





9 **Abstract:** Plastic film mulching (PFM) has been widely used for saving water and  
10 improving yield around the world, particularly in arid areas. However, the effect of  
11 PFM in agriculture on soil respiration is still unclear, and this effect may be  
12 confounded with irrigation and precipitation. To detect the effects of PFM, irrigation  
13 and precipitation on the temporal and spatial variations in soil respiration, plastic  
14 mulched and non-mulched drip irrigation contrast experiments were conducted in the  
15 arid area of the Xinjiang Uygur Autonomous Region, Northwest China. PFM  
16 generated more complicated spatial heterogeneity in the microclimate with increased  
17 albedo, improved soil temperature, soil moisture and crop growth, and led to the  
18 stronger spatial heterogeneity of the soil respiration. The soil respiration in the plant  
19 holes was larger than in the furrows, and plastic mulch itself can emit up to  $2.75 \mu\text{mol}$   
20  $\text{m}^{-2} \text{s}^{-1} \text{CO}_2$ , which indicates that furrows, plant holes and plastic mulch were the  
21 important pathways for  $\text{CO}_2$  emissions in the mulched field. Frequent irrigation and  
22 precipitation made the soil respiration much more dynamic and fluctuated. The  
23 sensitivity of the soil respiration to soil temperature was weakened by extreme  
24 variations in the soil moisture with lower correlation and  $Q_{10}$  values. In the  
25 wetting-drying cycle, both irrigation and precipitation restrained the soil respiration at  
26 a high soil water content (SWC) with a threshold of 60% water-filled pore space  
27 (WFP) in the furrows and 50% WFP in the ridges, and the restrain effect decreased  
28 gradually with the depleting of soil moisture. The accumulated soil respiration  
29 calculated from the area ratio of the different parts in the furrows and ridges in the  
30 mulched field were both larger than in the non-mulched field during the growing  
31 season. However, this magnitude decreased with increasing precipitation over three  
32 experimental years. It was speculated that the effect of drip irrigation on the soil  
33 respiration was primarily on the ridges while the effect of precipitation mostly  
34 concentrated in the furrows and ridges in the non-mulched field because of the mulch  
35 barrier. Therefore, the precipitation accelerated more respiration in the mulched than  
36 in the non-mulched field. The difference in soil respiration between the mulched and  
37 non-mulched fields was observed to have a positive correlation with precipitation per  
38 the findings of other studies. In a humid climate with much more precipitation, soil  
39 respiration in the non-mulched field can also exceed that of the mulched field and  
40 explains why certain studies concluded that plastic mulch decreased soil respiration.  
41 The above results indicate that both irrigation and precipitation alter soil respiration  
42 and this effect can be modified by plastic mulch. Therefore, whether the PFM  
43 increases soil respiration compared to a non-mulched field largely depends on  
44 precipitation in the field.

45 **Keywords:** plastic film mulching; soil respiration; spatial variation; irrigation;  
46 precipitation

## 47 1. Introduction

48 Soil respiration,  $R_s$ , the flux of microbial- and plant-respired  $\text{CO}_2$  from the soil  
49 surface to the atmosphere, represents the second largest  $\text{CO}_2$  flux of the terrestrial



50 biosphere following gross primary productivity (GPP) and amounts to 10 times the  
51 current rate of fossil-fuel combustion (Bond-Lamberty & Thomson, 2010, Davidson  
52 *et al.*, 2006, Liu *et al.*, 2016a, Reichstein & Beer, 2008). Anthropogenic activities,  
53 particularly agriculture expansion and cultivation changes, have brought significant  
54 challenges to CO<sub>2</sub> emission control considering climate change over the twenty-first  
55 century (Baker *et al.*, 2007). Further, the intensification of agriculture (the agricultural  
56 Green Revolution) during the past five decades has been a driver of increasing the  
57 seasonal amplitude of atmospheric CO<sub>2</sub> (Zeng *et al.*, 2014). The conversion of natural  
58 to agricultural ecosystems causes a depletion of the soil organic carbon (SOC) pool by  
59 as much as 60% in soils (Lal, 2004). Additionally, soil respiration in the cultivated  
60 ecosystem is relatively larger than in natural ecosystems due to fertilization and  
61 intensive cultivation (Buyanovsky *et al.*, 1987, Raich & Tufekciogul, 2000), such as  
62 in arid regions where irrigation breaks the limits of soil moisture on soil respiration.  
63 Since the 1950s, plastic film mulching (PFM) is one of the advanced agriculture  
64 cultivation methods that have been widely applied around the world, e.g., in the  
65 tropical USA, Europe, South Korea and China, as it can increase the soil temperature,  
66 maintain soil moisture, promote seed germination, suppress weed growth and achieve  
67 high yields (Anikwe *et al.*, 2007, Berger *et al.*, 2013). In 2014, approximately 19%  
68 (25 million ha) of the total arable land (130 million ha) in China was cultivated using  
69 PFM (Wang *et al.*, 2016). In the arid and semiarid parts of the Xinjiang Uygur  
70 Autonomous Region in Northwest China, the PFM area has reached 1.2 million ha  
71 within less than 20 years (Zhang *et al.*, 2014). Most of the fields have been converted  
72 from the natural ecosystem for cotton production in the Xinjiang Uygur Autonomous  
73 Region, the largest cotton production basin in China. The microclimate alterations,  
74 which include the spatial and temporal albedo pattern, soil temperature, soil moisture,  
75 and the caused change of crop growth, may affect both the heterotrophic and  
76 autotrophic respirations in the PFM field. Further, the large-scale land use changes  
77 may alter the regional climate, hydrologic cycle, and carbon cycle (Bonan, 2008, Cox  
78 *et al.*, 2000, Li *et al.*, 2016). Therefore, detecting the altered environmental conditions  
79 and CO<sub>2</sub> emissions in PFM field is crucial for the maintenance of regional and global  
80 soil carbon balances in the situation of global climate change, which includes rising  
81 atmospheric CO<sub>2</sub>, increasing temperatures and shifting precipitation patterns.

82 The production of CO<sub>2</sub> in the soil is determined by root and microbial biomass,  
83 the substrate supply, temperature and water conditions (Davidson *et al.*, 2006). Soil  
84 respiration has an exponential increase with increasing temperature and the  $Q_{10}$  value,  
85 which is the factor by which respiration is multiplied when the temperature increases  
86 by 10°C, is often used to define the sensitivity of soil respiration to temperature  
87 (Davidson *et al.*, 2006, Fang & Moncrieff, 2001). However,  $Q_{10}$  values also  
88 incorporate the seasonal changes in the SWC, root biomass, litter inputs, microbial  
89 populations and other seasonally fluctuating conditions and processes (Curiel Yuste *et al.*,  
90 2004). In suitable conditions, the soil moisture promotes soil respiration, which is  
91 a benefit to root and microbe respiration. However, beyond this range, such as with  
92 extremely low and high levels of soil moisture, the soil respiration is restrained by the  
93 limited diffusion of the substrate and oxygen into water films and pore spaces,



94 respectively (Luo & Zhou, 2006). Furthermore, the soil moisture content and  
95 temperature are confounding rather than independent factors controlling the soil  
96 respiration. The effect of temperature and moisture on soil respiration are only  
97 regarding root and microbial responses to variations thereof throughout the soil  
98 (Davidson *et al.*, 1998).

99 The spatial and temporal pattern of soil temperature and moisture are modified  
100 significantly in a PFM field by the altering of the exchange of energy and water, and  
101 the momentum between the soil and atmosphere (Bonan, 2008). Plastic mulch hinders  
102 energy entry into the soil in daytime (Li *et al.*, 2016) with a high reflectance of  
103 radiation (Tarara, 2000) and preserves the heat flux at night, which results in a higher  
104 temperature under the mulch. The average mulched soil temperature within  
105 approximately 25 cm depth was 1-2 °C higher than the bare soil temperature (Gong *et al.*,  
106 2015, Zhang *et al.*, 2011). The spatial pattern of soil temperatures in a PFM field  
107 was also affected by the crop growth and SWC (Zhang *et al.*, 2011). Soil moisture is  
108 preserved with PFM by reducing evaporation, forming a small water cycle beneath  
109 the plastic mulch (Yang *et al.*, 2016), and covering the soil with transparent  
110 polyethylene, which causes a significant increase in the soil moisture of the upper soil  
111 layer (Mahrer *et al.*, 1984). Combined with drip irrigation, a PFM approach can  
112 achieve better soil temperature and moisture conditions and obtain a higher yield and  
113 water use efficiency (Yaghi *et al.*, 2013). These environmental improvements promote  
114 microbial activity, which in turn enhances the mineralization rate of soil organic  
115 matter, thus providing readily available nutrients for plant growth, which  
116 simultaneously promotes the emission of greenhouse gases such as CO<sub>2</sub>, CH<sub>4</sub> and  
117 N<sub>2</sub>O (Cuello *et al.*, 2015). However, Wang *et al.* (2016) note that PFM could also  
118 maintain the SOC level after six years of continuous cropping by balancing the  
119 increased SOC mineralization with increased root-derived carbon input, such as with  
120 straw incorporation in semiarid areas. Eddy flux experiments indicate that warmer and  
121 wetter soil stimulates GPP more than ecosystem respiration ( $R_{eco}$ ) in a PFM field,  
122 which results in a higher net primary production (NPP) (Gong *et al.*, 2015).

123 In addition to soil temperature and moisture, the spatial heterogeneity of CO<sub>2</sub>  
124 concentrations and emissions are enhanced in a PMF field. Soil respiration involves  
125 two critical processes, which include the CO<sub>2</sub> produced in the soil by roots and  
126 microorganisms and that transferred through the soil profile to soil surface. The CO<sub>2</sub>  
127 concentration represents the production amount, and the emission represents the  
128 transfer amount (Luo & Zhou, 2006). Yu *et al.* (2016) showed that the CO<sub>2</sub>  
129 concentration in ridges was much larger than in the furrows. The CO<sub>2</sub> concentration in  
130 the ridges and furrows in a mulched field increased by 49% and 15%, respectively,  
131 compared to those in a non-mulched field. However, there was no difference in the  
132 CO<sub>2</sub> emission of the ridges in mulched and non-mulched fields. The main difference  
133 was in the furrows, where the CO<sub>2</sub> emission increased by 21%, and the cumulative  
134 CO<sub>2</sub> emission for the entire field increased by 8% in a mulched field relative to the  
135 non-mulched field. Li *et al.* (2011) also detected that CO<sub>2</sub> concentrations in the soil  
136 profile were higher in a mulched field than in the non-mulched field. However, the  
137 author found that the accumulated CO<sub>2</sub> flux in a mulched field decreased by 21%



138 relative to the non-mulched field. Further, the author argued that the plastic mulch  
139 increased the soil-to-atmosphere pathway of CO<sub>2</sub> emission as most of the soil surface  
140 (60%) was covered by mulch film, and the only pathways were furrows and small  
141 plant holes. Therefore, the barrier of the plastic mulch would contain the CO<sub>2</sub>  
142 underneath, which would restrain CO<sub>2</sub> production and emission. Berger *et al.* (2013)  
143 found extraordinarily low N<sub>2</sub>O fluxes from the plastic mulch and that N<sub>2</sub>O emission  
144 from the plant hole were 68% that of the ridges in the non-mulch field. Nishimura *et al.*  
145 (2012) revealed in a laboratory experiment that N<sub>2</sub>O gradually permeates the  
146 plastic mulch and significantly emits from the furrows. These findings indicate that  
147 the pathways for the N<sub>2</sub>O emission in a mulch field include the furrows between the  
148 mulch (mf), the plant holes (mh) for crop germinating and the plastic mulch (mp) in  
149 the ridges. However, the transport pathways for the CO<sub>2</sub> emission in PFM have not  
150 yet been detected. Certain experiments simply interpreted soil respiration in the  
151 furrows as the soil respiration of the whole field (Liu *et al.*, 2016b, Qian-Bing *et al.*,  
152 2012), which may underestimate the results as the ridges emit more CO<sub>2</sub> than the  
153 furrows (Yu *et al.*, 2016).

154 It is noteworthy that different climates may influence the effect of plastic mulch on  
155 soil respiration. An example is that south of Xinjiang (precipitation 45.7 mm), PFM  
156 increased the CO<sub>2</sub> emission (Yu *et al.*, 2016), while north of Xinjiang (precipitation  
157 160 mm), the PFM decreased the CO<sub>2</sub> emission (Li *et al.*, 2011). In a semi-humid area  
158 on the Loess Plateau of China (precipitation 500 mm), Xiang *et al.* (2014) found that  
159 a plastic mulched treatment decreased the CO<sub>2</sub> emission by 39% because of the high  
160 soil moisture and barrier of the plastic mulch. Still, in a temperate monsoon climate  
161 (precipitation 1,954 mm) in Japan, Okuda *et al.* (2007) found that the annual CO<sub>2</sub>  
162 emission with the mulching decreased by nearly 40%. The author argued that the  
163 high-water filled porosity might reduce the CO<sub>2</sub> emission. In a typical temperate  
164 monsoon climate in South Korea (precipitation 1,440 mm), Berger *et al.* (2013) found  
165 that PFM significantly decreased the N<sub>2</sub>O in a mulched field considering the  
166 monitoring of plant holes and the plastic mulch. The above results indicate that in a  
167 humid area with greater precipitation, the plastic mulch treatments all decreased the  
168 soil respiration and the precipitation may affect the impacts of plastic mulch on soil  
169 respiration.

170 Irrigation and precipitation are both crucial to soil respiration and the carbon cycle,  
171 particularly in arid and semiarid regions. Irrigation is primarily applied to satisfy crop  
172 requirements in arid and semiarid regions that have little precipitation. Precipitation  
173 plays a dominant role in regulating the soil C balance in natural ecosystems in the arid  
174 and semiarid regions (Lai *et al.*, 2013). Discrete precipitation pulses are important  
175 triggers for the activity of plants and microbes and these factors combine to influence  
176 the carbon balance (Huxman *et al.*, 2004). The effect of precipitation and irrigation on  
177 soil respiration is related to the existing soil water condition, i.e., motivates soil  
178 respiration in a dry soil and restrains soil respiration in moist soil (Dong, 2010). After  
179 irrigation and precipitation, soil moisture undergoes a wetting-drying cycle that  
180 affects the porosity of the soil and influences the activities of the root biomass and  
181 microorganisms that control soil carbon dynamics (Yan *et al.*, 2014). The intensity



182 and amount of irrigation or precipitation both affect soil respiration. Certain studies  
183 indicate that soil respiration in a drip irrigation field was greater than in a flood  
184 irrigation field (Guo *et al.*, 2017, Qian-Bing *et al.*, 2012), and inter-annual variations  
185 in soil respiration were positively related to inter-annual fluctuations in precipitation  
186 (Liu *et al.*, 2009). The hydrological cycles after precipitation and irrigation are  
187 modified by plastic mulch application and may have a different influence on soil  
188 respiration. Precipitation cannot infiltrate ridges past the barrier of plastic mulch but  
189 can increase the runoff in furrows. Meanwhile, irrigation primarily infiltrates the soil  
190 in ridges in drip irrigation fields as the drip tapes are beneath the plastic mulch.

191 From the discussion above, the study of PFM on soil respiration is of great  
192 significance to regional and global agricultural carbon sequestration, and the spatial  
193 heterogeneity of the soil temperature, moisture and soil respiration are all enhanced in  
194 a PFM field. However, the effect of plastic mulch on soil respiration is still largely  
195 unclear, and this effect may be confounded by other factors such as irrigation and  
196 precipitation (Berger *et al.*, 2013, Li *et al.*, 2011, Yu *et al.*, 2016). In this study, we  
197 took advantage of the frequent irrigation and precipitation in the plastic- and  
198 non-mulched drip irrigation fields to discuss (1) how the spatial and temporal patterns  
199 of microclimate and soil respiration are affected by plastic mulch; (2) the effect of  
200 plastic mulch on soil respiration via its effect on soil temperature and moisture; and (3)  
201 the effect of irrigation and precipitation on soil respiration in mulched and  
202 non-mulched fields.

## 203 2. Materials and Methods

### 204 2.1 Site description

205 The field experimental site (86°12' E, 41°36' N; 886 ma.s.l.) is in an inland arid area,  
206 which is in one of the oases scattered on the alluvial plain of the Kaidu-Kongqi River  
207 (a tributary of the Tarim River) Basin, north of the Taklamakan Desert (Fig. 1) in the  
208 Xinjiang Uygur Autonomous Region in Northwest China. The region has a temperate  
209 continental climate, with a mean annual precipitation of 60 mm, mean annual  
210 temperature of 11.48°C, and mean annual potential evaporation of 2,788 mm as  
211 calculated by  $\Phi 20$  pan. The annual sunshine duration is 3,036 hour, which is  
212 favorable for cotton growth. The experimental field has an area of 3.48 ha. The major  
213 soil texture in the field is silt loam, and the contents of sand, silt and clay are 32.8%,  
214 62.4% and 4.8%, respectively. The soil bulk density of the experiment field is from  
215 1.4 g cm<sup>-3</sup> to 1.64 g cm<sup>-3</sup> in the 1.5 m soil profile. The soil porosity is 0.42, which  
216 was directly determined in the laboratory using the known volume of undisturbed soil  
217 columns collected in the experimental field.

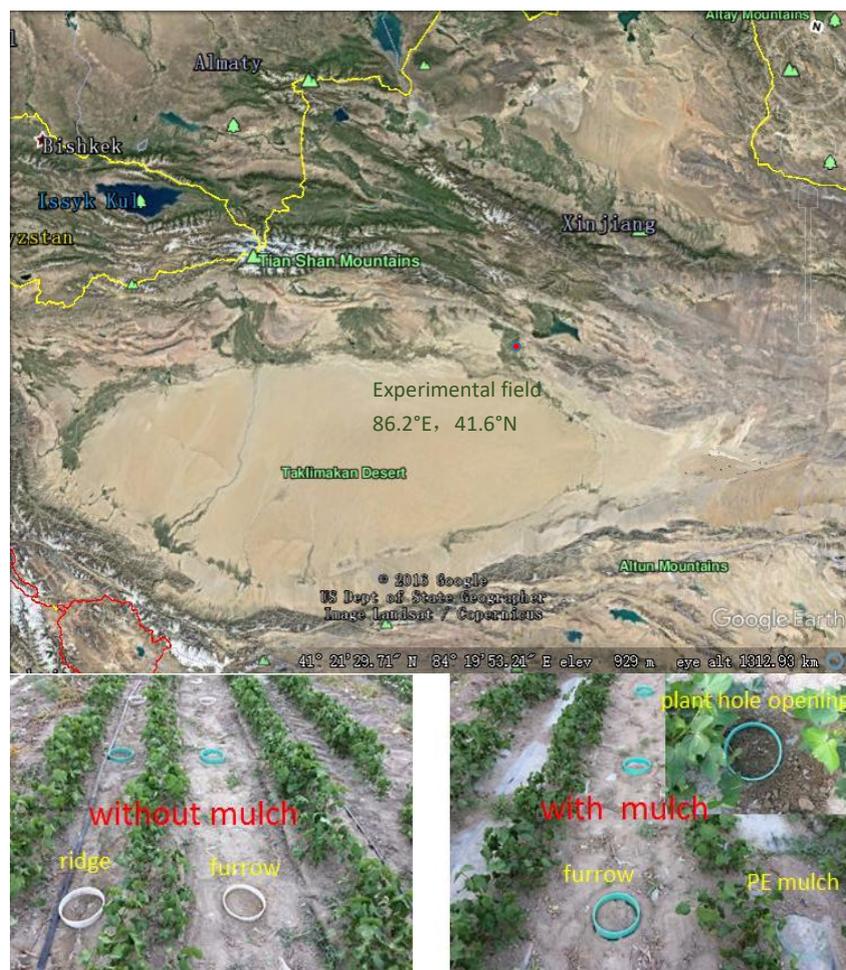
218 Cotton (*Gossypium hirsutum* L.) is usually sown in April and harvested from  
219 October to November. The planting style is “one film, one drip pipe beneath under the  
220 film and four rows of cotton above the film” (Fig. 1). The plastic film (0.008 mm



221 thick) is white and made of dense and airtight transparent polyethylene film. The  
222 width of the film is 1.1 m, and the inter-film zone is 0.4 m. Before sowing, small  
223 square holes (2 cm length) were made for germinating at 0.1 m intervals within a row  
224 in the plastic film, and then seeds were placed into the holes, and finally, each hole  
225 was covered with soil. The planting density was approximately 160,000 plants per ha.  
226 The annual basic fertilizer before sowing included 173 kg ha<sup>-1</sup> of compound fertilizers  
227 (14% N, 16% P<sub>2</sub>O<sub>5</sub>, and 15% K<sub>2</sub>O), 518 kg ha<sup>-1</sup> of calcium superphosphate (18% N,  
228 40% P<sub>2</sub>O<sub>5</sub>) and 288 kg ha<sup>-1</sup> of diammonium phosphate (P<sub>2</sub>O<sub>5</sub>>16%). Supplemental  
229 fertilizers during the growth period included approximately 292 kg ha<sup>-1</sup> of urea (46%  
230 N) and 586 kg ha<sup>-1</sup> of drip compound fertilizer (13% N, 18% P<sub>2</sub>O<sub>5</sub>, and 16% K<sub>2</sub>O) and  
231 foliar fertilizer (P<sub>2</sub>O<sub>5</sub>>52%, and K<sub>2</sub>O>34%). Drip irrigation usually began on June 12  
232 in the bud stages with an amount approximately 20-50 mm each time and  
233 approximately 9-12 times per growing season. The annual irrigation amount was  
234 approximately 400-600 mm.



## 235 2.2 Experimental design



236  
237 Fig. 1. Experimental site and experimental design. (a) Google map of the study area and the experimental site; (b)  
238 Schematic drawing of the experimental design for the mulched and non-mulched fields.

239 The mulched and non-mulched treatments were arranged in a randomized block  
240 design with three replicates in the same field and the same fertilization and irrigation  
241 from the year 2014 to 2016. The plastic mulch was uncovered after the seed  
242 germination in the non-mulched treatment to ensure the same seed germinating date  
243 with the mulched field. The soil respiration was measured every two weeks during the  
244 cotton-growing season with an LI-8100A (LI-COR, Inc., Lincoln, Nebraska). The  
245 automated soil CO<sub>2</sub> flux system consisted of two parts, PVC collars (10 cm in  
246 diameter and 5 cm in height) and a measuring chamber. The PVC collars were  
247 inserted 2-3 cm into the soil by removing the small living plants and litter inside the  
248 soil collars at least 1 day before the measurements. Data were recorded by the data



249 logger in the LI-8100. The soil respiration was measured in the furrows (nmf) and  
 250 ridges (nmr) of the non-mulched treatment and the furrows (mf), ridges (mr), plant  
 251 holes (mh), and plastic mulch (mp) of the mulched treatment. The measurements were  
 252 performed every 2 hours during the day from 8:00 am to 24:00 pm. To measure the  
 253 soil respiration on the soil surface without the plastic mulch covering, such as on the  
 254 nmf and nmr in the non-mulched field and the mf in the mulched field, the PVC  
 255 collars were inserted directly into the soil. Before measuring the CO<sub>2</sub> emission in the  
 256 mp and mr, the plastic mulch was cut with a rectangle of 40 cm length and 30 cm  
 257 width. Then, the collars were buried under the plastic mulch by compacting firmly  
 258 with soil along the mulch edge. The CO<sub>2</sub> emissions in the mp were measured directly  
 259 by placing the chamber on the covered collars. The CO<sub>2</sub> emission in the mr was  
 260 measured by uncovering the plastic mulch. The CO<sub>2</sub> emission in the mh was  
 261 measured by inserting collars into the soil, covering two plant holes along the  
 262 direction of the mulch, and using scotch tape to seal the interspaces between the  
 263 plastic mulch and collar.

264 The soil temperature and soil moisture at a depth of 5 cm were monitored adjacent  
 265 to each PVC collar using the auxiliary sensors of the Li-8100, and concurrent with the  
 266 soil CO<sub>2</sub> flux measurements. The drip irrigation amount was measured by water  
 267 meters that were installed on the branch pipes of the drip irrigation system. The  
 268 precipitation was measured by a tipping bucket rain gauge (model TE525MM,  
 269 Campbell Scientific Inc., Logan, UT, USA), which was mounted 0.7 m above the  
 270 ground.

### 271 2.3 Data calculation and analysis method

272 The soil respiration of the different parts at a particular time of a day was the  
 273 average of three replicates. The daily mean soil respiration was calculated using the  
 274 average of the soil respirations measured at various times in a day. The soil  
 275 respirations in the mulched and non-mulched fields were calculated relying on the  
 276 area ratio of the various parts in the field:

$$\begin{aligned}
 277 \quad R_{mr} &= R_{mh} * A_{mh} + R_{mp} * A_{mp} \\
 278 \quad R_{sm} &= R_{mf} * A_{mf} + R_{mr} * A_{mr} \\
 279 \quad R_{snm} &= R_{nmf} * A_{nmf} + R_{nmr} * A_{nmr}
 \end{aligned} \tag{1}$$

280 where  $R_{sm}$  and  $R_{snm}$  are the soil respirations in the mulched and non-mulched fields,  
 281 respectively. The symbols of ( $R_{mh}$ ) and ( $R_{mp}$ ) are the soil respirations in the plant holes  
 282 and plastic mulch, which constitute the soil respiration in mr ( $R_{mr}$ ). The symbols of  $R_{mr}$ ,  
 283  $R_{mf}$ ,  $R_{nmr}$ , and  $R_{nmf}$  are the soil respirations in the furrows and ridges in the mulched  
 284 and non-mulched fields, respectively. Replacing the initial letter  $R$  with  $A$  means the  
 285 area ratio of the different parts. The accumulated soil respiration in the ridges and  
 286 furrows during the growing season were estimated by summing the products of the  
 287 soil CO<sub>2</sub> flux and the number of days between sampling times.

288 The regression and smoothness of the soil respiration with soil temperature and  
 289 SWC were analyzed using SPSS software. The Van't Hoff equation was used to



290 express the relationship of the soil respiration with soil temperature (Hoff, 1898):

$$291 \quad R_s = Ae^{bT} \quad (2)$$

292 where  $R_s$  is the soil respiration,  $T$  is the soil temperature,  $A$  is the intercept of the soil  
293 respiration when the temperature is 0°C (i.e., reference soil respiration). Moreover,  $b$   
294 represents the temperature sensitivity of the soil respiration. The  $Q_{10}$  value, which  
295 describes the change in soil respiration over a 10-°C increase in the soil temperature, is  
296 calculated as

$$297 \quad Q_{10} = e^{10b} \quad (3)$$

298 Considering a lower and higher SWC both restrain the soil respiration, we use a  
299 quadratic equation to simulate the effect of soil moisture on soil respiration (Davidson  
300 *et al.*, 1998):

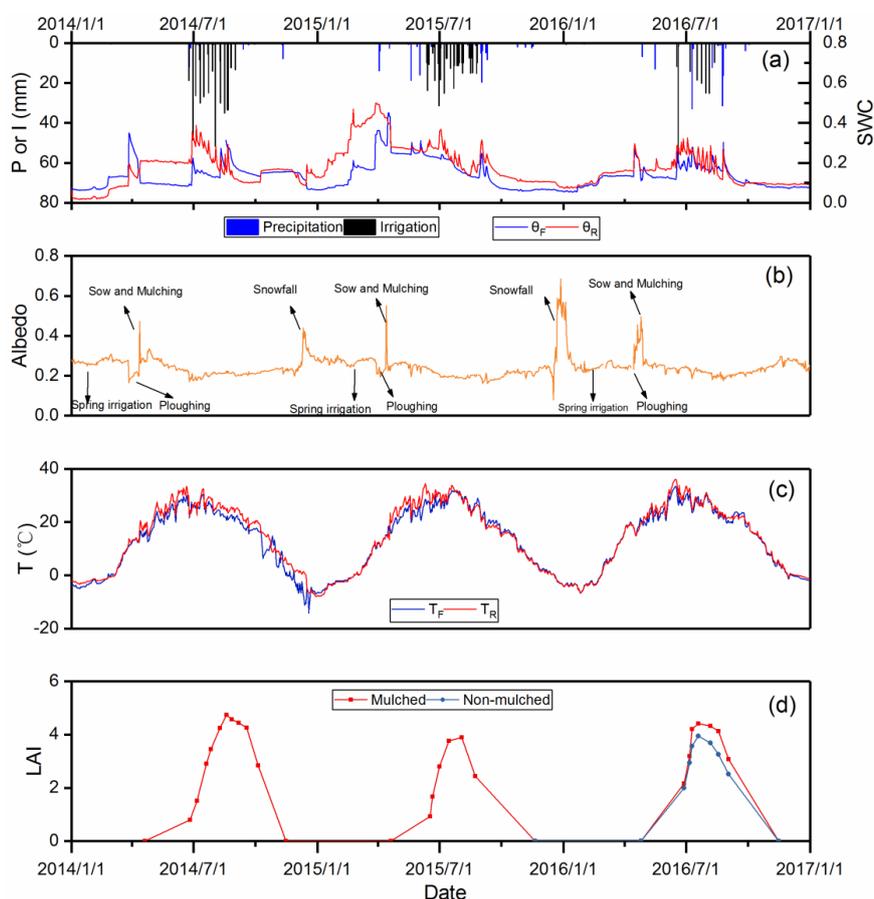
$$301 \quad R_s = aV^2 + bV + c \quad (4)$$

302 where  $V$  is the soil water content and  $a$ ,  $b$  and  $c$  are fitted constants.



### 303 3. Results

#### 304 3.1 Field microclimate and crop growth



305  
 306 Fig. 2 Microclimate affected by plastic mulch, irrigation and precipitation. (a) The SWC in the ridges ( $\theta_R$ ) and  
 307 furrows ( $\theta_F$ ) affected by irrigation and precipitation. (b) The albedo in the mulched field. (c) The soil temperature  
 308 in the furrows ( $T_F$ ) and ridges ( $T_R$ ) in the mulched field; (d) The leaf area index (LAI) in the mulched and  
 309 non-mulched fields (LAI in the non-mulched field was only measured in 2016 to compare to that in the  
 310 non-mulched field).

311 The plastic mulch altered all the field microclimate aspects such as the albedo, and  
 312 soil conditions such as the soil temperature and moisture, and crop growth conditions.  
 313 There were two snowfalls during January 2015 and January 2016 that resulted in  
 314 much higher albedo, which was beyond 0.4. The spring irrigation used a month before  
 315 sowing to apply the germinating water and washing soil salt in early March increased  
 316 the albedo. Tillage significantly decreased the albedo several days before mulching on



317 April 20. After the plastic mulch covering in April, the surface albedo had a sudden  
318 rise, and then, slowly decreased with the increase of the crop canopy and applied  
319 irrigation. The albedo reached the minimum value with the highest value of LAI at the  
320 bud stage during August, and then, increased very slowly with leaf fall.

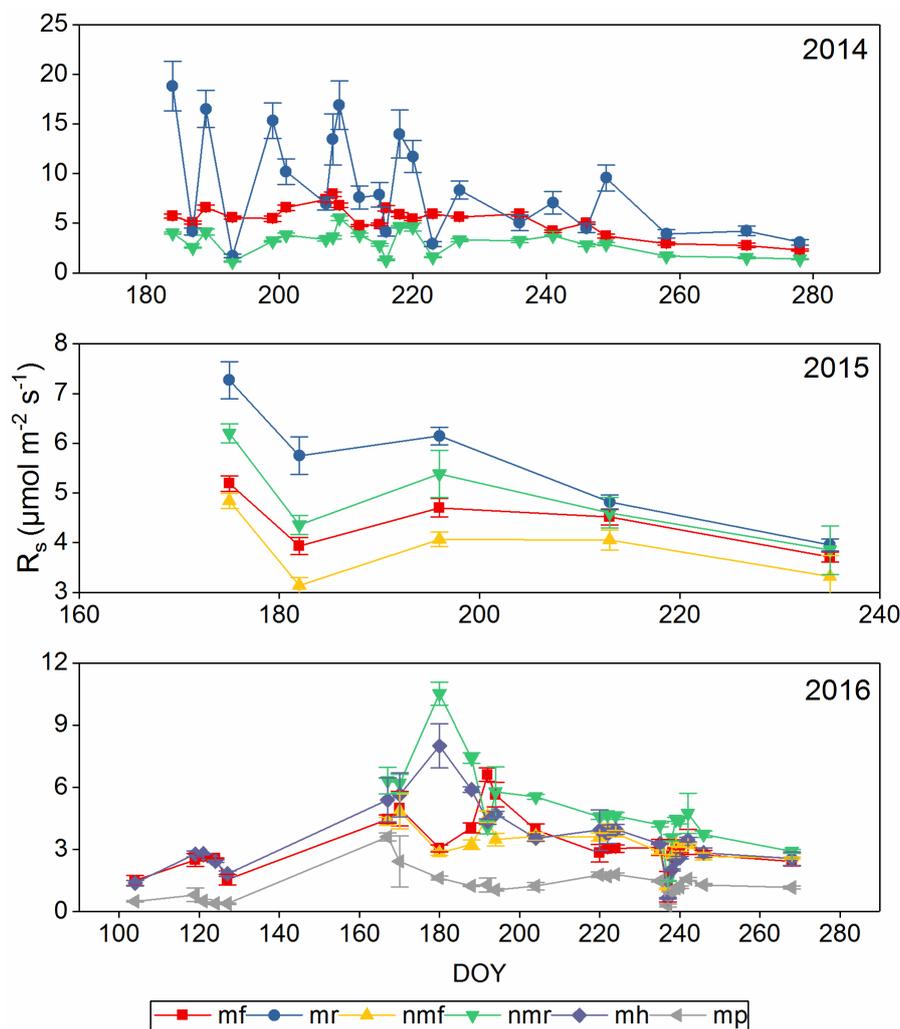
321 The soil temperature was highly correlated with radiation over a growing season,  
322 and it was affected by the plastic mulching and irrigation. The soil temperature in the  
323 ridges with mulch covering was significantly higher than in the furrows without  
324 mulch covering. However, in the later growth stages, the soil temperature in the  
325 furrows exceeded that in the ridges as the crop canopy and irrigation increased. The  
326 soil temperature decreased significantly after irrigation and two heavy rainfall events  
327 during 2016, and the variation in soil temperatures during the growing season was as  
328 drastic as the effect of frequent irrigation.

329 The soil moisture varied in response to irrigation and precipitation, and the greater  
330 the irrigation and precipitation, the more drastic the variation. The soil moisture in the  
331 ridges was mostly larger than in the furrows with the effect of frequent drip irrigation.  
332 However, after heavy rainfall, the soil moisture in the furrow exceeded even that in  
333 the ridge, i.e., during the two heavy rainfall events on July 10 and August 24 of 2016,  
334 which were 36.8 mm and 47.9 mm, respectively. Inter-annually, the soil moisture in  
335 the furrows during 2016 was larger than in 2014 and 2015 because of the greater  
336 precipitation during 2016, and the soil moisture in the ridges during 2016 was lower  
337 than that during 2014 and 2015 because of the smaller amount of irrigation.

338 The plant phenology and LAI showed the growing-dying cycle varying with  
339 temperature and radiation over the seasons. The LAI started increasing with seed  
340 germination, reached its maximum value at the bud stage during August, and then,  
341 decreased with the leaf falling. The LAI in the mulched field was significantly larger  
342 than in the non-mulched field during 2016, particularly in the vigorous growth stages.  
343 Inter-annually, the LAI during 2016 was the greatest and that during 2015 was  
344 smallest.



345 **3.2 Seasonal and spatial variations in soil respiration**



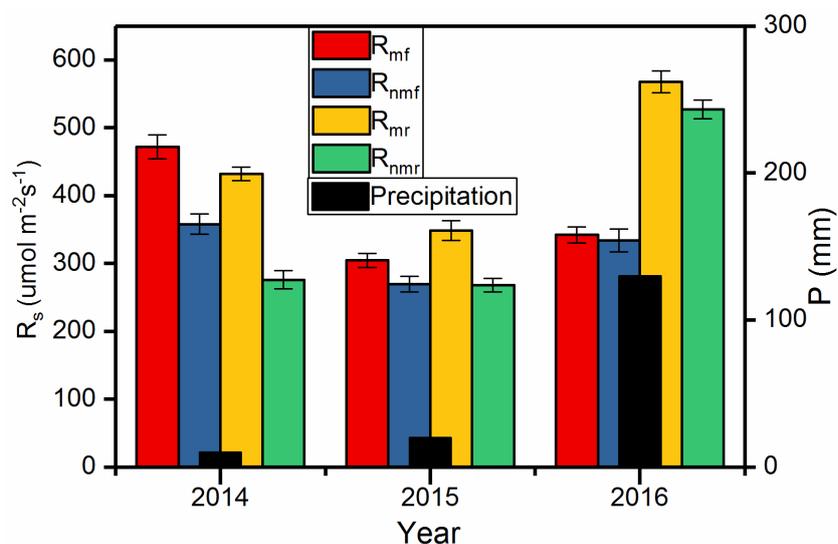
346  
 347 Fig. 3 Seasonal variations in soil respiration in different parts of the mulched and non-mulched fields over the  
 348 three years. Data represent means over a day  $\pm$  SD of three replicates.

349 The seasonal variations in the soil respiration over three years were approximately  
 350 consistent with the radiation, temperature and LAI. In the non-growing season, the  
 351 soil respiration was very low from October to April of the next year, i.e.,  
 352 approximately 1 to 2  $\mu\text{mol m}^{-2} \text{s}^{-1}$ , and reached a peak value in the middle of July  
 353 during summer, approximately 6 to 8  $\mu\text{mol m}^{-2} \text{s}^{-1}$ . After tillage in April of 2016, the  
 354 soil respiration was significant and then had a rapid decline with the plastic mulching.  
 355 The inter-annual variation in the soil respiration during the three years was not very  
 356 significant. The highest values during 2014 to 2016 were approximately 8  $\mu\text{mol m}^{-2}$



357  $\text{s}^{-1}$ ,  $6 \mu\text{mol m}^{-2} \text{s}^{-1}$  and  $7 \mu\text{mol m}^{-2} \text{s}^{-1}$ , respectively, which was consistent with the  
 358 highest LAI values of approximately 4.2, 3.8 and 4.2, respectively. The seasonal  
 359 variations in the soil respiration were altered by both the irrigation and precipitation.  
 360 The irrigation obviously restrained the soil respiration during 2014, with the soil  
 361 respiration significantly decreasing to an extremely low value right after irrigation,  
 362 and then, rising with the evapotranspiration of soil moisture. The soil respirations in  
 363 the mh, mp and ridges in the nmr during 2016 almost had the same variation of  
 364 response to irrigation. Meanwhile, the soil respiration in the furrows in the mf and the  
 365 nmf during 2016 had the same variation because they were both directly affected by  
 366 precipitation and indirectly affected by irrigation. The precipitation significantly  
 367 restrained the soil respiration of all parts in the mulched and non-mulched fields after  
 368 a large rainfall at the day of the year (DOY) 238 in 2016.

369 The spatial heterogeneity was more enhanced in the mulched field than in the  
 370 non-mulched field. In the non-mulched field, the soil respiration in the nmr with the  
 371 higher SWC was always larger than that in the nmf with a lower SWC. Meanwhile, in  
 372 the mulched field, the soil respiration in the mh exceeded that in the mf, except after  
 373 the 36.8 mm rainfall in DOY 199. The soil respiration in the mp was lower at the  
 374 beginning, approximately  $1 \mu\text{mol m}^{-2} \text{s}^{-1}$ . However, it rose to approximately  $2.75$   
 375  $\mu\text{mol m}^{-2} \text{s}^{-1}$  by the bud stage. The soil respiration in the mr measured by uncovering  
 376 the plastic mulch during 2014 was extremely high, approximately  $15 \mu\text{mol m}^{-2}$ .



377

378 Fig. 4 The seasonal accumulative soil respiration affected by precipitation. The data represent the seasonal  
 379 accumulated soil respiration in the furrows ( $R_{mf}$ ) and ridges ( $R_{mr}$ ) of the mulched field and the furrows ( $R_{nmf}$ ) and  
 380 ridges ( $R_{nmr}$ ) of the non-mulched field, and the precipitation during the growing season over three years. The error  
 381 bars represent standard deviations.

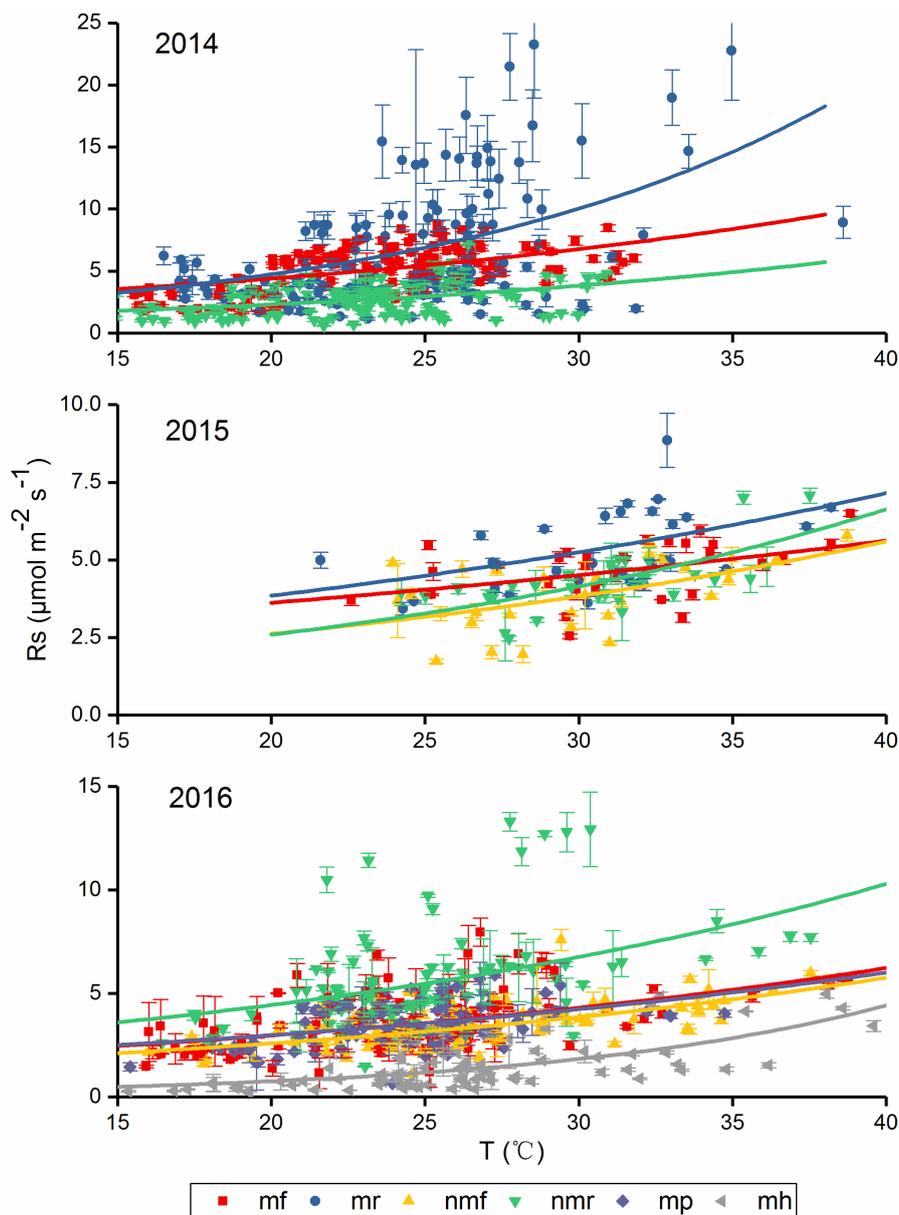
382 The accumulated soil respirations calculated per the area ratio of different parts in  
 383 the ridges and furrows in the mulched field were both larger than those in the  
 384 non-mulched field. The average accumulated soil respiration was  $428.91 \mu\text{mol m}^{-2} \text{s}^{-1}$



385 in the mulched field and  $347.13 \mu\text{mol m}^{-2} \text{s}^{-1}$  in the non-mulched field during the  
386 growing season over three years. However, the differences in the soil respiration in  
387 the furrows were all smaller than in the ridges and the differences in the ridges and  
388 furrows between the mulched and non-mulched fields all decreased from the year  
389 2014 to 2016. It is noteworthy that the amount of precipitation increased from 2014 to  
390 2016, which may have had some influence on the different soil respirations in the  
391 mulched and non-mulched fields.



392 **3.3 Soil temperature and soil respiration**



393  
 394 Fig. 5 The relationship between soil respiration and soil temperature. The data represent means  $\pm$  SD of three  
 395 replicates. The smooth lines of the different parts were fitted with Equation 1.

396  
 397 The soil respirations had distinct seasonal variations that were determined  
 398 primarily by the radiation, temperature and phenology although they were also



399 frequently affected by irrigation (Fig. 3). The soil respiration in different parts of the  
 400 mulched and non-mulched fields all increased with temperature and can be expressed  
 401 using exponential equations (Fig. 5). However, their correlation  $R^2$  and  $Q_{10}$  values  
 402 were very different and weakened by the extreme variations in the soil moisture with  
 403 an  $R^2$  smaller than 0.5 and  $Q_{10}$  values lower than 2.0 (Table 1). The reference soil  
 404 respiration ( $A$  in Equation 1) during 2015 was larger than during 2014 and 2016  
 405 because the observation time was limited and the temperature variation range was  
 406 small. The correlations of soil respiration in the furrows were better than those in  
 407 ridges, while the  $Q_{10}$  values in the furrows were much lower than those in the ridges.

408

409

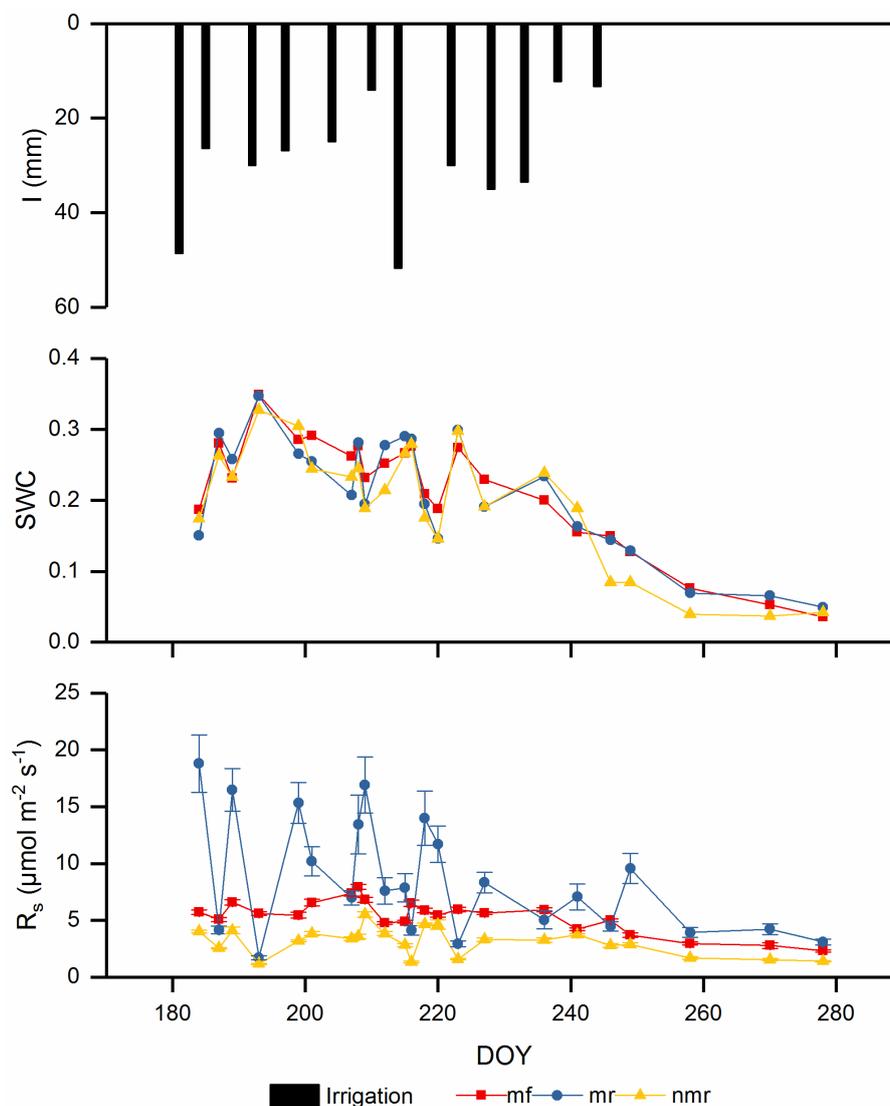
410

Table 1 Exponential equations of the soil respiration with soil temperature

Year	Parameters	mf	mr	nmf	nmr	mh	mp
2014	a	1.87	1.06		0.86		
	b	0.04	0.08		0.05		
	$Q_{10}$	1.54	2.12		1.65		
	$R^2$	0.29	0.18		0.18		
2015	a	2.33	2.07	1.23	1.01		
	b	0.02	0.03	0.04	0.05		
	$Q_{10}$	1.25	1.36	1.46	1.60		
	$R^2$	0.18	0.27	0.27	0.43		
2016	a	1.42		1.16	1.92	1.48	0.13
	b	0.04		0.04	0.04	0.04	0.09
	$Q_{10}$	1.45		1.49	1.52	1.42	2.41
	$R^2$	0.23		0.39	0.20	0.18	0.44



411 **3.4 Irrigation and soil respiration**

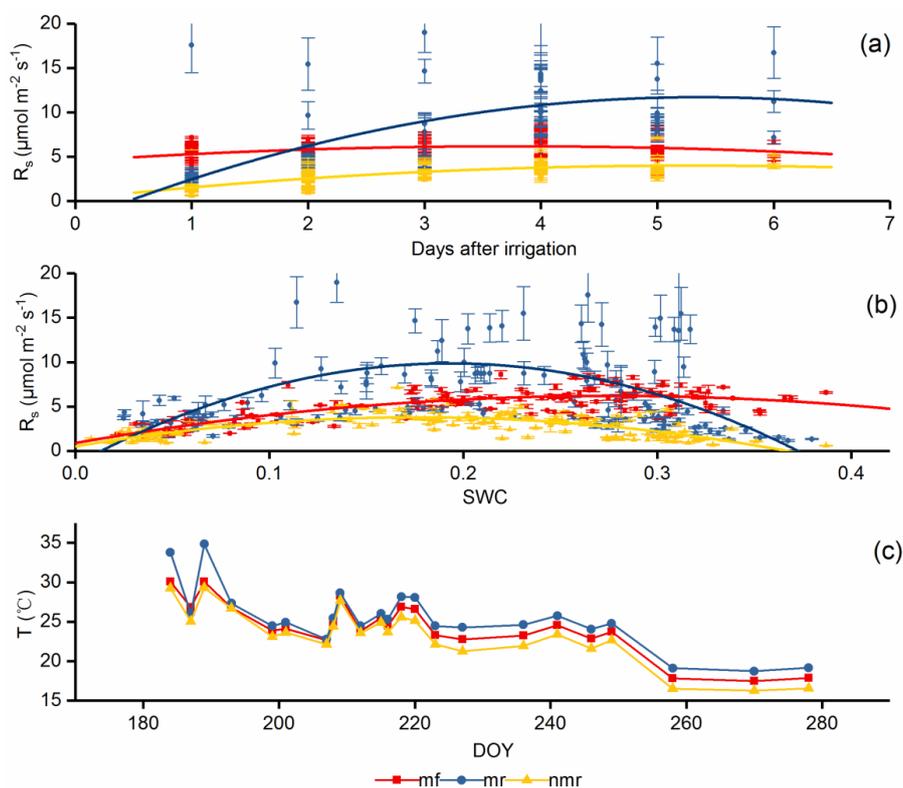


412  
 413 Fig. 6 The responses in soil moisture and soil respiration of the different parts to irrigation in the mulched and  
 414 non-mulched fields during the year 2014.

415 The soil moisture and respiration were significantly dynamic and fluctuated during  
 416 the growing season under the influence of frequent irrigation. However, the responses  
 417 to irrigation varied as the soil moisture and respiration increased and decreased,  
 418 respectively, after irrigation. Therefore, more irrigation led to a larger variation in the  
 419 soil moisture and respiration. This finding indicates that after irrigation, the soil  
 420 moisture increased but that the soil respiration was restrained. Variations in the soil



421 moisture and respiration in mr and nmr were more drastic than in mf. The soil  
 422 moisture and respiration in the mr and nmr had the same variations as these factors  
 423 both responded to irrigation immediately. Meanwhile, the soil moisture and  
 424 respiration in mf were slower to respond to the irrigation. As the evaporation in the  
 425 nmr was drastic in the arid area without the protection of the plastic mulch, the soil  
 426 moisture in the nmr was always lower than in the mf over time, except for  
 427 immediately after irrigation. This factor caused the soil respiration in the nmr to  
 428 always be lower than in the mf.



429 Fig. 7 The soil respiration affected by irrigation. The data represent the average of three duplicates; the error bar  
 430 represents standard deviation. The fitted lines were used with the binomial equation. (a) Variations in the soil  
 431 respiration within days after irrigation. (b) The relationship between the soil respiration and soil moisture. (c) The  
 432 soil temperature affected by irrigation.  
 433

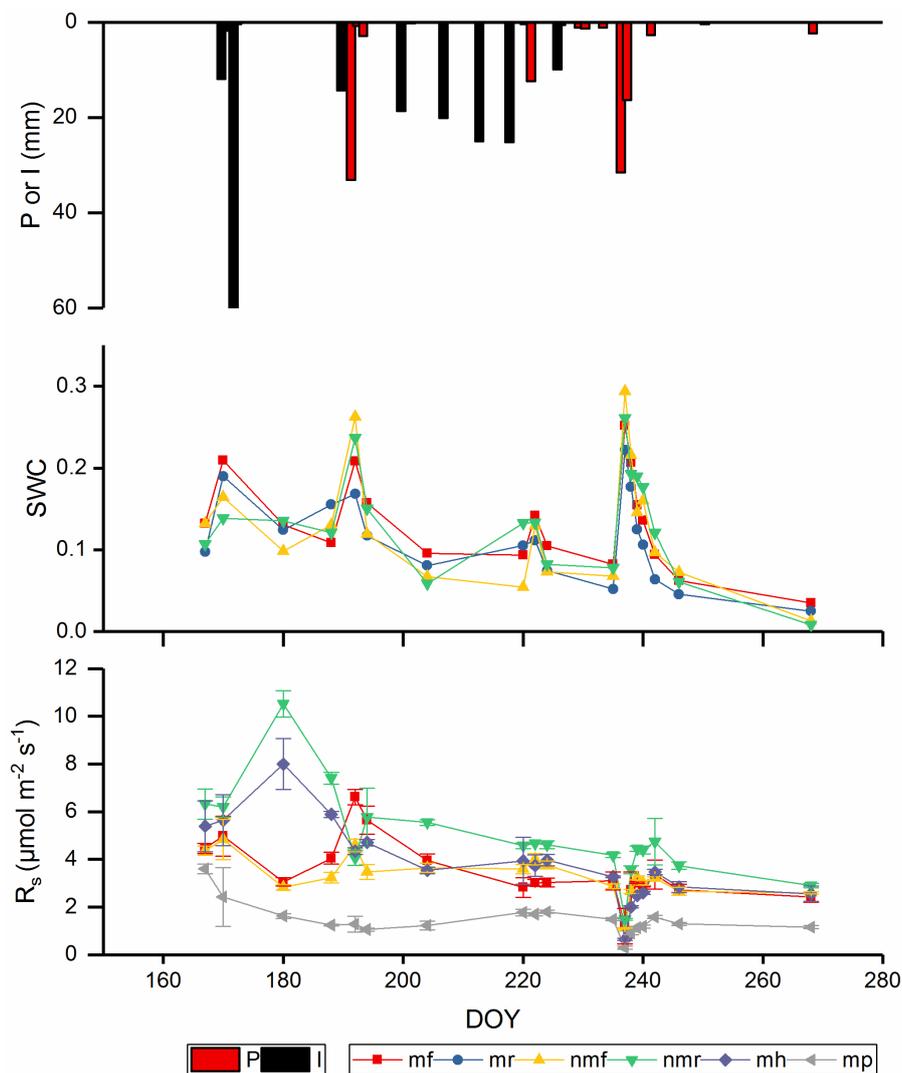
434  
 435 The effect of irrigation on the soil respiration was presented by the soil respiration  
 436 relationship and days after irrigation with an irrigation cycle of approximately 6 days.  
 437 The soil respirations were extremely low after irrigation in the mr and nmr, and then,  
 438 recovered slowly within days after irrigation. Meanwhile, as in the mf, the soil  
 439 respiration was almost unaffected by irrigation and only had a litter rise on the fourth  
 440 day (Fig. 7a). The three parts reached the maximum values in 4 days and began to  
 441 decrease with the decrease in the soil moisture. The relationship between soil the



442 respiration and soil moisture can be expressed in the form of a binomial equation.  
443 Before irrigation, the soil respiration was extremely low in the drier soil, and then it  
444 increased with the rising soil moisture. However, the soil respiration began to decline  
445 when it reached a threshold. The soil moisture threshold that caused the decline of the  
446 soil respiration was approximately 0.25 in the mf and approximately 0.2 in the mr and  
447 nmr (Fig. 7b). Moreover, these soil moisture thresholds were approximately 60% and  
448 50% of the water-filled pore space (WFP), respectively. The soil temperatures in the  
449 nmr and sometimes in the mr were smaller than in the mf due to the effect of  
450 irrigation. The restrain threshold in the mf was smaller than in the mr, which could be  
451 because in the ridges, the irrigation not only increased the soil moisture but also  
452 decreased the soil temperature, i.e., reducing soil respiration (Fig. 7c).



453 **3.5 Precipitation and soil respiration**

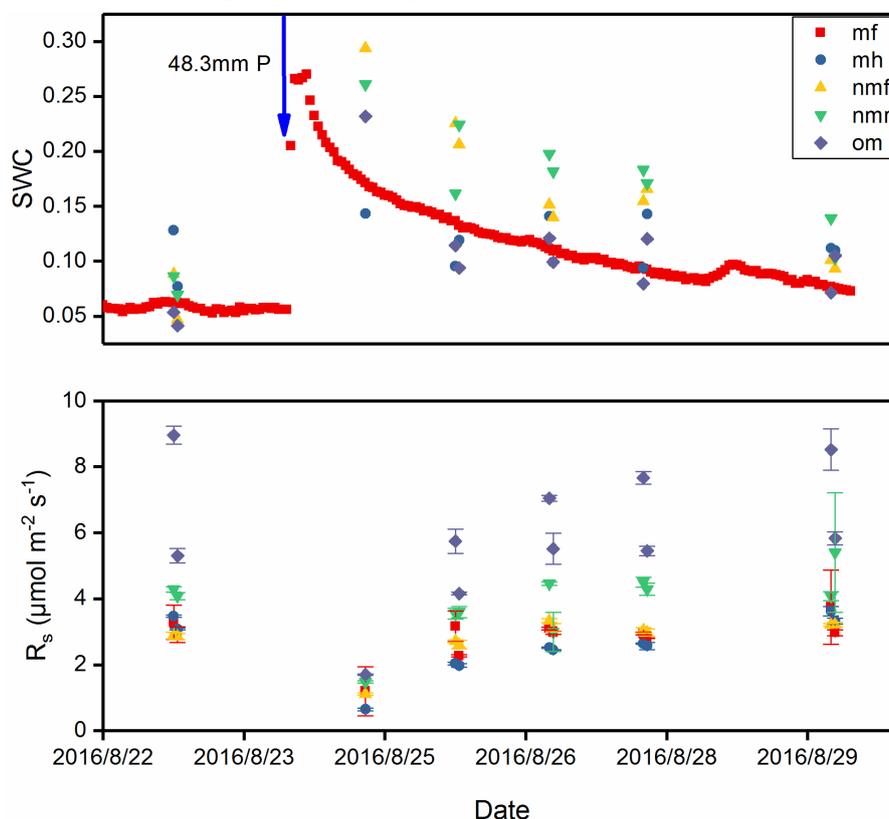


454  
 455 Fig. 8 The response of the soil moisture and soil respiration to precipitation and irrigation during 2016.

456 In 2016, there were three big rainfalls of 36.8 mm, 12.8 mm, and 48 mm in the  
 457 DOY 192, 222, and 237, respectively. The soil moisture increased significantly after  
 458 the 36.8 mm and 48 mm rainfalls but only slightly after the 12 mm rainfall. The soil  
 459 moisture in the furrows was greater than in the ridges, and the soil moisture in the nmr  
 460 was greater than in the mr, sometimes even larger than in the mf after precipitation.  
 461 The soil respiration in the nmr was always greater than in the mp and mf, which was  
 462 different during 2014 and 2015. Different amounts of precipitation had various effects  
 463 on the soil moisture and respiration. The 12 mm precipitation had little effect on the



464 soil moisture and respiration. The 36.8 mm precipitation increased the soil moisture in  
 465 the mf, nmf and nmr, but had little effect on the soil moisture under the plastic mulch  
 466 (mr) because of the plastic mulch barrier. This precipitation restrained the soil  
 467 respiration in the mr and mh but motivated the soil respiration in the mf and nmf. The  
 468 48 mm precipitation increased the soil moisture in all the parts except for the mr, and  
 469 restrained the soil respiration in all the parts of the mulched and non-mulched fields.



470  
 471 Fig. 9 Variations in the soil moisture and respiration in a wetting-drying cycle after a big rainfall (om means  
 472 opening mulch and is the soil respiration in the ridges after uncovering the plastic mulch for 24 hours).

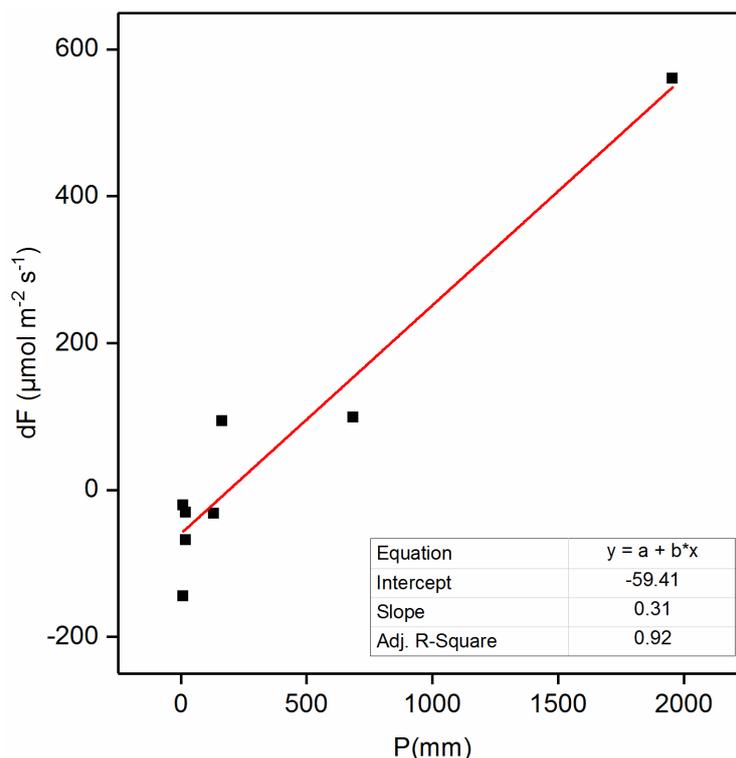
473 The effect of precipitation on the soil respiration in a wetting-drying cycle was  
 474 studied carefully before and after a substantial rainfall of approximately 48 mm on  
 475 August 24, 2016. The soil respiration was significantly restrained by the high SWC  
 476 both in the furrows and ridges in the mulched and non-mulched fields. The restrain  
 477 was relieved by the evapotranspiration of the soil moisture. Soil respirations in the  
 478 different parts were all restrained although the SWCs were very different in the  
 479 various parts and the lowest SWC was 0.15 in the ridges under mulch. This finding  
 480 means that the soil respirations were all restrained when the SWC was greater than  
 481 0.15, which was less than the threshold value affected by irrigation. After rainfall, the  
 482 soil moisture in all the parts rose rapidly except in the ridges under the mulch due to  
 483 the barrier of plastic mulch and canopy interception. The soil moisture after rainfalls



484 was  $n_{mf} > n_{mr} > n_{mr}$ , but the soil respiration after rainfalls was  $n_{mr} > n_{mf} > n_{mf}$ , which  
485 means that precipitation primarily affected soil moisture in the furrows and ridges in  
486 the non-mulched field, and a higher soil moisture restrained more soil respiration. The  
487 soil respiration in the mh did not change much as the soil moisture in the ridges under  
488 the mulch was nearly unaffected by precipitation. Several days after restrain, the  
489 weakened soil respiration in the nmr was significantly larger than in the nmf and mf  
490 because precipitation supplies more water to the ridges in the non-mulched field than  
491 in the mulched field. It is noteworthy that it took approximately one day for the soil  
492 respiration to reach a normal level after precipitation, which was much shorter than  
493 the effect of irrigation. The soil respiration in the om, which was that under the mulch  
494 measured by uncovering the plastic mulch for more than 24 hours, was significantly  
495 greater than in the other parts, though it was also restrained.

496 To verify that different climate patterns may have different effects on the soil  
497 respiration in the mulched and non-mulched fields, other studies regarding  
498 comparative experiments in mulched and non-mulched fields were conducted to study  
499 the effect of precipitation on the differences in soil respiration ( $dF$ ) in mulched and  
500 non-mulched fields (Fig. 10). Other studies included an arid area ( $P$  45.7 mm) south  
501 of Xinjiang in China (Liu *et al.*, 2002), a semiarid area ( $P$  160 mm) north of Xinjiang  
502 in China (Li *et al.*, 2011), a semi-humid area ( $P$  566.8 mm) on the Loess Plateau of  
503 China (Xiang *et al.*, 2014) and an area in a temperate monsoon climate ( $P$  1,954 mm)  
504 in Japan (Okuda *et al.*, 2007). Our experiments were added to these analyses, and the  
505 climate in our research was an arid area south of Xinjiang with an annual precipitation  
506 of 60 mm, except for 2016, which was a rainy year with 130 mm precipitation. Here,  
507  $dF$  means the difference in the soil respirations between the mulched and  
508 non-mulched fields. The  $dF$  was found to have a linear relationship with the  
509 precipitation amount. This factor increased with precipitation, and at 200 mm  
510 precipitation, the soil respirations in the mulched and non-mulched fields were equal.  
511 At precipitation outside 200 mm, the soil respiration was lower in the mulched than in  
512 the non-mulched fields, e.g., 685 mm precipitation is a semi-humid area, and 2,000  
513 mm is a temperate monsoon (Okuda *et al.*, 2007, Xiang *et al.*, 2014).

514



515

516 Fig. 10 The relationship of the difference in soil respirations in the mulched and non-mulched fields with  
517 precipitation.

## 518 4. Discussion

### 519 4.1 Effect of plastic mulch on soil respiration

520 The production and transfer of CO<sub>2</sub> in the soil are both affected by the plastic  
521 mulch. The production of CO<sub>2</sub> in the soil is determined by the root and microbial  
522 biomass, substrate supply, temperature and desiccation stress (Davidson *et al.*, 2006).  
523 The soil temperature, soil moisture and crop growth are all improved in the mulched  
524 field relative to in the non-mulched field. Plastic mulch preserves heat and energy  
525 transfer and soil moisture. Irrigation water is fully utilized as evaporation is prohibited  
526 and transpiration increases due to the accelerated crop growth absorbing more water  
527 through the roots (Tian *et al.*, 2016, Yang *et al.*, 2016). Improved crop growth  
528 produces more root biomass and litter fall in a mulched field, which will promote root  
529 respiration and litter fall decomposition. Moreover, improved soil temperature and  
530 soil moisture would promote the activities of the roots and microorganisms. Our  
531 results indicate that the soil respiration in the ridges of the mulched field (mr) as



532 measured by uncovering the plastic mulch was much greater than in the furrows (mf).  
533 This finding indicates that indeed much CO<sub>2</sub> gathers beneath the plastic mulch  
534 because of the plastic mulch barrier. The soil respiration in the ridges after uncovering  
535 the mulch for 24 hours (om) (Fig. 9) was also prominently greater than in the ridges  
536 of the non-mulched field (nmr). This finding indicates that the suitable temperature  
537 and moisture environment in the ridges indeed produce more CO<sub>2</sub> in the mulched  
538 field than in the non-mulched field. Yu *et al.* (2016) also found that CO<sub>2</sub>  
539 concentrations in the ridges and furrows increased by 49% and 15%, respectively, in  
540 the soil of 0–40 cm.

541 Some researchers argued that the high concentration of CO<sub>2</sub> under the plastic mulch  
542 would restrain CO<sub>2</sub> production in the soil. However, as we know, the soil respiration  
543 is the by product for the survival of microorganisms and the root, and so the  
544 concentration of CO<sub>2</sub> in deeper soil is much higher than at the surface layer (Luo &  
545 Zhou, 2006). The CO<sub>2</sub> can emit via the horizontal diffusion of CO<sub>2</sub> from the ridge soil  
546 covered with mulch to the adjacent furrow (Nishimura *et al.*, 2012) and also through  
547 the plant holes and plastic mulch. Our experiment indicates that the plant holes emit  
548 more CO<sub>2</sub> than the furrows (Fig. 3), although the plant holes are soil-covered and only  
549 occupy small areas of mulch. However, the root biomass primarily concentrates  
550 around the plant holes, which can produce more root respiration. The plastic mulch  
551 itself can also emit up to 2.75 μmol m<sup>-2</sup> s<sup>-1</sup> CO<sub>2</sub>. Considering that the plastic mulch  
552 occupies most of the ridge area, it is an important pathway for CO<sub>2</sub> emission in the  
553 mulched field. The emission rate of the plastic mulch correlates with the qualities of  
554 the plastic mulch, such as its thickness, texture and color. For example, a thick black  
555 PE mulch has an extraordinarily low N<sub>2</sub>O emission (Berger *et al.*, 2013), while high  
556 N<sub>2</sub>O is emitted from a polyethylene film only 0.02 mm thick (Nishimura *et al.*, 2012).  
557 Liu *et al.* (2016) also reported that the transparent plastic film emits more CO<sub>2</sub> than  
558 the black plastic mulch. The local farmers widely use the clear polyvinyl chloride  
559 (PVC) film with a thickness of only 0.008 mm as it can save on costs and absorb little  
560 but transmit up to 90% of solar radiation. This film has a relatively high diffusion for  
561 greenhouse gases. Therefore, the plant holes, furrows and plastic mulch are primarily  
562 responsible for CO<sub>2</sub> emissions in a mulched field, while only the furrows and ridges  
563 are responsible for CO<sub>2</sub> emissions in the non-mulched field. (Bi *et al.*, 2007)

564 Our results indicate that the plastic mulch accelerates soil respiration. The  
565 accumulated soil respirations in the ridges and furrows of the mulched field were  
566 greater than in the non-mulched field when considering the plant holes, furrows and  
567 plastic mulch. This result is a little different from that of Yu *et al.* (2016), who  
568 reported that soil respirations between the ridges were similar, while only soil  
569 respirations in the furrows in the mulched field were greater than in the non-mulched  
570 field. Liu *et al.*, (2016) also reported that transparent and black plastic films emit  
571 more CO<sub>2</sub> in the furrows, and (Cuello *et al.*, 2015) found that plastic film significantly  
572 increased the CH<sub>4</sub> and N<sub>2</sub>O greenhouse gas emissions.



## 573 4.2 Effect of irrigation on soil respiration

574 The soil respiration was strongly dynamic and fluctuated due to the drastic  
575 variations in the soil moisture because of the effect of frequent irrigation in the field  
576 (Fig. 6). In the wetting-drying cycle, the SWC reached a high lever right after  
577 irrigation, which restrained the soil respiration to an extremely low level. Moreover,  
578 in the subsequent period, the SWC was gradually depleted as water evaporated from  
579 the soil surface and was transported from the foliage canopy, which gradually  
580 increased the soil respiration. Soil respiration right after a big precipitation was also  
581 restrained significantly (Fig. 9). In the agriculture field, SWC was maintained at a  
582 relatively high level, i.e., greater than 20% in our experiment. Because the plastic  
583 mulch can preserve soil moisture by preventing evaporation, soil respiration was  
584 restrained after each irrigation. The frequency and amount of irrigation both affected  
585 the soil respiration by affecting the SWC. Xu *et al.* (2004) also found that the  
586 magnitude of the respiratory pulses was inversely related to its pre-rain value, and the  
587 decay of the respiratory pulses after the rain event was a function of the rainfall  
588 amount. In certain precipitation manipulating experiments, adding water significantly  
589 increased the soil respiration during a drought period (Liu *et al.*, 2002), but had no  
590 effect on soil respiration when the soil moisture was already relatively high (Lai *et al.*,  
591 2013). This finding indicates that the effect of adding water such as through irrigation  
592 or precipitation manipulating experiments on soil respiration is related to the existing  
593 SWC, and it could result in soil respiration in dry soil and restrain soil respiration in a  
594 soil with a high-water content (Dong, 2010).

595 Our results indicate that both low and high SWC restrains soil respiration (Fig. 7b).  
596 The high-water-content restrain was caused by post irrigation during the growing  
597 season, while most of the low moisture content was because of no irrigation after the  
598 growing season. The soil moisture affected the soil respiration directly via the  
599 physiological processes of roots and microorganisms, and indirectly via diffusion of  
600 the substrate and O<sub>2</sub> (Luo & Zhou, 2006, Moyano *et al.*, 2012). Low water content  
601 affects the diffusion of soluble substrates, while a high-water content affects the  
602 diffusion and availability of oxygen (Davidson *et al.*, 2006, Linn & Doran, 1984). To  
603 satisfy crop water requirements and achieve high yield, frequent irrigation was  
604 applied in the field, i.e., the local irrigation was performed 13 times at an interval of  
605 5-7 days. The relatively steady water conditions rendered the soil respiration always  
606 higher than that of natural ecosystems, particularly in the arid areas.

607 The sensitivity of the soil respiration to temperature was weakened by irrigation  
608 (Table 1). The correlation of soil respiration with the soil temperature in different  
609 parts of the mulched and non-mulched fields was not so good. Moreover, the R<sup>2</sup> was  
610 smaller than 0.5, particularly for the soil respiration in ridges. The Q<sub>10</sub> values were  
611 smaller than 2.0 except for in the plant holes, and Q<sub>10</sub> values in the furrows with a low  
612 SWC were smaller than in the ridges. This finding means that the soil respiration was  
613 less sensitive to temperature changes in the water-limited soils, which leads to lower  
614 Q<sub>10</sub> values (Liu *et al.*, 2016a). It was noteworthy that the threshold values of the SWC



615 restraining soil respiration were different in the mulch and non-mulched fields In the  
616 furrows without plastic mulch, the value was 60% of the WFP, which is equivalent to  
617 the former experimental results (Linn & Doran, 1984). However, in the ridges with  
618 plastic mulching, the threshold value was only 50% of the WFP (Fig. 6). This finding  
619 may be because the soil respiration was more sensitive to soil moisture in a lower  
620 temperature range because the soil moisture in ridges was higher than that in the  
621 furrow, while the temperatures were lower than in the furrow. Therefore, the effect of  
622 soil moisture on the soil respiration was confounded with soil temperature (Davidson  
623 *et al.*, 1998).  
624

#### 625 **4.3 Effect of precipitation on soil respiration**

626 From the 48 mm precipitation event, we can see the effect of the soil moisture on  
627 soil respiration in the wetting-drying cycle. An extremely high SWC right after  
628 precipitation significantly restrained the soil respiration, and the effect weakened as  
629 the soil water faded away (Fig. 9), which was the same pattern as with the effect of  
630 SWC on soil respiration in the wetting-drying cycle affected by irrigation. This  
631 finding means that irrigation and precipitation both affect the soil respiration by  
632 affecting the SWC, which affects the activities of the root and microorganisms and the  
633 diffusion of O<sub>2</sub> and the solute (Luo & Zhou, 2006). The soil temperature was also  
634 affected by the change in soil moisture. To affect soil respiration, for example, the  
635 precipitation took one day for the soil respiration to recover from the restrain to a  
636 normal level, while irrigation took four days to recover (Fig. 6, Fig. 8). This  
637 difference occurred because the drip irrigation decreased the soil temperature much  
638 more than the precipitation did as the irrigation water was taken directly from a deep  
639 well which was colder than the precipitation water. Therefore, the effect of soil water  
640 on soil respiration was always confounded by the soil temperature (Davidson *et al.*,  
641 1998).

642 Our results show that the 12 mm precipitation had little effect on the soil moisture  
643 and soil respiration. The 37.8 mm precipitation resulted in soil respiration in the mf  
644 and nmf fields because the precipitation can directly infiltrate into soil in the furrows.  
645 However, this precipitation event restrained soil respiration in the mr and nmr because  
646 the precipitation cannot infiltrate into the soil in the mr but can infiltrate into the nmr.  
647 This difference led the soil moisture in the mr still to decrease without irrigation and  
648 the soil moisture in the nmr to be very high and restrain soil respiration. After the 48  
649 mm precipitation, the soil respirations were all restrained in the ridges and furrows in  
650 the mulched and non-mulched fields as the SWCs were all approaching 0.3 (Fig. 8).  
651 The above arguments indicate that the effect of precipitation on the soil respiration  
652 was determined by the SWC. As the SWC is related to the precipitation amount, the  
653 amount and timing of the precipitation affected the soil respiration by affecting the  
654 SWC.

655 The hydrological responses of precipitation in the field were changed by the



656 plastic mulch and its physical non-permeability to water. Moreover, this barrier was  
657 the reason the precipitation effect on the soil respirations was different in the mulched  
658 and non-mulched fields. For example, the soil respiration in the nmr was larger than  
659 in the mf and mh during 2016. However, the result was contrary in 2014 and 2015.  
660 With little rainfall during 2014 and 2015, the soil moisture in the mf was larger than  
661 in the nmr (Fig. 5). Additionally, the strong evaporation in the nmr without the plastic  
662 mulch protection and the fact that the soil moisture in the mr can horizontally  
663 infiltrate into the mf are considered. The soil temperature in the mf was also larger  
664 than in the nmr (Fig. 7c). These two factors determined that the soil respiration in the  
665 nmr was smaller than in the mf and mh. With more rainfall during 2016, the soil  
666 moisture in the nmr was larger than in the mf considering that the rainfall cannot  
667 penetrate the plastic mulch. Moreover, their temperatures were not as different as with  
668 the effect of irrigation, so the soil respiration in the nmr was larger than in the mf and  
669 mh during 2016. The precipitation resulted in greater soil respiration in the  
670 non-mulched field than in the mulched field, and the amount of soil respiration from  
671 2014 to 2016 increased. Therefore, we can speculate the magnitude at which the  
672 mulch accelerating soil respiration was related to the precipitation amount.

673 Although the precipitation restrained the soil respiration at a high SWC right after  
674 precipitation, the restrain was quickly depleted. Therefore, the precipitation increased  
675 the soil respiration in the mulched and non-mulched fields by improving soil moisture  
676 conditions during the growing season, particularly in an arid area. Moreover, on a  
677 global scale, the soil respiration rates were found to be positively correlated with the  
678 mean annual precipitation (Raich & Schlesinger, 1992) and the soil respiration  
679 increased linearly with the mean annual precipitation (Zhou *et al.*, 2009).

## 680 5. Summary and Conclusions

681 Plastic mulch is now widely used in agriculture around the world due to the  
682 continuous fall in the prices of plastic products and increasing development of plastic  
683 industries, particularly in developing countries, such as China. The changing land  
684 cover with a mass of the PFM field will affect the energy, water and carbon cycle  
685 regionally or globally. However, how plastic mulch affects CO<sub>2</sub> emissions in an  
686 agriculture field remains unclear. This uncertainty is particularly pronounced in arid  
687 areas under the condition of climate changes, such as rising temperatures and shifting  
688 precipitation, which both have severe effects on the soil carbon balance.

689 A comparative experiment was conducted in a plastic mulch drip irrigation field in  
690 an arid area of Northwest China to detect how the soil respiration is affected by  
691 plastic mulch, irrigation and precipitation. The spatial heterogeneity of the  
692 microclimate and soil respiration was enhanced by the plastic mulch. Crop growth  
693 was improved with the improved environmental conditions of the soil temperature  
694 and moisture, which increase respiration of roots and microorganisms with a greater  
695 mineralization and higher litter fall and root biomass. The furrows, plant holes and  
696 plastic mulch were three important pathways for CO<sub>2</sub> emissions in the mulched field.



697 The relationship between the soil respiration and soil temperature was weakened by  
698 frequent irrigation and precipitation. The soil respiration was first restrained and then,  
699 enhanced in a wetting-drying cycle caused by irrigation and precipitation. The soil  
700 respiration in the mulched field was larger than in the non-mulched field, both in the  
701 ridges and furrows during the growing season. This result indicated that the plastic  
702 mulch increased the soil respiration in an arid area. However, it was observed that the  
703 magnitude of the plastic mulch accelerating soil respiration decreased with the  
704 amount of precipitation over three years. Both irrigation and precipitation controlled  
705 the seasonal variation in soil respiration in the mulched field in the arid area. However,  
706 irrigation had the same effect on the soil respiration in the mulched and non-mulched  
707 fields as the drip tapes that were beneath the plastic mulch, while precipitation  
708 primarily affects the soil respiration in the non-mulched field because of the mulch  
709 barrier to precipitation. Moreover, a linear relationship was found between the  
710 differences in the soil respiration of the mulched and non-mulched fields and the  
711 precipitation amount by collecting other studies. With increased precipitation, the  
712 function of the plastic mulch accelerating soil respiration was weakened. This  
713 outcome indicates whether the plastic mulch increasing soil respiration depends on  
714 the climate. In an arid area, the plastic mulch will increase the soil respiration. In a  
715 humid area, the mulch will decrease the soil respiration compared to the non-mulched  
716 field because precipitation increases the soil respiration more in the non-mulched field  
717 than in the mulched field.

718 On the one hand, the plastic mulch will improve crop growth. However, the  
719 approach will also increase CO<sub>2</sub> emissions in an arid area with the increase being  
720 altered by precipitation in the field. With extreme precipitation and the rapid  
721 expansion of the PFM field from natural ecosystems recently occurring in the  
722 Xinjiang Uygur Autonomous Region, the challenges for controlling greenhouse gas  
723 emissions in the arid area is still severe. Plastic mulch and irrigation should be better  
724 depicted in future soil carbon models. Linking the hydrologic and Carbon cycles via  
725 the conservation of water resources is crucial for improving agronomic yields and soil  
726 C sequestration in dryland (Lal, 2004).

## 727 Acknowledgement

728 This research was support by the Ministry of Science and Technology (2016YFC0402701,  
729 2016YFA0601603), the National Science Foundation of China (NSFC 91647205) and the  
730 Foundation of the State Key Lab- oratory of Hydrosience and Engineering of Tsinghua University  
731 (2016-KY-03). We gratefully appreciate their support. We acknowledge the staff at Tsinghua  
732 Universtiy-Korla Oasis Eco-hydrology Experimental Research Station for their kindly help and  
733 assistant. Also, the authors thank Dr. Mohd Yawar Ali Khan for the help with language  
734 improvement.

735 **References**

- 736 Anikwe MaN, Mbah CN, Ezeaku PI, Onyia VN (2007) Tillage and plastic mulch effects on soil properties  
737 and growth and yield of cocoyam (*Colocasia esculenta*) on an ultisol in southeastern Nigeria.  
738 *Soil and Tillage Research*, **93**, 264-272.
- 739 Baker JM, Ochsner TE, Venterea RT, Griffis TJ (2007) Tillage and soil carbon sequestration—What do  
740 we really know? *Agriculture, Ecosystems & Environment*, **118**, 1-5.
- 741 Berger S, Kim Y, Kettering J, Gebauer G (2013) Plastic mulching in agriculture—Friend or foe of N<sub>2</sub>O  
742 emissions? *Agriculture, Ecosystems & Environment*, **167**, 43-51.
- 743 Bi X, Gao Z, Deng X *et al.* (2007) Seasonal and diurnal variations in moisture, heat, and CO<sub>2</sub> fluxes over  
744 grassland in the tropical monsoon region of southern China. *Journal of Geophysical Research*,  
745 **112**.
- 746 Bonan G (2008) *Ecological climatology*, Cambridge.
- 747 Bond-Lamberty B, Thomson A (2010) Temperature-associated increases in the global soil respiration  
748 record. *Nature*, **464**, 579-582.
- 749 Buyanovsky GA, Kucera CL, Wagner GH (1987) Comparative Analyses of Carbon Dynamics in Native  
750 and Cultivated Ecosystems. *Ecology*, **68**, 2023-2031.
- 751 Cox PM, Betts RA, Jones CD, Spall SA, Totterdell IJ (2000) Acceleration of global warming due to  
752 carbon-cycle feedbacks in a coupled climate model. *Nature*, **408**, 184-187.
- 753 Cuello JP, Hwang HY, Gutierrez J, Kim SY, Kim PJ (2015) Impact of plastic film mulching on increasing  
754 greenhouse gas emissions in temperate upland soil during maize cultivation. *Applied Soil  
755 Ecology*, **91**, 48-57.
- 756 Curiel Yuste J, Janssens IA, Carrara A, Ceulemans R (2004) Annual Q<sub>10</sub> of soil respiration reflects plant  
757 phenological patterns as well as temperature sensitivity. *Global Change Biology*, **10**, 161-169.
- 758 Davidson EA, Belk E, Boone RD (1998) Soil water content and temperature as independent or  
759 confounded factors controlling soil respiration in a temperate mixed hardwood forest. *Global  
760 Change Biology*, **4**, 217-227.
- 761 Davidson EA, Janssens IA, Luo Y (2006) On the variability of respiration in terrestrial ecosystems:  
762 moving beyond Q<sub>10</sub>. *Global Change Biology*, **12**, 154-164.
- 763 Dong WY (2010) Review of response mechanism of soil respiration to rainfall. *Chinese Journal of Plant  
764 Ecology*, **34**, 601-610.
- 765 Fang C, Moncrieff JB (2001) The dependence of soil CO<sub>2</sub> efflux on temperature. *Soil Biology and  
766 Biochemistry*, **33**, 155-165.
- 767 Gong D, Hao W, Mei X, Gao X, Liu Q, Caylor K (2015) Warmer and Wetter Soil Stimulates Assimilation  
768 More than Respiration in Rainfed Agricultural Ecosystem on the China Loess Plateau: The Role  
769 of Partial Plastic Film Mulching Tillage. *PLoS ONE*, **10**, e0136578.
- 770 Guo S, Qi Y, Peng Q, Dong Y, He Y, Yan Z, Wang L (2017) Influences of drip and flood irrigation on soil  
771 carbon dioxide emission and soil carbon sequestration of maize cropland in the North China  
772 Plain. *Journal of Arid Land*, **9**, 222-233.
- 773 Hoff JVT (1898) *Lectures on Theoretical and Physical Chemistry. Part 1. Chemical Dynamics*, London.
- 774 Huxman TE, Snyder KA, Tissue D *et al.* (2004) Precipitation pulses and carbon fluxes in semiarid and  
775 arid ecosystems. *Oecologia*, **141**, 254-268.
- 776 Lai L, Wang J, Tian Y *et al.* (2013) Organic Matter and Water Addition Enhance Soil Respiration in an



- 777 Arid Region. PLoS One, **8**, e77659.
- 778 Lal R (2004) Soil Carbon Sequestration Impacts on Global Climate Change and Food Security. Science,  
779 **304**, 1623-1627.
- 780 Li N, Tian F, Hu H, Lu H, Ming G (2016) Effects of Plastic Mulch on Soil Heat Flux and Energy Balance in  
781 a Cotton Field in Northwest China. Atmosphere, **7**, 107.
- 782 Li Z-G, Zhang R-H, Wang X-J, Wang J-P, Zhang C-P, Tian C-Y (2011) Carbon Dioxide Fluxes and  
783 Concentrations in a Cotton Field in Northwestern China: Effects of Plastic Mulching and Drip  
784 Irrigation. Pedosphere, **21**, 178-185.
- 785 Linn DM, Doran JW (1984) Effect of Water-Filled Pore Space on Carbon Dioxide and Nitrous Oxide  
786 Production in Tilled and Nontilled Soils. Soil Science Society of America Journal, **48**,  
787 1267-1272.
- 788 Liu L, Wang X, Lajeunesse MJ *et al.* (2016a) A cross-biome synthesis of soil respiration and its  
789 determinants under simulated precipitation changes. Global Change Biology, **22**, 1394-1405.
- 790 Liu Q, Chen Y, Li W, Liu Y, Han J, Wen X, Liao Y (2016b) Plastic-film mulching and urea types affect soil  
791 CO<sub>2</sub> emissions and grain yield in spring maize on the Loess Plateau, China. Scientific Reports,  
792 **6**, 28150.
- 793 Liu W, Zhang ZHE, Wan S (2009) Predominant role of water in regulating soil and microbial respiration  
794 and their responses to climate change in a semiarid grassland. Global Change Biology, **15**,  
795 184-195.
- 796 Liu X, Wan S, Su B, Hui D, Luo Y (2002) Response of soil CO<sub>2</sub> efflux to water manipulation in a tallgrass  
797 prairie ecosystem. Plant and Soil, **240**, 213-223.
- 798 Luo Y, Zhou X (2006) *soil respiration and the environment*, Elsevier.
- 799 Mahrer Y, Naot O, Rawitz E, Katan J (1984) Temperature and Moisture Regimes in Soils Mulched with  
800 Transparent Polyethylene. Soil Science Society of America Journal, **48**, 362-367.
- 801 Moyano FE, Vasilyeva N, Bouckaert L *et al.* (2012) The moisture response of soil heterotrophic  
802 respiration: interaction with soil properties. Biogeosciences, **9**, 1173-1182.
- 803 Nishimura S, Komada M, Takebe M, Yonemura S, Kato N (2012) Nitrous oxide evolved from soil  
804 covered with plastic mulch film in horticultural field. Biology and Fertility of Soils, **48**,  
805 787-795.
- 806 Okuda H, Noda K, Sawamoto T, Tsuruta H, Hirabayashi T, Yonemoto JY, Yagi K (2007) Emission of N<sub>2</sub>O  
807 and CO<sub>2</sub> and Uptake of CH<sub>4</sub> in Soil from a Satsuma Mandarin Orchard under Mulching  
808 Cultivation in Central Japan. Journal of the Japanese Society for Horticultural Science, **76**,  
809 279-287.
- 810 Qian-Bing Z, Ling Y, Jin W, Hong-Hai L, Ya-Li Z, Wang-Feng Z (2012) Effects of Different Irrigation  
811 Methods and Fertilization Measures on Soil Respiration and Its Component Contrib.  
812 Scientia Agricultura Sinica, **45**, 2420-2430.
- 813 Raich JW, Schlesinger WH (1992) The global carbon dioxide flux in soil respiration and its relationship  
814 to vegetation and climate. Tellus B, **44**, 81-99.
- 815 Raich JW, Tufekciogul A (2000) Vegetation and soil respiration: Correlations and controls.  
816 Biogeochemistry, **48**, 71-90.
- 817 Reichstein M, Beer C (2008) Soil respiration across scales: The importance of a model–data integration  
818 framework for data interpretation. Journal of Plant Nutrition and Soil Science, **171**, 344-354.
- 819 Tarara JM (2000) Microclimate modification with plastic mulch. HortScience, **35**, 180.
- 820 Tian F, Yang P, Hu H, Dai C (2016) Partitioning of Cotton Field Evapotranspiration under Mulched Drip



- 821 Irrigation Based on a Dual Crop Coefficient Model. *Water*, **8**, 72.
- 822 Wang YP, Li XG, Fu T, Wang L, Turner NC, Siddique KHM, Li F-M (2016) Multi-site assessment of the  
823 effects of plastic-film mulch on the soil organic carbon balance in semiarid areas of China.  
824 *Agricultural and Forest Meteorology*, **228–229**, 42-51.
- 825 Xiang G, Gong D, Fengxue G (2014) Inhibiting soil respiration and improving yield of spring maize in  
826 fields with plastic film mulching. *Transaction of the Chinese Society of Agricultural  
827 Engineering*, **30**, 62-70.
- 828 Xu L, Baldocchi DD, Tang J (2004) How soil moisture, rain pulses, and growth alter the response of  
829 ecosystem respiration to temperature. *Global Biogeochemical Cycles*, **18**, n/a-n/a.
- 830 Yaghi T, Arslan A, Naoum F (2013) Cucumber (*Cucumis sativus*, L.) water use efficiency (WUE) under  
831 plastic mulch and drip irrigation. *Agricultural Water Management*, **128**, 149-157.
- 832 Yan M, Zhou G, Zhang X (2014) Effects of irrigation on the soil CO<sub>2</sub> efflux from different poplar clone  
833 plantations in arid northwest China. *Plant and Soil*, **375**, 89-97.
- 834 Yang P, Hu H, Tian F, Zhang Z, Dai C (2016) Crop coefficient for cotton under plastic mulch and drip  
835 irrigation based on eddy covariance observation in an arid area of northwestern China.  
836 *Agricultural Water Management*, **171**, 21-30.
- 837 Yu Y, Zhao C, Stahr K, Zhao X, Jia H, De Varennes A (2016) Plastic mulching increased soil  
838 CO<sub>2</sub> concentration and emissions from an oasis cotton field in Central Asia. *Soil Use and  
839 Management*, **32**, 230-239.
- 840 Zeng N, Zhao F, Collatz GJ, Kalnay E, Salawitch RJ, West TO, Guanter L (2014) Agricultural Green  
841 Revolution as a driver of increasing atmospheric CO<sub>2</sub> seasonal amplitude. *Nature*, **515**,  
842 394-397.
- 843 Zhang Z, Hu H, Tian F, Yao X, Sivapalan M (2014) Groundwater dynamics under water-saving irrigation  
844 and implications for sustainable water management in an oasis: Tarim River basin of western  
845 China. *Hydrology and Earth System Sciences*, **18**, 3951-3967.
- 846 Zhang Z, Tian F, Hu H (2011) Spatial and temporal pattern of soil temperature in cotton field under  
847 mulched drip irrigation condition in Xinjiang. *Trans. CSAE*, **2011**, 44-51.
- 848 Zhou X, Talley M, Luo Y (2009) Biomass, Litter, and Soil Respiration Along a Precipitation Gradient in  
849 Southern Great Plains, USA. *Ecosystems*, **12**, 1369-1380.
- 850