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2 **Precipitation alters plastic film mulching impacts on**
3 **soil respiration in an arid area of Northwest China**

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20 **Abstract:** Plastic film mulching (PFM) has widely been used for saving water and
21 improving crop yield around the world. However, the effect of PFM on soil respiration
22 (R_s) remains unclear, which could be further confounded with irrigation and
23 precipitation. To address this question, the controlled experiments were conducted in
24 the mulched and non-mulched fields under drip irrigation from 2014 to 2016 in an arid
25 area of the Xinjiang Uygur Autonomous Region, Northwest China. The spatiotemporal
26 pattern of soil surface CO_2 flux as an index of soil respiration under drip irrigation with
27 PFM were investigated, and the confounded effects of PFM and irrigation/precipitation
28 on soil respiration were explored. The main findings are as follows: (1) The furrow,
29 planting hole, and plastic mulch are three important pathways for soil CO_2 emission in
30 the mulched field, of which the planting hole efflux outweighs the furrow, and the
31 plastic mulch itself can emit up to $3.6 \mu\text{mol m}^{-2} \text{s}^{-1} \text{CO}_2$. (2) Frequent water supplies
32 (i.e., irrigation and precipitation) elevate soil moisture and soil respiration and enhance
33 their variations. The resultant higher variation of soil moisture further alleviates the
34 sensitivity of soil respiration to soil temperature leading to poor correlation and lower
35 Q_{10} values. (3) Soil CO_2 effluxes from furrows and ridges in mulched fields outweigh
36 the corresponding terms in non-mulched fields in arid areas. However, this outweighing
37 relation attenuates with increasing precipitation. Furthermore, by combining the
38 literature results we show that the difference of soil CO_2 effluxes between non-mulched
39 and mulched fields presents a linear relation with precipitation amount, which results
40 in negative values in arid areas and positive values in humid areas. Therefore, whether
41 PFM increases soil respiration or not depends on precipitation amount during the crop
42 growth period.

43 **Keywords:** plastic film mulching; soil respiration; spatial variation; irrigation;
44 precipitation

45

46 **1. Introduction**

47 Soil respiration (R_s), the flux of microbe- and plant-respired CO_2 from the soil surface
48 to the atmosphere, represents the second largest CO_2 flux of the terrestrial biosphere
49 following gross primary productivity (GPP) and amounts to 10 times current rate of
50 fossil-fuel combustion (Bond-Lamberty and Thomson, 2010;Davidson et al., 2006;Liu
51 et al., 2016a;Reichstein and Beer, 2008). Anthropogenic activities, particularly
52 agriculture expansion and change of cultivation practices, have brought significant
53 challenges to CO_2 emission control considering climate change (Baker et al., 2007).
54 The conversion of natural to agricultural ecosystems has been recognized to cause a
55 depletion of soil organic carbon pool by as much as 60% (Lal, 2004), and additionally,
56 soil respiration in agricultural ecosystems is relatively larger than that in natural
57 ecosystems due to intensive cultivation (Buyanovsky et al., 1987;Raich and
58 Tufekciogul, 2000).

59 A particular example is plastic film mulching (PFM), which was invented as an
60 advanced agriculture cultivation technology for saving water and improving crop yield
61 in 1950s and has ever since been widely applied around the world, e.g., in the tropical
62 USA, Europe, South Korea and China. For instance, approximately 19% of the total
63 arable land (130 million ha) in China was cultivated using PFM in 2014 (Wang et al.,
64 2016), and specifically, the PFM area has reached 1.2 million ha in the arid Xinjiang
65 Uygur Autonomous Region, Northwest China (Zhang et al., 2014). In a PFM field, the
66 new method may alter the albedo, soil temperature, soil moisture, and crop growth
67 conditions (Zhang et al., 2011), all of which can affect both heterotrophic and
68 autotrophic respiration. Furthermore, the large-scale application of PFM may alter the
69 regional climate, hydrologic cycle, and carbon cycle (Bonan, 2008;Li et al., 2016;Cox
70 et al., 2000). Therefore, detecting the altered environmental conditions and CO_2
71 emissions in PFM fields is crucial for the maintenance of regional and global soil
72 carbon balances in the situation of global climate change.

73 There are just a few studies devoting to CO_2 emissions in PFM fields, which,

74 however, deliver contrasting results. For example, Yu et al. (2016) showed that the soil
75 surface CO₂ emission in a mulched field in southern Xinjiang Uygur Autonomous
76 Region of China increases by 8% relative to the non-mulched field, and the increase
77 mainly comes from furrows instead of ridges (the readers are referred to Fig. 1 for the
78 configuration of furrow, ridge, planting hole, mulch, etc.). However, Li et al. (2011)
79 detected that the CO₂ concentration in soil profiles is higher in mulched fields but the
80 soil CO₂ efflux decreases by 21% relative to the non-mulched field in northern Xinjiang
81 Uygur Autonomous Region of China. Similar results that PFM decreased CO₂ emission
82 were also found on the Loess Plateau of China (Xiang et al., 2014), Southwest of China
83 (Lei, 2016) and a temperate monsoon climate area in Japan (Okuda et al., 2007). About
84 the emitting pathways for greenhouse gases in the field, Berger et al. (2013) found that
85 planting holes and furrows are import pathways for N₂O emission in mulched ridges.
86 In addition, Nishimura et al. (2012) revealed in a laboratory experiment that N₂O
87 gradually permeates the plastic mulch. These findings indicate that the pathways for the
88 gases emission in a mulched field may include furrows, planting holes and plastic
89 mulches, which has not been quantified for soil CO₂ efflux in PFM fields. Some
90 experimental studies simply interpreted soil respiration from furrows as the field
91 averaged flux (Qian-Bing et al., 2012;Liu et al., 2016b), which may lead to the
92 underestimation of soil respiration flux because ridges usually emit more CO₂ than
93 furrows.

94 In addition, irrigation and precipitation are also crucial to soil respiration due to the
95 nature of moisture limit on soil respiration in arid and semiarid regions, to which less
96 attentions have been paid. After irrigation and precipitation, soil moisture undergoes a
97 wetting-drying cycle that affects soil porosity and influences the activities of root
98 biomass and microorganisms that control soil carbon dynamics (Yan et al., 2014). Both
99 intensity and amount of irrigation/precipitation affect soil respiration. A couple of
100 studies indicated that soil respiration rate in a drip irrigation field is greater than that in
101 a flood irrigation field (Guo et al., 2017;Qian-Bing et al., 2012). PFM can modify the
102 hydrological processes induced by precipitation or irrigation in different ways and may

103 further impact soil respiration. For example, rainwater cannot infiltrate into ridges in a
104 mulched field due to the barrier of plastic mulch which, however, can cause additional
105 soil moisture increase in furrows. Differently, infiltration of irrigation water principally
106 occurs in ridges under drip irrigation method as drip tapes are beneath the plastic mulch.
107 The different impact of PFM on soil moisture distribution induced by precipitation or
108 irrigation may further have different influences on soil respiration. To the best of
109 authors' knowledge, however, such different influences of PFM on soil respiration in
110 terms of irrigation or precipitation have not yet been explored.

111 The main objective of this study is, therefore, to address the effect of PFM on soil
112 respiration and the confounding influence of irrigation and precipitation. Control
113 experiments under mulched and non-mulched drip irrigation conditions were conducted
114 in a cotton field in the arid area of the Xinjiang Uygur Autonomous Region, Northwest
115 China. The soil respiration from different locations in mulched and non-mulched fields
116 were continuously monitored in the growth periods from 2014 to 2016. With these
117 experimental results, we investigated the following questions specifically: (1) what's
118 the spatiotemporal pattern of soil respiration in a PFM field? (2) how does PFM affect
119 soil respiration through its alteration on soil temperature and moisture? and (3) what's
120 the confounding effect of irrigation/precipitation and PFM on soil respiration?

121 **2. Study Area and Methods**

122 **2.1 Study area**

123 The field experimental site (86°12' E, 41°36' N; 886 m above sea level) is located in
124 one of the oases scattered on the alluvial plain of the Kaidu-Kongqi River (a tributary
125 of the Tarim River) Basin, north of the Taklamakan Desert in the Xinjiang Uygur
126 Autonomous Region of Northwest China. The region has a temperate continental
127 climate, with a mean annual precipitation of 60 mm, mean annual temperature of
128 11.48 °C, and mean annual water surface evaporation of 2,788 mm as measured by Φ20
129 pan. The annual sunshine duration is 3,036 hours, which is favorable for cotton growth.

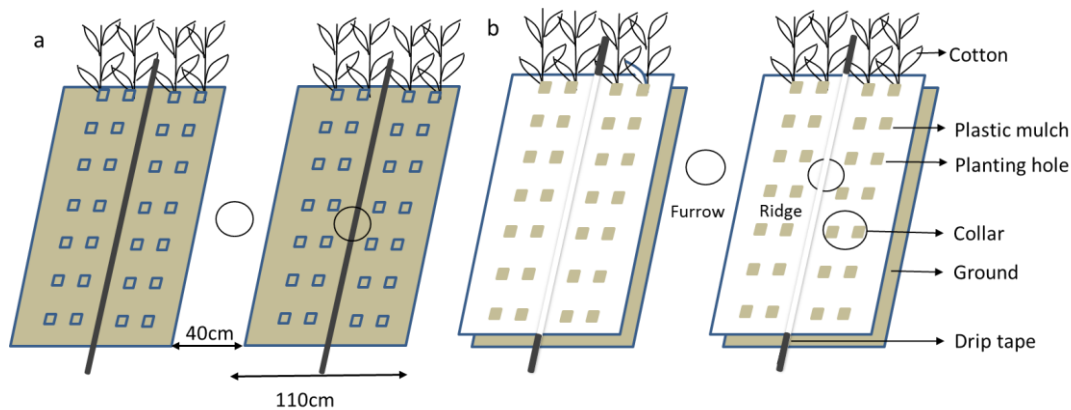
130 The experimental field covers an area of 3.48 ha. The major soil texture in the field is
131 silt loam, and the contents of sand, silt and clay separates are 32.8%, 62.4% and 4.8%,
132 respectively, and its bulk density is from 1.4 g cm⁻³ to 1.64 g cm⁻³ in the 1.5 m soil
133 profile. The soil porosity is 0.42, which was directly determined in the laboratory using
134 the undisturbed soil columns collected in the experimental field.

135 Cotton (*Gossypium hirsutum* L.) is usually sown in April and harvested during
136 October and November, i.e. the growth period is from DOY (day of the year) 100 to
137 300 approximately. The planting style is “one film, one drip pipe beneath the film and
138 four rows of cotton above the film” as depicted in Fig. 1. The plastic film (0.008 mm
139 thick) is white and made of dense and airtight transparent polyethylene film. The width
140 of the film is 1.1 m, and the inter-film zone is 0.4 m. Before sowing, small square holes
141 (2 cm length) are made for germinating at 0.1 m intervals within a row in the plastic
142 film, and then seeds are placed into the holes, and each hole is covered with soil. The
143 planting density is approximately 160,000 plants per ha. The annual basic fertilizer
144 before sowing includes 173 kg ha⁻¹ of compound fertilizers (14% N, 16% P₂O₅, and 15%
145 K₂O), 518 kg ha⁻¹ of calcium superphosphate (18% N, 40% P₂O₅) and 288 kg ha⁻¹ of
146 diammonium phosphate (P₂O₅>16%). Supplemental fertilizers during the growth
147 period contain approximately 292 kg ha⁻¹ of urea (46% N) and 586 kg ha⁻¹ of drip
148 compound fertilizer (13% N, 18% P₂O₅, and 16% K₂O) and foliar fertilizer (P₂O₅>52%,
149 and K₂O>34%). Drip irrigation usually begins on June 12 in the bud stage with an
150 approximate amount of 20-50 mm each time and 9-12 times per growth season. The
151 annual irrigation amount is 500-600 mm.

152 **2.2 Experimental set-up**

153 This study focuses on the growth season as soil respiration in non-growth season is
154 extremely low. The mulched and non-mulched treatments were arranged in a
155 randomized block design with three replicates in the same field with the same
156 fertilization and irrigation scheme from the year 2014 to 2016. The plastic mulch had
157 been covered until the seed germination in the non-mulched treatment to protect seed

158 germinating. The experiments roughly started from the bud stages when cotton began
 159 to grow faster. The beginning experimental dates are DOY 184, 175,167 and the length
 160 of measured periods are 95, 60, 100 days, respectively. Soil respiration measurements
 161 were carried out with an LI-8100A (LI-COR, Inc., Lincoln, Nebraska) on one day
 162 between two irrigation events. Therefore, soil respiration was approximately measured
 163 every one weeks during the cotton-growth season. The automated soil CO₂ flux
 164 measurement system consists of two parts, PVC collars (10 cm in diameter and 5 cm in
 165 height) and a measuring chamber. The PVC collars were inserted 2-3 cm into the soil
 166 by removing living plants and litter inside the soil collars at least 1 day before the
 167 measurements. Data were recorded by the data logger in the LI-8100A.



168
 169 Fig. 1. Schematic drawing of the experimental configuration for: (a) a non-mulched field, and (b) a mulched field.

170 The soil respiration was measured in the following parts, i.e., the furrow and ridge
 171 of the non-mulched treatment, and the furrow, planting hole, and plastic mulch of the
 172 mulched treatment in 2016 (see Fig. 1 for the experimental configuration). Soil
 173 respiration was measured in the furrow for the mulched treatment and the ridge for the
 174 non-mulched treatment in 2014 and it was measured the furrow for the mulched
 175 treatment and in both furrow and ridge for the non-mulched treatment in 2015. The
 176 measurements were performed every 2 hours during the experimental day from 8:00 to
 177 24:00. To measure the soil respiration on the soil surface without film covering (i.e.,
 178 the furrows in the mulched and non-mulched fields and the non-mulched ridge), the
 179 PVC collars were inserted directly into the soil. Before measuring the CO₂ emission
 180 through the plastic mulch and in the plant holes, the plastic mulch was accomplished

181 by cutting holes of the size of the collar in the plastic mulch and around plant holes,
182 installing the collars and then placing the plastic mulch in the collars. Scotch tape was
183 used to seal the interspaces between the plastic mulch and collar to prevent air leakage.

184 The soil temperature and soil moisture at a depth of 5 cm were monitored adjacent
185 to each PVC collar using the auxiliary sensors of the LI-8100A, and concurrent with
186 the soil CO₂ flux measurements. The drip irrigation amount was obtained by water
187 meters installed on the branch pipes of the drip irrigation system. The precipitation was
188 measured by a tipping bucket rain gauge (model TE525MM, Campbell Scientific Inc.,
189 Logan, UT, USA), which was mounted 0.7 m above the ground.

190 **2.3 Data analysis method**

191 The soil respiration from different parts at a particular time of a day was calculated
192 as the average of three replicates. The daily mean R_s was calculated as average of R_s
193 measured at various times in a day. The R_s in the mulched ridges was calculated with
194 the area ratio of R_s through the plant holes and the plastic mulch:

$$195 \quad R_{r-m} = R_{h-m} * A_{h-m} + R_{p-m} * A_{p-m} \quad (1)$$

196 where, the symbols of R_{h-m} and R_{p-m} are the soil respiration from the planting hole and
197 plastic mulch, which constitute the soil respiration in the ridge (R_{r-m}). The term A means
198 the area ratio of the different parts. The accumulative R_s in the ridges and furrows during
199 the growth season was estimated by summing the products of soil CO₂ flux and the
200 number of days between sampling times. Hypothetical t -test was used to test the
201 significance of differences among R_s from furrows and ridges of the mulched and non-
202 mulched fields.

203 The regression of R_s with soil temperature and soil moisture were analyzed using
204 SPSS (Statistical Package for the Social Sciences) software. The Van't Hoff equation
205 was used to represent the relationship of R_s with soil temperature (Hoff, 1898):

$$206 \quad R_s = Ae^{bT} \quad (2)$$

207 where, R_s is soil respiration, T is soil temperature, A is the intercept of soil respiration

208 when soil temperature is 0 °C (i.e., reference soil respiration). Moreover, b represents
209 the temperature sensitivity of soil respiration. The Q_{10} value, which describes the change
210 in soil respiration over a 10 °C increase in soil temperature, is calculated as

$$211 \quad Q_{10} = e^{10b} \quad (3)$$

212 Considering lower and higher values of soil water content both restrain the soil
213 respiration, we adopt a quadratic equation to simulate the effect of soil moisture on soil
214 respiration according to Davidson et al. (1998):

$$215 \quad R_s = aV^2 + bV + c \quad (4)$$

216 where, V is the soil water content and a , b , and c are regressed parameters.

217 **3. Results**

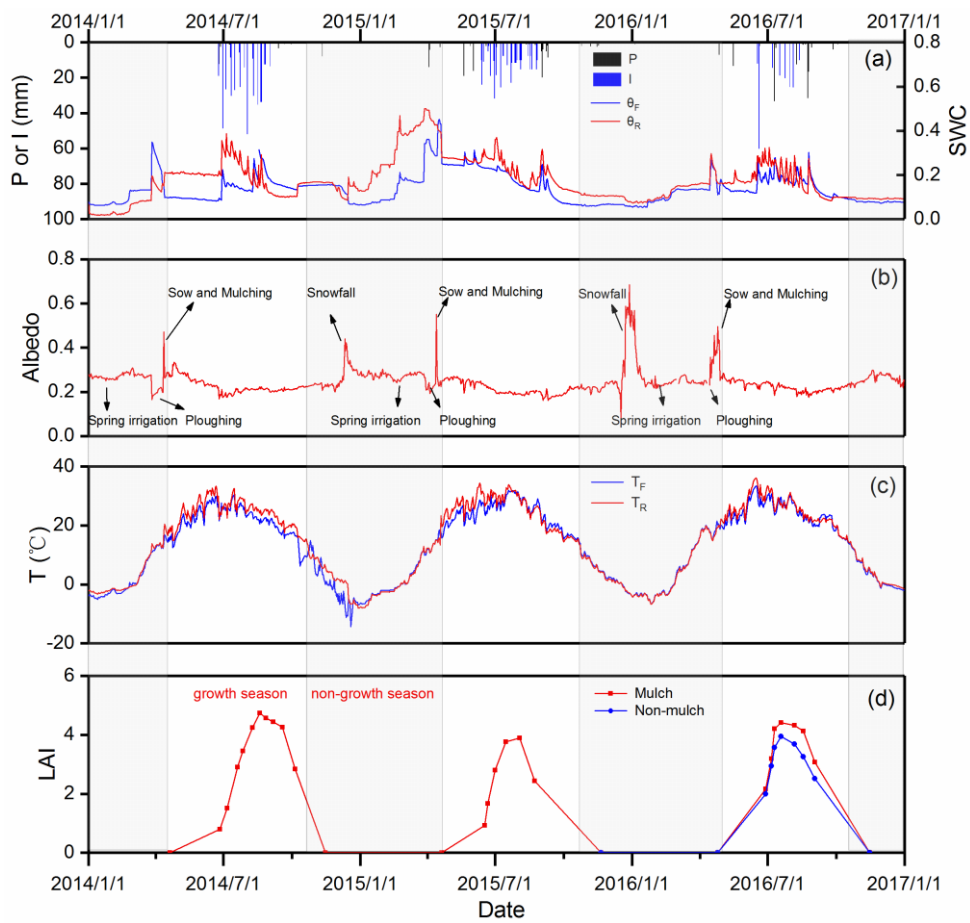
218 **3.1 Environmental factors and crop growth**

219 Fig. 2 shows the dynamics of albedo, soil moisture, soil temperature, and cotton
220 leaf area index (LAI), which suggests that these environmental factors and crop growth
221 conditions are modified by PFM and other cultivation practices. Other than two
222 snowfall events occurring in January 2015 and January 2016 that elevated albedo
223 beyond 0.4, the albedo was altered by cultivations as shown in Fig. 2(b). In early March,
224 it was increased by the spring irrigation applied one month before sowing. Then it was
225 decreased by plough several days before mulching on April 20 or so. After plastic
226 mulching in April, the surface albedo had a sudden rise, and then slowly decreased with
227 crop canopy development. Generally, the albedo reached the minimum value with the
228 highest value of LAI during the bud stage in August, and then, increased very slowly
229 with leaf fall.

230 Spatial distributions of soil moisture and soil temperature were both affected by
231 plastic mulching. As shown in Fig. 2(a), soil moisture in ridges was mostly higher than
232 furrows with the effect of frequent drip irrigation. Fig. 2(c) shows that soil temperature
233 in the mulched ridge was higher than the open furrow. However, in the later growth

234 stages, soil temperature in the furrow became coincident with or even exceeded that in
 235 the ridge due to canopy development.

236 PFM can also affect plant phenology. As shown in Fig. 2(d), LAI started increasing
 237 with seed germination, reached its maximum value at the bud stage during August, and
 238 then decreased with leaf falling. The LAI in the mulched field was higher than the non-
 239 mulched field during the comparative experiment year of 2016, particularly in the
 240 vigorous growth stages.



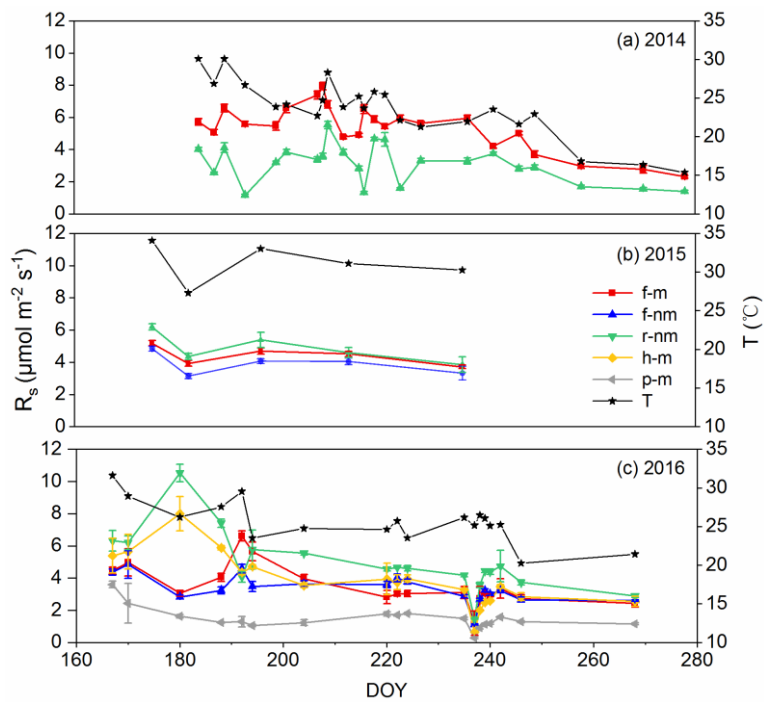
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242 Fig. 2. Environmental factors and crop growth in the PFM field under drip irrigation; (a) SWC (soil water content)
 243 in the ridge (θ_r) and furrow (θ_f) affected by irrigation and precipitation; (b) Albedo affected by cultivation practices
 244 and snowfall in the mulched field; (c) T (soil temperature) in the furrow (T_f) and ridge (T_r) in the mulched field; (d)
 245 LAI (leaf area index) in the mulched and non-mulched fields (LAI comparative measurements were only conducted
 246 in 2016). The shadow part indicates the non-growth season.

247 **3.2 Seasonal and spatial variations in soil respiration**

248 As shown in Fig. 3, the magnitude and amplitude of R_s are rather different in different
249 years. For example, soil respiration fluxes in non-mulched ridges were 1-6 $\mu\text{mol m}^{-2} \text{s}^{-1}$,
250 4-7 $\mu\text{mol m}^{-2} \text{s}^{-1}$ and 3-11 $\mu\text{mol m}^{-2} \text{s}^{-1}$, respectively, in the three years. Seasonal R_s
251 variation was generally dominated by soil temperature dynamics (their correlation will
252 be further analyzed in Section 3.4) although some anomalies occurred. For example, on
253 the DOY 180 of 2016, R_s rates in the non-mulched ridge and planting hole obtained
254 peak values, while those from furrows in both mulched and non-mulched fields were
255 pretty low. On the following DOY 192, however, the situation was reverse and on DOY
256 235 all R_s fluxes experienced an abnormal declining and then rising cycle. These
257 anomalies may be related to the SWC dynamics caused by irrigation and precipitation,
258 which will be further explained in Sections 3.5 and 3.6.

259 R_s shows a significant spatial variability at field scale. As shown in Fig. 3, the results
260 in 2015 and 2016 indicated a consistent higher soil CO_2 emission rate from the ridge
261 than the furrow in the non-mulched field. In the mulched field as indicated by Fig. 3(c),
262 R_s from the plastic film was very low, while the rate from the planting hole was higher
263 than that from the furrow most of the time. For R_s from the furrow, its rate in the
264 mulched field generally exceeded that in the non-mulched field in 2015 and 2016 except
265 DOY 222 of 2016, which was just after a 12.8-mm rainfall event as shown in Fig. 8.



266

267 Fig. 3. Spatiotemporal variations of soil respiration in mulched and non-mulched fields over the three years. The
 268 whiskers represent the standard deviation of three replicate R_s measurements (f-m, h-m and p-m represent furrow,
 269 planting hole, and plastic mulch in the mulched field; f-nm and r-nm represent furrow and ridge in the non-mulched
 270 field; T represent soil temperature).

271 3.3 Comparison of soil respiration in mulched and non-mulched 272 fields

273 Fig. 4 depicts seasonal accumulative R_s and precipitation over the three
 274 experimental years. To be noted, R_s from the mulched ridge is the area weighted
 275 summation of the terms from the plastic mulch and planting holes. A prominent feature
 276 indicated in the figure is that R_s fluxes over the ridge and furrow in the mulched field
 277 are consistently larger than the corresponding terms in the non-mulched field. Although,
 278 this magnitude relation was not significant at the furrow in 2015 and 2016 or at the
 279 ridge in 2016 at a significance level of 0.05 (Table 1). Totally, seasonal average R_s was
 280 $444.69 \text{ g C m}^{-2}$ in the mulched field and 359.9 g C m^{-2} in the non-mulched field during
 281 the growth period over three years. The accumulative R_s in the mulched field was indeed
 282 significantly larger than that in the non-mulched field in the years of 2014 and 2015.

283 However, for the year of 2016 with substantial precipitation amount of 130 mm, the
 284 positive deviation of mulched field R_s was not at a significance level.

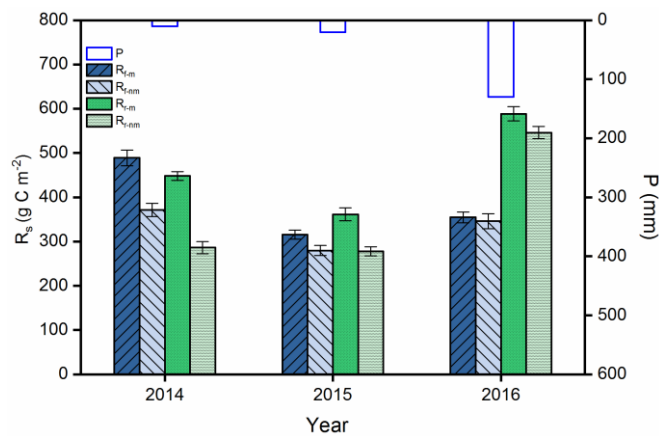
285 Also, the furrow R_s difference between the mulched and non-mulched field was
 286 smaller than the difference at the ridge over all the three years and the magnitude of
 287 such differences decreased from the year 2014 to 2016. To be noted, seasonal
 288 precipitation amount presented an increase trend from the year of 2014 to 2016. This
 289 means that more precipitation tends to eliminate R_s differences between mulched and
 290 non-mulched fields.

291

292 Table 1 t -test of significance for soil respiration in furrows, ridges and the total soil respiration between mulched and
 293 non-mulched fields (R_m and R_{nm} are the total soil respiration in mulched and non-mulched field, respectively. df is
 294 the degree freedom, $t_{0.05}(4)$ is the t value at the significant value of 0.05 at the df of 4).

Year	R_{f-m}/R_{f-nm}	R_{r-m}/R_{r-nm}	R_m/R_{nm}	df	$t_{0.05}(4)$
2014	4.92	9.27	7.87	4	2.776
2015	2.25	4.59	4.04		
2016	0.40	1.91	1.52		

295



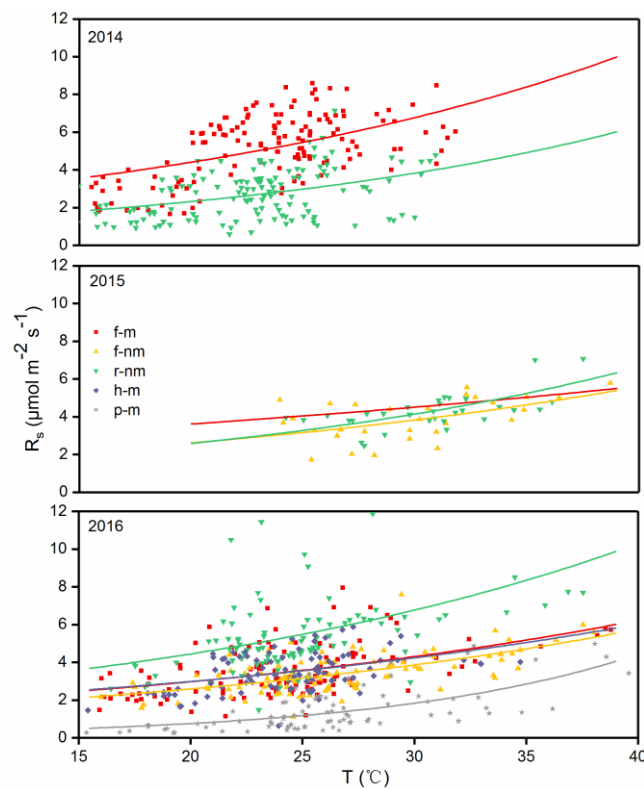
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297 Fig. 4 Seasonal accumulative soil respiration and precipitation over three experimental years. The whiskers represent
 298 standard deviations (f-m, r-m represent furrow and ridge in the mulched field; f-nm and r-nm represent furrow and
 299 ridge in the non-mulched field).

300 **3.4 Functional relations between soil respiration and soil**
301 **temperature**

302 All R_s fluxes in different locations of the mulched and non-mulched fields showed
303 increasing trends with temperature (Fig. 5), which were fitted using exponential
304 equation as described in Section 2.3. However, their correlation is very poor and vary
305 with location and time. The furrow possesses higher R^2 than the ridge for relatively
306 stable soil moisture in the furrow. Also, the Q_{10} values in the furrows are much lower
307 than in the ridges.

308



309

310 Fig. 5. Relations between soil respiration and soil temperature at different locations in mulched and non-mulched
311 fields. The data represent means \pm standard deviation (SD) of three replicates. The regression lines for different
312 locations were fitted with Equation 2 and the regression equations are shown in Table 2 (f-m, h-m, p-m represent
313 furrow, planting hole, plastic mulch; f-nm and r-nm represent furrow and ridge in non-mulched fields).

314

315

316 Table 2 Parameters for fitted exponential equations of soil respiration with soil temperature for different locations in
 317 mulched and non-mulched fields (refer to Equations (2) and (3))

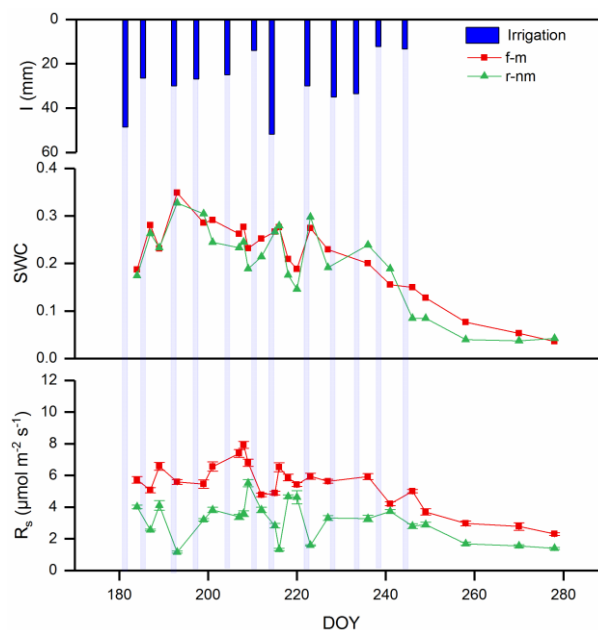
Year	Parameters	f-m	f-nm	r-nm	h-m	p-m
2014	a	1.87		0.86		
	b	0.04		0.05		
	Q_{10}	1.54		1.65		
	R^2	0.29		0.18		
2015	a	2.33	1.23	1.01		
	b	0.02	0.04	0.05		
	Q_{10}	1.25	1.46	1.60		
	R^2	0.18	0.27	0.43		
2016	a	1.42	1.16	1.92	1.48	0.13
	b	0.04	0.04	0.04	0.04	0.09
	Q_{10}	1.45	1.49	1.52	1.42	2.41
	R^2	0.23	0.39	0.20	0.18	0.44

318 3.5 Irrigation and soil respiration

319 The year 2014 was chosen to investigate the response of R_s to irrigation for very few
 320 precipitation events occurring in this year and the results are shown in Fig. 6. It is clear
 321 that soil moisture in the non-mulched ridge was always lower than the furrow in the
 322 mulched field except for some days immediately after irrigation. Reasonably higher
 323 soil moisture favors soil respiration and consequently R_s from the furrow in the mulched
 324 field was always higher than from the non-mulched ridge. Another dominant feature
 325 shown in Fig. 6 is the quick response of soil moisture and R_s to irrigation. Soil moisture
 326 experienced a quick rising, while R_s witnessed a diving after irrigation, which means
 327 that too much water in soil may conversely restrain its respiration. Due to the
 328 configuration of drip tape and plastic mulch, soil moisture and respiration in the ridges
 329 of mulched and non-mulched fields experienced similar but more drastic variations than

330 the furrow.

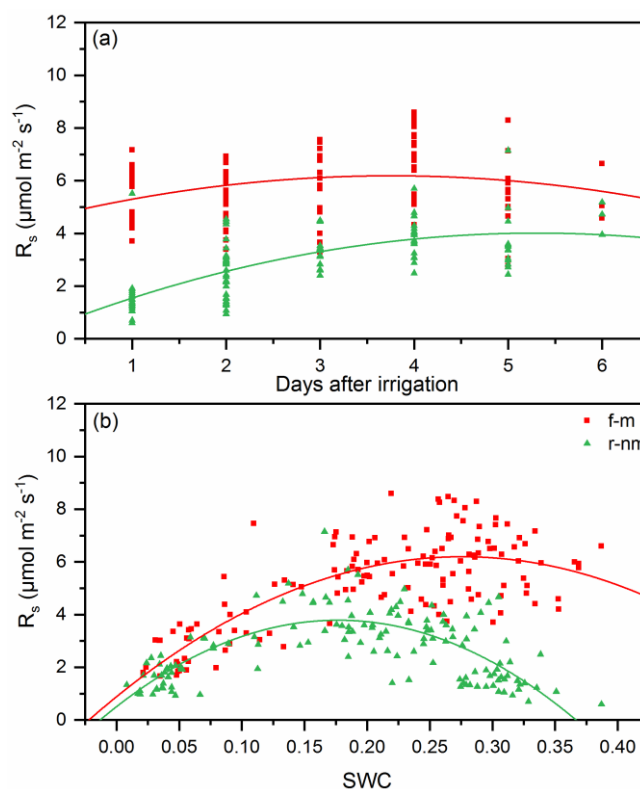
331 To investigate the response of R_s to irrigation in more detail, the R_s dynamics within
332 an irrigation cycle was explored. As R_s measurements were conducted randomly
333 between two irrigation events, data on different days after irrigation were collected to
334 analyze the R_s variation. The irrigation effect is presented by plotting R_s versus the
335 number of days after irrigation with an irrigation cycle of approximately 6 days. The
336 results in Fig. 7(a) shows again that R_s rate in the non-mulched ridge was extremely
337 low immediately after irrigation, and then recovered slowly. While in the furrow of the
338 mulched field, irrigation had almost no influence on soil respiration. Both R_s rates from
339 the furrow and ridge reached the maximum values on the fourth day after irrigation and
340 then began to decrease with soil drying process. The relation between R_s and soil
341 moisture can be expressed in the form of a binomial equation as shown in Fig. 7(b),
342 which indicates that R_s is very low with dry soil and increases with soil moisture.
343 However, R_s shows a declining trend when soil moisture exceeds a certain threshold.
344 The threshold is approximately 0.25 in the furrow of the mulched field and
345 approximately 0.2 in the non-mulched ridge. The above thresholds are approximately
346 60% and 50% of the water-filled pore space (WFP), respectively.



347

348 Fig. 6. The responses of soil moisture and respiration to irrigation at different locations in the mulched and non-

349 mulched fields in 2014 (f-m and r-nm represent furrow in the mulched field and ridge in the non-mulched field).



350

351 Fig. 7. Influence of irrigation on soil respiration. (a) Variation of soil respiration with number of day after irrigation.

352 (b) Relation between soil respiration and soil moisture (regression lines are fitted with the binomial equation as

353 shown in Equation (4)). (f-m and r-nm represent furrow in the mulched field and ridge in the non-mulched field)

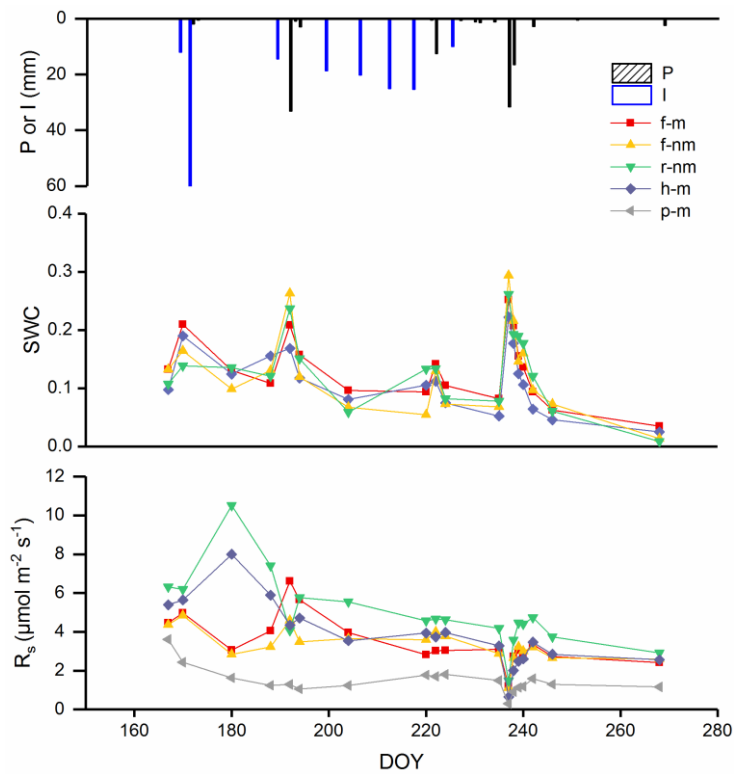
354 3.6 Precipitation and soil respiration

355 The year 2016 was chosen to investigate the response of soil respiration to
356 precipitation because significant amount of rainfall occurred in this year. As shown in
357 Fig. 8, R_s exhibited similar response behavior to irrigation in the planting hole, plastic
358 mulch, and non-mulched, while it presented similar response behavior to precipitation
359 in the furrows of mulched and non-mulched fields. Particularly, three large rainfall
360 events with the amount of 12.8 mm, 36.8 mm, and 48 mm occurred on the DOY 222,
361 192, and 235 of 2016, respectively. As we can see from the Fig. 8, the light event (12.8
362 mm) had little effect on soil moisture and R_s , the moderate event (36.8 mm) restrained
363 R_s in the non-mulched ridge and planting hole but motivated R_s in the furrows of the
364 mulched and non-mulched fields, while the heavy event (48 mm) restrained R_s in all

365 parts of the mulched and non-mulched fields.

366 Taken the heavy event as an example, the effect of precipitation on R_s in a wetting-
367 drying cycle was more closely investigated before and after the event. As shown in Fig.
368 9, R_s rates at all locations were restrained by substantially high soil water content. It
369 was even substantially restrained in the mulched ridge where soil water content was
370 only 0.15. This finding means that soil moisture threshold to restrain R_s under
371 precipitation is less than that under irrigation. It is noteworthy that it took roughly one
372 day for R_s to return back to a normal rate after precipitation. This is much shorter than
373 that associated with irrigation.

374

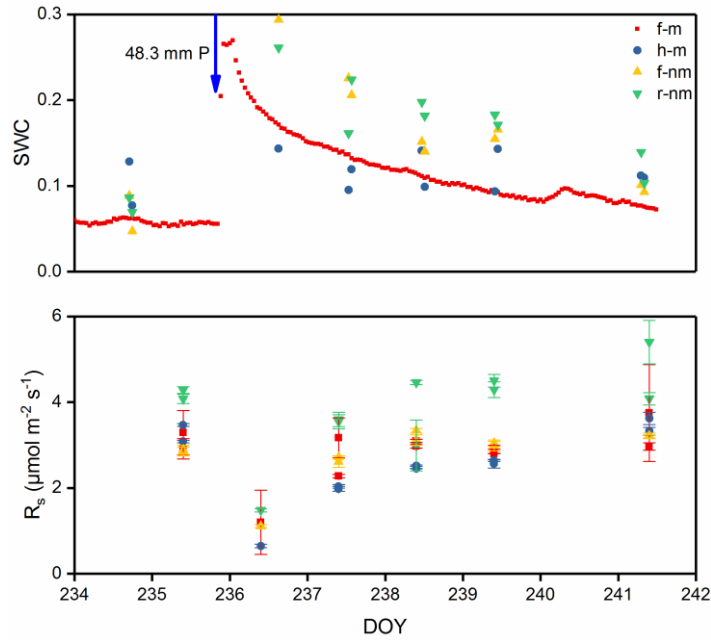


375

376 Fig. 8. Response of soil moisture and soil respiration to precipitation and irrigation during 2016. (f-m, h-m and p-m

377 represent furrow, planting hole, and plastic mulch in the mulched field; f-nm and r-nm represent furrow and ridge in

378 the non-mulched field)



379

380 Fig. 9. Variations in soil moisture and soil respiration in a wetting-drying cycle after a heavy rainfall.

381 4. Discussion

382 4.1 Effect of plastic mulch on soil respiration

383 Our experiment indicates that the planting hole emitted more CO₂ than the furrow
 384 (Fig. 3), and the plastic mulch itself can also emit CO₂ at a rate of 3.6 μmol m⁻² s⁻¹.
 385 Considering that the plastic mulch occupies most of the ridge area, it is also an
 386 important pathway for CO₂ emission in the mulched field. In fact, the soil CO₂ emission
 387 rate of the plastic mulch depends on film features including thickness, texture and color.
 388 For example, according to Berger et al. (2013) thick black PE mulch has an
 389 extraordinarily low N₂O emission, while high N₂O can be emitted from a polyethylene
 390 film only 0.02 mm thick (Nishimura et al., 2012). Liu et al. (2016b) also reported that
 391 the transparent plastic film emits more CO₂ than the black plastic mulch. Local farmers
 392 in our study area often use clear polyvinyl chloride (PVC) film with a thickness of only
 393 0.008 mm for its low price. This film has a relatively high diffusion capacity for CO₂
 394 as indicated by our results. In a word, the planting hole, furrow, and plastic mulch are
 395 primary pathways that are responsible for CO₂ emissions in a mulched field. A

396 comprehensive measurement scheme at different locations is, therefore, necessary to
397 detect R_s in a mulched field. Our results can be potentially used to correct the reported
398 CO₂ emissions conducted only at the furrow in a mulched field (Qian-Bing et al.,
399 2012;Liu et al., 2016b).

400 Our experiment also indicates higher soil CO₂ emission rates from furrows and ridges
401 in the mulched field compared to the corresponding terms in the non-mulched field.
402 Therefore, PFM can indeed promote soil respiration in our study area. This is
403 principally due to the improved soil temperature, soil moisture and crop growth by
404 plastic mulching (see Fig. 2). Improved crop growth condition produces more root
405 biomass and litter fall, which will promote root respiration and litter fall decomposition.
406 Moreover, improved soil temperature and soil moisture can promote the activities of
407 roots and microorganisms to increase mineralization of soil organic carbon, for example,
408 by stimulating the decomposition of buried crop straw (Wang et al., 2016). This result
409 can be partly confirmed by Yu *et al.* (2016) who reported that furrow R_s in the mulched
410 field is greater than the non-mulched field. However, they also reported that R_s rates
411 from mulched and non-mulched ridges are similar, which is different from our results.
412 Furthermore, some other studies obtained the contrary conclusion (i.e., PFM decreases
413 R_s) in northern Xinjiang Uygur Autonomous Region of China (Li et al., 2011), the Loess
414 Plateau of China (Xiang et al., 2014), the Southwest of China (Lei, 2016) and central
415 Japan (Okuda et al., 2007). Also, Berger *et al.* (2013) found that PFM significantly
416 decreases N₂O emission in South Korea. Therefore, the effect of plastic mulch on R_s
417 presents different features in different areas. Our work reveals that R_s difference
418 between mulched and non-mulched fields depends on the precipitation amount. This
419 could be the reason leading to the opposite results, which will be discussed in more
420 detail in the following section.

421 **4.2 Effect of irrigation and precipitation on soil respiration**

422 Our results indicate that a substantially high SWC right after irrigation and
423 precipitation restrained R_s , and this effect decreased as soil moisture returned to the

424 normal level (Fig.7a, Fig. 9). In contrast, in natural ecosystems precipitation always
425 increases R_s immediately, such as the water addition after long-drought in a tallgrass
426 prairie ecosystem in Oklahoma, USA (Liu et al., 2002), and the 12-mm precipitation in
427 an oak/grass savanna ecosystem in California (Xu and Baldocchi, 2004). This is due to
428 the so called soil degassing effect, which is the non-steady-state CO_2 efflux at the soil
429 surface occurring mostly during rainfall or irrigation after long periods of drought (Luo
430 and Zhou, 2006). In agricultural systems, however, frequent irrigation is applied to
431 satisfy crop water requirements which maintains favorable soil moisture. This further
432 renders higher R_s than natural ecosystems particularly in the arid areas. Our results
433 further indicate that both too low and too high SWC can restrain R_s , which can be
434 expressed by a quadratic equation (Fig. 7b). This is because that lower water content
435 affects the diffusion of soluble substrates, while higher water content affects the
436 diffusion and availability of oxygen (Davidson et al., 2006;Linn and Doran, 1984). Our
437 result confirms Wang et al. (2010) who reported that irrigation stimulates R_s but too
438 much water reduces it especially shortly after the irrigation. Compared to our quadratic
439 functional relation between SWC and R_s , the effect of SWC on R_s has also be described
440 by linear, logarithmic or parabolic functions in different ecosystems around the world
441 (Davidson et al., 2000). For example, in a mountain oasis of Oman, soil respiration is
442 described to linearly correlate with SWC (Wichern et al., 2004). To be noted, the range
443 of SWC in Wichern et al. (2004) is from 0.14 to 0.25, which is smaller than soil moisture
444 threshold to restrain R_s obtained in our study. More theoretical efforts should be made
445 to reconcile different experimental results and obtain a general relationship between
446 SWC and R_s .

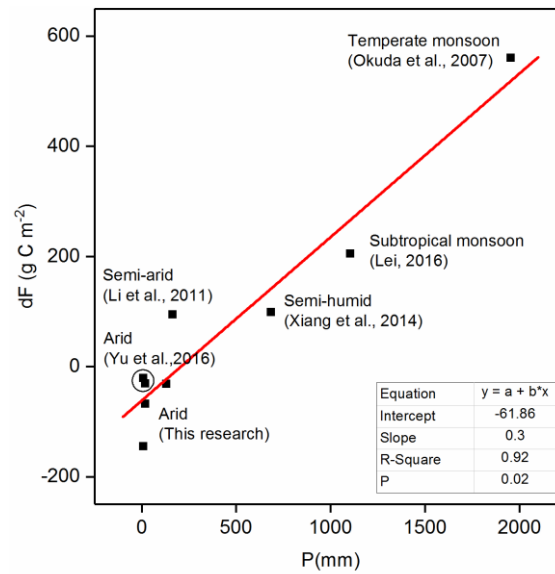
447 Our results indicated that the correlation between R_s and temperature, and the
448 temperature sensitivity (i.e. Q_{10}) are rather low in our PFM field equipped with drip
449 irrigation (Table 2). The obtained R^2 values of 0.18-0.44 are much smaller than the
450 reported values in natural ecosystems, such as in a tall grass prairie in central Oklahoma,
451 USA with R^2 of 0.77-0.97 (Luo et al., 2001), and in the Harvard Forest in central
452 Massachusetts, USA with R^2 of 0.8 (Davidson et al., 1998). The obtained Q_{10} values of

453 1.25-1.65 (Table 2, expect for the planting hole) are below the median of 2.4 reported
454 in a literature review of global soil respiration (Raich and Schlesinger, 1992). Also, they
455 are much smaller than the Q_{10} of 3.8 in a rain-fed maize cropland in the Loess Plateau
456 of China (Xiang et al., 2012). Comparatively, higher correlations between R_s and SWC
457 indicate that SWC may be the main factor affecting R_s in the PFM field under drip
458 irrigation. Lower Q_{10} values indicate that the sensitivity of R_s to temperature has been
459 weakened by higher variation of soil moisture induced by irrigation and precipitation.

460 Our results clearly reveal the confounded influence of PFM and precipitation on soil
461 respiration. The hydrological responses to precipitation in the field are changed by the
462 impermeable plastic mulch, which is the reason that the effect of precipitation on R_s is
463 different in the mulched and non-mulched fields. For example, the R_s rate in the non-
464 mulched ridge was higher than in the furrow of mulched fields and planting holes
465 during 2016 with more precipitation. However, the result was contrary in 2014 and
466 2015 with less rainfall. Also, although soil respiration rate in the mulched field was
467 always higher than in the non-mulched field during all the three years, the significance
468 of such magnitude relation decreased with increased precipitation. Therefore, we can
469 speculate that the magnitude at which the mulch accelerating soil respiration should be
470 related to the precipitation amount.

471 To verify the above speculation, a meta-analysis was carried out. The relationship of
472 the amount of annual precipitation P with the differences of annual R_s (noted as dF , i.e.,
473 R_s in the non-mulched field minus that in the mulched field) was analyzed (Fig. 10).
474 The relevant studies include an arid area ($P=45.7$ mm) in southern Xinjiang (Yu et al.,
475 2016), a semiarid area ($P=160$ mm) in northern Xinjiang (Li et al., 2011), a semi-humid
476 area ($P=566.8$ mm) on the Loess Plateau of China (Xiang et al., 2014), a subtropical
477 monsoon area ($P=1,105$ mm) in Southwest of China (Lei, 2016) and a temperate
478 monsoon climate area ($P=1,954$ mm) in Japan (Okuda et al., 2007). The dF was found
479 to have a linear relationship with the amount of precipitation. Under 200-mm annual
480 precipitation condition, R_s rates in the mulched and non-mulched fields are roughly
481 identical. For the fields with annual precipitation greater than 200 mm, R_s was lower in

482 the mulched field than the non-mulched field. This is reason why some studies obtained
 483 the contrary conclusion with our results that PFM decreases R_s .



484
 485 Fig. 10 The relationship of the difference in soil respiration between the mulched and non-mulched fields with
 486 precipitation, dF means the soil respiration in non-mulched field minus that in mulched field. In the five points of
 487 arid areas, the data from (Yu et al., 2016) is in the circle, while our research is out of the circle.

488 5. Summary

489 PFM is now widely used in agriculture around the world due to the continuous fall
 490 in the prices of plastic products, particularly in developing countries such as China. The
 491 changing land cover with a mass of PFM fields and the changing climate will affect the
 492 energy, water and carbon cycle regionally or globally. From the comprehensive analysis
 493 and discussion about the effect of plastic mulch, irrigation and precipitation on soil
 494 respiration with our controlled experimental results, some new findings were
 495 discovered in this study. First, PFM can enhance spatial heterogeneity of soil respiration
 496 under drip irrigation, and the planting hole, furrow, and plastic mulch (sort by the
 497 emission rate) are three important pathways for surface soil CO_2 emission. Second,
 498 PFM can increase soil respiration at field scale in arid areas, while this enhancement
 499 depends on precipitation amount. The linear relationship has been found between soil
 500 respiration difference (between non-mulched and mulched fields) and precipitation

501 amount at annual scale. PFM is, therefore, benefit for carbon sequestration in wet areas,
502 while it is harmful in arid areas. Third, frequent water supplies elevate soil moisture
503 and soil respiration as well as enhance their variations. The resultant higher variation
504 of soil moisture further alleviates the sensitivity of soil respiration to soil temperature
505 leading to poor correlation and lower Q_{10} values.

506 Our results suggest that the rapid expansion of PFM fields in arid areas brings new
507 challenges for controlling greenhouse gas emissions. PFM and irrigation should be
508 better depicted in future soil carbon models. Linking the hydrologic and carbon cycles
509 via the conservation of water resources is crucial for improving agronomic yields and
510 soil carbon sequestration in dryland.

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