# A Spatially Detailed Blue Water Footprint of the United States Economy

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# 1 **Abstract** 2 This paper quantifies and maps a spatially detailed and economically complete blue water 3 footprint for the United States, utilizing the National Water Economy Database version 1.1 (NWED). NWED utilizes multiple mesoscale (county-level) federal data resources from the 4 5 United States Geological Survey (USGS), the United States Department of Agriculture (USDA), the U.S. Energy Information Administration (EIA), the U.S. Department of Transportation 6 7 (USDOT), the U.S. Department of Energy (USDOE), and the U.S. Bureau of Labor Statistics (BLS) to quantify water use, economic trade, and commodity flows to construct this water 8 9 footprint. Results corroborate previous studies in both the magnitude of the U.S. water footprint 10 (F) and in the observed pattern of virtual water flows. Four virtual water accounting scenarios 11 scenarios were developed with minimum (Min), median (Med), and maximum (Max) 12 consumptive use scenarios and a withdrawal-based scenario. The median water footprint 13 (F<sub>CUMed</sub>) of the U.S. is 181,966 Mm³ (F<sub>Withdrawal</sub>: 400,844 Mm³; F<sub>CUMax</sub>: 222,144 Mm³; F<sub>CUMin</sub>: 14 61,117 Mm<sup>3</sup>) and the median per capita water footprint (F'<sub>CUMed</sub>) of the U.S. is 589 m<sup>3</sup> capita<sup>-1</sup> (F'withdrawal: 1298 m³ capita-1; F'cumax: 720 m³ capita-1; F'cumin: 198 m³ capita-1). The U.S. hydro-15 economic network is centered on cities and is dominated by use at local and regional scales. 16 Deleted: the 17 Approximately (58 %) of U.S. water consumption is for the direct and indirect use by cities. 18 Further, the water footprint of agriculture and livestock is 93 % of the total U.S. water footprint, 19 and is dominated by irrigated agriculture in the Western U.S. The water footprint of the 20 industrial, domestic, and power economic sectors is centered on population centers, while the 21 water footprint of the mining sector is highly dependent on the location of mineral resources. 22 Owing to uncertainty in consumptive use coefficients alone, the mesoscale blue water footprint

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uncertainty ranges from 63 % to over 99 % depending on location. Harmonized region-specific,

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25 economic sector-specific consumption coefficients are necessary to reduce water footprint 26 uncertainties and to better understand the human economy's water use impact on the hydrosphere. 27 28 1. Introduction 29 Increasing connectivity through national and global trade has decreased barriers to 30 economic cooperation while concomitantly increasing the susceptibility of the global economy to 31 geophysical and meteorological natural hazards (Castle et al., 2014; Diffenbaugh et al., Deleted: 32 2015; Mann and Gleick, 2015; Vörösmarty et al., 2015). Drought – a condition of perceived water Deleted: Deleted: 33 scarcity created by the collision of a dry climate anomaly and excessive human demand for water that outstrips water availability (Famiglietti and Rodell, 2013; Zetland, 2011) - is one such 34 Deleted: (Famiglietti and Rodell, 2013; Zetland, 2011) 35 natural hazard to which the world is increasingly prone that can impair the production of water-36 intensive goods sold in the global marketplace (Vörösmarty et al., 2000; Joseph et al., Deleted: 37 2008; Seager et al., 2007). Without adequate substitutes for water as an input to production, the Deleted: 38 economic impact of a drought will propagate beyond local hydrological systems, and dependent 39 water-intensive industries, into the global economy. Disruptions to the production and 40 distribution of water-intensive goods, including electricity and other energy sources, have the 41 potential spread across seemingly disparate localities over short time periods and are inherently a 42 coupled natural-human (CNH) system phenomenon (Liu et al., 2007). Understanding our Deleted: (Liu et al., 2007) 43 vulnerability to these types of events requires a synthesis of network theory, hydrology, geoscience, and economic theory into a unified food-energy-water (FEW) system science that is 44

only possible through the novel fusion of comprehensive economic, commodity flow, hydrologic

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and geospatial datasets.

54 Due to global economic connectivity, a drought that diminishes the production and trade 55 in water-intensive goods has consequences for water resources management worldwide. Substitutes for drought-affected agricultural products will have to be cultivated elsewhere by 56 57 bringing new land under cultivation, intensifying production, or replacing existing crops with 58 crops no longer viable in the Western U.S. (Mann and Gleick, 2015; Castle et al., 2014; McNutt, 59 2014). Given the climatic, political, legal, geographical, and infrastructural constraints to 60 developing new water supplies, which exist to varying extents worldwide, the potential solutions to systemic global water resources problems now lie in managing the scarcity, equity, and 61 62 distribution of existing water resources through the global hydro-economic network rather than 63 the large-scale development of new, physical sources of water (Gleick, 2003). Further, the importance of managing the scarcity, equity, and distribution of blue water resources only 64 65 increases as rainwater becomes more variable because the majority of water used for food 66 production in the U.S. is green water (rainwater) (Marston et al., 2018). Physical hydrology and water supply are mostly localized issues of "blue" physical water stocks and flows of both 67 human and natural origin. But the global emerges from the local, and actionable information 68 regarding the scarcity, equity, and distribution of global water resources is attainable only by 69 70 mapping the network of hydro-economic connections at a local level, associated with specific 71 cities, irrigation districts, rivers, and industries. Hydro-economic connections are created through 72 the trade of water-intensive products and can be measured through virtual water accounting and 73 water footprinting. 74 A water footprint is defined as the volume of surface water and groundwater consumed

during the production of a good or service and is also called the virtual water content of a good

or service (Mekonnen and Hoekstra, 2011a). Virtual water, also known as indirect water or

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82 embodied water, has been studied as a strategic resource for two decades as it allows geographic areas (country, state, province, city) to access more water than is physically available (Allan, 1998; Allan, 2003; Suweis et al., 2011; Dalin et al., 2012; Dang et al., 2015; Zhao et al., 2015; Marston et al., 2015). Using NWED data, water footprints of production and consumption can be 86 calculated for U.S. counties, metropolitan areas, and states. A water footprint of production is the total volume of water consumed with a geographic boundary, including water consumption for 88 local use less virtual water export (Mekonnen and Hoekstra, 2011b). A water footprint of consumption is water consumption for local use in addition virtual water import (Mekonnen and 90 Hoekstra, 2011b). This paper presents the first spatially-detailed and economically-complete blue water 92 footprint database of a major country, the U.S., using data from the National Water Economy Database (NWED), version 1.1. The methodological innovations of NWED lie in trade flow downscaling through the novel data fusion of multiple U.S. Federal datasets. This process yields a complete, network-based water footprint database of surface water and groundwater with 96 flexible geographic aggregation from the county-level to international-level for multiple transit modes and trade metrics. NWED is economically complete, to the extent possible, since it utilizes input water data that covers the vast majority of U.S. water withdrawal activities (Maupin et al., 2014). The service industry is included in NWED although we assume virtual 100 water flows resulting from the service industries are de minimus compared to the commodityproducing sectors of the economy and thus do not estimate these flows (Rushforth and Ruddell, 102 2015). NWED contains four consumptive use scenarios – a withdrawal-based scenario, in 103 addition to minimum, median, and maximum consumptive use scenarios. Currently, NWED is

constrained to blue virtual water flows to focus on potential human-mediated intervention points

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105 in the U.S. hydro-economic network. This article is the publication of record for NWED, which 106 is currently housed on the Hydroshare data repository (Rushforth and Ruddell, 2017). 107 With data from NWED, we answer the following research questions: 108 (1) What is the annual blue water footprint of the United States aggregated by 109 economic macro-sector and at the spatial mesoscale (county) level? 110 (2) How does the degree to which a geographic area is urban or rural affect water Deleted: composition 111 footprints, virtual water flows, and net hydro-economic dependencies? 112 (3) Through which ports does the world access U.S. water resources, and vice versa? 113 (4) What are the structural and spatial differences between economic sectors' roles in 114 the U.S. hydro-economy? 115 (5) What is the current mesoscale uncertainty associated with blue water footprints in 116 the United States given current data resources? **Deleted:**, and with the understanding that the U.S. has some of the best data in the world 117 2. Methods 118 2.1. Data 119 If we are to effectively manage the impacts of drought, and other natural hazards, in the 120 21st century, we need a detailed quantitative understanding of the world's hydro-economic 121 network of direct (commodity flow) and indirect connections (virtual water) linking consumers 122 to producers around the globe. We begin with a blue water footprint that includes saline and 123 reclaimed water. We include saline and reclaimed water to fully characterize the U.S. hydro-Deleted: Green 124 economy. Specifically, saline and reclaimed water is used as a direct substitute for freshwater use 125 and is a significant percentage of saline water use for power generation in Florida and the largest 126 nuclear power plant in the U.S., located in Arizona utilizes reclaimed water. Saline water is also 127 becoming an important component of municipal water portfolios in California, Texas, Florida

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and other states. While the inclusion of saline and reclaimed water in NWED is not a doctrinaire interpretation of established blue water footprint methodologies, we do believe it is necessary to these water because these water types or no longer de minimus components of water supply. Additionally, if there are future constraints to utilizing saline or reclaimed water for power production, we will be able to anticipate the future added pressure on blue water resources. We leave green water footprints, and the aquatic ecosystem impacts of water use, to future work. The hydro-economic network constructed in NWED is built from existing commodity flow networks and data, specifically the Freight Analysis Framework version 3.5 (FAF) developed by Oak Ridge National Laboratories for the U.S. Department of Transportation (Southworth et al., 2010; Hwang et al., 2016), which builds upon the U.S. Commodity Flow Survey by statistically modelling the flows of several out-of-scope commodity flows, notably farm-based agricultural flows, natural gas, crude petroleum, and waste. FAF is a detailed U.S. commodity flow database of 43 commodities traded between 123 freight analysis zones (FAZs), roughly equivalent to a metropolitan statistical area, over 8 transport modes. The international component of FAF includes the trade of the 43 commodities by 8 transport modes to 8 international regions. Details of the FAZs, how FAZ-level is derived, commodity classes, and transport modes have been documented elsewhere and, as such, will not be reproduced in this paper (Southworth et al., 2010; Hwang et al., 2016; U.S. Bureau of Transportation Statistics, 2017). Note that prior studies have been published using NWED version 1.0 (Rushforth and Ruddell, 2016). The differences between NWED v 1.0 and 1.1 can be found in the Appendix (A1).

FAZ trade linkages were disaggregated to component counties/county equivalent areas

using production factors on the production side and attraction factors on the demand side.

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Deleted: We include saline and reclaimed water to adequately characterize the water intensity, in terms of water withdrawals and consumption, of the U.S. power grid, but do not consider these volumes of water with respect to our discussion of freshwater hydro-economic vulnerability. It is useful to fully characterize the water intensity of U.S. power grid now because if there are future constraints to utilizing saline or reclaimed water for power production, we will be able to anticipate the future added pressure on blue water resources, which may induce freshwater hydro-economic vulnerability.

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Deleted: FAZ trade linkages were disaggregated to component counties/county equivalent areas using production factors on the production side and attraction factors on the demand side. Production factors were chosen based on the economic function and product of a sector. For example, the production factor for agriculture commodities is the area of cultivated irrigated lands for specific crops (USDA National Agricultural Statistics Service, 2012): the production factor for livestock is the number of livestock operations (USDA National Agricultural Statistics Service, 2012); the production factor for mining is the number of commodity-specific (e.g., coal, metallic, non-metallic, gravel) mines in a county (U.S. Geological Service, 2005) and the production factor for the industrial sector is 4-digit NAICS level employment (Bureau of Labor Statistics, 2012). Currently, the only attraction factor used in NWED is economic demand for commodities (U.S. Census Bureau, 2013).¶ population, which is used as a surrogate for county-level

Production factors were chosen based on the economic function and product of a sector. For example, the production factor for agriculture commodities is the area of cultivated irrigated lands for specific crops (USDA National Agricultural Statistics Service, 2012); the production factor for the livestock sector is county-level livestock and animal sales for cattle, hogs, and poultry (USDA National Agricultural Statistics Service, 2012); the production factor for mining is the number of commodity-specific (e.g., coal, metallic, non-metallic, gravel) mines in a county (U.S. Geological Service, 2005); and the production factor for the industrial sector is 4-digit NAICS level employment (Bureau of Labor Statistics, 2012). Currently, NWED uses population as the only attraction factor (U.S. Census Bureau, 2013), which is as a surrogate for county-level economic demand for commodities and that all residents consume goods equally. Population is an adequate attraction factor in the initial NWED version because it is a robust indicator available for every county in the U.S., but this attraction factor will be subject to further refinement as new NWED versions are developed.

A harmonization procedure has been developed so that commodities in FAF can be grouped into larger economic sectors, such as agriculture, livestock, mining, and industrial sectors to match United States Geological Service (USGS) water withdrawal categories (Maupin et al., 2014), which NWED utilizes as input water data. Water use categories included in NWED input data are public supply, domestic, irrigation, thermoelectric power, industrial, mining, and livestock, which is both livestock operations and aquaculture. Each water withdrawal category is also further subdivided into groundwater and surface water components as well as freshwater and saline components. The USGS water data contains water withdrawal data for both the service and goods/commodity based economy, but NWED currently only contains water

footprint data of the commodity-based economy using a range of empirical, economic sector-

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221	minimum (Min) consumptive use scenarios. Virtual water imports and exports were estimated		
222	using water intensity proxies and detailed in Section 2.10. Future versions will provide detail on		
223	the water-energy nexus, embedded emissions through trade, and the service economy.		
224		Please refer to Appendix (A2) for a Glossary of terms used in this paper and to describe	
225	aspects of the NWED method and analysis in full detail.		
226	2.2.	Temporal Representativeness	
227		Both FAF data and USGS water withdrawal data are collected every five years. However,	
228	FAF data is published for years ending with 2 and 7 (i.e., 2002, 2007, and 2012) and USGS data		
229	is published every half decade (i.e., 2005, 2010). NREL ReEDS modeled power flow data is		
230	available biennially from 2010 to 2050 (Eurek et al., 2016). The current version of NWED		
231	utilize	s FAF data published for 2012 and USGS water withdrawal data published for 2010.	
232	Water	withdrawal data for 2010 captures the beginning of Texas-North Mexico drought that	
233	lasted from 2010 to 2011 (Seager et al., 2014) and is situated between significant droughts in		
234	California between 2007 and 2009 (Christian-Smith et al., 2015) and 2011 to 2014 (Seager et al.,		
235	2015). It is possible that these two hydrologic droughts increased water groundwater withdrawals		
236	and consumption in the U.S. during 2010 calendar year in the southwestern and southcentral U.S.		
237	These data were used as the basis of the county-level U.S. National Water Economy Database		
238	version 1.1 (NWED). The results of this NWED data product are limited in representativeness to		
239	roughly the 2010 – 2012 post-recession timeframe but are not precisely linked to a single year.		
240		The current version of NWED has an annual resolution due to a lack of comprehensive,	
241	sub-ar	unual county-level data. While economic data are available at sub-annual timescales, often	

specific consumptive coefficients. Four scenarios are developed from the USGS water input

data: a withdrawal-based scenario (Withdrawal) and maximum (Max), median (Med), and

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**Deleted:** (Eurek et al., 2016). The current version of NWED utilizes FAF data published for 2012 and USGS water withdrawal data published for 2010.

quarterly, water withdrawal data are not. However, annual water withdrawal and consumption data could be disaggregated to the month scale using median monthly demand curves (Archfield et al., 2009; Weiskel et al., 2010). This lack of data availability does present challenges because there are substantial sub-annual fluctuations in water withdrawal and consumption. Water demands for agriculture and power are highly seasonal and neither the beginning nor the end of a drought coincides with calendar years. For example, the Texas-North Mexico drought began in the latter half of 2010 (Seager et al., 2014). As we further develop NWED, we will develop methods to address this shortcoming, but for now are limited to the annual timescale.

#### 2.3. Geography of NWED

The county-scale of geography and annual-scale of time are the appropriate scales of aggregation for a nationally-scoped water footprint analysis in the U.S. given the available water withdrawal and commodity flow data. For the purposes of planning, policy, and law, especially in the absence of larger cities, counties and county equivalents are socio-political units that effectively define the "local" scale of U.S. society and the economy. Additionally, most services are consumed locally within the county where they are produced. In rural areas, a county is an aggregation of socio-economically similar small towns and agricultural areas. In urban areas, a county is more socio-economically diverse, but its statistical data are dominated by a single major metropolitan area and the county is, therefore, representative of that metropolitan area. While the largest metropolitan areas in the U.S. cover several counties and range from a half million people to over 10 million, counties can still capture the economic diversity within the metropolitan area.

The FAF FAZ is a group of counties that roughly comprise a metropolitan area, reflecting the fact that the commodity distribution infrastructure of the United States is organized as a spoke-and-hub network with major metropolitan areas and their distribution centers as hubs, thus necessitating the need to develop a disaggregation method. FAZ were disaggregated to the county level using best practices from the literature: population as an attraction factor on the demand side and employment levels, the number of agricultural and livestock operations, and the number of commodity-specific mining facilities on the production side (Viswanathan et al., 2008; Bujanda et al., 2014; Harris et al., 2012; De Jong et al., 2004). These data allow for the development of a robust set of disaggregation factors that ensure the production of a commodity occurs only where it is physically and economically possible.

Standardized water use data and water stress data are available nationwide at the county-scale but do not typically exist at finer scales. A spatial unit coarser than the county will fail to capture the dominant hydrological and socio-economic patterns in the water footprint, and a finer spatial unit of analysis is not yet possible due to a fundamental lack of consistent, national data at those scales. If finer scale or more up-to-date data do exist, those data may not be consistent with national data, so consistency becomes a primary quality control issue (Mubako et al., 2013).

Nonetheless, sub-annual and sub-county scale water use, economic production, water stress, and

#### 2.4. NWED Naming Convention.

The general form of a trade linkage (T) in the FAF database is a commodity (c) that flows from an origin FAZ  $(O_o)$  to a destination FAZ  $(D_d)$  over a domestic transport mode  $(k_{dom})$  represented as tons (t), currency (\$), and ton-miles (tm), where o and d are indices for the 123

trade data are all needed to achieve a higher level of detail in the water footprint.

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295 FAZ. Additionally, each c is associated with a broader economic sector (s) that corresponds to 296 the USGS water withdrawal categories. International imports and exports originate from and 297 terminate at one of 8 international origin  $(O_I)$  and destination  $(D_E)$  zones via an international 298 transport mode  $(k_{int})$ . For an import, a c is produced in an international region  $(O_I)$  and flows 299 through a port of entry  $(O_o)$  and then to a  $D_d$  of final consumption. For an export, a c is produced 300 in a  $O_0$  and then exits the U.S. through a port of exit  $(D_d)$  for consumption in an international 301 region  $(D_E)$ . Domestic, import and export trades can be also classified by a trade type index (f)302 Therefore, a trade linkage of a commodity in terms of t, \$, and tm between an origin zone and 303 destination, which may not include a foreign region, can be represented as  $T_{O_I,O_o,D_d,D_E,k_{int},k_{dom},c,f}(t,\$,tm)$ . NWED builds upon FAF by further disaggregating  $O_o$  and  $D_d$  to 304 305 origin  $(I_n)$  and destination counties  $(J_n)$ , respectively, and by adding virtual water, represented generally as (VW). Each row in NWED is trade linkage,  $T_{O_I,O_o,I_n,J_m,D_d,D_E,k_{int},k_{dom},c,f}$ , with a 306 307 corresponding flow of t, \$, tm, and VW that can be aggregated by any combinations of index 308  $O_I \rightarrow f$ . However, we drop all of these subscripts for a simpler derivation of the NWED 309 disaggregation algorithm. NWED retains data for transport mode, tons, currency, and ton-miles 310 as there are NWED use cases outside of virtual water accounting that may utilize mode-specific 311 data or data on \$ or tm flows.

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#### 2.5. Water Footprint of a Geographic Area

The water footprint of a geographic area  $(F_{Total})$  is the sum of the direct water use  $(WU_{v,z})$ virtual water inflows (VW<sub>In</sub>), and virtual water outflows (VW<sub>Out</sub>) (Hoekstra et al., 2012). For example, in NWED, the water footprint of withdrawals of geographic area for all economic sectors is  $F_w = WU_w + VW_{In,W} - VW_{Out,W}$  or alternatively  $F_{Total} = WU_W + VW_{Net,W}$ ,

**Deleted:** ) and net virtual water inflows  $(VW_{In})$  and outflows (VW<sub>Out</sub>) (Hoekstra et al., 2012)

320 where  $VW_{Net,W} = VW_{In,W} - VW_{Out,W}$ . The per-capita footprint is F' and is calculated by

dividing F by the population of the county. Within NWED, the sum of F across all domestic

trade in the U.S. yields  $VW_{In,W} = VW_{Out,W}$  to ensure the water balance is conserved. F and each

of its components are reported for each economic sector within each county in the U.S. in

NWED. The derivation of  $VW_{In,W}$  and  $VW_{Out,W}$  are shown in section 2.6 – 2.8.

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# 2.6. Disaggregating Domestic Trade Flows to the County-Level

The disaggregation method proceeds from the origin side (O), disaggregating to origin counties (I), and then to the destination side (D), disaggregating to destination counties (J). Each O contains a distinct set of one or multiple origin counties  $(I_n)$ , where  $I_n \in O$ , and each D contains a distinct set of multiple destination counties  $(J_n)$ , where  $J_m \in D$ . Further, each county (n or m) within each O and D has a unique production factor (PF) and attraction factor (AF) for each economic sector and, where supported by data, each commodity produced in that county.

Each I and J can be defined as distinct set of unitless PF or AF factors for each commodity,

334  $\{I_n: PF_{c1}, PF_{c2}, \dots, PF_{c43}\}$  and  $\{J_m: AF_{c1}, AF_{c2}, \dots, AF_{c43}\}$ , repectively. Therefore, any  $O_o$  or  $D_o$ 

can be represented by a column vector of  $PF_c$  or  $AF_c$  corresponding to the  $I_a$  or  $J_a$  that belong to

336  $O_o$  or  $D_c$ . Given that the  $PF_c$  or  $AF_c$  define the proportion of production capacity and demand

attraction a county has within a  $O_0$  or  $D_a$ , the sum of the  $PF_c$  or  $AF_c$  for a given  $O_0$  or  $D_a$  must be

338 equal to 1 to conserve mass. Therefore, for a given commodity (c) with an associated sector (s)

and t, s, and tm over 8 transport modes, t,

(1) 
$$O_{o,c} = \begin{bmatrix} I_{1PF_c,O_{o,c}} \\ I_{2PF_c,O_{o,c}} \\ \vdots \\ I_{nPF_c,O_{o,c}} \end{bmatrix}$$
 or  $D_{d,c} = \begin{bmatrix} J_{1AF_c,D_{d,c}} \\ I_{2AF_c,D_{d,c}} \\ \vdots \\ I_{nAF_c,D_{d,c}} \end{bmatrix}$ , where  $\sum_n O_o = 1$  and  $\sum_m D_d = 1$ .

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Disaggregating production from a  $O_o$  that contains counties  $I_{1\rightarrow n}$ ,  $O = \{I_1, I_2, ..., I_n\}$  for a c proceeds as follows:

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$$T_{O_o,D_d,c} \times \begin{bmatrix} I_{1PF_c,O_o,c} \\ I_{2PF_c,O_o,c} \\ \vdots \\ I_{nPF_c,O_o,c} \end{bmatrix} = \begin{bmatrix} T_{I_1,D_d,c} \\ T_{I_2,D_d,c} \\ \vdots \\ \vdots \\ T_{I_nD_d,c} \end{bmatrix}$$

Solving Equation 2 over all  $O_o$  for each commodity disaggregates FAZ-level commodity production to the county-level – from 123 origin FAZs  $(O_o)$  to 3,142 origin counties  $(I_n)$ . A quality control is performed to ensure that no additional mass, currency, or ton-miles are produced for all commodities across all  $O_o$ . After the production-side disaggregation, 3,142 origin counties are linked with 123 FAZ destinations via trade of commodities (c).

Similarly, the goal of the demands-side disaggregation is to disaggregate flows to 123 FAZ to 3,142 counties; however, instead of the relative abundance of industries that produce a specific commodity to disaggregate production, population is used as a simple measure of a county's attraction (demand) of a commodity within a FAZ. It follows that disaggregation on demand side of the O-D trade linkage follows a similar process.

For a  $D_d$  that contains counties  $J_1$  to  $J_n$ ,  $D_d = \{J_1, J_2, ..., j_n\}$  for g produced in an origin county,  $I_n$ , disaggregation proceeds as follows:

$$(3) \, T_{I_{n},D_{d},c} \, \times \begin{bmatrix} J_{1,AF_{c},D_{d}} \\ J_{2,AF_{c},D_{d}} \\ \vdots \\ J_{n,AF_{c},D_{d}} \end{bmatrix} = \begin{bmatrix} T_{I_{n},J_{1},c} \\ T_{I_{n},J_{2},c} \\ \vdots \\ T_{I_{n},J_{m},c} \end{bmatrix}$$

At this point, quality control is performed to ensure that no new mass, currency, or tonmiles are erroneously introduced for all commodities across all  $O_o$  and  $D_d$ . Performing this disaggregation step across all  $I_n$  disaggregates the flows of c in terms of t, s, and tm to be between 3,142 origin counties and 3,142 destinations counties over 8 potential transport modes,

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International flow disaggregation follows the same process; however, the 8 world regions are not disaggregated further and import flows are not further disaggregated into surface water and groundwater. After, import and export flows are disaggregated each world region is connected via a production of consumption trade flow with one of 3,142 U.S. counties flowing through a port of entry or exit.

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#### 2.7. Assigning Virtual Water Flows to Trade Flows

Economic sectors (s) in the FAF database were aligned with water withdrawal sectors

(WU<sub>s</sub>) using the detailed Standardized Classification of Transported Goods (SCTG) definitions

of commodity groups (US Census Buearu, 2006; Dang et al., 2015). County-specific, sector-

level water intensities  $(WI_{I_{n,S},W_{Total}})$  were calculated as the quotient of county-specific, sector-

level water withdrawals  $(WU_{I_{n},s,W_{Total}})$  and county-level, sector-specific commodity production

 $(\sum_{D_d,c} T_{I_n,D_d,c})$  and have the units Mm<sup>3</sup> t<sup>-1</sup>. In the initial step of calculating  $WI_{I_n,S,W_{Total}}$ ,

377 groundwater and surface water withdrawals are summed to a total sector-level water withdrawal

figure for each county ( $WI_{I_{n,S,W_{Total}}}$ ). Virtual water flows are disaggregated back to groundwater

and surface water fractions in a later step.

$$|SSO| \qquad \qquad (4) \qquad WI_{I_n,s,W_{Total}} = WU_{I_n,s,W_{Total}} / \sum_{D_d,c} T_{I_n,D_d,c}$$

 $\frac{VI_{ln,S,W_{Total}} - VI_{ln,S,W_{Total}}/ \sum D_{d}, c I_{ln,D_{d}}}{\text{The resulting } WI_{ln,S,W_{Total}}, \text{ can be interpreted as the state of the state of$ 

The resulting  $WI_{I_n,s,W_{Total}}$  can be interpreted as the average withdrawal-based water intensity of sector-level production.

Next,  $WI_{l_n,s,W_{Total}}$  were multiplied by the corresponding  $T_{l_n,J_m,c}$  to arrive at the virtual water flows by county and commodity by transport mode.

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 $(5) VW_{l_n, J_m, c, W_{Total}} = WI_{l_n, s, W_{Total}} \times T_{l_n, J_m, c}$ 

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The  $VW_{I_n,I_m,c}$  that results from this process assigns water withdrawals to a commodity

based on the tons of a c within a county according to the disaggregated FAF data. Future

versions of NWED will refine this process with additional commodity specific water intensities,

as explained further in section 2.4.

For notational clarity, when  $VW_{I_n,I_m,c,W_{Total}}$  is summed for all unique origin counties  $(I_n)$  the term is simplified to  $VW_{Out,Total}$ . Conversely, when summed for all unique destination counties  $(J_m)$  the term is simplified to  $VW_{In,Total}$ . Additionally,  $WU_{I_n,S,Total}$  summed over all sectors for all unique counties becomes  $WU_{W_{Total}}$ . This notation also holds true for

396 consumption-based virtual water flows.

Minimum (Min), median (Med), and high (Max) water consumption scenarios for each sector in each county were determined by multiplying  $WU_{I_n,s,W}$  by the corresponding sector-level minimum, median, and high consumption coefficients developed by the USGS (Shaffer and Runkle, 2007). Only the methodology for Med consumption scenario is shown below since both

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the  ${\it Min}$  and  ${\it Max}$  consumption scenarios follow an identical calculation process.

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 $(6) WI_{I_{n,S,CU_{Med,Total}}} = (WU_{I_{n,S,W_{Total}}} \times CU_{Med,S}) / \sum_{D_d,c} T_{I_{n,D_d,c}}$ 

403 (7)

 $(7) VW_{I_n,J_m,c,CU_{Med,Total}} = WI_{I_n,s,CU_{Med,Total}} \times T_{I_n,J_m,c}$ 

Owing to these consumption coefficients being developed for the Great Lakes Region, and climatically similar states, the consumption-based virtual water flows in NWED are preliminary and serve as placeholders until region- or county-specific and sector-level consumption coefficients have been developed for the U.S.

Since the USGS water withdrawal data contains data on groundwater and surface water withdrawals for each sector within each county,  $VW_{I_n,J_m,c,CU_{Max,Total}}$ ,  $VW_{I_n,J_m,c,CU_{Med,Total}}$ , and

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 $VW_{I_n,J_m,c,CU_{Min,Total}}$  are split into groundwater and surface water components be multiplying each 416 by the county-specific, sector-specific groundwater withdrawal percentage  $(GW_{l_n,s,pct})$  and surface water percentage  $(SW_{I_n,s,pct})$ . The process is shown below for  $VW_{I_n,J_m,c,s,t,k,CU_{Max}}$ .

(8) 
$$VW_{I_n,J_m,c,CU_{Max,SW}} = VW_{I_n,J_m,c,CU_{Max,Total}} \times SW_{I_n,s,pct}$$

419 (9)  $VW_{I_{n},J_{m},c,CU_{Max,GW}} = VW_{I_{n},J_{m},c,CU_{Max,Total}} \times GW_{I_{n},s,pct}$ 

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After this step, there is a final mass balance check to ensure NWED freight totals match

underlying FAF data and water data match underlying USGS data. NWED contains data detailing 3,142 counties trading 43 commodities with 3,142 counties, as well as 8 world regions, over 8 transport modes and each commodity trade linkage is measured by 15 metrics (The full list of metrics is in the Appendix, A3).

#### **Power Flow Estimation and Disaggregation** 2.8.

The flow of the electricity commodity is not like other commodity flows. There is no mass moved from point A to point B, and there is not a contract associated with such a flow. The concept of power flow is as philosophical as it is physical. However, we know some of the geometrical properties of the power grid. The grid is comprised of the U.S., at the first level of aggregation, of three interconnections: the Western Electricity Coordinating Council (WECC), the Eastern Interconnection (Eastern), and the Electric Reliability Council of Texas (ERCOT), with little transmission of electricity between them. Interconnections do not obey county or state boundaries, or even national borders; Mexico and Canada are participants in WECC and Canada in the Eastern. At the second level of aggregation, the grid is comprised of 134 balancing authorities within which a single authority has responsibility for maintaining a balance between supply and demand and managing power quality. Balancing authorities trade power between

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themselves, but strongly manage these transmission corridors. Within a balancing authority, there is a mixture of power generators, transmitters, and distributors that participate in a complicated web of heretofore uncatalogued contracts using a complex interconnected machine that maintains a constant voltage potential and frequency under variable loads. Adding to this complication is the absence of standardized mesoscale, coupled power generation, transmissions, and power consumption datasets.

Given this unusual situation, we know of at least three methods for estimating the destination and routing of electricity. First, because we can assume there is little trade across an interconnection's boundary, a "mass balance" could be applied within an interconnection's subregions, allocating consumption first to the local generator's region and then in proportion to estimated demand in other regions (e.g. Ruddell et al., 2014). This method is not physically realistic because it ignores transmission constraints and balancing regions but may be a useful approximation especially at coarser spatio-temporal scales. A second method is to follow contracts and payments for electricity and power services. This method provides the closest analogy to the commodity flow model, but the contract and payment data is not currently available. A third method is to perform power flow modeling on a spatio-temporally precise node-network model of the grid that incorporates detailed information about generators, demand patterns, and their economics to simulate power flows as an analogy to commodity trade. We use balancing region power flow modeling for NWED 1.1, disaggregated to the county scale using population.

The power flow data used in NWED is an existing published dataset produced using the Regional Energy Deployment System (ReEDS), which is a long-term power flow model to evaluate capacity-expansion, technology deployment, and infrastructure deployment in the

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contiguous U.S (Macknick et al., 2015; Eurek et al., 2016; Cohen et al., 2014). Only for the electrical power production sector, NREL data on water withdrawal and consumption data were used instead of USGS water withdrawal data to estimate the water withdrawal and consumption associated with power generation and flow (Macknick et al., 2012; Macknick et al., 2015).

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ReEDS data contains both power generation by balancing authority and power inflows and outflow between balancing areas over sub-annual time periods. Balancing authorities are areas larger than counties. To harmonize with NWED and disaggregate ReEDS data from the balancing authority to the county-level, the model's production numbers are disaggregated proportionally using the heat content of fuel consumption for electricity for each county's power plants (Energy Information Administration, 2017) and electricity demand is disaggregated proportionally by population.

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In addition to error introduced in disaggregation, power wheeling within balancing regions is a significant portion of power flow, and this is another source of error (Bialek, 1996a; Bialek, 1996b; Bialek and Kattuman, 2004). To help compensate for the effect of wheeling on the water footprint of electricity, the water intensity of a power outflows from each balancing area was taken as the source-weighted average of the water intensity of power generation and power inflows. Therefore, virtual water outflows from a county in NWED 1.1 is the virtual water outflow associated with wheeled power through a balancing area (including power originating from this area's generation) in addition to virtual water outflows associated with power generation within that county. Taking into account these modifications to the standard virtual water methods employed elsewhere, virtual water flows were estimated according to the methods in sections 2.5 – 2.6.

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## 2.9. Urban-Rural Classification

Each county in the U.S. can be categorized using numerous classification schemes. For this paper, and for the purpose of understanding rural-to-urban transfers of virtual water in the U.S., we have classified each county in NWED by the National Center for Health Center for Health Statistics (NCHS) Urban-Rural Classification Scheme for Counties (Ingram and Franco, 2012). Within this classification scheme, counties are first separated into metropolitan and non-metropolitan counties. Metropolitan, or urban, counties are then further classified as Large Central Metro counties (*Central*), Large Fringe Metro counties (*Fringe*), Medium Metro counties (*Medium*); and Small Metro counties (*Small*). Generally, large counties have greater than 1 million people; medium counties have between 250,000–999,999 people; and small counties contain less than 250,000 people. Non-metropolitan, or rural, counties are divided into Micropolitan (*Micro*) counties (population between 10,000–49,999 people) and non-core counties are counties with a population too small to be considered micropolitan counties. Each county-to-county trade linkage has been classified and aggregated by the NCHS Urban-Rural Classification Scheme for Counties to understand urban to rural virtual water transfers (Section 3.1).

# 2.10. Simplifying Assumptions and Limitations

NWED water footprints, by necessity, are multiple water sources and types beyond simply groundwater and surface water. Saline and brackish water are non-trivial components of U.S. water use, comprising about 14% of total water withdrawals – specifically, power generation in Florida, mining in Texas and Oklahoma (Maupin et al., 2014). Thus, saline water is

a non-trivial component of the U.S. hydro-economy. For example, only 71 % of power

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generation in the U.S. is from freshwater sources and the remaining fraction of water use for power generation is comprised of saline, brackish, and reclaimed water. (Maupin et al., 2014).

Neglecting non-freshwater sources would underestimate the water intensity of the power grid.

Reclaimed water is a direct substitute for fresh water, and brackish water is a substitute in some

Reclaimed water is a direct substitute for fresh water, and brackish water is a substitute in some cases, so it is difficult to draw a clear line between included and excluded water withdrawals.

Considering the entire U.S. hydro-economy, 15 % of water withdrawals are saline. However, the

inclusion of non-freshwater sources does not impact the agricultural virtual water flows as no

saline water withdrawals are reported in this sector. For simplicity in this paper, commodity-

based virtual water flows are reported as 'blue water' even though we incorporate additional

types of water beyond freshwater. Power flow-based virtual water flows are presented summed

over all water types - not just freshwater. The freshwater footprint of electricity is somewhat

smaller than the total water footprint, and this difference is larger on the coasts and in the West.

The current version of NWED uses national average U.S. water use efficiencies to estimate international virtual water flows. The first reason for this choice is data consistency. While the USGS water use data does contain some interstate variability due to data reporting methods, the variability is no doubt far smaller than international variability in data reporting methods among countries that mostly lack formal water census programs. Secondly, the U.S. is a large, and geographically, agronomically, climatically, and economically diverse country; water use efficiencies vary dramatically from region-to-region and sector-to-sector. This internal variability captures a large range of the world's variability. Third, the U.S.'s water use efficiency is near the middle of the international range. According to World Bank data, the U.S.'s average per GDP water use productivity between 2005–2015 was in the 65th percentile of reporting

countries (World Bank, 2017). Fourth, the USGS presents comprehensive water withdrawal data

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for all types of mining products, which are an important import to the U.S. Finally, since NWED is U.S.-centric, this method normalizes virtual water flows to U.S. water efficiencies, allowing for a 1:1 equivalency between the volume of virtual water traded by the U.S. to the volume of virtual water flowing internally (Rushforth et al., 2013). In other words, 1 unit of water use outsourced from the U.S. via virtual water imports directly offsets and substitutes for 1 unit of water used in the consuming U.S. location; this is a useful comparison also employed by other studies in the literature (Mayer et al., 2016).

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From the USGS water withdrawal data, we use total, surface water, and groundwater withdrawals from each county. The sum of all withdrawals in a county is the direct use component of that county's Water Footprint  $(\sum_s WU_{I_n,s,W_{Total}}, or WU_{Total})$ .  $WU_{Total}$  is the sum of agriculture  $(WU_{I_n,Ag,W_{Total}})$ , not including the irrigation of golf courses; industrial  $(WU_{I_n,Ind,W_{Total}})$ , which is estimated by taking the sum of industrial withdrawals and the difference between water withdrawal for public supplies and domestic uses by water systems; mining  $(WU_{I_n,Min,W_{Total}})$ ; and livestock, which includes livestock and aquaculture withdrawals  $(WU_{I_n,Liv,W_{Total}})$ .  $WU_{I_n,W_{Total}}$  is also known as the Water Metabolism of a county (Kennedy et al., 2015). Total, surface water, and groundwater water footprints within a county match the standard Water Footprint Accounting definition of the water footprint of a geographic area (Hoekstra et al., 2012). For withdrawal-based water footprints, we assume 100 % consumptive use (consumption coefficient CU = 1), forcing USGS-estimated water withdrawals equal to the direct use component of the Water Footprint, WU. Sector-level consumption coefficient data do exist, but these data are specific to the Great Lakes region of the U.S., and climatically similar states, and have large uncertainty ranges (Shaffer and Runkle, 2007). Due to the large uncertainties involved with the consumption coefficients, we have attempted to estimate the

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uncertainty associated with consumption by using three consumption coefficients for each sector – a minimum (*Min*), median (*Med*), and maximum (*Max*) (Table 1). The uncertainty introduced by the consumption coefficients, and how it propagates when applied over a trade network, is presented in Section 3.5. Future work can augment NWED by developing more accurate consumption coefficients estimate for all counties, or regions, in the U.S. for all economic sectors. NWED contains the following assumptions regarding water use categories: (1) USGS aquaculture and livestock are combined into one category since specific commodity codes includes both live meat and fish and because aquaculture is a *de minimus* water use compared to livestock; (2) USGS industrial water supply is calculated to include the component of public water supply that is not for domestic household consumption in addition to industrial water withdrawals; (3) each water use category includes both publically-supplied and self-supplied withdrawal figures; and (4) while virtual water flows associated with water use categories outside the scope of the FAF commodity flow database are neglected, direct water use is accounted.

With respect to (4), this specifically includes flows of services and labor across county or regional lines (Rushforth and Ruddell, 2015). There is a substantial absolute error introduced by zeroing virtual water flows out from counties that export services and FAF-ignored goods, and this error causes urban areas' net water footprints to be overestimated (and rural areas' to be underestimated by exactly the same amount). Water balances *WU* are unchanged. However, this error is small in relative terms because these sectors are a small part of total virtual water flows when compared with agriculture, power, and major industry. Labor and services are consumed largely within their county of production. Important exceptions may possibly include the financial services sector, which tends to be national and global in its trading patterns.

A limitation in the underlying FAF data is that an assumption must be made that commodity production occurs at the origin and commodity consumption occurs at the destination. Therefore, we must assume that there are no pass-through commodity flows. To the extent possible in the underlying data, this is controlled for at international ports because pass-through commodity flows are identifiable from commodity flow to or from the city in which the port is located. However, domestic pass-through commodity flows are not identified in the current version of NWED. A method to estimate pass-through commodity flows using input-output methods is under development and will be included in the next version of NWED.

Future iterations of the NWED power flow dataset will utilize purpose-built nodenetwork power flow models developed at the county-level to differentiate between power outflows into generated power and wheeled power for each county.

#### 3. Results

## 3.1. U.S. Water Footprint Statistics

The median annual water footprint,  $F_{CUMed}$ , of the U.S. is 181,966 Mm³ ( $F_{Withdrawal}$ : 400,844 Mm³;  $F_{CUMax}$ : 222,144 Mm³;  $F_{CUMin}$ : 61,117 Mm³). On per-capita basis, the median U.S. water footprint ( $F'_{CUMed}$ ) is 589 m³ capita⁻¹ ( $F'_{Withdrawal}$ : 1298 m³ capita⁻¹;  $F'_{CUMax}$ : 720 m³ capita⁻¹;  $F'_{CUMin}$ : 198 m³ capita⁻¹). Counties with the largest  $F_{CUMed}$  are often metropolitan areas with large populations or regionally-significant cities with neighboring counties that are heavily agricultural – Los Angeles County, California (L.A.); Harris County, Texas (Houston); Ada County, Idaho (Boise); Maricopa County, Arizona (Phoenix); and Fresno County, California (Fresno) (Fig. 1; withdrawal-based results are presented in the Supplemental Information.). On a per capita basis, the U.S. water footprint is smallest for urban areas, where  $F'_{CUMed, Urban}$  is 282 m³ capita⁻¹ ( $F'_{Withdrawal, Urban}$ : 828 m³ capita⁻¹;  $F'_{CUMax, Urban}$ : 399 m³ capita⁻¹;  $F'_{CUMin, Urban}$ : 97 m³ capita⁻¹) and

621 largest for rural, agricultural counties F'<sub>CUMed, Agriculture</sub> is 1,053 m³ capita<sup>-1</sup> (F'<sub>Withdrawal-Basis</sub>, 622 Agriculture: 1,927 m³ capita<sup>-1</sup>; F'<sub>CUMax, Agriculture</sub>: 1,217 m³ capita<sup>-1</sup>; F'<sub>CUMin, Agriculture</sub>: 344 m³ capita<sup>-1</sup>). 623 NWED results are comparable to previous water footprint studies for the U.S. For 624 example, Mekonnen and Hoekstra estimated the U.S. blue and grey water footprint to be 320,496 625 Mm<sup>3</sup> and 874 m<sup>3</sup> capita<sup>-1</sup> (Mekonnen and Hoekstra, 2011a), which is the closest equivalent to the 626 water sources used NWED. The Mekonnen and Hoekstra U.S. water footprint figures sit roughly 627 between the  $CU_{Max}$  and withdrawal-based (CU = 1) NWED scenarios. Further, results from 628 NWED corroborate previous studies in both the magnitude of the U.S. water footprint and in the 629 observed pattern of virtual water flows to cities concentrated in water-intensive irrigated 630 agricultural and industrial goods (Rushforth and Ruddell, 2015; Zhao et al., 2015; Hoekstra and 631 Wiedmann, 2014). Vital water footprint statistics are presented in Table 2 for the U.S. in addition 632 to urban (Central, Fringe, Medium) and rural (Small, Micro, Non-Core) counties. 633 Counties in California's Central Valley - Fresno County and Tulare County located in 634 the southern part of the Central Valley - have the largest virtual water outflows of any county in 635 the U.S. Overall, the western U.S., the High Plains, the Mississippi Embayment, Texas Gulf Coast, and Florida provide the U.S. with virtual water exports. Coincidentally, all these source 636 637 regions are highly prone to either drought or flooding (production-level uncertainty). Large 638 virtual water outflows are often counterbalanced by nearby virtual water inflows within the same 639 county (Fresno County, California) or region, as is the case with Fresno County, California, Pinal 640 County, Arizona (net outflows from irrigated agriculture) and neighboring Maricopa County (net 641 inflows to the Phoenix Metropolitan Area) and Brazoria County, Texas (net outflows from 642 irrigated agriculture) and Harris County (net inflows to the Houston Metropolitan Area) in 643 Texas. In general, we find that the water supply chain, especially the step of the chain bringing

agricultural products from the farm to handling and processing facilities where these products become 'food' is mostly local and regional with a smaller but still significant transnational and international water supply chain.

# 3.2 Urban Dependencies on Rural Virtual Water

Circular virtual water flows – virtual water flows that originate and terminate within the same county – are highest for urban counties (Fig. 2). Conversely, rural counties often have small water footprints regardless of the presence of a large water-intensive industry, because rural populations do not consume the majority of the goods produced in those regions. If such an industry were present in a rural county, much of the water withdrawn flows out of the county as virtual water, thus counterbalancing the large withdrawals. Counties that are in the middle of the urban-rural spectrum, often a medium-to-small metropolitan area, rely heavily on agricultural products as an economic input and tend to have the largest virtual water inflows of all U.S. counties. Medium to small cities tend to be food processing hubs where farm goods are transformed into 'food.' and NWED assigns irrigated agricultural blue water footprints to these hubs. We recognize that this framing of the economy emphasizes different parts of the supply chain than previous studies and are developing methods for supply chain harmonization.

The central counties of large metropolitan areas (*Central*) tend to source virtual water equally across the urban-rural spectrum with a slight increase in virtual water sourcing from more medium metropolitan areas and rural counties. However, there is a comparatively small return flow of virtual water from large metropolitan areas back to counties with smaller populations (Table 3). Instead, virtual water originating from counties associated with large

metropolitan areas tend to remain within that county as a circular flow or flow to other large metropolitan areas, enlarging the net VW inflow of large metropolitan areas.

One such county is Maricopa County, the central county of the Phoenix metropolitan area, which a "local water" hotspot where most of the water used in the community "stays local" in the form of locally consumed virtual water flowing to other users in the same community. This means the community is employing its blue water resources primarily for the hydroeconomic benefit of its local consumers and businesses. It also means that this community's dependency on its own local water resources is amplified through self-dependence, so any disruption to local water supplies in Phoenix will have a positive feedback loop on that city's economy (Rushforth and Ruddell, 2015). The Phoenix metropolitan area is notable as a major city and population center that is simultaneously a large user of irrigation water for the production of agricultural commodities, including locally consumed food products. Phoenix is also relatively isolated geographically from other metropolitan areas and therefore keeps more of its metropolitan area's virtual water within the local boundary, unlike east coast cities where intra-metro trade and virtual water flows are more prevalent.

Counties that are associated with medium-sized metropolitan areas (*Medium*) break from large cities' and their fringes and take on a different role in the system. While medium metropolitan areas are by no means small, with a population between 250,000–999,999, they are often co-located with large agricultural areas. For example, Ada County, Idaho (Boise metro area), Fresno County, California (Fresno metro area), or Kern County, California (Bakersfield metro) are all counties that contain medium-size metropolitan areas that are co-located with intense agricultural production. In these counties, virtual water tends to be sourced from counties that are as rural as the place of consumption or more rural. Medium-sized metropolitan areas, in

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particular, are the largest destination of virtual water from rural America while also being one of the largest sources of virtual water for the U.S., especially large metropolitan area – effectively linking rural and urban counties. The medium-medium urban connection is the largest link in the U.S. virtual water flow network, and this link is dominated by the heavy industrial and bulk agricultural and processed food goods that do not tend to be produced by highly rural or densely urban areas. On a per capita basis, the Medium class of city is the core of the U.S. hydroeconomic network. County-level virtual water flow data show that there is an urban-rural divide, suggesting that there is a fundamental difference in the roles of large urban areas, medium urban areas, and more rural communities in the U.S. hydro-economic network.

virtual water sink or source depending on the degree to which a county is rural or urban. Structurally, the agricultural sector is the bulk of the rural-to-urban transfer of virtual water (59,119 Mm³), but rural-to-rural and urban-to-urban virtual water flows are also significant (53,731 Mm³ and 27,743 Mm³, respectively). While similar, the livestock sector constitutes a minority of the rural-to-urban transfer of virtual water (6,100 Mm³) but has little to no impact on virtual water exports. Due to the structure of the underlying commodity flow dataset, the livestock sector only includes on-site water consumption at livestock operations. Inclusion of

In the U.S. hydro-economy, economic sectors have different structural roles as either a

mining sector is more geographically-dependent and regional on the location of resources and infrastructure. Therefore, while rural-to-urban virtual water flows are the largest within this sector (337 Mm<sup>3</sup>), rural-to-rural and urban-to-urban virtual water flows are also prominent (175

water usage for livestock feed would, no doubt, increase virtual water transfers related to the

livestock sector and a method to do so is under development for the next NWED version. The

Mm³ and 165 Mm³, respectively). In the power sector, the largest virtual water flow is from

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rural-to-rural (159 Mm³) followed by urban-to-urban (22 Mm³) and rural-to-urban (13 Mm³). While there are large water withdrawals associated with the power sector, water consumption is relatively low compared to other sectors. Since the results presented are for the  $CU_{Med}$  scenario, the power sector virtual water flows are small relative to the other sectors. Finally, the industrial sector is primarily urban-to-urban virtual water transfers. Rural-to-urban virtual water transfers would only become more pronounced if Medium metropolitan areas were considered to be rural counties. While there is subjectivity to whether a county is rural or urban, especially in the middle of the urban-rural spectrum, the predominant flow of virtual water is from rural counties to urban counties.

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# 3.3 U.S. International Virtual Water Imports and Exports

Americas) and Europe.

Overall, the U.S. is a net virtual water exporter, which qualitatively agrees with the findings from previous international virtual water flow studies (Water Footprint Network, 2013); the virtual water balance of the United States is -4,693 Mm<sup>3</sup>. However, while our virtual water balance results agree qualitatively with previous studies, the magnitude of virtual import and export in NWED is an order of magnitude lower than previously published international virtual water trade data (Water Footprint Network, 2013). Potential reasons for this discrepancy are discussed in Section 3.6. Of the 8 world regions in NWED, the U.S. is a net virtual water exporter to each region, indicated by the negative virtual water balance (Table 4). The U.S. has the largest negative virtual water balance with Eastern Asian (-2,081 Mm<sup>3</sup>) and Mexico (-1,215 Mm<sup>3</sup>). The U.S. is a net importer of virtual water from Central and South America (Rest of

**Deleted:** (Water Footprint Network, 2013)

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Virtual water export from the U.S. is mostly agricultural commodities, such as corn, wheat, alfalfa, for which the U.S. is a net exporter (Marston et al., 2015; Hoekstra and Wiedmann, 2014) and mining products, such as metallic and non-metallic ores. Major virtual water exporting regions are the Central Valley of California; the deserts of California and Arizona; the High Plains, including the Ogallala Aquifer Region, the Arkansas River Basin, and the Platte River Basin; the Columbia River Basin in the Pacific Northwest; Central Nevada; the Texas Gulf Coast; the Upper Missouri River Basin in Montana; Central and Southern Florida; and the Mississippi Embayment (Fig. 3). Many of these areas are major sources of virtual water domestically within the U.S.; however, these results show that some areas such as southwestern Idaho, Wyoming, and central Utah and New Mexico operate primarily in the domestic market, and other regions such as central Nevada (metallic ores) and western Washington (non-metallic ores) are more prominent in the international market.

The majority of virtual water exports from the United States flow through ports along the Gulf Coast (Houston, New Orleans, Corpus Christi, Beaumont) and the West Coast (Los Angeles/Long Beach, Washington State, San Francisco, Seattle, Portland). The ports of Los Angeles and New York City receive the highest volume of virtual water imports followed by Houston and Detroit. Due to where goods for export are sourced within the U.S., a world region (or country) may receive a higher proportion of virtual water that originated as surface water or groundwater. For example, virtual water flows through ports in the Houston metropolitan area are dominated by groundwater sources in the Ogallala Aquifer Region, the Mississippi Embayment aquifer system, and to a lesser extent the Central Valley of California, local groundwater sources, and southern Arizona (Fig. 4). Mexico, Africa, and Southwest and Central Asia are the only world regions that received more virtual water in that originated as

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groundwater (Table 5; Fig 5); suggesting that exports to these regions are <u>potentially</u> vulnerable to unsustainable, long-term groundwater management <u>in the U.S. than annual fluctuations in</u> surface water availability and drought (Marston et al., 2015).

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While we do not address surface or ground water sustainability, vulnerability, or overdraft specifically in this paper, it is certainly desirable to combine these results with quantification of water storage and water availability, for the purpose of policy analysis. Conversely, Canada, Latin America, Europe, and Asia and Oceania have more exposure to surface water fluctuations and drought but are less exposed to unsustainable groundwater management in the U.S. Given that the U.S. is a large hydrologically, agronomically, and climatically diverse country, it is not surprising that the type of water, surface water or groundwater, which an international trading partner may depend on varies based on which part of the U.S. is accessed and thus potentially causing two trading partners to have vastly different virtual water risk profiles.

# 3.4 Structural and Spatial Differences in Economic Sector Water Footprints

The U.S. water footprint is predominantly <u>determined by</u> the production, manufacture, and distribution <u>of</u> food. The agriculture (154,349 Mm³) and livestock (15,917 Mm³) economic sectors comprise 93 % of the U.S. water footprint (181,966 Mm³), with the agriculture economic sector alone comprising 87 % of the U.S. water footprint. Overall, the agriculture and livestock water footprint is concentrated in the Western U.S., where there is a heavy dependence on irrigated agriculture to raise crops for human and animal consumption.

For agriculture, the Central Valley of California, the Front Range of Colorado, Central and Southern Arizona, and the Snake/Columbia River Valley are significant geographic regions

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**Deleted:** While we do not address groundwater overdraft specifically in this paper, as future versions of NWED, and related research efforts, build out time series data for the U.S. FEW system, exposure to vulnerability due to groundwater overdraft will be explored further

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Deleted: Given the location of agricultural production and port cities in the United States, food exports are vulnerable to shocks that affect both food production and distribution Droughts, such as the one that affected California in 2015 can create production-level risks due to the uncertainty of surface water supply or depletion. Specifically, this type of natural hazard has the potential to impair agricultural production in California's Central Valley; the southwest United States, particularly southern California and Arizona; the Pacific Northwest, particularly western Washington and Oregon; the High Plains; and the Mississippi Embayment. For global commodity distribution, the Gulf Coast ports of Houston, Corpus Christi, New Orleans are especially vulnerable to hurricanes as are ports along the Eastern Seaboard such as Miami, Savannah, Norfolk, and New York. While damage from hurricanes could interrupt the flow of goods for days to months, natural hazards along the Pacific Coast could create longer-term interruption. The potential for catastrophic earthquakes along the Cascadia Subduction Zone in the Pacific Northwest and the San Andreas Fault Line in California has increased over time and could impact any port city along the Pacific Coast. Finally, all port cities in the United States are vulnerable to sea-level rise, which would impact both the economic activity within the port city and operational activities at the ports.

where food is grown and where irrigation is a requisite for growing crops (Fig. 6a). Where irrigated agriculture is not as prevalent, urban centers are moderate water footprints as they serve as regional distribution for food (Omaha, Nebraska; Wichita, Kansas; Dallas, Houston, and Brownsville, Texas; New Orleans, Louisiana; Northwest Arkansas; and Central Florida). The U.S. livestock footprint is more concentrated on the west coast U.S. and Snake River Valley of Idaho; however, on the east coast, the Carolinas have the largest livestock water footprint (Fig. 6c). Outside these areas, the U.S. livestock water footprint is concentrated around cities where there is a relatively large inflow of virtual water with little to no virtual water outflows. Unlike the U.S. water footprint of agriculture and livestock, in which both rural and urban counties play significant roles, the U.S. industrial water footprint (Fig. 6b), and to the same extent the U.S. water footprint of and power production and flow and domestic water consumption (Fig. 6e and 6f), is dominated by urban areas. Not surprisingly, domestic and industrial water use is highly co-located with urban areas as are virtual water inflows and outflows. Major nodes in the U.S. industrial water footprint network are Chicago, Illinois; Houston and Dallas, Texas; Los Angeles California; Seattle, Washington; Phoenix, Arizona; Las Vegas, Nevada; the Boston-Washington Corridor; Central and Southern Florida; and each major metropolitan area east of the Mississippi River. While the same areas are important in the domestic water footprint, the U.S. southwest - Southern California, Central and Southern Arizona, and Las Vegas, Nevada – have the largest domestic water footprints. The U.S. mining water footprint is highly dependent on the location of mineral resources in addition to processing facilities and distribution hubs. Some geographic regions with substantial mining water footprint do not have a significant water footprint in other sectors; for

example, northern Alaska; west Texas; the Gulf Coast; Oklahoma; North Dakota; northern

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Michigan and Minnesota; and parts of Nevada, Montana, Utah, New Mexico, and Wyoming (Fig. 6d). Southern California, and to a lesser extent Southern Arizona, is an exception to this because these are regions with substantial mining activity – oil and gas in Southern California and hard rock mining in Arizona – that are co-located with agricultural and industrial production in addition to high domestic water consumption.

The net export status of a county matters because a net virtual water exporter may have a very different approach to national water policy discussions than a net importer (Fig. 7). The (usually medium-sized) communities that sit in between the net-importing and net-exporting categories may take a distinct and more balanced position on national policy. Agricultural western communities tend to be net exporters, urban communities tend to be net importers, and rural eastern communities tend to be relatively neutral; midsize urban communities, such as those commonly found in the Midwest and East, may be relatively neutral as well.

#### 3.5 Uncertainty Introduced by Consumption Coefficient Estimates

At the county-level, blue water footprint uncertainties introduced by consumption coefficients range several orders of magnitude in Mm³ and relative percent (Fig. 8). The small rural counties of Bristol Bay Borough, Alaska and Kenedy County, Texas have the smallest water footprint uncertainties (<0.50 Mm³). Los Angeles County, California has the largest water footprint uncertainty (4,050 Mm³). After Los Angeles, 3 counties have a water footprint uncertainty between 3,000 – 4,000 Mm³; 7 counties have a water footprint uncertainty between 2,000 – 3,000 Mm³; 42 counties have a water footprint uncertainty between 1,000 – 2,000 Mm³; and 79 counties have a water footprint uncertainty between 500 – 1,000 Mm³. In relative terms, county-level water footprint uncertainty is 58.2 % – 99.9 % of a county's total water

872 withdrawals. Relative water footprint variation tends to increase in the Eastern United States. However, in absolute terms, consumption coefficient variation is more important in the western 873 874 U.S. due to the potentially large variation in virtual water outflows from the U.S.'s largest virtual 875 water sources. 876 A community's role in the hydro-economic network, and its perspective on hydro-economic policy issues, can qualitatively change depending on our uncertainty. Uncertainties introduced by 877 878 the consumption coefficients, which are quite large in absolute terms, roughly 17 % of U.S. 879 counties can switch between roles as a net virtual water importer and exporter (+ or - VWBalance) 880 depending on the consumptive use assumptions (Fig. 9). 881 Results using the withdrawal-based (CU = I) scenario are located in the Supplemental 882 Information (Table SI 4-D). Deleted: 883 884 3.6 Uncertainty in International Virtual Water Flow 885 As mentioned in Section 3.4, there are several potential reasons for the discrepancy in the 886 magnitude of virtual water flows. First, there are differences in the underlying source data for 887 international trade and water use. NWED utilizes commodity flows modeled by FAF, which 888 itself utilizes Census Foreign Trade Data for 2010 (Southworth et al., 2010; Hwang et al., 2016), Deleted: 889 while benchmark international virtual water trade studies utilized trade data from the 890 International Trade Centre averaged between 1996-2005 (Water Footprint Network, 2013). 891 Additionally, the source water data for the U.S. are different. NWED utilizes USGS water 892 withdrawal data, which is self-reported with state-level variations (Marston et al., 2018; Maupin 893 et al., 2014), benchmark international virtual water trade studies utilized CROPWAT modeling 894 (Water Footprint Network, 2013). Secondly, despite controlling for port influences, it is likely

that more virtual water is attributed to ports than necessary, which would dampen international virtual water flows in NWED. NWED has difficulty handling 'flow through' virtual waters flow that would be otherwise assigned to a point of final consumption. In this case, a flow through entity may be assigned virtual water flow at the port or another distribution hub. Lastly, previous international virtual water studies included the water use of inputs in the virtual water flow of a commodity, e.g., the water consumption for animal feed as part of animal products related virtual water flow. A method to handle this is under development for the next version of NWED. While there are disadvantages to the current method in which international trade is modeled in NWED, methods to improve this aspect of the data product are ongoing and there is data structure in place to merge additional international trade flow datasets with the current NWED data structure.

#### 3.7 Temporal Uncertainty

As mentioned previously, the NWED data are limited in representativeness to roughly the 2010 – 2012 post-recession timeframe but are not precisely linked to a single year. Temporal uncertainty is introduced by utilizing annual timescale data. Given this, NWED data are more directly relevant to surface water management than to groundwater management because surface water has months to a few years of storage, and groundwater has centuries of storage, but in the future we could use this data to analyze sustainability and vulnerability of water usage.

## 4. Conclusions

Mekonnen and Hoekstra reported that the U.S. combined blue and grey water footprint, which is the closest equivalent to the water sources used NWED, to be 320,496 Mm<sup>3</sup> and 874 m<sup>3</sup> capita<sup>-1</sup> (Mekonnen and Hoekstra, 2011a). Results from NWED, which uses 4 consumptive use

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921	scenarios, for the median annual water footprint, $F_{CUMed}$ , of the U.S. is 181,966 Mm <sup>3</sup> ( $F_{Withdrawal}$ :	
922	400,844 Mm <sup>3</sup> ; $F_{CUMax}$ : 222,144 Mm <sup>3</sup> ; $F_{CUMin}$ : 61,117 Mm <sup>3</sup> ). On a per-capita basis, results from	
923	NWED found the median U.S. water footprint ( $F'_{CUMed}$ ) is 589 m <sup>3</sup> capita <sup>-1</sup> ( $F'_{Withdrawal-Basis}$ : 1298	
924	m³ capita-1; $F'_{CUMax}$ : 720 m³ capita-1; $F'_{CUMin}$ : 198 m³ capita-1). Given these statistics, the reported	
925	Mekonnon and Hoekstra water footprint and per capita water footprint falls between the	
926	withdrawal-based ( $CU=1$ ) and maximum consumptive use coefficient ( $CU_{Max}$ ) scenarios.	
927	Depending on the assumptions about consumptive use at the economic-sector level, these two	
928	datasets are in rough agreement regarding the <u>magnitude</u> of the U.S. water footprint.	Deleted: scope
929	The uncertainty introduced by water use data and consumption coefficients demonstrate	Moved (insertion) [1]
930	the great need for the development of region-specific, sector-level water use data and	
931	consumption coefficients for the entire U.S. For example, water footprint uncertainty is roughly	
932	58 % to over 99 % of a county's total water footprint, which increases in the eastern United	
933	States. However, in absolute terms, consumption coefficient variation is more important in the	
934	western U.S. due to the potentially large variation in virtual water outflows from the agricultural	
935	sector with largest blue water withdrawals. While we have presented results for the CU <sub>Med</sub>	Formatted: Font: Italic
936	scenario in this paper, we must recognize the potentially large variation in water consumption	Formatted: Font: Italic, Subscript
937	that could exist compared to what is reported. Therefore, conclusions drawn from NWED data,	
938	as well as those drawn from the underlying water data, must recognize the large range of	
939	uncertainty with respect to water withdrawal and consumption in the U.S. Nevertheless, there are	
940	still general observable trends in U.S. virtual water flows and water footprints, which are	
941	presented below,	Deleted: ¶
942	The U.S. hydro-economic network is centered on cities and is dominated by the local and	

regional scales of trade, with medium-sized cities playing a disproportionate role. The proper

framing of water governance and policy may be proportional to the structure of that network. Large cities source from all sizes of communities, but small and rural communities mostly source from other small communities, leading to a structural difference between the diversity and connectivity of urban and rural water supply chains. Further, medium-size metropolitan areas have a unique role in the U.S. hydro-economic as the link between rural virtual water production and urban virtual water consumption and are the most important single scale of community in the network. The U.S. hydro-economic network's connections and power structures are primarily local and regional except for the large metropolitan areas that operate at the national level and large-city ports that operate at the international level. This scale-specific finding is novel because most prior work on water footprints focuses on international trade.

counties: for the *CU<sub>Med</sub>* scenario, there is a virtual water transfer of 114,953 Mm<sup>3</sup> from rural counties to urban counties, roughly a third of all virtual water flow in the U.S., with only a 33,876 Mm<sup>3</sup> return flow of virtual water. However, there is also strong urban-to-urban hydro-economic dependence. The virtual water transfer between urban counties is of the same magnitude as the rural-to-urban virtual water transfers (111,458 Mm<sup>3</sup>). Taken together, rural-to-urban and urban-to-urban virtual water flow accounts for approximately 58 % of U.S. domestic virtual water flow, illustrating the urban demand for not just water-intensive food sourced from

Within the U.S., urban counties have a strong hydro-economic dependence on rural

developed by frameworks to characterize catchment-level water use regimes (Weiskel et al.,

rural counties, but also water-intensive power and industrial products sourced from urban

2007) to hydro-economic networks. Specifically, NWED data can provide a socio-hydrological

counties. Further work on characterizing county-level virtual water flows can extend the logic

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extension to previous work on hydroclimatic regime classification in the U.S. (Weiskel et al., 2014).

The networked structure of water footprint sources creates systemic exposure to surface water scarcity and groundwater unsustainability at virtual water source locations. The U.S. and the global economy are particularly exposed to drought, and other system shocks, in the Western U.S. generally, especially in California, Central and Southern Arizona, Idaho, and the Great Plains. In the Eastern U.S., exposure to drought, or other system shocks, presents in South Texas, South Florida, the Chicago area, and the Lower Mississippi Valley. Because the whole U.S., and world, depend on these water supplies, these locations should be a priority for national water policy (Cooley and Gleick, 2012; Gleick et al., 2012); for public investment in water infrastructure to manage drought (Brown and Lall, 2006; Galloway Jr, 2011); and for innovative green infrastructure and market-based solutions that address water supply and demand problems. Additionally, the ports through which virtual water flows create transportation risks posed by war, strikes, tropical storms, earthquakes, and sea level rise. These locations should be a priority for national resilience policies and efforts, and alternative freight corridors should be developed so that port closures do not impact the ability of U.S. businesses to get their water-intensive goods to domestic and international markets (or vice versa).

Given the networked structure of the FEW system, the strong urban-rural dependence of FEW system flows, and the uncertainties presented by information gaps, future FEW system studies must address questions of worldview. For example, questions regarding which scale is the right scale (Vörösmarty et al., 2010; Vörösmarty et al., 2015) and which decision boundary is the best decision boundary (Rushforth et al., 2013) for understanding the FEW system interactions are dependent on the worldview of stakeholders and policymakers. In the U.S., the

Deleted: (Cooley and Gleick, 2012; Gleick et al., 2012)

**Deleted:** (Brown and Lall, 2006; Galloway Jr, 2011); and for innovative green infrastructure and market-based solutions that address water supply and demand problems.

Moved up [1]: The uncertainty introduced by water use data and consumption coefficients demonstrate the great need for the development of region-specific, sector-level water use data and consumption coefficients for the entire U.S. For example, water footprint uncertainty is roughly 58 % to over 99 % of a county's total water footprint, which increases in the eastern United States. However, in absolute terms, consumption coefficient variation is more important in the western U.S. due to the potentially large variation in virtual water outflows from the agricultural sector with largest blue water withdrawals.

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direct and indirect transfer of FEW system resources is concentrated at the mesoscale – regions and/or county equivalents – and not the national or global scales. This has implications for developing robust FEW system policy: the mesoscale is a manageable scale and there is the ability to manage aspects of FEW systems and craft FEW system interventions at this scale through extant and novel local and regional governance systems. For example, downstream-driven, market-based supply chain governance of "soft" supply chains by major retailers and distributors; downstream-driven City-driven governance via their hard infrastructures (McManamay et al., 2017); upstream-driven, watershed- or river-driven governance wherein infrastructure managers consider how the services of their water propagate through the economy; or FEW governance where F, E, and W agents work together because these sectors have the largest footprints.

NWED provides insight into which sectors and geographic areas need to be prioritized in the development of these consumption coefficients. The lack of certainty on consumption coefficients (Section 3.5) limits the ability to estimate or gauge one area's exposure to hydrological hazards in another area in its supply chain and must be addressed through the development of county- or region-specific and economic sector-specific consumption coefficients. We suggest starting with cities and irrigated agriculture in the Western U.S. due to the major influence that consumption coefficients have on water footprints, and because we lack locally accurate consumption coefficients to distinguish between regions this prevents us from accurately assessing local water balances or scarcity.

Despite basic limitations imposed by the primary data sources, NWED is a robustly quantified blue water footprint; future refinements to NWED will seek to address these limitations and add additional functionality, such increased resolution on pass-through

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**Deleted:** water governance systems that coordinate water allocation with the management of freshwater aquatic ecosystem thresholds (McManamay et al., 2017).

1036	commodity flows. The empirical basis of this analysis, along with its economic completeness	
1037	and spatial detail, make this result a landmark resource in the scientific discussion of water	
1038	footprints, virtual water flow, and the sustainability and resilience of a nation's water resources	
1039	in the connected global economy.	
1040 1041 1042 1043		
1044	Code Availability:	
1045	The NWED 1.1 code will be made available on GitHub: https://github.com/NWED/v1.1.	
1046	Data Availability:	
1047	NWED version 1.1 is available at the Hydroshare data repository and can be accessed at:	
1048	https://www.hydroshare.org/resource/84d1b8b60f274ba4be155881129561a9/	
1049	Appendices:	
1050	Appendix 1: Difference Between NWED Version 1.0 and 1.1	
1051	Data from NWED 1.0 have previously been published in by Rushforth and Ruddell	
1052	(Rushforth and Ruddell, 2016). While the methodology is largely the same, there are key	Deleted: (Rushforth and Ruddell, 2016)
1053	differences between the two versions of NWED.	
1054 1055 1056 1057 1058 1059 1060 1061 1062 1063 1064	<ul> <li>If updated disaggregation and attraction factors were available, these factors were updated.</li> <li>Specifically, agricultural disaggregation factors were updated at the crop level using the latest USDA NASS.</li> <li>Additionally, the mining sector been updated to have commodity code specific disaggregation factors using the location of mines and mineral production as disaggregation factors rather than employment.</li> <li>The power sector and domestic sector has been added to NWED version 1.1.</li> <li>Export virtual water flows have been disaggregated from virtual water flows to port cities.</li> <li>Import virtual water flows have been added to NWED version 1.1.</li> </ul>	

- The CU<sub>Max</sub>, CU<sub>Med</sub>, and CU<sub>Min</sub> consumption scenarios were added to NWED version 1.1.
  - Groundwater and surface water disaggregation of virtual water flows for withdrawal, CU<sub>Max</sub>, CU<sub>Med</sub>, and CU<sub>Min</sub> scenarios were added.

1071 Appendix 2: NWED Glossary

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- 1072 Agricultural Sector: NWED sector comprised of farm-based activities to grow crops for food or 1073 industrial purposes. Irrigation is the primary water using activity in the agricultural sector 1074 (Maupin et al., 2014).
- 1075
   1076 Attraction Factor: A fraction used to disaggregate commodity flows on the consumption side. In
   1077 NWED 1.1, population is used as an attraction factor. Each county within a FAZ is assigned a
   1078 fraction equivalent to its percent of the total population.
- 1080 *County*: A county or county equivalent (parish, borough, Washington D.C., or a independent 1081 city) is a sub-state geographic scale that is roughly equivalent to the mesoscale. 1082
- 1083 Destination: The geographic location where a commodity flow terminates.
- 1084
  1085 Freight Analysis Zone (FAZ): A group of counties that represents a metropolitan statistical area, census statistical area, or remainder of state (Southworth et al., 2010; Hwang et al., 2016)
- 1087
  1088 Industrial Sector: Economic sector that produces industrial goods. Water use in the industrial sector includes, "fabricating, processing, washing, diluting, cooling, or transporting a product; 1090 incorporating water into a product; or for sanitation needs within the manufacturing facility," 1091 (Maupin et al., 2014).
- Large Central Metro Counties: U.S. counties with greater than 1 million inhabitants that are the central county of a metropolitan statistical area (Ingram and Franco, 2012).
- Large Fringe Counties: U.S. counties with greater than 1 million inhabitants that are not the
   central county of a metropolitan statistical area (Ingram and Franco, 2012).
- Livestock Sector: Economic sector comprised of the raising of animals for animal products in
   addition to aquaculture activities. Water use in the livestock sector only includes direct water use
   at livestock, and related facilities (Maupin et al., 2014).
- 1102
   1103 Medium Metro Counties: U.S. counties with between 250,000 and 999,999 inhabitants (Ingram and Franco, 2012).
- Micropolitan Counties: U.S. counties with between 10,000 and 49,999 inhabitants that have an
   urban cluster (Ingram and Franco, 2012).

Mining Sector: Economic sector comprised of mineral producing activities, including metallic
 and non-metallic ore, in addition to sand and gravel, crude petroleum and natural gas. Water
 using activities in the mining sector include, "Mining water use is water used for the extraction
 of minerals that may be in the form of solids, such as coal, iron, sand, and gravel; liquids, such as
 crude petroleum; and gases, such as natural gas." (Maupin et al., 2014).

*Non-Core Counties:* U.S. counties with between 10,000 and 49,999 inhabitants that do not have an urban cluster (Ingram and Franco, 2012).

Origin: The geographic location where a commodity flow originates.

Production Factor: A fraction used to disaggregate commodity flows on the production side. In NWED 1.1, multiple production factors are used specific to the economic sector. Each county within a FAZ is assigned a fraction equivalent to its percent of the total population.

*Power Sector*: NWED sector comprised of electric generating stations, which includes thermoelectric and non-thermoelectric facilities (renewable energy sources). Water is used at thermoelectric generation stations in addition to hydroelectric facilities.

Small Metro Counties: U.S. counties with metropolitan statistical areas with less than 250,000 inhabitants (Ingram and Franco, 2012).

 *Virtual Water*: Also known as indirect water or embodied water, has been studied as a strategic resource for two decades as it allows geographic areas (country, state, province, city) to access more water than is physically available (Allan, 1998; Allan, 2003; Suweis et al., 2011; Dalin et al., 2012; Dang et al., 2015; Zhao et al., 2015; Marston et al., 2015).

*Virtual Water Inflows into a Geographic Area (VW<sub>In</sub>)*: The volume of water indirectly consumed 1137 to produce goods or services produced outside a geographic boundary of interest for 1138 consumption within that geographic boundary of interest.

Virtual Water Outflows from a Geographic Area ( $VW_{Out}$ ): The volume of water used to produce goods or services that are consumed outside of geographic boundary of interest.

Virtual Water Balance of a Geographic Area ( $VW_{Net}$ ): Virtual water Inflows minus virtual water outflows for a geographic boundary of interest.

1146 Water Footprint: the volume of surface water and groundwater consumed during the production
 1147 of a good or service and is also called the virtual water content of a good or service (Mekonnen
 1148 and Hoekstra, 2011b).

1150 Water Footprint of Consumption: water consumption for local use in addition virtual water
 1151 import (Mekonnen and Hoekstra, 2011a)

Water Footprint of a Geographic Area (F): The volume of water representing direct water consumption plus virtual water inflows minus virtual water outflows for a geographic boundary

1155 1156	of interest. A per-capita water footprint $(F)$ is F divided by the population within the geographic boundary of interest.
1157 1158 1159 1160	<i>Water Footprint of Production</i> : the total volume of water consumed with a geographic boundary, including water consumption for local use less virtual water export (Mekonnen and Hoekstra, 2011a).
1161 1162 1163 1164 1165 1166 1167 1168	<i>Water Consumption (C)</i> : The total volume of water consumed from a water source, when consumption is withdrawals minus return flows. A water source is either surface water or groundwater. NWED utilizes four consumptive use scenarios based on a withdrawal-based scenario, and minimum, median, and maximum consumptive use scenario. Consumptive use scenarios are based on reports published by the United States Geological Survey (Shaffer and Runkle, 2007).
1169 1170 1171	Water Withdrawal (W): The total volume of water withdrawn from a water source. A water source is either surface water or groundwater.
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1173	Appendix 3: Commodity Trade Linkage Metrics
1174	Each commodity trade linkage is measured by 15 metrics: $-t$ , $\$$ , $tm$ , $VW_{I_n,J_m,c,s,t,k,W_{Total}}$ ,
1175	$VW_{I_{n},J_{m},c,s,t,k,W_{SW}},VW_{I_{n},J_{m},c,s,t,k,W_{GW}},VW_{I_{n},J_{m},c,s,t,k,CU_{Max,Total}},VW_{I_{n},J_{m},c,s,t,k,CU_{Max,SW}},$
1176	$VW_{l_n,l_m,c,s,t,k,CU_{Max,GW}},VW_{l_n,l_m,c,s,t,k,CU_{Med,Total}},VW_{l_n,l_m,c,s,t,k,CU_{Med,SW}},VW_{l_n,l_m,c,s,t,k,CU_{Med,GW}},\\$
1177	$VW_{I_{n},J_{m},c,s,t,k,CU_{Min,Total}},VW_{I_{n},J_{m},c,s,t,k,CU_{Min,SW}},VW_{I_{n},J_{m},c,s,t,k,CU_{Min,GW}}.$
1178	Team List:
1179	Richard R Rushforth
1180	Benjamin L. Ruddell
1181	
1182	Author Contribution:
1183	
1184 1185	R. Rushforth developed the NWED methodology and the executed code to carry out the methodology. R. Rushforth wrote the manuscript with help from B. Ruddell.
1185	memodology. R. Rushiottii wiote the manuscript with help from B. Rudden.
1187	Compating Intersector
110/	Competing Interests:

1189 The authors declare that they have no conflicts of interest. 1190 1191 Disclaimer: 1192 1193 The opinions expressed by authors contributing to this journal do not necessarily reflect 1194 the opinions of the Hydrology and Earth System Sciences Journal or the institutions with which 1195 the authors are affiliated. 1196 1197 **Acknowledgements:** 1198 Funding for this research was provided by the National Science Foundation under award 1199 number ACI-1639529 (FEWSION). The opinions expressed are those of the authors, and not 1200 necessarily the National Science Foundation. The authors would like to acknowledge input from 1201 colleagues on the development of this manuscript and the anonymous peer referees of this paper. 1202 Finally, the authors would like to thank the anonymous referees of this paper for their thorough 1203 and constructive comments. 1204 1205 References: 1206 Allan, J. A.: Virtual Water: A Strategic Resource Global Solutions to Regional Deficits, Ground 1207 Water, 36, 545-546, 10.1111/j.1745-6584.1998.tb02825.x, 1998. 1208 Allan, J. A.: Virtual water-the water, food, and trade nexus. Useful concept or misleading 1209 metaphor?, Water international, 28, 106-113, 2003. 1210 Archfield, S., Vogel, R., Steeves, P., Brandt, S., Weiskel, P., and Garabedian, S.: The Massachusetts Sustainable-Yield Estimator: A decision-support tool to assess water availability 1211 at ungaged sites in Massachusetts, US Geological Survey Scientific Investigations Report, 5227, 1212 1213 2010, 2009. 1214 Bialek, J.: Identification of source-sink connections in transmission networks, Power System Control and Management, Fourth International Conference on (Conf. Publ. No. 421), 1996a, 1215 200-204, 1216 1217 Bialek, J.: Tracing the flow of electricity, IEE Proceedings-Generation, Transmission and 1218 Distribution, 143, 313-320, 1996b. 1219 Bialek, J., and Kattuman, P.: Proportional sharing assumption in tracing methodology, IEE 1220 Proceedings-Generation, Transmission and Distribution, 151, 526-532, 2004. 1221 Brown, C., and Lall, U.: Water and economic development: The role of variability and a

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Sector (s)	$\mathrm{CU}_{\mathrm{Min}}$	$\mathrm{CU}_{\mathrm{Med}}$	$CU_{Max}$	N <sup>2</sup>
Irrigated Agriculture	37 %	100 %	100 %	170
Domestic	0 %	13 %	73 %	229
Industrial	0 %	10 %	35 %	219
Livestock	10 %	100 %	100 %	158
Mining	0 %	14 %	86 %	141
Power	0 %	2 %	75 %	216

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**Deleted:** <sup>1</sup>Consumption coefficients adapted from (Shaffer and Runkle, 2007).

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<sup>&</sup>lt;sup>1</sup>Consumption coefficients adapted from (Shaffer and Runkle, 2007).

<sup>2</sup>The number of studies evaluated to approximate the consumption coefficients.

## Table 2. U.S. Water Footprint and Virtual Water Statistics

	Withdrawal-Based			
Virtual Water Statistic	(CU=1)	$CU_{Max}$	$CU_{Med}$	$CU_{Min}$
Water Use – Domestic (Mm <sup>3</sup> )	37,566	27,423	4,884	0
Water Use – Non-Domestic (Mm <sup>3</sup> )	366,687	200,712	181,773	60,722
Water Use – Total (Mm <sup>3</sup> )	404,253	228,135	186,657	60,722
Virtual Water Outflows, VW <sub>Out</sub> (Mm <sup>3</sup> )	362,690	196,857	178,622	59,870
Virtual Water Inflows, VW <sub>In</sub> (Mm <sup>3</sup> )	359,282	190,866	173,931	60,265
Virtual Water Balance, VW <sub>Bal</sub> (Mm <sup>3</sup> )	-3,409	-5,991	-4,691	395
Virtual Water Export, VW <sub>Export</sub> (Mm <sup>3</sup> )	10,671	9,039	7,739	2,653
Virtual Water Import, VW <sub>Import</sub> (Mm <sup>3</sup> )	7,263	3,048	3,048	3,048
Non-Domestic Water Footprint (Mm <sup>3</sup> )	363,279	194,722	177,082	61,117
Total Water Footprint (Mm <sup>3</sup> )	400,844	222,144	181,966	61,117
Total Water Footprint Per Capita (m³ capita-1)	1,298	720	589	198
Central Water Footprint Per Capita (m <sup>3</sup> capita <sup>-1</sup> )	828	399	282	97
Fringe Water Footprint Per Capita (m³ capita-1)	981	368	250	83
Medium Water Footprint Per Capita (m³ capita-1)	1,705	1,076	936	315
Small Water Footprint Per Capita (m³ capita-1)	1,794	1,139	992	333
Micro Water Footprint Per Capita (m³ capita-1)	1,876	1,169	1,024	345
Non-Core Water Footprint Per Capita (m <sup>3</sup> capita <sup>-1</sup> )	1,927	1,217	1,053	344
Rural to Urban VW Transfers (Mm <sup>3</sup> )	114,953	70,648	66,524	22,496
Rural to Rural VW Transfers (Mm <sup>3</sup> )	91,682	63,698	60,676	20,614
Urban to Urban VW Transfers (Mm <sup>3</sup> )	111,458	39,921	32,338	10,459
Urban to Rural VW Transfers (Mm <sup>3</sup> )	33,876	13,551	11,345	3,647

## 11 Table 3. Blue Virtual Water Transfers Between Urban and Rural Areas (Mm³)

	Urban/Rural	← Urban Rural →						12	
	Classification	Central	Fringe	Medium	Small	Micro	Non-Core	$VW_{\scriptscriptstyle \mathrm{Osc,CUMed}}$	$\mathrm{VW}_{\scriptscriptstyle\mathrm{Balance,CUMed}}$
<b>1</b>	Central	2,529	628	593	201	139	72	4,162	19,299
- E	Fringe	2,644	1,632	1,477	505	447	306	7,011	9,779
Urban Rural	Medium	5,345	3,174	14,316	4,311	3,371	1,992	32,510	26,102
ban	Small	4,022	2,318	8,626	4,111	3,607	2,138	24,822	2,757
ij	Micro	3,821	3,812	14,153	7,710	8,302	4,837	42,634	-15,755
$\downarrow$	Non-Core	5,100	5,227	19,446	10,740	11,013	8,218	59,744	-42,182
	$VW_{\text{la,CUMad}}$	23,460	16,790	58,612	27,579	26,879	17,562	170,883	_

## Table 4. Urban-Rural Blue Virtual Water Transfer by Economic Sector (Mm³)

Origin	Destination		Virtual Water
County	County	Sector	Flow (Mm <sup>3</sup> )
Urban	Urban	Power	22
Urban	Urban	Agriculture	27,743
Urban	Urban	Industrial	2,694
Urban	Urban	Livestock	1,714
Urban	Urban	Mining	165
Urban	Rural	Power	6
Urban	Rural	Agriculture	9,583
Urban	Rural	Industrial	733
Urban	Rural	Livestock	950
Urban	Rural	Mining	73
Rural	Urban	Power	13
Rural	Urban	Agriculture	59,119
Rural	Urban	Industrial	955
Rural	Urban	Livestock	6,100
Rural	Urban	Mining	337
Rural	Rural	Power	159
Rural	Rural	Agriculture	53,731
Rural	Rural	Industrial	848
Rural	Rural	Livestock	5,764
Rural	Rural	Mining	175
Urban	Urban	Domestic	3,715
Rural	Rural	Domestic	1,168

Table 5. U.S. Blue Virtual Water Exports and Imports to and Balances with World Regions

Region	Virtual Water Export (Mm <sup>2</sup> )	% SW	% GW	Virtual Water Import (Mm <sup>2</sup> )	% SW	% GW	Virtual Water Balance (Mm <sup>2</sup> )
Canada	1,078	51%	49%	973	_	_	-105
Mexico	1,787	40%	60%	572	_	_	-1,215
Rest of Americas	672	67%	33%	597	_	_	-75
Europe	662	53%	47%	266	_	_	-396
Africa	448	33%	67%	43	_	_	-405
Southwest & Central Asia	355	45%	55%	102	_	_	-253
Eastern Asia	2,307	62%	38%	226	_	_	-2,081
Southeast Asia & Oceania	432	61%	39%	269	_	_	-163
Total	7,741	52%	48%	3,048	_	_	-4,693

SW – Surface Water; GW– Groundwater

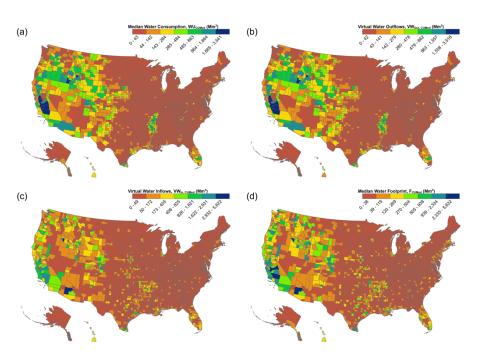


Figure 1. (a) Median county-level blue water consumption in the U.S. (b) Blue virtual water outflows from U.S. are concentrated in the western United States, particularly where irrigated agriculture is located, in addition to the High Plans, Mississippi Embayment, and south Florida. (c) Blue virtual water inflows are concentrated in Western U.S. cities, Western U.S. agricultural counties, metropolitan regions in the Eastern U.S., and in particular where a city also serves as a regional distribution center or has prominent food processing industry (Little Rock and Northwestern Arkansas, Chicago and Houston). (d) Annual Withdrawal-Based ( $CU_{Med}$ ) Blue Water Footprint,  $F_{CUMed}$  [Mm³], for U.S. Counties.

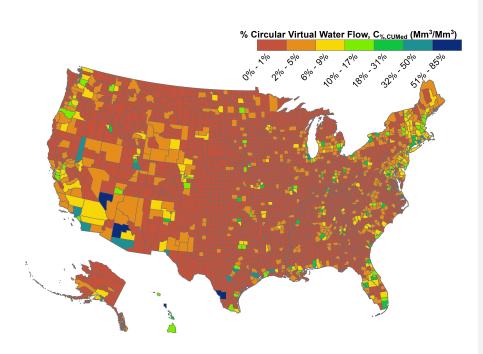


Figure 2. Circular blue virtual water flows ( $CU_{Med}$ ), or blue virtual water flows that originate and terminate within the same county. This is a map of the use of "local water" in the hydro-economy. Phoenix, Arizona is a local water hotspot.

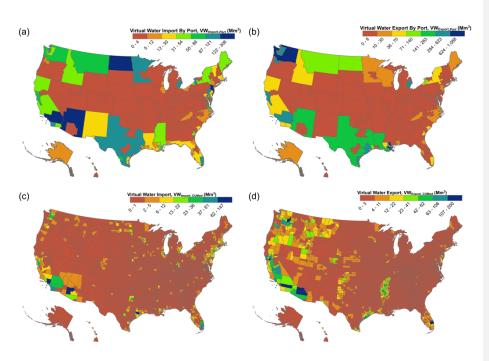


Figure 3. (a) The port and border regions through which the majority of U.S. blue virtual water imports ( $CU_{Med}$ ) enter the U.S. market are primarily Los Angeles, New York, Arizona, North Dakota, Houston, Detroit, Buffalo and Detroit (FAZ's are used for port region boundaries). However, the whole land border with Canada and Mexico is import to U.S. virtual water import. (b) The ports through which the majority of U.S. virtual water exports ( $CU_{Med}$ ) enter the global market are located in natural hazard prone areas along the West Coast, Gulf Coast, and Eastern Seaboard. (c) Cities such as Los Angles, Phoenix, Houston, New York City, Miami, Dallas, Seattle, and the San Francisco Bay area are the major destinations of U.S. virtual water imports ( $CU_{Med}$ ). (d) U.S. virtual water exports ( $CU_{Med}$ ) originate from California's Central Valley; Southern California and Southwest Arizona; the Columbia River Basin and the Pacific Northwest; Central Nevada and Northwest Utah; the Ogallala Aquifer region of the Midwest; the Texas Gulf Coast; the Mississippi Embayment; and South Florida.

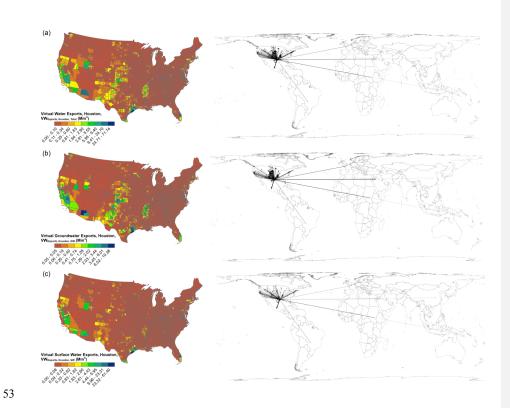


Figure 4. (a) U.S. blue virtual water exports ( $CU_{Med}$ ) through ports in the Houston metropolitan area are sourced from the Central Valley of California, Central Utah and Northern Utah, Southern Arizona, the Ogallala Aquifer Region, South Texas and the Texas Gulf Coast, and the Mississippi Embayment aquifer region. Virtual water flows into the Houston ports and then is redistributed to the 8 world regions in NWED. Mexico is the largest recipient of virtual water flows from Houston ports. (b) Virtual groundwater flow through Houston ports is sourced from the Central Valley of California, Central Utah and Northern Utah, Southern Arizona, the Ogallala Aquifer Region, South Texas and the Texas Gulf Coast, and the Mississippi Embayment aquifer region. (c) Virtual surface water through Houston ports is sourced from the Central Valley of California, Southern California, the Phoenix Metropolitan Area, Northern Utah, and the Texas Gulf Coast. Network maps are plotted with Gephi using the Map of Countries and GeoLayout plugins.

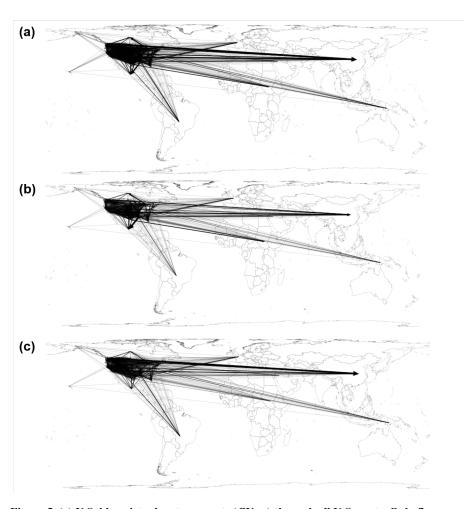


Figure 5. (a) U.S. blue virtual water exports ( $CU_{Med}$ ) through all U.S. ports. Only flows > 0.1 Mm³ are plotted in this virtual water flow network.(b) U.S. blue virtual groundwater exports ( $CU_{Med}$ ) through all U.S. ports. Only flows > 0.1 Mm³ are plotted in this virtual water flow network. Mexico in addition to Africa and Eastern Asia are a notable destination for U.S. blue virtual groundwater exports through Gulf Coast ports. (c) U.S. blue virtual surface water exports ( $CU_{Med}$ ) through all U.S. ports. Only flows > 0.1 Mm³ are plotted in this virtual water flow network. Eastern Asia is a notable destination for U.S. blue virtual surface exports through West Coast ports. Network maps are plotted with Gephi using the Map of Countries and GeoLayout plugins.

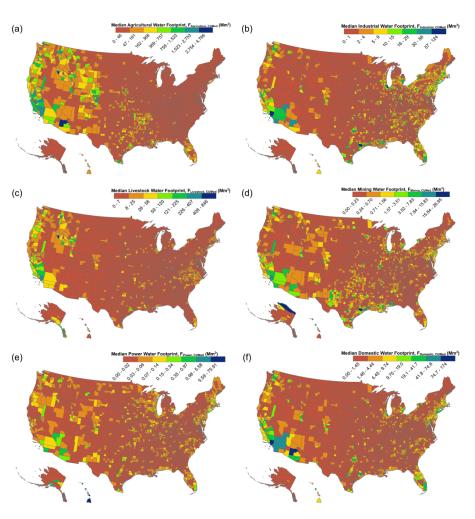


Figure 6. (a) The county-level agricultural blue water footprint of the U.S. (b) The county-level industrial blue water footprint of the U.S. (c) The county-level livestock blue water footprint of the U.S. (d) The county-level mining blue water footprint of the U.S. (e) The county-level electrical power blue water footprint of the U.S. (f) The county-level domestic blue water footprint of the U.S.

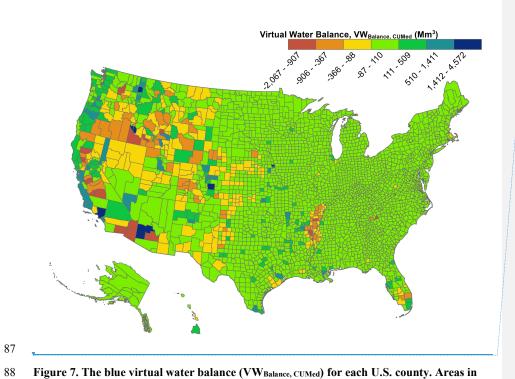
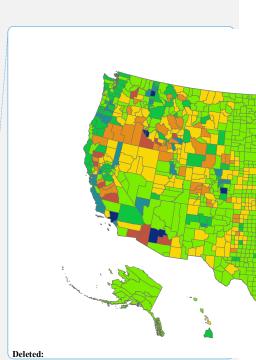


Figure 7. The blue virtual water balance (VW $_{Balance,\,CUMed}$ ) for each U.S. county. Areas in the Southwest U.S., Central Valley of California, Snake River Valley, Mississippi Embayment, South Florida, South Texas, and the High Plains have virtual water outflows that outstrip virtual water inflows.



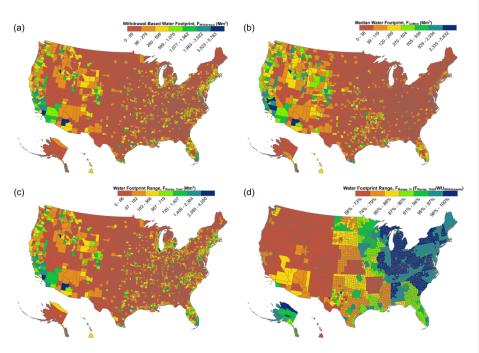


Figure 8. (a) The annual withdrawal-based blue water footprint,  $F_{Withdrawal}$  [Mm³], for U.S. Counties. (b) The annual med  $(CU_{Med})$  blue water footprint,  $F_{CUMed}$  [Mm³], for U.S. Counties. The minimum scenario was constructed applying minimum sector-level consumption coefficients. The range of uncertainty in the blue water footprint,  $F_{Range}$  [Mm³], for U.S. Counties.  $F_{Range}$  is computed as the range between the highest and lowest water footprints of the withdrawal-based and three consumption-based scenarios. Absolute water footprint uncertainties are highest in the west, but relative uncertainties are highest in the east. (d) Relative water footprint variation tends to increase in the Eastern United States and county-level water footprint uncertainty can range between 58.2 % in much of the Western United States to 99.9 % in parts of the Eastern United States.

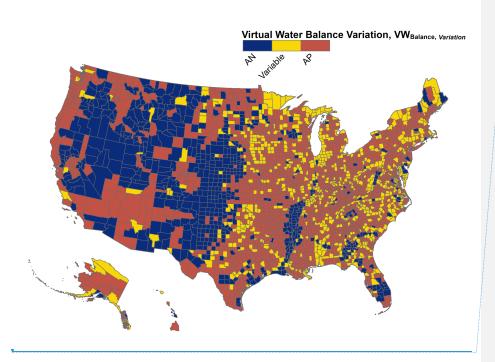


Figure 9. For many counties, whether a county has a negative or positive virtual water balance varies under the consumptive use scenarios. Counties in blue always have a negative virtual water balance (AN) and virtual water outflows are always greater than virtual water inflows. Counties in red always have positive virtual water balances (AP) and virtual water inflows are always greater than virtual water outflows. Counties in yellow have borderline-neutral net virtual water balances that depend on the consumptive use uncertainty (Variable).

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