

# 1 Population Growth – Land Use Land Cover Transformations – Water

## 2 Quality Nexus in Upper Ganga River Basin

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### 10 Abstract

11 Upper Ganga River Basin is socio-economically the most important river basins in India,

12 which is highly stressed in terms of water resources due to uncontrolled LULC activities. For

13 sustainable development in a river basin it is crucial to understand population growth Land

14 Use/Land Cover (LULC) transformations-water quality nexus. This study presents a

15 comprehensive set of analyses to evaluate the population growth-land use land cover (LULC)

16 transformations-water quality nexus for sustainable development in this river basin. The

17 study was conducted at two spatial scales i.e. basin scale and district scale. This study

18 investigates effects of demographic changes and LULC transformations on surface water

19 quality of Upper Ganga River basin. River gets polluted in both rural and urban area. In rural

20 area, pollution is because of agricultural practices mainly fertilizers, whereas in urban area it

21 is mainly because of domestic and industrial wastes. First, population data was analyzed

22 statistically to study demographic changes, followed by in the river basin. LULC change

23 detection was done over the period of February/March 2001 to 2012 [Landsat 7 Enhanced

24 Thematic Mapper (ETM+) data] using remote sensing and Geographical Information System

25 (GIS) techniques. Further, Trends and spatio-temporal variations in monthly water quality

26 parameters viz. Biological Oxygen Demand (BOD), Dissolve Oxygen (DO) %, Flouride (F),

27 Hardness CaCO<sub>3</sub>, pH, Total Coliform bacteria and Turbidity were studied using Mann-  
28 Kendall rank test and Overall Index of Pollution (OIP) developed specifically for this region,  
29 respectively. ~~in basin for pre-monsoon (May), monsoon (July) and Post-monsoon~~  
30 ~~(November) seasons. Non-parametric Mann-Kendall rank test was done on monthly water~~  
31 ~~quality data to study existing trends. Further, Overall Index of Pollution (OIP) developed~~  
32 ~~specifically for Upper Ganga River basin was used for spatio-temporal water quality~~  
33 ~~assessment.~~ Relationship was deciphered between LULC classes and OIP using multivariate  
34 techniques viz. Pearson's correlation and multiple linear regression. From the results, it was  
35 observed that population has increased in the river basin. Therefore, significant and  
36 characteristic LULC changes are observed. ~~in the study area.~~ River gets polluted in both rural  
37 and urban areas. In rural areas, pollution is ~~because of~~ due to agricultural practices mainly  
38 fertilizers, whereas in urban areas it is mainly ~~because of~~ contributed from domestic and  
39 industrial wastes. Water quality degradation has occurred in the river basin, consequently the  
40 health status of the rivers ~~have~~ has also changed from range of acceptable to slightly polluted  
41 in urban areas. Multiple linear regression models developed for Upper Ganga River basin  
42 could successfully predict status of the water quality i.e. OIP using LULC classes.

43

44 **Keywords:** Demographic change, Land use/land cover, Overall Index of Pollution, Remote  
45 sensing, Upper Ganga River basin.

46

## 47 1. Introduction

48 Water quality is defined in terms of chemical, physical and biological (bacteriological)  
49 characteristics of the water. These characteristics may vary for different regions based on  
50 their topography, land use land cover (LULC) and climatic factors. Demographic changes,  
51 anthropogenic activities and urbanization are potential drivers affecting the quantity and

52 quality of available water resources on local, regional and global scale. ~~They drivers~~ pose a  
53 threat to the quantity and quality of water resources, directly by increased anthropogenic  
54 water demands and water pollution. Indirectly, the water resources are affected by LULC  
55 changes and associated changes in water use patterns (Yu et al. 2016). ~~In a region,~~  
56 ~~urbanization occurs due to natural population growth and migration of people from rural to~~  
57 ~~urban areas due to economic hardship (Bjorklund et al. 2011; Shukla and Gedam 2018).~~  
58 ~~These affects cause~~ It may change in natural landscape characteristics, and river  
59 morphometry and increase pollutant load in water bodies. Anthropogenic activities in a river  
60 basin are directly correlated with the decline in the water quality (Haldar et al. 2014). In  
61 order to increase crop yield, farmers introduce various chemicals in the form of viz.  
62 fertilizers, pesticides, herbicides, etc., causing addition of pollutants in to the river (Rashid  
63 and Romshoo 2013; Yang et al. 2013). In urban areas, introduce pollutants are introduced  
64 from leachates of landfill sites, stormwater runoff and direct dumping of waste (Tsihrintzis  
65 and Hamid 1997). Hence, LULC and water quality indicator parameters are often used in  
66 water quality assessment studies (Kocer and Sevgili 2014; Liu et al. 2016; Sanchez et al.  
67 2007; Tu 2011).

68

69 LULC changes may alter the chemical, physical and biological properties of a river system  
70 viz. Biological Oxygen Demand (BOD), temperature, pH, Chloride (Cl), Colour, Dissolved  
71 Oxygen (DO), Hardness CaCO<sub>3</sub>, Turbidity, Total Dissolved Solids (TDS), etc. (Ballestar et  
72 al. 2003; Chalmers et al. 2007; Smith et al. 1999). Several studies are carried out across the  
73 world to understand this phenomenon. Hong et al. (2016) studied the effects of LULC  
74 changes on water quality of a typical inland lake of arid area in China. The study concluded  
75 that water pollution is positively correlated to agricultural land and urban areas whereas  
76 negatively correlated to water and grassland. Li et al. (2012) studied effects of LULC changes

77 on water quality of the Liao River basin, China. In this river basin water quality of upstream  
78 was found better than downstream due to less influence from LULC changes in the region.  
79 Similarly, impact of LULC changes was studied on Likangala catchment, southern Malawi.  
80 Even though the water quality remained in acceptable class, the downstream of the river was  
81 found polluted with increase in the number of *E.Coli* and cation/anions (Pullanikkatil et al.  
82 2015). The composition and distribution of benthic macroinvertebrate assemblage were  
83 studied in the Upper Mthatha River, Eastern Cape, South Africa (Niba and Mafereka 2015).  
84 Results revealed that the distribution of the benthic macroinvertebrate assemblage is affected  
85 by season, substrate and habitat heterogeneity. LULC changes induce changes into the river  
86 water which affects their species distribution.

87

88 Water quality changes of the Ganga river, at various locations in Allahabad were studied for  
89 post-monsoon season by Sharma et al. (2014) using Water Quality Index (WQI) and  
90 statistical methods. Considerable water quality deterioration was observed at various  
91 locations due to the vicinity of the river to a highly urbanized city of Allahabad. A  
92 combination of water quality indices viz. Canadian WQI by Canadian Council of Ministers of  
93 the Environment (CCME-WQI), Oregon Water Quality Index, (OWQI) and National  
94 Sanitation Foundation Water Quality Index (NSF-WQI) were used to analyse the pollution of  
95 Sapanca Lake Basin (Turkey) and a good relationship was observed between the indices and  
96 parameters. Eutrophication was identified as a major threat to Sapanca Lake and stream  
97 system (Akkoyunlu and Akiner 2012). A river has capability to reduce its pollutant load, also  
98 known as self-purification (Hoseinzadeh et al. 2014). In extreme situations, **ecosystem**  
99 degradation **of river ecosystem** caused by anthropogenic factors can be an irreversible  
100 **change**. Hence, it is crucial to understand effects of demographic changes and LULC

101 transformations on water quality for pollution control and sustainable water resources  
102 development in a river basin (Milovanovic 2007; Teodosiu et al. 2013).

103

104 Ganga River is extremely significant to its inhabitants as it supports various important  
105 services such as: (i) source of irrigation for farmers in agriculture and horticulture; (ii)  
106 provides water for domestic and industrial purposes in urban areas; (iii) source of hydro-  
107 power; (iv) serves as a drainage for waste and helps in pollution control; (v) acts as support  
108 system for terrestrial and aquatic ecosystems, (vi) provides religious and cultural services;  
109 (vii) helps in navigation; (viii) supports fisheries and other livelihood options, etc.  
110 (Amarasinghe et al. 2016; SoE report, 2012; Watershed Atlas of India, 2014). However, for  
111 the past few decades Upper Ganga River basin has experienced rapid growth in population,  
112 urbanization, industrialization, infrastructure development activities and agriculture. Due to  
113 these changes, maintaining the acceptable water quality for various uses is being challenged.  
114 Therefore, there is a need of comprehensive study to understand the causative connection  
115 (nexus) between the changing patterns of population, LULC and water quality in this river  
116 basin.

117

118 ~~Water Quality Indices are often used to investigate the spatio-temporal variations in water~~  
119 ~~quality of a river. Water quality indices study the combined effects of variations in water~~  
120 ~~quality parameters on river health and to compare it along the river basin to estimate the~~  
121 ~~permissible limits and their changing trends (Abbasi and Abbasi 2012).~~ Remote sensing and  
122 GIS are efficient aids in preparing and analyzing spatial datasets such as satellite data, Digital  
123 Elevation Model (DEM) data, etc. Remote sensing technology is often used in preparing  
124 LULC maps of a region whereas GIS helps in delineation of river basin boundaries,  
125 extraction of study area, hydrological modeling, spatial data analysis, etc. (Kindu et al. 2015;

126 Kumar and Jhariya 2015; Wilson 2015). Selection of appropriate method for a particular  
127 study is based on the specific objectives and availability of the data/tools required for the  
128 study. Ban et al. (2014) observed that water quality monitoring programs monitor and  
129 produce large and complex water quality datasets. ~~on parameters related to physico-chemical  
130 and bacteriological properties of the river water. Trends in the.~~ Water quality trends vary both  
131 spatially and temporally, causing difficulty in establishing relationship between water quality  
132 parameters and LULC changes (Phung et al. 2015; Russell 2015). Assessment of surface  
133 water quality of a river basin can be done using various water quality/pollution indices based  
134 on environmental standards (Rai et al. 2011). These indices are simplest and fastest indicators  
135 to evaluate the status of water quality in a river (Hoseinzadeh et al. 2014). Demographic  
136 growth, LULC changes and their effects on water quality in a region are very site specific.  
137 Hence, different regions/countries have developed their own water quality/pollution indices  
138 for different types of water uses based on their respective water quality standards/permmissible  
139 pollution limits (Abbasi and Abbasi 2012; Rangeti et al. 2015). ~~Water quality index (WQI) is  
140 a single numerical value that reflects the health of a waterbody by giving combined effects of  
141 various water quality parameters. Formulation of water quality indices are done in two ways:  
142 (i) in the first way there is increase in index numbers with the degree of pollution. It can be  
143 classified as 'water pollution indices' and (ii) in the second way there is decrease in the index  
144 numbers with degree of pollution. Hence, later can be classified as 'water-quality indices'.  
145 The difference between the two is just superficial. 'Water pollution' which indicates  
146 'degraded water quality' of a waterbody is mere a special case of the general term 'water  
147 quality.~~  
148  
149 ~~Several site specific water quality/pollution indices available in the literature are:~~ There are  
150 various water quality indices available worldwide that can be used for water quality

151 assessment e.g. Composite Water Quality Identification Index (CWQII) (Ban et al. 2014);  
152 River Pollution Index (RPI), Forestry Water Quality Index (FWQI) and NSF-WQI  
153 (Hoseinzadeh et al. 2014); Canadian Water Quality Index (CWQI) (Farzadkia et al. 2015);  
154 Comprehensive water pollution index of China (Li et al. 2015); Prati's implicit index of  
155 pollution (Prati et al. 1971); Horton's index, Nemerow and Sumitomo Pollution Index,  
156 Bhargava's index, Dinius second index, Smith's index, Aquatic toxicity index, Chesapeake  
157 Bay water quality indices, Modified Oregon WQI, Li's regional water resource quality  
158 assessment index, Stoner's index, Two-tier WQI, CCME-WQI, DELPHI water quality index,  
159 Universal WQI, Overall index of pollution (OIP), Coastal WQI for Taiwan, etc. (Abbasi and  
160 Abbasi 2012; Rai et al. 2011). Currently, not sufficient literature is currently available on  
161 comparisons between all the above mentioned water quality indices based on clusters,  
162 differences, validity, etc. However, in a study comparison was made between CCME and  
163 DELPHI water quality indices based on multivariate statistical techniques viz. coefficient of  
164 determination ( $R^2$ ), root mean square error, and absolute average deviation. Results revealed  
165 that the DELPHI method had higher predictive capability than the CCME method (Sinha and  
166 Das 2015). However, there is no universally worldwide accepted method for development of  
167 water quality indices. Therefore, there is no method by which 100% objectivity or accuracy  
168 can be achieved without any uncertainties. There is continuing interest across the world to  
169 develop accurate water quality indices that suit best for a local or regional area. Each water  
170 quality index has its own merits and demerits (Sutadian et al. 2016; Tyagi et al 2013).

171  
172 Water quality management and planning in a river basin requires an understanding of the  
173 cumulative pollution effect of all the water quality indicator parameters under consideration.  
174 This helps in assessing the overall water quality/pollution status of the river in a given space  
175 and time in a specific region. In this particular study, a WQI called 'Overall Index of

176 Pollution' (OIP) developed specifically for Indian conditions by Sargoankar and Deshpande  
177 (2003) is used to assess the health status of surface waters across Upper Ganga River basin.  
178 ~~Thus, present study focuses on identifying the drivers associated with spatio-temporal~~  
179 ~~variation of water quality in Upper Ganga River basin by considering the demographic~~  
180 ~~changes and LULC changes. In this, seasonal studies are assessed at different monitoring~~  
181 ~~stations and also the study aims to check the effectiveness of OIP method.~~ A number of  
182 studies have successfully used OIP to assess the surface water quality of various Indian  
183 rivers. The concentration ranges used in the class indices and Individual Parameter Indices  
184 (IPIs) assisted in evaluating the changes in individual water quality parameters whereas OIP  
185 assessed the overall water quality status of Indian rivers. This index helped to identify the  
186 parameters that are affected due to pollution from various sources. It is immensely helpful in  
187 studying the spatial and temporal variations in the surface water quality of both rural and  
188 urban subbasins due to the influence of demographic and LULC changes. The self-cleaning  
189 capacity of the river system investigated using OIP helped to comprehend the resilience  
190 capacity of the river system against the changes occurring in water quality due to  
191 anthropogenic activities. OIP has been used successfully to study the surface water quality  
192 status of the two most important and highly polluted rivers of the tropical Indian region viz.  
193 Ganga and Yamuna. It is also used for water quality assessment of comparatively smaller  
194 river like Chambal River and Sukhna lake of Chandigarh (Chardhry et al. 2013; Katyal et al.  
195 2012; Shukla et al. 2017; Sargaonkar and Deshpande 2003; Yadav et al. 2014). Therefore,  
196 OIP is used in the present study as an effective tool to communicate the water quality  
197 information. In the recent years, combinations of multivariate statistical techniques viz.  
198 Pearson's correlation, regression analyses, etc. have been used successfully to study the links  
199 between LULC changes and water quality (Attua et al. 2014; Gyamfi et al. 2016; Hellar-  
200 Kihampa et al. 2013).



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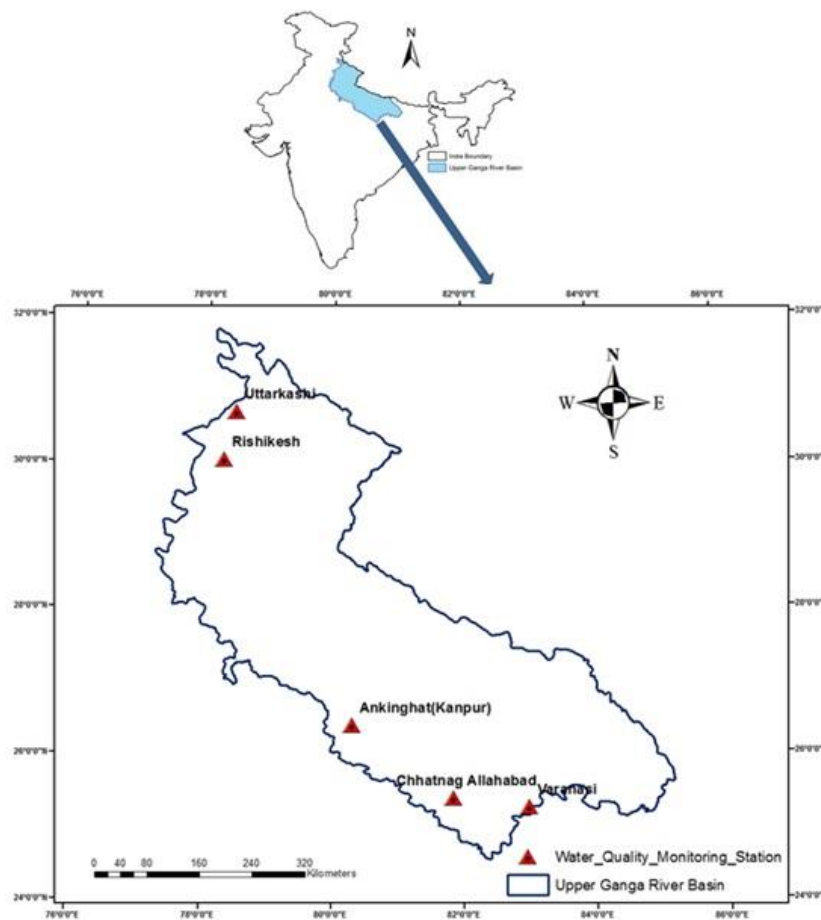
202 The main objective of this study is to understand the *causative connection (nexus)* between  
203 the changing patterns of population growth-LULC transformations-water quality of water  
204 stressed Upper Ganga River basin through a comprehensive set of analyses. The present  
205 study is conducted at two different spatial scales i.e. (a) at complete river basin level (small  
206 scale), and (b) at district level (large scale) to evaluate the changes at both regional and local  
207 scales. The effect of different seasons viz. pre-monsoon, monsoon and post-monsoon on the  
208 water quality is also examined. A relationship is developed between LULC and OIP using  
209 Pearson's correlation and multiple linear regression. Findings from this research work may  
210 help engineers, planners, policy makers and different stakeholders for sustainable  
211 development in the Upper Ganga River basin.

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## 213 2. Study area

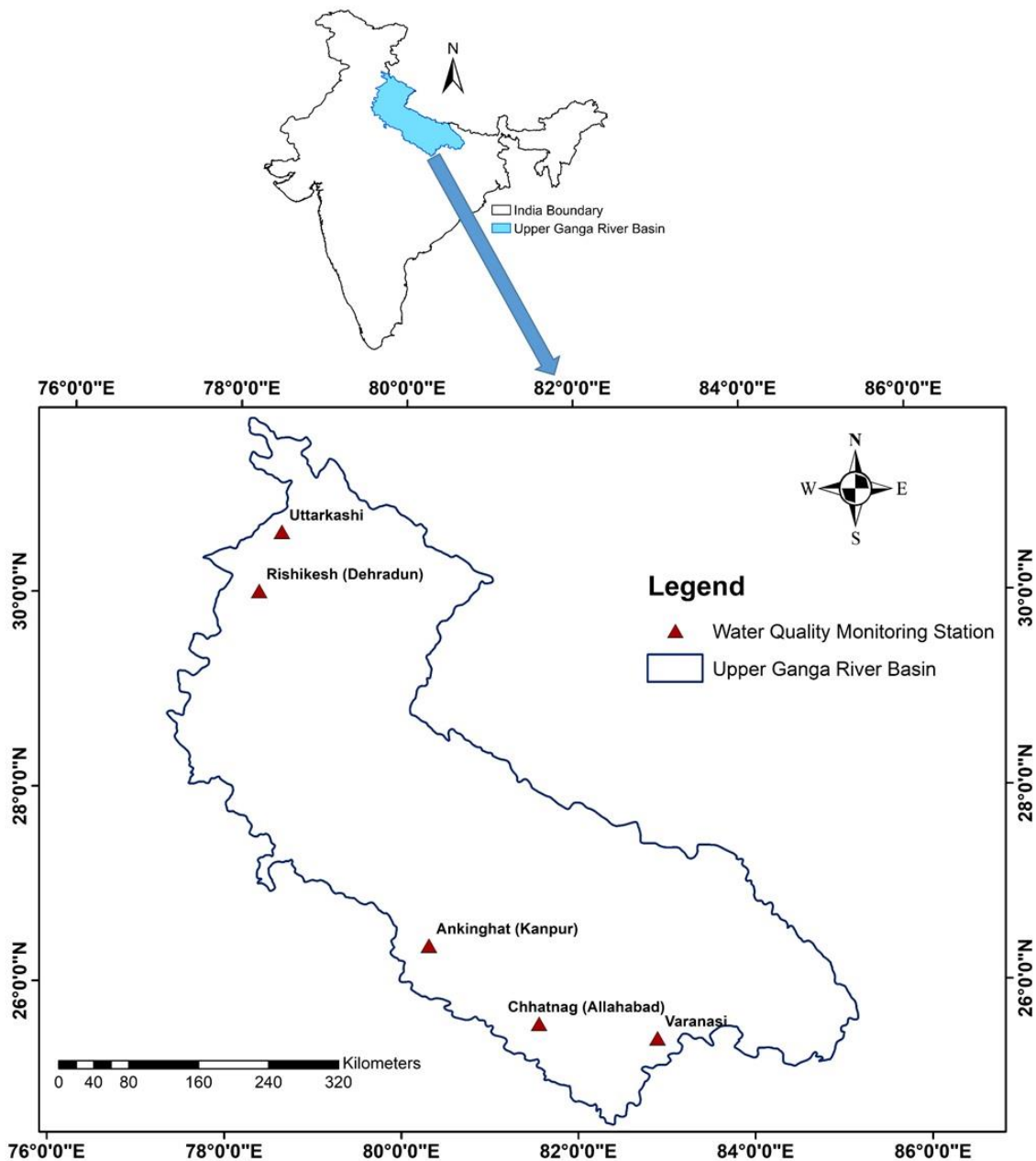
214 The Upper ~~Ganges~~ Ganga River basin (~~URB~~) (UGRB) is experiencing rapid rate of change in  
215 LULC and irrigation practices. A part of the Upper Ganga River basin is selected as the study  
216 area (Fig. 1). It is located ~~in the parts of~~ partly in Uttarakhand, Uttar Pradesh, Bihar and  
217 Himanchal Pradesh states of India and covers a total drainage area of ~~238347.74~~ 238348 km<sup>2</sup>.  
218 The geographical extent of the river basin is between 24<sup>0</sup> 32' 16"–31<sup>0</sup> 57' 48" N to 76<sup>0</sup> 53'  
219 33"–85<sup>0</sup> 18' 25" E. The altitude ranges from 7500 m in the Himalayan region to 100 m in the  
220 lower Gangetic plains. Some mountain peaks in the headwater reaches are permanently  
221 covered with snow. Annual average rainfall in the ~~URB~~ UGRB is in the range of 550-2500  
222 mm (Bharati and Jayakody 2010). Major rivers contributing this river basin are Bhagirathi,  
223 Alaknanda, Yamuna, Dhauliganga, Pindar, Mandakini, Nandakini, Ramganga, Tamsa (Tons),  
224 etc. Tehri Dam constructed on Bhagirathi River is an important **multipurpose** hydropower  
225 project **along with several other smaller hydropower projects of low capacity.** This region

226 comprises of major cities and towns such as Allahabad, Kanpur, Varanasi, Dehradun,  
227 Rishikesh, Haridwar, Moradabad, Bareilly Bijnor, Garhmukteshwar, Narora, Farrukhabad,  
228 Badaun, Chandausi, Amroha, Kannauj, Unnao, Fatehpur, Mirzapur, etc. Most predominant  
229 soil groups found in ~~the~~ **this** region are alluvial, sand, loam, clay and their combinations. Due  
230 to favorable agricultural conditions majority of the population practices agriculture and  
231 horticulture. However, a large portion of the total population lives in cities located mainly  
232 along Ganga River. Most of them work in urban or industrial areas.



248 **Figure 1.** Location map of the study area in northern India and water quality monitoring  
249 stations across Upper Ganga River basin

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253 **Figure 1.** Location map of the study area in northern India and water quality monitoring  
 254 stations across Upper Ganga River basin

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256 **3. Data description acquisition**

257 **3.1 Data-acquired**

258 In this study, broadly two types of datasets were used which are listed below: (i) Spatial  
 259 datasets: (a) Shuttle Radar Topography Mission (SRTM) 1 arc-second global Digital

260 Elevation Model (DEM) of 30 m spatial resolution; and (b) Landsat 7 Enhanced Thematic  
261 Mapper Plus (ETM+) images, 23 in total, for the month of February/March in 2001 and 2012,  
262 having 30 m spatial resolution. Both SRTM DEM and time series Landsat datasets were  
263 collected from United States Geological Survey (USGS), United States of America (USA)  
264 (USGS 2016); (c) Survey of India toposheets of 1:50,000 scale from Survey of India (SoI),  
265 Government of India (GoI); (d) Published LULC, water bodies, urban landuse and wasteland  
266 maps from Bhuvan Portal, Indian Space Research Organization (ISRO), ~~Government of India~~  
267 GoI (Bhuvan 2016). SoI toposheets and published maps were used as reference to improve  
268 the LULC classification results; and (e) For ground truthing of prepared LULC maps, Ground  
269 Control Points (GCPs) were collected using Global Positioning System (GPS) during the  
270 field visit and Google Earth were used.

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272 (ii) Non-spatial datasets were acquired from various departments of ~~Government of India~~  
273 GoI: (a) Census records and related reports of the years 2001 and 2011 from Census of India  
274 (Census of India 2011); (b) Reports on LULC statistics from Bhuvan Portal, ISRO, GoI; (c)  
275 Monthly water quality datasets (BOD, DO%, Flouride (F), Hardness CaCO<sub>3</sub>, pH, Total  
276 Coliform Bacteria and Turbidity) of the year 2001-2012 from Central Water Commission  
277 (CWC); and (d) Water quality reports from Central Pollution Control Board (CPCB), Uttar  
278 Pradesh Pollution Control Board (UPPCB), CWC and National Remote Sensing Centre  
279 (NRSC), ISRO, GoI.

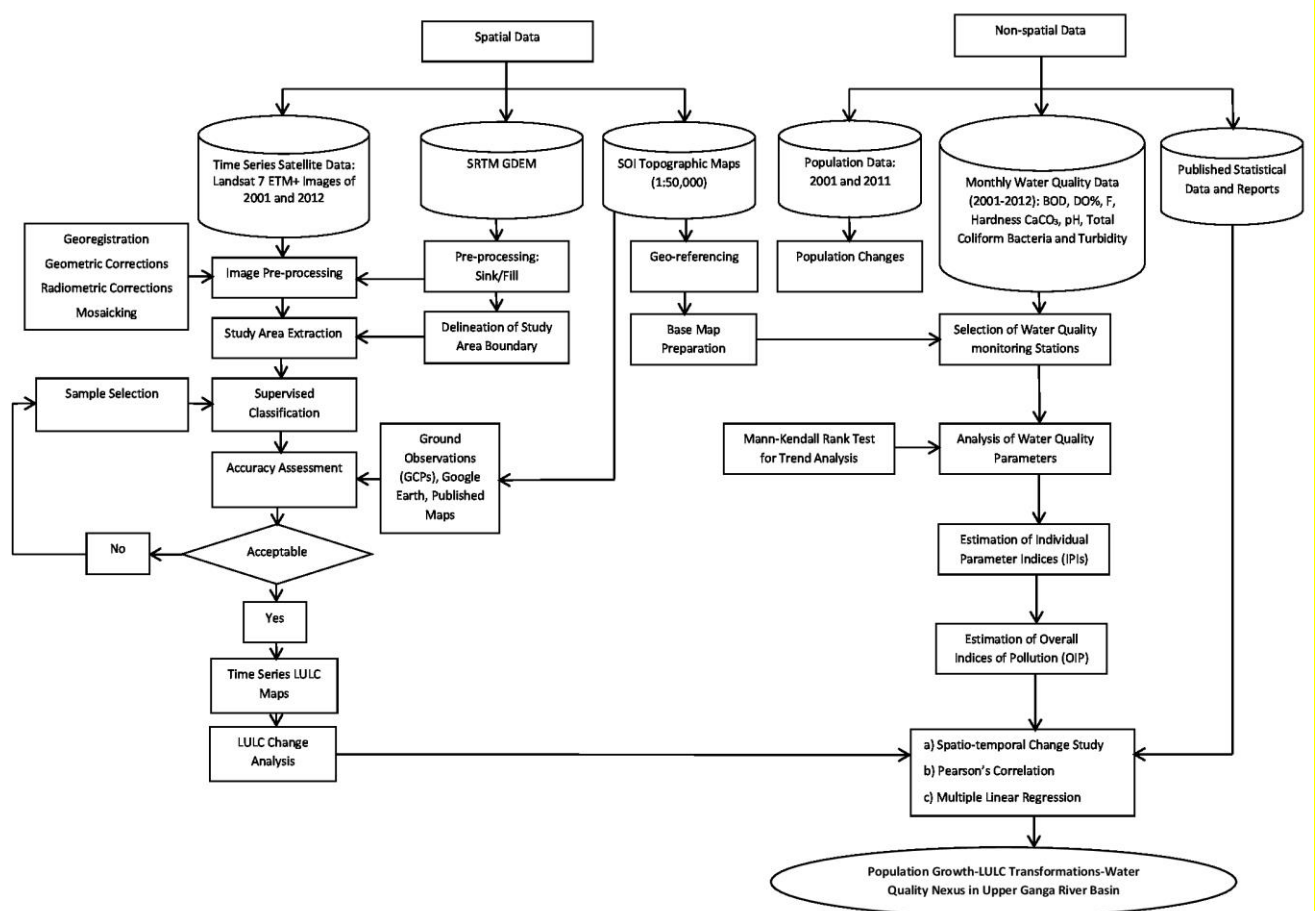
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## 281 **4. Data preparation and methodology**

### 282 **4.1 Delineation of the river basin**

283 This section discusses the data preparation and step-by-step methodology carried out in this  
284 study. Flowchart of the methodology is illustrated in Fig. 2. First, a field reconnaissance

285 survey was conducted in the Upper Ganga River basin, India to understand the study area.  
 286 The global SRTM DEM (30 m spatial resolution) was pre-processed by filling sinks in the  
 287 dataset using ArcGIS 10.1 Geo-processing tools. Further, Upper Ganga River basin boundary  
 288 was delineated following a series of steps using ArcHydro tools. The following base layers  
 289 were manually digitized for the study area viz. stream network, railway lines, road network,  
 290 major reservoirs, canals and settlements using SoI topographic maps and updated further with  
 291 recent available Landsat ETM+ dataset of the year 2012.



292  
 293 **Figure 2.** Flowchart illustrating methodology and steps followed in the study

294  
 295 **4.2 Population analysis**

296 Census of India, GoI provided village wise population data for rural areas and ward/city wise  
297 population data for urban areas for the years 2001 and 2011. Village and ward wise  
298 population data of 77 districts, falling into Upper Ganga River basin were identified and  
299 organized into rural and urban population. Total population and population growth rate  
300 (PGR) were statistically estimated for 77 individual districts and for the complete study area  
301 over the years 2001 and 2011. Population growth rates were also estimated for rural and  
302 urban populations. In addition, the total population and population growth rates were  
303 estimated for upper and lower reaches of the study area. These comprehensive analyses were  
304 done to understand the demographic changes occurring in the study region.

305

#### 306 **4.3 LULC mapping and change detection**

307 For LULC mapping and change analysis, preprocessing of the time series satellite dataset is  
308 required (Lu and Weng 2007). Landsat 7 ETM+ dataset of the years 2001 and 2012 were  
309 downloaded from USGS website. Each year consisted of 23 images of February/March  
310 months. Images of same months were used to reduce errors in LULC change detection due to  
311 different seasons. Due to failure in Scan Line Corrector (SLC) of the Landsat 7 satellite, the  
312 images of year 2012 had scan line errors, which resulted in 22% of data gap in each scene.  
313 However, with only 78% of data availability per scene, it is some of the most radiometrically  
314 and geometrically accurate satellite dataset in the world and therefore it is still very useful for  
315 various studies (USGS 2018). For heterogeneous regions, Neighbourhood Similar Pixel  
316 Interpolator (NSPI) is the simple and most effective method to interpolate the pixel values  
317 within the gaps with high accuracy (Chen et al. 2011; Gao et al. 2016; Liu and Ding 2017;  
318 Zhu et al. 2012; Zhu and Liu 2014). Therefore to correct scan line errors, IDL code for NSPI  
319 algorithm developed by Chen et al. (2011) was run on ENVI version 5.1. This algorithm

320 filled the data gaps in the satellite images with high accuracy i.e. Root Mean Square Error  
321 (RMSE) of 0.0367.

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323 Further, satellite images were georeferenced to a common coordinate system i.e. World  
324 Geodetic System (WGS) 1984 Universal Transverse Mercator Zone 43 N for proper  
325 alignment of features in the study area. Total 75 control points were chosen from Survey of  
326 India (SoI) toposheets of scale 1:50,000 which were used as base map for georectification. To  
327 make the two satellite images comparable a good radiometric consistency and proper  
328 geometric alignment is required. But it is difficult to achieve due differences in atmospheric  
329 conditions, satellite sensor characteristics, phenological characteristics, solar angle, and  
330 sensor observation angle on different images (Shukla et al. 2017). A relative geometric  
331 correction (image to image coregistration) method was employed to maintain geometric  
332 consistency of both the satellite images using Polynomial Geometric Model and Nearest  
333 Neighbour resampling method. The recent Landsat ETM+ image of 2012 was used as  
334 reference image for coregistration and the image of 2001 was georectified with respect to it.  
335 Root Mean Square Error (RMSE) of less than 0.5 was used as criteria for geometric  
336 corrections of the images to ensure good accuracy (Gill et al 2010; Samal and Gedam 2015).

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338 To reduce the radiometric errors and get the actual reflectance values the Topographic and  
339 Atmospheric Correction for Airborne Imagery (ATCOR-2) algorithm available in ERDAS  
340 Imagine 2016 was used. SRTM DEM was used to derive the characteristics viz. slope, aspect,  
341 shadow and skyview. This algorithm provided a very good accuracy in removing haze, and in  
342 topographic and atmospheric corrections of the images (Gebremicael et al. 2017; Muriithi  
343 2016). Finally, image regression method was applied on the images to normalize the  
344 variations in the pixel brightness value due to multiple scenes taken on different dates.

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The images were mosaicked and study area was extracted. Total 2014 Ground Control Points (GCPs) were collected from GPS (dual frequency receiver: SOKKIA: Model No. S-10) survey during the field visit and from Google Earth, with horizontal accuracy in the range of 2-5 m. 1365 GCPs were used to train the Maximum Likelihood Classifier (MLC) and the remaining 649 points (collected from GPS) were later used for accuracy assessment. Out of 1365 GCPs, 830 GCPs were collected using GPS survey and remaining 535 were collected from Google Earth images. In the present study, to account for spatial autocorrelation among different LULC features, before image classification an exploratory spectral analysis was done using histograms of each band to understand the spectral characteristics of the LULC classes. The spatial autocorrelation was analysed using semivariogram function which is measured by setting variance against variable distances (Brivio et al. 1993). The estimated semivariogram was plotted to assess the spatial autocorrelation in respective bands in the satellite image. The range and shape (piecewise slope) of the semivariograms were examined visually to determine the appropriate sizes for training data, window size and sampling interval for spatial feature extraction (Chen 2004; Xiaodong et al. 2009).

A window size of  $7 \times 7$  was chosen for sampling the training data, which gives the better classification results on Landsat ETM+ images (Wijaya et al. 2007). While developing the spectral signatures for different LULC classes, information acquired from band histograms and Euclidean distances were used for class separability. SoI topographic maps, Google Earth images, published LULC, water bodies, urban landuse and wasteland maps of Bhuvan Portal were used as reference to improve the LULC classification results. Due to higher confusion between barren land and urban areas at few places, urban areas were classified independently by masking it on the image. Uncertainties in misclassification between forest and agricultural



370 land were reduced by adding more training samples. This significantly improved the  
371 classification accuracy (Gebremicael et al. 2017). Hence, Maximum Likelihood Classifier  
372 (MLC) of supervised classification approach was used to classify the time series images into  
373 six LULC classes, viz. snow/glaciers, forests, built-up lands, agricultural lands, water bodies  
374 and wastelands. LULC distribution was estimated for the years 2001 and 2012. Due to lack of  
375 ground truth data of the year 2001, the accuracy assessment was done for the LULC of the  
376 year 2012. Both time series satellite dataset are of Landsat ETM+ with same spatial  
377 resolution of 30 m and a large number of GCPs are available for the year 2012. Hence,  
378 LULC map of year 2012 would represent the overall accuracy of both the maps. A simple  
379 random sampling of 649 pixels belonging to corresponding image objects were selected and  
380 verified against reference data (649 GCPs).

381

382 In this sampling method, selection of sample units was done in such a way that every possible  
383 distinct sample got the equal chance of selection. This sampling method provided  
384 comparatively better results on the large image size following the rule of thumb  
385 recommended by Congalton i.e. minimum 75-100 samples should be selected per LULC  
386 category for large Images (Congalton 1991; Foody 2002; Goncalves et al. 2007; Hashemian  
387 et al. 2004; Kiptala et al. 2013; Samal and Gedam 2015). Following the Congalton's thumb  
388 rule for better accuracy in simple random sampling, GCPs were selected in the range of 94-  
389 137 for each LULC class in proportion to their areal extent on the image. Therefore,  
390 sufficient spatial distribution of the sampling points was achieved for each LULC class.  
391 Accuracy assessment results were presented in confusion matrix showing characteristic  
392 coefficients viz. User's accuracy, Producer's accuracy, Overall accuracy and Kappa  
393 coefficients. The confusion matrix gave the ratio of number of correctly classified samples to  
394 the total number of samples in the reference data. The User's accuracy (errors of commission)

395 and Producer's accuracy (errors of omission) expressed the accuracy of each LULC types  
396 whereas the overall accuracy estimated the overall mean of user accuracy and producer  
397 accuracy (Campbell 2007; Congalton 1991; Jensen 2005). The Kappa coefficient denoted the  
398 agreement between two datasets corrected for the expected agreement (Gebremicael et al.  
399 2017). Further, post classification change detection method was employed for comparing  
400 LULC maps of 2001 and 2012. This method provided comparatively accurate results than  
401 image difference method (Samal and Gedam 2015). LULC distribution and change statistics  
402 between the years 2001 and 2012 were estimated for individual districts and for complete  
403 UGRB.

404

### 405 ~~3.2 Field data and water quality monitoring stations~~

406 ~~The total of 649 validation points for LULC map of 2012 were selected by visual~~  
407 ~~interpretation of high-resolution imagery on Google Earth and verified with ground truth data~~  
408 ~~collected after a survey of the site in 2012. In addition, GPS survey was carried out and~~  
409 ~~samples of LULC were collected in the Upper Ganga River basin. These ground truth GPS~~  
410 ~~data were used to relate land cover to the supervised classifications results.~~

411

## 412 **4.4 Water quality analysis**

### 413 **4.4.1 Selection of water quality monitoring stations**

414 To understand the impacts of LULC transformations on water quality of the ~~Upper Ganga~~  
415 ~~River basin~~ UGRB, two water quality monitoring stations viz. Uttarkashi and Rishikesh were  
416 chosen in the upper reaches of the river basin. This part of the river basin comprises of hilly  
417 undulating terrain with moderately less anthropogenic influences. Moreover, three water  
418 quality monitoring stations viz. Ankinghat (Kanpur), Chhatnag (Allahabad), and Varanasi  
419 were selected in the lower reaches of the river basin. This part of the river basin falls under

420 Gangetic plains with extreme anthropogenic activities. Spatio-temporal changes in the water  
421 quality of these monitoring stations were examined over a period of year 2001-2012 and  
422 LULC-OIP relationship was studied using various statistical analyses viz. Mann Kendall rank  
423 test, OIP, Pearson's correlation and multiple linear regression.

424

#### 425 **4. Methodology**

426 ~~Flow chart of the methodology illustrated in Fig. 2 shows that the study is conducted in three~~  
427 ~~phases: (i) In the first phase, remote sensing and GIS techniques are used. First SRTM DEM~~  
428 ~~data is pre-processed by filling the sinks in the dataset using ArcGIS 10.1 Geo-processing~~  
429 ~~tools. After pre-processing of the SRTM DEM, Arc Hydro tools are used to delineate the~~  
430 ~~Upper Ganga River basin boundary using geo-processing techniques. Landsat satellite dataset~~  
431 ~~of each year consisted of 23 images of February/March. The images of same months are used~~  
432 ~~to reduce errors in LULC change detection due to LULC of different seasons. The satellite~~  
433 ~~images are first geo-registered and mosaicked. To achieve the consistent radiometric and~~  
434 ~~geometric images for LULC change analysis, relative geometric correction methods are~~  
435 ~~employed to have good geometric consistency between the time series satellite images. The~~  
436 ~~geometrically rectified images must have Root Mean Square Error (RMSE) less than 0.5.~~  
437 ~~This is the criteria often used for geometric corrections of the satellite images (Samal and~~  
438 ~~Gedam 2015). After extracting the study area, samples are collected for each LULC class and~~  
439 ~~Maximum Likelihood Classifier (MLC) of supervised classification approach is used to~~  
440 ~~classify the time series satellite images of both 2001 and 2012 years into 6 LULC classes, viz.~~  
441 ~~snow cover, forests, built-up lands, agricultural lands, water bodies and wastelands. Accuracy~~  
442 ~~assessment is done using GCPs collected from field visit, SoI topographic maps and Google~~  
443 ~~Earth images. SoI topographic maps and published LULC, water bodies, urban landuse and~~  
444 ~~wasteland maps of Bhuvan Portal are used as reference to improve the LULC classification~~

445 results. A confusion matrix is generated showing accuracy statistics of the LULC map. Due  
446 to a lack of ground truth data of year 2001, the accuracy assessment is done for the LULC of  
447 the year 2012. Both time-series satellite dataset are of Landsat ETM+ with spatial resolution  
448 of 30 m and a large number of GCPs are available for the year 2012. Hence, LULC map of  
449 year 2012 would represent the overall accuracy of both the maps.

450 Further, post-classification change detection method is used for change detection in the study  
451 area; (ii) in the second phase, population data available for year 2001 and 2011 are analyzed  
452 statistically to understand the population growth in the region. Census of India, provides  
453 village-wise population data for rural areas and ward/city-wise population data for urban  
454 areas. The population data of 77 districts falling into Upper Ganga River basin are organized  
455 into rural and urban populations to study population change patterns in the study area  
456 between the years 2001 and 2011; and (iii) in the third phase, first the statistical analysis and  
457 non-parametric Mann-Kendall rank test are performed on seven monthly water quality  
458 parameters (BOD, DO%, Fluoride (F), Hardness  $\text{CaCO}_3$ , pH, Total Coliform Bacteria and  
459 Turbidity) of the five water quality monitoring stations viz. Uttarkashi, Rishikesh, Kanpur  
460 (Ankinghat), Allahabad (Chhatnag), and Varanasi. Further, a Water Quality Index (WQI)  
461 called 'Overall Index of Pollution' (OIP) developed by Sargoankar and Deshpande (2003) is  
462 used to study spatio-temporal variations in the water quality of pre-monsoon, monsoon and  
463 post-monsoon seasons of Upper Ganga River basin.

464

#### 465 **4.4.2 Mann Kendall test on monthly water quality data**

466 A non-parametric Mann-Kendall rank test (Mann 1945; Kendall 1975) was performed on the  
467 seven monthly water quality parameters viz. BOD, DO%, F, Hardness  $\text{CaCO}_3$ , pH, Total  
468 Coliform Bacteria and Turbidity, of the five water quality monitoring stations to understand  
469 the existing trends in the water quality parameters of the years 2001-2012. In this test, the

470 null hypothesis  $H_0$  assumed that there is no trend (data is independent and randomly ordered)  
471 and it was tested against the alternative hypothesis  $H_1$ , which assumed that there is a trend.  
472 The standard normal deviate (Z-statistic) was computed following a series of steps as given  
473 by Helsel and Hirsch 1992; and Shukla and Gedam 2018. The positive value of Z test showed  
474 a rising trend and a negative value of it indicates a falling trend in the water quality data  
475 series. The significance of Z test was observed on confidence level 90%, 95% and 99%. The  
476 test was performed on monthly water quality data of January to December of the years 2001-  
477 2012. Standard Deviation (SD) was estimated separately for each month.

478

#### 479 **4.4.3 Estimation of OIP**

##### 480 **4.1 Overall Index of Pollution (OIP)**

481 ~~Overall Index of Pollution (OIP) is a Water Quality Index (WQI) developed by Sargoankar~~  
482 ~~and Deshpande (2003) which assesses the health status of surface waters, specifically under~~  
483 ~~Indian conditions. It is a general classification scheme based on the concept similar to Prati et~~  
484 ~~al. (1971). It takes into consideration the water quality standards/classification scheme of~~  
485 ~~various national and international agencies, viz. Central Pollution Control Board (CPCB),~~  
486 ~~India; water quality standards of Indian Standards Institution 10500 (ISI); water quality~~  
487 ~~standards of European Community (EC) and World Health Organization (WHO), etc. and~~  
488 ~~reported pollution effects of important water quality indicator parameters.~~

489

490 For selecting water quality index the following criteria is followed (Abbasi and Abbasi, 2012;  
491 Horton 1965): (i) limited number of variables should be handled by the used index to avoid  
492 making the index unwieldy; (ii) the variables used in the index should be significant in most  
493 areas, (iii) only reliable data variables for which the data are available should be included.  
494 Hence, seven most relevant water quality parameters in Indian context i.e. BOD, DO%, Total

495 Coliform (TC), F, Turbidity, pH and Hardness  $\text{CaCO}_3$  that are affected due to changes in  
496 LULC are chosen. BOD, DO%, and Total Coliform (TC) are the parameters mainly affected  
497 by urban pollution. F, Turbidity and pH are general water quality parameters affected by both  
498 natural and anthropogenic factors. However, Hardness  $\text{CaCO}_3$  is a parameter affected mainly  
499 by agricultural activities and urban pollution.

500

501 In the present study Overall Index of Pollution (OIP) developed by Sargaonkar and  
502 Deshpande (2003) was used which is a general water quality classification scheme  
503 specifically for tropical Indian conditions where, in the proposed classes (C1:Excellent;  
504 C2:Acceptable; C3:Slightly Polluted; C4:Polluted; and C5:Heavily Polluted water), the  
505 concentration levels/ranges of the significant water quality indicator parameters are defined  
506 based on the Indian and International water quality standards (Indian Standard Specification  
507 for Drinking Water, IS-10500, 1983; Central Pollution Control Board, Government of India,  
508 classification of inland surface water, CPCB- ADSORBS/3/78-79; water quality standards of  
509 European Community (EC); World Health Organization (WHO) guidelines; standards by  
510 WQIHSR; and Tehran Water Quality Criteria by McKee and Wolf). In this scheme, water  
511 quality status was reflected in terms of pollution effects caused by parameters considered  
512 under the study. In order to bring the different water quality parameters into a common unit,  
513 an integer value (also known as class index) 1, 2, 4, 8 and 16 ~~is~~ was assigned to each class i.e.  
514 C1, C2, C3, C4 and C5 respectively in geometric progression. The class indices indicated the  
515 pollution level of water in numeric terms (~~Table 2~~) (Table 1). The concentration value of the  
516 parameter ~~is~~ was then assigned to the respective mathematical equation of value function  
517 curves to obtain one number value called an Individual Parameter Index (IPI) or ( $P_i$ ) (~~Table~~  
518 ~~3~~) (Table 2). Hence, IPIs were calculated for each parameter at a given time interval. Finally,  
519 the ~~Overall Index of Pollution~~ OIP ~~is~~ was calculated as a mean of ~~all the Individual Parameter~~

520 Indices IPIs or  $(P_i)$  of all the seven water quality parameters considered in the study and  
521 mathematically it is given by expression:

$$522 \quad \text{Overall Index of Pollution (OIP)} = \frac{\sum_i P_i}{n} \quad (1)$$

523 Where,  $P_i$  is the pollution index for the  $i$ th parameter,  $i=1, 2, \dots, n$  and  $n$  denotes the number  
524 of parameters. Finally, OIP was estimated for each water quality monitoring station across  
525 the UGRB over a period of 2001 to 2012. It gives the combined cumulative pollution  
526 effect of all the water quality parameters on the water quality status of a particular monitoring  
527 station in a given time. For each water quality monitoring station of UGRB, the OIP was  
528 estimated for three primary seasons i.e. pre-monsoon, monsoon and post-monsoon seasons.

529 In case some additional relevant water quality parameters are required to be considered, an  
530 updated OIP can be developed using methodology given by Sargaonkar and Deshpande  
531 (2003). The mathematical value function curves can be plotted for the new parameters to get  
532 the mathematical equations which will help to calculate IPIs. As OIP uses an additive  
533 aggregation method, the average of IPIs of all the parameters will estimate updated OIP.

534 ~~Table 1 presents the water quality parameters across Upper Ganga River basin for pre-~~  
535 ~~monsoon, monsoon and post-monsoon seasons over periods of 2001 and 2012.~~

536

537 ~~Using mathematical equations given in Table 3, Individual Parameter Indices (IPIs) are~~  
538 ~~calculated for each parameter at a given time interval. Finally, OIP is estimated for each~~  
539 ~~water quality monitoring station across the Upper Ganga River basin over a period of 2001 to~~  
540 ~~2012. OIP is developed by taking mean of IPIs of all the water quality parameters which is~~  
541 ~~computed by mathematical expression Eq. (1). While calculating OIP, the mean of IPIs all~~  
542 ~~the seven parameters, viz. BOD, DO %, Flouride (F), Hardness  $\text{CaCO}_3$ , pH, Total Coliform~~  
543 ~~Bacteria and Turbidity are used. It gives the combined effect of all the water quality~~  
544 ~~parameters on the water quality status of a particular station in a given time. All the OIP were~~

545 calculated for each station data in the basin for pre-monsoon, monsoon and post-monsoon  
 546 seasons. Further, spatio-temporal variations in the water quality as a result of LULC  
 547 transformations were studied for study basin using OIP.

548

549 **Table 1.** Water quality parameters across Upper Ganga River basin for pre-monsoon,  
 550 monsoon and post-monsoon seasons over periods of 2001-2012

551 (i)

Parameters (Year 2001)	Water Quality Monitoring Stations														
	Uttarkashi			Rishikesh			Kanpur			Allahabad			Varanasi		
	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov
BOD	1.1	1.1	1.1	1.1	1.0	1.1	2.8	1.7	2.4	4.0	4.2	3.7	2.5	2.2	1.8
DO%	88	104	89	71	60	64	89	96	93	92	84	95	90	92	85
F	0.19	0.04	0.22	0.23	0.16	0.26	0.61	0.21	0.34	0.09	0.50	0.51	0.3	0.05	0.51
Hardness-CaCO <sub>3</sub>	65	60	68	76	67	74	99	78	86	95	194	159	99	176	142
pH	8.1	8.1	8.1	8.1	8.1	8.1	8.0	8.3	8.1	8.2	8.3	8.2	8.2	8.4	8.2
Total Coliform	-	-	-	-	-	-	-	-	-	3000	6200	6500	5100	5300	2400
Turbidity	-	-	-	-	-	-	2.0	3.1	2.3	0.1	0.2	0.1	0.1	0.1	0.1

552

553 (ii)

554

Parameters (Year 2012)	Water Quality Monitoring Stations														
	Uttarkashi			Rishikesh			Kanpur			Allahabad			Varanasi		
	Ma	Jul	Nov	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov
BOD	1.1	1.2	1.0	1.0	1.2	1.2	7.0	10.0	4.0	2.9	3.2	2.4	3.0	3.9	2.9
DO%	73	64	73	81	75	77	86	75	90	85	108	98	101	98	98
F	0.4	0.26	0.44	0.09	0.19	0.06	0.70	0.80	0.51	0.51	0.67	0.56	0.57	0.54	0.52
Hardness-CaCO <sub>3</sub>	45	24	34	33	23	56	110	102	90	97	85	92	89	75	81



---

pH	7.8	7.7	7.6	7.8	8.0	7.8	8.7	8.4	8.1	8.2	8.5	8.2	8.7	8.4	8.7
Total Coliform	-	-	-	-	-	-	-	-	-	5200	5800	4600	5600	7300	4700
Turbidity	-	-	-	-	-	-	4.0	6.0	5.4	0.1	0.5	0.1	0.1	0.2	0.1

---

555

556 **Table 21.** Classification scheme of water quality used in OIP (Source: Sargoankar and Deshpande 2003)

Classification	Classif Class	Class Index (Score)	Concentration Limit / Ranges of Water Quality Parameters						
			BOD	DO	F	Hardness	pH	Total Coliform	Turbidity
			(mg/L)	(%)	(mg/L)	CaCO <sub>3</sub> (mg/L)	(pH unit)	(MPN/100 mL)	(NTU)
Excellent	C <sub>1</sub>	1	1.5	88-112	1.2	75	6.5-7.5	50	5
Acceptable	C <sub>2</sub>	2	3	75-125	1.5	150	6.0-6.5 and 7.5-8.0	500	10
Slightly Polluted	C <sub>3</sub>	4	6	50-150	2.5	300	5.0-6.0 and 8.0-9.0	5000	100
Polluted	C <sub>4</sub>	8	12	20-200	6.0	500	4.5-5 and 9-9.5	10000	250
Heavily Polluted	C <sub>5</sub>	16	24	<20 and >200	<6.0	>500	<4.5 and >9.5	15000	>250

557

558 **Table 32.** Mathematical expressions for value function curves (Source: Sargoankar and

559 Deshpande 2003)

S. No.	Parameter	Concentration Range	Mathematical Expressions
1.	BOD	<2	$x = 1$
		2-30	$x = y/1.5$
2.	DO%	≤50	$x = \exp(-(y - 98.33)/36.067)$
		50-100	$x = (y - 107.58)/14.667$
		≥100	$x = (y - 79.543)/19.054$
3.	F	0-1.2	$x = 1$
		1.2-10	$x = ((y/1.2) - 0.3819)/0.5083$
4.	Hardness CaCO <sub>3</sub>	≤75	$x = 1$
		75-500	$x = \exp(y + 42.5)/205.58$
		>500	$x = (y + 500)/125$
5.	pH	7	$x = 1$
		>7	$x = \exp((y - 7.0)/1.082)$
		<7	$x = \exp((7 - y)/1.082)$
6.	Total Coliform	≤50	$x = 1$
		50-5000	$x = (y/50)**0.3010$
		5000-15000	$x = ((y/50) - 50)/16.071$
		>15000	$x = (y/15000) + 16$
7.	Turbidity	≤10	$x = 1$
		10-500	$x = (y + 43.9)/34.5$

560

561

562

563 **4.5 Statistical analysis**

564 Due to religious, economic and historical importance of River Ganga, the most important  
565 cities/districts of UGRB are present in the proximity to River Ganga. The water quality of  
566 selected monitoring stations is highly influenced by type of activities undergoing in the  
567 district where they are located. In a study, buffer zones of different thresholds were created  
568 surrounding a water quality monitoring station to determine the dominant LULC class that  
569 affects the water quality of that particular station (Kibena et al. 2014). However, in UGRB  
570 the population data was available at district level not at buffer level. Districts selected in this  
571 study consisted of both urban and rural areas. District wise LULC change was extremely  
572 helpful in comprehending the water quality changes at the local scale and to identify source  
573 of pollutants at a particular monitoring station. Whereas LULC changes at the basin level  
574 provided a broad outlook on the status of water quality of the study area which is also very  
575 useful for some applications. Though the spatial/mapped data could be more useful and  
576 relevant when compared with remote sensing data. But the monitoring stations in the UGRB  
577 were scarce. Therefore, over a relatively large study area the interpolation maps generated  
578 using OIP was not likely to provide very good comparison results with LULC changes.  
579 Hence, districts were chosen as a unit and district wise population and LULC distribution  
580 were related to water quality (OIP) of the monitoring stations to comprehend the nexus  
581 between them.

582

583 Various methods/models are already developed to study effects of LULC changes on water  
584 quality. However, these methods could not be applied directly to a region because of the  
585 differences in the data availability, climatic, topographic and LULC variations that may  
586 introduce errors. Necessary modifications were made in the present evaluation methodology  
587 as required. Due to unavailability of the continuous population, satellite (LULC) and water

588 quality data at desired interval in UGRB, establishing the interrelationship between these  
589 factors is not trivial. Therefore, to develop the relationship between LULC classes and water  
590 quality (OIP), a 2-time slice analysis was done for the years 2001 and 2012 with seasonal  
591 component. Multivariate statistical analyses viz. Pearson's Correlation and multiple linear  
592 regression were employed between LULC classes (independent variable) and OIP (dependent  
593 variable). Pearson's Correlation determined strength of association between the variables  
594 whereas prediction regression model was developed using multiple linear regression.

595

## 596 **5. Results and discussion**

597 Section 5.1 presents the results of population changes in the districts of UGRB and complete  
598 study area. Section 5.2 presents the accuracy assessment results of LULC map, followed by  
599 Section 5.3, where the LULC distribution across the study area is discussed both at basin  
600 scale and at district scale. Section 5.4 presents the trend analysis results of monthly water  
601 quality data. In Section 5.5 population growth-LULC transformation-water quality nexus has  
602 been described for complete UGRB, whereas Section 5.6 presents the nexus for the five  
603 districts separately. Finally, Section 5.7 described the relationship between LULC and water  
604 quality (OIP).

605

### 606 **5.1 Population dynamics ~~in the Upper Ganga River basin~~**

607

608 Analysis of the population dataset ~~The first objective of the study was to understand how~~  
609 ~~population has changed in the basin of Upper Ganga River basin. Time series population data~~  
610 ~~of the years 2001 and 2011, acquired from Census of India, GoI were analyzed for the basin~~  
611 ~~reveals that population has increased in all the~~ A total 77 districts of the four different states,  
612 viz. Uttar Pradesh, Uttarakhand, Bihar and Himanchal Pradesh that lie in the ~~Upper Ganga~~

613 River basin UGRB boundary. Consequently, the total population of UGRB has also increased  
614 (Table 3), the Census data provided by Census of India, GoI, is available village wise for  
615 rural areas and ward/city wise for urban areas. It is used to estimate the urban and rural  
616 population of the study area to understand its demographic patterns. From the results it is  
617 observed that total population has increased tremendously over the past decades from 2001 to  
618 2011 of UG basin. Total population of Upper Ganga River basin is 172,415,564 and  
619 198,762,389 individuals in 2001 and 2011, respectively. Total rural population of basin is  
620 estimated to be 136,819,415 and 153,854,986 persons in 2001 and 2011, respectively  
621 whereas urban population varied from 35,596,149 persons in 2001 to 44,907,403 persons in  
622 2011. Ganga River basin is the most sacred and populated river basins in India which is  
623 endowed with varying topography, climate and mineral rich alluvial soils in the Gangetic  
624 Plains area. Due to high soil fertility in the region, 60% of the population practise agricultural  
625 activities. This accounts for the high rural population in the region. Due to hilly terrain in the  
626 northern part of the basin, the population is less compared to the southern part of the basin.  
627 Due to its religious and economic significance a large number of densely populated cities and  
628 towns are located on the banks of the river mainly in the Gangetic Plain region, e.g. Kanpur,  
629 Agra, Meerut, Varanasi, Allahabad, etc. These cities have large growing populations and a  
630 rapidly expanding industrial sector (NRSC 2014). The percentage change from one period to  
631 another (population growth rate) is calculated for rural and urban population in the study area  
632 using Eq. 2 given below:

$$633 \text{ PGR} = \frac{(P_{\text{present}} - P_{\text{past}})}{P_{\text{past}}} \times 100$$

634 (2)

635 Where,

636 ——— PGR — Population Growth Rate

637 ~~—— P<sub>present</sub> Present Population~~

638 ~~—— P<sub>past</sub> Past Population~~

639 The population growth rate (PGR) of 20.45% is observed in the total population of complete  
640 river basin UGRB from 2001 to 2011. Table 3 illustrates that the PGR has increased in 74  
641 districts and rural and urban population of Upper Ganga River basin between 2001-2011. It  
642 can be observed that the PGR of urban and rural population is 26.16% and 12.45%  
643 respectively. Hence, the PGR in urban areas is much higher than rural areas between 2001 to  
644 2011. The high growth in the urban population is due to natural population growth in the  
645 various towns across the river basin and due to migration of the people not only just from  
646 villages but from different parts of the country especially to the cities of Kanpur, Varanasi  
647 and Allahabad. it is  $\geq 20\%$  in the districts having bigger urban agglomerations or cities e.g.  
648 Agra, Allahabad, Bahraich, Ghaziabad, Lucknow, Kanpur (Dehat+Nagar), Varanasi, Patna,  
649 etc. However, Almora, Pauri Garhwal and Shravasti are showing decreasing PGR. It is to be  
650 observed that these are either hilly or very small towns with poor employment opportunities.  
651 People migrate from these locations to nearby cities, therefore, decreasing the PGR. The total  
652 population of the districts consisting of the five monitoring stations, viz. Uttarkashi,  
653 Dehradun (Rishikesh), Kanpur, Allahabad and Varanasi was 295,013, 1,282,143, 5,731,335,  
654 4,936,105, and 3,138,671 people in 2001 which increased to 330,086, 1,696,694, 6,377,452,  
655 5,954,391 and 3,676,841 people in 2011, respectively. Population density of the Uttarkashi,  
656 Dehradun (Rishikesh), Kanpur, Allahabad and Varanasi districts are 41, 549, 1,024, 1,086  
657 and 2,395 persons per square km respectively. It is was noticed from Census of India reports  
658 that the population density of Dehradun (Rishikesh), Kanpur, Allahabad and Varanasi  
659 districts are much higher against the average population density of Ganga River basin, i.e.  
660 520 per square km. Varanasi is the most populated districts in the country. All these districts  
661 are located on the banks of the Ganga River; therefore, a large amount of municipal sewage

662 ~~waste and toxic industrial effluents are introduced into the river water all along these districts.~~  
663 ~~From various studies it has been already established that the water of Ganga River near~~  
664 ~~Kanpur, Allahabad and Varanasi cities is highly polluted (Gowd et al. 2010; Rai et al. 2010;~~  
665 ~~Sharma et al. 2014). Therefore, it is important to understand the demography of these districts~~  
666 ~~in addition to the population study of the complete river basin as they are directly affecting~~  
667 ~~the water quality of the Ganga River.~~

668

669 **Table 3.** Table showing total population and Population Growth Rate (PGR)% in the census  
670 years 2001 and 2011

671

S. No.	Districts	Total Population (2001)	Total Population (2011)	Population Growth Rate (PGR) %
1	Agra	3620436	4418797	22.1
2	Aligarh	2992286	3673889	22.8
3	Allahabad	4936105	5954391	20.6
4	Almora	630567	622506	-1.3
5	Ambedkar Nagar	2026876	2397888	18.3
6	Azamgarh	3939916	4613913	17.1
7	Bageshwar	249462	259898	4.2
8	Baghpat	1163991	1303048	11.9
9	Bahraich	2381072	34,87,731	46.5
10	Ballia	2761620	32,39,774	17.3
11	Balrampur	1682350	2148665	27.7
12	Barabanki	2673581	3260699	22.0
13	Bareilly	3618589	4448359	22.9
14	Basti	2084814	24,61,056	18.0
15	Bhojpur	2243144	2728407	21.6
16	Bijnor	3131619	36,82,713	17.6
17	Budaun	3069426	3681896	20.0
18	Bulandshahar	2913122	3499171	20.1
19	Buxar	1402396	1706352	21.7
20	Chamoli	370359	391605	5.7
21	Champawat	224542	259648	15.6
22	Dehradun	1282143	1696694	32.3
23	Deoria	2712650	3100946	14.3
24	Etah	15,61,705	1774480	13.6
25	Faizabad	2088928	2470996	18.3
26	Farrukhabad	1570408	1885204	20.0
27	Fatehpur	2308384	26,32,733	14.1
28	Firozabad	2052958	2498156	21.7
29	Gautam Buddha Nagar	1202030	1648115	37.1
30	Ghaziabad	3290586	4681645	42.3
31	Ghazipur	3037582	3620268	19.2
32	Gonda	2765586	3433919	24.2
33	Gopalganj	2152638	2562012	19.0
34	Gorakhpur	3769456	4440895	17.8
35	Hardoi	3398306	4092845	20.4
36	Haridwar	1447187	1890422	30.6
37	Hathras	1336031	1564708	17.1
38	Jaunpur	3911679	4494204	14.9
39	Jyotiba Phule Nagar	1499068	1840221	22.8



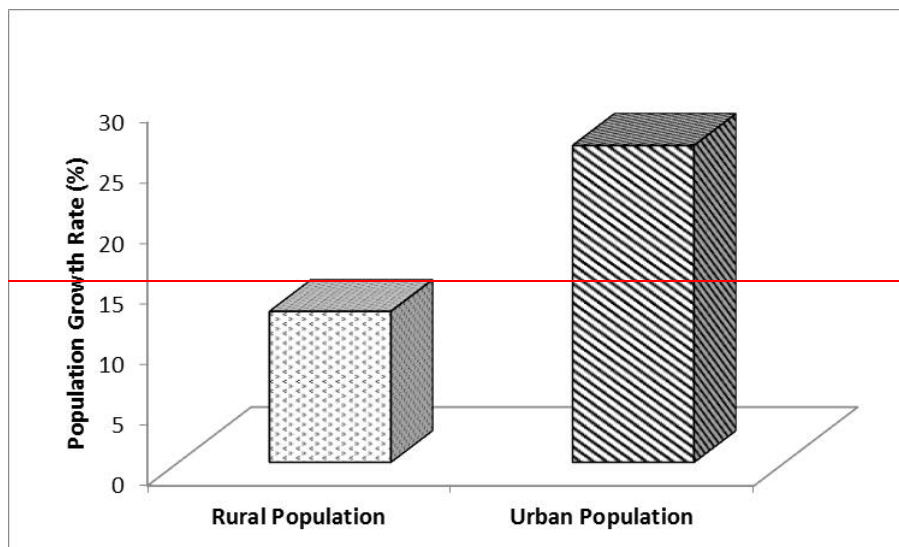
40	Kannauj	1388923	1656616	19.3
41	Kanpur Dehat	1563336	1796184	14.9
42	Kanpur Nagar	4167999	4581268	9.9
43	Kaushambi	1293154	1599596	23.7
44	Kheri	3207232	4021243	25.4
45	Kinnaur	78334	84121	7.4
46	Kushinagar	2893196	3564544	23.2
47	Lucknow	3647834	4589838	25.8
48	Maharajganj	2173878	2684703	23.5
49	Mainpuri	1596718	1868529	17.0
50	Mau	1853997	2205968	19.0
51	Meerut	2997361	3443689	14.9
52	Mirzapur	2116042	2496970	18.0
53	Moradabad	3810983	4772006	25.2
54	Muzaffarnagar	3543362	4143512	16.9
55	Nainital	762909	954605	25.1
56	Patna	4718592	5838465	23.7
57	Pauri Garhwal	697078	687271	-1.4
58	Pilibhit	1645183	2031007	23.5
59	Pithoragarh	462289	483439	4.6
60	Pratapgarh	2731174	3209141	17.5
61	Rae Bareli	2872335	3405559	18.6
62	Rampur	1923739	2335819	21.4
63	Rudraprayag	227439	242285	6.5
64	Sant Kabir Nagar	1420226	1715183	20.8
65	Sant Ravidas Nagar	1353705	1578213	16.6
66	Saran	3248701	3951862	21.6
67	Shahjahanpur	2547855	3006538	18.0
68	Shravasti	1176391	1117361	-5.0
69	Siddharthnagar	2040085	2559297	25.5
70	Sitapur	3619661	4483992	23.9
71	Siwan	2714349	3330464	22.7
72	Sultanpur	3214832	3797117	18.1
73	Tehri Garhwal	604747	618931	2.3
74	Udhamsingh Nagar	1235614	1648902	33.4
75	Unnao	2700324	3108367	15.1
76	Uttarkashi	295013	330086	11.9
77	Varanasi	3138671	3676841	17.1
Total	Upper Ganga River basin	171186859	206188401	20.45

672

673 Ganga River basin is the most sacred and populated river basins in India that is endowed with  
674 varying topography, climate and mineral rich alluvial soils in the Gangetic Plains area. Due to  
675 high soil fertility in the region, 60% of the population practise practice agricultural activities  
676 especially in the Gangetic Plains or lower reaches of the UGRB. This accounts for the high  
677 rural population in the region. Due to hilly terrain in the upper reaches of the basin, the  
678 population is less compared to the lower reaches of the basin. Due to its religious and  
679 economic significance a large number of densely populated cities and towns are located on  
680 the banks of the river mainly in the Gangetic Plain region. e.g. ~~Kanpur, Agra, Meerut,~~  
681 ~~Varanasi, Allahabad, etc.~~ These cities have large growing populations and an expanding  
682 industrial sector (NRSC 2014).

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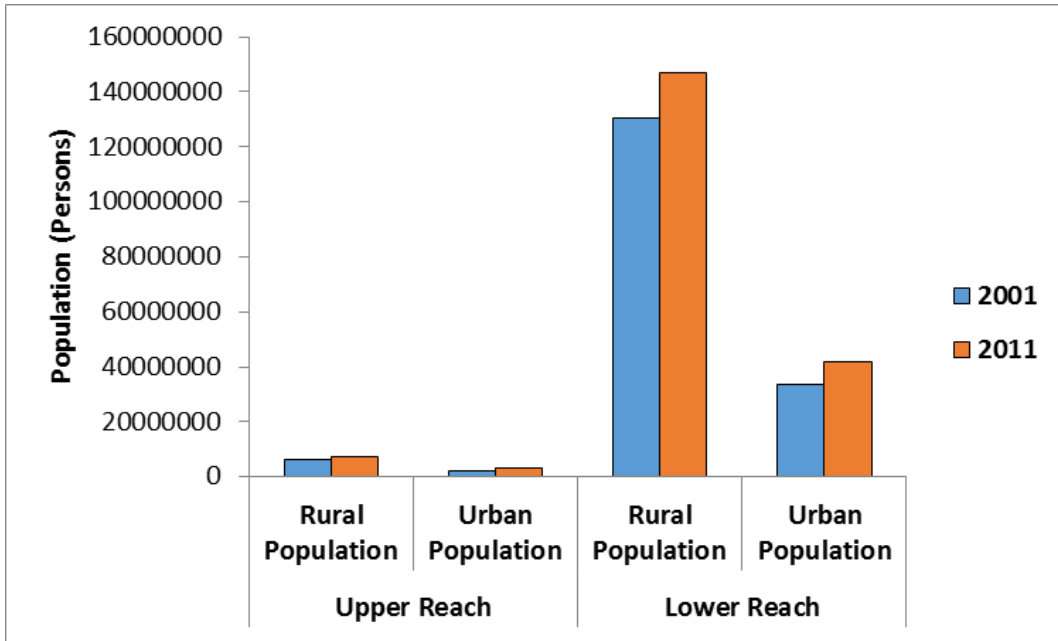
Growth rates for urban and rural areas of upper and lower reaches of UGRB were calculated from official statistics (Fig. 3). It brings forth the clear picture of comparatively high rise in the rural population of lower reaches. Urban population has also increased along with rural population in the lower reaches (Fig. 3a). Both rural and urban populations have increased in upper reaches but the growth is relatively less than lower reaches. However, PGR is higher in urban areas of both reaches between 2001 -2011, which indicates urbanization of the region (Fig. 3b). After Dehradun city was declared capital of the Uttarakhand state and due to subsequent industrialization in the region, the PGR of the upper reaches has increased. Hence, population rise in UGRB is due to natural population growth and migration of the people from remote/rural areas to urban areas.



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**Figure 3:** Population growth rate in rural and urban population of Upper Ganga River basin between 2001-2011

**(a)**

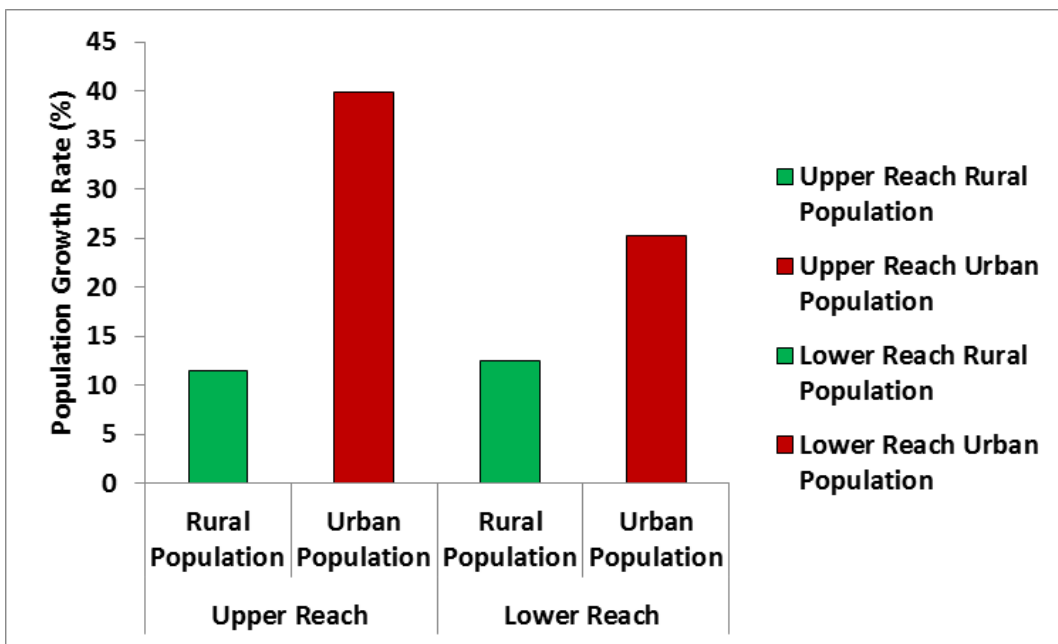


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703 (b)

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707 **Figure 3: Growth in the rural and urban population of upper and lower reaches of Upper**

708 **Ganga River basin between 2001-2011 (a) Total population, and (b) Population Growth Rate**

709 **(PGR)**

710

711 **5.2 Accuracy assessment of LULC map**

712 Post accuracy assessment, the cross-tabulation (confusion matrix) of the mapped LULC  
713 classes against that observed on the ground (or reference data) for a sample of cases at  
714 specified locations are presented in Table 4. From the results it is observed that spectral  
715 confusion is common between few classes. For e.g. frozen snow/glaciers are sometimes  
716 misclassified as built up or wastelands whereas melted ones are misinterpreted as water  
717 bodies. Similarly, forest are wrongly depicted as agricultural lands at few occasions.  
718 Sometimes barren rocky wastelands are misclassified as built up and wastelands having  
719 shrubs/grasses are misjudged as agricultural lands. Therefore, in terms of producer’s accuracy  
720 all classes are over 90%, except for three classes i.e. forest, wastelands and snow/glacier,  
721 while in terms of user’s accuracy, all the classes are very close to or more than 90% (Table  
722 4). Both producer’s and user’s accuracy are found to be consistent for all LULC classes. For  
723 the past LULC map, a similar level of accuracy level can be expected with a very little  
724 deviation. An overall classification accuracy of 90.14% was achieved with Kappa statistics of  
725 0.88, showing good agreement between LULC classes and reference GCPs. From the  
726 accuracy assessment results, it is evident that the present classification approach has been  
727 effective in producing LULC maps with good accuracy.

728

729 **Table 4.** Accuracy assessment of the 2012 LULC map produced from Landsat Enhanced  
730 Thematic Mapper Plus (ETM+) data, representing both the confusion matrix and the Kappa  
731 statistics

Classified Data	Reference Data						Row Total	User's Accuracy (%)	Overall Kappa Statistics
	Agricultural Land	Built Up	Forest	Snow & Glacier	Wastelands	Water Bodies			
Agricultural Land	128	0	6	0	3	0	137	93.43	
Built Up	2	96	2	5	1	0	106	90.57	

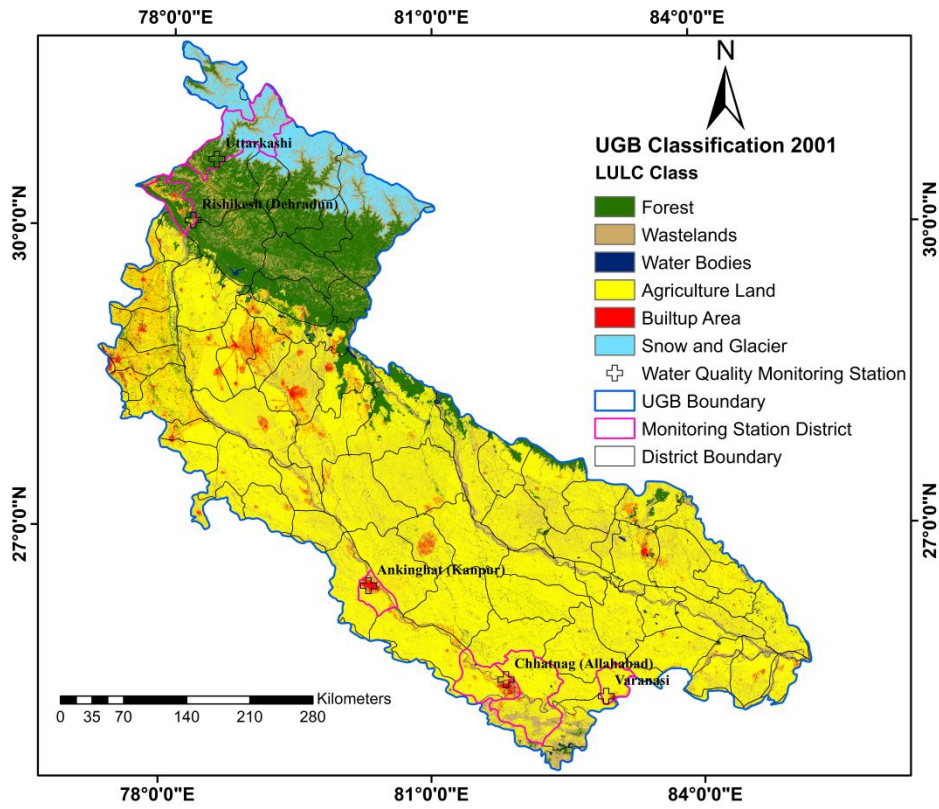
Forest	11	0	88	3	0	3	105	83.81	0.88
Snow & Glacier	0	4	1	103	2	1	111	92.79	
Wastelands	1	2	0	7	82	2	94	87.23	
Water Bodies	0	0	1	1	6	88	96	91.67	
Column Total	142	102	98	119	94	94	649		
Producer's Accuracy (%)	90.14	94.12	89.80	86.55	87.23	93.62			
Overall Classification Accuracy (%)	90.14								

732

### 733 5.3 Distribution of LULC

#### 734 5.2 LULC changes in Upper Ganga River basin

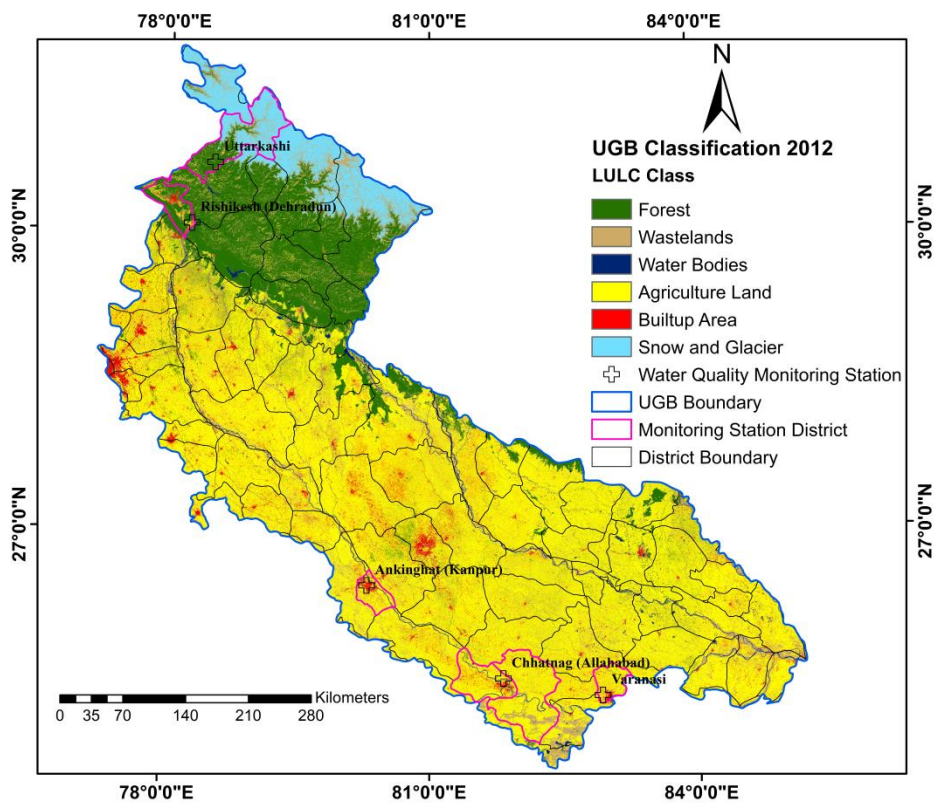
735 The LULC maps of the ~~UG basin~~ UGRB for February/March 2001 and 2012 are shown in  
736 ~~(Fig. 4a & 4b)~~ Fig. 4. District boundaries of the five districts i.e. Uttarkashi, Dehradun,  
737 Kanpur, Allahabad, and Varanasi chosen for district wise LULC analysis are highlighted in  
738 this figure. The gross percentage area in each LULC class and their changes from 2001 to  
739 2012 in UGRB are ~~represented~~ illustrated in ~~(Fig. 5a & 5b)~~ Fig. 5. From the results it is  
740 observed that ~~in the UG basin~~ the agricultural lands, built-up lands, forest, and snow /glaciers  
741 have increased ~~between the periods of 2001-2012~~ whereas the water bodies and wastelands  
742 have decreased. The highest % change is observed in built-up lands LULC class that has  
743 increased by ~~about~~ 43.4% (Table 4). In 2001, ~~the wastelands were about~~ 17.1% of wastelands  
744 ~~were present~~ in the study area ~~whereas in 2012 they decreased~~ which have reduced to ~~about~~  
745 11.4%. Therefore, the wastelands are the second most dynamic category with the significant  
746 decrease of ~~about~~ 33.6%. Agriculture land, forest and snow/glaciers have also increased by  
747 ~~about~~ 2.9%, 14.5% and 1.1% respectively. Conversely, Water bodies have decreased from  
748 2.0% in 2001 to 1.8% in 2012 (Fig. 5).



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(a)



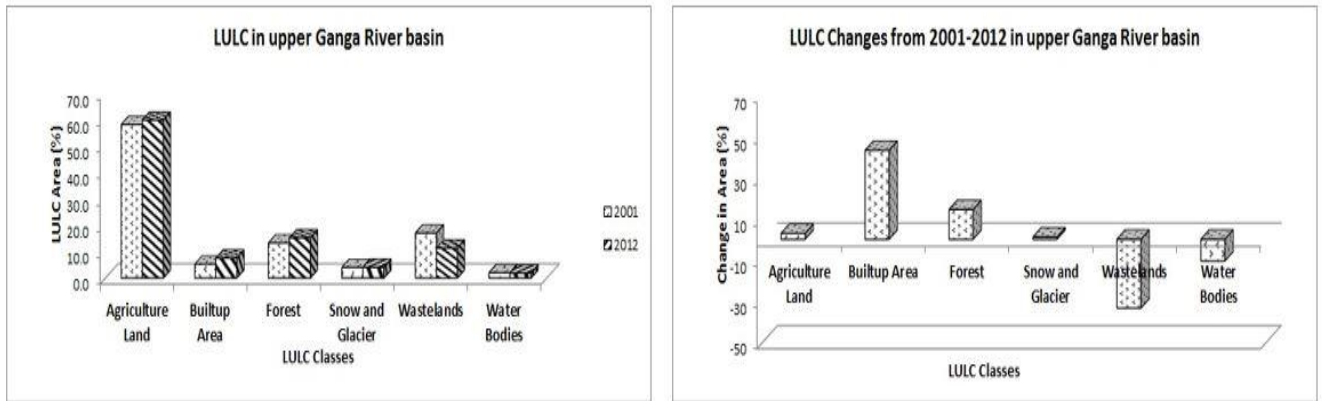
751

752

(b)

753 **Figure 4.** LULC maps of Upper Ganga River basin (a) LULC map of February/March 2001,  
 754 and (b) LULC map of February/March 2012

755



(a)

(b)

756 **Figure 5.** Graph showing LULC of the years 2001-2012 (a) LULC area in percentage (%)  
 757 and (b) LULC changes from 2001-2012 in Upper Ganga River basin

758

759 Table 5 presents the change matrix, showing the conversion of one LULC class to other  
 760 classes between the years 2001 to 2012. ~~The wastelands and water bodies have mainly~~  
 761 ~~converted to agricultural lands and built up lands. Therefore, significant increase in~~  
 762 ~~agricultural land class is observed in the river basin resulting in high water demand. Results~~  
 763 reveal that 1.7%, 1.7%, 2.2% and 0.1% of the wastelands in the basin area have converted to  
 764 forest, agricultural land, built up and snow/glaciers respectively. Therefore, significant  
 765 increase in these LULC classes are observed in UGRB on the expense of wastelands,  
 766 resulting in high water demand. With increase in agricultural lands and built up, water  
 767 requirements have increased in the river basin to meet irrigation, domestic and industrial  
 768 water demands of rural and urban regions. About 0.2% of the water bodies in the region are  
 769 converted to forest during summer season due to natural vegetation growth. Forest have also  
 770 increased in the region due to implementation of various Government policies for forest  
 771 protection and reforestation. Hence, slight reduction and increase in the water bodies and

772 forest classes are observed respectively. In the UG basin, agricultural lands, forest and built-  
 773 up lands increased on the expense of water bodies and wastelands. With the LULC  
 774 classification the percentage change in the classes are computed and analyzed which is  
 775 represented in the (Fig. 5a & 5b). The graph illustrates Fig. 5 shows the significant increase  
 776 in builtup built up area and forest on the cost of wastelands.

777

778 **Table 4.** Table showing LULC changes in the Upper Ganga River basin

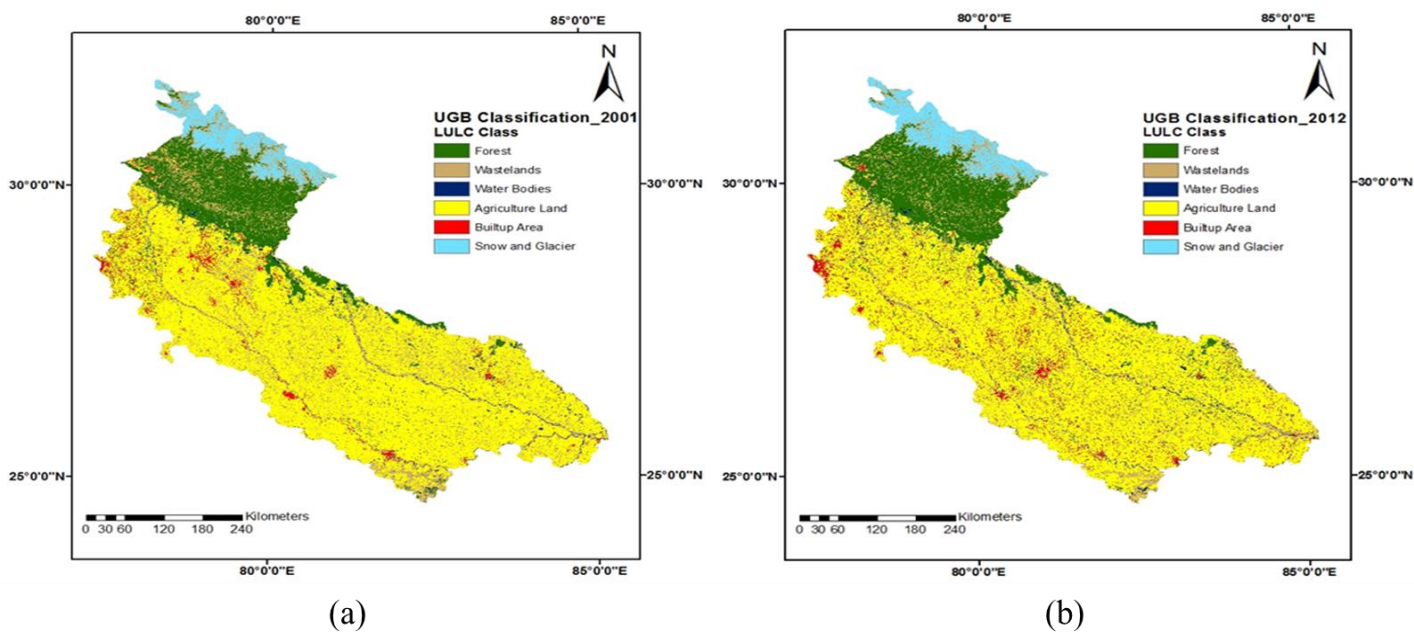
779	LULC Class	Upper Ganga River basin		
		Area (%)		Changes (%)
		2001	2012	2001-2012
780	Agriculture Land	58.3	60.0	2.9
781	Builtup Area	5.3	7.5	43.4
782	Forest	13.3	15.2	14.5
783	Snow and Glacier	4.0	4.1	1.1
	Wastelands	17.1	11.4	-33.6
	Water Bodies	2.0	1.8	-10.6

784 **assessment**

785 In thematic mapping from remotely sensed data, the term accuracy is used typically to  
 786 express the degree of correctness of a classified map (Foody, 2002). The confusion matrix  
 787 based accuracy assessment is a widely used approach that includes a simple cross-tabulation  
 788 of the mapped class label against that observed on the ground (or reference data) for a sample  
 789 of cases at specified locations. It is difficult to carry out accuracy assessment for all of the  
 790 LULC maps due to a lack of ground truth data. The satellite sensors (Landsat ETM+) and  
 791 spatial resolution (30 m) of both images is same. Therefore, the most recent Landsat ETM+  
 792 of 2012 used in the study would represent the overall accuracy of other classified map (Samal  
 793 and Gedam 2015). Therefore, Landsat ETM+ data of 2012 was used for accuracy assessment.  
 794 A large number of ground truth samples were available for the year 2012 and a confusion



795 ~~matrix was prepared using corresponding LULC map. A simple random sampling of 649~~  
 796 ~~pixels belonging to corresponding image objects were selected and verified against reference~~  
 797 ~~data at an average of 108 points per each class of land use. As a rule of thumb, Congalton~~  
 798 ~~(1991) recommends a minimum of 50 sample points per category, which was reported by~~  
 799 ~~(Lillesand and Kiefer 2000) also. The results showed an overall accuracy of 90.14% and~~  
 800 ~~kappa index of agreement of 0.88 (Table 5).~~



801 **Figure 4.** LULC maps of Upper Ganga River basin (a) LULC map of February/March 2001,  
 802 and (b) LULC map of February/March 2012

803

804 **Table 5.** Change matrix showing LULC interconversion between the year 2001 and 2012 in  
 805 Upper Ganga River basin

806

LULC Class	F	WL	WB	AG	BU	SG	LULC 2001
F	13.3	0.0	0.0	0.0	0.0	0.0	13.3
WL	1.7	11.4	0.0	1.7	2.2	0.1	17.1
WB	0.2	0.0	1.8	0.0	0.0	0.0	2.0
AG	0.0	0.0	0.0	58.3	0.0	0.0	58.3
BU	0.0	0.0	0.0	0.0	5.3	0.0	5.3
SG	0.0	0.0	0.0	0.0	0.0	4.0	4.0
LULC 2012	15.2	11.4	1.8	60.0	7.5	4.1	100.0

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808 \* Figures indicate the percentage (%) of basin area

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**Table 5.** Accuracy assessment of the 2012 LULC map produced from Landsat Enhanced Thematic Mapper Plus (ETM+) data representing both the confusion matrix and the Kappa statistics

Classified Data	Reference data						Row Total	User's Accuracy (%)	Kappa
	AG	BU	F	SG	WL	WB			
AG	128	0	6	0	3	0	137	93.43	0.88
BU	2	96	2	5	1	0	106	90.57	
F	11	0	88	3	0	3	105	83.81	
SG	0	4	1	103	2	1	111	92.79	
WL	1	2	0	7	82	2	94	87.23	
WB	0	0	1	1	6	88	96	91.67	
<b>Column Total</b>	142	102	98	119	94	94	649		
<b>Producer's Accuracy</b>	90.14	94.12	89.80	86.55	87.23	93.62			

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\*AG: Agricultural land, BU: Builtup Area, F: Forest, SG: Snow and Glacier, WL: Wastelands, WB: Water Bodies, Overall accuracy = 90.14%

In terms of producer's accuracy, all classes were over 90%, except for three classes i.e. forest, wastelands and snow/glacier, while in terms of user's accuracy, all the classes were very close to or more than 90%. Both producer's and user's accuracy are found to be consistent for all LULC classes. A similar kind of accuracy level can be expected from past LULC maps with a very little deviation. From the accuracy assessment, it is evident that the present

822 ~~classification approach has been effective in producing LULC maps with good accuracy and~~  
 823 ~~hence can be used to study effect of urbanization induced LULC changes on river basin.~~

824

825 District wise LULC change study is useful in comprehending link between LULC-water  
 826 quality at the local scale; and to identify source of pollutants at a particular monitoring  
 827 station. Table 6 presents the LULC statistics of the five districts from 2001 to 2012, where  
 828 water quality monitoring stations are located. It shows increase in built up and agricultural  
 829 lands in all the districts whereas wastelands have decreased. Forest have slightly increased in  
 830 Uttarkashi and Varanasi, however they have remained unchanged in the remaining districts.  
 831 Snow/glacier class is only present in Uttarkashi district and it has slightly increased from  
 832 2001 to 2012. Water bodies have slightly increased in all the districts except Dehradun where  
 833 it has very slightly reduced. Hence, significant LULC changes are observed in UGRB both at  
 834 basin and district scales.

835

836 **Table 6.** District wise changes in LULC (a) Uttarkashi, (b) Dehradun, (c) Kanpur, (d)  
 837 Allahabad, and (e) Varanasi

838 **(a)**

Uttarkashi (LULC Class)	2001 %	2012%	% Change (2001-2012)
Forest	39.3	39.7	1.1
Wastelands	10.3	8.3	-19.3
Water Bodies	1.4	1.5	4.6
Agricultural Land	0.6	1.4	122.8
Built up Area	0.2	0.6	186.3
Snow and Glacier	48.2	48.6	0.8
Total Area %	100.0	100.0	

839

840 **(b)**

Dehradun (LULC Class)	2001 %	2012%	% Change (2001-2012)
Forest	59.8	59.8	0.1
Wastelands	18.8	3.4	-82.1
Water Bodies	4.8	4.3	-9.8
Agricultural Land	13.5	20.3	50.6
Built up Area	3.2	12.2	283.9
Total Area %	100.0	100.0	

841

842 (c)

Kanpur (LULC Class)	2001 %	2012%	% Change (2001-2012)
Forest	0.3	0.3	8.7
Wastelands	23.4	4.7	-79.8
Water Bodies	2.5	2.6	3.8
Agricultural Land	63.7	67.0	5.2
Built up Area	10.1	25.3	152.1
Total Area %	100.0	100.0	

843

844 (d)

Allahabad (LULC Class)	2001 %	2012%	% Change (2001-2012)
Forest	1.5	1.5	-1.2
Wastelands	22.1	16.0	-27.8
Water Bodies	3.0	3.1	1.3
Agricultural Land	70.5	73.4	4.2
Built up Area	2.8	6.0	111.7
Total Area %	100.0	100.0	

845

846 (e)

Varanasi (LULC Class)	2001 %	2012%	% Change (2001-2012)
Forest	0.6	0.7	24.4
Wastelands	16.8	6.0	-64.5
Water Bodies	3.1	3.3	7.1
Agricultural Land	76.8	79.4	3.4
Built up Area	2.7	10.5	291.8
Total Area %	100.0	100.0	

847 **5.4 Trend analysis on monthly water quality data**

848 **5.4 Effects of LULC changes on water quality of Upper Ganga River basin**

849 ~~Statistical analysis done on monthly water quality data of January to December of the years~~  
850 ~~2001-2012. Standard Deviation (SD) is estimated separately for each month and Mann-Kendall~~  
851 ~~rank test is performed to study the existing trends (Table 6). Z values, a statistics parameter used~~  
852 ~~in Mann-Kendal test (Mann 1945; Kendall 1975) are shown in Table 6.~~

853

854 **5.4.1 Mann-Kendall test for water quality data**

855 ~~In this study, Mann-Kendall rank (Mann 1945; Kendall 1975) test is used to understand the~~  
856 ~~trends in the water quality parameters (2001-2012). Mann-Kendall test is a rank-based non-~~  
857 ~~parametric statistical test. Being non-parametric in nature, therefore; it does not require the data~~  
858 ~~to be normally distributed. In this test, the null hypothesis  $H_0$  assumes that there is no trend (data~~  
859 ~~is independent and randomly ordered) and it is tested against the alternative hypothesis  $H_1$ ,~~  
860 ~~which assumes that there is a trend. While computation Mann-Kendall test considers the time~~  
861 ~~series of n data points and  $T_i$  and  $T_j$  as two subsets of data where  $i=1, 2, 3 \dots n-1$  and  $j=i+1, i+2,$   
862  $i+3 \dots n$ . The data values are evaluated as an ordered time series. Each data value is compared~~  
863 ~~with all subsequent data values. If a data value from a later time period is higher than a data~~  
864 ~~value from an earlier time period, the statistic S is incremented by 1. On the other hand, if the~~  
865 ~~data value from a later time period is lower than a data value sampled earlier, S is decremented~~  
866 ~~by 1. The net result of all such increments and decrements yields the final value of S.~~

867 ~~The Mann-Kendall S-Statistic is computed as follows:~~

868 ~~$$S = \sum_{i=1}^{N-1} \sum_{j=i+1}^N \text{sgn}(x_j - x_i) \tag{3}$$~~

869 Where,  $N$  is number of data points. Assuming  $(x_j - x_i) = 0$ , the value of  $\text{sgn}(\theta)$  is computed as  
 870 follows:

$$871 \quad \text{sgn}(\theta) = \begin{cases} 1 & \text{if } \theta > 1 \\ 0 & \text{if } \theta = 1 \\ -1 & \text{if } \theta < 1 \end{cases} \quad (4)$$

872 This statistics represents the number of positive differences minus the number of negative  
 873 differences for all the differences considered. For large samples ( $N > 10$ ), the test is conducted  
 874 using a normal distribution (Helsel and Hirsch 1992) with the mean and the variance as follows:

$$875 \quad E[S] = 0 \quad (5)$$

$$876 \quad \text{Var}(S) = \frac{N(N-1)(2N+5) - \sum_{k=1}^n t_k(t_k-1)(2t_k+5)}{18} \quad (6)$$

877 Where,  $n$  is the number of tied (zero difference between compared values) groups, and  $t_k$  is the  
 878 number of data points in the  $k$ th tied group. The standard normal deviate ( $Z$ -statistics) is then  
 879 computed as (Hirsch et al. 1982).  
 880

$$881 \quad Z = \begin{cases} \frac{S-1}{\sqrt{\text{Var}(S)}} & \text{if } S > 0 \\ 0 & \text{if } S = 0 \\ \frac{S+1}{\sqrt{\text{Var}(S)}} & \text{if } S < 0 \end{cases} \quad (7)$$

882 The positive value of  $Z$  test shows a rising trend and a negative value of it indicates a falling  
 883 trend in the series. In this study, the significance of  $Z$  test is observed on confidence level 90%,  
 884 95% and 99%. In case value of computed  $Z$  lies within the limits  $\pm 1.96$ , the null hypothesis of no  
 885 trend in the series cannot be rejected at 95% level of confidence.  $Z$  is the Mann Kendall test  
 886 statistics that follows standard normal distribution with mean of zero and variance of one. Thus,

887 in a two-sided test for trend, the null hypothesis  $H_0$  is accepted if  $-Z_{1-\alpha/2} \leq Z_{mk} \leq Z_{1-\alpha/2}$  where  
888  $\alpha$  is the significance level that indicates the trend strength. Therefore, it is noted that a positive  
889 value of  $Z$  indicates an increasing trend whereas a negative value shows a decreasing trend.

890  
891 In this study, it is observed that the trend in From the results of trend analysis (Mann Kendall  
892 rank test) it was observed that each water quality parameter varies with time and location (Table  
893 7). These parameters change in all the months. Hence, they are very site-specific  
894 phenomenon and with no regular trends. are observed. There are different point and non-point  
895 sources of pollution in the river water. Other than urbanization and industrialization, water  
896 quality parameters are highly affected by rainfall. Discharge of excess runoff water and  
897 pollutants into the rivers during rainfall events and changes in the flow patterns affect the  
898 physico-chemistry of the water bodies. There are three significant seasons identified in the study  
899 area, viz. pre-monsoon (May), monsoon (July) and post-monsoon (November). Table 6 shows  
900 that water quality change is occurring in all the months over a given space and time. But the  
901 Significant changes and comparatively high SD are observed in monsoon (July month) followed  
902 by pre-monsoon and post-monsoon months, respectively. Hence, there are three significant  
903 seasons are identified in the study area, viz. pre-monsoon (May), monsoon (July) and post-  
904 monsoon (November). Table 6 shows that water quality change is occurring in all the months  
905 over a given space and time. But the significant changes and comparatively high SD are  
906 observed in monsoon (July month) followed by pre-monsoon and post-monsoon months,  
907 respectively. As water quality varies with seasons, it is crucial to understand the effect of  
908 urbanization on water quality of different seasons. Therefore, taking into account the types of  
909 trends and SD in monthly water quality parameters over time and space; and Effect of different

910 seasons on water quality ~~from a number of reported studies~~ is reported from various studies  
911 (Islam et al. 2017; Sharma and Kansal 2011; Singh and Chandna 2011). Hence, the water quality  
912 data is organized into three groups: pre-monsoon season (February-May), monsoon season  
913 (June-September) and post-monsoon season (October-January).

914

915 Then from each group one representative month is chosen which ~~represented~~ represents that  
916 particular season the best. It reduced the redundancy of the dataset and avoided the confusion to  
917 be created due to large insignificant dataset of varying trends that makes no sense. ~~scenario of~~  
918 ~~that particular season.~~ For e.g. SD in BOD of Kanpur station in May, July and November months  
919 are 2.01, 2.67 and 1.04 respectively. In other months, SD value of the BOD is close to the SD  
920 value of the representative months. ~~considered in that particular season. Also,~~ In addition, from  
921 Table 67 it is evident that trends for BOD and Turbidity in July month are significant in almost  
922 all the stations against other water quality parameters. They are increasing over the years from  
923 2001-2012. ~~Therefore, in this study, May month for pre monsoon season, July month for~~  
924 ~~monsoon season and November month for post monsoon season are used. It reduced the~~  
925 ~~redundancy of the dataset and avoided the confusion to be created due to large insignificant~~  
926 ~~dataset of varying trends that makes no sense. Significant inter seasonal changes in water quality~~  
927 ~~parameters can be observed between May, July and November months.~~ Pre-monsoon (May) data  
928 signifies the water quality pollution from ~~helped to understand effect of mainly~~ point sources of  
929 pollution from various sewage drains and industrial effluents. ~~on the water quality of rivers.~~ In  
930 addition to the point sources of pollution, monsoon (July) data took into account effect of the  
931 non-point source of pollution, e.g. discharge of surface runoff from urban areas into the nearby  
932 streams during rainfall. ~~on water quality of rivers.~~ Post-monsoon (November) data helps to



933 understand the water quality condition of the rivers after the rainfall is over. Therefore, further in  
 934 this study water quality data analysis was done for the same three ~~is analyzed mainly for~~  
 935 **representative** months. ~~viz. May (pre-monsoon), July (monsoon) and (post-monsoon).~~

936  
 937 **Table 67.** Trends in monthly water quality parameters from 2001 to 2012 across Upper Ganga  
 938 River basin (Z value, a Mann-Kendal statistics parameter is shown. (\*), (\*\*), (\*\*\*) and +ve  
 939 suffix indicate different significance levels)

940

Station	Parameter	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Uttarkashi	BOD	-2.4 (*)	1.3	-2.2 (*)	0.0	1.2	-0.4 (**)	2.8	-1.9 (+)	-2.2 (*)	0.0	1.9 (+)	1.3
	DO%	1.2	-1.5	0.5	0.0	-3.3 (**)	-2.8 (**)	-2.2 (*)	-3.3 (**)	1.4	0.0	-2.6 (**)	-1.5
	F	-1.9 (+)	2.0 (*)	-3.2 (**)	1.1	-3.0 (**)	0.8	2.0 (*)	2.0 (*)	1.1	1.9 (+)	1.1	-3.0 (**)
	Hardness	1.3	-2.5 (*)	1.8 (+)	-1.1	-1.9 (+)	-2.1 (*)	-2.5 (*)	-1.9 (+)	1.2	1.8 (+)	-1.1	-2.5 (*)
	pH	2.7 (**)	-1.3	1.2	-0.1	-0.2	0.0	-1.5	-1.1	-0.2	-1.3	-1.3	-1.1
	TC	-	-	-	-	-	-	-	-	-	-	-	-
	Turbidity	-	-	-	-	-	-	-	-	-	-	-	-
Rishikesh	BOD	-0.1	0.0	0.6	1.9 (+)	0.4	-2.5 (*)	2.4 (*)	2.0 (*)	2.6 (*)	-1.3	1.3	-0.5
	DO%	-1.3	1.5	2.3 (*)	-2.3 (*)	3.0 (**)	-2.3 (*)	2.9 (**)	0.6	0.5	3.4 (***)	3.2 (**)	-3.6 (***)
	F	-1.0	-0.5	2.2 (*)	-1.2	1.2	-1.7 (+)	1.7 (+)	2.7 (**)	-0.8	-0.6	0.0	2.5 (*)

	Hardness	1.4	-1.6	0.6	2.7	-2.3 (*)	0.6	-2.4 (*)	1.3	0.0	3.2 (**)	-1.6	-2.7
					(**)								(**)
	pH	-1.6	0.0	0.0	-0.7	-0.9	0.2	-0.2	1.1	1.9 (+)	1.6	-0.8	0.3
	TC	-	-	-	-	-	-	-	-	-	-	-	-
	Turbidity	-	-	-	-	-	-	-	-	-	-	-	-
Kanpur	BOD	2.0 (*)	2.7	2.6 (**)	2.3 (*)	3.0 (**)	3.4	3.4	2.7 (**)	1.7 (+)	0.6	1.6	2.2 (*)
			(**)				(***)	(***)					
	DO%	-2.7	-2.0	-0.3	-1.1	-0.5	-0.3	-2.1 (*)	-0.5	-0.1	-0.8	-1.0	-1.8 (+)
		(**)	(*)										
	F	1.5	2.0 (*)	1.7 (+)	1.6	1.2	2.1 (*)	2.4 (*)	2.2 (*)	2.6	2.4 (*)	1.7 (+)	2.0 (*)
										(**)			
	Hardness	0.4	0.2	0.1	0.1	0.0	1.2	1.7 (+)	0.0	0.0	-0.2	-1.0	-1.0
pH	0.3	-0.2	0.7	1.9 (+)	1.7 (+)	0.2	1.2	-0.9	-0.3	-1.0	-0.4	-1.2	
TC	-	-	-	-	-	-	-	-	-	-	-	-	
Turbidity	3.5	1.7 (+)	1.7 (+)	-0.4	-0.2	0.8	0.8	1.7 (+)	-1.6	0.0	1.9 (+)	0.3	
		(***)											
Allahabad	BOD	0.8	0.2	-1.3	0.3	-0.1	0.2	-1.0	-0.1	-0.5	-0.1	-0.4	0.0
	DO%	0.6	-0.5	0.6	0.0	-0.2	0.4	1.0	1.7 (+)	0.7	1.0	-0.3	-0.2
	F	1.6	1.2	2.0 (*)	2.6	1.6	1.4	2.2 (*)	2.2 (*)	2.7 (*)	1.7 (+)	1.6	1.0
					(**)								
	Hardness	-0.8	0.0	-1.3	-0.3	0.2	0.1	-0.1	0.3	-0.1	0.4	0.5	1.5
	pH	-1.0	-1.3	0.1	-0.3	0.2	0.1	1.0	0.1	-1.1	-0.4	0.4	0.0
TC	-1.1	-1.0	-1.4	-1.0	-1.1	0.6	-0.5	-2.0 (*)	-1.7	-1.4	-1.1	-0.3	

										(+)			
	Turbidity	-0.9	0.2	-0.6	-0.2	-1.4	0.9	0.4	0.6	0.4	-0.3	0.0	-1.4
Varanasi	BOD	2.4 (*)	1.5	1.1	1.4	2.2 (*)	2.8 (**)	2.7 (**)	1.9 (+)	2.4 (*)	2.9 (**)	2.6 (**)	3.0 (**)
	DO%	1.2	1.4	2.2 (*)	2.3 (*)	1.7 (+)	0.8	1.5	2.5 (*)	3.2	3.3	2.5 (*)	2.5 (*)
										(**)	(***)		
	F	2.5 (*)	2.1 (*)	2.4 (*)	2.4 (*)	1.6	1.8 (+)	2.1 (*)	2.1 (*)	3.0	2.2 (*)	1.2	2.2 (*)
										(**)			
	Hardness	-0.3	-0.3	0.0	0.1	-0.5	-0.7	-0.5	0.1	0.3	0.8	0.3	1.9 (+)
	pH	0.0	0.0	1.9 (+)	1.5	0.4	0.2	0.4	0.2	1.8 (+)	0.4	0.6	0.2
TC	0.8	0.6	0.8	0.6	0.3	-0.1	0.5	0.9	1.0	1.4	1.4	1.4	
Turbidity	-0.5	0.0	0.0	-0.2	-0.6	-1.8 (+)	-0.9	0.9	0.0	-1.4	0.2	-0.2	

941

942 \*\*\* trend at  $\alpha = 0.001$  level of significance; \*\* trend at  $\alpha = 0.01$  level of significance; \* trend at  
 943  $\alpha = 0.05$  level of significance; + trend at  $\alpha = 0.1$  level of significance; If there is no sign after  
 944 values in the table then, the significance level is greater than 0.1 (Amnell et al. 2002).

945

946 **5.5 State of the population growth-LULC transformations-water quality nexus in UGRB**

947 In this section, the association between the three components population growth-LULC  
 948 transformations-water quality are established. Seasonal water quality parameter values for  
 949 UGRB over the periods of 2001-2012 are presented in Table 8. Their respective IPI values and  
 950 OIP for each monitoring station are illustrated in Table 9. In UGRB the population increase in  
 951 both rural and urban areas have resulted significant changes in LULC distribution. Increase in  
 952 PGR of 20.45% in the complete basin has resulted in 43.4% and 2.9% increase in urban and rural

953 areas respectively. Therefore, this river basin is urbanizing gradually with increase in industrial  
 954 operations. Urbanization, industrialization and intense agricultural activities have caused water  
 955 quality degradation between the periods of 2001-2012. Nearly all the parameters are relatively  
 956 higher in the July month, which is rainy season. Hence, their subsequent IPI values and resulting  
 957 OIP are also high in this month. Hardness  $\text{CaCO}_3$  and pH values are higher in monsoon month as  
 958 bicarbonates, hydroxides and phosphates from rock weathering are transported to the river water  
 959 by surface runoff. Turbidity is also high due to addition of organic matter from land surfaces to  
 960 the nearby stream through surface runoff. F is introduced into the river by surface runoff carrying  
 961 F from industrial regions. High DO% values are attributed to increased diffusion of Oxygen into  
 962 the water during increased stream flow caused by storm events. Increase in BOD and Total  
 963 Coliform bacteria is a result of increased transportation of municipal sewage containing organic  
 964 matter and various strains of Coliform bacteria. Similar results were reported from the studies  
 965 done by various researchers (Attua et al. 2014; Chapman 1992; Hellar-Kihampa et al. 2013; Jain  
 966 et al. 2006).

967  
 968 **Table 8.** Water quality parameters across Upper Ganga River basin for pre-monsoon, monsoon  
 969 and post-monsoon seasons over periods of 2001-2012

970 (i)

Parameters (Year 2001)	Water Quality Monitoring Stations														
	Uttarkashi			Rishikesh			Kanpur			Allahabad			Varanasi		
	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov
BOD	1.1	1.1	1.1	1.1	1.0	1.1	2.8	1.7	2.4	4.0	4.2	3.7	2.5	2.2	1.8
DO%	88	104	89	71	60	64	89	96	93	92	84	95	90	92	85
F	0.19	0.04	0.22	0.23	0.16	0.26	0.61	0.21	0.34	0.09	0.50	0.51	0.3	0.05	0.51

Hardness CaCO <sub>3</sub>	65	60	68	76	67	74	99	78	86	95	194	159	99	176	142
pH	8.1	8.1	8.1	8.1	8.1	8.1	8.0	8.3	8.1	8.2	8.3	8.2	8.2	8.4	8.2
Total Coliform	-	-	-	-	-	-	-	-	-	3000	6200	6500	5100	5300	2400
Turbidity	-	-	-	-	-	-	2.0	3.1	2.3	0.1	0.2	0.1	0.1	0.1	0.1

971

972 (ii)

973

Parameters (Year 2012)	Water Quality Monitoring Stations														
	Uttarkashi			Rishikesh			Kanpur			Allahabad			Varanasi		
	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov
BOD	1.1	1.2	1.0	1.0	1.2	1.2	7.0	10.0	4.0	2.9	3.2	2.4	3.0	3.9	2.9
DO%	73	64	73	81	75	77	86	75	90	85	108	98	101	98	98
F	0.45	0.26	0.44	0.09	0.19	0.06	0.70	0.80	0.51	0.51	0.67	0.56	0.57	0.54	0.52
Hardness CaCO <sub>3</sub>	45	24	34	33	23	56	110	102	90	97	85	92	89	75	81
pH	7.8	7.7	7.6	7.8	8.0	7.8	8.7	8.4	8.1	8.2	8.5	8.2	8.7	8.4	8.7
Total Coliform	-	-	-	-	-	-	-	-	-	5200	5800	4600	5600	7300	4700
Turbidity	-	-	-	-	-	-	4.0	6.0	5.4	0.1	0.5	0.1	0.1	0.2	0.1

974

975 \*Units: BOD=mg/L; DO%=%; F= mg/L; Hardness CaCO<sub>3</sub>= mg/L; pH=No unit; Total

976 Coliform=MPN; Turbidity=NTU

977

978 **Table 9.** Individual parameter indices (IPIs) and overall indices of pollution (OIPs) computed at

979 various water quality monitoring stations of Upper Ganga River basin over periods of 2001 and

980 2012 for pre-monsoon, monsoon and post-monsoon seasons

981 (i)

982

Parameters	Water Quality Monitoring Stations														
	Uttarkashi			Rishikesh			Kanpur			Allahabad			Varanasi		
	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov
<b>BOD</b>	1.00	1.00	1.00	1.00	1.00	1.00	2.87	2.40	2.60	2.67	2.80	2.47	1.67	1.47	1.20

DO%	1.33	1.28	1.27	2.49	3.24	2.97	1.27	0.79	0.99	1.06	1.61	0.86	1.20	1.06	1.54
F	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
Hardness	1.00	1.00	1.00	1.78	1.00	1.00	1.99	1.80	1.87	1.95	3.16	2.66	1.99	2.89	2.45
CaCO <sub>3</sub>															
pH	2.76	2.76	2.76	2.76	2.76	2.76	2.52	3.33	2.76	3.03	3.33	3.03	3.03	3.65	3.03
Total Coliform										3.43	4.60	4.98	4.02	3.48	3.21
Turbidity							1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
OIP (2001)	1.42	1.41	1.41	1.81	1.80	1.75	2.61	2.49	2.54	2.02	2.50	2.29	1.99	2.08	1.92

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985

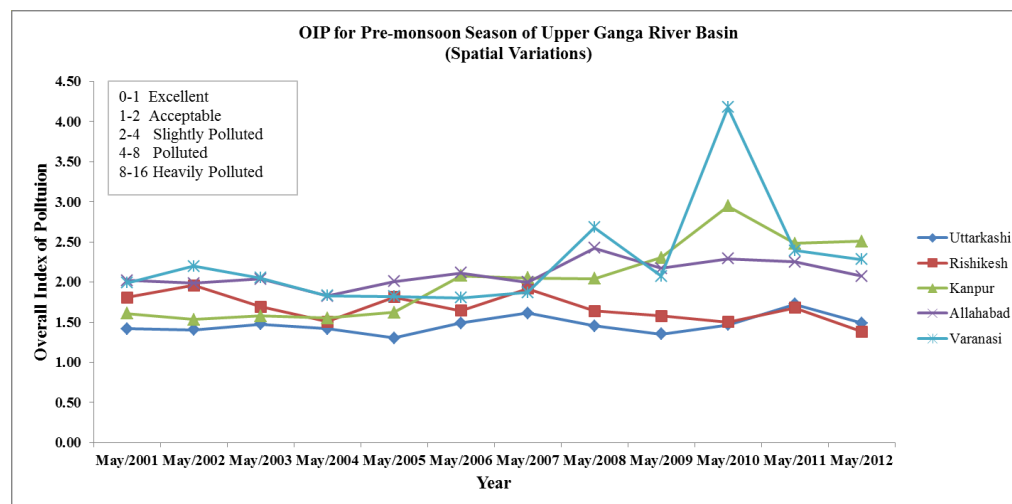
(ii)

Parameters	Water Quality Monitoring Stations														
	Uttarkashi			Rishikesh			Kanpur			Allahabad			Varanasi		
	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov
BOD	1.00	1.00	1.00	1.00	1.00	1.00	4.67	6.67	2.67	1.93	2.13	1.60	2.00	2.60	1.93
DO%	2.36	2.97	2.36	1.81	2.22	2.08	1.47	2.22	1.20	1.54	1.49	0.65	1.13	0.65	0.65
F	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
Hardness	1.00	1.00	1.00	1.00	1.00	1.00	2.10	2.02	2.91	1.97	1.86	1.92	1.90	1.00	1.82
CaCO <sub>3</sub>															
pH	2.09	1.91	1.74	2.09	2.52	2.09	4.81	3.65	2.76	3.03	4.00	3.03	4.81	3.65	4.81
Total Coliform										4.05	4.11	3.90	4.14	5.97	3.93
Turbidity							1.00	1.20	1.08	1.00	1.00	1.00	1.00	1.00	1.00
OIP (2012)	1.49	1.58	1.42	1.38	1.55	1.44	2.51	2.79	2.77	2.07	2.23	1.87	2.28	2.27	2.16

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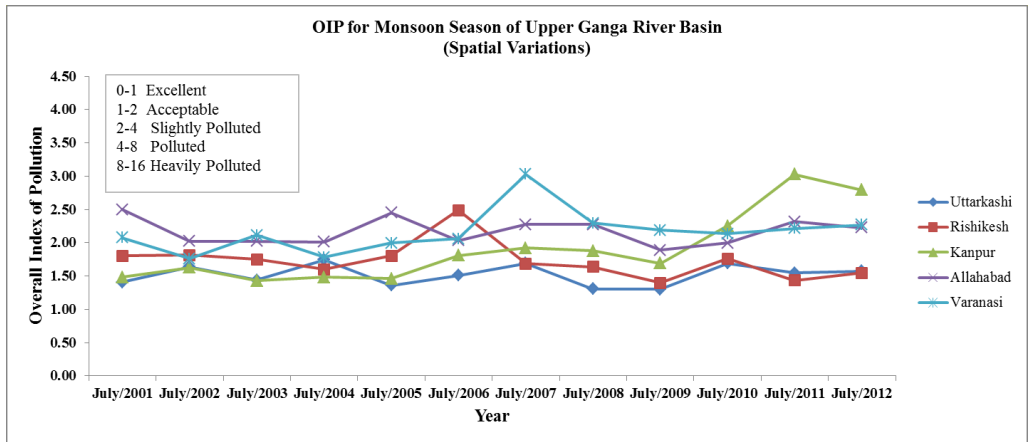
\* Bold IPI and Italic OIP values are significant.

(a)



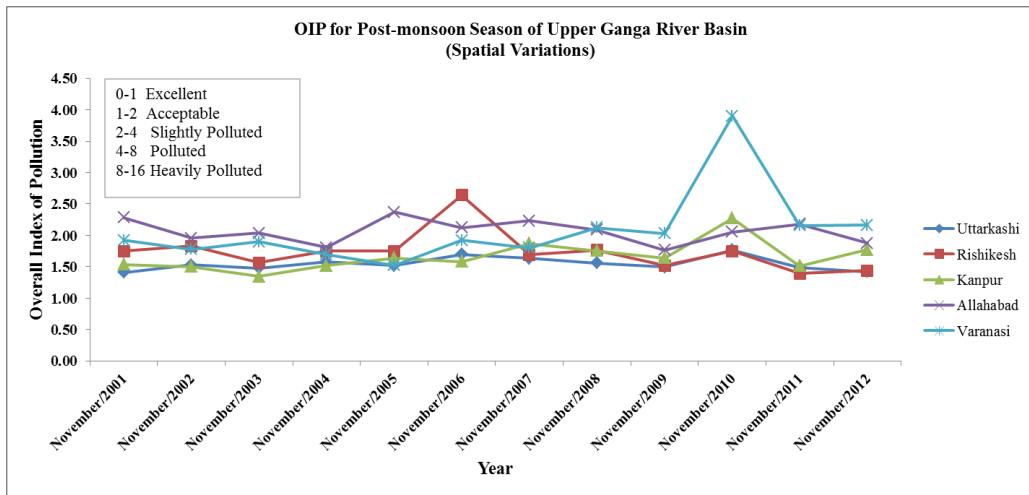
990  
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(b)



992

993 (c)



994

995 **Figure 6.** Spatial variations in the overall indices of pollution (OIP) of Upper Ganga River basin  
 996 from 2001-2012 for (a) Pre-monsoon period (b) Monsoon period, (c) Post-monsoon period

997

998 In UGRB, the population growth and LULC transformations are lower in the upper reaches  
 999 therefore, the water quality of the monitoring stations located in this region (Uttarkashi and  
 1000 Rishikesh) has remained in acceptable class range (OIP: 1.38-1.58) from 2001-2012. Conversely  
 1001 in the lower reaches, the water quality has deteriorated from acceptable class to slightly polluted  
 1002 class (OIP: 1.87-2.79) at the motoring stations (Ankinghat, Chhatnag and Varanasi) due to  
 1003 increasing pollutants in the river water from urban, agriculture and industrial sectors (Fig. 6 and

1004 Table 9). Further, explanation on the connection between population growth-LULC  
1005 transformations-water quality in UGRB is given at the district or local scale in Section 5.6.

1006

## 1007 **5.6 State of the population growth-LULC transformations-water quality nexus in the** 1008 **districts of UGRB**

### 1009 **5.4.2 Spatio-temporal variations in water quality of the Upper Ganga River basin**

1010 Besides analysis at river basin level, the district level studies are also important. Each district has  
1011 different topography, climate, population and LULC distribution. Therefore, the water  
1012 management strategies in these districts should be based on the sources of pollutants and the  
1013 health status of the river. ~~LULC and pollution indices are often used as important indicators to~~  
1014 ~~understand the effects of anthropogenic activities on water quality. LULC changes significantly~~  
1015 ~~affect the water quality of a region. Therefore, understanding of spatio-temporal relationship~~  
1016 ~~between LULC changes and water quality is crucial for better planning and management of river~~  
1017 ~~basins. From the results, it is observed that uncontrolled population increase in UG basin has~~  
1018 ~~resulted in the colossal changes in LULC of the river basin. The changes are observed in all the~~  
1019 ~~six LULC classes. Built up lands, agricultural lands, snow cover and forest have increased in the~~  
1020 ~~river basin over the period from 2001 to 2012 (Table 4). Conversely, wastelands have decreased~~  
1021 ~~in all the districts, however water bodies have increased in all the districts except Dehradun.~~  
1022 ~~reduced in nearly. OIP is computed by considering the average of IPIs for all the seven~~  
1023 ~~parameters. The estimated numerical value of the OIPs (index score) corresponded to following~~  
1024 ~~meaning: OIP value of 0-1 belongs to class C1 which denotes excellent water quality, 1-2~~  
1025 ~~belongs to class C2 which denotes acceptable water quality, 2-4 belongs to class C3 which~~  
1026 ~~denotes slightly polluted water quality, 4-8 belongs to class C4 which denotes polluted water~~



1027 quality, and 8-16 belongs to class C5 which denotes heavily polluted water quality. It was found  
 1028 that index score of IPIs increased as the parameter value increased for BOD, total coliform, F,  
 1029 Turbidity, and Hardness CaCO<sub>3</sub>.  
 1030  
 1031 **Table 6.** Trends in monthly water quality parameters from 2001 to 2012 across Upper Ganga  
 1032 River basin (Z value, a Mann-Kendal statistics parameter is shown. (\*), (\*\*), (\*\*\*) and +ve  
 1033 suffix indicate different significance levels)

1034

Station	Parameter	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Uttarkashi	BOD	-2.4(*)	1.3	-2.2(*)	0.0	1.2	-0.4(**)	2.8	-1.9(+)	-2.2(*)	0.0	1.9(+)	1.3
	DO%	1.2	-1.5	0.5	0.0	-3.3(***)	-2.8(***)	-2.2(*)	-3.3(***)	1.4	0.0	-2.6 (**)	-1.5
	F	-1.9(+)	2.0(*)	-3.2 (**)	1.1	-3.0(***)	0.8	2.0(*)	2.0(*)	1.1	1.9(+)	1.1	-3.0 (**)
	Hardness	1.3	-2.5 (*)	1.8(+)	-1.1	-1.9(+)	-2.1(*)	-2.5(*)	-1.9(+)	1.2	1.8(+)	-1.1	-2.5(*)
	pH	2.7(***)	-1.3	1.2	-0.1	-0.2	0.0	-1.5	-1.1	-0.2	-1.3	-1.3	-1.1
	TC	-	-	-	-	-	-	-	-	-	-	-	-
	Turbidity	-	-	-	-	-	-	-	-	-	-	-	-
Rishikesh	BOD	-0.1	0.0	0.6	1.9(+)	0.4	-2.5(*)	2.4(*)	2.0(*)	2.6(*)	-1.3	1.3	-0.5
	DO%	-1.3	1.5	2.3(*)	-2.3 (*)	3.0(***)	-2.3(*)	2.9(***)	0.6	0.5	3.4 (***)	3.2(***)	-3.6 (***)
	F	-1.0	-0.5	2.2(*)	-1.2	1.2	-1.7(+)	1.7(+)	2.7(***)	-0.8	-0.6	0.0	2.5(*)

	Hardness	1.4	-1.6	0.6	2.7	-2.3(*)	0.6	-2.4(*)	1.3	0.0	3.2(**)	-1.6	-2.7
					(**)								(**)
	pH	-1.6	0.0	0.0	-0.7	-0.9	0.2	-0.2	1.1	1.9(+)	1.6	-0.8	0.3
	TC	-	-	-	-	-	-	-	-	-	-	-	-
	Turbidity	-	-	-	-	-	-	-	-	-	-	-	-
Kanpur	BOD	2.0(*)	2.7	2.6(**)	2.3(*)	3.0(**)	3.4	3.4	2.7(**)	1.7(+)	0.6	1.6	2.2(*)
			(**)				(***)	(***)					
	DO%	-2.7	-2.0	-0.3	-1.1	-0.5	-0.3	-2.1(*)	-0.5	-0.1	-0.8	-1.0	-1.8(+)
		(**)	(*)										
	F	1.5	2.0(*)	1.7(+)	1.6	1.2	2.1(*)	2.4(*)	2.2(*)	2.6	2.4(*)	1.7(+)	2.0(*)
										(**)			
	Hardness	0.4	0.2	0.1	0.1	0.0	1.2	1.7(+)	0.0	0.0	-0.2	-1.0	-1.0
pH	0.3	-0.2	0.7	1.9(+)	1.7(+)	0.2	1.2	-0.9	-0.3	-1.0	-0.4	-1.2	
TC	-	-	-	-	-	-	-	-	-	-	-	-	
Turbidity	3.5	1.7(+)	1.7(+)	-0.4	-0.2	0.8	0.8	1.7(+)	-1.6	0.0	1.9(+)	0.3	
		(***)											
Allahabad	BOD	0.8	0.2	-1.3	0.3	-0.1	0.2	-1.0	-0.1	-0.5	-0.1	-0.4	0.0
	DO%	0.6	-0.5	0.6	0.0	-0.2	0.4	1.0	1.7(+)	0.7	1.0	-0.3	-0.2
	F	1.6	1.2	2.0(*)	2.6	1.6	1.4	2.2(*)	2.2(*)	2.7(*)	1.7(+)	1.6	1.0
					(**)								
	Hardness	-0.8	0.0	-1.3	-0.3	0.2	0.1	-0.1	0.3	-0.1	0.4	0.5	1.5
	pH	-1.0	-1.3	0.1	-0.3	0.2	0.1	1.0	0.1	-1.1	-0.4	0.4	0.0
TC	-1.1	-1.0	-1.4	-1.0	-1.1	0.6	-0.5	-2.0(*)	-1.7	-1.4	-1.1	-0.3	

										(+)			
	Turbidity	-0.9	0.2	-0.6	-0.2	-1.4	0.9	0.4	0.6	0.4	-0.3	0.0	-1.4
Varanasi	BOD	2.4(*)	1.5	1.1	1.4	2.2(*)	2.8(**)	2.7(**)	1.9(+)	2.4(*)	2.9(**)	2.6(**)	3.0(**)
	DO%	1.2	1.4	2.2(*)	2.3(*)	1.7(+)	0.8	1.5	2.5(*)	3.2	3.3	2.5(*)	2.5(*)
	F	2.5(*)	2.1(*)	2.4(*)	2.4(*)	1.6	1.8(+)	2.1(*)	2.1(*)	3.0	2.2(*)	1.2	2.2(*)
	Hardness	-0.3	-0.3	0.0	0.1	-0.5	-0.7	-0.5	0.1	0.3	0.8	0.3	1.9(+)
	pH	0.0	0.0	1.9(+)	1.5	0.4	0.2	0.4	0.2	1.8(+)	0.4	0.6	0.2
	TC	0.8	0.6	0.8	0.6	0.3	-0.1	0.5	0.9	1.0	1.4	1.4	1.4
	Turbidity	-0.5	0.0	0.0	-0.2	-0.6	-1.8(+)	-0.9	0.9	0.0	-1.4	0.2	-0.2

1035

1036 \*\*\* trend at  $\alpha = 0.001$  level of significance; \*\* trend at  $\alpha = 0.01$  level of significance; \* trend at  
 1037  $\alpha = 0.05$  level of significance; + trend at  $\alpha = 0.1$  level of significance; If there is no sign after  
 1038 values in the table then, the significance level is greater than 0.1 (Amnell et al. 2002).

1039

1040 Increase in these parameters indicates increasing water pollution. But high DO% indicates good  
 1041 water health because more oxygen is available for water organisms. Hence, the index score of  
 1042 IPIs increased with decreasing DO%. The pH depicts the acidity or alkalinity of water. 7.0 is  
 1043 considered the neutral pH of a water. Acidity of water increases if pH decreases below 7.0 and  
 1044 alkalinity increases if it rises above 7.0. Hence, in case of pH, index score of IPIs increased if the  
 1045 pH increased above 8.0 or decreased below 6.0.

1046

1047 Spatio-temporal variations in the water quality of the ~~UG basin~~ UGRB are studied using OIPs  
1048 ~~Water quality data of~~ for three different seasons viz. pre-monsoon (May), monsoon (July) and  
1049 post-monsoon ~~period~~ (November) ~~months~~ from the year 2001-2012. ~~are used in this study (Fig. 6~~  
1050 ~~(a), (b) & (c)).~~ Rainfall amount, duration and intensity are ~~is an~~ important drivers affecting  
1051 surface water quality parameters of a water body ~~particular place or region.~~ primarily during  
1052 monsoon and post-monsoon seasons. For e.g. OIP at Ankinghat (Kanpur) has slightly increased  
1053 from 2.51 in pre-monsoon season to 2.79 in monsoon season in the year 2012. In post-monsoon  
1054 season, it has further decreased to 2.77. Similarly, at Chhatnag (Allahabad) station higher OIP  
1055 (2.23) is noticed in monsoon season than other two stations in the year 2012 (Table 9). ~~During~~  
1056 ~~rainfall different water quality parameters behave in different way. This phenomenon is very site~~  
1057 ~~specific. The post monsoon variation of water quality at a station is highly dependent on rainfall~~  
1058 ~~amount, duration and intensity of a particular region.~~ Other factors such as type of LULC, type  
1059 of soils, amount and type of waste generation, treatment facilities, etc. also affect the water  
1060 quality. At Varanasi station, OIP values are higher in pre-monsoon season (2.28) than other two  
1061 seasons in 2012. Reduced values in monsoon season are probably due to relatively lower rainfall  
1062 at this station. It indicates more influence of anthropogenic activities on the river water than  
1063 natural drivers such as rainfall. But at the same station, in the year 2001 the OIP values were  
1064 higher in monsoon season (2.08) than other remaining seasons. Hence, high spatio-temporal  
1065 variations are observed in the water quality status of a river (Table 9). ~~Therefore, different trends~~  
1066 ~~of water quality are observed at different stations. It was observed that the water quality of the~~  
1067 ~~UG basin has degraded in monsoon and post monsoon season (Fig. 6b & 6c)).~~ Water quality  
1068 parameters viz. Hardness CaCO<sub>3</sub>, F, pH and Turbidity generally increase during post-monsoon  
1069 season due to addition of various pollutants and sediments in the river water during monsoon

1070 ~~period. Increase in these parameters causes water pollution. Overall quality of river water is a~~  
1071 ~~result of cumulative effect of changes in all water quality parameters during a period. Therefore,~~  
1072 ~~at some places water quality may seem to improve but at other places it may seem to degrade~~  
1073 ~~(Fig. 7 (a), (b) & (c)). Therefore, in post-monsoon season, a regular pattern of changes in OIPs~~  
1074 ~~is not observed between different stations. These variations can be attributed to variations in the~~  
1075 ~~rainfall at different space and time. Hence, OIPs can be used as an indicator of effects of~~  
1076 ~~urbanization on water quality of urban area.~~

1077  
1078 ~~The values of Individual Parameter Indices (IPIs) and Overall Indices of Pollution (OIPs)~~  
1079 ~~computed at various water quality monitoring stations of Upper Ganga River basin over periods~~  
1080 ~~of 2001 and 2012 for pre-monsoon, monsoon and post-monsoon seasons are given in Table 7.~~

1081 Water quality monitoring stations of Uttarkashi (PGR=11.9%) and Rishikesh (Dehradun  
1082 PGR=32.3%) are located in the foothills of Himalaya hilly upper reaches of the Ganga River  
1083 with relatively low gross population and in small towns. These stations are least influenced by  
1084 human intervention among all the stations. They are mainly influenced from the generation of  
1085 silts (due to steep hilly slopes) and climatic factor such as rainfall. ~~Therefore, all the water~~  
1086 ~~quality parameters at these stations are in acceptable range with no significant variations in the~~  
1087 ~~IPI values of the parameters over time.~~ For example, IPI for pH in 2001 remained 2.76 in both  
1088 the stations. In 2012 the pH ranged between 1.74 (post-monsoon season) to 2.09 (pre-monsoon  
1089 season) at Uttarkashi station. At Rishikesh station it ranged between 2.09 (pre and post-monsoon  
1090 season) to 2.52 (monsoon season) which is slightly better than the IPI values in 2001. Therefore,  
1091 all the water quality parameters at these stations are in acceptable range with no significant  
1092 variations in the IPI values of the parameters over time. ~~Hence, OIP values indicate that the~~

1093 ~~overall water quality of Uttarkashi and Rishikesh remain in acceptable class (C2) for all the three~~  
1094 ~~seasons. Therefore, in the upper reach segment of the river basin, change in the water quality of~~  
1095 ~~Uttarkashi and Rishikesh stations are mainly influenced from the generation of silts and climatic~~  
1096 ~~factor such as rainfall.~~

1097

1098 As the Ganga River descends down to Gangetic Plains a large number of tributaries e.g. river  
1099 Yamuna that passes from metropolitan city of New Delhi and many other Class-I cities  
1100 (population>100000) ~~cities~~ joins river Ganga at Allahabad. It carries a large amount of untreated  
1101 pollutant load from both municipal and industrial areas ~~of these cities~~ ~~New Delhi and other cities~~  
1102 ~~on its way and adds to the river Ganga. Also, a large domestic and industrial waste is discharged~~  
1103 ~~into the river which further escalates the pollution problem. Many Class I cities~~  
1104 ~~(population>100000) are located all across the river basin.~~ During rainfall, toxic urban runoff is  
1105 discharged to the river directly or through storm water drains. ~~Similarly,~~ water pollution at  
1106 Kanpur is caused by urban domestic wastes and industries mainly tanneries. At Varanasi river  
1107 water ~~is~~ again ~~gets~~ affected ~~by~~ ~~due to~~ municipal and industrial discharges into the river.

1108

1109 ~~Therefore, a significant degradation in the water quality of the stations located in the lower~~  
1110 ~~reaches of the river basin is observed from the year 2001-2012. From the temporal study of OIP~~  
1111 ~~across these stations, it is noticed that the water quality has deteriorated at all three stations from~~  
1112 ~~2001 to 2012 (Fig. 7 (a), (b) & (c)). This sharp decline in the quality of the Ganga River water is~~  
1113 ~~attributed to the increasing pollution from urban and industrial areas. Daily a huge amount of~~  
1114 ~~untreated urban wastes and industrial effluents are discharged into the river. Varanasi being the~~  
1115 ~~last monitoring station collects pollutants from all the above cities, hence it is identified as the~~

1116 most severely polluted station in UGRB but it keeps varying with time. In 2001, Allahabad is the  
 1117 most polluted station followed by Varanasi and Kanpur. However, in 2012, Kanpur is the most  
 1118 polluted station followed by Varanasi and Allahabad indicating due to changes of LULC  
 1119 changes. and population growth (Fig. 7 (a), (b) & (c)). The water quality remained in reason is  
 1120 OIP values are much higher at Kanpur, Varanasi and Allahabad than Uttarkashi and Rishikesh.  
 1121 Other than this most of the time the water quality at all the three stations at lower reaches  
 1122 remained in the acceptable to slightly polluted class range.

1123  
 1124 **Table 7.** Individual parameter indices (IPIs) and overall indices of pollution (OIPs) computed at  
 1125 various water quality monitoring stations of Upper Ganga River basin over periods of 2001 and  
 1126 2012 for pre-monsoon, monsoon and post-monsoon seasons

1127  
 1128 (i)  
 1129

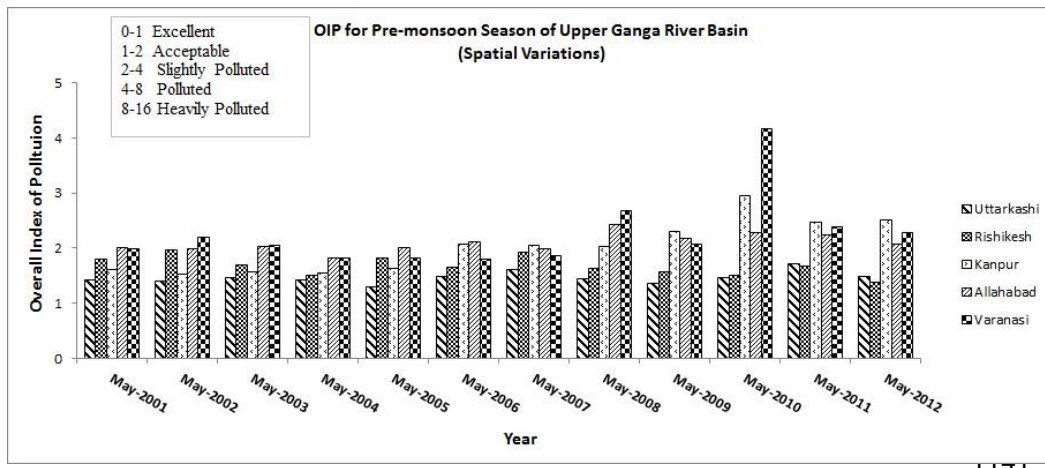
Parameters	Water Quality Monitoring Stations														
	Uttarkashi			Rishikesh			Kanpur			Allahabad			Varanasi		
	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov
BOD	1.00	1.00	1.00	1.00	1.00	1.00	1.87	1.00	1.60	2.67	2.80	2.47	1.67	1.47	1.20
DO%	1.33	1.28	1.27	2.49	3.24	2.97	1.27	0.79	0.99	1.06	1.61	0.86	1.20	1.06	1.54
F	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
Hardness-CaCO <sub>3</sub>	1.00	1.00	1.00	1.78	1.00	1.00	1.99	1.80	1.87	1.95	3.16	2.66	1.99	2.89	2.45
pH	2.76	2.76	2.76	2.76	2.76	2.76	2.52	3.33	2.76	3.03	3.33	3.03	3.03	3.65	3.03
Total Coliform	-	-	-	-	-	-	-	-	-	3.43	4.60	4.98	4.02	3.48	3.21
Turbidity	-	-	-	-	-	-	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
<b>OIP (2001)</b>	<b>1.42</b>	<b>1.41</b>	<b>1.41</b>	<b>1.81</b>	<b>1.80</b>	<b>1.75</b>	<b>1.61</b>	<b>1.49</b>	<b>1.54</b>	<b>2.02</b>	<b>2.50</b>	<b>2.29</b>	<b>1.99</b>	<b>2.08</b>	<b>1.92</b>

1130  
 1131 (ii)

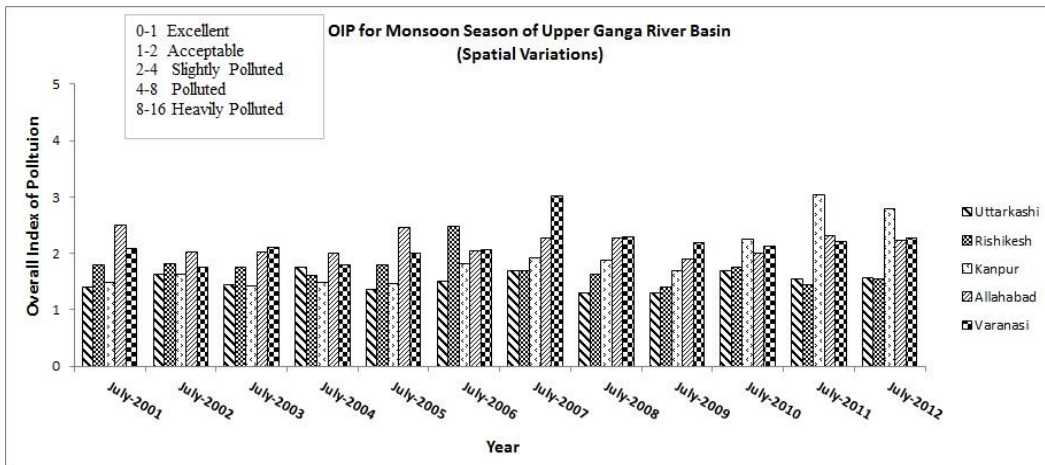
Parameters	Water Quality Monitoring Stations														
	Uttarkashi			Rishikesh			Kanpur			Allahabad			Varanasi		
	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov	May	Jul	Nov
BOD	1.00	1.00	1.00	1.00	1.00	1.00	4.67	6.67	2.67	1.93	2.13	1.60	2.00	2.60	1.93
DO%	2.36	2.97	2.36	1.81	2.22	2.08	1.47	2.22	1.20	1.54	1.49	0.65	1.13	0.65	0.65
F	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
Hardness-CaCO <sub>3</sub>	1.00	1.00	1.00	1.00	1.00	1.00	2.10	2.02	1.91	1.97	1.86	1.92	1.90	1.00	1.82
pH	2.09	1.91	1.74	2.09	2.52	2.09	4.81	3.65	2.76	3.03	4.00	3.03	4.81	3.65	4.81
Total Coliform	-	-	-	-	-	-	-	-	-	4.05	4.11	3.90	4.14	5.97	3.93
Turbidity	-	-	-	-	-	-	1.00	1.20	1.08	1.00	1.00	1.00	1.00	1.00	1.00
<b>OIP (2012)</b>	<b>1.49</b>	<b>1.58</b>	<b>1.42</b>	<b>1.38</b>	<b>1.55</b>	<b>1.44</b>	<b>2.51</b>	<b>2.79</b>	<b>1.77</b>	<b>2.07</b>	<b>2.23</b>	<b>1.87</b>	<b>2.28</b>	<b>2.27</b>	<b>2.16</b>

1133

1134 (a)



1142 (b)



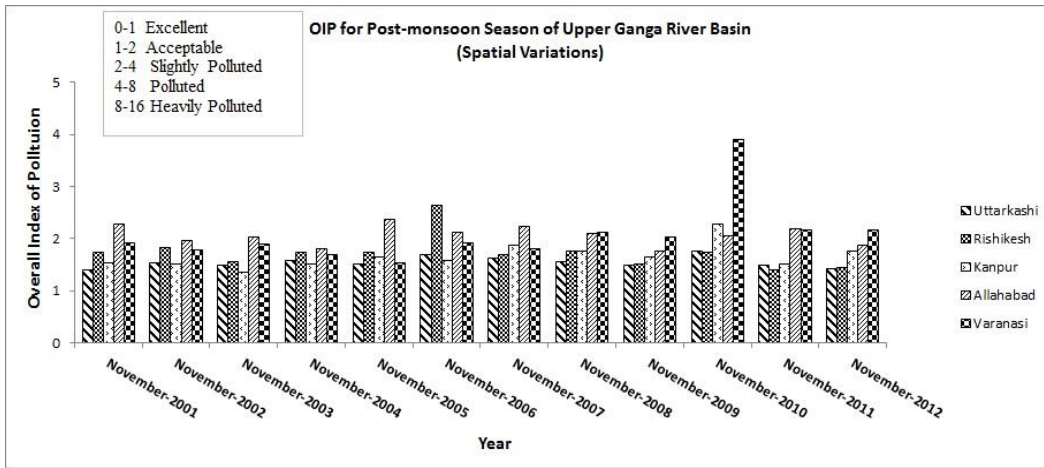


1147

1148

1149

1150 (e)



Figure

1158 6. Spatial variations in the overall indices of pollution of upper Ganga River basin for (a) Pre-  
1159 monsoon period (b) Monsoon period, (c) Post-monsoon period

1160

1161 (a)

1162

1163

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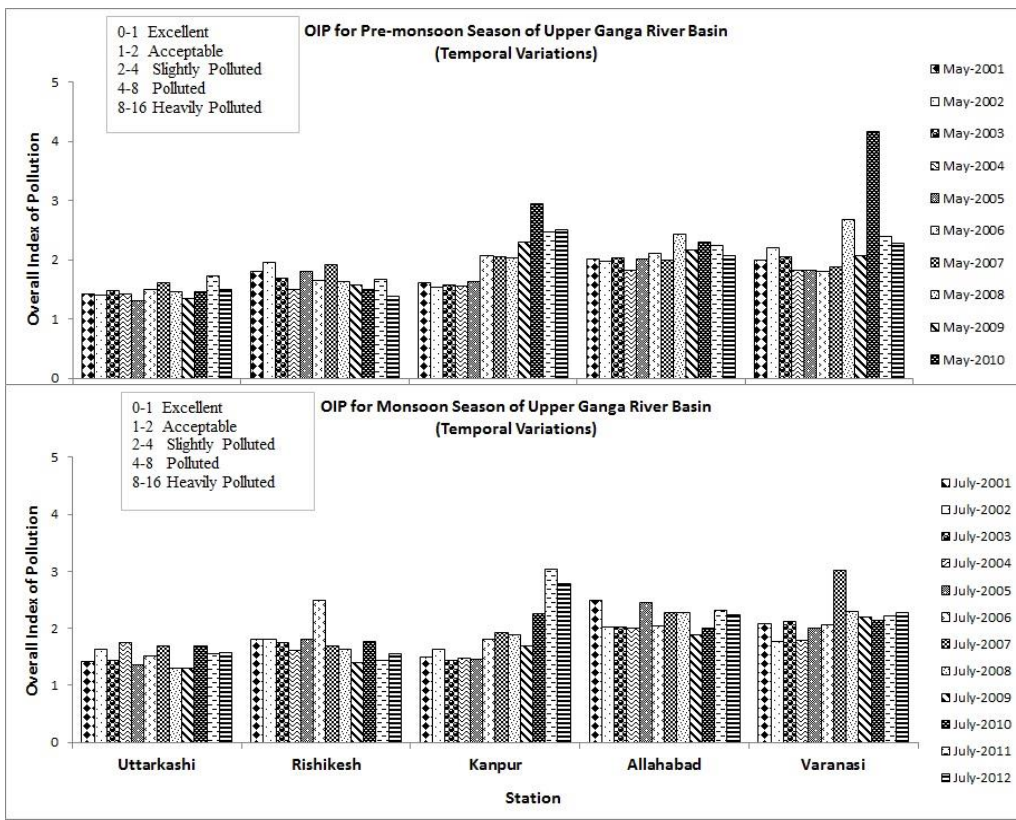
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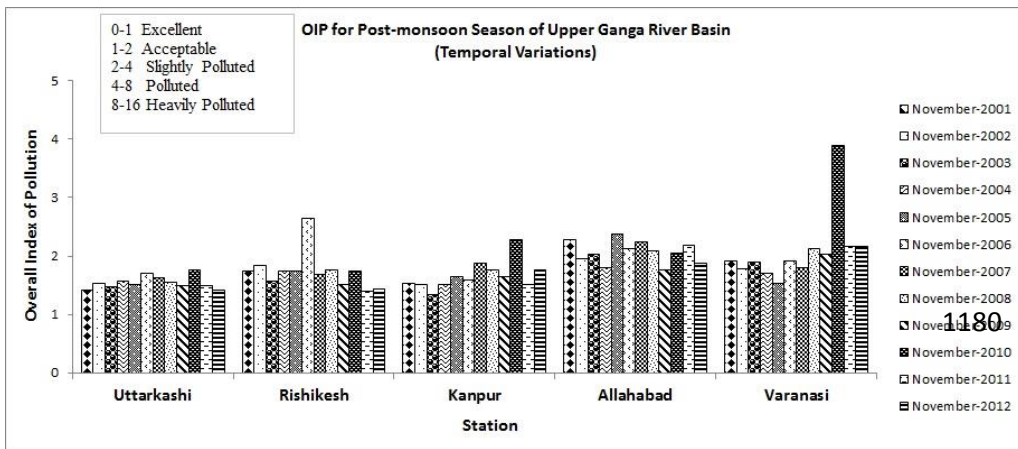
1168

1169 (b)



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1191

(e)



Figure

7.

Temporal variations in the overall indices of pollution of upper Ganga River basin for (a) Pre-monsoon period (b) Monsoon period, (c) Post-monsoon period

From Table 7 it is observed that the OIP of Kanpur station changed from 1.61 to 2.51, 1.49 to 1.54 and 2.79 to 1.77 in pre-monsoon, monsoon and post-monsoon seasons respectively. It is the most polluted station with most inferior water quality with maximum OIP of 2.79 (Table 7 (ii)). Similarly, OIP for Allahabad station changed from 2.02 to 2.07, 2.50 to 2.23 and 2.29 to 1.87 in three consecutive seasons whereas OIP for Varanasi changed from 1.99 to 2.28, 2.08 to 2.27 and 1.92 to 2.16. Total population of all the three cities is very high and Kanpur has the highest population (6,377,452) amongst them. Varanasi has the highest population density in the region.

1192 Similarly, Allahabad has a PGR of 20.6% between 2001-2011. These cities are the biggest  
1193 centres of commercial activities in ~~the river basin~~ UGRB. ~~All these cities are rapidly urbanizing~~  
1194 ~~with a number of industries mainly located near Ganga River bank.~~ The main ~~types of industries~~  
1195 industrial types found in Allahabad district are glass, wire products, battery, etc. whereas the  
1196 Varanasi consists of textile, printing, electrical machinery related industries. In the lower reaches  
1197 of the Ganga River, major industrialization has occurred in and around Kanpur. Tanneries are the  
1198 major types of industries in Kanpur, majority of them are located in the Jajmau area which is  
1199 close to ~~Ganga~~ River Ganga. The wastewater generated from various tanning operations, viz.  
1200 soaking, liming, deliming and tanning, etc. result in increased levels of organic loading, salinity  
1201 and specific pollutants such as sulfide and chromium. These are very toxic for pollutants and  
1202 affect the parameters, viz. BOD, Hardness  $\text{CaCO}_3$ , pH and Turbidity (Rajeswari 2015). Hence,  
1203 due to wastewater from tanneries and municipal discharges, high IPI values of Hardness  $\text{CaCO}_3$   
1204 (2.10) and pH (4.81) are observed for Kanpur station in 2012. Hardness  $\text{CaCO}_3$  (1.90) and pH  
1205 (4.81) IPI of Varanasi is just lower to Kanpur followed by Allahabad which showed a close IPI  
1206 value of 1.97 and 4.00, respectively. These cities do not have tanneries but their urban sewage  
1207 and industrial effluents affect water quality of the river.

1208  
1209 Other than tanneries, agro-based, textile, paper, mineral, metal and furniture based industries are  
1210 also present. Unnao is other industrial town located close to Kanpur. ~~Rapid urbanization and~~  
1211 ~~industrialization has highly affected the Ganga River water quality in this region.~~ Large amount  
1212 of municipal sewage generated in the urban residential areas and industrial effluents are  
1213 discharged into the water. In total, 6087 MLD of wastewater is discharged into Ganga River. Out  
1214 of the complete river basin, six sub regions namely Kanpur, Unnao, Rai-Bareilly, Allahabad,

1215 Mirzapur and Varanasi alone discharge 3019 MLD of wastewater directly/indirectly into the  
1216 river. Particularly, cities of Kanpur, Allahabad and Varanasi contribute about 598.19 MLD,  
1217 293.5 MLD and 410.79 MLD of wastewater into the river respectively (CPCB 2013; NRSC  
1218 2014). Municipal sewage water is characterized by high BOD and Total Coliform bacteria count.  
1219 Table 79 illustrates that a very high IPI value is observed in the BOD of Kanpur (6.67),  
1220 Allahabad (2.13) and Varanasi (2.60) for the year 2012. It has increased from 2001 to 2012.  
1221 Similarly in the year 2012, IPI of Total Coliform bacteria count is found in the range of  
1222 minimum 3.90 (Allahabad) to 5.97 (Varanasi). It falls in the class of slightly polluted to polluted.  
1223 F, pH and Turbidity are the factors mainly affected by natural drivers. ~~is a parameter which is~~  
1224 ~~dependent on various factors viz. elevation, temperature, atmospheric pressure, streamflow,~~  
1225 ~~rainfall, etc. Hence, DO% IPI of DO% is within acceptable to slightly polluted range in all the~~  
1226 ~~three stations in 2012. Flouride (F) occurs in the nature but sometimes it is introduced to the river~~  
1227 ~~from industries. Turbidity has changed over the years but remains mainly in the acceptable class~~  
1228 ~~range. In this study region, F is not changing much and is mainly within excellent class range of~~  
1229 ~~IPI, i.e. 1.0. F (1.0) and Turbidity have remained in excellent and acceptable classes over the~~  
1230 ~~years. Various other studies have reported that the water quality of Ganga River near Kanpur,~~  
1231 ~~Allahabad and Varanasi cities is highly polluted (Gowd et al. 2010; Rai et al. 2010; Sharma et al.~~  
1232 ~~2014). Rapid urbanization and industrialization has highly affected the water quality of River~~  
1233 ~~Ganga in these districts. Industrial effluents from various industries and tanneries affect the water~~  
1234 ~~quality parameters, viz. BOD, Hardness CaCO<sub>3</sub>, pH and Turbidity. The wastewater generated~~  
1235 ~~from various tanning operations, viz. soaking, liming, deliming and tanning, etc. result in~~  
1236 ~~increased levels of organic loading, salinity and specific pollutants such as sulfide and~~  
1237 ~~chromium. These are very toxic for pollutants (Rajeswari 2015). Hence, due to wastewater from~~

1238 ~~tanneries and municipal discharges high IPI values of Hardness  $\text{CaCO}_3$  (2.10) and pH (4.81) are~~  
1239 ~~observed for Kanpur station in 2012. Hardness  $\text{CaCO}_3$  (1.90) and pH (4.81) IPI of Varanasi is~~  
1240 ~~just lower to Kanpur followed by Allahabad which showed a close IPI value of 1.97 and 4.00,~~  
1241 ~~respectively. These cities do not have tanneries but their urban sewage and industrial effluents~~  
1242 ~~affect water quality of the river.~~

1243  
1244 ~~Between seasons, comparatively high IPI and OIP values are observed in monsoon season~~  
1245 ~~followed by pre-monsoon and post-monsoon season for all three stations viz. Kanpur, Varanasi~~  
1246 ~~and Allahabad as per Table 7 (i-ii). It is due to the likely discharge of toxic urban runoff during~~  
1247 ~~heavy storm events. River water quality is affected due to rainfall and increased stream flow~~  
1248 ~~during monsoon and post-monsoon season. During rainfall, different water quality parameters~~  
1249 ~~behave differently. This phenomenon is very site-specific. Runoff generated from the rainfall~~  
1250 ~~discharges pollutants from the land surface to the nearby stream, but it also improves the river~~  
1251 ~~water quality by dissolving and transporting some pollutants to other places through various~~  
1252 ~~natural processes. Hence, water quality of the stations at lower reaches of Ganga River are~~  
1253 ~~slightly polluted due to urbanization effects. Water quality is fairly good at stations located in the~~  
1254 ~~upper reaches due to less urbanization effect in these zones. Geospatial technologies along with~~  
1255 ~~OIP are advantageous in studying LULU changes across a large river basin. Therefore, water~~  
1256 ~~quality assessment using OIP could help to manage the available water resources sustainably.~~  
1257 ~~The future scope of this study comprises the understanding of hydrologic and ecological~~  
1258 ~~response of the water quality changes across the river basin.~~

1259

## 1260 **5.7 Relationship between LULC and water quality (OIP)**

1261 Pearson's correlation analysis between OIP and different LULC classes in UGRB helped in  
 1262 studying strength of association between these variables (Table 10). In all the three seasons of  
 1263 the year 2001, wastelands, built up and agricultural lands significantly correlated positively  
 1264 (moderate to strong association) to OIP. Water bodies have shown very weak positive correlation  
 1265 whereas moderate to strong negative correlation is observed with forest class. Due to change in  
 1266 the LULC distribution and water quality parameters between 2001-2012, variations are observed  
 1267 in the strength of association in the year 2012. In this year, OIP showed very strong negative and  
 1268 a very weak negative correlation with forest and water bodies classes respectively. A very  
 1269 strong positive association is observed with agricultural lands. Moderate to strong positive  
 1270 correlation is observed with built up class. Association of OIP with wastelands is in the  
 1271 broad range of very weak positive to very weak negative.

1272  
 1273 **Table 10.** Pearson's correlation coefficients relating LULC to water quality (OIP) in the Upper  
 1274 Ganga River basin (Pre-monsoon, Monsoon and Post-monsoon seasons of 2001 and 2012)

1275

Stations	OIP Pre-monsoon (2001)	F%	WL%	WB%	AG%	BU%
Uttarkashi	1.42	39.3	10.3	1.4	0.6	0.2
Rishikesh	1.81	59.8	18.8	4.8	13.5	3.2
Kanpur	2.61	0.3	23.4	2.5	63.7	10.1
Allahabad	2.02	1.5	22.1	3.0	70.5	2.8
Varanasi	1.99	0.6	16.8	3.1	76.8	2.7
Pearson's correlation coefficients		-0.65	0.87	0.12	0.71	0.95

1276

Stations	OIP Monsoon (2001)	F%	WL%	WB%	AG%	BU%
Uttarkashi	1.41	39.3	10.3	1.4	0.6	0.2
Rishikesh	1.80	59.8	18.8	4.8	13.5	3.2
Kanpur	2.49	0.3	23.4	2.5	63.7	10.1
Allahabad	2.50	1.5	22.1	3.0	70.5	2.8
Varanasi	2.08	0.6	16.8	3.1	76.8	2.7
Pearson's correlation coefficients		-0.77	0.93	0.15	0.87	0.69

1277

Stations	OIP Post-monsoon (2001)	F%	WL%	WB%	AG%	BU%
Uttarkashi	1.41	39.3	10.3	1.4	0.6	0.2
Rishikesh	1.75	59.8	18.8	4.8	13.5	3.2
Kanpur	2.54	0.3	23.4	2.5	63.7	10.1

Allahabad	2.29	1.5	22.1	3.0	70.5	2.8
Varanasi	1.92	0.6	16.8	3.1	76.8	2.7
Pearson's correlation coefficients		-0.73	0.93	0.09	0.78	0.83

1278

Stations	OIP Pre-monsoon (2012)	F%	WL%	WB%	AG%	BU%
Uttarkashi	1.49	39.7	8.3	1.5	1.4	0.6
Rishikesh	1.38	59.8	3.4	4.3	20.3	12.2
Kanpur	2.51	0.3	4.7	2.6	67.0	25.3
Allahabad	2.07	1.5	16.0	3.1	73.4	6.0
Varanasi	2.28	0.7	6.0	3.3	79.4	10.5
Pearson's correlation coefficients		-0.94	0.10	-0.09	0.88	0.63

1279

Stations	OIP Monsoon (2012)	F%	WL%	WB%	AG%	BU%
Uttarkashi	1.58	39.7	8.3	1.5	1.4	0.6
Rishikesh	1.55	59.8	3.4	4.3	20.3	12.2
Kanpur	2.79	0.3	4.7	2.6	67.0	25.3
Allahabad	2.23	1.5	16.0	3.1	73.4	6.0
Varanasi	2.27	0.7	6.0	3.3	79.4	10.5
Pearson's correlation coefficients		-0.89	0.08	-0.09	0.83	0.72

1280

Stations	OIP Post-monsoon (2012)	F%	WL%	WB%	AG%	BU%
Uttarkashi	1.42	39.7	8.3	1.5	1.4	0.6
Rishikesh	1.44	59.8	3.4	4.3	20.3	12.2
Kanpur	2.77	0.3	4.7	2.6	67.0	25.3
Allahabad	1.87	1.5	16.0	3.1	73.4	6.0
Varanasi	2.16	0.7	6.0	3.3	79.4	10.5
Pearson's correlation coefficients		-0.79	-0.14	-0.07	0.75	0.82

1281

1282 This study found that increase in forest cover can decrease OIP due to increased aeration of  
1283 flowing river water. High sediment load, generally from surface runoff causes increase in  
1284 turbidity. Forest control turbidity, Hardness CaCO<sub>3</sub> and pH parameters by acting as a buffer  
1285 against these parameters. Similarly, increase in the water bodies decrease OIP by diluting the  
1286 pollutants with excess water, thus improving the water quality. In UGRB, increase in OIP i.e  
1287 deterioration of water quality is observed with increase in agricultural lands and built up due to  
1288 introduction of pollutants from various agro-chemicals, municipal sewage, industrial effluents  
1289 and other types of organic matter. They lower the DO% level and increase BOD. Correlation  
1290 between wastelands and OIP are not much significant. Another study by Attua et al. 2014,  
1291 reported similar results for the study conducted on African rivers. Multiple linear regression  
1292 analysis can efficiently predict the OIP using one or combination of LULC classes (Table 11).

1293 OIP of 2001 could be predicted by the combined coverage area of forest, wastelands, agricultural  
 1294 land and built up area (adjusted  $R^2=0.94$ ) and OIP of 2012 by forest, agricultural land and built  
 1295 up area (adjusted  $R^2=0.95$ ). High  $R^2$  and adjusted  $R^2$  values in both the years showed strong  
 1296 relationship between OIP and LULC classes of the respective models. However, these  
 1297 relationships may vary for different regions or time periods.

1298  
 1299 **Table 11.** Multiple linear regression models for OIP and LULC classes in the Upper Ganga  
 1300 River basin

Year	Independent variable	Regression model equation	$R^2$	Adjusted $R^2$
OIP (2001)	Forest, Wastelands, Agricultural Land and Built Up area	OIP= 1.1354 - 0.6331 F + 5.08 WL - 0.0828 AG + 2.7425 BU	0.94	0.94
OIP (2012)	Forest, Agricultural Land and Built Up area	OIP = 2.1266 - 1.6296 F - 0.2756 AG + 2.9894 BU	0.96	0.95

1301  
 1302 **6. Summary and conclusions**

1303 Upper Ganga River basin is suffering from chronic water shortages since past few decades.  
 1304 Population growth is the primary driver behind gradual urbanization and industrialization in this  
 1305 region. In addition, infrastructure development activities and agriculture have also intensified.  
 1306 Hence, the natural resources of UGRB are over-exploited. Sustainable water resources planning  
 1307 and management by policy makers and planners need understanding of nexus between  
 1308 components of population growth-LULC transformations-water quality at both regional and local  
 1309 scale. 20.45% increase in PGR leads to 43.4% increase in built up. It was identified as most  
 1310 dynamic LULC class in the region followed by wastelands. Mann-Kendall rank test revealed that  
 1311 water quality parameters are highly variable in time and space with no significant trends. Even  
 1312 though gross rural population is much higher in the lower reaches of the river basin, but the PGR  
 1313 is higher in the urban population of upper reaches. The water quality of majority of the stations



1314 was most degradable in monsoon season. Water quality of upper reaches (Uttarkashi and  
1315 Rishikesh) remained in excellent to acceptable (1.38-1.81) class from 2001-2012 whereas it  
1316 changed from acceptable class to slightly polluted class (1.87-2.79) in lower reaches (Kanpur,  
1317 Allahabad and Varanasi). In UGRB, BOD, DO% and Total Coliform are the parameters most  
1318 influenced by anthropogenic activities. Conversely, the remaining parameters viz. pH, F,  
1319 Hardness CaCO<sub>3</sub> and Turbidity are mainly influenced by climatic factors. The highest increase in  
1320 built up of 291.8% observed in the Varanasi district, is directly related to the highest  
1321 deterioration of water quality in UGRB. But Allahabad and Kanpur are identified as most  
1322 polluted stations in 2001 and 2012 respectively. Sewage, industrial effluents and runoff from  
1323 urban/rural areas introduce pollutants at these stations. Future population growth and LULC  
1324 changes in UGRB may further jeopardize their nexus with water. Forests and water bodies are  
1325 negatively correlated with OIP. However, built up and agricultural lands are positively  
1326 correlated. Wastelands are not significantly correlated to OIP. Multiple linear regression models  
1327 developed for UGRB could successfully predict OIP (water quality) using LULC classes. The  
1328 future scope of this study comprises the understanding of hydro-ecological response of the water  
1329 quality changes across the river basin. The following recommendations are made for judicious  
1330 regulation and control of water quality pollution in UGRB: (a) control of deforestation and  
1331 encouraging afforestation; (b) efficient town planning for better LULC distribution in the river  
1332 basin; (c) reduction in the use of agro-chemicals in the fields (use of organic alternatives); (d)  
1333 proper waste disposal and management system; (e) strategies to control runoff from fields  
1334 (construction of bunds/canals ); and (f) spreading water pollution awareness and strict policies on  
1335 pollution control.

1336

1337 ~~A comprehensive study is done to understand the effects of demographic changes and land~~  
1338 ~~transformations on seasonal surface water quality of the Upper Ganga River basin. Total~~  
1339 ~~population near to monitoring stations has been increased in the basin from 2001 to 2011. From~~  
1340 ~~the results, it is evident that total population has increased in the UG basin. In the urban areas~~  
1341 ~~PGR is about 26.16% which is higher than PGR of rural areas which is 12.45%. Population of~~  
1342 ~~the cities located along the river Ganga i.e. Kanpur, Varanasi and Allahabad also increased. This~~  
1343 ~~basin has experienced rapid urbanization and industrialization in the past few decades. Due to~~  
1344 ~~population changes, characteristic LULC changes are observed in the UG basin. Between the~~  
1345 ~~years, 2001-2012, in the UG basin highest increase of about 2.9% was observed in LULC class~~  
1346 ~~of agricultural lands. Built up lands, snow cover and forest were increased by 43.4%, 1.1% and~~  
1347 ~~14.5% respectively. Conversely, decrease of 33.6% and 10.6% were observed in wastelands and~~  
1348 ~~water bodies classes respectively. Due to increase in food demands of growing population,~~  
1349 ~~agricultural lands also increased in the river basin. New water bodies were constructed to fulfill~~  
1350 ~~mainly the irrigation requirements of the basin. Built up lands also increased all over the river~~  
1351 ~~basin due to increase in urban population in urban cities/towns and in industrial areas.~~  
1352 ~~Agricultural lands, and built up lands increased on the expense of wastelands. New water bodies~~  
1353 ~~were constructed in this basin to mainly fulfill the domestic and industrial water demands of the~~  
1354 ~~growing urban population. Water quality degradation has occurred in the basin consequently~~  
1355 ~~affecting the health status of the river. From Table 6, it can be inferred that BOD and turbidity~~  
1356 ~~show consistently an increasing trend for most of the months of a year and this certainly~~  
1357 ~~indicates the severity of pollution in the industry dominated urban city of Kanpur.~~

1358

1359 ~~OIP estimates across the river basin demonstrate that the water quality of Uttarkashi and~~  
1360 ~~Rishikesh remained in acceptable class for all the three seasons. These observation stations are~~  
1361 ~~surrounded by hills and due to less population, they are not much influenced by human~~  
1362 ~~intervention. Therefore, in the upper reach segment of the river basin, change in the water quality~~  
1363 ~~of Uttarkashi and Rishikesh stations is mainly influenced from the generation of silts and~~  
1364 ~~climatic factor such as rainfall. A significant degradation in the water quality of the stations~~  
1365 ~~located in the lower reaches of the river basin is observed from the year 2001-2012. This sharp~~  
1366 ~~decline in the quality of the Ganga river water is attributed to the increasing total population and~~  
1367 ~~LULC changes. In 2001, Allahabad is the most polluted station followed by Varanasi and~~  
1368 ~~Kanpur. However, in 2012, Kanpur is the most polluted station followed by Varanasi and~~  
1369 ~~Allahabad due to changes of LULC and population growth. Other than this most of the time, the~~  
1370 ~~water quality at all the three stations remained in the slightly polluted range. From the spatial and~~  
1371 ~~temporal study of OIP across these stations, it is noticed that the water quality has deteriorated at~~  
1372 ~~all three stations from 2001 to 2012.~~

1373  
1374 ~~OIP is a promising tool to study the effect of demographic changes and LULU transformations~~  
1375 ~~on the spatio-temporal variations in the water quality across a river basin. Geospatial~~  
1376 ~~technologies are advantageous in studying LULU changes over a large river basin. Therefore,~~  
1377 ~~water quality assessment using OIP tool could help to assess and solve local and regional water~~  
1378 ~~quality related problems over a river basin. This could help the policy makers and planners to~~  
1379 ~~understand the status of water pollution so that suitable strategies could be made for sustainable~~  
1380 ~~development in a river basin.~~

1381

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1383

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1390

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