1</sup> and the annual runoff is around 700 mm year<sup>-1</sup>. While the runoff of the BB shows limited seasonality,

though lower flows tend to be in summer, the PET estimated with the Penman-Monteith approach follows a strong seasonal dynamic with average PET rates of 0.3 to 0.7 mm d<sup>-1</sup> from November to February and 2.3 to 2.7 mm d<sup>-1</sup> from May to August (Sprenger et al., 2017b).

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The seasonality of the climate is also reflected in the variability of the isotopic signal of the precipitation with depleted values being more common during winter (dropping frequently below – 80 ‰  $\delta^2$ H from November to March) and more enriched values dominant in summer. The weighted averages for the precipitation isotope signal over 5 years (2011-2016) were P<sub>avg</sub>  $\delta^{18}$ O = -8.5 ‰ and P<sub>avg</sub>  $\delta^2$ H = -61 ‰. The regression between  $\delta^{18}$ O and  $\delta^2$ H values of daily precipitation data sampled between June 2011 and September 2016 describes the local meteoric water line (LMWL):

$$\delta^2 H = 7.6 \times \delta^{18} O + 4.7 \tag{1}$$

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# 2.3 Study sites

Soil sampling focused on four different sites within the BB, where the soils are characterized as freely draining podzols (Figure 1a). The study sites differed regarding their vegetation cover and their aspect: At two sites, Scots Pine (*Pinus sylvestris*) forest is the dominant vegetation and at the two other sites, heather (*Calluna sp.* and *Erica sp.*) shrubland is dominating (Figure 1b). Each of the two soil-vegetation landscape units were studied at a north facing and a south

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facing slope (sites had gentle slopes), leading to the following four different sites: North facing heather (NH), north facing forest (NF), south facing heather (SH), and south facing forest (SF).

The podzols are shallow soils and frequent large clasts within the glacial drift deposit usually inhibit soil sampling below 20 to 30 cm. The soils at the four study sites were relatively similar regarding their colour (Figure 1c) and

- 20 texture (Table 1). The texture was determined after ignition of the soil samples to free the soil of organic matter content. The coarse and medium sand fractions were determined by dry sieving and the fine sand, silt, and clay fractions were estimated with the hydrometer method (Gee and J. W. Bauder, 1986). The soil consist mainly of loamy sand and for NH and SH, the gravel content as well as the sand content generally increases with depth (Table 1). The bulk density of the podzols in the BB is about 0.74 g cm<sup>-3</sup> for the top 20 cm (Geris et al., 2015b).
- 25 The organic matter content of the soils was determined for each study site in replicated 5 cm depth increments (n = 5 per site and depth) by loss on ignition (LOI) of about 10 g soil material at 550°C in a furnace over 2h according to Ball (1964). The LOI decreased linearly with soil depth at all study sites and also showed generally a strong relationship with the gravimetric water content (Table 1). We determined the gravimetric water content (GWC) of all soil samples by relating the weight loss after oven drying at 105 °C over night to the dry soil mass.
- 30 Hemispheric photos taken during the vegetation period revealed that the median of the canopy coverage (CC) of the heather was slightly lower (NH: CC = 65 %, n = 9; SH: CC = 62 %, n = 9) than for the forested sites (NF: CC = 67 %, n = 36; SF: CC = 69 %, n = 46) (Braun, 2015). The forested sites were both plantations, but with larger trees (mean diameter at breast height (DBH) = 21.8 cm) and lower tree density at SF compared to NF (mean DBH = 13.8 cm),

where the tree ages were more variable (Braun, 2015). The height of the heather vegetation was limited to about 0.5 m and tree height was 12 - 15 m in the plantations.

Fine root (defined as 0.5 - 2 mm according to Zobel and Waisel (2010)) density was determined by wet sieving of the fine roots from soil cores (100 cm<sup>3</sup>) and subsequent oven drying at 70 °C. This analysis was limited to the heather sites, since the roots and boulders at the forested sites inhibited sampling of undisturbed soil cores. The roots of heather were limited to the upper 15 cm at the heather sites with almost exponential decrease at NH and a more linear decrease at SH (Table 1).

#### 2.4 Sampling design and analysis

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Soil sampling at each site was conducted at monthly intervals between September 2015 and September 2016 (except for Dec., Feb., March; n = 11). For each sampling campaign, soils at all four sites were sampled with a spade across five profiles in 5 cm increments down to 20 cm soil depth. For each sampling depth, five replicate samples were taken to account for the high subsurface heterogeneity (Figure S 1). Each soil sample contained of about 80 to 250 g of soil and was stored in air tight bags (Weber Packaging, Güglingen, Germany), ensuring - by manually furling the bags that as little air as possible was inside them. The used bags ensured that there were no evaporation losses through bags,

15 since less than 0.15% of water was lost over 30 days in an experiment as reported by Sprenger et al. (2015a). For the sampling campaign in May, five additional sites with heather vegetation were sampled on the north (n=2) and south facing (n=3) slopes to increase the sample size for comparisons between the two slopes and get an idea of the general variability in space. In addition to the monthly sampling, two extra sampling campaigns for the two heather sites were conducted in August (4<sup>th</sup> and 9<sup>th</sup> August 2016) to investigate short time changes on both slopes and to further increase 20 the sample number for comparison between the two slopes.

The soil samples were analysed for their stable isotopic composition ( $\delta^2$ H and  $\delta^{18}$ O) according to the direct equilibration method suggested by Wassenaar et al. (2008). The analyses were conducted within one week after the sampling to prevent microbial activity within the bags. The analyses were done by adding dry air to the bags that contained the soil samples, heat sealing the bags and letting the soil water equilibrate with the dry atmosphere in the bag for two days at constant temperature in the laboratory. The same was done in parallel with bags each filled with 10 ml of one of three different standard waters covering the range of the soil water isotopic signals: seawater ( $\delta^{18}$ O = -0.85 ‰ and  $\delta^2$ H = -5.1 ‰), Aberdeen tap water ( $\delta^{18}$ O = -8.59 ‰ and  $\delta^2$ H = -57.7), condensate of distilled tap water ( $\delta^{18}$ O = -11.28 ‰ and  $\delta^2$ H = -71.8) and for the sampling in January Krycklan snow melt ( $\delta^{18}$ O = -15.36 ‰ and  $\delta^2$ H

= -114.4). After the equilibration over 2 days, the vapour in the headspace of each bag was sampled directly with a

- 30 needle connected to an off-axis Integrated Cavity Output Spectroscopy (OA-ICOS) (TWIA-45-EP, Los Gatos Research, Inc., San Jose, CA, USA). The  $\delta^{18}$ O and  $\delta^{2}$ H composition was continuously measured over 6 minutes, of which the last 2 minutes, when the water vapour pressure in the cavity was constant (standard deviation < 100 ppm), were used to calculate average values. The standard deviation for the  $\delta^{18}$ O and  $\delta^{2}$ H measurements were usually < 0.25 ‰ and < 0.55 ‰, respectively. The standards, which were treated the same way as the soil samples and measured at
- the beginning, the middle and the end of each sampling day, were then used for calibration to derive the isotopic

composition of liquid soil waters from vapor measurements. For a detailed description of the soil water isotope analyses in the lab of the Northern Rivers Institute at the University of Aberdeen with the direct equilibration method we refer to Sprenger et al. (2017a). To assess the precision of the analysis, we derived the standard deviation of in total 81 measurements of the standard Aberdeen tap water sampled along with the soil samples on 27 days of

5 laboratory analyses over one year. The standard deviation of the standard water analysis was  $0.31 \ \%$  for  $\delta^{18}$ O values and  $1.13 \ \%$  for  $\delta^{2}$ H values. Recently reported potential effects of CO<sub>2</sub> on the isotope analysis of vapour with wavelength-scanned cavity ring-down spectroscopy (Gralher et al., 2016) have been shown to not apply to the OA-ICSO that we used, as shown by Sprenger et al. (2017a). Potentially fractionating effects of interactions between soil water and surfaces of clay minerals (Oerter et al., 2014; Gaj et al., 2017a; Gaj et al., 2017b; Newberry et al., 2017) are

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that the sampled soil volumes always contained much more than 3 g of water as suggested by Hendry et al. (2015).

of minor relevance for our study, since clay contents were low in the sampled soils (Table 1). We can further ensure

In addition to the soil water analysis, precipitation at the field site was sampled with an auto sampler on daily basis at the catchment outlet (location shown in Figure 1a). The auto sampler was emptied at least every two weeks and evaporation from the sampling bottles was prevented by adding paraffin. The precipitation isotopic composition ( $\delta^2$ H and  $\delta^{18}$ O) was determined with the above mentioned OA-ICOS running in liquid mode with a precision of 0.4 ‰ for





Figure 1 Location of the four sampling sites within the Bruntland Burn catchment on (a) a soil map and (b) an aerial photo. The precipitation sampling location is indicated by a blue triangle in (a). (c) The four photos on the right show exemplary soil profiles for the four study sites.

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#### 2.5 Data analysis

We calculated the evaporation line (EL) as a regression line through the soil water isotope data of each sampling date in the dual isotope space. The EL is characterized by its slope and intercept with the  $\delta^2$ H axis. All regressions for EL presented here were significant at the 95 % confidence interval. For each soil water and precipitation sample, we further calculated the line conditioned excess (lc-excess) as a function of the slope (a = 7.6) and the intercept (b = 1.6) +4.7 ‰) of the LMWL (Equation 1) as suggested by Landwehr and Coplen (2006):

$$lc - excess = \delta^2 H - a \times \delta^{18} O - b \tag{2}$$

The lc-excess describes the deviation of the sample's  $\delta^2 H$  value the LMWL in the dual isotope space (Landwehr et al., 2014), which indicates non-equilibrium kinetic fractionation processes due to evaporation after precipitation. Therefore, the lc-excess is similar to the well-established deuterium-excess (Dansgaard, 1964) that relates the

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deuterium composition to the global meteoric water line (GMWL). However, we found that lc-excess was advantageous over the deuterium-excess (or single isotope approaches with  $\delta^2 H$  or  $\delta^{18}O$ ) for inferring evaporation fractionation, because the lc-excess of the precipitation input is about 0 ‰ and with relatively little seasonal dynamics, while  $\delta^2$ H,  $\delta^{18}$ O, and d-excess can have an intense seasonal variability (Sprenger et al., 2017b). The accuracy for the liquid and soil water isotope analysis result in a precision limit for lc-excess of about 1.1 ‰ and 3.4 ‰, respectively. 15

To infer dynamics of potential evaporation rates, we estimated potential evapotranspiration (PET) with the Penman-Monteith-Equation adjusted for the Scottish Highlands by Dunn and Mackay (1995). Note that we focus in our study on the PET dynamics and that the absolute values could vary depending on the aerodynamic and roughness parameter of different vegetation covers. We further did not partition PET into evaporation and transpiration fluxes, since PET

20 was primarily used as a proxy for potential soil evaporation rates, and evaporation and transpiration usually show a linear relationship in temperate regions (Renner et al., 2016; Schwärzel et al., 2009). To understand the potential atmospheric drivers for the soil water isotopic composition, we investigated the effect of antecedent conditions. We calculated average values of PET over 30 days prior to each sampling campaign (PET<sub>30</sub>) to account for the potential soil evaporation dynamics. Additionally, the precipitation sums and the amount weighted isotopic signal of the daily

25 precipitation isotope samples were computed for the 7 days and 30 days period prior to the sampling ( $P_7$  and  $P_{30}$ ), respectively) to assess the mixing processes between precipitation input and soil water. Weekly and monthly averages were chosen to see if the relatively young water input or the average over the last month relate differently to the observed soil water isotopic signal.

We estimated the evaporative losses f [%]based on the Craig-Gordon model (Craig and Gordon, 1965) and 30 formulations introduced by Gonfiantini (1986) for isotope mass balance as follows:

$$f = 1 - \left[\frac{(\delta_{\mathcal{S}} - \delta^*)}{(\delta_{\mathcal{P}} - \delta^*)}\right]^m \tag{3}$$

With  $\delta_{S}$  defined as the average weighted isotopic signal of the soil water in the upper 10 cm. The upper 10 cm were chosen, because this was the depth with the highest evaporation signal in the soil water isotopes (see results section) and where most evaporation are usually observed in laboratory experiments (Or et al., 2013). $\delta_P$  was defined as the isotopic signal of the original water source by calculating the intercept between the evaporation line of the soil water isotope data in the dual-isotope space (see Figure S 2) and the LMWL according to Javaux et al. (2016).  $\delta^*$  is the limiting isotopic enrichment factor and *m* is the enrichment slope, both described by Gibson and Reid (2014) (Equation

- 5 (8) and (9) therein).  $\delta^*$  is a function of the air humidity *h*, the isotopic composition of the ambient air  $\delta_A$ , and a total enrichment factor  $\varepsilon$  (Gat and Levy, 1978). For humidity, we averaged over 30 days prior to each soil water sampling date the measured humidity at a meteorological station less than 800 m away from the study sites  $h_{30}$ .  $\delta_A$  was derived as function from the weighted average precipitation input of the 30 days prior to the soil sampling  $\delta_{P30}$  and the equilibrium isotope fractionation factor  $\varepsilon^+$  (Gibson et al., 2008), with the latter depending on the temperature as given
- 10 by Horita and Wesolowski (1994). We used air temperature data from the aforementioned meteorological station and computed values averaged over 30 days prior to soil water sampling  $T_{30}$ . The total enrichment factor  $\varepsilon$  is the sum of the equilibrium isotope fractionation factor  $\varepsilon^+$  and the kinetic isotope fractionation factor  $\varepsilon_{\kappa}$ , which is a function of h<sub>30</sub>, the exponent of the diffusion coefficient ratio *n* and a kinetic fractionation constant, which has a value of 28.4 ‰ for  $\delta^{18}$ O and 25.0 for  $\delta^2$ H (Gonfiantini, 1986). Here, we define n = 1 in accordance to Barnes and Allison (1983),

15 representing diffusional transport in soil pores. The enrichment slope *m* was calculated as a function from  $h_{30}$ ,  $\varepsilon$ , and  $\varepsilon_k$  in accordance to Welhan and Fritz (1977).

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*f* can be derived either by  $\delta^2$ H or  $\delta^{18}$ O, indicated as  $f_{d2H}$  and  $f_{d18O}$ . Note that  $\delta_{P3O}$  represents in these calculations the net precipitation infiltrating the soil, which has the same isotopic composition as the measured rainfall isotope values, since no evidence for isotopic enrichment was found for the throughfall and stem flow in heather and Scots pine stands in the Bruntland Burn catchment (Braun, 2015).

Statistical analyses for the soil water isotopes ( $\delta^2$ H,  $\delta^{18}$ O and lc-excess) for individual sites, depths, and dates were done with non-parametric tests, since the null-hypothesis that the data was drawn from a normal distribution was rejected for several sampling campaigns using the Shapiro-Wilk test for normality. We also tested whether there were significant differences between the sites (each site with n > 200) and sampling dates (each date with n > 75) or at different depths (each depth with n > 200) with the Kruskal-Wallis test. When significant differences were present at the 95 % confidence interval, a post-hoc Dunn test with p-value adjustment "bonferroni" was applied to see which of

- the sampling dates or sampling depths were significantly different. For pairwise tests (e.g., aspect (north- and southfacing) or vegetation (soils beneath heather and soils beneath Scots pine; n > 35 for each vegetation type on each sample day and n > 100 for each vegetation type at each sample depth), the nonparametric Mann-Whitney-Wilcoxon
- 30 test was used. We assessed if the soil water lc-excess values for different sites were significantly lower than 0 ‰ by using the Wilcoxon signed-rank test.

Mean values for each of the 11 sampling dates of  $\delta^2$ H,  $\delta^{18}$ O, and lc-excess of soil water and P<sub>7</sub> or GWC, PET<sub>30</sub>, P<sub>7</sub>, and the lc-excess of P<sub>30</sub> were normally distributed according to the Shapiro-Wilk test. Therefore, we used the T-test for the mean of one group of samples to test if the average soil water lc-excess deviated significantly from zero for

35 any sampling campaign. We further calculated the Pearson correlation coefficients (r) to describe linear relationships

between mean values. Since  $\delta^2$ H,  $\delta^{18}$ O of P<sub>30</sub> were not normally distributed, the Spearman rank correlation ( $\rho$ ) was applied to describe relationships with these two variables. For all statistical analyses, the 95 % confidence interval was defined as significance level (p < 0.05). Visualizations with boxplots generally show the interquartile range (IQR) as boxes, the median as line within the box, the 1.5 IQR as whiskers and data points >1.5 IQR as points. We further

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make use of violin plots, where a kernel density estimation of the underlying distribution describing the data is visualized. Statistical differences derived with the post-hoc Dunn test are visualized by either letters or coloured markers within the boxplots, where the same letter or marker color indicate that the samples are not significantly different to each other. Statistical differences between two groups derived with the Mann-Whitney-Wilcoxon test are indicated by either an asterisk or "X".

### 10 3 Results

#### 3.1 Temporal dynamics in soil water isotopes

The temporal dynamic of the seasonally variable precipitation input signal between September 2015 and September 2016 was generally imprinted on the soil water (SW) isotope data at all sites. P<sub>30</sub> δ<sup>2</sup>H values usually were most similar to the lowest (most depleted) SW δ<sup>2</sup>H values (Figure 2b). An exception to this were the soil samples from January,
because the sampling took place after a period of intense rainfall (P<sub>30</sub> = 434 mm) that occurred at the end of December and beginning of January (Figure 2a). The SW δ<sup>2</sup>H (and δ<sup>18</sup>O) values averaged over all sites and depths for each sampling campaign correlated significantly with P<sub>30</sub> δ<sup>2</sup>H (ρ = 0.92, p < 0.01) and P<sub>30</sub> δ<sup>18</sup>O (ρ = 0.97, p < 0.01) and P<sub>7</sub> δ<sup>2</sup>H (r = 0.74, p = 0.01) and P<sub>7</sub> δ<sup>18</sup>O (r = 0.83, p < 0.01). However, the soil water averages were usually more enriched than the precipitation input (except for the January sampling). There is relatively little variability in the P lc-excess, which had a weighted average of -0.58 ‰. The average SW lc-excess was usually more negative than the P<sub>30</sub> lc-excess, but the sampling campaigns on 13 January and 18 March in 2016 were an exception (Figure 2c, Table 2). In

contrast to  $\delta^2$ H and  $\delta^{18}$ O, there was neither a relationship between the SW lc-excess with P<sub>30</sub> lc-excess (r = 0.33, p = 0.32) nor with P<sub>7</sub> lc-excess (r = 0.18, p = 0.59). Thus, the dynamics of SW lc-excess cannot be explained by variation of the lc-excess in the input.

- 25 The seasonally variable input of P  $\delta^2$ H and  $\delta^{18}$ O led to significantly more depleted SW isotope values during January and March compared to the other sampling days (indicated by the letter "a" at the boxplots in Figure 3). The sampling in November and April represented a transition period, where the SW isotopic composition was significantly different to the Winter and Summer samples. The SW  $\delta^2$ H and  $\delta^{18}$ O values between May and August did not differ significantly. The soil water samples from January were the only ones that plotted along the LMWL with a slope of the EL of 8.2
- 30 (cyan dots in Figure 3). The other soil water samples followed ELs of slopes between 3.7 and 5.4 with the lowest slopes at end of summer and beginning of autumn (Table 2). The variability of  $\delta^2$ H and  $\delta^{18}$ O values was highest for the sampling during winter and generally higher for  $\delta^{18}$ O compared to  $\delta^2$ H (note that the axes are scaled according to the GMWL in Figure 3).

The enrichment in SW  $\delta^2$ H during spring (green dots in Figure 4a) followed an increase in PET<sub>30</sub>. Highest PET<sub>30</sub> in summer correspond with enriched  $\delta^2$ H values. While PET<sub>30</sub> decreased at the end of summer, the SW stayed enriched in <sup>2</sup>H until late autumn (orange dots in Figure 4a). Thus, the SW  $\delta^2$ H (and also  $\delta^{18}$ O, not shown) to PET<sub>30</sub> relationship can be described by a linear correlation (r = 0.65, p = 0.03 for  $\delta^2$ H and r = 0.64, p = 0.03 for  $\delta^{18}$ O). However, Figure

5 4a shows that the delayed response in the SW isotopic signal to changes in  $PET_{30}$  resulted in a hysteresis pattern. The error bars in Figure 4a, representing standard deviations of all the samples for each sampling campaign, show again that the SW  $\delta^2$ H values were most variable for the sampling in January, becoming less variable during spring and lowest in autumn.

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and PET<sub>30</sub>.

The hysteresis pattern was very pronounced for the relationship between SW lc-excess and PET<sub>30</sub>, since the SW lc-excess only increased little with onset of PET<sub>30</sub> in spring time (green dots in Figure 4b). During summer, PET<sub>30</sub> remained high, and SW lc-excess values became lower and stayed low, even when PET<sub>30</sub> started to decrease (Figure 4b). However, the relationship between SW lc-excess and PET<sub>30</sub> could statistically be also described by a linear relationship (r = -0.64, p = 0.04). It is further worth noting that the average SW lc-excess in the upper 20 cm was for all sampling campaigns, apart from the January sampling, significantly < 0 ‰, (T-test, p = 0.63). When limiting the analysis to samples from the upper 5 cm, there was also a hysteresis pattern in the relationship between SW lc-excess

The average GWC for each sampling campaign correlated significantly with the average SW lc-excess for that sampling day, with lower SW lc-excess when soils were drier (r = 0.67, p = 0.02). The GWC also correlated with SW  $\delta^{18}O$  (r = -0.75, p = 0.01) and  $\delta^{2}H$  (r = -0.74, p = 0.01) with isotopically more enriched values when the soil was drier.



Figure 2 (a) Daily precipitation sums shown in a bar plot and precipitation sums over the 7 days prior to the soil sampling  $P_7$  as a blue star. (b) Dynamics of the  $\delta^2 H$  and (c) lc-excess in the precipitation input (black line) and soil waters (half transparent brown dots). Stars indicate values as weighted averages over 7 days ( $P_7$ , blue) and 30 days ( $P_{30}$ , light blue) before the day of soil sampling.



Figure 3 Dual isotope for all soil sampling campaigns and boxplots for  $\delta^{18}O$  and  $\delta^{2}H$  bulked over all four sampling sites. The date of each soil sampling is indicated by colours. The depth of the soil samples is shown by different symbols in the dual isotope plot. Sampling dates which do not have significantly different isotope data according to the post-hoc Dunn test are indicated by the same letter next to the boxplots. For example, the letter "a" at the box plots from sampling day 13 January 2016 and 18 March 2016, indicate that the soil water isotopes do not differ significantly from each other, but to all other sampling days. The global meteoric water line (GMWL,  $\delta^{2}H = 8 \times \delta^{18}O + 10$ ) is shown with a solid line and local meteoric water line (LMWL,  $\delta^{2}H = 7.6 \times \delta^{18}O + 4.7$ ) is plotted with a dashed line.



Figure 4 Variation of the (a)  $\delta^2$ H and (b) lc-excess in the top 20 cm of the soil as a function of the potential evaporation averaged over the 30 days prior to the sampling day (PET<sub>30</sub>). The colours of the scatter points indicate the season of the sampling. The error bars in (a) indicate the standard deviation of  $\delta^2$ H in the soil waters. The arrows in (b) indicate the order of sampling and sampling dates are given.

# 8 **3.2** Spatial soil water isotopes patterns

# 9 **3.2.1** Differences between study sites

A comparison of the SW  $\delta^2$ H and  $\delta^{18}$ O values within the upper 20 cm at the sites for different sampling showed no 10 significant differences between the sites (not shown). Differences of the SW isotope values between sites were limited 11 12 to the upper 10 cm, with a significantly more depleted  $\delta^2$ H signal for soil water at SH compared to NF (at 0-5 cm) and 13 SF (at 0-10 cm), when looking at values bulked over the entire sampling period (Figure 5a). For lc-excess, on average 14 soil water samples at NF and SF showed lower values than NH and SH and differences were significant between NF 15 and SH at 0-5 cm depth and for NF and SF compared to NH at 5-10 cm depth (Figure 5b). At all four sites, the SW 16 lc-excess averaged over the upper 20 cm was significantly < 0 ‰ between May and October and not significantly < 017 ‰ in January. However, the SW lc-excess for NF and SF was often lower than for NH and SH and the lc-excess or 18 NF and SF was significantly < -5 ‰ for some sampling campaigns, while NH and SH was usually not significantly <19 -3 ‰. Regarding GWC (Figure 5c), SH had significantly higher values than the other sites and NF had significantly 20 lower values than the other sites in the upper 15 cm. The median GWCs at NH and SF were very similar, but variability 21 was higher in the latter. The GWC was significantly linearly correlated to the organic matter content in the soil (Table 22 1) at all sites and this relationship explains partly the significant higher GWC at SH. This influence of organic matter 23 content on GWC was evident during each sampling campaign: the sites with higher LOI tended to have higher average 24 GWCs for the 11 sampling days. The coefficients of determination for the relationship between average LOI and 25 average GWC on the sampling day was generally >0.56 (blue points in Figure 6). Note that these coefficients of 26 determination are limited to a sampling number of 4, given by four sampling sites, while the correlations given in 27 Table 1 are based on n = 20 for each site. This relationship between GWC and LOI persists independently of how 28 many dry days occurred prior to the soil sampling (Figure 6).

While there was no effect of organic matter content on  $\delta^2$ H and  $\delta^{18}$ O values, average LOI at the sites showed a relationship with lc-excess on several sampling dates. These sampling campaigns, when the r<sup>2</sup> for the relationship between lc-excess and LOI ranged from 0.42 to 0.80, were all characterized by having at least 13 dry days during the 30 day period (40 %) prior to the sampling. For sampling campaigns with >40 % dry days prior to the sampling, there was no relationship between lc-excess and LOI (r<sup>2</sup> ranged between 0.00 and 0.29, Figure 6). Therefore, there is a significant correlation between the correlation coefficient between lc-excess and LOI and the percentage of dry days prior to the sampling (r = -0.84, p < 0.01).



Figure 5 Site specific (a)  $\delta^2$ H, (b) lc-excess, and (c) gravimetric water content (GWC) for the soils at the four study sites summarized for each site over all sampling dates as function of depth. For depths, where letters are shown, different letters

39 indicate significant differences between the sites for the specific depth.

40



41

Figure 6 Relationship between coefficient of determination of the relationship between lc-excess and LOI (grey) and GWC
 and LOI (blue) with the percentage of dry days during the 30 day period prior to the soil sampling.

- 44
- 45

# 46 **3.2.2 Differences with soil depth**

47 During most sampling dates  $\delta^2$ H values became more depleted with increasing soil depth (Figure 7a; SW  $\delta^{18}$ O very

48 similar and therefore not shown). The  $\delta^2$ H values for the top 5 cm were always significantly different compared to the

49 values at 15-20 cm. The  $\delta^2$ H values at 0-10 cm were for most sampling campaigns significantly enriched compared

50 to the soil water at 15-20 cm. However, during the November and January sampling, the upper 0-5 cm were more

51 depleted due to the depleted precipitation  $\delta^2$ H input (Figure 1b). The highest variability of SW  $\delta^2$ H within the sampling

- 52 depths was found for the samples taken in January and March. Importantly, the variability of SW  $\delta^2$ H generally 53 decreased with soil depth.
- 54 The lc-excess for the upper 5 cm was always significantly more negative than at 15-20 cm (Figure 7b). In addition,
- the soil signature at 5-10 cm was significantly more negative than at 15-20 cm; exceptions were November 2015 at 0-
- 56 5 cm and September 2016 at 5-10 cm. The lc-excess depth profiles had a persistent pattern of steadily decreasing lc-
- 57 excess values with depth, approaching SW lc-excess of 0 % at 15 20 cm depth. The SW lc-excess variability usually
- 58 decreased with depth, but not for the November sampling (Figure 7b).
- 59 SW lc-excess at 15 20 cm was usually not significantly < 0 ‰, but at the top 5 cm, the SW lc-excess was significantly
- 60 lower < 0 ‰ throughout the year; with only few exceptions (January and April 2016).
- 61 The upper 5 cm soil had always significantly higher GWCs than the soil between 15 and 20 cm depth. This pattern of
- 62 decreasing soil moisture with depth was persistent over time. The range of the GWC was always much lower below
- 63 15 cm than above (Figure 7).



Figure 7 Differences of (a)  $\delta^2$ H, (b) lc-excess, and (c) gravimetric water content (GWC) over depth for each sampling day. Black, white and grey squares below the boxplots indicate significant differences between the depth for each sampling day according to the Dunn test (i.e. same colour reflects similarities; different colours differences). E.g., for 2015-09-29, samples from 0-5 cm depth were significantly different from samples from the depth 10-15 cm and 15-20 cm, but not significantly

70 different to samples from 5-10 cm depth.

#### 71 3.2.3 Differences due to vegetation cover

72 Comparing all soil samples bulked over depth and sampling campaigns, SW beneath Scots pine was significantly more enriched in  $\delta^2 H$  (Mann-Whitney-Wilcoxon Test, p=0.038) and  $\delta^{18}O$  (p=0.0062) than the SW beneath heather 73 74 sites. In addition, lc-excess was significantly lower for the SW at the forested sites compared to the heather sites (p< 75 0.01).

76 The temporal dynamics of the differences of  $\delta^2 H$  (and also SW  $\delta^{18}O$ , but not shown) values for SW beneath the 77 different vegetation sites show that the SW beneath Scots pine was (except for the sampling in March for  $\delta^2$ H) always 78 more isotopically enriched than the soil water beneath heather (Figure 8a). However, the differences were only 79 significantly different for four out of the eleven sampling campaigns. SW  $\delta^2$ H values showed usually higher variability 80 for soils beneath Scots pine for the sampling campaigns between May and October, but during Winter, the variability 81 is generally high for soils beneath both vegetation types.

82 The SW lc-excess was - except for the January and May sampling campaigns - more negative in the soils beneath

83 Scots pine compared to soils beneath heather (Figure 8b). The GWC was also always lower in the soils beneath Scots

84 pines. These differences were most pronounced at the end of the summer and during autumn (Figure 8c).

85 The differences between the SW isotopic composition under the two different vegetation types mainly stemmed from differences in the shallow soils. Despite being classed as similar podzolic soils, the SWs beneath Scots pine were 86 significantly more enriched in the upper 10 cm in  $\delta^2$ H (and also  $\delta^{18}$ O, not shown) than the SW beneath heather (Figure 87 9a). SW beneath Scots pine had a significantly more negative lc-excess signal for the upper 15 cm soils. The GWC of 88 89 soil beneath Scots pine were over the entire soil profile significantly lower than for the soils beneath heather (Figure 9b). 90



92Figure 8 Differences of (a)  $\delta^2$ H, (b) lc-excess, and (c) gravimetric water content (GWC) for soils under Scots pine (green)93and soils under heather (violet) during one year. Significant differences estimated with the Mann-Whitney-Wilcoxon test94between soils under Scots pine and soils under heather for a particular day are indicated by a star.



97Figure 9 Violin plots showing the distribution as a kernel density estimation of the soil water (a)  $\delta^2$ H, (b) lc-excess, and (c)98gravimetric water content over all sampling campaigns with differentiation between soils beneath Scots pine (green) and99soils beneath heather (purple). The symbol "X" at the depths indicates significant differences between the soils under Scots100pine and soils under heather for the particular depth as estimated with the Mann-Whitney-Wilcoxon test. Dots indicate the101individual sample points.

102 No significant differences were found when splitting the samples according to their aspect. There were neither 103 differences when looking at all sampling depths, nor for any individual sampling depth (not shown). A comparison of 104 the more intensive spatially distributed sampling focusing on the top 10 cm of heather soils in May 2016 at the north 105 facing sites (n = 26) and south facing sites (n = 33) showed also no significant differences between aspects regarding 106 isotopes and soil moisture. Increasing the sampling numbers for the heather sites when the two additional sampling 107 campaigns in August were included, also did not result in significant differences between the two studied slopes. The 108 median SW  $\delta^2$ H values for the samples taken at the south and north facing slopes were -50.6 ‰ and -50.9 ‰, 109 respectively. The median SW lc-excess was -3.4 ‰ and -3.3 ‰ for south and north facing slope, respectively. The 110 median GWC was 0.62 and 0.54 for the samples at the south and north facing slope, respectively.

### 111 **3.3 Evaporation estimates**

112 Our findings clearly showed an influence of the vegetation on the evaporation signal in the soil water between April

- and October. Therefore, we estimated the fraction of evaporation losses in the SW beneath Scots pine and heather as
- described in the methods section for the nine samplings in this period. For the heather sites, the median ( $\pm$  SD over
- the period) values of  $f_{d2H}$  and  $f_{d180}$  were 5.0±4.0 % and 3.7±1.6 %, respectively, and for the forested sites, the values
- 116 were  $f_{d180} = 9.9 \pm 3.4$  % and  $f_{d2H} = 6.3 \pm 2.1$  %. Thus, the SW fractionation signal indicates that about 8 % and 4.5 % of
- 117 the originally infiltrated water (with lc-excess = 0  $\infty$ ) went back into the atmosphere by soil evaporation.

# 118 **4. Discussion**

#### 119 **4.1** Mixing of precipitation input and the critical zone water storage

120 Our uniquely detailed data set from 11 sampling campaigns over one year revealed the isotopic response of soil water

121 to variable precipitation inputs. An understanding of the isotopic variability of the precipitation input signal is crucial

122 for the interpretation of the soil water isotopes in terms of soil evaporation dynamics at the soil-plant-atmosphere

123 interface. Therefore, we will first discuss how mixing of the infiltrating precipitation within the topsoil provides the

basis for the isotopic enrichment in the soil due to evaporative losses.

Given that the soil at the four sites consists of similar texture, it is not too surprising that the SW generally responded similarly to the seasonal P  $\delta^{2}$ H and  $\delta^{18}$ O input signal. However, we would expect differences in the soil physical

similarly to the seasonal P  $\delta^{2}$ H and  $\delta^{18}$ O input signal. However, we would expect differences in the soil physical properties due to the differences in the organic matter contents. Our data showed that higher organic matter resulted

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in higher GWCs at the sites. That means that the soil water storage is higher for the sites with higher organic material,

129 which is in line with several other studies (e.g., Hudson, 1994).

130 While this relationship between GWC and LOI persisted independently of how many dry days occurred prior to the 131 soil sampling, LOI showed a relationship with lc-excess depending on the dryness. This suggests that during dry 132 periods, the external atmospheric drivers such as evaporative demand may have a high influence on the SW lc-excess. 133 In contrast, during wet periods, the soil water storage capacities – here, mainly controlled by organic material – gain 134 importance. With increasing new precipitation input (lc-excess close to zero), the sites with higher organic matter 135 content have a different SW lc-excess than the sites with lower organic matter content, because there is a higher mixing 136 volume for the sites with the high LOI compared to the soils with lower LOI (Figure 6). Hence, future and current 137 changes in the organic matter content of soils with high organic matter due to, for example, land use or climate changes 138 (Foley et al., 2005; Rees et al., 2011), would have an impact on the mixing of event and pre-event water in the podzols.

139 Interestingly, the highest variability of the  $\delta^2$ H values in the soil water was found for the sampling after the intense 140 rainfalls in January 2016 (Figure 4a, Figure 7a), which indicates that the unsaturated zone was not homogeneously

141 wetted by the exceptionally high precipitation input. This suggests that bypass flow occurred and the stored soil water

142 was not necessarily well-mixed. However, the significantly lower  $\delta^2 H$  values in the top 5 cm indicate that, despite the

143 potential occurrence of preferential flow, most of the depleted precipitation input was stored in the very top soil and

the more enriched soil water from autumn was partly mixed with and partly replaced by the event water. The sampling

in January was also special, because the GWC was about as high in the soils beneath Scots pine than in the soils

beneath heather. Hence, differences in GWC between soils beneath the two vegetation types during the vegetation

147 period as discussed below would stem from different transpiration and soil evaporation rates and not from differences

148 in the soil water storage capacities.

149 The higher variability of the SW  $\delta^2$ H values beneath Scots pine compared to the SW beneath heather (Figure 9) cannot

be explained by differences of the throughfall isotopic signal, since they are minor for the two vegetation types (Braun,

151 2015). The higher variability in the isotopic signal therefore indicates that flow paths are generally more variable in

the forest soils. This is probably due to preferential flows via larger macropores formed by tree roots that also reach

153 deeper than the shallower roots of heather. While the high variability of SW  $\delta^2 H$  values indicate preferential flow

paths, there was also a clear signal that much of the input water percolates through the pore matrix, since we see that

the depleted winter precipitation signal is still evident at -20 cm soil depth during the March sampling campaign

156 (Figure 7a).

The relatively high soil water storage volumes in the podzols result in a damping of the  $\delta^2$ H signal in the SW compared to the precipitation input. There was also a more damped isotopic signal with soil depth due to increased mixing and cumulatively larger soil water storage. Because of this damping effect, the differences between the sites (Figure 5a, Figure 9a) and sampling times (Figure 7)decreased with depth.

161 Our data support the findings of Geris et al. (2015b), who showed - with soil water samples extracted with suction 162 lysimeters - a more damped signal in deeper layers of the soils beneath heather than beneath Scots pine. However, we

163 did not see a more delayed response beneath Scots pine compared to podzols beneath heather as observed by Geris et

al. (2015b). This discrepancy could arise from the fortnightly sampling frequency by Geris et al. (2015b) and monthly

sampling frequency in the current study, as well the effect of an unusually dry summer in former study.

166 In contrast to Geris et al. (2015b), who sampled the soil-vegetation units with duplicates, our sampling design with 167 five replicates allowed for a clearer assessment of the spatial heterogeneity of the subsurface. For example, the 168 standard deviation of the SW  $\delta^2$ H and  $\delta^{18}$ O values for the 5 cm depth increments at each site was – for the entirely 169 sampled upper 20 cm of soil – always higher than the measurement accuracy of 1.13 ‰ and 0.31 ‰, respectively. At 170 Bruntland Burn – as in most Northern temperate and boreal biomes (Jackson et al., 1996) – topsoils contain almost all 171 the root biomass. For the interpretation of potential sources of root water uptake this means that the uncertainty of the 172 potential water source signal – caused by the heterogeneous isotopic composition at particular depths within the 173 rooting zone - is higher than the measurement errors. Our field measurements underline, therefore, the need for an 174 improved spatial resolution of soil water sampling when studying root water uptake patterns with stable isotopes, as 175 recently called for by Berry et al. (2017). Further, this high variability will potentially impact the application of soil 176 water isotopes for the calibration of soil physical models and the resulting interpretation (Sprenger et al., 2015b).

177 The observed mixing processes have implications for modelling of water movement within the critical zone. The soil 178 water isotope response seems to follow a replacement of the pore waters by a mixture of newly infiltrated precipitation 179 and older water, which could probably be described by the advection dispersion equation as frequently applied for 180 isotope modelling in the unsaturated zone (Adomako et al., 2010; Stumpp et al., 2012; Mueller et al., 2014; Sprenger 181 et al., 2015b). However, during intense rainfall, the precipitation input seems to partly bypass the matrix leading to 182 rapid changes in the tracer signal in the subsoil and a generally high variability of the soil water isotopes within the 183 entire soil profile. Such preferential flow processes might be better represented by soil physical models that take 184 different mobility of water within the pore space into account, like the dual-permeability (Gerke and van Genuchten, 185 1993) or dual-porosity (van Genuchten and Wierenga, 1976) approaches. For example, Gouet-Kaplan et al. (2012)

186 showed with column experiments that a significant amount of pre-event (or "old") water stayed in a sandy pore system.

- 187 They found that these processes could be described by a dual-porosity (mobile-immobile) model. Such incomplete
- 188 mixing within the unsaturated zone could have an impact on catchment transit time estimates (van der Velde et al.,
- 189 2015). Given the high storage volumes of the periglacial deposits (Soulsby et al., 2016b) and the high water mixing
- volume in the riparian zone (Tetzlaff et al., 2014) in the Bruntland Burn, it is yet unclear, which role the relatively
- 191 slow moving and partly mixed soil waters in the soil matrix at the hillslopes could play regarding long tails of transit
- 192 times in the Scottish Highlands, as reported by Kirchner et al. (2010).

### 193 **4.2** Evaporation dynamics within the soil-plant-atmosphere interface

- 194 The correlation between the seasonally variable P and SW isotopic signals inhibited an assessment of soil evaporation processes from either  $\delta^2 H$  or  $\delta^{18} O$ . Instead, the SW lc-excess values were independent from the P input and were 195 196 therefore indicative of kinetic fractionation due to soil evaporation. The monthly soil water sampling revealed soil 197 evaporation dynamics (in terms of lc-excess) during times of highest potential evaporation for the Scottish Highlands. 198 The evaporation fluxes were not expected to be high given the relatively low energy environment at the study site. 199 The soil waters showed, nevertheless, a clear fractionation signal in terms of their lc-excess (25th percentile < -6 ‰ 200 between June and October) and the evaporation line (3.6 and 4.5 between June and October, Table 2). This 201 fractionation signal in the soil water was of the same magnitude as for surface waters in the peatland drainage network 202 of a raised bog in the Bruntland Burn, where the lc-excess reached values < -5 ‰ and the EL slope ranged from 3.9 203 to 4.9 between May and September (Sprenger et al., 2017b). In comparison to arid and Mediterranean environments, 204 where SW lc-excess can fall below -20 ‰ (McCutcheon et al. (2016) and reviewed in Sprenger et al., (2016b), the 205 SW lc-excess in the Scottish Highlands remained relatively high. While the lc-excess was usually not significantly different from zero at 15 - 20 cm soil depth in the studied podzols of the study site, soils studied by McCutcheon et 206 207 al. (2016) in a much drier environment (Dry Creek, Idaho) showed that the SW lc-excess from the surface down to -208 70 cm was significantly lower than zero.
- 209 The high soil water storage contributes to the SW lc-excess dynamics shown in the hysteresis pattern for the 210 relationship between SW lc-excess and PET<sub>30</sub>, which revealed that there was a delayed response of the SW lc-excess 211 to the onset and offset of soil evaporation in spring and autumn, respectively. We explain the hysteresis by generally 212 low soil evaporation fluxes, relatively high humidity in the Scottish Highlands, and high soil water storage. The high 213 soil water storage means that relatively high evaporation losses are needed in spring to change the <sup>2</sup>H to <sup>18</sup>O ratios by 214 kinetic fractionation. The variability of SW lc-excess values from July to September was relatively small, indicating 215 that a steady state between soil water fractionation by soil evaporation and input of unfractionated precipitation was 216 reached. In autumn, when the soil evaporation ceased, relatively high unfractionated precipitation input was needed 217 to dilute the evaporation fractionation signal of the soil water again (Figure 4).
- In contrast to our findings of a pronounced evaporation fractionation in the soil water, Geris et al. (2015b), who sampled the mobile soil water for its isotopic composition with suction lysimeters, saw little to no fractionation. The
- sampling by Geris et al. (2015b) took place at the same/similar sites (podzols beneath Scots pine and heather within
- the Bruntland Burn), but during different years. However, the sampling period of Geris et al. (2015b) covered summer

222 2013, which was exceptional dry (~10 year return period) and would have been therefore very likely to induce 223 evaporation fractionation in soil waters. We conclude that the differences between the two studies most likely relate 224 to the different sampling methods. Suction lysimeters, as applied by Geris et al. (2015b), are known to be limited to 225 sample the mobile water within the pore space, but the direct equilibration method applied in our study also samples 226 more tightly bound waters (Sprenger et al., 2015a). Consequently, we can infer that the mobile waters sampled with 227 suction lysimeters will be relatively young waters that percolated without experiencing pronounced water losses due 228 to soil evaporation. In contrast, the soil water data presented in our study, represent a bulk pore water sample, where 229 more tightly bound waters will be integrating older ages and therefore, affected by kinetic fractionation during periods 230 of atmospheric evaporative demand. However, comparisons between mobile and bulk soil water isotopic signals 231 showed that tightly bound water at soil depth deeper than 10 cm was usually more depleted than the mobile waters 232 during spring or summer, due to filling of fine pores of a relatively dry soil with depleted precipitation several months 233 earlier (Brooks et al., 2010; Geris et al., 2015a; Oerter and Bowen, 2017). Our study shows that, in contrast to old 234 isotopically distinct infiltration water, also the legacy of evaporation losses over previous months allows for separating between pools of different water mobility in the soil-plant-atmosphere interface. This means that old (more tightly 235 bound) water might not only have a distinct  $\delta^2 H$  or  $\delta^{18}O$  signal compared to mobile water due to seasonally variable 236 237 precipitation inputs, but also an evaporative enrichment signal from periods of high soil evaporation. In conclusion, 238 when relating isotope values of xylem water to soil water to study root water uptake patterns, an evaporation signal 239 (that is lc-excess of xylem water < 0) would not be paradoxical, but simply represent the range of available soil water 240 in the subsurface.

241 So far, mostly cryogenic extraction was used to analyse the isotopic composition of bulk (mobile and tightly bound) 242 soil waters and relate these data to for example root water uptake pattern. However, recent experimental studies 243 indicated potential for fractionation during the cryogenic extraction (Orlowski et al., 2016), probably induced by 244 water-mineral interactions (Oerter et al., 2014; Gaj et al., 2017a; Gaj et al., 2017b). The direct-equilibration method – 245 as a potential alternative analysis method - we applied in our study was so far usually limited to study percolation 246 processes (e.g., Garvelmann et al., 2012; Mueller et al., 2014; Sprenger et al., 2016a). To our knowledge, only Bertrand 247 et al. (2012) used the direct-equilibration method to study the water use by plant water use and the influence of 248 evaporation fractionation, but they bulked the soil data of the upper 20 cm.

249 However, our results emphasize the importance of sampling the upper most soil layer when studying plant-water 250 interactions and soil evaporation dynamics. The evaporation was predominantly taking place at the interface to the 251 atmosphere, where the lowest SW lc-excess values were found throughout the sampling period. Due to the relatively 252 wet conditions of the studied soils, the evaporation signal dropped sharply within the first 15 cm soil depth and was 253 always significantly lower at the top 5 cm compared to the SW at 15 - 20 cm depth. Geris et al. (2015a) sampled with 254 cryogenic extraction the isotopic composition of the bulk soil water at -10 cm soil depth at the site NF during the 255 summer of 2013. They found soil water isotopes of the same magnitude at -10 cm ( $\delta^2$ H between -40 and -55 ‰), but 256 their sample size was too little to assess evaporation fractionation patterns.

257 The pronounced differences of the soil water samples in the dual isotope space over little soil depth is crucial when assessing potential water sources of the vegetation. The lc-excess signal could therefore provide additional information 258 259 as an end-member when applying mixing models to derive root water uptake depths and times, since  $\delta^2 H$  and lc-excess 260 do not necessarily correlate. So far, usually either  $\delta^2 H$  or  $\delta^{18}O$ , but not lc-excess are being considered to delineate water sources of the vegetation (Rothfuss and Javaux, 2016). In line with the call for a higher temporal resolution of 261 262 soil water isotope sampling (Berry et al., 2017), the highly dynamic isotopic signal during the transition between the 263 dormant and growing seasons underlines the importance of not limiting the soil water isotope sampling to a few 264 sampling campaigns (usually  $n \le 3$  in Brooks et al. (2010), Evaristo et al. (2016), Goldsmith et al. (2012)), when 265 investigating root water uptake patterns. In the light of recent studies dealing with potential water sources of vegetation 266 that showed how variable the plant water isotopic signal can be over a year (Hervé-Fernández et al., 2016; 267 McCutcheon et al., 2016), a proper understanding of the temporal variability of the potential water sources (i.e., bulk 268 soil water, groundwater, stream water) appears to be critical.

#### 269 **4.3 Vegetation affects critical zone evaporation losses**

270 The vegetation was the main reason for differences in the soil water evaporation signal between the four study sites. 271 While we limited the study to one soil type, we still saw differences between the soils in terms of their organic matter 272 content leading to different soil water storage capacity. However, these differences in water storage capacity seemed 273 to only influence the evaporation signal during periods of high precipitation input, when evaporation is already likely 274 to be low (Figure 6). The aspect and exposition of the slopes did not affect the soil water evaporation signal, which 275 probably can be explained by the low angle  $(4^{\circ})$  for the north- and south-facing slopes at our site. Differences in net 276 radiation for the two studied slopes were found to be small with the north facing slope receiving about 4 % less 277 radiation than the south facing slope between April and October (Ala-Aho et al., 2017).

278 The significant differences of the SW lc-excess in soils beneath Scots pine and heather are mainly due to the 279 differences of the vegetation structure. The heather forms a dense soil cover just about 20 cm above the ground with 280 an understory of mosses and lichens. Thus, the vegetation shades most of the soil and generates a microclimate with 281 little direct exchange between soil vapour and atmospheric vapour. The soil climatic conditions beneath heather are 282 therefore characterized by higher relative humidity and less radiation input than for the soils beneath Scots pine. In 283 addition, the soils beneath the Scots pine are not densely vegetated by understory, which allows for more open 284 exchange between soil vapour and atmospheric vapour at the forested sites. A similar conclusion of microclimatic 285 conditions driving the differences in the evaporation signal was drawn by Midwood et al. (1998), who also reported a 286 higher isotopic enrichment of soil surface soil waters under groves and woody clusters than under grassland.

The fractionation signal was shown to be more pronounced for evaporation losses from drier soils compared to wetter soils (Allison et al., 1983; Barnes and Allison, 1988). Higher canopy storage, higher interception losses and reduced net precipitation in the forested stands, where throughfall is about 47 % of precipitation, compared to the heather with throughfall being about 35 % of precipitation (Braun, 2015) will influence the GWC of the soils beneath the two

291 vegetation types. The higher transpiration rates of the trees (Wang et al., 2017) compared to the heather further

influences the soil moisture dynamics, leading to significantly lower GWC in the forested sites. In consequence, soil
 evaporation taking place from the drier soils beneath Scots pine will result in higher evaporation fractionation than for
 soil evaporation from soils beneath heather.

295 The evaporation estimates are limited to the period of highest evaporation fluxes in the Bruntland Burn and are likely 296 to be lower than what we present, since we relate the isotopic enrichment to the long term average input signal in our 297 calculations. However, the assumption of relating the enrichment to the average input seems to be valid, since we have 298 seen the steady state balance of unfractionated input and kinetic fractionation due soil evaporation during the summer 299 months. Nevertheless, detailed estimates of the evaporative fluxes require transient modelling of all water fluxes (i.e., 300 precipitation, transpiration, evaporation, recharge) and their isotopic composition, which will be subject of future 301 work. Potential transient numerical modelling approaches that account for isotopic fractionation of the soil water 302 isotopes are available (Braud et al., 2005; Rothfuss et al., 2012; Mueller et al., 2014).

303 The frequently measured soil water isotope data we have presented and the inherent evaporation signal can help to 304 calibrate or benchmark the representation of soil-vegetation-atmosphere interactions in tracer aided hydrological 305 modelling from the plot (Rothfuss et al., 2012; Sprenger et al., 2016b) to the catchment scale (Soulsby et al., 2015; 306 van Huijgevoort et al., 2016). So far, the isotopic composition of mobile water has been used to better constrain semi-307 distributed models (Birkel et al., 2014; van Huijgevoort et al., 2016). Including the isotopes of the bulk soil water, 308 could allow for an improved conceptualization of the soil-plant-atmosphere interface, which is crucial for an adequate 309 representation of evaporation and transpiration. Especially the marked differences of the evaporation signal within the 310 first few centimetres of the soil depth will significantly affect the age distribution of transpiration (Sprenger et al., 311 2016c), evaporation (Soulsby et al., 2016a) or evapotranspiration (Harman, 2015; Queloz et al., 2015; van Huijgevoort 312 et al., 2016).

#### 313 **5.** Conclusions

314 Our study provides a unique insight into the soil water stable isotope dynamics in podzolic soils under different vegetation types at a northern latitude site. We showed that, despite a relatively low energy environment in the Scottish 315 316 Highlands, the temporal variability of soil water isotopic enrichment was driven by changes of soil evaporation over 317 the year. The monthly frequency of the soil water isotope sampling corroborates the importance of covering transition 318 periods in a climate with seasonally variable isotopic precipitation input signals and evaporative output fluxes. Missing 319 sampling these periods of higher temporal variability in spring and autumn could pose problems when referring plant 320 water isotopes to potential soil water sources or when using soil water isotopic information for calibrating hydrological 321 models. Especially the delayed response of the soil water lc-excess to evaporation (hysteresis effect) provides valuable 322 insight into how unfractionated precipitation input and kinetic fractionation due to soil evaporation both affect mixing 323 processes in the upper layer of the critical zone. The fact that the evaporation signal generally disappears within the 324 first 15 cm of the soil profile emphasises the importance and the spatial scale of the processes taking place at the soil-325 vegetation-atmosphere interface of the critical zone.

- 326 The vegetation type played a significant role for the evaporation losses, with a generally higher soil evaporation signal
- 327 in the soil water isotopes beneath Scots pine than beneath heather. Notably, these differences as indicated in the soil
- 328 water lc-excess remain limited to the top 15 cm of soil. The vegetation cover directly affected the evaporation losses
- 329 with soil evaporation being twice as high as beneath Scots pine (10 % of infiltrating water) compared to soils beneath
- heather (5 % of the infiltrating water) during the growing season.
- 331 The presented soil water isotope data covering the seasonal dynamics at high spatial resolution (5 cm increments at
- four locations) will allow us to test efficiencies of soil physical models in simulating the water flow and transport in
- the critical zone (as called by for Vereecken (2016)). The data can further provide a basis to benchmark hydrological
- models for a more realistic representation of the celerities and velocities when simulating water fluxes and their ages
- 335 within catchments (McDonnell and Beven, 2014).

# 337 Appendices







342 2 to 8 samples (2 to 8 on the x-axis). Red dots indicate the standard deviation of the different combinations and the red 343 dotted line shows the precision of the isotope analysis. All 8 samples were taken in the upper 5 cm of soil within 10 m 344 distance to each other.





Figure S 2 Dual isotope plots for each sampling day showing the pore water isotopic composition and the isotopic signal in the precipitation averaged over the 7 days (black stars), 14 days (grey stars), and 30 days (light grey stars) prior to the sampling date. Colors indicate the sampling site and marker indicate the sampling depth. Solid line shows the GMWL and the dotted line represents the local meteoric water line (LWM:  $\delta^2 H = \delta^{18} O \ge 7.6 + 4.7 \%$ ).

# 351 Author contribution

- 352 D.T., C.S. and M.S. established the sampling design together, M.S. conducted the field and laboratory work and
- 353 statistical analysis, all authors were involved in the data interpretation, M.S. prepared the manuscript with
- 354 contributions from both co-authors.

# 355 Competing interests

356 The authors declare that they have no conflict of interest.

#### 357 Data availability

358 The data is available upon request.

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Table 1 Vegetation and soil profile characteristics of the four study sites: Percentage of coarse gravel (>20 mm diameter)

634 and fine gravel (20-2 mm diameter) of the soil sample, percentage of sand (S, 2-0.6 mm), silt (Si, 0.06-0.002 mm), and clay

635 (C, <0.002 mm) of the fine soil matrix. Percentage of organic matter content in the soil as loss on ignition (LOI), correlation

636 characteristics for LOI with depth and with gravimetric water content (GWC), and fine root density as percentage of total

637 root mass. Note that for SH, NF, and SF, not enough fine soil was left for soil texture analysis after ignition of organic

638 material at 550 °C and that for NF and SF, no root density could be measured due to root thickness and stone content. ± 639 indicate standard deviations out of five replicates for LOI values and range of two and three replicates for root density,

639 indicate stand640 respectively.

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Site	/egetation	Depth	Coarse gravel	Fine gravel Sand Silt		Clay	LOI	LOI vs. Depth	LOI vs. GWC	Fine root density			
	-	[cm]	[% of soil]		[% of fine soil]			[% of soil]			[% of total roots]		
NH		0-5	0	0	74	22	4	$26 \pm 15$			82 ± 7		
	ner	5-10	0	5	77	19	4	$11 \pm 5$	r = 0.66;	r = 0.73;	$15 \pm 5$		
	Heatl	10-15	0	11	78	18	4	$8\pm 2$	p < 0.01	p < 0.01	$3 \pm 1$		
		15-20	2	10	80	16	4	$9\pm 6$			0		
SH		0-5	0	0	-	-	-	$92\pm4$			$56\pm18$		
	ler	5-10	0	1	57	35	8	79 ± 14	r = 0.72;	r = 0.87;	$24 \pm 9$		
	Heath	10-15	0	5	78	18	4	54 ± 27	p < 0.01	p < 0.01	$16\pm 6$		
		15-20	0	18	81	16	3	$50 \pm 39$			$4\pm 2$		
NF		0-5	0	1	-	-	-	$28\pm11$					
	ine	5-10	0	2	80	17	3	$31\pm20$	r = 0.42;	r = 0.70;			
	Scots p	10-15	0	0	77	18	5	$20\pm18$	p = 0.06	p < 0.01			
		15-20	0	2	77	20	3	$14\pm10$					
SF		0-5	0	1	-	-	-	$95\pm1$					
	pine	5-10	12	27	84	12	4	$58 \pm 36$	r = 0.69;	r = 0.91;			
	Scots ]	10-15	15	22	85	12	3	$40 \pm 23$	p < 0.01	p < 0.01			
		15-20	18	18	81	16	3	45 ± 39					

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Table 2 For each soil sampling campaign: the PET on that sampling day; PET as average over the 30 days prior to the sampling day (PET<sub>30</sub>); lc-excess of the precipitation input weighted averaged over 7 (P<sub>7</sub>) and 30 (P<sub>30</sub>) days, respectively; precipitation summed over 7 (P<sub>7</sub>) and 30 (P<sub>30</sub>) days prior to soil sampling; total soil sample number; minimum, median, maximum, and interquartile range (IQR) of the soil water lc-excess data; slope and intercept of the evaporation line (note that regression is significant at the 99 % confidence interval); and mean gravimetric water content (GWC).

	PET		P lc-excess		Р		Sample	Soil water lc-excess				Evapo	GWC	
	[mm d <sup>-1</sup> ]		[‰]		[mm]		[-]	[‰]			Slope [-]	Intercept [‰]	[-]	
Date	PET	PET <sub>30</sub>	$\mathbf{P}_7$	P <sub>30</sub>	<b>P</b> <sub>7</sub>	P <sub>30</sub>	n	min.	median	max.	IQR			mean
2015-09-29	2.7	1.4	-0.8	-1	1	66	91	-16.1	-5	1.1	4.2	4	-21.4	0.39
2015-10-20	1.2	1.1	-0.2	-0.7	2	60	78	-12.6	-4.1	5.3	5.2	3.6	-23.3	0.37
2015-11-26	1.1	0.8	3.4	-0.2	14	94	80	-11.1	-3	6.5	3.9	4.6	-21.1	0.37
2016-01-13	0.4	0.6	0.4	-1.6	56	434	77	-8.2	-0.8	7.5	6	8.2	10.8	0.42
2016-03-18	0.6	0.8	-5.2	-2.1	2	33	79	-11.6	-1.3	6.7	5.4	5.5	-15.2	0.37
2016-04-27	0.9	1.2	7.3	-1	21	80	79	-7.7	-1.8	5.8	6.4	5.1	-16.2	0.40
2016-05-24	1.6	2.1	0.1	0.1	31	66	80	-10	-1.4	2.9	3.7	4.9	-17.1	0.36
2016-06-18	2.6	1.9	-1.1	0.5	67	105	80	-13.2	-3.2	5.9	5.2	4.5	-21.6	0.38
2016-07-26	2.2	2.3	-2.5	-2.5	40	98	72	-14.6	-4.5	3.2	5.1	4.6	-19.1	0.37
2016-08-23	1	2.1	-3.4	-3.6	12	54	64	-16.7	-6	2	5.1	3.8	-25.4	0.35
2016-09-23	0.4	1.9	5.3	-1.6	8	51	77	-21.7	-4.1	8.9	6.2	4.3	-19.9	0.33