# Reply to the comments of Anonymous Referee #1.

We thank Referee #1 for his/her valuable comment. Our point-to-point reply raised by the referee are provided below. Please note that the referee's comment will be presented in italics, preceded by a "C", while the corresponding authors' responses will be presented in normal typeface, preceded by an "R". Please note that the line numbers provided in this reply are from the original version of our manuscript and the references used in this reply can be found in the original version of our manuscript. A revised version of the manuscript has been placed at the end of this document and is a result of revisions made following comment Referee #1.

## **General comment**

**C1**: This revision answers most of my concerns and questions but I still have some concerns on the choice of period duration for these three phases of ENSO events, i.e. the developing and decaying years, which may really only cover couple of months, rather than entire year. Another concern is on the use of daily precipitation to represent the intensity of precipitation events. Daily time intensity surely is better than monthly rainfall but still has uncertainties to represent true variations for each individual event. The paper should add some discussions on this issue. The followings has some minor comments.

**R1:** We would like to thank the referee for this insightful comment.

The peak period of ENSO events is about several months, but typically, their evolution can last longer than one entire year. For example, EP El Niño events tend to span two calendar years, evolving in boreal spring and reaching their peak magnitude in winter of the same year, before decaying into the following spring/summer (Feng et.al., 2011). The emerging and vanishing stages of CP El Niño and La Niña events also show period durations longer than one entire year (McPhaden and Zhang, 2009), and typically, the magnitude and direction of ENSO evolution changes from the emerging year to next year. There is a significant time lag between the responses of climate in China and ENSO evolution (Lu et.al., 2011; Wu et.al., 2004), probably due to the lagged responses of western North Pacific subtropical high or winter/summer monsoon. So, our study assumes the evolution of ENSO events will induce different responses of climate in China to different stages, especially developing and decaying stages. The different impacts of different ENSO evolution stages on the climate of China have also been discussed extensively in previous studies, such as Southern China winter-spring

precipitation (Chen et.al., 2013), China rainfall in the decaying phases (Feng et.al., 2011), summer precipitation in China (Gao et.al., 2006), East Asian winter monsoon to the following summer monsoon (Chen et. al., 2013), winter rainfall in China (Zhou et.al. 2010), rainfall anomalies in East Asia during developing and decaying year (Wu et.al., 2004), etc. Our study is based on these articles with discussion on ENSO evolution and aims to discuss the different responses of climate in China to different stages of ENSO events.

Daily precipitation has been widely used to represent the intensity of precipitation events at regional scales or global scales (Zhai et.al., 2005; Zhang et.al., 2011; Xu et.al, 2011; Zhang and Cong, 2014). Daily precipitation has also been used to identify droughts, foods, or climate extremes among abundant studies, such as Fowler et.al. (1995), Zhai et.al. (2005), Zhang et.al. (2011), Ward et.al. (2014), and Chou wt.al (2012) (all references in our manuscript). We think that although daily precipitation has 'uncertainties to represent true variations for each individual event', analysing daily climatic data is still very meaningful to detect the ENSO-climate teleconnection. As more daily data becomes available through data producer efforts, similar analyses for other areas of the world, other stages of ENSO evolution, or other indexes of climate extreme will provide considerable information to better understand how the daily climate responds to the ENSO events.

## **Minor comments**

# C2: Suggest replace China with "Mainland China" since no information covers Taiwan.

**R2:** In the discussion section, summer monsoons over East Asia and TC activity over the WNP were analyzed in area including Taiwan. In fig.1, Taiwan belongs to the tropical and subtropical monsoon zone (South, S). In this study, the information of summer monsoons and TC activity at Taiwan were analyzed with the daily precipitation stations near this region to detect the ENSO-climate teleconnection of this region.

*C3:* Line 18-19: Rephrase "ENSO events...". Does it mean that the precipitation during the period of ENSO events controls the magnitude of annual precipitation?

**R3:** Yes, this sentence means anomalies in annual precipitation were controlled by the changes in frequency and intensity of daily rainfall based on our analysis. We have rephrased the sentence as 'Further analysis revealed anomalies in frequency and intensity of rainfall accounted for anomalies in annual precipitation'.

C4: Line 21: "ENSO events triggered MORE extreme...", need add "than ...";

R4: We have rephrased the sentence as 'ENSO events tended to trigger extreme precipitation events.'

*C5*: Line 31: Replace "vulnerable" to another word; Line 32: Is it real that "global annual rainfall drops during El Nino phases"? or should it be global annual rainfall over the land?

R5: The word has been replaced by 'susceptible'. The words 'over the land' have been added.

*C6*: Line 38: add "strong" between "anomalous" and "southwesterly winds"; Line 39: replace "insufficient" with "less":

**R6:** The words have been added in the sentence.

C7: Line 41-43: rephrase "As ENSO...". I don't understand what it means.

**R7:** The sentence has been rephrased, and another sentence is added follow the previous statement.

*C8*: Line 50: replace "annual rainfall days" with "wet days per year"; Line 50-53: These examples have nothing related with ENSO events (literally) and they may cause confusing.

**R8:** The statement has been replaced. Sorry for the misquoted reference of Perez et.al. (2011). The related statement has been removed.

*C9*: Line 67: better replace "may now exist" with "should be defined (or separated from canonical El Nino)". Line 68: add "discovered" between "new" and "El Nino";

**R9:** The statement has been replaced and added, respectively.

*C10*: *Line 74*: *rephrase "The current study..."*;

R10: The sentence has been changed into a more appropriate sentence.

*C11*: Line 86: delete "data from"; replace "between" with "of"; Line 87: what is the difference between "amount" and intensity?

**R11:** These sentences have been updated.

*C12*: Table 1: either use "rainy" or "wet" day, not both. Rephrase the definition of "R95p" as "Total precipitation over wet days with larger than 95th percentile during the period of 1971-2000". Add more details on how DS and CWD is counted. The units of these two indices might be "count"?

**R12:** Table 1 has been updated.

C13: Lines 100-104 defined the developing and decaying year of EP El Nino phase, how about CP and La Nina

phases? It is still confusing how these "developing" and "decaying" years are identified and how much uncertainties comes from this (in particular its total length and overlapping period with other phases).

**R13:** The definition of CP and La Nina phases has been added. As explained above, our study assumes the evolution of ENSO events will induce different responses of climate in China to different stages of ENSO events, especially developing and decaying stages. The emerging and vanishing stages of ENSO events tend to show period durations longer than one entire year, and typically, the magnitude and direction of ENSO evolution changes from the emerging year to next year. In addition, we don't think the overlap in years, such as a year both belonging to stages of either developing or decaying years of different phases, affects the final conclusion. The overlap depends on the developing of El Niño, which may reflect the connections among EP, CP, and LN.

C14: Line 110; Rephrase the sentence for describing the Mann-Whitney U test.

**R14:** A sentence has been added before the previous statement 'The significance of ENSO-induced precipitation anomalies is tested using a Mann–Whitney U approach.'

*C15*: *Line 122*: *replace ". At 80 of these stations the anomalies" with "and 80 of them" (need double check if these stations with significant signals all have negative signs or not);* 

**R15:** The sentences have been rephrased.

*C16*: *Line 136*: *Explain what is the "result" in the expression of "as a result"?* 

R16: The sentences have been rephrased as 'These results suggested'.

C17: Line 138-140: Rephrase this sentence. Which is "CP phases"? Developing or decaying phases?

R17: The sentence has been rephrased

C18: Line 169: rephrase "a clear distribution pattern";

R18: The related map of this sentence has been cited in the end of the sentence.

*C19*: *Line 143*: replace "the whole of China" with "Mainland China as a whole";

R19: The analysis is on the whole of China not just Mainland China .

C20: Line 200: replace "fewer" with "smaller";

**R20:** The sentence has been rephrased.

**C21**: Line 216: This sentence hints that this study was conducted on "individual precipitation events" but it is not true. A clarification such as "daily precipitation events" might be more suitable.

**R21:** The sentence has been rephrased as the 'daily precipitation events'.

Finally, we express sincere thanks to him/her for the efforts again!

# References

References used in this reply can be found in our manuscript.

# Influence of three phases of El Niño-Southern Oscillation on daily precipitation regimes in China

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#### 8 Abstract

9 In this study, the impacts of the El Niño-Southern Oscillation (ENSO) on daily precipitation regimes in China are examined 10 using data from 713 meteorological stations from 1960 to 2013. We discuss the annual precipitation, frequency and intensity of 11 rainfall events, and precipitation extremes for three phases (Eastern Pacific El Niño (EP), Central Pacific El Niño (CP), and La 12 Niña (LN)) of ENSO events in both ENSO developing and ENSO decaying years. A Mann-Whitney U test was applied to 13 assess the significance of precipitation anomalies due to ENSO. Results indicated that the three phases each had a different 14 impact on daily precipitation in China and that the impacts in ENSO developing and decaying years were significantly 15 different. EP phases caused less precipitation in developing years but more precipitation in decaying years; LN phases caused 16 a reverse pattern. The precipitation anomalies during CP phases were significantly different than those during EP phases and a 17 clear pattern was found in decaying years across China, with positive anomalies over northern China and negative anomalies 18 over southern China. Further analysis revealed ENSO events which altered the anomalies in frequency and intensity of rainfall 19 roughly paralleled accounted for these anomalies in annual precipitation; in EP developing years, negative anomalies in both 20 frequency and intensity of rainfall events resulted in less annual precipitation while in CP decaying years, negative anomalies 21 in either frequency or intensity typically resulted in reduced annual precipitation. ENSO events tended to triggered more 22 extreme precipitation events. In EP and CP decaying years and in LN developing years, the number of very wet days (R95p), 23 the maximum rainfall in one day (Rx1d), and the number of consecutive wet days (CWD) all increased, suggesting an 24 increased risk of flooding. On the other hand, more dry spells (DS) occurred in EP developing years, suggesting an increased 25 likelihood of droughts during this phase. Possible mechanisms responsible for these rainfall anomalies are speculated by the 26 summer monsoon and tropical cyclone anomalies in ENSO developing and decaying years.

27 Key words: ENSO, daily precipitation, climate extremes, summer monsoon, tropical cyclone, China

#### 28 1 Introduction

- 29 The El Niño-Southern Oscillation (ENSO), a coupled ocean-atmosphere phenomenon in the tropical Pacific Ocean, exerts
- 30 enormous influence on climate around the world (Zhou and Wu, 2010). Traditionally, ENSO events can be divided into a

31 warm phase (El Niño) and a cool phase (La Niña) based on sea surface temperature (SST) anomalies. An El Niño produces warming SSTs in the Central and Eastern Pacific, while La Niña produces an anomalous westward shift in warm SSTs 32 33 (Gershunov and Barnett, 1998). Precipitation appears especially susceptible vulnerable to ENSO events over a range of 34 spatio-temporal scales and therefore has been the focus of many ENSO-related studies (Lü et al., 2011). Global annual rainfall 35 over the land drops significantly during El Niño phases (Gong and Wang, 1999) and a wetter climate occurs in East Asia during 36 El Niño winters due to a weaker than normal winter monsoon (Wang et al., 2008), but these anomalies are generally reversed 37 during La Niña phases. Various studies also extensively document the teleconnections between ENSO and precipitation 38 variation in China (Huang and Wu, 1989; Lin and Yu, 1993; Gong and Wang, 1999; Zhou and Wu, 2010; Lü et al., 2011; 39 Zhang et al., 2013; Ouyang et al., 2014). Zhou and Wu (2010) found that El Niño phases induced anomalous strong 40 southwesterly winds in winter along the southeast coast of China, contributing to an increase in rainfall over southern China. In 41 the summer after an El Niño, insufficient less rainfall occurs over the Yangtze River, while excessive rainfall occurs in North 42 China (Lin and Yu, 1993). During La Niña phases, annual precipitation anomalies are spatially opposite of those during El 43 Niño phases in China (Ouyang et al., 2014). As-Typically, ENSO events progress over the previous winter and into the 44 following spring/summer, thus they-influencinge the climate of China or other areas in both the developing and decaying 45 phases years of El Niño and La Niña\_(Ropelewski and Halpert, 1987; Lü et al., 2011). There was also a significant time lag in 46 the responses of climate in China to ENSO evolution (Wu et.al., 2004). The delayed response of climate variability to ENSO 47 provides valuable information for making regional climate predictions (Lü et al., 2011). 48 ENSO events are well-known for causing extreme hydrological events (Moss et al., 1994; Chiew and McMahon, 2002; 49 Veldkamp et al., 2015) such as floods (Mosley, 2000; Räsänen and Kummu, 2013; Ward et al., 2014) and droughts (Perez et 50 al., 2011; Zhang et al., 2015) which in turn cause broad-ranging socio-economic and environmental impacts. Various 51 approaches have been introduced to reveal these impacts at global and regional scales. For example, Ward et al. (2014) 52 examined peak daily discharge in river basins across the world to identify flood-vulnerable areas sensitive to ENSO. Perez et

al. (2011) modelled non-contiguous and contiguous drought areas to analyze spatio-temporal drought development. Water
storage is another index typically used to detect frequency and magnitude of droughts during ENSO events (Veldkamp et al.,
2015; Zhang et al., 2015).

The physical mechanisms by which ENSO affects the climate of East Asia have also been discussed extensively in recent decades. Many studies have revealed that anomalous summer monsoons contribute to rainfall anomalies in East Asia during ENSO. A wet East Asian summer monsoon tends to occur after warm eastern or central equatorial Pacific SST anomalies during the previous winter (Chang et al., 2000). Floods and droughts during ENSO are also associated with the anomalous water vapor transport caused by the anomalous summer monsoon (Chang, 2004). On the other hand, tropical cyclones (TCs) over the western North Pacific (WNP) are also key contributors to rainfall events in China. When TCs move westward, a huge

amount of moisture is transported into East Asia, accompanied by strong winds and heavy and continuous rainfall. By using satellite-derived Tropical Rainfall Measuring Mission (TRMM) data, Guo et al. (2017) revealed that TCs occurring during the peak TC season (from July to October, JASO) contributed ~20% of monthly rainfall and ~55% of daily extreme rainfall over the East Asian coast. Strong TC activity suggests that there is excessive transport of water vapor into China.

66 Until recently, most studies have focused on changes in annual or seasonal total precipitation related to ENSO rather than 67 changes in individual precipitation events. Changes in precipitation frequency and intensity are crucial for accurate assessment 68 of ENSO impacts, but changes in mean precipitation cannot identify such changes. Recently, however, possible shifts in the 69 characteristics of precipitation events (e.g. frequency and intensity) have been highlighted in studies of global climate change 70 (Fowler and Hennessy, 1995; Karl et al., 1995; Gong and Wang, 2000). In China, it has been reported that the number of 71 annual rainfall days wet days per year decreased in recent decades even while total annual precipitation has changed very 72 little (Zhai et al., 2005). Precipitation intensity has also changed significantly across China (Qu et al., 2016) and, as a result, 73 drought and flood events occur more frequently (Zhang and Cong, 2014). Thus, separating out the impacts of ENSO events 74 on precipitation frequency and intensity is critical to understanding ENSO-precipitation teleconnections in China. Although 75 the link between hydrological extremes and ENSO is usually discussed in the context of the physical mechanisms that 76 influence local precipitation (Zhang et al., 2015), direct precipitation indices such as the number of consecutive wet days and 77 dry spells have rarely been addressed in these studies. Thus, our knowledge of how daily precipitation extremes respond to 78 ENSO events is still very limited and requires a comprehensive set of precipitation indices that describe ENSO-induced 79 precipitation extremes. A number of recent studies suggest that a new type of El Niño should be defined may now exist that is 80 different from the canonical El Niño (Ren and Jin, 2011). This new discovered El Niño develops in regions of warming SSTs 81 in the Pacific near the International Date Line (McPhaden et al., 2006) and has been called "Dateline El Niño" or "Central 82 Pacific (CP) El Niño." Studies have revealed that CP El Niño appears to induce climate anomalies around the globe that are 83 distinctly different than those produced by the canonical Eastern Pacific (EP) El Niño (Yeh et al., 2009). In addition, CP El 84 Niño has been occurring more frequently in recent decades (Yu and Kim, 2013). Despite a long-term focus on ENSO-climate 85 teleconnections, relatively little attention has been paid to the impacts of the new CP El Niño in China. 86 The current study aimed to provide a better understanding of how daily precipitation responds to the ENSO events over

87 <u>China.began with the observation that EP El Niño has occurred less frequently and that CP El Niño has occurred more</u> 88 frequently during the late twentieth century (Yeh et al., 2009). The main objectives of this work are to document (1) any 89 changes in daily rainfall in China during three phases of ENSO events; (2) the number and duration of precipitation extremes 90 occurring in ENSO developing and decaying years; (3) anomalous summer monsoon and TC activity induced by ENSO, and 91 their relationships with rainfall anomalies. We discuss the total precipitation anomaly, anomalies of precipitation frequency and intensity patterns, and changes in precipitation extremes, and propose possible mechanisms responsible for the various
 rainfall anomalies.

#### 94 2 Materials and methods

95 In this study, we used daily values of climate data from Chinese surface stations compiled by the National Meteorological Center in China. This dataset comprises detailed spatial coverage of precipitation across China, but only 400 stations were 96 97 operational in the 1950s (Xu et al., 2011). Non-climatic noise can complicate the accuracy of the dataset analysis (Qu et al. 98 2016). Stations that experienced observation errors, missing values, or data homogeneity problems were omitted from analysis 99 in this study, according to similar methods used by Qian and Lin (2005). Of the 819 meteorological stations across China, data 100 from 713 were ultimately selected for analysis, which covered the time period between of 1960-2013 (Fig. 1). Precipitation 101 indices were calculated based on daily observations at the stations (Table 1). Annual total precipitation amount, as well as 102 intensity and frequency of daily precipitation events, were used to formulate precipitation characteristics. Four other indices 103 were introduced (Zhang et al., 2011), and used to analyse precipitation extremes in this study (Table 1). Precipitation indices 104 were calculated for ENSO developing and decaying years. Indices for precipitation anomalies were analysed as follows:

$$A_{ij} = \frac{\overline{PI_{ij}} - \overline{PA_{ij}}}{\overline{PA_{ij}}},$$
(1)

Where PI<sub>ij</sub> is the average of the *i* precipitation index at the *j* meteorological station during a specific time period, and
PA<sub>ij</sub> is the average of the *i* precipitation index at the *j* station for a multi-year average (1971–2000).
To quantify the variability of the summer monsoon and ENSO impacts over China, the monsoon index proposed by Wang and

Fan (1999) was used in this study. It is defined as the 850hPa wind speed averaged over 5 °N-15 °N, 100-130 °E minus the wind speed averaged over 20 °N-30 °N, 110-140 °E, and is frequently used to study interannual and decadal variability of summer monsoons over the western North Pacific-East Asian region (WNP-EA). For TC activity, the best-track dataset from the Joint Typhoon Warning Center was obtained at http://www.metoc.navy.mil/jtwc/jtwc.html. Following the methods by Kim et al. (2011), TC genesis and track density was generated for ENSO developing and decaying years for the period 1960-2013. Track density anomalies are defined as annual average TC frequency during a specific type of ENSO event minus the long-term mean value in each 2°×2° grid box.

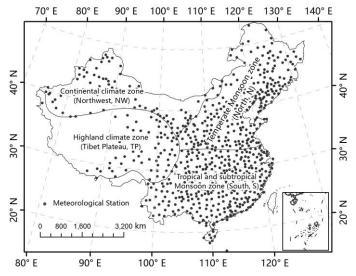


Fig. 1. Distribution of the 713 meteorological stations used in this study. China is divided into four regions based on climatic
type. The two non-monsoon regions are the continental climate zone (Northwest, NW) and the highland climate zone (Tibet
Plateau, TP). The monsoon region is divided into two regions: the tropical and subtropical monsoon zone (South, S) and the
temperate monsoon zone (North, N).

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Table 1. Definitions of precipitation indices used in this study

Index	Descriptive name	Definition	Unit
Р	Annual precipitation	Annual total precipitation	mm
Intensity	Daily intensity index	Average precipitation per rain event (day	mm/day
	Daily inclusity index	with precipitation > 0)	
Frequency	Number of rainy days	Annual number of rainy days	day
Rx1d	Maximum 1-day precipitation	Annual maximum 1-day precipitation	mm
R95p	Very wet day precipitation	Annual total precipitation when	
		Very wet day precipitation $\rightarrow$ precipitation $\rightarrow$ greater than 95th percentile of	
		multi-year daily precipitation*	
DS	dry spells	Number of consecutive dry days at leastno	acumt
		less than 10	<u>count-</u>
CWD	consecutive wet days	Number of consecutive rainy days at least no	agunt
		less than 3	<u>count</u> -

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122 \*95th percentile of multi-year daily precipitation events is the 95th quantile of the daily precipitation distribution over

123 multiple years (1971–2000). (Percentiles near 100 represent extremely intense precipitation).

124 In this study, two indices, created by Ren and Jin (2011) by transforming the traditionally-used Niño3 and Niño4 indices,

125 were used to distinguish between CP and EP El Niño phases. La Niña years were identified using the methods of McPhaden

126 and Zhang (2009). The ENSO events (1960–2013) analyzed in this study are displayed in Table 2. As an EP El Niño evolves,

positive SST anomalies expand latitudinally and negative signals expand eastward, reaching a maximum amplitude in
autumn and winter (Feng et al., 2011). The first year was defined as the developing year of an EP El Niño in this study.
Warm SST anomalies disappear and are replaced by cool anomalies in the eastern Pacific during summer of the next year
(defined as the decaying year). Similarly, the emerging and vanishing years of CP El Niño and La Niña events are defined as
the developing and decaying years, respectively.

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Table 2. ENSO emerging years from 1960 to 2013				
Phase	Eastern Pacific (EP)	Central Pacific (CP)	La Nião (LN)	
Phase	El Niño	El Niño	La Niña (LN)	
	1963 1965 1969 1972	1968 1977 1987 1994	1964 1967 1970 1973	
Year	1976 1982 1986 1991	2002 2004 2009	1975 1984 1988 1995	
	1997 2006		1998 2007 2010	

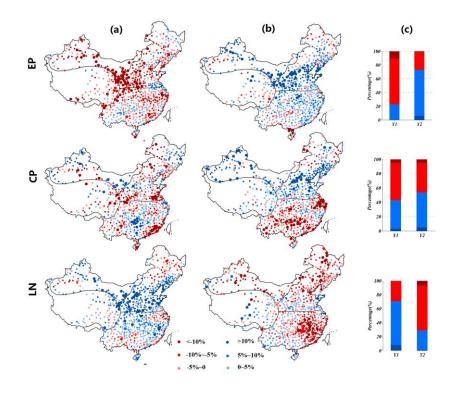
The significance of ENSO-induced precipitation anomalies is tested using a Mann–Whitney U approach. The Mann–Whitney U test is a nonparametric test applied to site data which does not conform to normality even after several transformations are performed (Teegavarapu et al., 2013). It tests whether two series are independent from each other. One series represents precipitation during an ENSO event phase (EP, CW, or LN), and the other series represents precipitation during average years.

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137 This test was applied to evaluate the significance of precipitation anomalies at a significance level of 5%.

138 3 Results

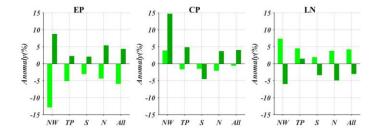
139 3.1 Annual rainfall anomalies



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Fig. 2 Anomalies of annual precipitation in developing years (a) and decaying years (b) of EP, CP, and LN phases. Stations experiencing significant anomalies are represented by large points. The percentage of stations experiencing increases or decreases in the number of rainfall anomalies are shown in (c), with significant increase (blue), increase (light blue), decrease (light red), and significant decrease (red). Y1, Y2 represent developing years and decaying years, respectively.

146 In EP developing years, 628 stations across China (~80%) had negative anomalies. At, and 80 of these stations the anomalies 147 were significant. These significant stations were mainly located in the continental climate zone (NW) and the temperate 148 monsoon zone (N) (Fig. 2). All sub-regions experienced negative average annual precipitation anomalies (Fig. 3), especially 149 in the NW region where precipitation was 12.83% lower than the mean. Large positive anomalies of annual precipitation 150 were found during LN developing years (Fig. 2); more than 70% of the stations showed positive anomalies, of which 10% 151 were significant. Similarly, the stations with significant anomalies were mainly in the NW and N regions. In CP developing 152 years, precipitation anomalies were quite different from those in EP developing years (Fig. 2). The proportion of stations 153 with negative anomalies was 57%, but with no clear pattern of distribution.



155 Fig. 3 Average annual precipitation anomaly by sub-region during EP, CP, and LN phases. Light color represents developing

156 years and dark color represents decaying years.

The impacts of EP phases on precipitation in decaying and developing years displayed opposite patterns. Positive anomalies 157 were detected across China during decaying years (Fig. 2), especially in the NW and N regions at 8.8% and 8.9% higher than 158 159 the mean, respectively (Fig. 3). And negative anomalies were common across China in LN decaying years (Fig. 2). In the 160 NW region, average annual precipitation was 5.95% lower than the mean. As a result; These results suggested in both the 161 decaying years of EP and the developing years of LN, more water vapor would be transported from the Pacific Ocean to 162 China, while in the decaying years of LN and the developing years of EP, drier conditions would prevail. In the CP decaying 163 phases, average annual precipitation in the NW, Tibet Plateau (TP), and N regions was much greater than the mean, but lower than the mean in the subtropical monsoon zone (S) (Fig. 3). 164

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165 3.2 Rainfall frequency and intensity anomalies

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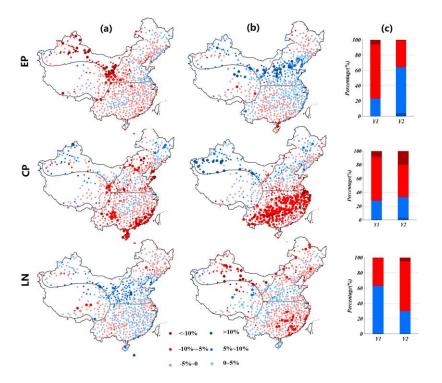


Fig. 4 Anomalies of precipitation frequency in developing years (a) and decaying years (b) of EP, CP, and LN phases. Stations
experiencing significant anomalies are represented by large points. The percentage of stations experiencing anomalies of
precipitation frequency are shown in (c), with significant increase (blue), increase (light blue), decrease (light red), and
significant decrease (red). Y1, Y2 represent developing years and decaying years, respectively.

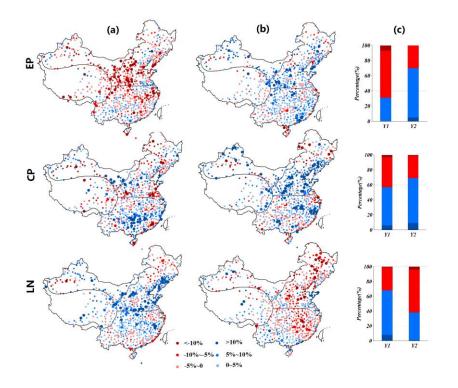
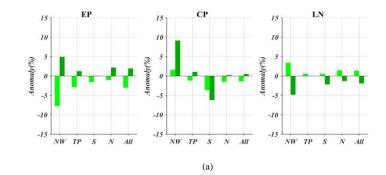
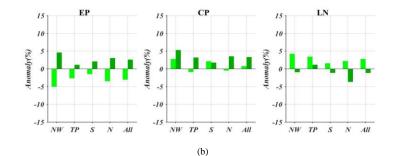


Fig. 5 Anomalies of precipitation intensity in developing years (a) and decaying years (b) of EP, CP, and LN phases. Stations
experiencing significant anomalies are represented by large points. The percentage of stations experiencing anomalies of
precipitation frequency are shown in (c), with significant increase (blue), increase (light blue), decrease (light red), and
significant decrease (red). Y1, Y2 represent developing years and decaying years, respectively.





183 Fig. 6 Average anomalies of precipitation frequency (a) and precipitation intensity (b) by sub-region during EP, CP, and LN

184 phases. Light color represents developing years and dark color represents decaying years.

In EP developing years, only negative anomalies of precipitation intensity and frequency occurred, with decreases of 3.04% and 3.01%, respectively, across all of China (Fig. 6). Stations with significant decreases in precipitation frequency were mainly located in the NW region (Fig. 4) and stations with significant decreases in precipitation intensity were mainly located in the N region (Fig. 5). In contrast, anomalies of precipitation intensity and frequency in EP decaying years were positive, presenting a reverse pattern to the developing phase. Anomalies of precipitation intensity and frequency were also positive in LN developing years, with stations of significance concentrated in the N region.

In the CP phases, anomalies of precipitation intensity and frequency displayed more complex patterns than those in the EP years. In developing years, slightly more than half of the stations experienced positive anomalies of precipitation intensity (Fig. 5), while more than 70% experienced negative anomalies in precipitation frequency (Fig. 4). Of the stations experiencing negative precipitation frequency anomalies, 64 were significant (Fig. 4) and were concentrated in the S and N regions (Fig. 4). Precipitation frequency anomalies also formed a clear distribution pattern in CP decaying years (Fig. 4). Of all the meteorological stations, 145 (20%) experienced significant negative anomalies and were concentrated in the S region. In contrast, all regions experienced positive anomalies of precipitation intensity.

198 In general, anomalies of total precipitation tend to result from changes in both the frequency and intensity of precipitation 199 events. Combined with the analysis in section 3.1, the results suggest that increases in precipitation frequency and intensity 200 during EP decaying years and LN developing years resulted in the positive anomalies of annual precipitation across China 201 during these phases. And the decreases in precipitation frequency and intensity during EP developing years and LN decaying 202 years resulted in the negative anomalies of annual precipitation. But, in the CP phases, few regions displayed such clear 203 relationships between anomalies in total precipitation and precipitation events. For example, in the N region, precipitation 204 frequency changed very little, and the observed positive anomalies of annual rainfall in CP decaying phases appear to have resulted from increased precipitation intensity. Likewise, in the S region, precipitation intensity increased by 1.77% even 205 206 though the precipitation frequency and total precipitation decreased.

### 207 3.4 Precipitation extremes

208 ENSO can trigger extreme hydro-climatological events such as floods, droughts, and cyclones (Zhang et al., 2013). Table 3

shows the average percent change in the number of extreme precipitation events (anomalies of precipitation extremes) in

210 sub-regions and the whole of China, based on data from all meteorological stations.

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Table 3. Average anomalies of precipitation extremes during EP, CP, and LN phases (%).

Years	Phases	Index	NW	TP	S	Ν	All
Developing years	EP	Rx1d	-7.54	-2.23	-0.34	-0.32	-2.29
		R95p	-20.68	-7.02	-4.76	-5.55	-8.78
		DS	3.38	3.93	1.94	1.59	2.67
		CWD	-15.42	-6.07	-0.95	-3.70	-5.96
		Rx1d	4.89	-1.00	1.02	-2.73	0.27
	СР	R95p	5.79	-0.99	0.57	-2.93	0.28
		DS	-2.12	0.83	-0.10	2.18	0.34
		CWD	9.91	-2.11	-3.66	-3.33	-0.42
	LN	Rx1d	4.87	4.73	3.40	2.84	3.90
		R95p	10.79	8.90	4.76	8.10	7.97
		DS	-1.21	3.58	-0.25	0.26	0.71
		CWD	8.59	2.82	0.99	4.31	3.89
		Rx1d	9.52	1.30	2.43	1.94	3.43
Decaying years	EP	R95p	15.26	5.27	4.22	7.24	7.53
		DS	-3.83	1.70	0.26	1.76	0.21
		CWD	5.96	2.22	-1.48	6.72	3.18
	СР	Rx1d	7.54	4.36	2.39	0.28	3.39
		R95p	23.32	7.99	-0.33	7.13	8.64
		DS	4.08	-3.00	13.24	-1.88	3.05
		CWD	17.78	4.14	-4.78	1.11	3.71
	LN	Rx1d	-2.50	2.22	-1.00	-4.17	-1.29
		R95p	-4.73	2.14	-3.31	-9.06	-3.67
		DS	1.85	-2.48	1.35	0.87	0.30
		CWD	-7.86	-0.70	-1.81	-3.04	-3.06

During EP developing years and LN decaying years China experienced markedly negative anomalies in very wet daily rainfall, as expressed by the R95p index, and positive anomalies during EP decaying years and LN developing years. These impacts of the EP and LN phases on R95p were observed in nearly all sub-regions of China. An R95p positive anomaly was also observed in CP decaying years, but only in the NW, TP, and N regions. In CP developing years, the R95p identified no

216 significant anomalies. The Rx1d index, a measure of maximum daily rainfall, revealed similar patterns to those identified by

the R95p index. Positive R95p and Rx1d values during EP and CP decaying years and LN developing years indicate an

218 increased likelihood of extreme precipitation events during these years than normal.

219 As shown in Table 3, negative anomalies of consecutive wet days (CWD) occurred in EP developing years and LN decaying

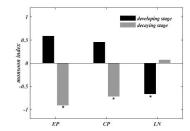
220 years across China while the opposite pattern occurred in EP and CP decaying years and in LN developing years. The CWD

is a measure of wet conditions that is closely related to soil moisture and river runoff. A greater number of CWDs will enhance soil moisture, runoff, and the risk of floods. The NW region, a continental climate zone, is the most sensitive of China's sub-regions to ENSO events in terms of CWDs. In EP developing years, the N, TP, and NW regions experienced large decreases in CWDs (5.69%, 6.07%, and 15.42%, respectively). Such decreases have the potential to induce droughts in these sub-regions as soil moisture decreases. But the dry conditions in these sub-regions reversed in EP decaying years. Although a positive anomaly occurred in annual precipitation during CP decaying years in the N region, it experienced fewer smaller\_CWD anomalies. This was possibly due to the increase in intensity of rainfall events.

Dry spells (DS) are extended periods of 10 days or more of no precipitation and are a strong predictor of droughts. As shown in Table 3, all sub-regions of China experienced positive anomalies in DS during EP developing years, displaying an inverse pattern to that observed for CWDs discussed above. In other words, fewer CWDs and more DS occurred simultaneously and indicated an increased risk of drought. Negative anomalies in DS were observed in the NW and N regions during EP decaying years. In CP decaying years, DS displayed dipole anomalies across China which were opposite of observed CWD patterns during the same period. But during the same years, DS anomalies were positive in the NW region even though annual precipitation had increased. DS displayed far weaker anomalies during both LN developing years and decaying years.

#### 235 4 Discussion

Summer monsoons over East Asia (EA) consist of staged progressions of zonally-oriented rain belts as fronts advance and retreat. Huang and Wu's (1989) study first revealed that these summer monsoon rain belts are closely linked with ENSO cycle phases. Figure 7 shows the mean WNP-EA monsoon index and its significant difference from average conditions (1971-2000).



#### 240

241 Fig. 7 The western North Pacific-East Asian (WNP-EA) summer monsoon index during different ENSO phases (average for

242 1971-2000 is -0.007). \* 95% significance

Results reveal that the WNP-EA monsoons tend to be weak during EP decaying years (fig. 7). Wang et al. (2001) showed that a weak WNP-EA monsoon usually features enhanced rainfall along the monsoon's front over East Asia. As for the mechanism, anomalous anticyclones in the subtropical WNP are the key systems linking the ENSO and the East Asian climate (Feng et al., 2011; Wang and Chan, 2002; Wang et al., 2001; Yuan et al., 2012). An anomalous WNP anticyclone during a weak WNP-EA monsoon brings plentiful moisture to southern China; meanwhile, it can also shift the ridge of the sub-tropical high westward (Feng et al., 2011). When summer monsoons advance and retreat along WNP anticyclone fronts, heavy and continuous rainfall typically develop along the monsoon fronts (Chang, 2004). In this study, our examination of variations in precipitation anomalies reveals that rainfall is largely enhanced over the NW and N region during EP decaying years (fig. 2).

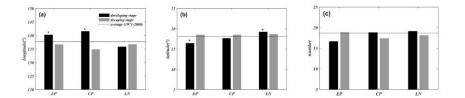
Weak WNP-EA summer monsoons also tend to occur during CP decaying and LN developing years (fig. 7). Typically, 252 253 anomalous WNP anticyclones originate and develop during the previous autumn in the El Niño developing year and persist 254 until the following spring and summer before intensities decrease (Wang et al., 2003). Yuan et. al (2012) found that WNP 255 anticyclones display distinct location, intensity, and lifetime evolutions in the CP and EP El Niños due to the different 256 anomalous SSTs in the equatorial Pacific. The EP El Niño tends to create stronger, wider, and longer-lived WNP 257 anticyclones than the CP El Niño (Shi and Qian, 2018). In terms of rainfall pattern, the CP El Niño induced asymmetric 258 anomalies that do not follow the patterns seen in the EP El Niño (fig. 2). For example, during CP decaying years, the S 259 region experienced a negative annual precipitation anomaly. Anomalous WNP anti-cyclones may explain this incongruity 260 between the influences of the EP and CP El Niño phases, reflecting the potential for changes in atmospheric diabatic forcing 261 over the tropics. In contrast, weak WNP-EA summer monsoons during LN developing years possibly correlate with the disappearance of EP during decaying years when WNP anticyclones tend to re-invigorate and extend northwestward and 262 263 inland (Feng et al., 2011). Precipitation anomalies in China also reveal a marked consistency between EP decaying years and 264 LN developing years (fig. 2).

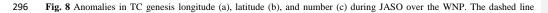
Fig. 7 further reveals that strong WNP-EA summer monsoons occur during EP or CP developing years, although not significantly. However, only the EP developing stage induces a negative rainfall anomaly over China (fig.2). Similar results were observed by Wu et. al (2003), who documented seasonal rainfall anomalies in East Asia, finding that the rainfall correlation distribution displayed pronounced differences between developing and decaying ENSO years. A reverse monsoon signal between developing and decaying years suggests that WNP anticyclones respond in terms of location and intensity to the evolution of SST anomalies over the tropical Pacific (Chang, 2004).

Using a climate model, Chou et al. (2012) found that changes in precipitation frequency and intensity are closely associated with changes in atmospheric water vapor and vertical motion. As demonstrated by Chou et al. (2012), an increase in water vapor reduces the magnitude of the vertical motion required to generate the same strength of precipitation, resulting in an increase in precipitation frequency and intensity. Therefore, large amounts of water vapor transported during EP and CP decaying years, or during LN developing years, when WNP-EA summer monsoons are relatively weak may enhance both precipitation frequency and intensity. On the other hand, atmospheric vertical motion also tends to be intense during these periods, as summer monsoons over China feature strong southerly winds (Chen et al., 2013). This leads to further anomalous R95p, Rx1d, and CWD, resulting in increased flood risk during these years (table 3). However, a reduction in water vapor availability and vertical motion may occur during EP and CP developing years as WNP-EA summer monsoons tend to be strong (fig. 7), resulting in a negative anomaly in frequency and intensity of precipitation (fig. 4 & 5).

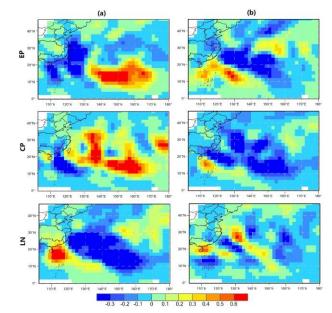
281 In addition, the relative stability of the atmosphere tends to reduce the frequency and intensity of precipitation by reducing vertical motion (Chou et al., 2012). The WNP subtropical high is a prime circulation system over the WNP-EA and 282 283 anomalies of location and intensity largely affect summer monsoon activities in East Asia (Wang et al., 2013). Huang and Wu (1989) found that when the location of a subtropical high is shifted unusually northward, hot and dry weather occurs in 284 East China due to the dominance of the stable atmosphere. The location and intensity of subtropical highs are also closely 285 associated with the development of WNP anticyclones, and the northward shift usually coincides with strong WNP-EA 286 287 summer monsoons (Wang et al., 2001). Therefore, anomalous WNP subtropical highs possibly exacerbate negative 288 precipitation frequency anomalies and positive DS anomalies in the S region during LN decaying years (fig4 & table 3). This 289 may also explain the strong reductions in precipitation frequency in the S region during CP decaying years (fig.4), because 290 WNP anticyclones display different anomalies than EP phases.

ENSO is one of the most important factors affecting TC activity over the WNP (Wu et. al, 2012). In this study, the modulation of TC activity by ENSO was analyzed during developing and decaying phases for the period 1960-2013. Fig. 8 shows ENSO-induced anomalies in terms of TC number and location of formation. Track density anomalies are shown in fig. 9.





indicates the average value for 1971-2000. \* 95% significance.



299 Fig. 9 Track density anomalies during JASO in developing years (a) and decaying years (b) of EP, CP, and LN phases. 300 Although the total number of TSs formed in the WNP did not vary significantly from year to year, TCs tended to form 301 further east and south during EP developing years (fig. 8). This shift in the location of TC genesis constrained TCs westward 302 propagation into East Asia (Wang and Chan, 2002). Therefore, track density was largely reduced over the coast of China (fig. 303 9). This suggests that the EP developing stage induces a smaller impact by TC on rainfall in China. Conversely, during LN developing years, TCs tend to form further north (fig. 8), and the track density shows a remarkable increase in the South 304 305 China Sea (fig. 8). The enhancement of TC activity tends to induce heavier rainfall events, leading to positive anomalies of 306 precipitation intensity, Rx1d, R95p, and CWD during such years (fig. 4 & 5, table 3). TCs during CP developing years also 307 form at higher latitudes than during EP phases, but the average latitude is lower than during the LN phase (fig. 8). In contrast, 308 the CP developing stage increases track density from the central-western Pacific to the eastern China coast and decreases it 309 over the South China Sea (fig. 9). Kim et al. (2011) revealed that a shift in TC genesis location during CP years is closely 310 associated with anomalous westerly winds induced by the westward shift in ocean heating, and that this shift further provides 311 more favorable conditions for westward TC propagation. Zhang et. al (2012) also claimed that TCs during CP summers are 312 more likely to make landfall over East Asia because of a westward shift in subtropical highs and a northward shift in TC 313 genesis. However, TCs during CP developing years do not exert significant rainfall anomalies over China. The anomalous 314 WNP-EA summer monsoons induced by ENSO may further explain this discrepancy. As discussed above, the strong 315 WNP-EA monsoons during CP developing years do not induce negative rainfall anomalies over China (fig. 7). This suggests

that enhanced TC activity may cause a reduction in rainfall along monsoon fronts, resulting in neutral conditions over China.

317 However, further studies are needed to examine how the CP developing stage influences rainfall over China.

In contrast, no significant shifts in the locations of TC genesis occurred during decaying years (fig. 8). This suggests that the impact of ENSO on TC formation may decrease after ENSO maturation. However, nearly opposite TC track density patterns occur over the WNP during developing and decaying years of an EP or CP (fig.9). For example, in EP decaying years, TC activity increased in the South China Sea and decreased from the western Pacific to the eastern China coast. This shift in track density affected water vapor transport and contributed to a reversed pattern of rainfall anomalies between developing and decaying years.

#### 324 5 Summary

325 Using a nonparametric hypothesis test, this study investigated the impacts of three different ENSO phases on daily rainfall 326 regimes in China during the past half century. Rainfall data collected from meteorological stations across the country 327 revealed that the impacts of the three phases were significantly different from each other on a daily time scale. ENSO events 328 triggered large changes in the frequency and intensity of precipitation events and in the occurrence of precipitation extremes. This finding is significant because past studies examining teleconnections between ENSO events and climate variation in 329 830 China have primarily focused on annual and/or monthly rainfall rather than on individual-daily precipitation events. Since 331 ENSO events can be predicted one to two years in advance using various coupled ocean/atmosphere models (Lü et al., 2011), this study can provide a means of climate prediction on a daily time scale and enable the prioritization of adaptation efforts 332 333 ahead of extreme events.

334 Previous studies have revealed that some regions in China are especially vulnerable to ENSO events via teleconnections, 335 such as the South China Sea (Qu et al., 2004; Rong et al., 2007; Zhou and Chan, 2007; Liu et al., 2011) and the Yangzi River 336 (Huang and Wu, 1989; Tong et al., 2006; Zhang et al., 2007; Zhang et al., 2015). However, using daily precipitation indices, we found that the continental climate zone (NW) is more sensitive than other regions to ENSO events due to the its high 337 338 incidence and magnitude of anomalous precipitation events (Fig. 4 & 5 and Table 3). For example, the NW region experienced the largest R95p and CWD anomalies during all ENSO event phases. In an earlier study on daily river 339 340 discharges at a global scale, Ward et al. (2014) found that ENSO has a greater impact on annual floods in arid regions than in 341 non-arid regions. In China, Hui et al.(2006) analyzed interdecadal variations in summer rainfall in repsonse to the SST 342 anomaly over the Niño-3 region. They found that summer rainfall in northwestern China was well-predicted by ENSO 343 events between 1951-1974 (Hui et al., 2006). But little research has been conducted on the mechanisms behind climatic 344 responses to ENSO events in China's continental climate zone because most studies have focused on monsoon zones 345 (Matsumoto and Takahashi, 1999; Wen et al., 2000; Wang et al., 2008; Zhou and Wu, 2010).

346 Although the primary physical processes and mechanisms responsible for precipitation anomalies have been discussed in the context of summer monsoons and TC activity, approaches to understanding the forces influencing daily precipitation events 347 coinciding with ENSO are more complex than those directed toward precipitation influences on a monthly or annual scale. 348 349 This complexity can be illustrated by the observation that in CP decaying years, the N region experienced a positive anomaly 350 of annual precipitation due to an increase in precipitation intensity, but the S region experienced a negative anomaly due to a 351 large decrease in precipitation frequency. Therefore, even though some physical mechanisms may explain precipitation variabilities related to ENSO events, there is a need for more research on the mechanisms driving atmospheric circulation to 352 353 advance our understanding of these influences over temporal and spatial scales. In addition, the year-to-year variability of 354 East Asian summer monsoons are likely influenced by complex air-sea-land and tropical-extratropical interactions in 355 addition to ENSO events. These interactions may include Tibetan Plateau heating, Eurasian snow cover, and polar ice 356 coverage (Wang et al., 2000). Other factors that may contribute to precipitation anomalies in China during ENSO events 357 include forces that generate large-scale circulation events, such as global warming. In a warmer climate, water vapor in the 358 atmosphere tends to increase, which destabilizes the atmosphere and enhances precipitation (Chou et al., 2012). Therefore, 359 most positive precipitation anomalies are expected from a theoretical point of view in spite of the associated atmospheric 360 circulation does not change too much.

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