

1 **Population Growth – Land Use Land Cover Transformations – Water**

2 **Quality Nexus in Upper Ganga River Basin**

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10 **Abstract**

11 Upper Ganga River Basin is socio-economically the most important river basins in India,
12 which is highly stressed in terms of water resources due to uncontrolled LULC activities.

13 This study presents a comprehensive set of analyses to evaluate the population growth-land
14 use land cover (LULC) transformations-water quality nexus for sustainable development in
15 this river basin. The study was conducted at two spatial scales i.e. basin scale and district

16 scale. First, population data was analyzed statistically to study demographic changes,
17 followed by LULC change detection over the period of February/March 2001 to 2012

18 [Landsat 7 Enhanced Thematic Mapper (ETM+) data] using remote sensing and
19 Geographical Information System (GIS) techniques. Trends and spatio-temporal variations in

20 monthly water quality parameters viz. Biological Oxygen Demand (BOD), Dissolve Oxygen
21 (DO) %, Flouride (F), Hardness CaCO₃, pH, Total Coliform bacteria and Turbidity were

22 studied using Mann-Kendall rank test and Overall Index of Pollution (OIP) developed
23 specifically for this region, respectively. Relationship was deciphered between LULC classes

24 and OIP using multivariate techniques viz. Pearson's correlation and multiple linear
25 regression. From the results, it was observed that population has increased in the river basin.

26 Therefore, significant and characteristic LULC changes are observed. River gets polluted in

27 both rural and urban areas. In rural areas, pollution is due to agricultural practices mainly
28 fertilizers, whereas in urban areas it is mainly contributed from domestic and industrial
29 wastes. Water quality degradation has occurred in the river basin, consequently the health
30 status of the river has also changed from range of acceptable to slightly polluted in urban
31 areas. Multiple linear regression models developed for Upper Ganga River basin could
32 successfully predict status of the water quality i.e. OIP using LULC classes.

33

34 **Keywords:** Demographic change, Land use/land cover, Overall Index of Pollution, Remote
35 sensing, Upper Ganga River basin.

36

37 **1. Introduction**

38 Water quality is defined in terms of chemical, physical and biological (bacteriological)
39 characteristics of the water. These characteristics may vary for different regions based on
40 their topography, land use land cover (LULC) and climatic factors. Demographic changes,
41 anthropogenic activities and urbanization are potential drivers affecting the quantity and
42 quality of available water resources on local, regional and global scale. They pose threat to
43 the quantity and quality of water resources, directly by increased anthropogenic water
44 demands and water pollution. Indirectly, the water resources are affected by LULC changes
45 and associated changes in water use patterns (Yu et al. 2016). In a region, urbanization occurs
46 due to natural population growth and migration of people from rural to urban areas due to
47 economic hardship (Bjorklund et al. 2011; Shukla and Gedam 2018). It may change natural
48 landscape characteristics, river morphometry and increase pollutant load in water bodies.
49 Anthropogenic activities are directly correlated with decline in the water quality (Haldar et al.
50 2014). In order to increase crop yield, farmers introduce various chemicals viz. fertilizers,
51 pesticides, herbicides, etc., causing addition of pollutants to the river (Rashid and Romshoo

52 2013; Yang et al. 2013). In urban areas, pollutants are introduced from leachates of landfill
53 sites, stormwater runoff and direct dumping of waste (Tsihrintzis and Hamid 1997). LULC
54 and water quality indicator parameters are often used in water quality assessment studies
55 (Kocer and Sevgili 2014; Liu et al. 2016; Sanchez et al. 2007; Tu 2011).

56

57 LULC changes may alter the chemical, physical and biological properties of a river system
58 viz. Biological Oxygen Demand (BOD), temperature, pH, Chloride (Cl), Colour, Dissolved
59 Oxygen (DO), Hardness CaCO₃, Turbidity, Total Dissolved Solids (TDS), etc. (Ballestar et
60 al. 2003; Chalmers et al. 2007; Smith et al. 1999). Several studies are carried out across the
61 world to understand this phenomenon. Hong et al. (2016) studied the effects of LULC
62 changes on water quality of a typical inland lake of arid area in China. The study concluded
63 that water pollution is positively correlated to agricultural land and urban areas whereas
64 negatively correlated to water and grassland. Li et al. (2012) studied effects of LULC changes
65 on water quality of the Liao River basin, China. In this river basin water quality of upstream
66 was found better than downstream due to less influence from LULC changes in the region.
67 Similarly, impact of LULC changes was studied on Likangala catchment, southern Malawi.
68 Even though the water quality remained in acceptable class, the downstream of the river was
69 found polluted with increase in the number of *E.Coli* and cation/anions (Pullanikkatil et al.
70 2015). The composition and distribution of benthic macroinvertebrate assemblage were
71 studied in the Upper Mthatha River, Eastern Cape, South Africa (Niba and Mafereka 2015).
72 Results revealed that the distribution of the benthic macroinvertebrate assemblage is affected
73 by season, substrate and habitat heterogeneity. LULC changes induce changes into the river
74 water which affects their species distribution.

75

76 Water quality changes of the Ganga river, at various locations in Allahabad were studied for
77 post-monsoon season by Sharma et al. (2014) using Water Quality Index (WQI) and
78 statistical methods. Considerable water quality deterioration was observed at various
79 locations due to the vicinity of the river to a highly urbanized city of Allahabad. A
80 combination of water quality indices viz. Canadian WQI by Canadian Council of Ministers of
81 the Environment (CCME-WQI), Oregon Water Quality Index, (OWQI) and National
82 Sanitation Foundation Water Quality Index (NSF-WQI) were used to analyse the pollution of
83 Sapanca Lake Basin (Turkey) and a good relationship was observed between the indices and
84 parameters. Eutrophication was identified as a major threat to Sapanca Lake and stream
85 system (Akkoyunlu and Akiner 2012). A river has capability to reduce its pollutant load, also
86 known as self-purification (Hoseinzadeh et al. 2014). In extreme situations, degradation of
87 river ecosystem caused by anthropogenic factors can be an irreversible. Hence, it is crucial to
88 understand effects of demographic changes and LULC transformations on water quality for
89 pollution control and sustainable water resources development in a river basin (Milovanovic
90 2007; Teodosiu et al. 2013).

91

92 Ganga River is extremely significant to its inhabitants as it supports various important
93 services such as: (i) source of irrigation for farmers in agriculture and horticulture; (ii)
94 provides water for domestic and industrial purposes in urban areas; (iii) source of hydro-
95 power; (iv) serves as a drainage for waste and helps in pollution control; (v) acts as support
96 system for terrestrial and aquatic ecosystems, (vi) provides religious and cultural services;
97 (vii) helps in navigation; (viii) supports fisheries and other livelihood options, etc.
98 (Amarasinghe et al. 2016; SoE report, 2012; Watershed Atlas of India, 2014). However, for
99 the past few decades Upper Ganga River basin has experienced rapid growth in population,
100 urbanization, industrialization, infrastructure development activities and agriculture. Due to

101 these changes, maintaining the acceptable water quality for various uses is being challenged.
102 Therefore, there is a need of comprehensive study to understand the causative connection
103 (nexus) between the changing patterns of population, LULC and water quality in this river
104 basin.

105

106 Remote sensing and GIS are efficient aids in preparing and analyzing spatial datasets such as
107 satellite data, Digital Elevation Model (DEM) data, etc. Remote sensing technology is often
108 used in preparing LULC maps of a region whereas GIS helps in delineation of river basin
109 boundaries, extraction of study area, hydrological modeling, spatial data analysis, etc. (Kindu
110 et al. 2015; Kumar and Jhariya 2015; Wilson 2015). Selection of appropriate method for a
111 study is based on the objectives and availability of the data/tools required for the study. Ban
112 et al. (2014) observed that water quality monitoring programs monitor and produce large and
113 complex water quality datasets. Water quality trends vary both spatially and temporally,
114 causing difficulty in establishing relationship between water quality parameters and LULC
115 changes (Phung et al. 2015; Russell 2015). Assessment of surface water quality of a river
116 basin can be done using various water quality/pollution indices based on environmental
117 standards (Rai et al. 2011). These indices are simplest and fastest indicators to evaluate the
118 status of water quality in a river (Hoseinzadeh et al. 2014). Demographic growth, LULC
119 changes and their effects on water quality in a region are very site specific. Hence, different
120 regions/countries have developed their own water quality/pollution indices for different types
121 of water uses based on their respective water quality standards/permmissible pollution limits
122 (Abbasi and Abbasi 2012; Rangeti et al. 2015).

123

124 There are various water quality indices available worldwide that can be used for water quality
125 assessment e.g. Composite Water Quality Identification Index (CWQII) (Ban et al. 2014);

126 River Pollution Index (RPI), Forestry Water Quality Index (FWQI) and NSF-WQI
127 (Hoseinzadeh et al. 2014); Canadian Water Quality Index (CWQI) (Farzadkia et al. 2015);
128 Comprehensive water pollution index of China (Li et al. 2015); Prati's implicit index of
129 pollution (Prati et al. 1971); Horton's index, Nemerow and Sumitomo Pollution Index,
130 Bhargava's index, Dinius second index, Smith's index, Aquatic toxicity index, Chesapeake
131 Bay water quality indices, Modified Oregon WQI, Li's regional water resource quality
132 assessment index, Stoner's index, Two-tier WQI, CCME-WQI, DELPHI water quality index,
133 Universal WQI, Overall index of pollution (OIP), Coastal WQI for Taiwan, etc. (Abbasi and
134 Abbasi 2012; Rai et al. 2011). Currently, not sufficient literature is available on comparisons
135 between all the above mentioned water quality indices based on clusters, differences, validity,
136 etc. However, in a study comparison was made between CCME and DELPHI water quality
137 indices based on multivariate statistical techniques viz. coefficient of determination (R^2), root
138 mean square error, and absolute average deviation. Results revealed that the DELPHI method
139 had higher predictive capability than the CCME method (Sinha and Das 2015). However,
140 there is no universally accepted method for development of water quality indices. Therefore,
141 there is no method by which 100% objectivity or accuracy can be achieved without any
142 uncertainties. There is continuing interest across the world to develop accurate water quality
143 indices that suit best for a local or regional area. Each water quality index has its own merits
144 and demerits (Sutadian et al. 2016; Tyagi et al 2013).

145

146 Water quality management and planning in a river basin requires an understanding of the
147 cumulative pollution effect of all the water quality indicator parameters under consideration.
148 This helps in assessing the overall water quality/pollution status of the river in a given space
149 and time in a specific region. In this study, a WQI called 'Overall Index of Pollution' (OIP)
150 developed specifically for Indian conditions by Sargoankar and Deshpande (2003) is used to

151 assess the health status of surface waters across Upper Ganga River basin. A number of
152 studies have successfully used OIP to assess the surface water quality of various Indian
153 rivers. The concentration ranges used in the class indices and Individual Parameter Indices
154 (IPIs) assisted in evaluating the changes in individual water quality parameters whereas OIP
155 assessed the overall water quality status of Indian rivers. This index helped to identify the
156 parameters that are affected due to pollution from various sources. It is immensely helpful in
157 studying the spatial and temporal variations in the surface water quality of both rural and
158 urban subbasins due to the influence of demographic and LULC changes. The self-cleaning
159 capacity of the river system investigated using OIP helped to comprehend the resilience
160 capacity of the river system against the changes occurring in water quality due to
161 anthropogenic activities. OIP has been used successfully to study the surface water quality
162 status of the two most important and highly polluted rivers of the tropical Indian region viz.
163 Ganga and Yamuna. It is also used for water quality assessment of comparatively smaller
164 river like Chambal River and Sukhna lake of Chandigarh (Chardhry et al. 2013; Katyal et al.
165 2012; Shukla et al. 2017; Sargaonkar and Deshpande 2003; Yadav et al. 2014). Therefore,
166 OIP is used in the present study as an effective tool to communicate the water quality
167 information. In the recent years, combinations of multivariate statistical techniques viz.
168 Pearson's correlation, regression analyses, etc. have been used successfully to study the links
169 between LULC changes and water quality (Attua et al. 2014; Gyamfi et al. 2016; Hellar-
170 Kihampa et al. 2013).

171

172 The main objective of this study is to understand the *causative connection (nexus)* between
173 the changing patterns of population growth-LULC transformations-water quality of water
174 stressed Upper Ganga River basin through a comprehensive set of analyses. The present
175 study is conducted at two different spatial scales i.e. (a) at complete river basin level (small

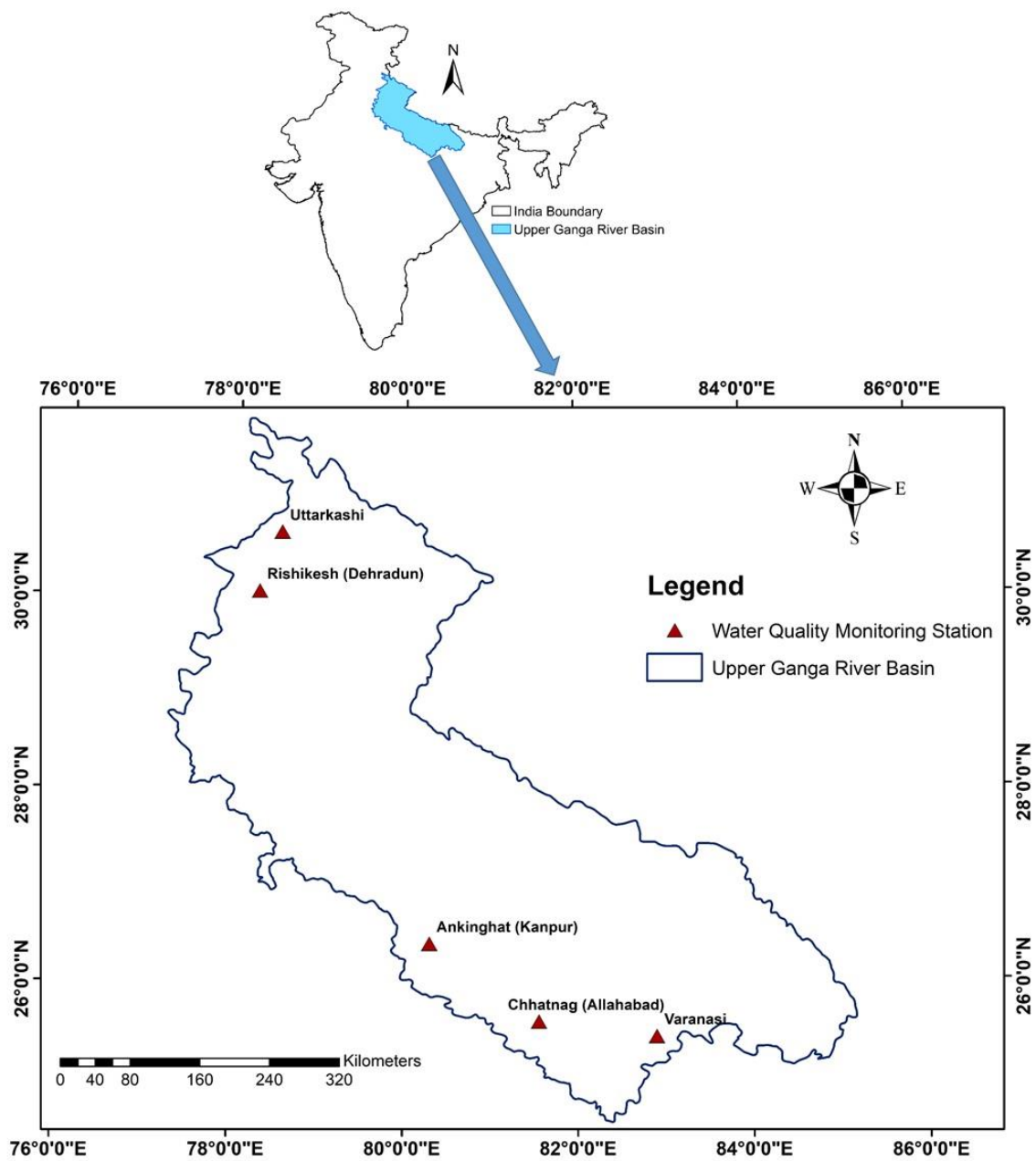
176 scale), and (b) at district level (large scale) to evaluate the changes at both regional and local
177 scales. The effect of different seasons viz. pre-monsoon, monsoon and post-monsoon on the
178 water quality is also examined. A relationship is developed between LULC and OIP using
179 Pearson's correlation and multiple linear regression. Findings from this research work may
180 help engineers, planners, policy makers and different stakeholders for sustainable
181 development in the Upper Ganga River basin.

182

183 **2. Study area**

184 The Upper Ganga River basin (UGRB) is experiencing rapid rate of change in LULC and
185 irrigation practices. A part of the Upper Ganga River basin is selected as the study area (Fig.
186 1). It is located partly in Uttarakhand, Uttar Pradesh, Bihar and Himanchal Pradesh states of
187 India and covers a total drainage area of 238348 km². The geographical extent of the river
188 basin is between 24⁰ 32' 16"-31⁰ 57' 48" N to 76⁰ 53' 33"-85⁰ 18' 25" E. The altitude ranges
189 from 7500 m in the Himalayan region to 100 m in the lower Gangetic plains. Some mountain
190 peaks in the headwater reaches are permanently covered with snow. Annual average rainfall
191 in the UGRB is in the range of 550-2500 mm (Bharati and Jayakody 2010). Major rivers
192 contributing this river basin are Bhagirathi, Alaknanda, Yamuna, Dhauliganga, Pindar,
193 Mandakini, Nandakini, Ramganga, Tamsa (Tons), etc. Tehri Dam constructed on Bhagirathi
194 River is an important multipurpose hydropower project along with several other smaller
195 hydropower projects of low capacity. This region comprises of major cities and towns such as
196 Allahabad, Kanpur, Varanasi, Dehradun, Rishikesh, Haridwar, Moradabad, Bareilly Bijnor,
197 Garhmukteshwar, Narora, Farrukhabad, Badaun, Chandausi, Amroha, Kannauj, Unnao,
198 Fatehpur, Mirzapur, etc. Most predominant soil groups found in this region are alluvial, sand,
199 loam, clay and their combinations. Due to favorable agricultural conditions majority of the
200 population practices agriculture and horticulture. However, a large portion of the total

201 population lives in cities located mainly along Ganga River. Most of them work in urban or
202 industrial areas.



203
204
205 **Figure 1.** Location map of the study area in northern India and water quality monitoring
206 stations across Upper Ganga River basin

207
208 **3. Data acquisition**

209 In this study, broadly two types of dataset were used which are listed below: (i) Spatial
210 dataset: (a) Shuttle Radar Topography Mission (SRTM) 1 arc-second global Digital Elevation
211 Model (DEM) of 30 m spatial resolution; and (b) Landsat 7 Enhanced Thematic Mapper Plus
212 (ETM+) images, 23 in total, for the month of February/March in 2001 and 2012, having 30 m
213 spatial resolution. Both SRTM DEM and time series Landsat dataset were collected from
214 United States Geological Survey (USGS), United States of America (USA) (USGS 2016); (c)
215 Survey of India toposheets of 1:50,000 scale from Survey of India (SoI), Government of
216 India (GoI); (d) Published LULC, water bodies, urban landuse and wasteland maps from
217 Bhuvan Portal, Indian Space Research Organization (ISRO), GoI (Bhuvan 2016). SoI
218 toposheets and published maps were used as reference to improve the LULC classification
219 results; and (e) For ground truthing of prepared LULC maps, Ground Control Points (GCPs)
220 were collected using Global Positioning System (GPS) during the field visit and Google
221 Earth.

222

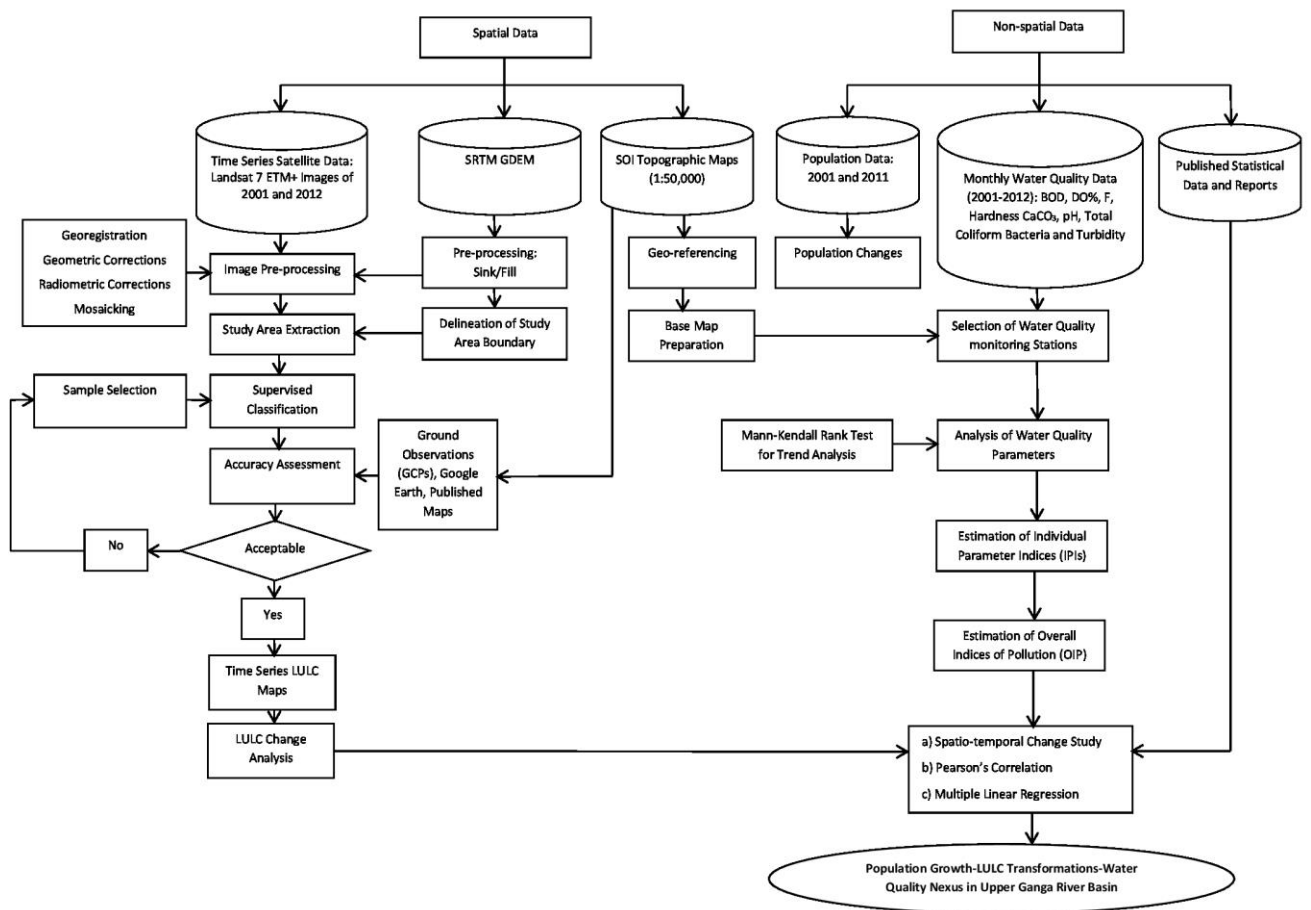
223 (ii) Non-spatial dataset were acquired from various departments of GoI: (a) Census records
224 and related reports of the years 2001 and 2011 from Census of India (Census of India 2011);
225 (b) Reports on LULC statistics from Bhuvan Portal, ISRO, GoI; (c) Monthly water quality
226 datasets (BOD, DO%, Flouride (F), Hardness CaCO_3 , pH, Total Coliform Bacteria and
227 Turbidity) of the year 2001-2012 from Central Water Commission (CWC); and (d) Water
228 quality reports from Central Pollution Control Board (CPCB), Uttar Pradesh Pollution
229 Control Board (UPPCB), CWC and National Remote Sensing Centre (NRSC), ISRO, GoI.

230

231 **4. Data preparation and methodology**

232 **4.1 Delineation of the river basin**

233 This section discusses the data preparation and step-by-step methodology carried out in this
 234 study. Flowchart of the methodology is illustrated in Fig. 2. First, a field reconnaissance
 235 survey was conducted in the Upper Ganga River basin, India to understand the study area.
 236 The global SRTM DEM (30 m spatial resolution) was pre-processed by filling sinks in the
 237 dataset using ArcGIS 10.1 Geo-processing tools. Further, Upper Ganga River basin boundary
 238 was delineated following a series of steps using ArcHydro tools. The following base layers
 239 were manually digitized for the study area viz. stream network, railway lines, road network,
 240 major reservoirs, canals and settlements using SoI topographic maps and updated further with
 241 recent available Landsat ETM+ dataset of the year 2012.



242

243 **Figure 2.** Flowchart illustrating methodology and steps followed in the study

244

245 **4.2 Population analysis**

246 Census of India, GoI provided village wise population data for rural areas and ward/city wise
247 population data for urban areas for the years 2001 and 2011. Village and ward wise
248 population data of 77 districts, falling into Upper Ganga River basin were identified and
249 organized into rural and urban population. Total population and population growth rate
250 (PGR) were statistically estimated for 77 individual districts and for the complete study area
251 over the years 2001 and 2011. Population growth rates were also estimated for rural and
252 urban populations. In addition, the total population and population growth rates were
253 estimated for upper and lower reaches of the study area. These comprehensive analyses were
254 done to understand the demographic changes occurring in the study region.

255

256 **4.3 LULC mapping and change detection**

257 For LULC mapping and change analysis, preprocessing of the time series satellite dataset is
258 required (Lu and Weng 2007). Landsat 7 ETM+ dataset of the years 2001 and 2012 were
259 downloaded from USGS website. Each year consisted of 23 images of February/March
260 months. Images of same months were used to reduce errors in LULC change detection due to
261 different seasons. Due to failure in Scan Line Corrector (SLC) of the Landsat 7 satellite, the
262 images of year 2012 had scan line errors, which resulted in 22% of data gap in each scene.
263 However, with only 78% of data availability per scene, it is some of the most radiometrically
264 and geometrically accurate satellite dataset in the world and therefore it is still very useful for
265 various studies (USGS 2018). For heterogeneous regions, Neighbourhood Similar Pixel
266 Interpolator (NSPI) is the simple and most effective method to interpolate the pixel values
267 within the gaps with high accuracy (Chen et al. 2011; Gao et al. 2016; Liu and Ding 2017;
268 Zhu et al. 2012; Zhu and Liu 2014). Therefore to correct scan line errors, IDL code for NSPI
269 algorithm developed by Chen et al. (2011) was run on ENVI version 5.1. This algorithm

270 filled the data gaps in the satellite images with high accuracy i.e. Root Mean Square Error
271 (RMSE) of 0.0367.

272

273 Further, satellite images were georeferenced to a common coordinate system i.e. World
274 Geodetic System (WGS) 1984 Universal Transverse Mercator Zone 43 N for proper
275 alignment of features in the study area. Total 75 control points were chosen from Survey of
276 India (SoI) toposheets of scale 1:50,000 which were used as base map for georectification. To
277 make the two satellite images comparable a good radiometric consistency and proper
278 geometric alignment is required. But it is difficult to achieve due differences in atmospheric
279 conditions, satellite sensor characteristics, phenological characteristics, solar angle, and
280 sensor observation angle on different images (Shukla et al. 2017). A relative geometric
281 correction (image to image coregistration) method was employed to maintain geometric
282 consistency of both the satellite images using Polynomial Geometric Model and Nearest
283 Neighbour resampling method. The recent Landsat ETM+ image of 2012 was used as
284 reference image for coregistration and the image of 2001 was georectified with respect to it.
285 Root Mean Square Error (RMSE) of less than 0.5 was used as criteria for geometric
286 corrections of the images to ensure good accuracy (Gill et al 2010; Samal and Gedam 2015).

287

288 To reduce the radiometric errors and get the actual reflectance values the Topographic and
289 Atmospheric Correction for Airborne Imagery (ATCOR-2) algorithm available in ERDAS
290 Imagine 2016 was used. SRTM DEM was used to derive the characteristics viz. slope, aspect,
291 shadow and skyview. This algorithm provided a very good accuracy in removing haze, and in
292 topographic and atmospheric corrections of the images (Gebremicael et al. 2017; Muriithi
293 2016). Finally, image regression method was applied on the images to normalize the
294 variations in the pixel brightness value due to multiple scenes taken on different dates.

295

296 The images were mosaicked and study area was extracted. Total 2014 Ground Control Points
297 (GCPs) were collected from GPS (dual frequency receiver: SOKKIA: Model No. S-10)
298 survey during the field visit and from Google Earth, with horizontal accuracy in the range of
299 2-5 m. 1365 GCPs were used to train the Maximum Likelihood Classifier (MLC) and the
300 remaining 649 points (collected from GPS) were later used for accuracy assessment. Out of
301 1365 GCPs, 830 GCPs were collected using GPS survey and remaining 535 were collected
302 from Google Earth images. In the present study, to account for spatial autocorrelation among
303 different LULC features, before image classification an exploratory spectral analysis was
304 done using histograms of each band to understand the spectral characteristics of the LULC
305 classes. The spatial autocorrelation was analysed using semivariogram function which is
306 measured by setting variance against variable distances (Brivio et al. 1993). The estimated
307 semivariogram was plotted to assess the spatial autocorrelation in respective bands in the
308 satellite image. The range and shape (piecewise slope) of the semivariograms were examined
309 visually to determine the appropriate sizes for training data, window size and sampling
310 interval for spatial feature extraction (Chen 2004; Xiaodong et al. 2009).

311

312 A window size of 7×7 was chosen for sampling the training data, which gives the better
313 classification results on Landsat ETM+ images (Wijaya et al. 2007). While developing the
314 spectral signatures for different LULC classes, information acquired from band histograms
315 and Euclidean distances were used for class separability. SoI topographic maps, Google Earth
316 images, published LULC, water bodies, urban landuse and wasteland maps of Bhuvan Portal
317 were used as reference to improve the LULC classification results. Due to higher confusion
318 between barren land and urban areas at few places, urban areas were classified independently
319 by masking it on the image. Uncertainties in misclassification between forest and agricultural

320 land were reduced by adding more training samples. This significantly improved the
321 classification accuracy (Gebremicael et al. 2017). Hence, Maximum Likelihood Classifier
322 (MLC) of supervised classification approach was used to classify the time series images into
323 six LULC classes, viz. snow/glaciers, forests, built-up lands, agricultural lands, water bodies
324 and wastelands. LULC distribution was estimated for the years 2001 and 2012. Due to lack of
325 ground truth data of the year 2001, the accuracy assessment was done for the LULC of the
326 year 2012. Both time series satellite dataset are of Landsat ETM+ with same spatial
327 resolution of 30 m and a large number of GCPs are available for the year 2012. Hence,
328 LULC map of year 2012 would represent the overall accuracy of both the maps. A simple
329 random sampling of 649 pixels belonging to corresponding image objects were selected and
330 verified against reference data (649 GCPs).

331

332 In this sampling method, selection of sample units was done in such a way that every possible
333 distinct sample got the equal chance of selection. This sampling method provided
334 comparatively better results on the large image size following the rule of thumb
335 recommended by Congalton i.e. minimum 75-100 samples should be selected per LULC
336 category for large Images (Congalton 1991; Foody 2002; Goncalves et al. 2007; Hashemian
337 et al. 2004; Kiptala et al. 2013; Samal and Gedam 2015). Following the Congalton's thumb
338 rule for better accuracy in simple random sampling, GCPs were selected in the range of 94-
339 137 for each LULC class in proportion to their areal extent on the image. Therefore,
340 sufficient spatial distribution of the sampling points was achieved for each LULC class.
341 Accuracy assessment results were presented in confusion matrix showing characteristic
342 coefficients viz. User's accuracy, Producer's accuracy, Overall accuracy and Kappa
343 coefficients. The confusion matrix gave the ratio of number of correctly classified samples to
344 the total number of samples in the reference data. The User's accuracy (errors of commission)

345 and Producer's accuracy (errors of omission) expressed the accuracy of each LULC types
346 whereas the overall accuracy estimated the overall mean of user accuracy and producer
347 accuracy (Campbell 2007; Congalton 1991; Jensen 2005). The Kappa coefficient denoted the
348 agreement between two datasets corrected for the expected agreement (Gebremicael et al.
349 2017). Further, post classification change detection method was employed for comparing
350 LULC maps of 2001 and 2012. This method provided comparatively accurate results than
351 image difference method (Samal and Gedam 2015). LULC distribution and change statistics
352 between the years 2001 and 2012 were estimated for individual districts and for complete
353 UGRB.

354

355 **4.4 Water quality analysis**

356 **4.4.1 Selection of water quality monitoring stations**

357 To understand the impacts of LULC transformations on water quality of the UGRB, two
358 water quality monitoring stations viz. Uttarkashi and Rishikesh were chosen in the upper
359 reaches of the river basin. This part of the river basin comprises of hilly undulating terrain
360 with moderately less anthropogenic influences. Moreover, three water quality monitoring
361 stations viz. Ankinghat (Kanpur), Chhatnag (Allahabad), and Varanasi were selected in the
362 lower reaches of the river basin. This part of the river basin falls under Gangetic plains with
363 extreme anthropogenic activities. Spatio-temporal changes in the water quality of these
364 monitoring stations were examined over a period of year 2001-2012 and LULC-OIP
365 relationship was studied using various statistical analyses viz. Mann Kendall rank test, OIP,
366 Pearson's correlation and multiple linear regression.

367

368 **4.4.2 Mann Kendall test on monthly water quality data**

369

370 A non-parametric Mann-Kendall rank test (Mann 1945; Kendall 1975) was performed on the
371 seven monthly water quality parameters viz. BOD, DO%, F, Hardness CaCO₃, pH, Total
372 Coliform Bacteria and Turbidity, of the five water quality monitoring stations to understand
373 the existing trends in the water quality parameters of the years 2001-2012. In this test, the
374 null hypothesis H₀ assumed that there is no trend (data is independent and randomly ordered)
375 and it was tested against the alternative hypothesis H₁, which assumed that there is a trend.
376 The standard normal deviate (Z-statistic) was computed following a series of steps as given
377 by Helsel and Hirsch 1992; and Shukla and Gedam 2018. The positive value of Z test showed
378 a rising trend and a negative value of it indicates a falling trend in the water quality data
379 series. The significance of Z test was observed on confidence level 90%, 95% and 99%. The
380 test was performed on monthly water quality data of January to December of the years 2001-
381 2012. Standard Deviation (SD) was estimated separately for each month.

382

383 **4.4.3 Estimation of OIP**

384 For selecting water quality index the following criteria is followed (Abbasi and Abbasi, 2012;
385 Horton 1965): (i) limited number of variables should be handled by the used index to avoid
386 making the index unwieldy; (ii) the variables used in the index should be significant in most
387 areas, (iii) only reliable data variables for which the data are available should be included.
388 Hence, seven most relevant water quality parameters in Indian context i.e. BOD, DO%, Total
389 Coliform (TC), F, Turbidity, pH and Hardness CaCO₃ that are affected due to changes in
390 LULC are chosen. BOD, DO%, and Total Coliform (TC) are the parameters mainly affected
391 by urban pollution. F, Turbidity and pH are general water quality parameters affected by both
392 natural and anthropogenic factors. However, Hardness CaCO₃ is a parameter affected mainly
393 by agricultural activities and urban pollution.

394

395 In the present study Overall Index of Pollution (OIP) developed by Sargaonkar and
 396 Deshpande (2003) was used which is a general water quality classification scheme
 397 specifically for tropical Indian conditions where, in the proposed classes (C1:Excellent;
 398 C2:Acceptable; C3:Slightly Polluted; C4:Polluted; and C5:Heavily Polluted water), the
 399 concentration levels/ranges of the significant water quality indicator parameters are defined
 400 based on the Indian and International water quality standards (Indian Standard Specification
 401 for Drinking Water, IS-10500, 1983; Central Pollution Control Board, Government of India,
 402 classification of inland surface water, CPCB- ADSORBS/3/78-79; water quality standards of
 403 European Community (EC); World Health Organization (WHO) guidelines; standards by
 404 WQIHSR; and Tehran Water Quality Criteria by McKee and Wolf). In this scheme, water
 405 quality status was reflected in terms of pollution effects caused by parameters considered
 406 under the study. In order to bring the different water quality parameters into a common unit,
 407 an integer value (also known as class index) 1, 2, 4, 8 and 16 was assigned to each class i.e.
 408 C1, C2, C3, C4 and C5 respectively in geometric progression. The class indices indicated the
 409 pollution level of water in numeric terms (Table 1). The concentration value of the parameter
 410 was then assigned to the respective mathematical equation of value function curves to obtain
 411 one number value called an Individual Parameter Index (IPI) or (P_i) (Table 2). Hence, IPIs
 412 were calculated for each parameter at a given time interval. Finally, the OIP was calculated as
 413 mean of IPIs of all the seven water quality parameters considered in the study and
 414 mathematically it is given by expression:

$$415 \quad \text{Overall Index of Pollution (OIP)} = \frac{\sum_i P_i}{n} \quad (1)$$

416 Where, P_i is the pollution index for the i th parameter, $i=1, 2, \dots, n$ and n denotes the number
 417 of parameters. Finally, OIP was estimated for each water quality monitoring station across
 418 the UGRB over a period of 2001 to 2012. It gave the cumulative pollution effect of all the
 419 water quality parameters on the water quality status of a particular monitoring station in a

420 given time. For each water quality monitoring station of UGRB, the OIP was estimated for
421 three primary seasons i.e. pre-monsoon, monsoon and post-monsoon seasons. In case some
422 additional relevant water quality parameters are required to be considered, an updated OIP
423 can be developed using methodology given by Sargaonkar and Deshpande (2003). The
424 mathematical value function curves can be plotted for the new parameters to get the
425 mathematical equations which will help to calculate IPIs. As OIP uses an additive
426 aggregation method, the average of IPIs of all the parameters will estimate updated OIP.

427

428 **Table 1.** Classification scheme of water quality used in OIP (Source: Sargoankar and Deshpande 2003)

Classification	Class	Class Index (Score)	Concentration Limit / Ranges of Water Quality Parameters						
			BOD (mg/L)	DO (%)	F (mg/L)	Hardness CaCO ₃ (mg/L)	pH (pH unit)	Total Coliform (MPN/100 mL)	Turbidity (NTU)
Excellent	C ₁	1	1.5	88-112	1.2	75	6.5-7.5	50	5
Acceptable	C ₂	2	3	75-125	1.5	150	6.0-6.5 and 7.5-8.0	500	10
Slightly Polluted	C ₃	4	6	50-150	2.5	300	5.0-6.0 and 8.0-9.0	5000	100
Polluted	C ₄	8	12	20-200	6.0	500	4.5-5 and 9-9.5	10000	250
Heavily Polluted	C ₅	16	24	<20 and >200	<6.0	>500	<4.5 and >9.5	15000	>250

429

430 **Table 2.** Mathematical expressions for value function curves (Source: Sargoankar and
 431 Deshpande 2003)

S. No.	Parameter	Concentration Range	Mathematical Expressions
1.	BOD	<2	$x = 1$
		2-30	$x = y/1.5$
2.	DO%	≤ 50	$x = \exp(-(y - 98.33)/36.067)$
		50-100	$x = (y - 107.58)/14.667$
		≥ 100	$x = (y - 79.543)/19.054$
3.	F	0-1.2	$x = 1$
		1.2-10	$x = ((y/1.2) - 0.3819)/0.5083$
4.	Hardness CaCO ₃	≤ 75	$x = 1$
		75-500	$x = \exp(y + 42.5)/205.58$
		>500	$x = (y + 500)/125$
5.	pH	7	$x = 1$
		>7	$x = \exp((y - 7.0)/1.082)$
		<7	$x = \exp((7 - y)/1.082)$
6.	Total Coliform	≤ 50	$x = 1$
		50-5000	$x = (y/50)**0.3010$
		5000-15000	$x = ((y/50) - 50)/16.071$
		>15000	$x = (y/15000)+16$
7.	Turbidity	≤ 10	$x = 1$
		10-500	$x = (y + 43.9)/34.5$

432

433 **4.5 Statistical analysis**

434 Due to religious, economic and historical importance of River Ganga, the most important
 435 cities/districts of UGRB are present in the proximity to River Ganga. The water quality of

436 selected monitoring stations is highly influenced by type of activities undergoing in the
437 district where they are located. In a study, buffer zones of different thresholds were created
438 surrounding a water quality monitoring station to determine the dominant LULC class that
439 affects the water quality of that particular station (Kibena et al. 2014). However, in UGRB
440 the population data was available at district level not at buffer level. Districts selected in this
441 study consisted of both urban and rural areas. District wise LULC change was extremely
442 helpful in comprehending the water quality changes at the local scale and to identify source
443 of pollutants at a particular monitoring station. Whereas LULC changes at the basin level
444 provided a broad outlook on the status of water quality of the study area which is also very
445 useful for some applications. Though the spatial/mapped data could be more useful and
446 relevant when compared with remote sensing data. But the monitoring stations in the UGRB
447 were scarce. Therefore, over a relatively large study area the interpolation maps generated
448 using OIP was not likely to provide very good comparison results with LULC changes.
449 Hence, districts were chosen as a unit and district wise population and LULC distribution
450 were related to water quality (OIP) of the monitoring stations to comprehend the nexus
451 between them.

452

453 Various methods/models are already developed to study effects of LULC changes on water
454 quality. However, these methods could not be applied directly to a region because of the
455 differences in the data availability, climatic, topographic and LULC variations that may
456 introduce errors. Necessary modifications were made in the present evaluation methodology
457 as required. Due to unavailability of the continuous population, satellite (LULC) and water
458 quality data at desired interval in UGRB, establishing the interrelationship between these
459 factors is not trivial. Therefore, to develop the relationship between LULC classes and water
460 quality (OIP), a 2-time slice analysis was done for the years 2001 and 2012 with seasonal

461 component. Multivariate statistical analyses viz. Pearson's Correlation and multiple linear
462 regression were employed between LULC classes (independent variable) and OIP (dependent
463 variable). Pearson's Correlation determined strength of association between the variables
464 whereas prediction regression model was developed using multiple linear regression.

465

466 **5. Results and discussion**

467 Section 5.1 presents the results of population changes in the districts of UGRB and complete
468 study area. Section 5.2 presents the accuracy assessment results of LULC map, followed by
469 Section 5.3, where the LULC distribution across the study area is discussed both at basin
470 scale and at district scale. Section 5.4 presents the trend analysis results of monthly water
471 quality data. In Section 5.5 population growth-LULC transformation-water quality nexus has
472 been described for complete UGRB, whereas Section 5.6 presents the nexus for the five
473 districts separately. Finally, Section 5.7 described the relationship between LULC and water
474 quality (OIP).

475

476 **5.1 Population dynamics**

477

478 Analysis of the population dataset of the years 2001 and 2011, acquired from Census of
479 India, GoI reveals that population has increased in all the 77 districts of the four different
480 states, viz. Uttar Pradesh, Uttarakhand, Bihar and Himanchal Pradesh that lie in the UGRB.
481 Consequently, the total population of UGRB has also increased (Table 3). The population
482 growth rate (PGR) of 20.45% is observed in the total population of UGRB from 2001 to
483 2011. Table 3 illustrates that the PGR has increased in 74 districts and it is $\geq 20\%$ in the
484 districts having bigger urban agglomerations or cities e.g. Agra, Allahabad, Bahraich,
485 Ghaziabad, Lucknow, Kanpur (Dehat+Nagar), Varanasi, Patna, etc. However, Almora, Pauri

486 Garhwal and Shravasti are showing decreasing PGR. It is to be observed that these are either
487 hilly or very small towns with poor employment opportunities. People migrate from these
488 locations to nearby cities, therefore, decreasing the PGR. It was noticed from Census of India
489 reports that the population density of Dehradun (Rishikesh), Kanpur, Allahabad and Varanasi
490 districts are much higher against the average population density of Ganga River basin, i.e.
491 520 per square km. Varanasi is the most populated districts in the country.

492

493 **Table 3.** Table showing total population and Population Growth Rate (PGR)% in the census
494 years 2001 and 2011

495

S. No.	Districts	Total Population (2001)	Total Population (2011)	Population Growth Rate (PGR) %
1	Agra	3620436	4418797	22.1
2	Aligarh	2992286	3673889	22.8
3	Allahabad	4936105	5954391	20.6
4	Almora	630567	622506	-1.3
5	Ambedkar Nagar	2026876	2397888	18.3
6	Azamgarh	3939916	4613913	17.1
7	Bageshwar	249462	259898	4.2
8	Baghpat	1163991	1303048	11.9
9	Bahraich	2381072	34,87,731	46.5
10	Ballia	2761620	32,39,774	17.3
11	Balrampur	1682350	2148665	27.7
12	Barabanki	2673581	3260699	22.0
13	Bareilly	3618589	4448359	22.9
14	Basti	2084814	24,61,056	18.0
15	Bhojpur	2243144	2728407	21.6
16	Bijnor	3131619	36,82,713	17.6
17	Budaun	3069426	3681896	20.0
18	Bulandshahar	2913122	3499171	20.1
19	Buxar	1402396	1706352	21.7
20	Chamoli	370359	391605	5.7
21	Champawat	224542	259648	15.6
22	Dehradun	1282143	1696694	32.3
23	Deoria	2712650	3100946	14.3
24	Etah	15,61,705	1774480	13.6
25	Faizabad	2088928	2470996	18.3
26	Farrukhabad	1570408	1885204	20.0
27	Fatehpur	2308384	26,32,733	14.1
28	Firozabad	2052958	2498156	21.7
29	Gautam Buddha Nagar	1202030	1648115	37.1
30	Ghaziabad	3290586	4681645	42.3
31	Ghaziipur	3037582	3620268	19.2
32	Gonda	2765586	3433919	24.2
33	Gopalganj	2152638	2562012	19.0
34	Gorakhpur	3769456	4440895	17.8
35	Hardoi	3398306	4092845	20.4
36	Haridwar	1447187	1890422	30.6
37	Hathras	1336031	1564708	17.1
38	Jaunpur	3911679	4494204	14.9

39	Jyotiba Phule Nagar	1499068	1840221	22.8
40	Kannauj	1388923	1656616	19.3
41	Kanpur Dehat	1563336	1796184	14.9
42	Kanpur Nagar	4167999	4581268	9.9
43	Kaushambi	1293154	1599596	23.7
44	Kheri	3207232	4021243	25.4
45	Kinnaur	78334	84121	7.4
46	Kushinagar	2893196	3564544	23.2
47	Lucknow	3647834	4589838	25.8
48	Maharajganj	2173878	2684703	23.5
49	Mainpuri	1596718	1868529	17.0
50	Mau	1853997	2205968	19.0
51	Meerut	2997361	3443689	14.9
52	Mirzapur	2116042	2496970	18.0
53	Moradabad	3810983	4772006	25.2
54	Muzaffarnagar	3543362	4143512	16.9
55	Nainital	762909	954605	25.1
56	Patna	4718592	5838465	23.7
57	Pauri Garhwal	697078	687271	-1.4
58	Pilibhit	1645183	2031007	23.5
59	Pithoragarh	462289	483439	4.6
60	Pratapgarh	2731174	3209141	17.5
61	Rae Bareli	2872335	3405559	18.6
62	Rampur	1923739	2335819	21.4
63	Rudraprayag	227439	242285	6.5
64	Sant Kabir Nagar	1420226	1715183	20.8
65	Sant Ravidas Nagar	1353705	1578213	16.6
66	Saran	3248701	3951862	21.6
67	Shahjahanpur	2547855	3006538	18.0
68	Shravasti	1176391	1117361	-5.0
69	Siddharthnagar	2040085	2559297	25.5
70	Sitapur	3619661	4483992	23.9
71	Siwan	2714349	3330464	22.7
72	Sultanpur	3214832	3797117	18.1
73	Tehri Garhwal	604747	618931	2.3
74	Udhamsingh Nagar	1235614	1648902	33.4
75	Unnao	2700324	3108367	15.1
76	Uttarkashi	295013	330086	11.9
77	Varanasi	3138671	3676841	17.1
Total	Upper Ganga River basin	171186859	206188401	20.45

496

497 Ganga River basin is the most sacred and populated river basins in India that is endowed with
498 varying topography, climate and mineral rich alluvial soils in the Gangetic Plains area. Due to
499 high soil fertility in the region, 60% of the population practice agricultural activities
500 especially in the Gangetic Plains or lower reaches of the UGRB. This accounts for the high
501 rural population in the region. Due to hilly terrain in the upper reaches of the basin, the
502 population is less compared to the lower reaches of the basin. Due to its religious and
503 economic significance a large number of densely populated cities and towns are located on
504 the banks of the river mainly in the Gangetic Plain region. These cities have large growing
505 populations and an expanding industrial sector (NRSC 2014).

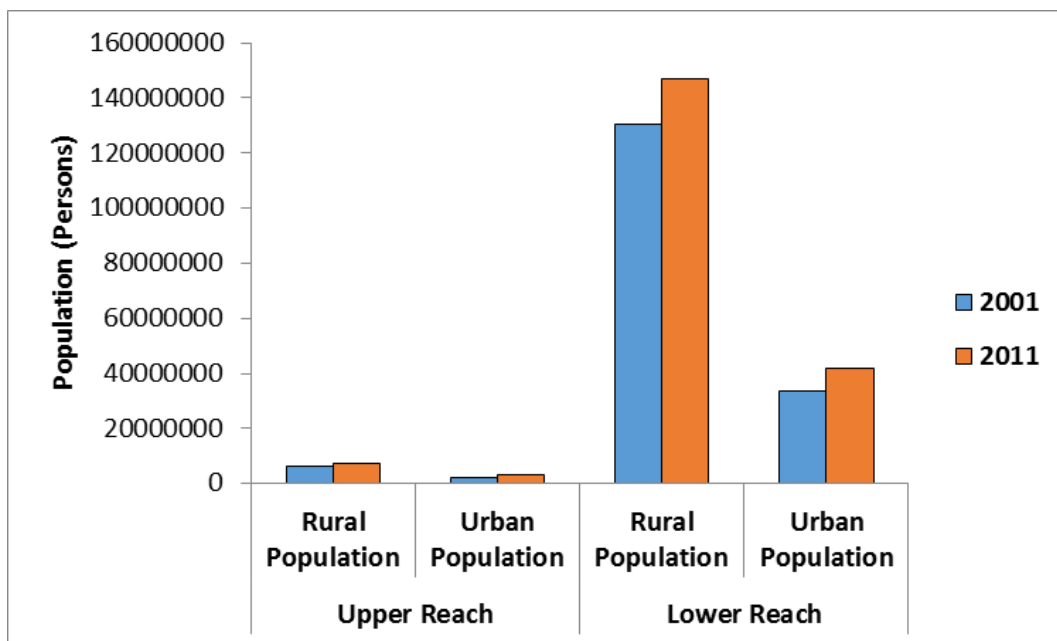
506

507 Growth rates for urban and rural areas of upper and lower reaches of UGRB were calculated
508 from official statistics (Fig. 3). It brings forth the clear picture of comparatively high rise in
509 the rural population of lower reaches. Urban population has also increased along with rural
510 population in the lower reaches (Fig. 3a). Both rural and urban populations have increased in
511 upper reaches but the growth is relatively less than lower reaches. However, PGR is higher in
512 urban areas of both reaches between 2001 -2011, which indicates urbanization of the region
513 (Fig. 3b). After Dehradun city was declared capital of the Uttarakhand state and due to
514 subsequent industrialization in the region, the PGR of the upper reaches has increased.
515 Hence, population rise in UGRB is due to natural population growth and migration of the
516 people from remote/rural areas to urban areas.

517

518 (a)

519



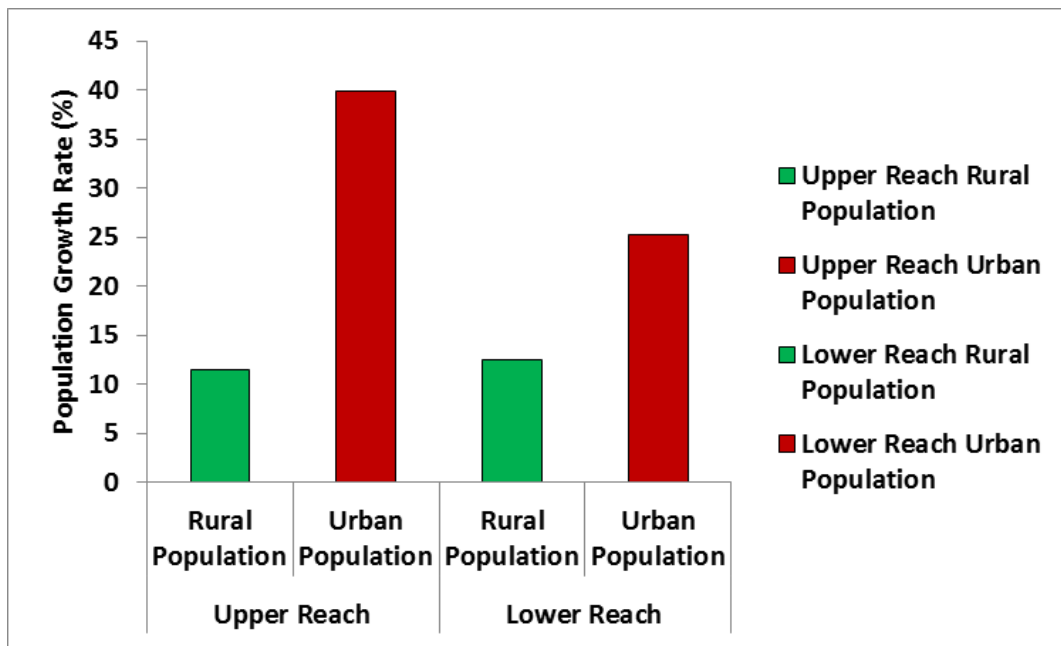
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521

522

523 (b)

524



525

526

527 **Figure 3:** Growth in the rural and urban population of upper and lower reaches of Upper
528 Ganga River basin between 2001-2011 (a) Total population, and (b) Population Growth Rate
529 (PGR)

530

531 5.2 Accuracy assessment of LULC map

532 Post accuracy assessment, the cross-tabulation (confusion matrix) of the mapped LULC
533 classes against that observed on the ground (or reference data) for a sample of cases at
534 specified locations are presented in Table 4. From the results it is observed that spectral
535 confusion is common between few classes. For e.g. frozen snow/glaciers are sometimes
536 misclassified as built up or wastelands whereas melted ones are misinterpreted as water
537 bodies. Similarly, forest are wrongly depicted as agricultural lands at few occasions.
538 Sometimes barren rocky wastelands are misclassified as built up and wastelands having
539 shrubs/grasses are misjudged as agricultural lands. Therefore, in terms of producer's accuracy

540 all classes are over 90%, except for three classes i.e. forest, wastelands and snow/glacier,
 541 while in terms of user's accuracy, all the classes are very close to or more than 90% (Table
 542 4). Both producer's and user's accuracy are found to be consistent for all LULC classes. For
 543 the past LULC map, a similar level of accuracy level can be expected with a very little
 544 deviation. An overall classification accuracy of 90.14% was achieved with Kappa statistics of
 545 0.88, showing good agreement between LULC classes and reference GCPs. From the
 546 accuracy assessment results, it is evident that the present classification approach has been
 547 effective in producing LULC maps with good accuracy.

548

549 **Table 4.** Accuracy assessment of the 2012 LULC map produced from Landsat Enhanced
 550 Thematic Mapper Plus (ETM+) data, representing both the confusion matrix and the Kappa
 551 statistics

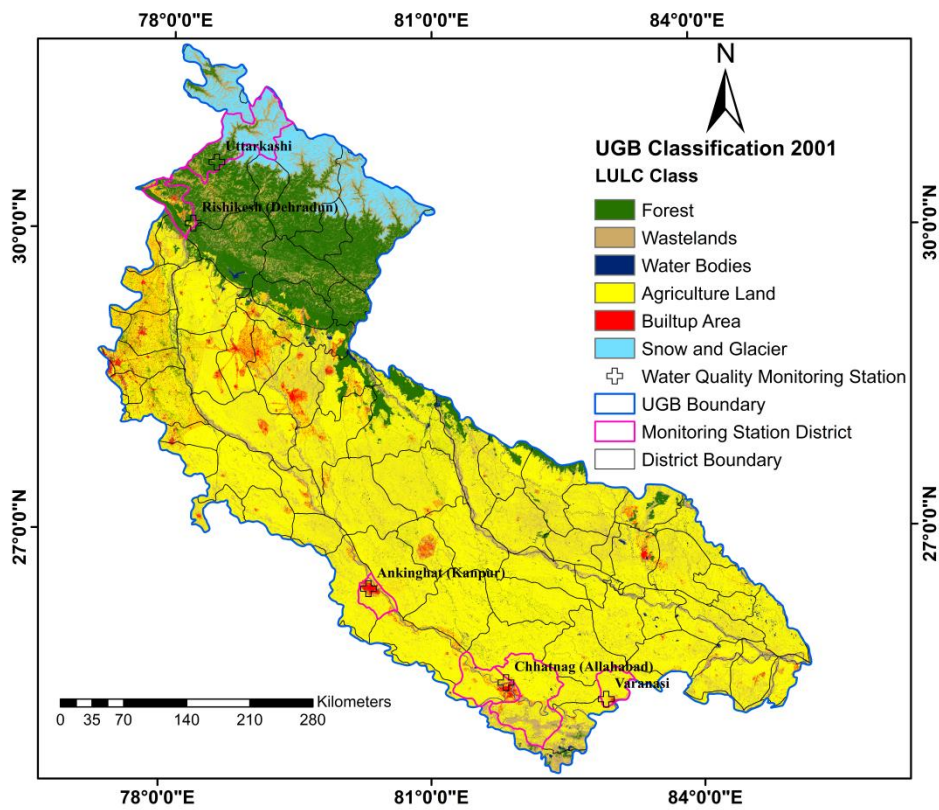
<i>Classified Data</i>	<i>Reference Data</i>						<i>Row Total</i>	<i>User's Accuracy (%)</i>	<i>Overall Kappa Statistics</i>
	<i>Agricultural Land</i>	<i>Built Up</i>	<i>Forest</i>	<i>Snow & Glacier</i>	<i>Wastelands</i>	<i>Water Bodies</i>			
Agricultural Land	128	0	6	0	3	0	137	93.43	0.88
Built Up	2	96	2	5	1	0	106	90.57	
Forest	11	0	88	3	0	3	105	83.81	
Snow & Glacier	0	4	1	103	2	1	111	92.79	
Wastelands	1	2	0	7	82	2	94	87.23	
Water Bodies	0	0	1	1	6	88	96	91.67	
Column Total	142	102	98	119	94	94	649		
<i>Producer's Accuracy (%)</i>	90.14	94.12	89.80	86.55	87.23	93.62			
<i>Overall Classification Accuracy (%)</i>	90.14								

552

553 5.3 Distribution of LULC

554 The LULC maps of the UGRB for February/March 2001 and 2012 are shown in Fig. 4.
 555 District boundaries of the five districts i.e. Uttarkashi, Dehradun, Kanpur, Allahabad, and
 556 Varanasi chosen for district wise LULC analysis are highlighted in this figure. The gross

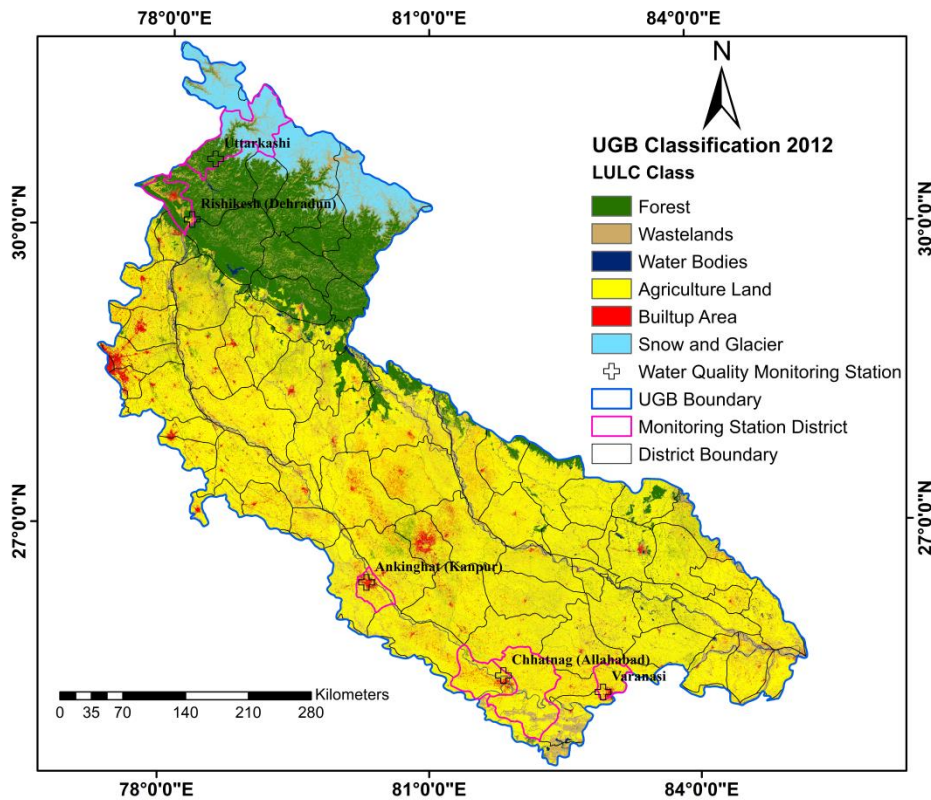
557 percentage area in each LULC class and their changes from 2001 to 2012 in UGRB are
 558 illustrated in Fig. 5. From the results it is observed that the agricultural lands, built-up, forest,
 559 and snow /glaciers have increased whereas the water bodies and wastelands have decreased.
 560 The highest % change is observed in built-up LULC class that has increased by 43.4%. In
 561 2001, 17.1% of wastelands were present in the study area which have reduced to 11.4%.
 562 Therefore, the wastelands are the second most dynamic category with the significant decrease
 563 of 33.6%. Agriculture land, forest and snow/glaciers have also increased by 2.9%, 14.5% and
 564 1.1% respectively. Conversely, Water bodies have decreased from 2.0% in 2001 to 1.8% in
 565 2012 (Fig. 5).



566

567

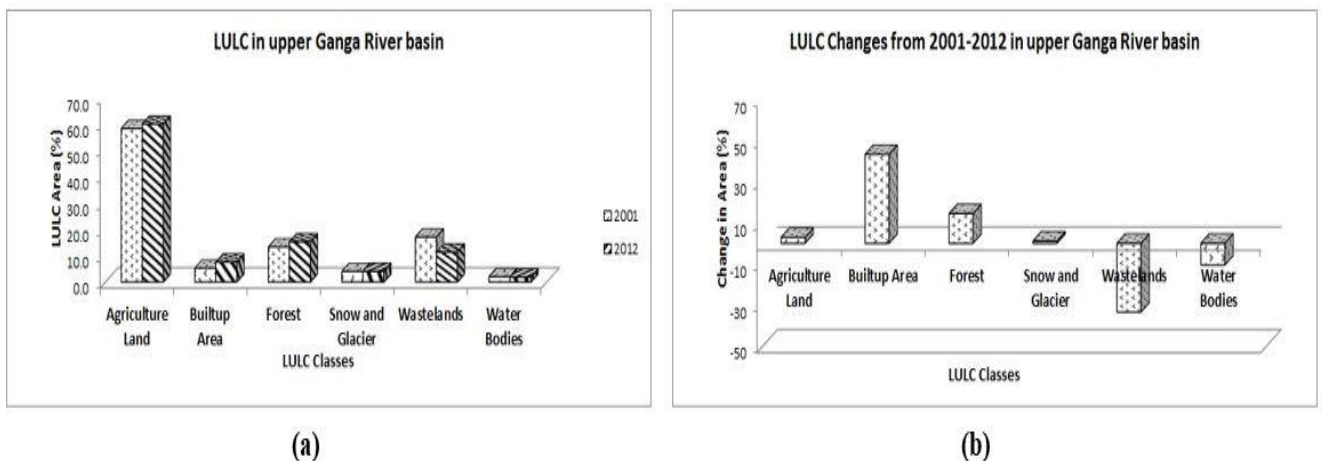
(a)



(b)

568
569
570
571
572

Figure 4. LULC maps of Upper Ganga River basin (a) LULC map of February/March 2001, and (b) LULC map of February/March 2012



(a)

(b)

Figure 5. Graph showing LULC of the years 2001-2012 (a) LULC area in percentage (%) and (b) LULC changes from 2001-2012 in Upper Ganga River basin

575

576 Table 5 presents the change matrix, showing the conversion of one LULC class to other
 577 classes between the years 2001 to 2012. Results reveal that 1.7%, 1.7%, 2.2% and 0.1% of
 578 the wastelands in the basin area have converted to forest, agricultural land, built up and
 579 snow/glaciers respectively. Therefore, significant increase in these LULC classes are
 580 observed in UGRB on the expense of wastelands, resulting in high water demand. With
 581 increase in agricultural lands and built up, water requirements have increased in the river
 582 basin to meet irrigation, domestic and industrial water demands of rural and urban regions.
 583 About 0.2% of the water bodies in the region are converted to forest during summer season
 584 due to natural vegetation growth. Forest have also increased in the region due to
 585 implementation of various Government policies for forest protection and reforestation.
 586 Hence, slight reduction and increase in the water bodies and forest classes are observed
 587 respectively.

588

589 **Table 5.** Change matrix showing LULC interconversion between the year 2001 and 2012 in
 590 Upper Ganga River basin

591

LULC Class	F	WL	WB	AG	BU	SG	LULC 2001
F	13.3	0.0	0.0	0.0	0.0	0.0	13.3
WL	1.7	11.4	0.0	1.7	2.2	0.1	17.1
WB	0.2	0.0	1.8	0.0	0.0	0.0	2.0
AG	0.0	0.0	0.0	58.3	0.0	0.0	58.3
BU	0.0	0.0	0.0	0.0	5.3	0.0	5.3
SG	0.0	0.0	0.0	0.0	0.0	4.0	4.0
LULC 2012	15.2	11.4	1.8	60.0	7.5	4.1	100.0

592

593 * Figures indicate the percentage (%) of basin area

594

595 District wise LULC change study is useful in comprehending link between LULC-water
 596 quality at the local scale; and to identify source of pollutants at a particular monitoring
 597 station. Table 6 presents the LULC statistics of the five districts from 2001 to 2012, where
 598 water quality monitoring stations are located. It shows increase in built up and agricultural

599 lands in all the districts whereas wastelands have decreased. Forest have slightly increased in
600 Uttarkashi and Varanasi, however they have remained unchanged in the remaining districts.
601 Snow/glacier class is only present in Uttarkashi district and it has slightly increased from
602 2001 to 2012. Water bodies have slightly increased in all the districts except Dehradun where
603 it has very slightly reduced. Hence, significant LULC changes are observed in UGRB both at
604 basin and district scales.

605

606 **Table 6.** District wise changes in LULC **(a)** Uttarkashi, **(b)** Dehradun, **(c)** Kanpur, **(d)**
607 Allahabad, and **(e)** Varanasi

608 **(a)**

Uttarkashi (LULC Class)	2001 %	2012%	% Change (2001-2012)
Forest	39.3	39.7	1.1
Wastelands	10.3	8.3	-19.3
Water Bodies	1.4	1.5	4.6
Agricultural Land	0.6	1.4	122.8
Built up Area	0.2	0.6	186.3
Snow and Glacier	48.2	48.6	0.8
Total Area %	100.0	100.0	

609

610 **(b)**

Dehradun (LULC Class)	2001 %	2012%	% Change (2001-2012)
Forest	59.8	59.8	0.1
Wastelands	18.8	3.4	-82.1
Water Bodies	4.8	4.3	-9.8
Agricultural Land	13.5	20.3	50.6
Built up Area	3.2	12.2	283.9
Total Area %	100.0	100.0	

611

612 **(c)**

Kanpur (LULC Class)	2001 %	2012%	% Change (2001-2012)
Forest	0.3	0.3	8.7
Wastelands	23.4	4.7	-79.8
Water Bodies	2.5	2.6	3.8
Agricultural Land	63.7	67.0	5.2
Built up Area	10.1	25.3	152.1
Total Area %	100.0	100.0	

613

614 **(d)**

Allahabad (LULC Class)	2001 %	2012%	% Change (2001-2012)
Forest	1.5	1.5	-1.2
Wastelands	22.1	16.0	-27.8
Water Bodies	3.0	3.1	1.3
Agricultural Land	70.5	73.4	4.2

Built up Area	2.8	6.0	111.7
Total Area %	100.0	100.0	

615

616 (e)

Varanasi (LULC Class)	2001 %	2012%	% Change (2001-2012)
Forest	0.6	0.7	24.4
Wastelands	16.8	6.0	-64.5
Water Bodies	3.1	3.3	7.1
Agricultural Land	76.8	79.4	3.4
Built up Area	2.7	10.5	291.8
Total Area %	100.0	100.0	

617 **5.4 Trend analysis on monthly water quality data**

618 From the results of trend analysis (Mann Kendall rank test) it was observed that each water
619 quality parameter varies with time and location (Table 7). These parameters change in all the
620 months. Hence, they are very site-specific with no regular trends. Significant changes and
621 comparatively high SD are observed in monsoon (July month) followed by pre-monsoon and
622 post-monsoon months, respectively. Hence, three significant seasons are identified in the study
623 area, viz. pre-monsoon (May), monsoon (July) and post-monsoon (November). Effect of
624 different seasons on water quality is reported from various studies (Islam et al. 2017; Sharma and
625 Kansal 2011; Singh and Chandna 2011). Hence, the water quality data is organized into three
626 groups: pre-monsoon season (February-May), monsoon season (June-September) and post-
627 monsoon season (October-January).

628

629 Then from each group one representative month is chosen which represents that particular season
630 the best. It reduced the redundancy of the dataset and avoided the confusion to be created due to
631 large insignificant dataset of varying trends that makes no sense. For e.g. SD in BOD of Kanpur
632 station in May, July and November months are 2.01, 2.67 and 1.04 respectively. In other months,
633 SD value of the BOD is close to the SD value of the representative months. In addition, from
634 Table 7 it is evident that trends for BOD and Turbidity in July month are significant in almost all
635 the stations against other water quality parameters. They are increasing over the years from
636 2001-2012. Pre-monsoon (May) data signifies the water quality pollution from point sources of
637 pollution from various sewage drains and industrial effluents. In addition to the point sources of
638 pollution, monsoon (July) data took into account the non-point source of pollution, e.g. discharge
639 of surface runoff from urban areas into the nearby streams during rainfall. Post-monsoon

640 (November) data helps to understand the water quality condition of the rivers after the rainfall is
 641 over. Therefore, further in this study water quality data analysis was done for the same three
 642 representative months.

643
 644 **Table 7.** Trends in monthly water quality parameters from 2001 to 2012 across Upper Ganga
 645 River basin (Z value, a Mann-Kendal statistics parameter is shown. (*), (**), (***) and +ve
 646 suffix indicate different significance levels)

647

Station	Parameter	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Uttarkashi	BOD	-2.4 (*)	1.3	-2.2 (*)	0.0	1.2	-0.4 (**)	2.8	-1.9 (+)	-2.2 (*)	0.0	1.9 (+)	1.3
	DO%	1.2	-1.5	0.5	0.0	-3.3 (**)	-2.8 (**)	-2.2 (*)	-3.3 (**)	1.4	0.0	-2.6 (**)	-1.5
	F	-1.9 (+)	2.0 (*)	-3.2 (**)	1.1	-3.0 (**)	0.8	2.0 (*)	2.0 (*)	1.1	1.9 (+)	1.1	-3.0 (**)
	Hardness	1.3	-2.5 (*)	1.8 (+)	-1.1	-1.9 (+)	-2.1 (*)	-2.5 (*)	-1.9 (+)	1.2	1.8 (+)	-1.1	-2.5 (*)
	pH	2.7 (**)	-1.3	1.2	-0.1	-0.2	0.0	-1.5	-1.1	-0.2	-1.3	-1.3	-1.1
	TC	-	-	-	-	-	-	-	-	-	-	-	-
	Turbidity	-	-	-	-	-	-	-	-	-	-	-	-
Rishikesh	BOD	-0.1	0.0	0.6	1.9 (+)	0.4	-2.5 (*)	2.4 (*)	2.0 (*)	2.6 (*)	-1.3	1.3	-0.5
	DO%	-1.3	1.5	2.3 (*)	-2.3 (*)	3.0 (**)	-2.3 (*)	2.9 (**)	0.6	0.5	3.4 (***)	3.2 (**)	-3.6 (***)
	F	-1.0	-0.5	2.2 (*)	-1.2	1.2	-1.7 (+)	1.7 (+)	2.7 (**)	-0.8	-0.6	0.0	2.5 (*)

	Hardness	1.4	-1.6	0.6	2.7	-2.3 (*)	0.6	-2.4 (*)	1.3	0.0	3.2 (**)	-1.6	-2.7
					(**)								(**)
	pH	-1.6	0.0	0.0	-0.7	-0.9	0.2	-0.2	1.1	1.9 (+)	1.6	-0.8	0.3
	TC	-	-	-	-	-	-	-	-	-	-	-	-
	Turbidity	-	-	-	-	-	-	-	-	-	-	-	-
Kanpur	BOD	2.0 (*)	2.7	2.6 (**)	2.3 (*)	3.0 (**)	3.4	3.4	2.7 (**)	1.7 (+)	0.6	1.6	2.2 (*)
			(**)				(***)	(***)					
	DO%	-2.7	-2.0	-0.3	-1.1	-0.5	-0.3	-2.1 (*)	-0.5	-0.1	-0.8	-1.0	-1.8 (+)
		(**)	(*)										
	F	1.5	2.0 (*)	1.7 (+)	1.6	1.2	2.1 (*)	2.4 (*)	2.2 (*)	2.6	2.4 (*)	1.7 (+)	2.0 (*)
										(**)			
	Hardness	0.4	0.2	0.1	0.1	0.0	1.2	1.7 (+)	0.0	0.0	-0.2	-1.0	-1.0
pH	0.3	-0.2	0.7	1.9 (+)	1.7 (+)	0.2	1.2	-0.9	-0.3	-1.0	-0.4	-1.2	
TC	-	-	-	-	-	-	-	-	-	-	-	-	
Turbidity	3.5	1.7 (+)	1.7 (+)	-0.4	-0.2	0.8	0.8	1.7 (+)	-1.6	0.0	1.9 (+)	0.3	
		(***)											
Allahabad	BOD	0.8	0.2	-1.3	0.3	-0.1	0.2	-1.0	-0.1	-0.5	-0.1	-0.4	0.0
	DO%	0.6	-0.5	0.6	0.0	-0.2	0.4	1.0	1.7 (+)	0.7	1.0	-0.3	-0.2
	F	1.6	1.2	2.0 (*)	2.6	1.6	1.4	2.2 (*)	2.2 (*)	2.7 (*)	1.7 (+)	1.6	1.0
					(**)								
	Hardness	-0.8	0.0	-1.3	-0.3	0.2	0.1	-0.1	0.3	-0.1	0.4	0.5	1.5
	pH	-1.0	-1.3	0.1	-0.3	0.2	0.1	1.0	0.1	-1.1	-0.4	0.4	0.0
TC	-1.1	-1.0	-1.4	-1.0	-1.1	0.6	-0.5	-2.0 (*)	-1.7	-1.4	-1.1	-0.3	

										(+)			
	Turbidity	-0.9	0.2	-0.6	-0.2	-1.4	0.9	0.4	0.6	0.4	-0.3	0.0	-1.4
Varanasi	BOD	2.4 (*)	1.5	1.1	1.4	2.2 (*)	2.8 (**)	2.7 (**)	1.9 (+)	2.4 (*)	2.9 (**)	2.6 (**)	3.0 (**)
	DO%	1.2	1.4	2.2 (*)	2.3 (*)	1.7 (+)	0.8	1.5	2.5 (*)	3.2	3.3	2.5 (*)	2.5 (*)
	F	2.5 (*)	2.1 (*)	2.4 (*)	2.4 (*)	1.6	1.8 (+)	2.1 (*)	2.1 (*)	3.0	2.2 (*)	1.2	2.2 (*)
	Hardness	-0.3	-0.3	0.0	0.1	-0.5	-0.7	-0.5	0.1	0.3	0.8	0.3	1.9 (+)
	pH	0.0	0.0	1.9 (+)	1.5	0.4	0.2	0.4	0.2	1.8 (+)	0.4	0.6	0.2
	TC	0.8	0.6	0.8	0.6	0.3	-0.1	0.5	0.9	1.0	1.4	1.4	1.4
	Turbidity	-0.5	0.0	0.0	-0.2	-0.6	-1.8 (+)	-0.9	0.9	0.0	-1.4	0.2	-0.2

648

649 *** trend at $\alpha = 0.001$ level of significance; ** trend at $\alpha = 0.01$ level of significance; * trend at
650 $\alpha = 0.05$ level of significance; + trend at $\alpha = 0.1$ level of significance; If there is no sign after
651 values in the table then, the significance level is greater than 0.1 (Amnell et al. 2002).

652

653 5.5 State of the population growth-LULC transformations-water quality nexus in UGRB

654 In this section, the association between the three components population growth-LULC
655 transformations-water quality are established. Seasonal water quality parameter values for
656 UGRB over the periods of 2001-2012 are presented in Table 8. Their respective IPI values and
657 OIP for each monitoring station are illustrated in Table 9. In UGRB the population increase in
658 both rural and urban areas have resulted significant changes in LULC distribution. Increase in
659 PGR of 20.45% in the complete basin has resulted in 43.4% and 2.9% increase in urban and rural

660 areas respectively. Therefore, this river basin is urbanizing gradually with increase in industrial
661 operations. Urbanization, industrialization and intense agricultural activities have caused water
662 quality degradation between the periods of 2001-2012. Nearly all the parameters are relatively
663 higher in the July month, which is rainy season. Hence, their subsequent IPI values and resulting
664 OIP are also high in this month. Hardness CaCO_3 and pH values are higher in monsoon month as
665 bicarbonates, hydroxides and phosphates from rock weathering are transported to the river water
666 by surface runoff. Turbidity is also high due to addition of organic matter from land surfaces to
667 the nearby stream through surface runoff. F is introduced into the river by surface runoff carrying
668 F from industrial regions. High DO% values are attributed to increased diffusion of Oxygen into
669 the water during increased stream flow caused by storm events. Increase in BOD and Total
670 Coliform bacteria is a result of increased transportation of municipal sewage containing organic
671 matter and various strains of Coliform bacteria. Similar results were reported from the studies
672 done by various researchers (Attua et al. 2014; Chapman 1992; Hellar-Kihampa et al. 2013; Jain
673 et al. 2006).

674

675 **Table 8.** Water quality parameters across Upper Ganga River basin for pre-monsoon, monsoon
676 and post-monsoon seasons over periods of 2001-2012

677 (i)

Parameters (Year 2001)	Water Quality Monitoring Stations														
	Uttarkashi			Rishikesh			Kanpur			Allahabad			Varanasi		
	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov
BOD	1.1	1.1	1.1	1.1	1.0	1.1	2.8	1.7	2.4	4.0	4.2	3.7	2.5	2.2	1.8
DO%	88	104	89	71	60	64	89	96	93	92	84	95	90	92	85
F	0.19	0.04	0.22	0.23	0.16	0.26	0.61	0.21	0.34	0.09	0.50	0.51	0.3	0.05	0.51

Hardness CaCO ₃	65	60	68	76	67	74	99	78	86	95	194	159	99	176	142
pH	8.1	8.1	8.1	8.1	8.1	8.1	8.0	8.3	8.1	8.2	8.3	8.2	8.2	8.4	8.2
Total Coliform	-	-	-	-	-	-	-	-	-	3000	6200	6500	5100	5300	2400
Turbidity	-	-	-	-	-	-	2.0	3.1	2.3	0.1	0.2	0.1	0.1	0.1	0.1

678

679 (ii)

680

Parameters (Year 2012)	Water Quality Monitoring Stations														
	Uttarkashi			Rishikesh			Kanpur			Allahabad			Varanasi		
	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov
BOD	1.1	1.2	1.0	1.0	1.2	1.2	7.0	10.0	4.0	2.9	3.2	2.4	3.0	3.9	2.9
DO%	73	64	73	81	75	77	86	75	90	85	108	98	101	98	98
F	0.45	0.26	0.44	0.09	0.19	0.06	0.70	0.80	0.51	0.51	0.67	0.56	0.57	0.54	0.52
Hardness CaCO ₃	45	24	34	33	23	56	110	102	90	97	85	92	89	75	81
pH	7.8	7.7	7.6	7.8	8.0	7.8	8.7	8.4	8.1	8.2	8.5	8.2	8.7	8.4	8.7
Total Coliform	-	-	-	-	-	-	-	-	-	5200	5800	4600	5600	7300	4700
Turbidity	-	-	-	-	-	-	4.0	6.0	5.4	0.1	0.5	0.1	0.1	0.2	0.1

681

682 *Units: BOD=mg/L; DO%=%; F= mg/L; Hardness CaCO₃= mg/L; pH=No unit; Total

683 Coliform=MPN; Turbidity=NTU

684

685

686

687

688

689 **Table 9.** Individual parameter indices (IPIs) and overall indices of pollution (OIPs) computed at
 690 various water quality monitoring stations of Upper Ganga River basin over periods of 2001 and
 691 2012 for pre-monsoon, monsoon and post-monsoon seasons

692 **(i)**
 693

Parameters	Water Quality Monitoring Stations														
	Uttarkashi			Rishikesh			Kanpur			Allahabad			Varanasi		
	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov
BOD	1.00	1.00	1.00	1.00	1.00	1.00	2.87	2.40	2.60	2.67	2.80	2.47	1.67	1.47	1.20
DO%	1.33	1.28	1.27	2.49	3.24	2.97	1.27	0.79	0.99	1.06	1.61	0.86	1.20	1.06	1.54
F	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
Hardness	1.00	1.00	1.00	1.78	1.00	1.00	1.99	1.80	1.87	1.95	3.16	2.66	1.99	2.89	2.45
CaCO₃															
pH	2.76	2.76	2.76	2.76	2.76	2.76	2.52	3.33	2.76	3.03	3.33	3.03	3.03	3.65	3.03
Total Coliform	-	-	-	-	-	-	-	-	-	3.43	4.60	4.98	4.02	3.48	3.21
Turbidity	-	-	-	-	-	-	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
OIP (2001)	1.42	1.41	1.41	1.81	1.80	1.75	2.61	2.49	2.54	2.02	2.50	2.29	1.99	2.08	1.92

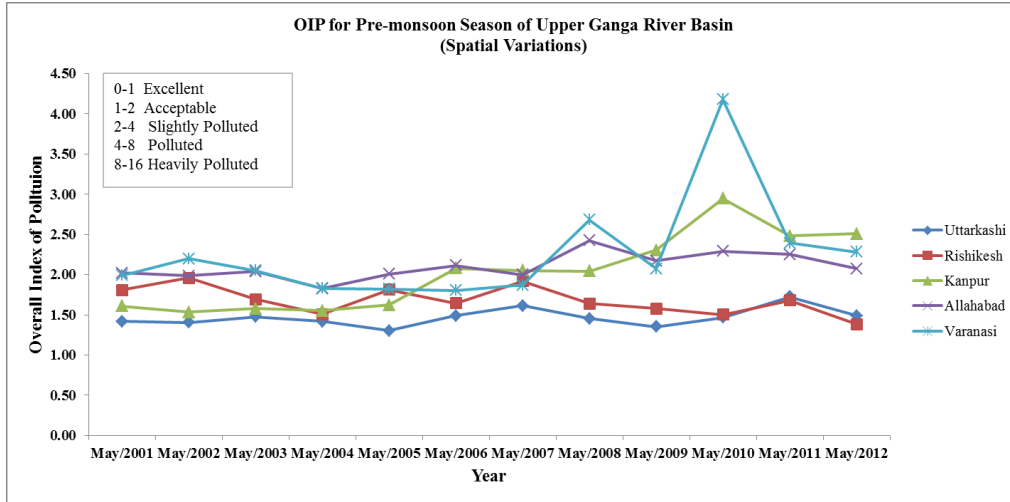
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 695 **(ii)**
 696

Parameters	Water Quality Monitoring Stations														
	Uttarkashi			Rishikesh			Kanpur			Allahabad			Varanasi		
	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov
BOD	1.00	1.00	1.00	1.00	1.00	1.00	4.67	6.67	2.67	1.93	2.13	1.60	2.00	2.60	1.93
DO%	2.36	2.97	2.36	1.81	2.22	2.08	1.47	2.22	1.20	1.54	1.49	0.65	1.13	0.65	0.65
F	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
Hardness	1.00	1.00	1.00	1.00	1.00	1.00	2.10	2.02	2.91	1.97	1.86	1.92	1.90	1.00	1.82
CaCO₃															
pH	2.09	1.91	1.74	2.09	2.52	2.09	4.81	3.65	2.76	3.03	4.00	3.03	4.81	3.65	4.81
Total Coliform	-	-	-	-	-	-	-	-	-	4.05	4.11	3.90	4.14	5.97	3.93
Turbidity	-	-	-	-	-	-	1.00	1.20	1.08	1.00	1.00	1.00	1.00	1.00	1.00
OIP (2012)	1.49	1.58	1.42	1.38	1.55	1.44	2.51	2.79	2.77	2.07	2.23	1.87	2.28	2.27	2.16

697
 698 * Bold IPI and Italic OIP values are significant.
 699

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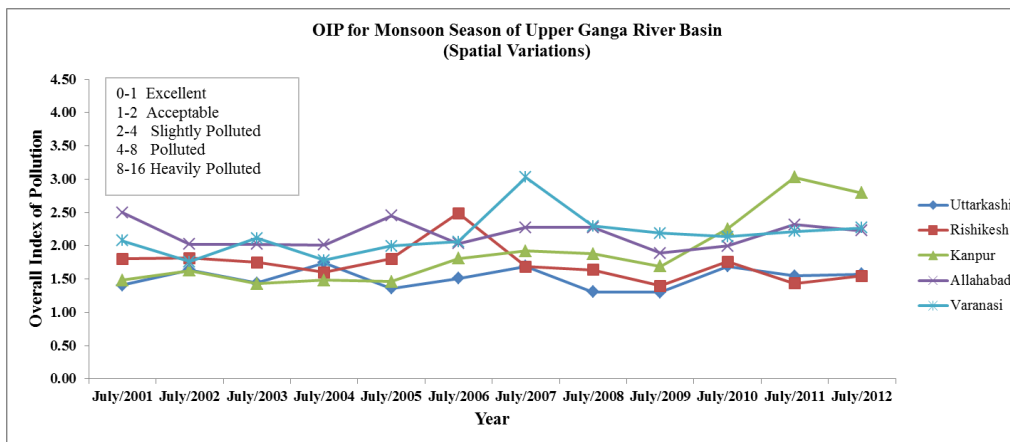
707 (a)



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710 (b)



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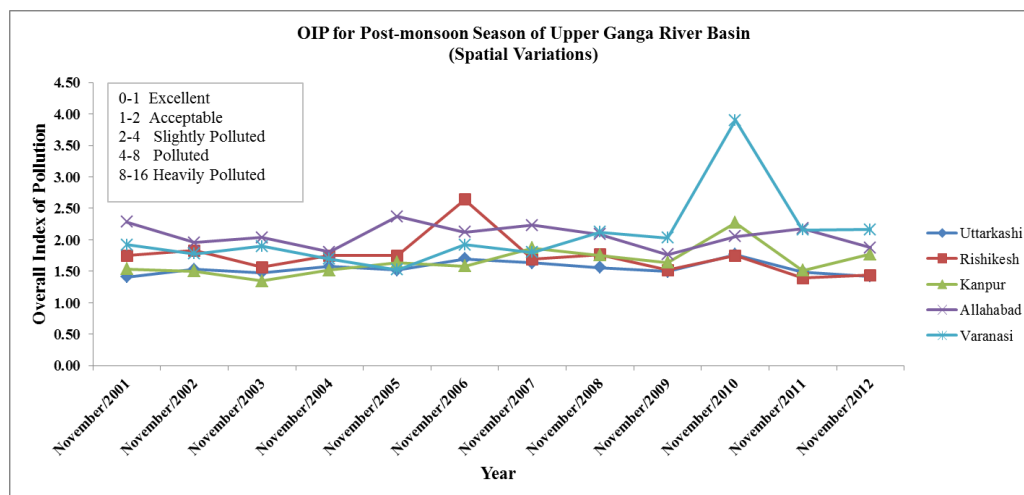
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719 (c)



720
721 **Figure 6.** Spatial variations in the overall indices of pollution (OIP) of Upper Ganga River basin
722 from 2001-2012 for (a) Pre-monsoon period (b) Monsoon period, (c) Post-monsoon period

723
724 In UGRB, the population growth and LULC transformations are lower in the upper reaches
725 therefore, the water quality of the monitoring stations located in this region (Uttarkashi and
726 Rishikesh) has remained in acceptable class range (OIP: 1.38-1.58) from 2001-2012. Conversely
727 in the lower reaches, the water quality has deteriorated from acceptable class to slightly polluted
728 class (OIP: 1.87-2.79) at the motoring stations (Ankinghat, Chhatnag and Varanasi) due to
729 increasing pollutants in the river water from urban, agriculture and industrial sectors (Fig. 6 and
730 Table 9). Further, explanation on the connection between population growth-LULC
731 transformations-water quality in UGRB is given at the district or local scale in Section 5.6.

732
733 **5.6 State of the population growth-LULC transformations-water quality nexus in the**
734 **districts of UGRB**

735 Besides analysis at river basin level, the district level studies are also important. Each district has
736 different topography, climate, population and LULC distribution. Therefore, the water
737 management strategies in these districts should be based on the sources of pollutants and the
738 health status of the river. Spatio-temporal variations in the water quality of the UGRB are studied
739 using OIPs for three different seasons viz. pre-monsoon (May), monsoon (July) and post-
740 monsoon (November) from the year 2001-2012. Rainfall amount, duration and intensity are
741 important drivers affecting surface water quality parameters of a water body primarily during
742 monsoon and post-monsoon seasons. For e.g. OIP at Ankinghat (Kanpur) has slightly increased
743 from 2.51 in pre-monsoon season to 2.79 in monsoon season in the year 2012. In post-monsoon
744 season, it has further decreased to 2.77. Similarly, at Chhatnag (Allahabad) station higher OIP
745 (2.23) is noticed in monsoon season than other two stations in the year 2012 (Table 9). Other
746 factors such as type of LULC, type of soils, amount and type of waste generation, treatment
747 facilities, etc. also affect the water quality. At Varanasi station, OIP values are higher in pre-
748 monsoon season (2.28) than other two seasons in 2012. Reduced values in monsoon season are
749 probably due to relatively lower rainfall at this station. It indicates more influence of
750 anthropogenic activities on the river water than natural drivers such as rainfall. But at the same
751 station, in the year 2001 the OIP values were higher in monsoon season (2.08) than other
752 remaining seasons. Hence, high spatio-temporal variations are observed in the water quality
753 status of a river (Table 9). Water quality parameters viz. Hardness CaCO_3 , F, pH and Turbidity
754 generally increase during post-monsoon season due to addition of various pollutants and
755 sediments in the river water during monsoon period.

756

757 Water quality monitoring stations of Uttarkashi (PGR=11.9%) and Rishikesh (Dehradun
758 PGR=32.3%) are located in the foothills of Himalaya with relatively low gross population in
759 small towns. These stations are least influenced by human intervention among all the stations.
760 They are mainly influenced from the generation of silts (due to steep hilly slopes) and climatic
761 factor such as rainfall. For example, IPI for pH in 2001 remained 2.76 in both the stations. In
762 2012 the pH ranged between 1.74 (post-monsoon season) to 2.09 (pre-monsoon season) at
763 Uttarkashi station. At Rishikesh station it ranged between 2.09 (pre and post-monsoon season) to
764 2.52 (monsoon season) which is slightly better than the IPI values in 2001. Therefore, all the
765 water quality parameters at these stations are in acceptable range with no significant variations in
766 the IPI values of the parameters over time. As the Ganga River descends down to Gangetic
767 Plains a large number of tributaries e.g. river Yamuna that passes from metropolitan city of New
768 Delhi and many other Class-I cities (population>100000) joins river Ganga at Allahabad. It
769 carries a large amount of untreated pollutant load from both municipal and industrial areas of
770 these cities on its way and adds to the river Ganga. During rainfall, toxic urban runoff is
771 discharged to the river directly or through storm water drains. Similarly, water pollution at
772 Kanpur is caused by urban domestic wastes and industries mainly tanneries. At Varanasi river
773 water again gets affected by municipal and industrial discharges into the river. Varanasi being
774 the last monitoring station collects pollutants from all the above cities, hence it is identified as
775 the most severely polluted station in UGRB but it keeps varying with time. In 2001, Allahabad is
776 the most polluted station followed by Varanasi and Kanpur. However, in 2012, Kanpur is the
777 most polluted station followed by Varanasi and Allahabad indicating LULC changes. The water
778 quality remained in the acceptable to slightly polluted class range.
779

780 Total population of all the three cities is very high and Kanpur has the highest population
781 (6,377,452) amongst them. Varanasi has the highest population density in the region. Similarly,
782 Allahabad has a PGR of 20.6% between 2001-2011. These cities are the biggest centres of
783 commercial activities in UGRB. The main industrial types found in Allahabad district are glass,
784 wire products, battery, etc. whereas the Varanasi consists of textile, printing, electrical
785 machinery related industries. In the lower reaches of the Ganga River, major industrialization has
786 occurred in and around Kanpur. Tanneries are the major types of industries in Kanpur, majority
787 of them are located in the Jajmau area which is close to River Ganga. The wastewater generated
788 from various tanning operations, viz. soaking, liming, deliming and tanning, etc. result in
789 increased levels of organic loading, salinity and specific pollutants such as sulfide and
790 chromium. These are very toxic for pollutants and affect the parameters, viz. BOD, Hardness
791 CaCO_3 , pH and Turbidity (Rajeswari 2015). Hence, due to wastewater from tanneries and
792 municipal discharges, high IPI values of Hardness CaCO_3 (2.10) and pH (4.81) are observed for
793 Kanpur station in 2012. Hardness CaCO_3 (1.90) and pH (4.81) IPI of Varanasi is just lower to
794 Kanpur followed by Allahabad which showed a close IPI value of 1.97 and 4.00, respectively.
795 These cities do not have tanneries but their urban sewage and industrial effluents affect water
796 quality of the river.

797
798 Other than tanneries, agro-based, textile, paper, mineral, metal and furniture based industries are
799 also present. Unnao is other industrial town located close to Kanpur. Large amount of municipal
800 sewage generated in the urban residential areas and industrial effluents are discharged into the
801 water. In total, 6087 MLD of wastewater is discharged into Ganga River. Out of the complete
802 river basin, six sub regions namely Kanpur, Unnao, Rai-Bareilly, Allahabad, Mirzapur and

803 Varanasi alone discharge 3019 MLD of wastewater directly/indirectly into the river. Particularly,
804 cities of Kanpur, Allahabad and Varanasi contribute about 598.19 MLD, 293.5 MLD and 410.79
805 MLD of wastewater into the river respectively (CPCB 2013; NRSC 2014). Municipal sewage
806 water is characterized by high BOD and Total Coliform bacteria count. Table 9 illustrates a very
807 high IPI value in the BOD of Kanpur (6.67), Allahabad (2.13) and Varanasi (2.60) for the year
808 2012. It has increased from 2001 to 2012. Similarly in the year 2012, IPI of Total Coliform
809 bacteria count is found in the range of minimum 3.90 (Allahabad) to 5.97 (Varanasi). It falls in
810 the class of slightly polluted to polluted. F, pH and Turbidity are the factors mainly affected by
811 natural drivers. IPI is within acceptable to slightly polluted range in all the three stations in 2012.
812 F (1.0) and Turbidity have remained in excellent and acceptable classes over the years. Various
813 other studies have reported that the water quality of Ganga River near Kanpur, Allahabad and
814 Varanasi cities is highly polluted (Gowd et al. 2010; Rai et al. 2010; Sharma et al. 2014). Rapid
815 urbanization and industrialization has highly affected the water quality of River Ganga in these
816 districts.

817

818 **5.7 Relationship between LULC and water quality (OIP)**

819 Pearson's correlation analysis between OIP and different LULC classes in UGRB helped in
820 studying strength of association between these variables (Table 10). In all the three seasons of
821 the year 2001, wastelands, built up and agricultural lands significantly correlated positively
822 (moderate to strong association) to OIP. Water bodies have shown very weak positive correlation
823 whereas moderate to strong negative correlation is observed with forest class. Due to change in
824 the LULC distribution and water quality parameters between 2001-2012, variations are observed
825 in the strength of association in the year 2012. In this year, OIP showed very strong negative and

826 a very weak negative correlation with forest and water bodies classes respectively. A very
 827 strong positive association is observed with agricultural lands. Moderate to strong positive
 828 relationship is observed with built up class. Association of OIP with wastelands is in the
 829 broad range of very weak positive to very weak negative.

830
 831 **Table 10.** Pearson's correlation coefficients relating LULC to water quality (OIP) in the Upper
 832 Ganga River basin (Pre-monsoon, Monsoon and Post-monsoon seasons of 2001 and 2012)

833

Stations	OIP Pre-monsoon (2001)	F%	WL%	WB%	AG%	BU%
Uttarkashi	1.42	39.3	10.3	1.4	0.6	0.2
Rishikesh	1.81	59.8	18.8	4.8	13.5	3.2
Kanpur	2.61	0.3	23.4	2.5	63.7	10.1
Allahabad	2.02	1.5	22.1	3.0	70.5	2.8
Varanasi	1.99	0.6	16.8	3.1	76.8	2.7
Pearson's correlation coefficients		-0.65	0.87	0.12	0.71	0.95

834

Stations	OIP Monsoon (2001)	F%	WL%	WB%	AG%	BU%
Uttarkashi	1.41	39.3	10.3	1.4	0.6	0.2
Rishikesh	1.80	59.8	18.8	4.8	13.5	3.2
Kanpur	2.49	0.3	23.4	2.5	63.7	10.1
Allahabad	2.50	1.5	22.1	3.0	70.5	2.8
Varanasi	2.08	0.6	16.8	3.1	76.8	2.7
Pearson's correlation coefficients		-0.77	0.93	0.15	0.87	0.69

835

Stations	OIP Post-monsoon (2001)	F%	WL%	WB%	AG%	BU%
Uttarkashi	1.41	39.3	10.3	1.4	0.6	0.2
Rishikesh	1.75	59.8	18.8	4.8	13.5	3.2
Kanpur	2.54	0.3	23.4	2.5	63.7	10.1
Allahabad	2.29	1.5	22.1	3.0	70.5	2.8
Varanasi	1.92	0.6	16.8	3.1	76.8	2.7
Pearson's correlation coefficients		-0.73	0.93	0.09	0.78	0.83

836

Stations	OIP Pre-monsoon (2012)	F%	WL%	WB%	AG%	BU%
Uttarkashi	1.49	39.7	8.3	1.5	1.4	0.6
Rishikesh	1.38	59.8	3.4	4.3	20.3	12.2
Kanpur	2.51	0.3	4.7	2.6	67.0	25.3
Allahabad	2.07	1.5	16.0	3.1	73.4	6.0
Varanasi	2.28	0.7	6.0	3.3	79.4	10.5
Pearson's correlation coefficients		-0.94	0.10	-0.09	0.88	0.63

837

Stations	OIP Monsoon (2012)	F%	WL%	WB%	AG%	BU%
Uttarkashi	1.58	39.7	8.3	1.5	1.4	0.6
Rishikesh	1.55	59.8	3.4	4.3	20.3	12.2

Kanpur	2.79	0.3	4.7	2.6	67.0	25.3
Allahabad	2.23	1.5	16.0	3.1	73.4	6.0
Varanasi	2.27	0.7	6.0	3.3	79.4	10.5
Pearson's correlation coefficients		-0.89	0.08	-0.09	0.83	0.72

838

Stations	OIP Post-monsoon (2012)	F%	WL%	WB%	AG%	BU%
Uttarkashi	1.42	39.7	8.3	1.5	1.4	0.6
Rishikesh	1.44	59.8	3.4	4.3	20.3	12.2
Kanpur	2.77	0.3	4.7	2.6	67.0	25.3
Allahabad	1.87	1.5	16.0	3.1	73.4	6.0
Varanasi	2.16	0.7	6.0	3.3	79.4	10.5
Pearson's correlation coefficients		-0.79	-0.14	-0.07	0.75	0.82

839

840 This study found that increase in forest cover can decrease OIP due to increased aeration of
841 flowing river water. High sediment load, generally from surface runoff causes increase in
842 turbidity. Forest control turbidity, Hardness CaCO_3 and pH parameters by acting as a buffer
843 against these parameters. Similarly, increase in the water bodies decrease OIP by diluting the
844 pollutants with excess water, thus improving the water quality. In UGRB, increase in OIP i.e
845 deterioration of water quality is observed with increase in agricultural lands and built up due to
846 introduction of pollutants from various agro-chemicals, municipal sewage, industrial effluents
847 and other types of organic matter. They lower the DO% level and increase BOD. Correlation
848 between wastelands and OIP are not much significant. Another study by Attua et al. 2014,
849 reported similar results for the study conducted on African rivers. Multiple linear regression
850 analysis can efficiently predict the OIP using one or combination of LULC classes (Table 11).
851 OIP of 2001 could be predicted by the combined coverage area of forest, wastelands, agricultural
852 land and built up area (adjusted $R^2=0.94$) and OIP of 2012 by forest, agricultural land and built
853 up area (adjusted $R^2=0.95$). High R^2 and adjusted R^2 values in both the years showed strong
854 relationship between OIP and LULC classes of the respective models. However, these
855 relationships may vary for different regions or time periods.

856

857 **Table 11.** Multiple linear regression models for OIP and LULC classes in the Upper Ganga
 858 River basin

Year	Independent variable	Regression model equation	R ²	Adjusted R ²
OIP (2001)	Forest, Wastelands, Agricultural Land and Built Up area	OIP= 1.1354 - 0.6331 F + 5.08 WL - 0.0828 AG + 2.7425 BU	0.94	0.94
OIP (2012)	Forest, Agricultural Land and Built Up area	OIP = 2.1266 - 1.6296 F - 0.2756 AG + 2.9894 BU	0.96	0.95

859

860 **6. Summary and conclusions**

861 Upper Ganga River basin is suffering from chronic water shortages since past few decades.
 862 Population growth is the primary driver behind gradual urbanization and industrialization in this
 863 region. In addition, infrastructure development activities and agriculture have also intensified.
 864 Hence, the natural resources of UGRB are over-exploited. Sustainable water resources planning
 865 and management by policy makers and planners need understanding of nexus between
 866 components of population growth-LULC transformations-water quality at both regional and local
 867 scale. 20.45% increase in PGR leads to 43.4% increase in built up. It was identified as most
 868 dynamic LULC class in the region followed by wastelands. Mann-Kendall rank test revealed that
 869 water quality parameters are highly variable in time and space with no significant trends. Even
 870 though gross rural population is much higher in the lower reaches of the river basin, but the PGR
 871 is higher in the urban population of upper reaches. The water quality of majority of the stations
 872 was most degradable in monsoon season. Water quality of upper reaches (Uttarkashi and
 873 Rishikesh) remained in excellent to acceptable (1.38-1.81) class from 2001-2012 whereas it
 874 changed from acceptable class to slightly polluted class (1.87-2.79) in lower reaches (Kanpur,
 875 Allahabad and Varanasi). In UGRB, BOD, DO% and Total Coliform are the parameters most
 876 influenced by anthropogenic activities. Conversely, the remaining parameters viz. pH, F,
 877 Hardness CaCO₃ and Turbidity are mainly influenced by climatic factors. The highest increase in

878 built up of 291.8% observed in the Varanasi district, is directly related to the highest
879 deterioration of water quality in UGRB. But Allahabad and Kanpur are identified as most
880 polluted stations in 2001 and 2012 respectively. Sewage, industrial effluents and runoff from
881 urban/rural areas introduce pollutants at these stations. Future population growth and LULC
882 changes in UGRB may further jeopardize their nexus with water. Forests and water bodies are
883 negatively correlated with OIP. However, built up and agricultural lands are positively
884 correlated. Wastelands are not significantly correlated to OIP. Multiple linear regression models
885 developed for UGRB could successfully predict OIP (water quality) using LULC classes. The
886 future scope of this study comprises the understanding of hydro-ecological response of the water
887 quality changes across the river basin. The following recommendations are made for judicious
888 regulation and control of water quality pollution in UGRB: (a) control of deforestation and
889 encouraging afforestation; (b) efficient town planning for better LULC distribution in the river
890 basin; (c) reduction in the use of agro-chemicals in the fields (use of organic alternatives); (d)
891 proper waste disposal and management system; (e) strategies to control runoff from fields
892 (construction of bunds/canals); and (f) spreading water pollution awareness and strict policies on
893 pollution control.

894

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896

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903

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