

The comments by the reviewers are *italicized and blue-colored*. Our responses are in normal font and start with “Authors’ response”.

The manuscript with track changes is appended after the responses to the reviewers’ comments. Some places edited to address the comments by the reviewers are yellow-highlighted for discoverability. The manuscript also incorporates typo fixes, and a few issues of notation. These changes are tracked as well.

Responses to the comments by Dr. Tan

Dear Editor and Authors,

I’d like to leave some comments on this interesting study. In my opinion, its most obvious weakness is to use the satellite-derived water surface temperature to simulate sensible and latent heat fluxes. As water temperature is a sensitive variable for these simulations, the use of satellite-derived data for site-level applications may introduce large uncertainties, especially during the bad weather seasons when more data become unreliable.

Authors’ response: Indeed, using the satellite-derived water surface temperature (GLSEA) would be the most significant source of simulation errors. It is a composite analysis using cloud-free portions of satellite imageries and therefore the accuracy tends to be lower on cloudy days (discussed in Section 4). However, we confirmed that the GLSEA water surface temperature agreed reasonably with offshore buoy measurements during these periods. Even though offshore buoy sites are not collocated with the stations for eddy covariance measurements and buoy data are typically not available during December-March (mainly due to ice cover), the agreement between the GLSEA water surface temperature and buoy measurements provides us some confidence in the accuracy in the GLSEA water surface temperature. A recent study by Moukomla and Blanken (2016) tested an experimental method to derive water surface temperature from MODIS (or Moderate Resolution Imaging Spectroradiometer) for all-sky conditions. Such a product may also be tested in the future. This point was added at L12-15 in page 19 (yellow highlighted). However, as described in Section 4, in-situ measurements (e.g. thermistor) are desired for a more reliable simulation.

Second, the use of daily water temperature and half-hourly meteorological variables simultaneously may also introduce additional errors, for example, during deep water mixing when energy balance is fast approached.

Authors’ response: The only hydrodynamic process that can cause rapid and sub-daily changes in the water surface temperature is upwelling, which are readily found in nearshore waters but not at the offshore locations of the eddy covariance stations. If the commenter is referring to deepwater mixing during fall overturn, that generally does not cause rapid temperature changes that would require sub-daily resolution. Therefore, daily water temperature would be sufficient for this study.

Also, the authors mention that the flux algorithm (Z98L) of the WRF model is adapted from the CLM 4.5 where Z98L assumes that the roughness length scale of momentum, z_0 , is a constant 0.001 m and the roughness length scales of momentum, temperature and humidity are the same. Actually, in the lake model of CLM4.5 (CLM-CLISS), z_0 is updated dynamically and three roughness length scales are not equal (for frozen lakes, z_0 is fixed). Please check with Subin et al. (2012) for accuracy.

Z. M.Subin, W. J.Riley and D.Mironov (2012), An improved lake model for climate simulations: Model structure, evaluation, and sensitivity analyses in CESM1, J. Adv. Model. Earth Syst., 4, M02001, doi:10.1029/2011MS000072.

Authors' response: Thank you for pointing this out. The statement below was added at L21-23 in page 8 (yellow highlighted).

"...except that roughness length scales for temperature and humidity are constant for its WRF-lake application while they are updated dynamically in CLM 4.5."

Responses to the comments by Anonymous Referee #1

This is generally a well written paper with a few minor grammatical errors. I have listed these below. My main issue is with the conclusions which read like a summary rather than conclusions. The main conclusion of this paper are that when corrected for $z_{0\theta,q}$ the four models give similar results. I also wonder if the amount of measurement points that could be included would be helped by using the foot print analysis in McGloin et al. (2014, Water Resources Res., 50:494-513). This may allow more of the data at Long Point to be included.

Authors' response: We renamed the title of Section 5 to "Summary and Conclusions", since this is more appropriate, as the referee pointed out. Also, we added to this section a sentence (yellow highlighted) "With the updated formula for $z_{0\theta,q}$, the four models (LS87, C89, J99, Z98L) simulated heat fluxes similar to COARE's"

The suggestion to use the SCADIS footprint model to compare turbulent flux footprints using different roughness lengths as in McGloin et al. (2014) is a good idea, however, we feel that such an in-depth analysis would distract from the broader goals of the paper. Although such a comparison would likely yield more data from the Long Point site, our filtering based on an acceptable over-water wind direction of between 180- 315 degrees likely ensured that only over-water fluxes were used in the analysis. The suggestion by the reviewer might also introduce some data that could be susceptible to land influence, thus compounding the effects of land contamination and over-lake model comparison, the latter being the main objective of this paper.

Minor Corrections

1. *Page 14, Line 11. Delete 'occurs'.*

Authors' response: Deleted.

2. *Page 14, lines 20-21. The sentence starting 'This is because...' does not make sense as written and has two full stops in it.*

Authors' response: Corrected (L7-9 in page 15, yellow highlighted). Thank you.

3. *Page 14, line 24. Delete the second 'due to'.*

Authors' response: Removed. Thank you.

4. *Page 15, line 6, Replace 'o' with 'of'.*

Authors' response: Added. Thank you.

5. *Page 15, lines 25-26. I suggest rewriting after 'significant' with (28% compared to 50% for Stannard Rock, Spectacle Reef, and Long Point according to error reduction ratios (Table 3)).*

Authors' response: Rewritten as "At White Shoal, however, the improvement was not as significant, 28 % compared to 57 % for Stannard Rock, 69 % for Spectacle Reef, and 50 % for Long Point according to error reduction ratios (Table 3)." (L15-18 in page 16, yellow highlighted).

6. *Page 15, line 28. Replace 'The' with 'These data'.*

Authors' response: Corrected. (L20 in page 16)

7. *Page 16, line 20. Space needed between 'sustained' and 'low'.*

Authors' response: Space added. (L12 in page 17)

8. *Page 17, line 17. 'more comprehensive.' in what? The authors need to elaborate how the models need to be improved and why.*

Authors' response: The sentence was updated to below (L13-16 in page 18):

"An ideal approach to improve model systems would have to be more comprehensive in terms of model variables of which a system is expected to provide best estimates. For example, in FVCOM, it may be a combination of improvements to a meteorological data set that drives the hydrodynamic model and to a bulk flux algorithm in it."

9. *Page 17, line 30. Insert 'from the present data set.' After 'possibility'.*

Authors' response: We decided to remove this phrase entirely as the discussed situation is unrealistic (L30 in page 18).

10. *Page 18, line 8. Replace '(i.e.' with 'and resulting in' and delete ')' after 'H'.*

Authors' response: Replaced. (L8 in page 19)

Responses to the comments by Anonymous Referee #2

The manuscript focuses on validation of modelled latent and sensible heat fluxes from the surface of the Great Lakes. Five algorithms from three parent models are tested against in situ data from Great Lakes Evaporation Network. It is in general a well written manuscript and a valuable contribution to the research field.

I would like to start with commenting the understandability of the abstract. I had to read the full manuscript before understanding the abstract. It is very technical, and I would suggest to refine the language to the extent that a non-expert can understand it. The introduction, however, is very well written and delivers the right message on why this study is of importance. The method section is also easy to follow, although some parts gets very technical, especially page 10-11. I understand that technical details have to be provided, but I would suggest to refine the text to make it more understandable. Furthermore, this part is not my expertise, so I cannot comment on the correctness of the algorithms. The results section is easy to follow, but I think section 3.3 (Error dependence) fits better in Supplementary materials. The discussion section is mostly focused on the limitations of the study. Some parts that are summarized in Conclusion section could have been brought up already in discussions to balance up the discussion on the limitations.

Authors' response: Thanks to the reviewer for the comments overall. Regarding the abstract, modifications have been made to attempt to make it clearer. One key thing based on the reviewer's comments is that the term "original algorithms" is removed, since this language presupposes the alterations to the algorithms that we experimented with, but which are not mentioned until later.

Regarding the methods section, some small changes have been made to help with clarity. In a nutshell, eqs. (1) and (2) are the most generic form of bulk flux equations and are shared by all of the algorithms. Eqs. (3)-(7) fill in some more detail, but basically are also included in all of the algorithms. At this point, details of the formulation become more subjective in the choice of form of the equations (explaining the difference among the algorithms), which are followed by calibration of parameters. Eqs. (8)-(11) are used by some algorithms and not others, and more details are left to references to the original sources. Eq. (9) is used in COARE and is also essentially the difference between the "original" and "updated" versions of the other four algorithms. We did some work to untangle the disparate notations of the original sources to distill down to the common threads expressed in eqs. (1)-(7).

The authors consider the first paragraph of the Conclusions section as highly positive. Essentially, COARE stood out as giving the best simulation of fluxes, and when one aspect of COARE's formulation was inserted into the other algorithms, their simulations were greatly improved, while there was very low sensitivity to other differences among algorithms. Therefore, we feel that we have identified the main problem and solution. The second paragraph does not speak ill of this study, but does give a caution for the impending scenario when the flux algorithms are put back into the context of the models FVCOM, WRF, and LLTM. "On the other hand" is now removed from the beginning of that paragraph. The third and fourth paragraphs are attempts at explaining the reasons behind certain summertime discrepancies between modeled and observed fluxes. This is necessary, but a more positive spin

is added at the beginning of the third paragraph by pointing out that the magnitude of cold-season fluxes is much larger and therefore influential on the annual energy budget. The final paragraph of the Conclusions section is specific to one of the stations, describing some of the problems with its site; we believe that we have done well in validating flux algorithms using the other stations.

Finally, we think that section 3.3 should remain in the main manuscript, rather than supplemental materials. Some statements in the summary and conclusion section are supported by this section.

Specific comments

[Page 7, Lines 25-26] Which version of COARE is used in the study – 2.6, 3.0 or 3.5?

Authors' response: "It is equivalent to COARE 3.0" is inserted (L2-3 in page 8, yellow highlighted), because the original code was ported into FVCOM from COARE 2.6, but modifications have been made that are equivalent to COARE 3.0.

[Page 14, Line 21] Remove the punctuation (.) after "into"

Authors' response: Removed.

[Page 14, Line 24] Remove on of the "due to"

Authors' response: Removed.

[Page 15, Line 6] Insert a "f" after "improvements o"

Authors' response: These previous three comments were already implemented in response to another set of comments.

[Page 17, Line 2] Replace (:) with (.)

Authors' response: Replaced.

[Page 17, Line 4] Insert "section" before "3.3"

Authors' response: Inserted.

[Page 18, Lines 13-14] Remove "were filtered out" at the end of the sentence

Authors' response: Removed.

[Page 33, Figure caption 1] Monitoring stations are referred to as lighthouse-based monitoring platforms when Fig. 1 is first brought up in the manuscript.

Authors' response: The caption now describes them as "offshore lighthouse-based monitoring stations".

Evaluating and improving modeled turbulent heat fluxes across the North American Great Lakes

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Abstract Turbulent fluxes of latent and sensible heat are important physical processes that influence the energy and water budgets of the North American Great Lakes. These fluxes can be measured *in situ* using eddy covariance techniques, and are regularly included as a component of lake-atmosphere models. To help ensure accurate projections of lake temperature and circulation and regional meteorology, here we validate the output of five algorithms used in three popular models to calculate surface heat fluxes; the Finite-Volume Community Ocean Model (FVCOM, with three different options for heat flux algorithm), the Weather Research and Forecasting (WRF) model, and the Large Lake Thermodynamics Model. These models are used in research and operational environments and concentrate on different aspects of the Great Lakes' physical system. We isolated only the code for the heat flux algorithms from each model and drove them using meteorological data from four over-lake stations within the Great Lakes Evaporation Network (GLEN), where eddy covariance measurements were also made, enabling co-located comparison. All algorithms reasonably reproduced the seasonal cycle of the turbulent heat fluxes, but all of the algorithms except for the Coupled Ocean Atmosphere Response Experiment (COARE) algorithm showed notable overestimation of the fluxes in fall and winter. Overall, COARE had the best agreement with eddy covariance measurements. The four algorithms other than COARE were altered by updating the parameterization of roughness length scales for air temperature and humidity to match those used in COARE, yielding sensible and latent heat fluxes with improved agreement with observations.

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1. Introduction

Simulating physical processes within and across large freshwater water bodies is typically achieved using oceanographic-scale models representing heat and mass exchange below, above, and across the air-water interface. Verification and skill assessment of these models is limited, however, by the quality and spatial extent of observations and data. The datasets available for validation of ocean dynamical models, for example, include satellite-based surface water temperatures (Reynolds et al., 2007), sea surface height (Lambin et al., 2010), and, when available, *in situ* measurements of sensible and latent heat fluxes (Edson et al., 1998). Dynamical and thermodynamic models for large lakes are often verified using similar measurements (Chu et al., 2011; Croley, 1989a, 1989b; Moukomla and Blanken, 2017; Xiao et al., 2016; Xue et al., 2017). However, the spatiotemporal resolution of *in situ* measurements for these variables in lakes is comparatively sparse (Gronewold and Stow, 2014), particularly for latent and sensible heat fluxes.

On the Laurentian Great Lakes (hereafter referred to as the Great Lakes), sensible and latent heat fluxes play an important role in the seasonal and interannual variability of critical physical processes including spring and fall lake evaporation (Spence et al., 2013), the onset, retreat, and spatial extent of winter ice cover (Clites et al., 2014; Van Cleave et al., 2014), and air mass modification including processes such as lake-effect snow (Wright et al., 2013). These phenomena, in turn, can impact lake water levels (Gronewold et al., 2013; Lenters, 2001), atmospheric and lake circulation patterns (Beletsky et al., 2006), and the fate and transport of watershed-borne pollutants (Michalak et al., 2013). For decades, Great Lakes dynamical and thermodynamic models simulating these processes have done so with minimal observations.

The Finite-Volume Community Ocean Model (FVCOM), for example, is a widely used hydrodynamic ocean model that has been found to provide accurate real-time nowcasts and forecasts of hydrodynamic conditions across the Great Lakes including currents, water temperature, and water level fluctuations across relatively fine spatiotemporal scales (Anderson et al., 2015; Anderson and Schwab, 2013; Bai et al., 2013; Xue et al., 2017). FVCOM is currently being developed, tested, and deployed across all of the Great Lakes as part of an ongoing update to the National Oceanic and Atmospheric Administration (NOAA) Great Lakes Operational Forecasting System (GLOFS). To date, however, there has been no direct verification of the turbulent heat flux algorithms intrinsic to FVCOM; this is an important step, in light of the fact that FVCOM flux algorithms were developed primarily for the open ocean and, until now, have

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been assumed to provide reasonable turbulent heat flux simulations across broad freshwater surfaces as well.

The Large Lake Thermodynamic Model (Croley, 1989a, 1989b; Croley et al., 2002; Hunter et al., 2015), LLTM, is a conventional lumped conceptual lake model. It is employed in seasonal operational water supply and water level forecasting by water resource and hydropower management authorities (Gronewold et al., 2011) and is used as a basis for long-term historical monthly average evaporation records (Hunter et al., 2015). It has historically been calibrated and verified using observed ice cover and surface water temperature, but not turbulent flux data. Among more complex atmosphere-lake model systems, the Weather Research and Forecasting (WRF) system is increasingly used in Great Lakes applications (Xiao et al., 2016; Xue et al., 2015). However, only with the Global Environmental Multiscale model (GEM; Bélair et al., 2003a, 2003b; Deacu et al., 2012), have observed turbulent fluxes have been employed to assess predictive skill of net basin supply and regional climate conditions over the Great Lakes (Deacu et al., 2012).

To address this gap in the development and testing of lake-atmosphere exchange physically-based models for use on the Great Lakes, we employ data from a network of relatively novel year-round offshore eddy-covariance flux measurements collected over the past decade at lighthouse-based towers. Specific focus in this study are to determine: 1) the capability of the flux algorithms in reproducing inter-annual, seasonal, and daily latent and sensible heat fluxes, 2) how much variability occurs in the simulated latent and sensible heat fluxes from using different flux algorithms with common forcing data (e.g. meteorology and water surface temperature), and 3) the source of such variability and simulation errors. In particular, we will address how different parameterizations of roughness length scales affect simulations of turbulent latent and sensible heat fluxes over the water surface of the Great Lakes.

2. Methods

We begin by describing the measured meteorology and turbulent heat fluxes data used in this study, followed by the flux algorithms within larger modelling frameworks, and lastly the intercomparison methods used to evaluate the performance of the flux algorithms. We selected the time period from January 2012 through December 2014; this period is ideally suited for our study

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since it allows for a comparison between two unusually warm (2012-2013) and unusually cold (2013-2014) winters (Clites et al., 2014).

2.1. Data

Meteorological and turbulent heat flux data were collected from four offshore, lighthouse-based monitoring platforms (Fig. 1): Stannard Rock (Lake Superior), White Shoal (Lake Michigan), Spectacle Reef (Lake Huron), and Long Point (Lake Erie). These observations are collected as part of a broader collection of fixed and mobile-based platforms collectively referred to as the Great Lakes Evaporation Network (GLEN, Lenters et al., 2013). These installations are referred to by the National Data Buoy Center (NDBC) as stations STD4, WSLM4, and SRLM4 at Stannard Rock, White Shoal, and Spectacle Reef, respectively.

With the exception of Long Point, footprint analysis indicates each station is located sufficiently distant from shore so that there is no influence of the land surface on the turbulent flux measurements (flux footprint calculations show this to be predominantly the case during most times of the year; Blanken et al., 2011). Long Point, however, is located at the tip of a narrow, 40-km peninsula extending into Lake Erie. As a result, measured fluxes can be influenced by the upwind land surface when the wind direction is between 180° and 315° , so these data were removed when measured wind directions were within this range.

2.1.1. Turbulent heat flux measurements

All four eddy covariance systems follow conventional protocols for calculating turbulent fluxes, such as those established on Great Slave Lake (Northwest Territories, Canada) by Blanken et al. (2000). 30-minute mean turbulent fluxes of sensible and latent heat (λE and H , respectively; W m^{-2} ; positive upward from the surface) were calculated from 10-Hz measurements of the vertical wind speed (w ; m s^{-1}), air temperature (T ; $^\circ\text{C}$), and water vapor density (ρ_v ; g m^{-3}). Wind speed was measured using a 3D ultrasonic anemometer (Campbell Scientific CSAT-3), while water vapor density was measured using a krypton hygrometer (Campbell Scientific KH20). The statistics (means and covariances) of the high-frequency data were collected and processed at 30-minute intervals using a Campbell Scientific dataloggers. Corrections to the eddy covariance measurements included 2D coordinate rotation (Baldocchi et al., 1988), and corrections for air density fluctuations (Webb et al. 1980), sonic path length, high-frequency attenuation, and sensor

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separation (Horst, 1997; Massman, 2000). Instrument heights above the mean water levels for meteorological and the eddy covariance measurements were 32.5 m at Stannard Rock, 29.5 m at Long Point, 30.0 m at Spectacle Reef, and 42.8 m at White Shoal.

As noted in section 2.1, the eddy covariance data at Long Point were filtered out when wind direction was between 180° S- 315° NW to remove the land surface influence on the measured latent and sensible heat fluxes. We also applied cross-check filtering for the eddy covariance data at White Shoal and Spectacle Reef. The two stations were relatively close in distance and the measured latent and sensible heat fluxes at these stations were mostly similar, with daily averaged values differing by less than 100 W m^{-2} (except during the ice-covered periods, which were not a focus of this study). There were outliers during July and August 2014 where the measured fluxes differed at the two stations by greater than 100 W m^{-2} . These data were removed, resulting in $\sim 5\%$ loss of data points at White Shoal and Spectacle Reef. See Blanken et al. (2011) and Spence et al. (2011, 2013) for details of the measurements and flux corrections.

2.1.2. Meteorological data and water surface temperature

At the same heights as the turbulent flux instruments, half-hourly meteorological variables of wind speed, air temperature and relative humidity, and air pressure were obtained using RM Young wind sensors, Vaisala HMP45C thermohygrometers, and barometers (varied by site), respectively. Air pressure at Spectacle Reef was not measured and was approximated using data from the White Shoal station, a reasonable assumption given their close proximity. Water surface temperature for model input is taken from Great Lakes Surface Environmental Analysis (GLSEA, <https://coastwatch.glerl.noaa.gov/glsea/doc/>), which is a composite analysis based on NOAA Advanced Very High Resolution Radiometer (AVHRR) imagery. Lake surface temperatures are updated daily with an interpolation method using information from the cloud-free portions of the satellite imagery within ± 10 days. The closest pixels to the observation sites were chosen to provide model inputs of water surface temperature. Ice concentration data provided by the National Ice Center (NIC) were used to decide whether eddy covariance measurements at each GLEN site were affected by ice cover. When ice concentration at the closest pixel to a GLEN station was greater than zero, we did not use any data for our comparison (i.e. the observed heat

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fluxes, water surface temperature, and meteorological data). This was because the study focused on evaluating the turbulent heat fluxes over water during ice-free periods.

Infrared thermometers (IRTs, Apogee IRR-T) were also installed on the observation platforms to measure water surface temperature. However, test simulations showed that the flux values simulated using the water surface temperature from the IRTs were generally less reliable than when using the GLSEA data. Blanken et al. (2011) found that about 30% of the IRT-measured lake surface temperature observations were unreliable due to condensation, frost, and interference from other surfaces (e.g., the lighthouse or sky). It is likely that this issue affected the accuracy of IRT-measured water surface temperature during the period of our study. Therefore, we did not use the IRT-based measurements of water surface temperature as input to the simulations.

2.2. Flux algorithms

Five different flux algorithms ~~that~~ are incorporated into ~~the three~~ hydrodynamic/atmospheric/hydrologic models that are frequently used for Great Lakes operational and research applications ~~were evaluated~~ (Fig. 2).

In an early stage of its development, FVCOM required prescribed heat fluxes as forcing, ~~not calculated, variables~~ (Chen et al., 2006a, 2006b). ~~In a subsequent version of FVCOM (Version 2.7), turbulent fluxes were calculated using~~ the Coupled Ocean Atmosphere Response Experiment (COARE) Met Flux Algorithm version 2.6 (Fairall et al., 1996a,b) was first adopted in the official FVCOM (Chen et al., 2006a). The COARE Met Flux Algorithm is one of the most frequently used algorithms in the air–sea interaction community. It was subsequently modified and validated at higher winds in the version known as COARE 3.0 (Fairall et al., 2003) and the latest version COARE 3.5 (Edson et al., 2013) includes wave influences on the Charnock parameter (Charnock, 1955). FVCOM mostly incorporated these updates as the model was upgraded, including provision for freshwater implementation, except that the latest version of FVCOM (version 4.0) has not yet included wave influences on the Charnock parameter. Hereafter we refer to the COARE implementation in FVCOM as COARE, ~~and it is equivalent to COARE 3.0~~. In FVCOM version 3 and later, two additional flux calculation algorithms were added (Chen et al., 2013): One was adapted from a flux coupler in the Community Earth System Model (CESM, Jordan et al. 1999; Kauffman and Large 2002) and also built into the code of the Los Alamos Sea Ice Model (CICE, Hunke et al., 2015). This algorithm will hereafter be referred to as J99 (i.e., Jordan et al., 1999).

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The other algorithm, hereafter referred to as LS87 (Liu and Schwab, 1987) was originally developed at NOAA's Great Lakes Environmental Research Laboratory (GLERL) and subsequently used in a variety of Great Lakes research and operational applications (Anderson and Schwab 2013; [Beletsky et al. 2003](#); Rowe et al. 2015; [Wang et al. 2010](#); and many others).

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Inclusion of LS87 in FVCOM was tied to the fact that the algorithm was historically part of real-time nowcasts and forecasts of NOAA's GLOFS, which is based on the Princeton Ocean Model, and that GLOFS is transitioning its physical model to FVCOM.

The WRF model (Skamarock et al., 2008) is increasingly used for regional weather and climate model applications over the Great Lakes (Benjamin et al., 2016; Xiao et al., 2016; Xue et al., 2015). The WRF model includes a one-dimensional lake model that thermodynamically interacts with the overlaying atmosphere (WRF-lake, Bonan, 1995; Gu et al., 2015; Henderson-Sellers, 1986; Hostetler and Bartlein, 1990; Hostetler et al., 1993; Subin et al., 2012) and is adapted from the [lake component within the](#) Community Land Model version 4.5 ([CLM 4.5](#), Oleson et al., 2013; Zeng et al., 1998). The algorithm for the turbulent heat flux calculation in WRF-lake is mainly based on Zeng et al., (1998) [except that roughness length scales for temperature and humidity are constant for its WRF-lake application while they are updated dynamically in CLM 4.5](#). Hereafter, this algorithm [in its WRF-lake application](#) is referred to as Z98L.

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Finally, we include the flux algorithm from the LLTM (Croley, 1989a,b; Croley et al., 2002; Hunter et al., 2015), which is a lumped conceptual lake model that was developed for hydrological research and forecasting for the Great Lakes. LLTM is developed to simulate evaporation and heat fluxes as a lake-wide average, rather than spatially distributed. This algorithm is based primarily on the work of Croley et al. (1989a,b) and is hereafter referred to as C89.

All of the above algorithms are based on applications of Monin-Obukhov similarity theory (Kantha and Clayson, 2000b; Obukhov, 1971), where the turbulent fluxes of sensible heat, latent heat, and momentum are expressed with [state variable magnitudes associated with surface friction-](#) $-T^*$, q^* , u^* for air temperature, specific humidity, and horizontal wind velocity, respectively. In each algorithm, the major differences are in the derivation of the bulk transfer coefficients, C_H and C_E for the sensible heat ([H](#)) and the latent heat ([LE](#)), respectively, in the bulk expressions to calculate the sensible and latent heat fluxes:

$$H = \rho_a c_p C_H S (\theta_w - \theta_a), \quad (1)$$

$$\lambda E = \rho_a \lambda C_E S (q_w - q_a), \quad (2)$$

where ρ_a is the density of air; c_p and λ are the specific heat of air and the latent heat of vaporization, respectively; S is the average value of wind speed [that includes the effect of the gustiness velocity in addition to horizontal wind speed \$U\$](#) (defined later); and θ_w and θ_a (q_w and q_a) are potential temperature (specific humidity) of the water surface and of air at the measurement height, respectively.

The transfer coefficients have a dependence on atmospheric stability that can be expressed as:

$$C_D = \kappa \left[\ln \left(\frac{z}{z_0} \right) - \Psi_M(\zeta) \right]^{-2} \quad (3)$$

$$C_{H,E} = \kappa Pr_t^{-1} \left[\ln \left(\frac{z}{z_0} \right) - \Psi_M(\zeta) \right]^{-1} \left[\ln \left(\frac{z}{z_{0\theta,q}} \right) - \Psi_{\theta,q}(\zeta) \right]^{-1} \quad (4)$$

$$u^* = S \sqrt{C_D} \quad (5)$$

$$T^* = \Delta \theta \sqrt{C_H} \quad (6)$$

$$q^* = \Delta q \sqrt{C_E}, \quad (7)$$

where z_0 , $z_{0\theta}$, and z_{0q} are roughness length scales for momentum, temperature, and humidity respectively; C_D is the drag coefficient; κ is von Kármán constant ([0.40 for COARE, Z98L, and J99; 0.41 for C89, and 0.35 for LS87](#)); Pr_t is the turbulent Prandtl number ([1.0 is used in all the algorithms](#)); and $\Psi_{M,\theta,q}(\zeta)$ are the integrated forms of stability functions for momentum, temperature, and humidity, respectively. All algorithms assume that temperature and humidity have a common value of Ψ , i.e. $\Psi_\theta = \Psi_q = \Psi_M$. $\zeta = z/L$ is the stability factor, where L is the Obukhov length and z is the measurement height.

Differences among the algorithms are primarily in how they estimate $\Psi_{M,\theta,q}(\zeta)$ and z_0 . The profile functions $\Psi_{M,\theta,q}(\zeta)$ are typically divided into three regimes, namely unstable, mildly stable, and strongly stable. All the algorithms use Businger-type parameterizations (Businger et al., 1971; Kraus and Businger, 1995) for the unstable regime (Table 1), except COARE which includes convective behaviour in highly unstable conditions by introducing a stability function for a

convective limit (Fairall et al., 1996a; Supplemental Tables 1 and 2). For stable conditions, Holtslag et al. (1990) is used in LS87, C89, and Z98L while Beljaars and Holtslag (1991) is used in J99 and COARE (Table 1). Note that there are minor differences in coefficients of $\Psi_{M,\theta,q}(\zeta)$ in the algorithms, which can be found in Supplemental Tables 1 and 2.

The roughness length scale for momentum, z_0 , is often parameterized as a function of friction velocity u^* or the average value of wind speed S . The LS87, C89, and COARE algorithms apply Charnock's formula (Charnock, 1955; Smith, 1988):

$$z_0 = \frac{\alpha u^{*2}}{g} + \frac{0.11\nu}{u^*}, \quad (8)$$

where z_0 is the roughness length scale of momentum, α is the Charnock parameter, g is the acceleration due to gravity, and ν is kinematic viscosity. Because the value of z_0 feeds back into the value of u^* via equations (3) and (5), equation (8) must be solved iteratively to arrive at final values of these variables. Here, COARE calculated the Charnock parameter α as a function of wind speed, while LS87 and C89 use a constant α (Table 1). In contrast to the Charnock formula (equation 8), J99 directly calculates z_0 as a function of wind speed based on Large and Pond (1981), while Z98L assumes z_0 to be a constant 0.001 m. In the original paper of Zeng et al. (1998), non-constant parameterizations for roughness length scales were used, namely Smith (1988) for momentum and Brutsaert (1982) for temperature and humidity. The constant value in Z98L is likely related to the fact that the implementation in WRF handles the lake surface as part of various land surface types, whose roughness lengths for momentum are often assumed to be constant (Mitchell et al., 2005; Oleson et al., 2013), while the original work of Zeng et al. (1998) assumed ocean surface applications.

Evidence suggests z_0 can be significantly larger than $z_{0\theta,q}$, because momentum is transported across the air-sea interface by pressure forces acting on roughness elements, while heat and water vapor must ultimately be transferred by molecular diffusion across the interfacial sublayer (Brutsaert, 1975; Garratt, 1992; Kantha and Clayson, 2000a). However, many land and lake models, including four of the five algorithms used in this study, assume the same roughness length for momentum and heat transfer; for example, Croley (1989b, C89); Liu and Schwab (1987, LS87); Oleson et al. (2013); Zeng et al. (1998, Z98L); the CICE application (J99), the previous

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NCEP Eta model described in Chen et al., 1997; [and the](#) Canadian operational weather and hydrologic models described in Deacu et al. (2012). Deacu et al. (2012) showed that the same value for z_0 and $z_{0\theta,q}$ resulted in overestimation of turbulent heat fluxes over Lake Superior, and that the overestimation was reduced by using the smooth surface parameterization for $z_{0\theta,q}$, with an empirical coefficient based on Beljaars (1994).

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As part of the current study, we intend to conduct a similar experiment to Deacu et al. (2012), namely, updating the original $z_{0\theta,q}$ parameterization in the LS87, C89, Z98L, and J99 algorithms to a more realistic parameterization. We conduct this experiment to identify errors in λE and H simulations with [these algorithms'](#) original $z_{0\theta,q}$ [formulation](#) and to evaluate how much the errors could be reduced [in this way](#). We use an alternative $z_{0\theta,q}$ formulation that is based on Fairall et al. (2003), which is used in COARE. The formulation utilizes the Liu–Katsaros–Businger model (LKB; Liu et al., 1980), with updates described in Fairall et al. (2003), where a simpler empirical relationship was formulated to represent the LKB model, based on a fit to observational data:

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$$z_{0\theta,q} = \min (1.6 \times 10^{-4}, 5.8 \times 10^{-5} Rr^{-0.72}) , \quad (9)$$

where $Rr = u^* z_0 / \nu$ is the roughness Reynolds number, [which is also updated throughout the iterations](#). We test both of the original and updated parameterizations for $z_{0\theta,q}$ in the heat flux simulations.

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“Gustiness” velocity w_g is included in Z89L and COARE to account for the additional flux induced by the convective boundary layer in low wind speed regimes. The average value of wind speed S is defined as,

$$S = \sqrt{U^2 + w_g^2} , \quad (10)$$

where U is the mean horizontal wind speed. w_g is defined as

$$w_g = \beta \frac{g}{\rho_a} \left[\frac{H}{c_p T} + 0.61E \right] , \quad (11)$$

where β is an empirical constant set to $\beta=1.2$ in COARE and $\beta=1.0$ in Z89L. Further details of the gustiness velocity formulations are described by Fairall et al. (1996a). In LS87, C89, and J99, S is assumed to be identical to U .

All algorithms require meteorological inputs of horizontal wind speed U , potential air temperature θ_a , potential temperature at the water surface θ_w , a humidity-related variable (dew point for LS87, relative humidity for C89, Z98L, COARE, and specific humidity for J99), air pressure, and sensor height. These meteorological inputs should represent a temporal mean field over the corresponding eddy covariance measurement. U , θ_a , and θ_w can be directly used in eqs. (1) and (2), while q_w and q_a need to be derived from relative humidity, water surface (or air) temperature, and air pressure.

2.3. Intercomparison methods

We take the following steps to compare and verify simulated sensible and latent heat fluxes against observed fluxes:

- 1) The five algorithms were forced by half-hourly meteorological data (U , θ_a , θ_w , relative humidity, air pressure). Missing values were assigned for simulated heat fluxes when any observed values of U , θ_a , θ_w , and relative humidity were not available or when lake ice was present at a site.
- 2) Temporal averaging was applied to simulated and observed fluxes. We first calculated daily averaged λE and H . Gap-matching was applied to the simulated and observed fluxes. If either of the simulated or observed λE (H) were missing values at a half-hourly time step, both the simulated and observed λE (H) at this time step were not used for daily averaging. This was conducted so that daily averages from the simulation (roughly continuous in time) were adequately compared with those from the observations, which had more frequent gaps. When more than 24 out of 48 data points were missing in a day, a missing value (-9999) was assigned. For time series comparison, a 10-day moving average was applied to simulated and observed fluxes in order to smooth the synoptic variability and highlight comparison of the respective seasonal cycles. Daily averaging was used for one-to-one comparisons (i.e. scatter plots).
- 3) Root-mean-square errors (RMSEs) and mean bias were calculated for daily sensible and latent heat fluxes.

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4) Errors of daily λE and H were calculated as functions of $\theta_w - \theta_a$, $q_w - q_a$, U , $C_{H,E}$, and ζ .

3. Results

3.1. Observed and modeled seasonal cycles

Figure 3 shows the time series of air temperature, water surface temperature from GLSEA, relative humidity, and wind speed at the four stations. The time series for Stannard Rock are relatively **gap-free** throughout the three years, while there are some data gaps in the time series for the other stations. The air temperature time series are characterized by a typical seasonal cycle, along with relatively warm and cold winters in 2012-2013 and 2013-2014, respectively (Fig. 3a). This is also reflected in the water surface temperature time series (Fig. 3b), where only White Shoal and Long Point were affected by ice cover in the winter (January-March) of 2012-2013, shown as gaps in the time series, whereas all four stations were affected by ice cover in the winter of 2013-2014. In the spring and summer of 2012 (April-September), the water surface temperature was anomalously warm compared with the same periods of 2013 and 2014 (particularly at Stannard Rock). Relative humidity generally fluctuated between 50% and 90% (Fig. 3c), while wind speed (Fig. 3d) shows a weak seasonal cycle of relatively high wind speeds during fall and winter (October-March) and lower wind speeds during spring and summer (April-September).

Figures 4-7 show visual comparisons of 10-day moving average time series of λE and H at each of the four stations. Overall, all five algorithms simulated the general seasonal cycles of λE and H , including the observed high fluxes during fall and winter and low fluxes during summer and spring that is typical for large North American lakes (Blanken et al., 1997, 2000, 2011; Spence et al., 2011). On the other hand, there are notable overestimations of λE and H by the original algorithms, particularly at Stannard Rock (Fig. 4) in the fall (λE) and winter (H).

The **observed λE and H** at Stannard Rock **are** largely gap-free (Fig. 4), showing most continuous timeseries of seasonal cycles, aside from periods of high ice coverage during the cold winter of 2013-2014. A few additional data gaps also occurred, including late summer of 2012, a longer data gap during January-May 2014, and very short data gap during December 2013.

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At Stannard Rock, Late-fall (October-December) λE and H were relatively low in 2012 (3-

month averages 84 W m^{-2} for λE and 55 W m^{-2} for H) and high in 2013 (119 W m^{-2} in λE , 85 W m^{-2} for H), indicating preconditioning of the following mild and severe winters, respectively.

During spring and summer of both years (April-September), the observed λE and H were much

lower due to the cool lake surface relative to the overlying air. The simulated λE and/or H mostly reproduced these lower values, but also showed occasionally negative values (Fig. 4), such as during May 2012 and July 2014. During these periods, the air was predominantly warmer than the water surface (i.e. $T_w - T_a < 0$, Fig. 1), and specific humidity gradients were near zero during May 2012 and reversed (i.e., air-to-water) during July 2014, forcing the algorithms to simulate near-zero and negative (i.e. downward) fluxes, respectively. However, the observed λE and H fluxes remained close to zero, but slightly positive.

The forcing dataset for White Shoal (Fig. 3) is relatively gap-free as well, but there was an missing data period before October 2013 for λE , and data gaps in H due to ice cover (Fig. 5). White Shoal tends to be influenced by ice cover even in mild winters, since typical south-westerly winds push ice in Lake Michigan downwind, causing ice accumulation in northern parts of the lake near White Shoal. As such, the exclusion of turbulent flux data for this analysis during the mild winter of 2012-2013 at White Shoal was due to ice cover. These observations also showed contrasting late-fall heat fluxes during the two years: three-month average H was 40 W m^{-2} during October-December 2012 and 61 W m^{-2} during October-December 2013. Some model underestimation of the sensible heat flux (H) occurred during July-September 2013 and June-October 2014.

The Spectacle Reef forcing dataset (Fig. 3) and flux dataset (Fig. 6) both contained a long gap from March 2012 to September 2013 due to electrical problems from lightning strikes. A data gap in λE and H during January-March 2014 was due to ice cover, but unlike White Shoal, Spectacle Reef is less affected by ice cover. This is because winds carry ice that forms nearshore toward the east and offshore in Lake Huron, keeping the area around the flux tower largely in open water. Indeed, the station was not affected by ice cover in the winter of 2012-2013 (based on the NIC data), but this period was included in the above-referenced long data gap due to lightning strikes.

The dataset at Long Point (Fig. 7) shows the largest number of data gaps due to the additional filtering according to wind direction of 180° (S)- 315° (NW), which included typical south-westerly winds in this region. The significant data gaps at Spectacle Reef and Long Point, therefore, do not allow us to compare the late-fall fluxes between the anomalous two years. However, for the

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purpose of the algorithm verification, the data at the two stations were still valuable, and forcing datasets were largely continuous (Fig. 3).

Also shown in Figs. 4-7 are model results using both the original and updated $z_{00,q}$ parameterizations (eq. 9). The original results of LS87, C89, Z98L, and J99 showed overestimation of λE and H at Stannard Rock by anywhere from 33-50% for most of the algorithms to ~80% overestimation for Z98L (both λE and H) and LS87 (λE) (Fig. 4, Table 2). These overestimations were particularly obvious during high flux events in fall and winter (October-March). The overestimation at Stannard Rock was significantly lessened to roughly 24-33% error by using the updated $z_{00,q}$ formula (eq. 9). This is consistent with the findings of Deacu et al. (2012), who showed the improvements of simulated latent and sensible heat flux simulation by updating the roughness length scale parameterization at Stannard Rock for the December 2008 simulation period. Similar improvements are noted at White Shoal (Fig. 5), Spectacle Reef (Fig. 6), and Long Point (Fig. 7).

3.2. Comparison of daily mean fluxes

While the 10-day running mean time series of λE and H provided an effective way to illustrate the overall cycle (Figs. 4-7), abrupt changes in λE and H often occur on daily timescales, caused by the passage of frontal systems and cold air outbreaks (Blanken et al., 2008). Thus, we further evaluated the performance of the various algorithms at daily timescales by means of scatter plots of observed and modeled daily mean heat fluxes (Figs. 8 and 9). Data points of λE (Fig. 8) diverged more from the 1:1 line than H (Fig. 9), showing both overestimated fluxes (at Stannard Rock and Long Point with Z98L) and underestimated fluxes (at Spectacle Reef). Overall, the updated $z_{00,q}$ formula reduced simulated λE , generally bringing the fluxes into better agreement with observations. An exception to this occurred for λE at Spectacle Reef, where the agreement became slightly worse with the updated formulation. The error reduction ratio was negative and the mean bias was more negative with the updated formulation at this station (Table 2). For H (Fig. 9, Table 3), notable overestimation was seen in the original J99, LS87, and Z98L, particularly at relatively large heat loss values ($> \sim 300 \text{ W m}^{-2}$). At Stannard Rock, Spectacle Reef, and Long Point, this overestimation was significantly improved with the updated $z_{00,q}$ formula. At White Shoal, however, the improvement was not as

significant, 28 % compared to 57 % for Stannard Rock, 69 % for Spectacle Reef, and 50 % for Long Point according to error reduction ratios (Table 3).

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Stannard Rock showed small groups of λE and H around the origin, where the simulated fluxes underestimated the observed fluxes (i.e. below the 1:1 line). These data represented two summer periods when the observed fluxes were near zero, but the simulated fluxes were negative (see the discussion in section 3.1). At White Shoal, there was a population of H values below the 1:1 line, representing periods when the simulation results underestimated the observations during July-September 2013 and June-October 2014 (see the discussion in section 3.1).

3.3. Error dependence on meteorological conditions and transfer coefficients

Figures 10 and 11 show the magnitude of error in simulated daily λE and H (i.e., difference from observations) as functions of $\theta_w - \theta_a$, $q_w - q_a$, C_H , C_E , U , and $\zeta = z/L$ for the five algorithms at Stannard Rock. Similar results were observed in the error and bias analyses at the other sites (supplementary Figures S1-S6). There are several features common in all the algorithms: The H (λE) errors were positively correlated with $\theta_w - \theta_a$ ($q_w - q_a$) for negative values of $\theta_w - \theta_a$ ($q_w - q_a$); the amplitudes of the errors become large (both positive and negative) as wind speed increases; and the majority of data are in the range $-2 < \zeta < 0$ (unstable). Most notably, the transfer coefficients C_H and C_E were significantly reduced with the updated $z_{0\theta,q}$ formula, which also reduced the error in the λE and H simulations. This was to be expected, since $z_{0\theta}$ and z_{0q} are directly translated into C_H and C_E respectively. The study period did not include the occurrence of highly unstable conditions ($\zeta \ll -1$, Figs. 10 and 11, Supplemental figures S1-S6). Therefore, the period was not sufficient to evaluate the convective behaviour treatment in COARE. Also, the study period did not include sustained low wind speeds. Fairall et al. (1996a) note that the gustiness parameterization has only a modest effect until the wind speed becomes less than $2\text{--}3 \text{ m s}^{-1}$. The wind speeds during our study period were mostly greater than 3 m s^{-1} (Figs. 10 and 11, Supplemental figures S1-S6) and therefore did not allow us to evaluate the influence of the gustiness parameterizations in COARE and Z98L.

4. Discussion

The simulation results of four of the five algorithms investigated here (J99, C89, LS87, Z98L) were overall improved by the updated $z_{00,q}$ formula (Eq. 9), bringing the simulation results into closer correspondence with the COARE simulations. In our study period, we did not see clear advantages in the simulation results with the other differences among the algorithms. For example, we did not observe a clear difference in the results when using the various stability functions (i.e. $\Psi_{M,0,q}$) in the algorithms. Evaluations of the convective behaviour treatment in COARE and the gustiness effect in COARE and Z98L were not possible as our study period did not have appropriate conditions, as mentioned in section 3.3. The notably smaller value of von Kármán constant used in LS87 (0.35) also contributes to the simulated λE and H . Indeed, a test simulation with $\kappa=0.41$ with LS87 resulted in $\sim 30\%$ larger values of λE and H (not shown). However, this makes the algorithm's overestimation of λE and H even worse. Thus, the most important factor in our analyses to improve the λE and H simulations was the parameterization of roughness length scales for temperature and humidity ($z_{0\theta}$ and z_{0q}). Formulae for $z_{0\theta,q}$ with smooth surface parameterization (such as Eq. 9) have been widely used for air-sea interaction modeling (e.g. Beljaars, 1994; Fairall et al., 2003) and have been also verified in lake applications (Deacu et al., 2012). It is reasonable that future updates of the four algorithms should include the updated or similar formulation of $z_{0\theta,q}$.

The inclusion of the updated $z_{0\theta,q}$ formula will not guarantee immediate improvement of the parent model systems. This is because each of the model systems is complex and must embrace uncertainties from all aspects, including forcing, dynamics, and boundary conditions. Typically, such a system is calibrated to provide best estimates of certain variables for its own purpose (e.g. water temperature for the implementation of FVCOM in GLOFS), and a sudden change to a single aspect of the system would lose a balance that has been achieved by extensive calibration. An ideal approach to improve model systems would have to be more comprehensive in terms of model variables of which a system is expected to provide best estimates. For example, in FVCOM, it may be a combination of improvements to a meteorological data set that drives the hydrodynamic model and to a bulk flux algorithm in it.

Simulated negative values of λE and H contrast with near zero, but positive, observed values during summer at Stannard Rock (Fig. 4, around May 2012 and July 2014). Although the

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magnitude of these negative values is much smaller than the positive values in other seasons which are more influential on the annual energy budget, there is still an issue that the reasons behind this discrepancy that are not fully understood. A similar discrepancy was found at Long Point (Fig. 7, around April 2013) and at White Shoal (Fig. 5, around June 2014) although the discrepancy was only for H and the magnitude of the discrepancy was smaller than at Stannard Rock. The discrepancy remained even after updating the $z_{00,q}$ formula. During these periods, the temperature gradients between the air (at sensor heights) and at the water surface were commonly negative (the air was warmer) and wind speeds ranged from 6-12 m s⁻¹, resulting in the negative fluxes (i.e., downward) simulated by the bulk flux algorithms. One possible reason is that the sensors were above the constant flux layer during these periods, and therefore, the similarity theory on which the bulk flux algorithms are based on was not applicable. However, given the sensor heights at these stations, such a condition are likely rare.

Other possible sources of the discrepancy could be in the forcing data, particularly, uncertainties in the GLSEA water surface temperature data. As described in section 2.1.2, the information for cloudy areas is created using an interpolation method from the satellite imageries within ± 10 days. Therefore, the GLSEA data tends to have lower accuracy and could miss abrupt changes in water surface temperature for cloudy days. The IRT-measured water surface temperature showed somewhat warmer water surface temperature than the GLSEA data during these discrepancy periods (Supplemental figure S7), indicating possible underestimation of water surface temperature in the GLSEA data and resulting in false negative λE and H . However, we concluded earlier that the accuracy of the IRT-measured water surface temperature was limited (see section 2.1.2). An ideal way to confirm the GLSEA accuracy for such analyses would be an *in situ* water surface temperature measurements at the flux tower sites using buoys, for example (which is now being done at Stannard Rock and planned for White Shoal). Also, a recent experimental method by Moukomla and Blanken (2016), who derived water surface temperature from MODIS (or Moderate Resolution Imaging Spectroradiometer) for all-sky conditions, may be tested in the future.

The normalized RMSEs at Long Point were worse than those at the other stations even though we filtered out the data with wind directions in the range of 180° S -315° NW, which should be sufficiently large to remove any possible land surface contamination. We again suspect the water surface temperature data could be an error source. As noted in section 2.1, the station is on the

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shore of a narrow peninsula extending into Lake Erie. The satellite-based observations of water surface temperature tend to lose their accuracy near the coast [due to pixel contamination](#), and thus the GLSEA accuracy at this station could be lower. For such a location, FVCOM, a full hydrodynamic model, may be appropriate to reproduce the observed fluxes, but it should have sufficient horizontal resolution to represent the complex bathymetry around the peninsula, which is essential to reproduce the spatial pattern of heat capacity in the water column correctly, and therefore, the water surface temperature.

5. [Summary and conclusions](#)

This study focused on the validation of surface latent and sensible heat fluxes (λE and H , [respectively](#)) from the surface of the Great Lakes. We isolated the surface flux algorithms commonly used in Great Lakes physical modeling and tested each algorithm using observed meteorology and lake surface temperatures by comparing their output to several eddy covariance stations within the GLEN that measure *in situ* lake surface fluxes. All algorithms reproduced the seasonal cycle of λE and H reasonably well during a warm period (2012-mid 2013) and cold period (late 2013-2014). However, four of the original algorithms (i.e., except for COARE) presented notable disagreement with the observations under certain conditions; significant positive biases in H were found under high upward ([cooling of water surface](#)) heat flux conditions for the algorithms other than COARE; the errors in H were also positively correlated with the temperature difference between air and water.

These errors were significantly improved by introducing the updated $z_{00,q}$ formula based on Fairall et al., (2003), which is well supported by the air-sea interaction modeling community. The update led to reduced transfer coefficients C_H and C_E , reducing the overestimation of the simulated heat fluxes. [With the updated formula for \$z_{00,q}\$, the four models \(LS87, C89, J99, Z98L\) simulated heat fluxes similar to COARE's.](#) While it is reasonable to adopt the updated formula in the parent model systems where these algorithms are included, this does not guarantee immediate improvement of simulations by the parent model systems, since these model systems are calibrated to provide best simulations for certain variables by embracing uncertainties in all aspects. We used *in situ* meteorological forcing to drive the algorithms, which is generally ideal, but in operational

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practice, it is not possible to use *in situ* data over the entire lake surface. For example, GLOFS uses interpolated and/or model-forecasted meteorological forcing, which inevitably includes additional sources of error.

It should not be a great surprise that adjustment of roughness length $z_{0\theta,q}$ is a primary factor in correcting turbulent fluxes. In eqs. (3) and (4), $z_{0\theta,q}$ and $\Psi_{M,\theta,q}(\zeta)$ are the only terms for which some discretion is left for the algorithm to specify a value. One anchoring point for $\Psi_{M,\theta,q}(\zeta)$ is that it must be zero for neutral stability conditions. As long as the algorithms' values of $\Psi_{M,\theta,q}(\zeta)$ do not disagree strongly, the value of $z_{0\theta,q}$ will primarily control the turbulent fluxes.

We successfully evaluated the flux algorithms, which are an important aspect of Great Lakes water and energy balance modeling, and we identified and reduced errors in simulated heat fluxes from these algorithms. We recommend that bulk flux algorithms use an appropriate parameterization for $z_{0\theta}$ and z_{0q} instead of assuming them equal to z_0 , or simply employ the COARE algorithm, which presented the best agreement with the eddy covariance measurements in this study. We also recommend simultaneous *in situ* measurement of water surface temperature at the flux tower locations in order to allow more robust comparison between the eddy covariance measurements and simulated λE and H by a column model (e.g., the five algorithms independently driven by the forcing data in this study).

Accurate simulation of the turbulent heat fluxes from the lake surface is important to a wide range of lake-atmosphere and earth system applications, from long-term water balance estimates to numerical prediction of lake levels, weather, lake ice, and regional climate. Communities are increasingly dependent on numerical geophysical models for these types of applications. The continued monitoring of turbulent heat fluxes at the offshore GLEN sites is critical for such models to be improved in future studies.

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Table 1 – Summary of flux algorithm specifications.

Algorithm name	Parent Model	Stability		Parameterization of roughness length scales for ↓		Gustiness	References
				momentum z_0	temperature and humidity $z_{0\theta,q}$		
		Unstable	Stable			No	
LS87	FVCOM	Similar to Businger et al. (1971)	Holtslag et al. (1990)	$z_0 = \alpha \frac{u^{*2}}{g} + 0.11 \frac{\nu}{u^*}$ $\alpha=0.011$	$z_0 = z_{0,q}$	No	Liu and Schwab (1987)
C89	LLTM	Businger et al. (1971)	Holtslag et al. (1990)	$z_0 = \alpha \frac{u^{*2}}{g}$ $\alpha=0.0101$	$z_0 = z_{0,q}$	No	Croley, 1989a,b)
Z98L	WRF-Lake	Businger et al. (1971)	Holtslag et al. (1990)	$z_0 = 0.001 \text{ m}$ (Smith, 1988 for ocean)	$z_0 = z_{0,q}$ (Brutsaert, 1975 for ocean)	Fairall et al. (1996), $\beta=1.0$	Zeng (1998)
J99	FVCOM, UG-CICE	Businger et al. (1971)	Beljaars and Holtslag, (1991)	$z_0 = \text{zexp} \left[-\kappa \left(\frac{2.7 \times 10^{-3}}{U} + 1.42 \times 10^{-4} + 7.64 \times 10^{-5} U \right)^{-1} \right]$ (Large et al., 1994)	$z_0 = z_{0,q}$ (Jordan (1999) used Andreas, (1987) for ice surface.	No	Jordan (1999), Hunke et al. (2015)
COARE	FVCOM	Businger et al. (1971), Convective behavior: Fairall et al. (1996)	Beljaars and Holtslag, (1991)	$z_0 = \alpha \frac{u^{*2}}{g} + 0.11 \frac{\nu}{u^*}$ α : function of wind speed	$z_{0,q} = \min (1.6 \times 10^{-4}, 5.8 \times 10^{-5} Rr^{-0.72})$	Fairall et al. (1996), $\beta=1.2$	Fairall et al., (1996ab), Edson et al. (2013)

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Table 2 Statistics of simulated latent heat flux λE for 2012-2014. For J99, LS87, Z98L, and C89, RMSEs with the updated $z_{0\theta,q}$ formulation are shown. Numbers in parentheses denote RMSEs with the original $z_{0T,q}$ formulation. An error reduction ratio (%) is calculated for mean RMSEs of J99, LS87, Z98L, and C89. A mean flux (W m^{-2}) and mean normalized RMSE are calculated for all the five algorithms.

	RMSE [W m^{-2}]					Error reduction ratio [%]	Mean flux [W m^{-2}]	Mean Normalized RMSE	Mean bias [%]
	COARE	J99	LS87	Z98L	C89				
Stannard Rock	27.2	33.1 (32.6)	29.3 (39.9)	29.1 (79.9)	29.1 (38.9)	37.0	56.9	0.53 (0.84)	29.6 (38.3)
White Shoal	25.2	36. (25.3)	28.3 (25.4)	27.8 (68.0)	27.6 (25.8)	17.0	61.1	0.49 (0.59)	1.4 (24.0)
Spectacle Reef	70.4	83.8 (66.8)	68.5 (61.9)	67.4 (72.6)	71.3 (62.5)	-10.3	116.1	0.63 (0.57)	-27.8 (-3.2)
Long Point	42.9	40.1 (42.1)	47.9 (46.5)	49.1 (104.3)	45.8(47.8)	24.1	50.7	0.90 (1.19)	27.4 (49.6)
Mean RMSE [W m^{-2}]	41.4	48.3 (41.7)	43.5 (43.5)	43.3 (81.2)	43.5 (43.8)	15.0	81.5	0.52 (0.64)	-
Mean bias [%]	4.3	-9.1 (8.7)	15.7 (18.9)	16.5 (88.5)	10.9 (19.6)	-	-	-	7.7 (27.1)

Table 3 Same as Table 2, but for sensible heat flux H .

	RMSE [W m^{-2}]					Error reduction ratio [%]	Mean flux [W m^{-2}]	Normalized RMSE	Mean bias [%]
	COARE	J99	LS87	Z98L	C89				
Stannard Rock	27.4	29.1 (50.2)	26.3 (84.7)	26.5 (77.4)	23.6 (32.1)	56.8	39.1	0.68 (1.56)	26.6 (48.9)
White Shoal	32.3	31.4 (37.9)	31.8 (50.8)	31.9 (52.8)	31.0 (32.9)	27.7	40.7	0.78 (1.07)	-24.9 (7.8)
Spectacle Reef	11.4	13.2 (27.2)	13.9 (60.4)	11.9 (65.3)	13.3 (13.8)	68.6	46.1	0.28 (0.90)	6.3 (44.8)
Long Point	27.2	26.7 (45.5)	28.5 (65.6)	27.6 (63.2)	21.5 (32.9)	49.7	11.7	2.2 (4.4)	18.5 (31.4)
Mean RMSE	24.6	25.1 (40.2)	25.1 (65.4)	24.5 (64.7)	22.4 (28.0)	51.0	38.0	0.64 (1.30)	-
Mean bias [%]	4.2	7.5 (31.4)	13.6 (61.7)	6.3 (62.0)	1.5 (11.0)	-	-	-	6.6 (33.2)

Captions of Figures

Figure 1. Map of the Laurentian Great Lakes including the locations of offshore lighthouse-based monitoring stations used in this study. Adapted from Lenters et al. (2013). Instrument heights above the mean water level are 32.5 m at Stannard Rock, 29.5 m at Long Point, 30.0 m at Spectacle Reef, and 42.8 m at White Shoal.

Figure 2. Schematic diagram showing the relationship between the parent model systems (FVCOM, WRF-Lake, and LLTM) and the flux algorithms used in the parent model systems. Detail description of each flux algorithm is listed in Table 1.

Figure 3. 10-day running mean time series of meteorological variables at the four stations. Air temperature and relative humidity were measured with Vaisala HMP45C thermohygrometers and wind speed were measured with the CSAT-3 (See section 2.1.1 or Figure 1 for the sensor heights). Water surface temperature is taken from GLSEA. Data at pixels closest to the stations are used. The data gaps in water surface temperature from January to April denote periods during which the site was affected by lake ice cover. Measurements at Long Point and White Shoal started in May and June of 2012. There is also a long data gap between February 2012 and June 2013 at Spectacle Reef.

Figure 4. 10-day running mean time series of latent (λE) and sensible (H) heat fluxes at Stannard Rock. Black lines denote observed λE and H and the same for (a), (b) and (c), (d), respectively. The λE and H simulations employ the original $z_{0\theta,q}$ formula in (a), (c) and with the updated $z_{0\theta,q}$ formula in (b) and (d). The COARE simulation results are unchanged from (a) to (b) or from (c) to (d).

Figure 5. The same as Figure 4, but at White Shoal.

Figure 7. The same as Figure 4, but at Long Point.

Figure 6. The same as Figure 4, but at Spectacle Reef.

Figure 8. Scatter plots of latent heat flux (λE) comparing the observed (x -axis) and the simulated (y -axis) daily mean fluxes. Each row shows comparisons with a specific

algorithm at the four stations, while each column shows comparisons with the five algorithms at a specific station. Grey and blue dots indicate the results with the original and updated $z_{0\theta,q}$ formulae, respectively.

Figure 9. The same as Figure 8, but for sensible heat flux (H).

Figure 10. Errors in daily mean latent heat flux (y-axis) versus specific humidity difference between the water surface and air at the sensor height $q_w - q_a$ [kg kg^{-1}], transfer coefficient C_E [-], wind speed U [m s^{-1}], and stability factor z/L (x-axis) for the five algorithms at Stannard Rock. Grey and blue dots indicate the results using the original and updated $z_{0\theta,q}$ formulae, respectively.

Figure 11. Errors in daily mean sensible heat flux (y-axis) versus potential temperature difference between the water surface and air at the sensor height $\theta_w - \theta_a$ [$^{\circ}\text{C}$], transfer coefficient C_H [-], wind speed U [m s^{-1}], and stability factor z/L (x-axis) for the five algorithms at Stannard Rock. Grey and blue dots indicate the results with the original and updated $z_{0\theta,q}$ formulae, respectively.

Deleted: $Q_s - Q_e$



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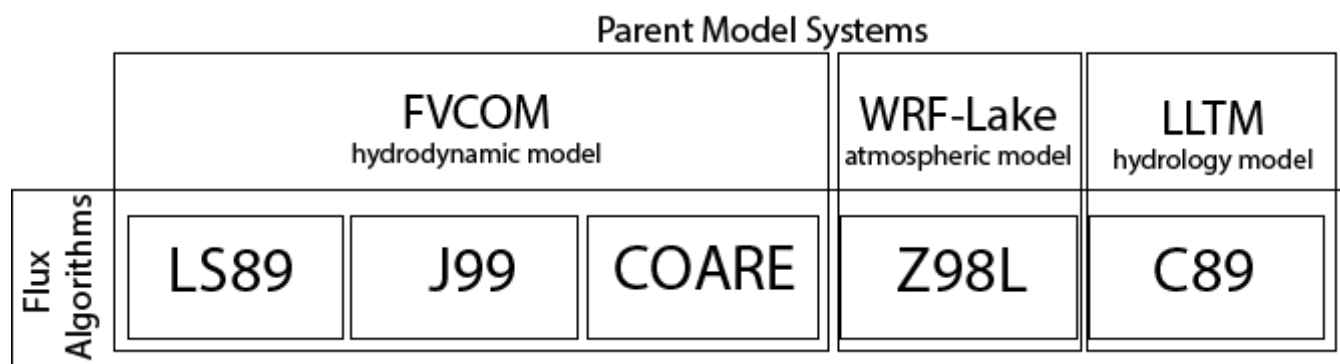


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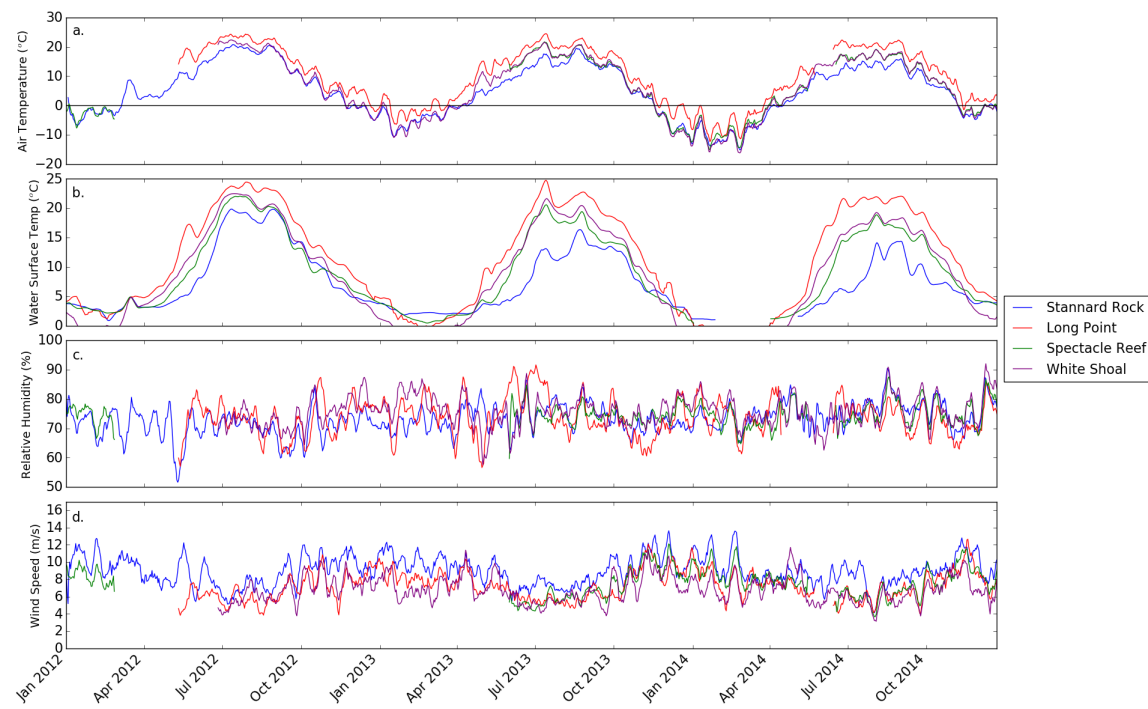


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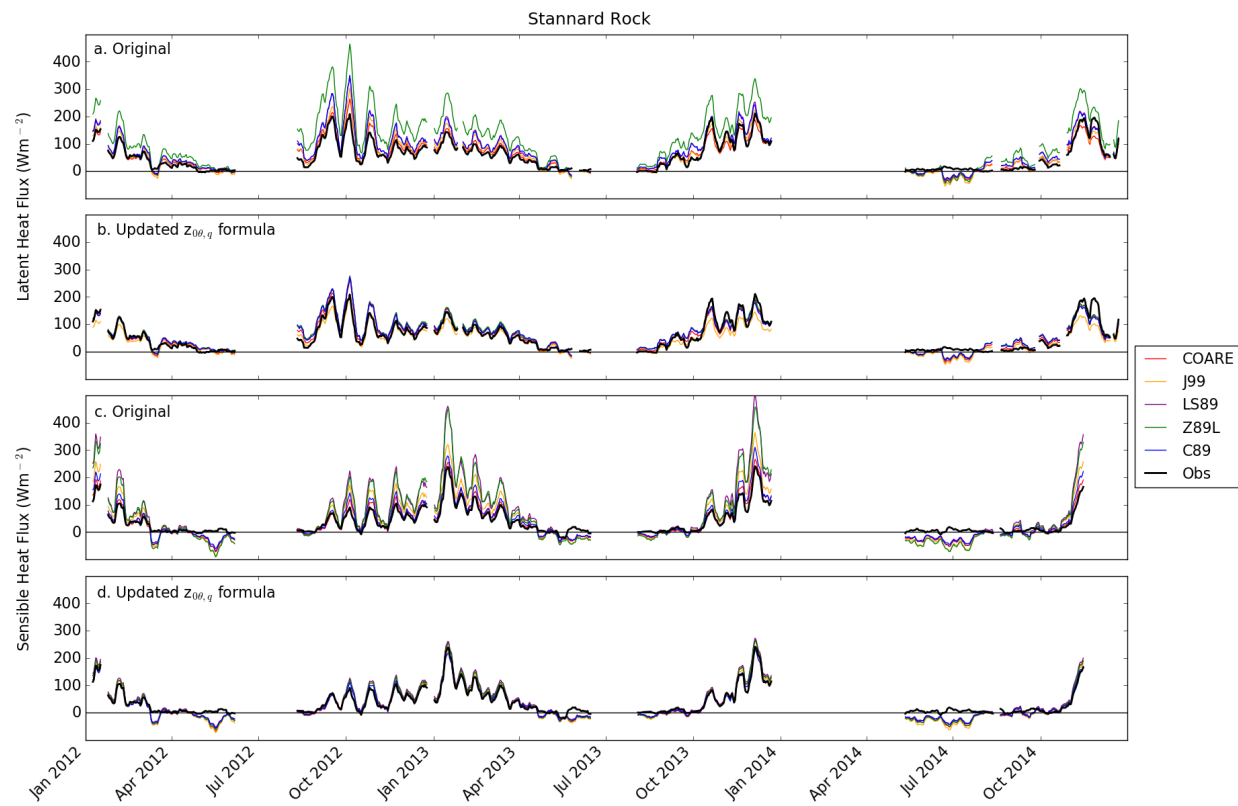


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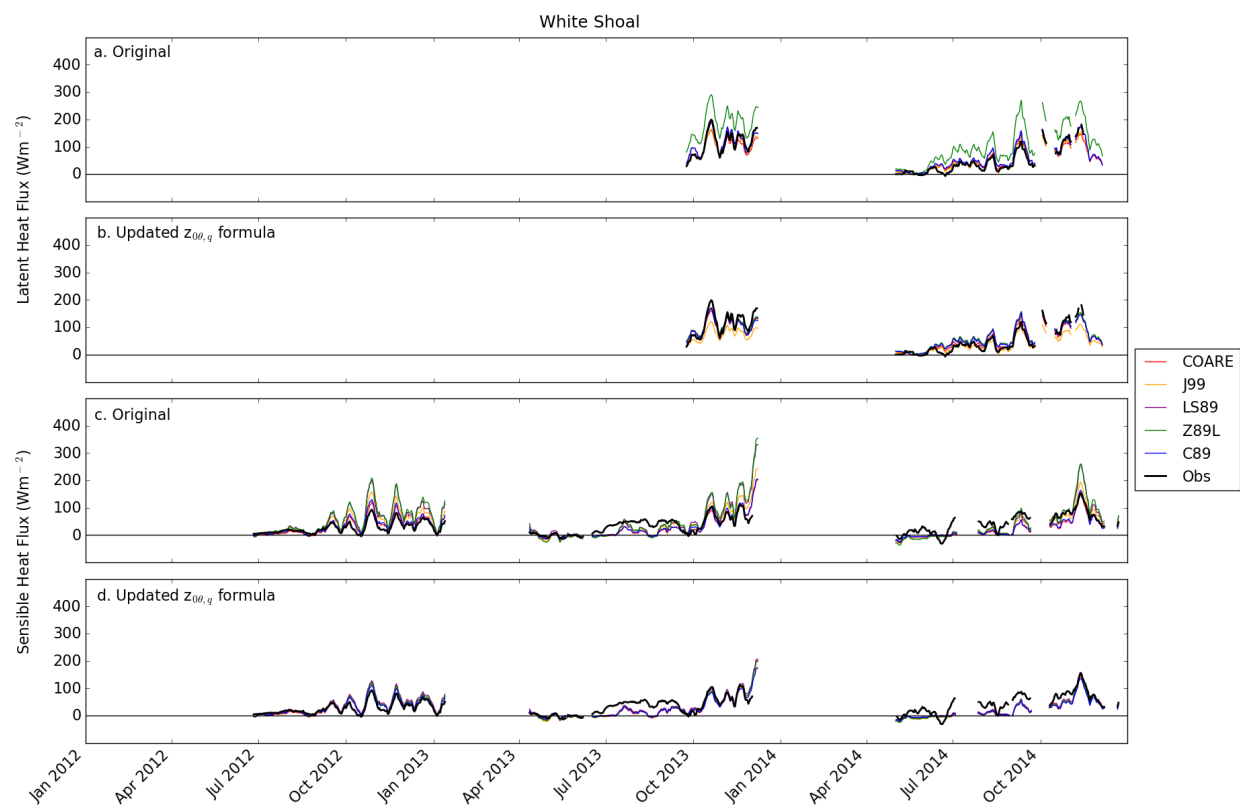


Figure 5. The same as Figure 4, but at White Shoal.

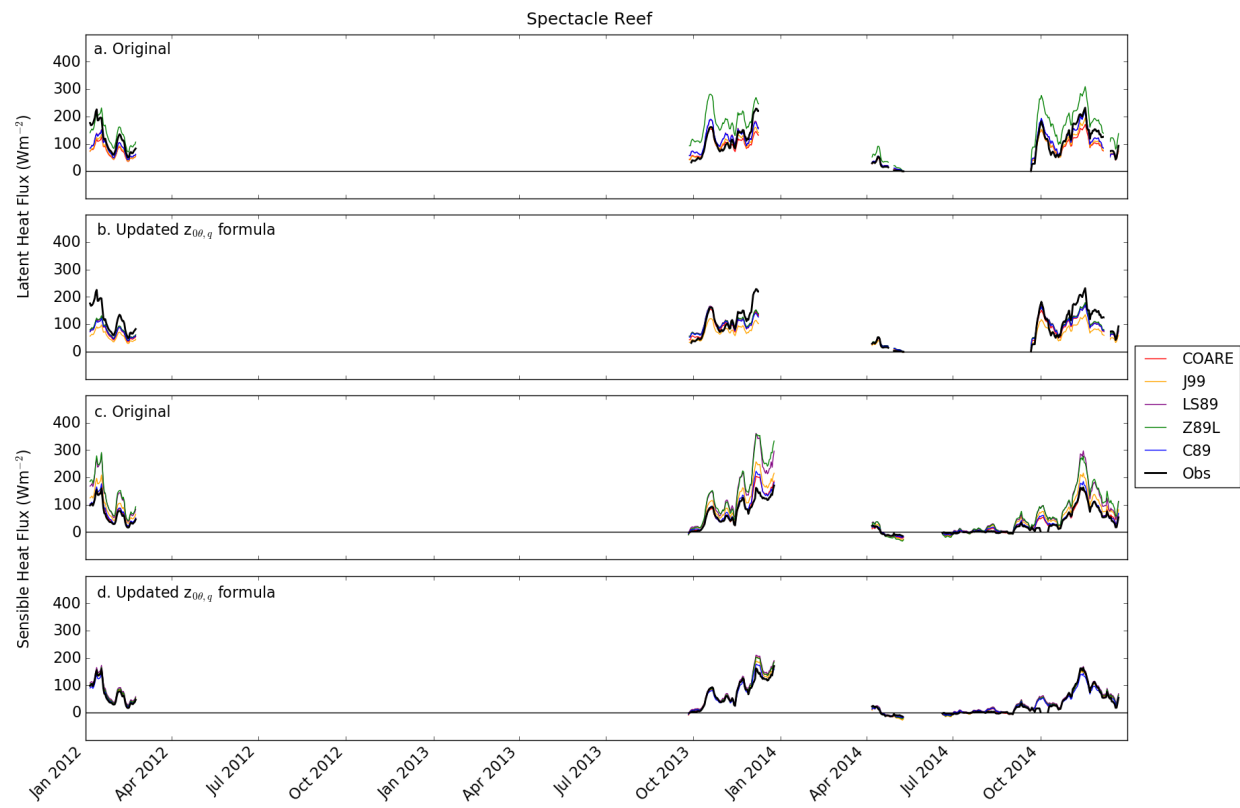


Figure 6. The same as Figure 4, but at Spectacle Reef.

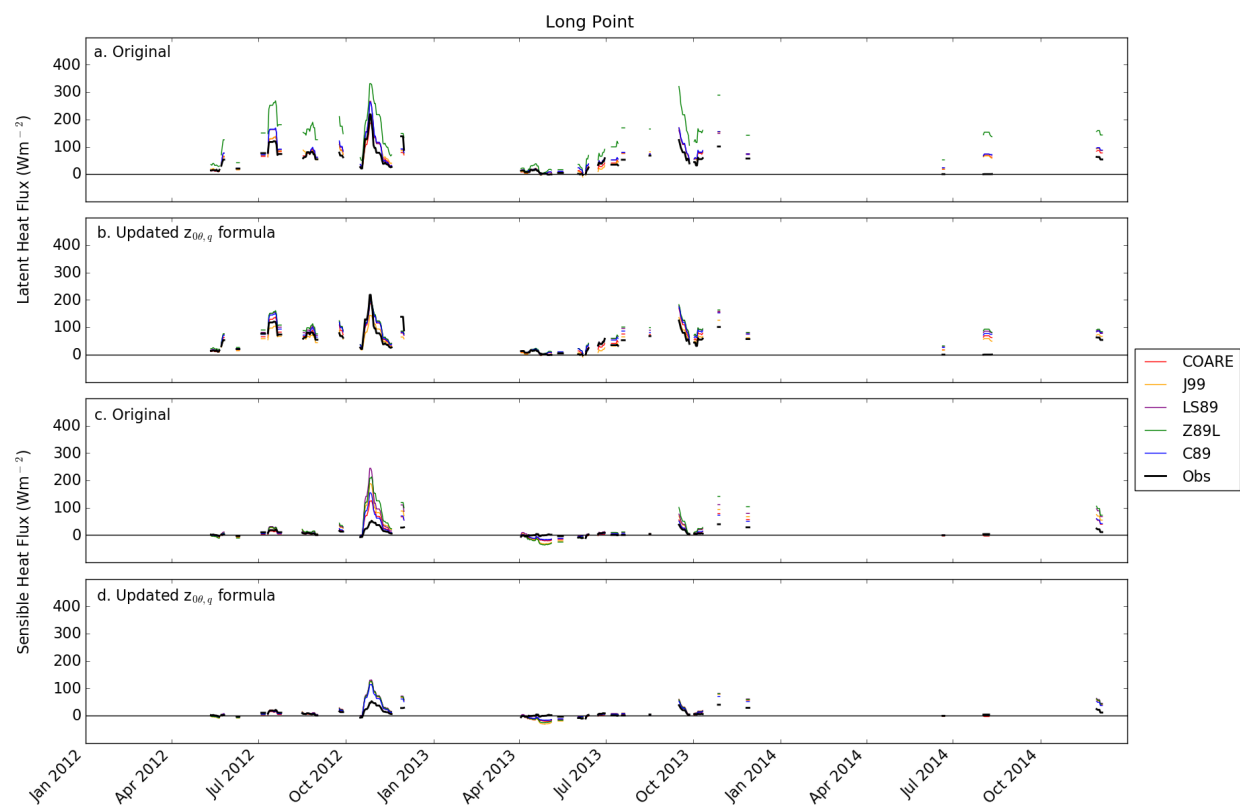


Figure 7. The same as Figure 4, but at Long Point.

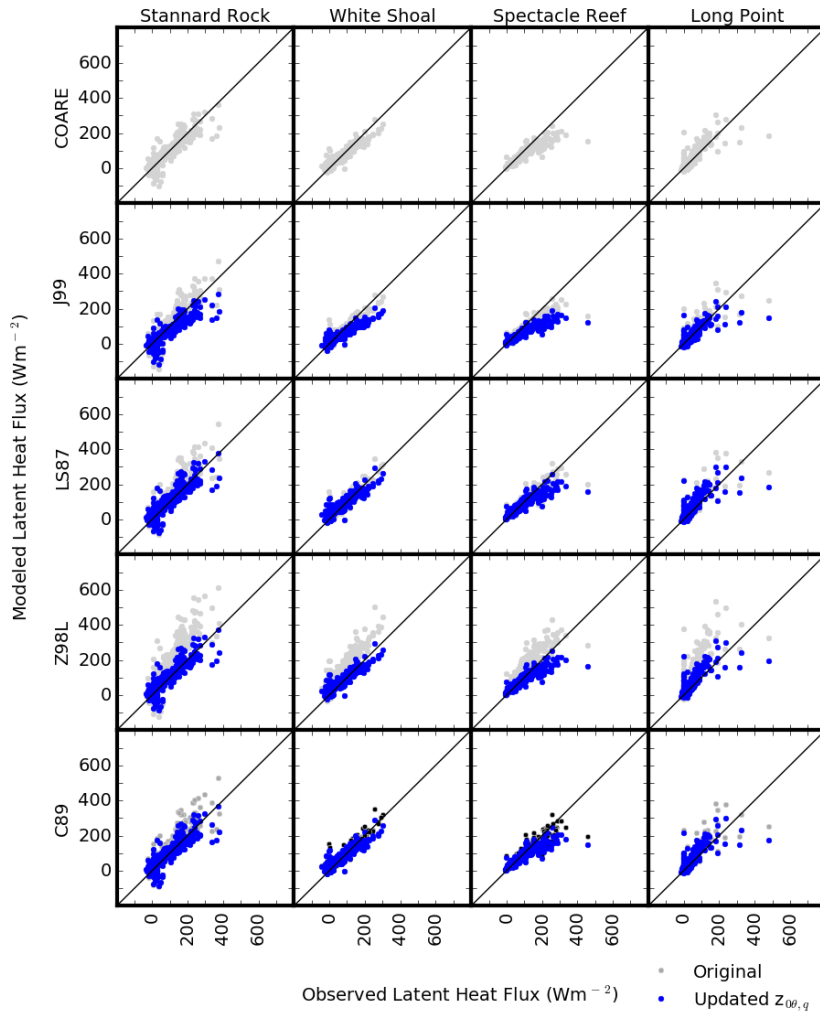


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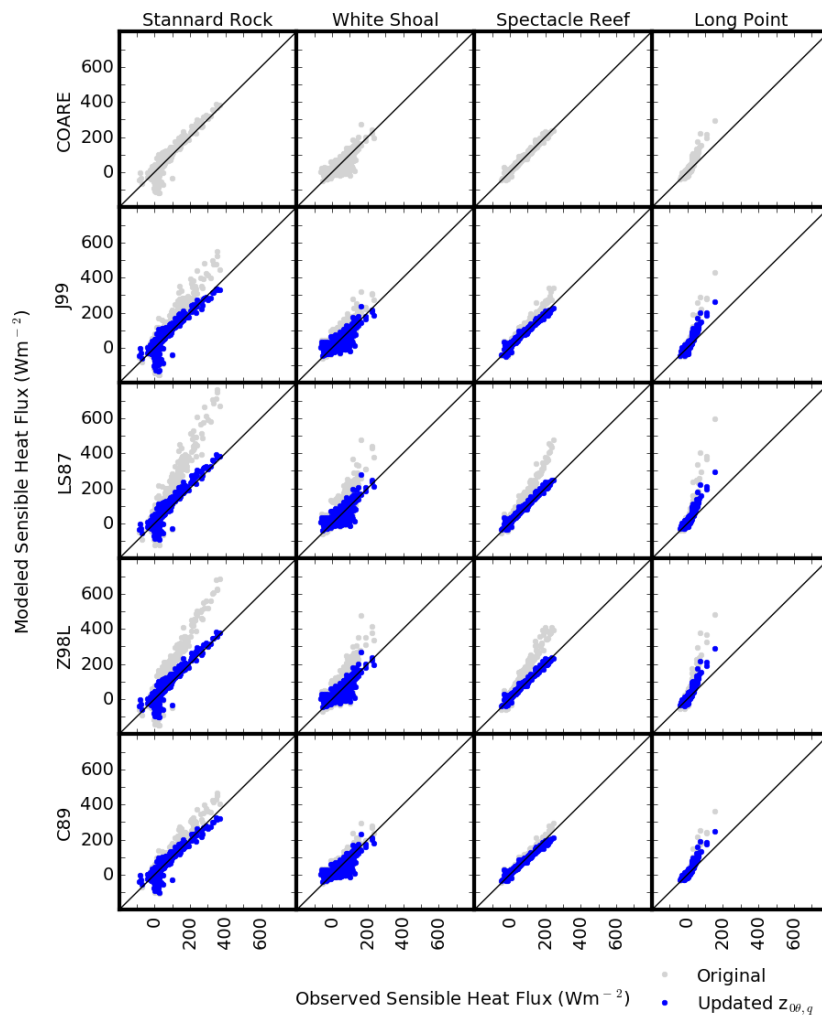


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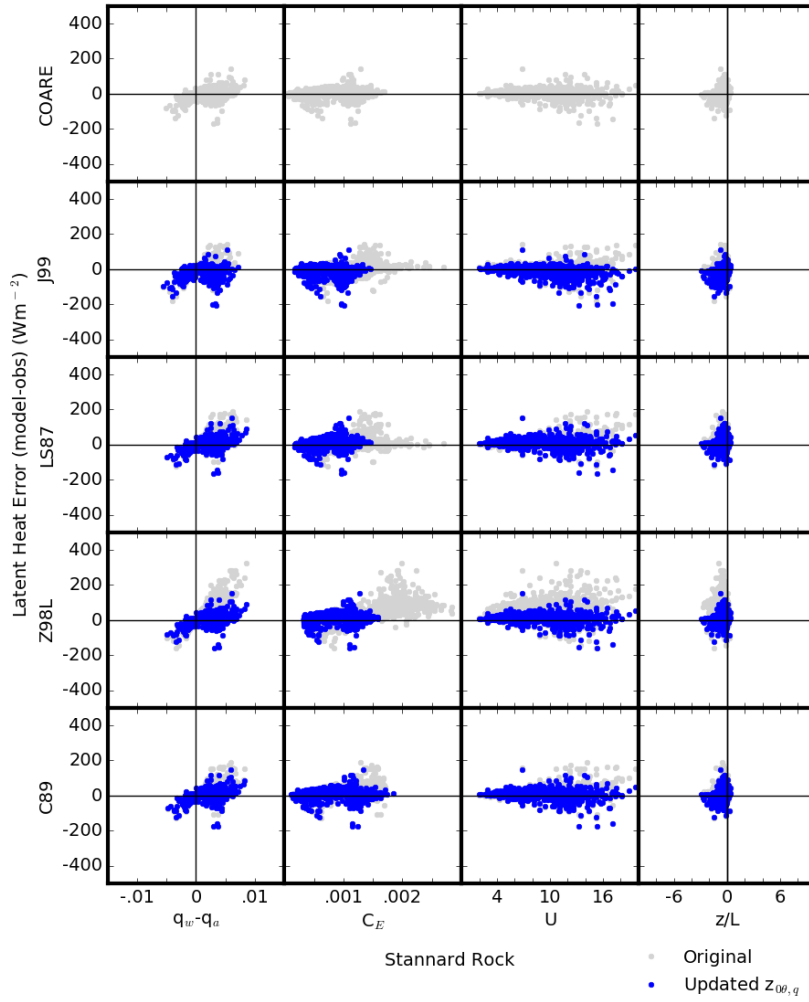


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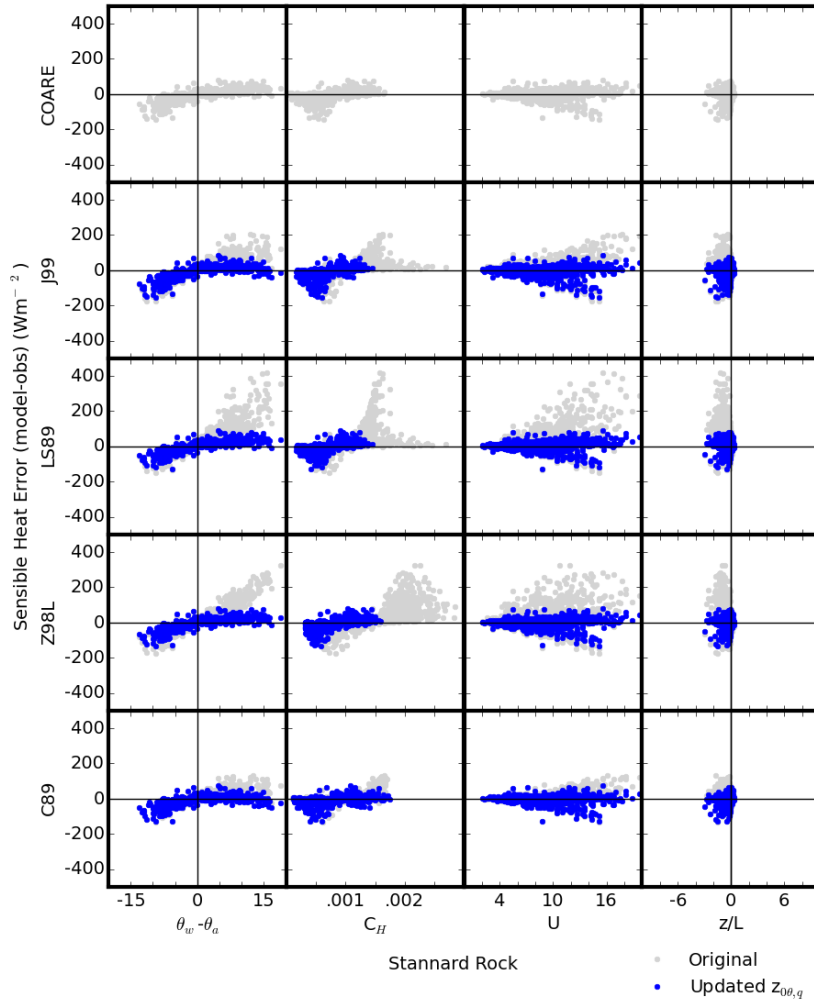


Figure 11. Errors in daily mean sensible heat flux (y-axis) versus potential temperature difference between the water surface and air at the sensor height $\theta_w - \theta_a$ [$^{\circ}\text{C}$], transfer coefficient C_H [-], wind speed U [m s^{-1}], and stability factor z/L (x-axis) for the five algorithms at Stannard Rock. Grey and blue dots indicate the results with the original and updated $z_{0\theta,q}$ formulae, respectively.

momentum $z_{\theta}[\text{PB1}]$

$z_{\theta\theta,q}$