

## **A Spatially Detailed Blue Water Footprint of the United States Economy**

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## 1 **Abstract**

2 This paper quantifies and maps a spatially detailed and economically complete blue water  
3 footprint for the United States, utilizing the National Water Economy Database version 1.1  
4 (NWED). NWED utilizes multiple mesoscale (county-level) federal data resources from the  
5 United States Geological Survey (USGS), the United States Department of Agriculture (USDA),  
6 the U.S. Energy Information Administration (EIA), the U.S. Department of Transportation  
7 (USDOT), the U.S. Department of Energy (USDOE), and the U.S. Bureau of Labor Statistics  
8 (BLS) to quantify water use, economic trade, and commodity flows to construct this water  
9 footprint. Results corroborate previous studies in both the magnitude of the U.S. water footprint  
10 ( $F$ ) and in the observed pattern of virtual water flows. Four virtual water accounting scenarios  
11 scenarios were developed with minimum ( $Min$ ), median ( $Med$ ), and maximum ( $Max$ )  
12 consumptive use scenarios and a withdrawal-based scenario. The median water footprint  
13 ( $F_{CUMed}$ ) of the U.S. is 181,966 Mm<sup>3</sup> ( $F_{Withdrawal}$ : 400,844 Mm<sup>3</sup>;  $F_{CUMax}$ : 222,144 Mm<sup>3</sup>;  $F_{CUMin}$ :  
14 61,117 Mm<sup>3</sup>) and the median per capita water footprint ( $F'_{CUMed}$ ) of the U.S. is 589 m<sup>3</sup> capita<sup>-1</sup>  
15 ( $F'_{Withdrawal}$ : 1298 m<sup>3</sup> capita<sup>-1</sup>;  $F'_{CUMax}$ : 720 m<sup>3</sup> capita<sup>-1</sup>;  $F'_{CUMin}$ : 198 m<sup>3</sup> capita<sup>-1</sup>). The U.S. hydro-  
16 economic network is centered on cities and is dominated by use at local and regional scales.  
17 Approximately (58 %) of U.S. water consumption is for the direct and indirect use by cities.  
18 Further, the water footprint of agriculture and livestock is 93 % of the total U.S. water footprint,  
19 and is dominated by irrigated agriculture in the Western U.S. The water footprint of the  
20 industrial, domestic, and power economic sectors is centered on population centers, while the  
21 water footprint of the mining sector is highly dependent on the location of mineral resources.  
22 Owing to uncertainty in consumptive use coefficients alone, the mesoscale blue water footprint  
23 uncertainty ranges from 63 % to over 99 % depending on location. Harmonized region-specific,

24 economic sector-specific consumption coefficients are necessary to reduce water footprint  
25 uncertainties and to better understand the human economy's water use impact on the  
26 hydrosphere.

## 27 **1. Introduction**

28         Increasing connectivity through national and global trade has decreased barriers to  
29 economic cooperation while concomitantly increasing the susceptibility of the global economy to  
30 geophysical and meteorological natural hazards (Castle et al., 2014; Diffenbaugh et al.,  
31 2015; Mann and Gleick, 2015; Vörösmarty et al., 2015). Drought – a condition of perceived water  
32 scarcity created by the collision of a dry climate anomaly and excessive human demand for water  
33 that outstrips water availability (Famiglietti and Rodell, 2013; Zetland, 2011) – is one such  
34 natural hazard to which the world is increasingly prone that can impair the production of water-  
35 intensive goods sold in the global marketplace (Vörösmarty et al., 2000; Joseph et al.,  
36 2008; Seager et al., 2007). Without adequate substitutes for water as an input to production, the  
37 economic impact of a drought will propagate beyond local hydrological systems, and dependent  
38 water-intensive industries, into the global economy. Disruptions to the production and  
39 distribution of water-intensive goods, including electricity and other energy sources, have the  
40 potential to spread across seemingly disparate localities over short time periods and are  
41 inherently a coupled natural-human (CNH) system phenomenon (Liu et al., 2007).  
42 Understanding our vulnerability to these types of events requires a synthesis of network theory,  
43 hydrology, geoscience, and economic theory into a unified food-energy-water (FEW) system  
44 science that is only possible through the novel fusion of comprehensive economic, commodity  
45 flow, hydrologic and geospatial datasets.

46           Due to global economic connectivity, a drought that diminishes the production and trade  
47 in water-intensive goods has consequences for water resources management worldwide.  
48 Substitutes for drought-affected agricultural products will have to be cultivated elsewhere by  
49 bringing new land under cultivation, intensifying production, or replacing existing crops with  
50 crops no longer viable in the Western U.S. (Mann and Gleick, 2015; Castle et al., 2014; McNutt,  
51 2014). Given the climatic, political, legal, geographical, and infrastructural constraints to  
52 developing new water supplies, which exist to varying extents worldwide, the potential solutions  
53 to systemic global water resources problems now lie in managing the scarcity, equity, and  
54 distribution of existing water resources through the global hydro-economic network rather than  
55 the large-scale development of new, physical sources of water (Gleick, 2003). Further, the  
56 importance of managing the scarcity, equity, and distribution of blue water resources only  
57 increases as rainwater becomes more variable because the majority of water used for food  
58 production in the U.S. is green water (rainwater) (Marston et al., 2018). Physical hydrology and  
59 water supply are mostly localized issues of “blue” physical water stocks and flows of both  
60 human and natural origin. But the global emerges from the local, and actionable information  
61 regarding the scarcity, equity, and distribution of global water resources is attainable only by  
62 mapping the network of hydro-economic connections at a local level, associated with specific  
63 cities, irrigation districts, rivers, and industries. Hydro-economic connections are created through  
64 the trade of water-intensive products and can be measured through virtual water accounting and  
65 water footprinting.

66           A water footprint is defined as the volume of surface water and groundwater consumed  
67 during the production of a good or service and is also called the virtual water content of a good  
68 or service (Mekonnen and Hoekstra, 2011a). Virtual water, also known as indirect water or

69 embodied water, has been studied as a strategic resource for two decades as it allows geographic  
70 areas (country, state, province, city) to access more water than is physically available (Allan,  
71 1998; Allan, 2003; Suweis et al., 2011; Dalin et al., 2012; Dang et al., 2015; Zhao et al., 2015;  
72 Marston et al., 2015). Using NWED data, water footprints of production and consumption can be  
73 calculated for U.S. counties, metropolitan areas, and states. A water footprint of production is the  
74 total volume of water consumed with a geographic boundary, including water consumption for  
75 local use less virtual water export (Mekonnen and Hoekstra, 2011b). A water footprint of  
76 consumption is water consumption for local use in addition virtual water import (Mekonnen and  
77 Hoekstra, 2011b).

78         This paper presents the first spatially-detailed and economically-complete blue water  
79 footprint database of a major country, the U.S., using data from the National Water Economy  
80 Database (NWED), version 1.1. The methodological innovations of NWED lie in trade flow  
81 downscaling through the novel data fusion of multiple U.S. Federal datasets. This process yields  
82 a complete, network-based water footprint database of surface water and groundwater with  
83 flexible geographic aggregation from the county-level to international-level for multiple transit  
84 modes and trade metrics. NWED is economically complete, to the extent possible, since it  
85 utilizes input water data that covers the vast majority of U.S. water withdrawal activities  
86 (Maupin et al., 2014). The service industry is included in NWED although we assume virtual  
87 water flows resulting from the service industries are *de minimus* compared to the commodity-  
88 producing sectors of the economy and thus do not estimate these flows (Rushforth and Ruddell,  
89 2015). NWED contains four consumptive use scenarios – a withdrawal-based scenario, in  
90 addition to minimum, median, and maximum consumptive use scenarios. Currently, NWED is  
91 constrained to blue virtual water flows to focus on potential human-mediated intervention points

92 in the U.S. hydro-economic network. This article is the publication of record for NWED, which  
93 is currently housed on the Hydroshare data repository (Rushforth and Ruddell, 2017).

94 With data from NWED, we answer the following research questions:

95 (1) What is the annual blue water footprint of the United States aggregated by  
96 economic macro-sector and at the spatial mesoscale (county) level?

97 (2) How does the degree to which a geographic area is urban or rural affect water  
98 footprints, virtual water flows, and net hydro-economic dependencies?

99 (3) Through which ports does the world access U.S. water resources, and vice versa?

100 (4) What are the structural and spatial differences between economic sectors' roles in  
101 the U.S. hydro-economy?

102 (5) What is the current mesoscale uncertainty associated with blue water footprints in  
103 the United States given current data resources?

## 104 **2. Methods**

### 105 **2.1. Data**

106 If we are to effectively manage the impacts of drought, and other natural hazards, in the  
107 21st century, we need a detailed quantitative understanding of the world's hydro-economic  
108 network of direct (commodity flow) and indirect connections (virtual water) linking consumers  
109 to producers around the globe. We begin with a blue water footprint that includes saline and  
110 reclaimed water. We include saline and reclaimed water to fully characterize the U.S. hydro-  
111 economy. Specifically, saline and reclaimed water is used as a direct substitute for freshwater use  
112 and is a significant percentage of saline water use for power generation in Florida and the largest  
113 nuclear power plant in the U.S., located in Arizona utilizes reclaimed water. Saline water is also  
114 becoming an important component of municipal water portfolios in California, Texas, Florida

115 and other states. While the inclusion of saline and reclaimed water in NWED is not a doctrinaire  
116 interpretation of established blue water footprint methodologies, we do believe it is necessary to  
117 include these water types because they are not *de minimus* components of water supply.

118 Additionally, if there are future constraints to utilizing saline or reclaimed water for power  
119 production, we will be able to anticipate the future added pressure on blue water resources. We  
120 leave green water footprints, and the aquatic ecosystem impacts of water use, to future work.

121 The hydro-economic network constructed in NWED is built from existing commodity  
122 flow networks and data, specifically the Freight Analysis Framework version 3.5 (FAF)  
123 developed by Oak Ridge National Laboratories for the U.S. Department of Transportation  
124 (Southworth et al., 2010;Hwang et al., 2016), which builds upon the U.S. Commodity Flow  
125 Survey by statistically modelling the flows of several out-of-scope commodity flows, notably  
126 farm-based agricultural flows, natural gas, crude petroleum, and waste. FAF is a detailed U.S.  
127 commodity flow database of 43 commodities traded between 123 freight analysis zones (FAZs),  
128 roughly equivalent to a metropolitan statistical area, over 8 transport modes. The international  
129 component of FAF includes the trade of the 43 commodities by 8 transport modes to 8  
130 international regions. Details of the FAZs, how FAZ-level is derived, commodity classes, and  
131 transport modes have been documented elsewhere and, as such, will not be reproduced in this  
132 paper (Southworth et al., 2010;Hwang et al., 2016;U.S. Bureau of Transportation Statistics,  
133 2017). Note that prior studies have been published using NWED version 1.0 (Rushforth and  
134 Ruddell, 2016). The differences between NWED v 1.0 and 1.1 can be found in the Appendix  
135 (A1).

136 FAZ trade linkages were disaggregated to component counties/county equivalent areas  
137 using production factors on the production side and attraction factors on the demand side.

138 Production factors were chosen based on the economic function and product of a sector. For  
139 example, the production factor for agriculture commodities is the area of cultivated irrigated  
140 lands for specific crops (USDA National Agricultural Statistics Service, 2012); the production  
141 factor for the livestock sector is county-level livestock and animal sales for cattle, hogs, and  
142 poultry (USDA National Agricultural Statistics Service, 2012); the production factor for mining  
143 is the number of commodity-specific (e.g., coal, metallic, non-metallic, gravel) mines in a county  
144 (U.S. Geological Service, 2005); and the production factor for the industrial sector is 4-digit  
145 NAICS level employment (Bureau of Labor Statistics, 2012). Currently, NWED uses population  
146 as the only attraction factor (U.S. Census Bureau, 2013), which is as a surrogate for county-level  
147 economic demand for commodities that assumes that all residents consume goods equally.  
148 Population is an adequate attraction factor in the initial NWED version because it is a robust  
149 indicator available for every county in the U.S., but this attraction factor will be subject to further  
150 refinement as new NWED versions are developed.

151 A harmonization procedure has been developed so that commodities in FAF can be  
152 grouped into larger economic sectors, such as agriculture, livestock, mining, and industrial  
153 sectors to match United States Geological Service (USGS) water withdrawal categories (Maupin  
154 et al., 2014), which NWED utilizes as input water data. Water use categories included in NWED  
155 input data are public supply, domestic, irrigation, thermoelectric power, industrial, mining, and  
156 livestock, which is both livestock operations and aquaculture. Each water withdrawal category is  
157 also further subdivided into groundwater and surface water components as well as freshwater  
158 and saline components. The USGS water data contains water withdrawal data for both the  
159 service and goods/commodity based economy, but NWED currently only contains water  
160 footprint data of the commodity-based economy using a range of empirical, economic sector-



161 specific consumptive coefficients. Four scenarios are developed from the USGS water input  
162 data: a withdrawal-based scenario (*Withdrawal*) and maximum (*Max*), median (*Med*), and  
163 minimum (*Min*) consumptive use scenarios. Virtual water imports and exports were estimated  
164 using water intensity proxies and detailed in Section 2.10. Future versions will provide detail on  
165 the water-energy nexus, embedded emissions through trade, and the service economy.

166 Please refer to Appendix (A2) for a Glossary of terms used in this paper and to describe  
167 aspects of the NWED method and analysis in full detail.

## 168 **2.2. Temporal Representativeness**

169 Both FAF data and USGS water withdrawal data are collected every five years. However,  
170 FAF data is published for years ending with 2 and 7 (i.e., 2002, 2007, and 2012) and USGS data  
171 is published every half decade (i.e., 2005, 2010). NREL ReEDS modeled power flow data is  
172 available biennially from 2010 to 2050 (Eurek et al., 2016). The current version of NWED  
173 utilizes FAF data published for 2012 and USGS water withdrawal data published for 2010.  
174 Water withdrawal data for 2010 captures the beginning of Texas-North Mexico drought that  
175 lasted from 2010 to 2011 (Seager et al., 2014) and is situated between significant droughts in  
176 California between 2007 and 2009 (Christian-Smith et al., 2015) and 2011 to 2014 (Seager et al.,  
177 2015). It is possible that these two hydrologic droughts increased water groundwater withdrawals  
178 and consumption in the U.S. during 2010 calendar year in the southwestern and southcentral U.S.  
179 These data were used as the basis of the county-level U.S. National Water Economy Database  
180 version 1.1 (NWED). The results of this NWED data product are limited in representativeness to  
181 roughly the 2010 – 2012 post-recession timeframe but are not precisely linked to a single year.

182 The current version of NWED has an annual resolution due to a lack of comprehensive,  
183 sub-annual county-level data. While economic data are available at sub-annual timescales, often

184 quarterly, water withdrawal data are not. However, annual water withdrawal and consumption  
185 data could be disaggregated to the month scale using median monthly demand curves (Archfield  
186 et al., 2009; Weiskel et al., 2010). This lack of data availability does present challenges because  
187 there are substantial sub-annual fluctuations in water withdrawal and consumption. Water  
188 demands for agriculture and power are highly seasonal and neither the beginning nor the end of a  
189 drought coincides with calendar years. For example, the Texas-North Mexico drought began in  
190 the latter half of 2010 (Seager et al., 2014). As we further develop NWED, we will develop  
191 methods to address this shortcoming, but for now are limited to the annual timescale.

192

### 193 **2.3. Geography of NWED**

194 The county-scale of geography and annual-scale of time are the appropriate scales of  
195 aggregation for a nationally-scoped water footprint analysis in the U.S. given the available water  
196 withdrawal and commodity flow data. For the purposes of planning, policy, and law, especially  
197 in the absence of larger cities, counties and county equivalents are socio-political units that  
198 effectively define the “local” scale of U.S. society and the economy. Additionally, most services  
199 are consumed locally within the county where they are produced. In rural areas, a county is an  
200 aggregation of socio-economically similar small towns and agricultural areas. In urban areas, a  
201 county is more socio-economically diverse, but its statistical data are dominated by a single  
202 major metropolitan area and the county is, therefore, representative of that metropolitan area.  
203 While the largest metropolitan areas in the U.S. cover several counties and range from a half  
204 million people to over 10 million, counties can still capture the economic diversity within the  
205 metropolitan area.

206           The FAF FAZ is a group of counties that roughly comprise a metropolitan area, reflecting  
207 the fact that the commodity distribution infrastructure of the United States is organized as a  
208 spoke-and-hub network with major metropolitan areas and their distribution centers as hubs, thus  
209 necessitating the need to develop a disaggregation method. FAZ were disaggregated to the  
210 county level using best practices from the literature: population as an attraction factor on the  
211 demand side and employment levels, the number of agricultural and livestock operations, and the  
212 number of commodity-specific mining facilities on the production side (Viswanathan et al.,  
213 2008;Bujanda et al., 2014;Harris et al., 2012;De Jong et al., 2004). These data allow for the  
214 development of a robust set of disaggregation factors that ensure the production of a commodity  
215 occurs only where it is physically and economically possible.

216           Standardized water use data and water stress data are available nationwide at the county-  
217 scale but do not typically exist at finer scales. A spatial unit coarser than the county will fail to  
218 capture the dominant hydrological and socio-economic patterns in the water footprint, and a finer  
219 spatial unit of analysis is not yet possible due to a fundamental lack of consistent, national data at  
220 those scales. If finer scale or more up-to-date data do exist, those data may not be consistent with  
221 national data, so consistency becomes a primary quality control issue (Mubako et al., 2013).  
222 Nonetheless, sub-annual and sub-county scale water use, economic production, water stress, and  
223 trade data are all needed to achieve a higher level of detail in the water footprint.

224

#### 225 **2.4. NWED Naming Convention**

226           The general form of a trade linkage ( $T$ ) in the FAF database is a commodity ( $c$ ) that flows  
227 from an origin FAZ ( $O_o$ ) to a destination FAZ ( $D_d$ ) over a domestic transport mode ( $k_{dom}$ )  
228 represented as tons ( $t$ ), currency ( $\$$ ), and ton-miles ( $tm$ ), where  $o$  and  $d$  are indices for the 123

229 FAZ. Additionally, each  $c$  is associated with a broader economic sector ( $s$ ) that corresponds to  
 230 the USGS water withdrawal categories. International imports and exports originate from and  
 231 terminate at one of 8 international origin ( $O_I$ ) and destination ( $D_E$ ) zones via an international  
 232 transport mode ( $k_{int}$ ). For an import, a  $c$  is produced in an international region ( $O_I$ ) and flows  
 233 through a port of entry ( $O_o$ ) and then to a  $D_d$  of final consumption. For an export, a  $c$  is produced  
 234 in a  $O_o$  and then exits the U.S. through a port of exit ( $D_d$ ) for consumption in an international  
 235 region ( $D_E$ ). Domestic, import and export trades can be also classified by a trade type index ( $f$ )  
 236 Therefore, a trade linkage of a commodity in terms of  $t$ ,  $\$$ , and  $tm$  between an origin zone and  
 237 destination, which may not include a foreign region, can be represented as  
 238  $T_{O_I, O_o, D_d, D_E, k_{int}, k_{dom}, c, f}(t, \$, tm)$ . NWED builds upon FAF by further disaggregating  $O_o$  and  $D_d$  to  
 239 origin ( $I_n$ ) and destination counties ( $J_m$ ), respectively, and by adding virtual water, represented  
 240 generally as ( $VW$ ). Each row in NWED is trade linkage,  $T_{O_I, O_o, I_n, J_m, D_d, D_E, k_{int}, k_{dom}, c, f}$ , with a  
 241 corresponding flow of  $t$ ,  $\$$ ,  $tm$ , and  $VW$  that can be aggregated by any combinations of index  
 242  $O_I \rightarrow f$ . However, we drop all of these subscripts for a simpler derivation of the NWED  
 243 disaggregation algorithm. NWED retains data for transport mode, tons, currency, and ton-miles  
 244 as there are NWED use cases outside of virtual water accounting that may utilize mode-specific  
 245 data or data on  $\$$  or  $tm$  flows.

246

## 247 **2.5. Water Footprint of a Geographic Area**

248 The water footprint of a geographic area ( $F_{Total}$ ) is the sum of the direct water use ( $WU$ ),  
 249 virtual water inflows ( $VW_{In}$ ), and virtual water outflows ( $VW_{Out}$ ) (Hoekstra et al., 2012). For  
 250 example, in NWED, the water footprint of withdrawals of geographic area for all economic  
 251 sectors is  $F_w = WU_w + VW_{In,W} - VW_{Out,W}$  or alternatively  $F_{Total} = WU_w + VW_{Net,W}$ ,

252 where  $VW_{Net,W} = VW_{In,W} - VW_{Out,W}$ . The per-capita footprint is  $F^*$  and is calculated by  
 253 dividing  $F$  by the population of the county. Within NWED, the sum of  $F$  across all domestic  
 254 trade in the U.S. yields  $VW_{In,W} = VW_{Out,W}$  to ensure the water balance is conserved.  $F$  and each  
 255 of its components are reported for each economic sector within each county in the U.S. in  
 256 NWED. The derivation of  $VW_{In,W}$  and  $VW_{Out,W}$  are shown in section 2.6 – 2.8.

257

## 258 **2.6. Disaggregating Domestic Trade Flows to the County-Level**

259 The disaggregation method proceeds from the origin side ( $O$ ), disaggregating to origin  
 260 counties ( $I$ ), and then to the destination side ( $D$ ), disaggregating to destination counties ( $J$ ). Each  
 261  $O$  contains a distinct set of one or multiple origin counties ( $I_n$ ), where  $I_n \in O$ , and each  $D$   
 262 contains a distinct set of multiple destination counties ( $J_m$ ), where  $J_m \in D$ . Further, each county  
 263 ( $n$  or  $m$ ) within each  $O$  and  $D$  has a unique production factor ( $PF$ ) and attraction factor ( $AF$ ) for  
 264 each economic sector and, where supported by data, each commodity produced in that county.  
 265 Each  $I$  and  $J$  can be defined as distinct set of unitless  $PF$  or  $AF$  factors for each commodity,  
 266  $\{I_n: PF_{c1}, PF_{c2}, \dots, PF_{c43}\}$  and  $\{J_m: AF_{c1}, AF_{c2}, \dots, AF_{c43}\}$ , respectively. Therefore, any  $O_o$  or  $D_d$   
 267 can be represented by a column vector of  $PF_c$  or  $AF_c$  corresponding to the  $I_n$  or  $J_m$  that belong to  
 268  $O_o$  or  $D_d$ . Given that the  $PF_c$  or  $AF_c$  define the proportion of production capacity and demand  
 269 attraction a county has within a  $O_o$  or  $D_d$ , the sum of the  $PF_c$  or  $AF_c$  for a given  $O_o$  or  $D_d$  must be  
 270 equal to 1 to conserve mass. Therefore, for a given commodity ( $c$ ) with an associated sector ( $s$ )  
 271 and  $t$ , \$, and  $tm$  over 8 transport modes,  $k$ ,

$$272 \quad (1) \quad O_{o,c} = \begin{bmatrix} I_{1PF_c,O_o,c} \\ I_{2PF_c,O_o,c} \\ \vdots \\ I_{nPF_c,O_o,c} \end{bmatrix} \text{ or } D_{d,c} = \begin{bmatrix} J_{1AF_c,D_d,c} \\ J_{2AF_c,D_d,c} \\ \vdots \\ J_{nAF_c,D_d,c} \end{bmatrix}, \text{ where } \sum_n O_o = 1 \text{ and } \sum_m D_d = 1.$$

273 Disaggregating production from a  $O_o$  that contains counties  $I_{1 \rightarrow n}$ ,  $O = \{I_1, I_2, \dots, I_n\}$  for a  
 274  $c$  proceeds as follows:

$$275 \quad (2) \quad T_{O_o, D_d, c} \times \begin{bmatrix} I_{1PF_c, O_o, c} \\ I_{2PF_c, O_o, c} \\ \vdots \\ I_{nPF_c, O_o, c} \end{bmatrix} = \begin{bmatrix} T_{I_1, D_d, c} \\ T_{I_2, D_d, c} \\ \vdots \\ T_{I_n, D_d, c} \end{bmatrix}$$

276 Solving Equation 2 over all  $O_o$  for each commodity disaggregates FAZ-level commodity  
 277 production to the county-level – from 123 origin FAZs ( $O_o$ ) to 3,142 origin counties ( $I_n$ ). A  
 278 quality control is performed to ensure that no additional mass, currency, or ton-miles are  
 279 produced for all commodities across all  $O_o$ . After the production-side disaggregation, 3,142  
 280 origin counties are linked with 123 FAZ destinations via trade of commodities ( $c$ ).

281 Similarly, the goal of the demands-side disaggregation is to disaggregate flows to 123  
 282 FAZ to 3,142 counties; however, instead of the relative abundance of industries that produce a  
 283 specific commodity to disaggregate production, population is used as a simple measure of a  
 284 county's attraction (demand) of a commodity within a FAZ. It follows that disaggregation on  
 285 demand side of the O-D trade linkage follows a similar process.

286 For a  $D_d$  that contains counties  $J_1$  to  $J_n$ ,  $D_d = \{J_1, J_2, \dots, j_n\}$  for  $g$  produced in an origin  
 287 county,  $I_n$ , disaggregation proceeds as follows:

$$288 \quad (3) \quad T_{I_n, D_d, c} \times \begin{bmatrix} J_{1AF_c, D_d} \\ J_{2AF_c, D_d} \\ \vdots \\ J_{nAF_c, D_d} \end{bmatrix} = \begin{bmatrix} T_{I_n, J_1, c} \\ T_{I_n, J_2, c} \\ \vdots \\ T_{I_n, J_m, c} \end{bmatrix}$$

289 At this point, quality control is performed to ensure that no new mass, currency, or ton-  
 290 miles are erroneously introduced for all commodities across all  $O_o$  and  $D_d$ . Performing this  
 291 disaggregation step across all  $I_n$  disaggregates the flows of  $c$  in terms of  $t$ , \$, and  $tm$  to be

292 between 3,142 origin counties and 3,142 destinations counties over 8 potential transport modes,  
293  $k$ .

294 International flow disaggregation follows the same process; however, the 8 world regions  
295 are not disaggregated further and import flows are not further disaggregated into surface water  
296 and groundwater. After, import and export flows are disaggregated each world region is  
297 connected via a production of consumption trade flow with one of 3,142 U.S. counties flowing  
298 through a port of entry or exit.

299

## 300 **2.7. Assigning Virtual Water Flows to Trade Flows**

301 Economic sectors ( $s$ ) in the FAF database were aligned with water withdrawal sectors  
302 ( $WU_s$ ) using the detailed Standardized Classification of Transported Goods (SCTG) definitions  
303 of commodity groups (US Census Bureau, 2006; Dang et al., 2015). County-specific, sector-  
304 level water intensities ( $WI_{I_n,s,W_{Total}}$ ) were calculated as the quotient of county-specific, sector-  
305 level water withdrawals ( $WU_{I_n,s,W_{Total}}$ ) and county-level, sector-specific commodity production  
306 ( $\sum_{D,d,c} T_{I_n,D,d,c}$ ) and have the units  $\text{Mm}^3 \text{t}^{-1}$ . In the initial step of calculating  $WI_{I_n,s,W_{Total}}$ ,  
307 groundwater and surface water withdrawals are summed to a total sector-level water withdrawal  
308 figure for each county ( $WI_{I_n,s,W_{Total}}$ ). Virtual water flows are disaggregated back to groundwater  
309 and surface water fractions in a later step.

$$310 \quad (4) \quad WI_{I_n,s,W_{Total}} = WU_{I_n,s,W_{Total}} / \sum_{D,d,c} T_{I_n,D,d,c}$$

311 The resulting  $WI_{I_n,s,W_{Total}}$  can be interpreted as the average withdrawal-based water  
312 intensity of sector-level production.

313 Next,  $WI_{I_n,s,W_{Total}}$  were multiplied by the corresponding  $T_{I_n,J_m,c}$  to arrive at the virtual  
314 water flows by county and commodity by transport mode.

315 (5)  $VW_{I_n, J_m, c, W_{Total}} = WI_{I_n, s, W_{Total}} \times T_{I_n, J_m, c}$

316 The  $VW_{I_n, J_m, c}$  that results from this process assigns water withdrawals to a commodity  
 317 based on the tons of a  $c$  within a county according to the disaggregated FAF data. Future  
 318 versions of NWED will refine this process with additional commodity specific water intensities,  
 319 as explained further in section 2.4.

320 For notational clarity, when  $VW_{I_n, J_m, c, W_{Total}}$  is summed for all unique origin counties ( $I_n$ )  
 321 the term is simplified to  $VW_{Out, Total}$ . Conversely, when summed for all unique destination  
 322 counties ( $J_m$ ) the term is simplified to  $VW_{In, Total}$ . Additionally,  $WU_{I_n, s, Total}$  summed over all  
 323 sectors for all unique counties becomes  $WU_{W_{Total}}$ . This notation also holds true for  
 324 consumption-based virtual water flows.

325 Minimum (*Min*), median (*Med*), and high (*Max*) water consumption scenarios for each  
 326 sector in each county were determined by multiplying  $WU_{I_n, s, W}$  by the corresponding sector-  
 327 level minimum, median, and high consumption coefficients developed by the USGS (Shaffer and  
 328 Runkle, 2007). Only the methodology for *Med* consumption scenario is shown below since both  
 329 the *Min* and *Max* consumption scenarios follow an identical calculation process.

330 (6)  $WI_{I_n, s, CU_{Med, Total}} = (WU_{I_n, s, W_{Total}} \times CU_{Med, s}) / \sum_{D_d, c} T_{I_n, D_d, c}$

331 (7)  $VW_{I_n, J_m, c, CU_{Med, Total}} = WI_{I_n, s, CU_{Med, Total}} \times T_{I_n, J_m, c}$

332 Owing to these consumption coefficients being developed for the Great Lakes Region, and  
 333 climatically similar states, the consumption-based virtual water flows in NWED are preliminary  
 334 and serve as placeholders until region- or county-specific and sector-level consumption  
 335 coefficients have been developed for the U.S.

336 Since the USGS water withdrawal data contains data on groundwater and surface water  
 337 withdrawals for each sector within each county,  $VW_{I_n, J_m, c, CU_{Max, Total}}$ ,  $VW_{I_n, J_m, c, CU_{Med, Total}}$ , and



338  $VW_{In,Jm,c,CUMin,Total}$  are split into groundwater and surface water components by multiplying each  
339 by the county-specific, sector-specific groundwater withdrawal percentage ( $GW_{In,s,pct}$ ) and  
340 surface water percentage ( $SW_{In,s,pct}$ ). The process is shown below for  $VW_{In,Jm,c,s,t,k,CUMax}$ .

341 (8) 
$$VW_{In,Jm,c,CUMax,SW} = VW_{In,Jm,c,CUMax,Total} \times SW_{In,s,pct}$$

342 (9) 
$$VW_{In,Jm,c,CUMax,GW} = VW_{In,Jm,c,CUMax,Total} \times GW_{In,s,pct}$$

343 After this step, there is a final mass balance check to ensure NWED freight totals match  
344 underlying FAF data and water data match underlying USGS data. NWED contains data  
345 detailing 3,142 counties trading 43 commodities with 3,142 counties, as well as 8 world regions,  
346 over 8 transport modes and each commodity trade linkage is measured by 15 metrics (The full  
347 list of metrics is in the Appendix, A3).

348

## 349 **2.8. Power Flow Estimation and Disaggregation**

350 The flow of the electricity commodity is not like other commodity flows. There is no  
351 mass moved from point A to point B, and there is not a contract associated with such a flow. The  
352 concept of power flow is as philosophical as it is physical. However, we know some of the  
353 geometrical properties of the power grid. The grid is comprised of the U.S., at the first level of  
354 aggregation, of three interconnections: the Western Electricity Coordinating Council (WECC),  
355 the Eastern Interconnection (Eastern), and the Electric Reliability Council of Texas (ERCOT),  
356 with little transmission of electricity between them. Interconnections do not obey county or state  
357 boundaries, or even national borders; Mexico and Canada are participants in WECC and Canada  
358 in the Eastern. At the second level of aggregation, the grid is comprised of 134 balancing  
359 authorities within which a single authority has responsibility for maintaining a balance between  
360 supply and demand and managing power quality. Balancing authorities trade power between

361 themselves, but strongly manage these transmission corridors. Within a balancing authority,  
362 there is a mixture of power generators, transmitters, and distributors that participate in a  
363 complicated web of heretofore uncatalogued contracts using a complex interconnected machine  
364 that maintains a constant voltage potential and frequency under variable loads. Adding to this  
365 complication is the absence of standardized mesoscale, coupled power generation, transmissions,  
366 and power consumption datasets.

367         Given this unusual situation, we know of at least three methods for estimating the  
368 destination and routing of electricity. First, because we can assume there is little trade across an  
369 interconnection’s boundary, a “mass balance” could be applied within an interconnection’s  
370 subregions, allocating consumption first to the local generator’s region and then in proportion to  
371 estimated demand in other regions (e.g. Ruddell et al., 2014). This method is not physically  
372 realistic because it ignores transmission constraints and balancing regions but may be a useful  
373 approximation especially at coarser spatio-temporal scales. A second method is to follow  
374 contracts and payments for electricity and power services. This method provides the closest  
375 analogy to the commodity flow model, but the contract and payment data is not currently  
376 available. A third method is to perform power flow modeling on a spatio-temporally precise  
377 node-network model of the grid that incorporates detailed information about generators, demand  
378 patterns, and their economics to simulate power flows as an analogy to commodity trade. We use  
379 balancing region power flow modeling for NWED 1.1, disaggregated to the county scale using  
380 population.

381         The power flow data used in NWED is an existing published dataset produced using the  
382 Regional Energy Deployment System (ReEDS), which is a long-term power flow model to  
383 evaluate capacity-expansion, technology deployment, and infrastructure deployment in the

384 contiguous U.S (Macknick et al., 2015;Eurek et al., 2016;Cohen et al., 2014). Only for the  
385 electrical power production sector, NREL data on water withdrawal and consumption data were  
386 used instead of USGS water withdrawal data to estimate the water withdrawal and consumption  
387 associated with power generation and flow (Macknick et al., 2012; Macknick et al., 2015).

388         ReEDS data contains both power generation by balancing authority and power inflows  
389 and outflow between balancing areas over sub-annual time periods. Balancing authorities are  
390 areas larger than counties. To harmonize with NWED and disaggregate ReEDS data from the  
391 balancing authority to the county-level, the model’s production numbers are disaggregated  
392 proportionally using the heat content of fuel consumption for electricity for each county’s power  
393 plants (Energy Information Administration, 2017) and electricity demand is disaggregated  
394 proportionally by population.

395         In addition to error introduced in disaggregation, power wheeling within balancing  
396 regions is a significant portion of power flow, and this is another source of error (Bialek,  
397 1996a;Bialek, 1996b;Bialek and Kattuman, 2004). To help compensate for the effect of wheeling  
398 on the water footprint of electricity, the water intensity of a power outflows from each balancing  
399 area was taken as the source-weighted average of the water intensity of power generation and  
400 power inflows. Therefore, virtual water outflows from a county in NWED 1.1 is the virtual water  
401 outflow associated with wheeled power through a balancing area (including power originating  
402 from this area’s generation) in addition to virtual water outflows associated with power  
403 generation within that county. Taking into account these modifications to the standard virtual  
404 water methods employed elsewhere, virtual water flows were estimated according to the methods  
405 in sections 2.5 – 2.6.

406

407 **2.9. Urban-Rural Classification**

408 Each county in the U.S. can be categorized using numerous classification schemes. For this  
409 paper, and for the purpose of understanding rural-to-urban transfers of virtual water in the U.S.,  
410 we have classified each county in NWED by the National Center for Health Center for Health  
411 Statistics (NCHS) Urban-Rural Classification Scheme for Counties (Ingram and Franco, 2012).  
412 Within this classification scheme, counties are first separated into metropolitan and non-  
413 metropolitan counties. Metropolitan, or urban, counties are then further classified as Large  
414 Central Metro counties (*Central*), Large Fringe Metro counties (*Fringe*), Medium Metro counties  
415 (*Medium*); and Small Metro counties (*Small*). Generally, large counties have greater than 1  
416 million people; medium counties have between 250,000–999,999 people; and small counties  
417 contain less than 250,000 people. Non-metropolitan, or rural, counties are divided into  
418 Micropolitan (*Micro*) counties (population between 10,000–49,999 people) and non-core  
419 counties are counties with a population too small to be considered micropolitan counties. Each  
420 county-to-county trade linkage has been classified and aggregated by the NCHS Urban-Rural  
421 Classification Scheme for Counties to understand urban to rural virtual water transfers (Section  
422 3.1).

423

424 **2.10. Simplifying Assumptions and Limitations**

425 NWED water footprints, by necessity, are multiple water sources and types beyond  
426 simply groundwater and surface water. Saline and brackish water are non-trivial components of  
427 U.S. water use, comprising about 14% of total water withdrawals – specifically, power  
428 generation in Florida, mining in Texas and Oklahoma (Maupin et al., 2014). Thus, saline water is  
429 a non-trivial component of the U.S. hydro-economy. For example, only 71 % of power

430 generation in the U.S. is from freshwater sources and the remaining fraction of water use for  
431 power generation is comprised of saline, brackish, and reclaimed water (Maupin et al., 2014).  
432 Neglecting non-freshwater sources would underestimate the water intensity of the power grid.  
433 Reclaimed water is a direct substitute for fresh water, and brackish water is a substitute in some  
434 cases, so it is difficult to draw a clear line between included and excluded water withdrawals.  
435 Considering the entire U.S. hydro-economy, 15 % of water withdrawals are saline. However, the  
436 inclusion of non-freshwater sources does not impact the agricultural virtual water flows as no  
437 saline water withdrawals are reported in this sector. For simplicity in this paper, commodity-  
438 based virtual water flows are reported as ‘blue water’ even though we incorporate additional  
439 types of water beyond freshwater. Power flow-based virtual water flows are presented summed  
440 over all water types - not just freshwater. The freshwater footprint of electricity is somewhat  
441 smaller than the total water footprint, and this difference is larger on the coasts and in the West.

442         The current version of NWED uses national average U.S. water use efficiencies to  
443 estimate international virtual water flows. The first reason for this choice is data consistency.  
444 While the USGS water use data does contain some interstate variability due to data reporting  
445 methods, the variability is no doubt far smaller than international variability in data reporting  
446 methods among countries that mostly lack formal water census programs. Secondly, the U.S. is a  
447 large, and geographically, agronomically, climatically, and economically diverse country; water  
448 use efficiencies vary dramatically from region-to-region and sector-to-sector. This internal  
449 variability captures a large range of the world’s variability. Third, the U.S.’s water use efficiency  
450 is near the middle of the international range. According to World Bank data, the U.S.’s average  
451 per GDP water use productivity between 2005–2015 was in the 65<sup>th</sup> percentile of reporting  
452 countries (World Bank, 2017). Fourth, the USGS presents comprehensive water withdrawal data

453 for all types of mining products, which are an important import to the U.S. Finally, since NWED  
454 is U.S.-centric, this method normalizes virtual water flows to U.S. water efficiencies, allowing  
455 for a 1:1 equivalency between the volume of virtual water traded by the U.S. to the volume of  
456 virtual water flowing internally (Rushforth et al., 2013). In other words, 1 unit of water use  
457 outsourced from the U.S. via virtual water imports directly offsets and substitutes for 1 unit of  
458 water used in the consuming U.S. location; this is a useful comparison also employed by other  
459 studies in the literature (Mayer et al., 2016).

460 From the USGS water withdrawal data, we use total, surface water, and groundwater  
461 withdrawals from each county. The sum of all withdrawals in a county is the direct use  
462 component of that county's Water Footprint ( $\sum_s WU_{In,s,W_{Total}}$ , or  $WU_{Total}$ ).  $WU_{Total}$  is the sum  
463 of agriculture ( $WU_{In,Ag,W_{Total}}$ ), not including the irrigation of golf courses; industrial  
464 ( $WU_{In,Ind,W_{Total}}$ ), which is estimated by taking the sum of industrial withdrawals and the  
465 difference between water withdrawal for public supplies and domestic uses by water systems;  
466 mining ( $WU_{In,Min,W_{Total}}$ ); and livestock, which includes livestock and aquaculture withdrawals  
467 ( $WU_{In,Liv,W_{Total}}$ ).  $WU_{In,W_{Total}}$  is also known as the Water Metabolism of a county (Kennedy et  
468 al., 2015). Total, surface water, and groundwater water footprints within a county match the  
469 standard Water Footprint Accounting definition of the water footprint of a geographic area  
470 (Hoekstra et al., 2012). For withdrawal-based water footprints, we assume 100 % consumptive  
471 use (consumption coefficient  $CU = 1$ ), forcing USGS-estimated water withdrawals equal to the  
472 direct use component of the Water Footprint,  $WU$ . Sector-level consumption coefficient data do  
473 exist, but these data are specific to the Great Lakes region of the U.S., and climatically similar  
474 states, and have large uncertainty ranges (Shaffer and Runkle, 2007). Due to the large  
475 uncertainties involved with the consumption coefficients, we have attempted to estimate the

476 uncertainty associated with consumption by using three consumption coefficients for each sector  
477 – a minimum (*Min*), median (*Med*), and maximum (*Max*) (Table 1). The uncertainty introduced  
478 by the consumption coefficients, and how it propagates when applied over a trade network, is  
479 presented in Section 3.5. Future work can augment NWED by developing more accurate  
480 consumption coefficients estimate for all counties, or regions, in the U.S. for all economic  
481 sectors. NWED contains the following assumptions regarding water use categories: (1) USGS  
482 aquaculture and livestock are combined into one category since specific commodity codes  
483 includes both live meat and fish and because aquaculture is a *de minimus* water use compared to  
484 livestock; (2) USGS industrial water supply is calculated to include the component of public  
485 water supply that is not for domestic household consumption in addition to industrial water  
486 withdrawals; (3) each water use category includes both publically-supplied and self-supplied  
487 withdrawal figures; and (4) while virtual water flows associated with water use categories  
488 outside the scope of the FAF commodity flow database are neglected, direct water use is  
489 accounted.

490         With respect to (4), this specifically includes flows of services and labor across county or  
491 regional lines (Rushforth and Ruddell, 2015). There is a substantial absolute error introduced by  
492 zeroing virtual water flows out from counties that export services and FAF-ignored goods, and  
493 this error causes urban areas' net water footprints to be overestimated (and rural areas' to be  
494 underestimated by exactly the same amount). Water balances *WU* are unchanged. However, this  
495 error is small in relative terms because these sectors are a small part of total virtual water flows  
496 when compared with agriculture, power, and major industry. Labor and services are consumed  
497 largely within their county of production. Important exceptions may possibly include the  
498 financial services sector, which tends to be national and global in its trading patterns.

499 A limitation in the underlying FAF data is that an assumption must be made that  
500 commodity production occurs at the origin and commodity consumption occurs at the  
501 destination. Therefore, we must assume that there are no pass-through commodity flows. To the  
502 extent possible in the underlying data, this is controlled for at international ports because pass-  
503 through commodity flows are identifiable from commodity flow to or from the city in which the  
504 port is located. However, domestic pass-through commodity flows are not identified in the  
505 current version of NWED. A method to estimate pass-through commodity flows using input-  
506 output methods is under development and will be included in the next version of NWED.

507 Future iterations of the NWED power flow dataset will utilize purpose-built node-  
508 network power flow models developed at the county-level to differentiate between power  
509 outflows into generated power and wheeled power for each county.

### 510 3. Results

#### 511 3.1. U.S. Water Footprint Statistics

512 The median annual water footprint,  $F_{CUMed}$ , of the U.S. is 181,966 Mm<sup>3</sup> ( $F_{Withdrawal}$ :  
513 400,844 Mm<sup>3</sup>;  $F_{CUMax}$ : 222,144 Mm<sup>3</sup>;  $F_{CUMin}$ : 61,117 Mm<sup>3</sup>). On per-capita basis, the median U.S.  
514 water footprint ( $F'_{CUMed}$ ) is 589 m<sup>3</sup> capita<sup>-1</sup> ( $F'_{Withdrawal}$ : 1298 m<sup>3</sup> capita<sup>-1</sup>;  $F'_{CUMax}$ : 720 m<sup>3</sup> capita<sup>-1</sup>;  
515  $F'_{CUMin}$ : 198 m<sup>3</sup> capita<sup>-1</sup>). Counties with the largest  $F_{CUMed}$  are often metropolitan areas with large  
516 populations or regionally-significant cities with neighboring counties that are heavily agricultural  
517 – Los Angeles County, California (L.A.); Harris County, Texas (Houston); Ada County, Idaho  
518 (Boise); Maricopa County, Arizona (Phoenix); and Fresno County, California (Fresno) (Fig. 1;  
519 withdrawal-based results are presented in the Supplemental Information.). On a per capita basis,  
520 the U.S. water footprint is smallest for urban areas, where  $F'_{CUMed, Urban}$  is 282 m<sup>3</sup> capita<sup>-1</sup>  
521 ( $F'_{Withdrawal, Urban}$ : 828 m<sup>3</sup> capita<sup>-1</sup>;  $F'_{CUMax, Urban}$ : 399 m<sup>3</sup> capita<sup>-1</sup>;  $F'_{CUMin, Urban}$ : 97 m<sup>3</sup> capita<sup>-1</sup>) and



522 largest for rural, agricultural counties  $F'_{CUMed, Agriculture}$  is  $1,053 \text{ m}^3 \text{ capita}^{-1}$  ( $F'_{Withdrawal-Basis,}$   
523 *Agriculture*:  $1,927 \text{ m}^3 \text{ capita}^{-1}$ ;  $F'_{CUMax, Agriculture}$ :  $1,217 \text{ m}^3 \text{ capita}^{-1}$ ;  $F'_{CUMin, Agriculture}$ :  $344 \text{ m}^3 \text{ capita}^{-1}$ ).

524 NWED results are comparable to previous water footprint studies for the U.S. For  
525 example, Mekonnen and Hoekstra estimated the U.S. blue and grey water footprint to be  $320,496$   
526  $\text{Mm}^3$  and  $874 \text{ m}^3 \text{ capita}^{-1}$  (Mekonnen and Hoekstra, 2011a), which is the closest equivalent to the  
527 water sources used NWED. The Mekonnen and Hoekstra U.S. water footprint figures sit roughly  
528 between the  $CU_{Max}$  and withdrawal-based ( $CU = 1$ ) NWED scenarios. Further, results from  
529 NWED corroborate previous studies in both the magnitude of the U.S. water footprint and in the  
530 observed pattern of virtual water flows to cities concentrated in water-intensive irrigated  
531 agricultural and industrial goods (Rushforth and Ruddell, 2015; Zhao et al., 2015; Hoekstra and  
532 Wiedmann, 2014). Vital water footprint statistics are presented in Table 2 for the U.S. in addition  
533 to urban (*Central, Fringe, Medium*) and rural (*Small, Micro, Non-Core*) counties.

534 Counties in California's Central Valley – Fresno County and Tulare County located in  
535 the southern part of the Central Valley – have the largest virtual water outflows of any county in  
536 the U.S. Overall, the western U.S., the High Plains, the Mississippi Embayment, Texas Gulf  
537 Coast, and Florida provide the U.S. with virtual water exports. Coincidentally, all these source  
538 regions are highly prone to either drought or flooding (production-level uncertainty). Large  
539 virtual water outflows are often counterbalanced by nearby virtual water inflows within the same  
540 county (Fresno County, California) or region, as is the case with Fresno County, California, Pinal  
541 County, Arizona (net outflows from irrigated agriculture) and neighboring Maricopa County (net  
542 inflows to the Phoenix Metropolitan Area) and Brazoria County, Texas (net outflows from  
543 irrigated agriculture) and Harris County (net inflows to the Houston Metropolitan Area) in  
544 Texas. In general, we find that the water supply chain, especially the step of the chain bringing

545 agricultural products from the farm to handling and processing facilities where these products  
546 become ‘food’ is mostly local and regional with a smaller but still significant transnational and  
547 international water supply chain.

548

### 549 **3.2 Urban Dependencies on Rural Virtual Water**

550 Circular virtual water flows – virtual water flows that originate and terminate within the  
551 same county – are highest for urban counties (Fig. 2). Conversely, rural counties often have  
552 small water footprints regardless of the presence of a large water-intensive industry, because  
553 rural populations do not consume the majority of the goods produced in those regions. If such an  
554 industry were present in a rural county, much of the water withdrawn flows out of the county as  
555 virtual water, thus counterbalancing the large withdrawals. Counties that are in the middle of the  
556 urban-rural spectrum, often a medium-to-small metropolitan area, rely heavily on agricultural  
557 products as an economic input and tend to have the largest virtual water inflows of all U.S.  
558 counties. Medium to small cities tend to be food processing hubs where farm goods are  
559 transformed into ‘food.’ and NWED assigns irrigated agricultural blue water footprints to these  
560 hubs. We recognize that this framing of the economy emphasizes different parts of the supply  
561 chain than previous studies and are developing methods for supply chain harmonization.

562 The central counties of large metropolitan areas (*Central*) tend to source virtual water  
563 equally across the urban-rural spectrum with a slight increase in virtual water sourcing from  
564 more medium metropolitan areas and rural counties. However, there is a comparatively small  
565 return flow of virtual water from large metropolitan areas back to counties with smaller  
566 populations (Table 3). Instead, virtual water originating from counties associated with large

567 metropolitan areas tend to remain within that county as a circular flow or flow to other large  
568 metropolitan areas, enlarging the net VW inflow of large metropolitan areas.

569         One such county is Maricopa County, the central county of the Phoenix metropolitan  
570 area, which a “local water” hotspot where most of the water used in the community “stays local”  
571 in the form of locally consumed virtual water flowing to other users in the same community.  
572 This means the community is employing its blue water resources primarily for the hydro-  
573 economic benefit of its local consumers and businesses. It also means that this community’s  
574 dependency on its own local water resources is amplified through self-dependence, so any  
575 disruption to local water supplies in Phoenix will have a positive feedback loop on that city’s  
576 economy (Rushforth and Ruddell, 2015). The Phoenix metropolitan area is notable as a major  
577 city and population center that is simultaneously a large user of irrigation water for the  
578 production of agricultural commodities, including locally consumed food products. Phoenix is  
579 also relatively isolated geographically from other metropolitan areas and therefore keeps more of  
580 its metropolitan area’s virtual water within the local boundary, unlike east coast cities where  
581 intra-metro trade and virtual water flows are more prevalent.

582         Counties that are associated with medium-sized metropolitan areas (*Medium*) break from  
583 large cities’ and their fringes and take on a different role in the system. While medium  
584 metropolitan areas are by no means small, with a population between 250,000–999,999, they are  
585 often co-located with large agricultural areas. For example, Ada County, Idaho (Boise metro  
586 area), Fresno County, California (Fresno metro area), or Kern County, California (Bakersfield  
587 metro) are all counties that contain medium-size metropolitan areas that are co-located with  
588 intense agricultural production. In these counties, virtual water tends to be sourced from counties  
589 that are as rural as the place of consumption or more rural. Medium-sized metropolitan areas, in

590 particular, are the largest destination of virtual water from rural America while also being one of  
591 the largest sources of virtual water for the U.S., especially large metropolitan area – effectively  
592 linking rural and urban counties. The medium-medium urban connection is the largest link in the  
593 U.S. virtual water flow network, and this link is dominated by the heavy industrial and bulk  
594 agricultural and processed food goods that do not tend to be produced by highly rural or densely  
595 urban areas. On a per capita basis, the Medium class of city is the core of the U.S. hydro-  
596 economic network. County-level virtual water flow data show that there is an urban-rural divide,  
597 suggesting that there is a fundamental difference in the roles of large urban areas, medium urban  
598 areas, and more rural communities in the U.S. hydro-economic network.

599         In the U.S. hydro-economy, economic sectors have different structural roles as either a  
600 virtual water sink or source depending on the degree to which a county is rural or urban.  
601 Structurally, the agricultural sector is the bulk of the rural-to-urban transfer of virtual water  
602 ( $59,119 \text{ Mm}^3$ ), but rural-to-rural and urban-to-urban virtual water flows are also significant  
603 ( $53,731 \text{ Mm}^3$  and  $27,743 \text{ Mm}^3$ , respectively). While similar, the livestock sector constitutes a  
604 minority of the rural-to-urban transfer of virtual water ( $6,100 \text{ Mm}^3$ ) but has little to no impact on  
605 virtual water exports. Due to the structure of the underlying commodity flow dataset, the  
606 livestock sector only includes on-site water consumption at livestock operations. Inclusion of  
607 water usage for livestock feed would, no doubt, increase virtual water transfers related to the  
608 livestock sector and a method to do so is under development for the next NWED version. The  
609 mining sector is more geographically-dependent and regional on the location of resources and  
610 infrastructure. Therefore, while rural-to-urban virtual water flows are the largest within this  
611 sector ( $337 \text{ Mm}^3$ ), rural-to-rural and urban-to-urban virtual water flows are also prominent ( $175$   
612  $\text{Mm}^3$  and  $165 \text{ Mm}^3$ , respectively). In the power sector, the largest virtual water flow is from

613 rural-to-rural (159 Mm<sup>3</sup>) followed by urban-to-urban (22 Mm<sup>3</sup>) and rural-to-urban (13 Mm<sup>3</sup>).  
614 While there are large water withdrawals associated with the power sector, water consumption is  
615 relatively low compared to other sectors. Since the results presented are for the *CU<sub>Med</sub>* scenario,  
616 the power sector virtual water flows are small relative to the other sectors. Finally, the industrial  
617 sector is primarily urban-to-urban virtual water transfers. Rural-to-urban virtual water transfers  
618 would only become more pronounced if *Medium* metropolitan areas were considered to be rural  
619 counties. While there is subjectivity to whether a county is rural or urban, especially in the  
620 middle of the urban-rural spectrum, the predominant flow of virtual water is from rural counties  
621 to urban counties.

622

### 623 **3.3 U.S. International Virtual Water Imports and Exports**

624 Overall, the U.S. is a net virtual water exporter, which qualitatively agrees with the  
625 findings from previous international virtual water flow studies (Water Footprint Network, 2013);  
626 the virtual water balance of the United States is -4,693 Mm<sup>3</sup>. However, while our virtual water  
627 balance results agree qualitatively with previous studies, the magnitude of virtual import and  
628 export in NWED is an order of magnitude lower than previously published international virtual  
629 water trade data (Water Footprint Network, 2013). Potential reasons for this discrepancy are  
630 discussed in Section 3.6. Of the 8 world regions in NWED, the U.S. is a net virtual water  
631 exporter to each region, indicated by the negative virtual water balance (Table 4). The U.S. has  
632 the largest negative virtual water balance with Eastern Asian (-2,081 Mm<sup>3</sup>) and Mexico (-1,215  
633 Mm<sup>3</sup>). The U.S. is a net importer of virtual water from Central and South America (Rest of  
634 Americas) and Europe.

635 Virtual water export from the U.S. is mostly agricultural commodities, such as corn,  
636 wheat, alfalfa, for which the U.S. is a net exporter (Marston et al., 2015;Hoekstra and  
637 Wiedmann, 2014) and mining products, such as metallic and non-metallic ores. Major virtual  
638 water exporting regions are the Central Valley of California; the deserts of California and  
639 Arizona; the High Plains, including the Ogallala Aquifer Region, the Arkansas River Basin, and  
640 the Platte River Basin; the Columbia River Basin in the Pacific Northwest; Central Nevada; the  
641 Texas Gulf Coast; the Upper Missouri River Basin in Montana; Central and Southern Florida;  
642 and the Mississippi Embayment (Fig. 3). Many of these areas are major sources of virtual water  
643 domestically within the U.S.; however, these results show that some areas such as southwestern  
644 Idaho, Wyoming, and central Utah and New Mexico operate primarily in the domestic market,  
645 and other regions such as central Nevada (metallic ores) and western Washington (non-metallic  
646 ores) are more prominent in the international market.

647 The majority of virtual water exports from the United States flow through ports along the  
648 Gulf Coast (Houston, New Orleans, Corpus Christi, Beaumont) and the West Coast (Los  
649 Angeles/Long Beach, Washington State, San Francisco, Seattle, Portland). The ports of Los  
650 Angeles and New York City receive the highest volume of virtual water imports followed by  
651 Houston and Detroit. Due to where goods for export are sourced within the U.S., a world region  
652 (or country) may receive a higher proportion of virtual water that originated as surface water or  
653 groundwater. For example, virtual water flows through ports in the Houston metropolitan area  
654 are dominated by groundwater sources in the Ogallala Aquifer Region, the Mississippi  
655 Embayment aquifer system, and to a lesser extent the Central Valley of California, local  
656 groundwater sources, and southern Arizona (Fig. 4). Mexico, Africa, and Southwest and Central  
657 Asia are the only world regions that received more virtual water in that originated as

658 groundwater (Table 5; Fig 5); suggesting that exports to these regions are potentially vulnerable  
659 to unsustainable, long-term groundwater management in the U.S. than annual fluctuations in  
660 surface water availability and drought (Marston et al., 2015).

661 While we do not address surface or ground water sustainability, vulnerability, or  
662 overdraft specifically in this paper, it is certainly desirable to combine these results with  
663 quantification of water storage and water availability, for the purpose of policy analysis.  
664 Conversely, Canada, Latin America, Europe, and Asia and Oceania have more exposure to  
665 surface water fluctuations and drought but are less exposed to unsustainable groundwater  
666 management in the U.S. Given that the U.S. is a large hydrologically, agronomically, and  
667 climatically diverse country, it is not surprising that the type of water, surface water or  
668 groundwater, which an international trading partner may depend on varies based on which part  
669 of the U.S. is accessed and thus potentially causing two trading partners to have vastly different  
670 virtual water risk profiles.

671

### 672 **3.4 Structural and Spatial Differences in Economic Sector Water Footprints**

673 The U.S. water footprint is predominantly determined by the production, manufacture,  
674 and distribution of food. The agriculture (154,349 Mm<sup>3</sup>) and livestock (15,917 Mm<sup>3</sup>) economic  
675 sectors comprise 93 % of the U.S. water footprint (181,966 Mm<sup>3</sup>), with the agriculture economic  
676 sector alone comprising 87 % of the U.S. water footprint. Overall, the agriculture and livestock  
677 water footprint is concentrated in the Western U.S., where there is a heavy dependence on  
678 irrigated agriculture to raise crops for human and animal consumption.

679 For agriculture, the Central Valley of California, the Front Range of Colorado, Central  
680 and Southern Arizona, and the Snake/Columbia River Valley are significant geographic regions

681 where food is grown and where irrigation is a requisite for growing crops (Fig. 6a). Where  
682 irrigated agriculture is not as prevalent, urban centers are moderate water footprints as they serve  
683 as regional distribution for food (Omaha, Nebraska; Wichita, Kansas; Dallas, Houston, and  
684 Brownsville, Texas; New Orleans, Louisiana; Northwest Arkansas; and Central Florida). The  
685 U.S. livestock footprint is more concentrated on the west coast U.S. and Snake River Valley of  
686 Idaho; however, on the east coast, the Carolinas have the largest livestock water footprint (Fig.  
687 6c). Outside these areas, the U.S. livestock water footprint is concentrated around cities where  
688 there is a relatively large inflow of virtual water with little to no virtual water outflows.

689 Unlike the U.S. water footprint of agriculture and livestock, in which both rural and  
690 urban counties play significant roles, the U.S. industrial water footprint (Fig. 6b), and to the same  
691 extent the U.S. water footprint of and power production and flow and domestic water  
692 consumption (Fig. 6e and 6f), is dominated by urban areas. Not surprisingly, domestic and  
693 industrial water use is highly co-located with urban areas as are virtual water inflows and  
694 outflows. Major nodes in the U.S. industrial water footprint network are Chicago, Illinois;  
695 Houston and Dallas, Texas; Los Angeles California; Seattle, Washington; Phoenix, Arizona; Las  
696 Vegas, Nevada; the Boston-Washington Corridor; Central and Southern Florida; and each major  
697 metropolitan area east of the Mississippi River. While the same areas are important in the  
698 domestic water footprint, the U.S. southwest – Southern California, Central and Southern  
699 Arizona, and Las Vegas, Nevada – have the largest domestic water footprints.

700 The U.S. mining water footprint is highly dependent on the location of mineral resources  
701 in addition to processing facilities and distribution hubs. Some geographic regions with  
702 substantial mining water footprint do not have a significant water footprint in other sectors; for  
703 example, northern Alaska; west Texas; the Gulf Coast; Oklahoma; North Dakota; northern



704 Michigan and Minnesota; and parts of Nevada, Montana, Utah, New Mexico, and Wyoming  
705 (Fig. 6d). Southern California, and to a lesser extent Southern Arizona, is an exception to this  
706 because these are regions with substantial mining activity – oil and gas in Southern California  
707 and hard rock mining in Arizona – that are co-located with agricultural and industrial production  
708 in addition to high domestic water consumption.

709 The net export status of a county matters because a net virtual water exporter may have a  
710 very different approach to national water policy discussions than a net importer (Fig. 7). The  
711 (usually medium-sized) communities that sit in between the net-importing and net-exporting  
712 categories may take a distinct and more balanced position on national policy. Agricultural  
713 western communities tend to be net exporters, urban communities tend to be net importers, and  
714 rural eastern communities tend to be relatively neutral; midsize urban communities, such as those  
715 commonly found in the Midwest and East, may be relatively neutral as well.

716

### 717 **3.5 Uncertainty Introduced by Consumption Coefficient Estimates**

718 At the county-level, blue water footprint uncertainties introduced by consumption  
719 coefficients range several orders of magnitude in  $\text{Mm}^3$  and relative percent (Fig. 8). The small  
720 rural counties of Bristol Bay Borough, Alaska and Kenedy County, Texas have the smallest  
721 water footprint uncertainties ( $<0.50 \text{ Mm}^3$ ). Los Angeles County, California has the largest water  
722 footprint uncertainty ( $4,050 \text{ Mm}^3$ ). After Los Angeles, 3 counties have a water footprint  
723 uncertainty between  $3,000 - 4,000 \text{ Mm}^3$ ; 7 counties have a water footprint uncertainty between  
724  $2,000 - 3,000 \text{ Mm}^3$ ; 42 counties have a water footprint uncertainty between  $1,000 - 2,000 \text{ Mm}^3$ ;  
725 and 79 counties have a water footprint uncertainty between  $500 - 1,000 \text{ Mm}^3$ . In relative terms,  
726 county-level water footprint uncertainty is 58.2 % – 99.9 % of a county's total water

727 withdrawals. Relative water footprint variation tends to increase in the Eastern United States.  
728 However, in absolute terms, consumption coefficient variation is more important in the western  
729 U.S. due to the potentially large variation in virtual water outflows from the U.S.'s largest virtual  
730 water sources.

731 A community's role in the hydro-economic network, and its perspective on hydro-economic  
732 policy issues, can qualitatively change depending on our uncertainty. Uncertainties introduced by  
733 the consumption coefficients, which are quite large in absolute terms, roughly 17 % of U.S.  
734 counties can switch between roles as a net virtual water importer and exporter (+ or -  $VW_{Balance}$ )  
735 depending on the consumptive use assumptions (Fig. 9).

736 Results using the withdrawal-based ( $CU = 1$ ) scenario are located in the Supplemental  
737 Information (Table SI 4-D).

738

### 739 **3.6 Uncertainty in International Virtual Water Flow**

740 As mentioned in Section 3.4, there are several potential reasons for the discrepancy in the  
741 magnitude of virtual water flows. First, there are differences in the underlying source data for  
742 international trade and water use. NWED utilizes commodity flows modeled by FAF, which  
743 itself utilizes Census Foreign Trade Data for 2010 (Southworth et al., 2010;Hwang et al., 2016),  
744 while benchmark international virtual water trade studies utilized trade data from the  
745 International Trade Centre averaged between 1996-2005 (Water Footprint Network, 2013).  
746 Additionally, the source water data for the U.S. are different. NWED utilizes USGS water  
747 withdrawal data, which is self-reported with state-level variations (Marston et al., 2018; Maupin  
748 et al., 2014), benchmark international virtual water trade studies utilized CROPWAT modeling  
749 (Water Footprint Network, 2013). Secondly, despite controlling for port influences, it is likely

750 that more virtual water is attributed to ports than necessary, which would dampen international  
751 virtual water flows in NWED. NWED has difficulty handling ‘flow through’ virtual waters flow  
752 that would be otherwise assigned to a point of final consumption. In this case, a flow through  
753 entity may be assigned virtual water flow at the port or another distribution hub. Lastly, previous  
754 international virtual water studies included the water use of inputs in the virtual water flow of a  
755 commodity, e.g., the water consumption for animal feed as part of animal products related virtual  
756 water flow. A method to handle this is under development for the next version of NWED. While  
757 there are disadvantages to the current method in which international trade is modeled in NWED,  
758 methods to improve this aspect of the data product are ongoing and there is data structure in  
759 place to merge additional international trade flow datasets with the current NWED data structure.

760

### 761 **3.7 Temporal Uncertainty**

762 As mentioned previously, the NWED data are limited in representativeness to roughly the  
763 2010 – 2012 post-recession timeframe but are not precisely linked to a single year. Temporal  
764 uncertainty is introduced by utilizing annual timescale data. Given this, NWED data are more  
765 directly relevant to surface water management than to groundwater management because surface  
766 water has months to a few years of storage, and groundwater has centuries of storage, but in the  
767 future we could use this data to analyze sustainability and vulnerability of water usage.

768

## 769 **4. Conclusions**

770 Mekonnen and Hoekstra reported that the U.S. combined blue and grey water footprint,  
771 which is the closest equivalent to the water sources used NWED, to be 320,496 Mm<sup>3</sup> and 874 m<sup>3</sup>  
772 capita<sup>-1</sup> (Mekonnen and Hoekstra, 2011a). Results from NWED, which uses 4 consumptive use

773 scenarios, for the median annual water footprint,  $F_{CUMed}$ , of the U.S. is 181,966 Mm<sup>3</sup> ( $F_{Withdrawal}$ :  
774 400,844 Mm<sup>3</sup>;  $F_{CUMax}$ : 222,144 Mm<sup>3</sup>;  $F_{CUMin}$ : 61,117 Mm<sup>3</sup>). On a per-capita basis, results from  
775 NWED found the median U.S. water footprint ( $F'_{CUMed}$ ) is 589 m<sup>3</sup> capita<sup>-1</sup> ( $F'_{Withdrawal-Basis}$ : 1298  
776 m<sup>3</sup> capita<sup>-1</sup>;  $F'_{CUMax}$ : 720 m<sup>3</sup> capita<sup>-1</sup>;  $F'_{CUMin}$ : 198 m<sup>3</sup> capita<sup>-1</sup>). Given these statistics, the reported  
777 Mekonnen and Hoekstra water footprint and per capita water footprint falls between the  
778 *withdrawal-based* ( $CU=1$ ) and maximum consumptive use coefficient ( $CU_{Max}$ ) scenarios.  
779 Depending on the assumptions about consumptive use at the economic-sector level, these two  
780 datasets are in rough agreement regarding the magnitude of the U.S. water footprint.

781         The uncertainty introduced by water use data and consumption coefficients demonstrate  
782 the great need for the development of region-specific, sector-level water use data and  
783 consumption coefficients for the entire U.S. For example, water footprint uncertainty is roughly  
784 58 % to over 99 % of a county's total water footprint, which increases in the eastern United  
785 States. However, in absolute terms, consumption coefficient variation is more important in the  
786 western U.S. due to the potentially large variation in virtual water outflows from the agricultural  
787 sector with largest blue water withdrawals. While we have presented results for the  $CU_{Med}$   
788 scenario in this paper, we must recognize the potentially large variation in water consumption  
789 that could exist compared to what is reported. Therefore, conclusions drawn from NWED data,  
790 as well as those drawn from the underlying water data, must recognize the large range of  
791 uncertainty with respect to water withdrawal and consumption in the U.S. Nevertheless, there are  
792 still general observable trends in U.S. virtual water flows and water footprints, which are  
793 presented below.

794         The U.S. hydro-economic network is centered on cities and is dominated by the local and  
795 regional scales of trade, with medium-sized cities playing a disproportionate role. The proper

796 framing of water governance and policy may be proportional to the structure of that network.  
797 Large cities source from all sizes of communities, but small and rural communities mostly source  
798 from other small communities, leading to a structural difference between the diversity and  
799 connectivity of urban and rural water supply chains. Further, medium-size metropolitan areas  
800 have a unique role in the U.S. hydro-economic as the link between rural virtual water production  
801 and urban virtual water consumption and are the most important single scale of community in the  
802 network. The U.S. hydro-economic network's connections and power structures are primarily  
803 local and regional except for the large metropolitan areas that operate at the national level and  
804 large-city ports that operate at the international level. This scale-specific finding is novel because  
805 most prior work on water footprints focuses on international trade.

806         Within the U.S., urban counties have a strong hydro-economic dependence on rural  
807 counties: for the *CU<sub>Med</sub>* scenario, there is a virtual water transfer of 114,953 Mm<sup>3</sup> from rural  
808 counties to urban counties, roughly a third of all virtual water flow in the U.S., with only a  
809 33,876 Mm<sup>3</sup> return flow of virtual water. However, there is also strong urban-to-urban hydro-  
810 economic dependence. The virtual water transfer between urban counties is of the same  
811 magnitude as the rural-to-urban virtual water transfers (111,458 Mm<sup>3</sup>). Taken together, rural-to-  
812 urban and urban-to-urban virtual water flow accounts for approximately 58 % of U.S. domestic  
813 virtual water flow, illustrating the urban demand for not just water-intensive food sourced from  
814 rural counties, but also water-intensive power and industrial products sourced from urban  
815 counties. Further work on characterizing county-level virtual water flows can extend the logic  
816 developed by frameworks to characterize catchment-level water use regimes (Weiskel et al.,  
817 2007) to hydro-economic networks. Specifically, NWED data can provide a socio-hydrological

818 extension to previous work on hydroclimatic regime classification in the U.S. (Weiskel et al.,  
819 2014).

820         The networked structure of water footprint sources creates systemic exposure to surface  
821 water scarcity and groundwater unsustainability at virtual water source locations. The U.S. and  
822 the global economy are particularly exposed to drought, and other system shocks, in the Western  
823 U.S. generally, especially in California, Central and Southern Arizona, Idaho, and the Great  
824 Plains. In the Eastern U.S., exposure to drought, or other system shocks, presents in South Texas,  
825 South Florida, the Chicago area, and the Lower Mississippi Valley. Because the whole U.S., and  
826 world, depend on these water supplies, these locations should be a priority for national water  
827 policy (Cooley and Gleick, 2012; Gleick et al., 2012); for public investment in water  
828 infrastructure to manage drought (Brown and Lall, 2006; Galloway Jr, 2011); and for innovative  
829 green infrastructure and market-based solutions that address water supply and demand problems.  
830 Additionally, the ports through which virtual water flows create transportation risks posed by  
831 war, strikes, tropical storms, earthquakes, and sea level rise. These locations should be a priority  
832 for national resilience policies and efforts, and alternative freight corridors should be developed  
833 so that port closures do not impact the ability of U.S. businesses to get their water-intensive  
834 goods to domestic and international markets (or vice versa).

835         Given the networked structure of the FEW system, the strong urban-rural dependence of  
836 FEW system flows, and the uncertainties presented by information gaps, future FEW system  
837 studies must address questions of worldview. For example, questions regarding which scale is  
838 the right scale (Vörösmarty et al., 2010; Vörösmarty et al., 2015) and which decision boundary is  
839 the best decision boundary (Rushforth et al., 2013) for understanding the FEW system  
840 interactions are dependent on the worldview of stakeholders and policymakers. In the U.S., the

841 direct and indirect transfer of FEW system resources is concentrated at the mesoscale – regions  
842 and/or county equivalents – and not the national or global scales. This has implications for  
843 developing robust FEW system policy: the mesoscale is a manageable scale and there is the  
844 ability to manage aspects of FEW systems and craft FEW system interventions at this scale  
845 through extant and novel local and regional governance systems. For example, downstream-  
846 driven, market-based supply chain governance of “soft” supply chains by major retailers and  
847 distributors; downstream-driven City-driven governance via their hard infrastructures  
848 (McManamay et al., 2017); upstream-driven, watershed- or river-driven governance wherein  
849 infrastructure managers consider how the services of their water propagate through the economy;  
850 or FEW governance where F, E, and W agents work together because these sectors have the  
851 largest footprints.

852 NWED provides insight into which sectors and geographic areas need to be prioritized in  
853 the development of these consumption coefficients. The lack of certainty on consumption  
854 coefficients (*Section 3.5*) limits the ability to estimate or gauge one area’s exposure to  
855 hydrological hazards in another area in its supply chain and must be addressed through the  
856 development of county- or region-specific and economic sector-specific consumption  
857 coefficients. We suggest starting with cities and irrigated agriculture in the Western U.S. due to  
858 the major influence that consumption coefficients have on water footprints, and because we lack  
859 locally accurate consumption coefficients to distinguish between regions this prevents us from  
860 accurately assessing local water balances or scarcity.

861 Despite basic limitations imposed by the primary data sources, NWED is a robustly  
862 quantified blue water footprint; future refinements to NWED will seek to address these  
863 limitations and add additional functionality, such increased resolution on pass-through

864 commodity flows. The empirical basis of this analysis, along with its economic completeness  
865 and spatial detail, make this result a landmark resource in the scientific discussion of water  
866 footprints, virtual water flow, and the sustainability and resilience of a nation's water resources  
867 in the connected global economy.

868  
869  
870  
871

## 872 **Code Availability:**

873 The NWED 1.1 code will be made available on GitHub: <https://github.com/NWED/v1.1>.

## 874 **Data Availability:**

875 NWED version 1.1 is available at the Hydroshare data repository and can be accessed at:

876 <https://www.hydroshare.org/resource/84d1b8b60f274ba4be155881129561a9/>

## 877 **Appendices:**

### 878 **Appendix 1: Difference Between NWED Version 1.0 and 1.1**

879 Data from NWED 1.0 have previously been published in by Rushforth and Ruddell  
880 (Rushforth and Ruddell, 2016). While the methodology is largely the same, there are key  
881 differences between the two versions of NWED.

- 882 • If updated disaggregation and attraction factors were available, these factors were  
883 updated.
- 884 • Specifically, agricultural disaggregation factors were updated at the crop level  
885 using the latest USDA NASS.
- 886 • Additionally, the mining sector been updated to have commodity code specific  
887 disaggregation factors using the location of mines and mineral production as  
888 disaggregation factors rather than employment.
- 889 • The power sector and domestic sector has been added to NWED version 1.1.
- 890 • Export virtual water flows have been disaggregated from virtual water flows to  
891 port cities.
- 892 • Import virtual water flows have been added to NWED version 1.1.



- 893                   • The  $CU_{Max}$ ,  $CU_{Med}$ , and  $CU_{Min}$  consumption scenarios were added to NWED  
894                   version 1.1.  
895                   • Groundwater and surface water disaggregation of virtual water flows for  
896                   withdrawal,  $CU_{Max}$ ,  $CU_{Med}$ , and  $CU_{Min}$  scenarios were added.

897

## 898 **Appendix 2: NWED Glossary**

899 *Agricultural Sector:* NWED sector comprised of farm-based activities to grow crops for food or  
900 industrial purposes. Irrigation is the primary water using activity in the agricultural sector  
901 (Maupin et al., 2014).

902

903 *Attraction Factor:* A fraction used to disaggregate commodity flows on the consumption side. In  
904 NWED 1.1, population is used as an attraction factor. Each county within a FAZ is assigned a  
905 fraction equivalent to its percent of the total population.

906

907 *County:* A county or county equivalent (parish, borough, Washington D.C., or a independent  
908 city) is a sub-state geographic scale that is roughly equivalent to the mesoscale.

909

910 *Destination:* The geographic location where a commodity flow terminates.

911

912 *Freight Analysis Zone (FAZ):* A group of counties that represents a metropolitan statistical area,  
913 census statistical area, or remainder of state (Southworth et al., 2010; Hwang et al., 2016)

914

915 *Industrial Sector:* Economic sector that produces industrial goods. Water use in the industrial  
916 sector includes, “fabricating, processing, washing, diluting, cooling, or transporting a product;  
917 incorporating water into a product; or for sanitation needs within the manufacturing facility,”  
918 (Maupin et al., 2014).

919

920 *Large Central Metro Counties:* U.S. counties with greater than 1 million inhabitants that are the  
921 central county of a metropolitan statistical area (Ingram and Franco, 2012).

922

923 *Large Fringe Counties:* U.S. counties with greater than 1 million inhabitants that are not the  
924 central county of a metropolitan statistical area (Ingram and Franco, 2012).

925

926 *Livestock Sector:* Economic sector comprised of the raising of animals for animal products in  
927 addition to aquaculture activities. Water use in the livestock sector only includes direct water use  
928 at livestock, and related facilities (Maupin et al., 2014).

929

930 *Medium Metro Counties:* U.S. counties with between 250,000 and 999,999 inhabitants (Ingram  
931 and Franco, 2012).

932

933 *Micropolitan Counties:* U.S. counties with between 10,000 and 49,999 inhabitants that have an  
934 urban cluster (Ingram and Franco, 2012).

935

936 *Mining Sector*: Economic sector comprised of mineral producing activities, including metallic  
937 and non-metallic ore, in addition to sand and gravel, crude petroleum and natural gas. Water  
938 using activities in the mining sector include, “Mining water use is water used for the extraction  
939 of minerals that may be in the form of solids, such as coal, iron, sand, and gravel; liquids, such as  
940 crude petroleum; and gases, such as natural gas,” (Maupin et al., 2014).  
941

942 *Non-Core Counties*: U.S. counties with between 10,000 and 49,999 inhabitants that do not have  
943 an urban cluster (Ingram and Franco, 2012).  
944

945 *Origin*: The geographic location where a commodity flow originates.  
946

947 *Production Factor*: A fraction used to disaggregate commodity flows on the production side. In  
948 NWED 1.1, multiple production factors are used specific to the economic sector. Each county  
949 within a FAZ is assigned a fraction equivalent to its percent of the total population.  
950

951 *Power Sector*: NWED sector comprised of electric generating stations, which includes  
952 thermoelectric and non-thermoelectric facilities (renewable energy sources). Water is used at  
953 thermoelectric generation stations in addition to hydroelectric facilities.  
954

955 *Small Metro Counties*: U.S. counties with metropolitan statistical areas with less than 250,000  
956 inhabitants (Ingram and Franco, 2012).  
957

958 *Virtual Water*: Also known as indirect water or embodied water, has been studied as a strategic  
959 resource for two decades as it allows geographic areas (country, state, province, city) to access  
960 more water than is physically available (Allan, 1998; Allan, 2003; Suweis et al., 2011; Dalin et  
961 al., 2012; Dang et al., 2015; Zhao et al., 2015; Marston et al., 2015).  
962

963 *Virtual Water Inflows into a Geographic Area ( $VW_{In}$ )*: The volume of water indirectly consumed  
964 to produce goods or services produced outside a geographic boundary of interest for  
965 consumption within that geographic boundary of interest.  
966

967 *Virtual Water Outflows from a Geographic Area ( $VW_{Out}$ )*: The volume of water used to produce  
968 goods or services that are consumed outside of geographic boundary of interest.  
969

970 *Virtual Water Balance of a Geographic Area ( $VW_{Net}$ )*: Virtual water Inflows minus virtual water  
971 outflows for a geographic boundary of interest.  
972

973 *Water Footprint*: the volume of surface water and groundwater consumed during the production  
974 of a good or service and is also called the virtual water content of a good or service (Mekonnen  
975 and Hoekstra, 2011b).  
976

977 *Water Footprint of Consumption*: water consumption for local use in addition virtual water  
978 import (Mekonnen and Hoekstra, 2011a)  
979

980 *Water Footprint of a Geographic Area ( $F$ )*: The volume of water representing direct water  
981 consumption plus virtual water inflows minus virtual water outflows for a geographic boundary

982 of interest. A per-capita water footprint ( $F^*$ ) is F divided by the population within the geographic  
983 boundary of interest.

984  
985 *Water Footprint of Production*: the total volume of water consumed with a geographic  
986 boundary, including water consumption for local use less virtual water export (Mekonnen and  
987 Hoekstra, 2011a).

988  
989 *Water Consumption (C)*: The total volume of water consumed from a water source, when  
990 consumption is withdrawals minus return flows. A water source is either surface water or  
991 groundwater. NWED utilizes four consumptive use scenarios based on a withdrawal-based  
992 scenario, and minimum, median, and maximum consumptive use scenario. Consumptive use  
993 scenarios are based on reports published by the United States Geological Survey (Shaffer and  
994 Runkle, 2007).

995  
996 *Water Withdrawal (W)*: The total volume of water withdrawn from a water source. A water  
997 source is either surface water or groundwater.

998

999

### 1000 **Appendix 3: Commodity Trade Linkage Metrics**

1001 Each commodity trade linkage is measured by 15 metrics:  $-t, \$, tm, VW_{In,Jm,c,s,t,k,W_{Total}}$ ,

1002  $VW_{In,Jm,c,s,t,k,W_{SW}}, VW_{In,Jm,c,s,t,k,W_{GW}}, VW_{In,Jm,c,s,t,k,CU_{Max,Total}}, VW_{In,Jm,c,s,t,k,CU_{Max,SW}}$ ,

1003  $VW_{In,Jm,c,s,t,k,CU_{Max,GW}}, VW_{In,Jm,c,s,t,k,CU_{Med,Total}}, VW_{In,Jm,c,s,t,k,CU_{Med,SW}}, VW_{In,Jm,c,s,t,k,CU_{Med,GW}}$ ,

1004  $VW_{In,Jm,c,s,t,k,CU_{Min,Total}}, VW_{In,Jm,c,s,t,k,CU_{Min,SW}}, VW_{In,Jm,c,s,t,k,CU_{Min,GW}}$ .

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1008

### 1009 **Author Contribution:**

1010

1011 R. Rushforth developed the NWED methodology and the executed code to carry out the  
1012 methodology. R. Rushforth wrote the manuscript with help from B. Ruddell.

1013

### 1014 **Competing Interests:**

1015

1016 The authors declare that they have no conflicts of interest.

1017

1018 **Disclaimer:**

1019

1020 The opinions expressed by authors contributing to this journal do not necessarily reflect  
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1

2 **Table 1. Minimum, Median, and Maximum Consumption Use Coefficients (CU) Used to**  
3 **Estimate Consumptive Water Use in NWED<sup>1</sup>**

Sector ( <i>s</i> )	CU <sub>Min</sub>	CU <sub>Med</sub>	CU <sub>Max</sub>	N <sup>2</sup>
Irrigated Agriculture	37 %	100 %	100 %	170
Domestic	0 %	13 %	73 %	229
Industrial	0 %	10 %	35 %	219
Livestock	10 %	100 %	100 %	158
Mining	0 %	14 %	86 %	141
Power	0 %	2 %	75 %	216

<sup>1</sup>Consumption coefficients adapted from (Shaffer and Runkle, 2007).

<sup>2</sup>The number of studies evaluated to approximate the consumption coefficients.

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7 **Table 2. U.S. Water Footprint and Virtual Water Statistics**

Virtual Water Statistic	Withdrawal-Based			
	( $CU = I$ )	$CU_{Max}$	$CU_{Med}$	$CU_{Min}$
Water Use – Domestic (Mm <sup>3</sup> )	37,566	27,423	4,884	0
Water Use – Non-Domestic (Mm <sup>3</sup> )	366,687	200,712	181,773	60,722
Water Use – Total (Mm <sup>3</sup> )	404,253	228,135	186,657	60,722
Virtual Water Outflows, $VW_{Out}$ (Mm <sup>3</sup> )	362,690	196,857	178,622	59,870
Virtual Water Inflows, $VW_{In}$ (Mm <sup>3</sup> )	359,282	190,866	173,931	60,265
Virtual Water Balance, $VW_{Bal}$ (Mm <sup>3</sup> )	-3,409	-5,991	-4,691	395
Virtual Water Export, $VW_{Export}$ (Mm <sup>3</sup> )	10,671	9,039	7,739	2,653
Virtual Water Import, $VW_{Import}$ (Mm <sup>3</sup> )	7,263	3,048	3,048	3,048
Non-Domestic Water Footprint (Mm <sup>3</sup> )	363,279	194,722	177,082	61,117
Total Water Footprint (Mm <sup>3</sup> )	400,844	222,144	181,966	61,117
Total Water Footprint Per Capita (m <sup>3</sup> capita <sup>-1</sup> )	1,298	720	589	198
Central Water Footprint Per Capita (m <sup>3</sup> capita <sup>-1</sup> )	828	399	282	97
Fringe Water Footprint Per Capita (m <sup>3</sup> capita <sup>-1</sup> )	981	368	250	83
Medium Water Footprint Per Capita (m <sup>3</sup> capita <sup>-1</sup> )	1,705	1,076	936	315
Small Water Footprint Per Capita (m <sup>3</sup> capita <sup>-1</sup> )	1,794	1,139	992	333
Micro Water Footprint Per Capita (m <sup>3</sup> capita <sup>-1</sup> )	1,876	1,169	1,024	345
Non-Core Water Footprint Per Capita (m <sup>3</sup> capita <sup>-1</sup> )	1,927	1,217	1,053	344
Rural to Urban VW Transfers (Mm <sup>3</sup> )	114,953	70,648	66,524	22,496
Rural to Rural VW Transfers (Mm <sup>3</sup> )	91,682	63,698	60,676	20,614
Urban to Urban VW Transfers (Mm <sup>3</sup> )	111,458	39,921	32,338	10,459
Urban to Rural VW Transfers (Mm <sup>3</sup> )	33,876	13,551	11,345	3,647

8

9 **Table 3. Blue Virtual Water Transfers Between Urban and Rural Areas (Mm<sup>3</sup>)**

Urban/Rural Classification		← Urban Rural →						10	
		Central	Fringe	Medium	Small	Micro	Non-Core	VW <sub>Out</sub> , CUMed	VW <sub>Balance</sub> , CUMed
Urban ↑ Rural	Central	2,529	628	593	201	139	72	4,162	19,299
	Fringe	2,644	1,632	1,477	505	447	306	7,011	9,779
	Medium	<b>5,345</b>	3,174	14,316	4,311	3,371	1,992	32,510	26,102
	Small	4,022	2,318	8,626	4,111	3,607	2,138	24,822	2,757
	Micro	3,821	3,812	14,153	7,710	8,302	4,837	42,634	-15,755
↓	Non-Core	5,100	<b>5,227</b>	<b>19,446</b>	<b>10,740</b>	<b>11,013</b>	<b>8,218</b>	59,744	-42,182
VW <sub>In</sub> , CUMed		23,460	16,790	58,612	27,579	26,879	17,562	170,883	–

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**Table 4. Urban-Rural Blue Virtual Water Transfer by Economic Sector (Mm<sup>3</sup>)**

<b>Origin County</b>	<b>Destination County</b>	<b>Sector</b>	<b>Virtual Water Flow (Mm<sup>3</sup>)</b>
Urban	Urban	Power	22
Urban	Urban	Agriculture	27,743
Urban	Urban	Industrial	2,694
Urban	Urban	Livestock	1,714
Urban	Urban	Mining	165
Urban	Rural	Power	6
Urban	Rural	Agriculture	9,583
Urban	Rural	Industrial	733
Urban	Rural	Livestock	950
Urban	Rural	Mining	73
Rural	Urban	Power	13
Rural	Urban	Agriculture	59,119
Rural	Urban	Industrial	955
Rural	Urban	Livestock	6,100
Rural	Urban	Mining	337
Rural	Rural	Power	159
Rural	Rural	Agriculture	53,731
Rural	Rural	Industrial	848
Rural	Rural	Livestock	5,764
Rural	Rural	Mining	175
Urban	Urban	Domestic	3,715
Rural	Rural	Domestic	1,168

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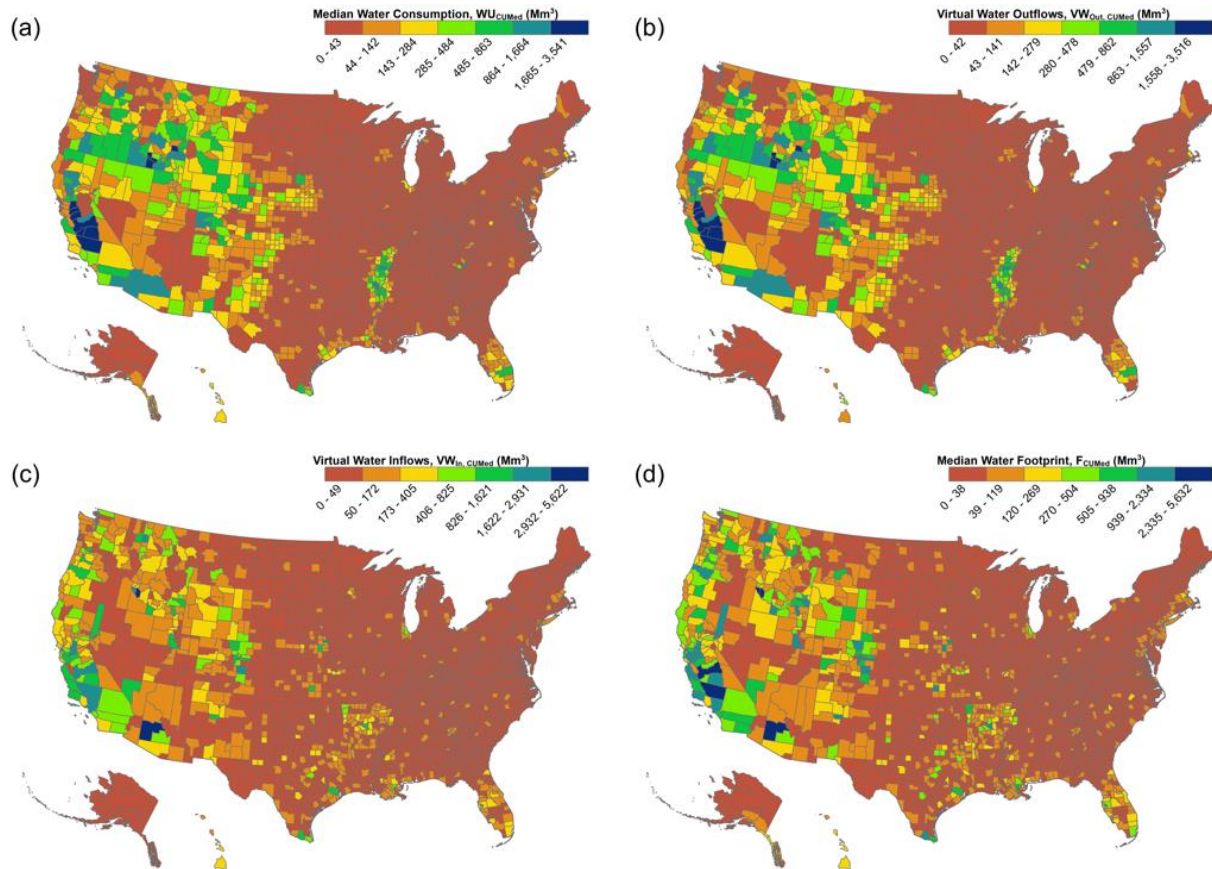
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**Table 5. U.S. Blue Virtual Water Exports and Imports to and Balances with World Regions**

<b>Region</b>	<b>Virtual Water Export (Mm<sup>3</sup>)</b>	<b>% SW</b>	<b>% GW</b>	<b>Virtual Water Import (Mm<sup>3</sup>)</b>	<b>% SW</b>	<b>% GW</b>	<b>Virtual Water Balance (Mm<sup>3</sup>)</b>
Canada	1,078	51%	49%	973	—	—	-105
Mexico	1,787	40%	60%	572	—	—	-1,215
Rest of Americas	672	67%	33%	597	—	—	-75
Europe	662	53%	47%	266	—	—	-396
Africa	448	33%	67%	43	—	—	-405
Southwest & Central Asia	355	45%	55%	102	—	—	-253
Eastern Asia	2,307	62%	38%	226	—	—	-2,081
Southeast Asia & Oceania	432	61%	39%	269	—	—	-163
<b>Total</b>	<b>7,741</b>	<b>52%</b>	<b>48%</b>	<b>3,048</b>	—	—	<b>-4,693</b>

*SW – Surface Water; GW – Groundwater*

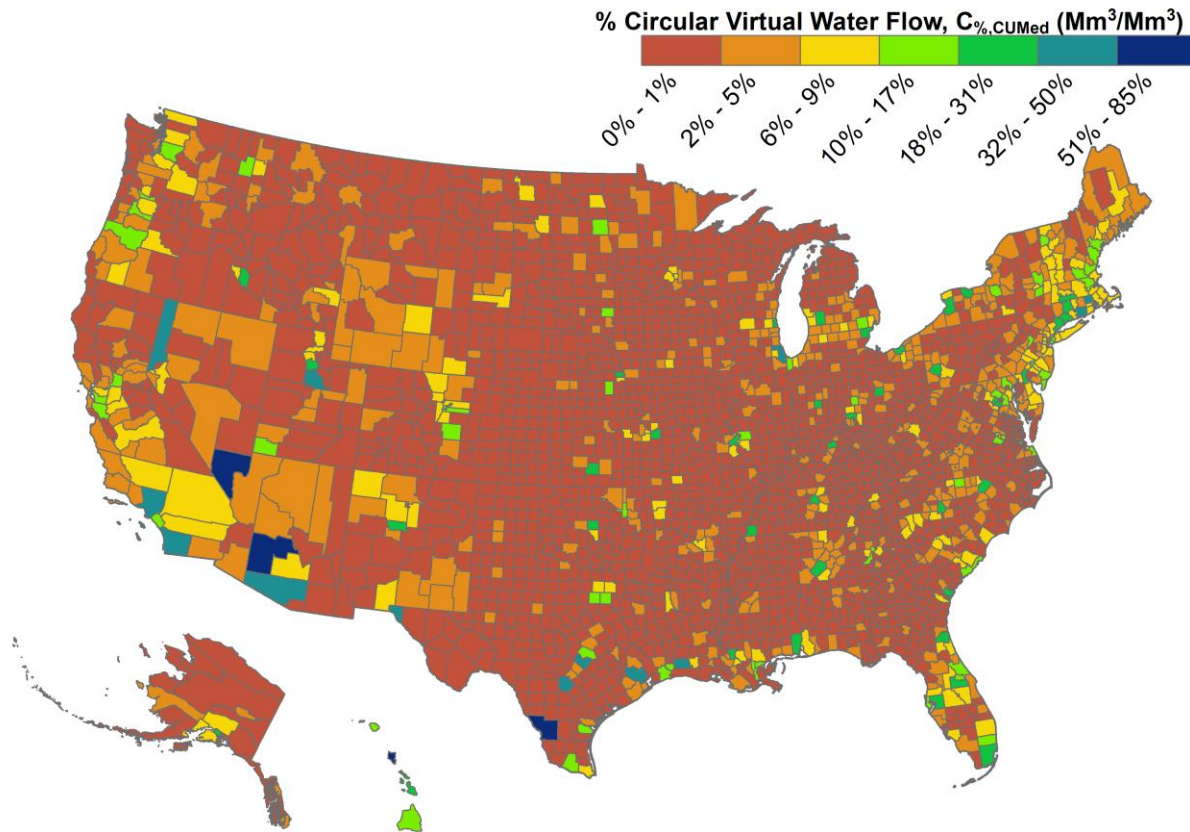
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21 **Figure 1. (a) Median county-level blue water consumption in the U.S. (b) Blue virtual**  
 22 **water outflows from U.S. are concentrated in the western United States, particularly where**  
 23 **irrigated agriculture is located, in addition to the High Plains, Mississippi Embayment, and**  
 24 **south Florida. (c) Blue virtual water inflows are concentrated in Western U.S. cities,**  
 25 **Western U.S. agricultural counties, metropolitan regions in the Eastern U.S., and in**  
 26 **particular where a city also serves as a regional distribution center or has prominent food**  
 27 **processing industry (Little Rock and Northwestern Arkansas, Chicago and Houston). (d)**  
 28 **Annual Withdrawal-Based ( $CU_{Med}$ ) Blue Water Footprint,  $F_{CUMed}$  [ $Mm^3$ ], for U.S. Counties.**

29

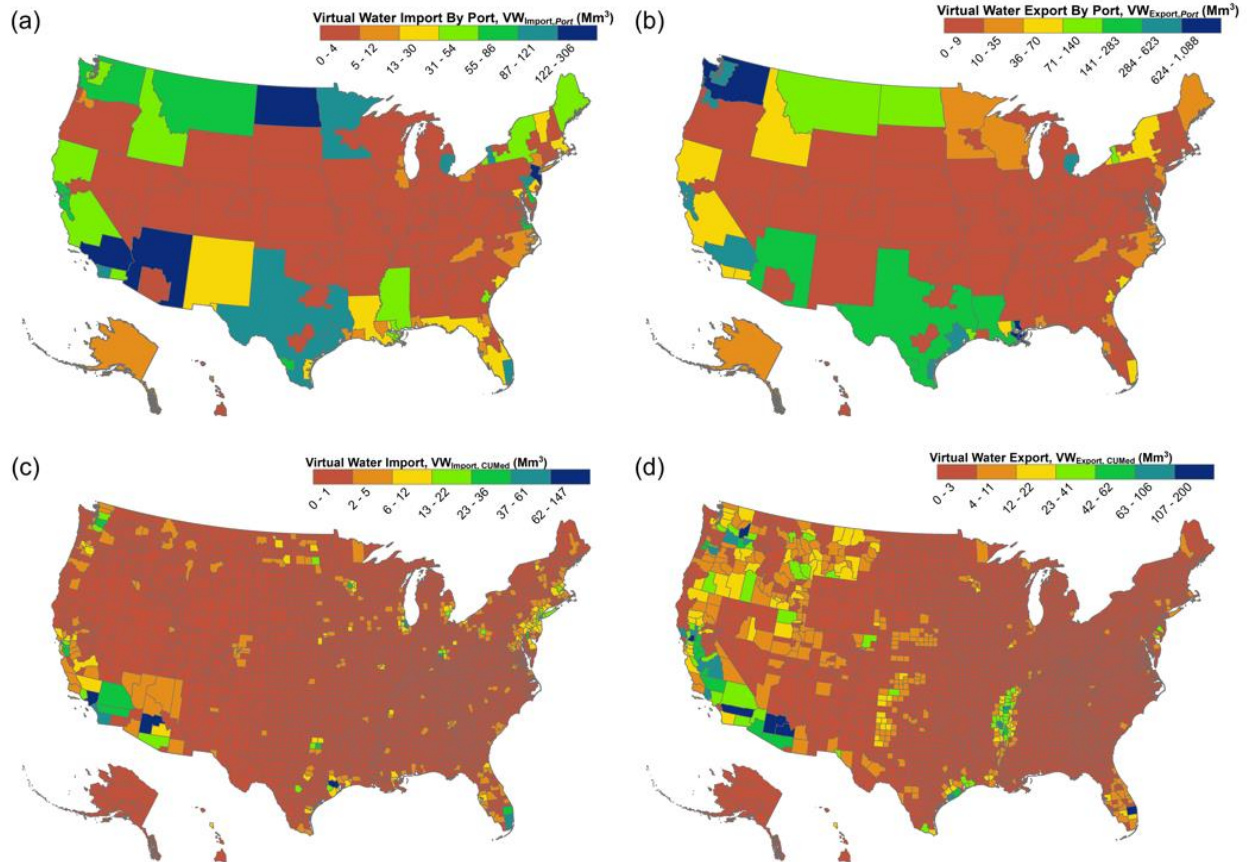


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31 **Figure 2. Circular blue virtual water flows ( $CU_{Med}$ ), or blue virtual water flows that**  
 32 **originate and terminate within the same county. This is a map of the use of “local water” in**  
 33 **the hydro-economy. Phoenix, Arizona is a local water hotspot.**

34



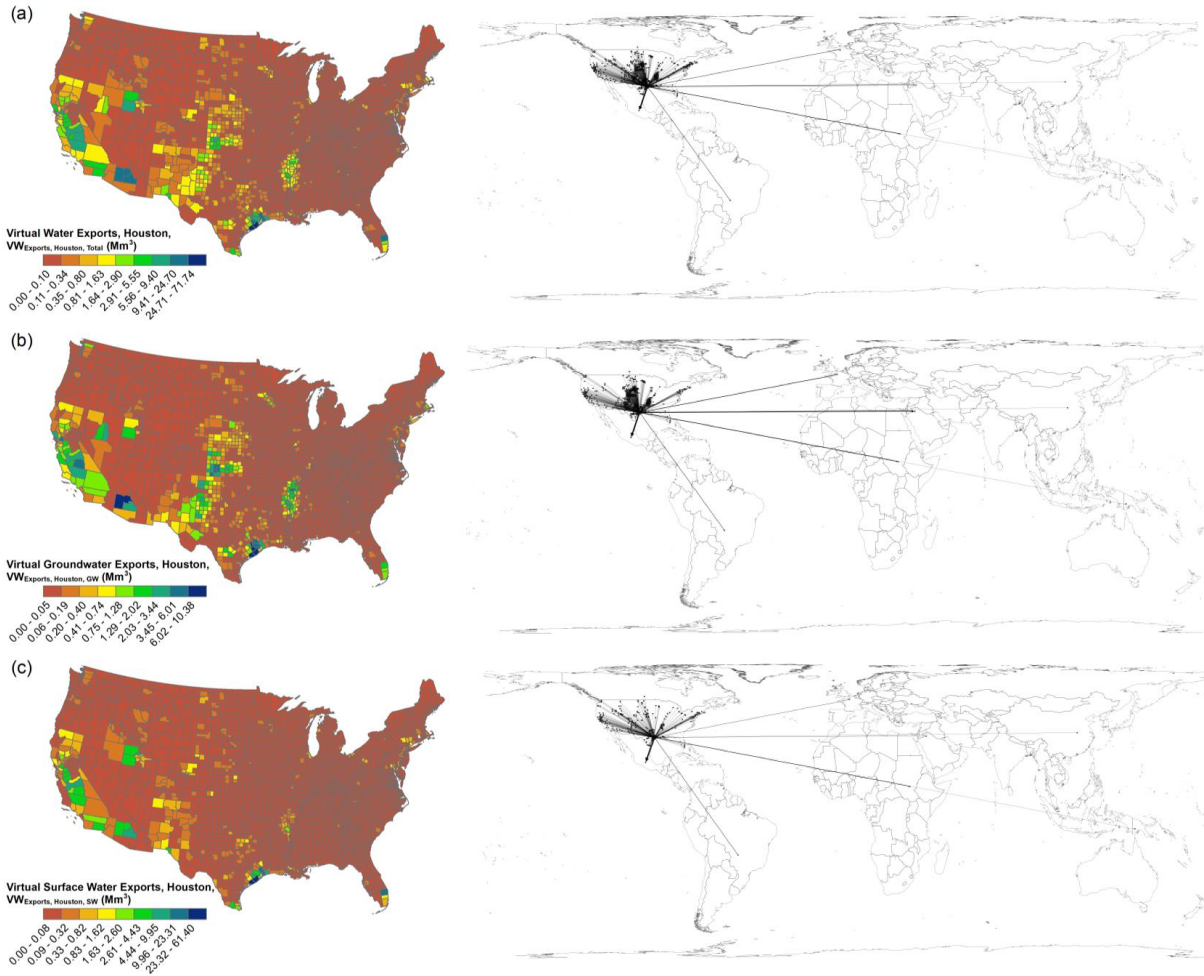


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36 **Figure 3. (a) The port and border regions through which the majority of U.S. blue virtual**  
 37 **water imports ( $CU_{Med}$ ) enter the U.S. market are primarily Los Angeles, New York,**  
 38 **Arizona, North Dakota, Houston, Detroit, Buffalo and Detroit (FAZ's are used for port**  
 39 **region boundaries). However, the whole land border with Canada and Mexico is import to**  
 40 **U.S. virtual water import. (b) The ports through which the majority of U.S. virtual water**  
 41 **exports ( $CU_{Med}$ ) enter the global market are located in natural hazard prone areas along**  
 42 **the West Coast, Gulf Coast, and Eastern Seaboard. (c) Cities such as Los Angles, Phoenix,**  
 43 **Houston, New York City, Miami, Dallas, Seattle, and the San Francisco Bay area are the**  
 44 **major destinations of U.S. virtual water imports ( $CU_{Med}$ ). (d) U.S. virtual water exports**  
 45 **( $CU_{Med}$ ) originate from California's Central Valley; Southern California and Southwest**  
 46 **Arizona; the Columbia River Basin and the Pacific Northwest; Central Nevada and**  
 47 **Northwest Utah; the Ogallala Aquifer region of the Midwest; the Texas Gulf Coast; the**  
 48 **Mississippi Embayment; and South Florida.**

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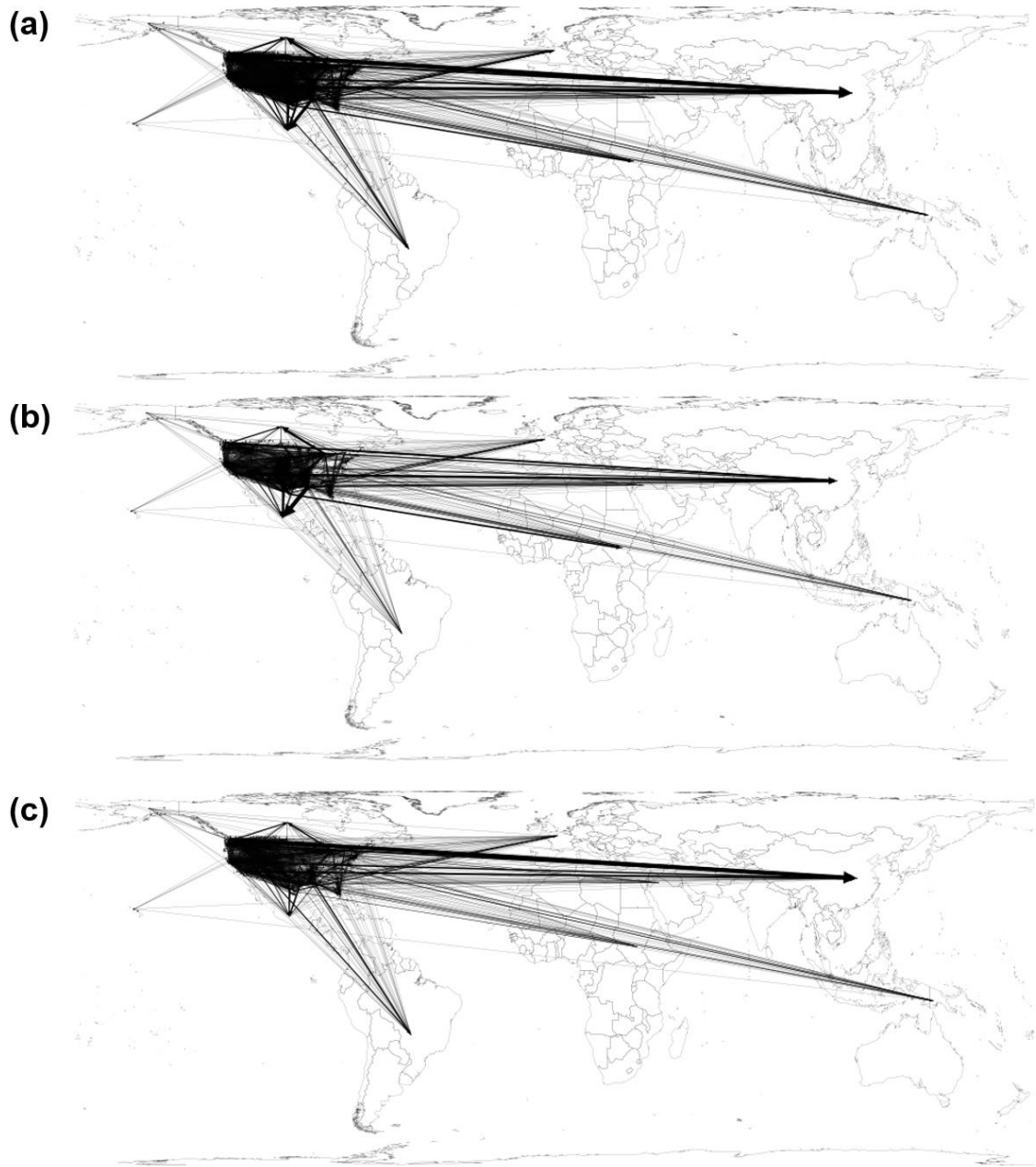


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53 **Figure 4. (a) U.S. blue virtual water exports ( $CU_{Med}$ ) through ports in the Houston**  
 54 **metropolitan area are sourced from the Central Valley of California, Central Utah and**  
 55 **Northern Utah, Southern Arizona, the Ogallala Aquifer Region, South Texas and the Texas**  
 56 **Gulf Coast, and the Mississippi Embayment aquifer region. Virtual water flows into the**  
 57 **Houston ports and then is redistributed to the 8 world regions in NWED. Mexico is the**  
 58 **largest recipient of virtual water flows from Houston ports. (b) Virtual groundwater flow**  
 59 **through Houston ports is sourced from the Central Valley of California, Central Utah and**  
 60 **Northern Utah, Southern Arizona, the Ogallala Aquifer Region, South Texas and the Texas**  
 61 **Gulf Coast, and the Mississippi Embayment aquifer region. (c) Virtual surface water**  
 62 **through Houston ports is sourced from the Central Valley of California, Southern**  
 63 **California, the Phoenix Metropolitan Area, Northern Utah, and the Texas Gulf Coast.**  
 64 **Network maps are plotted with Gephi using the Map of Countries and GeoLayout plugins.**

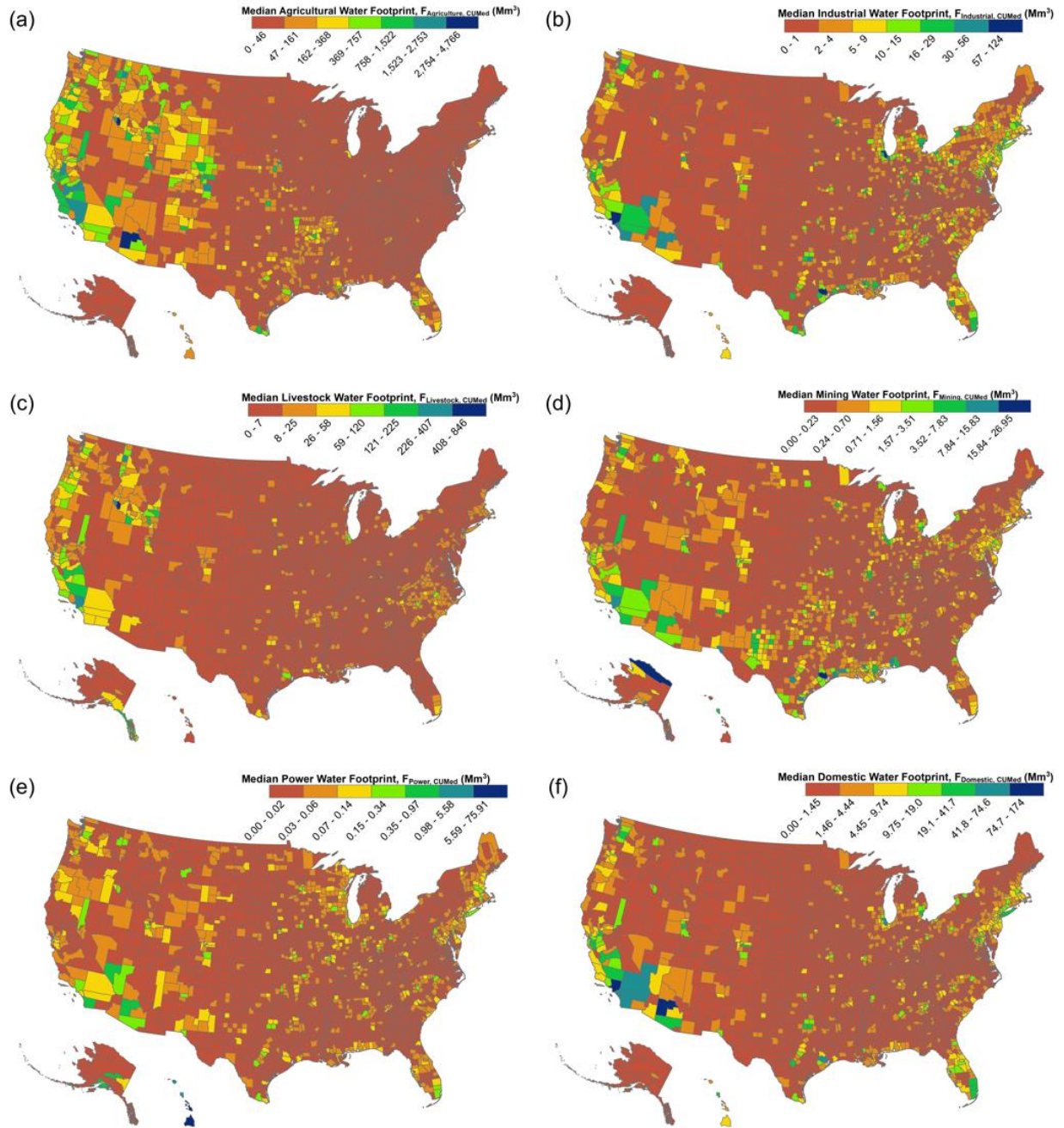
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67 **Figure 5. (a) U.S. blue virtual water exports ( $CU_{Med}$ ) through all U.S. ports. Only flows >**  
 68 **0.1  $Mm^3$  are plotted in this virtual water flow network. (b) U.S. blue virtual groundwater**  
 69 **exports ( $CU_{Med}$ ) through all U.S. ports. Only flows > 0.1  $Mm^3$  are plotted in this virtual**  
 70 **water flow network. Mexico in addition to Africa and Eastern Asia are a notable**  
 71 **destination for U.S. blue virtual groundwater exports through Gulf Coast ports. (c) U.S.**  
 72 **blue virtual surface water exports ( $CU_{Med}$ ) through all U.S. ports. Only flows > 0.1  $Mm^3$  are**  
 73 **plotted in this virtual water flow network. Eastern Asia is a notable destination for U.S.**  
 74 **blue virtual surface exports through West Coast ports. Network maps are plotted with**  
 75 **Gephi using the Map of Countries and GeoLayout plugins.**

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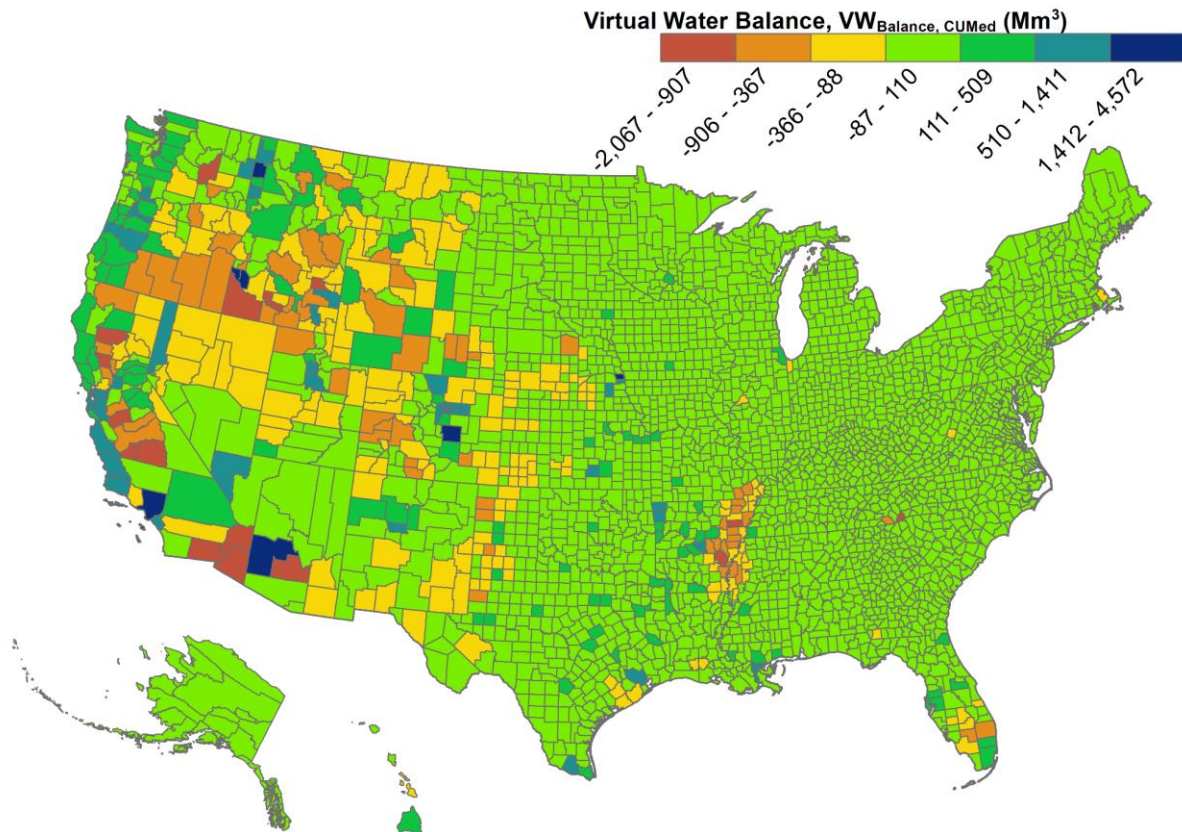


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78 **Figure 6. (a) The county-level agricultural blue water footprint of the U.S. (b) The county-**  
 79 **level industrial blue water footprint of the U.S. (c) The county-level livestock blue water**  
 80 **footprint of the U.S. (d) The county-level mining blue water footprint of the U.S. (e) The**  
 81 **county-level electrical power blue water footprint of the U.S. (f) The county-level domestic**  
 82 **blue water footprint of the U.S.**

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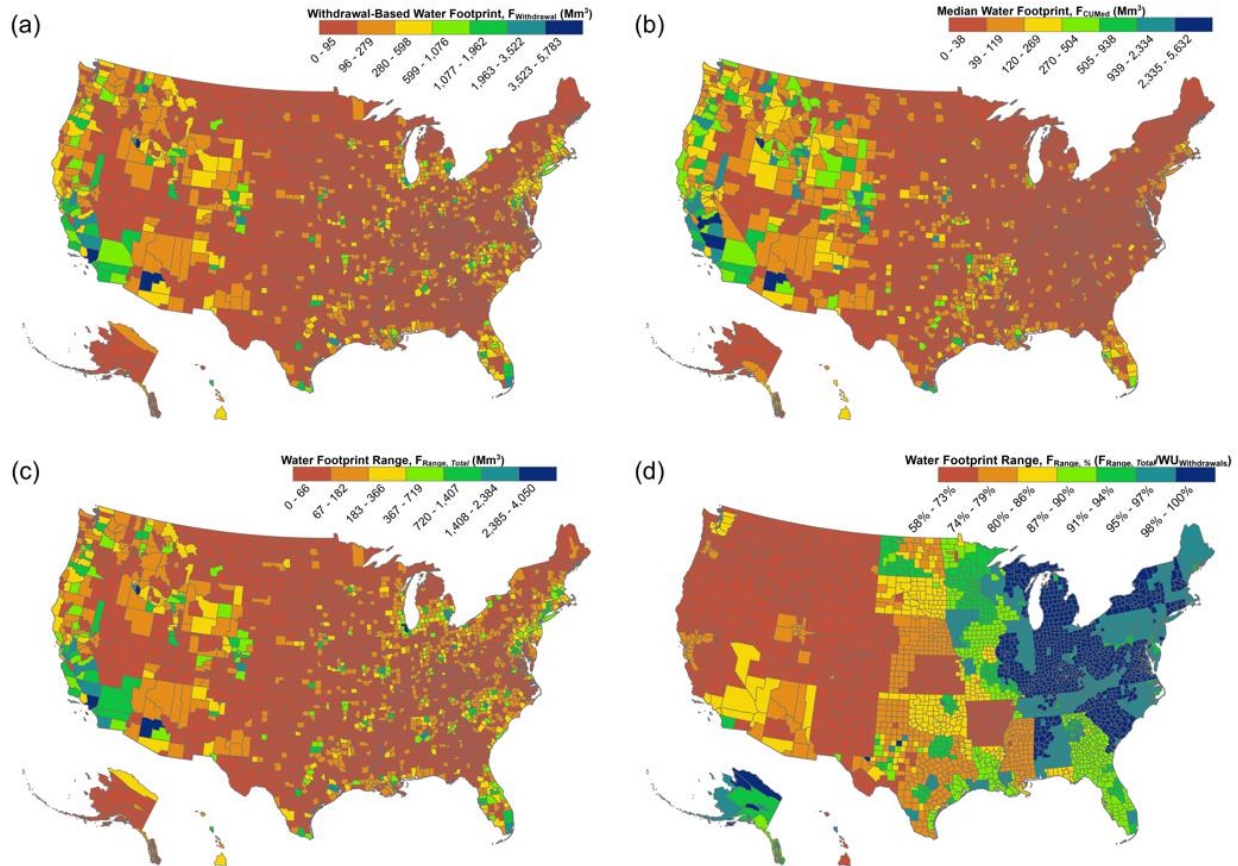
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86 **Figure 7. The blue virtual water balance ( $VW_{Balance, CUMed}$ ) for each U.S. county. Areas in**  
 87 **the Southwest U.S., Central Valley of California, Snake River Valley, Mississippi**  
 88 **Embayment, South Florida, South Texas, and the High Plains have virtual water outflows**  
 89 **that outstrip virtual water inflows.**

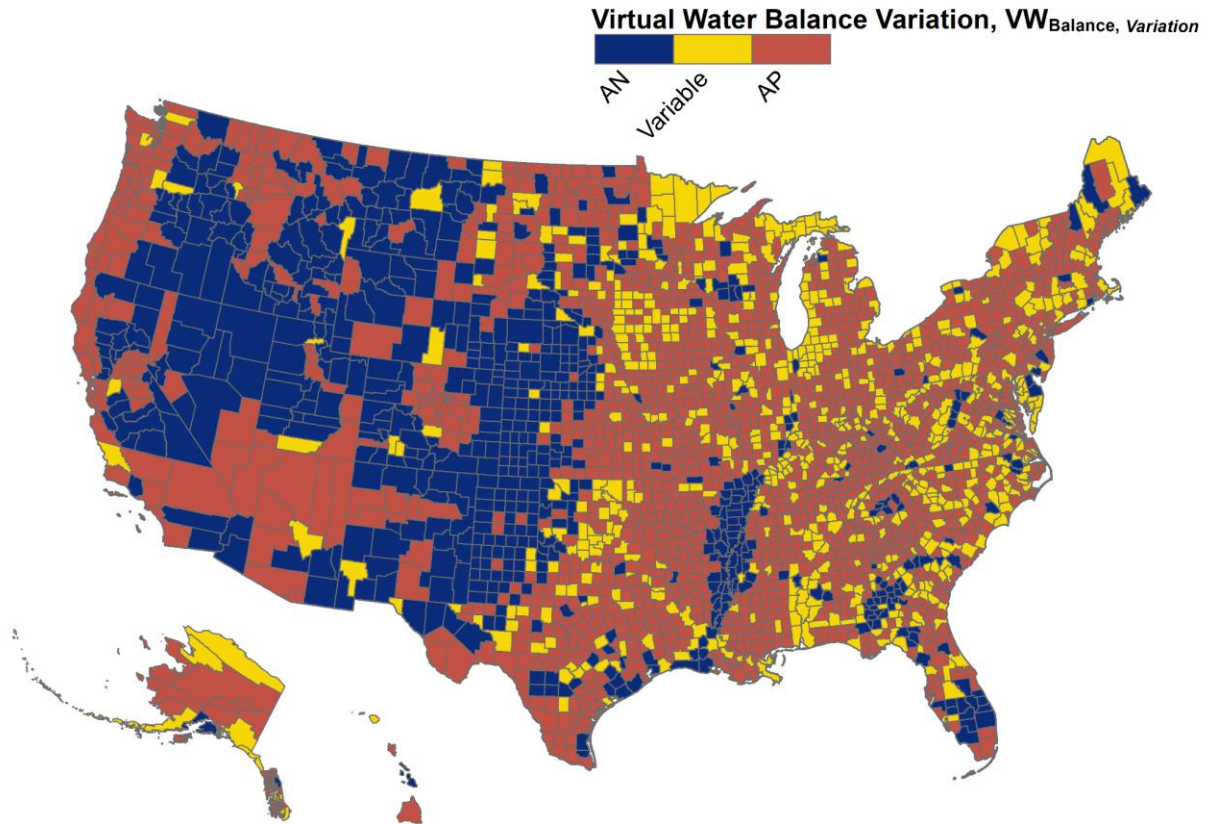
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91  
 92 **Figure 8. (a) The annual withdrawal-based blue water footprint,  $F_{Withdrawal}$  [ $Mm^3$ ], for U.S.**  
 93 **Counties. (b) The annual med ( $CUMed$ ) blue water footprint,  $F_{CUMed}$  [ $Mm^3$ ], for U.S.**  
 94 **Counties. The minimum scenario was constructed applying minimum sector-level**  
 95 **consumption coefficients. The range of uncertainty in the blue water footprint,  $F_{Range}$**   
 96 **[ $Mm^3$ ], for U.S. Counties.  $F_{Range}$  is computed as the range between the highest and lowest**  
 97 **water footprints of the withdrawal-based and three consumption-based scenarios. Absolute**  
 98 **water footprint uncertainties are highest in the west, but relative uncertainties are highest**  
 99 **in the east. (d) Relative water footprint variation tends to increase in the Eastern United**  
 100 **States and county-level water footprint uncertainty can range between 58.2 % in much of**  
 101 **the Western United States to 99.9 % in parts of the Eastern United States.**

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105 **Figure 9.** For many counties, whether a county has a negative or positive virtual water  
 106 **balance varies under the consumptive use scenarios. Counties in blue always have a**  
 107 **negative virtual water balance (AN) and virtual water outflows are always greater than**  
 108 **virtual water inflows. Counties in red always have positive virtual water balances (AP) and**  
 109 **virtual water inflows are always greater than virtual water outflows. Counties in yellow**  
 110 **have borderline-neutral net virtual water balances that depend on the consumptive use**  
 111 **uncertainty (Variable).**

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