



1 **Reduction Assessment of Agricultural Non-Point Source Pollutant**
2 **Loading**

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9 **Abstract:**

10 NPS (Non-point source) pollution has become a key impact element to watershed environment at present.
11 With the development of technology, application of models to control NPS pollution has become a very
12 common practice for resource management and Pollutant reduction control in the watershed scale of China.
13 The SWAT (Soil and Water Assessment Tool) model is a semi-conceptual model, which was put forward to
14 estimate pollutant production & the influences on water quantity-quality under different land development
15 patterns in complex watersheds. Based on the overview of published papers with application of SWAT, the
16 study topics is mainly focus on nutrients, sediments, impoundment & wetlands, hydrologic characteristics,
17 climate change impact, and land-use change impacts. SWAT model was constructed based on rainfall runoff
18 and land use type. The migration-transformation processes of agricultural NPS pollution was simulated and
19 calculated based on the SWAT model. Besides, the loadings and distribution traits of NPS pollutants were
20 also systematically analyzed based on the model. The model was used to quantify the spatial loading
21 intensities of NPS nutrient TN (Total Nitrogen) and TP (Total Phosphorus) to HTRW (Huntai River
22 Watershed) under two scenarios (without & with buffer zones). The SWAT model was validated using actual
23 monitoring information as well as the physical properties of the underlying substrate, hydrology,
24 meteorology and pollutant sources in the HTRW. Scenario settings are mainly based on the changes of
25 surface runoff and sediments, climate and land-use change from different spatial scales, and climatic/



26 physiographic zones. About 1 km within both banks of the trunk streams of the Huntai, Taizi and Daliao
27 rivers, and 5 km surrounding the reservoirs were defined as buffer zones. Existing land use type within the
28 buffer zone was changed to reflect the natural environment. The output of pollutant production under the
29 EPS (Environmental Protection Scenarios) was calculated based on the status quo scenario. Under the status
30 quo scenario, the annual mean modulus of soil erosion in the HTRW was 811 kg/ha, and the output
31 intensities of TN & TP were 19 & 7 kg/ha, respectively. For the unit area, the maximal loading intensities
32 for TN & TP were 365.36 & 259.83 kg/ha, respectively. In terms of spatial distribution, TN & TP loading
33 varied substantially. Under the EPS, the magnitude of N & P production from arable land decreased to a
34 certain degree, and the TN & TP pollution loading per unit area were reduced by 5 & 1 kg/ha annually,
35 respectively. In comparison, the quantity of NPS pollutant production under the EPS was reduced by 21.9%
36 compared with the status quo scenario, and the quantities of TP & TN decreased by 10.4% & 25.9%,
37 respectively. These changes suggested a clear reduction in the export loading of agricultural NPS pollution.
38 Loading intensities analysis showed that land use type is one key factor for controlling NPS pollution. The
39 NPS pollutant loading decreased under the EPS, which showed that environmental protection measure
40 could effectively cut down NPS pollutant loading in HTRW. SWAT was used to assess the reduction of
41 agricultural NPS pollutant. However, SWAT model requires a large amount of data about the watershed
42 being modeled; the data inaccuracy and local factors would impact the accuracy of the SWAT model. To
43 determine the pollutant reduction under different land development patterns, and examine uncertainty of
44 sensitivity parameters, SWAT model in China has wide range of potential application.

45 **Key words:**

46 agricultural NPS pollutant loading; Huntai River Watershed; status quo scenario; environmental
47 protection scenarios

48 **1. Introduction**

49 NPS pollution has become key influencing factor to improve surface water quality. There are many
50 literatures have illustrated that underlying surface condition & precipitation characteristics will impact the



51 spatial distribution characteristics of NPS pollution nutrient loading (Robinson et al.,2005; Lindenschmidt
52 et al.,2007). The pollutant production from different land use types vary substantially (Niraula et al.,2013).
53 The concentrate on NPS pollution is dependent on discharge it is highly variable and does not enable a fair
54 comparison between different areas (Tucci 1998; Dingman 2002; de Oliveira et al.,2016). Loadings are
55 considered better for comparing watersheds and for establishing the relationship between pollutants and
56 land use (Quilb'e et al.,2006). At present, many researchers have preferred loadings over concentrations to
57 convey their research (Yang et al., 2007; Ouyan et al.,2010; Outram et al., 2016). Land use types &
58 underlying surface condition will influence the resources and nutrients distribution, and which will result
59 in the reduction of NPS pollutant loading (Hundecha et al.,2004; Ahearn et al., 2005; Ouyang et al., 2013).
60 In general, the spatial-temporal characteristic of NPS pollutant can be studied based on data statistics &
61 model simulation (Shen et al.,2013a). SWAT model can be determined NPS pollutant loading & supplied
62 the decision-making program for watershed comprehensive development (Shen et al.,2011). Many
63 documents have confirmed the combination of different land development patterns & landscape
64 characteristics could reduce NPS pollution (Seppelt et al., 2002; Sadeghi et al.,2009).

65 Distributed physics & semi-conceptual models are effective means to calculate and assess the NPS
66 pollution spatial loading intensities. At the end of the 20th century, the SWAT model was developed by
67 American scientists of USDA-ARS (Arnold et al.,1998). SWAT has been widely used in runoff simulation,
68 the calculation of NPS pollution & implementation of best management practices. The SWAT was widely
69 used in assessing the impact of NPS pollution under different land use types, for which was consisted by
70 underlying surface, vegetation coverage, hydrometeorology, and agricultural production modules. The
71 production changes of agricultural NPS nutrients based on diverse land development patterns have been
72 studied & analyzed by SWAT model (Ficklin et al.,2009; Shen et al., 2013b; Geng et al., 2015). The main
73 body of SWAT model includes 701 mathematical equations & 1013 intermediate variables, which has been
74 widely used to calculate & assess the distribution traits of NPS pollutant loading, as well as analyze the
75 effects of land use and its spatiotemporal distribution pattern on NPS pollutant & soil loss in watershed
76 scale (Mapfumo et al.,2004; Gosain et al.,2005; Ouyang et al., 2009; Logsdon et al.,2013).



77 The HTRW is the important tributary of Liaohe River Basin, which has been polluted seriously in recent
78 years. The main NPS pollution in Liaohe River is agricultural NPS pollution, and most NPS pollution
79 happens in HTRW within Liaoning province (Liaoning Province DEP, 2011). Therefore, the HTRW face
80 immense pressure due to water pollution. According to the twelfth five-year developmental plan, the annual
81 mean growth of GDP in the Liaohe River watershed was greater than 13% and the urbanization rate was
82 close to 75%. The policy of ‘Revitalization of Old Industrial Bases in Northeast China’ has caused
83 significant changes in the land-use structure (Liu et al.,2014). This accelerating urbanization alters the
84 existing land use type in a way that results in more NPS pollution to local surface waters (Kuai et al.,2015).
85 HTRW is the Basic product manufacturing base in China.

86 The SWAT of the present study was used to quantify the spatial loading intensities of TN & TP to HTRW
87 under different land use types, and assess the adaptability changes based on NPS pollutant loading reduction.
88 Nutrient losses were simulated in different scenarios-status quo scenario (without buffer zones) and
89 “environmental protection” scenario (EPS, with buffer zones), using SWAT. The flow chart of this study
90 was to: (1) elaborate the underlying surface (land use) changes in the HTRW; (2) simulate the NPS pollution
91 loading (TP & TN) of the HTRW under two scenarios; (3) contrast the different of NPS pollution loading
92 in two scenarios, and assess the effect of reducing pollution loading under EPS. In this paper, the SWAT
93 was used to estimate the agricultural NPS pollution loading of HTRW, and digital comparison analysis
94 method was utilized to analyze the spatial distribution characteristics of pollution loading.

95 **2. Materials & methods**

96 **2.1 HTRW**

97 The HTRW (40°27′~42°19′N, 121°57′~125°20′E) is in Liaoning province (Northeast China), and the watershed
98 area is 2.73×10^4 km², which takes about 1/5 of the area of Liaoning province (Fig 1). The HTRW is a tributary of
99 Liaohe River Basin (The Liaohe River Basin is one of China's larger water systems) and is consist of Hunhe River,
100 Taizi River, and Daliao River. The Hunhe River, Taizi River, and Daliao River watershed is HTRW’s sub-
101 watershed. The HTRW has varied topography, low mountain is located in eastern part, and the other parts are



102 alluvial plain. The elevation of northeast region is high. Loamy soils are mainly distributed in alluvial plain, and
103 the average grade of lower HTRW is about 7%. HTRW area includes the cities of Fushun, Shenyang, Benxi,
104 Liaoyang, Anshan, and Yingkou, most of Panjin city, some portions of Tieling city and a minor portion of
105 Dandong city. The maxim runoff in the watershed is $76.32 \times 10^8 \text{ m}^3$, primarily concentrated in June through
106 September. The stream flow and nutrient were validated based on the five monitoring stations, Beikouqian,
107 Dongling Bridge and Xingjiawopeng are located in Hunhe River, Xialinzi and Tangmazhai are in Taizi Rive. The
108 total population of HTRW is 18.9 million people. The GDP is about 62% of Liaoning Province in 2012. HTRW
109 has temperate continental climate, the average annual temperature is 7°C , and precipitation is 748 mm.

110 The HTRW is in a conventional agricultural farming area, with a large area of farmland dominated by
111 crop plants. The total area of farmland is $10\,763 \text{ km}^2$ (account for 39.4% of the total area), including
112 $4\,086 \text{ km}^2$ of paddy field (dominated by rice) and $6\,677 \text{ km}^2$ of dry farmland (including corn, soybean,
113 vegetables and other crop plants). The upper reaches of the Hunhe and Taizi rivers have mountainous (69%),
114 low hilly (6.1%) and plain land (24.9%). The economic output value of HTRW is dominated by agriculture.
115 The farmland is mainly distributed in the floodplain area and valleys in riverine belts. Considering land
116 pattern, rainfall and source of pollutants, the HTRW faces a high risk of pollution from agriculture. Heavy
117 use of fertilizers and soil erosion in the upper of HTRW has led to serious NPS pollution in HTRW. For
118 example, the Dahuofang reservoir of the Hunhe River and the water resources conservation area in its upper
119 reaches are facing multiple threats, the agricultural NPS pollution is becoming increasingly serious and has
120 not yet been controlled effectively (Shen et al., 2013c).

121 Fertilization in the HTRW is predominantly with nitrogen, followed by phosphorous and potassium. The
122 heavy use of chemical fertilizers was mainly urea, diammonium phosphate and a small amount of potassium
123 phosphate compound fertilizer. Atrazine and acetochlor were mainly used on dry farmland, and butachlor
124 was mainly used in paddy fields. Based on the statistical data for 2006-2012, the quantity of fertilizers and
125 pesticides applied in the watershed fluctuated annually. The upper reaches of the Huntai and Taizi rivers
126 are dominated by mountains, the cultivation and harvesting of crops are conducted by hand, and therefore
127 thorough statistics are not available. At present, weeds and pests in farmlands were mainly controlled by



128 pesticides and herbicides. The upstream is rich in forest resources, the downstream has a large number of
129 farmland, special landscape layout makes the HTRW become potential area for agricultural NPS pollution.

130 **2.2 Model description**

131 **2.2.1. SWAT principle**

132 SWAT is a semi-physical model developed to quantitatively calculate the response status of water
133 quantity & quality to land use and management methods in the scale of watershed (Gassman et al.,2007).
134 SWAT is an effective to determine the long-term impact using monitoring data (Arnold et al.,2012). The
135 basic data input for model running includes DEM (Digital Elevation Model)/topography, soil type,
136 vegetation status/Land landscape, and BMPs (Best Management Practices scenarios). The calculation unit
137 of watershed SWAT model is sub-watershed, and HRU (Hydrological Response Units), the unit delineation
138 is based on the underlying surface status, vegetation coverage, soil classification, and land use (Neitsch,
139 2005).

140 The HRUs of SWAT are automatically divided according to soil conditions, DEM, geomorphological
141 features, and land development (Douglas-Mankin et al., 2010). For the calculation process is realized on
142 HRU, therefore, we selected 0% land development, elevation/slope, and soil classification/attributes as the
143 initial value in the scale of HTRW, therefore, 184 HRUs were delineated to determine NPS pollutant
144 loading. In order to assess pollutant loss and ecological flow status, the flow curve, soil nutrient loss curve,
145 and water-salt balance equation were applied during the period of model debugging. Meteorology data (sun
146 radiation, atmospheric pressure, atmospheric temperature, precipitation and wind speed) were obtained
147 from meteorological and hydrological stations of 12 cities located within HTRW. The data of BMPs, such
148 as crop sowing/harvest time, crop irrigation time, cultivation structure of cultivated land, fertilizer-use
149 efficiency, and farmland planting plan were got from agriculture & environmental management department,
150 or collected from the survey of farmers status quo. Based on the above assessment results, we used
151 QUAL2E (water quality model) to determine N & P yields loading, the route of sediment transport, and
152 pollutant concentration of watershed outlet.



153 The SWAT is mainly used to assess the N & P production, migration, and transform. These cycling
154 processes occur simultaneously with the processes of the hydrological cycle and soil erosion. The N & P
155 cycles simulation of SWAT was developed based on 5 different forms of N and 6 different forms of P,
156 respectively. The N & P cycles were consisted of the process of decomposition, mineralization, fixation,
157 and conversion. The NPS pollutant loading function is the basis of assessing N & P transport and
158 transformation (McElroy, 1976; Williams et al.,1978; Zhang, 2005). Organic N & P losses calculation of
159 SWAT was achieved by the integrated function of soil nutrient curve, NPS pollutant loading, soil properties
160 change rate, and crop growth characteristics. The total amount of nitrate in lost soil was calculated by the
161 product of water volume and nitrate concentration in water. Water volume is the consisted of surface runoff,
162 groundwater runoff, and interflow/subsurface flow. The soluble P removed in runoff is estimated using the
163 P concentration in the top soil layer, runoff volume and the P soil partitioning coefficient. The concentration
164 of soluble P in water is calculated by topsoil P stocks, runoff variation, ratio of soluble P, and soil particle
165 characteristics.

166 Surface runoff from daily precipitation in HRU/Sub-watershed was calculated & assessed using the SCS-
167 CN corresponding relationship curve and rainfall-runoff Coefficient (USDA Soil Conservation Service.
168 National Engineering Handbook, 1972). With SCS-CN curve, saturated moisture, soil water profile/vertical
169 distribution of soil moisture content, runoff module number of the underground water is determined, as
170 well as the related parameters daily of precipitation. The total discharge of runoff from sub-watershed/
171 HRUs is the sum of surface runoff flow, groundwater runoff flow, and interflow/subsurface flow. Domestic
172 water & irrigation water is direct consumptive water resources, the mainly water resources is surface runoff
173 & groundwater runoff (Neitsch,2005). The main routing of water circulation in SWAT is network-node
174 diagram and natural-artificial dualistic water cycle mode. In the paper, we used a dualistic method for multi-
175 layer and multi-function separation and interception of the rainfall and run off resources. Circulating flow
176 of SWAT was varied with the dynamic changes of evaporation, infiltration, transport, and return flow
177 (Arnold et al.,1998). The HRUs of SWAT used soil erosion modulus, soil & water loss coefficient, and
178 Universal Soil Loss Equation (MUSLE) to analyze erosion and sediment yield (Williams, 1975). Sediment



179 is routed through channels using Bagnold's sediment transport equation (Bagnold, 1977). We used 2009
180 version of SWAT to calculate the correlation parameters.

181 **2.2.2. Model data input**

182 The data of DEM, geomorphology, underlying surface status, soil properties, land cover, meteorological
183 & hydrological data (precipitation, evaporation, temperature, and atmospheric pressure, et al.) were input
184 to achieve the operation of SWAT (Niraula et al.,2013). Figure 2 supplied the basic data information to be
185 used in SWAT model. We used 30×30 grid data (elevation) as the basis for DEM operation. The DEM
186 was selected as the topographical basis on which to construct the SWAT model, to extract the scope of the
187 study area and to construct the topographical model. The stream network in the study area was extracted
188 using 1:250 000 digital water system data (data source: www.geodata.com) as an ancillary model to
189 construct the stream network model of the HTRW. We classified land use types into 27 categories. The
190 main type of land use of HTRW is forest (including orchard, 48.64%), dry land (24.38%), rice paddy
191 (14.92%), urban land (vacant land, 7.78%) and unused land (uncultivated land, 1.85%) grassland (0.92%).
192 Soil types were categorized into 26 types, the primary soil types in this area are brown soil (54.1%), meadow
193 soil (29.7%) and paddy soil (11.0%). The database of the underlying substrate was constructed based on
194 the database of soil types using the soil properties & land development data as underlying substrate
195 parameters (Liu et al.,2015). The soil parameters were obtained from National earth system science data
196 sharing infrastructure database. The watershed meteorological data (precipitation, evaporation, and
197 temperature) used in the present study include precipitation data for 1990-2009 collected by 76 rainfall
198 stations and air temperature data for1990-2009 collected by 12 city meteorological stations. We used
199 meteorological monitoring data for the simulation of precipitation & evaporation. The missing
200 meteorological information (rainfall, humidity & atmospheric pressure, air temperature, solar radiation &
201 wind speed data) can be generated using the weather data generator simulation. At least 3 sets monthly
202 monitoring data of nitrate (NO₃), nitrite (NO₂), Ammonia (NH₃, NH₄), TP, and TP, were available in the
203 time of 2006–2009. We got the information of crop type, farming system, sowing time, fertilization time,
204 and social economics from investigation and statistics department in HTRW. All the data were validated



205 by the standard procedures used by the SWAT.

206 The data information (type, scale, description, and source) of SWAT in HTRW are showed in Figure 2.

207 We input the related meteorological and soil data of SWAT got from China Meteorological Administration

208 and Environmental-Ecological Science Data Center for West China. The China Hydrology, water resources

209 & water quality monitoring department of HTRW provided the automatic & regular monitoring

210 hydrological data. The Liaoning province Water Resources Administrative Bureau granted permission for

211 the modelling of the pollutant production response to different land utilization scenarios in the HTRW.

212 **2.2.3. Calibration and validation**

213 The data of monthly scale were used to achieve the simulation of SWAT. We used the code open SWAT-

214 CUP module to calibrate parameters of SWAT in HTRW automatically (Abbaspour et al.,2007). Sequential

215 uncertainty fitting algorithm has higher calculation accuracy and simple application method, which was

216 extensive used in the SWAT-CUP module (Wang et al.,2014; Yang et al.,2008). The E_{NS} can effectively

217 avoid the uncertainty of hydrological sequence (precipitation, water flow, and evaporation), which was

218 used to evaluate the run-off flow change of hydrological station in HTRW (Nash, 1970).

219 The model for the present study was calibrated and tested using artificial parameter modification and

220 automatic calibration. First, the runoff was calibrated, followed by N, P and other nutrients. The runoff was

221 calibrated and tested using real data from the Xingjiawopeng, and Tangmazai hydrological station (Figure

222 4). The simulated values of N and P were calibrated using monitoring data from Beikouqian, Dongling

223 bridge, Xingjiawopeng, Xiaolinzi, and Tangmazhai hydrological station. Various hydrologic and water

224 quality parameters were adjusted under their change interval to fit with the monitored/observed data during

225 calibration and validation (Figure 3). ESCO, GWQMN, and SURLAG were three key parameters in the

226 process of calibration & validation of water flow (Shen et al., 2010; Francos et al., 2003). The other sensitive

227 parameters selected for calibration & validation in HTRW were showed in Figure 3. In the HTRW,

228 Liaoning Province government began to monthly monitoring of pollutant since 2006. The runoff, TN & TP

229 loadings data used for calibration & validation were from 1992 to 2009, from 2006 to 2008, respectively.

230 In the present study, the simulated effects were evaluated based on analysis and comparison using the



231 runoff hydrograph, D_V (relative deviation), E_{NS} and R^2 (certainty coefficient). The runoff hydrograph and
 232 D_V were frequently used to simulate the entire deviation of water quantity; E_{NS} and R^2 were used to simulate
 233 the effects of the simulation (Yang et al.,2014). The D_V , E_{NS} and R^2 are calculated as

$$234 \quad D_V = [(M - W) / W] \times 100\% \quad (1)$$

235 Here, D_V was the relative deviation; W was the observed mean value; and M was the predicted mean value.

$$236 \quad E_{NS} = 1 - \left[\frac{\sum_{i=1}^n (W_i - M_i)^2}{\sum_{i=1}^n (W_i - \bar{W})^2} \right] \quad (2)$$

237 Here, E_{NS} was the Nash-Sutcliffe efficiency coefficient; W_i was the observed data at i^{th} period; M_i was the
 238 simulated data at i^{th} period; and \bar{W} was the observed mean value.

$$239 \quad R^2 = \left\{ \frac{\sum_{i=1}^n (W_i - \bar{W})(M_i - \bar{M})}{\left[\sqrt{\sum_{i=1}^n (W_i - \bar{W})^2} \sqrt{\sum_{i=1}^n (M_i - \bar{M})^2} \right]} \right\}^2 \quad (3)$$

240 Here, R^2 was the certainty coefficient; W_i was the observed value at time i ; M_i was the simulated value at
 241 time i ; \bar{W} was the observed mean value, and \bar{M} was the predicted mean value.

242 The first four years (1990-1994) were regarded as domestication stage of SWAT to minimize the
 243 uncertainty of initial meteorology & underlying surface value. We used manual method of parameter
 244 adjustment to calibrate the SWAT in HTRW. To determine the sensitivity of various parameters, we
 245 manually adjusted one parameter at a time according to the accuracy and change interval in Figure 3. To
 246 realize the matching between hydrographs base flow from model simulation and actual monitoring, the
 247 quantitative data analysis technology (E_{NS} & R^2) was used to calibrate SWAT. In order to calibrate the
 248 stream flow, we subsequently calibrated runoff, and nutrients (TP & TN) with the same geographical and
 249 hydrological data. During calibration, we used LOADEST model to eliminate the uncertainties caused by
 250 the differences in sampling & testing methods of water quality (Yang et al.,2014).

251 **2.3 Scenarios setting**

252 To seek the relationship between agricultural NPS pollutant loading and land use types, comprehensive
 253 comparison method was used in different land use types under urbanization. In this study, two scenarios were
 254 established: status quo scenario, and “environmental protection” scenarios (EPS).



255 The status quo scenario was formulated based on the existing socio-economy developmental structure
256 and environmental protection measures, and the land use type in the light of the existing developmental
257 model and planning conditions. The BMPs information & land use data (cultivated land area, pesticide &
258 fertilizer use utility amount, crop type) were obtained from Liaoning Province statistical yearbooks-2013
259 and field survey.

260 Considering the regional development prospects & eco-environment protection strategy in HTRW, the
261 EPS was proposed. 1 km within both banks of the Hunhe, Taizi and Daliao rivers and 5 km surrounding
262 reservoirs are defined as buffer zones. In the buffer zones, existing land use types were changed to restore
263 the natural environment (grassland and forest). The output of pollutant production is calculated based on
264 the regional environmental protection. This scenario not only preserves the fundamental position of
265 agriculture in the watershed, but also improve the ecosystem service value of the watershed by only slightly
266 reducing the amount of fertilizers and pesticides used for agricultural production. The scenarios setting can
267 provide scientific basis for further understanding characteristics of the nitrogen and phosphorus loadings
268 and agricultural structure adjustment in HTRW.

269 **2.4 Study framework**

270 Hunhe River, Taizi River, and Daliao River sub catchment was delineated based on DEM & river system,
271 and further divided by 29 small calculation modules according to 184 HRUs, water resources zoning, and
272 administrative zoning. According to the water network & the location of basin drainage, we used the monitored
273 data calibrate & validate the stream flow and concentration changes of pollutants in HTRW. And then the land
274 development patterns in two scenarios were imported to SWAT model to simulate the TN and TP pollution
275 loading. Finally, the NPS pollution loading decrease was analyzed based on land use scenarios.

276 The primary source area of aquatic pollution is mainly distributed along both channels of the trunk stream
277 of the Hunhe River, Taizi River, and Daliao River; the risk of NPS pollution is mainly related to the patterns
278 of agricultural plantation and farmland utilization. The secondary source area of aquatic pollution is mainly
279 distributed along the tributaries of HTRW. Therefore, this project paid special attention to the pollutant



280 production in the agricultural lands adjacent to the water channels.

281 **3. Results & discussions**

282 **3.1 Modelling validation**

283 **Stream flow.** Because of HTRW lacks basic runoff data, the present study focused on calibrating and
284 testing the runoff model. During annual calibration, the runoff curve data were first calibrated, and then the
285 available water content in the soil and the soil evaporation compensation coefficient were modified until
286 they matched the requirements for runoff. Finally, the monthly runoff curve was modified. For the
287 simulation, 1990-1994 was the model preparation period, 1995-2001 was the model calibration period, and
288 2002-2009 was the model validation period.

289 According to the calibration results, E_{NS} and R^2 for Xingjiawopeng hydrological station and Tangmazhai
290 hydrological station were both greater than 0.6, and the $|Dv|$ values for both stations were less than 20%
291 during the model preparation period, suggesting that the parameters of the SWAT model were reliable after
292 calibration, and thus the model can be used for further study. The monitoring value fitted very well with
293 the simulation value obtained from hydrographic curve, most crest values observed were very similar. In
294 the model calibration period, the matching curves for the simulated and measured values of monthly runoff
295 at Xingjiawopeng and Tangmazhai hydrological stations are shown in Figure 4 (a) and Figure 4 (b). The
296 runoffs at these two hydrological stations were well matched. However, the accuracy of the runoff in the
297 second half of the year in 2002, 2005 and 2006 was poor, likely due to the length of the data series and
298 specific stations selected. In terms of the standards for the simulation and evaluation of the hydrological
299 model, the simulation effects at the monthly scale were much better.

300 **Nutrients.** The nutrients concentrations of water were simulated by SWAT. Based on the verification of
301 the accuracy of the initial concentrations, the fertilization and cultivation measures for nutrients in the soil,
302 the nitrate and soluble P loading can be simulated by adjusting the nitrogen permeability coefficient
303 (NPERCO) and the phosphorous permeability coefficient (Lam et al., 2011). Beikouqian, Xingjiawopeng,
304 Xiaolinzi and Tangmazhai four hydrological stations had a continuous monthly water quality monitoring



305 data from 2006 to 2007. Only the monthly data of TN & TP in Beikouqian were validated from 2008 to
306 2009 for the insufficient of water quality monitoring data. The Xingjiawopeng, Xiaolinzi and Tangmazhai
307 Hydrological Stations only had the TN data in the study time. Therefore, Beikouqian was selected to show
308 validation curves, the TN E_{NS} and R^2 were 0.64 and 0.78, and the TP E_{NS} and R^2 were 0.60 and 0.75,
309 respectively (Figure 5(a), Figure 5(b)). The calculation results of E_{NS} and R^2 of Xingjiawopeng, Xiaolinzi
310 and Tangmazhai hydrological stations were 0.62 and 0.73, 0.61 and 0.72, as well as 0.62 and 0.77,
311 respectively. The values of all R^2 were higher than 0.7, which confirmed the SWAT could be used for water
312 quality simulation in HTRW.

313 **3.2 NPS pollutant loading under status quo scenario**

314 The output of NPS pollutant production was calculated using the pollutant loading approach based
315 on the attributes of the regional calculation results and land use scenarios in HTRW. The output of N &
316 P production in different calculation units were calculated based on the spatial changes of soil types, crops
317 and residuals, as well as the differences in the coefficients of N & P losses under different land use types.
318 The paddy fields, rural residential, urban development, and vegetation type maybe the important indicators
319 for variability in NPS pollution, and that nutrition pollution was influenced by the integrated effects of
320 different land uses (Cai et al., 2015; Lee et al.,2010). The annual throughputs of TN & TP production
321 were 18 707 t and 53 322 t, respectively (Table 1).

322 **3.2.1. Sediment**

323 The sediment loading is the data basis to calculate the TN & TP loading, and which is affected by the
324 type of land development and vegetation coverage (which was generally dominated by forest and farmland).
325 Based on the simulation by the SWAT model, the annual output of sediment (silt) production in the
326 watersheds of the Hunhe, Taizi and Daliao rivers was 22×10^4 t, 170×10^4 t and 30×10^4 t, respectively. The
327 annual soil erosion modulus in the study area was 0.811 t/ha, and its spatial distribution is shown in Figure
328 6(a). The soil erosion (sediment) value varied widely in different regions, with the change interval from 0
329 to 1.824 t/ha. Soil erosion in Daliao River watershed was very serious (with up to 1.568 t/ha in some



330 regions), followed by the Taizi River watershed (The amount was 1.223 t/ha in most regions) and Hunhe
331 River watershed (Less than 0.19 t/ha in most regions). Yingkou and Dashiqiao has even topography, and
332 incoming silt from the upper reaches is accumulated therein. The soil erosion modulus is therefore very
333 high, which contributes greatly to the silt inputs to the HTRW (Tang et al.,2012). The soil erosion was
334 affected by natural & human factors. The natural factors mainly included topography, underlying surface
335 conditions and soil types, the human factors mainly consisted of vegetation coverage, precipitation type,
336 land use, crop cultivation and cultivated land farming methods. Moreover, mountainous area has great soil
337 erosion (Hong et al.,2012). The HTRW had high forest coverage, which effectively prevented the soil
338 erosion. Daliao rivers had a large area of cultivated land, therefore, there was higher probability to cause
339 soil erosion. Besides, the soil types are also the key influencing factors to cause soil erosion, therefore, the
340 brown and paddy soils are prone to bring about the accumulation of sediment (Hong et al.,2012).

341 **3.2.2. TP**

342 With SWAT simulation results, the annual output of TP production in the watersheds of the
343 Hunhe, Taizi and Daliao rivers was 8 993 t, 6 399 t and 3 315 t, respectively, the watershed
344 loading output intensity was 7 kg/ha. The TP loading had the same spatial distribution pattern
345 with the sediment loading. The TP loading ranged from 0 to 259.83 kg/ha. Figure 6(b) showed
346 the spatial variation of TP loading the HTRW. The average annual water volume was affluent
347 in Hunhe River, which prompted a large amount of P deposited in the downstream plain. The
348 changes in space of the TP loading was affected by topography, precipitation, land use type,
349 and silt losses. The TP loading output intensity of on the slope in the Daliao River watershed
350 was higher than that in the Hunhe River watershed, and the Taizi River watershed was the
351 lowest. Large amounts of fertilizer and pesticides have been applied to the farmland.
352 Organophosphate pesticides accounted for 40% of the total pesticides. Therefore, the farmland
353 has high TP concentrations, which was the same findings with Wang (2012). The paddy fields,
354 brown soil and dry lands mainly distributed in Hunhe River downstream, therefore, the P
355 loading in these plain area is higher (Li et al., 2010). Correspondingly, the cities and counties



356 with a large proportion of farmland, such as Dashiqiao, Panshan and Dawa city in the Daliao
357 River watershed, as well as the city of Haicheng and Taian county in the Hunhe River watershed,
358 have higher TP loading output intensity. The regions with a large proportion of developed land,
359 such as the city center of Fushun, Shenyang in Hunhe River watershed, the municipal districts
360 of Liaoyang city and Benxi city at the Taizi River watershed, which have lower TP loading
361 output intensities. Based on the land use type, the tributaries with a higher proportion of
362 farmland have the highest TP output intensities, whereas the tributaries with substantial
363 vegetation cover as forested land have relatively lower TP output intensities. The output
364 intensity of TP is closely related to soil characteristics and attributes.

365 **3.2.3. TN**

366 Upon simulation and calculation, the output of TN production in the watersheds of the Hunhe,
367 Taizi and Daliao rivers was 24 264 t, 19 010 t and 10 048 t. The annual loading output intensity
368 of TN in the watershed was 19 kg/ha. Figure 6(c) showed the spatial variation of TN loading
369 the HTRW. The TN loading varied interval from 0.001 to 365.36 kg/ha. The TN loading had
370 the same spatial characteristics with TP loading. The loading output intensity of TN in the
371 Daliao River watershed was greater than that in the Hunhe River watershed, and the Taizi River
372 watershed was the lowest. Large amounts of fertilizer were applied in the study area. Nitrate
373 and organic N accounted a substantial portion of the fertilizer used in HTRW. Therefore, the
374 loading output intensity of TN in the watershed was very high. The regions with a great
375 proportion of farmland, such as the middle & lower reaches of the Hunhe River, the lower
376 reaches of the Taizi River and the tributaries in the upper reaches of the Daliao River, have high
377 output intensities of TN. The organic N contents in forested land was very low. Thus, the output
378 intensity of TN in regions with high vegetation cover of forest, such as the mountainous area in



379 the upper reaches of the Taizi and Hunhe rivers, was very low. The output loading intensity of
380 TN in the municipal districts with high developed area was the lowest, such as the municipal
381 districts of Fushun city and Shenyang city in the Hunhe River watershed, and the municipal
382 districts of Benxi city, Liaoyang city and Shenyang city in the Taizi River watershed.

383 The loading intensity of TN & TP in the HTRW were characterized by its regional
384 distribution. Although the counties of Qingyuan, Yibin and Benxi county, located in the upper
385 reaches of the HTRW, had high output of water and silt, their loading intensities of pollution
386 were not high. From the unit area perspective, the maximum loading intensities of TN & TP
387 were 365.36 & 259.83 kg/ha, respectively. The regions with high loading intensities of TN &
388 TP were mainly distributed in Taian, Haicheng, and Fushun city. The loading intensities of TP
389 & TN near the Dahuofang, Tanghe, Shenwo and Tanghe reservoirs were not high, ranging from
390 0.006 to 9.584 kg/ha, from 0.08 to 19.485 kg/ha, respectively. Based on the topography and soil
391 type distribution, the gradient in the upper stream of HTRW was usually high. The soil type is
392 predominately brown soil and salted paddy soil, both of which are easily eroded. The
393 topography in the lower reaches is usually even, as in the cities of Anshan, Haicheng, Yingkou
394 and Panjin. The elevation is not high, and the soil type is usually predominately meadow soil
395 and brown soil, both of which have a higher soil erosion rate, silt loss and loading intensity of
396 pollutants. The regions with heavy loading intensities of TN & TP included Xinmin county,
397 located in the middle and lower reaches of the HTRW, the municipal district of Shenyang city,
398 Liaozhong county, Dengta city, Liaoyang county, the municipal district of Anshan city,
399 Haicheng city and a portion of Dashiqiao city. Based on the land development pattern in the
400 Taizi River, dry fields and paddy fields were mainly distributed on the plain area of this
401 watershed, which is therefore a core source of loading intensity. The spatial difference in the



402 loading intensity between TN & TP were inconspicuous. Based on the topography, landform,
403 soil types and land development status in the watershed, the upper stream of watershed have
404 high vegetation coverage, less farmland and a low loading intensity of pollutants; the lower
405 reaches of the watershed have more farmland, high rates of fertilizer application and a high soil
406 erosion and pollution loading (Yin et al.,2011). To sum up, the spatial characteristics of TN
407 loading was the result of comprehensive effect from precipitation/run off characteristics, soil
408 properties, soil erosion and vegetation coverage. Therefore, in order to effectively control TN
409 loading and soil erosion in the HTRW, the BMPs, fallow measures of cultivated fields,
410 watershed vegetation restoration and soil & water conservation in the upper stream, which were
411 the most important measure that should be implemented.

412 **3.3 NPS pollutant loading under EPS**

413 The prevalence of farmland within a watershed has long been an important question, and
414 strong evidence exists of a correlation between land development mode and water environment
415 protect & rehabilitation at the basin scale. Numerous studies have used land use data and
416 stepwise regression analysis to explore relationships between land use and water quality
417 parameters and ecological integrity on a regional scale, including sub-basins, river riparian
418 buffer zones, and specific monitoring sites (Uriarte et al., 2011; Schiff, 2007; King et al., 2005).
419 The riparian buffer zones could effectively reduce the concentration levels of NO_3^- in water,
420 which was 47% lower than the soil content (Venkatachalam et al.,2005). The dry farmland
421 caused a higher NPS pollutant loading, followed by paddy, rural and urban area, forest land,
422 and shrub land. Under this developmental scenario, the area of farmland in the watershed was
423 reduced; a modest area of farmland (29 500 ha, accounting for 2.74 % of the total farmland area)
424 was converted to forestland (included shrub land, 14 753 ha), grassland (5 899 ha), wetland (8



425 848 ha); and NPS pollution from farmland decreased. The objective of water quality protection
426 within the critical zoning of the watershed was realized. For the riparian buffers can be planted
427 in various diverse vegetation, the N removal rate of 60m wide woody soil buffer zone was 16%
428 and 38% higher than that of shrubbery and grassland, respectively (Aguiar et al.,2015). Urban
429 & rural areas were considered as the same type of land use in SWAT, about 1 kilometer within
430 both banks of the tributaries of the Hunhe, Taizi and Daliao rivers and 5 kilometers surrounding
431 reservoirs were defined as buffer zones, including 1946 km² of farmland, urban land, and rural
432 residential land, which accounts for 7.1 % of the total area in the watershed. The woodland coverage
433 rate was reduced by 1%, the loading intensity of sediment, TP & TN increased by 0.01~11.34, 0.15-
434 2.83, and 0.40-14.00 kg/km², respectively. The output of pollutant production under EPS was
435 calculated by transforming the existing land use type.

436 Based on the parameter quantification results of SWAT, the TN & TP losses from farmland
437 was effectively reduced after the modification of the land use structure. TN & TP respective
438 range of change was from 0 to 365.357 kg/ha, and from 0 to 259.834 kg/ha. The annual losses
439 of TN & TP were reduced by 13 839 and 1 946 t/a, respectively. In comparison, the output of
440 NPS pollutant production under the EPS was decreased by 21.9% compared with that under the
441 status quo scenario, whereas the outputs of TP & TN were reduced by 10.4% and 25.9%,
442 respectively. Under EPS, the average loading intensities of TN & TP were 14 and 6 kg/ha on a
443 unit area basis, which were 14.3% and 26.3% less than the loading intensities under status quo
444 scenario. The NPS pollution loading decline obviously in the EPS. The variation of TP & TN
445 pollution loading between status quo and EPS was shown in Table 2. The amount change
446 indicated that riparian buffer and land development pattern change could effectively reduce the
447 NPS pollutant loading in the HTRW.



448 **4. Conclusions**

449 The NPS pollution is prone to cause in dry farmland, paddy, rural & urban areas. The SWAT
450 model has been applied to study NPS in China by numerous research literature, they were
451 mainly focuses on scenario simulation of NPS pollution and management in agricultural areas
452 with rich hydrological and meteorological data. The basic monitoring data of HTRW were
453 deficient, we selected the SWAT as the feasible method to access NPS pollutant loading in
454 watershed level. We applied certain practices based on EPS to reduce the NPS pollutant loading
455 in the Hunhe River, Taizi River and Daliao River watershed. The status quo scenario and EPS
456 were used to calculate the output of NPS pollutant production. Under the status quo scenario,
457 the soil erosion modulus in the HTRW was 0.811 t/ha, and the soil erosion in the Daliao River
458 watershed was the most severe. The TP & TN annual loading in the HTRW was 19, and
459 7 kg/ha, respectively. In the middle and lower stream of HTRW has a higher NPS pollutant
460 loading, which included the urbanization and population density highly region of Shenyang,
461 Anshan and Liaoyang. Under the EPS, the TN & TP per unit area were 14, and 6 kg/ha,
462 respectively. The output of NPS pollutant production, the loading intensities of TN & TP was
463 reduced by 21.9%, 25.9% and 10.4% compared with the status quo scenario, respectively. In
464 different regions of NPS pollutant loading in the HTRW changes greatly, and the pollutant
465 loading intensity of different nutrients in the same region is slightly different. Land eco-
466 restoration and land development mode adjustment measures should be practiced to reduce
467 NPS pollutant loading of cultivated land.

468 The SWAT model can be used to calculate and access the source, and potential reduction of
469 agricultural NPS pollutants based on different land use type. The reliability of SWAT
470 evaluation results is decided by information completeness and the reasonable degree of



471 parameter initialization. In HTRW some data were missing, such as the rainfall intensity, and
472 water pollution, et al. The data inaccuracy and local factors has a certain impact on SWAT
473 model accession result. To determine the pollutant reduction under different land development
474 patterns, and examine uncertainty of sensitivity parameters, SWAT model in China has wide
475 range of potential application.

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638 **Figure captions:**

639 **Figure 1.** Basic information on the HTRW. The figure has been supplied by www.geodata.cn,
640 which is a national science and technology basic conditions platform and an earth system
641 science data sharing platform. The figure information is public. The Liaoning province Water
642 Resources Administrative Bureau granted permission for the basic information in the HTRW.

643 **Figure 2.** Data information in the HTRW.

644 **Figure 3.** Parameters calibration of SWAT model in the HTRW

645 **Figure 4.** The stream flow validation result of typical monitoring station.

646 **Figure 5.** The nutrients validation in Beikouqian station.

647 **Figure 6.** NPS pollution loading distributions of HTRW under status quo scenario.

**Table 1.** The pollutant production in the HTRW under status quo scenario

Watershed	Area (km ²)	Run off (E+08 m ³)	Pollutant (t)			Pollutant loading (kg/ha)		
			Sediment	TP	TN	Sediment	TP	TN
Hunhe River	11 565	24.04	220 004	8 993	24 264	190	8	21
Taizi River	13 903	33.31	1 699 996	6 399	19 010	1 223	5	14
Daliao River	1 913	1.60	300 002	3 315	10 048	1 568	17	53
Total/Average	27 381	58.95	2 220 002	18 707	53 322	811	7	19

Source: China Hydrology; National earth system data sharing infrastructure; Field investigation of Liaoning province; Chemical fertilizer/Land area/Soil erosion statistics yearbook of Liaoning province; Liaoning province bureau of Meteorology.

Table 2. The variation of TP & TN pollution loading between EPS and status quo scenario

Watershed	Pollutant loading of EPS (kg/ha)		Pollutant loading variation (kg/ha)		Farmland variation (ha)	Forestland variation (ha)	Grassland variation (ha)	Wetland variation (ha)	Pollutant annual variation(t/a)	
	TP	TN	TP	TN					TP	TN
Hunhe River	7	16	-1	-5	-12460	+6231	+2492	+3737	-838	-5743
Taizi River	4	10	-1	-4	-14979	+7491	+2995	+4493	-776	-5606
Daliao River	16	40	-1	-13	-2061	+1031	+412	+618	-332	-2490
Total/Average	6	14	-1	-5	-29500	+14753	+5899	+8848	-1946	-13839

“—” denotes a decrease compared to status quo scenario; “+” denotes an increase compared to status quo scenario.

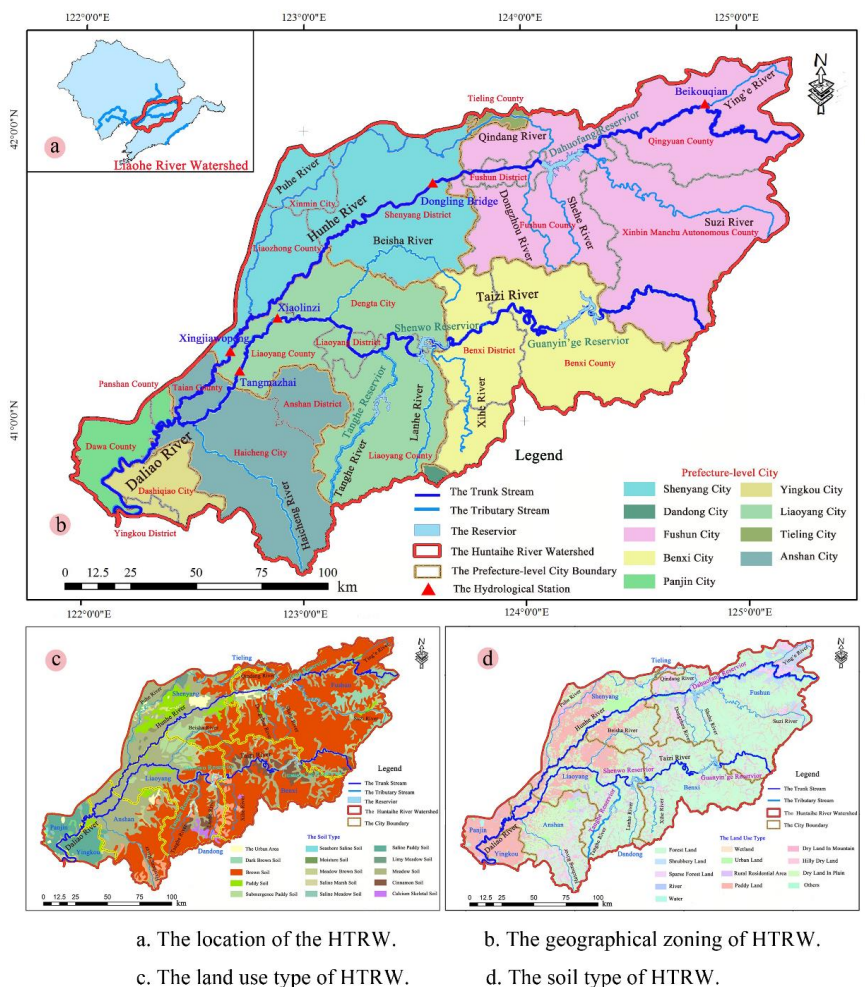


Figure 1. Basic information on the HTRW. The figure has been supplied by www.geodata.cn, which is a national science and technology basic conditions platform and an earth system science data sharing platform. The figure information is public. The Liaoning province Water Resources Administrative Bureau granted permission for the basic information in the HTRW.



DEM (Digital Elevation Model)	1:250 000	Elevation, overland and channel slopes and lengths	Institute of Geographical and Natural Resources Research; Chinese Academy of Sciences; National Geomatics Center of China	Input
Land use	1:100 000	Land use classifications	Institute of Geographical and Natural Resources Research; Chinese Academy of Sciences	Input
Soil properties	1:1000 000	Soil physical and chemical properties	Institute of Soil Science; Chinese Academy of Sciences	Input
Meteorological data	/	Precipitation, daily maximum and minimum air temperature, relative humidity and solar radiation	China Meteorological Administration; Liaoning province bureau of Meteorology	Input
water quantity and quality	/	/	Local hydrographical station and environmental monitoring station	Input
Social economic data	/	Population, livestock rearing, fertilizer application	Field investigation; Statistics yearbook	Input
<div style="display: flex; justify-content: space-between; margin-bottom: 5px;"> ← Data type Data scale Data description Data source → </div> <p style="text-align: center;">SWAT of HTRW</p>				

Figure 2. Data information in the HTRW.

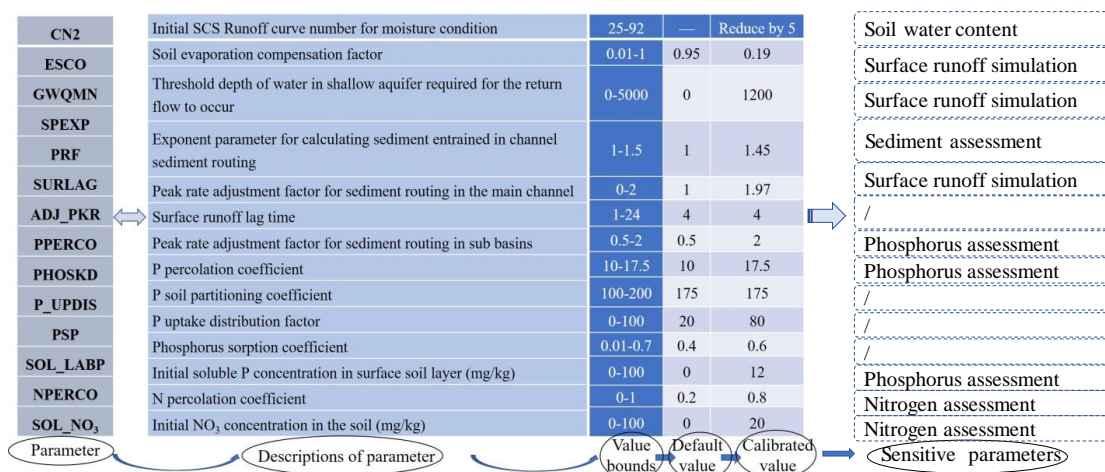
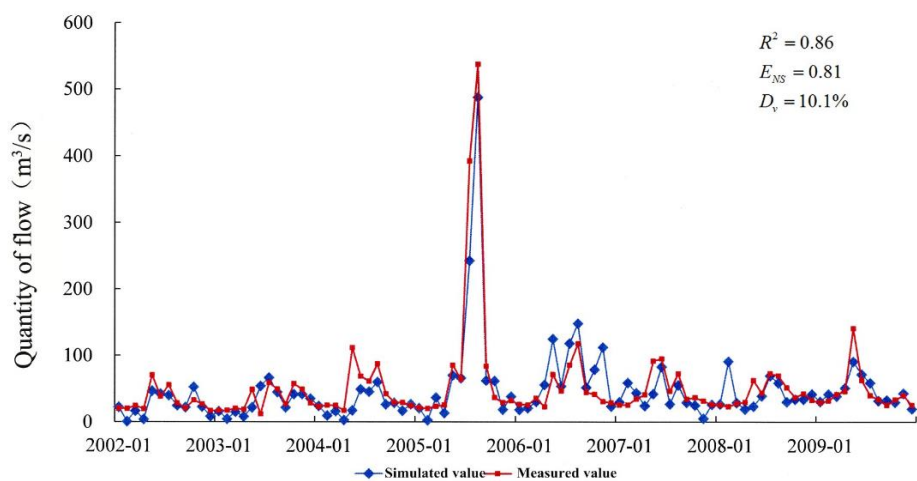
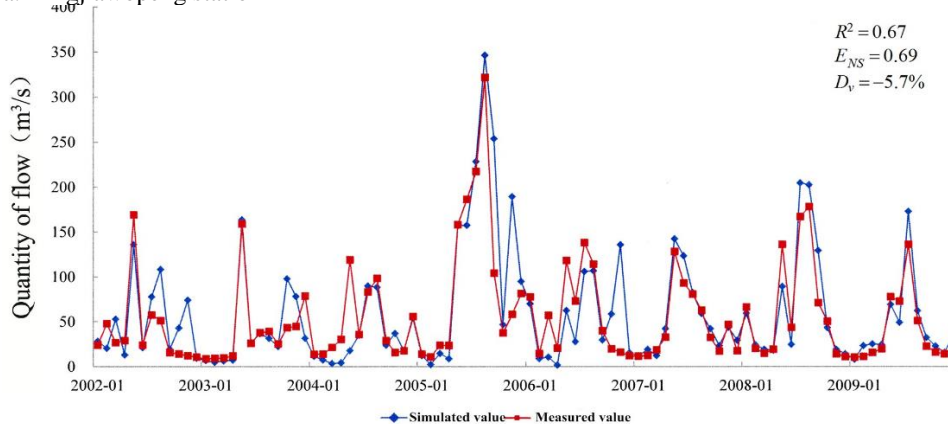


Figure 3. Parameters calibration of SWAT model in the HTRW.

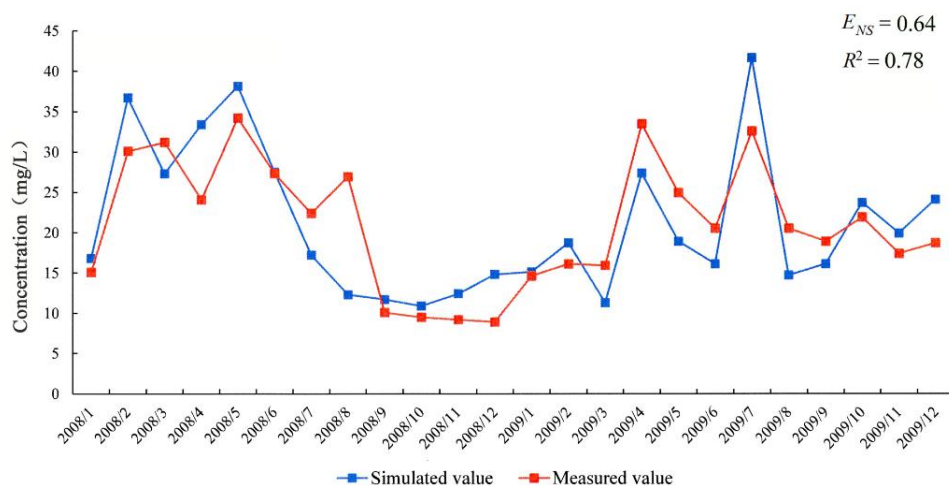


a. Xingjiawopeng station

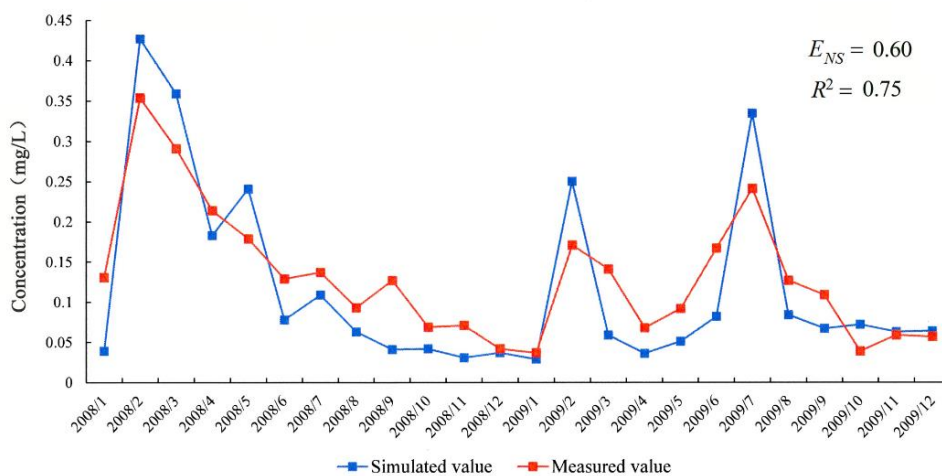


b. Tangmazhai station

Figure 4. The stream flow validation result of typical monitoring station.

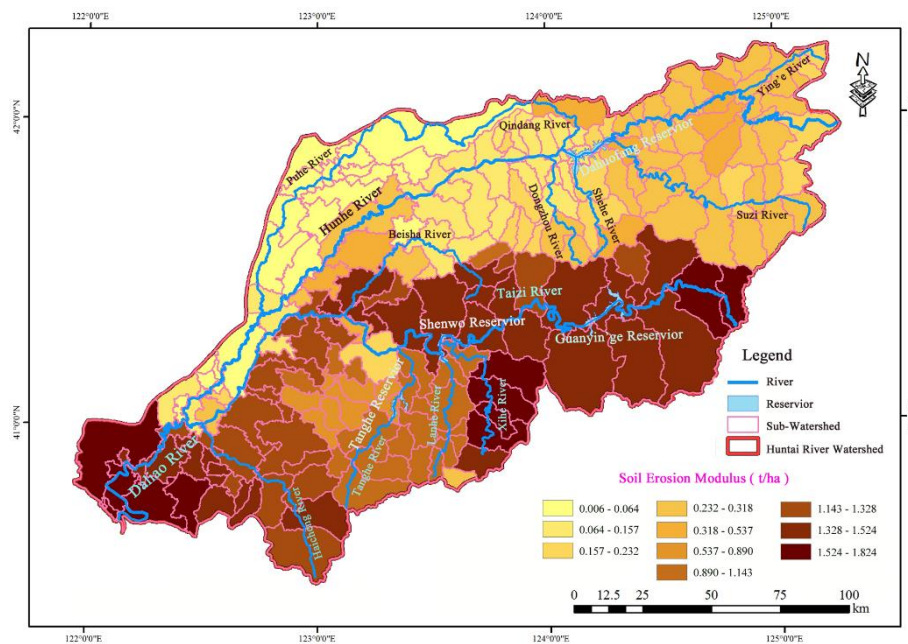


a. The TN

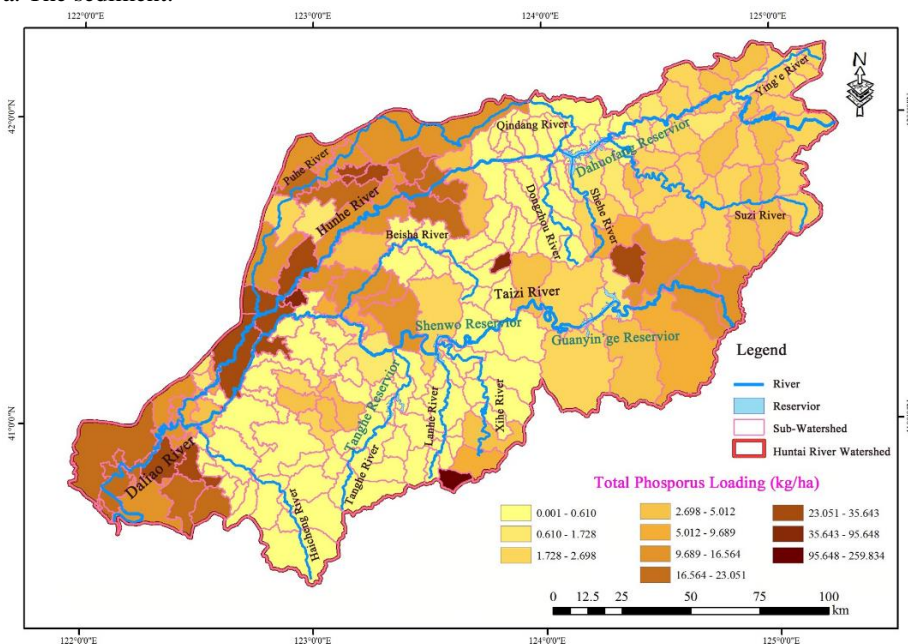


b. The TP

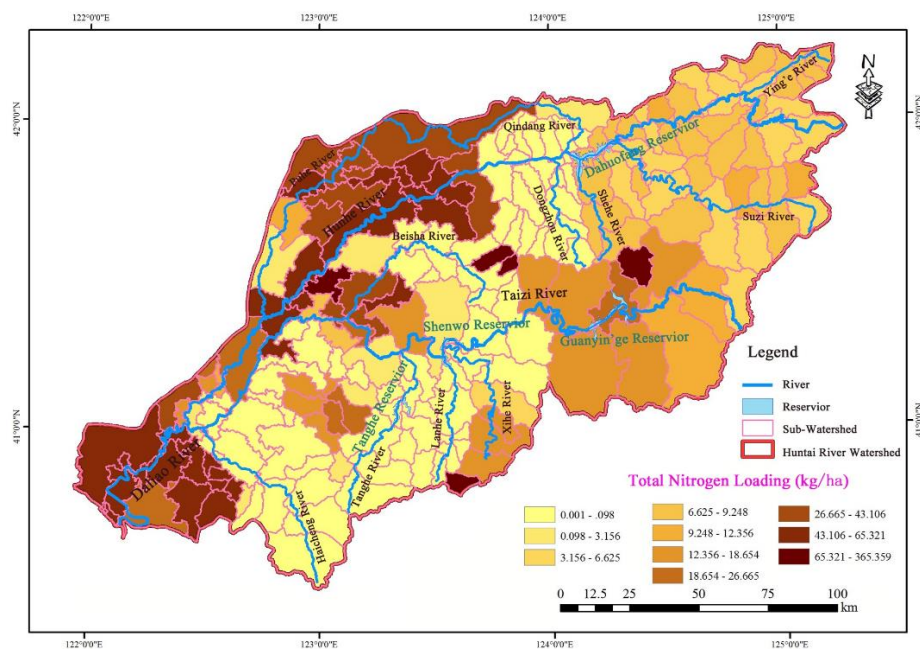
Figure 5. The nutrients validation in Beikouqian station.



a. The sediment.



b. The TP.



c. The TN.

Figure 6. NPS pollution loading distributions of HTRW under status quo scenario.