

# 1           **Population Growth – Land Use Land Cover Transformations – Water**

## 2                           **Quality Nexus in Upper Ganga River Basin**

3           Anoop Kumar Shukla<sup>1</sup>, Chandra Shekhar Prasad Ojha<sup>1</sup>, Ana Mijic<sup>2</sup>, Wouter Buytaert<sup>2</sup>, Shray Pathak<sup>1</sup>, Rahul

4   Dev Garg<sup>1</sup> and Satyavati Shukla<sup>3</sup>

5                           <sup>1</sup>Department of Civil Engineering, Indian Institute of Technology Roorkee, Uttarakhand, India

6                           <sup>2</sup>Department of Civil and Environmental Engineering, Imperial College London, London, UK

7                           <sup>3</sup>Centre of Studies in Resources Engineering (CSRE), Indian Institute of Technology Bombay, Mumbai, India

8                           E-mail- anoopgeomatics@gmail.com, cspojha@gmail.com, ana.mijic@imperial.ac.uk,

9                           w.buytaert@imperial.ac.uk, shraypathak@gmail.com, rdgarg@gmail.com, satyashukla@iitb.ac.in

### 10   **Abstract**

11           Upper Ganga River basin is socio-economically the most important river basins in India,  
12           which is highly stressed in terms of water resources due to uncontrolled LULC activities.

13           This study presents a comprehensive set of analyses to evaluate the population growth-land  
14           use land cover (LULC) transformations-water quality nexus for sustainable development in  
15           this river basin. The study was conducted at two spatial scales i.e. basin scale and district

16           scale. First, population data was analyzed statistically to study demographic changes,  
17           followed by LULC change detection over the period of February/March 2001 to 2012

18           [Landsat 7 Enhanced Thematic Mapper Plus (ETM+) data] using remote sensing and  
19           Geographical Information System (GIS) techniques. Trends and spatio-temporal variations in

20           monthly water quality parameters viz. Biological Oxygen Demand (BOD), Dissolve Oxygen  
21           (DO) %, Flouride (F), Hardness CaCO<sub>3</sub>, pH, Total Coliform bacteria and Turbidity were

22           studied using Mann-Kendall rank test and Overall Index of Pollution (OIP) developed  
23           specifically for this region, respectively. Relationship was deciphered between LULC classes

24           and OIP using multivariate techniques viz. Pearson's correlation and multiple linear  
25           regression. From the results, it was observed that population has increased in the river basin.

26           Therefore, significant and characteristic LULC changes are observed. River gets polluted in

27 both rural and urban areas. In rural areas, pollution is due to agricultural practices mainly  
28 fertilizers, whereas in urban areas it is mainly contributed from domestic and industrial  
29 wastes. Water quality degradation has occurred in the river basin, consequently the health  
30 status of the river has also changed from range of acceptable to slightly polluted in urban  
31 areas. Multiple linear regression models developed for Upper Ganga River basin could  
32 successfully predict status of the water quality i.e. OIP, using LULC classes.

33

34 **Keywords:** Demographic change, Land use/land cover, Overall Index of Pollution, Remote  
35 sensing, Upper Ganga River basin.

36

## 37 **1. Introduction**

38 Water quality is defined in terms of chemical, physical and biological (bacteriological)  
39 characteristics of the water. These characteristics may vary for different regions based on  
40 their topography, land use land cover (LULC) and climatic factors. Demographic changes,  
41 anthropogenic activities and urbanization are potential drivers affecting the quantity and  
42 quality of available water resources on local, regional and global scale. They pose threat to  
43 the quantity and quality of water resources, directly by increased anthropogenic water  
44 demands and water pollution. Indirectly, the water resources are affected by LULC changes  
45 and associated changes in water use patterns (Yu et al. 2016). In a region, urbanization occurs  
46 due to natural population growth and migration of people from rural to urban areas due to  
47 economic hardship (Bjorklund et al. 2011; Shukla and Gedam 2018). It may change natural  
48 landscape characteristics, river morphometry and increase pollutant load in water bodies.  
49 Anthropogenic activities are directly correlated with decline in the water quality (Haldar et al.  
50 2014). In order to increase crop yield, farmers introduce various chemicals in the form  
51 fertilizers, pesticides, herbicides, etc., causing addition of pollutants to the river (Rashid and

52 Romshoo 2013; Yang et al. 2013). In urban areas, pollutants are introduced from leachates of  
53 landfill sites, stormwater runoff and direct dumping of waste (Tsihrintzis and Hamid 1997).  
54 LULC and water quality indicator parameters are often used in water quality assessment  
55 studies (Kocer and Sevgili 2014; Liu et al. 2016; Sanchez et al. 2007; Tu 2011).

56

57 LULC changes may alter the chemical, physical and biological properties of a river system  
58 viz. Biological Oxygen Demand (BOD), temperature, pH, Chloride (Cl), Colour, Dissolved  
59 Oxygen (DO), Hardness CaCO<sub>3</sub>, Turbidity, Total Dissolved Solids (TDS), etc. (Ballestar et  
60 al. 2003; Chalmers et al. 2007; Smith et al. 1999). Several studies have been carried out  
61 across the world to understand this phenomenon. Hong et al. (2016) studied the effects of  
62 LULC changes on water quality of a typical inland lake of an arid region in China. The study  
63 concluded that water pollution is positively correlated to agricultural land and urban areas  
64 whereas negatively correlated to water and grassland. Li et al. (2012) studied effects of  
65 LULC changes on water quality of the Liao River basin, China. In this river basin water  
66 quality of upstream was found better than downstream due to less influence from LULC  
67 changes in the region. Similarly, impact of LULC changes was studied on Likangala  
68 catchment, southern Malawi. Even though the water quality remained in acceptable class, the  
69 downstream of the river was found polluted with increase in the number of *E.Coli* and  
70 cations/anions (Pullanikkatil et al. 2015). The composition and distribution of benthic  
71 macroinvertebrate assemblage were studied in the Upper Mthatha River, Eastern Cape, South  
72 Africa (Niba and Mafereka 2015). Results revealed that the distribution of the benthic  
73 macroinvertebrate assemblage is affected by season, substrate and habitat heterogeneity.  
74 LULC changes induce changes into the river water which affects their species distribution.

75

76 Water quality changes of the Ganga river, at various locations in Allahabad were studied for  
77 post-monsoon season by Sharma et al. (2014) using Water Quality Index (WQI) and  
78 statistical methods. Considerable water quality deterioration was observed at various  
79 locations due to the vicinity of the river to a highly urbanized city of Allahabad. A  
80 combination of water quality indices viz. Canadian WQI by Canadian Council of Ministers of  
81 the Environment (CCME-WQI), Oregon Water Quality Index (OWQI) and National  
82 Sanitation Foundation Water Quality Index (NSF-WQI) were used to analyse the pollution of  
83 Sapanca Lake Basin (Turkey) and a good relationship was observed between the indices and  
84 parameters. Eutrophication was identified as a major threat to Sapanca Lake and stream  
85 system (Akkoyunlu and Akiner 2012). A river has capability to reduce its pollutant load, also  
86 known as self-purification (Hoseinzadeh et al. 2014). In extreme situations, degradation of  
87 river ecosystem caused by anthropogenic factors can be irreversible. Hence, it is crucial to  
88 understand **the** effects of demographic changes and LULC transformations on water quality  
89 for pollution control and sustainable water resources development in a river basin  
90 (Milovanovic 2007; Teodosiu et al. 2013).

91  
92 Ganga River is extremely significant to its inhabitants as it supports various important  
93 services such as: (i) source of irrigation for farmers in agriculture and horticulture; (ii)  
94 provides water for domestic and industrial purposes in urban areas; (iii) source of hydro-  
95 power; (iv) serves as a drainage for waste and helps in pollution control; (v) acts as support  
96 system for terrestrial and aquatic ecosystems, (vi) provides religious and cultural services;  
97 (vii) helps in navigation; (viii) supports fisheries and other livelihood options, etc.  
98 (Amarasinghe et al. 2016; SoE report, 2012; Watershed Atlas of India, 2014). However, for  
99 the past few decades Upper Ganga River basin has experienced rapid growth in population,  
100 urbanization, industrialization, infrastructure development activities and agriculture. Due to

101 these changes, maintaining the acceptable water quality for various uses is being challenged.  
102 Therefore, there is a need of comprehensive study to understand the causative connection  
103 (nexus) between the changing patterns of population, LULC and water quality in this river  
104 basin.

105

106 Remote sensing and GIS are efficient aids in preparing and analyzing spatial datasets such as  
107 satellite data, Digital Elevation Model (DEM), etc. Remote sensing technology is used in  
108 preparing LULC maps of a region whereas GIS helps in delineation of river basin boundaries,  
109 extraction of study area, hydrological modeling, spatio-temporal data analysis, etc. (Kindu et  
110 al. 2015; Kumar and Jhariya 2015; Wilson 2015). Selection of appropriate method for a study  
111 is based on the objectives and availability of the data/tools required for the study. Ban et al.  
112 (2014) observed that water quality monitoring programs monitor and produce large and  
113 complex water quality datasets. Water quality trends vary both spatially and temporally,  
114 causing difficulty in establishing relationship between water quality parameters and LULC  
115 changes (Phung et al. 2015; Russell 2015). Assessment of surface water quality of a river  
116 basin can be done using various water quality/pollution indices based on environmental  
117 standards (Rai et al. 2011). These indices are simplest and fastest indicators to evaluate the  
118 status of water quality in a river (Hoseinzadeh et al. 2014). Demographic growth, LULC  
119 changes and their effects on water quality in a region are very site specific. Hence, different  
120 regions/countries have developed their own water quality/pollution indices for different types  
121 of water uses based on their respective water quality standards/permissible pollution limits  
122 (Abbasi and Abbasi 2012; Rangeti et al. 2015).

123

124 There are various water quality indices available worldwide that can be used for water quality  
125 assessment e.g. Composite Water Quality Identification Index (CWQII) (Ban et al. 2014);

126 River Pollution Index (RPI), Forestry Water Quality Index (FWQI) and NSF-WQI  
127 (Hoseinzadeh et al. 2014); Canadian Water Quality Index (CWQI) (Farzadkia et al. 2015);  
128 Comprehensive water pollution index of China (Li et al. 2015); Prati's implicit index of  
129 pollution (Prati et al. 1971); Horton's index, Nemerow and Sumitomo Pollution Index,  
130 Bhargava's index, Dinius second index, Smith's index, Aquatic toxicity index, Chesapeake  
131 Bay water quality indices, Modified Oregon WQI, Li's regional water resource quality  
132 assessment index, Stoner's index, Two-tier WQI, CCME-WQI, DELPHI water quality index,  
133 Universal WQI, Overall index of pollution (OIP), Coastal WQI for Taiwan, etc. (Abbasi and  
134 Abbasi 2012; Rai et al. 2011). Currently, not sufficient literature is available on comparisons  
135 between all the above mentioned water quality indices based on clusters, differences, validity,  
136 etc. However in a study, comparison was made between CCME and DELPHI water quality  
137 indices based on multivariate statistical techniques viz. coefficient of determination ( $R^2$ ), root  
138 mean square error, and absolute average deviation. Results revealed that the DELPHI method  
139 had higher predictive capability than the CCME method (Sinha and Das 2015). There is no  
140 universally accepted method for development of water quality indices. Therefore, there is no  
141 established method by which 100% objectivity or accuracy can be achieved without any  
142 uncertainties. There is continuing interest across the world to develop accurate water quality  
143 indices that suit best for a local or regional area. Each water quality index has its own merits  
144 and demerits (Sutadian et al. 2016; Tyagi et al 2013).

145

146 Water quality management and planning in a river basin requires an understanding of the  
147 cumulative pollution effect of all the water quality indicator parameters under consideration.  
148 This helps in assessing the overall water quality/pollution status of the river in a given space  
149 and time, in a specific region. In this study, a WQI called 'Overall Index of Pollution' (OIP)  
150 developed specifically for Indian conditions by Sargoankar and Deshpande (2003) is used to

151 assess the health status of surface waters across Upper Ganga River basin. A number of  
152 studies have successfully used OIP to assess the surface water quality of various Indian  
153 rivers. The concentration ranges used in the class indices and Individual Parameter Indices  
154 (IPIs) assisted in evaluating the changes in individual water quality parameters whereas OIP  
155 assessed the overall water quality status of Indian rivers. This index helped to identify the  
156 parameters that are affected due to pollution from various sources. It is immensely helpful in  
157 studying the spatial and temporal variations in the surface water quality of both rural and  
158 urban subbasins due to the influence of demographic and LULC changes. The self-cleaning  
159 capacity of the river system investigated using OIP helped to comprehend the resilience  
160 capacity of the river system against the changes occurring in water quality due to  
161 anthropogenic activities. OIP has been used successfully to study the surface water quality  
162 status of the two most important and highly polluted rivers of the tropical Indian region viz.  
163 Ganga and Yamuna. It is also used for water quality assessment of comparatively smaller  
164 river like Chambal River and Sukhna lake of Chandigarh (Chardhry et al. 2013; Katyal et al.  
165 2012; Shukla et al. 2017; Sargaonkar and Deshpande 2003; Yadav et al. 2014). Therefore,  
166 OIP is used in the present study as an effective tool to communicate the water quality  
167 information. In the recent years, combinations of multivariate statistical techniques viz.  
168 Pearson's correlation, regression analyses, etc. have been used successfully to study the links  
169 between LULC changes and water quality (Attua et al. 2014; Gyamfi et al. 2016; Hellar-  
170 Kihampa et al. 2013).

171

172 The main objective of this study is to understand the *causative connection (nexus)* between  
173 the changing patterns of population growth-LULC transformations-water quality of water  
174 stressed Upper Ganga River basin through a comprehensive set of analyses. The present  
175 study is conducted at two different spatial scales i.e. (a) at complete river basin level (small

176 scale), and (b) at district level (large scale) to evaluate the changes at both regional and local  
177 scales. The effect of different seasons viz. pre-monsoon, monsoon and post-monsoon on the  
178 water quality is also examined. A relationship is developed between LULC and OIP using  
179 Pearson's correlation and multiple linear regression. Findings from this research work may  
180 help engineers, planners, policy makers and different stakeholders for sustainable  
181 development in the Upper Ganga River basin.

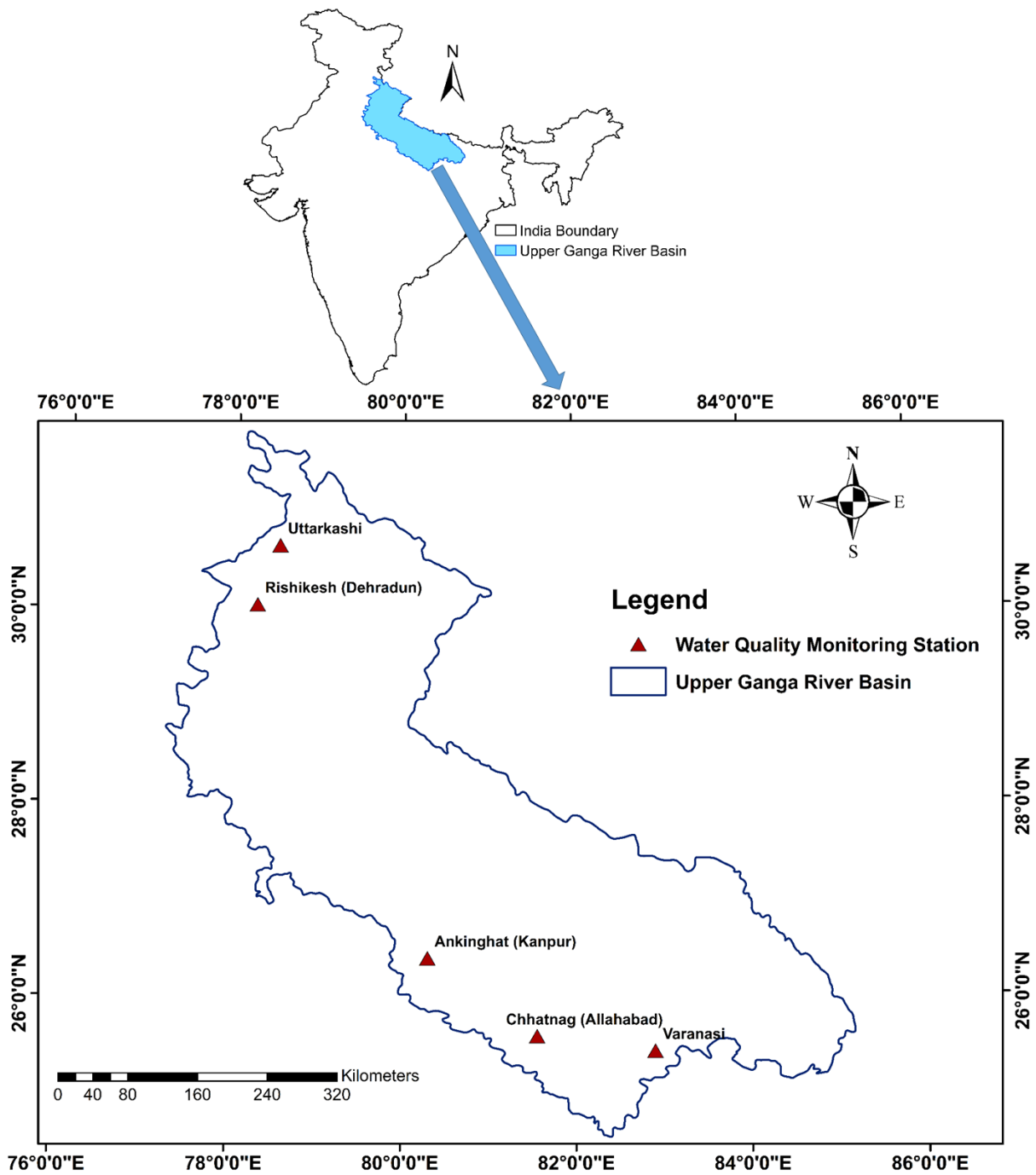
182

## 183 **2. Study area**

184 The Upper Ganga River basin (UGRB) is experiencing rapid rate of change in LULC and  
185 irrigation practices. A part of the Upper Ganga River basin is selected as the study area (Fig.  
186 1). It is located partly in Uttarakhand, Uttar Pradesh, Bihar and Himanchal Pradesh states of  
187 India and covers a total drainage area of 2,38,348 km<sup>2</sup>. The geographical extent of the river  
188 basin is between 24° 32' 16"–31° 57' 48" N to 76° 53' 33"–85° 18' 25" E. The altitude ranges  
189 from 7500 m in the Himalayan region to 100 m in the lower Gangetic plains. Some mountain  
190 peaks in the headwater reaches are permanently covered with snow. Annual average rainfall  
191 in the UGRB is in the range of 550-2500 mm (Bharati and Jayakody 2010). Major rivers  
192 contributing to this river basin are Bhagirathi, Alaknanda, Yamuna, Dhauliganga, Pindar,  
193 Mandakini, Nandakini, Ramganga, Tamsa (Tons), etc. Tehri Dam constructed on Bhagirathi  
194 River is an important multipurpose hydropower project along with several other smaller  
195 hydropower projects of low capacity. This region comprises of major cities and towns such as  
196 Allahabad, Kanpur, Varanasi, Dehradun, Rishikesh, Haridwar, Moradabad, Bareilly, Bijnor,  
197 Garhmukteshwar, Narora, Farrukhabad, Badaun, Chandausi, Amroha, Kannauj, Unnao,  
198 Fatehpur, Mirzapur, etc. Most predominant soil groups found in this region are alluvial, sand,  
199 loam, clay and their combinations. Due to favorable agricultural conditions majority of the  
200 population practices agriculture and horticulture. However, a large portion of the total



201 population lives in cities located mainly along Ganga River. Most of them work in urban or  
202 industrial areas.



203  
204 **Figure 1.** Location map of the study area in northern India and water quality monitoring  
205 stations across Upper Ganga River basin.

206

207 **3. Data acquisition**

208 In this study, broadly two types of dataset were used which are listed below: (i) Spatial  
209 dataset: (a) Shuttle Radar Topography Mission (SRTM) 1 arc-second global Digital Elevation  
210 Model (DEM) of 30 m spatial resolution; and (b) Landsat 7 Enhanced Thematic Mapper Plus  
211 (ETM+) images, 23 in total, for the month of February/March in 2001 and 2012, having 30 m  
212 spatial resolution. Both SRTM DEM and time series Landsat dataset were collected from  
213 United States Geological Survey (USGS), United States of America (USA) (USGS 2016); (c)  
214 Survey of India toposheets of 1:50,000 scale from Survey of India (SoI), Government of  
215 India (GoI); (d) Published LULC, water bodies, urban landuse and wasteland maps from  
216 Bhuvan Portal, Indian Space Research Organization (ISRO), GoI (Bhuvan 2016). SoI  
217 toposheets and published maps were used as reference to improve the LULC classification  
218 results; and (e) For ground truthing of prepared LULC maps, Ground Control Points (GCPs)  
219 were collected using Global Positioning System (GPS) during the field visit and Google  
220 Earth.

221

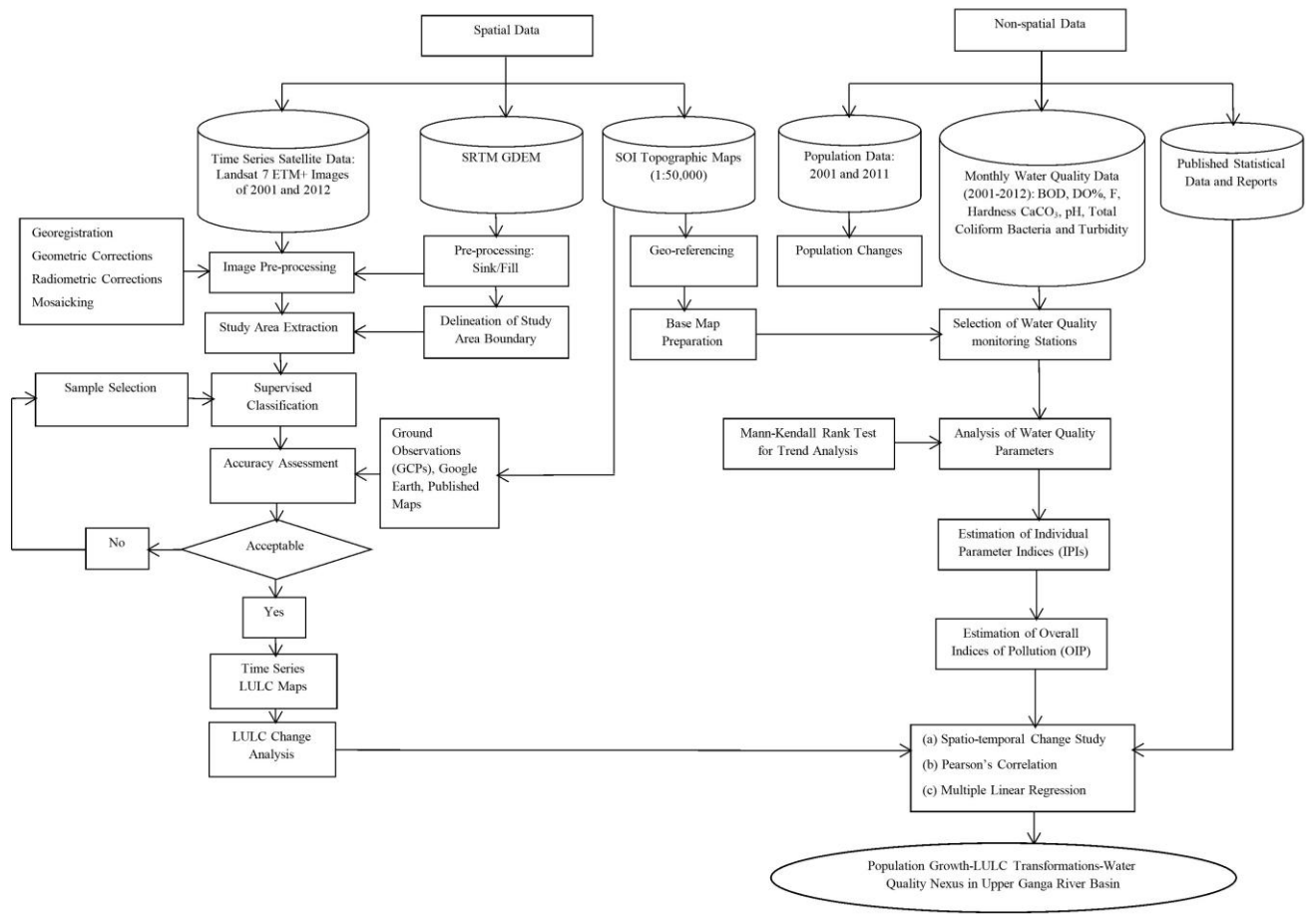
222 (ii) Non-spatial dataset were acquired from various departments of GoI: (a) Census records  
223 and related reports of the years 2001 and 2011 from Census of India (Census of India 2011);  
224 (b) Reports on LULC statistics from Bhuvan Portal, ISRO, GoI; (c) Monthly water quality  
225 dataset (BOD, DO%, Flouride (F), Hardness  $\text{CaCO}_3$ , pH, Total Coliform Bacteria and  
226 Turbidity) of the year 2001-2012 from Central Water Commission (CWC); and (d) Water  
227 quality reports from Central Pollution Control Board (CPCB), Uttar Pradesh Pollution  
228 Control Board (UPPCB), CWC and National Remote Sensing Centre (NRSC), ISRO, GoI.

229

## 230 **4. Data preparation and methodology**

### 231 **4.1 Delineation of the river basin**

232 This section discusses the data preparation and step-by-step methodology carried out in this  
 233 study. Flowchart of the methodology is illustrated in Fig. 2. First, a field reconnaissance  
 234 survey was conducted in the Upper Ganga River basin, India to understand the study area.  
 235 The global SRTM DEM (30 m spatial resolution) was pre-processed by filling sinks in the  
 236 dataset using ArcGIS 10.1 Geo-processing tools. Further, Upper Ganga River basin boundary  
 237 was delineated following a series of steps using ArcHydro tools. The following base layers  
 238 were manually digitized for the study area viz. stream network, railway lines, road network,  
 239 major reservoirs, canals and settlements using SoI topographic maps and updated further with  
 240 recent available Landsat ETM+ dataset of the year 2012.



241  
 242 **Figure 2.** Flowchart illustrating methodology and steps followed in the study.  
 243

244 **4.2 Population analysis**

245 Census of India, GoI provided village wise population data for rural areas and ward/city wise  
246 population data for urban areas for the years 2001 and 2011. Village and ward wise  
247 population data of 77 districts, falling into Upper Ganga River basin were identified and  
248 organized into rural and urban population. Total population and population growth rate  
249 (PGR) were statistically estimated for 77 individual districts and for the complete study area  
250 over the years 2001 and 2011. Population growth rates were also estimated for rural and  
251 urban populations. In addition, the total population and population growth rates were  
252 estimated for upper and lower reaches of the study area. These comprehensive analyses were  
253 done to understand the demographic changes occurring in the study region.

254

255 **4.3 LULC mapping and change detection**

256 For LULC mapping and change analysis, preprocessing of the time series satellite dataset is  
257 required (Lu and Weng 2007). Landsat 7 ETM+ dataset of the years 2001 and 2012 were  
258 downloaded from USGS website. Each year consisted of 23 images of February/March  
259 months. Images of same months were used to reduce errors in LULC change detection due to  
260 different seasons. Due to failure in Scan Line Corrector (SLC) of the Landsat 7 satellite, the  
261 images of year 2012 had scan line errors, which resulted in 22% of data gap in each scene.  
262 However, with only 78% of data availability per scene, it is some of the most radiometrically  
263 and geometrically accurate satellite dataset in the world and therefore it is still very useful for  
264 various studies (USGS 2018). For heterogeneous regions, Neighbourhood Similar Pixel  
265 Interpolator (NSPI) is the simple and most effective method to interpolate the pixel values  
266 within the gaps with high accuracy (Chen et al. 2011; Gao et al. 2016; Liu and Ding 2017;  
267 Zhu et al. 2012; Zhu and Liu 2014). Therefore to correct scan line errors, IDL code for NSPI  
268 algorithm developed by Chen et al. (2011) was run on ENVI version 5.1. This algorithm

269 filled the data gaps in the satellite images with high accuracy i.e. Root Mean Square Error  
270 (RMSE) of 0.0367.

271

272 Further, satellite images were georeferenced to a common coordinate system i.e. Universal  
273 Transverse Mercator Zone 43 N with World Geodetic System (WGS) 1984 datum for proper  
274 alignment of features in the study area. Total 75 control points were chosen from Survey of  
275 India (SoI) toposheets of scale 1:50,000, which were used as base map for georectification.  
276 To make the two satellite images comparable, a good radiometric consistency and proper  
277 geometric alignment is required. But it is difficult to achieve due differences in atmospheric  
278 conditions, satellite sensor characteristics, phenological characteristics, solar angle, and  
279 sensor observation angle on different images (Shukla et al. 2017). A relative geometric  
280 correction (image to image coregistration) method was employed to maintain geometric  
281 consistency of both the satellite images using Polynomial Geometric Model and Nearest  
282 Neighbour resampling method. The recent Landsat ETM+ image of 2012 was used as  
283 reference image for coregistration and the image of 2001 was georectified with respect to it.  
284 Root Mean Square Error (RMSE) of less than 0.5 was used as criteria for geometric  
285 corrections of the images to ensure good accuracy (Gill et al 2010; Samal and Gedam 2015).

286

287 To reduce the radiometric errors and get the actual reflectance values, the Topographic and  
288 Atmospheric Correction for Airborne Imagery (ATCOR-2) algorithm available in ERDAS  
289 Imagine 2016 was used. SRTM DEM was used to derive the characteristics viz. slope, aspect,  
290 shadow and skyview. This algorithm provided a very good accuracy in removing haze, and in  
291 topographic and atmospheric corrections of the images (Gebremicael et al. 2017; Muriithi  
292 2016). Finally, image regression method was applied on the images to normalize the  
293 variations in the pixel brightness value due to multiple scenes taken on different dates.

294

295 The images were mosaicked and study area was extracted. Total 2014 Ground Control Points  
296 (GCPs) were collected from GPS (dual frequency receiver: SOKKIA: Model No. S-10)  
297 survey during the field visit and from Google Earth, with horizontal accuracy in the range of  
298 2-5 m. 1365 GCPs were used to train the Maximum Likelihood Classifier (MLC) and the  
299 remaining 649 points (collected from GPS) were later used for accuracy assessment. Out of  
300 1365 GCPs, 830 GCPs were collected using GPS survey and remaining 535 were collected  
301 from Google Earth images. In the present study, to account for spatial autocorrelation among  
302 different LULC features, before image classification an exploratory spectral analysis was  
303 carried out using histograms of each band to understand the spectral characteristics of the  
304 LULC features. The spatial autocorrelation was analysed using semivariogram function  
305 which is measured by setting variance against variable distances (Brivio et al. 1993). The  
306 estimated semivariogram was plotted to assess the spatial autocorrelation in respective bands  
307 in the satellite image. The range and shape (piecewise slope) of the semivariograms were  
308 examined visually to determine the appropriate sizes for training data, window size and  
309 sampling interval for spatial feature extraction (Chen 2004; Xiaodong et al. 2009).

310

311 A window size of  $7 \times 7$  was chosen for sampling the training data, which gives the better  
312 classification results on Landsat ETM+ images (Wijaya et al. 2007). While developing the  
313 spectral signatures for different LULC classes, information acquired from band histograms  
314 and Euclidean distances were used for class separability. SoI topographic maps, Google Earth  
315 images, published LULC, water bodies, urban landuse and wasteland maps of Bhuvan Portal  
316 were used as reference to improve the LULC classification results. Due to higher confusion  
317 between barren land and urban areas at few places, urban areas were classified independently  
318 by masking these on the image. Uncertainties in misclassification between forest and

319 agricultural land were reduced by adding more training samples. This significantly improved  
320 the classification accuracy (Gebremicael et al. 2017). Hence, Maximum Likelihood Classifier  
321 (MLC) of supervised classification approach was used to classify the time series images into  
322 six LULC classes, viz. snow/glaciers, forests, built-up lands, agricultural lands, water bodies  
323 and wasteland. LULC distribution was estimated for the years 2001 and 2012. Due to lack of  
324 ground truth data of the year 2001, the accuracy assessment was done for the LULC of the  
325 year 2012. Both time series satellite dataset are of Landsat ETM+ with same spatial  
326 resolution of 30 m and a large number of GCPs are available for the year 2012. Hence,  
327 LULC map of year 2012 would represent the overall accuracy of both the maps. A simple  
328 random sampling of 649 test pixels belonging to corresponding image objects were selected  
329 and verified against reference data.

330

331 In this sampling method, selection of sample units was done in such a way that every possible  
332 distinct sample got the equal chance of selection. This sampling method provided  
333 comparatively better results on the large image size following the rule of thumb  
334 recommended by Congalton i.e. minimum 75-100 samples should be selected per LULC  
335 category for large Images (Congalton 1991; Foody 2002; Goncalves et al. 2007; Hashemian  
336 et al. 2004; Kiptala et al. 2013; Samal and Gedam 2015). Following the Congalton's thumb  
337 rule for better accuracy in simple random sampling, GCPs were selected in the range of 94-  
338 137 for each LULC class in proportion to their areal extent on the image. Therefore,  
339 sufficient spatial distribution of the sampling points was achieved for each LULC class.  
340 Accuracy assessment results were presented in confusion matrix showing characteristic  
341 coefficients viz. User's accuracy, Producer's accuracy, Overall accuracy and Kappa  
342 coefficients. The confusion matrix gave the ratio of number of correctly classified samples to  
343 the total number of samples in the reference data. The User's accuracy (errors of commission)

344 and Producer's accuracy (errors of omission) expressed the accuracy of each LULC types  
345 whereas the overall accuracy estimated the overall mean of user accuracy and producer  
346 accuracy (Campbell 2007; Congalton 1991; Jensen 2005). The Kappa coefficient denoted the  
347 agreement between two datasets corrected for the expected agreement (Gebremicael et al.  
348 2017). Further, post classification change detection method was employed for comparing  
349 LULC maps of 2001 and 2012. This method provided comparatively accurate results than  
350 image difference method (Samal and Gedam 2015). LULC distribution and change statistics  
351 between the years 2001 and 2012 were estimated for individual districts and for complete  
352 UGRB.

353

#### 354 **4.4 Water quality analysis**

##### 355 **4.4.1 Selection of water quality monitoring stations**

356 To understand the impact of LULC transformations on water quality of the UGRB, two water  
357 quality monitoring stations viz. Uttarkashi and Rishikesh were chosen in the upper reaches of  
358 the river basin. This part of the river basin comprises of highly undulating terrain with  
359 moderately less anthropogenic influences. Moreover, three water quality monitoring stations  
360 viz. Ankinghat (Kanpur), Chhatnag (Allahabad) and Varanasi were selected in the lower  
361 reaches of the river basin. This part of the river basin falls under Gangetic plains with  
362 extreme anthropogenic activities. Spatio-temporal changes in the water quality of these  
363 monitoring stations were examined over a period of the year 2001-2012 and LULC-OIP  
364 relationship was studied using various statistical analyses viz. Mann Kendall rank test, OIP,  
365 Pearson's correlation and multiple linear regression.

366

##### 367 **4.4.2 Mann-Kendall test on monthly water quality data**

368



369 A non-parametric Mann-Kendall rank test (Mann 1945; Kendall 1975) was performed on the  
370 seven monthly water quality parameters viz. BOD, DO%, F, Hardness CaCO<sub>3</sub>, pH, Total  
371 Coliform Bacteria and Turbidity, observed at the five water quality monitoring stations to  
372 understand the existing trends in the water quality parameters of the years 2001-2012. In this  
373 test, the null hypothesis H<sub>0</sub> assumed that there is no trend (data is independent and randomly  
374 ordered) and it was tested against the alternative hypothesis H<sub>1</sub>, which assumes that there is a  
375 trend. The standard normal deviate (Z-statistic) was computed following a series of steps as  
376 given by Helsel and Hirsch 1992; and Shukla and Gedam 2018. The positive value of Z test  
377 showed a rising trend and a negative value of it indicates a falling trend in the water quality  
378 data series. The significance of Z test was observed on confidence level 90%, 95% and 99%.  
379 The test was performed on monthly water quality data of January to December of the years  
380 2001-2012. Standard Deviation (SD) was estimated separately for each month.

381

#### 382 **4.4.3 Estimation of OIP**

383 For selecting water quality index, the following criteria is followed (Abbasi and Abbasi,  
384 2012; Horton 1965): (i) limited number of variables should be handled by the used index to  
385 avoid making the index unwieldy; (ii) the variables used in the index should be significant in  
386 most areas, (iii) only reliable data variables for which the data are available should be  
387 included. Hence, seven most relevant water quality parameters in Indian context i.e. BOD,  
388 DO%, Total Coliform (TC), F, Turbidity, pH and Hardness CaCO<sub>3</sub> that are affected due to  
389 changes in LULC are chosen. BOD, DO%, and Total Coliform (TC) are the parameters  
390 mainly affected by urban pollution. F, Turbidity and pH are general water quality parameters  
391 affected by both natural and anthropogenic factors. However, Hardness CaCO<sub>3</sub> is a parameter  
392 affected mainly by agricultural activities and urban pollution.

393

394 In the present study, Overall Index of Pollution (OIP) developed by Sargaonkar and  
395 Deshpande (2003) is used which is a general water quality classification scheme developed  
396 specifically for tropical Indian conditions where, in the proposed classes (C1:Excellent;  
397 C2:Acceptable; C3:Slightly Polluted; C4:Polluted; and C5:Heavily Polluted water), the  
398 concentration levels/ranges of the significant water quality indicator parameters are defined  
399 with due consideration to the Indian water quality standards (Indian Standard Specification  
400 for Drinking Water, IS-10500, 1983; Central Pollution Control Board, Government of India,  
401 classification of inland surface water, CPCB- ADSORBS/3/78-79). Wherever, the water  
402 quality criteria were not defined, international water quality standards [Water quality  
403 standards of European Community (EC); World Health Organization (WHO) guidelines;  
404 standards by WQIHSR; and Tehran Water Quality Criteria by McKee and Wolf] were used.  
405 It was observed that different agencies use different, indicator parameters,  
406 terminologies/definitions for classification scheme and criteria such as Action Level,  
407 Acceptable Level, Guide Level, and Maximum Allowable Concentration, etc. for different  
408 uses of water. Hence, a common classification scheme was required to be defined to  
409 understand the water quality status in terms of pollution effects of the water quality  
410 parameters being considered. Table 1 illustrates the OIP classification scheme and the ranges  
411 of concentrations of the parameters under consideration. The basis on which the  
412 concentration levels for each of the parameters in the given classes are selected, are described  
413 below (Sargaonkar and Deshpande 2003):

414

415 **Turbidity:** According to the Indian Standards for Drinking Water (IS 10500, 1983) and  
416 European Community (EC) water quality standards, 10 NTU is maximum desirable level/  
417 maximum admissible level for turbidity. Therefore, in the OIP classification scheme this  
418 value is considered for class C2 (Acceptable) water quality. As per WQIHSR standards and

419 WHO Guidelines, 5 NTU is considered as maximum acceptable level, hence it is considered  
420 in class C1 (Excellent). 10-250 NTU is considered as Good water quality, and >250 NTU as  
421 poor water quality by the Wolf and McKee water quality criteria. Therefore, accordingly the  
422 Turbidity was split into the following ranges: 10-100 for class C3 (Slightly Polluted), 100-  
423 250 for class C4 (polluted) and >250 as class C5 (heavily polluted) water quality.

424

425 **BOD:** For BOD, the classification given by Prati et al. (1971) is used which conforms with  
426 the CPCB water quality standards i.e. for class “A” water (drinking water) , BOD values  
427 should be 2 mg/L and for class “B” water (outdoor bathing), BOD values should be 3 mg/L.  
428 According to EC water quality standards, for freshwater fish water quality or recreational use  
429 the guide level and maximum admissible level should be 3 and 6 mg/L respectively. And  
430 according to McKee and Wolf water quality scheme, the BOD of >2.5 indicates poor water  
431 quality. Hence, in OIP classification scheme, for classes C3 (Slightly Polluted), C4 (Polluted)  
432 and C5 (Heavily Polluted) water quality, the higher concentration values are assigned in  
433 geometric progression.

434

435 **DO%:** The maximum DO at a given space and time is the  
436 function of water temperature. It is highly variable and specific to a location. The average  
437 tropical temperature of India is 27°C and 8 mg/L is the corresponding average DO saturation  
438 concentration reported from studies, which represents 100% DO concentration and applies to  
439 class C1. During day time, in eutrophic water bodies with high organic loading very high DO  
440 concentration is observed which is undesirable situation. Therefore, in the OIP classification  
441 scheme for DO% in a particular class, the concentration ranges on both lower and higher  
442 sides of the average DO% level are considered. The ranges of %DO concentration defined  
443 are illustrated in Table 1.

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**F:** As Fluoride is a toxic element, the classification criteria for it is more stringent. According to Indian standards for drinking water (IS 10500, 1983), the desirable limit for Fluoride is 0.6-1.2 mg/L which is considered under class C1 in OIP classification scheme. According to EC standards for surface water (potable abstraction) and action level in WHO Guidelines, the mandatory limit for F is 1.5 mg/L which is considered the maximum level in class C2. 1.5-3.0 mg/L of F is considered as good water quality but the concentration >3.0 mg/L indicates poor water quality according to McKee and Wolf water quality standards. Hence, for class C3 (slightly polluted) water quality, the concentration value of 2.5 mg/L is used. The F concentration >1.5 mg/L is bad for human health as it can result in tooth decay and further higher levels can cause bone damage through Fluorosis. Therefore, concentration values of 6.0 and >6.0 mg/L is used for classes C4 and C5 respectively.

**Hardness  $\text{CaCO}_3$ :** As per Indian standards for drinking water, the desirable limit (maximum) for hardness is 300 mg/L whereas the concentration value of 500 mg/L is indicated as action level according to WHO Guidelines. Hence, accordingly the ranges of Hardness were taken as: class C1 as 0-75 mg/L, class C2 as 75-150 mg/L, class C3 as 150-300 mg/L, class C4 as 300-500 mg/L and >500 mg/L in class C5.

**pH:** According to CPCB, ADSORBS/3/78-79, pH range of 6.5 to 8.5 is considered for classes A (drinking water), B (outdoor bathing) and D (Propagation wild life, fisheries, recreation and aesthetic). EC standards guide limit for surface waters (potable abstractions) is 5.5-9.0. Hence, based on these the concentration level of pH in the OIP classification scheme is defined for classes C1-C5, as given in Table 1.

469 **Total Coliform:** In the given OIP scheme, for class C1, C2 and C3 the Coliform bacteria  
470 count of 50, 500 and 5000 MPN/100 mL respectively as specified in CPCB classification of  
471 inland surface water is considered. Coliform count range of 50-100, 100-5000 and >5000 is  
472 considered as excellent, good and poor water quality respectively by McKee and Wolf water  
473 quality criteria. EC bathing water standards consider count of 10000 MPN/100 mL as the  
474 maximum admissible level, therefore, the concentration range 5000-10000 is assigned to  
475 class C4 which indicates polluted water quality and makes the criteria more stringent. The  
476 count of >10000 indicates heavily polluted water and therefore, it was assigned to class C5.

477  
478 After the concentration level/ranges were assigned to each parameter in the given classes, the  
479 information on water quality data was transformed in discrete terms. Different water quality  
480 parameters are measured in different units. Therefore, in order to bring the different water  
481 quality parameters into a commensurate unit so that the integrated index can be obtained to  
482 be used for decision making, an integer value 1, 2, 4, 8 and 16 (also known as Class Index  
483 Score as given in Table 1) was assigned to each class i.e. C1, C2, C3, C4 and C5 respectively  
484 in geometric progression. The number termed as class index indicated the pollution level of  
485 water in numeric terms and it formed the basis for comparing water quality from Excellent to  
486 Heavily Polluted (Table 1). For each of the parameter concentration levels, the mathematical  
487 expressions were fitted to obtain this numerical value called an index ( $P_i$ ) or (IPI) which  
488 indicated the level of pollution for that particular parameter. Table 2 illustrates these  
489 mathematical equations. The value function curves, wherein, on the Y-axis the concentration  
490 of the parameter is taken and on the X-axis index value is plotted for each parameter. The  
491 figures of value function curves for important water quality parameters used in OIP scheme  
492 can be referred from Sargaonkar and Deshpande (2003). The value function curves provide  
493 the pollution index ( $P_i$ ) or (IPI) for individual pollutants. For any particular given

494 concentration, the corresponding index can be read directly from these curves or can be  
495 estimated using mathematical equations given for the value function curves as illustrated in  
496 Table 2. Hence, IPIs were calculated for each parameter at a given time interval. Finally, the  
497 Overall Index of Pollution (OIP) is calculated as the mean of ( $P_i$ ) or IPIs of all the seven  
498 water quality parameters considered in the study and mathematically it is given by expression  
499 (1):

$$500 \quad \text{Overall Index of Pollution (OIP)} = \frac{\sum_i P_i}{n} \quad (1)$$

501 Where,  $P_i$  is the pollution index for the  $i^{\text{th}}$  parameter,  $i=1, 2, \dots, n$  and  $n$  denotes the number  
502 of parameters. Finally, OIP was estimated for each water quality monitoring station across  
503 the UGRB over a period of 2001 to 2012. It gave the cumulative pollution effect of all the  
504 water quality parameters on the water quality status of a particular monitoring station in a  
505 given time. For each water quality monitoring station of UGRB, the OIP was estimated for  
506 three primary seasons i.e. pre-monsoon, monsoon and post-monsoon seasons. The  
507 interpretation of IPI values for individual parameter index or OIP values to determine the  
508 overall pollution status is done as follows: The index value of 0-1 (class C1) indicates  
509 Excellent water quality, 1-2 (class C2) indicates Acceptable, 2-4 (class C3) indicates Slightly  
510 Polluted, 4-8 (class C4) indicates Polluted and 8-16 (class C5) indicates Heavily Polluted  
511 water. The upper limit of the range is to be included in that particular class. In case some  
512 additional relevant water quality parameters are required to be considered, an updated OIP  
513 can be developed using methodology given by Sargaonkar and Deshpande (2003). The  
514 mathematical value function curves can be plotted for the new parameters to get the  
515 mathematical equations which will help to calculate IPIs. As OIP uses an additive  
516 aggregation method, the average of IPIs of all the parameters will estimate updated OIP.

517

518 **Table 1.** Classification scheme of water quality used in OIP (Source: Sargoankar and Deshpande 2003).

Classification	Class	Class Index (Score)	Concentration Limit / Ranges of Water Quality Parameters							
			BOD	DO	F	Hardness	pH	Total	Coliform	Turbidity
			(mg/L)	(%)	(mg/L)	CaCO <sub>3</sub> (mg/L)	(pH unit)	(MPN/100 mL)	(NTU)	
Excellent	C <sub>1</sub>	1	1.5	88-112	1.2	75	6.5-7.5	50	5	
Acceptable	C <sub>2</sub>	2	3	75-125	1.5	150	6.0-6.5 and 7.5-8.0	500	10	
Slightly Polluted	C <sub>3</sub>	4	6	50-150	2.5	300	5.0-6.0 and 8.0-9.0	5000	100	
Polluted	C <sub>4</sub>	8	12	20-200	6.0	500	4.5-5 and 9-9.5	10000	250	
Heavily Polluted	C <sub>5</sub>	16	24	<20 and >200	<6.0	>500	<4.5 and >9.5	15000	>250	

519

520 **Table 2.** Mathematical expressions for value function curves (Source: Sargoankar and  
 521 Deshpande 2003).

S. No.	Parameter	Concentration Range	Mathematical Expressions
1.	BOD	<2	$x = 1$
		2-30	$x = y/1.5$
2.	DO%	$\leq 50$	$x = \exp(-(y - 98.33)/36.067)$
		50-100	$x = (y - 107.58)/14.667$
		$\geq 100$	$x = (y - 79.543)/19.054$
3.	F	0-1.2	$x = 1$
		1.2-10	$x = ((y/1.2) - 0.3819)/0.5083$
4.	Hardness CaCO <sub>3</sub>	$\leq 75$	$x = 1$
		75-500	$x = \exp(y + 42.5)/205.58$
		>500	$x = (y + 500)/125$
5.	pH	7	$x = 1$
		>7	$x = \exp((y - 7.0)/1.082)$
		<7	$x = \exp((7 - y)/1.082)$
6.	Total Coliform	$\leq 50$	$x = 1$
		50-5000	$x = (y/50)**0.3010$
		5000-15000	$x = ((y/50) - 50)/16.071$
		>15000	$x = (y/15000) + 16$
7.	Turbidity	$\leq 10$	$x = 1$
		10-500	$x = (y + 43.9)/34.5$

522

#### 523 **4.5 Statistical analysis**

524 Due to religious, economic and historical importance of River Ganga, the most important  
 525 cities/districts of UGRB are present in the proximity to River Ganga. The water quality of  
 526 selected monitoring stations is highly influenced by type of activities undergoing in the  
 527 district where they are located. In a study, buffer zones of different thresholds were created  
 528 surrounding a water quality monitoring station to determine the dominant LULC class that



529 affects the water quality of that particular station (Kibena et al. 2014). However, in UGRB  
530 the population data was available at district level not at buffer level. Districts selected in this  
531 study consisted of both urban and rural areas. District wise LULC change was extremely  
532 helpful in comprehending the water quality changes at the local scale and to identify source  
533 of pollutants at a particular monitoring station. Whereas LULC changes at the basin level  
534 provided a broad outlook on the status of water quality of the **complete** study area which is  
535 also very useful for some applications. Though the spatial/mapped data could be more useful  
536 and relevant when compared with remote sensing data. But the monitoring stations in the  
537 UGRB were scarce. Therefore, over a relatively large study area, the interpolation maps  
538 generated using OIP were not likely to provide very good comparison results with LULC  
539 changes. Hence, districts were chosen as a unit and district wise population and LULC  
540 distribution were related to water quality (OIP) of the monitoring stations to comprehend the  
541 nexus between them.

542

543 Various methods/models are already developed to study effects of LULC changes on water  
544 quality. However, these methods could not be applied directly to a region because of the  
545 differences in the data availability, climatic, topographic and LULC variations that may  
546 introduce errors. Necessary modifications were made in the present evaluation methodology  
547 as required. Due to unavailability of the continuous **data on** population, satellite based LULC  
548 and water quality at desired interval in UGRB, establishing the interrelationship between  
549 these factors is not trivial. Therefore, to develop the relationship between LULC classes and  
550 water quality (OIP), a 2-time slice analysis was done for the years 2001 and 2012 with  
551 seasonal component. Multivariate statistical analyses viz. Pearson's Correlation and multiple  
552 linear regression were employed between LULC classes (independent variable) and OIP  
553 (dependent variable). Pearson's Correlation determined strength of association between the

554 variables whereas prediction regression model was developed using multiple linear  
555 regression.

556

## 557 **5. Results and discussion**

558 Section 5.1 presents the results of population changes in the districts of UGRB and complete  
559 study area. Section 5.2 presents the accuracy assessment results of LULC map, followed by  
560 Section 5.3, where the LULC distribution across the study area is discussed both at basin  
561 scale and at district scale. Section 5.4 presents the trend analysis results of monthly water  
562 quality data. In Section 5.5 population growth-LULC transformation-water quality nexus has  
563 been described for complete UGRB, whereas Section 5.6 presents **it** for the five districts  
564 separately. Finally, Section 5.7 described the relationship between LULC and water quality  
565 (OIP).

566

### 567 **5.1 Population dynamics**

568

569 Analysis of the population dataset of the years 2001 and 2011 acquired from Census of India,  
570 GoI reveals that in the UGRB, out of the 77 districts that fall in four different states, viz.  
571 Uttar Pradesh, Uttarakhand, Bihar and Himanchal Pradesh, total population and PGR has  
572 increased in 74 districts. With majority of the districts showing population increase, the total  
573 population of UGRB has increased consequently (Table 3). The population growth rate  
574 (PGR) of 20.45% is observed in the total population of UGRB from 2001 to 2011. Table 3  
575 illustrates that the PGR is  $\geq 20\%$  in the districts having bigger urban agglomerations or cities  
576 e.g. Agra, Allahabad, Bahraich, Ghaziabad, Lucknow, Kanpur (Dehat+Nagar), Varanasi,  
577 Patna, etc. However, Almora, Pauri Garhwal and Shrivasti are showing decreasing PGR. It is  
578 to be observed that these are either hilly or very small towns with poor employment

579 opportunities. People migrate from these locations to nearby cities, therefore, decreasing the  
580 PGR. It was noticed from Census of India reports that the population density of Dehradun  
581 (Rishikesh), Kanpur, Allahabad and Varanasi districts are much higher against the average  
582 population density of Ganga River basin, i.e. 520 per square km. Varanasi is one of the most  
583 populated districts in the country.

584

585 **Table 3.** Table showing total population and Population Growth Rate (PGR) % in the census  
586 years 2001 and 2011.

587

S. No.	Districts	Total Population (2001)	Total Population (2011)	Population Growth Rate (PGR) %
1	Agra	36,20,436	44,18,797	22.1
2	Aligarh	29,92,286	36,73,889	22.8
3	Allahabad	49,36,105	59,54,391	20.6
4	Almora	6,30,567	6,22,506	-1.3
5	Ambedkar Nagar	20,26,876	23,97,888	18.3
6	Azamgarh	39,39,916	46,13,913	17.1
7	Bageshwar	2,49,462	2,59,898	4.2
8	Baghpat	11,63,991	13,03,048	11.9
9	Bahraich	23,81,072	34,87,731	46.5
10	Ballia	27,61,620	32,39,774	17.3
11	Balrampur	16,82,350	21,48,665	27.7
12	Barabanki	26,73,581	32,60,699	22.0
13	Bareilly	36,18,589	44,48,359	22.9
14	Basti	20,84,814	24,61,056	18.0
15	Bhojpur	22,43,144	27,28,407	21.6
16	Bijnor	31,31,619	36,82,713	17.6
17	Budaun	30,69,426	36,81,896	20.0
18	Bulandshahar	29,13,122	34,99,171	20.1
19	Buxar	14,02,396	17,06,352	21.7
20	Chamoli	3,70,359	3,91,605	5.7
21	Champawat	2,24,542	2,59,648	15.6
22	Dehradun	12,82,143	16,96,694	32.3
23	Deoria	27,12,650	31,00,946	14.3
24	Etah	15,61,705	17,74,480	13.6
25	Faizabad	20,88,928	24,70,996	18.3
26	Farrukhabad	15,70,408	18,85,204	20.0
27	Fatehpur	23,08,384	26,32,733	14.1
28	Firozabad	20,52,958	24,98,156	21.7
29	Gautam Buddha Nagar	12,02,030	16,48,115	37.1
30	Ghaziabad	32,90,586	46,81,645	42.3
31	Ghazipur	30,37,582	36,20,268	19.2
32	Gonda	27,65,586	34,33,919	24.2

33	Gopalganj	21,52,638	25,62,012	19.0
34	Gorakhpur	37,69,456	44,40,895	17.8
35	Hardoi	33,98,306	40,92,845	20.4
36	Haridwar	14,47,187	18,90,422	30.6
37	Hathras	13,36,031	15,64,708	17.1
38	Jaunpur	39,11,679	44,94,204	14.9
39	Jyotiba Phule Nagar	14,99,068	18,40,221	22.8
40	Kannauj	13,88,923	16,56,616	19.3
41	Kanpur Dehat	15,63,336	17,96,184	14.9
42	Kanpur Nagar	41,67,999	45,81,268	9.9
43	Kaushambi	12,93,154	15,99,596	23.7
44	Kheri	32,07,232	40,21,243	25.4
45	Kinnaur	78,334	84,121	7.4
46	Kushinagar	28,93,196	35,64,544	23.2
47	Lucknow	36,47,834	45,89,838	25.8
48	Maharajganj	21,73,878	26,84,703	23.5
49	Mainpuri	15,96,718	18,68,529	17.0
50	Mau	18,53,997	22,05,968	19.0
51	Meerut	29,97,361	34,43,689	14.9
52	Mirzapur	21,16,042	24,96,970	18.0
53	Moradabad	38,10,983	47,72,006	25.2
54	Muzaffarnagar	35,43,362	41,43,512	16.9
55	Nainital	7,62,909	9,54,605	25.1
56	Patna	47,18,592	58,38,465	23.7
57	Pauri Garhwal	6,97,078	6,87,271	-1.4
58	Pilibhit	16,45,183	20,31,007	23.5
59	Pithoragarh	4,62,289	4,83,439	4.6
60	Pratapgarh	27,31,174	32,09,141	17.5
61	Rae Bareli	28,72,335	34,05,559	18.6
62	Rampur	19,23,739	23,35,819	21.4
63	Rudraprayag	2,27,439	2,42,285	6.5
64	Sant Kabir Nagar	14,20,226	17,15,183	20.8
65	Sant Ravidas Nagar	13,53,705	15,78,213	16.6
66	Saran	32,48,701	39,51,862	21.6
67	Shahjahanpur	25,47,855	30,06,538	18.0
68	Shravasti	11,76,391	11,17,361	-5.0
69	Siddharthnagar	20,40,085	25,59,297	25.5
70	Sitapur	36,19,661	44,83,992	23.9
71	Siwan	27,14,349	33,30,464	22.7
72	Sultanpur	32,14,832	37,97,117	18.1
73	Tehri Garhwal	6,04,747	6,18,931	2.3
74	Udhamsingh Nagar	12,35,614	1,648,902	33.4
75	Unnao	27,00,324	31,08,367	15.1
76	Uttarkashi	2,95,013	3,30,086	11.9
77	Varanasi	31,38,671	36,76,841	17.1
Total	Upper Ganga River basin	17,11,86,859	20,61,88,401	20.45

588

589 Ganga River basin is the most sacred as well as populated river basins in India that is

590 endowed with varying topography, climate and mineral rich alluvial soils in the Gangetic

591 Plains area. Due to high soil fertility in the region, 60% of the population practice agricultural

592 activities especially in the Gangetic Plains or lower reaches of the UGRB. This accounts for  
593 the high rural population in the region. Due to hilly terrain in the upper reaches of the basin,  
594 the population is less compared to the lower reaches of the basin. Due to its religious and  
595 economic significance, a large number of densely populated cities and towns are located on  
596 the banks of the river mainly in the Gangetic Plain region. These cities have large growing  
597 populations and an expanding industrial sector (NRSC 2014).

598

599 Growth rates for urban and rural areas of upper and lower reaches of UGRB were calculated  
600 from official statistics (Fig. 3). It brings forth the clear picture of comparatively high rise in  
601 the rural population of lower reaches. Urban population has also increased along with rural  
602 population in the lower reaches (Fig. 3a). Both rural and urban population have increased in  
603 upper reaches but the growth is relatively less than lower reaches. However, PGR is higher in  
604 urban areas of both reaches between 2001 -2011, which indicates urbanization of the region  
605 (Fig. 3b). After Dehradun city was declared capital of the Uttarakhand state in the year 2000  
606 and due to subsequent industrialization in the region, the PGR of the upper reaches has  
607 increased. Hence, population rise in UGRB is due to natural population growth and migration  
608 of the people from remote/rural areas to urban areas.

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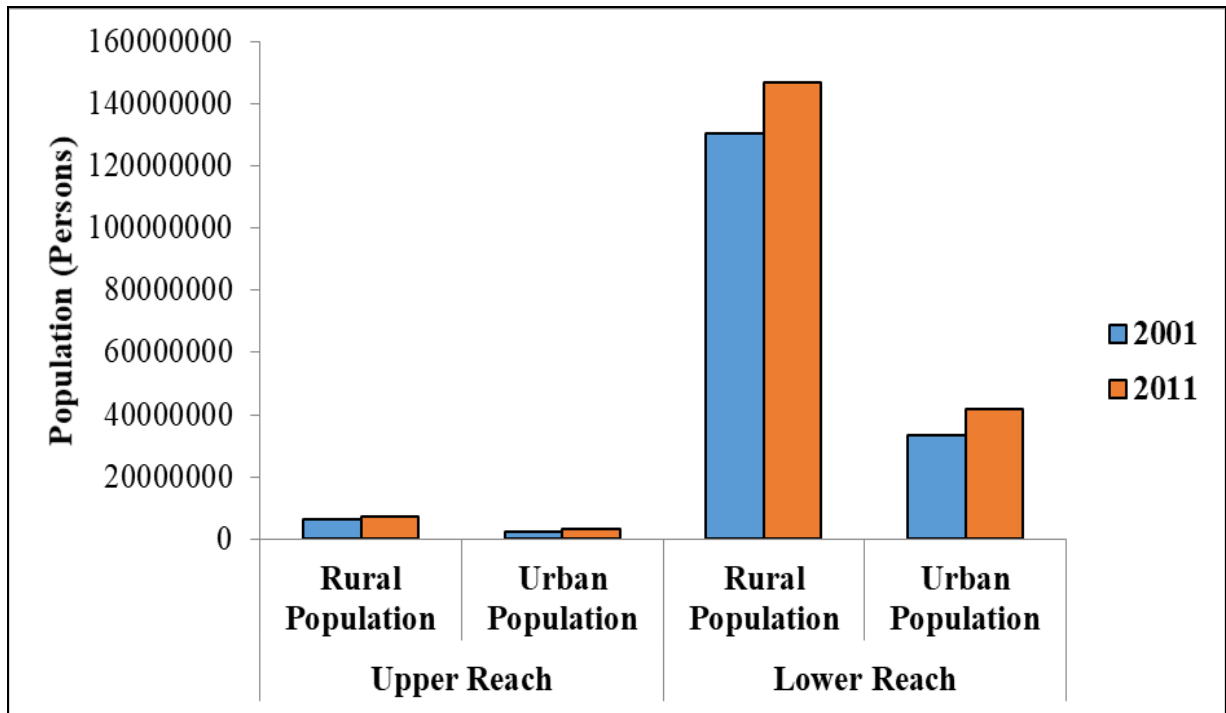
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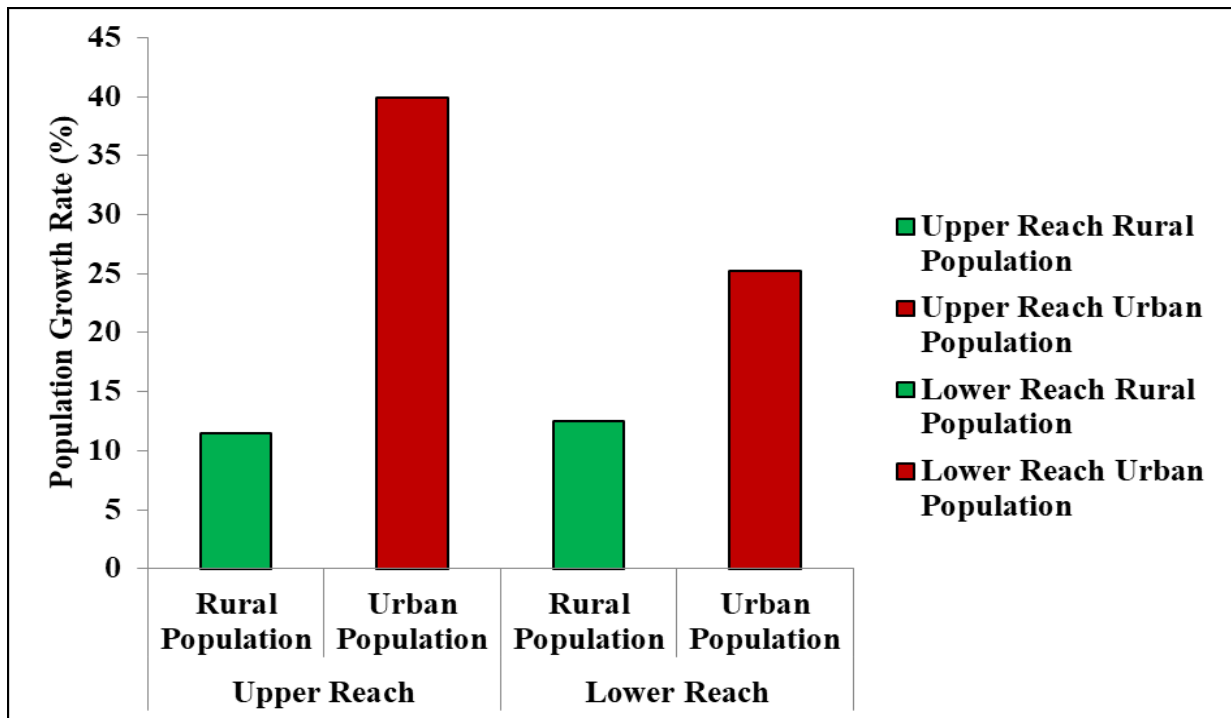
617 (a)



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619 (b)

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621

622 **Figure 3:** Growth in the rural and urban population of upper and lower reaches of UGRB

623 between 2001-2011 (a) Total population, and (b) Population Growth Rate (PGR).

624 **5.2 Accuracy assessment of LULC map**

625 Post accuracy assessment, the cross-tabulation (confusion matrix) of the mapped LULC  
 626 classes against that observed on the ground (or reference data) for a sample of cases at  
 627 specified locations are presented in Table 4. From the results it is observed that spectral  
 628 confusion is common between few classes. For e.g. frozen snow/glaciers are sometimes  
 629 misclassified as built-up or wasteland whereas melted ones are misinterpreted as water  
 630 bodies. Similarly, forest areas are wrongly depicted as agricultural lands at few occasions.  
 631 Sometimes barren rocky wastelands are misclassified as built-up and wastelands having  
 632 shrubs/grasses are misjudged as agricultural lands. Therefore, in terms of producer’s accuracy  
 633 all classes are over 90%, except for three classes i.e. forest, wasteland and snow/glacier,  
 634 while in terms of user’s accuracy, all the classes are very close to or more than 90% (Table  
 635 4). Both producer’s and user’s accuracy are found to be consistent for all LULC classes. For  
 636 the past LULC map, a similar level of accuracy can be expected with a very little deviation.  
 637 An overall classification accuracy of 90.14% was achieved with Kappa statistics of 0.88,  
 638 showing good agreement between LULC classes and reference GCPs. From the accuracy  
 639 assessment results, it is evident that the present classification approach has been effective in  
 640 producing LULC maps with good accuracy.

641

642 **Table 4.** Accuracy assessment of the 2012 LULC map produced from Landsat ETM+ data,  
 643 representing both the confusion matrix and the Kappa statistics.

<i>Classified Data</i>	<i>Reference Data</i>						<i>Row Total</i>	<i>User’s Accuracy (%)</i>	<i>Overall Kappa Statistics</i>
	<b>AG</b>	<b>BU</b>	<b>F</b>	<b>SG</b>	<b>WL</b>	<b>WB</b>			
<b>AG</b>	<b>128</b>	0	6	0	3	0	137	93.43	0.88
<b>BU</b>	2	<b>96</b>	2	5	1	0	106	90.57	
<b>F</b>	11	0	<b>88</b>	3	0	3	105	83.81	
<b>SG</b>	0	4	1	<b>103</b>	2	1	111	92.79	
<b>WL</b>	1	2	0	7	<b>82</b>	2	94	87.23	
<b>WB</b>	0	0	1	1	6	<b>88</b>	96	91.67	

<b>Column Total</b>	142	102	98	119	94	94	<b>649</b>		
<b>Producer's Accuracy (%)</b>	90.14	94.12	89.80	86.55	87.23	93.62			
<b>Overall Classification Accuracy (%)</b>	90.14								

644 \* AG = Agricultural Land, BU = Built-up, F = Forest, SG = Snow/Glacier, WL = Wasteland  
645 and WB = Water Bodies.

646

### 647 **5.3 Distribution of LULC**

648 The LULC maps of the UGRB for February/March 2001 and 2012 are shown in Fig. 4.

649 District boundaries of the five districts i.e. Uttarkashi, Dehradun, Kanpur, Allahabad and

650 Varanasi, chosen for district wise LULC analysis are highlighted in this figure. The gross

651 percentage area in each LULC class and their changes from 2001 to 2012 in UGRB are

652 illustrated in Fig. 5. From the results it is observed that the agricultural lands, built-up, forest,

653 and snow /glaciers have increased whereas the water bodies and wasteland have decreased.

654 The highest % change is observed in built-up class that has increased by 43.4%. In 2001,

655 17.1% of wastelands were present in the study area which have reduced to 11.4%. Therefore,

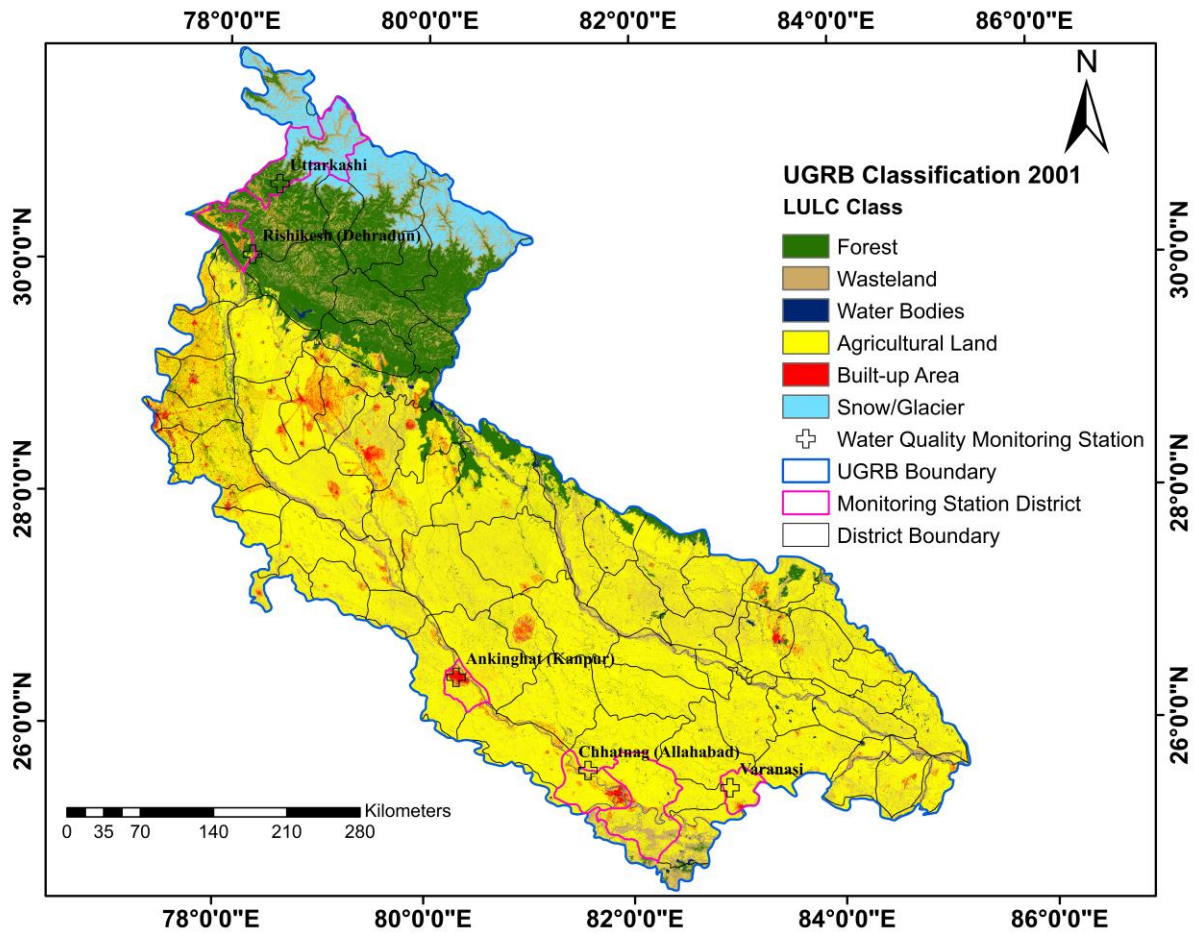
656 the wastelands are the second most dynamic category with the significant decrease of 33.6%.

657 Agriculture land, forest and snow/glaciers have also increased by 2.9%, 14.5% and 1.1%

658 respectively. Conversely, water bodies have decreased from 2.0% in 2001 to 1.8% in 2012

659 (Fig. 5).



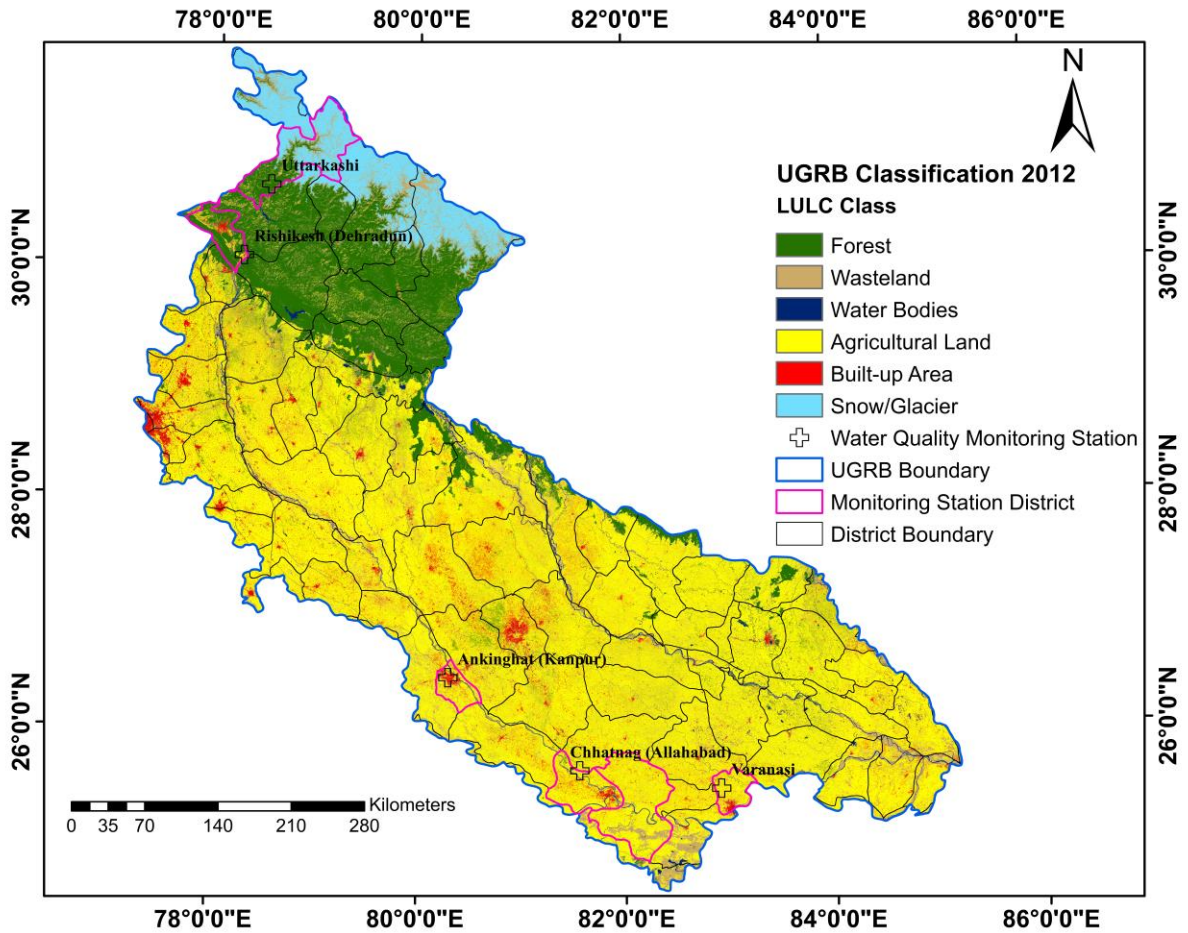


(a)

660

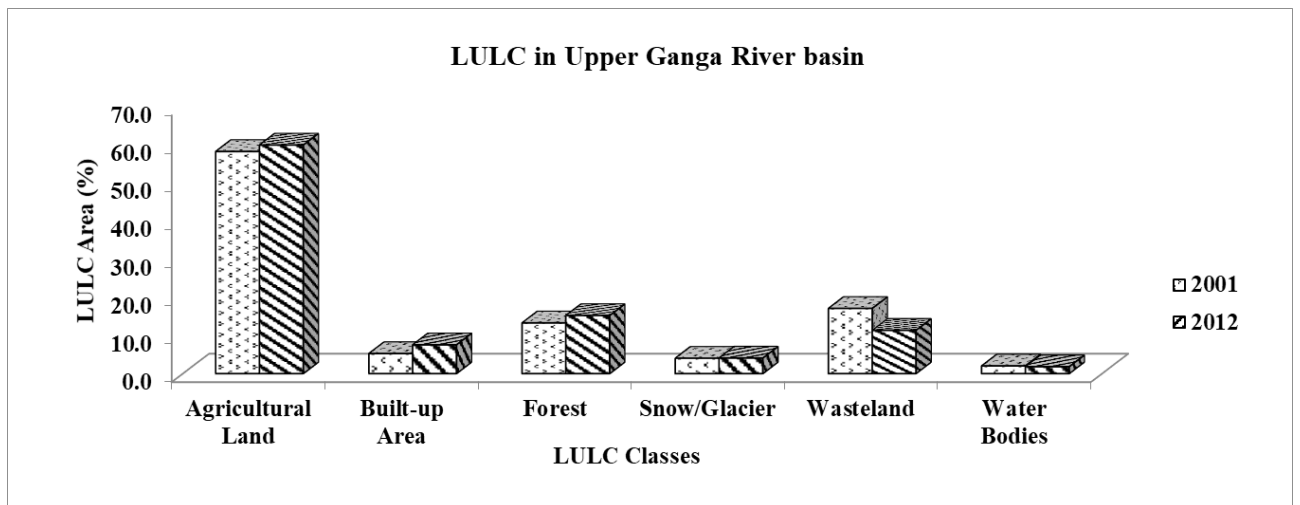
661

662

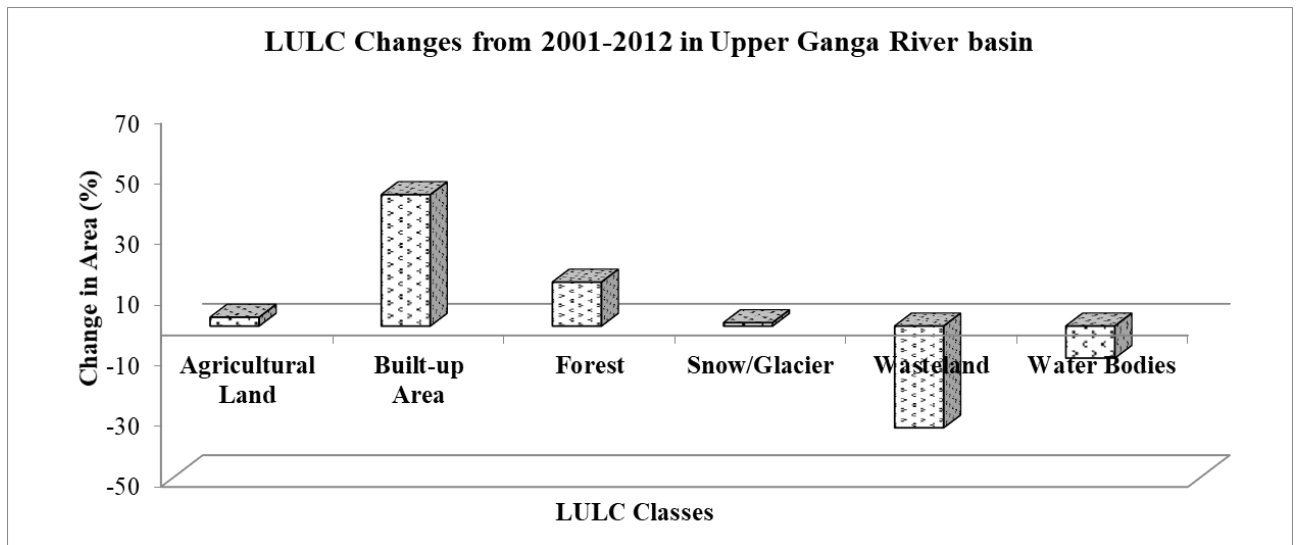


(b)

**Figure 4.** LULC maps of Upper Ganga River basin (a) LULC map of February/March 2001, and (b) LULC map of February/March 2012.



(a)



670

671

(b)

672 **Figure 5.** Graph showing LULC distribution of the years 2001-2012 (a) LULC area in  
 673 percentage (%) and (b) LULC changes from 2001-2012 in Upper Ganga River basin.

674

675 Table 5 presents the change matrix, showing the conversion of one LULC class to another  
 676 between the years 2001 to 2012. Results reveal that 1.7%, 1.7%, 2.2% and 0.1% of the  
 677 wastelands in the basin area have converted to forest, agricultural land, built-up and  
 678 snow/glaciers respectively. Therefore, significant increases in these LULC classes are  
 679 observed in UGRB on the expense of wastelands, resulting in high water demand. With  
 680 increase in agricultural lands and built-up, water requirements have increased in the river  
 681 basin to meet irrigation, domestic and industrial water demands of rural and urban regions.  
 682 About 0.2% of the water bodies in the region are converted to forest during summer season  
 683 due to natural vegetation growth. Forest areas have also increased in the region due to  
 684 implementation of various Government policies for forest protection and reforestation.  
 685 Hence, slight reduction and increase in the water bodies and forest classes are observed  
 686 respectively.

687

688 **Table 5.** Change matrix showing LULC interconversion between the year 2001 and 2012 in  
 689 Upper Ganga River basin.

690

<b>LULC Class</b>	<b>F</b>	<b>WL</b>	<b>WB</b>	<b>AG</b>	<b>BU</b>	<b>SG</b>	<b>LULC 2001</b>
<b>F</b>	13.3	0.0	0.0	0.0	0.0	0.0	<b>13.3</b>
<b>WL</b>	1.7	11.4	0.0	1.7	2.2	0.1	<b>17.1</b>
<b>WB</b>	0.2	0.0	1.8	0.0	0.0	0.0	<b>2.0</b>
<b>AG</b>	0.0	0.0	0.0	58.3	0.0	0.0	<b>58.3</b>
<b>BU</b>	0.0	0.0	0.0	0.0	5.3	0.0	<b>5.3</b>
<b>SG</b>	0.0	0.0	0.0	0.0	0.0	4.0	<b>4.0</b>
<b>LULC 2012</b>	<b>15.2</b>	<b>11.4</b>	<b>1.8</b>	<b>60.0</b>	<b>7.5</b>	<b>4.1</b>	<b>100.0</b>

691

692 \* Figures indicate the percentage (%) of basin area

693

694 District wise LULC change study is useful in comprehending link between LULC-water  
 695 quality at the local scale; and to identify source of pollutants at a particular monitoring  
 696 station. Table 6 presents the LULC statistics of the five districts from 2001 to 2012, where  
 697 water quality monitoring stations are located. It shows increase in built-up and agricultural  
 698 lands in all the districts whereas wastelands have decreased. Forest areas have slightly  
 699 increased in Uttarkashi and Varanasi, however they have remained unchanged in the  
 700 remaining districts. Snow/glacier class is only present in Uttarkashi district and it has slightly  
 701 increased from 2001 to 2012. Water bodies have slightly increased in all the districts except  
 702 Dehradun where it has slightly reduced. Hence, significant LULC changes are observed in  
 703 UGRB both at basin and district scales.

704

705 **Table 6.** District wise changes in LULC (a) Uttarkashi, (b) Dehradun, (c) Kanpur, (d)  
 706 Allahabad and (e) Varanasi.

707 (a)

<b>Uttarkashi (LULC Class)</b>	<b>2001%</b>	<b>2012%</b>	<b>% Change (2001-2012)</b>
Forest	39.3	39.7	1.1

Wasteland	10.3	8.3	-19.3
Water Bodies	1.4	1.5	4.6
Agricultural Land	0.6	1.4	122.8
Built-up Area	0.2	0.6	186.3
Snow and Glacier	48.2	48.6	0.8
Total Area %	100.0	100.0	

708

709 **(b)**

<b>Dehradun</b> (LULC Class)	2001%	2012%	% Change (2001-2012)
Forest	59.8	59.8	0.1
Wasteland	18.8	3.4	-82.1
Water Bodies	4.8	4.3	-9.8
Agricultural Land	13.5	20.3	50.6
Built-up Area	3.2	12.2	283.9
Total Area %	100.0	100.0	

710

711 **(c)**

<b>Kanpur</b> (LULC Class)	2001%	2012%	% Change (2001-2012)
Forest	0.3	0.3	8.7
Wasteland	23.4	4.7	-79.8
Water Bodies	2.5	2.6	3.8
Agricultural Land	63.7	67.0	5.2
Built-up Area	10.1	25.3	152.1
Total Area %	100.0	100.0	

712

713 **(d)**

<b>Allahabad</b> (LULC Class)	2001%	2012%	% Change (2001-2012)
Forest	1.5	1.5	-1.2
Wasteland	22.1	16.0	-27.8
Water Bodies	3.0	3.1	1.3
Agricultural Land	70.5	73.4	4.2
Built-up Area	2.8	6.0	111.7

714	Total Area %	100.0	100.0	
715	(e)			
	<b>Varanasi (LULC Class)</b>	<b>2001%</b>	<b>2012%</b>	<b>% Change (2001-2012)</b>
	Forest	0.6	0.7	24.4
	Wasteland	16.8	6.0	-64.5
	Water Bodies	3.1	3.3	7.1
	Agricultural Land	76.8	79.4	3.4
	Built-up Area	2.7	10.5	291.8
	Total Area %	100.0	100.0	

#### 716 5.4 Trend analysis on monthly water quality data

717 From the results of trend analysis (Mann Kendall rank test) it is observed that each water quality  
718 parameter varies with time and location, hence the changes in the water quality parameters are  
719 observed in all the months (Table 7). No regular trends are observed in the water quality data,  
720 therefore, they are very site-specific. Results from statistical analyses reflect that comparatively  
721 high SD and significant changes are observed in water quality of the monsoon month (July),  
722 which is followed by pre-monsoon and post-monsoon months in decreasing order. Effect of  
723 different seasons on water quality is reported from various studies (Islam et al. 2017; Sharma and  
724 Kansal 2011; Singh and Chandna 2011). In this study, three significant seasons are identified and  
725 hence the water quality data is organized into three groups: pre-monsoon season (February-  
726 May), monsoon season (June-September) and post-monsoon season (October-January).

727

728 From each group, one representative month i.e. May, July, November month is chosen, which  
729 represents that particular season the best. It reduced the redundancy of the dataset and avoided  
730 the confusion to be created due to large insignificant dataset of varying trends that makes no  
731 sense. For e.g. SD in BOD of Kanpur station in May, July and November months are 2.01, 2.67  
732 and 1.04 respectively. In other months, SD value of the BOD is close to the SD value of the  
733 representative months. In addition, from Table 7 it is evident that trends for BOD and Turbidity  
734 in July month are significant for almost all the stations against other water quality parameters.  
735 They are increasing over the years from 2001-2012. Pre-monsoon (May) data signifies the water  
736 quality pollution from point sources of pollution from various sewage drains and industrial  
737 effluents. In addition to the point sources of pollution, monsoon (July) data took into account the  
738 non-point source of pollution, e.g. discharge of surface runoff from urban areas into the nearby

739 streams during rainfall. Post-monsoon (November) data helps to understand the water quality  
 740 condition of the rivers after the rainfall is over. Therefore, further in this study, water quality data  
 741 analysis was done for the same three representative months.

742  
 743 **Table 7.** Trends in monthly water quality parameters from 2001 to 2012 across Upper Ganga  
 744 River basin (Z value, a Mann-Kendal statistic parameter is shown. (\*), (\*\*), (\*\*\*) and +ve suffix  
 745 indicate different significance levels).

746

Station	Parameter	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Uttarkashi	BOD	-2.4 (*)	1.3	-2.2 (*)	0.0	1.2	-0.4 (**)	2.8	-1.9 (+)	-2.2 (*)	0.0	1.9 (+)	1.3
	DO%	1.2	-1.5	0.5	0.0	-3.3 (**)	-2.8 (**)	-2.2 (*)	-3.3 (**)	1.4	0.0	-2.6 (**)	-1.5
	F	-1.9 (+)	2.0 (*)	-3.2 (**)	1.1	-3.0 (**)	0.8	2.0 (*)	2.0 (*)	1.1	1.9 (+)	1.1	-3.0 (**)
	Hardness	1.3	-2.5 (*)	1.8 (+)	-1.1	-1.9 (+)	-2.1 (*)	-2.5 (*)	-1.9 (+)	1.2	1.8 (+)	-1.1	-2.5 (*)
	pH	2.7 (**)	-1.3	1.2	-0.1	-0.2	0.0	-1.5	-1.1	-0.2	-1.3	-1.3	-1.1
	TC	-	-	-	-	-	-	-	-	-	-	-	-
	Turbidity	-	-	-	-	-	-	-	-	-	-	-	-
Rishikesh	BOD	-0.1	0.0	0.6	1.9 (+)	0.4	-2.5 (*)	2.4 (*)	2.0 (*)	2.6 (*)	-1.3	1.3	-0.5
	DO%	-1.3	1.5	2.3 (*)	-2.3 (*)	3.0 (**)	-2.3 (*)	2.9 (**)	0.6	0.5	3.4 (***)	3.2 (**)	-3.6 (***)
	F	-1.0	-0.5	2.2 (*)	-1.2	1.2	-1.7 (+)	1.7 (+)	2.7 (**)	-0.8	-0.6	0.0	2.5 (*)
	Hardness	1.4	-1.6	0.6	2.7 (**)	-2.3 (*)	0.6	-2.4 (*)	1.3	0.0	3.2 (**)	-1.6	-2.7 (**)
	pH	-1.6	0.0	0.0	-0.7	-0.9	0.2	-0.2	1.1	1.9 (+)	1.6	-0.8	0.3
	TC	-	-	-	-	-	-	-	-	-	-	-	-
	Turbidity	-	-	-	-	-	-	-	-	-	-	-	-
Kanpur	BOD	2.0 (*)	2.7 (**)	2.6 (**)	2.3 (*)	3.0 (**)	3.4 (***)	3.4 (***)	2.7 (**)	1.7 (+)	0.6	1.6	2.2 (*)
	DO%	-2.7 (**)	-2.0 (*)	-0.3	-1.1	-0.5	-0.3	-2.1 (*)	-0.5	-0.1	-0.8	-1.0	-1.8 (+)



	F	1.5	2.0 (*)	1.7 (+)	1.6	1.2	2.1 (*)	2.4 (*)	2.2 (*)	2.6 (**)	2.4 (*)	1.7 (+)	2.0 (*)
	Hardness	0.4	0.2	0.1	0.1	0.0	1.2	1.7 (+)	0.0	0.0	-0.2	-1.0	-1.0
	pH	0.3	-0.2	0.7	1.9 (+)	1.7 (+)	0.2	1.2	-0.9	-0.3	-1.0	-0.4	-1.2
	TC	-	-	-	-	-	-	-	-	-	-	-	-
	Turbidity	3.5 (***)	1.7 (+)	1.7 (+)	-0.4	-0.2	0.8	0.8	1.7 (+)	-1.6	0.0	1.9 (+)	0.3
Allahabad	BOD	0.8	0.2	-1.3	0.3	-0.1	0.2	-1.0	-0.1	-0.5	-0.1	-0.4	0.0
	DO%	0.6	-0.5	0.6	0.0	-0.2	0.4	1.0	1.7 (+)	0.7	1.0	-0.3	-0.2
	F	1.6	1.2	2.0 (*)	2.6 (**)	1.6	1.4	2.2 (*)	2.2 (*)	2.7 (*)	1.7 (+)	1.6	1.0
	Hardness	-0.8	0.0	-1.3	-0.3	0.2	0.1	-0.1	0.3	-0.1	0.4	0.5	1.5
	pH	-1.0	-1.3	0.1	-0.3	0.2	0.1	1.0	0.1	-1.1	-0.4	0.4	0.0
	TC	-1.1	-1.0	-1.4	-1.0	-1.1	0.6	-0.5	-2.0 (*)	-1.7 (+)	-1.4	-1.1	-0.3
	Turbidity	-0.9	0.2	-0.6	-0.2	-1.4	0.9	0.4	0.6	0.4	-0.3	0.0	-1.4
Varanasi	BOD	2.4 (*)	1.5	1.1	1.4	2.2 (*)	2.8 (**)	2.7 (**)	1.9 (+)	2.4 (*)	2.9 (**)	2.6 (**)	3.0 (**)
	DO%	1.2	1.4	2.2 (*)	2.3 (*)	1.7 (+)	0.8	1.5	2.5 (*)	3.2 (**)	3.3 (***)	2.5 (*)	2.5 (*)
	F	2.5 (*)	2.1 (*)	2.4 (*)	2.4 (*)	1.6	1.8 (+)	2.1 (*)	2.1 (*)	3.0 (**)	2.2 (*)	1.2	2.2 (*)
	Hardness	-0.3	-0.3	0.0	0.1	-0.5	-0.7	-0.5	0.1	0.3	0.8	0.3	1.9 (+)
	pH	0.0	0.0	1.9 (+)	1.5	0.4	0.2	0.4	0.2	1.8 (+)	0.4	0.6	0.2
	TC	0.8	0.6	0.8	0.6	0.3	-0.1	0.5	0.9	1.0	1.4	1.4	1.4
	Turbidity	-0.5	0.0	0.0	-0.2	-0.6	-1.8 (+)	-0.9	0.9	0.0	-1.4	0.2	-0.2

747

748 \*\*\* trend at  $\alpha = 0.001$  level of significance; \*\* trend at  $\alpha = 0.01$  level of significance; \* trend at

749  $\alpha = 0.05$  level of significance; + trend at  $\alpha = 0.1$  level of significance; If there is no sign after

750 values in the table then, the significance level is greater than 0.1 (Amnell et al. 2002).

751

752 **5.5 State of the population growth-LULC transformations-water quality nexus in UGRB**

753 In this section, the association between the three components population growth-LULC  
754 transformations-water quality are established. Seasonal water quality parameter values for  
755 UGRB over the periods of 2001-2012 are presented in Table 8. Their respective IPI values and  
756 OIP for each monitoring station are illustrated in Table 9. In UGRB the population increase in  
757 both rural and urban areas have resulted into significant changes in LULC distribution. Increase  
758 in PGR of 20.45% in the complete basin has resulted in 43.4% and 2.9% increase in urban and  
759 rural areas respectively. Therefore, this river basin is urbanizing gradually with increase in  
760 industrial operations. Urbanization, industrialization and intense agricultural activities have  
761 caused water quality degradation between the periods of 2001-2012. Nearly all the parameters  
762 are relatively higher in the July month, which is rainy season. Hence, their subsequent IPI values  
763 and resulting OIP are also high in this month. Hardness  $\text{CaCO}_3$  and pH values are higher in  
764 monsoon month as bicarbonates, hydroxides and phosphates from rock weathering are  
765 transported to the river water by surface runoff. Turbidity is also high due to addition of organic  
766 matter from land surfaces to the nearby stream through surface runoff. F is introduced into the  
767 river by surface runoff carrying F from industrial regions. High DO% values are attributed to  
768 increased diffusion of Oxygen into the water during increased stream flow caused by storm  
769 events. Increase in BOD and Total Coliform bacteria is a result of increased transportation of  
770 municipal sewage containing organic matter and various strains of Coliform bacteria. Similar  
771 results were reported from the studies done by various researchers (Attua et al. 2014; Chapman  
772 1992; Hellar-Kihampa et al. 2013; Jain et al. 2006).

773

774 **Table 8.** Water quality parameters across Upper Ganga River basin for pre-monsoon, monsoon  
775 and post-monsoon seasons over periods of 2001-2012.

776 (i)

Parameters (Year 2001)	Water Quality Monitoring Stations														
	Uttarkashi			Rishikesh			Kanpur			Allahabad			Varanasi		
	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov
BOD	1.1	1.1	1.1	1.1	1.0	1.1	2.8	1.7	2.4	4.0	4.2	3.7	2.5	2.2	1.8
DO%	88	104	89	71	60	64	89	96	93	92	84	95	90	92	85
F	0.19	0.04	0.22	0.23	0.16	0.26	0.61	0.21	0.34	0.09	0.50	0.51	0.3	0.05	0.51
Hardness CaCO <sub>3</sub>	65	60	68	76	67	74	99	78	86	95	194	159	99	176	142
pH	8.1	8.1	8.1	8.1	8.1	8.1	8.0	8.3	8.1	8.2	8.3	8.2	8.2	8.4	8.2
Total	-	-	-	-	-	-	-	-	-	3000	6200	6500	5100	5300	2400
Coliform															
Turbidity	-	-	-	-	-	-	2.0	3.1	2.3	0.1	0.2	0.1	0.1	0.1	0.1

777

778 (ii)

779

Parameters (Year 2012)	Water Quality Monitoring Stations														
	Uttarkashi			Rishikesh			Kanpur			Allahabad			Varanasi		
	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov
BOD	1.1	1.2	1.0	1.0	1.2	1.2	7.0	10.0	4.0	2.9	3.2	2.4	3.0	3.9	2.9
DO%	73	64	73	81	75	77	86	75	90	85	108	98	101	98	98
F	0.45	0.26	0.44	0.09	0.19	0.06	0.70	0.80	0.51	0.51	0.67	0.56	0.57	0.54	0.52
Hardness CaCO <sub>3</sub>	45	24	34	33	23	56	110	102	90	97	85	92	89	75	81
pH	7.8	7.7	7.6	7.8	8.0	7.8	8.7	8.4	8.1	8.2	8.5	8.2	8.7	8.4	8.7
Total	-	-	-	-	-	-	-	-	-	5200	5800	4600	5600	7300	4700
Coliform															
Turbidity	-	-	-	-	-	-	4.0	6.0	5.4	0.1	0.5	0.1	0.1	0.2	0.1

780

781 \*Units: BOD=mg/L; DO%=%; F= mg/L; Hardness CaCO<sub>3</sub>= mg/L; pH=No unit; Total

782 Coliform=MPN; Turbidity=NTU

783

784

785

786

787

788 **Table 9.** Individual parameter indices (IPIs) and overall indices of pollution (OIPs) computed at  
 789 various water quality monitoring stations of Upper Ganga River basin over periods of 2001 and  
 790 2012 for pre-monsoon, monsoon and post-monsoon seasons.

791 (i)

792

Parameters	Water Quality Monitoring Stations														
	Uttarkashi			Rishikesh			Kanpur			Allahabad			Varanasi		
	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov
<b>BOD</b>	1.00	1.00	1.00	1.00	1.00	1.00	<b>2.87</b>	<b>2.40</b>	<b>2.60</b>	<b>2.67</b>	<b>2.80</b>	<b>2.47</b>	1.67	1.47	1.20
<b>DO%</b>	1.33	1.28	1.27	<b>2.49</b>	<b>3.24</b>	<b>2.97</b>	1.27	0.79	0.99	1.06	1.61	0.86	1.20	1.06	1.54
F	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
<b>Hardness</b>	1.00	1.00	1.00	1.78	1.00	1.00	1.99	1.80	1.87	1.95	<b>3.16</b>	<b>2.66</b>	1.99	<b>2.89</b>	<b>2.45</b>
<b>CaCO<sub>3</sub></b>															
<b>pH</b>	<b>2.76</b>	<b>2.76</b>	<b>2.76</b>	<b>2.76</b>	<b>2.76</b>	<b>2.76</b>	<b>2.52</b>	<b>3.33</b>	<b>2.76</b>	<b>3.03</b>	<b>3.33</b>	<b>3.03</b>	<b>3.03</b>	<b>3.65</b>	<b>3.03</b>
<b>Total Coliform</b>	-	-	-	-	-	-	-	-	-	<b>3.43</b>	<b>4.60</b>	<b>4.98</b>	<b>4.02</b>	<b>3.48</b>	<b>3.21</b>
Turbidity	-	-	-	-	-	-	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
<b>OIP (2001)</b>	<b>1.42</b>	<b>1.41</b>	<b>1.41</b>	<b>1.81</b>	<b>1.80</b>	<b>1.75</b>	<b>2.61</b>	<b>2.49</b>	<b>2.54</b>	<b>2.02</b>	<b>2.50</b>	<b>2.29</b>	<b>1.99</b>	<b>2.08</b>	<b>1.92</b>

793

794 (ii)

795

Parameters	Water Quality Monitoring Stations														
	Uttarkashi			Rishikesh			Kanpur			Allahabad			Varanasi		
	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov
<b>BOD</b>	1.00	1.00	1.00	1.00	1.00	1.00	<b>4.67</b>	<b>6.67</b>	<b>2.67</b>	1.93	<b>2.13</b>	1.60	<b>2.00</b>	<b>2.60</b>	1.93
<b>DO%</b>	<b>2.36</b>	<b>2.97</b>	<b>2.36</b>	1.81	<b>2.22</b>	<b>2.08</b>	1.47	<b>2.22</b>	1.20	1.54	1.49	0.65	1.13	0.65	0.65
F	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
<b>Hardness</b>	1.00	1.00	1.00	1.00	1.00	1.00	<b>2.10</b>	<b>2.02</b>	<b>2.91</b>	1.97	1.86	1.92	1.90	1.00	1.82
<b>CaCO<sub>3</sub></b>															
<b>pH</b>	<b>2.09</b>	1.91	1.74	<b>2.09</b>	<b>2.52</b>	<b>2.09</b>	<b>4.81</b>	<b>3.65</b>	<b>2.76</b>	<b>3.03</b>	<b>4.00</b>	<b>3.03</b>	<b>4.81</b>	<b>3.65</b>	<b>4.81</b>
<b>Total Coliform</b>	-	-	-	-	-	-	-	-	-	<b>4.05</b>	<b>4.11</b>	<b>3.90</b>	<b>4.14</b>	<b>5.97</b>	<b>3.93</b>
Turbidity	-	-	-	-	-	-	1.00	1.20	1.08	1.00	1.00	1.00	1.00	1.00	1.00
<b>OIP (2012)</b>	<b>1.49</b>	<b>1.58</b>	<b>1.42</b>	<b>1.38</b>	<b>1.55</b>	<b>1.44</b>	<b>2.51</b>	<b>2.79</b>	<b>2.77</b>	<b>2.07</b>	<b>2.23</b>	<b>1.87</b>	<b>2.28</b>	<b>2.27</b>	<b>2.16</b>

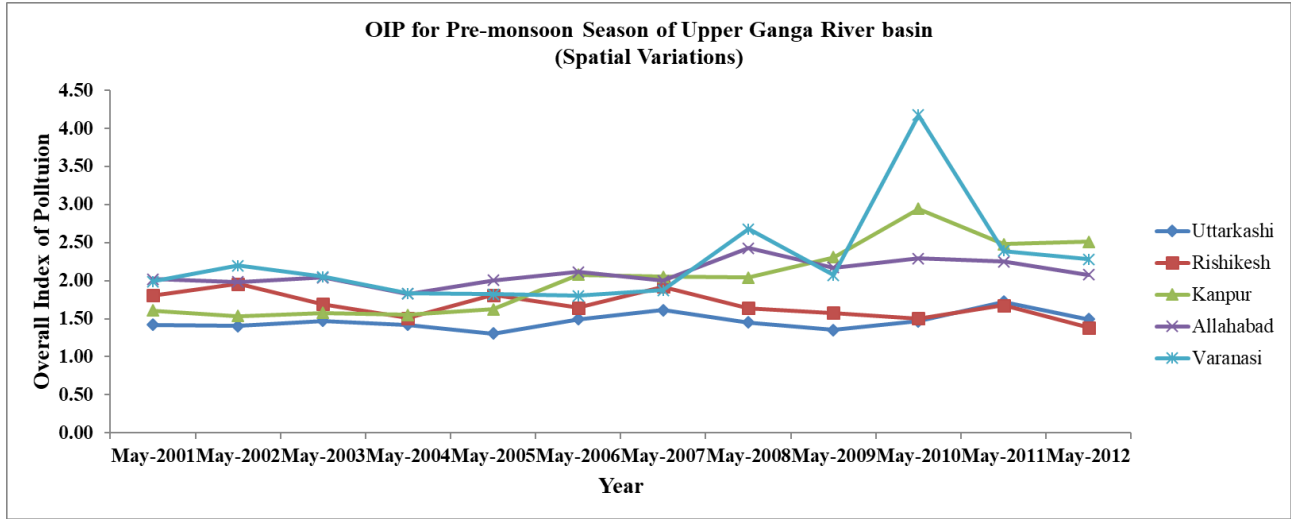
796

797 \* Bold IPI and Italic OIP values are significant.

798

799

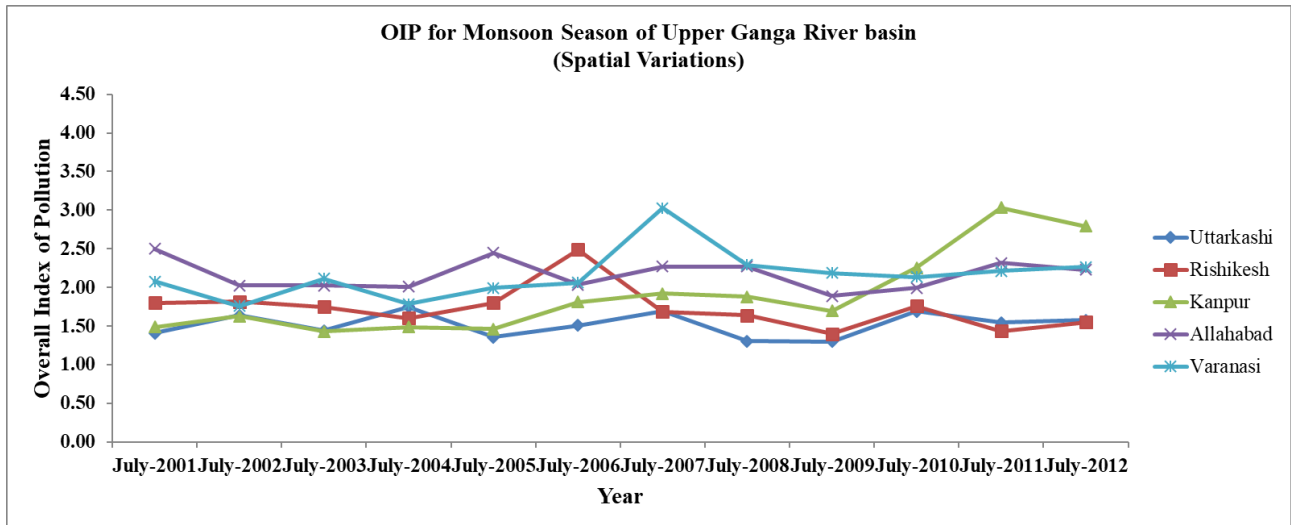
800 (a)



801

802

803 (b)



804

805

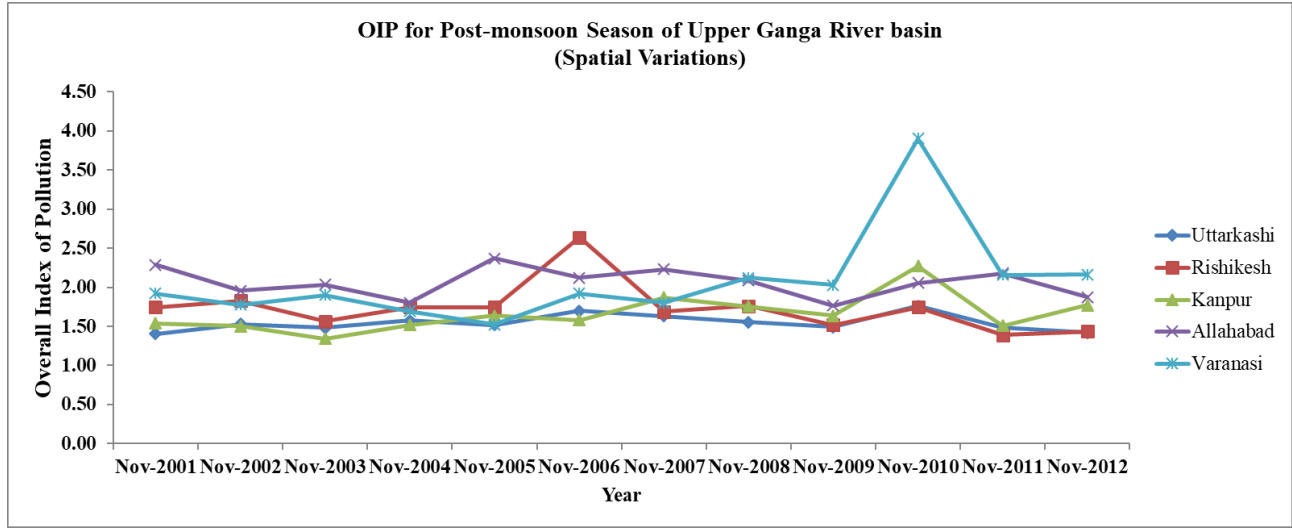
806

807

808

809

810 (c)



811

812 **Figure 6.** Spatial variations in the overall indices of pollution (OIP) of Upper Ganga River basin  
813 from 2001-2012 for (a) Pre-monsoon period (b) Monsoon period, (c) Post-monsoon period.

814

815 In UGRB, the population growth and LULC transformations are lower in the upper reaches  
816 therefore the water quality of the monitoring stations located in this region (Uttarkashi and  
817 Rishikesh) has remained in acceptable class range (OIP: 1.38-1.58) from 2001-2012. Conversely  
818 in the lower reaches, the water quality has deteriorated from acceptable class to slightly polluted  
819 class (OIP: 1.87-2.79) at the monitoring stations (Ankinghat, Chhatnag and Varanasi) due to  
820 increasing pollutants in the river water from urban, agriculture and industrial sectors (Fig. 6 and  
821 Table 9). Further, explanation on the connection between population growth-LULC  
822 transformations-water quality in UGRB is given at the district or local scale in Section 5.6.

823

824 **5.6 State of the population growth-LULC transformations-water quality nexus in the**  
825 **districts of UGRB**

826 Besides analysis at **complete** river basin level, the district level studies are also important. Each  
827 district has different topography, climate, population and LULC distribution. Therefore, the  
828 water management strategies in these districts should be based on the sources of pollutants and  
829 the health status of the river. Spatio-temporal variations in the water quality of the UGRB are  
830 studied using OIPs for three different seasons viz. pre-monsoon (May), monsoon (July) and post-  
831 monsoon (November) from the year 2001-2012. Rainfall amount, duration and intensity are  
832 important drivers affecting surface water quality parameters of a water body primarily during  
833 monsoon and post-monsoon seasons. For e.g. OIP at Ankinghat (Kanpur) has slightly increased  
834 from 2.51 in pre-monsoon season to 2.79 in monsoon season in the year 2012. In post-monsoon  
835 season, it has further decreased to 2.77. Similarly, at Chhatnag (Allahabad) station higher OIP  
836 (2.23) is noticed in monsoon season than other two stations in the year 2012 (Table 9). Other  
837 factors such as type of LULC, type of soils, amount and type of waste generation, treatment  
838 facilities, etc. also affect the water quality. At Varanasi station, OIP values are higher in pre-  
839 monsoon season (2.28) than other two seasons in 2012. Reduced values in monsoon season are  
840 probably due to relatively lower rainfall at this station. It indicates more influence of  
841 anthropogenic activities on the river water than natural drivers such as rainfall. But at the same  
842 station, in the year 2001 the OIP values were higher in monsoon season (2.08) than other  
843 remaining seasons. Hence, high spatio-temporal variations are observed in the water quality  
844 status of the river (Table 9). Water quality parameters viz. Hardness  $\text{CaCO}_3$ , F, pH and Turbidity  
845 generally increase during post-monsoon season due to addition of various pollutants and  
846 sediments in the river water during monsoon period.

847

848 Water quality monitoring stations of Uttarkashi (PGR=11.9%) and Rishikesh (Dehradun  
849 PGR=32.3%) are located in the foothills of Himalaya with relatively low gross population in  
850 small towns. These stations are least influenced by human intervention among all the stations.  
851 They are mainly influenced from the generation of silts (due to steep hilly slopes) and climatic  
852 factor such as rainfall. For example, IPI for pH in 2001 remained 2.76 in both the stations. In  
853 2012 the pH ranged between 1.74 (post-monsoon season) to 2.09 (pre-monsoon season) at  
854 Uttarkashi station. At Rishikesh station it ranged between 2.09 (pre and post-monsoon season) to  
855 2.52 (monsoon season) which is slightly better than the IPI values in 2001. Therefore, all the  
856 water quality parameters at these stations are in acceptable range with no significant variations in  
857 the IPI values of the parameters over time. As the Ganga River descends down to Gangetic  
858 Plains, a large number of tributaries join river Ganga. One of those, river Yamuna that passes  
859 from metropolitan city of New Delhi and many other Class-I cities (population>1,00,000) joins  
860 river Ganga at Allahabad. It carries a large amount of untreated pollutant load from both  
861 municipal and industrial areas of these cities on its way and adds to the river Ganga. During  
862 rainfall, toxic urban runoff is discharged to the river directly or through storm water drains.  
863 Similarly, water pollution at Kanpur is caused by urban domestic wastes and industries, mainly  
864 tanneries. At Varanasi river water again gets affected by municipal and industrial discharges into  
865 the river. Varanasi being the last monitoring station collects pollutants from all the above cities,  
866 hence it is identified as the most severely polluted station in UGRB but it keeps varying with  
867 time. In 2001, Allahabad is the most polluted station followed by Varanasi and Kanpur.  
868 However, in 2012, Kanpur is the most polluted station followed by Varanasi and Allahabad  
869 indicating LULC changes. The water quality remained in the acceptable to slightly polluted class  
870 range.



871  
872 Total population of all the three cities is very high and Kanpur has the highest population  
873 (6,377,452) amongst them. Varanasi has the highest population density in the region. Similarly,  
874 Allahabad has a PGR of 20.6% between 2001-2011. These cities are the biggest centres of  
875 commercial activities in UGRB. The main industry types in Allahabad district are glass, wire  
876 products, battery, etc. whereas Varanasi consists of textile, printing, electrical machinery related  
877 industries. In the lower reaches of the Ganga River, major industrialization has occurred in and  
878 around Kanpur. Tanneries are the major types of industries in Kanpur; majority of them are  
879 located in the Jajmau area which is close to River Ganga. The wastewater generated from various  
880 tanning operations, viz. soaking, liming, deliming and tanning, etc. result in increased levels of  
881 organic loading, salinity and specific pollutants such as Sulphide and Chromium. These are very  
882 toxic pollutants and affect the parameters, viz. BOD, Hardness  $\text{CaCO}_3$ , pH and Turbidity  
883 (Rajeswari 2015). Hence, due to wastewater from tanneries and municipal discharges, high IPI  
884 values of Hardness  $\text{CaCO}_3$  (2.10) and pH (4.81) are observed for Kanpur station in 2012. IPI  
885 values of Hardness  $\text{CaCO}_3$  (1.90) and pH (4.81) at Varanasi station is just lower to Kanpur and it  
886 is followed by water quality of Allahabad which showed close IPI values of 1.97 and 4.00,  
887 respectively. These cities do not have tanneries but their urban sewage and industrial effluents  
888 affect water quality of the river.

889  
890 Other than tanneries, agro-based, textile, paper, mineral, metal and furniture based industries are  
891 also present. Unnao is other industrial town located close to Kanpur. Large amount of municipal  
892 sewage generated in the urban residential areas and industrial effluents are discharged into the  
893 water. In total, 6087 MLD of wastewater is discharged into Ganga River. Out of the complete

894 river basin, six sub-regions namely Kanpur, Unnao, Rai-Bareilly, Allahabad, Mirzapur and  
895 Varanasi alone discharge 3019 MLD of wastewater directly/indirectly into the river. Particularly,  
896 cities of Kanpur, Allahabad and Varanasi contribute about 598.19 MLD, 293.5 MLD and 410.79  
897 MLD of wastewater into the river respectively (CPCB 2013; NRSC 2014). Municipal sewage  
898 water is characterized by high BOD and Total Coliform bacteria count. Table 9 illustrates a very  
899 high IPI value in the BOD of Kanpur (6.67), Allahabad (2.13) and Varanasi (2.60) in the year  
900 2012. It has increased from 2001 to 2012. Similarly in the year 2012, IPI of Total Coliform  
901 bacteria count is found in the range of minimum 3.90 (Allahabad) to 5.97 (Varanasi). It falls in  
902 the class of slightly polluted to polluted. F, pH and Turbidity are the factors mainly affected by  
903 natural drivers. IPI is within acceptable to slightly polluted range in all the three stations in 2012.  
904 F and Turbidity have remained in excellent and acceptable classes over the years. Various other  
905 studies have reported that the water quality of Ganga River near Kanpur, Allahabad and Varanasi  
906 cities is highly polluted (Gowd et al. 2010; Rai et al. 2010; Sharma et al. 2014). Rapid  
907 urbanization and industrialization has highly affected the water quality of River Ganga in these  
908 districts.

909

### 910 **5.7 Relationship between LULC and water quality (OIP)**

911 Pearson's correlation analysis between OIP and different LULC classes in UGRB helped in  
912 studying strength of association between these variables (Table 10). In all the three seasons of  
913 the year 2001, wasteland, built-up and agricultural lands are positively correlated showing  
914 significant relationship (moderate to strong association) with OIP. Water bodies have shown  
915 very weak positive correlation whereas moderate to strong negative correlation is observed with  
916 forest class. Due to change in the LULC distribution and water quality parameters between 2001-

917 2012, variations are observed in the strength of association in the year 2012. In this year, OIP  
 918 showed very strong negative and a very weak negative correlation with forest and water  
 919 bodies classes respectively. A very strong positive association is observed with agricultural  
 920 lands. Moderate to strong positive correlation is observed with built-up class. Association of  
 921 OIP with wasteland is in the broad range of very weak positive to very weak negative.

922

923 **Table 10.** Pearson's correlation coefficients relating LULC to water quality (OIP) in the Upper  
 924 Ganga River basin (Pre-monsoon, Monsoon and Post-monsoon seasons of 2001 and 2012).

925

Stations	OIP Pre-monsoon (2001)	F%	WL%	WB%	AG%	BU%
Uttarkashi	1.42	39.3	10.3	1.4	0.6	0.2
Rishikesh	1.81	59.8	18.8	4.8	13.5	3.2
Kanpur	2.61	0.3	23.4	2.5	63.7	10.1
Allahabad	2.02	1.5	22.1	3.0	70.5	2.8
Varanasi	1.99	0.6	16.8	3.1	76.8	2.7
Pearson's correlation coefficients		-0.65	0.87	0.12	0.71	0.95

926

Stations	OIP Monsoon (2001)	F%	WL%	WB%	AG%	BU%
Uttarkashi	1.41	39.3	10.3	1.4	0.6	0.2
Rishikesh	1.80	59.8	18.8	4.8	13.5	3.2
Kanpur	2.49	0.3	23.4	2.5	63.7	10.1
Allahabad	2.50	1.5	22.1	3.0	70.5	2.8
Varanasi	2.08	0.6	16.8	3.1	76.8	2.7
Pearson's correlation coefficients		-0.77	0.93	0.15	0.87	0.69

927

Stations	OIP Post-monsoon (2001)	F%	WL%	WB%	AG%	BU%
Uttarkashi	1.41	39.3	10.3	1.4	0.6	0.2
Rishikesh	1.75	59.8	18.8	4.8	13.5	3.2

Kanpur	2.54	0.3	23.4	2.5	63.7	10.1
Allahabad	2.29	1.5	22.1	3.0	70.5	2.8
Varanasi	1.92	0.6	16.8	3.1	76.8	2.7
Pearson's correlation coefficients		-0.73	0.93	0.09	0.78	0.83

928

Stations	OIP Pre-monsoon (2012)	F%	WL%	WB%	AG%	BU%
Uttarkashi	1.49	39.7	8.3	1.5	1.4	0.6
Rishikesh	1.38	59.8	3.4	4.3	20.3	12.2
Kanpur	2.51	0.3	4.7	2.6	67.0	25.3
Allahabad	2.07	1.5	16.0	3.1	73.4	6.0
Varanasi	2.28	0.7	6.0	3.3	79.4	10.5
Pearson's correlation coefficients		-0.94	0.10	-0.09	0.88	0.63

929

Stations	OIP Monsoon (2012)	F%	WL%	WB%	AG%	BU%
Uttarkashi	1.58	39.7	8.3	1.5	1.4	0.6
Rishikesh	1.55	59.8	3.4	4.3	20.3	12.2
Kanpur	2.79	0.3	4.7	2.6	67.0	25.3
Allahabad	2.23	1.5	16.0	3.1	73.4	6.0
Varanasi	2.27	0.7	6.0	3.3	79.4	10.5
Pearson's correlation coefficients		-0.89	0.08	-0.09	0.83	0.72

930

Stations	OIP Post-monsoon (2012)	F%	WL%	WB%	AG%	BU%
Uttarkashi	1.42	39.7	8.3	1.5	1.4	0.6
Rishikesh	1.44	59.8	3.4	4.3	20.3	12.2
Kanpur	2.77	0.3	4.7	2.6	67.0	25.3
Allahabad	1.87	1.5	16.0	3.1	73.4	6.0
Varanasi	2.16	0.7	6.0	3.3	79.4	10.5
Pearson's correlation coefficients		-0.79	-0.14	-0.07	0.75	0.82

931

932 This study found that increase in forest cover can decrease OIP due to increased aeration of  
 933 flowing river water. High sediment load, generally from surface runoff causes the increase in  
 934 turbidity. Forest areas control turbidity, Hardness  $\text{CaCO}_3$  and pH parameters by acting as a buffer  
 935 against these parameters. Similarly, increase in the water bodies decrease OIP by diluting the  
 936 pollutants with excess water, thus improving the water quality. In UGRB, increase in OIP **i.e.**  
 937 deterioration of water quality is observed with increase in **the** agricultural lands and built-up due  
 938 to introduction of pollutants from various agro-chemicals, municipal sewage, industrial effluents  
 939 and other types of organic matter. **These** lower the DO% level and increase BOD. Correlation  
 940 between wasteland and OIP are not much significant. Another study **done** by Attua et al. (2014)  
 941 reported similar results for the study conducted on African rivers. Multiple linear regression  
 942 analysis can efficiently predict the OIP using one or combination of LULC classes (Table 11).  
 943 OIP of 2001 could be predicted by the combined coverage area of forest, wasteland, agricultural  
 944 land and built-up area (adjusted  $R^2=0.94$ ) **whereas** OIP of 2012 by forest, agricultural land and  
 945 built-up area (adjusted  $R^2=0.95$ ). High  $R^2$  and adjusted  $R^2$  values in both the years showed strong  
 946 relationship between OIP and LULC classes of the respective models. However, these  
 947 relationships may vary for different regions or time periods.

948

949 **Table 11.** Multiple linear regression models for OIP and LULC classes in the Upper Ganga  
 950 River basin.

Year	Independent variable	Regression model equation	$R^2$	Adjusted $R^2$
OIP (2001)	Forest, Wasteland, Agricultural Land and Built-up area	OIP= 1.1354 - 0.6331 F + 5.08 WL - 0.0828 AG + 2.7425 BU	0.94	0.94

OIP (2012)	Forest, Agricultural Land and Built-up area	OIP = 2.1266 - 1.6296 F - 0.2756 AG + 2.9894 BU	0.96	0.95
------------	--	--	------	------

951

952 **6. Summary and conclusions**

953 Upper Ganga River basin is suffering from chronic water shortages since past few decades.

954 Population growth is the primary driver behind gradual urbanization and industrialization in this

955 region. In addition, infrastructure development activities and agriculture have also intensified.

956 Hence, the natural resources of UGRB are over-exploited. Sustainable water resources planning

957 and management by policy makers and planners need understanding of nexus between

958 components of population growth-LULC transformations-water quality at both regional and local

959 scale. 20.45% increase in PGR leads to 43.4% increase in built-up. It was identified as most

960 dynamic LULC class in the region followed by wasteland. Mann-Kendall rank test revealed that

961 water quality parameters are highly variable in time and space with no significant trends. Even

962 though gross rural population is much higher in the lower reaches of the river basin, but the PGR

963 is higher in the urban population of upper reaches. The water quality of majority of the stations

964 was most degradable in monsoon season. Water quality of upper reaches (Uttarkashi and

965 Rishikesh) remained in excellent to acceptable (1.38-1.81) class from 2001-2012 whereas it

966 changed from acceptable to slightly polluted class (1.87-2.79) in lower reaches (Kanpur,

967 Allahabad and Varanasi). In UGRB, BOD, DO% and Total Coliform are the parameters most

968 influenced by anthropogenic activities. Conversely, the remaining parameters viz. pH, F,

969 Hardness CaCO<sub>3</sub> and Turbidity are mainly influenced by climatic factors. The highest increase in

970 built-up of 291.8% observed in the Varanasi district is directly related to the highest deterioration

971 of water quality in UGRB. But Allahabad and Kanpur are identified as most polluted stations in

972 2001 and 2012 respectively. Sewage, industrial effluents and runoff from urban/rural areas

973 introduce pollutants at these stations. Future population growth and LULC changes in UGRB  
974 may further jeopardize their nexus with water. Forests and water bodies are negatively correlated  
975 with OIP. However, built-up and agricultural lands are positively correlated. Wasteland is not  
976 significantly correlated to OIP. Multiple linear regression models developed for UGRB could  
977 successfully predict OIP (water quality) using LULC classes. The future scope of this study  
978 comprises the understanding of hydro-ecological response of the water quality changes across  
979 the river basin. The following recommendations are made for judicious regulation and control of  
980 water quality pollution in UGRB: (a) control of deforestation and encouraging afforestation; (b)  
981 efficient town planning for better LULC distribution in the river basin; (c) reduction in the use of  
982 agro-chemicals in the fields (use of organic alternatives); (d) proper waste disposal and  
983 management system; (e) strategies to control runoff from fields (construction of bunds/canals);  
984 and (f) spreading water pollution awareness and strict policies on pollution control.

985

986 **Competing interests:** The authors declare no conflict of interest.

987

988 **Acknowledgements:** The authors thankfully acknowledge all the support provided by  
989 Department of Civil Engineering, Indian Institute of Technology Roorkee, Uttarakhand, India.  
990 We would like to express our gratitude to the Census Department (Government of India) and  
991 Central Water Commission (CWC), New Delhi for providing census and water quality datasets  
992 respectively. We are also grateful to anonymous reviewers and editors for their valuable  
993 suggestions that helped to improve the manuscript further.

994

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