

# Original Research Article

## Developing a Decision Support Tool for Assessing Land Use Change and BMPs in Ungauged Watersheds Based on Decision Rules Provided by SWAT Simulation

Junyu Qi<sup>a\*</sup>, Sheng Li<sup>b,c</sup>, Charles P.-A. Bourque<sup>b</sup>, Zisheng Xing<sup>b,d</sup>, and Fan-Rui Meng<sup>b</sup>

<sup>a</sup> Earth System Science Interdisciplinary Center, University of Maryland, College Park, 5825 University Research Ct, College Park, MD, 20740, USA

<sup>b</sup> Faculty of Forestry and Environmental Management, University of New Brunswick, P.O. Box 44400, 28 Dineen Drive, Fredericton, NB, E3B 5A3, Canada

<sup>c</sup> Potato Research Centre, Agriculture and Agri-Food Canada, P.O. Box 20280, 850 Lincoln Road, Fredericton, NB, E3B 4Z7, Canada

<sup>d</sup> Portage La Prairie Site of Brandon Research and Development Centre, Agriculture and Agri-Food Canada, MB, Canada

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\*Corresponding author: Junyu Qi, Tel.: +1 240 302 5689, E-mail: junyuqi@umd.edu

1 **Abstract**

2 Decision making on water resources management at ungauged, especially large-scale  
3 watersheds relies on hydrological modeling. Physically-based distributed hydrological  
4 models require complicated setup, calibration, and validation processes, which may delay  
5 their acceptance among decision makers. This study presents an approach to develop a  
6 simple decision support tool (DST) for decision makers and economists to evaluate multi-  
7 year impacts of land use change and BMPs on water quantity and quality for ungauged  
8 watersheds. The example DST developed in the present study was based on statistical  
9 equations derived from Soil and Water Assessment Tool (SWAT) simulations applied to  
10 a small experimental watershed in northwest New Brunswick. The DST was  
11 subsequently tested against field measurements and SWAT simulations for a larger  
12 watershed. Results from DST could reproduce both field data and model simulations of  
13 annual stream discharge and sediment and nutrient loadings. The relative error of mean  
14 annual discharge and sediment, nitrate-nitrogen, and soluble-phosphorus loadings were -6,  
15 -52, 27, and -16%, respectively, for long-term simulation. Compared with SWAT, DST  
16 has fewer input requirements and can be applied to multiple watersheds without  
17 additional calibration. Also, scenario analyses with DST can be directly conducted for  
18 different combinations of land use and BMPs without complex model setup procedures.  
19 The approach in developing DST can be applied to other regions of the world because of  
20 its flexible structure.

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21 **Keywords:** multiple regression; hydrological model; erosion; nitrate leaching;  
22 geographic information system

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24       **1. Introduction**

25       Pollution from nonpoint sources poses a significant threat to ecosystems and plant and  
26 animal communities (Vörösmarty et al., 2010). Nonpoint sources of sediment, nutrients,  
27 and pesticides, primarily from agricultural lands, have been identified as major  
28 contributors to water quality degradation (Zhang et al., 2004; Ongley et al., 2010). These  
29 pollutants are difficult to control because they come from many sources (Quan and Yan,  
30 2001). Practices such as strip cropping, terracing, crop rotation, and nutrient management  
31 can be developed to prevent soil erosion and reduce the movement of nutrients and  
32 pesticides from agricultural lands to aquatic ecosystems (D'Arcy and Frost, 2001). These  
33 pollution-prevention methods, known as best management practices (BMPs), are  
34 intended to minimize the negative environmental impact of agricultural activities, while  
35 maintaining land productivity. Reliable information on the impacts of land use change  
36 and BMPs on water quantity and quality is critical to watershed management  
37 (Panagopoulos et al., 2011).

38       Many studies have been conducted to evaluate the impact of land use change and  
39 BMPs on water quality based on field experiments (Novara et al., 2011; Pimentel and  
40 Krummel, 1987; Sadeghi et al., 2012; Turkelboom et al., 1997; Urbonas, 1994). Monitoring  
41 systems have been established to assess the impact of land use change and BMPs on  
42 water resources in order to capture the spatial and temporal variation in soil, climate, and  
43 topographic conditions in watersheds (Veldkamp and Lambin, 2001). Statistical models  
44 developed from field data from small watersheds are usually assumed to apply to large  
45 watersheds (Blöschl and Sivapalan, 1995; Blöschl and Grayson, 2001). Although it is not  
46 difficult to quantify soil erosion and chemical loadings in experimental plots, it is time-

47 consuming and expensive (Mostaghimi et al., 1997). Clearly, it is not practical to conduct  
48 field experiments for every possible combination of land use and BMPs, under different  
49 biophysical conditions. As a result, it is unlikely sufficient field data could be obtained to  
50 develop management plans and conduct cost-benefit analyses. In addition, statistical  
51 models could be potentially derived from experiments; however, it is difficult to establish  
52 cause-and-effect relationships between BMPs and water quality variables under varied  
53 biophysical conditions or to quantify the impact of combined land use and BMPs on  
54 water quality at the watershed scale (Renschler and Lee, 2005).

55 Process-based models of hydrology can be used to extrapolate field data to fill data  
56 gaps (Borah and Bera, 2004; Borah and Bera, 2003; Singh, 1995; Singh and Woolhiser,  
57 2002; Singh and Frevert, 2005). These process-based models provide quantitative  
58 information that is usually difficult to obtain from field experiments (Borah et al., 2002).  
59 For example, ANSWERS (Beasley et al., 1980), CREAMS (Knisel, 1980), GLEAMS  
60 (Leonard et al., 1987), AGNPS (Young et al., 1989), EPIC (Sharpley and Williams,  
61 1990), and SWAT (Arnold et al., 1998) have been used to understand surface runoff, soil  
62 erosion, nutrient leaching, and pollutant-transport processes. However, these process-  
63 based models require extensive input data and complex calibration procedures (Liu et al.,  
64 2015); watersheds with sufficient data to calibrate and validate these models are normally  
65 small, resulting in lack of representation at large spatial scales. Furthermore, once a  
66 model is calibrated, parameters become watershed-specific, which cannot be easily  
67 extended to other watersheds. In addition, these models require specialized expertise,  
68 which prevents non-expert decision makers and economists to use them (Viavattene et al.,  
69 2008).

70 A decision support tool could be developed by combining “decision rules” with  
71 geographic information systems (GIS) for water quality assessment in large ungauged  
72 watersheds. The “decision rules” could be based on regression equations derived from  
73 field experiments (Renschler and Harbor, 2002), or they could be defined simply as  
74 constants based on expert knowledge. Alternatively, simulations from a well-calibrated  
75 hydrological model could be used to develop statistical equation-based “decision rules”.  
76 Apart from defining “decision rules” at each grid cell, to assess water quantity and  
77 quality in streams or at subbasin/watershed outlets, the decision support tool should  
78 consider discharge, sediment, and nutrient routing within the watershed. For example, a  
79 commonly used routing method for sediments is the sediment-delivery ratio (SDR)  
80 method, which is widely employed in many GIS-based erosion models (May and Place,  
81 2010; Wilson et al., 2001; Zhao et al., 2010). For discharge, a simple summation routing at  
82 the outlet produces acceptable accuracy for small- and medium-sized watersheds,  
83 considering that there is negligible water losses from surface runoff and stream flow. For  
84 large watersheds, water losses are generally greater. These water losses can be estimated  
85 using simple linear equations. The annual export of nutrients from watersheds (via the  
86 nutrient-delivery ratio) has been studied empirically in many studies as nutrient loading  
87 per land area (Endreny and Wood, 2003; Beaulac and Reckhow, 1982; Reckhow and  
88 Simpson, 1980).

89 A decision support tool developed based on “decision rules” is generally flexible and  
90 easy for decision makers and economists to use (Endreny and Wood, 2003). However,  
91 their practicality in normal circumstances, particularly with respect to their level of  
92 accuracy, needs to be evaluated. In addition, to provide sufficient “decision rules” with

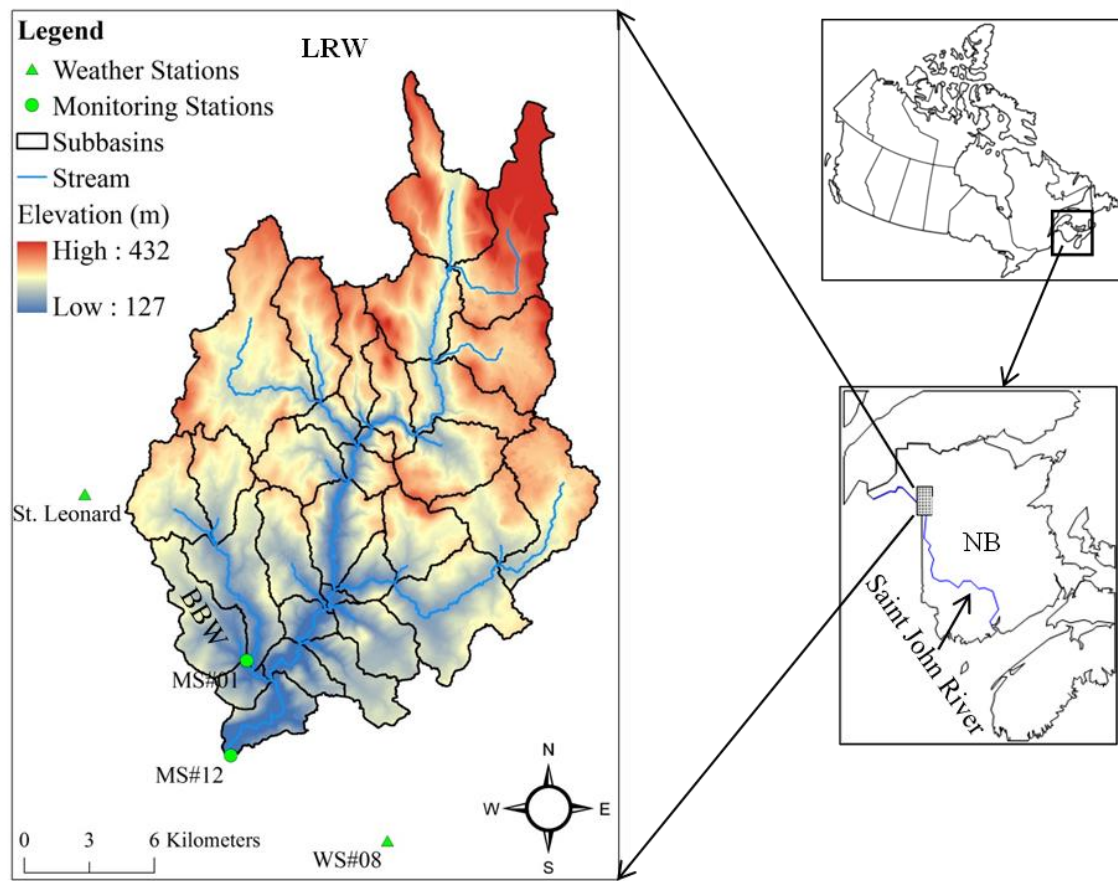
93 reasonable accuracy, fully validated hydrological models are required to be able to fill  
94 data gaps in field experiments. The present study used the Soil and Water Assessment  
95 Tool (SWAT) to provide modelled data in the development of the decision support tool.  
96 The main objective of the present study is to develop a simple decision support tool with  
97 the intent to evaluate the impact of land use change and BMPs on water resources in a  
98 large ungauged watershed in New Brunswick, Canada. This paper presents the  
99 development and testing of a decision support tool using data from two watersheds in the  
100 potato-belt of New Brunswick; one small experimental watershed, with extensive  
101 monitoring and field survey data, and a larger watershed containing the smaller  
102 watershed. Specifically, this involves: (1) setting up, calibrating, and validating SWAT  
103 for a small experimental watershed; (2) developing statistical equations relating water  
104 quality and quantity variables with weather, soil, land use information based on SWAT  
105 simulations for different combinations of land use and BMPs; (3) integrating the  
106 statistical equations into a decision support tool with the aid of ArcGIS; and (4) testing  
107 the decision support tool against field measurements and model simulations of stream  
108 discharge, sediment, and nutrient loadings for a large watershed.

## 109 **2. Materials and Methods**

### 110 **2.1 Study Sites and Data Collection**

111 The large watershed of this study is the Little River Watershed (LRW), located in the  
112 Upper Saint John River Valley of northwestern New Brunswick, Canada (Fig. 1). It  
113 covers an area approximately 380 km<sup>2</sup> with a mixture of agricultural (16.2%), forest  
114 (77%), and residential (6.8%) land uses (Xing et al., 2013). Elevation in the watershed  
115 ranges from 127 to 432 m above mean sea level (Fig. 1). The soil in the study sites is

116 classified as mineral, derived from various parent materials. The major associations are  
117 Caribou, Carleton, Glassville, Grandfalls, Holmesville, McGee, Muniac, Siegas, Thibault,  
118 Undine, Victoria, Waasis, and one organic soil (Fig. 2). The study site belongs to the  
119 Upper Saint John River Valley Ecoregion in the Atlantic Maritime Ecozone (Marshall et  
120 al., 1999). The climate of the region is considered to be moderately cool boreal with  
121 approximately 120 frost-free days, annually (Yang et al., 2009). Daily maximum and  
122 minimum temperatures are 24 (in July) and -18.1°C (in January) based on Canadian  
123 Climate Normal station data at St. Leonard([http://climate.weather.gc.ca/climate\\_normals](http://climate.weather.gc.ca/climate_normals)).  
124 The average temperature is 3.7°C and annual precipitation is 1037.4 mm (Zhao et al.,  
125 2008). About one-third of the precipitation is in the form of snow. Snowmelt leads to  
126 major surface runoff and groundwater recharge events from March to May (Chow and  
127 Rees, 2006). The land use and soil maps in the setup of SWAT for LRW were derived  
128 from publicly available data [Energy and Resource Development (ERD), New Brunswick;  
129 Fig. 2].

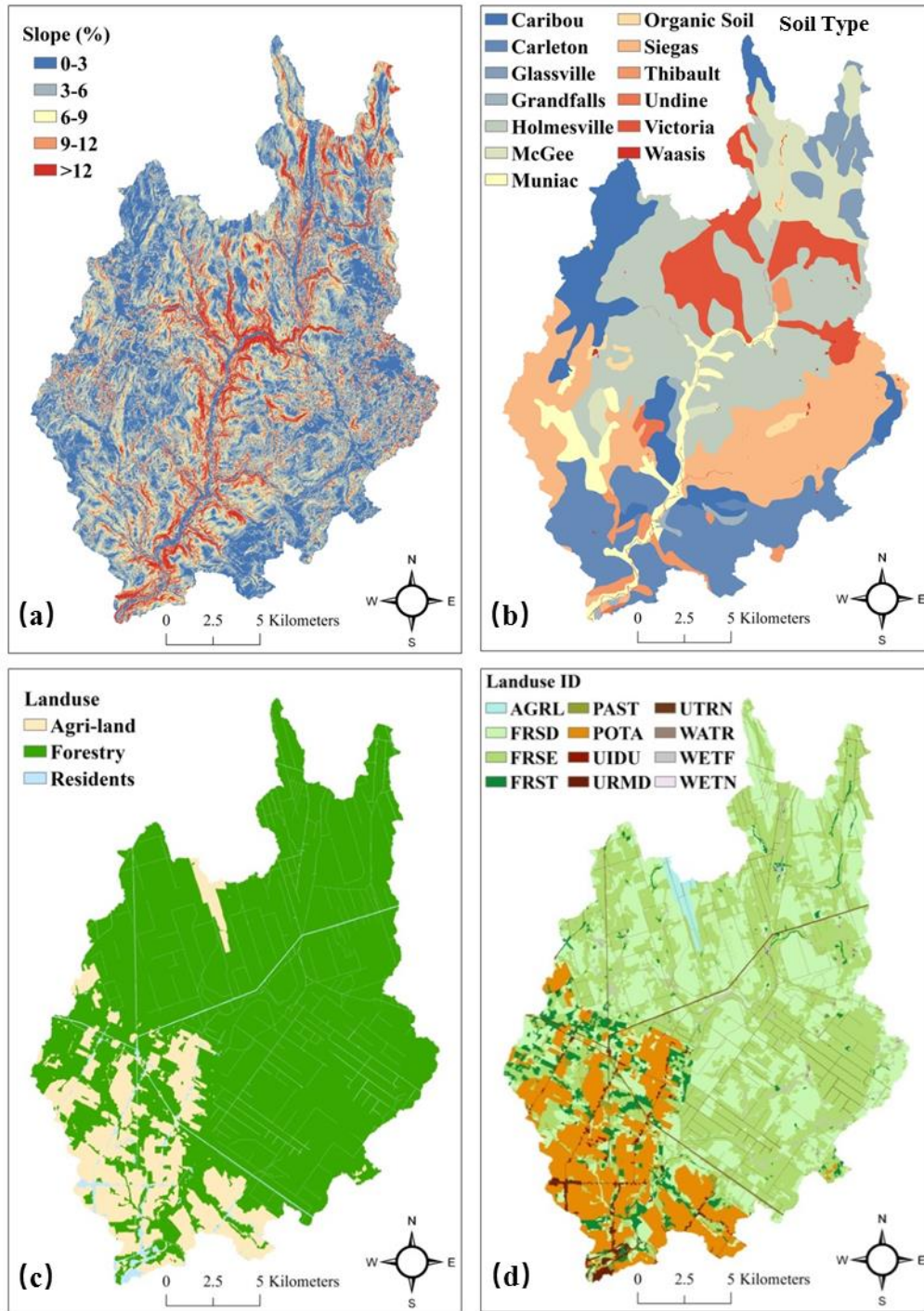


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132 **Fig. 1** Location of the Little River Watershed (LRW) and Black Brook Watershed (BBW)  
133 in New Brunswick (NB), Canada and water-monitoring stations #01 and #12 as well as  
134 weather stations #08 and St. Leonard. Elevations and subbasins are also shown for LRW.

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136

137 **Fig. 2** Slope classes created using a 10-m resolution LiDAR (Light Detection and  
 138 Ranging)-based DEM (Digital Elevation Model), soil and land use maps, and land use  
 139 IDs in SWAT (see Table 2 for land use ID meaning).

140 The small experimental watershed of the study is the Black Brook Watershed (BBW),  
141 a subbasin of LRW (Fig. 1). The BBW has been studied extensively for more than 20  
142 years to evaluate the impact of agriculture on soil erosion and water quality (Li et al.,  
143 2014;Chow and Rees, 2006). The watershed covers an area of 14.5 km<sup>2</sup>, with 65% being  
144 agriculture land, 21% forest land, and 14% residential areas and wetlands. Slopes vary  
145 from 1-6% in the upper basin to 4-9% in the central area. In the lower portion of the  
146 watershed, slopes are more strongly rolling at 5-16%. Soil surveys (1:10,000 scale)  
147 identified six mineral soils, namely Grandfalls, Holmesville, Interval, Muniac, Siegas,  
148 and Undine, and one organic soil, St. Quentin (Mellerowicz, 1993).

149 A water-monitoring station was established at the outlet of BBW in 1992 (MS#01; Fig.  
150 1) and another (MS#12) at the outlet of LRW in 2001. At these stations, V-notch weirs  
151 were installed, and the stage height of the water was recorded using a Campbell-  
152 Scientific CR10X data logger. Stage height values were converted to total flow rates with  
153 a calibration curve function (Chow et al., 2011). Water samples were collected with an  
154 ISCO automatic sampler. Sampling frequency was set at one sample every 72 hours when  
155 runoff was absent. During runoff events, sampling frequency was increased to one  
156 sample every 5-cm change in stage height. Samples were analyzed for concentration of  
157 suspended solids, nitrate-nitrogen (NO<sub>3</sub>-N), and soluble-phosphorus (Sol-P). Detailed  
158 description of data collection procedures and sample analyses can be found in Chow et al.  
159 (2011). Weather data including daily precipitation, air temperature, relative humidity, and  
160 wind speed were acquired from the St. Leonard Environment Canada weather station  
161 (<http://climate.weather.gc.ca>), located approximately 5 km northwest of BBW (Fig. 1).  
162 The daily average relative humidity and wind speed were calculated based on averaging

163 hourly values. Since this weather station did not monitor daily solar radiation, the study  
164 used solar radiation collected from a weather station located approximately 10 km  
165 southeast of BBW (WS#08; Fig. 1).

## 166 **2.2 SWAT Setup, Calibration, and Validation for BBW and LRW**

167 A modified version of SWAT has been developed for cold regions (Qi et al.,  
168 2017a; Qi et al., 2016a, b; Qi et al., 2017b), and it was used for the BBW and LRW in this  
169 study. Detailed model setup, calibration, and validation for BBW can be found in Qi et al.  
170 (2017b). Specific model inputs for both watersheds are provided in Table 1. The same  
171 weather data were used for both watersheds (Table 1). The Digital Elevation Model  
172 (DEM) for LRW and BBW were both based on high resolution LiDAR (Light Detection  
173 and Ranging) data, the first was created at 10-m and the second, at 1-m resolution. The  
174 LRW was delineated into 32 subbasins from which their topographic characteristics were  
175 defined (Fig. 1). The soil types and slopes, which were classified into five separate  
176 classes, are illustrated in Fig. 2 for LRW. After combining the soil, slope, and land use  
177 maps through the ArcSWAT-interface function, 362 HRUs were subsequently created for  
178 LRW (based on thresholds: 10, 15, and 20% for land use, soil, and slope).

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186 **Table 1** Datasets in SWAT setup, calibration, and validation for BBW and LRW.

<b>Dataset</b>	<b>BBW</b>	<b>LRW</b>
LiDAR DEM resolution	1-m	10-m
Soil map	Survey (1993)	ERD
Land use maps	Survey (1992-2011)	ERD (one map)
Precipitation, temperature, relative humidity & wind speed	St. Leonard (1992- 2011)	St. Leonard (2001- 2010)
Solar radiation	WS#08 (1992-2011)	WS#08 (2001-2010)
Contour tillage operation (spring and fall)	Survey (1992-2011)	Only for potato and barley (2001-2010)
Fertilizer application	Survey (1992-2011)	Estimated from BBW (2001)
Crop rotation	Survey (1992-2011)	Potato-barley (2001- 2010)
Terraces and grassed waterways	Survey (1992-2011)	Negligible
Discharge, sediment, NO <sub>3</sub> -N and Sol-P	MS#01 (1992-2011)	MS#12 (2001-2010)

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188 Since only one land use map was available for LRW (Table 1), assumptions were  
 189 made based on information available on land use and management records for BBW to  
 190 adjust the SWAT-management files for LRW as follows:

191 (1) Potato-barley rotations were assigned to the land use ID POTA (Table 2); for other  
 192 land use IDs, a single crop was considered;

193 (2) Fertilizers were applied only to potato and barley fields, and fertilizer amounts and  
 194 N:P (nitrogen-to-phosphorus) ratios were averaged for potato and barley fields over the  
 195 entire watershed, based on 2001 survey data from BBW;

196 (3) Contour tillage was applied only to potato and barley fields;

197 (4) Flow diversion terraces (FDT) and grassed waterways in LRW were assumed not  
 198 used. It is worth noting that these four assumptions serve as a baseline scenario for the  
 199 assessment of FDT in LRW.

200 To evaluate the global performance of the decision support tool for LRW, related  
 201 land use and management files were prepared and accessed by SWAT. For purpose of

202 comparison, simulations with SWAT were produced in an initial application by setting  
203 the adjustable parameters of the model to their default values, and in a second application  
204 by setting the parameters according to values produced with a watershed-specific model  
205 calibration to BBW. This approach with model parameterization is widely accepted when  
206 applying SWAT to large ungauged watersheds (Panagopoulos et al., 2011).

## 207 **2.3 Decision Rules**

208 The decision support tool was designed to use the “decision rules” to estimate annual  
209 discharge and sediment and nutrient loadings from individual grid cells:

$$210 \quad A = \sum_{i=1}^n DR_i \cdot A_i, \quad (1)$$

211 where  $A$  is the annual discharge or sediment and nutrient loadings at the outlet of the  
212 watershed,  $DR_i$  and  $A_i$  are the delivery ratios and annual discharge or loadings,  
213 respectively, for grid cell  $i$ . For the present study, statistical equations derived from  
214 simulations of the calibrated version of SWAT for BBW were defined as the “decision  
215 rules” in the decision support tool.

### 216 **2.3.1 Land Use Groups and BMP Scenarios**

217 In statistical equation development, land use in BBW (24, in total) was first classified  
218 into five land use groups according to their influences on hydrological processes (Table  
219 2). Note that WATR was not used due to its small overall coverage (Fig. 2). As for  
220 watershed management, we considered three main BMPs, i.e.,

- 221 (1) FDT + contour tillage;
- 222 (2) Contour tillage only; and
- 223 (3) No-BMP (without FDT and contour tillage).

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**Table 2** Land use and land use groups for BBW and LRW.

<b>Land use groups</b>	<b>Land use ID in SWAT</b>	<b>Land use type</b>
General crops	AGRL	Agricultural Land-Generic
	CANA	Canola
	CRON	Corn
	FPEA	Field peas
	POTA	Potato
Grains	BARL	Barley
	OATS	Oats
	PMIL	Millet
	RYE	Rye
	SWHT	Spring wheat
	WWHT	Winter wheat
Grasses	BERM	Bermuda grass
	CLVR	Clover
	HAY	Hay
	PAST	Past
	RYEG	Ryegrass
	TIMO	Timothy
Forestry	FRSD	Forest-Deciduous
	FRSE	Forest-Evergreen
	FRST	Forest-Mixed
	RNGB	Range-Bush
	WETF	Wetlands-Forested
	WETN*	Wetlands-No-Forest
Non-vegetated lands	URMD	Residential
	UTRN	Transportation
	UIDU*	Industrial

Note: “\*” indicates unique land use types to LRW not present in BBW and, therefore, unaccounted for in the development of the decision support tool.

226

227 The calibrated version of the enhanced SWAT for BBW was used to generate annual  
 228 outputs based on HRUs from 1992 to 2011. The model was run three times to generate  
 229 the BMP-specific data for statistical equation development.

230

### 231 2.3.2 Explanatory Variables Selection

232 Explanatory candidate variables must be physically-meaningful in hydrological and  
233 biochemical processes. It is worth noting that both continuous and categorical variables  
234 were included in the regression equation. The land use groups was the only categorical  
235 variable, and the remaining were all continuous variables. To detect significant predictors,  
236 the analysis of covariance (ANCOVA) was used. It requires at least one continuous and  
237 one categorical explanatory variable and is used to identify the major and interaction of  
238 predictor variables. By including continuous variables, the method can reduce the  
239 variance of error to increase the statistical power and precision in estimating categorical  
240 variables (Keselman et al., 1998;Li et al., 2014). Inclusion of interaction terms in these  
241 regression models dramatically increased model performance.

242 In the present study, we only considered interactions between two explanatory variables  
243 at a time. Student t-tests were conducted to examine the statistical significance of each  
244 level of land use groups and their interaction with the various continuous variables. When  
245 one level of land use groups (e.g., grains; Table 2) did not significantly correlate with  
246 water quality or quantity, or there were nominal interactions between a given level and  
247 other explanatory variables, this particular level of land use groups would be combined  
248 with other levels of land use groups until all new levels of land use groups were  
249 statistically significant.

250 Multiple linear regression analyses were used to relate annual total discharge (mm) and  
251 sediment ( $t\ ha^{-1}$ ),  $NO_3-N$  ( $kg\ ha^{-1}$ ), and Sol-P ( $kg\ ha^{-1}$ ) loadings to the explanatory  
252 variables. These work was conducted in R (Ihaka and Gentleman, 1996). Only six  
253 continuous explanatory variables were determined for the specification of the statistical  
254 models. Annual precipitation (PCP), annual mean air temperature (TMP), and mean

255 saturated hydraulic conductivity of soil (SOL\_K) were common to the dependent  
 256 variables (i.e., total discharge and sediment, NO<sub>3</sub>-N, and Sol-P loadings). The LS-factor  
 257 (USLE\_LS) and annual N and P application rates (N\_APP and P\_APP) were unique to  
 258 the equations addressing sediment, NO<sub>3</sub>-N, and Sol-P loading.

### 259 **2.3.3 Delivery Ratio Definition**

260 The LS-factor of the universal soil loss equation (USLE) was determined by slope  
 261 gradient (*slp*) and slope length (*L*) of individual HRUs:

$$262 \text{ USLE\_LS} = \left\{ \frac{L}{22.1} \right\}^m \cdot (65.41 \cdot \sin^2(a) + 4.56 \cdot \sin(a) + 0.065) \quad (2)$$

263 where *m* is the equation exponent and *a* is the angle of the slope (in degrees). The  
 264 exponent *m* is calculated by,

$$265 m = 0.6 \cdot (1 - \exp[-35.835 \cdot slp]) \quad (3)$$

266 where *slp* is in units of m m<sup>-1</sup>. For the decision support tool, slope length *L* equals to the  
 267 length of the grid side and slope gradient was determined by the *Slope* tool in ArcGIS.

268 The sediment-delivery ratio was not considered in the decision support tool application to  
 269 BBW. We assumed that annual sediment loadings from grid cells in decision support tool  
 270 were all exported to the outlet of BBW. However, when the decision support tool was  
 271 applied to LRW, the sediment-delivery ratio was used to correct estimates of sediment  
 272 loading at the watershed outlet. The sediment loadings at the outlet of LRW (*sed*) were  
 273 determined by

$$274 sed = SDR \cdot sed^{\sim} \quad (4)$$

275 where *sed*<sup>~</sup> is the sediment loading calculated with the sediment loading equation (one for  
 276 each BMP and land use group), and *SDR* is determined by (Vanoni, 1975)

$$277 SDR = 0.37 \cdot D^{-0.125} \quad (5)$$



278 where  $D$  ( $\text{km}^2$ ) is the drainage area. For annual discharge and nutrient loadings, we  
279 assumed their delivery ratios equal to 1.0 for all grid cells in LRW.

## 280 **2.4 Decision Support Tool Assessment**

281 Inputs to the decision support tool included the six continuous explanatory variables  
282 and land use groups as well as information on management practices, e.g., contour tillage  
283 and FDT implementation. Simulations from each grid cells were summarized at the outlet  
284 of the study watersheds. We first tested the impact of cell size on simulations of water  
285 quantity and quality at the outlet of BBW. The cell size range was determined by  
286 considering different farmland sizes in the watershed. We assumed that farmland-based  
287 grid cells can sufficiently represent basic hydrological processes, land use change, and  
288 management practice implementations for hydrological modeling. Simulated annual  
289 water flow and sediment and nutrient loadings with the decision support tool were  
290 compared with those produced with the calibrated version of the enhanced SWAT.  
291 Subsequently, the decision support tool was applied to LRW, and the simulations were  
292 compared with the results of the uncalibrated and calibrated versions of SWAT. The  
293 purpose of this was to test if the decision support tool (i.e., land use and BMP assessment  
294 tool; LBAT) performed better, or at least as well, as both the uncalibrated and calibrated  
295 version of SWAT.

296 Model performance in terms of water quantity and quality at the outlet of the study  
297 watersheds was assessed based on the coefficient of determination ( $R^2$ ) and relative error  
298 (Re), i.e.,

$$299 \quad R^2 = \left( \frac{\sum_{i=1}^n (O_i - O_{avg}) \cdot (P_i - P_{avg})}{\left[ \sum_{i=1}^n (O_i - O_{avg})^2 \cdot \sum_{i=1}^n (P_i - P_{avg})^2 \right]^{0.5}} \right)^2 \quad (6)$$

300 
$$Re = \frac{(P_{avg} - O_{avg})}{O_{avg}} \cdot 100\% \quad (7)$$

301 where  $O_i$ ,  $P_i$ ,  $O_{avg}$ , and  $P_{avg}$  are the observed and predicted and averages of the observed  
 302 and predicted values, respectively.

303

### 304 **2.5 FDT Assessment in LRW**

305 A series of FDT-implementation scenarios were set up for LBAT based on six slope  
 306 classes to assess the impact of FDT on water quantity and quality on agricultural lands in  
 307 LRW (Fig. 3; Table 3). From scenarios one (S1) to six (S6), total area protected by FDT  
 308 gradually increased until all agricultural lands were protected (Table 3). Mean annual  
 309 simulations of total discharge and sediment, NO<sub>3</sub>-N, and Sol-P loadings from LRW from  
 310 2001 to 2010 were compared with those of the baseline scenario (FDT = 0%) for each  
 311 scenario using two performance indicators, i.e., mean difference (MD) and % relative  
 312 difference (PRD), given as:

313 (1) MD = output with FDT – output without FDT, and

314 (2) PRD (%) = MD/output without FDT × 100.

315

316 **Table 3** Slope classes and corresponding areas in the agricultural land of LRW.

Scenario	Slope	Area protected by FDT	Agricultural lands
		(ha)	(%)
S1	≥5%	624	10
S2	≥4%	1328	22
S3	≥3%	2224	37
S4	≥2%	3680	61
S5	≥1%	5360	89
S6	≥0	6048	100

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### 319 **3. Results and Discussion**

#### 320 **3.1 Statistical Equations (Decision Rules)**

##### 321 **3.1.1 Model Structure and Coefficients**

322 Linear regression equations and their explanatory variables for annual discharge and  
323 sediment, NO<sub>3</sub>-N, and Sol-P loadings under different combinations of land use groups  
324 and BMP scenarios are provided in Tables 4 and 5. In total, three discharge models (Dis1,  
325 Dis2, and Dis3) and five sediment (Sed1\_1, Sed1\_2, Sed1\_3, Sed2, and Sed3), NO<sub>3</sub>-N  
326 (N1\_1, N1\_2, N1\_3, N2, and N3), and Sol-P (P1\_1, P1\_2, P1\_3, P2, and P3) loading  
327 models were developed. Data transformations (via logarithm and power transformations)  
328 were applied to sediment, NO<sub>3</sub>-N, and Sol-P loadings to meet the assumption of  
329 normality in multiple regression analysis (Table 4). The contour tillage and FDT were  
330 applied only to agricultural lands (including general crops, grains, and grasses; Table 4).  
331 For the no-BMP scenario, three separate sediment, NO<sub>3</sub>-N, and Sol-P loading models  
332 were developed for agricultural lands, non-vegetated lands, and forestry, and one  
333 discharge model (Dis1) for all land use groups (Table 4). It is worth noting that the  
334 sediment loading model, Sed3, was a modified version of Sed1\_1 (multiplied by  
335 TERR\_P) for the FDT + contour tillage scenario (Table 4), and the values of TERR\_P  
336 (Qi et al., 2017b) used for Sed3 were the same as the calibrated values in SWAT for  
337 BBW (Qi et al., 2017b). Also, NO<sub>3</sub>-N and Sol-P loadings (N1\_2 and P1\_2) for non-  
338 vegetated lands were determined as constants, which were equal to the calculated means  
339 of NO<sub>3</sub>-N and Sol-P loadings determined by SWAT (i.e., 24 and 0.61 kg ha<sup>-1</sup>,  
340 respectively; Table 4).

341 In model development, three new land use groups (i.e., land-use-groups\_1, land-use-  
342 groups\_2, and land-use-groups\_3) were formulated by combining general crops, grains,  
343 and grasses (Tables 4 and 5). For example, land-use-groups\_2 was derived by combining  
344 general crops, grains, and grasses on total discharge (i.e., Dis1 model). Individual model  
345 structures are shown in Table 4, whereas the explanatory variables for these models  
346 appear in **Appendix A**. The coefficients estimated for the explanatory variables and their  
347 interactions, and their t-test results are also shown in **Appendix A**. Most of the *p*-values  
348 for these explanatory variables were  $< 0.001$ , except for several that were between 0.001  
349 and 0.08, which were also taken as acceptable.

**Table 4** Statistical models based on land use groups and BMP scenarios.

<b>BMP scenario</b>	<b>Land use groups *</b>	<b>Model</b>	<b>Model structure and variable</b>
No-BMP	crop-groups_2, non-vegetated lands,	Dis1	Discharge = $f(\text{PCP}, \text{TMP}, \text{SOL\_K}, \text{land-use-groups}_2)$
tillage	forestry general crops, grains, grasses	Dis2	Discharge = $f(\text{PCP}, \text{TMP}, \text{SOL\_K})$
FDT + tillage	general crops, grains, grasses	Dis3	Discharge = $f(\text{PCP}, \text{TMP}, \text{SOL\_K})$
No-BMP	crop-groups_1, grasses	Sed1_1	Sediment $^{(1/10)} = f(\text{USLE\_LS}, \text{PCP}, \text{TMP}, \text{SOL\_K}, \text{land-use-groups}_1)$
	non-vegetated lands,	Sed1_2	Sediment $^{(1/10)} = f(\text{USLE\_LS}, \text{PCP})$
	forestry	Sed1_3	Sediment $^{(1/10)} = f(\text{USLE\_LS}, \text{PCP}, \text{SOL\_K})$
tillage	crop-groups_1, grasses	Sed2	Sediment $^{(1/10)} = f(\text{USLE\_LS}, \text{PCP}, \text{TMP}, \text{SOL\_K}, \text{land-use-groups}_1)$
FDT + tillage	general crops, grains, grasses	Sed3	Sediment = Sed1_1 $\times$ TERR_P
No-BMP	general crops, grains, grasses	N1_1	Log(NO <sub>3</sub> -N) = $f(\text{N\_APP}, \text{PCP}, \text{TMP}, \text{SOL\_K}, \text{land use groups})$
	non-vegetated lands,	N1_2**	NO <sub>3</sub> -N = 24 kg ha <sup>-1</sup>
	forestry	N1_3	Log(NO <sub>3</sub> -N) = $f(\text{PCP}, \text{TMP}, \text{SOL\_K})$
tillage	general crops, grains, grasses	N2	Log(NO <sub>3</sub> -N) = $f(\text{N\_APP}, \text{PCP}, \text{TMP}, \text{SOL\_K}, \text{land use groups})$
FDT + tillage	crop-groups_3, grains	N3	Log(NO <sub>3</sub> -N) = $f(\text{N\_APP}, \text{PCP}, \text{TMP}, \text{SOL\_K}, \text{land-use-groups}_3)$
No-BMP	crop-groups_1, grasses	P1_1	Log(Sol-P) = $f(\text{P\_APP}, \text{PCP}, \text{TMP}, \text{SOL\_K}, \text{land-use-groups}_1)$
	non-vegetated lands,	P1_2**	Sol-P = 0.61 kg ha <sup>-1</sup>
	forestry	P1_3	Log(Sol-P) = $f(\text{PCP}, \text{TMP}, \text{SOL\_K})$
tillage	crop-groups_1, grasses	P2	Log(Sol-P) = $f(\text{P\_APP}, \text{PCP}, \text{TMP}, \text{SOL\_K}, \text{land-use-groups}_1)$

FDT + tillage	general crops, grains, grasses	P3	$\text{Log(Sol-P)} = f(P\_APP, PCP, TMP, SOL\_K, \text{land use groups})$
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352 \*general crops and grains are combined into one group, namely crop-groups\_1 in land-use-groups\_1; general crops, grains, and grasses are  
 353 combined into one group, namely crop-groups\_2 in land-use-groups\_2; general crops and grasses are combined into one group, namely crop-  
 354 groups\_3 in land-use-groups\_3; \*\* variable is set constant.

355

**Table 5** Explanatory variables determined for statistical analysis.

<b>Variable</b>	<b>Unit</b>	<b>Meaning</b>
land use groups	—	including general crops, grains, grasses, forestry, and non-vegetated lands
land-use-groups_1	—	general crops and grains are combined into a new group: crop-groups_1
land-use-groups_2	—	general crops, grains, and grasses are combined into a new group: crop-groups_2
land-use-groups_3	—	general crops and grasses are combined into a new group: crop-groups_3
N_APP	kg ha <sup>-1</sup>	Annual N application rate
P_APP	kg ha <sup>-1</sup>	Annual P application rate
PCP	mm	Annual precipitation
SOL_K	mm h <sup>-1</sup>	Mean saturated hydraulic conductivity of soil
TERR_P	—	P-factor for FDT
TMP	°C	Annual mean air temperature
USLE_LS	—	LS-factor of USLE

356

### 357 3.1.2 Statistical Equation Assessment

358 Simulations based on the statistical equations and the calculated outputs from  
 359 individual HRUs for the different BMPs are compared in Table 6. In general, discharge  
 360 models were able to reproduce SWAT simulations for the three BMPs; R<sup>2</sup> ranging from  
 361 0.86 to 0.9. Mean discharge simulated with the statistical equations was equal to that of  
 362 SWAT (Table 6). Mean discharge (636 mm) for the no-BMP-case (BMP 3) was greater  
 363 than that for BMPs using contour tillage and FDTs (619 and 628 mm for BMP 1 and 2,  
 364 respectively), suggesting that contour tillage and FDTs can cause evapotranspiration to  
 365 increase.

366 Models Sed1\_2 and Sed1\_3 were able to reproduce simulations with SWAT (yielding  
 367 R<sup>2</sup> = 0.71 and 0.57, respectively), and simulated mean sediment loadings were close to  
 368 that of SWAT (Table 6). Models Sed1\_1 and Sed2 tended to underestimate results from  
 369 SWAT (Table 6), with an overall lower mean sediment loading of 10.78 vs. 12.84 and

370 8.31 vs. 9.4 t ha<sup>-1</sup>, respectively. Mean sediment loading with Sed3 (0.89 t ha<sup>-1</sup>) was  
371 slightly greater than that of SWAT (0.84 t ha<sup>-1</sup>), because Sed3 only took into account  
372 TERR\_P, whereas SWAT took into account TERR\_CN and the impact of grassed  
373 waterways. Results from the statistical equations showed that the mean sediment loading  
374 for BMP 2 (8.31 t ha<sup>-1</sup>) was significantly different than that for BMPs 1 and 3, with mean  
375 loading of 0.89 and 10.78 t ha<sup>-1</sup> (Table 6). The smallest mean sediment loading (0.09 t ha<sup>-1</sup>)  
376 was found to occur with the forestry land use grouping (Table 6).

377 The four NO<sub>3</sub>-N and Sol-P loading equations explained ~50% of the variation in the  
378 SWAT simulations for the same variables, with R<sup>2</sup> ranging from 0.33 to 0.59 (Table 6).  
379 Mean NO<sub>3</sub>-N and Sol-P loadings with the statistical equations were all slightly less than  
380 the values produced with SWAT for the different BMPs (Table 6). Mean NO<sub>3</sub>-N loadings  
381 were greater for BMP 1 (44 kg ha<sup>-1</sup>) than those for BMPs 2 and 3 with both giving 39 kg  
382 ha<sup>-1</sup> (Table 6), due to increased infiltration with FDT. Mean Sol-P loading (0.8 kg ha<sup>-1</sup>)  
383 was less for BMP 3 than for BMP 2 (0.89 kg ha<sup>-1</sup>), whereas much greater than for BMP 1  
384 (0.43 kg ha<sup>-1</sup>). Although contour tillage can help reduce sediment loading by modifying  
385 micro-topography and reducing erosion runoff (the reason we set USLE\_P < 1), Sol-P  
386 transported with surface runoff increased due to reduced residue cover protecting the soil  
387 surface during winter and during the snowmelt season. When FDT was implemented with  
388 tillage, however, less surface runoff was generated due to increased infiltration leading to  
389 a reduction in Sol-P loading. Mean NO<sub>3</sub>-N and Sol-P loadings for the forestry land  
390 grouping (10 vs. 0.06 kg ha<sup>-1</sup>) were much less than those of the crop groups (including  
391 general crops, grains, and grasses), 39 vs. 0.8 kg ha<sup>-1</sup> (Table 6).



392 **Table 6** Comparisons of simulations of statistical models and outputs from SWAT for different land use groups and BMPs based on  
 393 mean and standard deviation for the entire simulation period (1992-2011).

Variable	Index	No-BMP						Tillage		FDT + Tillage	
		Crop groups		Non-vegetated lands		Forestry		Crop groups		Crop groups	
		SWAT	Fitted	SWAT	Fitted	SWAT	Fitted	SWAT	Fitted	SWAT	Fitted
Discharge (mm)	Mean	→	→	636	636	←	←	619	619	628	628
	SD	→	→	144	133	←	←	140	132	151	143
	R <sup>2</sup>	→	→	0.86 (Dis1)		←	←	0.88 (Dis2)		0.90 (Dis3)	
Sediment (t ha <sup>-1</sup> )	Mean	12.84	10.78	1.80	1.71	0.10	0.09	9.40	8.31	0.84	0.89
	SD	11.86	9.44	1.94	1.95	0.14	0.16	8.28	7.38	2.72	1.18
	R <sup>2</sup>	0.48 (Sed1_1)		0.71 (Sed1_2)		0.57 (Sed1_3)		0.56 (Sed2)		—	
NO <sub>3</sub> -N (kg ha <sup>-1</sup> )	Mean	43	39	24	—	10	10	43	39	47	44
	SD	24	14	16	—	6	3	24	14	29	21
	R <sup>2</sup>	0.40 (N1_1)		—		0.33 (N1_3)		0.39 (N2)		0.59 (N3)	
Sol-P (kg ha <sup>-1</sup> )	Mean	0.88	0.80	0.61	—	0.08	0.06	0.98	0.89	0.49	0.43
	SD	0.49	0.32	0.46	—	0.06	0.03	0.59	0.38	0.33	0.23
	R <sup>2</sup>	0.47 (P1_1)		—		0.38 (P1_3)		0.48 (P2)		0.52 (P3)	

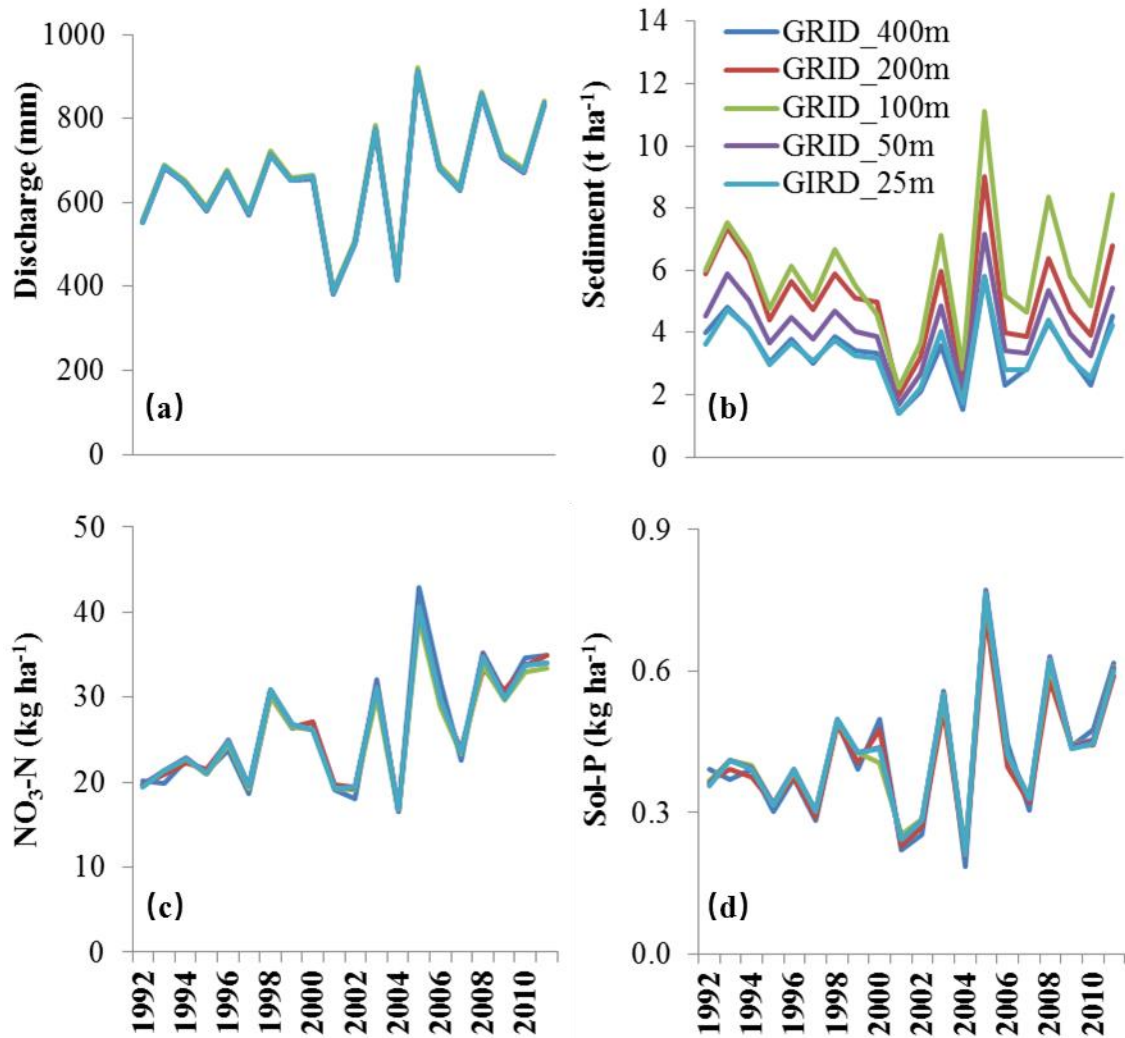
394 Note: crop groups including general crops, grains, and grasses; the statistics for discharge in no-BMP scenario are

395 based on crop groups, non-vegetated lands, and forestry.

## 396    **3.2    LBAT Assessment**

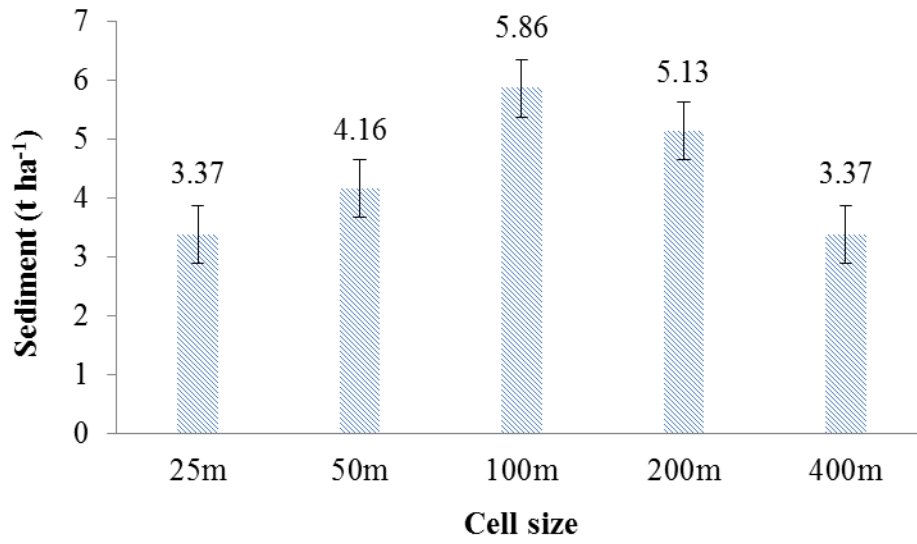
### 397    **3.2.1    Impact of Grid Cell Size on LBAT Simulation**

398        Simulations of water quantity and quality by LBAT with different grid-cell sizes (i.e.,  
399    25, 50, 100, 200, and 400 m) for BBW are shown in Fig. 3. Statistical tests indicated that  
400    grid-cell size had a significant effect on sediment loading ( $p$ -value < 0.01), with no effect  
401    observed for discharge and NO<sub>3</sub>-N and Sol-P loadings ( $p$ -values > 0.99). Increasing cell  
402    size (i.e., slope length) increased sediment loading. However, the mean slope gradient  
403    was reduced. As a result, the mean sediment loadings were correlated non-linearly with  
404    cell size as shown in Fig. 4. The highest mean sediment loading was found with a cell  
405    size of 100 m (5.86 t ha<sup>-1</sup>), whereas the lowest was found to occur with a cell size of 25  
406    and 400 m (3.37 t ha<sup>-1</sup>). The LBAT with a cell size of 25 and 400 m was able to generate  
407    sediment loadings consistent with field measurements. Considering computational  
408    efficiency, we chose a grid-cell size of 400 m as the basic LBAT-simulation unit for  
409    LRW.



410

411 **Fig. 3** LBAT-produced simulations of annual stream discharge and sediment, NO<sub>3</sub>-N, and  
 412 Sol-P loadings determined for different DEM grid-cell sizes (i.e., 25, 50, 100, 200, and  
 413 400 m).



414

415 **Fig. 4** Impact of grid-cell size on LBAT-simulation of sediment loading. Mean annual  
416 sediment loadings and standard errors (vertical bars) from 1992 to 2011 are indicated.

417 **3.2.2 LBAT vs. SWAT in LRW**

418 Simulations of water quantity and quality with LBAT and the uncalibrated and  
419 calibrated versions of SWAT are compared with field measurements for LRW (Fig. 5).  
420 Model assessments for different simulation periods (depending on measurement  
421 availability) are shown in Table 7. It is worth noting that, to eliminate unrealistic results,  
422 USLE\_LS was constrained in Sed1\_2 to the non-vegetated lands:

423 
$$USLE_{LS} = \begin{cases} Eq. 6-1 & USLE_{LS} \leq 1.28 \\ 1.28 & USLE_{LS} > 1.28 \end{cases} \quad (8)$$

424 where 1.28 is the maximum USLE\_LS for BBW.

425 In general, the two versions of SWAT and LBAT slightly underestimated annual  
426 stream discharge, capturing its variation reasonably well ( $R^2 > 0.54$ ; Fig. 5a). The  
427 uncalibrated and calibrated versions of SWAT had the least and largest absolute values of  
428 Re (Re = -2 and -9), whereas LBAT Re = -6 (Table 7). The uncalibrated version of  
429 SWAT severely overestimated annual sediment and NO<sub>3</sub>-N loading (Re = 212 and 87,  
430 respectively; Figs. 5b and c), whereas the calibrated version of SWAT and LBAT  
431 underestimated sediment loading (Re = -32 and -52, respectively) and overestimated  
432 NO<sub>3</sub>-N loading (Re = 22 and 27, respectively; Table 7). In general, the calibrated version  
433 of SWAT and LBAT captured the variation in annual NO<sub>3</sub>-N loadings reasonably well  
434 ( $R^2 > 0.35$ ; Fig. 5c). However, the two versions of SWAT and LBAT failed to capture the  
435 variation in annual sediment and Sol-P loadings (low  $R^2$ ; Figs. 5b and d). The LBAT had  
436 the smallest absolute value of Re (i.e., Re = -16), while the uncalibrated and calibrated  
437 versions of SWAT had larger values (Re = -59 and -55, respectively). These results  
438 suggested that the LBAT and the calibrated version of SWAT performed fairly  
439 equivalently in simulating annual stream flow and sediment and NO<sub>3</sub>-N loadings, with

440 LBAT performing slightly better for annual Sol-P loading. LBAT performed noticeably  
 441 better than the uncalibrated version of SWAT, especially for annual sediment and NO<sub>3</sub>-N  
 442 loadings. Poor performance for both versions of SWAT and LBAT on simulation of  
 443 annual sediment and Sol-P loadings in LRW might attribute to lack of detailed  
 444 management practice and fertilizer application information from agricultural lands. We  
 445 only had one-year data for LRW and made assumptions about rotation and management  
 446 practices for other years based on information from BBW, which could introduce major  
 447 input uncertainty.

448

449 **Table 7** Statistical assessments of LBAT and SWAT for annual stream discharge and  
 450 sediment, NO<sub>3</sub>-N, and Sol-P loadings at the outlet of LRW for different simulation  
 451 periods

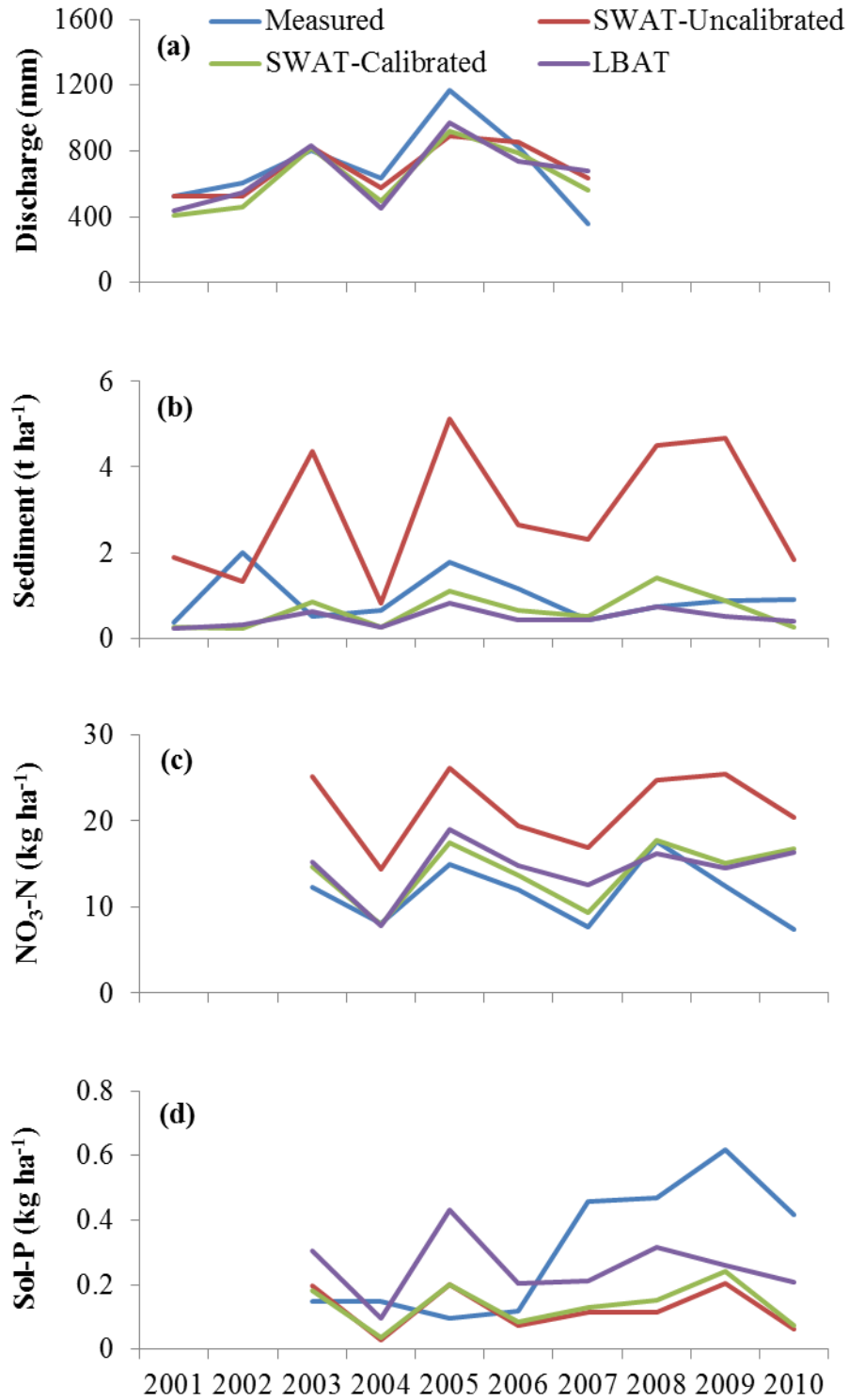
Period	Variable	Index	Measurement	SWAT -Uncalibrated	SWAT -Calibrated	<sup>452</sup> LBAT
01-07	Discharge (mm)	Mean	704	691	638	<del>453</del>
		Re (%)	—	-2	-9	-6
		R <sup>2</sup>	—	0.63	0.69	<del>0.54</del>
01-10	Sediment (t ha <sup>-1</sup> )	Mean	0.95	2.95	0.65	0.45
		Re (%)	—	212	-32	<del>455</del> -52
		R <sup>2</sup>	—	0.01	0.01	<del>0.04</del> 0.04
03-10	NO <sub>3</sub> -N (kg ha <sup>-1</sup> )	Mean	12	22	14	15
		Re (%)	—	87	22	<del>457</del> 27
		R <sup>2</sup>	—	0.59	0.45	0.35
03-10	Sol-P (kg ha <sup>-1</sup> )	Mean	0.31	0.13	0.14	<del>0.58</del> 0.36
		Re (%)	—	-59	-55	-16
		R <sup>2</sup>	—	0.02	0.11	<del>0.59</del> 0.91

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463           Since LBAT is based on decision rules (statistical equations in this study) which  
464 were derived from SWAT simulations for BBW, its usage should be constrained to areas  
465 with soil, landscape, and land use characteristics similar to BBW. Input characteristics  
466 exceeding the range of SWAT data could lead to large errors in predictions. LBAT is  
467 flexible in its structure, and with thoughtful development of decision rules, it can be  
468 applied to diverse environments.



469

470 **Fig. 5** Simulations of annual stream discharge and sediment, NO<sub>3</sub>-N, and Sol-P loadings

471 with LBAT and SWAT compared with field measurements at the outlet of LRW.



472

### 473 **3.2.3 FDT Assessment in LRW**

474 Mean annual water quantity and quality simulated with LBAT for agricultural lands of  
475 LRW are shown in Table 8. The mean annual discharge for the baseline scenario was 626  
476 mm greater than that for the six FDT scenarios (Table 8). When all agricultural lands  
477 were protected (S6), there was a 2% reduction in discharge (equivalent to 11 mm; Table  
478 8). With the steepest areas protected (accounting for 10% of the total land base; S1), the  
479 mean annual sediment loading was reduced by as much as 43% (equivalent to 4.5 t ha<sup>-1</sup>;  
480 Table 8) and by as much as 81% (i.e., 8.57 t ha<sup>-1</sup>) with all agricultural lands protected (S6;  
481 Table 8). Mean annual Sol-P loading was reduced by 51% (equivalent to 0.47 kg ha<sup>-1</sup>;  
482 Table 8). In contrast, increased usage of FDT tended to increase the mean annual loading  
483 of NO<sub>3</sub>-N, by about 6% when used across all agricultural lands (equivalent to 1.73 kg ha<sup>-1</sup>;  
484 <sup>1</sup>).

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494 **Table 8** Impact of FDT on mean annual discharge and sediment, NO<sub>3</sub>-N, and Sol-P  
 495 loadings simulated with LBAT under different FDT, provided in Table 3.

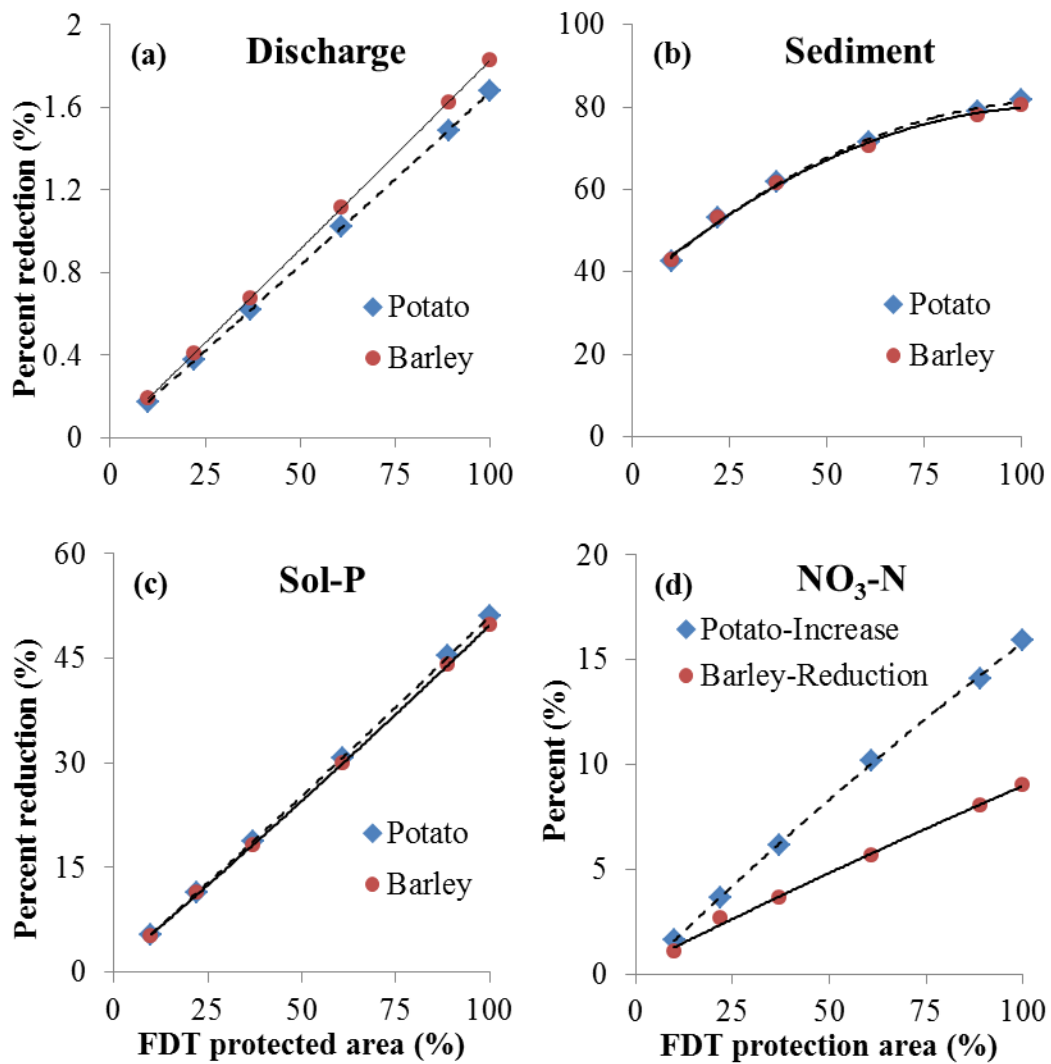
<b>Variable</b>	<b>Index</b>	<b>Baseline</b>	<b>S1</b>	<b>S2</b>	<b>S3</b>	<b>S4</b>	<b>S5</b>	<b>S6</b>
Discharge (mm)	Mean	626	625	623	622	619	616	615
	MD	—	-1	-2	-4	-7	-10	-11
	PRD (%)	—	0	0	-1	-1	-2	-2
Sediment (t ha <sup>-1</sup> )	Mean	10.54	6.04	4.94	4.02	3.04	2.26	1.97
	MD	—	-4.50	-5.60	-6.52	-7.50	-8.28	-8.57
	PRD (%)	—	-43	-53	-62	-71	-79	-81
NO <sub>3</sub> -N (kg ha <sup>-1</sup> )	Mean	29.70	29.86	30.02	30.34	30.82	31.22	31.42
	MD	—	0.16	0.32	0.64	1.13	1.52	1.73
	PRD (%)	—	1	1	2	4	5	6
Sol-P (kg ha <sup>-1</sup> )	Mean	0.94	0.89	0.83	0.76	0.65	0.52	0.46
	MD	—	-0.05	-0.11	-0.17	-0.28	-0.42	-0.47
	PRD (%)	—	-5	-11	-19	-30	-45	-51

496

497 Percentage change (based on PRD) of water quantity and quality were plotted against  
 498 percentage area of FDT for potato and barley in Fig. 6. Increasing the usage of FDT  
 499 helped to reduce discharge and sediment and Sol-P loadings for both crop types (Figs. 6a,  
 500 b, and c). It is worth noting that sediment loading decreased with increasing usage of  
 501 FDT (Fig. 6b). An opposite trend was observed for potato and barley with respect to the  
 502 impact of FDT on NO<sub>3</sub>-N loading. With the increased usage of FDT, NO<sub>3</sub>-N loadings  
 503 increased linearly for potato, while it decreased for barley. The increased for potato was  
 504 nearly twice as much as the reduction for barley (Fig. 6d). Seemingly the interaction  
 505 between barley and FDT had positive impacts on nitrate retention in soils, whereas the  
 506 interaction between potato and FDT had an opposite effect.

507 These results are consistent with the results from previous studies (Yang et al.,  
 508 2012; Yang et al., 2010), which used SWAT to assess the impact of FDT on water  
 509 quantity and quality within BBW. When using SWAT, greater efforts are needed to

510 prepare basic inputs, such as daily weather records, to proceed with its calibration and  
 511 validation, involving complex scenario setup and analysis. For every new watershed,  
 512 SWAT needs dedicated effort and time for its setup. LBAT, in contrast, can be used for  
 513 multiple watersheds as long as they have similar environmental conditions. Scenario  
 514 analysis can be directly conducted with different combinations of land use and BMPs  
 515 using fewer inputs than what is required by SWAT. Also, once developed, LBAT does  
 516 not require additional calibration.



517  
 518 **Fig. 6** Percentage change in discharge and sediment, NO<sub>3</sub>-N, and Sol-P loadings as a  
 519 function of % area, where FDT's were used.

520 **4. Conclusion**

521 The present study addresses the development of a decision support tool to assess the  
522 impact of land use change and BMPs on water quantity and quality for ungauged  
523 watersheds. An enhanced version of SWAT was calibrated and validated for a small  
524 experimental watershed. Multiple regression analyses were used to develop statistical  
525 equations based on simulations from SWAT. In total, three discharge and five sediment,  
526 NO<sub>3</sub>-N, and Sol-P loading models were developed for different combinations of land use  
527 groups and BMP scenarios. Only four common predictors (i.e., annual precipitation,  
528 annual mean air temperature, mean saturated hydraulic conductivity of soil, and land use  
529 groups) and three unique predictors (LS-factor and annual nitrogen and phosphorus  
530 application rates for sediment, NO<sub>3</sub>-N, and Sol-P loading models, respectively) are  
531 required.

532 With the aid of ArcGIS, statistical equations were integrated into the decision support  
533 tool, i.e., the land use and BMPs assessment tool (LBAT), whose basic simulation units  
534 are the DEM-grid cell. The LBAT was used to simulate annual water flow and sediment  
535 and nutrient loadings at the outlet of a larger watershed, i.e., Little River Watershed  
536 (LRW). These simulations were compared with those of SWAT. Results indicated that  
537 LBAT and the calibrated version of SWAT performed equivalently with respect to annual  
538 stream discharge and sediment and NO<sub>3</sub>-N loadings. LBAT performed slightly better,  
539 when Sol-P loading was considered. Compared with the uncalibrated version of SWAT,  
540 LBAT performed better. The impact of FDT on water quantity and quality was evaluated  
541 with LBAT for LRW; its results were consistent with the results generated with SWAT  
542 for the same region in previous studies. LBAT has fewer input requirements than SWAT

543 and can be applied to multiple watersheds without additional calibration. Also, scenario  
544 analyses can be directly conducted with LBAT without complex setup procedures. We  
545 recommend using LBAT for economic analysis and management decision making for  
546 watersheds with similar environmental conditions of New Brunswick. The LBAT  
547 developed in this study may not be directly applied to other regions; however, the  
548 approach in developing LBAT can be applied to other regions of the world because of its  
549 flexible structure.

550

### 551 **Acknowledgement**

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559 in data collection and sample analyses.

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567 **Table A1** Coefficient values for the three discharge models.

<b>Model variable</b>	<b>Estimate</b>	<b>Std. Error</b>	<b>t-value</b>	<b>p-value</b>
<b>Dis1</b>				
Intercept	-1565	24.04	-65.089	<0.001
PCP	1.933	0.02176	88.837	<0.001
TMP	282.7	6.091	46.402	<0.001
SOL_K	0.06338	0.00992	6.389	<0.001
forestry	30.79	14.16	2.175	0.030
non-vegetated lands	162.2	14.51	11.181	<0.001
PCP:TMP	-0.2488	0.005487	-45.352	<0.001
PCP: forestry	0.04684	0.01191	3.934	<0.001
PCP: non-vegetated lands	-0.0535	0.01224	-4.37	<0.001
TMP: forestry	9.723	1.684	5.775	<0.001
TMP: non-vegetated lands	4.506	1.731	2.603	0.009
SOL_K: forestry	-0.3769	0.03403	-11.076	<0.001
SOL_K: non-vegetated lands	-0.2959	0.032	-9.248	<0.001
<b>Dis2</b>				
Intercept	-1633	27.29	-59.84	<0.001
PCP	1.995	0.02472	80.69	<0.001
TMP	302.2	6.87	43.98	<0.001
SOL_K	0.08696	0.01167	7.45	<0.001
PCP:TMP	-0.2662	0.006199	-42.94	<0.001
<b>Dis3</b>				
Intercept	-1666	36.58	-45.54	<0.001
PCP	2.007	0.03305	60.713	<0.001
TMP	298	9.351	31.865	<0.001
SOL_K	0.09353	0.01573	5.946	<0.001
PCP:TMP	-0.2606	0.008406	-31.004	<0.001

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**Table A2** Coefficient values for the four sediment loading models.

<b>Model variable</b>	<b>Estimate</b>	<b>Std. Error</b>	<b>t-value</b>	<b>p-value</b>
<b>Sed1_1</b>				
Intercept	0.2749	0.06125	4.488	<0.001
USLE_LS	0.1201	0.02224	54.018	<0.001
PCP	0.000788	5.54E-05	14.218	<0.001
TMP	0.1117	0.01528	7.307	<0.001
SOL_K	0.000568	0.00022	2.585	0.010
grasses	-0.0353	0.00881	-4.007	<0.001
USLE_LS:SOL_K	-0.00014	4.69E-05	-3.045	0.002
USLE_LS: grasses	-0.02623	0.006826	-3.842	<0.001
PCP:TMP	-0.00011	1.38E-05	-7.967	<0.001
PCP:SOL_K	-4.6E-07	1.91E-07	-2.406	0.016
<b>Sed1_2</b>				
Intercept	0.8575	0.008826	97.15	<0.001
PCP	0.000123	7.82E-06	15.67	<0.001
PCP:USLE_LS	0.000209	5.02E-06	41.65	<0.001
<b>Sed1_3</b>				
(Intercept)	0.3992	0.02267	17.613	<0.001
USLE_LS	0.07935	0.01967	4.034	<0.001
PCP	0.000204	1.96E-05	10.371	<0.001
SOL_K	0.000545	5.71E-05	9.534	<0.001
USLE_LS:PCP	4.94E-05	1.71E-05	2.9	0.004
USLE_LS:SOL_K	-0.00067	4.89E-05	-13.718	<0.001
<b>Sed2</b>				
Intercept	0.2591	0.05228	4.956	<0.001
USLE_LS	0.12	0.001898	63.218	<0.001
PCP	0.000767	4.73E-05	16.212	<0.001
TMP	0.1162	0.01304	8.907	<0.001
SOL_K	0.000746	0.000188	3.981	<0.001
grasses	-0.06937	0.01648	-4.211	<0.001
USLE_LS:SOL_K	-0.00013	4E-05	-3.137	0.002
USLE_LS: grasses	-0.02662	0.005829	-4.567	<0.001
PCP:TMP	-0.00011	1.18E-05	-9.522	<0.001
PCP:SOL_K	-6.3E-07	1.63E-07	-3.846	<0.001
TMP: grasses	0.007415	0.003664	2.024	0.043

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579 **Table A3** Coefficient values for the four NO<sub>3</sub>-N loading models corresponding to land  
 580 use and BMPs described in Table 4.

<b>Model variable</b>	<b>Estimate</b>	<b>Std. Error</b>	<b>t-value</b>	<b>p-value</b>
<b>N1_1</b>				
Intercept	1.44	0.1753	8.213	<0.001
N_APP	-0.00862	0.000699	-12.325	<0.001
PCP	0.000543	0.00016	3.4	<0.001
TMP	0.1363	0.03357	4.059	<0.001
SOL_K	-0.00344	9.78E-05	-35.163	<0.001
grains	-1.117	0.1021	-10.937	<0.001
grasses	-1.97	0.1562	-12.611	<0.001
N_APP: PCP	5.31E-06	6.45E-07	8.233	<0.001
N_APP:TMP	0.000963	7.45E-05	12.929	<0.001
N_APP:SOL_K	9.6E-06	6.4E-07	15.024	<0.001
PCP: grains	0.000677	9.38E-05	7.215	<0.001
PCP: grasses	0.001029	0.000143	7.201	<0.001
PCP:TMP	-0.00025	2.64E-05	-9.467	<0.001
TMP: grains	0.1	0.01134	8.817	<0.001
TMP: grasses	0.2132	0.01651	12.912	<0.001
<b>N1_3</b>				
Intercept	-1.411	0.3087	-4.573	<0.001
PCP	0.001875	0.000279	6.710	<0.001
TMP	0.4437	0.07831	5.666	<0.001
SOL_K	-0.00104	0.000116	-8.979	<0.001
PCP:TMP	-0.00032	7.06E-05	-4.484	<0.001
<b>N2</b>				
Intercept	1.429	0.1757	8.134	<0.001
N_APP	-0.00858	0.000701	-12.233	<0.001
PCP	0.000548	0.00016	3.425	<0.001
TMP	0.1376	0.03365	4.089	<0.001
SOL_K	-0.00345	9.8E-05	-35.223	<0.001
grains	-1.11	0.1023	-10.849	<0.001
grasses	-1.962	0.1566	-12.526	<0.001
N_APP: PCP	5.3E-06	6.47E-07	8.187	<0.001
N_APP:TMP	0.000957	7.46E-05	12.82	<0.001
N_APP:SOL_K	9.65E-06	6.4E-07	15.067	<0.001
PCP: grains	0.000674	9.41E-05	7.167	<0.001
PCP: grasses	0.001026	0.000143	7.162	<0.001
PCP:TMP	-0.00025	2.64E-05	-9.456	<0.001
TMP: grains	0.09934	0.01137	8.738	<0.001
TMP: grasses	0.2122	0.01655	12.821	<0.001



N3				
Intercept	-0.3595	0.1718	-2.092	0.037
N_APP	-0.00131	0.000435	-3.011	0.003
PCP	0.001621	0.00015	10.806	<0.001
TMP	0.3977	0.03857	10.312	<0.001
SOL_K	-0.00386	0.000505	-7.641	<0.001
grains	-0.2133	0.07504	-2.842	0.005
N_APP: PCP	1.65E-06	3.59E-07	4.61	<0.001
N_APP:TMP	0.000281	4.74E-05	5.939	<0.001
N_APP: grains	0.000716	0.000292	2.453	0.014
PCP:TMP	-0.00035	3.32E-05	-10.506	<0.001
PCP:SOL_K	1.21E-06	4.36E-07	2.781	0.005
PCP: grains	0.000267	5.82E-05	4.577	<0.001
TMP: grains	-0.04685	0.008004	-5.853	<0.001

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**Table A4** Coefficient values for four Sol-P models.

<b>Model variable</b>	<b>Estimate</b>	<b>Std. Error</b>	<b>t-value</b>	<b>p-value</b>
<b>P1_1</b>				
Intercept	-3.711	0.1306	-28.416	<0.001
P_APP	0.002341	0.000623	3.757	<0.001
PCP	0.003195	0.000117	27.286	<0.001
TMP	0.5542	0.03197	17.337	<0.001
SOL_K	0.00298	0.000472	6.305	<0.001
grasses	-0.4321	0.0382	-11.312	<0.001
P_APP: PCP	-2.4E-06	5.2E-07	-4.64	<0.001
P_APP: TMP	0.000829	7.7E-05	10.797	<0.001
PCP: TMP	-0.00052	2.9E-05	-18.297	<0.001
PCP: SOL_K	-1.2E-06	3.97E-07	-3.095	0.002
TMP: SOL_K	-0.00026	5.7E-05	-4.526	<0.001
TMP: grasses	0.03787	0.00941	4.024	<0.001
<b>P1_3</b>				
Intercept	-4.43817	0.589848	-7.512	<0.001
PCP	0.002509	0.000534	4.701	<0.001
TMP	0.417306	0.1496445	2.789	0.005
SOL_K	0.001247	0.000222	5.622	<0.001
PCP: TMP	-0.0003	0.000135	-2.253	0.024
<b>P2</b>				
Intercept	-3.667	0.1357	-27.017	<0.001
P_APP	0.003461	0.000663	5.218	<0.001
PCP	0.003017	0.000122	24.783	<0.001
TMP	0.5149	0.03304	15.584	<0.001
SOL_K	0.003531	0.000488	7.233	<0.001
grasses	-0.2039	0.09001	-2.265	0.024
P_APP: PCP	-2.4E-06	5.54E-07	-4.305	<0.001
P_APP: TMP	0.000432	7.93E-05	5.445	<0.001
P_APP: grasses	-0.03304	0.007019	-4.707	<0.001
PCP: TMP	-0.00044	2.95E-05	-14.952	<0.001
PCP: SOL_K	-1.4E-06	4.1E-07	-3.446	<0.001
PCP: grasses	-0.00025	7.66E-05	-3.25	0.001
TMP: SOL_K	-0.00025	5.87E-05	-4.184	<0.001
TMP: grasses	0.05117	0.009839	5.201	<0.001
<b>P3</b>				
Intercept	-2.817	0.2548	-11.054	<0.001
P_APP	-0.01363	0.001854	-7.352	<0.001
PCP	0.002778	0.000178	15.609	<0.001
TMP	0.1406	0.06523	2.155	0.031
SOL_K	0.00651	0.000702	9.279	<0.001

grains	-0.9386	0.1378	-6.812	<0.001
grasses	-0.9931	0.1813	-5.478	<0.001
P_APP:TMP	0.003562	0.000491	7.252	<0.001
P_APP: grains	0.007736	0.002179	3.549	<0.001
P_APP: grasses	-0.05489	0.01295	-4.24	<0.001
PCP:TMP	-0.0003	4.42E-05	-6.763	<0.001
PCP:SOL_K	-3.7E-06	5.78E-07	-6.359	<0.001
PCP: grains	0.000112	5.1E-05	2.192	0.028
PCP: grasses	-0.00019	0.000109	-1.74	0.082
TMP:SOL_K	-0.00021	8.8E-05	-2.4	0.016
TMP: grains	0.1798	0.03332	5.397	<0.001
TMP: grasses	0.247	0.03581	6.898	<0.001

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