

1 **DUAL-POLARIZED QUANTITATIVE PRECIPITATION ESTIMATION AS A FUNCTION OF RANGE**

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11

12 **Abstract.** Since the advent of dual-polarization radar technology, many studies have been conducted to
13 determine the extent to which the differential reflectivity (ZDR) and specific differential phase shift
14 (KDP) add benefits to estimating rain rates (R) compared to reflectivity (Z) alone. It has been previously
15 noted that this new technology provides significant improvement to rain rate estimation, primarily for
16 ranges within 125 km of the radar. Beyond this range, it is unclear as to whether the National Weather
17 Service conventional R(Z)-Convective algorithm is superior, as little research has investigated radar
18 precipitation estimate performance at larger ranges. The current study investigates the performance of
19 three radars, St. Louis (KLSX), Kansas City (KEAX), and Springfield (KSGF), MO, with 15 tipping
20 bucket gauges serving as ground-truth to the radars. With over 300 hours of precipitation data were
21 analyzed for the current study, it was found that, in general, performance degraded with range beyond,
22 approximately, 150 km from each of the radars. Probability of detection in addition to bias values
23 decreased, while the false alarm rates increased as range increased. Bright-band contamination was
24 observed to play a potential role as large increases in the absolute bias and overall error values near 120
25 km for the cool season, and 150 km in the warm season. Furthermore, upwards of 60% of the total error
26 was due to precipitation falsely estimated, while 20% of the total error was due to missed precipitation.
27 Correlation coefficient values increased by as much as 0.4 when these instances were removed from the
28 analyses (i.e., hits only). Overall, due to the lowest normalized standard error of less than 1.0, a National

29 Severe Storms Laboratory (NSSL) R(Z,ZDR) equation was determined to be the most robust, while a
30 R(ZDR,KDP) algorithm recorded NSE values as much as 5. The addition of dual-polarized technology
31 was shown to better estimate quantitative precipitation estimates than the conventional equation. The
32 analyses further our understanding in the strengths and limitations of the Next Generation Radar system
33 overall, and from a seasonal perspective.

34 **1 Introduction**

35 In 2012, the National Weather Service (NWS) began upgrading the Next Generation Radar
36 (NEXRAD) system from single- to dual-polarization. The potential benefits of this upgrade were
37 investigated by the National Severe Storms Laboratory (NSSL) and the Cooperative Institute for
38 Mesoscale Meteorological Studies. These advantages include, but are not limited to, (1) significant
39 improvement in radar rainfall estimation (Ryzhkov et al., 2005; Gourley et al., 2010) through better
40 representation of precipitation shape (Brandes et al., 2002; Gorgucci et al., 2000, 2006), (2)
41 discrimination between solid and liquid precipitation (Zrníc and Ryzhkov, 1996), allowing for better
42 distinction between areas of heavy rain and hail (Park et al., 2009; Giangrande and Ryzhkov, 2008;
43 Cunha et al., 2013), (3) identifying the melting layer position in the radar field (Straka et al., 2000; Park
44 et al., 2009), and (4) calculating drop-size distributions retrieved from measurements of reflectivity (Z),
45 differential reflectivity (ZDR), and specific differential phase shift (KDP) as opposed to using ground-
46 based point located disdrometers (Zhang et al., 2001; Brandes et al., 2004; Anagnostou et al., 2008).

47 Rain rate retrieval by weather radars is an estimation based upon the dielectric properties of the
48 hydrometeors encountered in the atmosphere. Therefore, there is no direct measurement of rainfall, and
49 this inherently introduces error. However, dual-polarized radar technology allows for in-depth analyses on
50 the microphysics of precipitation that single-polarization was incapable of conducting. In spite of this
51 technology, conflicting studies report the benefits for quantitative precipitation estimation (QPE). For
52 example, Gourley et al. (2010) and Cunha et al. (2015) reported that conventional R(Z) algorithms have
53 significantly better bias than algorithms containing ZDR and/or KDP, while others (e.g., Ryzhkov et al.,

54 2013; Simpson et al., 2016) report the opposite. This could be due, at least in part, to the fact that
55 hydrometeor types (e.g., rain versus hail) vary on spatial scales that cannot be easily resolved by even
56 densely gauged networks.

57 Multiple studies have found that the performance of radar rain rate estimates decrease as range
58 increases (Smith et al., 1996; Ryzhkov et al., 2003) which is caused, primarily, by degradation of beam
59 quality with range. Furthermore, the researchers also discuss how the probability of detection at larger
60 ranges decreases, as the radar beam overshoots shallow, stratiform precipitation, especially winter
61 precipitation. Bright-banding can also play a crucial role in significantly increasing the amount of
62 precipitation estimated by the radar, prompting many researchers to produce automated bright-band
63 detection algorithms (e.g., Zhang et al., 2008; Zhang and Qi, 2010).

64 Despite these overall disadvantages, studies have shown that radar rainrate algorithms seldom
65 exceed absolute errors on the order of 10 mm h^{-1} . However, many of these studies have looked at a small
66 sample of rain events (on the order of 10-50 hours) (Kitchen and Jackson, 1993; Smith et al., 1996;
67 Ryzhkov et al., 2003; Gourley et al., 2010; Cunha et al., 2013). Long-term performances of weather radar
68 are becoming more common in recent years as the availability of data becomes more abundant (e.g.,
69 Haylock et al., 2008; Goudenhoofdt and Delobbe, 2012; Fairman et al., 2015; Goudenhoofdt and
70 Delobbe, 2015). Additionally, few studies (e.g., Smith et al., 1996; Cunha et al., 2015; Simpson et al.,
71 2016) quantified QPE errors including the probability of detection and false alarm ratio. In order to gain a
72 better understanding of the performance of weather radars on rain rate estimates, more data must be
73 collected over a broad range of precipitation regimes in addition to an overall broader region of interest.

74 The overarching objective of the current study was to assess the performance of three different
75 radars within the state of Missouri at various ranges from the radar, using terrestrial-based tipping bucket
76 gauges as ground-truth data. Radar rain rate estimation algorithms include 55 algorithms encompassing
77 standard R(Z) relations as well as algorithms containing dual-polarization variables including differential
78 reflectivity (ZDR) and the specific differential phase shift (KDP). A rain rate echo classification

79 algorithm was also tested for performance in correctly identifying the suitable rain rate algorithm to
80 choose based on the Z, ZDR, and KDP radar fields. The current work expands upon that of Simpson et al.
81 (2016) such that a larger sample of data was analyzed (over 300 hours of rainfall data from forty-six
82 separate days in 2014) to encompass multiple different precipitation regimes for both summer and winter,
83 with several ground-truth tipping buckets to analyze the performance of three separate radars as a
84 function of range, and further expanding upon the effects of erroneous precipitation estimates on the
85 overall radar error. Objectives for this study included, (1) statistically analyze the performance of each
86 radar at various ranges (compared against the gauges), (2) compute (a) the amount of precipitation
87 incorrectly estimated by the radar (quantifying the probability of false detection) and (b) the amount of
88 precipitation incorrectly missed by the radar but measured by the rain gauge, (3) test the overall best radar
89 rain rate algorithm, and (4) perform objectives (1), (2), and (3) while the data is separated into warm and
90 cool seasons which have been shown to result in significantly different QPE's (Smith et al., 1996;
91 Ryzhkov et al., 2003; Cunha et al., 2015).

92

93 **2 Study area and methods**

94 **2.1 Study area**

95 National Weather Service (NWS) radars from St. Louis (KLSX), Kansas City (KEAX), and
96 Springfield (KSGF), MO are able to scan the majority of the state of Missouri. Because of this, the three
97 aforementioned radars were used to assess overall performance in estimating precipitation for this study.
98 Each radar covered a 200-km radius for which a different number of gauges were within their domains:
99 KLSX, KEAX, and KSGF covered 9, 8, and 5 gauges, respectively (Figure 1).

100 Missouri is characterized as a continental type of climate, marked by relatively strong seasonality.
101 Furthermore, Missouri is subject to frequent changes in temperature, primarily due to its inland location
102 and its lack of proximity to any large lakes. All of Missouri experiences below-freezing temperatures on a

103 yearly-basis. For example, the majority of the state typically registers, 110 days with temperatures below
104 freezing, while the Bootheel (i.e., southeast region) records, on average, 70 days of below freezing day
105 temperatures, emphasizing the typical northwest to southeast warming pattern of temperatures observed
106 in the state. Because of the large variability in temperature, the warm and cool seasons were defined from
107 an agronomic perspective, primarily taking probabilities of freezing into account. Based on the
108 climatological averages of Missouri, from 1983 to 2013, November through April registered average
109 minimum temperatures below freezing, and was considered the cool season, while May through
110 October's minimum average temperature were above freezing and constituted the warm season.

111

112 **2.2 Rainfall data**

113 In order for the results to be comparable across the domains of the three radars it was necessary to
114 select days on which rain was observed widely across the state. Although measurable rainfall occurs on
115 more than 100 days of the year in Missouri with only 50 days typically recording greater than 0.254 mm,
116 2014 recorded 46 days with measurable rainfall throughout the state. Furthermore, occurrence of rain was
117 defined as the observation of an amount greater than 0.5 mm (equivalent to two rain gauge tips) in an
118 hour. This amounted to a total of approximately 300 hours of rain across those 46 days. This represents a
119 relatively standard year of rainfall for the state of Missouri. Furthermore, the days were chosen based on
120 availability of data from the National Climate Data Center's (NCDC) Hierarchal Data Storage System
121 (HDSS) for all three radars, in addition to error-free performance notes from each of the gauges used. The
122 dates analyzed were split near evenly between warm (May – October) and cool (November – April),
123 therefore encompassing an overall performance of each of the radars throughout the year with no
124 preferential bias towards rain or snow. Additionally, days were distributed evenly during the summer
125 between convective and stratiform events with a threshold of 38 dBZ (Gamache and Houze, 1982).

126 Terrestrial-based precipitation gauge data were collected from 15 separate weather stations within the
127 Missouri Mesonet, established by the Commercial Agriculture Program of University Extension (Table
128 1). All precipitation data were aggregated in hourly intervals to match the temporal resolution of the
129 gauges. Observed precipitation data were collected using Campbell Scientific TE525 tipping buckets
130 located at each of the locations for the study (Table 1). The precipitation gauges have a 15.4 cm orifice
131 which funnels to a fulcrum which registers 0.254 mm of rainfall per tip. The performance of each gauge is
132 maximized between 0 and 50°C, for which each day of the study's temperature did not exceed. Accuracy
133 in gauge measurements range between -1 to 1%, -3 to 0%, and -5 to 0% for precipitation up to 25.4 mm
134 hr⁻¹, 25.4 to 50.8 mm hr⁻¹, and 50.8 to 76.2 mm hr⁻¹, respectively, which are, primarily, associated with
135 local random errors and errors in tip-counting schemes (Kitchen and Blackall, 1992; Habib et al., 2001).

136 Each tipping bucket is located, approximately, 1 m above the ground in areas clear of buildings
137 and properly maintained vegetation height to mitigate turbulence effects (Habib et al., 1999). Due to the
138 well-maintained nature of the mesonet gauges, these errors were assumed negligible and, therefore,
139 allowed for the gauges to be representative of the true rainfall rate. In spite of the non-homogeneous
140 spacing of the gauges, unbiased statistics including the normalized mean bias and normalized standard
141 error were utilized.

142

143 **2.3 Radar data and radar-rainfall algorithms**

144 Next Generation Radar (NEXRAD) level-II data were retrieved from the NCDC's HDSS. Files
145 were processed using the Weather Decision Support System – Integrated Information (WDSS-II) program
146 (Lakshmanan et al., 2007a) to assess reflectivity (*Z*) in addition to dual-polarized radar variables
147 including differential reflectivity (*ZDR*) and specific differential phase shift (*KDP*). Three other variables
148 were also generated based on a *KDP*-based smoothing field (Ryzhkov et al., 2003) for reflectivity,
149 differential reflectivity, and specific differential phase: *DSMZ*, *DZDR*, and *DKDP*, respectively. These

150 were implemented to determine whether the additional KDP-smoothing fields tend to over- or
151 underestimate QPE's (Simpson et al., 2016). A rain rate echo classification variable (RREC) was also
152 computed, which chooses whether an R(Z), R(KDP), R(Z,ZDR), or R(ZDR, KDP) algorithm is
153 implemented in estimating rain rates based on the radar fields of Z, ZDR, and KDP (Kessinger et al.,
154 2003) to determine whether a multi-parameter algorithm is superior to a single algorithm.

155 All seven variables (Z, ZDR, KDP, DSMZ, DZDR, DKDP, and RREC) were converted from
156 their native polar grid to 256 x 256 1 km Cartesian grids, where the lowest radar elevation scans (0.5°)
157 were used to mitigate uncalculated effects from evaporation and wind drift. An average of 5 minute scans
158 were used for each of the variables, which were aggregated to hourly totals to be compared to the hourly
159 tipping-bucket accumulations. In spite of previous reports suggesting 5 minute to hourly aggregates can
160 have significant effects on QPE (e.g., Fabry et al. 1994), Shucksmith et al.'s (2011) criterion of present
161 accumulation exceeding 26% for a pixel size of 1 km was not reached.

162 The latitude and longitude of each of the 15 gauges were matched with the radar pixel that
163 corresponds to the Cartesian grid value of the seven radar variables which were then implemented in rain
164 rate calculations. These rain-rate calculations were calculated using the equations presented by Ryzhkov
165 et al. (2005) (Table 2), which were gathered from multiple studies using disdrometers to derive a
166 relationship between reflectivity, differential reflectivity, and specific differential phase (Bringi and
167 Chandrasekar, 2001; Brandes et al., 2002; Illingworth and Blackman, 2002; Ryzhkov et al., 2003).
168 Standard R(Z) algorithms were also included to test whether the addition of dual-polarized technology
169 improves QPE's.

170 With the use of both Z, ZDR, KDP, and DSMZ, DZDR, and DKDP fields produced by WDSS-II,
171 the number of algorithms tested was 55. This includes the three standard single-polarized algorithms
172 (stratiform, convective, and tropical) which were calculated using reflectivity R(Z), and then calculated as
173 R(DSMZ), while algorithms 1-6 (R(KDP)) were also calculated as R(DKDP). Algorithms 7-11 (R(Z,
174 ZDR)) were additionally calculated as R(Z, DZDR), R(DSMZ, ZDR), and R(DSMZ, DZDR), while the

175 same four combinations of non- and KDP-smoothed fields were applied to the R(KDP, ZDR) algorithms
176 (12-15). Quality controlling methods for the algorithms include mitigation of clutter, sun spikes, beam
177 blockage, anomalous propagation, and removal of non-precipitation echoes (including biological and
178 chaff returns) through w2qcnn the w2qcndp algorithms (Lakshmanan et al., 2007b, 2010, 2014).

179 **2.4 Statistical analyses**

180 To test the performance of each algorithm, several statistical analyses were calculated. The
181 average difference (Bias) was calculated as

$$182 \text{ Bias} = \frac{\sum (R_i - G_i)}{N} \quad (1)$$

183 where R_i is each hourly aggregated radar estimated rainfall amount calculated from one of the 55
184 algorithms, G_i is the hourly aggregated gauge (observed) measurement, and N is the total number of
185 observations which, for this study, was 300 hours. A second statistical parameter, the normalized mean
186 bias (NMB), was calculated as

$$187 \text{ NMB} = \frac{1}{N} \frac{\sum (R_i - G_i)}{\sum G_i} \quad (2)$$

188 The normalized mean bias is included in the analyses due to the fact that overestimations (i.e., radar
189 estimates larger than gauge measurements) and underestimations (i.e., radar estimates smaller than gauge
190 measurements) are treated proportionately. This is directly analogous to choosing the mean absolute error
191 (MAE) opposed to the standard deviation as the MAE does not penalize smaller or larger errors,
192 obscuring the overall results (Chai and Draxler, 2014). Bias measurements (Bias and NMB) were
193 calculated to determine whether radar derived rain rates were over- or under-estimated in comparison to
194 the gauges. However, to calculate the overall magnitude of error associated with the performance of the
195 radars, the absolute values of (1) and (2) were performed to yield the mean absolute error (MAE), and
196 normalized standard error (NSE), respectively.

197 Several other meteorological parameters were calculated, including probability of detection
198 (PoD) which was calculated as

$$199 \quad PoD = \frac{\sum |R_i \bullet G_i > 0 \& R_i > 0|}{\sum |G_i|} \quad (3)$$

200 where the bullet (\bullet) indicates "if", to determine how accurate the radars were at correctly detecting
201 precipitation. The probability of detection values range between 0.0 (radar did not detect any precipitation
202 correctly) and 1.0 (radar detected the occurrence of all precipitation 100% correctly). The probability of
203 false detection takes into account the amount of precipitation the radars incorrectly estimated when the
204 gauges recorded zero values, and was calculated as

$$205 \quad PoFD = \frac{\sum R_i \bullet (G_i = 0 \& R_i > 0)}{\sum G_i} \quad (4)$$

206 Quantitative measures including the missed precipitation amount (MPA) and the false precipitation
207 amount (FPA) were defined such that

$$208 \quad MPA = \sum R_i \bullet (G_i > 0 \& R_i = 0) \quad (5)$$

$$209 \quad FPA = \sum R_i \bullet (G_i = 0 \& R_i > 0) \quad (6)$$

210 which analyzes the total amount of precipitation due to misses and false alarms. The total
211 precipitation error was also recorded to assess the overall error from each radar.

212

213 **3 Results and discussion**

214 **3.1 Overall algorithm performance**

215 To test the overall performance of each radar, it was necessary to determine the overall best
216 algorithm for each statistical measure. The best algorithm from each grouping of equations was
217 determined to have the lowest normalized standard error (NSE), indicating the best performance relative
218 to the gauge-recorded precipitation amount (Ryzhkov et al., 2005). This reduces the impact of bias
219 inherent within the dataset between warm/cool season, stratiform/convective events, and allows for
220 statistical measurements in spite of the (typical) non-Gaussian behavior of precipitation (Kleiber et al.,
221 2012; Alaya et al., 2017).

222 From the results obtained, the three R(Z), three R(DSMZ), and RREC algorithms displayed a
223 particular bias in favor of the R(Z)-Convective algorithm for all three radars with R(Z)-Stratiform
224 displaying similar performance (Figure 2a). This could be due, at least in part, to the near-equal stratiform
225 and convective precipitation regimes throughout 2014. Although errors generally increased as range
226 increased for KEAX and KLSX, the results were nebulous for KSGF. The lowest NSE values were,
227 typically, closest to each of the radars (between 0.4 and 0.8), with the notable exception of the closest
228 gauge to KSGF. In general, the RREC performed worst at the largest of ranges, potentially due to the
229 algorithm's ability to incorrectly assess the hydrometeors present (Cifelli et al., 2011; Yang et al. 2016).
230 Additionally, the poor performance by the R(DSMZ)-Tropical equation is due to the lack of tropical
231 precipitation within Central Missouri. Overall, the KDP-smoothed reflectivity fields (DSMZ) performed
232 worse than their counter-parts, resulting in over-prediction of precipitation and, thus, larger errors
233 (Simpson et al., 2016). Errors did not exceed 2.4 NSE units for any of these algorithms.

234 However, the performance of the KDP-smoothed KDP field (DKDP) performed better than the
235 original specific differential phase shift field (Figure 2b). For nearly all gauges for each of the 3 radars,
236 R(DKDP)4 performed the best, with NSE values ranging from 1.4 to 4.1. The range of NSE values were
237 largest at KEAX, while the spread was relatively small for KLSX and KSGF. In spite of this, the overall
238 spread of the performance of the 12 KDP algorithms varied greatly (average of 2 NSE units), exhibiting
239 the sensitivity of KDP estimates on QPE (Ryzhkov et al., 2005; Cunha et al., 2013). In general, the

240 NSSL-derived R(KDP) equations (i.e., equations 4-6) outperformed those from Bringi and Chandrasekar
241 (2001, equation 1), Brandes et al. (2002, equation 2), and Illingworth and Blackman (2002, equation 3).
242 Regardless, the magnitudes were all, approximately, more than 1 NSE unit than the performance of the
243 R(Z) algorithms.

244 The algorithms with the lowest NSE values were equations 7-11. For example, the overall lowest
245 NSE was at a distance of 130 km from KEAX (0.3), with no locations exceeding NSE values of 2.0
246 (Figure 2c). The large values at the closest location for KSGF (85 km, 1.3 – 1.9 NSE units), and the fifth
247 closest gauge to KLSX (135 km, 1.3 – 1.8 NSE units), Cook Station, were similar to the R(Z) and
248 R(DSMZ) results, indicating potential issues with reflectivity measurements. Additionally, these locations
249 were the closest in performance to the R(KDP) and R(DKDP) NSE values. Observations from this gauge
250 (Cook Station) indicated hail occurred during the evening of 01 August, for which KDP estimates would
251 be more ideal than Z for QPE (Ryzhkov et al. 2005; Kumjian 2013a; Cunha et al. 2015). In spite of this,
252 the overall spread in performance of the R(Z,ZDR) equations were less than the R(KDP) equations,
253 demonstrating the robust performance of R(Z,ZDR) for QPE (Wang and Chandrasekar 2010; Seo et al.,
254 2015).

255 The R(ZDR,KDP) algorithms performed the worst, overall (Figure 2d). In spite of the differential
256 reflectivity being implemented, the overall NSE values increased in magnitude, exceeding 6 units for the
257 second gauge analyzed by KEAX. Algorithms containing DKDP measurements performed better than
258 simply KDP, demonstrating that even with the scaling behavior of ZDR, DKDP is superior to KDP
259 estimates. This provides a potential solution to the noisy-ness that tends to be exhibited in the KDP field
260 (Ruzanski and Chandrasekar 2012).

261 Due to the overall NSE values obtained, for the remainder of the analyses, equation 11 (i.e.,
262 R(Z,ZDR)⁵) and equation 13 (i.e., R(ZDR,KDP)²) will be utilized as the best and worst algorithms,
263 respectively. Equations containing DZDR were not included in the following discussion due to the very
264 large QPE errors for each radar.

265

266 **3.2 KEAX**

267 The overall bias showed that there was a positive bias, peaking near 5.5 mm hr^{-1} at the second
268 gauge for KEAX, approximately 115 km from the radar for both the best and worst performing
269 algorithms (Figure 3). This corresponds well with the spike in falsely detected precipitation recorded,
270 which is canceled by the maximum in missed precipitation at the second distance of, approximately, 150
271 km. The overall worst algorithm, equation 13, an $R(ZDR, KDP)$ relationship, revealed a decreasing trend
272 in bias as the distance from the radar increased. For example, a bias of 4 mm hr^{-1} was observed at a
273 distance of 75 km from the radar, whereas the bias reduced to 3 mm hr^{-1} at distances near 175 km. This
274 could be due, at least in part, to the algorithm's utilization of KDP which performs poorly in frozen
275 (especially light) precipitation (Zrnicek and Ryzhkov, 1996; Kumjian 2013a), causing the overestimation.
276 Conversely, the algorithm with the lowest bias was an $R(Z, ZDR)$ algorithm (equation 11). There was a
277 maximum in the bias calculations while utilizing equation 11 near 120 km, similar to equation 13,
278 however, there was a more pronounced minimum in the data near 150 km. Furthermore, it appears the
279 data oscillates around a bias value of 0 mm hr^{-1} when using equation 13. This could be due to ZDR's
280 capability to respond to precipitation shape (Kumjian 2013a), which helps to scale the reflectivity portion
281 of the rainfall estimation algorithm to a more accurate value (Seo et al., 2015). In general, the cool season
282 displayed a larger magnitude of error in terms of bias for both algorithms.

283 The normalized mean bias (NMB) reveals the same trend in values for bias but with an overall
284 decrease in magnitude. It is important to note, however, that the algorithms that tend to perform the worst
285 (e.g., algorithms containing KDP) result in anomalous range responses which would be due, at least in
286 part, to a stronger response to precipitation type. This indicates that observations above the melting layer
287 are dominant for which QPE's tend not to be calculated (Cifelli et al., 2011; Seo et al., 2015) but are
288 important for regions devoid of adequate radar coverage (Ryzhkov et al., 2003; Simpson et al., 2016).

289 The absolute bias and normalized standard error (NSE) shows the same maxima in the data at the
290 second gauge (Brunswick) that was present in the bias data (6.2 mm hr⁻¹ and 5.6, respectively) . However,
291 a second maxima is located at the fifth gauge at, approximately, 150 km (Linneus) with values of 5.9 mm
292 hr⁻¹ and 4.0, respectively. Bright-band issues are detected due, at least in part, to the increased missed
293 precipitation amount (240 mm) at this particular distance for the R(ZDR,KDP) equation (i.e., worst
294 performing algorithm). There was also a pronounced minimum in the absolute bias and NSE results at the
295 fourth gauge for equations 11 and 13, 4.0 mm hr⁻¹ and 0.8 mm hr⁻¹, and 2.8 and 0.8, respectively,
296 potentially indicating an idealized range of QPE for KEAX. Furthermore, the historical records at this
297 particular gauge showed less issues (e.g., clogging) than any of the others analyzed by the KEAX radar.
298 This highlights the importance of choosing ground-truth data, in particular tipping buckets which are
299 prone to numerous errors (Ciach and Krajewski, 1999b).The largest contributions to the NSE and NMB
300 were due to the warm season.

301 The probability of detection (PoD) results indicate a large difference in algorithm choice for
302 correctly detecting precipitation. The low PoD at, approximately 150 km, indicates overshooting of the
303 beam. This is further evidenced by the MPA results, as about 225 mm of precipitation was missed by the
304 radar at 150 km, whereas only 100 mm of precipitation was missed by the radar at the second gauge at
305 120 km. Although equation 11, an R(Z,ZDR) algorithm was superior in terms of the bias, the same
306 algorithm with a KDP-smoothed reflectivity value, R(DSMZ,ZDR) revealed the overall least amount of
307 falsely missed precipitation (by 10 mm). However, the summation of the amount of precipitation falsely
308 detected (PoFD) by KEAX showed a larger source of error than the MPA in terms of magnitude. For
309 example, at the second (fifth) gauge, only 100 (225) mm of precipitation was missed by the radar, but
310 over 700 (725) mm of precipitation was incorrectly estimated by the radar.

311 Correlation coefficient (CC) values for any of the 9 stations analyzed by KEAX ranges from 0.02
312 (Linneus, 151 km) to 0.93 for the cool season (St. Joseph, 115 km). The lowest R² were due to a
313 combination of false alarms and misses. For example, the CC for the warm seasons at Sanborn (170 km)

314 and Jefferson Farm (173 km) were 0.22 and 0.24, respectively, whereas when the instances of false
315 alarms and misses were removed, increased to 0.48 and 0.52. Few locations (Brunswick, 114 km and
316 Versailles, 129 km) saw little improvement in the CC values when only hits were analyzed (less than 0.1
317 increase), indicating the mean absolute error (in terms of hits) contributed the largest portion of error.

318

319 **3.3 KLSX**

320 Unlike the KEAX data, the gauges used for analyses for the KLSX radar span between 90 – 150
321 km. Furthermore, 5 out of the 8 gauges were located within 10 km of range from one-another, near 140
322 km from the radar, limiting the data available for analyses between 100 and 140 km (Figure 5).

323 The bias and NMB both show a relatively modest peak in values near the second gauge of 5 mm ,
324 which decreases to approximately 3.6 mm at the third gauge, 120 km from the radar. The worst
325 performing algorithm, equation 13, was the same $R(ZDR,KDP)$ relation as the worst KEAX bias and
326 NMB data. Additionally, the overall trend of decreasing bias and NMB as distance from the radar
327 increases was noted, presumably due to overshooting effects similar to the KEAX data. Furthermore, the
328 overall non-biased results from the $R(Z,ZDR)$ equation demonstrates its robust capabilities in QPE, in
329 spite of its sensitivity to calibration (Zrnice et al., 2005; Bechini et al., 2008).

330 The double maxima in the absolute bias graph are present as with the KEAX data, but are not as
331 pronounced. For example, the absolute bias at 95 km and 140 km from KLSX were 5.9 mm and 1.1 mm,
332 and 4.9 mm and 1.4 mm for equations 13 and 11, respectively. Additionally, the overall minima in the
333 absolute bias for both KEAX and KLSX are at, approximately, 125 km from the radar (3.9 mm hr^{-1} and
334 1.0 mm hr^{-1} , respectively, for equations 13 and 11). The relative distance from the radars are the same,
335 where the two maxima for KEAX were at 115 and 150 km, while the maxima were at, approximately,
336 100 and 140 km for KLSX. The overall best and worst performing algorithms at KLSX for the absolute
337 bias and NSE were equations 11 and 13, the $R(Z,ZDR)$ and $R(ZDR,KDP)$ algorithms, respectively.

338 The magnitude of error in terms of absolute bias, normalized mean bias, and normalized standard
339 error, all showed a decreasing pattern as distance from KLSX increased. This was due, primarily, from a
340 maximum in the false precipitation amount at 95 km from the radar. Historical notes at this location
341 indicate frequent clogging of the rain gauge, either due to bugs or leaves. From a particular series of
342 events spanning from 01 to 04 April and 01 to 03 August, 2014, over 130 mm of precipitation occurred
343 during each period which was not captured by the gauge, resulting in a large amount of overall error.
344 These results indicate the important of dual gauges in the same vicinity (Krajewski et al. 1998; Ciach and
345 Krajewski 1999). Interestingly, the cool season displayed a larger NSE (5 % for R(ZDR,KDP))
346 potentially due to the very low probability of detection (0.2) at this range of 118 km.

347 One of the main differences between the KLSX and KEAX data was the decreased probability of
348 detection at 120 km for KLSX, while there was an increased probability of detection for KEAX. In
349 general, the PoD values were worse for KLSX when compared to KEAX. For example, equation 11 had
350 no PoD values below 0.90, whereas no PoD values exceeded 0.84 for KLSX. There was also a slight
351 trend of increasing PoD values as distance from the St. Louis radar increased and, at one point near 140
352 km, the best algorithm, R(DSMZ) convective and the worst algorithm, KDP1, were not significantly
353 different ($p < 0.10$). Additionally, the maxima in the PoD while utilizing KDP1 corresponds to a minima
354 in the R(DSMZ) detection percentage, which is well correlated by the similarly valued MPA results.

355 The missed precipitation amount (MPA) displayed the cool season contributed the most, whereas
356 the warm season contributed the most amount of false precipitation amount. The R(Z,ZDR) equation only
357 registered, on average, 25 mm of MPA and 160 mm of FPA, whereas the R(ZDR,KDP) equation was
358 very dependent upon range. For example, the FPA from R(ZDR,KDP) decreased as range increased from
359 the radar from a maximum of, approximately, 850 mm to 620 mm. However, the fifth-furthest gauge (137
360 km from KLSX) displayed a sharp increase in the MPA for both cool seasons (above 100 mm).

361

362 3.4 KSGF

363 In spite that the KLSX and KEAX data strongly suggests false precipitation errors near 100 km in
364 addition to bright-banding near 150 km from the radars, the KSGF results reveal an overall smooth
365 decrease (increase) of error with range (Figure 7) for $R(ZDR,KDP)$ and $R(Z,ZDR)$, accordingly. One of
366 the main reasons for this could be due to the fact that only 5 gauges were analyzed from KSGF (the
367 fewest of the 3 radars analyzed), smoothing the overall trend lines.

368 The bias remained relatively constant near -0.3 mm for $R(Z,ZDR)$, whereas the bias exhibited a
369 sharp decrease from 4 mm to 2.7 mm over a distance of, approximately, 100 km. In general, the cool
370 season displayed the lower of bias magnitudes when compared to the warm season, similar to the KEAX
371 results. This may be due, at least in part, to the low PoFD values for the warm season close to the KSGF
372 radar.

373 Similar to the bias, the absolute bias for $R(Z,ZDR)$ was constant at all ranges (near 1 mm)
374 whereas the $R(ZDR,KDP)$ equation decreased from 5.2 mm to 3.8 mm. This is potentially due to the low
375 cool season PoD values (below 0.6), while the warm season $R(ZDR,KDP)$ values (near 0.8) remained
376 constant. A larger contribution from more correctly detected precipitation in addition to the decreasing
377 trends in the NMB and NSE would result in a lower absolute bias.

378 The closest location (90 km) typically displayed the largest errors for the $R(ZDR,KDP)$ equation,
379 and then decreased in error magnitude as range increased. In spite of this, the PoFD results indicate both
380 algorithms increased in PoFD values as range increased, with the warm season typically dominating,
381 particularly due to the large convective clouds dominate in the warm season. False detection values as
382 low as 0.01 for the cool season while utilizing $R(Z,ZDR)$ were observed at distances near 100 km and 140
383 km from the radar.

384 Normalized standard error values increased from 0.7 % at a distance of 105 km to 1.8 % at a
385 distance of 185 km for $R(Z,ZDR)$. Large NSE values for the warm season (7.5 %) were calculated for

386 R(ZDR,KDP) which decreased to 3.8 % at 185 km from the radar. Furthermore, this was the only
387 instance when the warm season was less than the cool season in terms of NSE. Otherwise, the overall
388 NSE decreased from 5 % to 3.9 % for R(ZDR,KDP). The NMB followed a similar trend for the KDP-
389 containing algorithm, with a noticeable exception at the second gauge (105 km from KSGF), where the
390 overall NSE was closer to the warm than cool season. This is due to the low PoFD values at this location,
391 in addition to a smaller difference between the two algorithm's FPA measurements.

392 The MPA results, unlike for KEAX and KLSX, displayed a larger range of performance between
393 seasons. However, the warm season still exhibited the overall best performance in terms of MPA, yet
394 contributed the most to the FPA for both R(Z,ZDR) and R(ZDR,KDP). In spite of the MPA typically
395 increasing as range increased, the FPA was more nebulous. For example, the second gauge (105 km from
396 KSGF) had the overall lowest NSE (0.8 %), MPA (15 mm), and FPA (95 mm) for R(Z,ZDR). The third-
397 furthest location (142 km) resulted in slightly larger errors, overall, while the fourth-furthest location had
398 errors similar to the second gauge (105 km). Then, at the furthest tipping bucket location (185 km), NSE
399 values increased, whereas FPA and MPA decreased. Therefore, the furthest location's errors are due,
400 primarily, from discrepancies between precipitation magnitude between the gauge and radar.

401 Excluding Versailles (142 km from KSGF), the cool season exhibited larger R^2 values in
402 comparison to the warm season (Figure 8). Furthermore, CC values exceeded 0.9 when false alarms and
403 misses were excluded from Mt. Grove (101 km) and was 0.84 when included. Otherwise, the other four
404 stations analyzed by the Springfield radar displayed many counts of false alarms and misses, leading to
405 low R^2 values.

406 Due to the relatively large ranges from the Springfield (KSGF) radar, most of the correlation
407 coefficient values were low in comparison to either KLSX or KEAX. For the warm (cool) season without
408 false alarms and misses, R^2 values ranged from 0.44 (0.38) and 0.34 (0.36) for KLSX and KSGF,
409 respectively, at Cook Station (119 and 185 km). Similarly, the CC values ranged from 0.61 (0.71) to 0.42
410 (0.56) at Green Ridge (76 and 154 km) for KEAX and KSGF, accordingly.

411

412 4 Conclusions

413 Dual-polarization technology was implemented to the National Weather Service Next Generation
414 Radar network in the spring of 2012 to, primarily, improve quantitative precipitation estimation and
415 hydrometeor classification. The current study observed over 300 hours of precipitation data with three
416 separate radars in Missouri using 55 algorithms including the three conventional R(Z) radar rain-rate
417 estimation algorithms (stratiform, convective, and tropical) along with a myriad of R(KDP), R(Z,ZDR),
418 and R(ZDR,KDP) algorithms which can be found in Ryzhkov et al. (2005). Additionally, a KDP-
419 smoothing field of reflectivity, differential reflectivity, and the specific differential phase shift (DSMZ,
420 DZDR, and DKDP, respectively) were measured and used for analyses. Unlike previous studies, the
421 current work emphasizes the amount of precipitation correctly and incorrectly estimated by the radar in
422 comparison to the terrestrial based precipitation gauges through measurements of the missed and false
423 precipitation amount.

424 For all three radars, Kansas City, St. Louis, and Springfield, MO (KEAX, KLSX, and KSGF,
425 respectively), the majority of precipitation error (over 60%) was contributed by the amount of
426 precipitation falsely detection by the radar (up to 725 mm), while 20% was due to the radar missing the
427 precipitation (up to 225 mm) for KEAX. Similar magnitudes of error were reported for KLSX and KSGF,
428 with an overall error in precipitation for each radar ranging between 250 mm for the best performing of
429 the 55 algorithms, equation 11 (an R(Z,ZDR) algorithm), and up to 2000 mm for the worst performing
430 algorithms, R(ZDR,KDP) equation 13. The R(Z,ZDR) equation (an NSSL algorithm) was determined to
431 be the most robust due to it registering the lowest NSE. These values of false precipitation amount and
432 missed precipitation amount generally increased as range from the radar increased.

433 Most algorithms showed a degradation in the normalized standard error with range. In particular,
434 the KDP-smoothed equations displayed larger biases and NSE values than their non-KDP counterparts,

435 with the exception of R(KDP) algorithms themselves. Some larger errors were recorded at gauge
436 locations close to the radar, potentially due to bright-banding effects which were determined to be due to
437 the large false precipitation amount analyzed at these locations.

438 The data was divided into summer (May – October) and winter (November – April; 59 and 41%
439 of the entire data, respectively). Despite the winter data contributing less than the summertime data, it
440 accounted for 20% of the overall MPA, and 40% to the overall PoFD. The R^2 values were less during the
441 winter in comparison to the warm season primarily due to the smaller magnitude of precipitation that
442 occurred. Furthermore, CC values increased by as much as 0.4 when instances of hits and misses were
443 removed from the analyses, resulting in the warm season to outperform the cool season CC values at
444 particularly short ranges from the radar.

445 These results aid in our understanding in the possibilities for hydrometeorological studies. Nearly
446 50% of the 300 hours where precipitation occurred analyzed for the study consisted of either falsely
447 estimated precipitation by the radar, or missed by the radar. Furthermore, these errors accumulate
448 between 500 to 2,000 mm of precipitation depending on the algorithms chosen. Although the overall
449 performance increased when false alarms and misses were removed, correlation coefficient values still,
450 typically, remained below 0.50 at ranges beyond 130 km.

451 Furthermore, results demonstrate the issues with analyzing QPE from a single gauge, explaining
452 why the Community Collaborative Rain, Hail, and Snow Network (Kelsch 1998; Cifelli et al., 2005;
453 Reges et al., 2016) or other densely-gauged networks (e.g., the Hydrometeorological Automated Data
454 System, HADS, Meteorological Assimilation Data Ingest System, MADIS) tends to be more utilized
455 since results have shown that measurements or quality controlled-techniques made by these organizations,
456 especially CoCoRaHS, are significantly more accurate than rain gauges (Simpson et al., 2017), especially
457 for convective events (Moon et al. 2009).

458

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465

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614 Table 1. Terrestrial-based precipitation gauge locations used for the study in addition to the National
615 Weather Service Radars Springfield, MO (KSGF), Kansas City, MO (KEAX), and St. Louis, MO
616 (KLSX) used in conjunction with each gauge.

Gauge Location	Latitude (°N)	Longitude (°W)	Radar(s) Used
Bradford	38.897236	-92.218070	KLSX, KEAX
Brunswick	39.412667	-93.196500	KEAX
Capen Park	38.929237	-92.321297	KLSX, KEAX
Cook Station	37.797945	-91.429645	KLSX, KSGF
Green Ridge	38.621147	-93.416652	KEAX, KSGF
Jefferson Farm	38.906992	-92.269976	KLSX, KEAX
Lamar	37.493366	-94.318185	KSGF
Linneus	39.856919	-93.149726	KEAX
Monroe City	39.635314	-91.725370	KLSX
Mountain Grove	37.153865	-92.268831	KSGF
Sanborn Field	38.942301	-92.320395	KLSX, KEAX
St. Joseph	39.757821	-94.794567	KEAX
Vandalia	39.302300	-91.513000	KLSX
Versailles	38.434700	-92.853733	KEAX, KSGF
Williamsburg	38.907350	-91.734210	KLSX

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622 Table 2. List of single- and dual-polarimetric algorithms used for radar rainfall estimates.

$R(Z) = aZ^b$			
Precipitation type	a	b	c
Stratiform	200	1.6	-
Convective	300	1.4	-
Tropical	250	1.2	-
$R(KDP) = a KDP ^b \text{sign}(KDP)$			
Algorithm number			
1	50.7	0.85	-
2	54.3	0.81	-
3	51.6	0.71	-
4	44.0	0.82	-
5	50.3	0.81	-
6	47.3	0.79	-
$R(Z, ZDR) = aZ^b ZDR^c$			
Algorithm number			
7	6.70×10^{-3}	0.927	-3.43

8	7.46×10^{-3}	0.945	-4.76
9	1.42×10^{-2}	0.770	-1.67
10	1.59×10^{-2}	0.737	-1.03
11	1.44×10^{-2}	0.761	-1.51

$$R(ZDR, KDP) = a |KDP|^b ZDR^c \text{sign}(KDP)$$

Algorithm number

12	90.8	0.930	-1.69
13	136	0.968	-2.86
14	52.9	0.852	-0.53
15	63.3	0.851	-0.72

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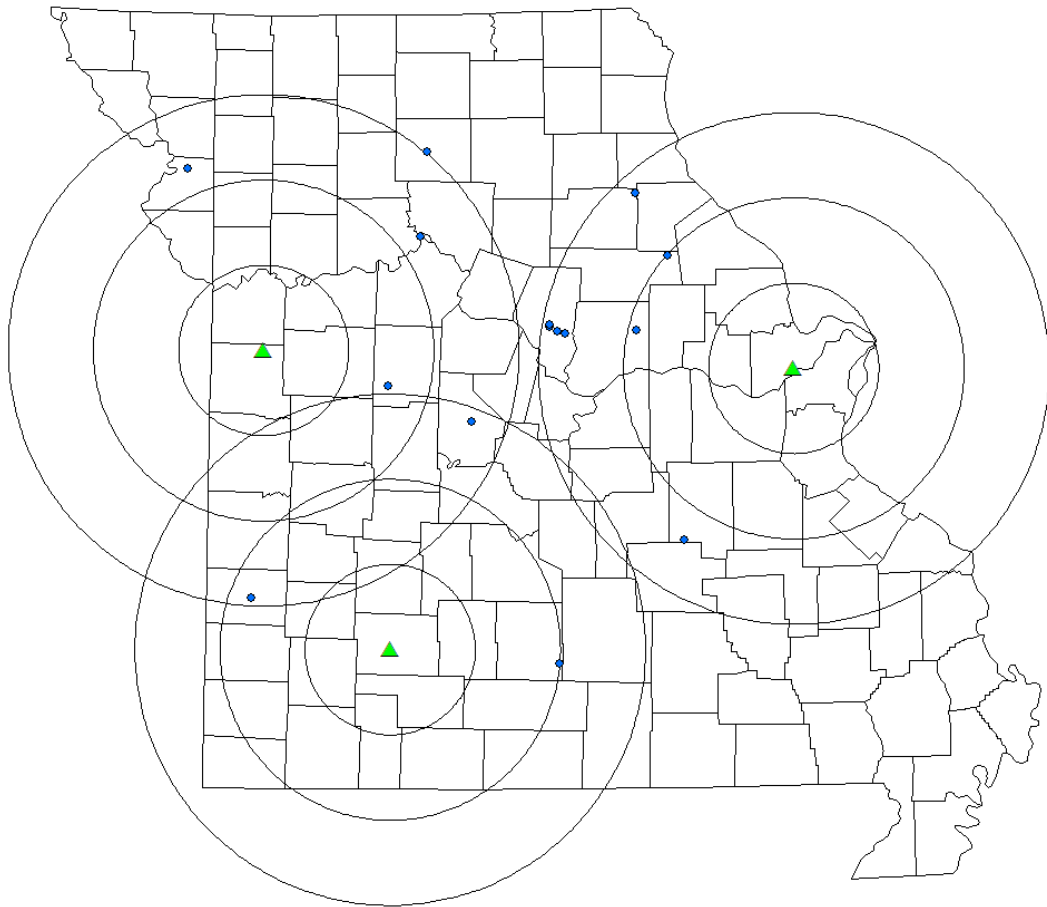
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640 **Figures**



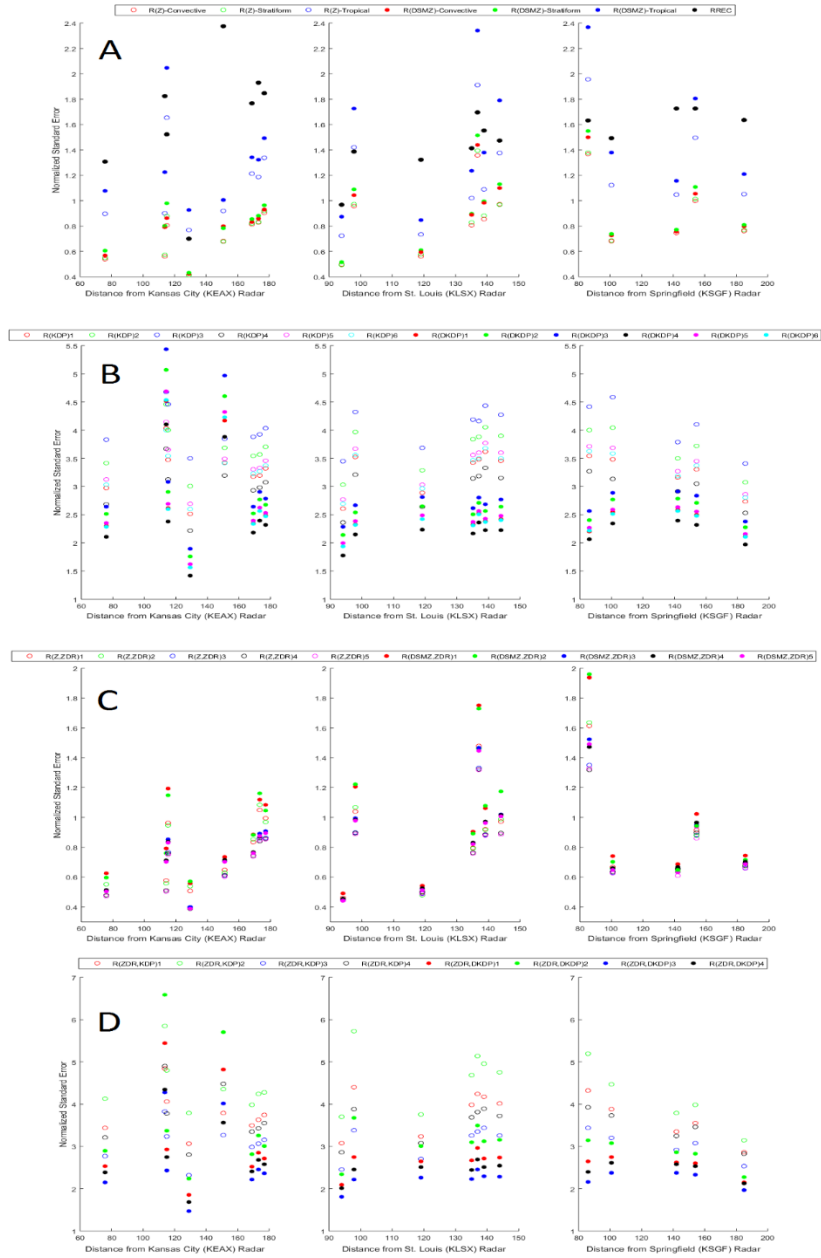
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642 Figure 1. Study location (Missouri) with St. Louis (KLSX), Kansas City (KEAX), and Springfield
643 (KSGF), MO radars (triangles) overlaid with 50-, 100-, and 150-km range rings in addition to the 15
644 terrestrial-based precipitation gauges utilized as ground-truthed data.

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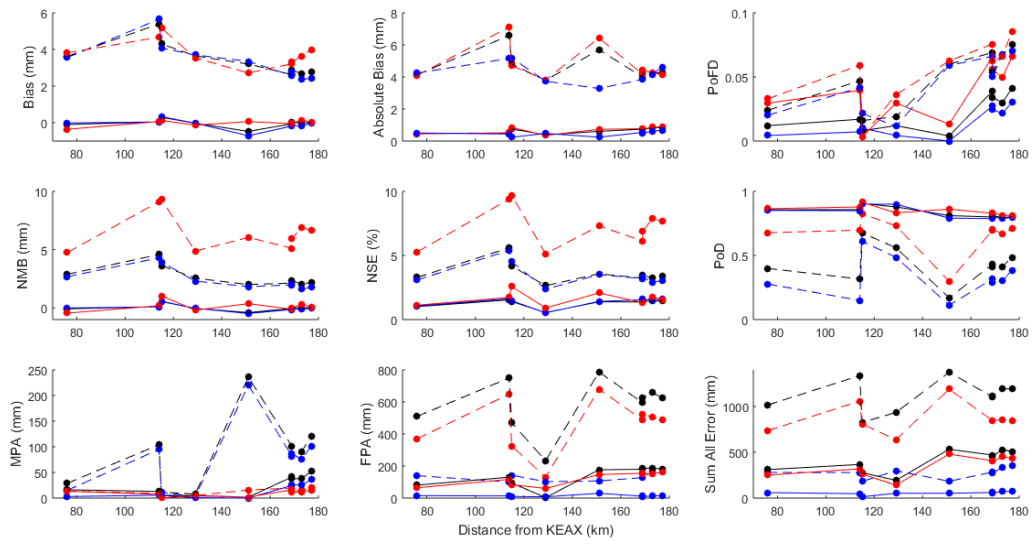
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Figure 2. Normalized standard error values for the overall performance of the a) 3 R(Z), 3 R(DSMZ), and RREC algorithms, b) 6 R(KDP) and 6 R(DKDP) algorithms (equations 1-6 from Table 2), c) 5 R(Z,ZDR) and 5 R(DSMZ,ZDR) algorithms (equations 7-11 from Table 2), and d) 4 R(ZDR,KDP) and 4 R(ZDR,DKDP) algorithms (equations 12-15 from Table 2) for the three radars utilized for the current study.



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655 Figure 3. Values of analyses from the Kansas City (KEAX) radar. Dashed lines and points represent
 656 the analyses of the worst-performing algorithm (R(ZDR,KDP)) while the solid lines and points
 657 represent the analyses of the best-performing algorithm (R(Z,ZDR)). Red, blue, and black colors
 658 represent analyses conducted during the warm and cool seasons, and overall, respectively.

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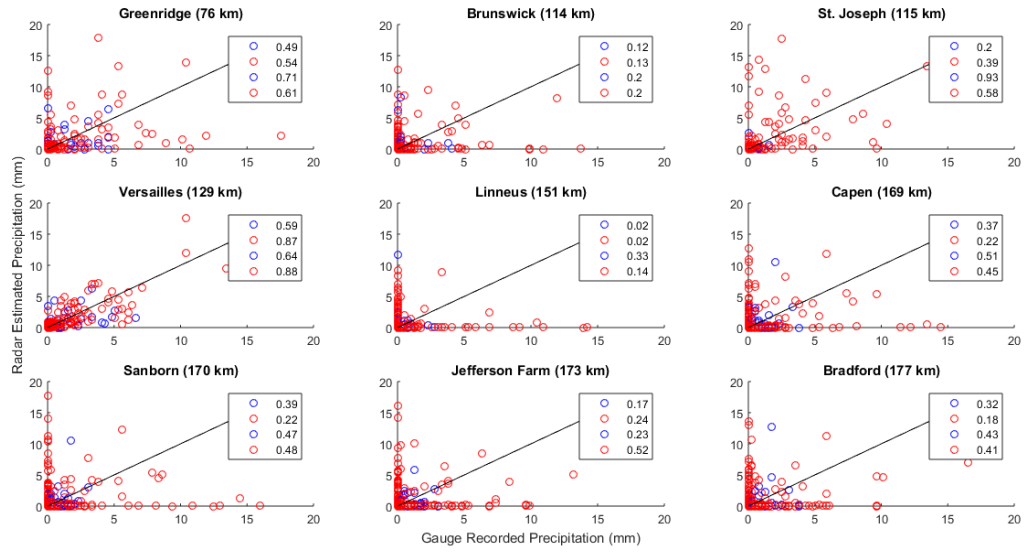
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669 Figure 4. Correlation coefficient values for the 9 locations analyzed by the Kansas City (KEAX) radar

670 with the R(Z,ZDR) NSSL equation. Blue and red scatter points represent the cool and warm season

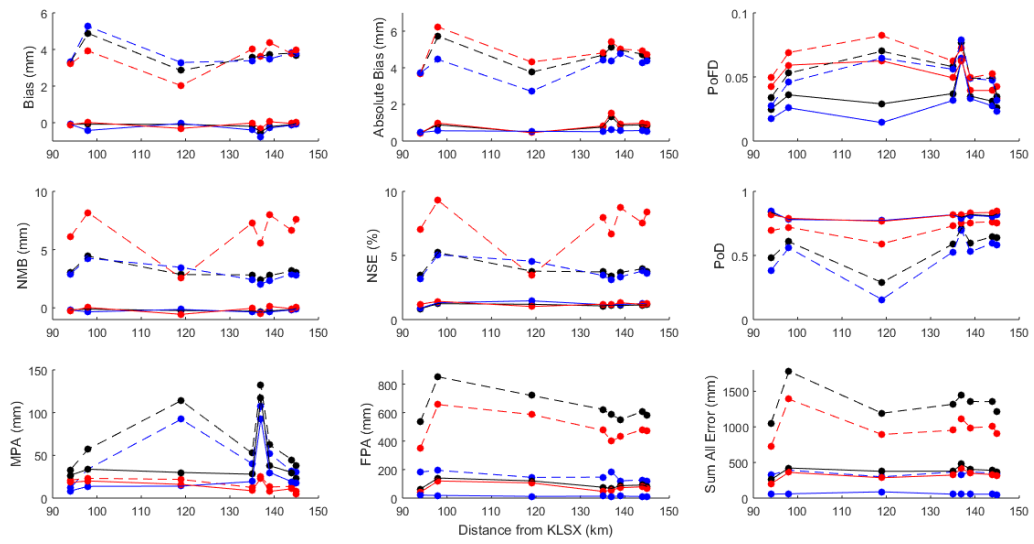
671 data, respectively. The top two numbers on each plot indicate the overall R^2 value, whereas the

672 bottom two numbers represent the R^2 when false alarms and misses are removed.

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Figure 5. Values of analyses from the St. Louis (KLSX) radar. Dashed lines and points represent the analyses of the worst-performing algorithm (R(ZDR,KDP)) while the solid lines and points represent the analyses of the best-performing algorithm (R(Z,ZDR)). Red, blue, and black colors represent analyses conducted during the warm and cool seasons, and overall, respectively.

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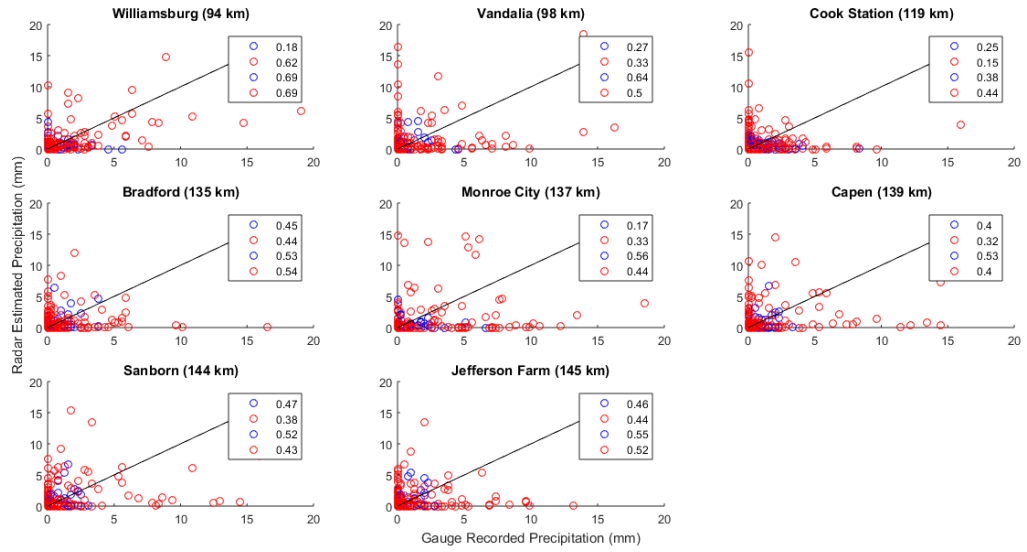
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Figure 6. Correlation coefficient values for the 8 locations analyzed by the St. Louis (KLSX) radar

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with the R(Z,ZDR) NSSL equation. Blue and red scatter points represent the cool and warm season

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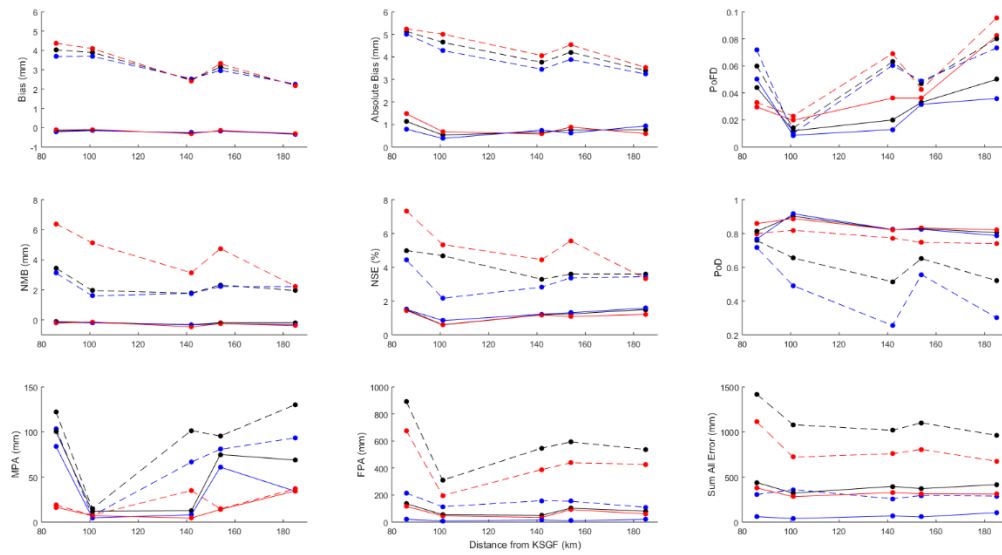
data, respectively. The top two numbers on each plot indicate the overall R^2 value, whereas the

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bottom two numbers represent the R^2 when false alarms and misses are removed.

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698 Figure 7. Values of analyses from the Springfield (KSGF) radar. Dashed lines and points represent

699 the analyses of the worst-performing algorithm (R(ZDR,KDP)) while the solid lines and points

700 represent the analyses of the best-performing algorithm (R(Z,ZDR)). Red, blue, and black colors

701 represent analyses conducted during the warm and cool seasons, and overall, respectively.

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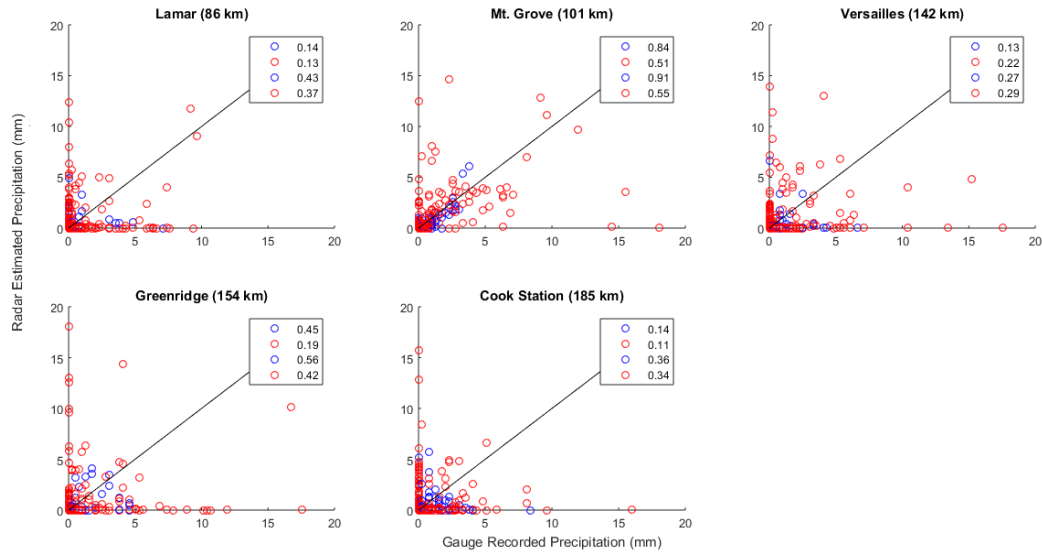
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712 Figure 8. Correlation coefficient values for the 5 locations analyzed by the Springfield (KSGF) radar with
 713 the R(Z,ZDR) NSSL equation. Blue and red scatter points represent the cool and warm season data,
 714 respectively. The top two numbers on each plot indicate the overall R^2 value, whereas the bottom two
 715 numbers represent the R^2 when false alarms and misses are removed.

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