

Reply to reviewers' comments

Anonymous Referee #1

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The manuscript presents a descriptive study of the extreme flows of a set of basin stations in the Pearl River basin, China. The database of the various stations is very interesting either at the flow rates or for the precipitation stations. As we all know, inconsistent results about how changes in flooding under global warming have been reported due to the limited sample of flood series. The highlight of this study obtaining flood data from historical documents can effectively break through this limitation. Thus, I recommend this paper is accepted after a minor revision. The following is my specific comments.

Reply: Thank you so much for your kindness and for your generous encouragement by your kindly allowing us such an opportunity to improve our manuscript.

(1) L93-97, this paper incorporates the floods records of 1000 years from Guangdong and Guangxi provinces. Whether the same historical dataset has been used in previous studies and what were their results? A review on the historical dataset should be added.

Thank you for your kind comment. The historical flood records were collected from two books compiled by Wen and Song (2006) and Wen and Yang (2007). These two books included abundant records relevant to various meteorological disasters, such as tropical cyclones, droughts, floods, frosts, and so on. The historical flood records should be screened out from those abundant records and it is a kind of time-consuming job. Therefore, to our knowledge, few reports were found concerning flooding changes over long period such as 1000 years in this study based on historical records.

To introduce the historical dataset more detail, we have added the information of the group that compiled the dataset in section Data. Additionally, the selected flood events used in this study will be posted as Supplementary Information.

Wen, K. and Song, L., 2006. Collections of meteorological hazards in China-Guangdong version. Beijing: Meteorological Press.

Wen, K. and Yang, N., 2007. Collections of meteorological hazards in China-Guangxi version. Beijing: Meteorological Press.

(2) L113-114, why 10-year flood was selected?

Thank you for your kind comment. Thank you for your comment. 10-year flood is defined as the flood peak is expected to occur, on average, once every 10 years. Usually, 10-year flood event may cause severe life and property loss which was also paid much attention by previous studies, such as Villarini et al. (2014).

Villarini G., Goska R., Smith J.A., Vecchi G.A., 2014. North atlantic tropical cyclones and U.S. floodings. Bulletin of the American Meteorological Society, 95(9), 1381-1388.

(3) L152, Is "The largest 1 day streamflow" the monthly or annual maximum?

Thank you for your kind comment. "The largest 1 day streamflow" indicates annual maximum.

(4) L156, some of stations seem not covering the period of 1951-2014. A detail information such a table about the data should be provided.

Thank you for your kind comment. The detail information of hydrological stations in this study has been added in the Table 1.

Table 1 The detail information of hydrological stations in this study.

No.	Station name	Longitude (°E)	Latitude (°N)	Basin area (km ²)	Region	Starting year	Ending year	Record length
1	Qilinzui2	113.85	23.35	2866	III	1954	2014	61
2	Pingshi2	113.05	25.28	3567	II	1964	2014	51
3	Wenjiang	113.93	24.30	2000	II	1955	2014	60
4	Chixi4	113.13	25.38	396	II	1967	2014	48
5	Lishi2	113.53	24.85	6976	II	1955	2014	60
6	Xiaogulu	114.20	25.07	1881	II	1958	2014	57
7	Renhua3	113.75	25.10	1476	II	1964	2014	51
8	Jielongwan	114.18	24.90	281	II	1958	2014	57
9	Sanshui2	112.83	23.17	46646	II	1951	2014	64
10	Makou	112.80	23.12	353100	II	1951	2014	64
11	Shuangqiao	112.57	22.97	938	I	1958	2014	57
12	Dulin	109.90	20.83	47	IV	1975	2014	40
13	Hedishuiku	110.30	21.72	1495	IV	1965	2014	50
14	Gangwajiao3	110.07	21.50	3086	IV	1970	2014	45
15	Ruipo	110.03	21.77	208	IV	1967	2014	48
16	Gaozhou4	110.83	21.92	2905	IV	1975	2014	40
17	Xinhe	111.12	21.72	649	IV	1958	2014	57
18	Shigushuiku1	111.04	22.07	509	IV	1965	2014	50
19	Dabai	111.15	22.05	394	IV	1967	2014	48
20	Huazhoucheng	110.65	21.65	6151	IV	1956	2014	59
21	Liangdeshuiku	110.98	22.15	494	IV	1965	2014	50
22	Gaoyao	112.47	23.05	351535	I	1951	2014	64
23	Gulan	111.68	23.57	8273	I	1954	2007	54
24	Xiaoluo	111.67	23.25	76.2	I	1977	2014	38
25	Lingxia	114.57	23.25	20557	III	1953	2014	62
26	Boluo2	114.30	23.17	25325	III	1953	2014	62
27	Jianshan	115.63	23.67	1578	III	1958	2014	57
28	Shuikou2	115.90	23.98	6480	III	1953	2014	62
29	Tangjin	116.22	23.98	267	III	1959	2014	56
30	Hengshan2	116.35	24.47	12954	III	1954	2014	61
31	Xikou	116.65	24.53	9228	III	1959	2014	56
32	Baokeng	116.42	24.68	437	III	1958	2014	57
33	Lantang2	114.93	23.43	1080	III	1958	2014	57
34	Shuntian	114.77	24.12	1357	III	1966	2014	49
35	Heyuan	114.70	23.73	15750	III	1951	2014	64
36	Longchuan	115.25	24.12	7699	III	1952	2014	63

37	Lianping2	114.47	24.37	388	III	1971	2014	44
38	Xingfeng2	115.04	24.40	290	III	1972	2014	43
39	Jinshan	111.53	22.03	950	IV	1959	2014	56
40	Shigushuiku2	111.02	22.05	509	IV	1965	2013	49
41	Pomian_qudao	112.00	22.40	768	IV	1958	2014	57
42	Pomian3	111.83	22.38	768	IV	1954	2014	61
43	Shuangjie	111.80	21.95	4345	IV	1952	2014	63
44	Huangjingtang	112.42	24.58	595	II	1958	2014	57
45	Gaodao	113.17	24.17	7007	II	1954	2014	61
46	Shijiao	112.95	23.57	38363	II	1954	2014	61
47	Mawu2	113.16	23.85	34.7	II	1972	2014	43
48	Damiaoxia	113.50	23.83	472	II	1960	2014	55
49	Gaolang2	113.30	23.86	216	II	1972	2014	43
50	Chaoan	116.65	23.67	29077	III	1951	2014	64
51	Chikan	116.25	23.68	641	III	1967	2014	48
52	Fukou	115.77	23.40	355	III	1959	2014	56
53	Cijiao	116.02	23.05	820	III	1955	2014	60
54	Dongqiaoyuan	116.13	23.48	2016	III	1953	2014	62
55	Guanliang	111.67	22.83	3164	I	1958	2014	57
56	Yaogu	112.28	22.87	1776	I	1958	2014	57
57	Hejiang2	110.57	21.90	3000	IV	1958	2014	57
58	Daxiang2	112.15	23.97	671	II	1959	2005	47
59	Denghuangshan	112.38	24.83	1084	II	1959	2005	47
60	Machi	113.20	23.90	300	II	1959	2005	47
61	Zhuzhou	112.35	23.73	553	II	1959	2005	47
62	Qianjiang	108.97	23.63	128938	I	1951	2010	60
63	Dahuangjiangkou	110.20	23.57	288544	I	1951	2010	60
64	Wuzhou	111.30	23.48	327006	I	1951	2010	60
65	Jiangbian	103.62	24.00	25116	I	1951	2010	60
66	Panjiangqiao	105.38	25.88	14492	I	1951	2010	60
67	Zhexiang	106.20	24.92	82480	I	1951	2009	59
68	Yongwei	109.28	25.70	13045	I	1951	2010	60
69	Sancha	108.95	24.47	16280	I	1951	2010	60
70	Liuzhou	109.40	24.32	45413	I	1951	2010	60
71	Pingle	110.67	24.60	12159	I	1951	2010	60
72	Baise	106.63	23.90	21720	I	1951	2010	60
73	Xinhe	107.20	22.45	5791	I	1951	2010	60
74	Nanning	108.23	22.83	72656	I	1951	2010	60
75	Guigang	109.62	23.08	86333	I	1951	2010	60
76	Jinji	110.83	23.22	9103	I	1951	2010	60
77	Changba	113.68	24.87	6794	II	1951	2010	60
78	Changle	109.42	21.83	6645	I	1951	2010	60

(5) The missing data of precipitation and streamflow should be introduced and told to us how to

deal it.

Thank you for your kind comment. There is less than 1% missing values in daily precipitation data (Zhang et al., 2018). The missing values of precipitation for 1–2 days were filled by the average precipitation of the neighboring days. Consecutive days with missing data were interpolated by the long-term average of the same days of other years. For the objectives of this study, the gap-fill method did not significantly affect the final results. A similar method had been used by Zhang et al. (2011) to fill daily missing precipitation values. The annual largest 1 day streamflow data from 78 hydrological stations are directly collected from the Water Conservancy Bureau of the Pearl River Water Conservancy Commission. Because the annual largest 1 day streamflow data have been compiled before released, only several values in several stations are missed. The missing values of annual largest 1 day streamflow data were filled by the average value of the neighboring years.

(6) L161-188. I suggest the authors put historical flood information as supplementary information. Thank you for your kind comment. The historical flood information and flood-induced losses in terms of people, room, and agriculture area have been put into the Supplementary information.

(7) Session 3., make it clear that the change point and trend detection are only applied for the observations of 1955-2014, instead of the past 1000 years. And, make it clear that the kernel density estimation is only applied for the historical floods.

Thank you for your kind comment. In Method, we have added the following sentences: the change point and trend detection methods are only applied for the observations of 1951-2014, and the kernel density estimation method is only applied for the historical floods.

(8) L272-284, this part superficially discusses that climate change and human activities have kind of impacts on flood peak, which are very straightforward, but does not explain how these factors contribute to the changes. Furthermore, it does not mention that how the peak changes after the change points. Does the peak increase or decrease after the change point?

Thank you for your kind comment. Our analyses changes in precipitation extremes are consistent with that in flood peaks. In addition, the abrupt change time in precipitation extremes is also in line with that in flood peaks. Therefore, changes in precipitation extremes may play a dominant role in change point of flood peaks. Only a few stations showed a change point in the flood peaks. Additionally, the trends in flood peaks before and after the change points have been detected in our previous studies (Zhang et al., 2014), indicating that no significant trends have been found. Change points of flood peaks in the mainstream of the West River occurred approximately in 1990 in spite of a few difference. The flood peaks of the West River basin are heavily influenced by the confluences of tributaries on the upstream of the West River and the factors causing abrupt changes in mean are complicated and blurry. The influence of hydraulic facilities is considerable. However, after the 1990s a few hydraulic facilities have been constructed and their influence can be ignored. Analysis of precipitation extremes in the Pearl River basin indicated that the amount of rainfall had changed little but its variability had increased over the time interval divided by change points. Besides, increased precipitation variability and high-intensity rainfall were observed, although rainy days and low-intensity rainfall had decreased (Zhang et al., 2009b). Abrupt changes of precipitation maxima were shifting in different seasons. However, change points of precipitation maxima in summer occurred in 1990, 1988 and 1991, which are in line with changes

points of flood peaks of the West River basin. It should be noted that floods occur mainly during the summer season. Therefore, it can be tentatively stated that abrupt changes of flood peaks of the West River basin are mainly the result of abrupt behavior of precipitation maxima. However, due to spatiotemporal patterns of precipitation maxima in the Pearl River basin and the production and confluence of flood streamflows, the abrupt behavior of flood peaks usually does not match that of precipitation maxima. Moreover, human interferences also introduce considerable uncertainty and cause obscure relations between abrupt changes of flood peaks and precipitation maxima. This analysis implies abrupt changes of flood peaks due to various influencing factors. The above sentences have been added in Discussion.

The trends in flood peaks before and after change point have been added in Fig. 4. Most of stations show decreases in flood peaks both before and after change point, especially in Region I and IV (Fig. 4b and c). However, the flood peaks in Region III turned decreasing trend before change point to increasing trend after change point, suggesting the physical mechanism of flood generation may be shifted.

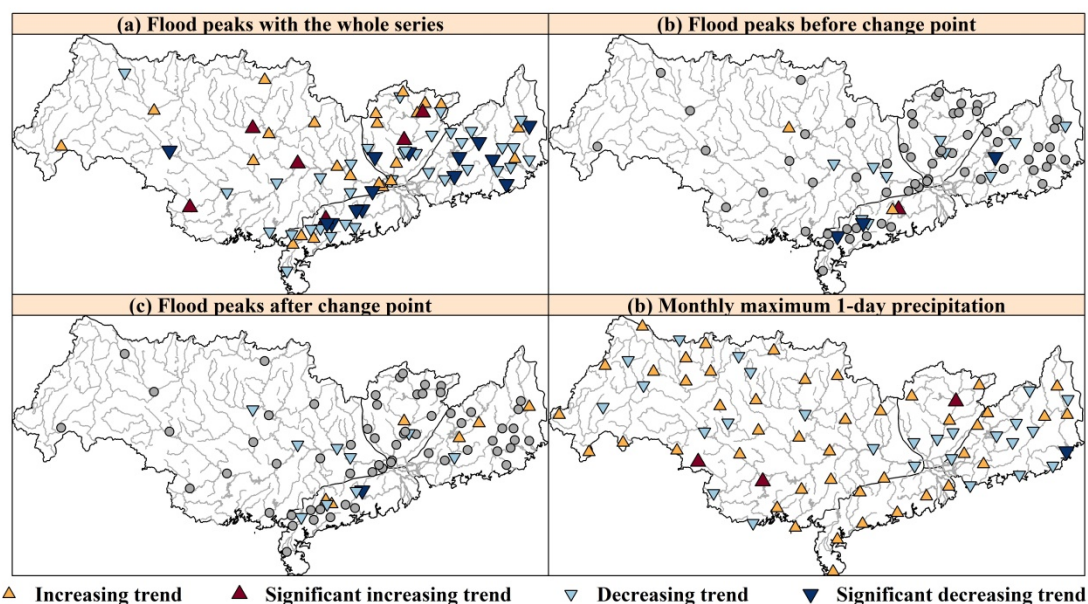


Fig. 4 Trends in (a) flood peaks with the whole series, (b) flood peaks before change point, (c) flood peaks after change point, and (d) precipitation extremes. The gray dots in (b) and (c) indicate the stations without change point.

Zhang Q., Gu X., Singh V.P., Xiao M., Xu C.-Y., 2014. Stationarity of annual flood peaks during 1951–2010 in the Pearl River basin, China. *Journal of Hydrology*, 519, 3263-3274.

(9) Section 4.3. The authors argued that the increased numbers of reports have limited impacts on the significant increased trends of the documented floods. They claimed that "in recent 200 years, the no reported extreme floods did not have so much differences that number of floods is still significant increasing". I wonder if this increase has an association with global warming?

Thank you for your kind comment. Heavy precipitation is a major source of flood generation in Pearl River Basin. Under global warming, the magnitude and frequency tend to increase in Pearl River Basin (Gu et al., 2017), one the consequences of increasing heavy precipitation is that flooding may be more frequent. However, there are different meteorological, hydrological and

climatological mechanisms that bring moisture that can produce flooding (i.e., tropical cyclone, convection, thunderstorm, frontal passages, sea surface temperature (SST) anomalies, and jet streams) (Hirschboeck, 1988). Therefore, it is difficult to attribute the increasing number of floods in recent 200 years to global warming certainly. For example, in our previous studies, more than 50% of flood events are induced by tropical cyclone in Guangdong province directly (Zhang et al., 2017). On the other hand, human influences may be more important to the increasing number of floods. Taking the Pearl River Delta (PRD) as an example, during recent 60 years, more than 20000 levees were combined with 400 levees and the length of river channel was reduced from 10000 km to 5000 km. Besides, the construction of large-scale reservoirs greatly reduced the occurrence rates and magnitude of floods (Figs. 11a, 11b and 11c). However, fast and massive urbanization, such as the urbanization rate of the Guangdong province reaching 67.67% caused fast production of floods and hence enhanced flood risk. The basin conditions including underlying surface, rivers, watercourse, runoff regulation, changed by human activities have irreversible impacts on flood generation mechanism. Compared with global warming, the increasing number of floods may have stronger association with human activities.

Hirschboeck, K.K., 1988. Flood hydroclimatology. In: Baker, V.R., Kochel, R.C., Patton, P.C. (Eds.), *Flood Geomorphology*. John Wiley & Sons, Hoboken, NJ, pp. 27–49.

Xihui Gu, Qiang Zhang, Vijay P. Singh, Yongjie Zheng. Changes in magnitude and frequency of heavy precipitation across China and its potential links to summer temperature. *Journal of Hydrology*, 2017, 547, 718-731.

Qiang Zhang, Xihui Gu, Peijun Shi, Vijay P. Singh, Ming Luo. Timing of floods in southeastern China: Seasonal properties and potential causes. *Journal of Hydrology*, 2017, 552, 732-744.

(10) How does floods in Pearl River Basin changes in future? Model simulations should be provided.

Thank you for your kind comment. Future changes in floods in Pearl River basin have been analyzed by previous studies (e.g. Xiao et al., 2013; Li et al., 2016). The usual approach that models the future changes in floods is using projected meteorological data (such as phase 5 of the Coupled Model Intercomparison Project (CMIP5)) to force hydrological model and simulate the future flood process. There are four representative concentration pathways (RCPs), i.e. RCP26 (low-emission scenario that achieves), RCP45 and RCP60 (moderate-emission scenario that achieves), and RCP85 (high-emission scenario that achieves). Xiao et al. (2013) evaluated the changes of floods in future 30 years under RCP45 and pointed out that increasing trends in Xijiang basin (Region I) and Region IV can be expected. Li et al. (2016) used eight hydrological models to model future changes in floods under RCP26 and RCP85 and indicated that the floods will increase especially in Region III under both RCP26 and RCP85 and the increase extent will be more obvious under RCP85. In this study, future changes in floods in Pearl River basin are not focused and added because of the following reasons: (1) the previous studies have analyzed the changes in future floods in Pearl River basin detailedly; (2) analysis and project in future floods have large uncertainties (Li et al., 2016); (3) the purpose of study is to investigate the changes in historical floods that is expected to provide more reference to understand how floods will change.

Xiao, H., Lu, G., Wu, Z., Liu, Z.: Flood response to climate change in the Pearl River basin for the

next three decades, *Shuilixuebao*, 44, 1409-1419, 2013.

Li, J., Chen, Y. D., Zhang, L., Zhang, Q., Chiew, F. H.: Future changes in floods and water availability across China: linkage with changing climate and uncertainties.

Anonymous Referee #2

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This study addressed flood risk in the river basin of southern China based on observed flood flow data and historical flood data. Therefore, the time span this study concerns is of recent 1000 years. In this sense, I think it is an impressive work analyzing flood risks from a long term perspective. In addition, this study also evaluated flood frequency and flood risks using GEV and kernel estimation method. Some interesting results and findings were achieved such as no abrupt changes or significant trends can be detected in peak flood flow at most of the stations. This finding is interesting which provides an exceptional case about flooding risk in humid regions to global climate changes. Because many researches indicated amplification of flooding risks over the globe. Besides, different changes in floods were observed in different parts of this river basin, i.e. the Pearl River basin: the occurrence rate of floods was increasing in middle Pearl River basin but decreasing in the lower Pearl River basin. I did find this study pretty interesting. I prefer to take it as an exceptional case study for regional flooding responses to global climate changes, which sheds new light on human understanding of responses regional hydrological cycle to global warming. In general, this paper was well written with good logic and syntax. Besides, this paper also reads well and was well organized. In this case, I prefer to suggest acceptance after pretty minor revisions as suggested below:

Reply: Thank you so much for your kindness and for your generous encouragement by your kindly allowing us such an opportunity to improve our manuscript.

(1) In the Data section, more details of the dataset should be provided such as are there any missing data in the streamflow dataset? How to process these missing data if any?

Thank you for your kind comment. The annual largest 1 day streamflow data from 78 hydrological stations are directly collected from the Water Conservancy Bureau of the Pearl River Water Conservancy Commission. Because the annual largest 1 day streamflow data have been compiled before released, only several values in several stations are missed. The missing values of annual largest 1 day streamflow data were filled by the average value of the neighboring years. The detail information of hydrological stations in this study has been added in the Table 1.

(2) Are there any missing data in the precipitation dataset? How to process these missing data if any?

Thank you for your kind comment. There is less than 1% missing values in daily precipitation data (Zhang et al., 2018). The missing values of precipitation for 1–2 days were filled by the average precipitation of the neighboring days. Consecutive days with missing data were interpolated by the long-term average of the same days of other years. For the objectives of this study, the gap-fill method did not significantly affect the final results. A similar method had been used by Zhang et al. (2011) to fill daily missing precipitation values.

(3) casualty rates should be changed to mortality in line 174.

Thank you for your kind comment. It has been modified. “casualty rates” has been modified as “mortality”.

(4) flood-damaged and flood-affected farmland areas should be changed to flood-damaged and -affected cropland areas.

Thank you for your kind comment. It has been modified. “flood-damaged and flood-affected farmland areas” have been modified as “flood-damaged and -affected cropland areas”.

(5) In line 182, had missing information should be changed to contained missing information.

Thank you for your kind comment. It has been modified. “had missing information” has been modified as “contained missing information”.

(6) In line 192,has been using widely.should be changed tohas been used widely.

Thank you for your kind comment. It has been modified. “has been using widely” has been modified as “has been used widely”.

(7) In lines 192, 193,and also used in this study. . .should be changed to . . .and was also used in this study. . .

Thank you for your kind comment. It has been modified. “and also used in this study” has been modified as “was also used in this study”.

(8) Topic of 3.3 section, i.e. “Kernel density estimation “ should be changed to “kernel density estimation”

Thank you for your kind comment. It has been modified. “Kernel density estimation” has been modified as “kernel density estimation”.

(9) Kernel density estimation in other parts of the main text should be changed to kernel density estimation.

Thank you for your kind comment. Kernel density estimation in other parts of the main text has been modified as “kernel density estimation”.

1 **More frequent flooding? Changes in flood frequency in Pearl River**
2 **basin, China since 1951 and over the past 1000 years**

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23 **More frequent flooding? Changes in flood frequency in Pearl River**
24 **basin, China since 1951 and over the past 1000 years**

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38

39 **Abstract:** Flood risks across the Pearl River basin, China, were evaluated using peak
40 flood flow dataset covering a period of 1951-2014 from 78 stations and historical
41 flood records of recent 1000 years. The General Extreme Value (GEV) model and the
42 kernel estimation method were used to evaluate frequencies and risks of hazardous
43 flood events. Results indicated that: (1) no abrupt changes or significant trends could
44 be detected in peak flood flow series at most of the stations, and only 16 out of 78
45 stations exhibited significant peak flood flow changes with change points around 1990.
46 Peak flood flow in the West River basin was increasing and significant increasing
47 trends were identified during 1981-2010; decreasing peak flood flow was found in

48 coastal regions and significant trends were observed during 1951-2014 and 1966-2014;
49 (2) the largest three flood events were found to cluster in both space and time.
50 Generally, basin-scale flood hazards can be expected in the West and North River
51 basins; (3) the occurrence rate of floods was increasing in middle Pearl River basin
52 but decreasing in the lower Pearl River basin. However, hazardous flood events were
53 observed in the middle and lower Pearl River basin, and it is particularly true in recent
54 100 years. However, precipitation extremes were subject to moderate variations and
55 human activities, such as building of levees, channelization of river systems and rapid
56 urbanizations were the factors behind the amplification of floods in the middle and
57 lower Pearl River basin, posing serious challenges for developing measures of
58 mitigation of flood hazards in the lower Pearl River basin, particularly the Pearl River
59 Delta (PRD) region.

60

61 **Key words:** Flood frequency; Flood risk; GEV model; Kernel estimation

62

63 **1. Introduction**

64 Climatic extremes are one of the crucial drivers of meteorological and hydrological
65 hazards, such as floods and droughts (IPCC, 2007; Li et al., 2016). Meanwhile,
66 climate change is expected to intensify the global hydrological cycle which would
67 potentially lead to a general increase in the intensity and frequency of extreme
68 climatic events (Ohmura and Wild, 2002; Alan et al., 2003; Zhang et al., 2013). This
69 will, in turn, have direct implications for hydrological extremes, such as floods and
70 droughts (IPCC, 2013). However, the impacts of climate change on hydrological
71 extremes are expected to vary across different regions over the globe due to the
72 prevailing hydrometeorological regimes and the nature of climate change in specific

73 regions (Beniston and Stephenson, 2004; Burn et al., 2015).

74 Due to remarkable differences in the hydrometeorological processes that generate
75 floods, climate change can increase or decrease the magnitude, duration, frequency
76 and even nonstationarity of extreme hydrological events, such as floods considered in
77 this study (Gu et al., 2016; Vormoor et al., 2016; Zhang et al., 2016). A very recent
78 study by Zhang et al. (2015a) corroborated the changes in hydrological extremes
79 across China but also found the increasing impact of human activities on fluvial
80 hydrological processes. Changes in hydrometeorological triggers are believed to be
81 the first step to project likely future changes in flood generating processes (Hall et al.,
82 2014; Vormoor et al., 2016). It is particularly the case with flood processes in the
83 Pearl River basin, the second largest river basin in China in terms of flow volume
84 with highly-developed economy, dense population and important megacities, such as
85 Guangdong, Macau and Hong Kong. This constituted the motivation for this study.
86 Hydrometeorological extremes often have disastrous impacts on the society, water
87 resources, agricultural activities, urban infrastructure, and also ecosystems (Das et al.,
88 2013; Li et al., 2016). Floods in particular damage infrastructure, take away many
89 lives and are one of the costliest types of natural disaster in economic and human
90 terms (Bouwer and Vellinga, 2003). It is also true for China where floods tend to have
91 more significant impacts on agriculture than droughts (Zhang et al., 2015b).

92 Increasing catastrophic losses due to natural hazards have aroused widespread
93 public awareness of extreme events in recent years (e.g. Beniston and Stephenson,
94 2004; Zolina et al., 2004). By 2100, the mean annual global surface temperature
95 would increase by 1.4-5.8°C, and future climatic and hydrological extremes would
96 tend to increase and intensify correspondingly (Houghton et al., 2001; Beniston et al.,

97 2007; IPCC, 2007). Therefore, it is important to investigate the flood behavior, and
98 related studies can be of practical value in water resources management. It should be
99 noted that precipitation extremes have a predominant effect on floods (Jena et al.,
100 2014). Studies on precipitation extremes across the Pearl River basin have indicated
101 that the amount of rainfall has changed little but the variability has increased over the
102 time interval divided by change points (Zhang et al., 2009). Further, changes in the
103 characteristics of precipitation extremes across the Pearl River basin are similar to
104 those over the globe (Hirsch and Archfield, 2015), i.e. frequencies of precipitation
105 extremes are increasing but magnitudes have moderate changes. However, increasing
106 precipitation extremes are observed mainly in the lower Pearl River basin, including
107 the Pearl River Delta (PRD) region (Zhang et al., 2012), and also partly in the middle
108 Pearl River basin. Therefore, it can be expected that flood risk should be higher in the
109 middle and lower Pearl River basin, or coastal regions (Pino et al., 2016).

110 In general, extreme floods are rare and hence there is limited opportunity to
111 collect adequate samples of such events in order to make reliable predictions.
112 Therefore, the question is how best to extrapolate to extreme events, when no or only
113 short series of such events are available (Kjeldsen et al., 2014). High quality data and
114 analyses of long historical records of peak extreme events are important to determine
115 whether climate is becoming extreme or variable (Nicholls, 1995). To that end,
116 flood records of 1000 years from Guangdong province (which covers the lower Pearl
117 River basin) and Guangxi province (which covers the middle Pearl River basin) were
118 collected to overcome the limitations of short gauge station-based flood records for

119 analyzing floods, and this is also the significance of this study.

120 The historical flood records were collected from two books compiled by Wen and
121 Song (2006) and Wen and Yang (2007). These two books included abundant records
122 relevant to various meteorological disasters, such as tropical cyclones, droughts,
123 floods, frosts, and so on. The historical flood records should be screened out from
124 those abundant records and it is a kind of time-consuming job. Therefore, few reports
125 were found concerning flooding changes over long period such as 1000 years in this
126 study based on historical records. Besides, historical flood records in other regions of
127 the globe have been used to analyze the changes in flood frequency (e.g. Mudelsee et
128 al., 2003). Mudelsee et al. (2003) collected flood records from A.D. 1000 to A.D.
129 2000, and pointed out no upward trends in the occurrence of extreme floods in central
130 Europe. Mudelsee et al. (2004) indicated that the historical flood records can provide
131 reliable and unique information on heavy floods at least since A.D. 1500 in the Elbe
132 and Oder rivers, which further emphasized merits of historical records in the study of
133 flooding frequency from a long-term perspective.

134 Therefore, the objectives of this study are: (1) to quantify abrupt changes and
135 trends of flood events; (2) to characterize temporal changes of 10 year flood flow and
136 spatial distribution of flood magnitude > 10-year flood magnitude (the flood peak is
137 expected to occur, on average, once every 10 years); and (3) to determine frequency
138 and occurrence rate based on 1000 year flood records. Potential causes of
139 spatiotemporal patterns of floods across the Pearl River basin and related implications
140 are also discussed. This study would provide a clear picture showing the evolution of

141 floods in both space and time in a humid river basin and show the response of
142 hydrological extremes to climate change and human activities.

143

144 **2. Study region and data**

145 2.1 Study region

146 The Pearl River (97°39'E- 117°18'E; 3°41'N- 29°15'N) (Fig. 1), with a drainage
147 area of $4.42 \times 10^5 \text{ km}^2$, is the second largest river in China in terms of flow volume
148 (PRWRC, 1991). It involves three major tributaries: West River, North River and East
149 River. The West River (Region I) is the largest tributary, accounting for 77.8% of the
150 total drainage area of the basin. The North River (Region II) is the second largest one
151 with a drainage area of 46710 km^2 . The East River (Region III) accounts for 6.6% of
152 the total area of the Pearl River. And the Region IV, which is beyond the three major
153 tributaries, locates in the west of the Guangdong province (Fig. 1). The annual mean
154 temperature ranges between 14-22°C and the precipitation mainly occurs during
155 April-September (Zhang et al., 2009), accounting for 72%-88% of the annual
156 precipitation (PRWRC, 1991).

157 The Pearl River basin is covered mainly by two provinces, i.e. Guangdong and
158 Guangxi (Fig. 1b). Numerous water reservoirs have been built in northern, eastern and
159 western Guangdong and also central and southern Guangxi (Fig. 1b). In addition,
160 widespread urbanization can be observed in the PRD, eastern Guangdong and coastal
161 regions of Guangdong (Chen et al., 2009) (Fig. 1b) that has a highly-developed
162 economy (Fig. 1c) and dense population settlements (Fig. 1d). Central and southern

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165 Guangxi is dominated by croplands (Fig. 1b). The streamflow variations of the Pearl
166 River basin have a considerable influence on the hydrological processes of the PRD,
167 one of the most complicated deltaic drainage systems in the world (Chen et al., 2009).
168 Flat terrain at low-lying altitude and the downstream location, together with rapid
169 economic development and population growth over the past three decades have made
170 the PRD region more and more vulnerable to natural hazards, such as flood, salinity
171 intrusion, and storm surge. In recent years, engineering facilities and other
172 modifications of the Pearl River network have been designed to strengthen flood
173 protection and to cater for huge requirements of building materials.

174

175 2.2 Data

176 The annual largest 1 day streamflow data (i.e. annual maxima) were collected
177 from 78 hydrological stations across the Pearl River basin (Table 1). Locations of
178 these hydrological stations are shown in Fig. 1a. Besides, daily precipitation data were
179 also collected from 74 stations across the Pearl River basin and their locations are
180 shown in Fig. 1c. All the precipitation and hydrological data cover the period of
181 1951-2014. Detailed information of these hydrological (Table 1) and precipitation
182 data can be found in Fig. 2. The hydrological data were provided by the [Water
183 Conservancy Bureau of the Pearl River Water Conservancy Commission](#), and the
184 precipitation data were collected from National Climate Center. The quality of these
185 data is firmly controlled before release.

186 [There is less than 1% missing values in daily precipitation data \(Zhang et al.,](#)

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189 2018). The missing precipitation data for 1–2 days were filled by the average
190 precipitation of the neighboring days. Consecutive days with missing data were
191 interpolated by the long-term average of the same days of other years. For the
192 objectives of this study, the gap-fill method did not significantly affect the final
193 results. A similar method had been used by Zhang et al. (2011) to fill daily missing
194 precipitation values. The annual largest 1 day streamflow data from 78 hydrological
195 stations are directly collected from the Water Conservancy Bureau of the Pearl River
196 Water Conservancy Commission. Because the annual largest 1 day streamflow data
197 have been compiled before release of the data. The missing values of the annual
198 largest 1 day streamflow data were filled by the average value of the neighboring
199 years.

200 Mudelsee et al. (2003) classified floods into three types, based on inundation area
201 and flood-induced losses: (1) floods that occur locally with short duration and small
202 damages; (2) regional floods that have relative longer duration and cause damages to
203 hydraulic infrastructure and also casualties; and (3) fluvial disastrous floods with long
204 lasting duration (usually days or weeks) causing serious and even disastrous damages
205 to hydraulic infrastructure and massive casualties. In this study, historical flood
206 records were collected from documented flood records compiled by Wen and Song
207 (2006) and by Wen and Yang (2007). The documented flood records for Guangdong
208 and Guangxi provinces covered a period of 383-2000 and 107-2000, respectively. The
209 disasters were recorded in history books, local chronicles, water conservancy archives,
210 documents, and so on. For the sake of the study on relations between climate change

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214 and disasters, the group was developed to compile the documented nature disaster
215 records spanning almost 2000 years for each province in China based on multisource
216 information. The group selected the recorded flood events with mutual confirmation
217 in different documents as far as possible. In addition, the flood event with more
218 relevant information, such as magnitude, mortality, flood-damaged and flood-affected
219 cropland areas, flood-induced damaged water conservancy facilities, is more likely
220 selected.

221 The director of the group is Wen who served as director of China meteorological
222 administration, and one of the group is Ding who is an academician of the Chinese
223 academy of sciences. The members of the group coming from senior government
224 authority and famous scientists, can largely ensure the quality of the data. Based on
225 flood types defined by Mudelsee et al. (2003), only disastrous flood events were
226 singled out, since floods occurred almost annually. Meanwhile, flood records before
227 1000 AD were not complete and contained missing information, thus disastrous flood
228 records during a period of 1000-2000 were singled out and analyzed in this study. One
229 flood event, which caused life losses or submersing more than 10 thousands areas of
230 farmland or destroying important water conservancy facilities, will be classified as
231 disastrous flood events.

233 3. Methods

234 3.1 Detection of change points and trends

235 The Pettitt method (Pettitt, 1979) is a nonparametric test and enables the
236 detection of change in the mean (median) when the change point time is unknown.

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241 This method has been widely used in detection of change points (Villarini et al., 2009)
242 and was also used in this study. The test is based on the Mann-Whitney statistic for
243 testing whether the two samples X_1, \dots, X_m and X_{m+1}, \dots, X_n come from the same
244 population. The p value of test statistic is computed using the limiting distribution
245 approximated by Pettitt (1979), which is valid for continuous variables (e.g. Villarini
246 et al., 2009). The 95% confidence level was used to evaluate the significance of
247 change point in the study.

248 Trends were tested by non-parametric trend detection methods which are less
249 sensitive to outliers than are parametric statistics. In this study, the modified version
250 of the Mann-Kendall (MMK) trend test method was used which was proposed by
251 Hamed and Rao (1998) based on effective or Equivalent Sample Size (ESS) to
252 eliminate the effect of autocorrelation. MMK has been used in analyzing the effect of
253 global warming on small aquatic ecosystems (Daufresne et al., 2009). In this study
254 MMK was employed to explore trends in flood series, with the significance level set
255 at 5%. For the computation procedure one can refer to Daufresne et al. (2009). The
256 change point and trend detection methods are only applied for the observations
257 during 1951-2014.

259 3.2 Generalized Extreme Value (GEV) model

260 The GEV distribution has been widely used in the analysis of
261 hydrometeorological extremes (e.g. Gu et al., 2016) and has three parameters, i.e. the
262 location μ , the scale, α ($\alpha > 0$), and the shape, κ . In this paper, GEV is used to
263 calculate the return period of flood events. The cumulative density function (cdf) of

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267 a random variable y drawn from a GEV distribution is given as (Cannon, 2010):

$$\begin{aligned} 268 \quad F(y; \mu, \alpha, \kappa) &= \exp \left[- \left\{ 1 - \kappa \frac{y - \mu}{\alpha} \right\}^{1/\kappa} \right], & \kappa \neq 0, \quad 1 - \kappa \frac{y - \mu}{\alpha} > 0 \\ F(y; \mu, \alpha, \kappa) &= \exp \left[- \exp \left\{ 1 - \frac{y - \mu}{\alpha} \right\} \right], & \kappa = 0 \end{aligned} \quad (1)$$

269 3.3 Kernel density estimation of occurrence rates of floods

270 The kernel density estimation method is used to estimate the occurrence rates of
271 historical floods. The estimation of occurrence rates of time-dependent extreme events
272 can be computed as (Mudelsee et al., 2003; Mudelsee et al., 2004):

$$273 \quad \lambda(t) = h^{-1} \sum_{i=1}^m K \left(\frac{t - T_i}{h} \right) \quad (2)$$

274 where T_i is the timing of the i th flood event with unit in day; m is the number of
275 floods; $K(\cdot)$ is the kernel function; and h is the width of the kernel function. The
276 Gaussian kernel function is the widely-used kernel function, which can use the
277 Fourier space and produce a smoothed estimation of the occurrence rates of extreme
278 events (Mudelsee et al., 2003; Mudelsee et al., 2004):

$$279 \quad K(y) = \frac{1}{\sqrt{2\pi}} \exp \left(- \frac{y^2}{2} \right) \quad (3)$$

280 where $y = (t - T_i)/h$. The occurrence rate of an extreme event, $\lambda(t)$, denotes the number
281 of an extreme event exceeding threshold values given a certain time interval, t . The
282 time interval of time series is $[t_1, t_m]$. Since no data are available outside of the time
283 interval, i.e., $[t_1, t_m]$, $\lambda(t)$ near the boundaries of the time interval is usually
284 underestimated. In this case, a kind of pseudodata is used to reduce the error as a
285 result of underestimated $\lambda(t)$. A mapping technique is used to produce the pseudodata
286 (Mudelsee et al., 2004). pT is the pseudodata outside of the time interval of $[t_1, t_m]$ for
287 the flood series. For $t < t_1$, $pT[i] = t_1 - [T_i - t_1]$; and the same procedure was done for $t >$
288 t_m . The extended series is 1.5 times longer than the original one. The computation of

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290 $\lambda(t)$ based on the extended data series was based on:

$$291 \quad \lambda(t) = h^{-1} \sum_{i=1}^{m^*} K\left(\frac{t - T_i^*}{h}\right) \quad (4)$$

292 where T_i^* is the timing of the i th flood event based on the extended data series with a
293 unit of day; m^* is the sample size of the extended data series. Also, the selection of
294 window width, h , is important for the estimation of $\lambda(t)$. Too small window width, h ,
295 selected for computation of $\lambda(t)$ will substantially influence the randomness on $\lambda(t)$;
296 too large window width, h , may cause over smoothing of the data series and hence
297 details in information may be excluded. The cross validation method was used to
298 determine the width of window (Mudelsee et al., 2003). [The kernel density estimation](#)
299 [method is only applied for the historical floods.](#)

300

301 3.4 Confidence interval by Bootstrap technique

302 The bootstrap technique and Equation (4) can be combined to enable uncertainty
303 analysis of the occurrence rate of floods, $\lambda(t)$, using the following procedure
304 (Mudelsee et al., 2004):

- 305 (1) Based on the extended data series, T_i^* , the simulated T^+ of the same series length
306 can be obtained using the bootstrap technique;
- 307 (2) The occurrence rates, $\lambda^+(t)$, of sample extreme events, T^+ , can be computed using
308 Equation (5);
- 309 (3) Steps (2) and (3) above will be repeated for 2000 times, and $\lambda^+(t)$ of 2000 samples
310 can be obtained;
- 311 (4) The 90% confidence interval for $\lambda^+(t)$ will be obtained using the quantile method.

312

313 4. Results

314 4.1 Change points and trends of peak flood flow

315 Analyses of change points and trends were only applied in observed flood events
316 (i.e. annual maxima of period of 1951-2014). Fig. 3 illustrates spatial patterns of
317 stations with different change points of peak flood flow. It can be observed from the
318 figure that only 16 out of 78 stations, accounting for 20.5% of the total stations, were
319 characterized by significant change points of peak flood flow changes and most of
320 these stations are found in the middle and lower Pearl River basin. In the coastal
321 regions of the lower Pearl River basin, 10 out of 16 stations with significant change
322 points were observed, accounting for 62.5% of the total stations characterized by
323 significant change points of peak flood flow. Generally, flooding in the Pearl River
324 basin is mainly attributed to precipitation extremes which were observed mainly in the
325 middle and lower Pearl River basin and particularly in the lower Pearl River basin
326 (Zhang et al., 2012). Results of change points of precipitation maxima by Zhang et al.
327 (2009) indicated that precipitation maxima were dominated by significant change
328 points during 1980-1993, and significant change points of peak flood flow series
329 detected in this study were during 1986-1995, showing significant impacts of
330 precipitation extremes on flooding. These results showed that significant change
331 points of flood processes were found mainly in the middle Pearl River basin and
332 particularly in the lower Pearl River basin. Besides, human impacts on flood
333 processes cannot be ignored and it is particularly the case for the East River basin
334 (Zhang et al., 2015c), where 3 large water reservoirs were built that controlled 11700
335 km² of drainage area.

336 Significant increasing peak flood flow was observed mainly in northeastern West
337 River basin, mainstream of the Pearl River basin, northern North River basin,
338 southeastern West River basin, and southern North River. Significant decreasing peak
339 flood flow was observed mainly in southeastern West River, southern North River
340 basin, and also parts of rivers along the coastal regions of the lower Pearl River basin
341 (Fig. 4a). Most of stations show decreases in flood peaks both before and after change
342 point, especially in Regions I and IV (Figs. 4b, 4c). However, the flood peaks in
343 Region III turned decreasing trend before change point to increasing trend after
344 change point, suggesting shifted and/or modified physical mechanisms behind flood
345 generation processes. Significant increasing precipitation extremes were found in
346 northeastern West River basin and northern North River basin, and significant
347 decreasing precipitation extremes were detected in East River basin. Hence, spatial
348 patterns of peak flood flow matched those of precipitation extremes (Figs. 4a, 4d),
349 implying that floods in these regions were impacted mainly by precipitation extremes.
350 The southeastern West River basin and rivers in the west parts of region III were
351 dominated by significant increasing precipitation extremes but significant decreasing
352 peak flood flow (Fig. 4). Human activities exerted considerable impacts on flood
353 processes in these regions. Crop land in the Guangxi province was found mainly in
354 the southeastern Jiangxi province (Fig. 1b) and irrigated cropland had a significant
355 increase (Zhang et al., 2015b). The volume of water withdrawal for agricultural
356 irrigation during 2014 only reached $2.09 \times 10^{10} \text{m}^3$. Meanwhile, the total water storage
357 capacity of water reservoirs of the Guangxi Province reached $6.74 \times 10^{10} \text{m}^3$, and more

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362 than half of the reservoirs were built in the southeastern West River basin (Fig. 1b).
363 The western parts of the region III were also dominated by croplands and large-scale
364 reservoirs (Fig. 1b), and agricultural water consumption reached $2.24 \times 10^{10} \text{ m}^3$, and
365 the total storage capacity of reservoirs reached $4.48 \times 10^{10} \text{ m}^3$ during 2014. These
366 human activities greatly decreased peak flood flow volume in these regions. Therefore,
367 increasing human impacts on flood processes should arouse considerable concerns for
368 the management of water resources and mitigation of flood hazards (Zhang et al.,
369 2015a).

370 To determine trends of peak flood flow during specific time intervals, multi-scale
371 trend analysis was done (Fig. 5). Trends were identified by changing the time interval
372 by shifting the beginning and ending time of the interval with a time step of 5 years.
373 The shortest time interval was 15 years to ensure the validity of statistical analysis.
374 Besides, the percentages of stations with significant trends were also analyzed (Fig. 5).
375 The percentage of stations with significant trends in almost all time intervals, was
376 relatively low, being about 15% and even lower. However, stations with significant
377 decreasing trends of peak flood flow during 1966-2005 accounted for 20-25% of the
378 total stations considered in the study (Figs. 5). Significant increasing peak flood flow
379 was identified during 1981-2010 in the West River basin, and stations with significant
380 increasing peak flood flow during 1981-2010 accounted for 25-35% of the total
381 stations. Stations with significant decreasing peak flood flow during 1966-1990
382 accounted for 25-30% of the total stations (Figs. 5). Peak flows in the North River
383 basin had moderate changes without statistically detectable trends (Figs. 5).
384 Significant decreasing peak flood flow can be observed at the stations in the East
385 River basin or eastern parts of the region III, and stations with significant decreasing

386 peak flood flow during 1951-1980 and 1951-2014 accounted for 20-25% and 25-30%
387 of the total stations (Figs. 5). Stations with significant decreasing peak flood flow
388 were fewer after 1981 (Fig. 5), implying amplifying flooding regimes after 1981 in
389 the eastern parts of the region III. Larger changing variability of peak flood flow in
390 the western parts of the region III were observed. Stations with significant increasing
391 peak flood flow during 1951-1975 accounted for 30-35% and less stations were
392 characterized by significant increasing peak flood flow after 1966, and peak flood
393 flow after 1966 turned out to be significantly decreasing after 1966 (Figs. 5), stations
394 with significant decreasing peak flood flow accounted for 35-50% of the total stations.
395

396 4.2 GEV-based flood frequency

397 The GEV model was used to fit peak flood flow series (i.e. annual maxima of
398 period of 1951-2014) and the Kolmogorov Smirnov (K-S) statistic D was used to
399 evaluate the goodness-of-fit of GEV-based fitting performance (Fig. 6). Fig. 6
400 indicated that peak flood flow series at almost all stations, except two stations, was
401 well modelled by GEV at 0.05 significance level. The peak flood flow series at these
402 two stations were also modelled by GEV at the 0.1 significance level. Therefore, GEV
403 was used for flood frequency analysis across the Pearl River basin. Return periods of
404 floods at all hydrological stations were estimated and spatial patterns were also
405 characterized across the basin. It can be observed from Fig. 7 that floods of > 10-year
406 flood magnitude occurred with high frequency. However, large floods occurred in a
407 clustering manner at the annual time scale. The three largest floods occurred mainly
408 during two time intervals, i.e. 1965-1970 and 1993-2002. There occurred 38 out of 66
409 three largest floods at 22 stations during these two time intervals, i.e. 1965-1970 and
410 1993-2002 (region I in Fig. 7). There was no temporal clustering observed for the

411 three largest floods that occurred in the North River basin, but the spatial
412 concentration was identified (region II in Fig. 7). Taking the great floods that occurred
413 during May 1982 across the entire North River basin as an example, flood events of >
414 10 year flood were observed at 9 out of 20 stations and the measured largest three
415 floods were observed at 7 stations.

416 Survey of floods across the West and North River basins indicated that higher
417 probability was expected for the simultaneous occurrence of floods in both North and
418 West River basins. For example, 1994 was a serious flooding year and floods that
419 occurred in the West River basin at 11 stations were larger than 10 year flood and 7
420 out of 11 stations were dominated by the largest three floods of the recorded floods.
421 Meanwhile, peak flood flows at 8 stations in the North River basin were larger than
422 10 year flood and 6 out of 8 stations were dominated by the recorded largest three
423 floods. The occurrence of large floods in the east parts of region III was evidently
424 uneven in time and the measured largest three floods occurred mainly during
425 1958-1969, and decreased occurrence rates was observed for large floods after 2005.
426 However, floods of > 10 year flood were amplifying after 2005. Besides, the
427 occurrence of large floods in the east parts of the region III was also subject to spatial
428 clustering, and floods of > 10 year flood were observed usually at numerous stations
429 at the same time (region III in Fig. 7). Moreover, large floods occurred in a clustering
430 manner during 1966-1974 and occurrence rates of large floods after 1980 exhibited
431 moderate changes (region IV in Fig. 7).

432 The percentage of stations with flood regimes of > 10 year flood to the total
433 stations for each region was counted and trends were evaluated by the 11-year moving
434 average method (Fig. 8), with the aim to determine the occurrences of large floods in
435 both space and time. It can be seen from Fig. 8a that the percentage of stations

436 dominated by the occurrence of large floods in West River basin had moderate
437 changes with a slight increasing tendency (Fig. 8a), and particularly after 1990. The
438 percentage of stations dominated by the occurrence of large floods had an increasing
439 tendency and this increasing tendency was maintained during the entire time interval
440 considered in this study (Fig. 8b). The percentage of stations with the occurrence of
441 large floods followed similar changing patterns, i.e. increase and then decrease,
442 implying enhancing risks of floods across the entire region (Figs. 8c and 8d).

443

444 4.3 Flood risks based on historical flood records

445 Based on historical flood records, the occurrence rates of floods during the last
446 1000 years in the Guangdong and Guangxi provinces were analyzed and local
447 polynomial regression fitting technique was used to smooth the series. It can be
448 observed from Fig. 9a that the occurrences of floods had increasing trends before
449 1600 AD and reached the peak value during about 1600 AD. The occurrences of
450 floods had moderate variations during 1600-1900 with moderate variability. Recent
451 100 years, i.e. 1900-2000, however, witnessed decreasing occurrence rates of floods
452 (Fig. 9a). These results would be further evaluated using the kernel density estimation
453 method in the next section. However, the occurrence of floods in Guangxi province
454 told another story when compared to those in Guangdong province (Fig. 9b). A
455 moderate increasing tendency of occurrence rates of floods was observed before 1800
456 AD and the time interval after 1800 AD witnessed abruptly elevating occurrence rates
457 of floods and it is particularly the case during recent 100 years, i.e. 1900-2000 (Fig.
458 9b).

459 Basin-scale hazardous flood events were identified based on flood criterion
460 defined by Mudelsee et al. (2003, 2004). Meanwhile, flood risks of recent 1000 years

461 were evaluated using the kernel estimation method (Fig. 10). The width of the time
462 window was 56 years and 41 years for hazardous floods in the Guangdong and
463 Guangxi provinces based on the cross validation method (Figs. 10b and 10d).
464 Moreover, the time window of 30 years was used to have a closer look at the
465 occurrence rates of hazardous floods (Figs. 10a and 10c). It can be seen from Fig. 10
466 that hazardous floods had an increasing tendency in general, except the time interval
467 of 1400-1800 which was characterized by decreasing occurrence rates. Recent 200
468 years witnessed a sharp amplification of floods in the Guangxi and Guangdong
469 provinces. Remarkable amplification of floods in the middle and lower Pearl River
470 basin, and particularly in recent 200 years, should arouse a considerable concern.

471

472 **5. Discussions**

473 Change points and trends analyses showed that only a few stations showed a
474 change point or significant trend in the flood peaks. In other words, the flood peaks
475 are stationary in most of the stations considered in this study. Our previous study has
476 also detected the trends in flood peaks before and after the change points, indicating
477 that no significant trends have been found (e.g. Zhang et al., 2014). Taking change
478 points in the West River as example, Change points of flood peaks in the mainstream
479 of the West River occurred approximately in 1990 in spite of a few difference. The
480 flood peaks of the West River basin are heavily influenced by the confluences of
481 tributaries on the upstream of the West River and the factors causing abrupt changes
482 in mean are complicated and blurry. The influence of hydraulic facilities is
483 considerable. However, after the 1990s a few hydraulic facilities have been
484 constructed and their influence can be ignored. Analysis of precipitation extremes in
485 the Pearl River basin indicated that the amount of rainfall had changed little but its

486 variability had increased over the time interval divided by change points. Abrupt
487 changes of precipitation maxima were shifting in different seasons. However, change
488 points of precipitation maxima in summer occurred in 1990, 1988 and 1991, which
489 are in line with changes points of flood peaks of the West River basin. It should be
490 noted that floods occur mainly during the summer season. Therefore, it can be
491 tentatively stated that abrupt changes of flood peaks of the West River basin are
492 mainly the result of abrupt behavior of precipitation maxima. However, due to
493 spatiotemporal patterns of precipitation maxima in the Pearl River basin and the
494 production and confluence of flood streamflow, the abrupt behavior of flood peaks
495 usually does not match that of precipitation maxima. Moreover, human interferences
496 also introduce considerable uncertainty and cause obscure relations between abrupt
497 changes of flood peaks and precipitation maxima. This analysis implies abrupt
498 changes of flood peaks due to various influencing factors.

499 The Guangdong province is dominated by high urbanization, highly-developed
500 socio-economy and dense population density and it is particularly the case for the
501 PRD region (Figs. 1b, 1c and 1d). Intensifying human activities, such as in-channel
502 sand dredging, building of levees and fast urbanization, have greatly altered physical
503 and geographical features of underlying surfaces and hence modified the flooding
504 processes. The volume of sand dredged during the 1990s in the North and East River
505 basins was respectively $3.38 \times 10^6 \text{ m}^3/\text{year}$ and $1.50 \times 10^6 \text{ m}^3/\text{year}$, causing deepening of
506 river channel (e.g. Luo et al., 2007). Massive building of levees and simplification of
507 river channel systems have caused wide-spread gathering of flood waters and hence
508 amplification of floods.

509 Taking the PRD as an example, during recent 60 years, more than 20000 levees
510 were combined with 400 levees and the length of river channel was reduced from

511 10000 km to 5000 km. Besides, the construction of large-scale reservoirs greatly
512 reduced the occurrence rates and magnitude of floods (Figs. 11a, 11b and 11c).
513 However, fast and massive urbanization, such as the urbanization rate of the
514 Guangdong province reaching 67.67% caused fast production of floods and hence
515 enhanced flood risk (Fig. 10a). Increasing flood magnitudes in the recent 60 years
516 (Fig. 3a) and increasing occurrence rates of floods in the recent 100 years (Fig. 9b)
517 caused increasing losses of agricultural production and increasing casualty (Figs. 11c,
518 11d, and 11f). However, when compared to Guangdong province, Guangxi province
519 was dominated by lower urbanization and less dense population density (Fig. 1d),
520 human activities did not exert significant impacts on floods. Increasing precipitation
521 extremes and particularly increasing precipitation concentration (Zhang et al., 2012,
522 2013) triggered discernable amplification of floods in the Guangxi Province.
523 Therefore, recent 200 years also witnessed the intensification of hazardous floods
524 which undoubtedly posed a challenge for mitigation of flood hazards in the lower
525 Pearl River basin, particularly the PRD region. Although the fluvial disastrous floods
526 may be ignored in the early time, the increasing numbers of extreme floods are
527 significant and sharp. The no reported flood events in the early time may be one of the
528 reasons of sharp increases. However, we think it is not enough to explain this. Taking
529 Guangxi province as an example, the significant increase is continual, especially for
530 recent 200 years (Fig. 9b). In recent 200 years, no reported extreme floods did not
531 have so much difference that number of floods is still significantly increasing. When
532 historical flood records are tried to use, the no reported flood events are the problem
533 that the users must face not only for us but also for Mudelsee et al. (2003). In addition,
534 the spanning time is larger, the problem is more difficult to solve. Nevertheless, the
535 historical flood information can definitely provide valuable information to improve

536 our understanding of the changes in flood frequency.

537

538 **6. Conclusions**

539 Evaluation of flood risks was done in both space and time across the Pearl River
540 basin, China, based on peak flood flow data from 78 hydrological stations during the
541 period of 1951-2014 and 1000-year flood hazard records. The following conclusions
542 can be drawn from this study:

543 (1) No statistically significant changes can be detected in the peak flood flow series at
544 most of the stations, but significant changes were observed at 16 out of 78 stations.
545 Stations with significant peak flood flow changes were found in mainstream of the
546 West River basin, the East River basin and rivers in western parts of the coastal
547 regions and the change points were mainly during the 1990s. Abrupt changes of
548 peak flood flow in the West River basin were attributed to the abrupt behavior of
549 precipitation extremes. Construction of large-scale hydraulic facilities and
550 reservoirs was the major cause behind abrupt behavior of peak flood flow in the
551 coastal region.

552 (2) The northern parts and mainstream of the West River basin and northern North
553 River basin were dominated by significant increasing peak flood flow, implying
554 amplification of floods. Peak flood flow in the East River basin however had
555 significant decreasing trends which were attributed to the changes in precipitation
556 extremes. It should be emphasized that precipitation extremes were increasing in
557 southeastern West River basin and west parts of the coastal regions, and peak

558 flood flow in these regions was decreasing. Expanding agricultural irrigation and
559 hydrological regulation of reservoirs were the causes of decreasing peak flood
560 flow in these regions. A closer look at the abrupt behavior of peak flood flow
561 indicated that significant increasing peak flood flow was identified during
562 1981-2010 at 25-35% of the stations in the West River basin; significant
563 decreasing peak flood flow was observed during 1951-2014 at 25-30% of the
564 stations in the East River basin; and 30-35% of the stations in the western parts of
565 the coastal region were dominated by significant increasing peak flood flow
566 during 1951-1975, and 35-50% of the stations were dominated by significant
567 decreasing peak flood flow.

568 (3) The largest three flood events were concentrated during two time intervals, i.e.
569 1965-1970 and 1993-2002. The percentage of stations characterized by floods of >
570 10-year flood was increasing after 1975. The East River basin was dominated by
571 the concentrated occurrence of the three largest flood events during 1958-1969
572 and the percentage of stations with > 10-year flood was increasing after 2000.
573 Results indicated temporal and spatial clustering of flood hazards. This point
574 should arouse considerable concern for the mitigation to flood hazards.

575 (4) Historical flood records of recent 1000 years told an interesting story about flood
576 risks from a long term perspective. Flood risks of the middle and lower Pearl
577 River basin were enhancing and it is particularly the case in the recent 100 years.
578 Particularly, the flood risks in the middle and lower Pearl River basin in terms of
579 disastrous flood regimes were increasing, posing serious challenges for mitigation
580 of flood hazards in the PRD region.

581

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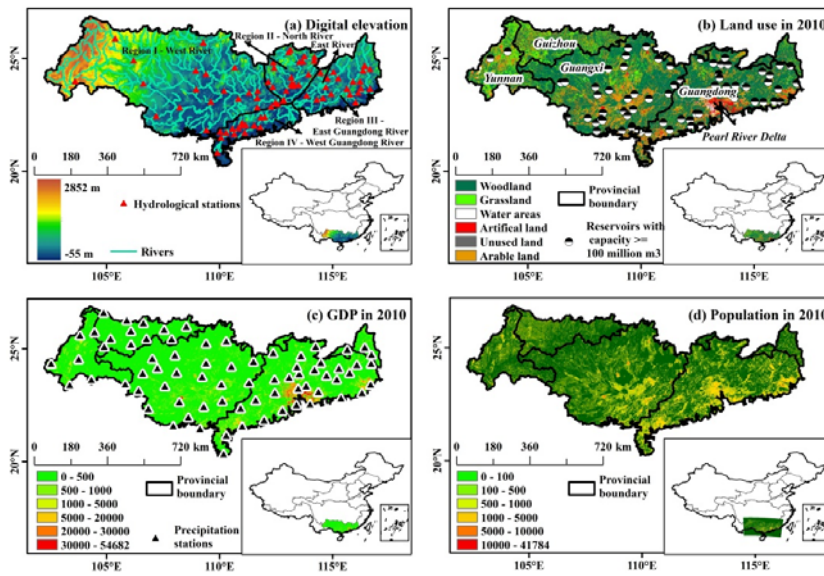
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822 Fig. 1 Locations of hydrological stations, precipitation gauging stations, and water
 823 reservoirs, and spatial patterns of land use, socio-economy and population across the
 824 Pearl River basin.

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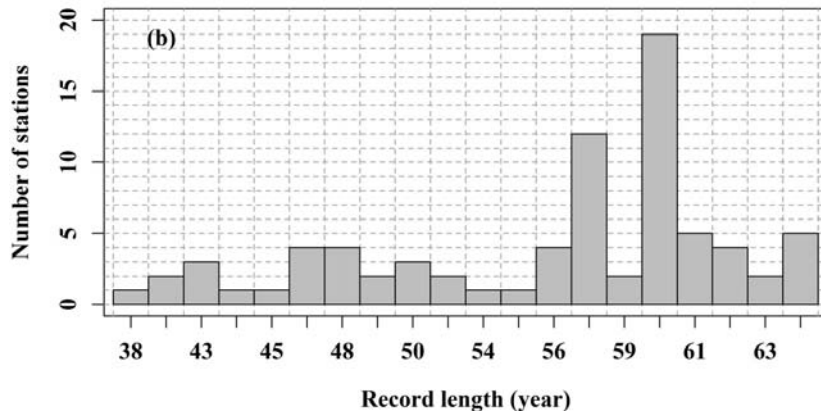
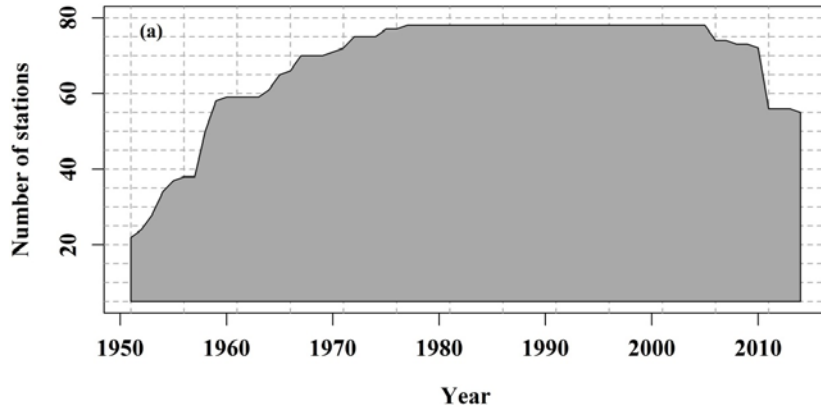
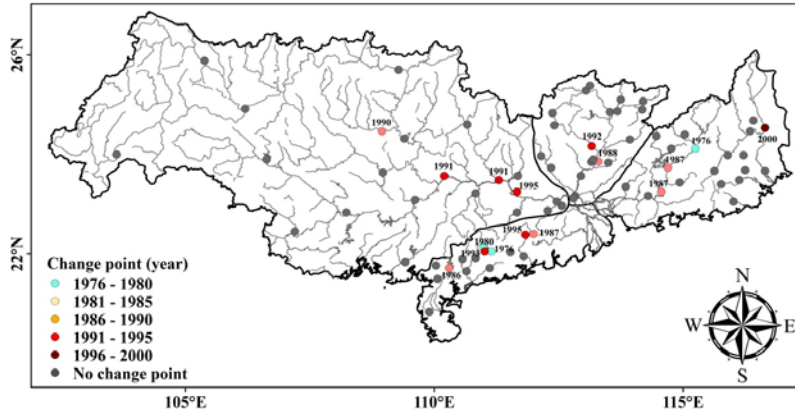


Fig. 2 Information on peak flood flow dataset.

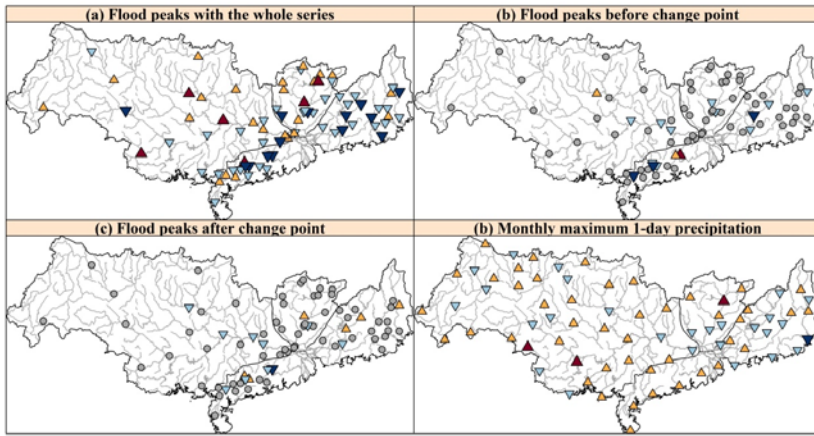
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832 Fig. 3 Spatial distribution of change points by Pettitt test for peak flood flow changes

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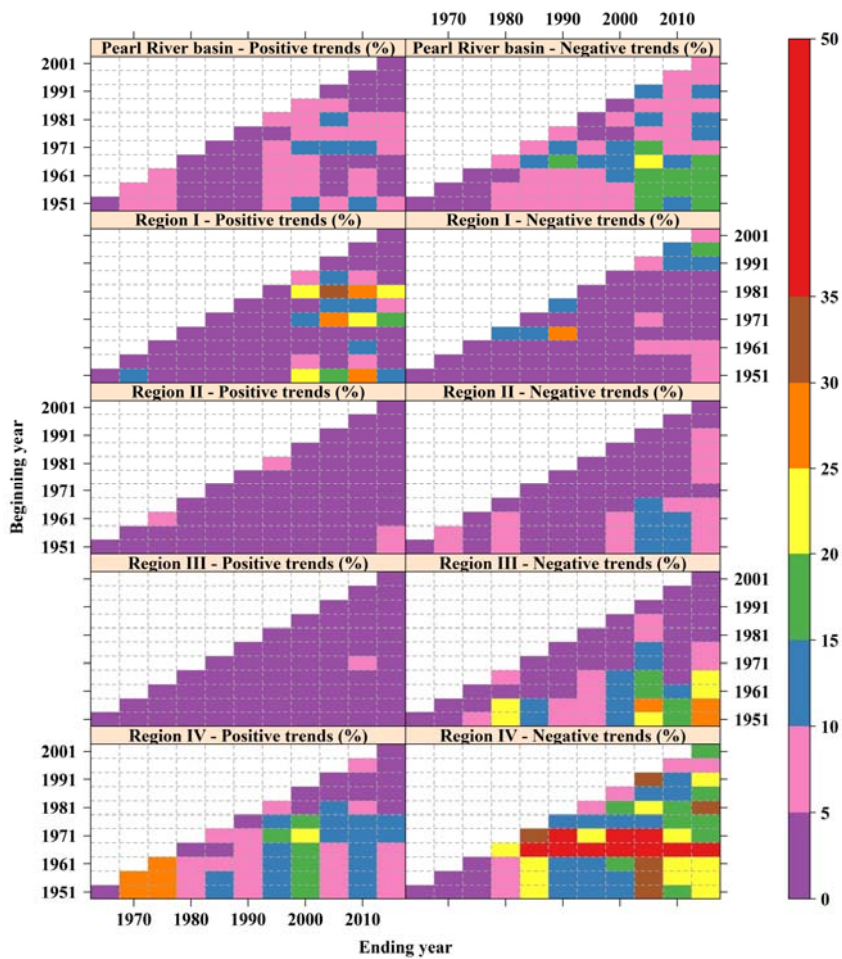


▲ Increasing trend ▲ Significant increasing trend ▼ Decreasing trend ▼ Significant decreasing trend

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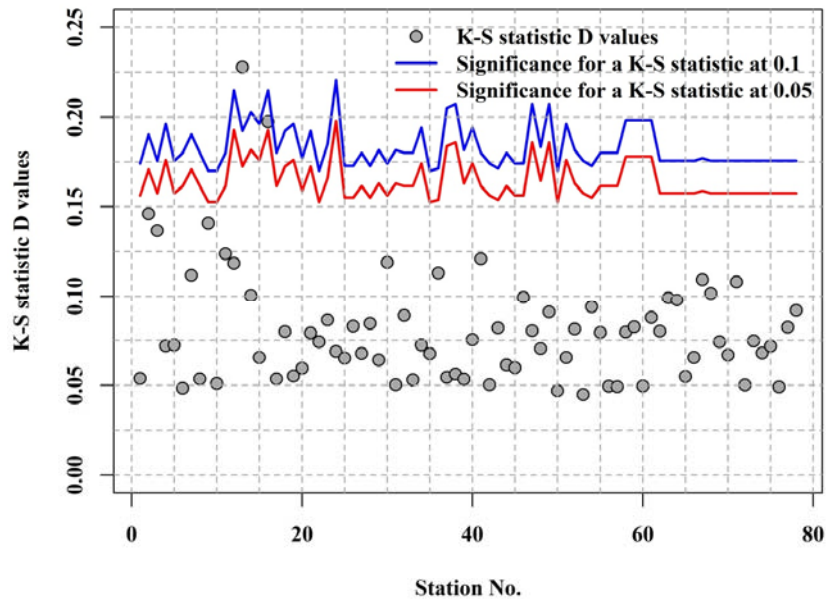
Fig. 4 Trends in (a) flood peaks with the whole series, (b) flood peaks before change point, (c) flood peaks after change point, and (d) precipitation extremes. The gray dots in (b) and (c) indicate the stations without change point.

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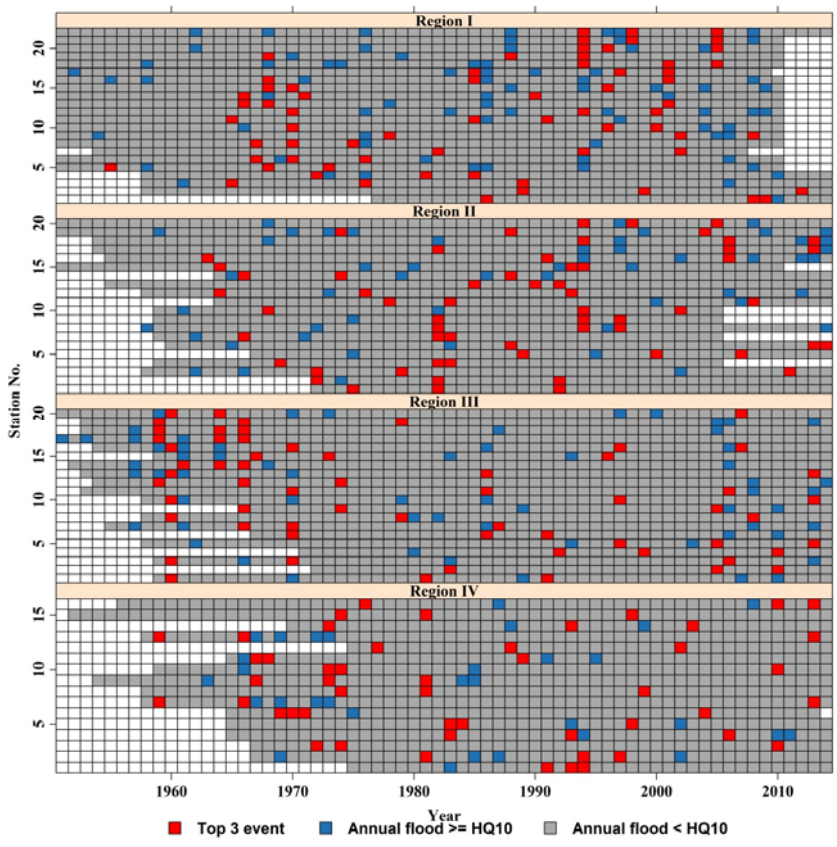


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Fig. 5 Percentage of stations with significant trends in peak flood flow



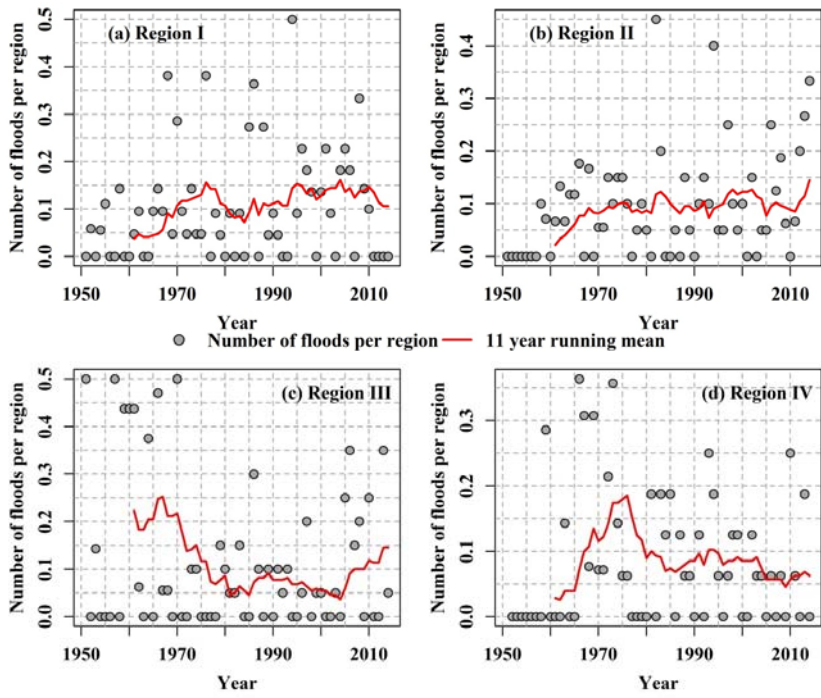
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 845 Fig. 6 K-S test results of performance of GEV fitting of peak flood flow of the Pearl
 846 River basin
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849 Fig. 7 GEV model based estimated return periods of peak flood flow at hydrological
 850 stations considered in this study across the Pearl River basin.

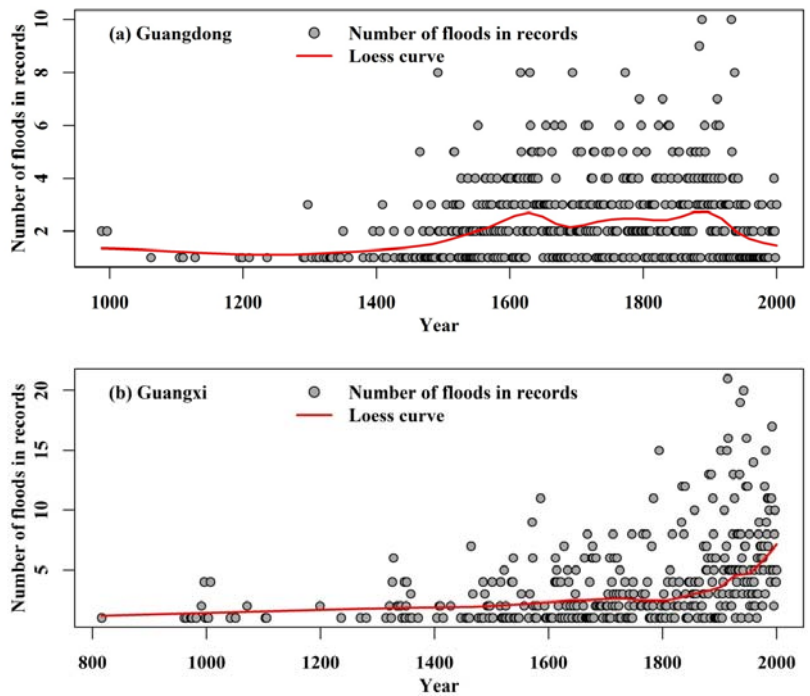
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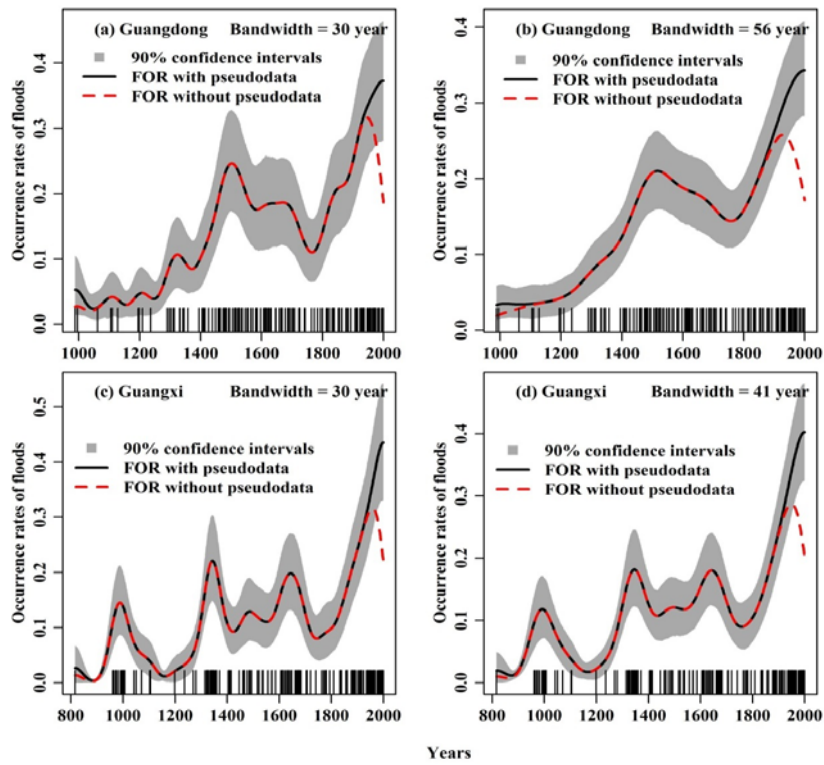
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853 Fig. 8 Temporal changes of percentage of stations with flood events of magnitude of >
 854 10-year flood magnitude

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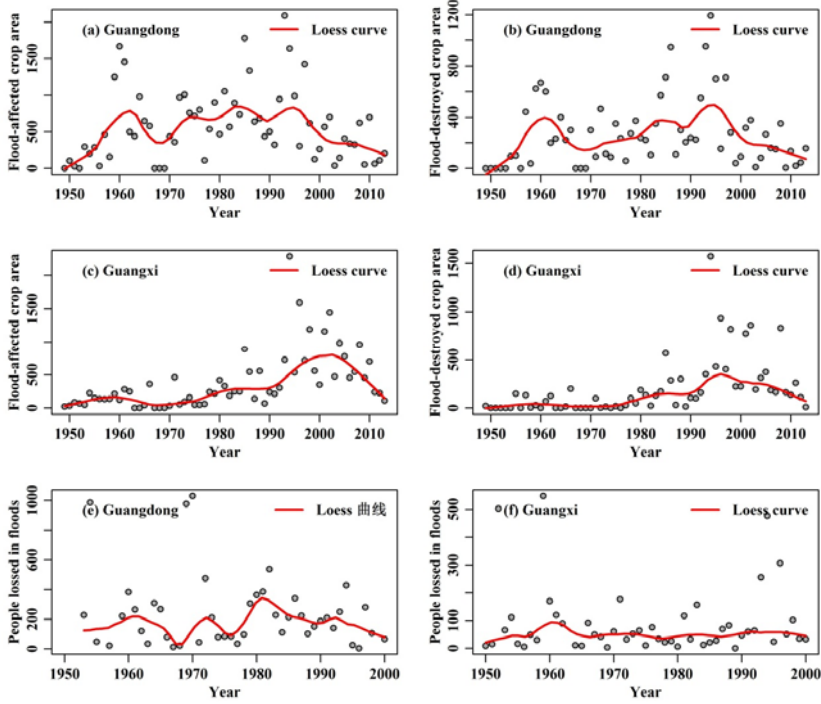
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 857 Fig. 9 Temporal changes in occurrence rates of flood hazards of past 1000 years in
 858 Guangdong (a) and Guangxi provinces (b).
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861 Fig. 10 Temporal variations of frequency of flood hazards during past 1000 years in
 862 Guangdong and Guangxi provinces.

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 865 Fig. 11 Temporal changes of flood hazard-induced agricultural losses and mortality in
 866 Guangdong and Guangxi provinces.
 867

Table 1 The detail information of hydrological stations in this study.

No.	Station name	Longitude (°E)	Latitude (°N)	Basin area (km ²)	Region	Starting year	Ending year	Record length
1	Qilinzui2	113.85	23.35	2866	III	1954	2014	61
2	Pingshi2	113.05	25.28	3567	II	1964	2014	51
3	Wenjiang	113.93	24.30	2000	II	1955	2014	60
4	Chixi4	113.13	25.38	396	II	1967	2014	48
5	Lishi2	113.53	24.85	6976	II	1955	2014	60
6	Xiaogulu	114.20	25.07	1881	II	1958	2014	57
7	Renhua3	113.75	25.10	1476	II	1964	2014	51
8	Jielongwan	114.18	24.90	281	II	1958	2014	57
9	Sanshui2	112.83	23.17	46646	II	1951	2014	64
10	Makou	112.80	23.12	353100	II	1951	2014	64
11	Shuangqiao	112.57	22.97	938	I	1958	2014	57
12	Dulin	109.90	20.83	47	IV	1975	2014	40
13	Hedishuiku	110.30	21.72	1495	IV	1965	2014	50
14	Gangwajiao3	110.07	21.50	3086	IV	1970	2014	45
15	Ruipo	110.03	21.77	208	IV	1967	2014	48
16	Gaozhou4	110.83	21.92	2905	IV	1975	2014	40
17	Xinhe	111.12	21.72	649	IV	1958	2014	57
18	Shigushuiku1	111.04	22.07	509	IV	1965	2014	50
19	Dabai	111.15	22.05	394	IV	1967	2014	48
20	Huazhoucheng	110.65	21.65	6151	IV	1956	2014	59
21	Liangdeshuiku	110.98	22.15	494	IV	1965	2014	50
22	Gaoyao	112.47	23.05	351535	I	1951	2014	64
23	Gulan	111.68	23.57	8273	I	1954	2007	54
24	Xiaoluo	111.67	23.25	76.2	I	1977	2014	38
25	Lingxia	114.57	23.25	20557	III	1953	2014	62
26	Boluo2	114.30	23.17	25325	III	1953	2014	62
27	Jianshan	115.63	23.67	1578	III	1958	2014	57
28	Shuikou2	115.90	23.98	6480	III	1953	2014	62
29	Tangjin	116.22	23.98	267	III	1959	2014	56
30	Hengshan2	116.35	24.47	12954	III	1954	2014	61
31	Xikou	116.65	24.53	9228	III	1959	2014	56
32	Baokeng	116.42	24.68	437	III	1958	2014	57
33	Lantang2	114.93	23.43	1080	III	1958	2014	57
34	Shuntian	114.77	24.12	1357	III	1966	2014	49
35	Heyuan	114.70	23.73	15750	III	1951	2014	64
36	Longchuan	115.25	24.12	7699	III	1952	2014	63
37	Lianping2	114.47	24.37	388	III	1971	2014	44
38	Xingfeng2	115.04	24.40	290	III	1972	2014	43
39	Jinshan	111.53	22.03	950	IV	1959	2014	56
40	Shigushuiku2	111.02	22.05	509	IV	1965	2013	49
41	Pomian_qudao	112.00	22.40	768	IV	1958	2014	57

42	Pomian3	111.83	22.38	768	IV	1954	2014	61
43	Shuangjie	111.80	21.95	4345	IV	1952	2014	63
44	Huangjingtang	112.42	24.58	595	II	1958	2014	57
45	Gaodao	113.17	24.17	7007	II	1954	2014	61
46	Shijiao	112.95	23.57	38363	II	1954	2014	61
47	Mawu2	113.16	23.85	34.7	II	1972	2014	43
48	Damiaoxia	113.50	23.83	472	II	1960	2014	55
49	Gaolang2	113.30	23.86	216	II	1972	2014	43
50	Chaoan	116.65	23.67	29077	III	1951	2014	64
51	Chikan	116.25	23.68	641	III	1967	2014	48
52	Fukou	115.77	23.40	355	III	1959	2014	56
53	Cijiao	116.02	23.05	820	III	1955	2014	60
54	Dongqiaoyuan	116.13	23.48	2016	III	1953	2014	62
55	Guanliang	111.67	22.83	3164	I	1958	2014	57
56	Yaogu	112.28	22.87	1776	I	1958	2014	57
57	Hejiang2	110.57	21.90	3000	IV	1958	2014	57
58	Daxiang2	112.15	23.97	671	II	1959	2005	47
59	Denghuangshan	112.38	24.83	1084	II	1959	2005	47
60	Machi	113.20	23.90	300	II	1959	2005	47
61	Zhuzhou	112.35	23.73	553	II	1959	2005	47
62	Qianjiang	108.97	23.63	128938	I	1951	2010	60
63	Dahuangjiangkou	110.20	23.57	288544	I	1951	2010	60
64	Wuzhou	111.30	23.48	327006	I	1951	2010	60
65	Jiangbian	103.62	24.00	25116	I	1951	2010	60
66	Panjiangqiao	105.38	25.88	14492	I	1951	2010	60
67	Zhexiang	106.20	24.92	82480	I	1951	2009	59
68	Yongwei	109.28	25.70	13045	I	1951	2010	60
69	Sancha	108.95	24.47	16280	I	1951	2010	60
70	Liuzhou	109.40	24.32	45413	I	1951	2010	60
71	Pingle	110.67	24.60	12159	I	1951	2010	60
72	Baise	106.63	23.90	21720	I	1951	2010	60
73	Xinhe	107.20	22.45	5791	I	1951	2010	60
74	Nanning	108.23	22.83	72656	I	1951	2010	60
75	Guigang	109.62	23.08	86333	I	1951	2010	60
76	Jimji	110.83	23.22	9103	I	1951	2010	60
77	Changba	113.68	24.87	6794	II	1951	2010	60
78	Changle	109.42	21.83	6645	I	1951	2010	60

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