

The file contains three parts: Response to anonymous references, marked-up version to the referee's comments and marked-up version polished by a qualified company.

Authors' responses (blue color) start with "response".

#### Response to Anonymous Reference#1

The revision of the manuscript titled "Precipitation alters plastic film mulching impacts on soil respiration in an arid area of Northwest China" focused on the effect of plastic film mulching on CO<sub>2</sub> respiration and put their findings into the global climate context. The science is of sufficient quality for publication, while some grammatical issues remain (see minor comments below). The discussion is generally well done and well cited, however, there are two areas where the authors could improve their manuscript through relatively minor revisions/additions (see major comments below). Both of these revisions/additions are to improve the context of their findings to the broader community/literature/issues. Once the major and minor comments have been addressed, along with a thorough grammatical edit, I believe the manuscript will suitable for publication.

Response: We thank the reviewer for these detailed and relevant comments that will improve the overall quality of this manuscript. A qualified language company was hired to polish the manuscript all over again. We have outlined our response to each of the comments below.

#### Major Comments

P388 – 399 What are the broader implications of using the different film/mulch features? Please expand on this paragraph to discuss the importance of plastic selection.

Response: Thanks for your suggestion. We have added a short paragraph in **Line 427-433** to discuss the effect of plastic film on both soil CO<sub>2</sub> emission and soil fertility. High-density plastic film is recommended for reducing soil CO<sub>2</sub> emissions and plastic film residues despite its higher price.

L468 This is an interesting point and an interesting analysis below that does put the results, and conflicting results of other studies, into context. However, I believe that the discussion does not put it in the context of the larger issues; chiefly, depending on annual/seasonal climate (precipitation) is PMF recommended from a CO<sub>2</sub> emissions

perspective? I strongly suggest the authors add a short discussion on this issue to link their work with the broader community/issue of PFM on a global context. You have 1 sentence (L501-502) in the conclusions that should be expanded in the discussion as it is a very interesting finding (even if it is preliminary).

Response: As per your suggestion, a more detailed discussion has been added to the manuscript in **Line 537-544** to expand our results to the global context. Below is the added discussion paragraph:

*Based on the relationships between precipitation and soil respiration in the PFM fields obtained above, plastic film mulching is recommended for application in areas with precipitation greater than 200 mm, i.e., semi-arid and humid areas, to decrease soil CO<sub>2</sub> emissions and increase soil carbon sequestration. Decreasing soil CO<sub>2</sub> emissions indicates increasing soil organic carbon and maintaining soil fertility to obtain a stable yield. Our results are consistent with those of Zhang et al. (2018), who concluded that PFM where precipitation is greater than 230 mm can result in a stable crop yield on the Loess Plateau.*

Minor Comments

L23 replace “this” with “these”

Response: Correction was made as per your suggestion

L23 replace “the” with “a”

Response: Correction was made as per your suggestion

L30 What is the CO<sub>2</sub> flux from the furrow and planting hole? Please provide this for comparison to the plastic mulch

Response: Correction was made as per your suggestion

L57 missing “the” before “intensive”

Response: Correction was made as per your suggestion

L59 remove comma and replace “which” with “that”

Response: Correction was made as per your suggestion

L62 What is the global PFM usage as a percent of arable land? Please include this value so the study can be placed better in the global context

Response: Yes, we agree with this suggestion. The value has been added.

L73-74 replace “, which, however,“ with “that”

Response: Correction was made as per your suggestion

L77-78 of which study? Please clarify

Response: We thank the reviewer for this suggestion, the sentence has been rewritten in **Line 81-82**

L101 It is improper grammar to start a sentence with an abbreviation. Please change any occurrences of this throughout the manuscript.

Response: As per your suggestion, correction was made in the whole manuscript.

L128 Please provide the full name of the evaporation pan used and not the abbreviated symbol.

Response: Correction was made as per your suggestion

L125 please italicise species names

Response: Correction was made as per your suggestion

L152 missing comma before “as”

Response: Correction was made as per your suggestion

L170 replace “, i.e.,” with a colon

Response: Correction was made as per your suggestion

L252 missing comma before “although”

Response: Correction was made as per your suggestion

L363 change “motivated” to “increased”

Response: Correction was made as per your suggestion

L361-365 Please provide the DOY beside each event because it is unclear which event you are referring to on the graph. Currently, it is unclear which event is associated with which trend and is difficult to properly evaluate this paragraph.

Response: Thanks for your suggestion, DOY beside each event has been added.

L383 Please provide values for CO<sub>2</sub> emitted.

Response: Correction was made as per your suggestion

L432-434 The quadratic (parabolic) SWC  $R_s$  relationship is well documented

throughout the literature. I suggest adding a few references and maybe a sentence detailing this wide-spread observation.

Response: As per your suggestion, references and sentences have been added.

L438-446 Yes, this relationship has been described as these other equations but as you point out it is typically due to another limiting factor and do not represent the full SWC Rs function. I would add a statement after line 444 to this effect to ensure that the reader is aware of this issue.

Response: Thanks for your suggestion, the statement has been added.

### Response to Anonymous Reference#2

The manuscript has been much improved from its previous version. The data representation and figures are now better and the focus and message of the manuscript is clear. The findings of the authors demonstrate the interplay between precipitation, irrigation and their effect on soil respiration under plastic mulching. Though it is perhaps not surprising that these effects are spatially heterogeneous and depend on soil moisture, these aspects of this management strategy have not much been highlighted before. Therefore, I think this manuscript will be a valuable contribution to HESS.

Response: We thank the reviewer for the detailed and relevant comments that will improve the overall quality of the manuscript. Below we address all the comments on a point-by-point basis.

The manuscript could be improved by providing more detailed information on how the average and cumulative respiration rates were calculated. I.e. report the area ratios for the different parts of the field, and what was the daily variability in respiration measured?

Response: Thanks for your suggestion. We have added in **Line 206-217** for details of calculating methods for average and cumulative respiration rate and the area ratio for various parts of the field. The daily variability in respiration measured is represented by error bar of daily average respiration in Fig. 3.

Line 383-395: In this part of the discussion, the authors seem to make conclusions about the CO<sub>2</sub> emission from different types of plastic mulch. Though this is an interesting part of the manuscript, it is not clear to me how these results follow from the data reported in this manuscript. Is this based on literature information? Or was this part of the findings of this study? This section should be revised to make this more clear.

Response: Indeed, this part is based on literature information to provide reference for plastic film selection in agriculture, considering our research only has one plastic film type. We discussed the effect of plastic film both on soil CO<sub>2</sub> emission and soil fertility. High-density plastic film is recommended for reducing soil CO<sub>2</sub> emissions and plastic film residues despite its higher price.

The writing of the manuscript has been much improved, but there are a few sections that could benefit from a careful language check. For example, but not limited to:

line 37: remove 'the'

Response: Correction has been made as per your suggestion.

line 83-84: check sentence, replace 'import' with 'important'

Response: Correction has been made as per your suggestion.

Line 96: remove 's' from attentions

Response: Correction has been made as per your suggestion.

line 180: check sentence

Response: The sentence has been carefully checked and revised.

Line 366-373: this section is difficult to follow. Check and consider revising

Response: This section has been carefully checked and revised.

line 437 add: 'findings by' in front of 'Wang et al....'

Response: Correction has been made as per your suggestion.

# **Precipitation alters plastic film mulching impacts on soil respiration in an arid area of Northwest China**

Authors: Guanghui Ming<sup>1</sup>, Hongchang Hu<sup>1</sup>, Fuqiang Tian<sup>1\*</sup>, Zhenyang Peng<sup>1</sup>, Pengju Yang<sup>1</sup>, Yiqi Luo<sup>2,3</sup>

Affiliations:

<sup>1</sup>Department of Hydraulic Engineering, State Key Laboratory of Hydrosience and Engineering, Tsinghua University, Beijing 100084, China,

<sup>2</sup>Department of Earth System Science, Tsinghua University, Beijing 100084, China

<sup>3</sup>Department of Microbiology and Plant Biology, University of Oklahoma, Norman, Oklahoma, USA

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**Abstract:** Plastic film mulching (PFM) has widely been used for saving water and improving crop yield around the world. However, the effect of PFM on soil respiration ( $R_s$ ) remains unclear, which could be further confounded with irrigation and precipitation. To address this question, the controlled experiments were conducted in the mulched and non-mulched fields under drip irrigation from 2014 to 2016 in an arid area of the Xinjiang Uygur Autonomous Region, Northwest China. The spatiotemporal pattern of soil surface  $\text{CO}_2$  flux as an index of soil respiration under drip irrigation with PFM were investigated, and the confounded effects of PFM and irrigation/precipitation on soil respiration were explored. The main findings are as follows: (1) The furrow, planting hole, and plastic mulch are three important pathways for soil  $\text{CO}_2$  emission in the mulched field, of which the planting hole efflux outweighs the furrow, and the plastic mulch itself can emit up to  $3.6 \mu\text{mol m}^{-2} \text{s}^{-1} \text{CO}_2$ . (2) Frequent water supplies (i.e., irrigation and precipitation) elevate soil moisture and soil respiration and enhance their variations. The resultant higher variation of soil moisture further alleviates the sensitivity of soil respiration to soil temperature leading to poor correlation and lower  $Q_{10}$  values. (3) Soil  $\text{CO}_2$  effluxes from furrows and ridges in mulched fields outweigh the corresponding terms in non-mulched fields in arid areas. However, this outweighing relation attenuates with increasing precipitation. Furthermore, by combining the literature results we show that the difference of soil  $\text{CO}_2$  effluxes between non-mulched and mulched fields presents a linear relation with precipitation amount, which results in negative values in arid areas and positive values in humid areas. Therefore, whether PFM increases soil respiration or not depends on precipitation amount during the crop growth period.

**Keywords:** plastic film mulching; soil respiration; spatial variation; irrigation; precipitation

## 1. Introduction

Soil respiration ( $R_s$ ), the flux of microbe- and plant-respired CO<sub>2</sub> from the soil surface to the atmosphere, represents the second largest CO<sub>2</sub> flux of the terrestrial biosphere following gross primary productivity (GPP) and amounts to 10 times current rate of fossil-fuel combustion (Bond-Lamberty and Thomson, 2010; Davidson et al., 2006; Liu et al., 2016a; Reichstein and Beer, 2008). Anthropogenic activities, particularly agriculture expansion and change of cultivation practices, have brought significant challenges to CO<sub>2</sub> emission control considering climate change (Baker et al., 2007). The conversion of natural to agricultural ecosystems has been recognized to cause a depletion of soil organic carbon pool by as much as 60% (Lal, 2004), and additionally, soil respiration in agricultural ecosystems is relatively larger than that in natural ecosystems due to **intensive cultivation** (Buyanovsky et al., 1987; Raich and Tufekciogul, 2000).

A particular example is plastic film mulching (PFM), **which** was invented as an advanced agriculture cultivation technology for saving water and improving crop yield in 1950s and has ever since been widely applied around the world, e.g., in the tropical USA, Europe, South Korea and China. For instance, approximately 19% of the total arable land (130 million ha) in China was cultivated using PFM in 2014 (Wang et al., 2016), and specifically, the PFM area has reached 1.2 million ha in the arid Xinjiang Uygur Autonomous Region, Northwest China (Zhang et al., 2014). In a PFM field, the new method may alter the albedo, soil temperature, soil moisture, and crop growth conditions (Zhang et al., 2011), all of which can affect both heterotrophic and autotrophic respiration. Furthermore, the large-scale application of PFM may alter the regional climate, hydrologic cycle, and carbon cycle (Bonan, 2008; Li et al., 2016; Cox et al., 2000). Therefore, detecting the altered environmental conditions and CO<sub>2</sub> emissions in PFM fields is crucial for the maintenance of regional and global soil carbon balances in the situation of global climate change.

There are just a few studies devoting to CO<sub>2</sub> emissions in PFM fields, **which**,



however, deliver contrasting results. For example, Yu et al. (2016) showed that the soil surface CO<sub>2</sub> emission in a mulched field in southern Xinjiang Uygur Autonomous Region of China increases by 8% relative to the non-mulched field, and the increase mainly comes from furrows instead of ridges (the readers are referred to Fig. 1 for the configuration of furrow, ridge, planting hole, mulch, etc.). However, Li et al. (2011) detected that the CO<sub>2</sub> concentration in soil profiles is higher in mulched fields but the soil CO<sub>2</sub> efflux decreases by 21% relative to the non-mulched field in northern Xinjiang Uygur Autonomous Region of China. Similar results that PFM decreased CO<sub>2</sub> emission were also found on the Loess Plateau of China (Xiang et al., 2014), Southwest of China (Lei, 2016) and a temperate monsoon climate area in Japan (Okuda et al., 2007). About the emitting pathways for greenhouse gases in the field, Berger et al. (2013) found that planting holes and furrows are **import** pathways for N<sub>2</sub>O emission in mulched ridges. In addition, Nishimura et al. (2012) revealed in a laboratory experiment that N<sub>2</sub>O gradually permeates the plastic mulch. These findings indicate that the pathways for the gases emission in a mulched field may include furrows, planting holes and plastic mulches, which has not been quantified for soil CO<sub>2</sub> efflux in PFM fields. Some experimental studies simply interpreted soil respiration from furrows as the field averaged flux (Qian-Bing et al., 2012; Liu et al., 2016b), which may lead to the underestimation of soil respiration flux because ridges usually emit more CO<sub>2</sub> than furrows.

In addition, irrigation and precipitation are also crucial to soil respiration due to the nature of moisture limit on soil respiration in arid and semiarid regions, to which less **attentions** have been paid. After irrigation and precipitation, soil moisture undergoes a wetting-drying cycle that affects soil porosity and influences the activities of root biomass and microorganisms that control soil carbon dynamics (Yan et al., 2014). Both intensity and amount of irrigation/precipitation affect soil respiration. A couple of studies indicated that soil respiration rate in a drip irrigation field is greater than that in a flood irrigation field (Guo et al., 2017; Qian-Bing et al., 2012). **PFM** can modify the hydrological processes induced by precipitation or irrigation in different ways and may

further impact soil respiration. For example, rainwater cannot infiltrate into ridges in a mulched field due to the barrier of plastic mulch which, however, can cause additional soil moisture increase in furrows. Differently, infiltration of irrigation water principally occurs in ridges under drip irrigation method as drip tapes are beneath the plastic mulch. The different impact of PFM on soil moisture distribution induced by precipitation or irrigation may further have different influences on soil respiration. To the best of authors' knowledge, however, such different influences of PFM on soil respiration in terms of irrigation or precipitation have not yet been explored.

The main objective of this study is, therefore, to address the effect of PFM on soil respiration and the confounding influence of irrigation and precipitation. Control experiments under mulched and non-mulched drip irrigation conditions were conducted in a cotton field in the arid area of the Xinjiang Uygur Autonomous Region, Northwest China. The soil respiration from different locations in mulched and non-mulched fields were continuously monitored in the growth periods from 2014 to 2016. With these experimental results, we investigated the following questions specifically: (1) what's the spatiotemporal pattern of soil respiration in a PFM field? (2) how does PFM affect soil respiration through its alteration on soil temperature and moisture? and (3) what's the confounding effect of irrigation/precipitation and PFM on soil respiration?

## **2. Study Area and Methods**

### **2.1 Study area**

The field experimental site (86°12' E, 41°36' N; 886 m above sea level) is located in one of the oases scattered on the alluvial plain of the Kaidu-Kongqi River (a tributary of the Tarim River) Basin, north of the Taklamakan Desert in the Xinjiang Uygur Autonomous Region of Northwest China. The region has a temperate continental climate, with a mean annual precipitation of 60 mm, mean annual temperature of 11.48°C, and mean annual water surface evaporation of 2,788 mm as measured by **Φ20 pan**. The annual sunshine duration is 3,036 hours, which is favorable for **cotton** growth.

The experimental field covers an area of 3.48 ha. The major soil texture in the field is silt loam, and the contents of sand, silt and clay separates are 32.8%, 62.4% and 4.8%, respectively, and its bulk density is from 1.4 g cm<sup>-3</sup> to 1.64 g cm<sup>-3</sup> in the 1.5 m soil profile. The soil porosity is 0.42, which was directly determined in the laboratory using the undisturbed soil columns collected in the experimental field.

Cotton (*Gossypium hirsutum* L.) is usually sown in April and harvested during October and November, i.e. the growth period is from DOY (day of the year) 100 to 300 approximately. The planting style is “one film, one drip pipe beneath the film and four rows of cotton above the film” as depicted in Fig. 1. The plastic film (0.008 mm thick) is white and made of dense and airtight transparent polyethylene film. The width of the film is 1.1 m, and the inter-film zone is 0.4 m. Before sowing, small square holes (2 cm length) are made for germinating at 0.1 m intervals within a row in the plastic film, and then seeds are placed into the holes, and each hole is covered with soil. The planting density is approximately 160,000 plants per ha. The annual basic fertilizer before sowing includes 173 kg ha<sup>-1</sup> of compound fertilizers (14% N, 16% P<sub>2</sub>O<sub>5</sub>, and 15% K<sub>2</sub>O), 518 kg ha<sup>-1</sup> of calcium superphosphate (18% N, 40% P<sub>2</sub>O<sub>5</sub>) and 288 kg ha<sup>-1</sup> of diammonium phosphate (P<sub>2</sub>O<sub>5</sub>>16%). Supplemental fertilizers during the growth period contain approximately 292 kg ha<sup>-1</sup> of urea (46% N) and 586 kg ha<sup>-1</sup> of drip compound fertilizer (13% N, 18% P<sub>2</sub>O<sub>5</sub>, and 16% K<sub>2</sub>O) and foliar fertilizer (P<sub>2</sub>O<sub>5</sub>>52%, and K<sub>2</sub>O>34%). Drip irrigation usually begins on June 12 in the bud stage with an approximate amount of 20-50 mm each time and 9-12 times per growth season. The annual irrigation amount is 500-600 mm.

## 2.2 Experimental set-up

This study focuses on the growth **season as** soil respiration in non-growth season is extremely low. The mulched and non-mulched treatments were arranged in a randomized block design with three replicates in the same field with the same fertilization and irrigation scheme from the year 2014 to 2016. The plastic mulch had been covered until the seed germination in the non-mulched treatment to protect seed

germinating. The experiments roughly started from the bud stages when cotton began to grow faster. The beginning experimental dates are DOY 184, 175, 167 and the length of measured periods are 95, 60, 100 days, respectively. Soil respiration measurements were carried out with an LI-8100A (LI-COR, Inc., Lincoln, Nebraska) on one day between two irrigation events. Therefore, soil respiration was approximately measured every one weeks during the cotton-growth season. The automated soil CO<sub>2</sub> flux measurement system consists of two parts, PVC collars (10 cm in diameter and 5 cm in height) and a measuring chamber. The PVC collars were inserted 2-3 cm into the soil by removing living plants and litter inside the soil collars at least 1 day before the measurements. Data were recorded by the data logger in the LI-8100A.

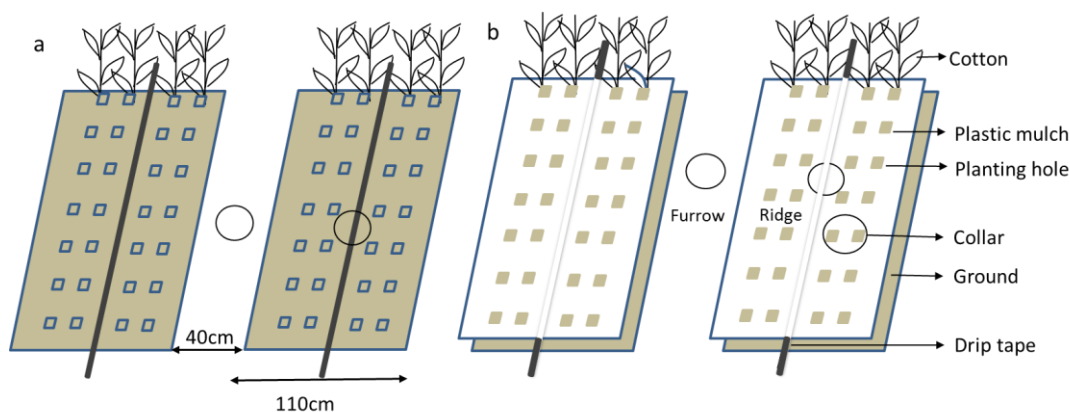


Fig. 1. Schematic drawing of the experimental configuration for: (a) a non-mulched field, and (b) a mulched field.

The soil respiration was measured in the following parts, *i.e.*, the furrow and ridge of the non-mulched treatment, and the furrow, planting hole, and plastic mulch of the mulched treatment in 2016 (see Fig. 1 for the experimental configuration). Soil respiration was measured in the furrow for the mulched treatment and the ridge for the non-mulched treatment in 2014 and it was measured the furrow for the mulched treatment and in both furrow and ridge for the non-mulched treatment in 2015. The measurements were performed every 2 hours during the experimental day from 8:00 to 24:00. To measure the soil respiration on the soil surface without film covering (*i.e.*, the furrows in the mulched and non-mulched fields and the non-mulched ridge), the PVC collars were inserted directly into the soil. **Before measuring the CO<sub>2</sub> emission through the plastic mulch and in the plant holes, the plastic mulch was accomplished**

by cutting holes of the size of the collar in the plastic mulch and around plant holes, installing the collars and then placing the plastic mulch in the collars. Scotch tape was used to seal the interspaces between the plastic mulch and collar to prevent air leakage.

The soil temperature and soil moisture at a depth of 5 cm were monitored adjacent to each PVC collar using the auxiliary sensors of the LI-8100A, and concurrent with the soil CO<sub>2</sub> flux measurements. The drip irrigation amount was obtained by water meters installed on the branch pipes of the drip irrigation system. The precipitation was measured by a tipping bucket rain gauge (model TE525MM, Campbell Scientific Inc., Logan, UT, USA), which was mounted 0.7 m above the ground.

## 2.3 Data analysis method

The soil respiration from different parts at a particular time of a day was calculated as the average of three replicates. The daily mean  $R_s$  was calculated as average of  $R_s$  measured at various times in a day. The  $R_s$  in the mulched ridges was calculated with the area ratio of  $R_s$  through the plant holes and the plastic mulch:

$$R_{r-m} = R_{h-m} * A_{h-m} + R_{p-m} * A_{p-m} \quad (1)$$

where, the symbols of  $R_{h-m}$  and  $R_{p-m}$  are the soil respiration from the planting hole and plastic mulch, which constitute the soil respiration in the ridge ( $R_{r-m}$ ). **The term  $A$  means the area ratio of the different parts. The accumulative  $R_s$  in the ridges and furrows during the growth season was estimated by summing the products of soil CO<sub>2</sub> flux and the number of days between sampling times.** Hypothetical  $t$ -test was used to test the significance of differences among  $R_s$  from furrows and ridges of the mulched and non-mulched fields.

The regression of  $R_s$  with soil temperature and soil moisture were analyzed using SPSS (Statistical Package for the Social Sciences) software. The Van't Hoff equation was used to represent the relationship of  $R_s$  with soil temperature (Hoff, 1898):

$$R_s = Ae^{bT} \quad (2)$$

where,  $R_s$  is soil respiration,  $T$  is soil temperature,  $A$  is the intercept of soil respiration when soil temperature is 0 °C (i.e., reference soil respiration). Moreover,  $b$  represents

the temperature sensitivity of soil respiration. The  $Q_{10}$  value, which describes the change in soil respiration over a 10 °C increase in soil temperature, is calculated as

$$Q_{10} = e^{10b} \quad (3)$$

Considering lower and higher values of soil water content both restrain the soil respiration, we adopt a quadratic equation to simulate the effect of soil moisture on soil respiration according to Davidson et al. (1998):

$$R_s = aV^2 + bV + c \quad (4)$$

where,  $V$  is the soil water content and  $a$ ,  $b$ , and  $c$  are regressed parameters.

### 3. Results

#### 3.1 Environmental factors and crop growth

Fig. 2 shows the dynamics of albedo, soil moisture, soil temperature, and cotton leaf area index (LAI), which suggests that these environmental factors and crop growth conditions are modified by PFM and other cultivation practices. Other than two snowfall events occurring in January 2015 and January 2016 that elevated albedo beyond 0.4, the albedo was altered by cultivations as shown in Fig. 2(b). In early March, it was increased by the spring irrigation applied one month before sowing. Then it was decreased by plough several days before mulching on April 20 or so. After plastic mulching in April, the surface albedo had a sudden rise, and then slowly decreased with crop canopy development. Generally, the albedo reached the minimum value with the highest value of LAI during the bud stage in August, and then, increased very slowly with leaf fall.

Spatial distributions of soil moisture and soil temperature were both affected by plastic mulching. As shown in Fig. 2(a), soil moisture in ridges was mostly higher than furrows with the effect of frequent drip irrigation. Fig. 2(c) shows that soil temperature in the mulched ridge was higher than the open furrow. However, in the later growth stages, soil temperature in the furrow became coincident with or even exceeded that in the ridge due to canopy development.

PFM can also affect plant phenology. As shown in Fig. 2(d), LAI started increasing with seed germination, reached its maximum value at the bud stage during August, and then decreased with leaf falling. The LAI in the mulched field was higher than the non-mulched field during the comparative experiment year of 2016, particularly in the vigorous growth stages.

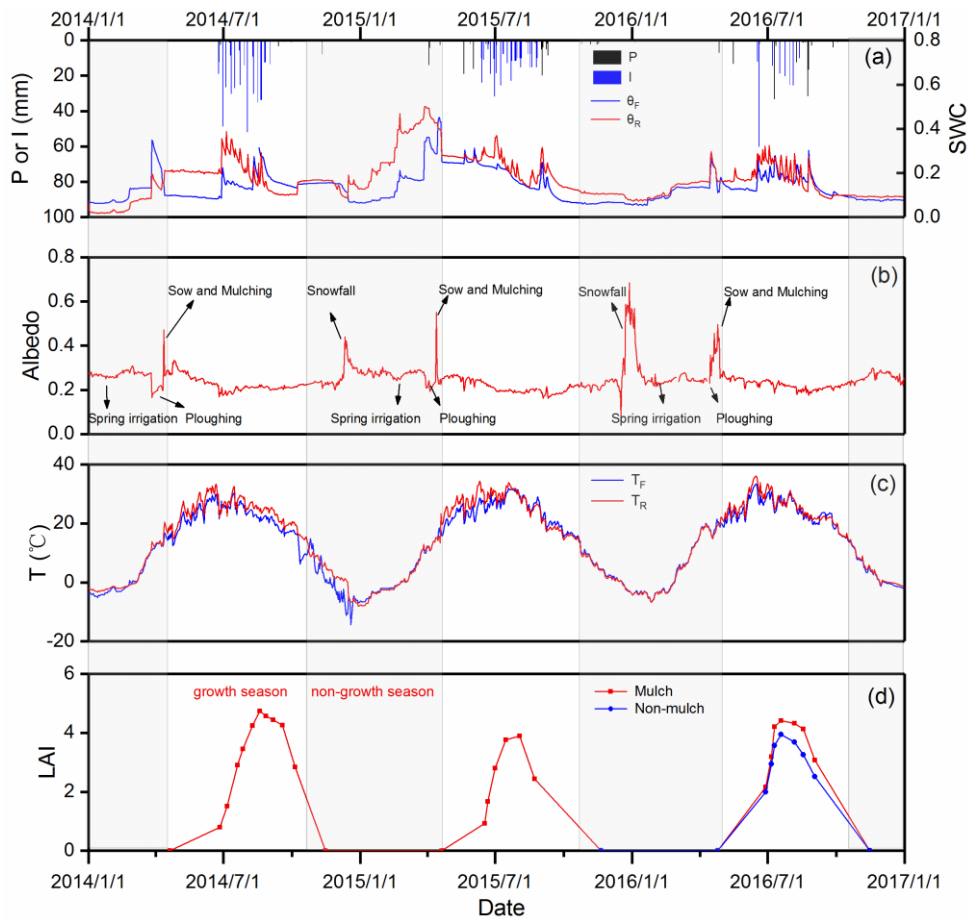


Fig. 2. Environmental factors and crop growth in the PFM field under drip irrigation; (a) SWC (soil water content) in the ridge ( $\theta_R$ ) and furrow ( $\theta_F$ ) affected by irrigation and precipitation; (b) Albedo affected by cultivation practices and snowfall in the mulched field; (c) T (soil temperature) in the furrow ( $T_F$ ) and ridge ( $T_R$ ) in the mulched field; (d) LAI (leaf area index) in the mulched and non-mulched fields (LAI comparative measurements were only conducted in 2016). The shadow part indicates the non-growth season.

### 3.2 Seasonal and spatial variations in soil respiration

As shown in Fig. 3, the magnitude and amplitude of  $R_s$  are rather different in different years. For example, soil respiration fluxes in non-mulched ridges were 1-6  $\mu\text{mol m}^{-2} \text{s}^{-1}$

<sup>1</sup>, 4-7  $\mu\text{mol m}^{-2} \text{s}^{-1}$  and 3-11  $\mu\text{mol m}^{-2} \text{s}^{-1}$ , respectively, in the three years. Seasonal  $R_s$  variation was generally dominated by soil temperature dynamics (their correlation will be further analyzed in Section 3.4) although some anomalies occurred. For example, on the DOY 180 of 2016,  $R_s$  rates in the non-mulched ridge and planting hole obtained peak values, while those from furrows in both mulched and non-mulched fields were pretty low. On the following DOY 192, however, the situation was reverse and on DOY 235 all  $R_s$  fluxes experienced an abnormal declining and then rising cycle. These anomalies may be related to the SWC dynamics caused by irrigation and precipitation, which will be further explained in Sections 3.5 and 3.6.

$R_s$  shows a significant spatial variability at field scale. As shown in Fig. 3, the results in 2015 and 2016 indicated a consistent higher soil CO<sub>2</sub> emission rate from the ridge than the furrow in the non-mulched field. In the mulched field as indicated by Fig. 3(c),  $R_s$  from the plastic film was very low, while the rate from the planting hole was higher than that from the furrow most of the time. For  $R_s$  from the furrow, its rate in the mulched field generally exceeded that in the non-mulched field in 2015 and 2016 except DOY 222 of 2016, which was just after a 12.8-mm rainfall event as shown in Fig. 8.

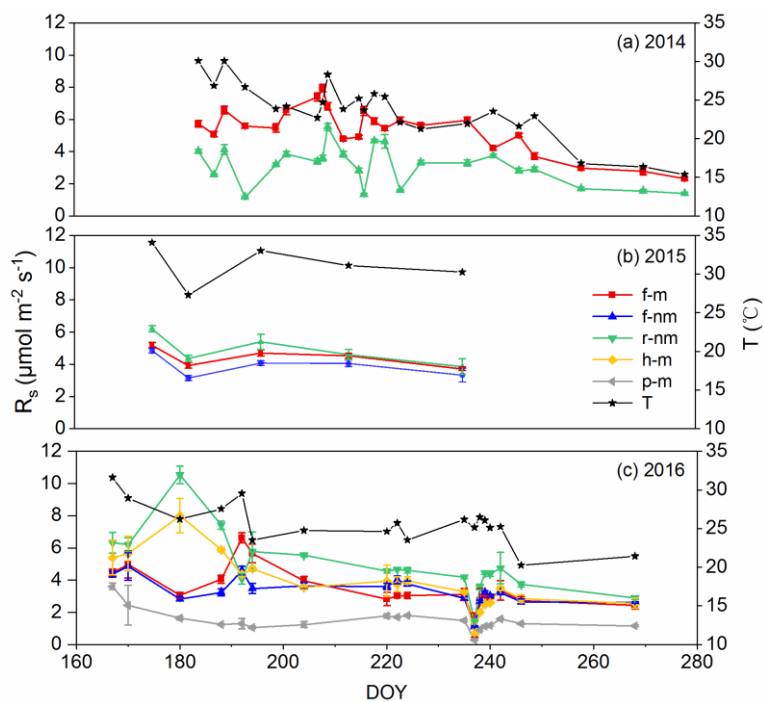


Fig. 3. Spatiotemporal variations of soil respiration in mulched and non-mulched fields over the three years. The whiskers represent the standard deviation of three replicate  $R_s$  measurements (f-m, h-m and p-m represent furrow,



planting hole, and plastic mulch in the mulched field; f-nm and r-nm represent furrow and ridge in the non-mulched field; T represent soil temperature).

### 3.3 Comparison of soil respiration in mulched and non-mulched fields

Fig. 4 depicts seasonal accumulative  $R_s$  and precipitation over the three experimental years. To be noted,  $R_s$  from the mulched ridge is the area weighted summation of the terms from the plastic mulch and planting holes. A prominent feature indicated in the figure is that  $R_s$  fluxes over the ridge and furrow in the mulched field are consistently larger than the corresponding terms in the non-mulched field. Although, this magnitude relation was not significant at the furrow in 2015 and 2016 or at the ridge in 2016 at a significance level of 0.05 (Table 1). Totally, seasonal average  $R_s$  was  $444.69 \text{ g C m}^{-2}$  in the mulched field and  $359.9 \text{ g C m}^{-2}$  in the non-mulched field during the growth period over three years. The accumulative  $R_s$  in the mulched field was indeed significantly larger than that in the non-mulched field in the years of 2014 and 2015. However, for the year of 2016 with substantial precipitation amount of 130 mm, the positive deviation of mulched field  $R_s$  was not at a significance level.

Also, the furrow  $R_s$  difference between the mulched and non-mulched field was smaller than the difference at the ridge over all the three years and the magnitude of such differences decreased from the year 2014 to 2016. To be noted, seasonal precipitation amount presented an increase trend from the year of 2014 to 2016. This means that more precipitation tends to eliminate  $R_s$  differences between mulched and non-mulched fields.

Table 1 *t*-test of significance for soil respiration in furrows, ridges and the total soil respiration between mulched and non-mulched fields ( $R_m$  and  $R_{nm}$  are the total soil respiration in mulched and non-mulched field, respectively. *df* is the degree freedom,  $t_{0.05}(4)$  is the *t* value at the significant value of 0.05 at the *df* of 4).

Year	$R_{f-m}/R_{f-nm}$	$R_{r-m}/R_{r-nm}$	$R_m/R_{nm}$	<i>df</i>	$t_{0.05}(4)$
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2014	4.92	9.27	7.87	4	2.776
2015	2.25	4.59	4.04		
2016	0.40	1.91	1.52		

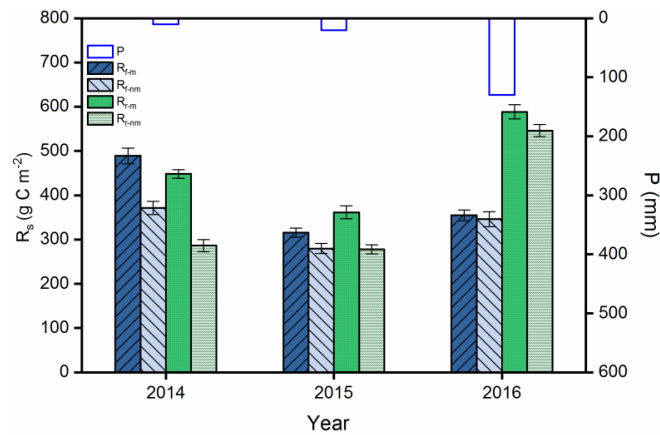


Fig. 4 Seasonal accumulative soil respiration and precipitation over three experimental years. The whiskers represent standard deviations (f-m, r-m represent furrow and ridge in the mulched field; f-nm and r-nm represent furrow and ridge in the non-mulched field).

### 3.4 Functional relations between soil respiration and soil temperature

All  $R_s$  fluxes in different locations of the mulched and non-mulched fields showed increasing trends with temperature (Fig. 5), which were fitted using exponential equation as described in Section 2.3. However, their correlation is very poor and vary with location and time. The furrow possesses higher  $R^2$  than the ridge for relatively stable soil moisture in the furrow. Also, the  $Q_{10}$  values in the furrows are much lower than in the ridges.

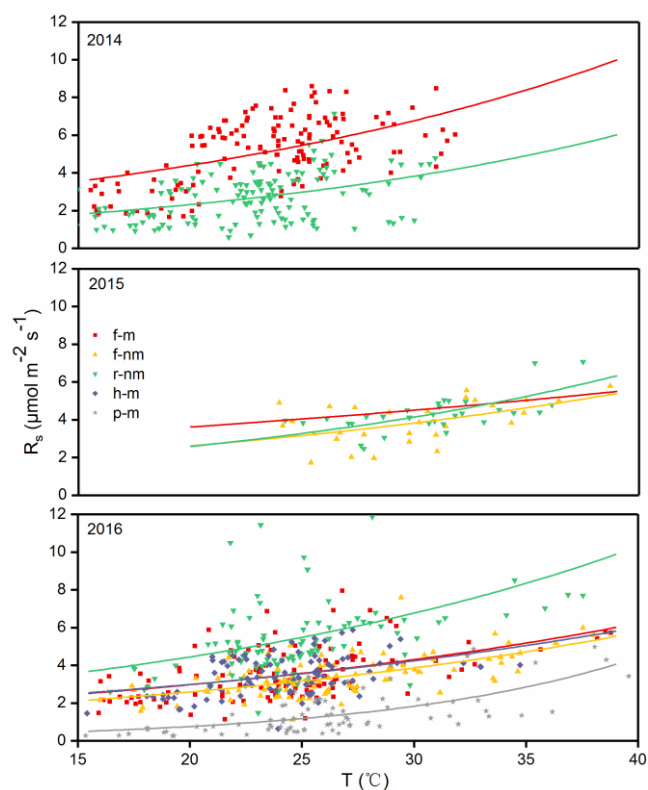


Fig. 5. Relations between soil respiration and soil temperature at different locations in mulched and non-mulched fields. The data represent means  $\pm$  standard deviation (SD) of three replicates. The regression lines for different locations were fitted with Equation 2 and the regression equations are shown in Table 2 (f-m, h-m, p-m represent furrow, planting hole, plastic mulch; f-nm and r-nm represent furrow and ridge in non-mulched fields).

Table 2 Parameters for fitted exponential equations of soil respiration with soil temperature for different locations in mulched and non-mulched fields (refer to Equations (2) and (3))

Year	Parameters	f-m	f-nm	r-nm	h-m	p-m
<b>2014</b>	a	1.87		0.86		
	b	0.04		0.05		
	$Q_{10}$	1.54		1.65		
	$R^2$	0.29		0.18		
<b>2015</b>	a	2.33	1.23	1.01		
	b	0.02	0.04	0.05		
	$Q_{10}$	1.25	1.46	1.60		

	$R^2$	0.18	0.27	0.43		
<b>2016</b>	a	1.42	1.16	1.92	1.48	0.13
	b	0.04	0.04	0.04	0.04	0.09
	$Q_{10}$	1.45	1.49	1.52	1.42	2.41
	$R^2$	0.23	0.39	0.20	0.18	0.44

### 3.5 Irrigation and soil respiration

The year 2014 was chosen to investigate the response of  $R_s$  to irrigation for very few precipitation events occurring in this year and the results are shown in Fig. 6. It is clear that soil moisture in the non-mulched ridge was always lower than the furrow in the mulched field except for some days immediately after irrigation. Reasonably higher soil moisture favors soil respiration and consequently  $R_s$  from the furrow in the mulched field was always higher than from the non-mulched ridge. Another dominant feature shown in Fig. 6 is the quick response of soil moisture and  $R_s$  to irrigation. Soil moisture experienced a quick rising, while  $R_s$  witnessed a diving after irrigation, which means that too much water in soil may conversely restrain its respiration. Due to the configuration of drip tape and plastic mulch, soil moisture and respiration in the ridges of mulched and non-mulched fields experienced similar but more drastic variations than the furrow.

To investigate the response of  $R_s$  to irrigation in more detail, the  $R_s$  dynamics within an irrigation cycle was explored. As  $R_s$  measurements were conducted randomly between two irrigation events, data on different days after irrigation were collected to analyze the  $R_s$  variation. The irrigation effect is presented by plotting  $R_s$  versus the number of days after irrigation with an irrigation cycle of approximately 6 days. The results in Fig. 7(a) shows again that  $R_s$  rate in the non-mulched ridge was extremely low immediately after irrigation, and then recovered slowly. While in the furrow of the mulched field, irrigation had almost no influence on soil respiration. Both  $R_s$  rates from the furrow and ridge reached the maximum values on the fourth day after irrigation and

then began to decrease with soil drying process. The relation between  $R_s$  and soil moisture can be expressed in the form of a binomial equation as shown in Fig. 7(b), which indicates that  $R_s$  is very low with dry soil and increases with soil moisture. However,  $R_s$  shows a declining trend when soil moisture exceeds a certain threshold. The threshold is approximately 0.25 in the furrow of the mulched field and approximately 0.2 in the non-mulched ridge. The above thresholds are approximately 60% and 50% of the water-filled pore space (WFP), respectively.

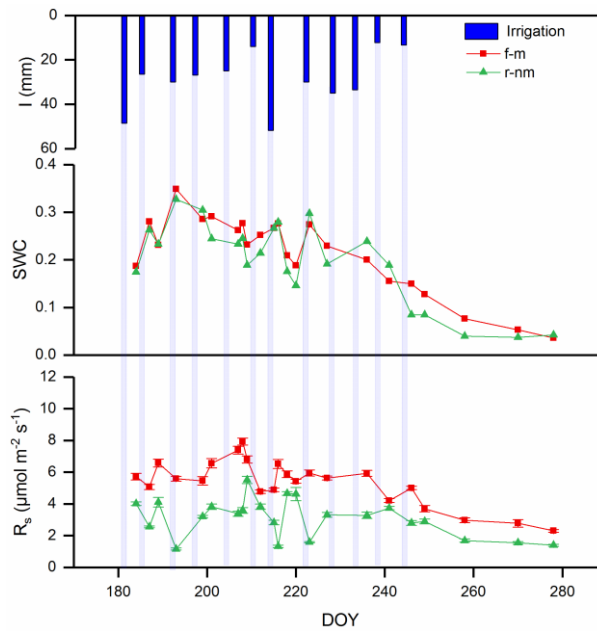


Fig. 6. The responses of soil moisture and respiration to irrigation at different locations in the mulched and non-mulched fields in 2014 (f-m and r-nm represent furrow in the mulched field and ridge in the non-mulched field).

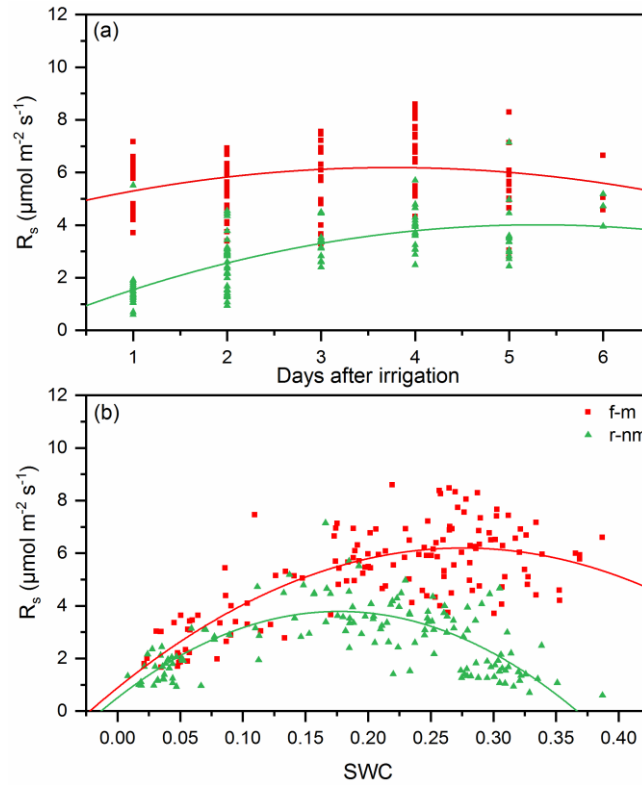


Fig. 7. Influence of irrigation on soil respiration. (a) Variation of soil respiration with number of day after irrigation. (b) Relation between soil respiration and soil moisture (regression lines are fitted with the binomial equation as shown in Equation (4)). (f-m and r-nm represent furrow in the mulched field and ridge in the non-mulched field)

### 3.6 Precipitation and soil respiration

The year 2016 was chosen to investigate the response of soil respiration to precipitation because significant amount of rainfall occurred in this year. As shown in Fig. 8,  $R_s$  exhibited similar response behavior to irrigation in the planting hole, plastic mulch, and non-mulched, while it presented similar response behavior to precipitation in the furrows of mulched and non-mulched fields. Particularly, three large rainfall events with the amount of 12.8 mm, 36.8 mm, and 48 mm occurred on the DOY 222, 192, and 235 of 2016, respectively. As we can see from the Fig. 8, the light event (12.8 mm) had little effect on soil moisture and  $R_s$ , the moderate event (36.8 mm) restrained  $R_s$  in the non-mulched ridge and planting hole but motivated  $R_s$  in the furrows of the mulched and non-mulched fields, while the heavy event (48 mm) restrained  $R_s$  in all parts of the mulched and non-mulched fields.

Taken the heavy event as an example, the effect of precipitation on  $R_s$  in a wetting-drying cycle was more closely investigated before and after the event. As shown in Fig. 9,  $R_s$  rates at all locations were restrained by substantially high soil water content. It was even substantially restrained in the mulched ridge where soil water content was only 0.15. This finding means that soil moisture threshold to restrain  $R_s$  under precipitation is less than that under irrigation. It is noteworthy that it took roughly one day for  $R_s$  to return back to a normal rate after precipitation. This is much shorter than that associated with irrigation.

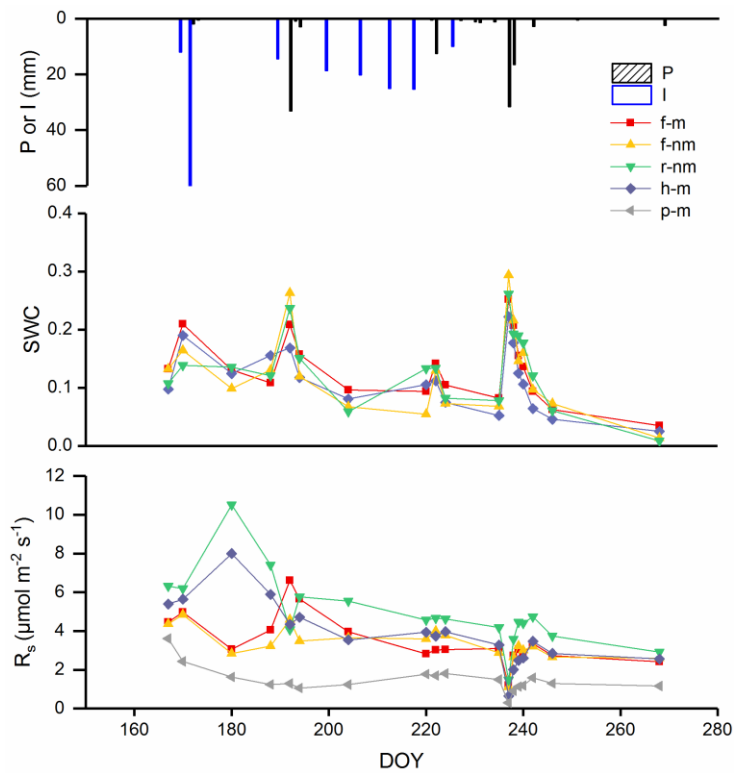


Fig. 8. Response of soil moisture and soil respiration to precipitation and irrigation during 2016. (f-m, h-m and p-m represent furrow, planting hole, and plastic mulch in the mulched field; f-nm and r-nm represent furrow and ridge in the non-mulched field)

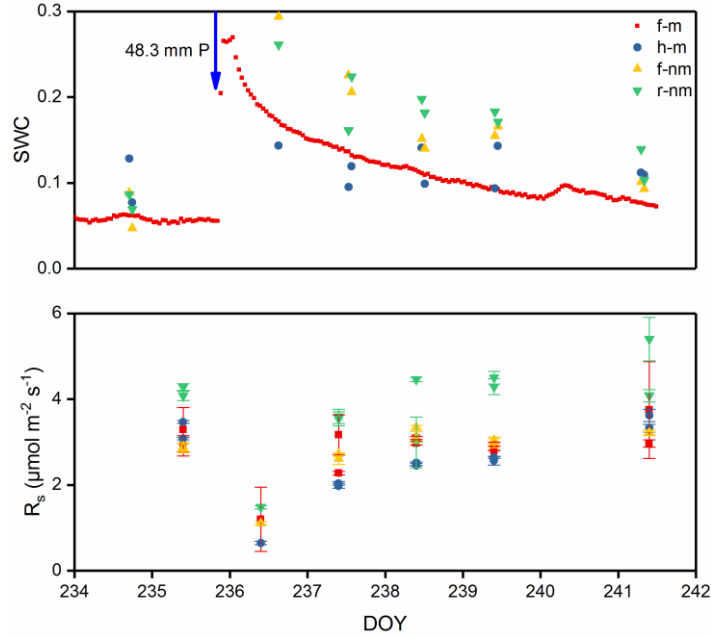


Fig. 9. Variations in soil moisture and soil respiration in a wetting-drying cycle after a heavy rainfall.

## 4. Discussion

### 4.1 Effect of plastic mulch on soil respiration

Our experiment indicates that the **planting hole emitted more CO<sub>2</sub> than the furrow** (Fig. 3), and the plastic mulch itself can also emit CO<sub>2</sub> at a rate of 3.6  $\mu\text{mol m}^{-2} \text{s}^{-1}$ . Considering that the plastic mulch occupies most of the ridge area, it is also an important pathway for CO<sub>2</sub> emission in the mulched field. In fact, the soil CO<sub>2</sub> emission rate of the plastic mulch depends on film features including thickness, texture and color. For example, according to Berger et al. (2013) thick black PE mulch has an extraordinarily low N<sub>2</sub>O emission, while high N<sub>2</sub>O can be emitted from a polyethylene film only 0.02 mm thick (Nishimura et al., 2012). Liu et al. (2016b) also reported that the transparent plastic film emits more CO<sub>2</sub> than the black plastic mulch. Local farmers in our study area often use clear polyvinyl chloride (PVC) film with a thickness of only 0.008 mm for its low price. **This film has a relatively high diffusion capacity for CO<sub>2</sub> as indicated by our results.** In a word, the planting hole, furrow, and plastic mulch are primary pathways that are responsible for CO<sub>2</sub> emissions in a mulched field. A



comprehensive measurement scheme at different locations is, therefore, necessary to detect  $R_s$  in a mulched field. Our results can be potentially used to correct the reported CO<sub>2</sub> emissions conducted only at the furrow in a mulched field (Qian-Bing et al., 2012; Liu et al., 2016b).

Our experiment also indicates higher soil CO<sub>2</sub> emission rates from furrows and ridges in the mulched field compared to the corresponding terms in the non-mulched field. Therefore, PFM can indeed promote soil respiration in our study area. This is principally due to the improved soil temperature, soil moisture and crop growth by plastic mulching (see Fig. 2). Improved crop growth condition produces more root biomass and litter fall, which will promote root respiration and litter fall decomposition. Moreover, improved soil temperature and soil moisture can promote the activities of roots and microorganisms to increase mineralization of soil organic carbon, for example, by stimulating the decomposition of buried crop straw (Wang et al., 2016). This result can be partly confirmed by Yu *et al.* (2016) who reported that furrow  $R_s$  in the mulched field is greater than the non-mulched field. However, they also reported that  $R_s$  rates from mulched and non-mulched ridges are similar, which is different from our results. Furthermore, some other studies obtained the contrary conclusion (i.e., PFM decreases  $R_s$ ) in northern Xinjiang Uygur Autonomous Region of China (Li et al., 2011), the Loess Plateau of China (Xiang et al., 2014), the Southwest of China (Lei, 2016) and central Japan (Okuda et al., 2007). Also, Berger *et al.* (2013) found that PFM significantly decreases N<sub>2</sub>O emission in South Korea. Therefore, the effect of plastic mulch on  $R_s$  presents different features in different areas. Our work reveals that  $R_s$  difference between mulched and non-mulched fields depends on the precipitation amount. This could be the reason leading to the opposite results, which will be discussed in more detail in the following section.

## 4.2 Effect of irrigation and precipitation on soil respiration

Our results indicate that a substantially high SWC right after irrigation and precipitation restrained  $R_s$ , and this effect decreased as soil moisture returned to the

normal level (Fig.7a, Fig. 9). In contrast, in natural ecosystems precipitation always increases  $R_s$  immediately, such as the water addition after long-drought in a tallgrass prairie ecosystem in Oklahoma, USA (Liu et al., 2002), and the 12-mm precipitation in an oak/grass savanna ecosystem in California (Xu and Baldocchi, 2004). This is due to the so called soil degassing effect, which is the non-steady-state  $\text{CO}_2$  efflux at the soil surface occurring mostly during rainfall or irrigation after long periods of drought (Luo and Zhou, 2006). In agricultural systems, however, frequent irrigation is applied to satisfy crop water requirements which maintains favorable soil moisture. This further renders higher  $R_s$  than natural ecosystems particularly in the arid areas. Our results further indicate that both too low and too high SWC can restrain  $R_s$ , **which can be expressed by a quadratic equation (Fig. 7b)**. This is because that lower water content affects the diffusion of soluble substrates, while higher water content affects the diffusion and availability of oxygen (Davidson et al., 2006; Linn and Doran, 1984). Our result confirms Wang et al. (2010) who reported that irrigation stimulates  $R_s$  but too much water reduces it especially shortly after the irrigation. Compared to our quadratic functional relation between SWC and  $R_s$ , the effect of SWC on  $R_s$  has also been described by linear, logarithmic or parabolic functions in different ecosystems around the world (Davidson et al., 2000). For example, in a mountain oasis of Oman, soil respiration is described to linearly correlate with SWC (Wichern et al., 2004). To be noted, the range of SWC in Wichern et al. (2004) is from 0.14 to 0.25, **which is smaller than soil moisture threshold to restrain  $R_s$  obtained in our study**. More theoretical efforts should be made to reconcile different experimental results and obtain a general relationship between SWC and  $R_s$ .

Our results indicated that the correlation between  $R_s$  and temperature, and the temperature sensitivity (i.e.  $Q_{10}$ ) are rather low in our PFM field equipped with drip irrigation (Table 2). The obtained  $R^2$  values of 0.18-0.44 are much smaller than the reported values in natural ecosystems, such as in a tall grass prairie in central Oklahoma, USA with  $R^2$  of 0.77-0.97 (Luo et al., 2001), and in the Harvard Forest in central Massachusetts, USA with  $R^2$  of 0.8 (Davidson et al., 1998). The obtained  $Q_{10}$  values of

1.25-1.65 (Table 2, expect for the planting hole) are below the median of 2.4 reported in a literature review of global soil respiration (Raich and Schlesinger, 1992). Also, they are much smaller than the  $Q_{10}$  of 3.8 in a rain-fed maize cropland in the Loess Plateau of China (Xiang et al., 2012). Comparatively, higher correlations between  $R_s$  and SWC indicate that SWC may be the main factor affecting  $R_s$  in the PFM field under drip irrigation. Lower  $Q_{10}$  values indicate that the sensitivity of  $R_s$  to temperature has been weakened by higher variation of soil moisture induced by irrigation and precipitation.

Our results clearly reveal the confounded influence of PFM and precipitation on soil respiration. The hydrological responses to precipitation in the field are changed by the impermeable plastic mulch, which is the reason that the effect of precipitation on  $R_s$  is different in the mulched and non-mulched fields. For example, the  $R_s$  rate in the non-mulched ridge was higher than in the furrow of mulched fields and planting holes during 2016 with more precipitation. However, the result was contrary in 2014 and 2015 with less rainfall. Also, although soil respiration rate in the mulched field was always higher than in the non-mulched field during all the three years, the significance of such magnitude relation decreased with increased precipitation. Therefore, we can speculate that the magnitude at which the mulch accelerating soil respiration should be related to the precipitation amount.

To verify the above speculation, a meta-analysis was carried out. The relationship of the amount of annual precipitation  $P$  with the differences of annual  $R_s$  (noted as  $dF$ , i.e.,  $R_s$  in the non-mulched field minus that in the mulched field) was analyzed (Fig. 10). The relevant studies include an arid area ( $P=45.7$  mm) in southern Xinjiang (Yu et al., 2016), a semiarid area ( $P=160$  mm) in northern Xinjiang (Li et al., 2011), a semi-humid area ( $P=566.8$  mm) on the Loess Plateau of China (Xiang et al., 2014), a subtropical monsoon area ( $P=1,105$ mm) in Southwest of China (Lei, 2016) and a temperate monsoon climate area ( $P=1,954$  mm) in Japan (Okuda et al., 2007). The  $dF$  was found to have a linear relationship with the amount of precipitation. Under 200-mm annual precipitation condition,  $R_s$  rates in the mulched and non-mulched fields are roughly identical. For the fields with annual precipitation greater than 200 mm,  $R_s$  was lower in

the mulched field than the non-mulched field. This is reason why some studies obtained the contrary conclusion with our results that PFM decreases  $R_s$ .

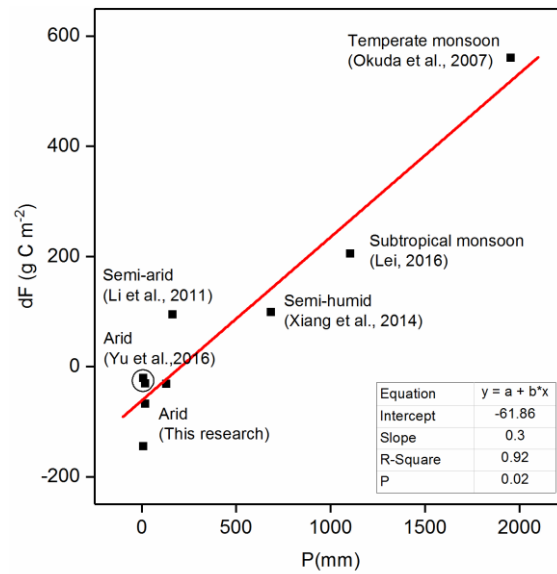


Fig. 10 The relationship of the difference in soil respiration between the mulched and non-mulched fields with precipitation,  $dF$  means the soil respiration in non-mulched field minus that in mulched field. In the five points of arid areas, the data from (Yu et al., 2016) is in the circle, while our research is out of the circle.

## 5. Summary

**PFM** is now widely used in agriculture around the world due to the continuous fall in the prices of plastic products, particularly in developing countries such as China. The changing land cover with a mass of PFM fields and the changing climate will affect the energy, water and carbon cycle regionally or globally. From the comprehensive analysis and discussion about the effect of plastic mulch, irrigation and precipitation on soil respiration with our controlled experimental results, some new findings were discovered in this study. First, PFM can enhance spatial heterogeneity of soil respiration under drip irrigation, and the planting hole, furrow, and plastic mulch (sort by the emission rate) are three important pathways for surface soil  $\text{CO}_2$  emission. Second, PFM can increase soil respiration at field scale in arid areas, while this enhancement depends on precipitation amount. The linear relationship has been found between soil respiration difference (between non-mulched and mulched fields) and precipitation

amount at annual scale. PFM is, therefore, benefit for carbon sequestration in wet areas, while it is harmful in arid areas. Third, frequent water supplies elevate soil moisture and soil respiration as well as enhance their variations. The resultant higher variation of soil moisture further alleviates the sensitivity of soil respiration to soil temperature leading to poor correlation and lower  $Q_{10}$  values.

Our results suggest that the rapid expansion of PFM fields in arid areas brings new challenges for controlling greenhouse gas emissions. PFM and irrigation should be better depicted in future soil carbon models. Linking the hydrologic and carbon cycles via the conservation of water resources is crucial for improving agronomic yields and soil carbon sequestration in dryland.

## Acknowledgement

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## Precipitation alters plastic film mulching impacts on soil respiration in an arid area of [n](#)Northwest China

**Abstract:** Plastic film mulching (PFM) has widely been used [around the world](#) ~~to~~for saving water and improving crop yield ~~around the world~~. However, the effect of PFM on soil respiration ( $R_s$ ) remains unclear ~~and, which~~ could be further confounded ~~with~~ [by](#) irrigation and precipitation. To address these [questionst](#)topics, controlled experiments were conducted in ~~the~~ mulched and non-mulched fields under drip irrigation from 2014 to 2016 in an arid area of the Xinjiang Uygur Autonomous Region, ~~n~~Northwest China. The spatiotemporal pattern of soil surface CO<sub>2</sub> flux as an index of soil respiration under drip irrigation with PFM ~~were~~ [was](#) investigated, and the confounded effects of PFM and irrigation/precipitation on soil respiration were explored. The main findings ~~were~~ as follows: (1) ~~The~~ [f](#)Furrows, planting holes, and plastic mulch are three important pathways ~~for~~ [of](#) soil CO<sub>2</sub> emissions in ~~the~~ mulched fields, of which the planting hole efflux outweighs ~~that from th~~the furrow, with the largest values of 8.0  $\mu\text{mol m}^{-2} \text{s}^{-1}$  and 6.6  $\mu\text{mol m}^{-2} \text{s}^{-1}$ , respectively, and the plastic mulch itself can emit up to 3.6  $\mu\text{mol m}^{-2} \text{s}^{-1}$  [of](#) CO<sub>2</sub>. (2) ~~Frequent water supplies~~ [The frequent application of water](#) (i.e., [through](#) irrigation and precipitation) elevates soil moisture and soil respiration and enhances their variations. The resultant higher variation of soil moisture further alleviates the sensitivity of soil respiration to soil temperature, leading to ~~a poor~~ [weak](#) correlation and lower  $Q_{10}$  values. (3) Soil CO<sub>2</sub> effluxes from furrows and ridges in mulched fields outweigh the corresponding ~~terms~~ [values](#) in non-mulched fields in arid areas. However, this outweighing relation attenuates with increasing precipitation. Furthermore, by combining [our results with those from the](#) literature ~~results~~, we show that the difference ~~of~~ [in](#) soil CO<sub>2</sub> effluxes between non-mulched and mulched fields presents a linear relation with [the amount of](#) precipitation ~~amount~~, which results in negative values in arid areas and positive values in humid areas. Therefore, whether PFM increases soil respiration or not depends on [the amount of](#) precipitation ~~amount~~ [d](#)during the crop growth period.

**Keywords:** plastic film mulching; soil respiration; spatial variation; irrigation; precipitation



## 1 2. Introduction

2 Soil respiration ( $R_s$ ), the flux of microbe- and plant-respired CO<sub>2</sub> from the soil surface  
3 to the atmosphere, represents the second largest CO<sub>2</sub> flux of the terrestrial biosphere  
4 following gross primary productivity (GPP) and amounts to 10 times the current rate of  
5 fossil-fuel combustion (Bond-Lamberty and Thomson, 2010; Davidson et al., 2006; Liu  
6 et al., 2016a; Reichstein and Beer, 2008). Anthropogenic activities, particularly  
7 agriculture expansion and changes in-of cultivation practices, have brought significant  
8 challenges to the control of CO<sub>2</sub> emissions ~~control considering in association with~~  
9 climate change (Baker et al., 2007). The conversion of natural to agricultural  
10 ecosystems has been recognized to cause a depletion of the soil organic carbon pool by  
11 as much as 60% (Lal, 2004), and ~~additionally,~~ soil respiration in agricultural ecosystems  
12 is relatively ~~larger~~ greater than that in natural ecosystems due to ~~the~~ intensive  
13 cultivation (Buyanovsky et al., 1987; Raich and Tufekciogul, 2000).

14 A particular example is plastic film mulching (PFM), which that was invented as  
15 an advanced agriculture cultivation technology for saving water and improving crop  
16 yield in the 1950s and has ~~ever~~ since been widely applied around the world, e.g., in the  
17 tropical USA, Europe, South Korea and China. For instance, approximately 19% of the  
18 total arable land (130 million ha) in China was cultivated using PFM in 2014, while;  
19 0.85% of the arable land around the world was cultivated using this method and the  
20 value is 0.85% in the world until 2014 (Wang et al., 2016b). Specifically, the PFM area  
21 has reached 1.2 million ha in the arid Xinjiang Uygur Autonomous Region, nNorthwest  
22 China (Zhang et al., 2014). In a PFM field, theis new method may alter the albedo, soil  
23 temperature, soil moisture, and crop growth conditions (Zhang et al., 2011), all of which  
24 can affect both heterotrophic and autotrophic respiration. Furthermore, the large-scale  
25 application of PFM may alter the regional climate, hydrologic cycle, and carbon cycle  
26 (Bonan, 2008; Li et al., 2016; Cox et al., 2000). Therefore, detecting the altered  
27 environmental conditions and CO<sub>2</sub> emissions in PFM fields is crucial for the  
28 maintenance of regional and global soil carbon balances ~~in the situation of~~ under the

29 conditions of global climate change.

30 ~~There are Only a~~ few studies ~~devoting to~~have addressed CO<sub>2</sub> emissions in  
31 PFM fields, ~~and they have provided that deliver~~ contrasting results. For example, Yu et  
32 al. (2016) showed that the CO<sub>2</sub> emissions from the soil surface ~~CO<sub>2</sub> emission~~ in a  
33 mulched field in southern Xinjiang Uygur Autonomous Region of China increaseds by  
34 8% relative to ~~the a~~ non-mulched field, and ~~that this~~the increase mainly ~~comes~~  
35 originates from furrows ~~instead of~~rather than ridges (~~the readers are referred~~please see  
36 ~~to~~ Fig. 1 below ~~in this paper~~ for the configuration of furrows, ridges, planting holes,  
37 mulch, etc.). However, Li et al. (2011) ~~detected~~found that the CO<sub>2</sub> concentrations in  
38 soil profiles are higher in mulched fields, but the soil CO<sub>2</sub> efflux decreases by 21%  
39 relative to ~~that in~~the non-mulched fields in the northern Xinjiang Uygur Autonomous  
40 Region of China. Similar results showing that PFM decreased CO<sub>2</sub> emissions were also  
41 found on the Loess Plateau of China (Xiang et al., 2014), in Southwest ~~of~~ China (Lei,  
42 2016) and in a temperate monsoon climate area in Japan (Okuda et al., 2007). ~~About~~  
43 When investigating the ~~emission~~ting pathways for greenhouse gases in the field,  
44 Berger et al. (2013) found that planting holes and furrows are important pathways for  
45 N<sub>2</sub>O emissions in mulched ridges. In addition, Nishimura et al. (2012) revealed in a  
46 laboratory experiment that N<sub>2</sub>O gradually permeates the plastic mulch. These findings  
47 indicate that the pathways for ~~the gases~~ emissions in a mulched field may include  
48 furrows, planting holes and plastic mulches, which ~~have~~yes not been ~~quantified~~evaluated  
49 in terms of soil CO<sub>2</sub> efflux in PFM fields. Some experimental studies have simply  
50 interpreted the soil respiration from furrows as the field averaged flux (Qian-Bing et al.,  
51 2012; Liu et al., 2016b), which may lead to the underestimation of soil respiration flux  
52 because ridges usually emit more CO<sub>2</sub> than furrows.

53 In addition, irrigation and precipitation are also crucial to soil respiration due to the  
54 nature of the effects of moisture limitation ~~on~~ soil respiration in arid and semiarid  
55 regions, to which less attention ~~have~~has been paid. After irrigation and precipitation,  
56 soil moisture undergoes a wetting-drying cycle that affects soil porosity and influences  
57 the activities of root biomass and microorganisms, ~~which that~~ control the soil carbon

58 dynamics (Yan et al., 2014). Both the intensity and amount of irrigation/precipitation  
59 affect soil respiration. A ~~couple-small number~~ of studies have indicated that the soil  
60 respiration rate in a drip irrigation field is greater than that in a flood irrigation field  
61 (Guo et al., 2017; Qian-Bing et al., 2012). Plastic film mulching can modify the  
62 hydrological processes ~~induced-affected~~ by precipitation or irrigation in different ways  
63 and may further impact soil respiration. For example, rainwater cannot infiltrate into  
64 ridges in a mulched field due to the barrier ~~provided by~~ plastic mulch, which, however,  
65 can cause an additional soil moisture increase in furrows. ~~Differently~~In contrast, the  
66 infiltration of irrigation water principally occurs in ridges under drip irrigation,  
67 ~~method~~ as drip tapes are placed beneath the plastic mulch. The different impacts of  
68 PFM on the distribution of soil moisture ~~distribution induced~~caused by precipitation or  
69 irrigation may further have different influences on soil respiration. To the best of  
70 ~~authors'~~our knowledge, however, such different influences of PFM on soil respiration  
71 in terms of irrigation or precipitation have not yet been explored.

72 The main objective of this study ~~was~~, therefore, to address the effect of PFM on  
73 soil respiration and the confounding influences of irrigation and precipitation.  
74 ~~Controlled~~ experiments under mulched and non-mulched drip irrigation conditions  
75 ~~were~~ were conducted in a cotton field in the arid area of the Xinjiang Uygur  
76 Autonomous Region, ~~n~~Northwest China. The soil respiration ~~from~~in different locations  
77 in mulched and non-mulched fields ~~were~~ was continuously monitored in the growth  
78 periods from 2014 to 2016. ~~With~~ Based on the results from the ~~these~~ experimental  
79 ~~results~~, we ~~investigated~~ addressed the following questions ~~specifically~~: (1) ~~what's~~ What  
80 is the spatiotemporal pattern of soil respiration in a PFM field? (2) ~~H~~How does PFM  
81 affect soil respiration through its alteration of ~~in~~ soil temperature and moisture? and (3)  
82 What's are the confounding effects of irrigation/precipitation and PFM on soil  
83 respiration?

## 84 2. Study Area and Methods

### 85 2.1 Study area

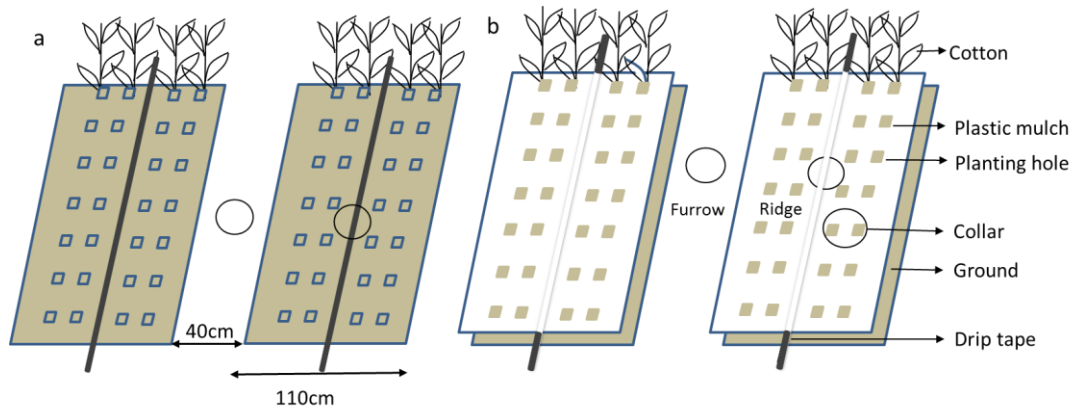
86 The ~~field~~ experimental field site (86°12' E, 41°36' N; 886 m above sea level) is  
87 located in one of the oases scattered on the alluvial plain of the Kaidu-Kongqi River (a  
88 tributary of the Tarim River) Basin, north of the Taklamakan Desert in the Xinjiang  
89 Uygur Autonomous Region of nNorthwest China. Thise region has a temperate  
90 continental climate, with a mean annual precipitation of 60 mm, mean annual  
91 temperature of 11.48°C, and mean annual water surface evaporation of 2,788 mm, as  
92 measured by using a 20 cm diameter pan. The annual sunshine duration is 3,036 hours,  
93 which is favorfavourable for cotton— (*Gossypium hirsutum* L.) growth. The  
94 experimental field covers an area of 3.48 ha. The major soil texture in the field is silt  
95 loam, and the contents of the sand, silt and clay separates are 32.8%, 62.4% and 4.8%,  
96 respectively, and its the soil bulk density is ranges from 1.4 g cm<sup>-3</sup> to 1.64 g cm<sup>-3</sup> in the  
97 1.5 m soil profile. The soil porosity is 0.42, which was directly determined in the  
98 laboratory using the undisturbed soil columns collected in the experimental field.

99 Cotton is usually sown in April and harvested during October and November, i.e.  
100 i.e., the growth period is from approximately DOY (day of the year) 100 to 300  
101 approximately. The planting style is “one film, one drip pipe beneath the film and four  
102 rows of cotton above the film” as depicted in Fig. 1. The plastic film (0.008 mm thick)  
103 wais white and made of dense and airtight transparent polyethylene film. The width of  
104 the film wais 1.1 m, and the inter-film zone wais 0.4 m. Before sowing, small, square  
105 holes (2 cm length) are were cut made in the plastic film in rows for germinating at 0.1  
106 m intervals within a row in the plastic film for germination, and then seeds weare placed  
107 into the holes, and each hole wais covered with soil. The planting density wais  
108 approximately 160,000 plants per ha. The annual basic fertilizer that was applied  
109 annually before sowing included ds 173 kg ha<sup>-1</sup> of compound fertilizers (14% N, 16%  
110 P<sub>2</sub>O<sub>5</sub>, and 15% K<sub>2</sub>O), 518 kg ha<sup>-1</sup> of calcium superphosphate (18% N and, 40% P<sub>2</sub>O<sub>5</sub>)

111 and 288 kg ha<sup>-1</sup> of diammonium phosphate (P<sub>2</sub>O<sub>5</sub>>16%). Supplemental fertilizers  
112 ~~applied~~ during the growth period ~~contain-included~~ approximately 292 kg ha<sup>-1</sup> of urea  
113 (46% N), ~~and~~ 586 kg ha<sup>-1</sup> of drip compound fertilizer (13% N, 18% P<sub>2</sub>O<sub>5</sub>, and 16% K<sub>2</sub>O)  
114 and foliar fertilizer (P<sub>2</sub>O<sub>5</sub>>52%, and K<sub>2</sub>O>34%). Drip irrigation usually began ~~ins~~ on  
115 June 12 in the bud stage, with an approximate amount of 20-50 mm ~~each time~~ ~~during~~  
116 ~~each application~~ and 9-12 ~~times-applications~~ per growth season. The annual irrigation  
117 amount ~~was~~ 500-600 mm.

## 118 2.2 Experimental ~~set-up~~ setup

119 This study focuses on the growth season, as soil respiration in ~~the~~ non-growth season  
120 is extremely low. The mulched and non-mulched treatments were arranged in a  
121 randomized block design with three replicates in the same field with the same  
122 fertilization and irrigation scheme from the year 2014 to 2016. The plastic mulch had  
123 been covered until the seed ~~germination-germinated~~ in the non-mulched treatment to  
124 protect ~~the germinating seeds-germinating~~. The experiments ~~roughly-started~~ ~~began~~  
125 ~~approximately during-from~~ the bud stage, ~~s~~ when ~~the~~ cotton began to grow faster. The  
126 ~~dates of the~~ beginning ~~experimental-of the experiments~~ ~~dates-ware~~ DOY 184, 175, 167,  
127 and the length of ~~the~~ measured periods ~~ware~~ 95, 60, 100 days, respectively. Soil  
128 respiration measurements were carried out ~~using-with~~ an LI-8100A (LI-COR, Inc.,  
129 Lincoln, Nebraska) on ~~one-a~~ day between two irrigation events. Therefore, soil  
130 respiration was ~~approximately-measured~~ ~~approximately every one week~~ ~~every week~~  
131 during the cotton-growth season. The automated soil CO<sub>2</sub> flux measurement system  
132 consist~~eds~~ of two parts, PVC collars (10 cm in diameter and 5 cm in height) and a  
133 measuring chamber. The PVC collars were inserted 2-3 cm into the soil by removing  
134 ~~the~~ living plants and litter ~~inside-within~~ the ~~soil~~-collars at least 1 day before the  
135 measurements. Data were recorded ~~by-using~~ the data logger in the LI-8100A ~~system~~.



136

137 Fig. 1. Schematic drawing of the experimental configuration for: (a) a non-mulched field, and (b) a mulched field.

138 The soil respiration was measured in the following ~~parts~~ areas: the furrow and ridge  
 139 ~~of in~~ the non-mulched treatment, and the furrow, planting hole, and plastic mulch ~~of in~~  
 140 the mulched treatment in 2016 (see Fig. 1 for the experimental configuration). Soil  
 141 respiration was measured in the furrow ~~for in~~ the mulched treatment and ~~in~~ the ridge  
 142 ~~for in~~ the non-mulched treatment in 2014, and it was measured ~~in~~ the furrow ~~for in~~ the  
 143 mulched treatment and in both ~~the~~ furrow and ridge ~~for in~~ the non-mulched treatment  
 144 in 2015. The measurements were performed every 2 hours during the experimental day  
 145 from 8:00 to 24:00. To measure the soil respiration ~~at on~~ the soil surface without film  
 146 covering (i.e., the furrows in the mulched and non-mulched fields and the non-mulched  
 147 ridge), the PVC collars were inserted directly into the soil. Before measuring the CO<sub>2</sub>  
 148 emissions through the plant holes, the plastic mulch was inserted into the soil covering  
 149 two plant holes, and ~~S~~scotch ~~T~~tape was used to seal the interspaces between the plastic  
 150 mulch and collar to prevent air leakage. To measure the CO<sub>2</sub> emissions through the  
 151 plastic mulch, PVC collars were buried into the soil under ~~the~~ mulch, with ~~S~~scotch  
 152 ~~t~~Tape sealing the interspaces. Detailed measurement methods ~~can also refer to are~~  
 153 ~~further described in~~ Berger et al. (2013)-

154

155 The soil temperature and soil moisture ~~adjacent to each PVC collar~~ at a depth of 5 cm  
 156 were monitored ~~adjacent to each PVC collar~~ using the auxiliary sensors of the LI-8100A,  
 157 ~~and~~ concurrently with the soil CO<sub>2</sub> flux measurements. The ~~amount of~~ drip irrigation  
 158 ~~amount~~ was ~~obtained-determined by using~~ water meters installed on the branch pipes

159 of the drip irrigation system. The precipitation was measured ~~by using~~ a tipping bucket  
160 rain gauge (model TE525MM, Campbell Scientific Inc., Logan, UT, USA), which was  
161 mounted 0.7 m above the ground.

## 162 2.3 Data analysis ~~ss method~~

163 The soil respiration from different ~~parts-areas~~ at a particular time of a day was  
164 calculated as the average of three replicates. The daily mean  $R_s$  was calculated as ~~the~~  
165 average ~~of~~  $R_s$  measured at various times in a day. The  $R_s$  in the mulched ridges was  
166 calculated ~~with-based on~~ the area ratio of  $R_s$  ~~measured~~ through the plant holes and the  
167 plastic mulch ~~:-~~;

$$168 R_{r-m} = R_{h-m} * A_{h-m} + R_{p-m} * A_{p-m} \quad (1)$$

169  
170 ~~where, where the symbols of~~  $R_{h-m}$  and  $R_{p-m}$  ~~are represent~~ the soil respiration from the  
171 planting hole and plastic mulch, ~~respectively, which and~~ constitute the soil respiration  
172 in the ridge ( $R_{r-m}$ ). The term  $A$  ~~means-represents~~ the area ratio of the different parts, and  
173  $A_{h-m}$  and  $A_{p-m}$  are 0.3 and 0.7, respectively, in our field.

174 The seasonal accumulative  $R_s$  in the ridges and furrows was calculated by summing  
175 ~~up the~~  $R_s$  ~~values~~ over the measurement period (Yu et al., 2016; Berger et al., 2013). ~~The~~  
176 ~~s~~Soil respiration in plastic mulched ~~and non-mulched fields~~ was calculated ~~with-based~~  
177 ~~on~~ the area ratio of  $R_s$  through ridges and furrows ~~:-~~;

$$178 R_m = R_{r-m} * A_{r-m} + R_{f-m} * A_{f-m} \quad (2)$$

$$179 R_{nm} = R_{r-nm} * A_{r-m} + R_{f-nm} * A_{f-m} \quad (3)$$

180 ~~Where,~~

181 ~~where~~  $R_m$  and  $R_{nm}$  ~~are represent~~ soil respiration in mulched and non-mulched fields,  
182 respectively, ~~and~~  $A_{r-m}$  and  $A_{f-m}$  are the area ratios of ~~the~~ ridge and furrow, respectively,  
183 which ~~are is~~ the same for mulched and non-mulched fields, ~~they~~ are 0.73 and 0.27,  
184 respectively, in our field.

185 ~~Hypothetical~~  $t$ -tests ~~were as~~ used to test the significance of differences ~~among~~  
186 ~~between the~~  $R_s$  ~~values~~ from ~~the~~ furrows and ridges of ~~the~~ mulched and non-mulched

187 fields.

188 The ~~regression relationships between~~  $R_s$  ~~with and~~ soil temperature and soil  
189 moisture were ~~analyzed~~ analysed using ~~regression analysis in~~ —SPSS (Statistical  
190 Package for the Social Sciences) software. The ~~v~~Van't Hoff equation was used to  
191 represent the relationship of  $R_s$  with soil temperature (Hoff, 1898):

$$192 \quad R_s = Ae^{bT} \quad \text{---(4)}$$

193 ~~where, where~~  $R_s$  ~~represents the~~ soil respiration,  $T$  ~~represents the~~ soil temperature, ~~and~~  
194  $A$  is the intercept of soil respiration when ~~the~~ soil temperature is 0 °C (i.e., reference  
195 soil respiration). Moreover,  $b$  represents the temperature sensitivity of soil respiration.  
196 The  $Q_{10}$  value, which describes the change in soil respiration over a 10 °C increase in  
197 soil temperature, is calculated as

$$198 \quad Q_{10} = e^{10b} \quad (5)$$

199 Considering ~~that~~ lower and higher values of soil water content both ~~restrain the limit~~  
200 soil respiration, we adopted ~~ed~~ a quadratic equation to simulate the effect of soil moisture  
201 on soil respiration according to Davidson et al. (1998):

$$202 \quad R_s = aV^2 + bV + c \quad (4)$$

203

204 ~~where, where~~  $V$  is the soil water content, and  $a$ ,  $b$ , and  $c$  are regressed parameters.

## 205 3. Results

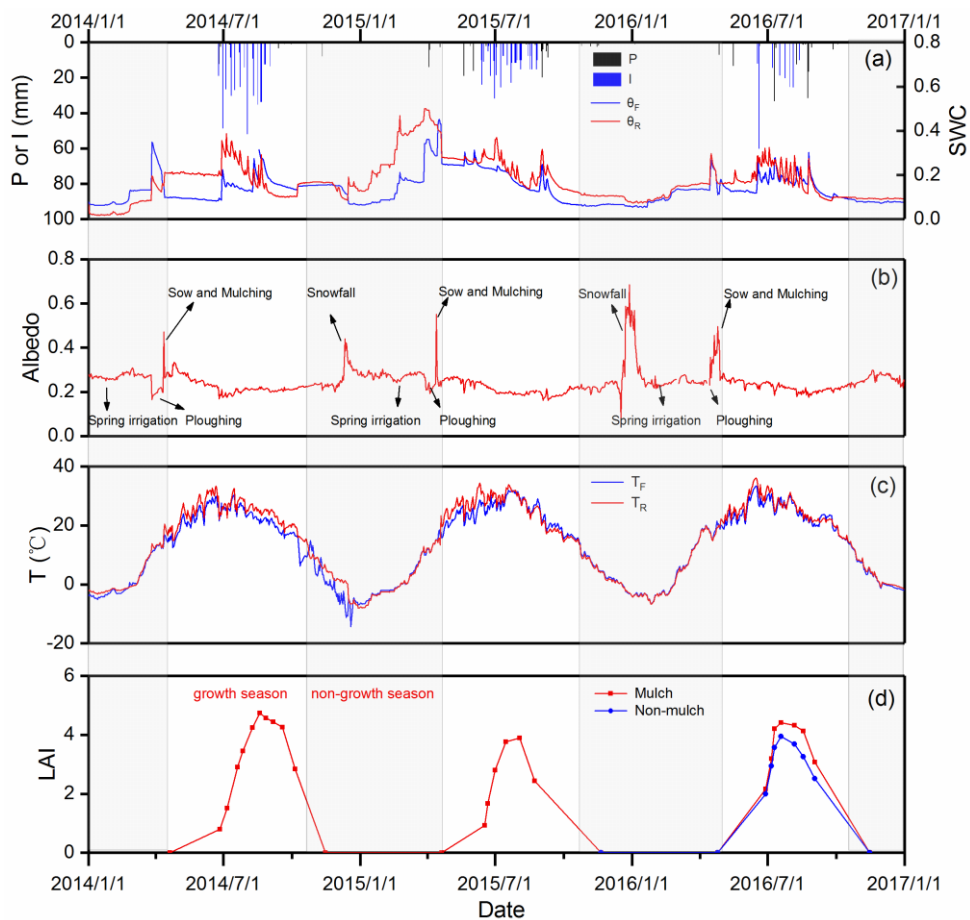
### 206 3.1 Environmental factors and crop growth

207 Fig. 2 shows the dynamics of albedo, soil moisture, soil temperature, and cotton  
208 leaf area index (LAI), which suggests that these environmental factors and crop growth  
209 conditions are modified by PFM and other cultivation practices. Other than two  
210 snowfall events ~~occurring that occurred~~ in January 2015 and January 2016 ~~and that~~  
211 elevated ~~the~~ albedo beyond 0.4, the albedo was altered by cultivation, ~~s~~ as shown in Fig.  
212 2(b). In early March, it was increased by the spring irrigation ~~applied~~ ~~conducted~~ one



213 month before sowing. ~~Then~~Then, it was decreased by ploughing several days before  
 214 mulching on approximately April 20 ~~or so~~. After plastic mulching in April, the surface  
 215 albedo ~~had showed~~ a sudden rise, and then slowly decreased with crop canopy  
 216 development. ~~Generally~~In general, the albedo reached ~~the its~~ minimum value along  
 217 with the highest value of LAI during the bud stage in August, and then, increased very  
 218 slowly with leaf fall.

219



220

221 Fig. 2. Environmental factors and crop growth in the PFM field under drip irrigation; (a) SWC (soil water content)  
 222 in the ridge ( $\theta_R$ ) and furrow ( $\theta_F$ ) affected by irrigation and precipitation; (b) ~~Albedo~~albedo affected by cultivation  
 223 practices and snowfall in the mulched field; (c) T (soil temperature) in the furrow ( $T_F$ ) and ridge ( $T_R$ ) in the mulched  
 224 field; (d) LAI (leaf area index) in the mulched and non-mulched fields (~~LAI~~LAI comparative LAI measurements were  
 225 only conducted in 2016). The ~~shadow part~~shaded area indicates the non-growth season.

226

227 The spatial distributions of soil moisture and soil temperature were both affected

228 by plastic mulching. As shown in Fig. 2(a), ~~the~~ soil moisture in ridges was mostly higher  
229 than ~~that in~~ furrows ~~with from~~ the effect of frequent drip irrigation. Fig. 2(c) shows that  
230 ~~the~~ soil temperature in the mulched ridge was higher than ~~that in~~ the open furrow.  
231 However, in the later growth stages, ~~the~~ soil temperature in the furrow became  
232 ~~coincident with similar to~~ or even exceeded that in the ridge due to canopy development.

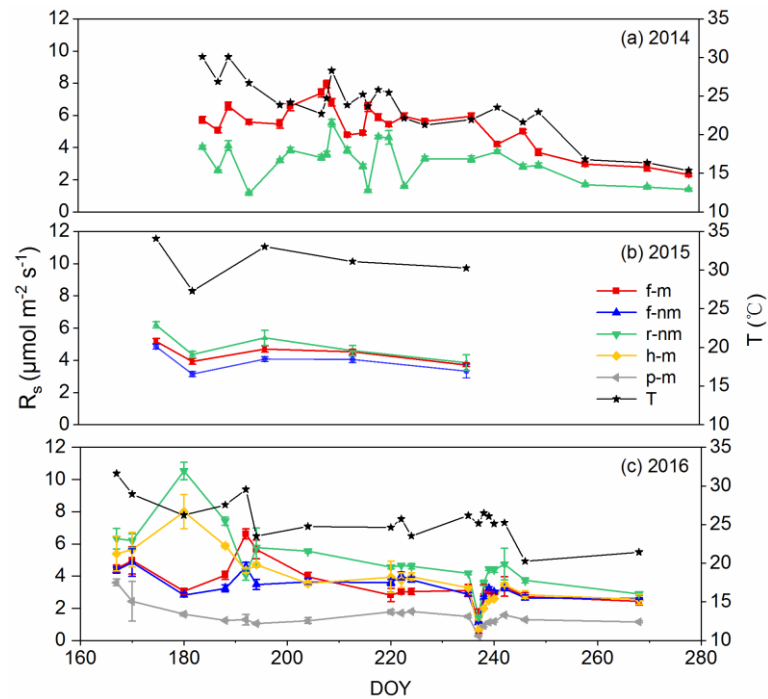
233 Plastic film mulching can also affect plant phenology. As shown in Fig. 2(d), ~~the~~  
234 LAI ~~started~~ ~~began to~~ ~~increasing~~ with seed germination, reached its maximum value  
235 ~~at during~~ the bud stage ~~during in~~ August, and then decreased with leaf falling~~g~~. The LAI  
236 in the mulched field was higher than ~~that in~~ the non-mulched field during the  
237 comparative experiment year of 2016, particularly in the vigorous growth stages.

### 238 3.2 Seasonal and spatial variations in soil respiration

239 As shown in Fig. 3, the magnitude and amplitude of  $R_s$  ~~we~~ are rather different in  
240 different years. For example, ~~the~~ soil respiration fluxes in ~~the~~ non-mulched ridges were  
241  $1-6 \mu\text{mol m}^{-2} \text{s}^{-1}$ ,  $4-7 \mu\text{mol m}^{-2} \text{s}^{-1}$  and  $3-11 \mu\text{mol m}^{-2} \text{s}^{-1}$ , respectively, in the three years.  
242 ~~The~~ seasonal ~~variation in~~  $R_s$  ~~variation~~ was generally ~~dominated~~ ~~mostly affected~~ by soil  
243 temperature dynamics (~~this~~ correlation will be further ~~analyzed~~ ~~addressed~~ in Section  
244 3.4), although some anomalies occurred. For example, on ~~the~~ DOY 180 ~~of in~~ 2016, ~~the~~  
245  $R_s$  rates in the non-mulched ridge and planting hole ~~obtained~~ ~~reached~~ peak values, while  
246 those ~~from in the~~ furrows in both ~~the~~ mulched and non-mulched fields were ~~pretty fairly~~  
247 low. On the following DOY 192, however, the situation was reversed~~d~~, and on DOY 235,  
248 all  $R_s$  fluxes experienced an abnormal declining and then rising cycle. These anomalies  
249 may be related to the SWC dynamics caused by irrigation and precipitation, which will  
250 be further explained in Sections 3.5 and 3.6.

251  $R_s$  show~~s~~ ~~a~~ significant spatial variability at ~~the~~ field scale. As shown in Fig. 3, the  
252 results in 2015 and 2016 indicated a consistently higher soil  $\text{CO}_2$  emission rate from  
253 the ridge than ~~from~~ the furrow in the non-mulched field. In the mulched field, as  
254 indicated by Fig. 3(c), ~~the~~  $R_s$  from the plastic film was very low, while the rate from the  
255 planting hole was higher than that from the furrow most of the time. For ~~the~~  $R_s$  from

256 the furrow, its rate in the mulched field generally exceeded that in the non-mulched  
 257 field in 2015 and 2016 except on DOY 222 ~~of~~in 2016, which ~~was occurred~~ just after a  
 258 12.8-mm rainfall event, as shown in Fig. 8.



259 Fig. 3. Spatiotemporal variation ins-of soil respiration in mulched and non-mulched fields over the three years. The  
 260 whiskers represent the standard deviation of three replicate  $R_s$  measurements (f-m, h-m and p-m represent furrow,  
 261 planting hole, and plastic mulch in the mulched field, respectively; f-nm and r-nm represent the furrow and ridge in  
 262 the non-mulched field, respectively; T represents soil temperature).

### 264 3.3 Comparison of soil respiration in the mulched and non- 265 mulched fields

266 Fig. 4 depicts the seasonal accumulative  $R_s$  and precipitation over the three  
 267 experimental years. To be noted, the  $R_s$  from the mulched ridge is the ~~area-area-~~  
 268 weighted summation of the terms from the plastic mulch and planting holes. A  
 269 prominent feature ~~indicated inof~~ the figure is that the  $R_s$  fluxes over the ridge and furrow  
 270 in the mulched field are consistently larger than the corresponding terms-values in the  
 271 non-mulched field. ~~Although~~However, this magnitude relation was not significant at-in  
 272 the furrow in 2015 and 2016 or at-in the ridge in 2016 at a significance level of 0.05

273 (Table 1). ~~Totally, Overall, the~~ seasonal average  $R_s$  was 444.69 g C m<sup>-2</sup> in the mulched  
 274 field and 359.9 g C m<sup>-2</sup> in the non-mulched field during the growth period over ~~the~~ three  
 275 years. The accumulative  $R_s$  in the mulched field was indeed significantly larger than  
 276 that in the non-mulched field in the years of 2014 and 2015. However, for the year of  
 277 2016, with ~~a~~ substantial precipitation amount of 130 mm, the positive deviation of ~~the~~  
 278 mulched field  $R_s$  was not ~~at a significance level~~.

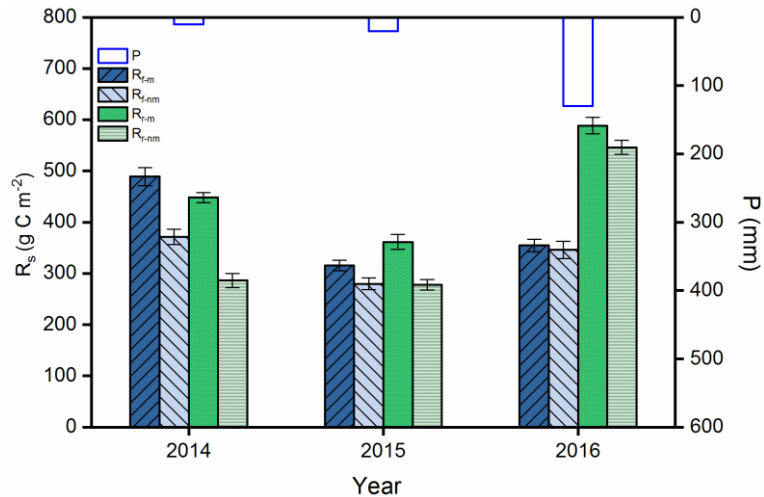
279 ~~Also, Additionally, the difference in~~ the furrow  $R_s$  ~~difference~~ between the mulched  
 280 and non-mulched field was smaller than the difference ~~in~~ the ridge over all the three  
 281 years, and the magnitude of such differences decreased from ~~the year~~ 2014 to 2016. To  
 282 be noted, ~~the~~ seasonal precipitation ~~amount~~ presented an ~~increasing~~ trend from ~~the~~  
 283 ~~year of~~ 2014 to 2016. This ~~means indicates~~ that more precipitation tends to eliminate  
 284 ~~the~~  $R_s$  differences between mulched and non-mulched fields.

285

286 Table 1 *t*-test of significance for soil respiration in furrows ~~and~~ ridges and ~~the~~ total soil respiration between mulched  
 287 and non-mulched fields ( $R_m$  and  $R_{nm}$  ~~are represent~~ the total soil respiration in mulched and non-mulched fields,  
 288 respectively. *df* ~~represents~~ the degrees of freedom, ~~and~~  $t_{0.05(4)}$  is the *t* value at ~~the a~~ significance value of 0.05 ~~and~~  
 289 ~~at the~~ *df* of 4).

Year	$R_{f-m}/R_{f-nm}$	$R_{r-m}/R_{r-nm}$	$R_m/R_{nm}$	<i>df</i>	$t_{0.05(4)}$
2014	4.92	9.27	7.87	4	2.776
2015	2.25	4.59	4.04		
2016	0.40	1.91	1.52		

290



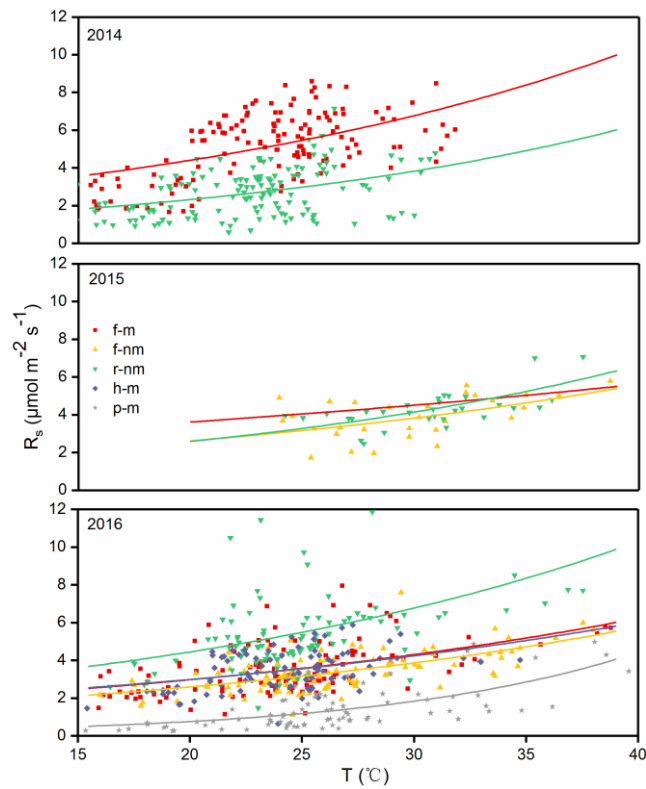
291

292 Fig. 4 Seasonal accumulative soil respiration and precipitation over the three experimental years. The whiskers  
 293 represent standard deviations (f-m, and r-m represent the furrow and ridge in the mulched field, respectively; f-nm  
 294 and r-nm represent the furrow and ridge in the non-mulched field, respectively).

### 295 3.4 Functional relations between soil respiration and soil 296 temperature

297 All  $R_s$  fluxes in the different locations in the mulched and non-mulched fields  
 298 showed increasing trends with temperature (Fig. 5), which were fitted using the  
 299 exponential equation as described in Section 2.3. However, their correlations are-is very  
 300 poor-weak and vary with location and time. The furrow possessed as higher  $R^2$  than the  
 301 ridge for-because of the relatively stable soil moisture in the furrow. Also, Additionally,  
 302 the  $Q_{10}$  values in the furrows we are much lower than those in the ridges.

303



304

305 Fig. 5. Relations between soil respiration and soil temperature at different locations in the mulched and non-mulched  
 306 fields. The data represent the means  $\pm$  standard deviations (SDs) of three replicates. The regression lines for the  
 307 different locations were fitted using Equation (2), and the regression equations are shown in Table 2 (f-m, h-m,  
 308 and p-m represent furrow, planting hole, and plastic mulch, respectively; f-nm and r-nm represent furrow and ridge  
 309 in non-mulched fields, respectively).

310

311 Table 2 Parameters for the fitted exponential equations of relating soil respiration and with soil temperature for  
 312 different locations in the mulched and non-mulched fields (refer to Equations (2) and (3))

Year	Parameters	f-m	f-nm	r-nm	h-m	p-m
<b>2014</b>	a	1.87		0.86		
	b	0.04		0.05		
	$Q_{10}$	1.54		1.65		
	$R^2$	0.29		0.18		
<b>2015</b>	a	2.33	1.23	1.01		
	b	0.02	0.04	0.05		
	$Q_{10}$	1.25	1.46	1.60		

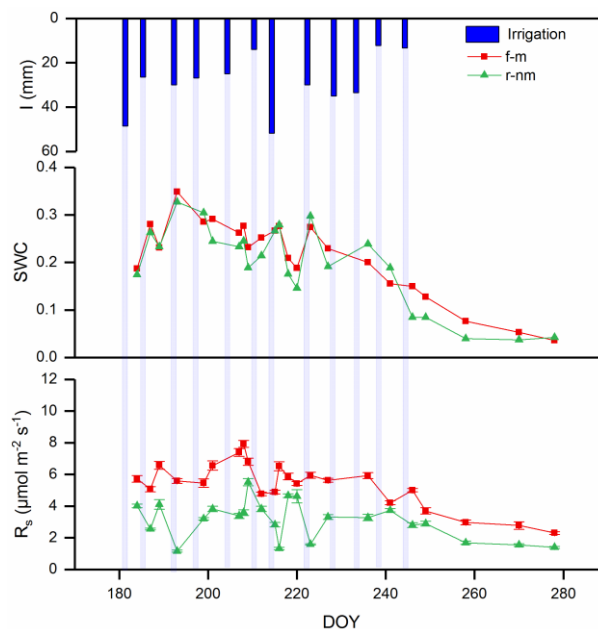
	$R^2$	0.18	0.27	0.43		
2016	a	1.42	1.16	1.92	1.48	0.13
	b	0.04	0.04	0.04	0.04	0.09
	$Q_{10}$	1.45	1.49	1.52	1.42	2.41
	$R^2$	0.23	0.39	0.20	0.18	0.44

### 313 3.5 Irrigation and soil respiration

314 The year 2014 was chosen to investigate the response of  $R_s$  to irrigation ~~for~~ because  
315 ~~of the~~ very ~~few~~ low number of precipitation events occurring in this year, and the results  
316 are shown in Fig. 6. It is clear that ~~the~~ soil moisture in the non-mulched ridge was  
317 always lower than ~~that in~~ the furrow in the mulched field except for some days  
318 immediately ~~after~~ following irrigation. ~~Reasonably~~ ~~Relatively~~ higher soil moisture  
319 ~~favor~~ ~~favours~~ soil respiration, and ~~the~~ ~~consequently~~  $R_s$  ~~from~~ ~~in~~ the furrow in the mulched  
320 field was ~~consequently~~ always higher than ~~that in~~ ~~from~~ the non-mulched ridge. Another  
321 dominant feature shown in Fig. 6 is the quick response of soil moisture and  $R_s$  to  
322 irrigation. ~~The~~ ~~s~~ Soil moisture experienced a quick ~~rising~~ increase after irrigation, while  
323 ~~the~~  $R_s$  ~~witnessed a diving~~ ~~underwent a decline~~ after irrigation, which ~~means~~ ~~indicates~~  
324 that too much water in soil may ~~conversely~~ restrain its respiration. Due to the  
325 configuration of ~~the~~ drip tape and plastic mulch, ~~the~~ soil moisture and respiration in the  
326 ridges of ~~the~~ mulched and non-mulched fields experienced similar but more drastic  
327 variations than ~~those in~~ the furrow.

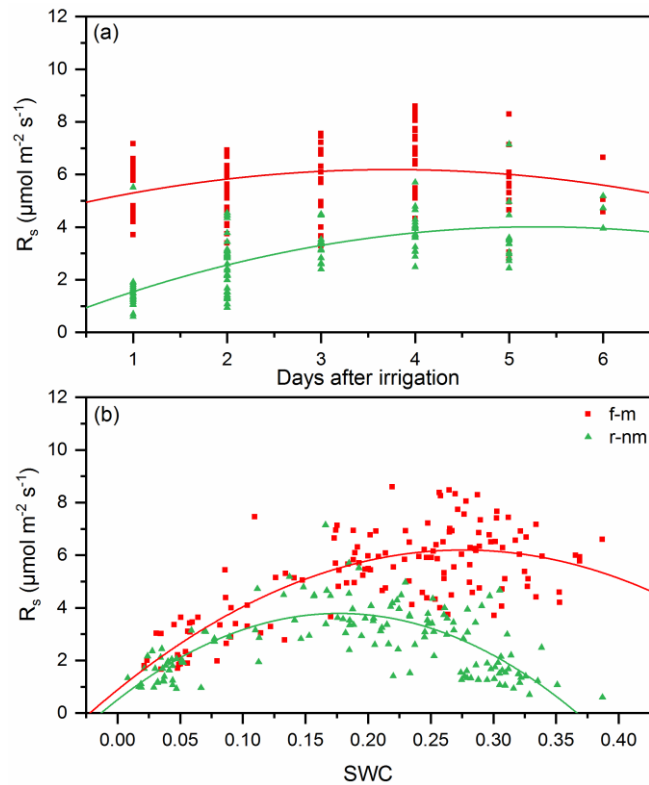
328 To investigate the response of  $R_s$  to irrigation in more detail, the  $R_s$  dynamics ~~within~~  
329 ~~across~~ an irrigation cycle ~~were~~ ~~as~~ explored. As  $R_s$  measurements were conducted  
330 randomly between two irrigation events, data ~~from~~ ~~on~~ different days after irrigation  
331 were collected to ~~analyze~~ ~~analyse~~ the  $R_s$  variation. The ~~effect of~~ irrigation ~~effect~~ is  
332 presented by plotting  $R_s$  versus the number of days after irrigation ~~with~~ ~~during~~ an  
333 irrigation cycle of approximately 6 days. The results in Fig. 7(a) shows again that ~~the~~  
334  $R_s$  rate in the non-mulched ridge was extremely low immediately after irrigation, and

335 then ~~recovered~~ slowly ~~recovered, w-~~ While ~~in the furrow of the mulched field,~~ irrigation  
 336 had almost no influence on soil respiration ~~in the furrow of the mulched field.~~ ~~Both~~ ~~The~~  
 337  $R_s$  rates from ~~both~~ the furrow and ridge reached ~~the~~ maximum values on the fourth day  
 338 after irrigation and then began to decrease ~~with-over the~~ soil drying process. The  
 339 relation between  $R_s$  and soil moisture can be expressed in the form of a binomial  
 340 equation, as shown in Fig. 7(b), which indicates that  $R_s$  is very low ~~with-in~~ dry soil and  
 341 increases with soil moisture. However,  $R_s$  shows a declining trend when soil moisture  
 342 exceeds a certain threshold. The threshold is approximately 0.25 in the furrow of the  
 343 mulched field and approximately 0.2 in the non-mulched ridge. The above thresholds  
 344 are approximately 60% and 50% of the water-filled pore space (WFP), respectively.



345  
 346 Fig. 6. The responses of soil moisture and respiration to irrigation at different locations in the mulched and non-  
 347 mulched fields in 2014 (f-m and r-nm represent ~~the~~ furrow in the mulched field and ~~the~~ ridge in the non-mulched  
 348 field, ~~respectively~~).





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Fig. 7. Influence of irrigation on soil respiration. (a) Variation ~~in~~ soil respiration with number of days after irrigation. (b) Relation between soil respiration and soil moisture (regression lines are fitted with the binomial equation ~~as shown~~ in Equation (4)). ~~f-m~~ and ~~r-nm~~ represent ~~the~~ furrow in the mulched field and ~~the~~ ridge in the non-mulched field, ~~respectively.~~

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### 3.6 Precipitation and soil respiration

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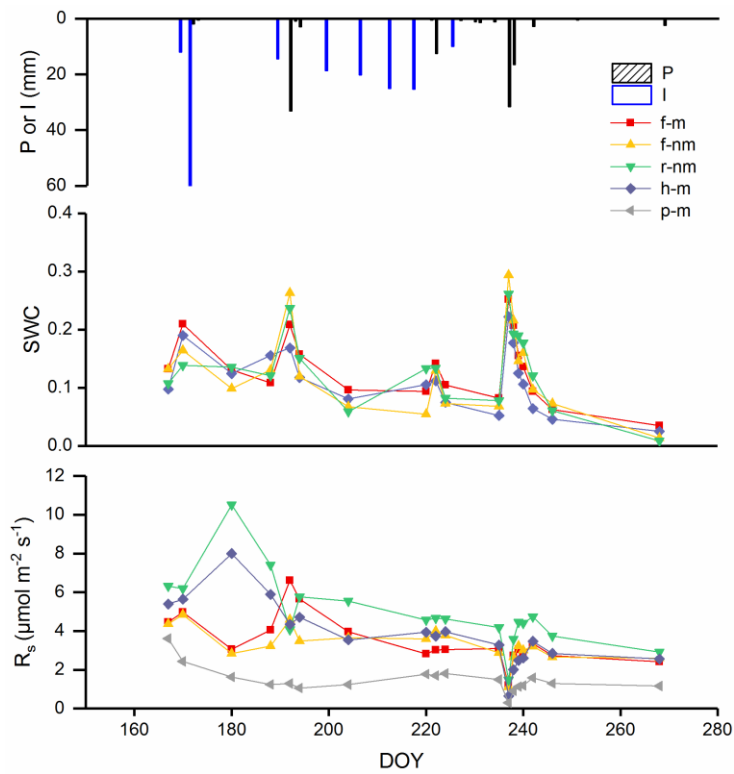
364

The year 2016 was chosen to investigate the response of soil respiration to precipitation because ~~a~~ significant amount of rainfall occurred in this year. As shown in Fig. 8,  $R_s$  exhibited similar responses ~~behavior~~ to irrigation in the planting hole ~~and~~ plastic mulched ~~ed~~ and non-mulched ~~fields~~, while it presented similar responses ~~behavior~~ to precipitation in the furrows of mulched and non-mulched fields. ~~ParticularlyIn particular~~, three large rainfall events, ~~with the amounts~~ of 12.8 mm, 36.8 mm, and 48 mm, occurred on ~~the~~ DOY 222, 192, and 235 ~~of in~~ 2016, respectively. As we can see from ~~the Fig. 8~~ Fig. 8, the light event (12.8 mm, DOY\_222) had little effect on ~~the~~ soil moisture ~~and~~  $R_s$ , the moderate event (36.8 mm, DOY\_192) restrained  $R_s$  in the non-mulched ridge and planting hole but increased  $R_s$  in the furrows of the mulched and

365 non-mulched fields, ~~while and~~ the heavy event (48 mm, DOY\_235) restrained  $R_s$  in all  
366 parts of the mulched and non-mulched fields.

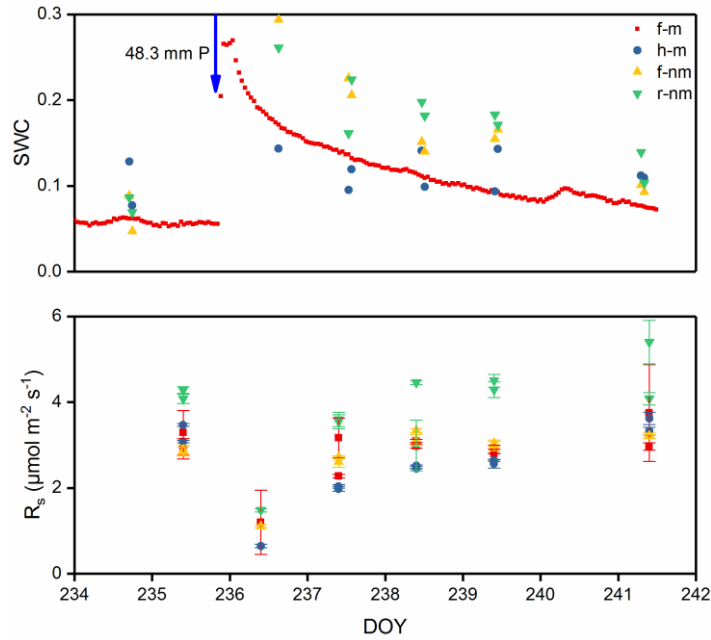
367 ~~Taken Using~~ the heavy event as an example, the effect of precipitation on  $R_s$  ~~during~~  
368 a wetting-drying cycle was more closely investigated before and after the event. As  
369 shown in Fig. 9, ~~the~~  $R_s$  rates at all locations were restrained by substantially high soil  
370 water content. ~~Then~~ Then, the  $R_s$  recovered slowly with ~~the a decline ining of~~ SWC and  
371 ~~maintained~~ ~~remained~~ steady after three days.

372



373

374 Fig. 8. Response of soil moisture and soil respiration to precipitation and irrigation during 2016. (f-m, h-m and p-m  
375 represent ~~the~~ furrow, planting hole, and plastic mulch in the mulched field, ~~respectively~~;  
376 ~~the~~ furrow and ridge in the non-mulched field, ~~respectively~~.)



377

378 Fig. 9. Variations in soil moisture and soil respiration during a wetting-drying cycle after a heavy rainfall.

## 379 4. Discussion

### 380 4.1 Effect of plastic mulch on soil respiration

381 Our experiment indicates that the planting hole emitted more CO<sub>2</sub> than the furrow,  
 382 with the largest values of 8.0 μmol m<sup>-2</sup> s<sup>-1</sup> and 6.6 μmol m<sup>-2</sup> s<sup>-1</sup>, respectively, during  
 383 the observation period (Fig. 3). ~~And~~. In addition, the plastic mulch itself can also emit  
 384 CO<sub>2</sub> at a rate of 3.6 μmol m<sup>-2</sup> s<sup>-1</sup>. Considering that ~~the~~ plastic mulch occupies most of  
 385 the ridge area, it is also an important pathway ~~for~~ of CO<sub>2</sub> emissions ~~in the~~ mulched  
 386 fields. In fact, the soil CO<sub>2</sub> emission rate of the plastic mulch depends on film features,  
 387 including its thickness, texture and ~~color~~ colour. For example, according to Berger et al.  
 388 (2013), thick black PE mulch has an extraordinarily low N<sub>2</sub>O emission rate, while high  
 389 amounts of N<sub>2</sub>O can be emitted from a polyethylene film that is only 0.02 mm thick  
 390 (Nishimura et al., 2012). Liu et al. (2016b) also reported that ~~the~~ transparent plastic film  
 391 emits more CO<sub>2</sub> than ~~the~~ black plastic mulch. Local farmers in our study area often use  
 392 clear polyvinyl chloride (PVC) film with a thickness of only 0.008 mm ~~for~~ because of  
 393 its low price. This film has a relatively high diffusion capacity for CO<sub>2</sub>, as indicated by

394 our results. ~~Also, Additionally,~~ thin and ~~low-low-~~density plastic film is easily damaged,  
395 resulting in plastic film residue, ~~which can-to~~ affect crop germination~~ng~~, ~~absorbing~~  
396 water ~~absorption, and~~ nutrition and yield. Plastic film residue can also inhibit soil  
397 microbial activity, ~~which -to-~~reduces soil fertility, causing substantive costs to the  
398 environment and farmers (Wang et al., 2016a; Adhikari et al., 2016). High-density  
399 plastic film is, therefore, recommended for the purpose of ~~reduction-reducing of~~ soil  
400 CO<sub>2</sub> emissions and plastic film residues ~~albeit despite~~ its higher price. In ~~a word general,~~  
401 the planting hole, furrow, and plastic mulch are primary pathways that are responsible  
402 for CO<sub>2</sub> emissions in a mulched field. A comprehensive measurement scheme ~~at~~  
403 ~~including~~ different locations is, therefore~~ee~~, necessary to ~~detect-assess~~  $R_s$  in a mulched  
404 field. Our results can ~~potentially~~ be ~~potentially~~ used to correct the reported CO<sub>2</sub>  
405 emissions ~~conducted measured~~ only at the furrow in a mulched field (Qian-Bing et al.,  
406 2012; Liu et al., 2016b).

407 Our experiment also ~~indicates showed~~ higher soil CO<sub>2</sub> emission rates from furrows  
408 and ridges in the mulched field compared to the corresponding ~~terms-locations~~ in the  
409 non-mulched field. Therefore, PFM can indeed promote soil respiration in our study  
410 area. This is principally due to ~~the-~~improved soil temperature, soil moisture and crop  
411 growth ~~by as a result of~~ plastic mulching (see Fig. 2). Improved crop growth conditions  
412 ~~produces-result in the production of~~ more root biomass and litter fall, which will  
413 promote root respiration and litter fall decomposition. Moreover, improved soil  
414 temperature and soil moisture can promote the activities of roots and microorganisms  
415 to increase ~~the~~ mineralization of soil organic carbon, for example, by stimulating the  
416 decomposition of buried crop straw (Wang et al., 2016b). This result ~~can be was~~ partly  
417 confirmed by Yu *et al.* (2016), who reported that furrow  $R_s$  in the mulched field is  
418 greater than ~~that in~~ the non-mulched field. However, they also reported that ~~the~~  $R_s$  rates  
419 from mulched and non-mulched ridges are similar, which ~~is-~~different from our results.  
420 Furthermore, some other studies ~~obtained the contrary conclusion found contrasting~~  
421 ~~results~~ (i.e., PFM decreases  $R_s$ ) in ~~the~~ northern Xinjiang Uygur Autonomous Region of  
422 China (Li et al., 2011), the Loess Plateau of China (Xiang et al., 2014), ~~the-s~~Southwest

423 of China (Lei, 2016) and central Japan (Okuda et al., 2007). ~~Also~~, Additionally, Berger  
424 *et al.* (2013) found that PFM significantly decreases N<sub>2</sub>O emissions in South Korea.  
425 Therefore, the effects of plastic mulch on  $R_s$  ~~presents~~ different features in different areas.  
426 Our work reveals that the difference in  $R_s$  ~~difference~~ between mulched and non-  
427 mulched fields depends on the precipitation amount. This could be the reason leading  
428 to the ~~opposite~~ contradictory results, which will be discussed in more detail in the  
429 following section.

## 430 **4.2 Effects of irrigation and precipitation on soil respiration**

431 Our results indicate that ~~a~~ the substantially high SWC occurring right after irrigation  
432 and precipitation restrained  $R_s$ , and this effect decreased as the soil moisture returned  
433 to the normal level (~~Fig. 7~~ Fig. 7a, Fig. 9). In contrast, in natural ecosystems,  
434 precipitation always immediately increases  $R_s$  ~~immediately, such as~~ similar to the water  
435 addition after a long ~~-~~ drought in a tallgrass prairie ecosystem in Oklahoma, USA (Liu  
436 *et al.*, 2002), and ~~the~~ 12 ~~-~~ mm of precipitation in an oak/grass savanna ecosystem in  
437 California (Xu and Baldocchi, 2004). This is due to the ~~so~~ so-called soil degassing effect,  
438 which is the non-steady-state CO<sub>2</sub> efflux at the soil surface occurring mostly during  
439 rainfall or irrigation after long periods of drought (Luo and Zhou, 2006). In agricultural  
440 systems, however, frequent irrigation ~~is~~ applied occurs to satisfy crop water  
441 requirements ~~which~~ and maintains ~~favor~~ favourable soil moisture. This further renders  
442 higher  $R_s$  than in natural ecosystems, particularly in ~~the~~ arid areas. Our results further  
443 indicate that SWC that is ~~both~~ either too low ~~and~~ or too high ~~SWC~~ can restrain  $R_s$ , which  
444 can be expressed by a quadratic equation (Fig. 7b). The quadratic (parabolic)  
445 relationship ~~is~~ between SWC and  $R_s$  has also been detected in maize fields, tallgrass  
446 prairie and oak/grass savanna ecosystems (Yinkun *et al.*, 2013; Xu *et al.*, 2004; Liu *et al.*,  
447 2002; Mielnick and Dugas, 2000). This is because that lower water content affects the  
448 diffusion of soluble substrates, while higher water content affects the diffusion and  
449 availability of oxygen (Davidson *et al.*, 2006; Linn and Doran, 1984). Our results  
450 ~~confirms~~ findings by Wang *et al.* (2010), who reported that irrigation stimulates  $R_s$  but

451 ~~that~~ too much water reduces it, especially shortly after ~~the~~ irrigation (Wang et al.,  
452 2010)(Wang et al., 2010)(Wang et al., 2010). ~~Compared~~ In addition to our quadratic  
453 functional relation between SWC and  $R_s$ , the effect of SWC on  $R_s$  has also been  
454 described by linear, logarithmic or parabolic functions in different ecosystems around  
455 the world (Davidson et al., 2000). For example, in a mountain oasis ~~of~~ in Oman, soil  
456 respiration ~~is~~ has been described to be linearly correlated with the SWC (Wichern et al.,  
457 2004). To be noted, the range of SWC in Wichern et al. (2004) is from 0.14 to 0.25,  
458 which is ~~smaller~~ lower than the soil moisture threshold necessary to restrain  $R_s$  ~~obtained~~  
459 found in our study; ~~therefore, so~~ the authors ~~didn't get~~ did not find a ~~the~~ parabolic  
460 correlation ~~like~~ like we dids. More theoretical efforts should be made to reconcile  
461 these different experimental results and obtain a general relationship between SWC and  
462  $R_s$ .

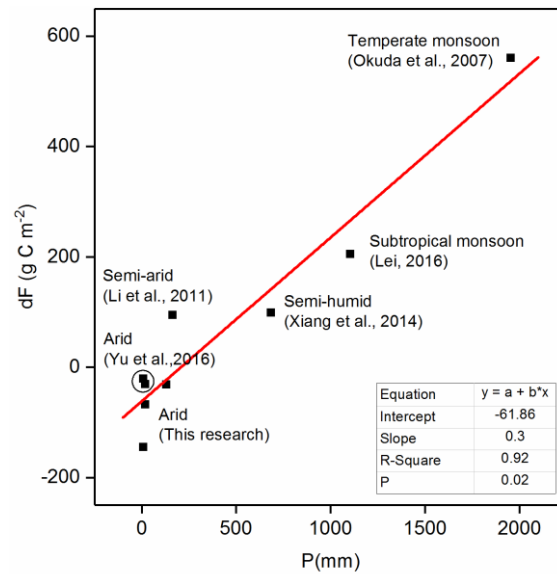
463 Our results indicated ~~d~~ that the correlation between  $R_s$  and temperature, and the  
464 temperature sensitivity (~~i.e.~~ i.e.,  $Q_{10}$ ) are rather low in our PFM field equipped with drip  
465 irrigation (Table 2). The obtained  $R^2$  values of 0.18-0.44 are much ~~smaller~~ lower than  
466 the reported values ~~in~~ from natural ecosystems, such as in a tall grass prairie in central  
467 Oklahoma, USA, with an  $R^2$  of 0.77-0.97 (Luo et al., 2001), and in the Harvard Forest  
468 in central Massachusetts, USA, with an  $R^2$  of 0.8 (Davidson et al., 1998). The obtained  
469  $Q_{10}$  values of 1.25-1.65 (Table 2, expect for in the planting hole) are below the median  
470 of 2.4 reported in a literature review of global soil respiration (Raich and Schlesinger,  
471 1992). ~~Also,~~ Additionally, they are much smaller than the  $Q_{10}$  of 3.8 found in ~~a~~ rain-fed  
472 maize cropland in the Loess Plateau of China (Xiang et al., 2012). Comparatively In  
473 contrast, higher correlations between  $R_s$  and SWC indicate that the SWC may be the  
474 main factor affecting  $R_s$  in ~~the~~ a PFM field under drip irrigation. Lower  $Q_{10}$  values  
475 indicate that the sensitivity of  $R_s$  to temperature has been weakened by higher variation  
476 ~~of~~ in the soil moisture induced by irrigation and precipitation.

477 Our results clearly reveal the ~~confounded~~ confounding influence of PFM and  
478 precipitation on soil respiration. The hydrological responses to precipitation in the field  
479 we are changed by the impermeable plastic mulch, which is the reason that the effect of

480 precipitation on  $R_s$  ~~is differed~~ in the mulched and non-mulched fields. For example,  
481 the  $R_s$  rate in the non-mulched ridge was higher than that in the furrow of mulched  
482 fields and planting holes during 2016, in which high with more precipitation occurred.  
483 However, this result ~~was contrary~~ contrasted with the results from ~~in~~ 2014 and 2015,  
484 during which ~~with~~ less rainfall occurred. ~~Also,~~ Additionally, although the soil  
485 respiration rate in the mulched field was always higher than that in the non-mulched  
486 field during all ~~the~~ three years, the significance of ~~such~~ this magnitude relation  
487 decreased with increasing precipitation. Therefore, we can speculate that the  
488 magnitude at which the mulch accelerates soil respiration should be related to the  
489 amount of precipitation ~~amount~~.

490 To verify the above ~~speculation~~ assertion, a meta-analysis was carried out. The  
491 relationship ~~of between~~ the amount of annual precipitation ( $P$ ) ~~with and~~  
492 in the ~~of~~ annual  $R_s$  (noted as  $dF$ , i.e.,  $R_s$  in the non-mulched field minus that in the  
493 mulched field) was ~~analyzed~~ analysed (Fig. 10). The relevant studies include studies  
494 conducted in an arid area ( $P=45.7$  mm) in southern Xinjiang (Yu et al., 2016), a semiarid  
495 area ( $P=160$  mm) in northern Xinjiang (Li et al., 2011), a semi-humid area ( $P=566.8$   
496 mm) on the Loess Plateau of China (Xiang et al., 2014), a subtropical monsoon area  
497 ( $P=1,105.5$  ~~mm~~ mm) in ~~s~~ sSouthwest ~~of~~ China (Lei, 2016) and a temperate monsoon  
498 climate area ( $P=1,954$  mm) in Japan (Okuda et al., 2007). The  $dF$  was found to have a  
499 linear relationship with the amount of precipitation. Under the condition of 200 ~~mm of~~  
500 annual precipitation ~~condition~~, the  $R_s$  rates in the mulched and non-mulched fields are  
501 roughly identical. ~~For~~ When the ~~the~~ fields with annual precipitation was greater than  
502 200 mm, the  $R_s$  was lower in the mulched field than in the non-mulched field. This is  
503 the reason why the results of some studies ~~obtained the contrary conclusion~~ contrasted  
504 with our results showing that PFM decreases  $R_s$ .

505



506

507 Fig. 10 The relationship of the difference in soil respiration between the mulched and non-mulched fields with  
 508 precipitation;  $dF$  means-represents the soil respiration in the non-mulched field minus that in the mulched field. In  
 509 Among the five points representing of arid areas, the data from (Yu et al., 2016) is are within-in the circles, while  
 510 those from our research-study are is outside of the circle.

511

512 For each Based on the relationships between precipitation and soil respiration in the  
 513 PFM fields obtained above, plastic film mulching is recommended for the application  
 514 in areas with precipitation greater larger than 200 mm, i.e. i.e., semi-arid and humid  
 515 areas, to decrease soil CO<sub>2</sub> emissions and increase soil carbon sequestration. Decreasing  
 516 soil CO<sub>2</sub> emissions means-indicates increasing soil organic carbon and maintaining soil  
 517 fertility to obtain a stable yield. Our results are-is consistent with those of Zhang et al.  
 518 (2018), who concluded that PFM with-where precipitation is larger greater than 230 mm  
 519 can obtain the result in a stable crop yield on the Loess Plateau.

## 520 5. Summary

521 Plastic film mulching is now widely used in agriculture around the world due to the  
 522 continuous fall in the prices of plastic products, particularly in developing countries,  
 523 such as China. The changing land cover with a mass great numbers of PFM fields and  
 524 the changing climate will affect the energy, water and carbon cycles regionally and



525 globally. From the comprehensive analysis and discussion ~~about of~~ the effects of plastic  
526 mulch, irrigation and precipitation on soil respiration ~~with-based on the results of~~ our  
527 controlled experimental ~~results~~, some new findings were discovered in this study. First,  
528 PFM can enhance ~~the~~ spatial heterogeneity of soil respiration under drip irrigation, and  
529 ~~the~~ planting holes, furrows, and plastic mulch (~~sort-ordered~~ by ~~the~~ emission rate) are  
530 three important pathways ~~for-of~~ surface soil CO<sub>2</sub> emissions. Second, PFM can increase  
531 soil respiration at ~~the~~ field scale in arid areas, while this enhancement depends on ~~the~~  
532 ~~amount of~~ precipitation ~~amount~~. ~~The A~~ linear relationship ~~has-been~~ was found between  
533 ~~the difference in~~ soil respiration ~~difference~~ (between non-mulched and mulched fields)  
534 and ~~the amount of~~ precipitation ~~amount~~ at ~~the~~ annual scale. Plastic film mulching is,  
535 therefore, ~~beneficial~~ ~~+~~ for carbon sequestration in wet areas, while it is harmful in arid  
536 areas. Third, ~~the~~ frequent ~~application of~~ water ~~supplies~~ elevates soil moisture and soil  
537 respiration ~~as well as and~~ enhances their variations. The resultant higher variation ~~of in~~  
538 soil moisture further alleviates the sensitivity of soil respiration to soil temperature,  
539 leading to ~~a poor-weak~~ correlation and lower ~~of~~  $Q_{10}$  values.

540 Our results suggest that the rapid expansion of PFM fields in arid areas brings new  
541 challenges for controlling greenhouse gas emissions. Plastic film mulching and  
542 irrigation should be better ~~depicted~~ ~~described~~ in future soil carbon models. Linking the  
543 hydrologic and carbon cycles via the conservation of water resources is crucial for  
544 improving agronomic yields and soil carbon sequestration in dry ~~lands~~.

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