

Dear editor,

We would like to thank the reviewers for the time spent in reviewing the paper and for their suggestions. We have incorporated the requests of the reviewers. I have copied the comments of the reviewers below verbatim. Our corresponding responses appear after them in bold text.

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Response to the review of Anonymous Referee #1.

This manuscript describes an innovative approach to measuring bathymetry: using an Unmanned Aerial Vehicle (UAV) with a tether to deploy a compact sonar system.

This idea is a simple one but has not, to my knowledge, been explored previously and is worth investigating. The authors effectively summarize the advantages of this new approach relative to conventional methods of surveying water bodies, such as depth retrieval from passive optical image data, boat-based sonar measurements, and wading surveys. The UAV-sonar combination allows for data collection in inaccessible and/or non-navigable waterways and does not suffer from the same turbidity-related constraints as other remote sensing methods and thus can obtain bathymetric data from far greater depths. The description of the new system is thorough but not too detailed and the methods used to obtain the sonar position from the drone's GNSS receiver and an offset calculation are explained reasonably well. Two case studies are used to quantify the accuracy of this approach, with encouraging results. The tables comparing various ground-based and remote sensing methods and their costs are useful additions to the manuscript. Overall, I believe this paper makes a nice methodological contribution and can be published with only a few minor revisions. I have made a number of comments and (mostly minor) edits on a PDF document uploaded separately and refer the authors to that document for detailed line-by-line corrections, but a few more substantive comments are highlighted here.

We thank the referee for the feedback and the comments on the article. We have incorporated the line-by-line corrections into the revised manuscript. We hereunder discuss the review comments.

1. In several cases, obscure and unnecessary references are included while in other places relevant citations are omitted, or used inappropriately – please see detailed comments in the PDF.

In our revision plan, we will follow the reviewer's suggestions to remove unnecessary references.

However, the reviewer suggests to remove the reference to (Heritage and Hetherington, 2007); and (Charlton et al., 2003) in Table 4. These are the two references:

- **Charlton, M. E., Large, A. R. G. and Fuller, I. C.: Application of airborne lidar in river environments: 5 The River Coquet, Northumberland, UK, Earth Surf. Process. Landforms, 28(3), 299–306, doi:10.1002/esp.482, 2003.**
- **Heritage, G. L. and Hetherington, D.: Towards a protocol for laser scanning in fluvial geomorphology, Earth Surf. Process. Landforms, 32(1), 66–74, doi:10.1002/esp.1375, 2007.**

These references appear relevant to us because they deal with bathymetric observations from Lidar and TLS, respectively. We would like to keep these references in the manuscript.

Furthermore, on page 2 (L3), the reviewer suggests citing Legleiter et al. (2016, ESP&L), instead of Legleiter (2012). The authors did not find a paper published in that year/journal regarding LIDAR observations with Legleiter as first author. Could the referee kindly provide the title of the paper? Does the referee refer to “Removing sun glint from optical remote sensing images of shallow rivers (Brandon T. Overstreet, Carl J. Legleiter, 2016)”?

5 This paper is focused on hyper-spectral observations of bathymetry, not LIDAR, thus it will be included in the paragraph in which we report about these spectral methods to retrieve water depth. Please clarify.

10 2. Page 2, line 3: need to clarify that you are talking about bathymetric lidar sensors with green laser wavelengths. Near-infrared lasers are absorbed by water.

We clarified this

15 3. Page 3, line 10 (and throughout): I think the large beam angle of the Deeper sonar is an important limitation you need to acknowledge more explicitly. Even at 1 m depth, the footprint is 26 cm, so at greater depths this system will have very poor spatial resolution and you will not be able to detect small-scale differences in depth. I think the beam angle might be the most important source of the bias you discuss later in the paper as well.

20 **We acknowledge these limitations. In the Materials and Methods section (L9:p4) we specified that the Deeper footprint is not suitable for resolving small-scale features at large water depths, and again discuss this limitation in the Conclusions. The spatial resolution of the observations was already explicitly defined and the limitations of a large measuring angle were also considered when comparing between the Deeper sensor (15°) and the reference sonar SS510 Smart Sensor**

25 **(9°). Please note that, as we described in the discussion (L7-10: p18), most single beam sonars have a beam width angle between 8 and 30 degrees (smaller angles are generally associated with higher sonar frequency). Thus, these single beam sonar systems always tend to have large footprint and interact with a bottom areas of significant diameter. When a detailed survey of small features is required, different instruments need to be used, e.g. side-scan sonars (imaging**

30 **sonar) or multi-beam swath sonars (sonars collecting data in a swath by forming a series of transmit and receive beams which measure the depth to the sea floor in discrete angular increments or sectors across the swath). These sonar systems are considerably heavier and more expensive than single beam sonars.**

35 4. Page 3, line 16: Does the sonar have a minimum depth?

The minimum depth is variable depending on the substrate type. We indicated (L7:p4) a minimum depth of 0.3-0.5 m.

40 5. Page 5, line 7 (and throughout): Be careful with the term geographical coordinates, which implies longitude and latitude, whereas a truly Cartesian frame of reference requires a map projection. I recommend using the term spatial or real-world rather than geographical throughout the paper to avoid any confusion on this point.

We fully agree with this comment, we rephrased and corrected according to the reviewer’s suggestion.

45 6. Page 6, line 17: I’m confused about the camera alignment – how is it oriented on

the UAV? An additional figure could help here.

As specified in the paper, the vertical axis of the camera is aligned with the drone nose. We clarified this also in fig. 5.

5 7. Page 9, line 17: The supplementary data you mention appear to be missing.

The supplement file was downloadable in the download section of the paper webpage (below the pdf and xml files).

10 8. Page 10, line 5: I think it would actually be more informative to not use the absolute value so that you know which of the two sonars is reading a greater depth. As long as you clearly define what is being plotted, e.g. SS510 - Deeper, then you'll know whether positive or negative corresponds to a deeper reading by one sonar vs. the other. With absolute value, that information is lost.

We modified the figure showing the error value with its corresponding sign.

15 9. Table 3: It would be helpful to clearly define how the various statistics included in this table were calculated, just to avoid confusion. Make things explicit when you can.

We added the definition of these quantities to the manuscript.

20 10. Page 12, line 13: The bias associated with shallow points in a large footprint is an important issue that will become more problematic as depth increases. The wide beam angle of the Deeper sonar is a major limitation of this sensor.

See answer to comment 3 above.

25 11. Figure 9: This data set is rather sparse, far less continuous than the boat-based data shown in Figures 6 and 7. Can the UAV-based system provide more continuous coverage like you'd get from a boat, or are only widely spaced point measurements possible? If you can only obtain a few points, the advantage of the UAV would not be nearly as great. Please comment on this in your revision.

30 **In the original version of the paper, the research goals were to retrieve observations i) in a lake to demonstrate that we can measure deep water several meters from the shore and ii) in a river to obtain river cross sections, which are generally required to inform river hydrodynamic models.**

35 **In order to show that observations with continuous coverage can be retrieved, we have retrieved highly spatially resolved observations of a river stretch. Interpolation of these observations allowed for representation of a bathymetric map of the riverbed, as is now shown by Figure 12.**

12. Page 14, line 2: You need to explain how this bias factor is defined and was computed.

40 **We included (eq. 10) the linear regression equation that describes the relationship between the observations of the two sonar sensors (x) and ground truth (y). In this linear regression, the coefficient β_0 (y-intercept) and β_1 (slope) and ε (random error term) appear.**

$$y = \beta_0 + \beta_1 x + \varepsilon$$

The bias factor between the sonar observations and the ground truth is generally corrected by multiplying for the slope coefficient (assuming $\beta_0 \approx 0$).

5

13. Page 14, line 2: This bias does not appear to be very pronounced, and Figures 10 and 11 are nearly identical. Don't exaggerate this effect.

The authors suggest that there is a consistent improvement after correction of the bias factor. The improvement is approximately 1% in the water depth relative error for this specific river survey.

10

14. Page 16, line 24: I don't see how having a waterproof UAV connects to the operator not being in the area. Is it so the UAV can crash into the water without being destroyed? Please elaborate a bit in your revised manuscript.

15 **We rephrased (L27-28-29;p19) with <The new-generation of waterproof rotary wing UAVs equipped with visual navigation sensors and automatic pilot systems will make it possible to collect hyper-spatial observations in remote or dangerous locations, without requiring the operator to access the area. >.**

20 15. Page 16, line 36: I think the geometry of the bed (i.e., steep side slopes) and the beam angle of the sonar are more important factors contributing to the bias.

In the Conclusions we remark that the beam angle contributes to a low spatial resolution and complicates the recognition of small features (L14-15;p20).

25 16. Table B1: Be consistent with number formatting. On the previous line you used , but here you're using '. I think , is more common, so please use that throughout.

The different number format was a typo, which is now corrected with <, >.

Technical corrections:

30 Please see the PDF for detailed line-by-line edits, which are extensive and need to be incorporated into a revised manuscript.

We corrected these changes accordingly.

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Response to the review of Anonymous Referee #2.

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This manuscript presents an innovative approach to the measurement of bathymetry in water bodies using a UAV equipped with a tethered sonar. While the use of ROVs (such as remote control boats) have been used to conduct bathymetry surveys, this is the first time I have seen a UAV used for this approach. This idea to use unmanned vehicles for bathymetry mapping is a simple one, but as shown in this paper, an involved process. The authors describe the method adequately (although sometimes very brief), and use two case studies to showcase the results of their work; the results are very encouraging. The method described here is a valuable contribution to the field, as the accuracy of computer models will certainly benefit from the inclusion of the high-resolution bathymetry data provided by using the UAV. I outline my suggestions to the authors for improvement below.

50 **We thank the referee for the feedback and the comments on the article.**

General Comments:

1) The paper needs to be proof read thoroughly for English. There are instances of very long sentences (especially in the Methods section), which make it very difficult to grasp exactly what the authors are trying to convey without re-reading them several times. The paper will read a lot better after having been edited for the English.

The manuscript has undergone editing for English language.

2) The figures in text are useful, however I find that they are overall too small, and have text in them that is hard to read. I suggest that the authors make some of the figures larger (e.g, Figs 1, 2, 5, 6, 7, 9), and the text labels on axes etc in all the figures should be larger.

Size of figure labels was increased.

3) For Figures 6,7,9: The water depth colour scale is very hard to see, as the dots are very small. Also, the intervals of depth are not consistent intervals. I think that for 6, for example, it would be better to depict this as depth between 0-36 m at consistent intervals of 3m (0-3, 3.01-6, etc). For Figure 7, The difference would also be easier to understand if the intervals were of consistent length.

The size of the legend labels and the intervals was adjusted to improve visualization and consistency.

4) The figure captions are sometimes lacking. I suggest that the authors make sure that everything that is shown in figures, including abbreviations and locations, are adequately described in the captions without the reader having to refer back to the text.

Figure captions were thoroughly revised

5) Most abbreviations used after the intro are defined the wrong way around. E.g., pg 6, line 10: Wsen and Hsens, should be referred to as: "Sensor width and height, denoted Wsens and Hsens, respectively..." or something similar. Also, object distance (OD) is nowhere defined in text. Please make sure that all abbreviations are spelled out in full and then abbreviated in ().

In our revision we followed this suggested order: abbreviation are spelled out and then abbreviated in parenthesis and not the other way around. We defined all the abbreviations in text.

Specific comments:

6) pg 2, line 21: who is the manufacturer of the bathymetric depth finder mentioned?

The company "Deeper, UAB" (Vilnius, Lithuania). We rephrased the wording "developed by Deeper, UAB (Vilnius, Lithuania)" with "manufactured by Deeper, UAB (Vilnius, Lithuania)"

7) A quick google search tells me that the model of sonar used in this study is the: Deeper Smart Sensor PRO+ (Deeper, UAB, Vilnius, Lithuania). Please make sure that the model numbers/names for all equipment mentioned in the manuscript are correct and that the manufacturer and their location is in text. This is generally quoted in text as I done in the first line of this specific comment.

We replaced the wording "Deeper Smart Sonar Pro Plus" with "Deeper Smart Sensor PRO+". We named the other sensors/equipment with the model names that appear on the official company website.

8) The methods section would read better if it were restructured. Describing the UAV set up first would make more sense, followed by the sonar instrument used. I found myself wanting more details about the sonar unit (like depth it can measure to) in 2.1, to find that it had been put in 2.3 instead. I would

suggest putting 2.2 first, and then combining sections 2.1 and 2.3 and have them follow the section on the UAV.

We fully agreed with this comment and we changed to this structure.

9) General comment: is it a coastline or shore? These are rivers/lakes are they not? To avoid confusion I would refer to it as the shore. Coastline refers to something next to an ocean or sea.

It is “shore” and only the word “shore” is now used.

10) Fig 3, there is some overlap between the axis and the label z. OD is over the line, should be to the side.

We avoided overlapping between lines and labels in the revised version of the manuscript.

11) Hsens isn't described in the caption. FOV (degree) label is cut off.

We included all the variables in the caption and we improved readability of the FOV label.

12) pg 6, lines 10-21: Please define the equation elements more clearly, rather than just mentioning what is in the equation. The sentence lines 13-16 is particularly confusing to follow. Perhaps having the equations in line in text after they are first mentioned would be an easier way to understand and explain what is going on, without having to refer to the table.

We removed equation table and put all the equation in text after their explanations. Sentence 13-16 was split and clarified.

20

13) pg 8, line 3: please refer to equations 8 and 9 in text here.

This has been done in L14-15:p8.

14) how long does it take to do all of the data processing? There are a lot of steps, but an indication of how long it takes to do the data processing would be a useful. Are these scripted codes? Done manually?

All the scripts are coded in MATLAB and the post-processing is autonomous. At the current stage the user input is only required to manually pinpoint the sonar position on the images. But vision navigation-derived algorithms can easily replace the manual input.

15) Fig 8/pg 11, line 12: "underestimation" - are the sonars underestimating or estimating the depth?? The points sit above the line, so they look to me that they are slightly overestimating not underestimating as you say in text. You mention later in text that the sonar systematically overestimates water depth in the channel (pg 13, line 15).

This was a clear typo: the sonar overestimates depth.

35

16) Table 3: I suggest the authors swap the rows and columns around. So that the data for sample size, RMSE, etc, reads down the column rather than across. This will also help with the formatting of the long names of the comparisons shown; wider first column, and narrower columns for statistics.

We agree and we revised according to this structure.

17) Fig 10 caption: I am assuming that x is the position along the transect, but in which direction with respect to the Lat/Long quoted?

5 **We changed the wording x with “distance from left bank (m)” and provided the exact coordinate of the left bank point.**

18) Table 4, LIDAR, column 3: "few dm", is this supposed to be cm?

10 **Lidar footprints generally correspond to the spatial resolution of data. The footprint depends on the LIDAR sensor and on the flight height. There are few bathymetric LIDARs available on the market and their footprint is generally in that order of magnitude (dm-meters). According to Bailly (2010) bathymetric LIDAR footprints delineate areas of a few square decimetres for the Experimental Airborne Advanced Research LiDAR (EAARL) system (Kinzel et al., 2007), and up to 25 m² in the SHOALS 1000-T system (Hilldale and Raff, 2007; Millar, 2008). If the referee is**
15 **aware of a LIDAR with smaller footprint at common airplane flight heights, we ask to be informed.**

References:

- Bailly, J. S., le Coarer, Y., Languille, P., Stigermark, C. J. and Allouis, T.: Geostatistical estimations of bathymetric LiDAR errors on rivers, *Earth Surf. Process. Landforms*, 35(10), 1199–1210, doi:10.1002/esp.1991, 2010.
- 20 • Hilldale RC, Raff D. 2007. Assessing the ability of airborne lidar to map river bathymetry. *Earth Surface Processes and Landforms* 33: (5) 773–783
- Kinzel PJ, Wright CW, Nelson JM, Burman AR. 2007. Evaluation of an experimental lidar for surveying a shallow, braided, sand-bedded river. *Journal of Hydraulic Engineering* 133: (7) 838–842.
- Millar D. 2008. Using airborne lidar bathymetry to map shallow river environments: A successful pilot on the Colorado
25 River. *Geophysical Research Abstracts* 10

19) What happens if an operator can't wade into a river to get ground truth measurements?

30 **If the surveyor could not retrieve any in-situ observation as ground truth, the accuracy of the survey can degrade (up to ca. 3.8% of actual depth as in the lake test we performed) because the surveyor could not correct for any sonar bias.**

20) Table B1: What currency are the costs quoted in? Also on pg 2, line 37

35 **We noticed that in some cells we forgot to indicate that the units were dollars. We have now mentioned that the currency is US dollars in the column header.**

Bathymetry observations of inland water bodies using a tethered single-beam sonar controlled by an Unmanned Aerial Vehicle

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Abstract. High-quality bathymetric maps of inland water bodies are a common requirement for hydraulic engineering and hydrological science applications. Remote sensing methods, ~~such as e.g.~~ space-borne and airborne multispectral imaging or LIDAR, have been developed to estimate water depth, but are ineffective for most inland water bodies, because of ~~the~~ attenuation of electromagnetic radiation in water, ~~especially under turbid conditions~~. Surveys conducted with boats equipped with sonars can retrieve accurate water depths, but are expensive, time-consuming, and ~~are~~ unsuitable for non-navigable water bodies.

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We develop and assess a novel approach to retrieve accurate and high resolution bathymetry maps. We measured accurate water depths using a tethered floating sonar controlled by an Unmanned Aerial Vehicle (UAV) in a ~~Danish~~ lake and ~~in a few river cross sections in two different rivers located in Denmark~~. The developed technique combines the advantages of remote sensing ~~techniques~~ with the potential of bathymetric sonars. UAV surveys can be conducted also in non-navigable, inaccessible, or remote water bodies. The tethered sonar can measure bathymetry with an accuracy of ~~ea.~~ 2.1% of the actual depth for observations up to 35 m, without being significantly affected by water turbidity, bedform, or bed material.

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1. Introduction

Accurate topographic data from the riverbed and floodplain areas are crucial elements in hydrodynamic models. Detailed bathymetry maps of inland water bodies are essential for simulating flow dynamics and forecasting flood hazard (Conner and Tonina, 2014; Gichamo et al., 2012; Schäppi et al., 2010) ~~(Amir et al., 2014; Conner and Tonina, 2014; Gichamo et al., 2012; Schäppi et al., 2010)~~, predicting sediment transport and streambed morphological evolution (Manley and Singer, 2008; Nitsche et al., 2007; Rovira et al., 2005; Snellen et al., 2011), and monitoring instream habitats (Brown and Blondel, 2009; Powers et al., 2015; Strayer et al., 2006; Walker and Alford, 2016). ~~While Whereas exposed~~ floodplain areas can be

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directly monitored from aerial surveys, riverbed topography is not directly observable from airborne or space-borne methods (Als Dorf et al., 2007). Thus, there is a widespread global deficiency in bathymetry measurements of rivers and lakes.

-Within the electromagnetic spectrum, visible wavelengths have the greatest atmospheric transmittance and the smallest ~~water~~ attenuation ~~in water~~ (Liu et al., 2010). Therefore, remote sensing imagery from satellites, such as Landsat (Liceaga-Correa and Euan-Avila, 2002), Quickbird (Lyons et al., 2011), IKONOS (Stumpf et al., 2003), Worldview-2 (Hamilton et al., 2015; Lee et al., 2011), and aircrafts (Carbonneau et al., 2006; Marcus et al., 2003), has been used to monitor the bathymetry of inland water bodies. However, bathymetry can only be ~~indirectly~~ derived from optical imagery when water is very clear and shallow, the sediment is comparatively homogeneous, and ~~the atmosphere is~~ atmospheric conditions are favorable (Legleiter et al., 2009; Lyzenga, 1981; Lyzenga et al., 2006; Overstreet and Legleiter, 2017) (Lyzenga, 1981; Lyzenga et al., 2006). Thus, applications are limited to ~~shallow~~ gravel-bed ~~shallow~~ rivers, in which water depth is on the order of ~~the~~ Secchi depth (depth at which a Secchi disk is no longer visible from the surface).

Similarly, airborne ~~LIDARs~~ ~~operating with a green wavelength~~ can be applied to retrieve bathymetry maps (Bailly et al., 2010; Hilldale and Raff, 2008; Legleiter, 2012), but ~~also~~ this method is limited by water turbidity, which severely restricts the maximum depth to generally 2-3 times Secchi depth (Guenther, 2001; Guenther et al., 2000).

Because of satellite or aircraft remote sensing limitations, ~~field surveys, which are expensive and labor intensive, are normally required to obtain~~ accurate bathymetric cross sections ~~of river channels are generally obtained during field surveys, which are expensive and labor intensive~~. Some preliminary tests using ~~pulses of~~ a green wavelength ($\lambda = 532$ nm) Terrestrial Laser Scanning (TLS) for surveying submerged areas have been performed (Smith et al., 2012; Smith and Vericat, 2014). However, TLS suffers from similar limitations as LIDAR. Furthermore, the highly oblique scan angles of TLS make refraction effects more problematic (Woodget et al., 2015) and decrease returns from the bottom while increasing returns from the water surface (Bangen et al., 2014). Therefore, field surveys are normally performed using single-beam or multi-beam ~~swath~~ sonars ~~generally~~ transported on manned boats or more recently on unmanned vessels (e.g. Brown et al. 2010; Ferreira et al. 2009; Giordano et al. 2015). However, boats cannot be employed along non-navigable rivers and require sufficient water depth for navigation.

Unmanned Aerial Vehicles (UAVs) offer the advantage of enabling a rapid characterization of water bodies in areas that may be difficult to access by human operators (Tauro et al., 2015b). Bathymetry studies using UAVs are so far restricted to i) ~~passive~~ spectral signature-depth correlation ~~based on passive optical imagery~~ (Flener et al., 2013; Lejot et al., 2007) or ii) DEM (Digital Elevation Model) generation through stereoscopic techniques from through-water pictures, correcting for the refractive index of water (Bagheri et al., 2015; Dietrich, 2016; Tamminga et al., 2014; Woodget et al., 2015).

The high cost, size, and weight of bathymetric LIDARs severely limit their implementation on UAVs. An exception is the novel topo-bathymetric laser profiler, Bathymetric Depth Finder BDF-1 (Mandlbürger et al., 2016). This LIDAR profiler can retrieve measurements up to 1-1.5 time Secchi Depth, thus it is only suitable for ~~shallow~~ gravel-bed ~~shallow~~ water bodies. The system weighs ~~ca. 5.3~~ kg and requires a large UAV platform, ~~e.g. (e.g. multi-copters with a weight of around 25 kg)~~.

To overcome these limitations, we assess a new operational method to estimate river bathymetry in deep and turbid rivers.

This new technique ~~consists in~~ ~~involves deploying~~ ~~employing~~ an ~~off-the-shelf~~ ~~tethered~~, floating ~~off-the-shelf~~ sonar, ~~tethered to and~~ controlled by a UAV. With this technique we can combine i) the advantages of UAVs ~~in surveying in terms of the ability to~~ also remote, dangerous, non-navigable areas, with ii) the capability of bathymetric sonars ~~of for~~ measuring bathymetry in deep and turbid inland water bodies.

UAV-measurements of water depth (i.e. elevation of the water surface above the bed) can enrich the set of available hydrological observations along with measurements of water ~~surface elevation (WSE)~~, i.e. elevation of the water surface above sea level (Bandini et al., 2017; Ridolfi and Manciola, 2018; Woodget et al., 2015) (Bandini et al., 2017), and surface water flow (Detert and Weitbrecht, 2015; Tauro et al., 2015a, 2016; Virili et al., 2015).

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2. Materials and methods

The UAV used for this study was ~~a multi-copter~~; the off-the-shelf DJI hexa-copter Spreading Wings S900 equipped with a DJI A-2 flight controller.

2.1. UAV payload

The UAV was equipped with a GNSS (Global Navigation Satellite System) receiver for retrieving accurate position, an IMU (Inertial Measurement Unit) to retrieve angular and linear motion, and a radar system to measure the range to water surface. A picture of the UAV and the tethered sonar is shown in ~~a~~.

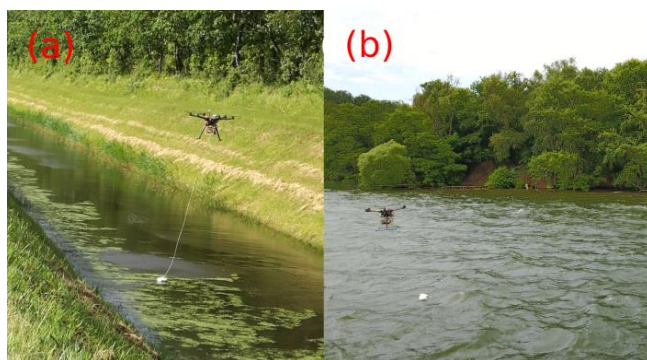


Figure 1. Pictures of the UAV and the tethered sonar. These pictures were retrieved in: (a) Marrebæk Kanal, Denmark. (b) Furesø lake, Sjælland, Denmark.

In (b) the drone was flown a few hundreds of meters from the ~~shorecoastline~~ and the picture was retrieved using an optical camera onboard an auxiliary UAV (DJI Mavic Pro).

The on-board GNSS system is a NovAtel receiver (OEM628 board) with an Antcom (3G0XX16A4-XT-1-4-Cert) dual-frequency GPS and GLONASS flight antenna. The UAV horizontal and vertical position is estimated with ~2-5 cm accuracy in carrier phase differential GPS mode. The on-board Inertial Measurement Unit (IMU) is an Xsense MTi 10-series. The optical camera is a SONY RX-100 camera. The radar is an ARS 30X radar developed by Continental. The radar and GNSS systems are the same instrumentation as described in Bandini et al. (2017); ~~where the system was developed to measure water level (i.e. height of the water surface above reference geoid). Water level, where of the water surface above reference geoid) in which WSE~~ was measured by subtracting the range measured by the radar (range between the UAV and the water surface) from the altitude observed by the GNSS instrumentation (i.e. altitude above reference ellipsoid, convertible into altitude above geoid level).

In this research, the radar and GNSS instrumentation are used to i) retrieve ~~water level-water surface elevation~~ ~~(WSE)~~ and ii) observe the accurate position of the tethered sonar;.

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2.2. Sonar instrumentation

The sonar used for this study was the “~~Deeper Smart Sensor PRO+Deeper Smart Sonar Pro Plus~~” developed ~~manufactured~~ by the company Deeper, UAB (~~Vilnius, Lithuania~~). It costs ~~~\$240~~ and weighs ~~~100 g~~.

The sonar is tethered to the UAV with a physical wire connection as shown in ~~Figure 2~~. For specific applications, the sonar can be lowered or raised using a remotely controlled lightweight wire winch, as shown in ~~Figure 2~~. The maximum extension of the wire was ~~ca. 5 m~~. ~~Furthermore a~~ remotely controlled emergency hook can be installed to release the sonar in case of emergency ~~(e.g. if the wire is caught in obstacles)~~.



Figure 2. Deeper sonar is connected to a UAV with a wire winch.

This sonar is a single-beam echo-sounder with two frequencies: 290 kHz and 90 kHz, with 15° and 55° beam angles, respectively. The 90 kHz frequency ~~was specifically is~~ developed to ~~identify-locate~~ fish with a large scanning angle, while the narrow field of view of the 290 KHz frequency gives the highest bathymetric accuracy. For this reason the 290 KHz frequency is used for observing bottom structure. ~~The sonar is capable of measuring depths up to 80 m and has a minimum measuring depth of 0.3-0.5 m depending on the substrate material.~~

The 15° beam ~~divergence-angle~~ of the 290 kHz frequency results in a ground footprint of ~~ca. 26 cm~~ at 1 m water depth. ~~This is footprint is not optimal for resolving small-scale features at large water depths. The sonar is capable of measuring depths up to 80 m.~~

The observations retrieved by the sonar include: time, approximate geographical coordinates of the sonar, sonar depth measurements (including waveform shape), size and depth of identified fish, and water temperature. ~~It is essential to analyse~~ ~~Analysis of the~~ multiple echo returns ~~of from the sonar wave measuring beam is essential~~ to identify the actual ~~measurement of the~~ water depth, ~~especially in shallow water~~. Indeed, when a sound pulse returns from the bottom, only a very small part of the echo hits the receiving transducer. The major portion hits the water surface and is reflected back to the bottom of the water body. ~~From the bottom. Then~~ it is reflected ~~upwards~~ again, and hits the receiving transducer a second time. In shallow water, this double-path reflection is strong enough to generate a second echo that must be filtered out.

2.3. UAV payload

~~The UAV was equipped with GNSS (Global Navigation Satellite System) for retrieving accurate position, an IMU (Inertial~~

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Measurement Unit) to retrieve angular and linear motion, and a radar system to measure the range to water surface. A picture of the UAV and the tethered sonar is shown in Figure 2.



Figure 2. Pictures of the UAV and the tethered sonar. These pictures were retrieved in: (a) Marrebæk Kanal, Denmark. (b) Furesø lake, Sjælland, Denmark. In (b) the drone was flown a few hundreds of meters from the coastline and the picture was retrieved using an optical camera onboard an auxiliary UAV (DJI Mavic Pro).

The on-board GNSS system is a NovAtel receiver (OEM628 board) with an Antcom (3G0XX16A4 XT 1-4 Cert) dual frequency GPS and GLONASS flight antenna. To estimate drone position with cm accuracy, the GNSS system works in carrier phase differential GPS mode. The on-board Inertial Measurement Unit (IMU) is an Xsense MTi-10 series. The optical camera is a SONY RX 100 camera. The radar is an ARS 30X radar developed by Continental.

The radar and GNSS systems are the same instrumentation as described in Bandini et al. (2017), where the system was developed to measure water level (i.e. height of the water surface above reference geoid). Water level was measured by subtracting the range measured by the radar (range between the UAV and the water surface) from the altitude observed by the GNSS instrumentation (i.e. altitude above reference ellipsoid, convertible into altitude above geoid level).

In this research, the radar and GNSS instrumentation are used i) to retrieve water level ii) to observe the accurate position of the tethered sonar.

2.4. Computation of sonar position

The sonar has a built-in GPS receiver to identify its approximate location. However, the accuracy of this GPS is several meters (up to 30 m). The large error of this single frequency GPS receiver is related to many different factors, including that disturbance of the GNSS signal by water beneath the sonar, -the drone, and the topography surrounding the water body. The accuracy of either GPS option is suboptimal for the generation of bathymetry maps, thus more accurate measurements of the sonar position are necessary. The drone absolute position is accurately known through the differential GNSS system described in Bandini et al. (2017). In order to estimate the relative position of the sonar with respect to the drone, the payload system measures the offset and orientation of the sonar. This concept is described in Figure 3Figure 3.

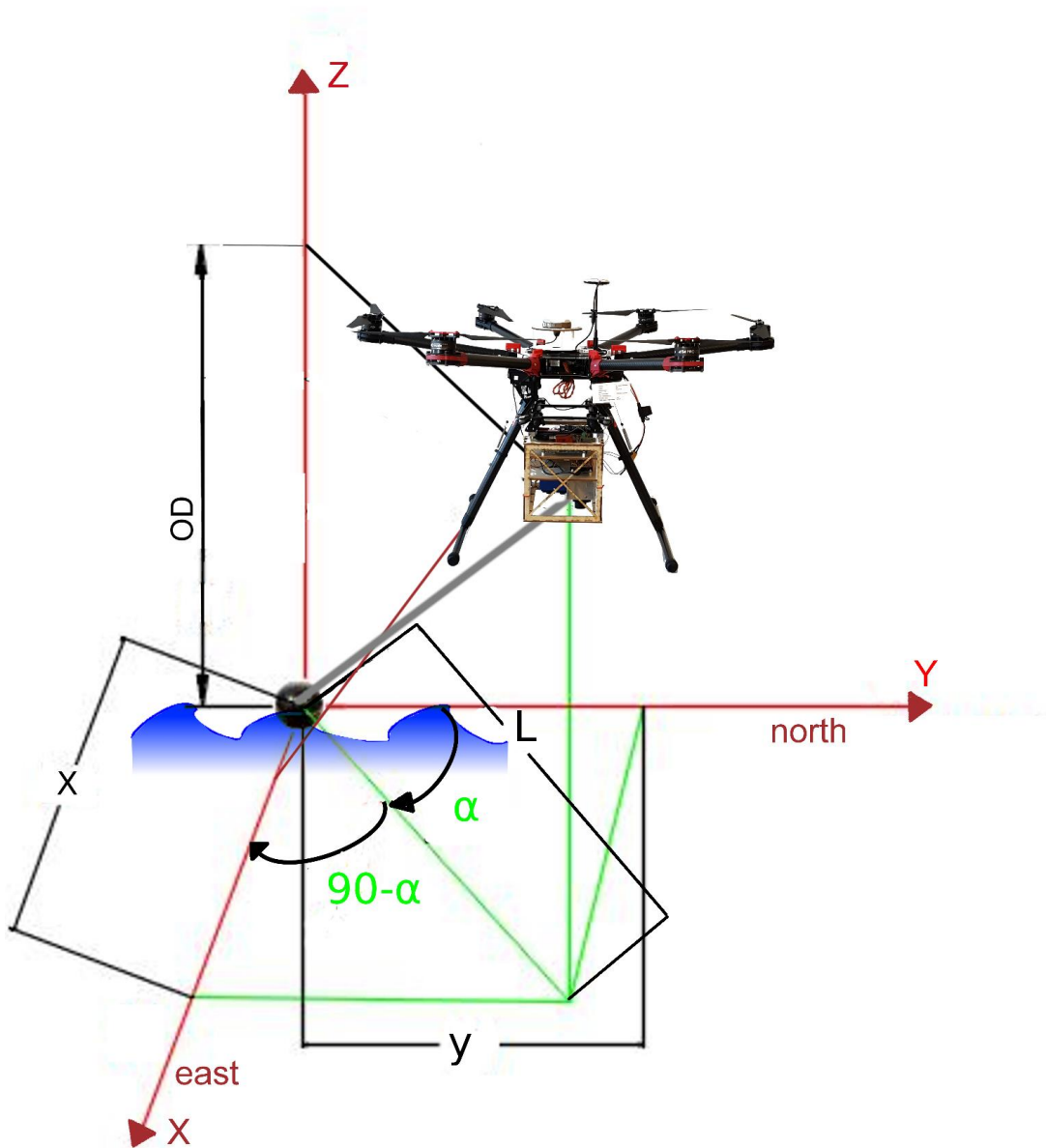


Figure 3. Sonar is the center of the reference system X, Y, Z. The horizontal displacement between the sonar and the drone is computed along the X and Y directions, the vertical displacement along the Z axis (Object Distance - OD). The angle α is the azimuth, i.e. the angle between the Y-axis pointing north and the vector between the drone and the sonar, projected onto the horizontal plane (in green). The azimuth angle is measured clockwise from north (i.e. α is positive in the figure).

10 The displacement between the sonar and the principal point of the onboard camera sensor is denoted by the variables x and y , in which x measures the displacement along the East direction and y along the North direction. As shown in Figure 3, the azimuth angle is necessary to compute the sonar displacement in Cartesian geographical coordinates Cartesian

coordinates.

The equations. The horizontal displacement between the sonar and the onboard camera can be estimated with the require observations from the different sensors ~~of comprising~~ the drone payload: (i) the GNSS system (to measure drone absolute coordinates), (ii) optical camera (to measure displacement of the sonar with respect to the drone), (iii) radar (to convert the displacement from pixels to metric units), and (iv) IMU (to project this displacement into East and North direction). In this framework, the optical SONY camera continuously captures pictures (with focus set to infinity) of the underneath lying water surface to estimate the sonar position with focus set to infinity. Lens distortion needs to be removed, corrected for, because since the SONY RX-100 camera is not a metric camera. Numerous methods have been discussed in the literature to correct for lens distortion (e.g. Brown, 1971; Clarke & Fryer, 1998; Faig, 1975; Weng et al., 1992). In this research the software PTLens was used to remove lens radial distortion because ~~he program database already includes the specific the~~ lens parameters of the SONY RX-100 camera are included in the software database. The displacement of the sonar with respect to the camera principal point can be measured in pixels along the vertical and horizontal axis of the image. This displacement in pixels is converted into metric units through equations (1), (2), (3), (4) ~~and~~. A representation of the variables contained in these equations is given in Figure 4. Application of Eq. (1) and (2) ~~and take as input~~ requires the following input parameters: the sensor width (W_{sens}) and sensor height (H_{sens}), the ~~F~~ focal length (~~F~~ focal length), and the object distance (OD). OD is the vertical range to the water surface and is measured by the radar. ~~Eq. (1) and (2) and~~ compute the width (WFOV) and height (HFOV) of the field of view.

$$WFOV = W_{sens} \cdot \frac{OD}{F} \quad (1)$$

$$HFOV = H_{sens} \cdot \frac{OD}{F} \quad (2)$$

Equation (3) and (4) compute the displacement, in metric unit, between the sonar and the center of the camera sensor along the horizontal (L_w) and vertical (L_h) axis of the picture. ~~These equation~~ Application of equations (3) and (4) ~~have as~~ input requires the following input parameters: ~~i)~~ the width (WFOV) and height (HFOV) of the field of view, ~~ii)~~ the sensor resolution in pixels ~~of the sensor~~ along the horizontal n_{pix_w} and the vertical n_{pix_h} direction, and ~~iii)~~ the measured distance in pixels between the sonar and the center of the image along the horizontal (pix_w) and vertical (pix_h) image axis. ~~s, s~~

$$L_w = \frac{WFOV}{n_{pix_w}} \cdot pix_w \quad (3)$$

$$L_h = \frac{HFOV}{n_{pix_h}} \cdot pix_h \quad (4)$$

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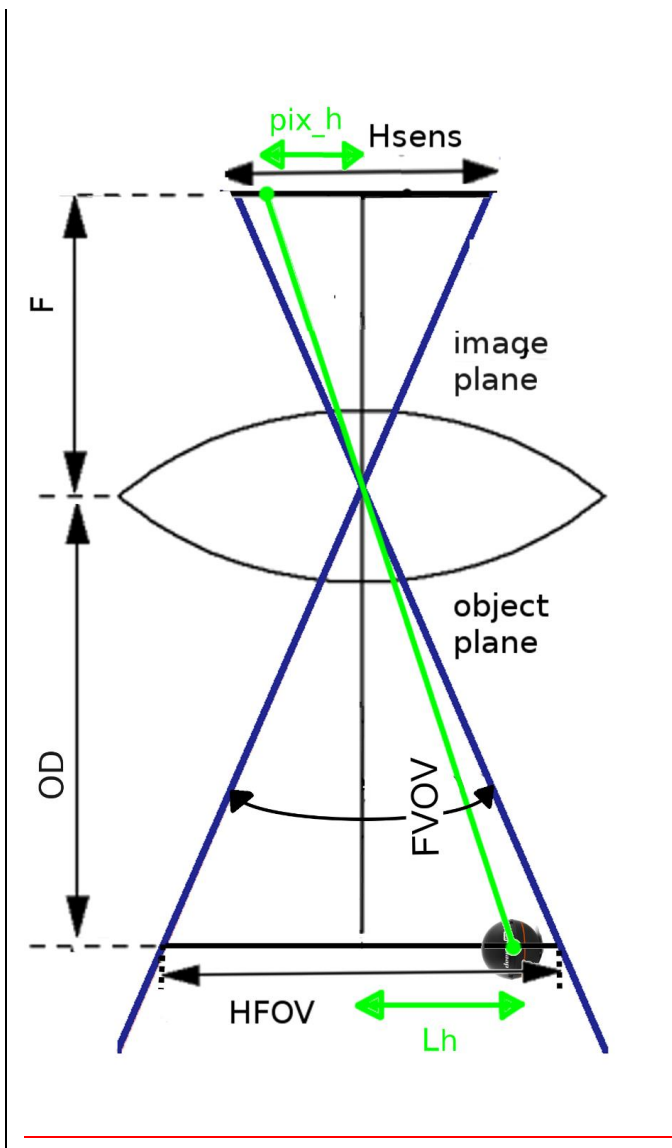
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5 **Figure 4.** Relationship between FOV (field of view in degrees), HFOV (height of the field of view, in metric unit), OD (object distance), F (focal length), pix_h (distance in pixels between center of the image and object in the image, along vertical axis of the image), Hsens (sensor height), and Lh (distance in metric units between object and center of the sensor, along vertical axis of the image). The drawing is valid under the assumption that the image distance (distance from the rear nodal point of the lens to the image plane) corresponds to the focal length.

10 -The length of displacement vector between the sonar and the camera principal point, denoted as L, and the angle ϕ (angle between- the camera vertical axis and the vector L-displacement vector) are computed through Eq.. (5) and (6). Figure 5 shows a picture retrieved by the camera. In the current payload setup the vertical axis of the camera is aligned with the drone nose (heading).

$$L = \sqrt{Lw^2 + Lh^2}$$

(5)

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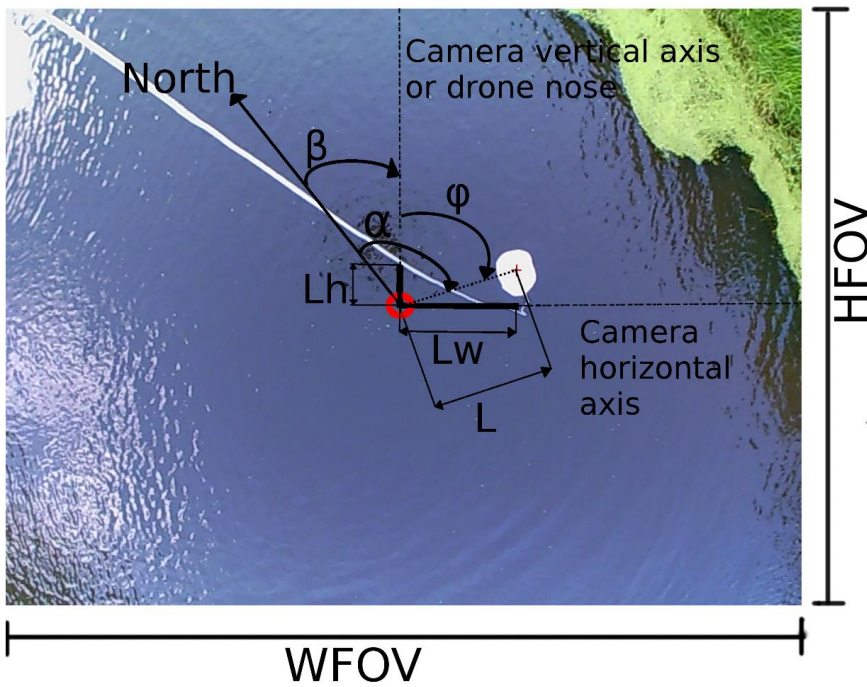
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$$\varphi = \tan^{-1} \frac{Lw}{Lh} \quad (6)$$

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5 **Figure 5.** UAV-borne picture of the tethered sonar. WFOV and HFOV are the width and height of the field of view. The tethered sonar is located below the white polyester board floating on the water surface. The red circle indicates the center of the image, while the red cross indicates the exact position of the sonar. The North direction is retrieved by the IMU. The vertical axis of the camera coincides with the drone heading. $-\beta$ is the angle between the drone heading and the north. φ is the angle measured clockwise from the camera vertical axis to the vector (L), which is the vector on the horizontal plane connecting the sonar to the image center. Lh and Lw are the vertical and horizontal components of the vector L. α is the azimuth angle measured clockwise from the north direction to L. Angles and vectors highlighted in this figure are on the horizontal plane, i.e. on the water surface.

10

The azimuth angle α of the sonar is computed through Eq. (7), which takes requires as input φ and in which β as inputs. The symbol β which is denotes the drone heading (angle between the drone's nose and the direction of the true north, measured clockwise from north). -This heading angle is measured by the onboard IMU system.

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$$\alpha = \beta + \varphi \quad (7)$$

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Equations (88) and (99) compute the variables x and y, which represent the displacement of the sonar with respect to the principal point of the onboard camera sensor along east and north direction, respectively. The absolute position of the drone is retrieved by the GNSS antenna installed on the top of the drone. The offset between the sensor of the camera onboard the

~~drone and the phase center of the GNSS antenna position is constant and known a priori. This offset vector also needs to be converted to spatial real world coordinates at each time increment accounting for the drone heading. Using this framework, the absolute sonar position can be computed in Cartesian coordinates.~~

$$x = \cos(90 - \alpha) \cdot L \quad (8)$$

$$y = \sin(90 - \alpha) \cdot L \quad (9)$$

~~The absolute position of the drone is simultaneously retrieved by the GNSS antenna installed on the top of the drone. The offset between the sensor of the camera onboard the drone and the phase center of the GNSS antenna position is constant and known a priori. This offset vector also needs to be converted to spatial real-world coordinates at each time increment accounting for the drone heading. Using this framework, the absolute sonar position can be computed in Cartesian coordinates by summing the relative displacement x and y to the camera absolute position.~~

2.5.2.3. Case studies

First, the accuracy of the ~~sonar in measuring water depth~~ water depth measured with the sonar was assessed against measurements ~~from obtained by the~~ survey boats. Secondly, UAV surveys were conducted to evaluate the ~~sonar accuracy in measuring depth~~ accuracy of the depth measured by the sonar and the accuracy ~~in determining of the~~ sonar position.

2.5.2.3.1. On boat accuracy evaluation

A bathymetric survey was conducted on a boat in Furesø lake, Denmark.

A second reference sonar, ~~the "Airmar EchoRange SS510 Smart Sensor" SS510 Smart™ Sensor (developed by Aimar, Milford, USA), was em~~ployed to assess the accuracy of the Deeper sonar. According to the technical datasheet, the SS510 Smart™ Sensor weighs around 1.3 kg, has a resolution of 3 cm, 9° beam angle, a measuring range from 0.4 m to 200 m and nominal accuracy 0.25% in depth measurements at full range. ~~Data between the two sonars were synchronized and accurate horizontal locations. The horizontal positions of the sonars during the surveys were were~~ acquired with a RTK GNSS rover installed on the boat.

During this survey, ground truth water depth measurements were retrieved in selected locations to validate the observations of the two sonars. Ground truth measurements were retrieved using a measuring system consisting of a heavy weight (ea~ 5 kg) attached to an accurate measuring tape. This reference system ~~is supposed to have has~~ an accuracy of ea~ 10-15 cm in water depth up to 40 m.

2.5.2.3.2. UAV-borne measurements

Flights were conducted in Denmark (DK) above Furesø lake (Sjælland-DK), ~~and~~ above Marrebæk Kanal (Falster-DK), and ~~Åmose (Sjælland-DK)~~. The flights above Furesø demonstrate the potential of the airborne technology for ~~taking-retrieving~~ measurements at a line-of-sight distance of a few hundred meters from the ~~eoastlineshore~~.

The flight above Marrebæk Kanal demonstrates the possibility of retrieving accurate river cross sections, which can potentially be used to inform hydrodynamic river models. ~~T~~while the flight above ~~Åmose~~ shows the possibility to retrieve

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observations with high spatial resolution, enabling the construction of-of a river stretch to reconstruct a bathymetric maps of entire river stretches. The accuracy of the observed river cross sections is evaluated by comparison with ground truth observations. Ground truth observations of Marrebaek Kanal the river cross sections were obtained by a manual operator wading into- the river and taking measurements with an RTK GNSS station-rover of i) the orthometric height of the river bottom, ii) the WSE. Ground truth water depth was then computed by subtracting the orthometric height of the bottom from the water level WSE -measurements.

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3. Results

3.1. Computation of the sonar position

The accuracy of the estimation the absolute position of the sonar in geographical coordinates depends on the accuracy of: i) the horizontal drone position, ii) the drone heading, and iii) the relative position of the sonar with respect to the drone. The accuracy of these observations are reported in Table 2.

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The accuracy in measuring of the relative position of the sonar depends on image analysis procedure implemented to convert an offset from pixel into metric units. This procedure is also affected by radar accuracy in measuring the range to the target the accuracy of the radar derived WSE, since because OD is an input to equations (1) and (2). Tests were conducted in static mode using a checkerboard, placed at a known distances values in the range between 1 and 4 m, to evaluate the accuracy of measuring true distances in the image. These experiments proved an accuracy showed that in measuring the offset between the camera and the sensor could be determined with an accuracy of of ~3% of its actual value. This The error in converting in the conversion from image units to true distance units is mainly due to i) uncorrected lens distortion and ii) approximation assumption, used in for equations (1) and (2), in assuming focal length is precisely known and that the distance between the rear nodal point of the lens and the image plane exactly equal to focal length.

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Table 2. Accuracy of the different sensors which sensors are used to measure the absolute position of the sonar in geographical coordinates

Sensor	Observation	Accuracy
IMU	β (drone heading)	3°
GNSS	Drone horizontal position	2 cm at twice the standard deviation (Bandini et al., 2017)
Radar	OD (range to water surface)	0.5% of the actual range (Bandini et al., 2017)
Camera	L_w , L_h (Offset between sonar and camera center along horizontal and vertical axis of the picture)	$\approx 3\%$ of the actual value

An error propagation study was performed to evaluated the overall accuracy in obtaining the of the absolute position of the sonar in horizontal coordinates. For detailed information, see the supplementary data. The errors uncertainties in measuring of β , and in computing L_w and L_h have the larger impact on the overall accuracy, compared to other error sources, such as OD and drone absolute position. Since the offset between the center of the camera and the sonar, L , typically assumes values between 0 and 2 m, the overall sonar position accuracy is generally better than 20 cm. This accuracy is acceptable for most bathymetric surveys, particularly in light of the spatial resolution (15° beam divergence) of the sonar measurements.

3.2.3.1. On boat sonar accuracy

Figure 6 shows the measurements retrieved by the two sonars in the lake. The background map is from Google Satellite imagery Earth. The water level WSE retrieved by the RTK GNSS station was 20.40 ± 0.05 m above sea level during this survey. The orthometric height of the bottom can be retrieved by subtracting water depth observations from the water level.

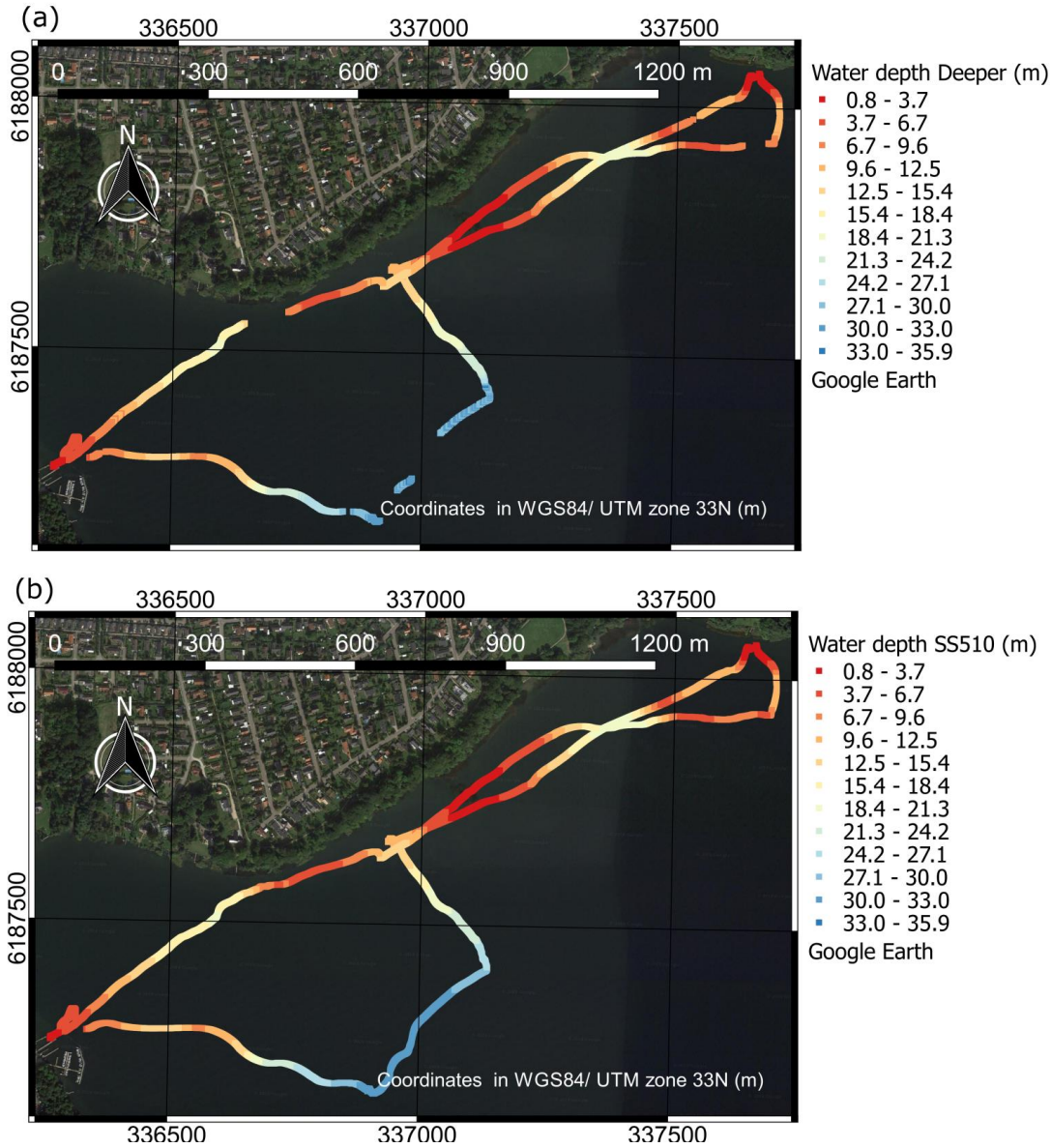


Figure 6. Water depth measurements retrieved in Furesø by the two sonars: (a) Observations with Deeper sonar; (b) observations with SS510 sonar.

The maximum water depth retrieved during the survey is ~~ca. 35-36~~ m.

In Figure 7 we report the absolute value of the difference between the observations retrieved by the SS510 and the Deeper sonar, two sonars.

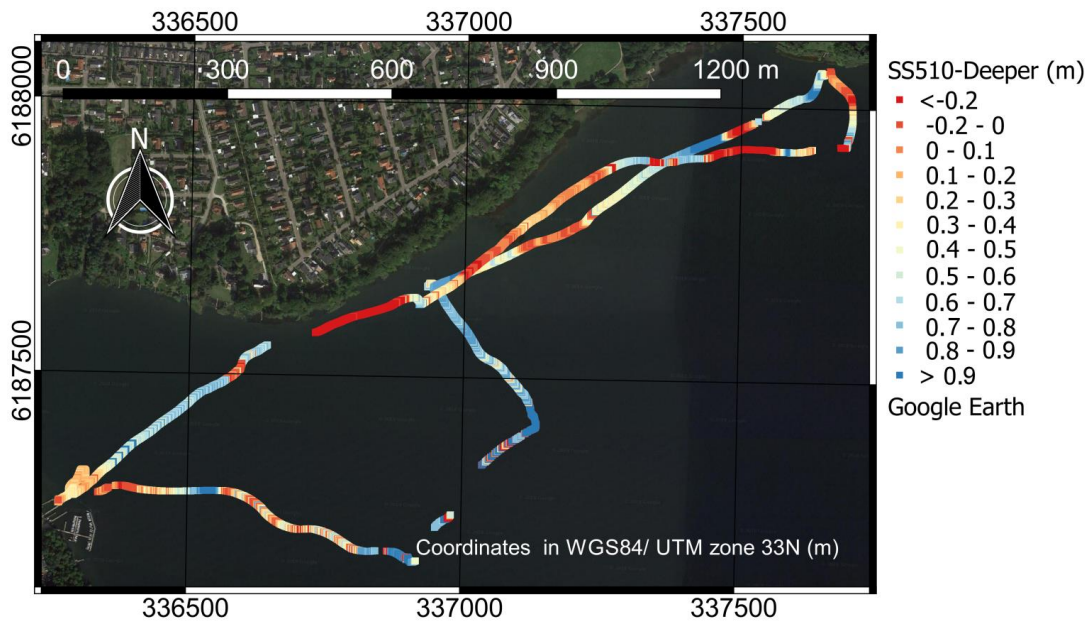


Figure 7. Absolute value of the difference between water depth measured by SS510 sonar and the Deeper sonar.

- Figure 7 shows high consistency between the two sonars. However, coastal areas with dense submerged vegetation ~~result~~ ~~is show~~ larger errors. While in the deepest area (e.g., ~30 m deep) the Deeper sonar observed multiple returns of the sound wave caused by suspended sediments, thus the analysis of the waveform was more complicated and subject to errors. In this area the Deeper sonar ~~is missing~~ ~~misses~~ some water depth observations, where the waveform analysis does not show a well-defined strong returning echo.
- The observations retrieved by the two sonars are compared with ground truth observations in ~~Figure 8~~ ~~Figure 8~~.

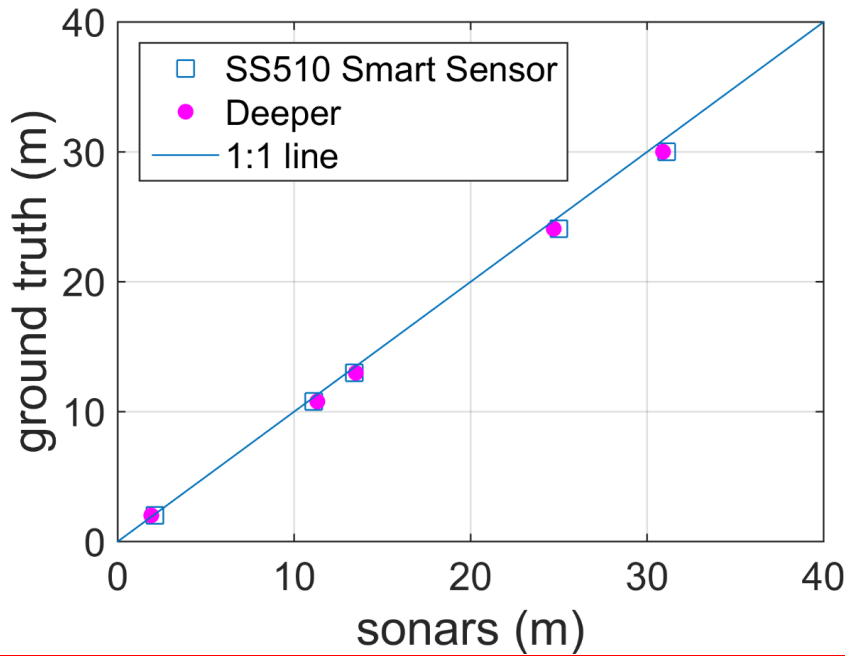


Figure 8. Relationship between measurements of two sonars and ground truth.

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Regression lines could be fitted to the observations shown in Figure 8 with a R^2 of ca. 0.99. Figure 8 depicts a systematic ~~underestimation~~ ~~overestimation~~ of water depth by both sensors. The relationship between the observations of the two sonar sensors (x) and ground truth (y) can be described with a linear regression of the form shown in (10), in which β_0 is the offset (y-intercept), β_1 the slope and ε is a random error term:

$$y = \beta_0 + \beta_1 x + \varepsilon \quad (10)$$

This survey showed an offset factor ~~is~~ ~~of~~ zero. Thus the bias between the ground truth observations and the sonar observations can be corrected by multiplying the sonar observations by β_1 . ~~This~~ linear regression lines can be fitted to the observations shown in Figure 8 with a R^2 of ~0.99. Appendix A shortly describes how the physical variables (depth, salinity and temperature) can ~~bias~~ ~~impact~~ ~~water~~ ~~depth~~ ~~observations~~ ~~using~~ ~~sonars~~ ~~observations~~.

Table 1 shows comparative statistics between the Deeper, the SS510 sonar, and the ground truth observations.

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Table 1. Statistics comparing the Deeper sonar, SS510 sonar and ground truth observations.

Statistics	Sample size	Root mean Square Error (RMSE) [m]	Mean absolute error (MAE) [m]	Mean bias error (MBE) [m]	Relative error [%]
SS510 sonar-Deeper sonar ^a	57528	0.38	0.32	0.27	3.70%
<u>Before bias correction</u>					
Deeper sonar-ground truth	5	0.58	0.52	0.48	3.80%
SS510 sonar-ground truth	5	0.675	0.56	0.56	3.65%
<u>After bias correction</u>					
Deeper sonar-ground truth	5	0.12	0.11	5*10 ⁻⁴	2.10%
SS510 sonar-ground truth	5	0.052	0.047	-0.01	0.57%

^a Statistics computed after removing outliers (above the 95% percentile and below the 5% percentile).

^b Before bias correction

^c After bias correction

Table 1 shows a difference of ca. ~30 cm between the measurements of the two sonars, with the Deeper sonar generally underestimating water depth. This can be due to the wider scanning angle of the Deeper (15°) compared to the SS510 sonar (9°). The Deeper is more affected by steep slopes, in which the depth tends to represent be biased toward the

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~~shallowest-most shallow~~ point in the beam because of the larger scanning angle. The Deeper and SS510 observations can be corrected multiplying by ~~the slope β_1 (~ 0.97 for the Deeper and ~ 0.96 for the SS510 sonar).~~ The correction factor is site specific as it depends on the bed form and material, and on the water ~~condition-properties~~ (temperature, salinity, and pressure). Therefore, the acquisition of a sample of ground control points is required.

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3.3.3.2. UAV-borne measurements

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In ~~Figure 9~~ ~~Figure 9~~ we show the observations of the UAV-borne survey above Furesø.

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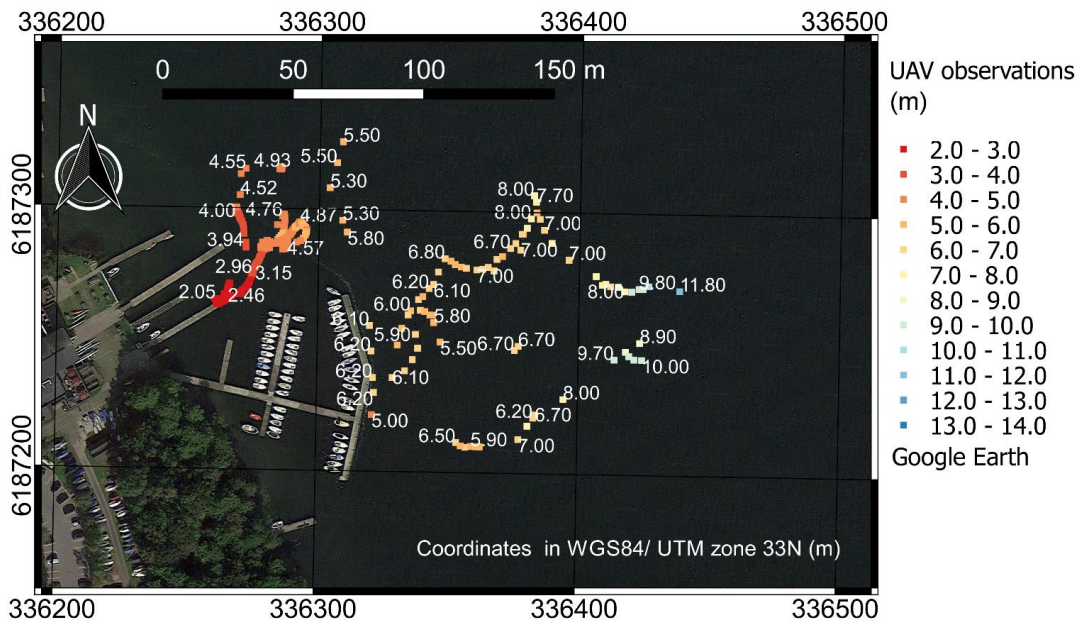


Figure 9. ~~W~~ Airborne water depth (m) observations ~~retrieved~~ in Furesø ~~with the UAV-tethered sonar~~.

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~~Figure 10~~ ~~Figure 10~~ depicts the UAV observations of four different cross sections of Marrebæk Kanal.

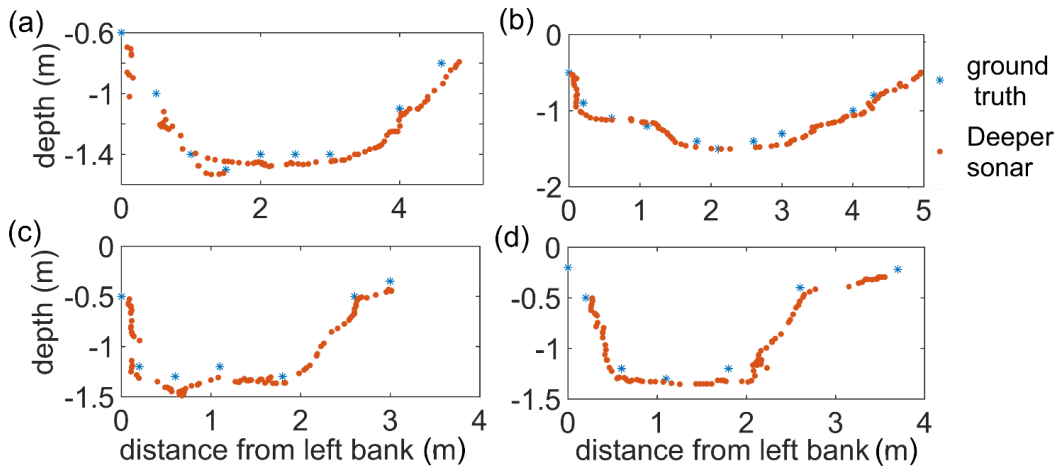


Figure 10. River cross sections retrieved at different locations along Marrebæk Kanal. Red points are retrieved with UAV-borne observations, blue asterisk are the ground truth observations. The latitude and longitude coordinates of the center-left bank of the river cross sections are (a) 54.676300°, 11.913296° (b) 54.675507°, 11.913628° (c) 54.682117°, 11.911957° (d) 54.681779°, 11.910723° (WGS84 coordinates).

5

The accuracy of ground truth observations depends on both i) the accuracy of the GNSS observations ii) the accuracy in positioning the GNSS pole in contact with the river bed. A vertical accuracy of $\pm 5-7$ cm and a horizontal accuracy of $\pm 2-3$ cm are predictable/estimated for the RTK GNSS ground truth observations. While the accuracy of the UAV-borne river cross section observations depends on i) the error in absolute position of the sonar ii) the sonar's accuracy in measuring depth. The Deeper sonar is showing a systematic overestimation of water depth in Figure 10, which can be corrected by multiplying by the slope coefficient ($\beta \approx 0.95$ for this specific survey). This confirms that, when high accuracy is required, ground truth observations are necessary for estimating the bias in sonar measurement. Figure 11 shows the observations after correction for the bias factor, which was ≈ 0.95 for this specific survey. Figure 11 shows the observations after correction for the measurement bias.

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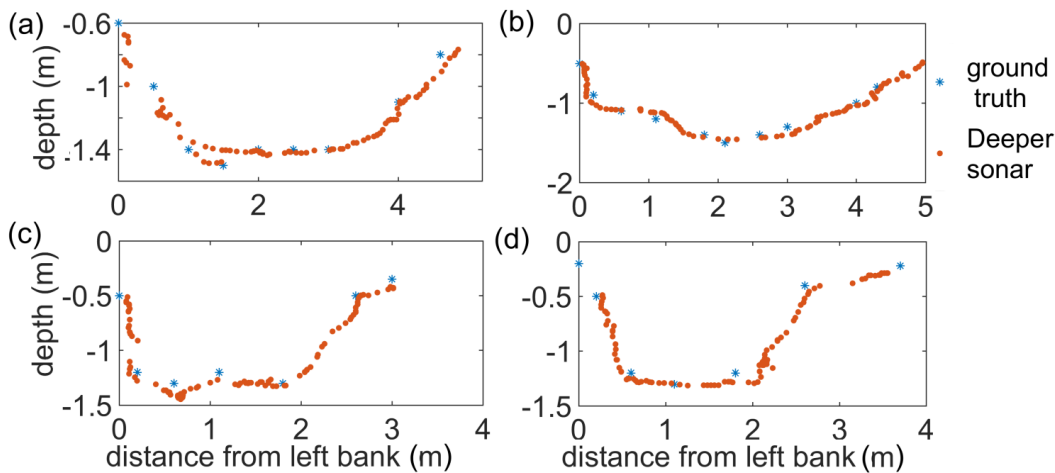
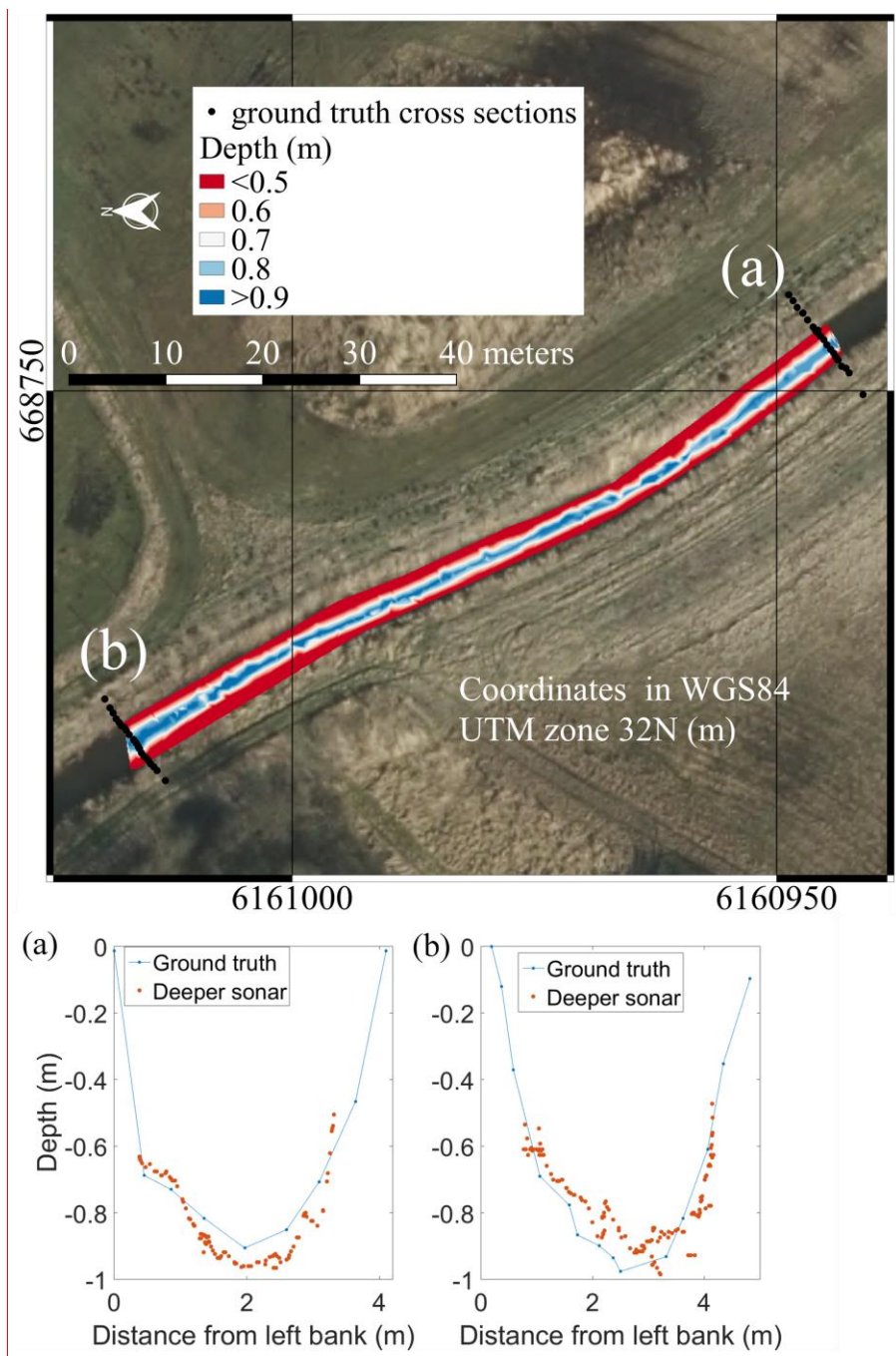


Figure 11. River cross sections observations retrieved from Marrebæk Kanal at the locations shown in Figure 10 after correction of the Deeper sonar observations. Red points are retrieved with UAV-borne observations, blue asterisk are the ground truth observations.

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Survey can be conducted UAV-borne bathymetric surveys provide to retrieve observations at high spatial resolution. These observations-Surveys can be interpolated to obtain bathymetric maps of a entire river stretches. Figure 12+2Figure 11Figure 14 shows UAV observations in Åmose Å retrieved with the Deeper sonar at a resolution of ~0.5 m- These observations were interpolated with using the triangulated irregular network method. -Two ground truth cross sections were retrieved with the RTK GNSS rover. The investigated stretch of Åmose Å has a length of ~85 m and a maximum water depth of ~1.15 m.



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Figure 12. bathymetry observations in Åmose Å. Top panel shows the surveyed river stretch (north direction pointing towards left side of the map as indicated by the north arrow). Background map is an airborne orthophoto retrieved provided by the Danish "Styrelsen for DataForsyning og

Effektivisering" (<https://kortforsyningen.dk/>, 2018). Raster foreground map shows UAV-borne observations interpolated with triangulated irregular network method. Two ground truth cross sections were retrieved. Bottom panels shows the two cross sections: (a) upstream and (b) downstream cross section.

Figure 1242 shows that the minimum depth restriction capability is a significant limitation of the sonar for the application of the sonar in small rivers and streams. ~~Water~~ indeed water depth values smaller than ~0.5 m are generally not measured by the Deeper sonar. Furthermore, the soft sediment and the submerged vegetation ~~are causing~~ cause significant error in the Deeper observations when compared to ground truth cross sections. In this survey, it was not possible to identify a systematic error and thus correct for the bias of the UAV-borne observations.

3.3. Computation of the sonar position

The accuracy of the absolute position of the sonar in geographical coordinates depends on the accuracy of: i) the horizontal drone position, ii) the drone heading, and iii) the relative position of the sonar with respect to the drone. The accuracies of these observations are reported in .

The accuracy of the relative position of the sonar depends on the image analysis procedure implemented to convert an offset from pixel into metric units. This procedure is also affected by the accuracy of the radar-derived WSE, because OD is an input to equations (1) and (2). Tests were conducted in static mode using a checkerboard, placed at a series of known distances between 1 and 4 m, to evaluate the accuracy of measuring true distances in the image. These experiments showed that the offset between the camera and the sensor could be determined with an accuracy of 3% of its actual value. The error in the conversion from image units to true distance units is mainly due to i) uncorrected lens distortion and ii) assumption, used in equations (1) and (2), that focal length is precisely known and that the distance between the rear nodal point of the lens and the image plane is exactly equal to focal length.

Table 2. Accuracy of the different sensors used to measure the absolute position of the sonar in geographical coordinates

Sensor	Observation	Accuracy
IMU	β (drone heading)	3°
GNSS	Drone horizontal position	2 cm at twice the standard deviation (Bandini et al., 2017)
Radar	OD (range to water surface)	0.5% of the actual range (Bandini et al., 2017)
Camera	L_w , L_h (Offset between sonar and camera center along horizontal and vertical axis of the picture)	$\approx 3\%$ of the actual value

An error propagation study evaluated the overall accuracy of the absolute position of the sonar in horizontal coordinates. For detailed information, see the supplementary data. The uncertainties of β , L_w and L_h have the larger impact on the overall accuracy, compared to other error sources, such as OD and drone absolute position. Since the offset between the center of the camera and the sonar, L , typically assumes values between 0 and 2 m, the overall sonar position accuracy is generally better than 20 cm. This accuracy is acceptable for most bathymetric surveys, particularly in light of the spatial resolution (15° beam divergence) of the Deeper sonar measurements.

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4. Discussion

Bathymetry can be measured with both in situ and remote sensing methods. In-situ methods generally deploy bathymetric sonars installed on vessels. Remote sensing methods include i) LIDAR techniques, ii) methods evaluating the relationship between spectral signature and depth, or iii) through-water photogrammetry. Remote sensing methods generally allow for larger spatial coverage than in situ methods, but only shallow and clear water bodies can be surveyed. Table 3 shows a comparison of the different remote sensing and in-situ techniques. UAV-borne sonar depth measurements allow to bridge the gap between ground surveys and remote sensing techniques. The deployed Deeper tethered sonar can measure deep and turbid water, and reach remote and dangerous areas, including non-navigable streams, when it is tethered to UAV. For depths up to ea--30 m, the 2.1% accuracy complies with the 1st accuracy level established by the International Hydrographic Organization (IHO) for accurate bathymetric surveys. Indeed, for depths of 30 m, the accuracy of the tethered sonar is of ea--0.630 m, while the 1st IHO level standard requires an accuracy better than 0.634 m. Conversely, for depths greater than 30 m, the UAV-borne sonar measurements comply with the 2nd IHO level. TheBecause of the large measuring beam angle of the Deeper sonar, determines a resolution that is unable to resolve small-scale bathymetric features at greater depth cannot be resolved. However, a large beam measuring angle (e.g. 8-30°) is an intrinsic limitation of single-beam sonar systems. For these reasons, when detection of small-scale features is required, surveys are generally performed with vessels equipped with multi-beam swath systems or side-scan imaging sonars.— These systems are generally significantly more expensive, heavier and larger than single-beam sonars, which makes it complicated their implementation as UAV-tethered systems integration with UAV platforms difficult.

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Table 3. Comparison of different approaches for measuring river bathymetry.

Technique	Platform	Spatial resolution (m)	Max. water depth (m)	Typical error (m)	Applicability (e.g. water clarity)	References
Spectral signature	Satellite	High resolution commercial satellites ^a : ≈2 m Medium resolution satellites ^b : Typically >30 m				(Fonstad and Marcus, 2005; Legleiter and Overstreet, 2012)
	Manned aircraft	Typically 0.5-4 m	1-1.5 m	0.10-0.20 m	≈1-1.5 times Secchi Depth	(Carbonneau et al., 2006; Legleiter and Roberts, 2005; Winterbottom and Gilvear, 1997)
	UAV	0.05-0.20 m				(Flener et al., 2013; Lejot et al., 2007)
Through-water photogrammetry	Manned aircraft	Typically 0.1-0.5 m				(Feurer et al., 2008; Lane et al., 2010; Westaway et al., 2001)
	UAV	Typically 0.01-0.1 m	0.6-1.5 m	0.08-0.2 m	≈Secchi Depth	(Bagheri et al., 2015; Dietrich, 2016; Tamminga et al., 2014; Woodget et al., 2015)
LIDAR	UAV	≈0.020 m @ 20 m	1-1.5 m	≈0.10 m with standard deviation of 0.13 m	≈1-1.5 times Secchi Depth	(Mandlbürger et al., 2016)
	Manned aircraft	Few dm-several m	6 m	0.05-0.3 m	≈2-3 times Secchi Depth	(Bailey et al., 2012, 2010; Charlton et al., 2003; Hilldale and Raff, 2008; Kinzel et al., 2007)
TLS ^c	Banks of the water body	Typically ≈0.05 m	0.5 m, but typically ≈0.1 m	0.005-0.1 m	Clear water	(Bangen et al., 2014; Heritage and Hetherington, 2007; Smith et al., 2012; Smith and Vericat, 2014)
Single or multi-beam swath sonars ^e	Manned/ Unmanned vessels	Depending on the instrumentation and water depth	Sonars have minimum depth requirements (0.5-1 m)	Variable	Navigable streams	Widely known methodology
Sonar tethered to UAV	UAV	Depending on the water depth ^d	0.5-80 m	≈3.8% ^e ≈2.1% ^f of actual depth	All water conditions	Methodology described in this paper

^a Multispectral bands: IKONOS, QuickBird, WorldView-2

^b Landsat

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^c Terrestrial Laser Scanner (TLS)

^d The divergence of the sonar cone beam is 15°.

^e Before bias correction

^f After bias correction

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~~Table 3~~ Table 3 does not include methods requiring the operator to wade into a river, e.g. measurements taken with a RTK GNSS rover ~~station~~ (e.g. Bangen et al. 2014). To take measurements with a GNSS rover ~~station~~, the operator must submerge the antenna pole until it reaches the river bed surface. Therefore, this method can only be used for local ~~evaluation of observations, because it cannot retrieve spatially distributed water depth measurements.~~ Furthermore, innovative approaches such as using a ground penetrating radar (GPR) are not included because they are still at the level of local proof-of-concept applications (Costa et al., 2000; Spicer et al., 1997) and generally require cableways to suspend instrumentation ~~above river a few decimeters above the water surface~~ cross-sectional area.

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In order to obtain reliable measurements and ensure effective post-processing of the data, the techniques shown in Table 3 require initial expenditure and expertise from multiple fields, e.g. electric and software engineers (for technology development and data analysis), pilots (e.g. UAVs and manned aircrafts), experts in river navigations (for boats), surveyors (e.g. for rover GNSS ~~rovers~~ stations, photogrammetry), hydrologists and geologists. In appendix B the typical survey expenditures for the different techniques are shown.

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4.1. Future research

UAV-borne measurements of water depth have the potential to enrich the ~~realm-set of available~~ of hydrological observations. Their advantages compared to airborne, satellite and manned boat measurements ~~have been proven~~ were demonstrated in this study. The competitiveness of UAVs in measuring water depth, compared to the capabilities of unmanned aquatic vessels equipped with sonar and RTK GNSS systems, is currently limited to water bodies that do not allow navigation of unmanned aquatic vessels, e.g. because of high water currents, slopes, or obstacles. The full potential of UAV-borne hydrological observations will be exploited only with flight operations beyond visual line-of-sight. The new-generation of waterproof rotary wing UAVs equipped with visual navigation sensors and automatic pilot systems can allow retrieving will make it possible to collect hyper-spatial observations in remote or dangerous locations, without requiring the operator to access the area.

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5. Conclusions

UAVs are flexible and low-cost platforms. They allow operators to retrieve hyper-spatial hydrological observations with high spatial and temporal resolution. Automatic flight, together with computer vision navigation, allows UAVs to monitor dangerous or remote areas, including non-navigable streams.

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This study shows how water depths can be retrieved by a tethered sonar controlled by UAVs. In particular, we highlighted that:

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- The ~~sonar accuracy in measuring water depth~~ accuracy of the measured water depth is not significantly affected by bottom structure and water turbidity if the sound waveform is correctly processed. However, submerged vegetation and soft sediments can affect sonar observations.
- Observations were retrieved for water depths ranging from 0.5 m up to 35 m. Accuracy can be improved from ~~ea.~~~ 3.8% to ~~ea.~~~ 2.1% after correction of the observational bias, which can be identified and quantified by acquiring a representative sample of ground truth observations. The observational bias, is ~~is~~ which was observed in most experiments, can be caused by the ~~sound wave's~~ dependence of the sound wave speed on temperature, salinity, and

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pressure. ~~The relatively wide beam angle (15°) of the UAV-tethered sonar can cause implies coarse spatial resolution, especially at large water depths, and limits the detection of small-scale differences in depth.~~

- The accuracy and maximum ~~survey depth capability~~ achieved in this study exceed those of any other remote sensing techniques and are comparable with bathymetric sonars transported by manned or unmanned aquatic vessels.

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10 Appendix A

In ~~Figure 8~~ ~~Figure 8~~ the measurements of the two different sonars lie along a line with a nearly constant slope (not coincident with the 1:1 line) with respect to the ground truth observations.

~~The equation presented by~~ Chen and Millero (1977) ~~equation~~ is the international standard algorithm, often known as the UNESCO algorithm, that computes the speed of sound (c) in water as a complex function of temperature (T), salinity (S) and pressure (P).

This equation has a range of validity: temperature 0 to 40 °C, salinity 0 to 40 parts per thousand, pressure 0 to 1000 bar (Wong and Zhu, 1995). The lake in which the measurements were conducted has a salinity of less than 0.5‰, a recorded surface temperature between 12 and 19°, a depth up to ~~ca. 35 m~~ ~~pressure~~. ~~A sensitivity analysis with one factor varying at the time was applied to the Chen and Millero equation to estimate the range of variability of the speed of sound at different temperature, salinity, and depth (or pressure) values, as shown in Figure A1~~ ~~Figure A1~~.

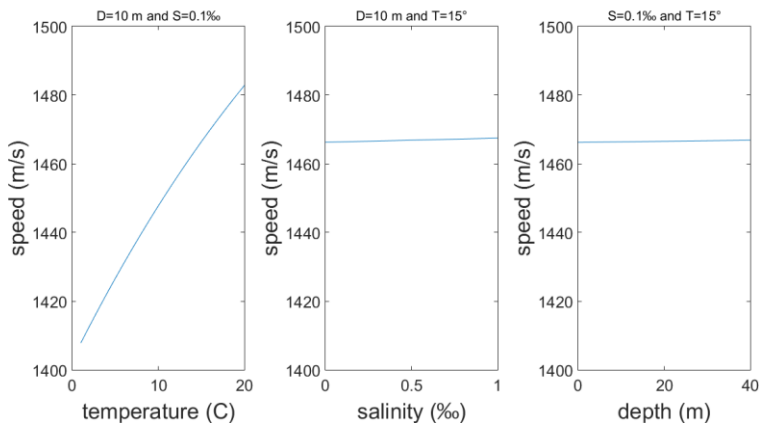


Figure A1. Sound speed for varying temperature, salinity, and depth.

As shown ~~Figure A1~~ ~~Figure A1~~, temperature has the largest influence on speed of sound. Thus, the slope of linear regression between sonar and ground truth measurements is mainly determined by the temperature profiles and only to a lesser extent by the salinity and depth. ~~Indeed, A~~ although the two sonars measure the surface temperature of water, no ~~internal~~ compensation is performed for the ~~temperature~~ vertical ~~temperature~~ profile.

Appendix B

Costs related to the individual approaches to measure bathymetry are difficult to estimate and compare. Costs include an initial expenditure and additional expenses depending on the nature of each survey. These typically depend on the duration of the survey, on the size of the area to be surveyed, on the needed accuracy and resolution, on the cost of labor, and on the water body characteristics. Table B1 compares the approximate costs for the techniques that are most commonly used to retrieve water depth.

Table B1. Cost comparison for different techniques.

Technique	Platform	Cost for instrumentation (currency: United State dollars)	Costs per survey (currency: United State dollars)	Reference
Spectral signature	Satellite	Costs sustained by space agencies	<ul style="list-style-type: none"> High resolution: \$10-30 per km² With minimum order image size: 25-100 km² Medium resolution (e.g. Landsat): open access 	http://www.landinfo.com/satellite-imagery-pricing.html
	Manned aircraft	Multispectral Cameras: \$15,000-\$200,000	Minimum survey cost: ~\$15,000 to \$20,000 Rate per km: \$300 to \$800 per km ²	Online data collection
Through-water photogrammetry	UAV	Multispectral Cameras: \$15,000-\$200,000 Medium size UAV: \$3,000-\$30,000	Minimum survey cost: ~\$100-300 per h of survey	Online data collection
	Manned aircraft	Cameras \$51,000-\$30,000	Minimum survey cost: ~\$15,000 to \$20,000 Rate per km: \$300 to \$800 per km ²	Online data collection
LIDAR	UAV	LIDAR ~\$120,000 Large size UAV: \$15,000-30,000	Minimum survey cost: ~\$100-300 per h of survey	("Riegl, personal sale quotation" 2017)
	Manned aircraft	LIDAR \$100,000-\$2,500,000 (price range available on the market)	Minimum survey cost: ~\$15,000 to \$20,000 Rate per km: \$300 to \$800 per km ² Post-processing: additional \$150 to \$300 per km ²	(Bangen et al., 2014)
TLS	In-situ	TLS \$65,000-\$225,000	Minimum survey cost: ~\$60-100 per h Survey efficiency: 1.4-1.9 h/scan	(Bangen et al., 2014)

Single-beam and multi-beam sonar	Manned Boat	<ul style="list-style-type: none"> \$200-2,000 (single-beam sonar) \$20,000-100,000 (multi-beam sonar) 	Minimum survey cost: ~\$100-500 per h of survey	Online data collection
Instrumentation cost:				
Sonar tethered to UAV	UAV	<ul style="list-style-type: none"> Sonar \$240 Radar, camera, IMU and GNSS \$6,000-10,000 Medium size UAV \$3,000-30,000 	Minimum survey cost: ~\$100-300 per h of survey. Survey efficiency: average flight speed of ~0.5 m/s	This paper

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