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2 **Precipitation alters plastic film mulching impacts on**
3 **soil respiration in an arid area of northwest China**

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21 **Abstract:** Plastic film mulching (PFM) has widely been used around the world to save
22 water and improve crop yield. However, the effect of PFM on soil respiration (R_s)
23 remains unclear and could be further confounded by irrigation and precipitation. To
24 address these topics, controlled experiments were conducted in mulched and non-
25 mulched fields under drip irrigation from 2014 to 2016 in an arid area of the Xinjiang
26 Uygur Autonomous Region, northwest China. The spatiotemporal pattern of soil
27 surface CO₂ flux as an index of soil respiration under drip irrigation with PFM was
28 investigated, and the confounded effects of PFM and irrigation/precipitation on soil
29 respiration were explored. The main findings were as follows: (1) Furrows, planting
30 holes, and plastic mulch are three important pathways of soil CO₂ emissions in mulched
31 fields, of which the planting hole efflux outweighs that from the furrow, with the largest
32 values of 8.0 $\mu\text{mol m}^{-2} \text{s}^{-1}$ and 6.6 $\mu\text{mol m}^{-2} \text{s}^{-1}$, respectively, and the plastic mulch itself
33 can emit up to 3.6 $\mu\text{mol m}^{-2} \text{s}^{-1}$ of CO₂. (2) The frequent application of water (i.e.,
34 through irrigation and precipitation) elevates soil moisture and soil respiration and
35 enhances their variation. The resultant higher variation of soil moisture further
36 alleviates the sensitivity of soil respiration to soil temperature, leading to a weak
37 correlation and lower Q_{10} values. (3) Soil CO₂ effluxes from furrows and ridges in
38 mulched fields outweigh the corresponding values in non-mulched fields in arid areas.
39 However, this outweighing relation attenuates with increasing precipitation.
40 Furthermore, by combining our results with those from the literature, we show that the
41 difference in soil CO₂ effluxes between non-mulched and mulched fields presents a
42 linear relation with the amount of precipitation, which results in negative values in arid
43 areas and positive values in humid areas. Therefore, whether PFM increases soil
44 respiration or not depends on the amount of precipitation during the crop growing
45 season.

46 **Keywords:** plastic film mulching; soil respiration; spatial variation; irrigation;
47 precipitation

48

49 **1. Introduction**

50 Soil respiration (R_s), the flux of microbe- and plant-respired CO_2 from the soil surface
51 to the atmosphere, represents the second largest CO_2 flux of the terrestrial biosphere
52 following gross primary productivity (GPP) and amounts to 10 times the current rate of
53 fossil-fuel combustion (Bond-Lamberty and Thomson, 2010; Davidson et al., 2006; Liu
54 et al., 2016a; Reichstein and Beer, 2008). Anthropogenic activities, particularly
55 agriculture expansion and changes in cultivation practices, have brought significant
56 challenges to the control of CO_2 emissions in association with climate change (Baker
57 et al., 2007). The conversion of natural to agricultural ecosystems has been recognized
58 to cause a depletion of the soil organic carbon pool by as much as 60% (Lal, 2004), and
59 soil respiration in agricultural ecosystems is relatively greater than that in natural
60 ecosystems due to intensive cultivation (Buyanovsky et al., 1987; Raich and
61 Tufekciogul, 2000).

62 A particular example is plastic film mulching (PFM), which was invented as an
63 advanced agriculture cultivation technology for saving water and improving crop yield
64 in the 1950s and has since been widely applied around the world, e.g., in the tropical
65 USA, Europe, South Korea and China. For instance, approximately 19% of the total
66 arable land (130 million ha) in China was cultivated using PFM in 2014, while 0.85%
67 of the arable land around the world was cultivated using this method (Wang et al.,
68 2016b). Specifically, the PFM area has reached 1.2 million ha in the arid Xinjiang
69 Uygur Autonomous Region, northwest China (Zhang et al., 2014). In a PFM field, this
70 new method may alter the albedo, soil temperature, soil moisture, and crop growth
71 conditions (Zhang et al., 2011), all of which can affect both heterotrophic and
72 autotrophic respiration. Furthermore, the large-scale application of PFM may alter the
73 regional climate, hydrologic cycle, and carbon cycle (Bonan, 2008; Li et al., 2016; Cox
74 et al., 2000). Therefore, detecting the altered environmental conditions and CO_2
75 emissions in PFM fields is crucial for the maintenance of regional and global soil
76 carbon balances under the conditions of global climate change.

77 Only a few studies have addressed CO₂ emissions in PFM fields, and they have
78 provided contrasting results. For example, Yu et al. (2016) showed that the CO₂
79 emissions from the soil surface in a mulched field in southern Xinjiang Uygur
80 Autonomous Region of China increased by 8% relative to a non-mulched field and that
81 this increase mainly originates from furrows rather than ridges (please see Fig. 1 below
82 for the configuration of furrows, ridges, planting holes, mulch, etc.). However, Li et al.
83 (2011) found that the CO₂ concentrations in soil profiles are higher in mulched fields,
84 but the soil CO₂ efflux decreases by 21% relative to that in non-mulched fields in the
85 northern Xinjiang Uygur Autonomous Region of China. Similar results showing that
86 PFM decreased CO₂ emissions were also found on the Loess Plateau of China (Xiang
87 et al., 2014), in southwest China (Lei, 2016) and in a temperate monsoon climate area
88 in Japan (Okuda et al., 2007). When investigating the emission pathways for
89 greenhouse gases in the field, Berger et al. (2013) found that planting holes and furrows
90 are important pathways for N₂O emissions in mulched ridges. In addition, Nishimura
91 et al. (2012) revealed in a laboratory experiment that N₂O gradually permeates the
92 plastic mulch. These findings indicate that the pathways for gas emissions in a mulched
93 field may include furrows, planting holes and plastic mulch, which have not been
94 evaluated in terms of soil CO₂ efflux in PFM fields. Some experimental studies have
95 simply interpreted the soil respiration from furrows as the field averaged flux (Qian-
96 Bing et al., 2012; Liu et al., 2016b), which may lead to the underestimation of soil
97 respiration flux because ridges usually emit more CO₂ than furrows.

98 In addition, irrigation and precipitation are also crucial to soil respiration due to the
99 nature of the effects of moisture limitation on soil respiration in arid and semiarid
100 regions, to which less attention has been paid. After irrigation and precipitation, soil
101 moisture undergoes a wetting-drying cycle that affects soil porosity and influences the
102 activities of root biomass and microorganisms, which control the soil carbon dynamics
103 (Yan et al., 2014). Both the intensity and amount of irrigation/precipitation affect soil
104 respiration. A small number of studies have indicated that the soil respiration rate in a
105 drip irrigation field is greater than that in a flood irrigation field (Guo et al., 2017; Qian-

106 Bing et al., 2012). Plastic film mulching can modify the hydrological processes affected
107 by precipitation or irrigation in different ways and may further impact soil respiration.
108 For example, rainwater cannot infiltrate into ridges in a mulched field due to the barrier
109 provided by plastic mulch, which, however, can cause an additional soil moisture
110 increase in furrows. In contrast, the infiltration of irrigation water principally occurs in
111 ridges under drip irrigation, as drip tapes are placed beneath the plastic mulch. The
112 different impacts of PFM on the distribution of soil moisture caused by precipitation or
113 irrigation may further have different influences on soil respiration. To the best of our
114 knowledge, however, such different influences of PFM on soil respiration in terms of
115 irrigation or precipitation have not yet been explored.

116 The main objective of this study was therefore to address the effect of PFM on soil
117 respiration and the confounding influences of irrigation and precipitation. Controlled
118 experiments under mulched and non-mulched drip irrigation conditions were conducted
119 in a cotton field in the arid area of the Xinjiang Uygur Autonomous Region, northwest
120 China. The soil respiration in different locations in mulched and non-mulched fields
121 was continuously monitored in the growing seasons from 2014 to 2016. Based on the
122 results from the experiment, we addressed the following questions: (1) What is the
123 spatiotemporal pattern of soil respiration in a PFM field? (2) How does PFM affect soil
124 respiration through its alteration of soil temperature and moisture? and (3) What are the
125 confounding effects of irrigation/precipitation and PFM on soil respiration?

126 **2. Study Area and Methods**

127 **2.1 Study area**

128 The experimental field site (86°12' E, 41°36' N; 886 m above sea level) is located in
129 one of the oases scattered on the alluvial plain of the Kaidu-Kongqi River (a tributary
130 of the Tarim River) Basin, north of the Taklamakan Desert in the Xinjiang Uygur
131 Autonomous Region of northwest China. This region has a temperate continental
132 climate, with a mean annual precipitation of 60 mm, mean annual temperature of

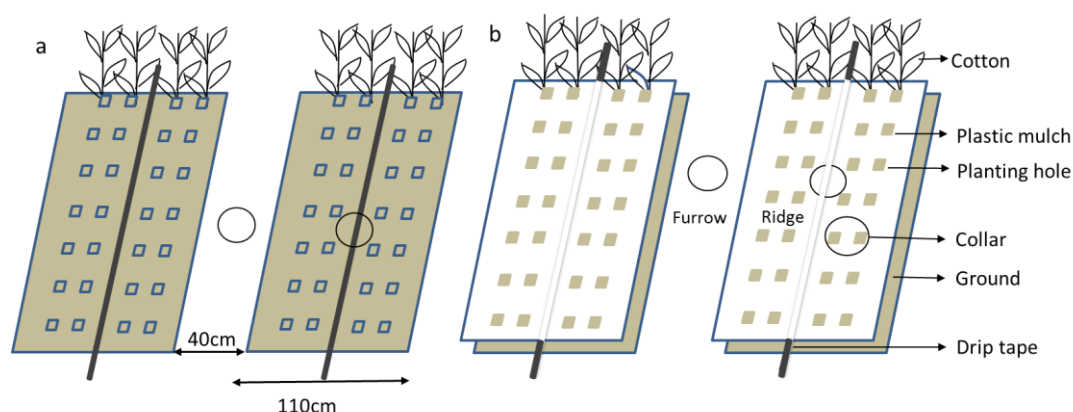
133 11.48°C, and mean annual water surface evaporation of 2,788 mm, as measured using
134 a 20 cm diameter pan. The annual sunshine duration is 3,036 hours, which is favourable
135 for cotton (*Gossypium hirsutum* L.) growth. The experimental field covers an area of
136 3.48 ha. The major soil texture in the field is silt loam, the contents of the sand, silt and
137 clay separates are 32.8%, 62.4% and 4.8%, respectively, and the soil bulk density
138 ranges from 1.4 g cm⁻³ to 1.64 g cm⁻³ in the 1.5 m soil profile. The soil porosity is 0.42,
139 which was directly determined in the laboratory using undisturbed soil columns
140 collected in the experimental field.

141 Cotton is usually sown in April and harvested during October and November, i.e.,
142 the growing season is from approximately DOY (day of the year) 100 to 300. The
143 planting style is ‘one film, one drip pipe beneath the film and four rows of cotton above
144 the film’, as depicted in Fig. 1. The plastic film (0.008 mm thick) was white and made
145 of dense and airtight transparent polyethylene film. The width of the film was 1.1 m,
146 and the inter-film zone was 0.4 m. Before sowing, small, square holes (2 cm length and
147 width) were cut in the plastic film in rows at 0.1 m intervals for germination, seeds were
148 placed in the holes, and each hole was covered with soil. The planting density was
149 approximately 160,000 plants per ha. The basic fertilizer that was applied annually
150 before sowing included 173 kg ha⁻¹ of compound fertilizers (14% N, 16% P₂O₅, and
151 15% K₂O), 518 kg ha⁻¹ of calcium superphosphate (18% N and 40% P₂O₅) and 288 kg
152 ha⁻¹ of diammonium phosphate (P₂O₅>16%). Supplemental fertilizers applied during
153 the growing season included approximately 292 kg ha⁻¹ of urea (46% N), 586 kg ha⁻¹
154 of drip compound fertilizer (13% N, 18% P₂O₅, and 16% K₂O) and foliar fertilizer
155 (P₂O₅>52% and K₂O>34%). Drip irrigation usually began on June 12 in the bud stage,
156 with an approximate amount of 20-50 mm during each application and 9-12
157 applications per growing season. The annual irrigation amount was 500-600 mm.

158 **2.2 Experimental setup**

159 This study focuses on the growing season, as soil respiration in the non-growing
160 season is extremely low. The mulched and non-mulched treatments were arranged in a

161 randomized block design with three replicates in the same field with the same
 162 fertilization and irrigation scheme from the year 2014 to 2016. The plastic mulch had
 163 been covered until the seed germinated in the non-mulched treatment to protect the
 164 germinating seeds. The experiments began approximately before the bud stage, when
 165 the cotton began to grow faster. The dates of the beginning of the experiments were
 166 DOY 184, 175, 167, and the length of the measured periods were 95, 60, 100 days,
 167 respectively. Soil respiration measurements were carried out using an LI-8100A (LI-
 168 COR, Inc., Lincoln, Nebraska) on a day between two irrigation events. Therefore, soil
 169 respiration was measured approximately every week during the cotton-growing season.
 170 The automated soil CO₂ flux measurement system consisted of two parts, PVC collars
 171 (10 cm in diameter and 5 cm in height) and a measuring chamber. The PVC collars
 172 were inserted 2-3 cm into the soil by removing the living plants and litter within the
 173 collars at least 1 day before the measurements. Data were recorded using the data logger
 174 in the LI-8100A system.



175
 176 Fig. 1. Schematic drawing of the experimental configuration for (a) a non-mulched field and (b) a mulched field.

177 The soil respiration was measured in the following areas: the furrow and ridge in the
 178 non-mulched treatment and the furrow, planting hole, and plastic mulch in the mulched
 179 treatment in 2016 (see Fig. 1 for the experimental configuration). Soil respiration was
 180 measured in the furrow in the mulched treatment and in the ridge in the non-mulched
 181 treatment in 2014, and it was measured in the furrow in the mulched treatment and in
 182 both the furrow and ridge in the non-mulched treatment in 2015. The measurements
 183 were performed every 2 hours during the experimental day from 8:00 to 24:00. To

184 measure the soil respiration at the soil surface without film covering (i.e., the furrows
185 in the mulched and non-mulched fields and the non-mulched ridges), the PVC collars
186 were inserted directly into the soil. Before measuring the CO₂ emissions through the
187 planting holes, the PVC collars were inserted into the soil covering two planting holes,
188 and Scotch Tape was used to seal the interspaces between the plastic mulch and collar
189 to prevent air leakage. To measure the CO₂ emissions through the plastic mulch, PVC
190 collars were buried into the soil under the mulch, with Scotch Tape sealing the
191 interspaces. Detailed measurement methods are further described in Berger et al. (2013).
192 The soil temperature and soil moisture adjacent to each PVC collar at a depth of 5 cm
193 were monitored using the auxiliary sensors of the LI-8100A concurrently with the soil
194 CO₂ flux measurements. The amount of drip irrigation was determined using water
195 meters installed on the branch pipes of the drip irrigation system. The precipitation was
196 measured using a tipping bucket rain gauge (model TE525MM, Campbell Scientific
197 Inc., Logan, UT, USA), which was mounted 0.7 m above the ground.

198 **2.3 Data analysis**

199 The soil respiration from different areas at a particular time of a day was calculated
200 as the average of three replicates. The daily mean R_s was calculated as the average R_s
201 measured at various times in a day. The R_s in the mulched ridges was calculated based
202 on the area ratio of R_s measured through the planting holes and the plastic mulch:

$$203 \quad R_{r-m} = R_{h-m} * A_{h-m} + R_{p-m} * A_{p-m} \quad (1)$$

204 where R_{h-m} and R_{p-m} represent the soil respiration from the planting hole and plastic
205 mulch, respectively, and constitute the soil respiration in the ridge (R_{r-m}). The term A
206 represents the area ratio of the different parts, and A_{h-m} and A_{p-m} are 0.3 and 0.7,
207 respectively, in our field.

208 The seasonal accumulative R_s in the ridges and furrows was calculated by summing
209 the R_s values over the measurement period (Yu et al., 2016; Berger et al., 2013). The soil
210 respiration in plastic mulched and non-mulched fields was calculated based on the area
211 ratio of R_s through ridges and furrows:

212
$$R_m = R_{r-m} * A_{r-m} + R_{f-m} * A_{f-m} \quad (2)$$

213
$$R_{nm} = R_{r-nm} * A_{r-m} + R_{f-nm} * A_{f-m} \quad (3)$$

214 where R_m and R_{nm} represent soil respiration in mulched and non-mulched fields,
 215 respectively, and A_{r-m} and A_{f-m} are the area ratios of the ridge and furrow, respectively,
 216 which are the same for mulched and non-mulched fields and are 0.73 and 0.27,
 217 respectively, in our field.

218 t -tests were used to test the significance of differences between the R_s values from
 219 the furrows and ridges of mulched and non-mulched fields.

220 The relationships between R_s and soil temperature and moisture were analysed
 221 using regression analysis in SPSS (Statistical Package for the Social Sciences) software.
 222 The van't Hoff equation was used to represent the relationship of R_s with soil
 223 temperature (Hoff, 1898):

224
$$R_s = Ae^{bT} \quad (4)$$

225 where R_s represents the soil respiration, T represents the soil temperature, and A is the
 226 intercept of soil respiration when the soil temperature is 0 °C (i.e., reference soil
 227 respiration). Moreover, b represents the temperature sensitivity of soil respiration. The
 228 Q_{10} value, which describes the change in soil respiration over a 10 °C increase in soil
 229 temperature, is calculated as

230
$$Q_{10} = e^{10b} \quad (5)$$

231 Considering that low and high values of soil water content both limit soil respiration,
 232 we adopted a quadratic equation to simulate the effect of soil moisture on soil
 233 respiration according to Davidson et al. (1998):

234
$$R_s = aSWC^2 + bSWC + c \quad (6)$$

235 where SWC is the soil water content, and a, b, and c are regressed parameters.

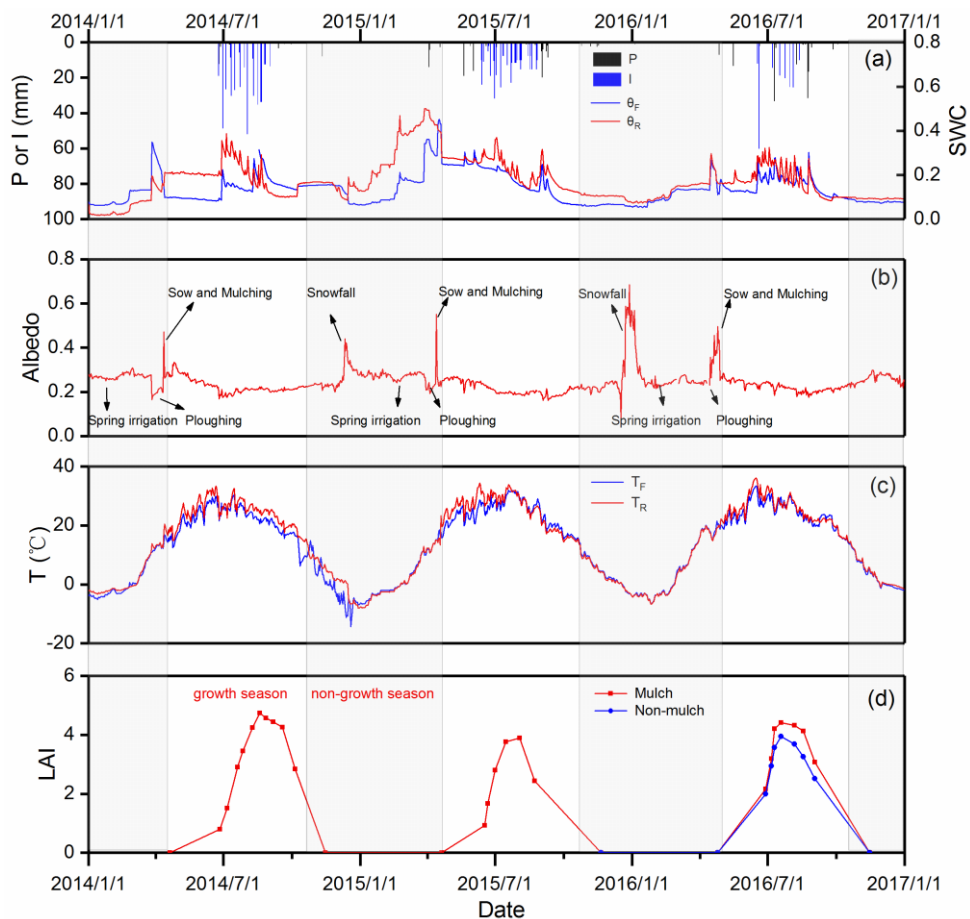
236 **3. Results**

237 **3.1 Environmental factors and crop growth**

238 Fig. 2 shows the dynamics of albedo, soil moisture, soil temperature, and cotton

239 leaf area index (LAI), which suggest that these environmental factors and crop growth
 240 conditions are modified by PFM and other cultivation practices. Other than two
 241 snowfall events that occurred in January 2015 and January 2016 and elevated the albedo
 242 beyond 0.4, the albedo was altered by cultivation, as shown in Fig. 2(b). In early March,
 243 it was increased by the spring irrigation conducted one month before sowing. Then, it
 244 was decreased by ploughing several days before mulching on approximately April 20.
 245 After plastic mulching in April, the surface albedo showed a sudden rise and then slowly
 246 decreased with crop canopy development. In general, the albedo reached its minimum
 247 value along with the highest value of LAI during the bud stage in August and then
 248 increased very slowly with leaf fall.

249



250

251 Fig. 2. Environmental factors and crop growth in the PFM field under drip irrigation; (a) SWC in the ridge (θ_R) and
 252 furrow (θ_F) affected by irrigation and precipitation; (b) albedo affected by cultivation practices and snowfall in the
 253 mulched field; (c) T (soil temperature) in the furrow (T_F) and ridge (T_R) in the mulched field; (d) LAI in the mulched

254 and non-mulched fields (comparative LAI measurements were only conducted in 2016). The shaded area indicates
255 the non-growing season.

256

257 The spatial distributions of soil moisture and soil temperature were both affected by
258 plastic mulching. As shown in Fig. 2(a), the soil moisture in ridges was mostly higher
259 than that in furrows from the effect of frequent drip irrigation. Fig. 2(c) shows that the
260 soil temperature in the mulched ridge was higher than that in the open furrow. However,
261 in the later growth stages, the soil temperature in the furrow became similar to or even
262 exceeded that in the ridge due to canopy development.

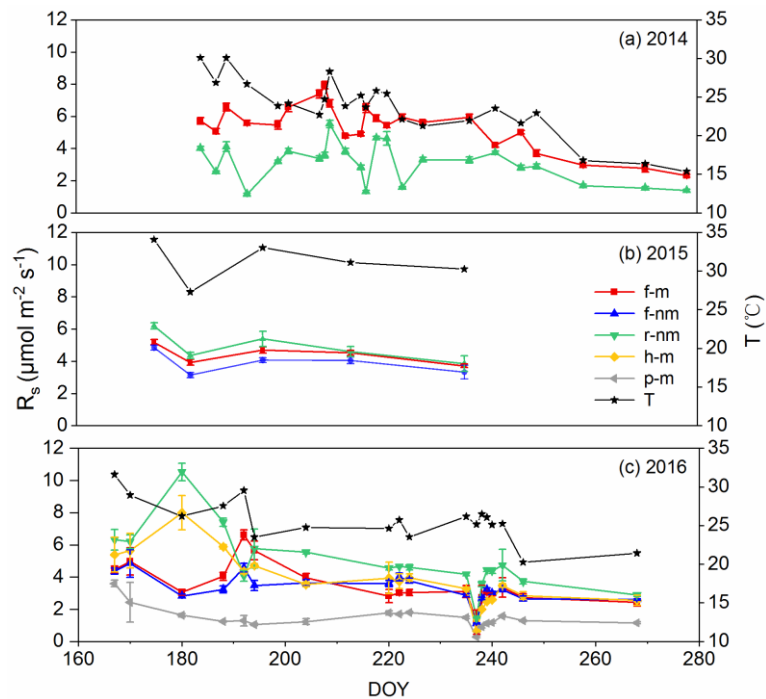
263 Plastic film mulching can also affect plant phenology. As shown in Fig. 2(d), the
264 LAI began to increase with seed germination, reached its maximum value during the
265 bud stage in August, and then decreased with leaf fall. The LAI in the mulched field
266 was higher than that in the non-mulched field during the comparative experiment year
267 of 2016, particularly in the vigorous growing stages.

268 **3.2 Seasonal and spatial variations in soil respiration**

269 As shown in Fig. 3, the magnitude and amplitude of R_s were rather different in
270 different years. For example, the soil respiration fluxes in the non-mulched ridges were
271 $1-6 \mu\text{mol m}^{-2} \text{s}^{-1}$, $4-7 \mu\text{mol m}^{-2} \text{s}^{-1}$ and $3-11 \mu\text{mol m}^{-2} \text{s}^{-1}$, respectively, in the three years.
272 The seasonal variation in R_s was generally mostly affected by soil temperature
273 dynamics (this correlation will be further addressed in Section 3.4), although some
274 anomalies occurred. For example, on DOY 180 in 2016, the R_s rates in the non-mulched
275 ridge and planting hole reached peak values, while those in the furrows in both the
276 mulched and non-mulched fields were fairly low. On the following DOY 192, however,
277 the situation was reversed, and on DOY 235, all R_s fluxes experienced an abnormal
278 declining and then rising cycle. These anomalies may be related to the SWC dynamics
279 caused by irrigation and precipitation, which will be further explained in Sections 3.5
280 and 3.6.

281 R_s showed significant spatial variability at the field scale. As shown in Fig. 3, the

282 results in 2015 and 2016 indicated a consistently higher soil CO₂ emission rate from
 283 the ridge than from the furrow in the non-mulched field. In the mulched field, as
 284 indicated by Fig. 3(c), the R_s from the plastic film was very low, while the rate from the
 285 planting hole was higher than that from the furrow most of the time. For the R_s from
 286 the furrow, its rate in the mulched field generally exceeded that in the non-mulched
 287 field in 2015 and 2016 except on DOY 222 in 2016, which occurred just after a 12.8
 288 mm rainfall event, as shown in Fig. 8.



289
 290 Fig. 3. Spatiotemporal variation in soil respiration in mulched and non-mulched fields over the three years. The
 291 whiskers represent the standard deviation of three replicate R_s measurements (f-m, h-m and p-m represent furrow,
 292 planting hole, and plastic mulch in the mulched field, respectively; f-nm and r-nm represent the furrow and ridge in
 293 the non-mulched field, respectively; T represents soil temperature).

294 3.3 Comparison of soil respiration in the mulched and non- 295 mulched fields

296 Fig. 4 depicts the seasonal accumulative R_s and precipitation over the three
 297 experimental years. To be noted, the R_s from the mulched ridge is the area-weighted
 298 summation of the terms from the plastic mulch and planting holes. A prominent feature

299 of the figure is that the R_s fluxes over the ridge and furrow in the mulched field are
 300 consistently larger than the corresponding values in the non-mulched field. However,
 301 this magnitude relation was not significant in the furrow in 2015 and 2016 or in the
 302 ridge in 2016 at a significance level of 0.05 (Table 1). Overall, the seasonal average R_s
 303 was 444.69 g C m⁻² in the mulched field and 359.9 g C m⁻² in the non-mulched field
 304 during the growing season over the three years. The accumulative R_s in the mulched
 305 field was indeed significantly larger than that in the non-mulched field in the years of
 306 2014 and 2015. However, for the year of 2016, with a substantial precipitation amount
 307 of 130 mm, the positive deviation of the mulched field R_s was not significant.

308 Additionally, the difference in the furrow R_s between the mulched and non-mulched
 309 field was smaller than the difference in the ridge over all the three years, and the
 310 magnitude of such differences decreased from 2014 to 2016. To be noted, the seasonal
 311 precipitation presented an increasing trend from 2014 to 2016. This indicates that more
 312 precipitation tends to eliminate the R_s differences between mulched and non-mulched
 313 fields.

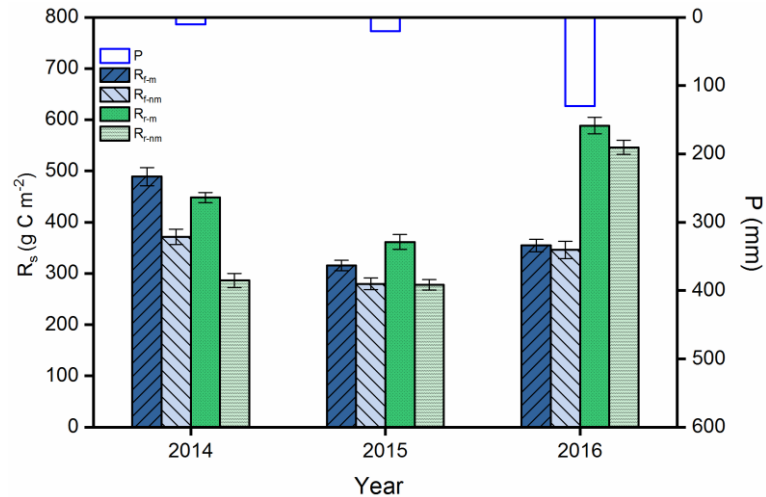
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315 Table 1 *t*-test of significance for soil respiration in furrows and ridges and total soil respiration between mulched and
 316 non-mulched fields (R_m and R_{nm} represent the total soil respiration in mulched and non-mulched fields, respectively).

317 *df* represents the degrees of freedom, and $t_{0.05(4)}$ is the *t* value at a significance value of 0.05 and a *df* of 4.

Year	R_{f-m}/R_{f-nm}	R_{r-m}/R_{r-nm}	R_m/R_{nm}	<i>df</i>	$t_{0.05(4)}$
2014	4.92	9.27	7.87	4	2.776
2015	2.25	4.59	4.04		
2016	0.40	1.91	1.52		

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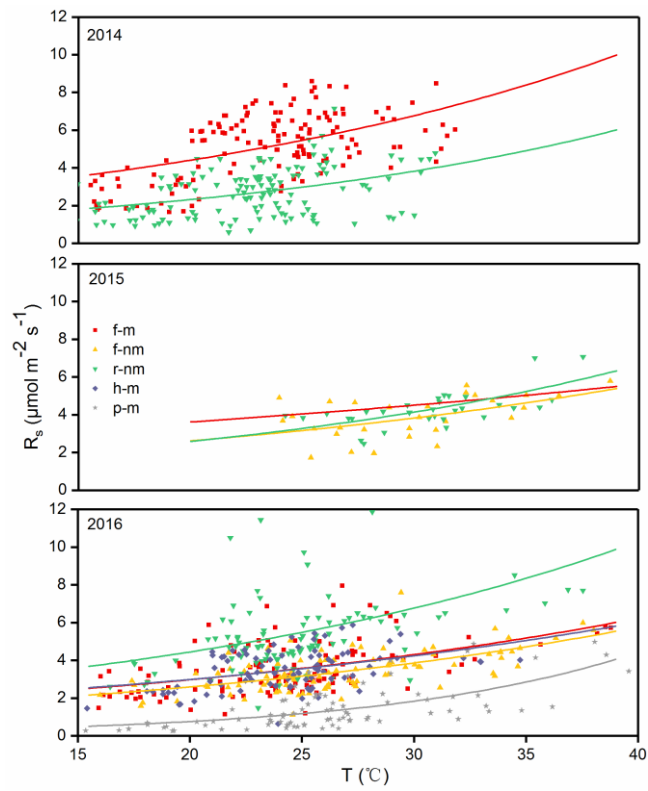
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320 Fig. 4 Seasonal accumulative soil respiration and precipitation over the three experimental years. The whiskers
 321 represent standard deviations (f-m and r-m represent the furrow and ridge in the mulched field, respectively; f-nm
 322 and r-nm represent the furrow and ridge in the non-mulched field, respectively).

323 3.4 Functional relations between soil respiration and soil 324 temperature

325 All R_s fluxes in the different locations in the mulched and non-mulched fields
 326 showed increasing trends with temperature (Fig. 5), which were fitted using the
 327 exponential equation described in Section 2.3. However, their correlations are very
 328 weak and vary with location and time. The furrow possessed a higher R^2 than the ridge
 329 because of the relatively stable soil moisture in the furrow. Additionally, the Q_{10} values
 330 in the furrows were much lower than those in the ridges.

331



332

333 Fig. 5. Relations between soil respiration and soil temperature at different locations in the mulched and non-mulched

334 fields. The data represent the means \pm standard deviations (SDs) of three replicates. The regression lines for the

335 different locations were fitted using Equation (4), and the regression equations are shown in Table 2 (f-m, h-m, and

336 p-m represent furrow, planting hole, and plastic mulch, respectively; f-nm and r-nm represent furrow and ridge in

337 non-mulched fields, respectively).

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349 Table 2 Parameters for the fitted exponential equations relating soil respiration and soil temperature for different
 350 locations in the mulched and non-mulched fields (refer to Equations (4) and (5))

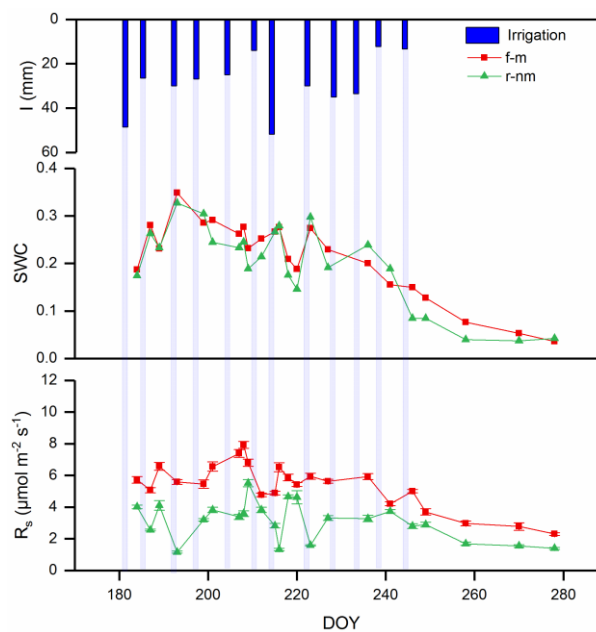
Year	Parameters	f-m	f-nm	r-nm	h-m	p-m
2014	a	1.87		0.86		
	b	0.04		0.05		
	Q_{10}	1.54		1.65		
	R^2	0.29		0.18		
2015	a	2.33	1.23	1.01		
	b	0.02	0.04	0.05		
	Q_{10}	1.25	1.46	1.60		
	R^2	0.18	0.27	0.43		
2016	a	1.42	1.16	1.92	1.48	0.13
	b	0.04	0.04	0.04	0.04	0.09
	Q_{10}	1.45	1.49	1.52	1.42	2.41
	R^2	0.23	0.39	0.20	0.18	0.44

351 3.5 Irrigation and soil respiration

352 The year 2014 was chosen to investigate the response of R_s to irrigation because of
 353 the very low number of precipitation events occurring in this year, and the results are
 354 shown in Fig. 6. It is clear that the soil moisture in the non-mulched ridge was always
 355 lower than that in the furrow in the mulched field except for some days immediately
 356 following irrigation. Relatively higher soil moisture favours soil respiration, and the R_s
 357 in the furrow in the mulched field was consequently always higher than that in the non-
 358 mulched ridge. Another dominant feature shown in Fig. 6 is the quick response of soil
 359 moisture and R_s to irrigation. The soil moisture experienced a quick increase after
 360 irrigation, while the R_s underwent a decline, which indicates that too much water in soil
 361 may restrain its respiration. Due to the configuration of the drip tape and plastic mulch,
 362 the soil moisture and respiration in the ridges of the mulched and non-mulched fields

363 experienced similar but more drastic variations than those in the furrow.

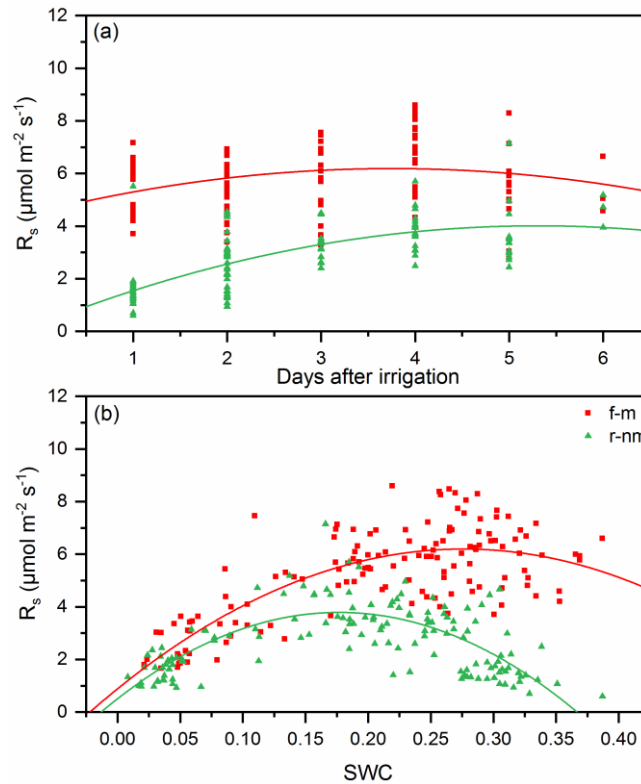
364 To investigate the response of R_s to irrigation in more detail, the R_s dynamics across
365 an irrigation cycle were explored. As R_s measurements were conducted on random dates
366 between two irrigation events, data from different days after irrigation were collected
367 to analyse the R_s variation. The effect of irrigation is presented by plotting R_s versus the
368 number of days after irrigation during an irrigation cycle of approximately 6 days. The
369 results in Fig. 7(a) show again that the R_s rate in the non-mulched ridge was extremely
370 low immediately after irrigation and then slowly recovered, while irrigation had almost
371 no influence on soil respiration in the furrow of the mulched field. The R_s rates from
372 both the furrow and ridge reached maximum values on the fourth day after irrigation
373 and then began to decrease over the soil drying process. The relation between R_s and
374 soil moisture can be expressed in the form of a binomial equation, as shown in Fig. 7(b),
375 which indicates that R_s is very low in dry soil and increases with soil moisture. However,
376 R_s shows a declining trend when soil moisture exceeds a certain threshold. The
377 threshold is approximately 0.25 in the furrow of the mulched field and approximately
378 0.2 in the non-mulched ridge. The above thresholds are approximately 60% and 50%
379 of the water-filled pore space (WFP), respectively.



380

381 Fig. 6. The responses of soil moisture and respiration to irrigation at different locations in the mulched and non-

382 mulched fields in 2014 (f-m and r-nm represent the furrow in the mulched field and the ridge in the non-mulched
383 field, respectively).



384
385 Fig. 7. Influence of irrigation on soil respiration. (a) Variation in soil respiration with number of days after irrigation.
386 (b) Relation between soil respiration and soil moisture (regression lines are fitted with the binomial equation in
387 Equation (6). f-m and r-nm represent the furrow in the mulched field and the ridge in the non-mulched field,
388 respectively.

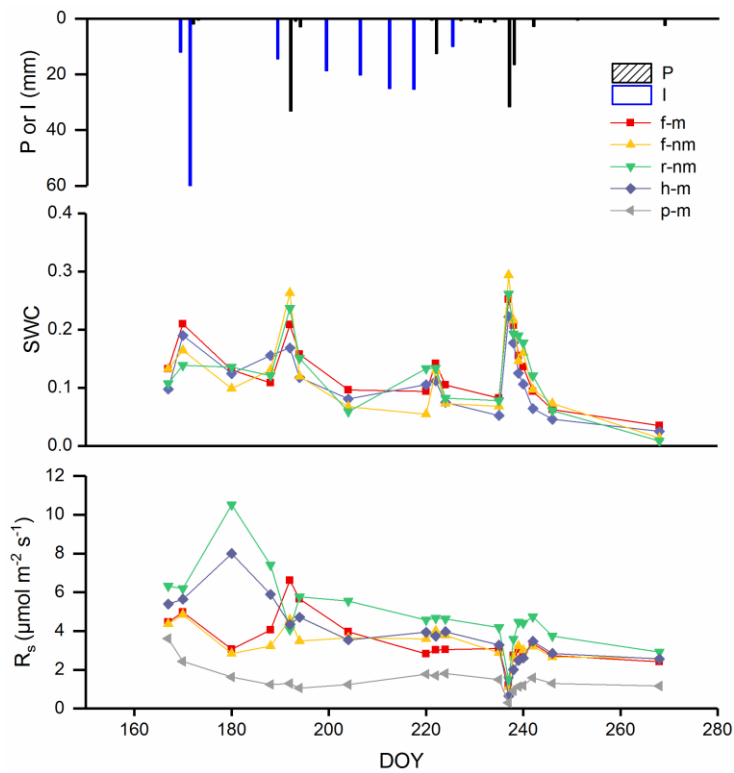
389 3.6 Precipitation and soil respiration

390 The year 2016 was chosen to investigate the response of soil respiration to
391 precipitation because a significant amount of rainfall occurred in this year. As shown in
392 Fig. 8, R_s exhibited similar responses to irrigation in the planting hole and plastic mulch
393 and non-mulched ridges, while it presented similar responses to precipitation in the
394 furrows of mulched and non-mulched fields. In particular, three large rainfall events,
395 with amounts of 12.8 mm, 36.8 mm, and 48 mm, occurred on DOY 222, 192, and 235
396 in 2016, respectively. As we can see from Fig. 8, the light event (12.8 mm, DOY 222)
397 had little effect on the soil moisture or R_s , the moderate event (36.8 mm, DOY 192)

398 restrained R_s in the non-mulched ridge and planting hole but increased R_s in the furrows
 399 of the mulched and non-mulched fields, and the heavy event (48 mm, DOY 235)
 400 restrained R_s in all parts of the mulched and non-mulched fields.

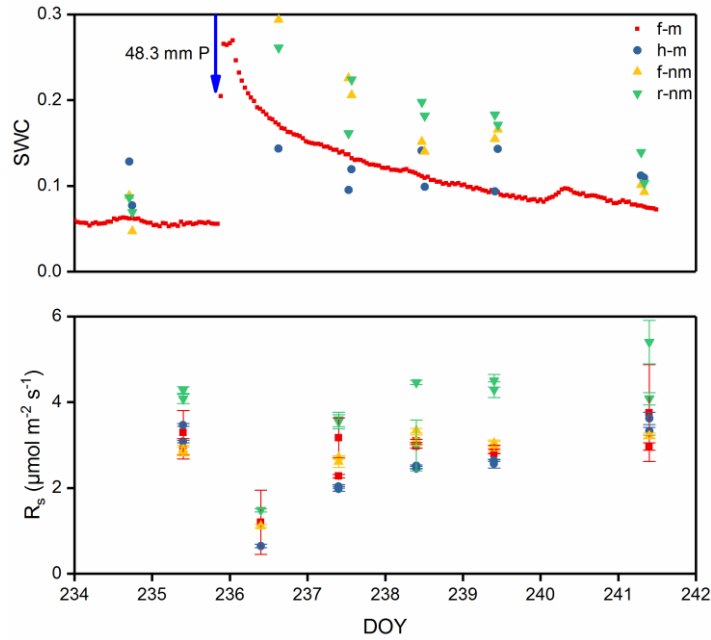
401 Using the heavy event as an example, the effect of precipitation on R_s during a
 402 wetting-drying cycle was more closely investigated before and after the event. As
 403 shown in Fig. 9, the R_s rates at all locations were restrained by substantially high soil
 404 water content. Then, the R_s recovered slowly with a decline in SWC and remained
 405 steady after three days.

406



407

408 Fig. 8. Response of soil moisture and soil respiration to precipitation and irrigation during 2016. f-m, h-m and p-m
 409 represent the furrow, planting hole, and plastic mulch in the mulched field, respectively; f-nm and r-nm represent
 410 the furrow and ridge in the non-mulched field, respectively.



411

412 Fig. 9. Variations in soil moisture and soil respiration during a wetting-drying cycle after a heavy rainfall.

413 4. Discussion

414 4.1 Effect of plastic mulch on soil respiration

415 Our experiment indicates that the planting hole emitted more CO₂ than the furrow,
 416 with the largest values of 8.0 μmol m⁻² s⁻¹ and 6.6 μmol m⁻² s⁻¹, respectively, during the
 417 observation period (Fig. 3). In addition, the plastic mulch itself can also emit CO₂ at a
 418 rate of 3.6 μmol m⁻² s⁻¹. Considering that plastic mulch occupies most of the ridge area,
 419 it is also an important pathway of CO₂ emissions in mulched fields. In fact, the soil CO₂
 420 emission rate of the plastic mulch depends on film features, including its thickness,
 421 texture and colour. For example, according to Berger et al. (2013), thick black PE mulch
 422 releases extraordinarily low N₂O emissions, while high amounts of N₂O can be emitted
 423 from polyethylene film that is only 0.02 mm thick (Nishimura et al., 2012). Liu et al.
 424 (2016b) also reported that transparent plastic film emits more CO₂ than black plastic
 425 mulch. Local farmers in our study area often use clear polyvinyl chloride (PVC) film
 426 with a thickness of only 0.008 mm because of its low price. This film has a relatively
 427 high diffusion capacity for CO₂, as indicated by our results. Additionally, thin and low-

428 density plastic film is easily damaged, resulting in plastic film residue, which can affect
429 crop germination, water absorption, nutrition and yield. Plastic film residue can also
430 inhibit soil microbial activity, which reduces soil fertility, causing substantive costs to
431 the environment and farmers (Wang et al., 2016a; Adhikari et al., 2016). High-density
432 plastic film is therefore recommended for the purpose of reducing soil CO₂ emissions
433 and plastic film residues despite its higher price. In general, the planting hole, furrow,
434 and plastic mulch are primary pathways that are responsible for CO₂ emissions in a
435 mulched field. A comprehensive measurement scheme including different locations is
436 therefore necessary to assess R_s in a mulched field. Our results can potentially be used
437 to correct the reported CO₂ emissions measured only at the furrow in a mulched field
438 (Qian-Bing et al., 2012; Liu et al., 2016b).

439 Our experiment also showed higher soil CO₂ emission rates from furrows and ridges
440 in the mulched field compared to the corresponding locations in the non-mulched field.
441 Therefore, PFM can indeed promote soil respiration in our study area. This is
442 principally due to improved soil temperature, soil moisture and crop growth as a result
443 of plastic mulching (see Fig. 2). Improved crop growth conditions result in the
444 production of more root biomass and litter fall, which will promote root respiration and
445 litter fall decomposition. Moreover, improved soil temperature and soil moisture can
446 promote the activities of roots and microorganisms to increase the mineralization of soil
447 organic carbon, for example, by stimulating the decomposition of buried crop straw
448 (Wang et al., 2016b). This result was partly confirmed by Yu et al. (2016), who reported
449 that furrow R_s in the mulched field is greater than that in the non-mulched field.
450 However, they also reported that the R_s rates from mulched and non-mulched ridges are
451 similar, which differs from our results. Furthermore, some other studies found
452 contrasting results (i.e., PFM decreases R_s) in the northern Xinjiang Uygur Autonomous
453 Region of China (Li et al., 2011), the Loess Plateau of China (Xiang et al., 2014),
454 southwest China (Lei, 2016) and central Japan (Okuda et al., 2007). Additionally,
455 Berger et al. (2013) found that PFM significantly decreases N₂O emissions in South
456 Korea. Therefore, the effects of plastic mulch on R_s differ in different areas. Our work

457 reveals that the difference in R_s between mulched and non-mulched fields depends on
458 the precipitation amount. This could be the reason leading to the contradictory results,
459 which will be discussed in more detail in the following section.

460 **4.2 Effects of irrigation and precipitation on soil respiration**

461 Our results indicate that the substantially high SWC occurring right after irrigation
462 and precipitation restrained R_s , and this effect decreased as the soil moisture returned
463 to the normal level (Fig. 7a, Fig. 9). In contrast, in natural ecosystems, precipitation
464 always immediately increases R_s , similar to water addition after a long drought in a
465 tallgrass prairie ecosystem in Oklahoma, USA (Liu et al., 2002), and 12 mm of
466 precipitation in an oak/grass savanna ecosystem in California (Xu and Baldocchi, 2004).
467 This is due to the so-called soil degassing effect, which is the non-steady-state CO_2
468 efflux at the soil surface occurring mostly during rainfall or irrigation after long periods
469 of drought (Luo and Zhou, 2006). In agricultural systems, however, frequent irrigation
470 occurs to satisfy crop water requirements and maintains favourable soil moisture. This
471 further renders higher R_s than in natural ecosystems, particularly in arid areas. Our
472 results further indicate that SWC that is either too low or too high can restrain R_s , which
473 can be expressed by a quadratic equation (Fig. 7b). The quadratic (parabolic)
474 relationship between SWC and R_s has also been detected in maize fields, tallgrass
475 prairie and oak/grass savannah ecosystems (Yinkun et al., 2013; Xu et al., 2004; Liu et
476 al., 2002; Mielnick and Dugas, 2000). This is because that lower water content affects
477 the diffusion of soluble substrates, while higher water content affects the diffusion and
478 availability of oxygen (Davidson et al., 2006; Linn and Doran, 1984). Our results
479 confirm findings by Wang et al. (2010), who reported that irrigation stimulates R_s but
480 that too much water reduces it, especially shortly after irrigation (Wang et al., 2010). In
481 addition to our quadratic functional relation between SWC and R_s , the effect of SWC
482 on R_s has also been described by linear, logarithmic or parabolic functions in different
483 ecosystems around the world (Davidson et al., 2000). For example, in a mountain oasis
484 in Oman, soil respiration has been described to be linearly correlated with the SWC

485 (Wichern et al., 2004). To be noted, the range of SWC in Wichern et al. (2004) is from
486 0.14 to 0.25, which is lower than the soil moisture threshold necessary to restrain R_s
487 found in our study; therefore, the authors did not find a parabolic correlation like we
488 did. More theoretical efforts should be made to reconcile these different experimental
489 results and obtain a general relationship between SWC and R_s .

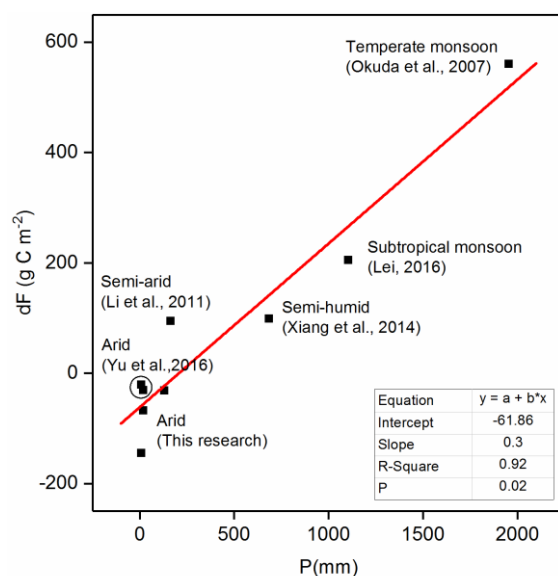
490 Our results indicate that the correlation between R_s and temperature and the
491 temperature sensitivity (i.e., Q_{10}) are rather low in our PFM field equipped with drip
492 irrigation (Table 2). The obtained R^2 values of 0.18-0.44 are much lower than the
493 reported values from natural ecosystems, such as in a tall grass prairie in central
494 Oklahoma, USA, with an R^2 of 0.77-0.97 (Luo et al., 2001), and in the Harvard Forest
495 in central Massachusetts, USA, with an R^2 of 0.8 (Davidson et al., 1998). The obtained
496 Q_{10} values of 1.25-1.65 (Table 2, expect for in the planting hole) are below the median
497 of 2.4 reported in a literature review of global soil respiration (Raich and Schlesinger,
498 1992). Additionally, they are much smaller than the Q_{10} of 3.8 found in rain-fed maize
499 cropland on the Loess Plateau of China (Xiang et al., 2012). In contrast, relatively
500 higher correlations between R_s and SWC indicate that the SWC may be the main factor
501 affecting R_s in a PFM field under drip irrigation. Lower Q_{10} values indicate that the
502 sensitivity of R_s to temperature has been weakened by higher variation in the soil
503 moisture induced by irrigation and precipitation.

504 Our results clearly reveal the confounding influence of PFM and precipitation on soil
505 respiration. The hydrological responses to precipitation in the field were changed by
506 the impermeable plastic mulch, which is the reason that the effect of precipitation on R_s
507 differed in the mulched and non-mulched fields. For example, the R_s rate in the non-
508 mulched ridge was higher than that in the furrow of mulched fields and planting hole
509 during 2016, in which high precipitation occurred. However, this result contrasted with
510 the results from 2014 and 2015, during which less rainfall occurred. Additionally,
511 although the soil respiration rate in the mulched field was always higher than that in the
512 non-mulched field during all three years, the significance of this magnitude relation
513 decreased with increasing precipitation. Therefore, we can speculate that the magnitude

514 at which the mulch accelerates soil respiration should be related to the amount of
 515 precipitation.

516 To verify the above assertion, a meta-analysis was carried out. The relationship
 517 between the amount of annual precipitation (P) and the differences in the annual R_s
 518 (noted as dF , i.e., R_s in the non-mulched field minus that in the mulched field) was
 519 analysed (Fig. 10). The relevant studies include studies conducted in an arid area
 520 ($P=45.7$ mm) in southern Xinjiang (Yu et al., 2016), a semiarid area ($P=160$ mm) in
 521 northern Xinjiang (Li et al., 2011), a semi-humid area ($P=566.8$ mm) on the Loess
 522 Plateau of China (Xiang et al., 2014), a subtropical monsoon area ($P=1,105$ mm) in
 523 southwest China (Lei, 2016) and a temperate monsoon climate area ($P=1,954$ mm) in
 524 Japan (Okuda et al., 2007). The dF was found to have a linear relationship with the
 525 amount of precipitation. Under the condition of 200 mm of annual precipitation, the R_s
 526 rates in the mulched and non-mulched fields are roughly identical. When the annual
 527 precipitation was greater than 200 mm, the R_s was lower in the mulched field than in
 528 the non-mulched field. This is the reason why the results of some studies contrasted
 529 with our results showing that PFM decreases R_s .

530



531

532 Fig. 10 The relationship of the difference in soil respiration between the mulched and non-mulched fields with
 533 precipitation; dF represents the soil respiration in the non-mulched field minus that in the mulched field. Among the
 534 five points representing arid areas, the data from (Yu et al., 2016) are within the circles, while those from our study

535 are outside of the circle.

536

537 Based on the relationships between precipitation and soil respiration in the PFM
538 fields obtained above, plastic film mulching is recommended for application in areas
539 with precipitation greater than 200 mm, i.e., semi-arid and humid areas, to decrease soil
540 CO₂ emissions and increase soil carbon sequestration. Decreasing soil CO₂ emissions
541 indicates increasing soil organic carbon and maintaining soil fertility to obtain a stable
542 yield. Our results are consistent with those of Zhang et al. (2018), who concluded that
543 PFM where precipitation is greater than 230 mm can result in a stable crop yield on the
544 Loess Plateau.

545 **5. Summary**

546 Plastic film mulching is now widely used in agriculture around the world due to the
547 continuous fall in the prices of plastic products, particularly in developing countries,
548 such as China. The changing land cover with great numbers of PFM fields and the
549 changing climate will affect the energy, water and carbon cycles regionally and globally.
550 From the comprehensive analysis and discussion of the effects of plastic mulch,
551 irrigation and precipitation on soil respiration based on the results of our controlled
552 experiment, some new findings were discovered in this study. First, PFM can enhance
553 the spatial heterogeneity of soil respiration under drip irrigation, and planting holes,
554 furrows, and plastic mulch (ordered by emission rate) are three important pathways of
555 surface soil CO₂ emissions. Second, PFM can increase soil respiration at the field scale
556 in arid areas, while this enhancement depends on the amount of precipitation. A linear
557 relationship was found between the difference in soil respiration (between non-mulched
558 and mulched fields) and the amount of precipitation at the annual scale. Plastic film
559 mulching is therefore beneficial for carbon sequestration in wet areas, while it is
560 harmful in arid areas. Third, the frequent application of water elevates soil moisture and
561 soil respiration and enhances their variation. The resultant higher variation in soil
562 moisture further alleviates the sensitivity of soil respiration to soil temperature, leading

563 to a weak correlation and low Q_{10} values.

564 Our results suggest that the rapid expansion of PFM fields in arid areas brings new
565 challenges for controlling greenhouse gas emissions. Plastic film mulching and
566 irrigation should be better integrated into future soil carbon models. Linking the
567 hydrologic and carbon cycles via the conservation of water resources is crucial for
568 improving agronomic yields and soil carbon sequestration in dry lands.

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