

1 **Comments**

2 *I thank the authors for answering the comments and implementing many suggestions. I am*
3 *satisfied with the answers to my comments. I only have four short suggestions left that could be*
4 *taken into account for the final manuscript.*

5

6 *P6 L9-10: I would explicitly state how many and which submodels build up WaSSI. E.g. the*
7 *second and third sentence of the authors reply to comment 2 “WaSSi was integrated from a*
8 *snow model,” would perfectly fit and definitively help guiding the reader through the*
9 *modelling paragraph.*

10

11 *P6 L10: To get a better picture of the WaSSI model it would be helpful to know the total number*
12 *of parameters that constitute the model.*

13

14 *P38 L8: I suggest to replace “... Figure 6” by “... Fig.6”.*

15

16 *General comment: According to HESS guidelines a space must be included between numbers*
17 *and units (change 10% to 10 %)*

18

19 **Response**

20 We want to thank the reviewer again for looking through the paper so carefully. We
21 have corrected these issues.

22

1 **Future shift of the relative roles of precipitation and temperature in**
2 **controlling annual runoff in the conterminous United States**

3

4 Kai Duan¹, Ge Sun², Steven G. McNulty², Peter V. Caldwell³, Erika C. Cohen², Shanlei Sun⁴,
5 Heather D. Aldridge⁵, Decheng Zhou⁴, Liangxia Zhang⁴, and Yang Zhang¹

6

7 ¹ Department of Marine, Earth, and Atmospheric Sciences, North Carolina State University,
8 Raleigh, NC, USA

9 ² Eastern Forest Environmental Threat Assessment Center, USDA Forest Service, Raleigh, NC,
10 USA

11 ³ Coweeta Hydrologic Laboratory, USDA Forest Service, Otto, NC, USA

12 ⁴ Key Laboratory of Meteorological Disaster of Ministry of Education, Nanjing University of
13 Information Science & Technology, Nanjing, Jiangsu, China

14 ⁵ State Climate Office of North Carolina, North Carolina State University, Raleigh, NC, USA

15

16 Correspondence to:

17 Kai Duan (kduan@ncsu.edu); Ge Sun (gesun@fs.fed.us)

18

19

1 **Abstract** This study examines the relative roles of climatic variables in altering annual
2 runoff in the conterminous United States (CONUS) in the 21st century, using a monthly
3 ecohydrological model (the Water Supply Stress Index model, WaSSI) driven with
4 historical records and future scenarios constructed from 20 Coupled Model
5 Intercomparison Project Phase 5 (CMIP5) climate models. The results suggest that
6 precipitation has been the primary control of runoff variation during the latest decades,
7 but the role of temperature will outweigh that of precipitation in most regions if future
8 climate change follows the projections of climate models instead of the historical
9 tendencies. Besides these two key factors, increasing air humidity is projected to
10 partially offset the additional evaporative demand caused by warming and consequently
11 enhance runoff. Overall, the projections from 20 climate models suggest a high degree
12 of consistency on the increasing trends in temperature, precipitation, and humidity,
13 which will be the major climatic driving factors accounting for 43%~50%, 20%~
14 24%, and 16%~23% of the runoff change, respectively. Spatially, while temperature
15 rise is recognized as the largest contributor that suppresses runoff in most areas,
16 precipitation is expected to be the dominant factor driving runoff to increase across the
17 Pacific Coast and the Southwest. The combined effects of increasing humidity and
18 precipitation may also surpass the detrimental effects of warming and result in a
19 hydrologically wetter future in the East. However, severe runoff depletion is more likely
20 to occur in the central CONUS as temperature effect prevails.

1 **1 Introduction**

2 Precipitation and temperature are the two key climatic variables that control land water
3 balances and thus control water availability for both ecosystem and humans (Lutz et al.,
4 2014;Milly et al., 2005;Seager et al., 2013;Piao et al., 2010). Changes in temperature
5 interact with changes in precipitation and cause profound shifts in water balance, such
6 as snowpack melting and accumulation (Barnett et al., 2005;Zhang et al., 2015),
7 intensification of hydrologic cycle (Creed et al., 2015;Davis et al., 2015), precipitation
8 partitioning (Duan et al., 2016b;Zhou et al., 2015), extreme floods and droughts (Duan
9 et al., 2016a;Trenberth et al., 2014;Duan and Mei, 2014b), and can lead to hydrological
10 ‘nonstationarity’ (Milly et al., 2008).

11 Surface and subsurface (shallow aquifers) runoff is a critical source of fresh water
12 for humans (Vörösmarty et al., 2000). The impacts of temperature and precipitation
13 changes on the magnitude and variability of runoff (Ficklin et al., 2009;Arnell and
14 Gosling, 2013;Nash and Gleick, 1991;Vano et al., 2012) have drawn particular attention
15 due to its importance for water supplies. Future changes in precipitation, evaporation,
16 and plant water use are direct driving forces of runoff generation. Climate change alters
17 both precipitation and the partitioning of precipitation into evapotranspiration (E_T) and
18 runoff since a warmer climate generally provides more energy for water fluxes between
19 the land and the atmosphere. Although an increase in precipitation may cause increase
20 in both E_T and runoff, the enhanced evaporative demand can result in decreases in
21 runoff efficiency (ratio of runoff to precipitation) (McCabe and Wolock, 2016). Both
22 observation and simulation studies in the U.S. suggest that higher E_T induced by rising

1 temperature is unlikely to be counterbalanced by the increase in precipitation and lead
2 to less runoff at large scales (Duan et al., 2016b; Jackson et al., 2005; Duan et al., 2017).
3 Conversely, warming may also cause precipitation decrease in some regions and
4 exacerbate the effects of temperature on runoff change.

5 Several studies have examined the relative contributions of historical changes in
6 precipitation and temperature to runoff variation at watershed (Karl and Riebsame,
7 1989), regional (Ryberg et al., 2014; Gupta et al., 2015), and continental (McCabe and
8 Wolock, 2011) levels across the conterminous U.S. (CONUS). These studies all agree
9 that precipitation, instead of temperature, explains most of the long-term change and
10 variability in runoff during the past century. McCabe and Wolock (2011) suggested that
11 the effects of temperature on runoff may become more substantial under a warming
12 climate. However, no study in the literature has rigorously investigated the potential
13 changes in the roles of precipitation and temperature under future climate scenarios.
14 According to the Parameter-elevation Relationships on Independent Slopes Model
15 (PRISM) dataset (<http://prism.oregonstate.edu/>) (Daly et al., 2008), the rate of decadal
16 change in temperature over the CONUS fluctuated between -0.03 °C and +0.28 °C from
17 1960s to 2000s. The rate of warming is likely to accelerate under intermediate or high
18 emission scenarios and increase the pressure of water scarcity in many regions in this
19 century (IPCC, 2014; Schewe et al., 2014). In addition, future change in climate is
20 projected to vary spatiotemporally in both direction and magnitude in the CONUS
21 (Mearns et al., 2012), thus sensitivity of water budget to climate change may be
22 discrepant across time and space. Although the possible underestimation of the

1 influence of temperature in altering regional water resources has been discussed
2 recently (Sospedra - Alfonso et al., 2015; Woodhouse et al., 2016), a comprehensive
3 evaluation under different climate backgrounds and land-cover compositions is still
4 lacking.

5 We aim to address two questions in this study: (1) to what extent, if any, will the
6 relative roles of precipitation and temperature in controlling runoff shift, if future
7 climate changes follow the projections of climate models instead of the tendencies
8 documented in the recent decades, and (2) how will runoff change in the future and
9 what are the potential roles of other climatic driving forces besides precipitation and
10 temperature? In the remainder of the paper, we first describe the methodology of runoff
11 simulation and sensitivity assessment, and the hydro-climatic datasets used, followed
12 by the results. Then, the advantages, limitations, and implications of this study are
13 discussed and the conclusions are drawn.

14 **2 Methods**

15 **2.1 Study area**

16 The CONUS covers the 48 adjoining states and the District of Columbia. In the
17 hydrologic unit system developed by the U.S. Geological Survey (USGS)
18 (<http://water.usgs.gov/GIS/huc.html>), the nation is divided into six levels of hydrologic
19 units and each unit is identified by a unique hydrologic unit code (HUC) consisting of
20 two to twelve digits. The first level of classification divides the CONUS into 18 2-digit
21 HUC areas that are also commonly referred to as Water Resource Regions (WRRs) (Fig.
22 1). These regions can be further divided into 2,099 8-digit HUC areas, or HUC-8

1 watersheds. This study investigates climate and runoff variations at the resolution of
2 HUC-8 watershed, as well as the aggregations in each WRR and the entire CONUS.
3 The full lists and boundaries of hydrologic units at different levels can be found in the
4 Watershed Boundary Dataset (<https://datagateway.nrcs.usda.gov/>).

5 **2.2 Runoff modeling**

6 The runoff responses to climate change and variability were modeled with the Water
7 Supply Stress Index model (WaSSI). WaSSI is a monthly ecohydrological model that
8 was developed to capture land-cover specific large-scale water balance in the
9 conterminous US based on empirical and physically based parameters ~~simulates the~~
10 ~~land-cover specific water and carbon cycles~~ (Caldwell et al., 2012; Sun et al., 2011b).
11 ~~The model incorporates several mathematical sub-models to describe monthly~~
12 ~~hydrologic processes from precipitation input to streamflow routing. It was integrated~~
13 from a snow model, a E_T model, and a soil moisture accounting model. A conceptual
14 snow sub-model (McCabe and Markstrom, 2007) is used to partition the total
15 precipitation into rainfall and snowfall, and to estimate snowpack melt/accumulation
16 and snow water equivalent with concern of the mean elevation, latitude, and air
17 temperature in the watershed. E_T is calculated with an ecosystem E_T model developed
18 from the empirical relationships between E_T and precipitation, potential
19 evapotranspiration (PET), and leaf area index (LAI) (Sun et al., 2011a; Sun et al., 2011b).
20 These E_T functions were established for 10 different land-cover classes independently
21 to account for the different water demand within different vegetation, ranging from
22 cropland, deciduous forest, evergreen forest, mixed forest, grassland, shrubland,

1 wetland, open water, urban area, to barren land. Then, this E_T estimation is further
2 constrained by soil water availability, which is simulated using the algorithms of
3 Sacramento Soil Moisture Accounting model (SAC-SMA) (Burnash, 1995), as well as
4 the processes of infiltration and runoff generation at monthly basis. SAC-SMA is a
5 classic rainfall-runoff conceptual model that has been successfully used by the U.S.
6 National Weather Service (NWS) to issue river forecasts across the country for decades.
7 Necessary inputs for WaSSI include monthly precipitation, air temperature, PET, LAI,
8 and land-cover composition. In this study, the spatial distribution of LAI and the 10
9 land-cover classes were assumed to be static over time. Monthly climate data were first
10 scaled to watersheds by the area-weighted averages. All the water balance components
11 were calculated independently for each land cover class within each watershed, and
12 then were aggregated monthly means. The model parameters were acquired from
13 several previous studies, including: (1) The parameters of snow sub-model (4
14 parameters for each WRR) were estimated for each WRR by comparing regional
15 monthly mean snow water equivalent to remotely sensed values from the Snow Data
16 Assimilation System (McCabe and Markstrom, 2007;Caldwell et al., 2012). (2) The
17 parameters of E_T sub-model (3 empirical parameters for each land-cover type) were
18 estimated by empirical relationships derived from eddy covariance or sapflow
19 measurements at multiple sites (Sun et al., 2011a;Sun et al., 2011b). (3) SAC-SMA
20 parameters (11 parameters for each watershed) used to drive the soil water balance sub-
21 model were developed from soil physical characteristics documented by the State Soil
22 Geographic Database (<http://soildatamart.nrcs.usda.gov>) (Anderson et al., 2006;Koren

1 et al., 2003).

2 The WaSSI model has been validated against observations at USGS gauged sites at
3 the levels of both 8-digit (Caldwell et al., 2012) and 12-digit HUC watersheds (Sun et
4 al., 2015b). We here verify the model performance at CONUS and WRR scales to
5 complement to previous validations. The simulated annual runoff, driven by monthly
6 precipitation and temperature from the PRISM dataset, was compared against the
7 USGS measurements over the entire CONUS (Fig. 2a&2c) and in the 18 WRRs (Fig.
8 2b&2d) for the time period of 1961-2010. Despite a slight overestimation of the
9 minimums, WaSSI shows reliable accuracy in capturing annual runoff at both CONUS
10 and WRR scales, with R-square statistic reaching 0.91 and 0.95, and Root Mean
11 Squared Error (RMSE) limited to 29 and 55 mm yr⁻¹, respectively.

12 **2.3 Quantifying the independent effects of climatic variables**

13 Large-scale water balance can be described as runoff (R) equals precipitation (P) minus
14 E_T and changes in soil moisture (S_M) and the hydrologically connected snowpack (S_P):

$$15 \quad R = P - E_T + dS_M/dt + dS_P/dt \quad (1)$$

16 While P is the primary water input, changing temperature (T) and other climatic factors
17 interact with each other and affects R by altering the melt/accumulation of snowpack
18 and controlling E_T with the constraints of vegetation and soil moisture.

19 Here we developed a simple approach of sensitivity test to examine the relative roles
20 of climatic variables in R variation, as:

$$21 \quad \Delta R = \sum_{i=1}^N E_{Ci} + E_{Int} \quad (2)$$

22 where ΔR denotes the change in R , which equals the combined effect of variations in
23 all the climatic variables ($C_i, i=1,2,\dots,N$). ΔR can be decomposed into the independent

1 effects of each variable (E_{Ci}) and the effect of interactions among them (E_{Int}). From a
 2 pre-change period (t_1) to a post-change period (t_2), ΔR is quantified by R change (%)
 3 driven by changes in all the variables, as the difference between
 4 $R(CI_{t_2}, \dots, Ci_{t_2}, \dots, CN_{t_2})$ and $R(CI_{t_1}, \dots, Ci_{t_1}, \dots, CN_{t_1})$; while E_{Ci} is estimated by
 5 R change driven by changes in the variable Ci only, as the difference between
 6 $R(CI_{t_1}, \dots, Ci_{t_2}, \dots, CN_{t_1})$ and $R(CI_{t_1}, \dots, Ci_{t_1}, \dots, CN_{t_1})$. E_{Int} is calculated as the
 7 ΔR minus $\sum_{i=1}^N E_{Ci}$, representing the changes in R that cannot be accounted for by the
 8 independent effects. Given that the changing climatic variables may cause either
 9 positive or negative effects on R , their contributions (%) are quantified by the relative
 10 weights, as

$$11 \quad C(Ci) = 100 \times |E_{Ci}| / (\sum_{i=1}^N |E_{Ci}| + |E_{Int}|) \quad (3)$$

12 **2.4 Modeling experiments**

13 **2.4.1 Climate projection**

14 Climate data downscaled from the raw outputs of 20 Global Climate Models (GCMs)
 15 (Table 1) of the fifth phase of the Coupled Model Inter-comparison Project (CMIP5)
 16 (the MACAv2-LIVNEH dataset, Livneh et al., 2013, available at
 17 <http://maca.northwestknowledge.net/>) were used to test the potential future changes in
 18 R . This dataset includes the CMIP5 experiments of ‘historical’, Representative
 19 Concentration Pathways (RCP) 4.5, and RCP8.5, which correspond to the climate
 20 forcings (i.e., greenhouse gases emissions, aerosols, land use feedbacks, etc.) observed
 21 in the history and projected in a future with the radiative forcing reaching 4.5 and 8.5
 22 $W m^{-2}$ in 2100 (equivalent to 650 ppm and 1370 ppm CO_2), respectively (Moss et al.,

1 2010;IPCC, 2014). The used climatic variables include monthly P , maximum and
2 minimum T , solar radiation (R_s), wind speed (W_s), and specific humidity (Sh) spanning
3 from 1950 to 2099 (Fig. 3).

4 To evaluate the R responses to various changes in future climates, we conducted four
5 30-year simulation experiments: (i) RCP4.5/2030s (S1 scenario) — near future 2020-
6 2049 under RCP4.5; (ii) RCP4.5/2080s (S2) — far future 2070-2099 under RCP4.5;
7 (iii) RCP8.5/2030s (S3) — near future 2020-2049 under RCP8.5; (iv) RCP8.5/2080s
8 (S4) — far future 2070-2099 under RCP8.5. These four future scenarios cover two post-
9 change time periods (2030s and 2080s) and are compared to the historical condition in
10 1970-1999 (1980s) that represents the baseline level. Traditional sensitivity test
11 methods usually assume a fixed amount of change (Karl and Riebsame, 1989) or allow
12 one (or more) of the variables to remain constant over time (McCabe and Wolock, 2011).
13 In this study, the 30-year-long continuous climate series were used to examine the long-
14 term patterns while implicitly incorporating the inter- and intra-annual variations. This
15 large set of climate projections was collected to enable a robust quantification of the
16 major uncertainties from GCM structure and emission scenario.

17 **2.4.2 Estimation of potential evapotranspiration**

18 Hamon's PET equation has been used for PET estimation in previous WaSSI
19 simulations because it only requires mean temperature as input and has shown reliable
20 correlation with actual E_T in historical periods (Lu et al., 2005;Vörösmarty et al., 1998).
21 Essentially, temperature-based methods perform well because T is correlated with
22 radiation and humidity at monthly timescale (Sheffield et al., 2012). Such correlations

1 are the physical bases of the empirical E_T functions, through which variability in P , T ,
2 and LAI was able to explain the main controls of evaporation and transpiration fluxes
3 without including the radiative and aerodynamic variables. However, recent studies
4 revealed that the bias in temperature-based methods could be amplified in future
5 scenarios of global warming, leading to overestimation of PET and ultimately E_T and
6 the severity of land surface drying (Milly and Dunne, 2011; Sheffield et al., 2012).
7 Penman-Monteith (PM) reference E_T (Allen et al., 1998), as a commonly used
8 alternative PET model, incorporates the effects of surface temperature, humidity, wind,
9 and radiation, and is considered the most reliable PET approach where sufficient
10 meteorological data exist (Kingston et al., 2009; Feng and Fu, 2013).

11 In this case, using Hamon equation would lead to 130 mm yr⁻¹ larger PET increase
12 from the baseline to RCP8.5/2080s than that using PM equation (Fig. 4). We assume
13 that the PM PET projections are more reasonable because the effects of future changes
14 in R_s , W_s , and Sh are included as well as T . In the remaining of this paper, we will focus
15 on analyzing the R changes and the independent effects of five climatic variables based
16 on PM PET, i.e., P , T (including changes in maximum T , minimum T , and mean T that
17 was estimated as the average of maximum and minimum), R_s , W_s , and Sh . Effects of P
18 and T evaluated from simulations of Hamon PET will also be investigated to address
19 the consistency and discrepancy caused by using different PET methods.

20 **3. Results**

21 **3.1 Projected changes in runoff**

22 Changes in mean annual R under future climate change scenarios vary among HUC-8

1 watersheds (Fig. 5) and WRRs (Fig. 6) across the CONUS. Runoff depletion is
2 projected to cover most part of the central CONUS across WRR7~WRR12, with largest
3 decreases over 50% found in the south of WRR10 (Missouri) under RCP8.5. Increases
4 are mainly projected in the Southwest, the north of Missouri, and regions along the
5 Atlantic Coast and Pacific Coast. Extreme increases over 100% are projected in several
6 arid watersheds in WRR15 (Lower Colorado) and WRR16 (Great Basin). However, this
7 may be caused by the inability of GCMs in reproducing the low P values in these
8 extremely dry areas. Although the general spatial patterns appear to be similar in the
9 four scenarios, there is an evident expansion of the areas showing either extreme
10 increasing or decreasing trend from 2030s to 2080s under both RCP4.5 (Fig. 5a-5b) and
11 RCP8.5 (Fig. 5c-5d) scenarios.

12 The large variability of regional changes in R (Fig. 6) indicates considerable
13 uncertainties from GCM structure. In most cases, the uncertainty range is limited to
14 -30% ~ +30%, showing both positive and negative changing signals. The distributions
15 of the median lines and Inter-Quartile Ranges (IQRs) suggest a hydrologically drier
16 future in WRR7~12 and WRR14 (Upper Colorado), where consistent decreasing signal
17 is found in all the scenarios. Increasing trend can be found in WRR1 (New England),
18 WRR2 (Mid-Atlantic), WRR17 (Pacific Northwest), and WRR18 (California).
19 Generally, the uncertainty ranges tend to increase from 2030s to 2080s under both RCPs,
20 and reach a particularly high level under RCP8.5/2080s. There is a noticeable
21 consistency in the pattern that the GCMs agree more on the simulations in 2030s while
22 the uncertainty aggregates over time toward 2080s, which implies the limitation of the

1 state-of-the-art GCMs in predicting farther future.

2 **3.2 Independent effects of climate variables**

3 The changes in R discussed above are under the combined impact of changing P , T , R_s ,
4 W_s , and Sh . The independent effects of these factors over the entire CONUS are
5 illustrated in Fig.7a-7b. P and T are clearly the two most influential factors, which are
6 projected to cause divergent changes in R due to the increase in P (+15 ~ +31 mm yr⁻¹)
7 and T (+1.8 ~ +5.3 °C). The median values show that annual R under the independent
8 P effect is expected to increase by 13 mm yr⁻¹ (4%) in 2030s and 24 mm yr⁻¹ (8%) in
9 2080s under RCP4.5, and by 21 (7%) and 30 (10%) mm yr⁻¹ at the same time under
10 RCP8.5. In contrast, the independent effects of T reach -32 (-11%), -50 (-17%), -34 (-
11 12%), and -80 (-28%) mm yr⁻¹ in the scenarios S1~S4. The negative effect of rising T
12 is expected to exceed the positive effect of increasing P and lead to overall decrease in
13 R . However, Sh , the third largest contributor, will enhance R by 3% ~ 12% and largely
14 offset the T effects. Significant increasing trend in Sh is projected under both RCP4.5
15 and RCP8.5 (Fig. 3e), which will suppress vapor pressure deficit and thus partially
16 counterbalance the increasing evaporative demand caused by warming. Meanwhile, the
17 effects of R_s (slightly negative), W_s (slightly positive), and interactions among the
18 factors (Int) are relatively minimal (< 3%), suggesting that the variations in T , P , and
19 Sh can explain the major changes in R .

20 It is worth noticing that much larger uncertainty ranges can be found in the P effects.
21 Compared to the highly consistent increases in T and Sh , the 20 GCMs constantly
22 disagree on the changing direction of P . Under RCP8.5/2080s, the multi-model result

1 of P effect ranges from -11% to 24%, and the IQR also reaches the highest level (13%).

2 It indicates that uncertainty in P projection is still the largest contributor to the
3 uncertainty in R simulations, especially in the far future.

4 We also compared these results with those evaluated based on Hamon PET (Fig. 7c),
5 and found some similar features. The differences in independent effects of P and T
6 between the two sets of results are mostly smaller than 5%, and both results show that
7 T effect would be twice as large as P effect at CONUS scale. This suggest that the bias
8 in PET model structure is not likely to turn over the relative importance of P and T
9 effects as long as E_T model is properly calibrated. However, the projected decreases in
10 R (i.e., the ‘Total’ effects) are obviously more severe when using Hamon PET because
11 the positive effect of increasing humidity is not considered.

12 **3.3 Relative contributions of precipitation and temperature**

13 Table 2 summarizes the relative contributions of P and T to R change for the historical
14 and future periods in 18 WRRs and the entire CONUS. Historical changes in P , T , and
15 their effects on R were tested using PRISM climate data spanning from January 1960
16 to December 2010. Given the significant spatial and temporal variability in R trend
17 across the CONUS (Mauget, 2003;McCabe and Wolock, 2002, 2011;Gupta et al., 2015),
18 a consistent breakpoint is statistically unavailable. We hereby took 1985 as the
19 breakpoint year for all the watersheds and evaluated the multi-decadal mean changes
20 from 1961-1985 (pre-change period) to 1986-2010 (post-change period). Although the
21 selection of different breakpoints may cause certain deviations, the analysis can provide
22 a comparable benchmark for exploring the shifts in future scenarios at a multi-decadal

1 scale. Unsurprisingly, the results of these latest decades show the prevailing role of P
2 in nearly all the regions, with WRR14 being the only exception. In the future periods
3 (from baseline to S1~S4), however, results derived from both PM and Hamon PET
4 suggest that the role of T rise will surpass P and become the largest driver in most of
5 the regions (15~16 out of 18 WRRs) in the future. In contrast, a larger mean
6 contribution of P can be occasionally found in the Atlantic Coast (WRR1,2), Pacific
7 Coast (WRR18), and the Southwest (WRR12,15). Considering that the inconsistency
8 among GCMs may make the recognition of larger contributor dubious, we used
9 Wilcoxon signed-rank test (Gibbons and Chakraborti, 2011) to assess the statistical
10 significance of the difference between each pair of P and T contributions (i.e., 20
11 samples from the 20 GCMs). The test results reveal high agreement among GCMs on
12 the prominent role of T across most regions (underlined in Table 2).

13 At CONUS level, the mean contributions of P and T are projected to lie within 20%
14 ~24% and 43%~50% using PM PET, and 33%~40% and 55%~62% using Hamon
15 PET, suggesting a similar shift in the relative importance of these two key driving
16 factors. However, future changes in Sh , Rs , and Ws account for another 16%~23%,
17 2%~7%, and 1%~4% of R change respectively, and indirectly affect the attributions
18 to P and T . For example, the R increase in WRR1 would be completely attributed to P
19 increase if Sh was not considered, and thus lead to an overestimation of P contribution.

20 **3.4 Spatial distribution of the major driving factors**

21 To further investigate the spatial pattern of future climatic controls on annual R , we
22 mapped the coverage of dominant driving factors (Fig. 8) and examined its consistency

1 with the changing trend in R at watershed scale (Table 3). Judging by multi-model
2 ensemble means, P and T are the largest driving factor in 10%~22% and 68%~89%
3 of the CONUS area. High consistency on their dominant roles (80% or more of the 20
4 GCMs agree on the sign) can be found in 4%~7% and 21%~41% of the CONUS,
5 respectively. As P and T are projected to keep increasing, the coverages of P -dominant
6 and T -dominant areas are also expected to expand from 2030s to 2080s. A directional
7 change suggests that rising T will become more influential in the east (WRR1~6), while
8 P will prevail in more watersheds across the west (WRR13~18). Although the
9 aggregated effect of Sh is quite close to that of P at large scales, it is only expected to
10 play a dominant role in several watersheds (1% in area) across the borders between
11 WRR10 and WRR11 under RCP8.5/2080s.

12 The P -dominant areas that mainly distributed in the Southwest (WRR13,15) and
13 Pacific Coast (WRR17,18) show clear signals of increasing R , driven by the widespread
14 increase in P . On the other hand, only two thirds of the T -dominant areas coincide with
15 the areas of decreasing R , covering a large part of the central CONUS (WRR7,9,10,11)
16 and a number of watersheds scattered in the Northwest (WRR14,16,17). Although T is
17 also identified as the most influential factor in the eastern regions WRR1~5, the
18 combined effect of other four factors, primarily P and Sh , is projected to exceed the T
19 effect and lead to an increase in R .

20 **4. Discussion**

21 **4.1 Spatial patterns of future runoff change**

22 This study characterizes and generalizes large-scale relationships among changing P , T ,

1 and R despite the large geographic differences. The coherence in the spatial dynamics
2 of R trend and the corresponding climatic drivers shows a rough pattern: T change
3 dominates R decrease while P and Sh changes dominate R increase. However, it should
4 be interpreted with limitations on time scale and underlying surface features. This
5 pattern does not hold true in all the watersheds due to the nonlinear complexity of R
6 response to climate change at various time scales, as well as the influence of other
7 watershed characteristics (e.g., topography, land-use, soil property). For example, slight
8 decreases in annual P but somewhat increases in annual R are projected in south Texas
9 due to the changes in intra-annual climate variability. The role of T may also become
10 more positive in regions where water availability is dominated by snow melting
11 (Barnett et al., 2005;Lutz et al., 2014). Besides, local R can be affected by other factors,
12 such as land-cover evolution and the direct effects of atmospheric composition on
13 transpiration (Gedney et al., 2006;Zhang et al., 2001;Zhang et al., 2015).

14 **4.2 The role of land cover and land use**

15 Land cover, LAI, and soil are important controls on catchment water balance and R
16 sensitivity to climate change (Zhang et al., 2001;Bosch and Hewlett, 1982;Cheng et al.,
17 2014). This study specifically focused on evaluating the separate and combined effects
18 of changing climates on R within a static land cover/land use. We did not consider the
19 potential evolution of land cover and its interactions with water balance. We made no
20 explicit tabulation of the impact of land cover/land use on the R responses to climate
21 change, but we did incorporate it as a key factor by estimating E_T with a set of functions
22 of climate, LAI, and soil moisture capacity and deficit. Across the land cover classes,

1 the uncertainty ranges of independent contributions of P (13%~30%) and T (39%~
2 51%) are relatively small compared to the ranges across WRRs (18%~47% and 29%
3 ~52%). This may be because the discrepancy across different land covers is largely
4 offset by the different climate backgrounds across the country. Evaluation of future land
5 cover change and its impact on R is out of the scope of this study. However, our results
6 imply that the potential impact of land cover change might not be large enough to alter
7 the relative significance of P and T in controlling future continental water availability.

8 **4.3 Implications for water and land management**

9 Our results have important implications for water and land management across the
10 CONUS. Water resources planning may need to prepare different management
11 strategies for areas facing contrasting future hydrological conditions. Additional water
12 storage such as reservoirs may be needed in regions expecting more R , while inter-basin
13 water transfer, improving water use efficiency, and other water conservation measures
14 such as rain harvesting, and waste water recycling should be implemented for areas
15 expecting water shortages. The vast croplands across central U.S. are likely to be
16 threatened by rising T and diminishing water availability for irrigation and food
17 production. Adaptations in cropping systems and irrigation strategy are needed to
18 secure food supply and increase resiliency to drought and changing climate (Challinor
19 et al., 2014;Teixeira et al., 2013). The drier and hotter conditions may also result in
20 increasing water stress, higher risks of tree insects and disease outbreaks, and
21 catastrophic wildfires in forests (Dale et al., 2001) (e.g., National Forests in WRR14,
22 16, 17) and grasslands (e.g., in WRR10~11). Innovative land management practices

1 such as forest thinning and fuel management, irrigation, and planting drought-tolerant
2 species are vital to minimize the potential risk and vulnerability to climate change and
3 reduce the threats to ecosystems and society (Sun et al., 2015a; Grant et al., 2013; Vose
4 et al., 2016).

5 **4.4 Uncertainties and caveats**

6 Considerable uncertainty lies in the projection of future climate changes from the 20
7 GCMs. The uncertainty ranges under both RCP4.5 and RCP8.5 show significant
8 expansions over time from 2030s to 2080s. In particular, the large uncertainty in
9 predicting future P may substantially compromise the reliability in evaluating either R
10 change or the roles of P and T (Karl and Riebsame, 1989; Piao et al., 2010). Although
11 the results allow us to draw some conclusions on the general patterns, uncertainties are
12 large and vary differently across space and time. There are certain limitations in this
13 evaluation that should be noted when interpreting the results. First, we did not
14 incorporate other sources of uncertainty, such as the methodology of downscaling
15 (Duan and Mei, 2014a; Chen et al., 2011), and structure and parameters of hydrologic
16 model (Jung et al., 2012). Although the selections of GCM and emission scenario are
17 more likely to be the largest sources of uncertainty in hydro-climatic modeling (Kay et
18 al., 2009; Wilby and Harris, 2006; Duan and Mei, 2014b), the other sources may also
19 affect the results to different extents. The roles of uncertainties from different sources
20 can be particularly equivocal when investigating seasonal/monthly variability and
21 extreme events (Bosshard et al., 2013; Giuntoli et al., 2015; Bae et al., 2011; Kay et al.,
22 2009). Second, we focused on the independent effects of potential climate changes,

1 while assuming the inter-relationship among the meteorological variables and water-
2 balance components remains the same as in historical periods. In future studies,
3 improved climate datasets and better representation of the physical mechanisms of
4 climatic factors (e.g., radiation, Bohn et al., 2013; wind speed, McVicar et al., 2012)
5 are needed to reduce uncertainties.

6 **5. Conclusions**

7 This study evaluates the relative roles of precipitation and air temperature, as well as
8 solar radiation, wind speed, and air humidity, in altering annual runoff across the
9 CONUS based on a large ensemble of simulations using data from both historical
10 measurements and CMIP5 GCMs projections. Despite the large uncertainty and spatial
11 variability involved in the results, two robust conclusions can be drawn at the CONUS
12 and regional scales on multi-decadal basis. First, the role of temperature will outweigh
13 that of precipitation in a continued warming future in the 21st century, in spite that
14 precipitation has been the primary control of runoff variation during the latest decades.
15 The projections from 20 climate models suggest a high degree of consistency on the
16 increasing trends in both precipitation and temperature, but the negative effect of
17 temperature is expected to exceed the positive effect of precipitation on runoff change
18 in most regions. Over the entire CONUS, temperature is projected to be the largest
19 contributor (43% ~ 50%), followed by precipitation (20% ~ 24%), humidity
20 (16%~23%), solar radiation (2% ~ 7%), and wind speed (1% ~ 4%). Spatially,
21 precipitation is likely to be the dominant driving factor for runoff increase across the
22 Pacific Coast and the Southwest, while temperature will be more influential in the

1 central CONUS and parts of the Northwest and cause runoff decreases.

2 Second, increasing humidity is expected to partially offset the additional evaporative
3 demand caused by warming, and consequently enhance runoff wide across the country.

4 Although the rising temperature is projected to be the largest control of runoff change

5 in the eastern CONUS, the combined effects of increasing humidity and precipitation

6 will surpass the detrimental effects of warming and result in a hydrologically wetter

7 future. This study also raises concern on the choice of PET method. It has been well

8 acknowledged in hydrometeorological communities that temperature-based PET

9 methods tend to be oversensitive to temperature change. Our results further demonstrate

10 that the main risk of using temperature-based PET is overlooking the effects of other

11 changing climatic variables (mainly humidity in this case), which have not been as

12 widely measured as temperature and are relatively understudied, rather than

13 overestimating the effects of temperature.

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7

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17 *Competing interests.* The authors declare that they have no conflict of interest.

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1 Tables

2 **Table 1.** List of the 20 climate models and the changes in mean annual precipitation and temperature
 3 over the conterminous United States (CONUS) from the baseline scenario (B) to future scenarios S1
 4 (RCP4.5/2030s), S2 (RCP4.5/2080s), S3 (RCP8.5/2030s), and S4 (RCP8.5/2080s).

GCM	Country	Precipitation (mm yr ⁻¹)					Temperature (°C)				
		B	S1	S2	S3	S4	B	S1	S2	S3	S4
bcc-csm1-1	China	787	-3	+13	+33	-5	11.4	+1.7	+2.4	+1.9	+4.8
bcc-csm1-1-m	China	786	+18	-18	+29	+33	11.4	+1.5	+2.4	+1.7	+4.3
BNU-ESM	China	798	+51	+42	+25	+45	11.5	+1.9	+3.2	+2.0	+5.4
CanESM2	Canada	800	+14	+42	+19	+83	11.3	+2.3	+3.5	+2.4	+5.8
CCSM4	USA	783	+29	+29	+18	+58	11.5	+1.5	+2.5	+1.9	+4.6
CNRM-CM5	France	780	+46	+56	+40	+85	11.4	+1.4	+2.8	+1.6	+4.6
CSIRO-Mk3-6-0	Australia	780	+14	+84	+24	+74	11.2	+2.0	+3.4	+2.0	+5.6
GFDL-ESM2M	USA	787	+6	+20	+32	+31	11.3	+1.6	+2.2	+1.7	+4.2
GFDL-ESM2G	USA	791	+21	+36	+38	+12	11.4	+1.2	+1.7	+1.2	+3.7
HadGEM2-ES	UK	784	+16	+7	+18	+7	11.3	+2.2	+3.8	+2.5	+6.8
HadGEM2-CC	UK	779	+23	+39	+5	+32	11.3	+2.3	+4.2	+2.7	+6.7
inmcm4	Russia	779	-7	+4	+0	+13	11.4	+0.9	+1.7	+1.1	+3.4
IPSL-CM5A-LR	France	780	+8	+14	+13	-8	11.5	+1.8	+3.0	+1.8	+5.8
IPSL-CM5A-MR	France	789	-4	+13	-25	-70	11.3	+1.9	+3.2	+2.3	+6.0
IPSL-CM5B-LR	France	781	+23	+62	+34	+82	11.4	+1.5	+2.4	+1.7	+4.4
MIROC5	Japan	788	+9	+10	+24	+6	11.2	+2.3	+3.6	+2.4	+5.7
MIROC-ESM	Japan	791	+56	+37	+30	+9	11.3	+2.1	+4.1	+2.6	+6.6
MIROC-ESM-CHEM	Japan	784	+12	+38	+26	+10	11.4	+2.4	+4.0	+2.7	+6.9
MRI-CGCM3	Japan	783	+20	+47	+38	+87	11.4	+0.8	+1.7	+1.0	+3.2
NorESM1-M	Norway	784	+13	+31	+25	+63	11.3	+1.8	+3.1	+2.2	+5.1

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1 **Table 2.** Comparison of multi-model averaged contributions (%) of precipitation (*P*) and temperature (*T*) to changes in runoff in the 18 Water Resource Regions (WRRs) and
 2 entire CONUS in historical period (1961-2010) and future scenarios S1 (RCP4.5/2030s), S2 (RCP4.5/2080s), S3 (RCP8.5/2030s), and S4 (RCP8.5/2080s). The larger
 3 contributor identified by multi-model ensemble means is bolded, and the significant larger contributor identified by Wilcoxon signed-rank test (at 5% significance) is underlined.

WRR	Historical		Projections based on PM PET								Projections based on Hamon PET							
			S1		S2		S3		S4		S1		S2		S3		S4	
	<i>P</i>	<i>T</i>	<i>P</i>	<i>T</i>	<i>P</i>	<i>T</i>	<i>P</i>	<i>T</i>	<i>P</i>	<i>T</i>	<i>P</i>	<i>T</i>	<i>P</i>	<i>T</i>	<i>P</i>	<i>T</i>	<i>P</i>	<i>T</i>
1	88	9	36	36	36	38	34	38	31	<u>42</u>	<u>61</u>	38	58	40	<u>57</u>	41	53	46
2	80	17	27	40	28	<u>41</u>	30	39	28	<u>43</u>	47	50	49	50	51	47	46	52
3	60	30	31	37	26	<u>41</u>	30	38	24	<u>44</u>	43	49	38	<u>56</u>	41	52	32	<u>60</u>
4	83	13	24	<u>44</u>	23	<u>46</u>	29	<u>41</u>	23	<u>47</u>	44	54	42	<u>57</u>	50	48	40	<u>58</u>
5	73	22	23	<u>42</u>	23	<u>44</u>	29	40	25	<u>46</u>	40	57	38	<u>59</u>	46	51	37	<u>60</u>
6	64	30	28	40	27	<u>42</u>	32	38	26	<u>45</u>	41	54	40	<u>56</u>	46	49	37	<u>58</u>
7	89	6	23	<u>47</u>	19	<u>51</u>	23	<u>48</u>	20	<u>52</u>	40	<u>57</u>	32	<u>65</u>	37	<u>59</u>	32	<u>65</u>
8	48	37	27	39	23	<u>43</u>	24	<u>42</u>	24	<u>46</u>	38	53	34	<u>58</u>	35	<u>56</u>	29	<u>61</u>
9	89	8	22	<u>47</u>	20	<u>49</u>	26	<u>45</u>	20	<u>43</u>	37	56	34	<u>61</u>	40	53	33	<u>57</u>
10	81	6	19	<u>47</u>	18	<u>50</u>	18	<u>49</u>	20	<u>46</u>	35	<u>57</u>	32	<u>62</u>	32	<u>60</u>	33	<u>59</u>
11	88	4	20	<u>42</u>	19	<u>45</u>	18	<u>44</u>	18	<u>47</u>	30	<u>55</u>	29	<u>60</u>	27	<u>58</u>	26	<u>63</u>
12	74	14	35	29	27	35	30	32	27	<u>39</u>	44	38	37	46	38	42	31	<u>51</u>
13	71	18	25	<u>36</u>	27	38	26	<u>35</u>	22	<u>42</u>	35	<u>53</u>	36	<u>56</u>	37	53	28	<u>61</u>
14	30	51	21	<u>48</u>	25	<u>48</u>	20	<u>49</u>	24	<u>49</u>	31	<u>64</u>	36	<u>60</u>	32	<u>64</u>	31	<u>61</u>
15	72	17	28	33	36	32	33	32	36	29	35	52	41	48	43	47	37	49
16	65	23	21	<u>45</u>	24	<u>46</u>	23	<u>45</u>	29	<u>43</u>	34	<u>59</u>	36	<u>58</u>	32	<u>60</u>	38	51
17	91	7	28	42	28	<u>43</u>	29	<u>42</u>	31	<u>42</u>	44	54	44	54	45	53	47	51
18	95	4	<u>47</u>	29	43	32	<u>46</u>	30	46	30	<u>58</u>	36	54	41	56	39	54	42

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CONUS	57	29		20	<u>45</u>	20	<u>47</u>	24	<u>43</u>	21	<u>50</u>		35	<u>58</u>	35	<u>60</u>	40	<u>55</u>	33	<u>62</u>
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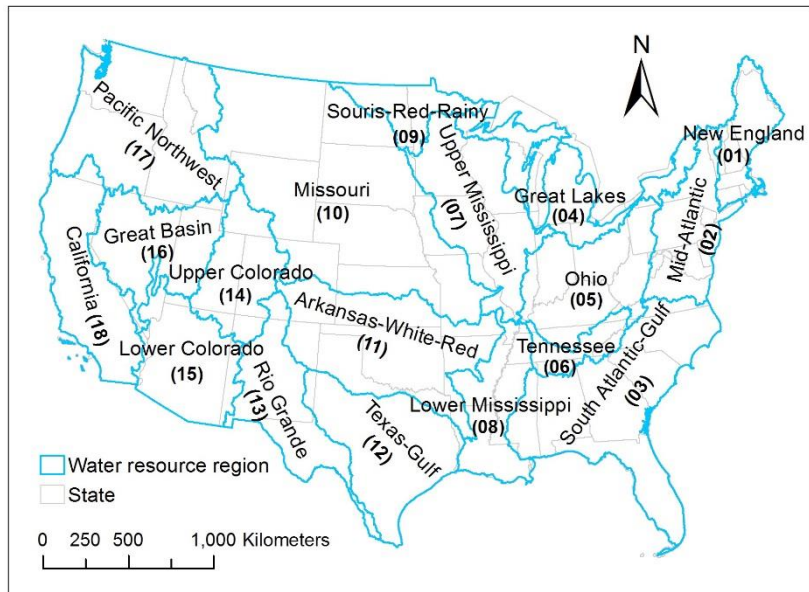
1 **Table 3.** Cross comparison of the areal proportions (%) with different dominant driving factors and
 2 changing directions of runoff (*R*) in the future scenarios S1 (RCP4.5/2030s), S2 (RCP4.5/2080s), S3
 3 (RCP8.5/2030s), and S4 (RCP8.5/2080s). The areas where a variable is the largest driving factor
 4 identified by multi-model ensemble means is marked in the brackets. The areas where a variable is
 5 identified as the ‘dominant’ factor are bolded. A climate variable is identified as the ‘dominant’ one only
 6 when 80_% or more of the 20 GCMs agree that it is the largest driving factor of runoff change.

Scenario	S1	S2	S3	S4
<i>Precipitation</i>				
<i>R</i> ↗ ^a	4 (10)	7 (17)	6 (15)	6 (21)
<i>R</i> ↘	0.2 (0.2)	0	0.2 (0.2)	0 (0.7)
<i>Temperature</i>				
<i>R</i> ↗	9 (51)	15 (45)	7 (55)	13 (26)
<i>R</i> ↘	15 (38)	23 (37)	14 (30)	28 (42)
<i>Specific humidity</i>				
<i>R</i> ↗	0 (0.2)	0 (2)	0 (0.2)	0.8 (5)
<i>R</i> ↘	0 (0.2)	0 (0.4)	0	1 (5)

7 ^a “↗” and “↘” indicate increase and decrease in the multi-model means of runoff, respectively.

8

1 **Figures**

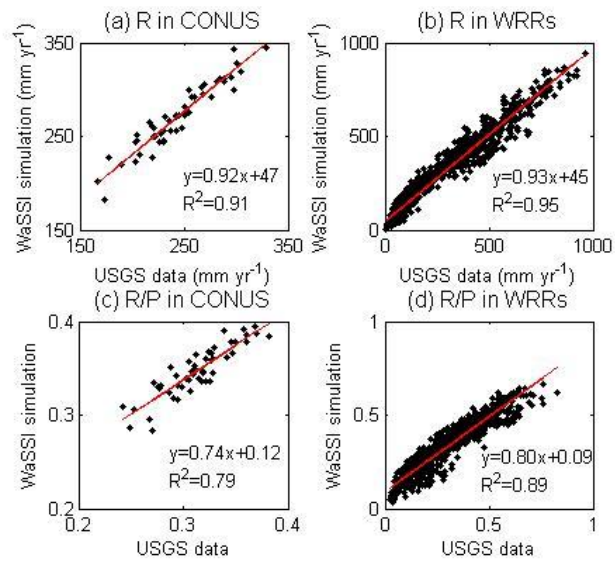


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3 **Figure 1.** Location of the 18 Water Resource Regions (WRRs) in the conterminous United States
4 (CONUS).

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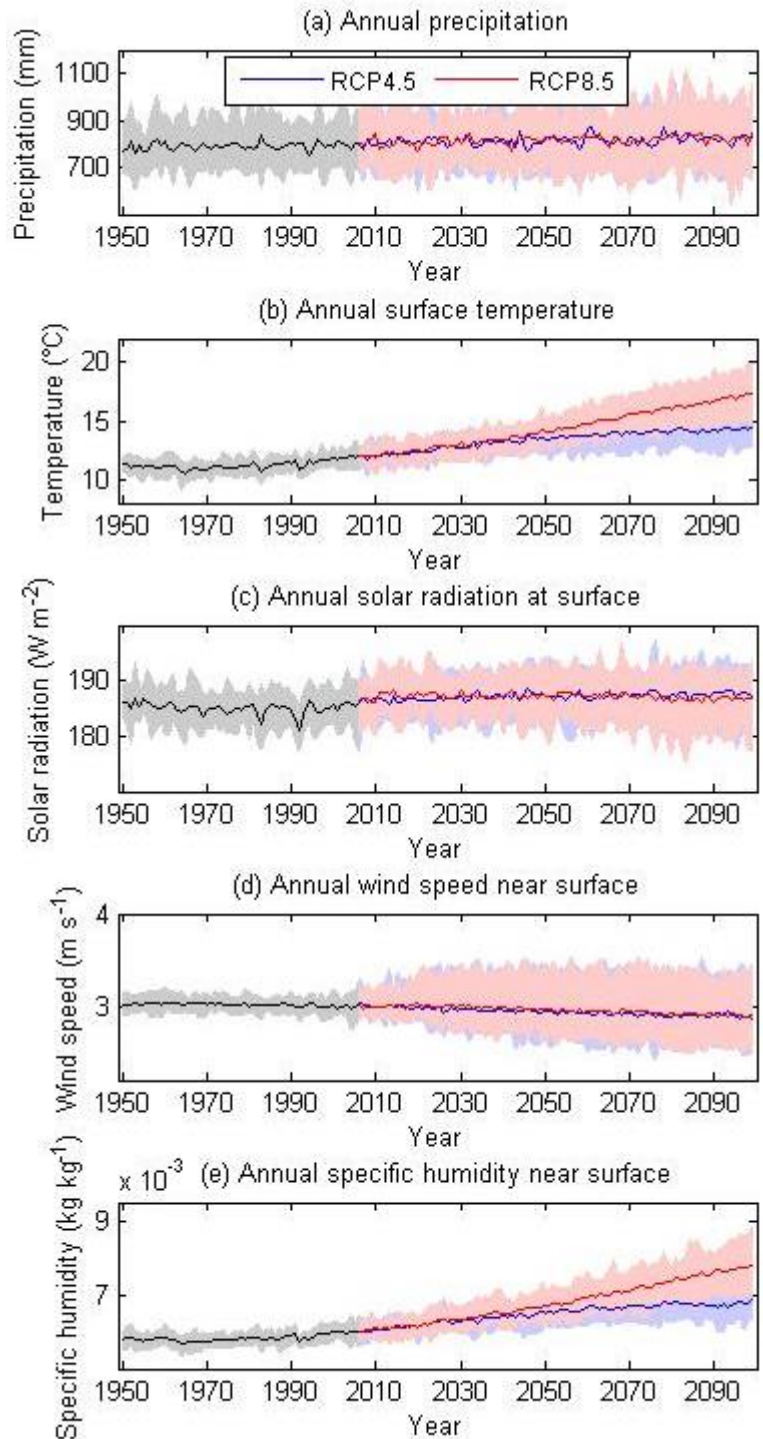
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3 **Figure 2.** Validations of the WaSSI model at the conterminous United States (CONUS) and Water
4 Resource Region (WRR) levels. **a-b**, Comparisons of simulated annual runoff (R) (mm yr⁻¹) against
5 USGS observed data in 1961-2010 over the entire CONUS (**a**) and in 18 WRRs (**b**). **c-d**, Comparisons
6 of simulated runoff coefficient (runoff/precipitation, R/P) against that derived from USGS observed data
7 in the CONUS (**c**) and WRRs (**d**).

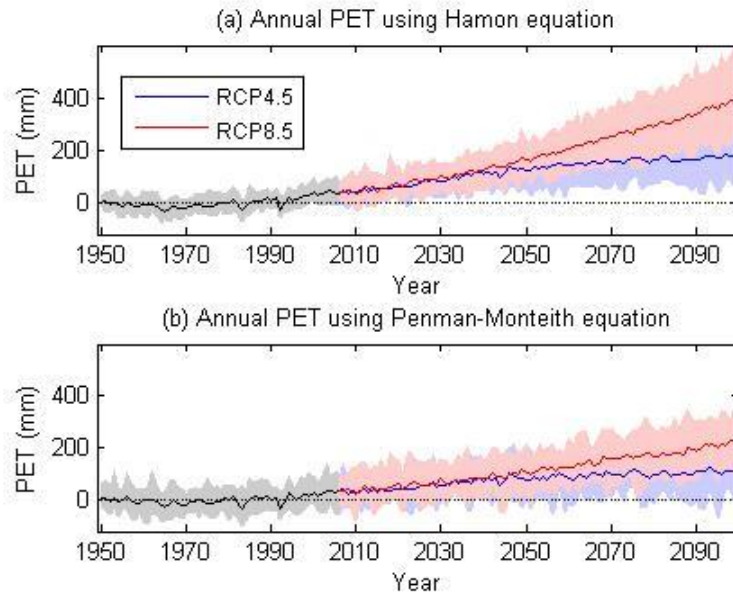
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2 **Figure 3.** Temporal variations of annual mean precipitation (a), surface air temperature (b), solar
 3 radiation at surface (c), wind speed near surface (d), and specific humidity near surface (e) over the
 4 CONUS. Thick lines and the shading denote the multi-model ensemble means and uncertainty ranges of
 5 the 20 GCMs, respectively.

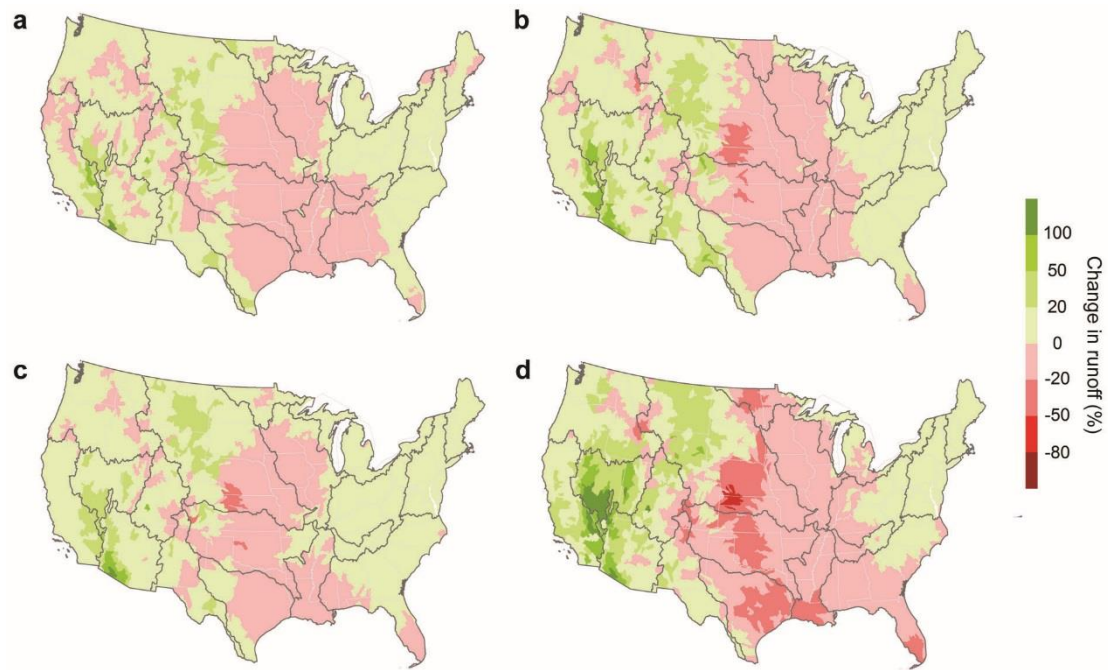
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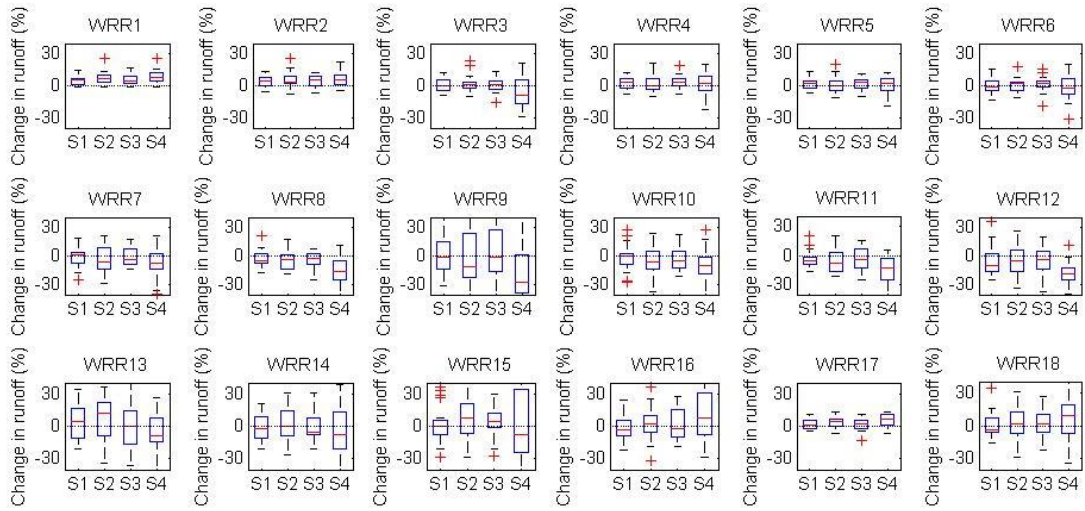
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2 **Figure 4.** Temporal variations of changes in annual potential evapotranspiration (PET) over the CONUS
 3 against the baseline level (1970-1999). Thick lines and the shading denote the ensemble means and
 4 uncertainty ranges of the 20 GCMs, respectively.

5



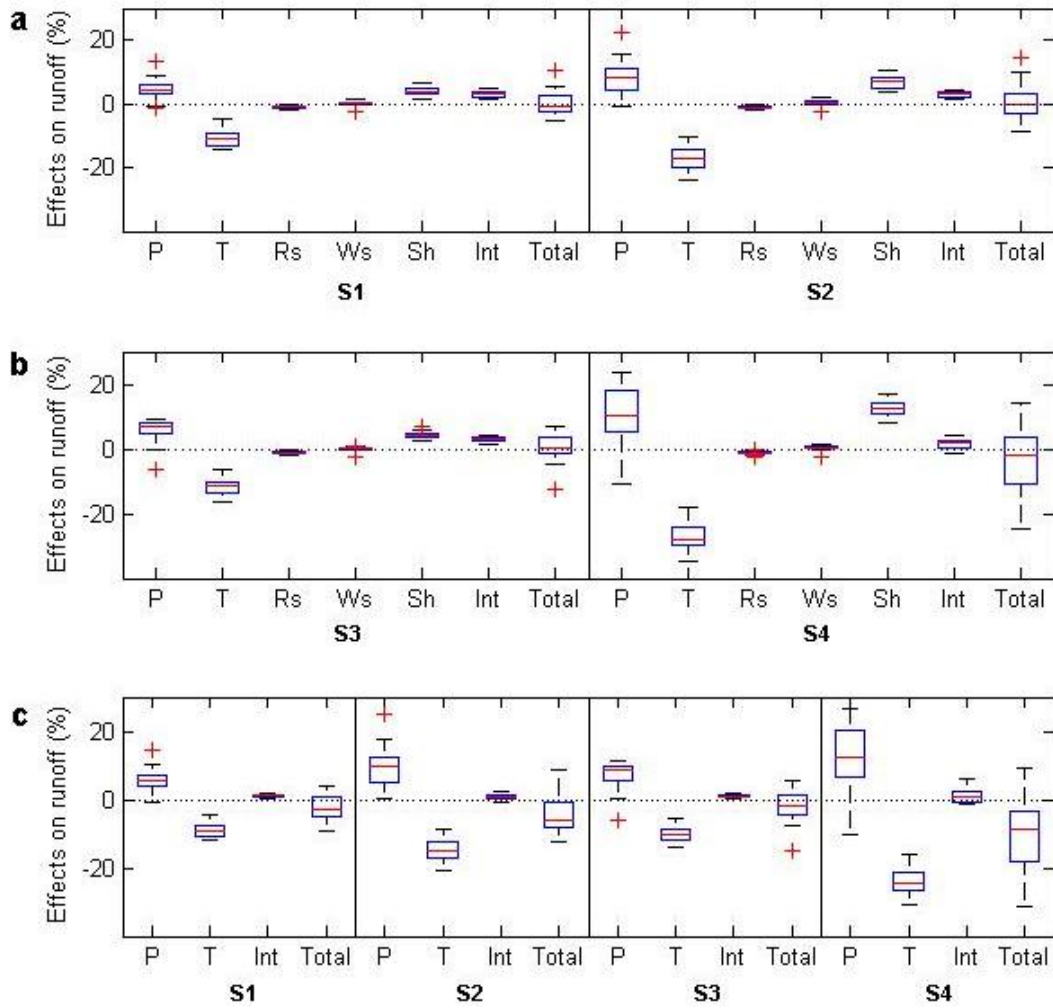
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 2 **Figure 5.** Projected changes in multi-year mean annual runoff (%) at HUC-8 watershed scale. **a-d,**
 3 Changes from the baseline to S1 (RCP4.5/2030s) (**a**), S2 (RCP4.5/2080s) (**b**), S3 (RCP8.5/2030s) (**c**),
 4 and S4 (RCP8.5/2080s) (**d**) scenarios. The maps display the multi-model mean changes from the 20
 5 GCMs.
 6



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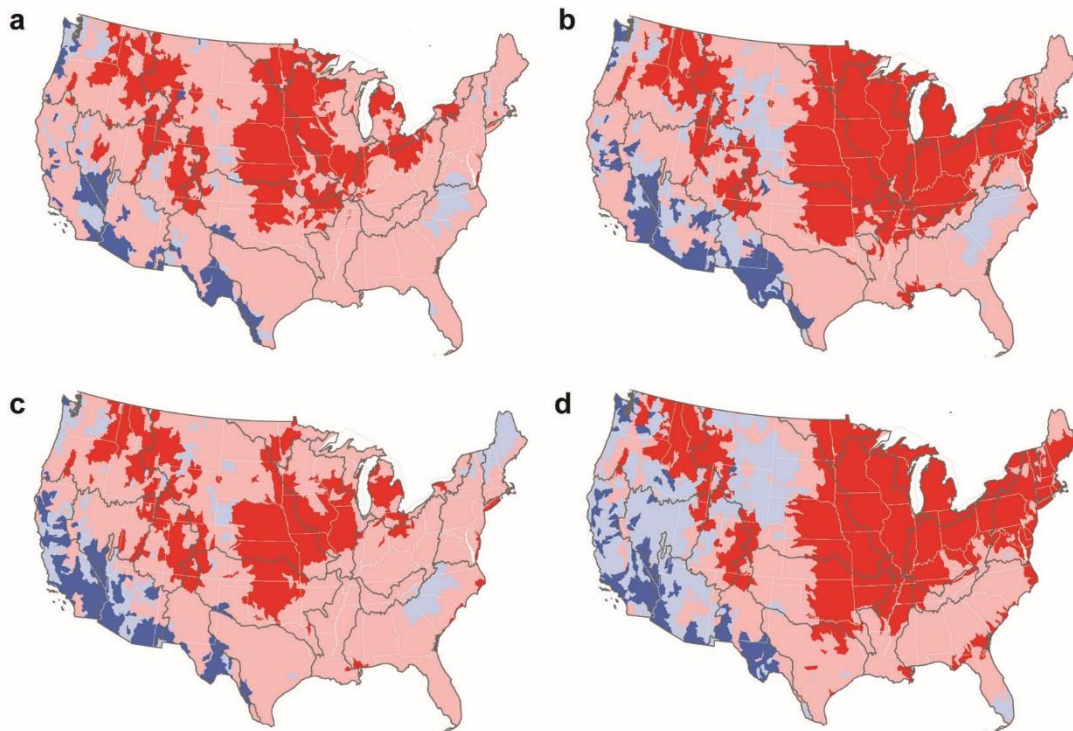
2 **Figure 6.** Area-averaged changes in runoff in the 18 Water Resource Regions (WRRs) in the future
 3 scenarios. The four future scenarios are denoted by S1 (RCP4.5/2030s), S2 (RCP4.5/2080s), S3
 4 (RCP8.5/2030s), and S4 (RCP8.5/2080s) in the x-axis. The vertical spread of the box-whisker plots
 5 shows the different results projected from the 20 GCMs, with the boxes covering the ranges from 25_%
 6 quartile to 75_% quartile of the distributions (Inter-Quartile Range, IQR) and the red lines within each
 7 box marking the median values. Points outside the whiskers are taken as extreme outliers and marked by
 8 plus signs.

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Figure 7. Independent effects of the climate variables over the conterminous United States (CONUS) in the future scenarios S1 (RCP4.5/2030s), S2 (RCP4.5/2080s), S3 (RCP8.5/2030s), and S4 (RCP8.5/2080s). **a-b**, Effects of precipitation (*P*), temperature (*T*), solar radiation (*Rs*), wind speed (*Ws*), specific humidity (*Sh*), interactions among the variables (*Int*), and their sum (*Total*) on runoff based on the projections of Penman-Monteith PET. **c**, Effects of precipitation (*P*), temperature (*T*), interaction between *P* and *T* (*Int*), and their sum (*Total*) on runoff based on the projections of Hamon PET. The format of the box-whisker plots is the same as that in [Figure Fig. 6](#).



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Figure 8. Relative importance of P and T in affecting runoff change across the HUC-8 watersheds in the future scenarios of S1 (RCP4.5/2030s) (a), S2 (RCP4.5/2080s) (b), S3 (RCP8.5/2030s) (c), and S4 (RCP8.5/2080s) (d). The watersheds under larger influence of P and T are marked with blue and red colors, respectively. The dark colors denote the areas where 80% or more of the 20 GCMs agree on the sign, while the light colors denote the results of ensemble average.