



Slope-Velocity-Equilibrium and evolution of surface roughness on a stony hillslope

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Abstract. Slope-velocity-equilibrium is hypothesized as a state that evolves naturally over time due to the interaction between overland flow and surface morphology, wherein steeper areas develop a relative increase in physical and hydraulic roughness

- 10 such that flow velocity is a unique function of overland flow rate independent of slope gradient. This study tests this hypothesis under controlled conditions. Artificial rainfall was applied to 2m by 6m plots at 5%, 12%, and 20% slope gradients. A series of simulations were made for each treatment with measurements of runoff rate, velocity, rock cover, and surface roughness. Velocities measured at the end of each experiment were a unique function of discharge rates, independent of slope gradient or rainfall intensity. Physical surface roughness was greater at steeper slopes. The data clearly showed that there was not a
- 15 unique hydraulic coefficient for a given slope, surface condition, or rainfall rate, with hydraulic roughness greater at steeper slopes and lower intensities. This study supports the hypothesis of slope-velocity-equilibrium, implying that use of hydraulic equations, such as Chezy and Manning, in hillslope scale runoff models is problematic because the coefficients vary with both slope and rainfall intensity.

1 Introduction

Hillslopes in semi-arid landscapes evolve in various ways, one of which is the formation of surface roughness through soil erosion. As surface erosion occurs, surficial material is preferentially detached and transported according to particle size, detachability, and transportability. This process results in a hillslope with an erosion pavement that is characterized by greater surface rock cover than that of the original soil. An erosion pavement is "a surface covering of stone, gravel, or coarse soil particles accumulated as the residue left after sheet or rill erosion has removed the finer soil" (Shaw, 1929). Once formed, the erosion pavement acts as a protective cover against erosive forces, which reduces subsequent rates of soil erosion. Erosion

pavements are analogous to desert pavements formed in arid regions by wind erosion.

Because erosion potential is greater with steeper slope, the rock cover resultant from the process of erosion pavement formation along the hillslope profile is positively correlated to slope steepness in many semi-arid environments, which has been documented in previous studies at the USDA-Agricultural Research Service's Walnut Gulch Experimental Watershed

30 (Walnut Gulch hereafter) in south-eastern Arizona. Simanton et al. (1994) measured rock cover at 61 points along 12 different





catenas at Walnut Gulch, with slopes at points ranging from 2 to 61%. They found that rock fragment cover (>0.5 cm), R_{fc} (%), was a logarithmic function of slope gradient, S (%), with greater rock cover associated with steeper parts of the hillslopes:

 $R_{fc} = 2.32 + 16.21 \ln (S) (r^2 = 0.74, n = 61),$

(1)

They also measured the rock fragments in the upper 50 mm of soil and found that total rock fragments (>0.5 cm) in 5 the soil was also correlated to slope steepness. Nearing et al. (1999) measured surficial rock content at the Lucky Hills site in Walnut Gulch on a range of slope gradients and also found a positive logarithmic relationship between rock cover and slope. Poesen et al. (1998) also reported a positive correlation between rock cover and slope gradient for a semiarid site in Spain. vanWesemael et al. (1996) also looked at hillslopes in the field in south-eastern Spain and found that both rock cover and surface roughness increased as a function of slope gradient.

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Increased rock cover is associated with increased hydraulic friction and reduced soil erosion. Rieke-Zapp et al., (2007) conducted a laboratory study with a soil mixed with rock fragments to investigate the evolution of the surface as the material was eroded by shallow flow. They measured flow rates and velocities, surface morphology (with a laser scanner), erosion, and rock cover change. The soil was a relatively highly erodible silt loam, which formed rills in the flume. They reported that rock fragment cover increased with time during the experiments, resulting in an armouring effect that greatly reduced arosion rates as flow energy was dissincted on the rocks. They also found that for treatments with no initial rock

- 15 reduced erosion rates as flow energy was dissipated on the rocks. They also found that for treatments with no initial rock content in the soil, flows were narrower and formed headcuts that also acted to reduce flow velocity when rocks were not present. They reported that the addition of only small amounts of rocks in the initial soil matrix greatly reduced erosion rates compared to the soil with no rocks. Rill widths were greater for treatments with more rock.
- The most common methods for mathematically describing the velocity of runoff on a hillslope are with either the 20 Chezy (or the related Darcy-Weisbach) or Manning equations (e.g., Graf 1984; Govers et al., 2000; Hussein et al., 2016). Both of these equations relate flow velocities to slope gradient and flow rate using a hydraulic roughness factor, which is generally considered to be related to the morphological roughness of the surface in some way. The equations work well for fixed channel or rill beds (flow surfaces), but this approach when applied to eroding rills is problematic. In an eroding rill the flow interacts with the bed to change the surface morphology, which also changes the hydraulic roughness, and hence flow velocity.
- 25 In other words, there exists a dynamic feedback between the rill flow and the bed morphology on an eroding surface (see, e.g., Lei et al., 1998).

Govers (1992) used a compilation of data from laboratory experiments on eroding rills and determined that the measured flow velocities were independent of slope and related well to flow discharge alone:

$$v = 3.52 Q^{0.294} (r^2 = 0.73, n = 408),$$
 (2)

30 where v is the average flow velocity (m s⁻¹) and Q is the rill discharge (m³ s⁻¹). Nearing et al. (1997) also reported velocity independence to slope from laboratory and field experiments in rills. Takken et al. (1998) found that equation (2) was valid only when conditions allowed for free adjustment of the rill bed geometry by erosion, either due to headcut formation or





increased rock cover. Correspondent interrelationships between flow velocities, flow rates, and slope gradients have not been investigated for interrill or sheet flow conditions.

Nearing et al. (2005) hypothesized that stony hillslopes in the semi-arid environment evolve to a state of "slope-velocity equilibrium". We define slope-velocity equilibrium as a state that evolves naturally over time due to the interactions

- 5 between overland flow, erosion, and bed surface morphology, wherein steeper areas develop a relative increase in physical and hydraulic roughness such that flow velocity is a unique function of overland flow rate independent of slope gradient. Under these conditions rates and velocities would increase approximately linearly down the slope under normal runoff conditions, independent of slope gradient. This hypothesis was based in part on a study of erosion rate estimations on two small, gaged watersheds at Walnut Gulch using ¹³⁷Cs inventories. They found that the spatial distribution of erosion rates over
- 10 the previous four decades within the watersheds, estimated from the ¹³⁷Cs measurements, were correlated to the surface rock fragment content, but were independent of slope gradients and curvature. They suggested that these results related to the processes of hillslope evolution, where the historic erosion of fine materials that occurred prior to the timespan covered by the measurement, determined the surface roughness that controlled the erosion rate during the timespan of measurement. In other words, they hypothesized that the steeper the slope is on the hillslope, the greater is the washing out of the fines and the rougher
- 15 is the resultant surface. The rougher surface will reduce both overland flow velocity as well as erosion potential. The influence of surface roughness appeared to be the dominant control over the erosion, rather than slope gradient. They interpreted the dependence between erosion and rock cover and the independence of slope gradient influence over erosion rates, in terms of "slope-velocity equilibrium".
- Given that the slope characteristics had been largely determined prior to the time period spanned by the field experiment with the ¹³⁷Cs (Nearing et al., 2005), it is reasonable that more rock at a sampling point correlated to erosion less than would otherwise occurred between 1963 and 2004, which was the approximate time span of the erosion estimates. The energy (and hydraulic shear) of flow available for erosion and transport of sediment was reduced as a function of increased hydraulic roughness of soil surface cover (rocks) because of the increased energy lost on the rougher surface (Nearing et al., 2001).
- 25 In this study we will investigate the development and hydrologic nature of slope-velocity equilibrium. We hypothesize that as the slopes evolve to a state of slope-velocity equilibrium through the process of preferential erosion of fines and resultant increase in surface roughness, flow velocity becomes independent of slope gradient. Rainfall simulation experiments were designed and conducted to test this hypothesis.

2 Materials and Methods:

30 2.1 Soil and instrumentation

The soil used in the experiment was Luckyhills-McNeal gravely sandy loam formed on deep Cenozoic alluvial fan. It contains approximately 52% sand, 26% silt, 22% clay and less than 1% organic carbon. The soil was collected from the top





15 cm layer on level ground in the Lucky Hills area of the Walnut Gulch Experimental Watershed (310 44' 34"N; 1100 03'51" W), mixed, and stored in a pile. The experiment was conducted in a 6 x 2 x 0.3 meter pivoted steel box with adjustable (0 to 20%) slope.

- Rainfall was delivered using a portable, computer-controlled, variable intensity rainfall simulator (Walnut Gulch 5 Rainfall Simulator). The WGRS is equipped with a single oscillating boom with four V-jet nozzles that can produce rainfall rates ranging between 13 and 190 mm h-1 with variability coefficient of 11% across 2 by 6.1 m area. Kinetic energy of the simulated rainfall was 204 kJ ha-1 mm-1. Detailed description and design of the simulator is available in Paige et al. (2004) and Stone and Paige (2003). Prior to the experiment the simulator was positioned over the soil box and calibrated using a set of 56 rain gages arranged on the plot in rectangular grid. The simulator was surrounded with wind shields to minimize rain
- 10 disturbance.

Runoff rate from the plot was measured using a V-shaped flume equipped with electronic depth gage and positioned at 4% slope. The flume was calibrated prior to the experiment to determine the depth to discharge relationship. Throughout the simulation timed volumetric samples of runoff were taken as a control of runoff rates.

- Overland flow velocity was determined using a salt solution and electrical sensors at the end of the plot. Two liters of the solution were uniformly applied on the surface using a perforated PVC pipe placed across the plot. The application distances were 1.65, 3.5, 5.8 m from the outlet. Salt transport was monitored through electrical resistivity of the runoff water measured with sensors imbedded in the outlet flume. The data was collected at 0.37 s intervals with real time graphical output using LoggerNet3 software and CR10X3 data logger by Campbell Scientific. Peak values from the salt curve were used because they were consistently more reliable, and hence comparable, relative to computation of the centroid of the salt curve, which is sometimes used
- 20 which is sometimes used.

Surface rock cover was measured at 300 points on a 20 x 20 cm grid using a hand-held, transparent, size-guide held over the surface. A single point laser sliding along a notched rail placed across the plot and pointed down on the plot was used to objectively identify the sample point locations. The technique ensured that surface rock was measured at the same points every time during the course of the experiment. The rocks were counted and classified by size: 0-0.5 (soil), 0.5-1, 1-2, 2-4, 4-8, 8-15, and >15 cm. Rock cover percentage was considered to be the percentage of points with rocks greater than 0.5 cm.

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Surface elevations were measured along three 2 m long transects oriented across the plot at 0.9, 2.9 and 4.9 m from the lower edge of the plot. Elevation points along these transects were measured at 5 mm intervals with at 0.2 mm vertical resolution using a Leica3 E7500i laser distance meter mounted on an automated linear motion system. The data was logged by a Bluetooth3 enabled mobile device using Leica1 software.

2.2 Experimental procedure

The entire experiment included three treatments with soil slope gradients of 5, 12, and 20% with two replications each. A treatment consisted of 3 (20% slope) or 4 (5% and 12% slope) individual rainfall simulations (a simulation is





considered to be one continuous application of rainfall on the plot) that progressively increased from 1.5 to 5 hours. The reason for the increasing duration was expectation that over time the slope would evolve at a progressively slower rate. The 20% slopes were simulated only 3 times because they initially eroded more quickly, and the surface evolved more quickly, than did the other two slopes.

- 5 Prior to the experiment the soil was placed in the box and spread evenly in an approximately 20 cm layer. The box was positioned horizontally, covered with cloth to prevent splash, and low intensity rainfall (35 mm h-1) was applied until the soil was wetted throughout. This ensured a consistent moisture starting condition for each treatment. After pre-wetting, the box was positioned at the target slope and cloth removed.
- The experimental procedure was as follows. Immediately before the first rainfall simulation soil surface measurements (rock cover and laser elevation transects) were conducted. The first rainfall simulation of the treatment started with 60 mm h-1 intensity. Flow rate was recorded and runoff samples collected throughout the simulation: more frequently on the rising and falling limbs of the hydrograph and then every 5 to 15 min depending on the total simulation duration. After runoff had reached steady state during simulation 1 of each replication, flow velocity was measured over three distances starting from the shortest. Flow rate was recorded and a runoff sample collected with every velocity measurement. Then the
- 15 rainfall intensity was increased to 180 mm h-1 and velocity measurements repeated. Then simulation continued for approximately one hour, after which velocities were measured again at high (180 mm h-1) and low (60 mm h-1) rainfall intensities. Velocities at high (180 mm h-1) and low (60 mm h-1) rainfall intensities were measured at the beginning and end of simulation 1 and at the end of each subsequent run. Similarly, soil surface measurements of roughness and rock cover were measured prior to the first simulation and after every simulation.
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When all simulations of a treatment were completed the top soil layer in the box was removed and replaced.

2.3 Data Analyses

Statistical analyses were performed using SAS3 and Excel3. Differences reported in the paper are based on P=0.05 or lower. The datasets generated during the current study are available from the corresponding author on reasonable request. Relationships involving rock cover and random roughness with each other and with cumulative runoff were developed

25 using the measured values, which were made prior to the first simulation and at the end of each simulation for each slope and replication. Relationships involving the measured flow velocities were identified using interpolated values of rock cover and roughness based on the timing of the velocity measurements relative to the timing of the rock cover and roughness measurements. The velocity measurements were made near the end of each simulation (and toward the beginning of the first simulation), so the values of the rock cover and roughness were very nearly the same as the values measured at the end of each





simulation (or prior to the first), but were adjusted slightly based on the measurements of rock cover and roughness that were made at the end of the previous simulation (or prior to the simulation, in the case of simulation 1.)

Calculations of random roughness was calculated as the standard deviation all of the trend-adjusted elevation measurements from the laser distance meter after removing 10cm of data from the edges of the plot to remove plot edge effects.

5 3. Results

3.1 Rock Cover and Random Roughness Evolution

The initial rock covers for the 6 experiments ranged from 16 to 40%, and final covers ranged from 78 to 90% (Fig.

- 1). There was no relationship between the final rock cover and either the slope gradient (Table 1) or the initial rock cover (Fig.
- 1). Increasing the threshold size for defining "rock" from 0.5 cm to 1 and 2 cm did not change this result. There were also no
- 10 trends in final rock cover as a function of the distance down the plot (Table 1), and there were no consistent trends in the rate of rock cover development as a function of downslope distance (Fig. 2).

The final random roughness was quite different as a function of slope gradient treatments, with the steeper slopes resulting in a rougher final surface (Table 1; Fig. 3). There were no consistent differences in random roughness measured in the lower, middle, and upper sections of the plots (e.g., Fig. 4).

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There were significant, but relatively weak, relationships between rock cover and random roughness (Fig. 5).

Table 1. Percentages of rock cover greater than 0.5 cm for the full, lower, middle, and upper portions of the plots, and lasermeasured random roughness measured at the end of each experiment.

						Random
		Rock Cover				Roughness
Slope	Rep	Full	Lower	Middle	Upper	
%		%	%	%	%	mm
5	1	89	90	92	88	2.90
	2	80	81	76	81	3.04
12	1	85	80	89	84	4.39
	2	90	90	88	92	4.97
20	1	78	78	80	75	6.08
	2	88	90	89	85	6.29

20 3.2 Runoff Velocity and Hydraulic Friction Evolution

Measured runoff velocities tended to decrease as the slopes evolved to relatively consistent values of approximately 0.035 to 0.04 m s⁻¹ at 59 mm hr⁻¹ rainfall intensity, and 0.55 to 0.7 m s⁻¹ at 178 mm hr⁻¹ rainfall intensity (Fig. 6). Velocities





on the evolved plots (at the end of the experiments) were independent of slope gradient. The results support the hypothesis that flow velocities are dependent on overland flow unit discharge independent of slope gradient (Fig. 7), and the results fit a power relationship well:

$$v = 26.39 q^{0.696} (r^2 = 0.95, n = 36)$$
 (3)

5 where v is the average flow velocity (m s⁻¹) and q is the unit flow discharge across the plot (m² s⁻¹).

Correspondent to the changes in runoff velocities, hydraulic friction factors indicated an increase in hydraulic roughness as the surfaces evolved (Fig. 8). By the time that cumulative runoff reached 1000 mm, according to the Chezy and Manning coefficients, the surfaces were hydraulically rougher on the steeper slopes (i.e., Chezy values were lesser and Manning values greater on steeper slopes compared to shallower slopes). Also, Chezy and Manning coefficients were different

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for the two rainfall intensities (and hence runoff rates), with lesser Chezy values and greater Manning values (e.g., apparently hydraulically rougher) for the lower rainfall and runoff rates. These results were statistically significant.

3.3 Hydraulic and Physical Surface Roughness

There were very clear and strong relationships between the hydraulic roughness coefficients (Manning and Chezy) and measured random roughness from the laser measurements taken at the end of each of the six experiments (Fig. 9). Both

15 the random roughness and hydraulic roughness (as quantified by Chezy and Manning) were greater on the steeper slopes (Fig. 8, Table 1). Hydraulic resistance, as indexed by the roughness coefficients, was greater on physically rougher surfaces. These data (Figs. 8 and 9) show that the roughness coefficients were different for the two different rainfall rates, hence, there was not a unique hydraulic coefficient associated with a given surface roughness for these plots.

4 Discussion

- 20 Our results that show no dependence of final rock cover percentages, after the development of the erosion pavements, as a function of slope gradient (Fig. 1, Table1) appears to contradict previous findings that indicate greater rock cover on steeper slopes. However, the final rock covers measured in this experiment are greater than those reported in previous work at Walnut Gulch, where the previous relationships were determined. Final rock covers in this experiment ranged from 78% to 90%, with an average of 85%. Rock covers measured by Simanton and Toy (1994) and Simanton et al. (1994) ranged from 2
- 25 to 77%. We conclude from these facts that the surfaces that evolved in this experiment were at maximum or near maximum coverage possible for this soil under natural hillslope conditions and climate of the area. A possible explanation for the somewhat lower rock cover values on the natural hillslopes may be related to factors at work that bring new material to the surface in the natural landscape, such as bioturbation and heaving associated with freeze/thaw, both of which are active in this and many other semi-arid landscapes (Emmerich, 2003).
- 30 Given the fact that rock cover did not vary with slope gradient, it is quite interesting that both random roughness of the surface (Fig.3, Table 1) and hydraulic roughness (Fig. 9) did so. This in fact is evidence of the development of slope-





velocity-equilibrium. The steeper slopes evolved to both physically and hydraulically rougher surfaces compared to shallower slopes, even with similar rock covers, and in doing so maintained a constant relationship between flow velocities and unit discharge irrespective of slope (Fig. 7). We note that rainfall intensity also did not influence this unique relationship between velocity and discharge.

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We are not sure yet exactly what process is taking place to effect the differences in roughness with slope independent of rock cover, but a corollary may be drawn to our understanding of flow rates in rills on non-rocky soils. Govers (1992), Govers et al. (2000), and Nearing et al. (1997) reported on experiments with rills that showed a relationship between flow velocity and flow discharge independent of slope gradient on non-rocky soils. This was attributed largely to the development of headcuts (see also Rieke-Zapp et al., 2007). In our experiment it appears that development of greater form roughness occurred on the steeper slopes compared to the shallower slopes.

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The results of this study do not suggest that the overland flow relationships such as Chezy or Manning equations, are physically incorrect for flow modeling, but they do suggest that there are significant practical difficulties associated with their application to hillslope surfaces, which erode. Hydraulic friction factors, such as Chezy and Manning coefficients, are commonly used on runoff models for stony rangeland (and other) soils (Nouwakpo et al., 2016), but this usage is problematic

- 15 because the coefficients depend on slope gradient and runoff rates, and hence with distance downslope on the hill and time during the runoff event. The data clearly showed that there was not a unique hydraulic coefficient for a given surface condition. Generally, Chezy and Manning roughness coefficients are presented in tables based on surface conditions, suggesting that they are not related to either slope or runoff rates. In order to accurately implement the use of these equations in models, the coefficients used should be adjusted for slope and runoff rates, which means that they should be adjusted both temporally and
- 20 spatially on a hillslope within each runoff event. The fact that there is a unique relationship between velocity and discharge would suggest that routing runoff over hillslopes in models using such a relationship (e.g., Fig. 7) would provide more consistent and realistic results. It would appear to be counterintuitive and convoluted to apply an equation that relates velocity to flow depths and slope when the coefficients in the equations used are dependent on slope, discharge rate, and physical roughness. As pointed out previously with respect to flow in rills, if we assume a constant roughness coefficient then "velocities will be over-predicted on steep slopes and under-predicted on shallower slopes" (Govers et al., 2000).

5 Summary and Conclusions

The results of this study are consistent with the hypothesis of Slope-Velocity-Equilibrium as a state that evolves naturally over time due to the interaction between overland flow and bed surface morphology, wherein steeper areas develop a relative increase in surface and hydraulic roughness such that flow rates and velocities increase approximately linearly down

30 the slope under normal runoff conditions, independent of slope gradient. If this were not the case, then either supercritical or backwater flow would occur, which would cause erosion or deposition to bring the slope into slope-velocity equilibrium.

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Velocities were dependent on discharge rates alone. The relationship between velocity and discharge was independent of slope gradient or rainfall intensity.

Use of hydraulic friction factors, such as Chezy and Manning coefficients, are problematic because they depend on both slope and runoff rate. The data clearly showed that there is not a unique hydraulic coefficient for a given generalized surface condition.

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Figure 1. Plot-average rock cover (>0.5 cm) as a function of cumulative runoff for the 6 experiments.







Figure 2. Surface rock cover (>5 mm) on the three sections of the plots as a function of cumulative runoff for: a) 5% slope, replication 2; and b) 12% slope, replication 2.





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Figure 3. Averages of the three cross-section (lower, middle, and upper) laser-measured random roughness measurements (mm) as a function of cumulative runoff for the six experiments.



Figure 4. Laser-measured random roughness measurements (mm) at the three cross-sections (lower, middle, and upper) as a function of cumulative runoff at 5% slope, replications 1 and 2.







Figure 5. Random roughness as a function of rock cover on all sections of the plots and both replications for a) 5%, b) 12%, and c) 20% slope gradients.







Figure 6. Flow velocities down the full plot as a function of cumulative runoff depth for: a) I=59 mm hr⁻¹, and b) I=178 mm hr⁻¹.







Figure 7. Final flow velocities for all 6 experiments at each of the three velocity transect measurement transects and two rainfall rates.

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Figure 8. Hydraulic friction factors, Chezy and Manning coefficients, for full length of the plots as a function of cumulative runoff for 59 and 178 mm hr⁻¹ rainfall intensities.







Figure 9. Relationships between the roughness coefficients, Chezy and Manning, to laser measured random roughness.