## **Reply to Reviewer**

We made substantial revisions based on suggestions from two reviewers. The title was modified to precisely reflect the purpose and method used in this study. We eliminated a large trunk of materials that were redundant in method and result sections; Figures were modified, and several tables were placed in Appendix A. Detailed answers to reviewer's equations are:

### Reviewer#1

The manuscript entitled "Developing a Decision Support Tool for Assessing Land UseChange and BMPs in Large Ungauged Watersheds" presents development of decision support tool to estimate the impacts of land use change and best management practices on both water quantity and quality related issues of ungauged watersheds from Canada. The authors are putting their great efforts in this study. This type of research can help for making better informed decisions regarding future watershed management strategies.

Thank you for your kind comment.

Since calibration and validation of process-based models are crucial steps for further model simulation studies I suggest the authors to provide more details of these processes. I expect to have some text about model parameters' sensitivity analysis and model prediction uncertainties.

We replied these comments along with several related topics in detail below.

I suggest to include more concrete outputs of the research in "Abstract" section, not the general statements.

We revised the abstract part according to your suggestion.

Title: The term "Large Ungauged Watersheds" in the title is confusing to me because the larger watershed taken for this study is only 380 km2 and I don't find any statement to define a criteria whether a watershed is large or small in size.

Compared with the small experimental watershed, the LRW is considered large. We accepted your suggestion and remove "large' from the tile to reduce confusion.

Abstract section, line 3: The term "water resources" should have some specifics **We revised that** 

Materials and Methods section, line 104: "statistical equations". This should be clearly defined.

We revised that.

Materials and Methods section, line 107: "water quantity and quality". These should

be defined.

#### We revised that.

Study Sites and Data Collection section, lines 123-124: I also want to include both minimum and maximum temperature and precipitation.

### We revised that.

Figure 3: I suggest making topographic slope in degrees.

We follow the setup of SWAT using percentage which is commonly used in SWAT papers.

Study Sites and Data Collection section, lines 159-161: I suggest either to include website of data source or citation.

## We added website link.

Study Sites and Data Collection section, lines 161-162: I suggest to include more details.

### We revised that.

Modification of SWAT section, lines 176-177: Include some supportive document for this.

### We added references.

SWAT Setup, Calibration, and Validation section, lines 197-198: Need more details of this SWAT Setup, Calibration, and Validation section, lines 202-203: What are threshold values of

land use, soil, and slope categories to define 32 sub-basins in the watershed? Need to explain.

We understand your suggestion on this part. However, we do not think adding more details regarding calibrated and validation SWAT for BBW and sensitivity analysis is necessary in the present paper as those processes can be find in a published paper (Qi et al. 2017b). Also, reviewer#2 has already pointed out that the paper needs to be shorten and more materials (which can be found easily in another paper) would not be helpful. The most important reason why we cannot easily detail those processes in the present paper is that the SWAT model was not just set up, calibrated, and validated for BBW. We modified several modules in SWAT and tested them in separate papers and set up SWAT using field-boundary based HRU configuration. We think too much detail would divert readers attention from the objective of this paper.

### Reviewer# 2

This study is a very interesting and important question for water resources management.

Thank you for your comments.

# Major suggestions:

(1) The decision support tool should be established with readily available and measured variables only. Or, some advantages claimed in this study are not realistic. For instance, (a) anyone want to apply this method/framework to another catchment, they have to set up and calibrate the SWAT model first; (b) some of the explanatory variables might be catchment (sub-basin, or HRU) scale values and are un-observable, e.g. SOL\_K, so regressed equation depends on the performance of the calibrated SWAT model. I suggest authors to set up the tool independently with the SWAT model. Then, using the SWAT model to support the validity and to identify the advantages/disadvantages of the established tool. I think this is the way we usually do in operation, i.e. regressed and physically-based models are complementary and independent with each other for decision making.

In general, we agree with your comments. We do want to develop a decision support tool based on measured variables only and then tested it by comparison with SWAT simulations. However, as we stated in the manuscript, it is almost impossible to get those measured data from field experiments (at least under the budget we have). Probably we could get a few regression equations from our limited field measurements, but they are insufficient to develop a watershed scale decision support tool which contains many land use and soil types and management practices and their combinations. To your specified questions: a) once a decision support tool was developed and validated under a specific climate, vegetation and soil conditions, the decision support tool could be used in many watersheds in that region. We do not need to setup and calibrate a SWAT model for each watershed we are interested in. This is one of advantages of DST over SWAT. For example, the decision support tool developed in the present study could be applied to many similar watersheds in New Brunswick. Without the DST, we probably have to setup SWAT model (or other watershed models) for each of them and then take long time to calibrate and validate models, which is not possible for ungauged watersheds (there are so many ungauged watersheds in New Brunswick); b) when we were developing the decision support tool we chose physical meaningful variables. Sol K is saturated hydraulic conductivity which is a standard measurement in many soil survey and maps. We do insist that SWAT simulation could provide information that are not available from field experiments. So, a well calibrated and validated SWAT model could provide more reliable information.

(2) I don't agree with the conclusion "DST and SWAT are equally well". The performance of DST and SWAT are "equally", which is not surprise as they are dependent, but not "well", which should be concluded on comparison with observations.

Results did not well support "well". For the applications in the whole watershed, it is hard to say model was well established (or, it is just a numeric modelling experiment). We agree with your comment. Both DST and SWAT were not performing very well compared with measurements. However, when it comes to ungauged watersheds, we do not even have measurements to validate the model. SWAT model has been used in many cases without calibration and decision makers still put some trust in its simulations because there is nothing else to consult to. The main purpose of present study it to provide a decision support tool for decision

makers. At least, we could conclude that the DST performed equivalently as SWAT for the ungauged watershed and it is much easier to use than SWAT for

(3) What is relationship of this study with four published studies of Qi et al. in term of modelling results of SWAT? If there is no new modification, set-up and calibration of the SWAT model, that is fine. But you have to say it explicitly and reduce the length of model introduction significantly.

To apply SWAT in Atlantic Canada region, modification of soil temperature, snowmelt and soil erosion modules are necessary to improve simulations of SWAT to develop DST for New Brunswick. We have revised this section to shorten the manuscript.

Many abbreviations were used without full names where it was appeared firstly. Language should be edited carefully.

We revised those issues. Thanks

decision makers.

Length should be reduced significantly (too many tables and figures).

We put some results into appendix and delete several figures accordingly.

Suggest to separate the results and discussions

We understand your suggestion however we would like to keep results and discussion together to reduce manuscript length.

Subplots of all the figures should be labelled in order of (a), (b), :: : consistently **We revise them accordingly.** 

Specific suggestions:

(1) Line 111: too many abbreviations in this flow chart. Consider move down to end of this section, or provide more specific information, or extend the caption

We removed the figure as it is confusing and not necessary in the manuscript. Thanks

2) Line 131: Provide information of all the abbreviations used in the figure in the

captions

# We revised them accordingly.

- (2) Line 132: name of weather station should be consistent in form rather than one is "#08" and another one is "St. Leonard".
- St. Leonard station is a national station while other stations are all local managed stations without a proper name. What they have is just a number ID.
- (4) Line 139: The word "used by SWAT" is misleading. Land use and soil classes used by the SWAT model are much lesser (section 2.3) than these shown in this figure as many small patches of land cover and soil types are dissolved during the generation of HRUs.

We revised this part.

(5) I suggest authors to provide the "real" and relevant information used by the SWAT (including information in table 3) rather than these maps/values based on raw datasets.

The slope, soil and landuse maps are used to set up SWAT. Thanks

- (5) Line 148: what does "St. Quentin" mean? A type of soil? **Yes, it is a type of soil.**
- (6) Line 176-177: "It is believed that : : : even without calibration". How do I believe it?

We revised it.

- (7) Line 180: These two references are not the most relevant ones **We revised it.**
- (8) Line 193: whether freeze-thaw cycles are considered here? Results said modelling error of sediment load was resulted from not considering freeze-thaw cycles in winter (line 507).

Freeze-thaw cycles were considered by using modified version of SWAT in BBW and LRW. However, the modified K-factor could not fully account for those processes. As mentioned in Qi et al. 2017b, more studies are needed to address this issue in cold regions.

(9) Line 193-194: what are "following changes"? How do I know the accuracy was improved?

We revised the sentence. SWAT model Improvements could be referred to the four papers of Qi et al.

(10) Line 209: use four digital for the year consistently.

We revised that.

(11) Line 313: delete"(LBAT)".

Yes.

(12) Line 350: what is (3)?

We revised it.

(13) Line 484: In this section: it seems that results do not well support "increasing cell size increased sediment loading". Additionally, more explanations/discussions should be provided.

Those three sentences should be combined to understand the fig 4. "Increasing cell size (i.e., slope length) increased sediment loading. However, the mean slope gradient was reduced. As a result, the mean sediment loadings were correlated non-linearly with cell size as shown in fig 4".

(14) Line 486: Figure 13, where it is?

Typo. We revised it.

(15) Line 508: "48" should be "48%".

Yes.

(16) Line 556: R2 should be included in this table

We revised the table and added discussion about the results.

# **Original Research Article**

Developing a Decision Support Tool for Assessing Land Use

Change and BMPs in Large-Ungauged Watersheds Based on

**Decision Rules Provided by SWAT Simulation** 

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#### 1 Abstract

2 A simple decision support tool (DST) was developed to evaluate impacts of land use 3 change and best management practices (BMPs) on water resources for large ungauged watersheds in New Brunswick, Canada. It was developed Decision making on water resources management at ungauged, especially large-scale watersheds relies on hydrological modeling. Physically-based distributed hydrological models require 7 complicated setup, calibration, and validation processes, which may delay their acceptance among decision makers. This study presents an approach to develop a simple decision 8 support tool (DST) for decision makers and economists to evaluate multi-year impacts of 9 land use change and BMPs on water quantity and quality for ungauged watersheds. The 10 example DST developed in the present study was based on statistical equations derived 11 12 from Soil and Water Assessment Tool (SWAT) simulations applied to a small experimental watershed in northwest New Brunswick. The DST was subsequently tested against field 13 measurements and SWAT-model simulations for a larger watershed. Results from DST 14 reproduced could reproduce both field data and model simulations of annual stream 15

discharge and sediment and nutrient loadings fairly well. The relative error of mean annual 16 discharge and sediment, nitrate-nitrogen, and nutrient loadingsoluble-phosphorus loadings 17 were within 6, -52 to +, 27%., and -16%, respectively, for long-term simulation. Compared 18 with SWAT, DST has fewer input requirements and can be applied to multiple watersheds 19 20 without additional calibration. Also, scenario analyses with DST can be directly conducted for different combinations of land use and BMPs without complex model setup procedures. 21 The approach in developing DST can be applied to other regions of the world because of 22 its flexible structure. 23 Keywords: multiple regression; hydrological model; erosion; nitrate leaching; geographic 24 information system 25 26 27 28 29 30 31 1. Introduction - Pollution from nonpoint sources poses a significant threat to ecosystems and plant and 32 animal communities (Vörösmarty et al., 2010). Nonpoint sources of sediment, nutrients, 33 and pesticides, primarily from agricultural lands, have been identified as major contributors 34 35 to water quality degradation (Ongley et al., 2010; Zhang et al., 2004). These pollutants are

difficult to control because they come from many sources (Quan and Yan, 2001). Practices

such as strip cropping, terracing, crop rotation, and nutrient management can be developed

to prevent soil erosion and reduce the movement of nutrients and pesticides from

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agricultural lands to aquatic ecosystems (D'Arcy and Frost, 2001).-These pollutionprevention methods, known as best management practices (BMPs), are intended to minimize the negative environmental impact of agricultural activities, while maintaining land productivity. Reliable information on the impacts of land use change and BMPs on water quantity and quality is critical to watershed management (Panagopoulos et al., 2011). Pollution from nonpoint sources poses a significant threat to ecosystems and plant and animal communities (Vörösmarty et al., 2010). Nonpoint sources of sediment, nutrients, and pesticides, primarily from agricultural lands, have been identified as major contributors to water quality degradation (Zhang et al., 2004;Ongley et al., 2010). These pollutants are difficult to control because they come from many sources (Quan and Yan, 2001). Practices such as strip cropping, terracing, crop rotation, and nutrient management can be developed to prevent soil erosion and reduce the movement of nutrients and pesticides from agricultural lands to aquatic ecosystems (D'Arcy and Frost, 2001). These pollutionprevention methods, known as best management practices (BMPs), are intended to minimize the negative environmental impact of agricultural activities, while maintaining land productivity. Reliable information on the impacts of land use change and BMPs on water quantity and quality is critical to watershed management (Panagopoulos et al., 2011). Many studies have been conducted to evaluate the impact of land use change and BMPs on water quality based on field experiments (Novara et al., 2011; Pimentel and Krummel, 1987; Sadeghi et al., 2012; Turkelboom et al., 1997; Urbonas, 1994).(Novara et al., 2011; Pimentel and Krummel, 1987; Sadeghi et al., 2012; Turkelboom et al., 1997; Urbonas, 1994). Monitoring systems have been established to assess the impact of land use change and BMPs on water resources in order to capture the spatial and temporal variation in soil,

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climate, and topographic conditions in watersheds (Veldkamp and Lambin, 62 2001). (Veldkamp and Lambin, 2001). Statistical models developed from field data from 63 small watersheds are usually assumed to apply to large watersheds (Bloschl and Grayson, 64 65 2001; Blöschl and Sivapalan, 1995). (Blöschl and Sivapalan, 1995; Bloschl and Grayson, 2001). Although it is not difficult to quantify soil erosion and chemical loadings in 66 experimental plots, it is time-consuming and expensive (Mostaghimi et al., 67 1997). (Mostaghimi et al., 1997). Clearly, it is not practical to conduct field experiments for 68 every possible combination of land use and BMPs, under different biophysical conditions. 69 70 As a result, it is unlikely sufficient field data could be obtained to develop management 71 plans and conduct cost-benefit analyses. In addition, statistical models could be potentially 72 derived from experiments; however, it is difficult to establish cause-and-effect 73 relationships between BMPs and water quality variables under varied biophysical conditions or to quantify the impact of combined land use and BMPs on water quality at 74 the watershed scale (Renschler and Lee, 2005). (Renschler and Lee, 2005). 75 76 Process-based models of hydrology can be used to extrapolate field data to fill data gaps (Borah and Bera, 2003; Borah and Bera, 2004; Singh, 1995; Singh and Frevert, 2005; Singh 77 78 and Woolhiser, 2002). These process based models provide quantitative information that is usually difficult to obtain from field experiments (Borah et al., 2002). For example, 79 ANSWERS (Beasley et al., 1980), CREAMS (Knisel, 1980), GLEAMS (Leonard et al., 80 1987), AGNPS (Young et al., 1989), EPIC (Sharpley and Williams, 1990), and SWAT 81 (Arnold et al., 1998) have been used to understand surface runoff, soil erosion, nutrient 82 leaching, and pollutant transport processes. However, these process based models require 83 extensive input data and complex calibration procedures (Liu et al., 2015); watersheds with 84

sufficient data to calibrate and validate these models are normally small, resulting in lack 85 of representation at large spatial scales. Furthermore, once a model is calibrated, 86 parameters become watershed-specific, which cannot be easily extended to other 87 88 watersheds. In addition, these models require specialized expertise, which prevents nonexpert decision makers and economists to use them (Viavattene et al., 2008). (Borah and 89 Bera, 2004; Borah and Bera, 2003; Singh, 1995; Singh and Woolhiser, 2002; Singh and 90 Frevert, 2005). These process-based models provide quantitative information that is 91 usually difficult to obtain from field experiments (Borah et al., 2002). For example, 92 93 ANSWERS (Beasley et al., 1980), CREAMS (Knisel, 1980), GLEAMS (Leonard et al., 94 1987), AGNPS (Young et al., 1989), EPIC (Sharpley and Williams, 1990), and SWAT 95 (Arnold et al., 1998) have been used to understand surface runoff, soil erosion, nutrient 96 leaching, and pollutant-transport processes. However, these process-based models require extensive input data and complex calibration procedures (Liu et al., 2015); watersheds with 97 sufficient data to calibrate and validate these models are normally small, resulting in lack 98 99 of representation at large spatial scales. Furthermore, once a model is calibrated, parameters become watershed-specific, which cannot be easily extended to other 100 101 watersheds. In addition, these models require specialized expertise, which prevents nonexpert decision makers and economists to use them (Viavattene et al., 2008). 102 103 A decision support tool could be developed by combining "decision rules" with geographic information systems (GIS) for water quality assessment in large ungauged 104 watersheds. The "decision rules" could be based on regression equations derived from field 105 106 experiments (Renschler and Harbor, 2002), (Renschler and Harbor, 2002), or they could be defined simply as constants based on expert knowledge. Alternatively, simulations from a 107

well-calibrated hydrological model could be used to develop statistical equation-based "decision rules". Apart from defining "decision rules" at each grid cell, to assess water quantity and quality in streams or at subbasin/watershed outlets, the decision support tool should consider discharge, sediment, and nutrient routing within the watershed. For example, a commonly used routing mothed for sediments is the sediment-delivery ratio (SDR) method, which is widely employed in many GIS-based erosion models (May and Place, 2010; Wilson et al., 2001; Zhao et al., 2010). (May and Place, 2010; Wilson et al., 2001; Zhao et al., 2010). For discharge, a simple summation routing at the outlet produces acceptable accuracy for small- and medium-sized watersheds, considering that there is negligible water losses from surface runoff and stream flow. For large watersheds, water losses are generally greater. These water losses can be estimated using simple linear equations. The annual export of nutrients from watersheds (via the nutrient-delivery ratio) has been studied empirically in many studies as nutrient loading per land area (Beaulac and Reckhow, 1982; Endreny and Wood, 2003; Reckhow and Simpson, 1980). (Endreny and Wood, 2003; Beaulac and Reckhow, 1982; Reckhow and Simpson, 1980). A decision support tool developed based on "decision rules" is generally flexible and easy for decision makers and economists to use (Endreny and Wood, 2003).(Endreny and Wood, 2003). However, their practicality in normal circumstances, particularly with respect to their level of accuracy, needs to be evaluated. In addition, in order to provide sufficient "decision rules" with reasonable accuracy, fully validated hydrological models are required to be able to fill data gaps in field experiments. The present study used the Soil and Water Assessment Tool (SWAT) to provide modelled data in the development of the decision support tool. The main objective of the present study is to develop a simple

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decision support tool with the intent to evaluate the impact of land use change and BMPs on water resources in a large ungauged watershed in New Brunswick, Canada. This paper presents the development and testing of a decision support tool using data from two watersheds in the potato-belt of New Brunswick; one small experimental watershed, with extensive monitoring and field survey data, and a larger watershed containing the smaller 带格式的: 英语(美国) watershed. 2.1.Materials and Methods **带格式的:**缩进:首行缩进: 0.42 厘米 The general framework of the study is illustrated in Fig. 1. Specifically, this involves: (1) setting up, calibrating, and validating SWAT for a small experimental watershed; (2) developing statistical equations based on SWAT-model relating water quality and quantity variables with weather, soil, land use information based on SWAT simulations for different combinations of land use and BMPs; (3) integrating the statistical equations into a decision support tool with the aid of ArcGIS; and (4) testing the decision support tool against field measurements and model simulations of water quantitystream discharge, 带格式的:英语(加拿大) sediment, and qualitynutrient loadings for a large watershed.

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# 2. Materials and Methods

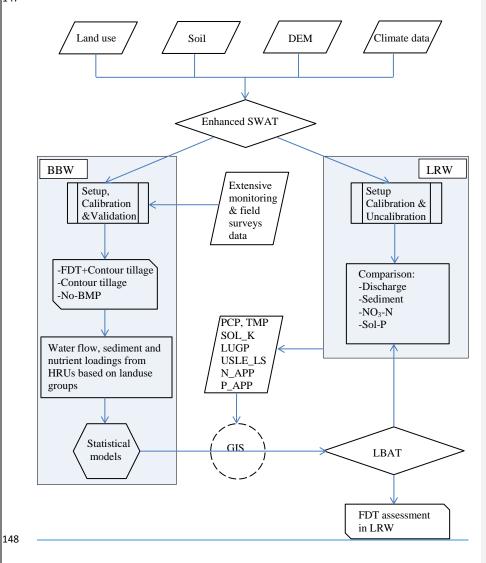
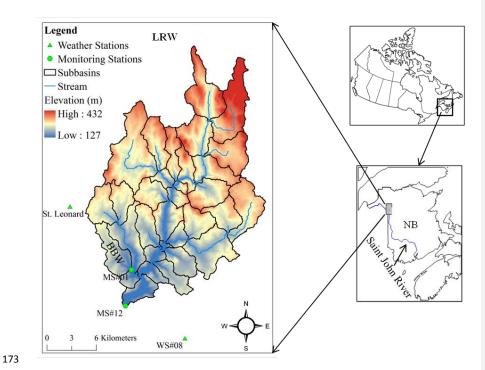


Fig. 1 Information flow in development of the decision support tool.

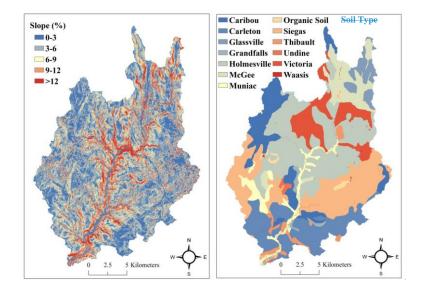
#### 2.1 Study Sites and Data Collection

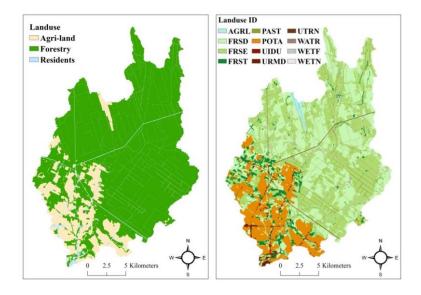
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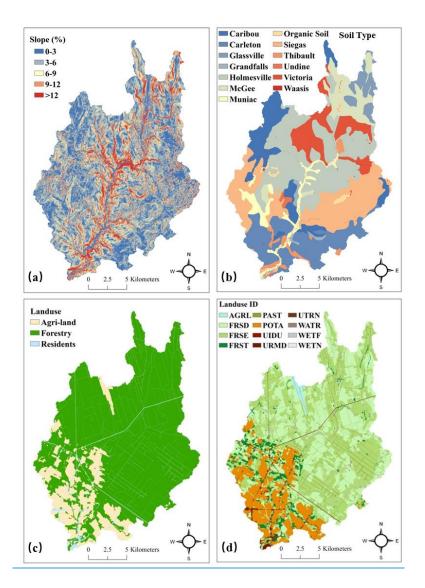
151 The large watershed of this study is the Little River Watershed (LRW), located in the Upper Saint John River Valley of northwestern New Brunswick, Canada (Fig. 21). It 152 covers an area approximately 380 km<sup>2</sup> with a mixture of agricultural (16.2%), forest (77%), 153 and residential (6.8%) land uses (Xing et al., 2013). Elevation in the watershed ranges 154 155 from 127 to 432 m above mean sea level (Fig. 2(Xing et al., 2013). Elevation in the watershed ranges from 127 to 432 m above mean sea level (Fig. 1). The soil in the study 156 sites is classified as mineral, derived from various parent materials. The major associations 157 are Caribou, Carleton, Glassville, Grandfalls, Holmesville, McGee, Muniac, Siegas, 158 Thibault, Undine, Victoria, Waasis, and one organic soil (Fig. 32). The study site belongs 159 160 to the Upper Saint John River Valley Ecoregion in the Atlantic Maritime Ecozone 161 (Marshall et al., 1999). (Marshall et al., 1999). The climate of the region is considered to be moderately cool boreal with approximately 120 frost-free days, annually (Yang et al., 162 2009). (Yang et al., 2009). Daily maximum and minimum temperate are 24 (in July) and -163 18.1°C (in January) based on Canadian Climate Normal station data at St. Leonard 164 165 (http://climate.weather.gc.ca/climate\_normals). The average temperature is 3.7°C and annual precipitation is 1037.4 mm (Zhao et al., 2008). (Zhao et al., 2008). About one-third 166 of the precipitation is in the form of snow. Snowmelt leads to major surface runoff and 167 groundwater recharge events from March to May (Chow and Rees, 2006). (Chow and Rees, 168 2006). The land use and soil maps in the setup of SWAT for LRW were derived from 169 publicly available data [Energy and Resource Development (ERD), New Brunswick; Fig. 170 171 <del>3</del>2].



**Fig. 21** Location of the <u>Little River Watershed</u> (LRW) and <u>Black Brook Watershed</u> (BBW) in <u>New Brunswick</u> (NB), <u>Canada</u> and water-monitoring stations #01 and #12 as well as weather stations #08 and St. Leonard. Elevations and subbasins are also shown for LRW.







**Fig. 32** Slope classes created using a 10-m resolution LiDAR (Light Detection and Ranging)-based DEM (Digital Elevation Model), soil and land use maps, and land use IDs <u>used byin</u> SWAT (see Table 2 for land use ID meaning).

185 The small experimental watershed of the study is the Black Brook Watershed (BBW), a subbasin of LRW (Fig. 21). The BBW has been studied extensively for more than 20 years 186 to evaluate the impact of agriculture on soil erosion and water quality (Chow and Rees, 187 2006; Li et al., 2014). (Li et al., 2014; Chow and Rees, 2006). The watershed covers an area 188 of 14.5 km<sup>2</sup>, with 65% being agriculture land, 21% forest land, and 14% residential areas 189 and wetlands. Slopes vary from 1-6% in the upper basin to 4-9% in the central area. In the 190 lower portion of the watershed, slopes are more strongly rolling at 5-16%. Soil surveys 191 (1:10,000 scale) identified six mineral soils, namely Grandfalls, Holmesville, Interval, 192 193 Muniac, Siegas, and Undine, and one organic soil, St. Quentin (Mellerowicz, 194 1993).(Mellerowicz, 1993). A water-monitoring station was established at the outlet of BBW in 1992 (MS#01; Fig. 195 196 21) and another (MS#12) at the outlet of LRW in 2001. At these stations, V-notch weirs 197 were installed, and the stage height of the water was recorded using a Campbell-Scientific 198 CR10X data logger. Stage height values were converted to total flow rates with a 199 calibration curve function (Chow et al., 2011). (Chow et al., 2011). Water samples were collected with an ISCO automatic sampler. Sampling frequency was set at one sample 200 201 every 72 hours when runoff was absent. During runoff events, sampling frequency was increased to one sample every 5-cm change in stage height. Samples were analyzed for 202 concentration of suspended solids, nitrate-nitrogen (NO<sub>3</sub>-N), and soluble-phosphorus (Sol-203 P). Detailed description of data collection procedures and sample analyses can be found in 204 205 Chow et al. (2011). Chow et al. (2011). Weather data including daily precipitation, air temperature, relative humidity, and wind speed were acquired from the St. Leonard 206 207 Environment Canada weather station, (http://climate.weather.gc.ca), located approximately 5 km northwest of BBW (Fig. 21). The daily average relative humidity and wind speed were calculated based on <u>averaging</u> hourly values. Since this weather station did not monitor daily solar radiation, the study used solar radiation collected from a weather station located approximately 10 km southeast of BBW (WS#08; Fig. 21).

#### 2.2 Modification of SWAT

As a process based semi-distributed watershed model, SWAT is designed to simulate hydrological processes and predict water quantity and quality as affected by land use, land management practices, and climate change (Arnold et al., 1998). It provides a flexible framework that allows for simulations of the impact of a broad range of BMPs, such as crop cover, filter strips, conservation tillage, irrigation, and flood prevention structures (Gassman et al., 2005; Ullrich and Volk, 2009). The SWAT model is currently one of the most commonly used hydrological models to study nonpoint source pollution problems (Behera and Panda, 2006) and evaluate the impact of BMPs on water quantity and quality at various spatial scales (Gassman et al., 2005).

Many studies have used SWAT as a decision support tool to evaluate water resources in large ungauged watersheds. It is believed that SWAT is able to provide reliable evaluations even without calibration. SWAT analyzes hydrological processes for watersheds by discretizing them into subbasins, which are then themselves subdivided into hydrological response units (HRUs) of homogeneous land use, soil properties, and slope (Yan et al., 2013; Yang et al., 2009). The model calculates the water balance, crop growth, nutrient eyeling, and pesticide movement at the HRU level. Water flow and sediment and nutrient transport from each HRU are summed and the resulting loadings are then routed by means of channels, ponds, and reservoirs to the watershed outlet. Model outputs include HRU,

subbasin, and watershed level values of surface, lateral, and base flows, as well as sediment and nutrient loadings.

In Atlantic Canada, where substantial snow accumulates, SWAT predicted soil temperatures have been found to disagree with field measurements (Yang et al., 2009), especially in winter. To address this discrepancy new physically based soil temperature and snowmelt modules were previously developed for SWAT to account for snow-insulation effects (Qi et al., 2016a, b) and rain on snow events (Qi et al., 2017a). Further modification to SWAT included a modification to the universal soil loss equation (MUSLE) by introducing a variable soil erodibility coefficient (K-factor) to address effects of freeze-thaw cycles on erosion in cold regions (Qi et al. 2017b). The following changes to SWAT have improved the overall accuracy of the simulations when tested against field measurements.

### 2.32.2 SWAT Setup, Calibration, and Validation for BBW and LRW

The new SWAT model has been subsequently set up, calibrated, and validated for BBW as reported in Qi et al. A modified version of SWAT has been developed for cold regions (Qi et al., 2017a;Qi et al., 2016a, b;Qi et al., 2017b), and it was used for the BBW and LRW in this study. Detailed model setup, calibration, and validation for BBW can be found in Qi et al. (2017b). Specific model inputs for both watersheds are provided in Table 1. The same weather data were used for both watersheds (Table 1). The Digital Elevation Model (DEM) for LRW and BBW (Qi et al., 2017b) were both based on high resolution LiDAR (Light Detection and Ranging) data, the first was created at 10-m and the second, at 1-m resolution-(Qi et al., 2017b). The LRW was delineated into 32 subbasins from which their topographic characteristics were defined (Fig. 21). The soil types and slopes, 

which were classified into five separate classes, are illustrated in Fig. 32 for LRW. After combining the soil, slope, and land use maps through the ArcSWAT-interface function, 362 HRUs were subsequently created for LRW- (based on thresholds: 10, 15, and 20% for land use, soil, and slope).

# Table 1 Datasets in SWAT setup, calibration, and validation for BBW and LRW.

Dataset	BBW	LRW
LiDAR DEM resolution	1-m	10-m
Soil map	Survey (1993)	ERD
Land use maps	Survey ( <del>92-11</del> 1992- 2011)	ERD (one map)
Precipitation, temperature,	St. Leonard (92	St. Leonard (01–
relative humidity & wind speed	<del>11</del> 1992-2011)	<del>10</del> 2001-2010)
Solar radiation	WS#08 ( <del>92-11</del> <u>1992-</u>	WS#08 ( <del>01-10</del> 2001-
	<u>2011</u> )	<u>2010</u> )
Contour tillage operation	Survey ( <del>92-11</del> 1992-	Only for potato and
(spring and fall)	2011)	barley ( <del>01-102001-</del>
		2010)
Fertilizer application	Survey ( <del>92 11 1992 -</del>	Estimated from BBW
••	2011)	(2001)
Crop rotation	Survey ( <del>92 11</del> 1992-	Potato-barley (01-
•	2011)	<del>10</del> 2001-2010)
Terraces and grassed waterways	Survey ( <del>92-11</del> 1992-	Negligible
-	2011)	
Discharge, sediment, NO <sub>3</sub> -N and Sol-P	MS#01 ( <del>92-11</del> 1992-	MS#12 ( <del>01-10</del> 2001-
- '	2011)	2010)

268 Since only one land use map was available for LRW (Table 1), assumptions were made based on information available on land use and management records for BBW to adjust the 269 SWAT-management files for LRW as follows: 270 271 (1) Potato-barley rotations were assigned to the land use ID POTA (Table 2); for other land use IDs, a single crop was considered; 272 (2) Fertilizers were applied only to potato and barley fields, and fertilizer amounts and 273 N:P (nitrogen-to-phosphorus) ratios were averaged for potato and barley fields over the 274 entire watershed, based on 2001 survey data from BBW; 275 276 (3) Contour tillage was applied only to potato and barley fields; 277 (4) Flow diversion terraces (FDT) and grassed waterways in LRW were assumed not 278 used. It is worth noting that these four assumptions serve as a baseline scenario for the 279 assessment of FDT in LRW-at a later time. 280 In order to To evaluate the global performance of the decision support tool for LRW, 281 related land use and management files were prepared and accessed by SWAT. For purpose 282 of comparison, simulations with SWAT were produced in an initial application by setting 283 the adjustable parameters of the model to their default values, and in a second application 284 by setting the parameters according to values produced with a watershed-specific model calibration to BBW. This approach with model parameterization is widely accepted when 285 applying SWAT to large ungauged watersheds (Panagopoulos et al., 2011). 286

discharge and sediment and nutrient loadings from individual grid cells:

The decision support tool was designed to use the "decision rules" to estimate annual

2.42.3 Decision Rules

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 $A = \sum_{i=1}^{n} DR_i \cdot A_i,$ (1)

293 where A is the annual discharge or sediment and nutrient loadings at the outlet of the 294 watershed,  $DR_i$  and  $A_i$  are the delivery ratios and annual discharge or loadings, respectively, for grid cell i. For the present study, statistical equations derived from simulations of the 295 296 calibrated version of the enhanced SWAT model for BBW (Qi et al., 2017b) were defined as the "decision rules" in the decision support tool. 297

### Land Use Groups and BMP Scenarios

In statistical equation development, land use in BBW (24, in total) was first classified 299 300 into five land use classes according to their influences on hydrological processes (Table 2). Note that WATR was not used due to its small overall coverage (Fig. 32). As for watershed

management, we considered three main BMPs, i.e., 302

- (1) FDT + contour tillage; 303
- (2) Contour tillage; and 304
- 305 (3) No-BMP (without FDT and contour tillage).

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Table 2 Land use and land use groups (LUGP) for BBW and LRW.

LUGP	Land use ID in SWAT	Land use type
AGRL	AGRL	Agricultural Land-Generic
(General crops)	CANA	Canola
	CRON	Corn
	FPEA	Field peas
	POTA	Potato
GRAN	BARL	Barley
(Grains)	OATS	Oats
	PMIL	Millet
	RYE	Rye
	SWHT	Spring wheat
	WWHT	Winter wheat

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GRAS	BERM	Bermuda grass	
		ē	
(Grasses)	CLVR	Clover	
	HAY	Hay	
	PAST	Past	
	RYEG	Ryegrass	
	TIMO	Timothy	
FORT	FRSD	Forest-Deciduous	
(Forestry)	FRSE	Forest-Evergreen	
	FRST	Forest-Mixed	
	RNGB	Range-Bush	
	WETF	Wetlands-Forested	
	WETN*	Wetlands-No-Forest	
NOCR	URMD	Residential	
(Non-vegetated	UTRN	Transportation	
lands)	UIDU*	Industrial	

Note: "\*" indicates unique land use types to LRW not present in BBW and, therefore, unaccounted for in the development of the decision support tool.

—The calibrated version of the enhanced SWAT—model for BBW was used to generate annual outputs based on HRUs from 1992 to 2011. The model was rangum three times to generate the BMP-specific data for statistical equation development.

## 2.4.22.3.2 Explanatory Variables Selection

Explanatory candidate variables must be physically-meaningful in hydrological and biochemical processes. It is worth noting that both continuous and categorical variables were included in the regression equation. The land use group (LUGP) was the only categorical variable, and the remaining were all continuous variables. To detect significant predictors, the analysis of covariance (ANCOVA) was used. It requires at least one continuous and one categorical explanatory variable and is used to identify the major and interaction of predictor variables. By including continuous variables, the method can reduce the variance of error to increase the statistical power and precision in estimating

322 categorical variables (Keselman et al., 1998; Li et al., 2014). (Keselman et al., 1998; Li et al., 2014). Inclusion of interaction terms in these regression models dramatically increased 323 model performance. 324 325 In the present study, we only considered interactions between two explanatory variables at a time. Student t-tests were conducted to examine the statistical significance of each 326 level of LUGP and their interaction with the various continuous variables. When one level 327 of LUGP (e.g., GRAN; Table 2) did not significantly correlate with water quality or 328 quantity, or there were nominal interactions between a given level and other explanatory 329 330 variables, this particular level of LUGP would be combined with other levels of LUGP 331 until all new levels of LUGP were statistically significant. Multiple linear regression analyses were used to relate annual total discharge (mm) and 332 333 sediment (t ha<sup>-1</sup>), NO<sub>3</sub>-N (kg ha<sup>-1</sup>), and Sol-P (kg ha<sup>-1</sup>) loadings to the explanatory variables. 334 These work was conducted in R (Ihaka and Gentleman, 1996). These work was conducted in R (Ihaka and Gentleman, 1996). Only six continuous explanatory variables were 335 336 determined for the specification of the statistical models. Annual precipitation (PCP), annual mean air temperature (TMP), and mean saturated hydraulic conductivity of soil 337 338 (SOL K) were common to the dependent variables (i.e., total discharge and sediment, NO<sub>3</sub>-N, and Sol-P loadings). The LS-factor (USLE\_LS) and annual N and P application 339 rates (N\_APP and P\_APP) were unique to the equations addressing sediment, NO<sub>3</sub>-N, and 340 Sol-P loading. 341 342 <del>2.4.3</del>2.3.3 **Delivery Ratio Definition** 343 The LS-factor of the universal soil loss equation (USLE) was determined by slope

gradient (slp) and slope length (L) of individual HRUs:

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346 USLE\_LS = 
$$\left\{\frac{L}{22.1}\right\}^m \cdot (65.41 \cdot \sin^2(a) + 4.56 \cdot \sin(a) + 0.065)$$
 (2)

where m is the equation exponent and a is the angle of the slope (in degrees). The exponent m is calculated by,

 $m = 0.6 \cdot (1 - \exp[-35.835 \cdot slp])$ (3)

where slp is in units of m m<sup>-1</sup>. For the decision support tool, slope length L equals to the length of the grid side and slope gradient was determined by the Slope tool in ArcGIS. The sediment-delivery ratio was not considered in the decision support tool application to BBW. We assumed that annual sediment loadings from grid cells in decision support tool were all exported to the outlet of BBW. However, when the decision support tool was applied to LRW, the sediment-delivery ratio was used to correct estimates of sediment loading at the watershed outlet. The sediment loadings at the outlet of LRW (sed) were determined by

$$361 \quad sed = SDR \cdot sed^{\sim} \tag{4}$$

where  $sed^{\sim}$  is the sediment loading calculated with the sediment loading equation (one for each BMP and land use group), and SDR is determined by (Vanoni, 1975)(Vanoni, 1975)

$$366 SDR = 0.37 \cdot D^{-0.125} (5)$$

where D (km<sup>-2</sup>) is the drainage area. For annual discharge and nutrient loadings, we assumed their delivery ratios equal to 1.0 for all grid cells in LRW.

# 2.52.4 Decision Support Tool Assessment (LBAT)

Inputs to the decision support tool included the six continuous explanatory variables and LUGP as well as information on management practices, e.g., contour tillage and FDT implementation. Simulations from each grid cells were summarized at the outlet of the study watersheds. We first tested the impact of cell size on simulations of water quantity and quality at the outlet of BBW. The cell size range was determined by considering different farmland sizes in the watershed. We assumed that farmland-based grid cells can sufficiently represent basic hydrological processes, land use change, and management practice implementations for hydrological modeling. Simulated annual water flow and sediment and nutrient loadings with the decision support tool were compared with those produced with the calibrated version of the enhanced SWAT—model. Subsequently, the decision support tool was applied to LRW, and the simulations were compared with the results of the uncalibrated and calibrated versions of SWAT. The purpose of this was to test if the decision support tool (i.e., land use and BMP assessment tool; LBAT) performed better, or at least as well, as both the uncalibrated and calibrated version of SWAT.

Model performance in terms of water quantity and quality at the outlet of the study watersheds was assessed based on the coefficient of determination (R<sup>2</sup>) and relative error (Re), i.e.,

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$$R^{2} = \left(\frac{\sum_{i=1}^{n} (o_{i} - o_{avg}) \cdot (P_{i} - P_{avg})}{\left[\sum_{i=1}^{n} (o_{i} - o_{avg})^{2} \cdot \sum_{i=1}^{n} (P_{i} - P_{avg})^{2}\right]^{0.5}}\right)^{2}$$
(6)

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$$Re = \frac{(P_{avg} - O_{avg})}{O_{avg}} \cdot 100\%$$
(7)

where  $O_i$ ,  $P_i$ ,  $O_{avg}$ , and  $P_{avg}$  are the observed and predicted and averages of the observed and predicted values, respectively.

2.62.5 FDT Assessment in LRW

A series of FDT-implementation scenarios were set up for LBAT based on six slope classes to assess the impact of FDT on water quantity and quality on agricultural lands in LRW (Fig. 3; Table 3). From scenarios one (S1) to six (S6), total area protected by FDT gradually increased until all agricultural lands were protected (Table 3). Mean annual simulations of total discharge and sediment, NO<sub>3</sub>-N, and Sol-P loadings from LRW from 2001 to 2010 were compared with those of the baseline scenario (FDT = 0%) for each scenario using two performance indicators, i.e., mean difference (MD) and % relative difference (PRD), given as:

- (1) MD = output with FDT output without FDT, and
- 406 (2) PRD (%) = MD/output without FDT  $\times$  100.

407 <del>(3)</del>

**Table 3** Slope classes and corresponding areas in the agricultural land of LRW.

Scenario	Slope	Area protected by FDT	Agricultural lands	
		(ha)	(%)	
S1	≥5%	624	10	
S2	≥4%	1328	22	
S3	≥3%	2224	37	
S4	≥2%	3680	61	
S5	≥1%	5360	89	

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S6  $\geqslant 0$  6048 100

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#### 3. Results and Discussion

#### 3.1 Statistical Equations (Decision Rules)

#### 3.1.1 Model Structure and Coefficients

414 Linear regression equations and their explanatory variables for annual discharge and sediment, NO<sub>3</sub>-N, and Sol-P loadings under different combinations of land use groups and 415 BMP scenarios are provided in Tables 4 and 5. In total, three discharge models (Dis1, Dis2, 416 and Dis3) and five sediment (Sed1\_1, Sed1\_2, Sed1\_3, Sed2, and Sed3), NO<sub>3</sub>-N (N1\_1, 417 N1\_2, N1\_3, N2, and N3), and Sol-P (P1\_1, P1\_2, P1\_3, P2, and P3) loading models were 418 419 developed. Data transformations (via logarithm and power transformations) were applied to sediment, NO<sub>3</sub>-N, and Sol-P loadings to meet the assumption of normality in multiple 420 421 regression analysis (Table 4). The contour tillage and FDT were applied only to agricultural lands, including land use groups AGRL, GRAN, and GRAS (Table 4). For the no-BMP 422 scenario, three separate sediment, NO<sub>3</sub>-N, and Sol-P loading models were developed for 423 agricultural lands (AGRL, GRAN, and GRAS), non-vegetated lands (NOCR), and forest 424 lands (FORT), and one discharge model (Dis1) for all land use groups (Table 4). It is worth 425 noting that the sediment loading model, Sed3, was a modified version of Sed1\_1 426 (multiplied by TERR\_P) for the FDT + contour tillage scenario (Table 4), and the values 427 of TERR\_P (Qi et al., 2017b) used for Sed3 were the same as the calibrated values in 428 SWAT for BBW (Qi et al., 2017b). Also, NO<sub>3</sub>-N and Sol-P loadings (N1\_2 and P1\_2) for 429 non-vegetated lands (NOCR) were determined as constants, which were equal to the 430

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calculated means of NO<sub>3</sub>-N and Sol-P loadings determined by SWAT (i.e., 24 and 0.61 kg 431 ha<sup>-1</sup>, respectively; Table 4). 432 -As for LUGP (including AGRL, GRAN, GRAS, FORT, and NOCR; Table 2), three 433 new land use groups (i.e., LUGP1, LUGP2, and LUGP3) were formulated by combining 434 agricultural lands AGRL, GRAN, and GRAS during model development (Tables 4 and 5). 435 For example, LUGP2 was derived by combining AGRL, GRAN, and GRAS on total 436 discharge (i.e., Dis1 model). Individual model structures are shown in Table 4, whereas the 437 438 explanatory variables for these models appear in Tables 6, 7, 8 and 9. Appendix A. The 439 coefficients estimated for the explanatory variables and their interactions, and their t-test results are also shown-in Appendix A. Most of the p-values for these explanatory variables 440 were < 0.001, except for several that were between 0.001 and 0.08, which were also taken 441 442 as acceptable. 443 444

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**Table 44** Statistical models based on land use groups (LUGP) and BMPs.

BMPs	LUGP*	Model	Structure
No-BMP	CRGP2,NOCR,FORT	Dis1	Discharge = $f$ (PCP, TMP, SOL_K, LUGP2)
Contour tillage	AGRL,GRAN,GRAS	Dis2	$= f(PCP, TMP, SOL_K)$
FDT+Contour tillage	AGRL,GRAN,GRAS	Dis3	$= f(PCP, TMP, SOL_K)$
No-BMP	CRGP1,GRAS	Sed1_1	Sediment <sup>(1/10)</sup> = $f$ (USLE_LS, PCP, TMP, SOL_K, LUGP1)
	NOCR	Sed1_2	$= f(USLE\_LS, PCP)$
	FORT	Sed1_3	$= f(USLE\_LS, PCP, SOL\_K)$
Contour tillage	CRGP1,GRAS	Sed2	Sediment <sup>(1/10)</sup> = $f$ (USLE,_LS, PCP, TMP, SOL_K, LUGP1)
FDT+Contour tillage	AGRL,GRAN,GRAS	Sed3	Sediment = $Sed1_1 \times TERR_P$
No-BMP	AGRL,GRAN,GRAS	N1_1	$Log(NO_3-N) = f(N_APP, PCP, TMP, SOL_K, LUGP)$
	NOCR	N1_2**	$NO_3$ -N= 24 kg ha <sup>-1</sup>
	FORT	N1_3	$Log(NO_3-N) = f(PCP, TMP, SOL_K)$
Contour tillage	AGRL,GRAN,GRAS	N2	$Log(NO_3-N) = f(N\_APP, PCP, TMP, SOL\_K, LUGP)$
FDT+Contour tillage	CRGP3,GRAN	N3	$= f(N_APP, PCP, TMP, SOL_K, LUGP3)$
No-BMP	CRGP1,GRAS	P1_1	$Log(Sol-P) = f(P\_APP, PCP, TMP, SOL\_K, LUGP1)$
	NOCR	P1_2**	$Sol-P = 0.61 \text{ kg ha}^{-1}$
	FORT	P1_3	$Log(Sol-P) = f(PCP, TMP, SOL_K)$
Contour tillage	CRGP1,GRAS	P2	$Log(Sol-P) = f(P\_APP, PCP, TMP, SOL\_K, LUGP1)$
FDT+Contour tillage	AGRL,GRAN,GRAS	P3	$= f(P\_APP, PCP, TMP, SOL\_K, LUGP)$

\*AGRL and GRAN are combined into one group, namely CRGP1 in LUGP1; AGRL, GRAN and GRAS are combined into one group, namely

CRGP2 in LUGP2; AGRL and GRAS are combined into one group, namely CRGP3 in LUGP3; \*\* variable is set constant.

**Table 55** Explanatory variables determined for statistical analysis.

Variable	Unit	Meaning
LUGP	_	Land use groups including AGRL, GRAN, GRAS, FORT, and NOCR
LUGP1		AGRL and GRAN are combined into a new group, CRGP1
LUGP2	_	AGRL, GRAN, and GRAS are combined into a new group, CRGP2
LUGP3	_	AGRL and GRAS are combined into a new group, CRGP3
N_APP	kg ha <sup>-1</sup>	Annual N application rate
P_APP	kg ha <sup>-1</sup>	Annual P application rate
PCP	mm	Annual precipitation
SOL_K	mm h <sup>-1</sup>	Mean saturated hydraulic conductivity of soil
TERR_P	_	P-factor for FDT
TMP	$^{\circ}$ C	Annual mean air temperature
USLE_LS	_	LS-factor of USLE

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Table 6 Coefficient values for the three discharge models corresponding to land use and BMPs described in Table 4.

Model variable	Estimate	Std. Error	t-value	p-value
Dis1				
<del>Intercept</del>	<del>-1565</del>	<del>24.04</del>	<del>-65.089</del>	<0.001
PCP	1.933	0.02176	88.837	<0.001
<del>TMP</del>	282.7	<del>6.091</del>	<del>46.402</del>	<del>&lt;0.001</del>
<del>SOL_K</del>	0.06338	0.00992	6.389	<0.001
FORT	30.79	<del>14.16</del>	2.175	0.030
NOCR	<del>162.2</del>	<del>14.51</del>	<del>11.181</del>	<del>&lt;0.001</del>
PCP:TMP	0.2488	0.005487	45.352	<0.001
PCP:FORT	0.04684	0.01191	3.934	<0.001
PCP:NOCR	<del>-0.0535</del>	0.01224	4.37	<del>&lt;0.001</del>
TMP:FORT	9.723	1.684	5.775	<0.001
TMP:NOCR	4.506	1.731	2.603	0.009
SOL_K:FORT	<del>-0.3769</del>	0.03403	<del>-11.076</del>	<0.001
SOL_K:NOCR	0.2959	0.032	9.248	<0.001
Dig2				
<del>Intercept</del>	<del>-1633</del>	<del>27.29</del>	<del>-59.84</del>	<del>&lt;0.001</del>
PCP	1.995	0.02472	80.69	<0.001
TMP	302.2	6.87	43.98	<0.001
<del>SOL_K</del>	0.08696	<del>0.01167</del>	<del>7.45</del>	<del>&lt;0.001</del>
PCP:TMP	0.2662	0.006199	42.94	<0.001
<del>Dis3</del>				
<del>Intercept</del>	<del>-1666</del>	<del>36.58</del>	<del>-45.54</del>	<del>&lt;0.001</del>
PCP	2.007	0.03305	60.713	<0.001
TMP	208	9.351	31.865	<0.001
<del>SOL_K</del>	0.09353	0.01573	<del>5.946</del>	<del>&lt;0.001</del>
PCP:TMP	0.2606	0.008406	31.004	<0.001

Table 7 Coefficient values for the four sediment loading models corresponding to land use and BMPs described in Table 4.

Model variable	Estimate	Std. Error	t value	p value
Sed1_1				
<del>Intercept</del>	0.2749	0.06125	4.488	<del>&lt;0.001</del>
USLE_LS	0.1201	0.02224	54.018	< 0.001
<del>PCP</del>	0.000788	5.54E-05	14.218	< 0.001
TMP	0.1117	0.01528	7.307	<del>&lt;0.001</del>
SOL_K	0.000568	0.00022	2.585	0.010
CRAS	0.0353	0.00881	4.007	< 0.001
USLE_LS:SOL_K	<del>-0.00014</del>	4.69E-05	3.045	0.002
USLE_LS:GRAS	0.02623	0.006826	3.842	< 0.001
PCP:TMP	0.00011	1.38E 05	7.967	<0.001
PCP:SOL_K	<del>-1.6E-07</del>	1.91E-07	<del>-2.406</del>	0.016
Sed1_2				
Intercept	0.8575	0.008826	97.15	< 0.001
PCP	0.000123	7.82E-06	<del>15.67</del>	<0.001
PCP:USLE_LS	0.000209	5.02E-06	41.65	< 0.001
Sed1_3				
(Intercept)	<del>0.3992</del>	0.02267	<del>17.613</del>	<0.001
USLE_LS	0.07935	0.01967	4.034	< 0.001
PCP	0.000204	1.96E-05	<del>10.371</del>	<0.001
<del>SOL_K</del>	<del>0.000545</del>	<del>5.71E-05</del>	<del>9.534</del>	<0.001
USLE_LS:PCP	4.94E 05	1.71E 05	2.9	0.004
USLE_LS:SOL_K	<del>-0.00067</del>	4.89E-05	<del>13.718</del>	<0.001
<del>Sed2</del>				
Intercept	0.2591	0.05228	4.956	< 0.001
USLE_LS	<del>0.12</del>	0.001898	63.218	<0.001
<del>PCP</del>	<del>0.000767</del>	4.73E-05	<del>16.212</del>	<del>&lt;0.001</del>
TMP	0.1162	0.01304	8.907	< 0.001
<del>SOL_K</del>	<del>0.000746</del>	0.000188	<del>3.981</del>	<0.001
CRAS	0.06937	0.01648	4.211	< 0.001
USLE_LS:SOL_K	0.00013	4 <del>E-05</del>	3.137	0.002
USLE_LS:CRAS	<del>-0.02662</del>	0.005829	<del>-1.567</del>	<0.001
PCP:TMP	0.00011	1.18E 05	9.522	< 0.001
PCP:SOL_K	6.3E 07	1.63E 07	3.846	< 0.001
TMP:CRAS	0.007415	0.003664	2.024	0.043

Table 8-Coefficient values for the four NO<sub>3</sub>- N loading models corresponding to land use and BMPs described in Table 4.

Model variable	Estimate	Std. Error	t-value	p value
N1_1				_
Intercept	<del>1.44</del>	0.1753	8.213	<del>&lt;0.001</del>
N_APP	0.00862	0.000699	12.325	< 0.001
<del>PCP</del>	0.000543	0.00016	3.4	< 0.001
TMP	0.1363	0.03357	4.059	<del>&lt;0.001</del>
SOL_K	0.00344	9.78E 05	35.163	< 0.001
CRAN	$\frac{1.117}{1.117}$	0.1021	10.937	< 0.001
<del>CRAS</del>	<del>-1.97</del>	<del>0.1562</del>	<del>-12.611</del>	<0.001
N_APP:PCP	5.31E 06	6.45E-07	8.233	< 0.001
N_ADD:TMD	0.000963	7.45E 05	12.929	< 0.001
N_APP:SOL_K	9.6E-06	6.4E-07	<del>15.024</del>	<0.001
PCP:CRAN	0.000677	9.38E 05	7.215	< 0.001
PCP:CRAS	0.001029	0.000143	$\frac{7.201}{}$	< 0.001
PCP:TMP	<del>-0.00025</del>	<del>2.64E-05</del>	<del>-9.467</del>	<0.001
TMP:CRAN	0.1	0.01134	8.817	< 0.001
TMP:CRAS	0.2132	0.01651	12.912	< 0.001
N1_3				
Intercept	<del>-1.411</del>	0.3087	4.573	< 0.001
PCP	0.001875	0.000279	6.710	< 0.001
TMP	<del>0.4437</del>	0.07831	<del>5.666</del>	<0.001
SOL_K	0.00104	0.000116	8.979	< 0.001
PCP:TMP	0.00032	7.06E 05	4.484	< 0.001
<del>N2</del>				
Intercept	1.429	0.1757	8.134	< 0.001
N_APP	<del>-0.00858</del>	0.000701	12.233	< 0.001
<del>PCP</del>	0.000548	<del>0.00016</del>	<del>3.425</del>	<del>&lt;0.001</del>
TMP	0.1376	0.03365	4.089	< 0.001
<del>SOL_K</del>	<del>-0.00345</del>	9.8E 05	35.223	< 0.001
CRAN	<del>-1.11</del>	0.1023	<del>-10.849</del>	<0.001
CRAS	<del>-1.962</del>	0.1566	12.526	< 0.001
N_APP:PCP	5.3E-06	6.47E 07	8.187	< 0.001
N_APP:TMP	0.000957	7.46E-05	12.82	<0.001
N_APP:SOL_K	9.65E-06	6.4E 07	15.067	< 0.001
PCP:CRAN	0.000674	9.41E 05	7.167	< 0.001
<del>PCP:CRAS</del>	<del>0.001026</del>	0.000143	<del>7.162</del>	<del>&lt;0.001</del>
PCP:TMP	0.00025	2.64E 05	9.456	<0.001

TMP:CRAN	0.09934	0.01137	8.738	<0.001
TMP:GRAS	0.2122	<del>0.01655</del>	12.821	<del>&lt;0.001</del>
N3				
Intercept	0.3595	0.1718	2.092	0.037
N_APP	<del>-0.00131</del>	0.000435	<del>-3.011</del>	0.003
<del>PCP</del>	0.001621	0.00015	10.806	<0.001
TMP	0.3977	0.03857	<del>10.312</del>	<del>&lt;0.001</del>
<del>SOL_K</del>	<del>-0.00386</del>	0.000505	<del>-7.641</del>	<del>&lt;0.001</del>
CRAN	0.2133	0.07504	2.842	0.005
N_APP:PCP	1.65E-06	3.59E-07	<del>4.61</del>	<del>&lt;0.001</del>
N_APP:TMP	0.000281	4.74E-05	<del>5.939</del>	<del>&lt;0.001</del>
N_APP:CRAN	0.000716	0.000292	2.453	0.014
<del>PCP:TMP</del>	<del>-0.00035</del>	3.32E-05	<del>-10.506</del>	<del>&lt;0.001</del>
PCP:SOL_K	1.21E-06	4.36E-07	2.781	0.005
PCP:GRAN	0.000267	5.82E-05	4.577	<0.001
TMP:CRAN	0.04685	0.008004	5.852	<del>&lt;0.001</del>

**Table 9** Coefficient values for four Sol-P models corresponding to land use and BMPs described in Table 4.

Model variable P1_1 Intercept	Estimate  3.711	Std. Error	t-value	p value
_	<del>3.711</del>			
<del>Intercept</del>	$\frac{-3.711}{}$			
		<del>0.1306</del>	<del>-28.416</del>	<del>&lt;0.001</del>
$\frac{\mathbf{p}_{\perp}\mathbf{A}\mathbf{P}\mathbf{p}}{\mathbf{p}_{\perp}\mathbf{P}}$	0.002341	0.000623	3.757	<0.001
PCP	0.003195	0.000117	<del>27.286</del>	<0.001
<del>TMP</del>	0.5542	<del>0.03197</del>	<del>17.337</del>	<0.001
<del>SOL_K</del>	0.00298	0.000472	6.305	<0.001
CRAS	0.4321	0.0382	11.312	<0.001
P_APP:PCP	<del>2.4E-06</del>	<del>5.2E-07</del>	<del>-1.64</del>	<del>&lt;0.001</del>
D_ADD.TMD	0.000829	7.7E 05	10.797	<0.001
PCP:TMP	-0.00052	2.9E 05	18.297	<0.001
PCP:SOL_K	<del>-1.2E-06</del>	3.97E-07	3.095	0.002
TMP:SOL_K	0.00026	5.7E 05	4.526	<0.001
TMP:GRAS	0.03787	0.00941	4.024	<0.001
<del>P1_3</del>				
Intercept	4.43817	0.589848	7.512	<0.001
<del>PCP</del>	0.002509	0.000534	4.701	<0.001
TMP	0.417306	0.1496445	2.789	0.005
SOL_K	0.001247	0.000222	5.622	<0.001
<del>PCP:TMP</del>	<del>-0.0003</del>	0.000135	<del>-2.253</del>	0.024
<u>P2</u>				
Intercept	3.667	0.1357	27.017	<0.001
<del>P_APP</del>	0.003461	0.000663	<del>5.218</del>	<0.001
<del>PCP</del>	0.003017	0.000122	<del>24.783</del>	<0.001
TMP	0.5149	0.03304	15.584	<0.001
<del>SOL_K</del>	0.003531	0.000488	7.233	<0.001
CRAS	<del>-0.2039</del>	0.09001	2.265	0.024
D_APD:DCD	2.4E 06	5.54E 07	4.305	<0.001
P_APP:TMP	0.000432	7.93E-05	<del>5.445</del>	<0.001
P_APP:CRAS	0.03304	0.007019	4.707	<0.001
PCP:TMP	0.00044	2.95E 05	14.952	<0.001
PCP:SOL_K	<del>-1.4E-06</del>	<del>4.1E-07</del>	<del>-3.446</del>	<0.001
PCP:CRAS	0.00025	7.66E 05	3.25	0.001
TMP:SOL_K	0.00025	5.87E 05	4.184	<0.001
TMP:GRAS	0.05117	0.009839	<del>5.201</del>	<0.001
<u>P3</u>				
Intercept	2.817	0.2548	11.054	<0.001
$\frac{\mathbf{P}_{-}\mathbf{A}\mathbf{P}\mathbf{P}}{\mathbf{P}_{-}\mathbf{P}}$	<del>-0.01363</del>	0.001854	<del>-7.352</del>	<0.001
PCP	0.002778	0.000178	15.609	<0.001

TMP	0.1406	0.06523	<del>2.155</del>	0.031
<del>SOL_K</del>	0.00651	0.000702	<del>9.279</del>	<del>&lt;0.001</del>
GRAN	0.9386	0.1378	6.812	< 0.001
CRAS	0.9931	0.1813	5.478	< 0.001
P_APP:TMP	0.003562	0.000491	7.252	<del>&lt;0.001</del>
P_APP:GRAN	0.007736	0.002179	3.549	<0.001
P_APP:GRAS	0.05489	0.01295	4.24	<del>&lt;0.001</del>
PCP:TMP	<del>-0.0003</del>	4.42E-05	<del>-6.763</del>	<0.001
PCP:SOL_K	3.7E 06	5.78E 07	6.359	<0.001
PCP:GRAN	0.000112	5.1E-05	<del>2.192</del>	0.028
PCP:CRAS	<del>-0.00019</del>	0.000109	<del>-1.74</del>	0.082
TMP:SOL_K	0.00021	8.8E 05	2.4	0.016
TMP:GRAN	0.1798	0.03332	<del>5.397</del>	<0.001
TMP:CRAS	0.247	0.03581	6.898	<0.001

### 3.1.2 Statistical Equation Assessment

501 Simulations based on the statistical equations and the calculated outputs from individual 502 HRUs for the different BMPs are compared in Table 106. In general, discharge models were able to reproduce SWAT simulations for the three BMPs; R<sup>2</sup> ranging from 0.86 to 503 0.9. Mean discharge simulated with the statistical equations was equal to that of SWAT 504 505 (Table 106). Mean discharge (636 mm) for the no-BMP-case (BMP 3) was greater than 506 that for BMPs using contour tillage and FDTs (619 and 628 mm for BMP 1 and 2, 507 respectively), suggesting that contour tillage and FDTs can cause evapotranspiration to 508 increase. Models Sed1\_2 and Sed1\_3 were able to reproduce simulations with SWAT (yielding 509  $R^2 = 0.71$  and 0.57, respectively), and simulated mean sediment loadings were close to that 510 of SWAT (Table 106). Models Sed1\_1 and Sed2 tended to underestimate results from 511 SWAT (Table 406), with an overall lower mean sediment loading of 10.78 vs. 12.84 and 512 8.31 vs. 9.4 t ha<sup>-1</sup>, respectively. Mean sediment loading with Sed3 (0.89 t ha<sup>-1</sup>) was slightly 513 greater than that of SWAT (0.84 t ha<sup>-1</sup>), due to the fact that because Sed3 only took into 514

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515 account TERR\_P, whereas SWAT took into account TERR\_CN and the impact of grassed waterways. Results from the statistical equations showed that the mean sediment loading 516 for BMP 2 (8.31 t ha<sup>-1</sup>) was significantly different than that for BMPs 1 and 3, with mean 517 loading of 0.89 and 10.78 t ha<sup>-1</sup> (Table 106). The smallest mean sediment loading (0.09 t 518 ha<sup>-1</sup>) was found to occur with the FORT land use grouping (Table 106). 519 The four NO<sub>3</sub>-N and Sol-P loading equations explained ~50% of the variation in the 520 521 SWAT simulations for the same variables, with  $R^2$  ranging from 0.33 to 0.59 (Table  $\frac{106}{100}$ ). 522 Mean NO<sub>3</sub>-N and Sol-P loadings with the statistical equations were all slightly less than 523 the values produced with SWAT for the different BMPs (Table 106). Mean NO3-N loadings were greater for BMP 1 (44 kg ha<sup>-1</sup>) than those for BMPs 2 and 3 with both giving 524 39 kg ha<sup>-1</sup> (Table <del>106</del>), due to increased infiltration with FDT. Mean Sol-P loading (0.8 kg 525 526 ha<sup>-1</sup>) was less for BMP 3 than for BMP 2 (0.89 kg ha<sup>-1</sup>), whereas much greater than for BMP 1 (0.43 kg ha<sup>-1</sup>). Although contour tillage can help reduce sediment loading by 527 modifying micro-topography and reducing erosion runoff (the reason we set USLE\_P < 1), 528 529 Sol-P transported with surface runoff increased due to reduced residue cover protecting the soil surface during winter and during the snowmelt season. When FDT was implemented 530 531 with tillage, however, less surface runoff was generated due to increased infiltration leading to a reduction in Sol-P loading. Mean NO3-N and Sol-P loadings for the FORT 532 land grouping (10 vs. 0.06 kg ha<sup>-1</sup>) were much less than those of the CRGP land grouping, 533 39 vs. 0.8 kg ha<sup>-1</sup> (Table 106). 534

536

537

538 based on CRGP, NOCR, and FORT.

mean and standard deviation for the entire simulation period (1992-2011).

Tillage FDT + Tillage No-BMP **CRGP** NOCR **FORT** CRGP **CRGP** Variable Index SWAT Fitted SWAT Fitted SWAT Fitted SWAT Fitted Discharge Mean 636 636 619 619 628 628 (mm) SD 144 133 140 132 151 143  $\rightarrow$  $\leftarrow$  $\leftarrow$  $\mathbb{R}^2$ 0.86 (Dis1) 0.88 (Dis2) 0.90 (Dis3)  $\rightarrow$  $\longrightarrow$ Sediment Mean 12.84 10.78 1.80 1.71 0.10 0.09 9.40 8.31 0.84 0.89 (t ha<sup>-1</sup>) SD 11.86 9.44 1.94 1.95 0.14 0.16 8.28 7.38 2.72 1.18  $\mathbb{R}^2$ 0.48 (Sed1\_1) 0.56 (Sed2) 0.71 (Sed1\_2) 0.57 (Sed1\_3)  $NO_3-N$ 39 24 10 47 44 Mean 43 10 43 39 (kg ha<sup>-1</sup>) SD 24 14 16 6 3 24 14 29 21  $\mathbb{R}^2$ 0.40 (N1\_1) 0.33 (N1\_3) 0.39 (N2) 0.59 (N3) Sol-P Mean 0.88 0.80 0.61 0.08 0.06 0.98 0.89 0.49 0.43 (kg ha<sup>-1</sup>) SD 0.32 0.49 0.46 0.06 0.03 0.59 0.38 0.33 0.23 0.38 (P1\_3) 0.47 (P1\_1) 0.48 (P2) 0.52 (P3)

Table 106 Comparisons of simulations of statistical models and outputs from SWAT for different land use groups and BMPs based on

Note: CRGP refers to crop groups including AGRL, GRAN, and GRAS; the statistics for discharge in no-BMP scenario are

#### LBAT Assessment

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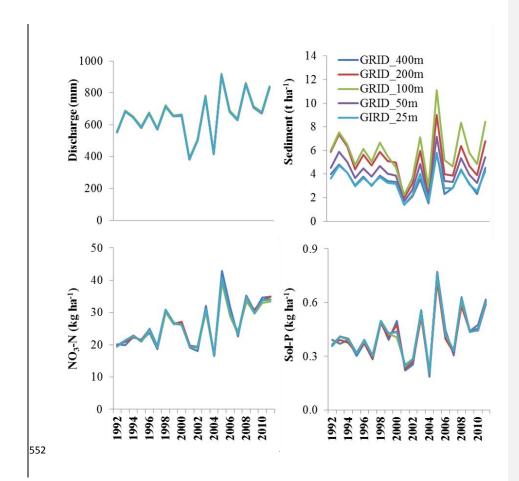
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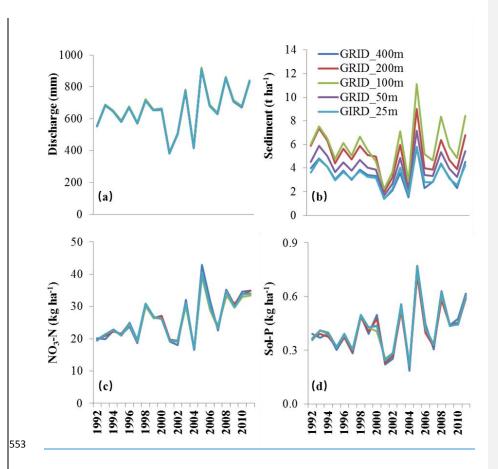
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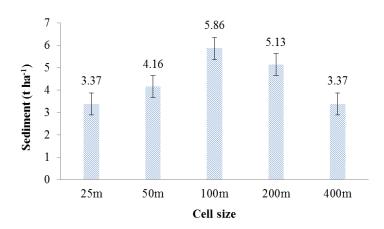
#### 3.2.1 Impact of Grid Cell Size on LBAT Simulation

Simulations of water quantity and quality by LBAT with different grid-cell sizes (i.e., 541 542 25, 50, 100, 200, and 400 m) for BBW are shown in Fig. 43. Statistical tests indicated that grid-cell size had a significant effect on sediment loading (p-value < 0.01), with no effect 543 544 observed for discharge and NO<sub>3</sub>-N and Sol-P loadings (p-values > 0.99). Increasing cell 545 size (i.e., slope length) increased sediment loading. However, the mean slope gradient was reduced. As a result, the mean sediment loadings were correlated non-linearly with cell 546 size (as shown in Fig. 13).4. The highest mean sediment loading was found with a cell size of 100 m (5.86 t ha<sup>-1</sup>), whereas the lowest was found to occur with a cell size of 25 and 400 548 m (3.37 t ha<sup>-1</sup>). The LBAT with a cell size of 25 and 400 m was able to generate sediment 549 loadings consistent with field measurements. Considering computational efficiency, we 550 chose a grid-cell size of 400 m as the basic LBAT-simulation unit for LRW.





**Fig. 43** LBAT-produced simulations of annual stream discharge and sediment, NO<sub>3</sub>-N, and Sol-P loadings determined for different DEM grid-cell sizes (i.e., 25, 50, 100, 200, and 400 m).



**Fig. 54** Impact of grid-cell size on LBAT-simulation of sediment loading. Mean annual sediment loadings and standard errors (vertical bars) from 1992 to 2011 are indicated.

#### 3.2.2 LBAT vs. SWAT Applications to BBW

Simulations of water quantity and quality with LBAT and SWAT are compared with field measurements from BBW (Fig. 6). Model assessments are shown in Table 11. Both LBAT and SWAT were able to capture a significant portion of the variation in measured annual stream discharge (R<sup>2</sup> = 0.48 and 0.56, respectively) and NO<sub>3</sub> N and Sol P loadings (R<sup>2</sup> = 0.25, 0.32, 0.23, and 0.38, respectively); however, this was not the case when annual sediment loading was considered (Table 11; Fig. 6) due to the fact that the current version of SWAT does not address soil erosion caused by freeze thaw cycles (Qi et al., 2017b). Absolute values of Re with LBAT were less than 48 for these four variables (Table 11). The mean discharge and sediment loading with LBAT were slightly less than those of SWAT and field measurements, while the mean Sol P loading (0.5 kg ha<sup>4</sup>) was greater; 0.33 and 0.34 kg ha<sup>4</sup>-for SWAT and field measurements, respectively (Table 11). The mean NO<sub>3</sub> N loading (30 kg ha<sup>4</sup>) with LBAT was equal to the mean based on field measurements, whereas it was slightly greater than that of SWAT (29 kg ha<sup>4</sup>). These results indicated that LBAT and SWAT performed equally well in reproducing estimates of water quantity and quality at the outlet of BBW.

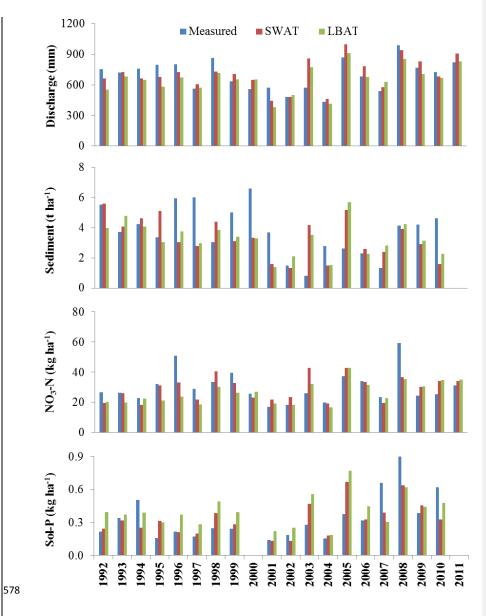


Fig. 6 Simulations of annual stream discharge and sediment, NO<sub>3</sub>-N, and Sol-P loadings with LBAT and SWAT compared with field measurements at the outlet of BBW.

**Table 11** Statistical assessments of LBAT and SWAT in simulations of annual stream discharge and sediment, NO<sub>3</sub>-N, and Sol P loadings at the outlet of BBW for the simulation period of 1992-2011.

Variable	Index	Measured	SWAT	LBAT
Discharge	Mean	696	<del>706</del>	655
<del>(mm)</del>	<del>Re (%)</del>	_	2	<del>-6</del>
	$\mathbb{R}^2$	_	0.56	0.48
Sediment	Mean	3.77	3.34	3.31
<del>(t ha 1)</del>	<del>Re (%)</del>	_	<del>-12</del>	<del>-12</del>
	$\mathbb{R}^2$	_	0.02	0.02
NO <sub>3</sub> -N	Mean	<del>30</del>	<del>29</del>	<del>30</del>
<del>(kg ha<sup>-1</sup>)</del>	<del>Re (%)</del>	_	_3	0
	$\mathbb{R}^2$		0.32	0.25
Sol P	Mean	0.34	0.33	0.50
<del>(kg ha<sup>-1</sup>)</del>	<del>Re (%)</del>	_	<del>-3</del>	48
	$\mathbb{R}^2$	_	0.38	0.23

#### **3.2.33.2.2 LBAT vs. SWAT in LRW**

Simulations of water quantity and quality with LBAT and the uncalibrated and calibrated versions of SWAT are compared with field measurements for LRW (Fig. 75). Model assessments for different simulation periods (depending on measurement availability) are shown in Table 127. It is worth noting that, to eliminate unrealistic results, USLE\_LS was constrained in Sed1\_2 to the NOCR land use group:

595 USLE\_LS = 
$$\begin{cases} Eq. \ 6-1 & USLE\_LS \le 1.28 \\ 1.28 & USLE\_LS > 1.28 \end{cases}$$
 (8)

where 1.28 is the maximum USLE\_LS for BBW.

In general, the two versions of SWAT and LBAT slightly underestimated annual stream discharge, capturing its variation reasonably well (R<sup>2</sup>>0.54; Fig. 7a5a). The uncalibrated and calibrated versions of SWAT had the least and largest absolute values of Re (Re = -2 and -9), whereas LBAT Re = -6 (Table 127). The uncalibrated version of SWAT severely overestimated annual sediment and NO<sub>3</sub>-N loading (Re = 212 and 87, respectively; Figs. 7b5b and c), whereas the calibrated version of SWAT and LBAT underestimated sediment loading (Re = -32 and -52, respectively) and overestimated NO<sub>3</sub>-N loading (Re = 22 and 27, respectively; Table 127). In general, the calibrated version of SWAT and LBAT captured the variation in annual sediment and NO<sub>3</sub>-N loadings reasonably well (Figs. 7b and eR<sup>2</sup>>0.35; Fig. 5c). However, the two versions of SWAT and LBAT failed to capture the variation in annual sediment and Sol-P loadings (Fig. 7dlow R<sup>2</sup>; Figs. 5b and d). The LBAT had the smallest absolute value of Re (i.e., Re = -16), while the uncalibrated and calibrated versions of SWAT had larger values (Re = -59 and -55, respectively). These

results suggested that the LBAT and the calibrated version of SWAT performed equally well-fairly equivalently in simulating annual stream flow and sediment and NO<sub>3</sub>-N loadings, with LBAT performing slightly better for annual Sol-P loading. LBAT performed noticeablynoticeably better than the uncalibrated version of SWAT, especially for annual sediment and NO<sub>3</sub>-N loadings. Poor performance for both versions of SWAT and LBAT on simulation of annual sediment and Sol-P loadings in LRW might attribute to lack of detailed management practice and fertilizer application information from agricultural lands. We only had one-year data for LRW and made assumptions about rotation and management practices for other years based on information from BBW, which could introduce major input uncertainty.

**Table 127** Statistical assessments of LBAT and SWAT for annual stream discharge and sediment, NO<sub>3</sub>-N, and Sol-P loadings at the outlet of LRW for different simulation periods

Period	Variable	Index	Measurement	SWAT	SWAT	627 <b>LBAT</b>
Terrou	variable	muca	Wicasur cinent	-Uncalibrated	-Calibrated	LDITI
01-07	Discharge	Mean	704	691	638	62684
	(mm)	Re (%)	_	-2	-9	-6
		$\underline{\mathbf{R}^2}$	=	0.63	0.69	<u>629</u> 4
01-10	Sediment	Mean	0.95	2.95	0.65	0.45 630 -52
	(t ha <sup>-1</sup> )	Re (%)		212	-32	-52
		$\underline{\mathbf{R}^2}$	=	<u>0.01</u>	<u>0.01</u>	<u>0.04</u>
03-10	$NO_3$ -N	Mean	12	22	14	15
	(kg ha <sup>-1</sup> )	Re (%)		87	22	63-27
		$\underline{\mathbf{R}^2}$	=	0.59	<u>0.45</u>	0.35
03-10	Sol-P	Mean	0.31	0.13	0.14	63236
	(kg ha <sup>-1</sup> )	Re (%)	_	-59	-55	-16

R<sup>2</sup> — 0.02 0.11 934 645 Since LBAT is based on deci

it can be applied to diverse environments.

Since LBAT is based on decision rules (statistical equations in this study) which were derived from SWAT simulations for BBW, its usage should be constrained to areas with soil, landscape, and land use characteristics similar to BBW. Input characteristics exceeding the range of SWAT data considered could lead to large errors in predictions. LBAT is flexible in its structure, and with thoughtful development of internal decision rules,

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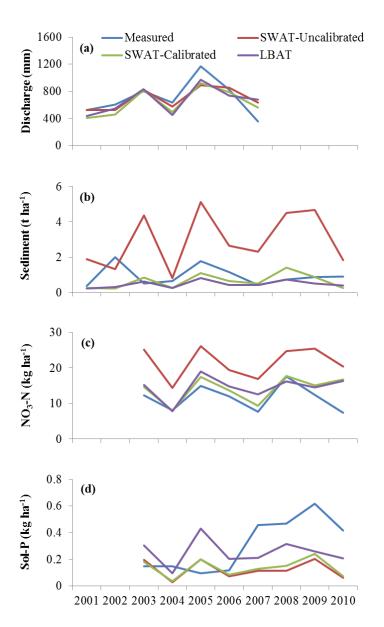


Fig. 75 Simulations of annual stream discharge and sediment, NO<sub>3</sub>-N, and Sol-P loadings with LBAT and SWAT compared with field measurements at the outlet of LRW.

# 655 <u>3.2.43.2.3</u> **FDT Assessment in LRW**

Mean annual water quantity and quality simulated with LBAT for agricultural lands of LRW are shown in Table 138. The mean annual discharge for the baseline scenario was 626 mm greater than that for the six FDT scenarios (Table 138). When all agricultural lands were protected (S6), there was a 2% reduction in discharge (equivalent to 11 mm; Table 138). With the steepest areas protected (accounting for 10% of the total land base; S1), the mean annual sediment loading was reduced by as much as 43% (equivalent to 4.5 t ha<sup>-1</sup>; Table 138) and by as much as 81% (i.e., 8.57 t ha<sup>-1</sup>) with all agricultural lands protected (S6; Table 138). Mean annual Sol-P loading was reduced by 51% (equivalent to 0.47 kg ha<sup>-1</sup>; Table 138). In contrast, increased usage of FDT tended to increase the mean annual loading of NO<sub>3</sub>-N, by about 6% when used across all agricultural lands (equivalent to 1.73 kg ha<sup>-1</sup>).

**Table 6.138** Impact of FDT on mean annual discharge and sediment, NO<sub>3</sub>-N, and Sol-P loadings simulated with LBAT under different FDT, provided in Table 3.

Variable	Index	Baseline	S1	S2	S3	S4	S5	<b>S6</b>
Discharge	Mean	626	625	623	622	619	616	615
(mm)	MD	_	-1	-2	-4	-7	-10	-11
	PRD (%)	_	0	0	-1	-1	-2	-2
Sediment	Mean	10.54	6.04	4.94	4.02	3.04	2.26	1.97
(t ha <sup>-1</sup> )	MD	_	-4.50	-5.60	-6.52	-7.50	-8.28	-8.57
	PRD (%)	_	-43	-53	-62	-71	-79	-81
NO <sub>3</sub> -N	Mean	29.70	29.86	30.02	30.34	30.82	31.22	31.42
(kg ha <sup>-1</sup> )	MD	_	0.16	0.32	0.64	1.13	1.52	1.73
	PRD (%)	_	1	1	2	4	5	6
Sol-P	Mean	0.94	0.89	0.83	0.76	0.65	0.52	0.46
(kg ha <sup>-1</sup> )	MD	_	-0.05	-0.11	-0.17	-0.28	-0.42	-0.47
	PRD (%)	_	-5	-11	-19	-30	-45	-51

Percentage change (based on PRD) of water quantity and quality were plotted against percentage area of FDT for potato and barley in Fig. 86. Increasing the usage of FDT helped to reduce discharge and sediment and Sol-P loadings for both crop types (Figs. 8a6a, b, and c). It is worth noting that sediment loading decreased with increasing usage of FDT (Fig. 16b6b). An opposite trend was observed for potato and barley with respect to the impact of FDT on NO<sub>3</sub>-N loading. With the increased usage of FDT, NO<sub>3</sub>-N loadings increased linearly for potato, while it decreased for barley. The increased for potato was nearly twice as much as the reduction for barley (Fig. 16d6d). Seemingly the interaction between barley and FDT had positive impacts on nitrate retention in soils, whereas the interaction between potato and FDT had an opposite effect.

These results are consistent with the results from previous studies (Yang et al., 2012; Yang et al., 2010), (Yang et al., 2012; Yang et al., 2010), which used SWAT to assess the impact of FDT on water quantity and quality within BBW. When using SWAT, greater

efforts are needed to prepare basic inputs, such as daily weather records, to proceed with its calibration and validation, involving complex scenario setup and analysis. For every new watershed, SWAT needs dedicated effort and time for its setup. LBAT, in contrast, can be used for multiple watersheds as long as they have similar environmental conditions. Scenario analysis can be directly conducted with different combinations of land use and BMPs using fewer inputs than what is required by SWAT. Also, once developed, LBAT does not require additional calibration.

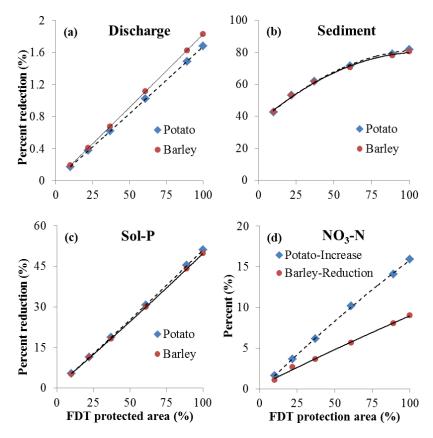


Fig. 86 Percentage change in discharge and sediment, NO<sub>3</sub>-N, and Sol-P loadings as a
 function of % area, where FDT's were used.

#### 4. Conclusion

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The present study addresses the development of a decision support tool to assess the impact of land use change and BMPs on water quantity and quality for large-ungauged watersheds. An enhanced version of SWAT was calibrated and validated for an small experimental watershed. Multiple regression analyses were used to develop statistical equations based on simulations from SWAT. In total, three discharge and five sediment, NO<sub>3</sub>-N, and Sol-P loading models were developed for different combinations of land use groups and BMP scenarios. Only four common predictors (i.e., annual precipitation, annual mean air temperature, mean saturated hydraulic conductivity of soil, and land use groups) and three unique predictors (LS-factor and annual nitrogen and phosphorus application rates for sediment, NO<sub>3</sub>-N, and Sol-P loading models, respectively) are required. With the aid of ArcGIS, statistical equations were integrated into the decision support tool, i.e., the land use and BMPs assessment tool (LBAT), whose basic simulation units are the DEM-grid cell. The LBAT was used to simulate annual water flow and sediment and nutrient loadings at the outlet of BBW. a larger watershed, i.e., Little River Watershed (LRW). These simulations were compared with those of SWAT. LBAT and SWAT perform equally well. LBAT was subsequently applied to a large watershed (LRW). Results indicate indicated that LBAT and the calibrated version of SWAT perform well-performed equivalently with respect to annual stream discharge and sediment and NO<sub>3</sub>-N loadings. LBAT performed slightly better, when Sol-P loading was considered. Compared with the uncalibrated version of SWAT, LBAT performed better. The impact of FDT on water quantity and quality was evaluated with LBAT for LRW; its results were

consistent with the results generated with SWAT for the same region in previous studies.

LBAT has fewer input requirements than SWAT, and can be applied to multiple watersheds without additional calibration. Also, scenario analyses can be directly conducted with LBAT without complex setup procedures. We recommend using LBAT for economic analysis and management decision making for watersheds with similar environmental conditions of New Brunswick. The LBAT developed in this study may not be directly applied to other regions; however, the approach in developing LBAT can be applied to other regions of the world because of its flexible structure.

#### Acknowledgement

The funding support for this project was provided by Agriculture and Agri-Food Canada (AAFC) through project #1145, entitled "Integrating selected BMPs to maximize environmental and economic benefits at the field and watershed scales for sustainable potato production in New Brunswick", and Natural Science and Engineering Research Council (NSERC) through Discovery Grants to both CPAB and FRM. The research is also partially supported by NASA (NNX17AE66G) and USDA (2017-67003-26485). Authors are thankful to S. Lavoie, J. Monteith, and L. Stevens for their technical support in data collection and sample analyses.

## 748 Appendix A

Table A1 Coefficient values for the three discharge models.

Model variable	<b>Estimate</b>	Std. Error	<u>t-value</u>	<i>p</i> -value
<u>Dis1</u>				
<u>Intercept</u>	<u>-1565</u>	24.04	-65.089	< 0.001
<u>PCP</u>	1.933	0.02176	88.837	< 0.001
<u>TMP</u>	<u>282.7</u>	6.091	<u>46.402</u>	< 0.001
<u>SOL_K</u>	0.06338	0.00992	6.389	<0.001
<u>FORT</u>	30.79	<u>14.16</u>	2.175	0.030
<u>NOCR</u>	162.2	<u>14.51</u>	11.181	< 0.001
PCP:TMP	-0.2488	0.005487	<u>-45.352</u>	< 0.001
PCP:FORT	0.04684	0.01191	3.934	< 0.001
PCP:NOCR	-0.0535	0.01224	-4.37	< 0.001
TMP:FORT	9.723	<u>1.684</u>	5.775	< 0.001
TMP:NOCR	4.506	1.731	2.603	0.009
SOL_K:FORT	-0.3769	0.03403	-11.076	< 0.001
SOL_K:NOCR	-0.2959	0.032	<u>-9.248</u>	< 0.001
Dis2				
<u>Intercept</u>	-1633	<u>27.29</u>	<u>-59.84</u>	< 0.001
<u>PCP</u>	1.995	0.02472	80.69	< 0.001
<u>TMP</u>	<u>302.2</u>	<u>6.87</u>	43.98	< 0.001
<u>SOL_K</u>	0.08696	0.01167	<u>7.45</u>	< 0.001
PCP:TMP	-0.2662	0.006199	<u>-42.94</u>	< 0.001
Dis3				
<u>Intercept</u>	<u>-1666</u>	<u>36.58</u>	<u>-45.54</u>	< 0.001
<u>PCP</u>	2.007	0.03305	60.713	< 0.001
<u>TMP</u>	<u>298</u>	9.351	31.865	< 0.001
<u>SOL_K</u>	0.09353	0.01573	5.946	< 0.001
PCP:TMP	-0.2606	0.008406	-31.004	< 0.001

Table A2 Coefficient values for the four sediment loading models.

Model variable	Estimate	Std. Error	t-value	p-value
Sed1_1	<u> </u>	<u>Sta. Error</u>	<u>r varue</u>	p-value
Intercept	0.2749	0.06125	4.488	< 0.001
USLE LS	0.1201	0.02224	54.018	< 0.001
PCP	0.000788	5.54E-05	14.218	< 0.001
TMP	0.1117	0.01528	7.307	< 0.001
SOL_K	0.000568	0.00022	2.585	0.010
<u>GRAS</u>	-0.0353	0.00881	-4.007	< 0.001
USLE_LS:SOL_K	<u>-0.00014</u>	4.69E-05	-3.045	0.002
USLE_LS:GRAS	<u>-0.02623</u>	0.006826	-3.842	< 0.001
PCP:TMP	<u>-0.00011</u>	1.38E-05	<u>-7.967</u>	< 0.001
PCP:SOL_K	<u>-4.6E-07</u>	1.91E-07	-2.406	0.016
<u>Sed1 2</u>				
<u>Intercept</u>	0.8575	0.008826	<u>97.15</u>	<u>&lt;0.001</u>
<u>PCP</u>	0.000123	7.82E-06	<u>15.67</u>	<0.001
PCP:USLE_LS	0.000209	5.02E-06	41.65	< 0.001
<u>Sed1_3</u>				
(Intercept)	0.3992	0.02267	17.613	<u>&lt;0.001</u>
USLE_LS	0.07935	0.01967	4.034	<u>&lt;0.001</u>
<u>PCP</u>	0.000204	1.96E-05	10.371	< 0.001
SOL_K	0.000545	5.71E-05	9.534	< 0.001
<u>USLE_LS:PCP</u>	4.94E-05	1.71E-05	<u>2.9</u>	0.004
<u>USLE_LS:SOL_K</u>	<u>-0.00067</u>	4.89E-05	<u>-13.718</u>	<u>&lt;0.001</u>
Sed2				
<u>Intercept</u>	0.2591	0.05228	<u>4.956</u>	<u>&lt;0.001</u>
USLE_LS	<u>0.12</u>	0.001898	63.218	<u>&lt;0.001</u>
<u>PCP</u>	0.000767	4.73E-05	<u>16.212</u>	<0.001
<u>TMP</u>	0.1162	<u>0.01304</u>	<u>8.907</u>	<u>&lt;0.001</u>
SOL_K	0.000746	0.000188	<u>3.981</u>	<0.001
<u>GRAS</u>	<u>-0.06937</u>	0.01648	<u>-4.211</u>	<0.001
USLE_LS:SOL_K	<u>-0.00013</u>	<u>4E-05</u>	<u>-3.137</u>	0.002
USLE_LS:GRAS	<u>-0.02662</u>	0.005829	<u>-4.567</u>	<u>&lt;0.001</u>
PCP:TMP	<u>-0.00011</u>	1.18E-05	<u>-9.522</u>	<u>&lt;0.001</u>
PCP:SOL_K	<u>-6.3E-07</u>	1.63E-07	<u>-3.846</u>	<0.001
TMP:GRAS	0.007415	0.003664	2.024	0.043

Table A3 Coefficient values for the four NO<sub>3</sub>-N loading models corresponding to land
 use and BMPs described in Table 4.

Model variable	<b>Estimate</b>	Std. Error	<u>t-value</u>	<i>p</i> -value
<u>N1_1</u>				
<u>Intercept</u>	<u>1.44</u>	0.1753	8.213	<u>&lt;0.001</u>
N_APP	<u>-0.00862</u>	0.000699	-12.325	<u>&lt;0.001</u>
<u>PCP</u>	0.000543	0.00016	<u>3.4</u>	<u>&lt;0.001</u>
<u>TMP</u>	0.1363	0.03357	4.059	<u>&lt;0.001</u>
SOL_K	<u>-0.00344</u>	9.78E-05	-35.163	<u>&lt;0.001</u>
<u>GRAN</u>	<u>-1.117</u>	0.1021	-10.937	<u>&lt;0.001</u>
<u>GRAS</u>	<u>-1.97</u>	0.1562	-12.611	<u>&lt;0.001</u>
N_APP:PCP	5.31E-06	6.45E-07	8.233	<u>&lt;0.001</u>
N_APP:TMP	0.000963	7.45E-05	12.929	<u>&lt;0.001</u>
N_APP:SOL_K	9.6E-06	<u>6.4E-07</u>	<u>15.024</u>	<u>&lt;0.001</u>
PCP:GRAN	0.000677	9.38E-05	<u>7.215</u>	< 0.001
PCP:GRAS	0.001029	0.000143	7.201	<u>&lt;0.001</u>
PCP:TMP	<u>-0.00025</u>	2.64E-05	<u>-9.467</u>	<u>&lt;0.001</u>
TMP:GRAN	<u>0.1</u>	0.01134	8.817	<u>&lt;0.001</u>
TMP:GRAS	0.2132	0.01651	12.912	<u>&lt;0.001</u>
<u>N1_3</u>				
<u>Intercept</u>	<u>-1.411</u>	0.3087	<u>-4.573</u>	<u>&lt;0.001</u>
<u>PCP</u>	0.001875	0.000279	6.710	<u>&lt;0.001</u>
<u>TMP</u>	0.4437	0.07831	5.666	<u>&lt;0.001</u>
SOL_K	<u>-0.00104</u>	0.000116	<u>-8.979</u>	<u>&lt;0.001</u>
PCP:TMP	-0.00032	7.06E-05	<u>-4.484</u>	<u>&lt;0.001</u>
<u>N2</u>				
<u>Intercept</u>	1.429	0.1757	8.134	<u>&lt;0.001</u>
N_APP	<u>-0.00858</u>	0.000701	-12.233	<u>&lt;0.001</u>
<u>PCP</u>	0.000548	0.00016	3.425	< 0.001
<u>TMP</u>	0.1376	0.03365	4.089	<u>&lt;0.001</u>
SOL_K	<u>-0.00345</u>	9.8E-05	<u>-35.223</u>	<u>&lt;0.001</u>
<u>GRAN</u>	<u>-1.11</u>	0.1023	-10.849	<u>&lt;0.001</u>
<u>GRAS</u>	<u>-1.962</u>	0.1566	<u>-12.526</u>	<u>&lt;0.001</u>
N_APP:PCP	5.3E-06	6.47E-07	8.187	<u>&lt;0.001</u>
N_APP:TMP	0.000957	7.46E-05	12.82	<u>&lt;0.001</u>
N_APP:SOL_K	9.65E-06	<u>6.4E-07</u>	15.067	<u>&lt;0.001</u>
PCP:GRAN	0.000674	9.41E-05	7.167	<u>&lt;0.001</u>
PCP:GRAS	0.001026	0.000143	7.162	<u>&lt;0.001</u>
PCP:TMP	<u>-0.00025</u>	2.64E-05	<u>-9.456</u>	<u>&lt;0.001</u>
TMP:GRAN	0.09934	0.01137	8.738	< 0.001

0.2122

0.01655

12.821

< 0.001

TMP:GRAS

<u>N3</u>				
<u>Intercept</u>	<u>-0.3595</u>	0.1718	-2.092	0.037
N_APP	<u>-0.00131</u>	0.000435	-3.011	0.003
<u>PCP</u>	0.001621	0.00015	10.806	< 0.001
<u>TMP</u>	0.3977	0.03857	10.312	< 0.001
SOL_K	<u>-0.00386</u>	0.000505	<u>-7.641</u>	< 0.001
GRAN	<u>-0.2133</u>	0.07504	-2.842	0.005
N_APP:PCP	1.65E-06	3.59E-07	4.61	< 0.001
N_APP:TMP	0.000281	4.74E-05	5.939	< 0.001
N_APP:GRAN	0.000716	0.000292	2.453	0.014
PCP:TMP	<u>-0.00035</u>	3.32E-05	-10.506	< 0.001
PCP:SOL_K	1.21E-06	4.36E-07	2.781	0.005
PCP:GRAN	0.000267	5.82E-05	4.577	< 0.001
TMP:GRAN	-0.04685	0.008004	-5.853	< 0.001

Table A4 Coefficient values for four Sol-P models.

36.11	T (1	CALE		
Model variable	<b>Estimate</b>	Std. Error	<u>t-value</u>	<u>p-value</u>
<u>P1_1</u>	0.511	0.1206	20.416	0.001
<u>Intercept</u>	<u>-3.711</u>	0.1306	<u>-28.416</u>	<0.001
P_APP	0.002341	0.000623	<u>3.757</u>	<0.001
<u>PCP</u>	0.003195	0.000117	<u>27.286</u>	<0.001
TMP	0.5542	0.03197	<u>17.337</u>	<0.001
SOL_K	0.00298	0.000472	<u>6.305</u>	<0.001
GRAS	<u>-0.4321</u>	0.0382	<u>-11.312</u>	<0.001
P_APP:PCP	<u>-2.4E-06</u>	5.2E-07	<u>-4.64</u>	<0.001
P_APP:TMP	0.000829	7.7E-05	10.797	<0.001
PCP:TMP	<u>-0.00052</u>	2.9E-05	<u>-18.297</u>	< 0.001
PCP:SOL_K	<u>-1.2E-06</u>	3.97E-07	<u>-3.095</u>	0.002
TMP:SOL_K	<u>-0.00026</u>	<u>5.7E-05</u>	<u>-4.526</u>	< 0.001
TMP:GRAS	0.03787	0.00941	<u>4.024</u>	<0.001
<u>P1_3</u>				
<u>Intercept</u>	<u>-4.43817</u>	0.589848	<u>-7.512</u>	< 0.001
<u>PCP</u>	0.002509	0.000534	<u>4.701</u>	< 0.001
<u>TMP</u>	0.417306	0.1496445	2.789	0.005
SOL_K	0.001247	0.000222	5.622	< 0.001
PCP:TMP	<u>-0.0003</u>	0.000135	<u>-2.253</u>	0.024
<u>P2</u>				
<u>Intercept</u>	<u>-3.667</u>	0.1357	<u>-27.017</u>	<u>&lt;0.001</u>
<u>P_APP</u>	0.003461	0.000663	<u>5.218</u>	<u>&lt;0.001</u>
<u>PCP</u>	0.003017	0.000122	<u>24.783</u>	< 0.001
<u>TMP</u>	0.5149	0.03304	<u>15.584</u>	< 0.001
SOL_K	0.003531	0.000488	7.233	< 0.001
GRAS	<u>-0.2039</u>	0.09001	<u>-2.265</u>	0.024
P_APP:PCP	<u>-2.4E-06</u>	5.54E-07	<u>-4.305</u>	<u>&lt;0.001</u>
P_APP:TMP	0.000432	7.93E-05	<u>5.445</u>	<u>&lt;0.001</u>
P_APP:GRAS	-0.03304	0.007019	<u>-4.707</u>	<u>&lt;0.001</u>
PCP:TMP	-0.00044	2.95E-05	<u>-14.952</u>	< 0.001
PCP:SOL_K	-1.4E-06	<u>4.1E-07</u>	<u>-3.446</u>	<u>&lt;0.001</u>
PCP:GRAS	-0.00025	7.66E-05	<u>-3.25</u>	0.001
TMP:SOL_K	<u>-0.00025</u>	5.87E-05	<u>-4.184</u>	< 0.001
TMP:GRAS	0.05117	0.009839	5.201	< 0.001
<u>P3</u>				
<u>Intercept</u>	<u>-2.817</u>	0.2548	<u>-11.054</u>	<u>&lt;0.001</u>
<u>P_APP</u>	-0.01363	0.001854	<u>-7.352</u>	< 0.001
<u>PCP</u>	0.002778	0.000178	15.609	< 0.001
TMP	<u>0.1406</u>	0.06523	2.155	0.031
SOL_K	0.00651	0.000702	9.279	< 0.001

GRAN	<u>-0.9386</u>	0.1378	<u>-6.812</u>	< 0.001
<u>GRAS</u>	<u>-0.9931</u>	0.1813	<u>-5.478</u>	<0.001
P_APP:TMP	0.003562	0.000491	7.252	<0.001
P_APP:GRAN	0.007736	0.002179	<u>3.549</u>	< 0.001
P_APP:GRAS	<u>-0.05489</u>	0.01295	<u>-4.24</u>	< 0.001
PCP:TMP	<u>-0.0003</u>	4.42E-05	-6.763	< 0.001
PCP:SOL_K	<u>-3.7E-06</u>	5.78E-07	-6.359	< 0.001
PCP:GRAN	0.000112	5.1E-05	2.192	0.028
PCP:GRAS	-0.00019	0.000109	-1.74	0.082
TMP:SOL_K	<u>-0.00021</u>	8.8E-05	<u>-2.4</u>	0.016
TMP:GRAN	0.1798	0.03332	5.397	< 0.001
TMP:GRAS	0.247	0.03581	6.898	< 0.001

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