1 DUAL-POLARIZED QUANTITATIVE PRECIPITATION ESTIMATION AS A FUNCTION OF RANGE

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12 **Abstract.** Since the advent of dual-polarization radar technology, many studies have been conducted to determine the extent to which the differential reflectivity (ZDR) and specific differential phase shift 13 (KDP) add benefits to estimating rain rates (R) compared to reflectivity (Z) alone. It has been previously 14 15 noted that this new technology provides significant improvement to rain rate estimation, primarily for 16 ranges within 125 km of the radar. Beyond this range, it is unclear as to whether the National Weather Service conventional R(Z)-Convective algorithm is superior, as little research has investigated radar 17 precipitation estimate performance at larger ranges. The current study investigates the performance of 18 19 three radars, St. Louis (KLSX), Kansas City (KEAX), and Springfield (KSGF), MO, with 15 tipping 20 bucket gauges serving as ground-truth to the radars. With over 300 hours of precipitation data were analyzed for the current studyit was found that, in general, performance degraded with range beyond, 21 22 approximately, 150 km from each of the radars. Probability of detection in addition to bias values 23 decreased, while the false alarm rates increased as range increased. Bright-band contamination was 24 observed to play a potential role as large increases in the absolute bias and overall error values near 120 25 km for the cool season, and 150 km in the warm season. Furthermore, upwards of 60% of the total error was due to precipitation falsely estimated, while 20% of the total error was due to missed precipitation. 26 27 Correlation coefficient values increased by as much as 0.4 when these instances were removed from the 28 analyses (i.e., hits only). Overall, due to the lowest normalized standard error of less than 1.0, a National Severe Storms Laboratory (NSSL) R(Z,ZDR) equation was determined to be the most robust, while a
R(ZDR,KDP) algorithm recorded NSE values as much as 5. The addition of dual-polarized technology
was shown to better estimate quantitative precipitation estimates than the conventional equation. The
analyses further our understanding in the strengths and limitations of the Next Generation Radar system
overall, and from a seasonal perspective.

34 1 Introduction

35 In 2012, the National Weather Service (NWS) began upgrading the Next Generation Radar 36 (NEXRAD) system from single- to dual-polarization. The potential benefits of this upgrade were 37 investigated by the National Severe Storms Laboratory (NSSL) and the Cooperative Institute for 38 Mesoscale Meteorological Studies. These advantages include, but are not limited to, (1) significant 39 improvement in radar rainfall estimation (Ryzhkov et al., 2005; Gourley et al., 2010) through better 40 representation of precipitation shape (Brandes et al., 2002; Gorgucci et al., 2000, 2006), (2) discrimination between solid and liquid precipitation (Zrnic and Ryzhkov, 1996), allowing for better 41 distinction between areas of heavy rain and hail (Park et al., 2009; Giangrande and Ryzhkov, 2008; 42 43 Cunha et al., 2013), (3) identifying the melting layer position in the radar field (Straka et al., 2000; Park et al., 2009), and (4) calculating drop-size distributions retrieved from measurements of reflectivity (Z), 44 45 differential reflectivity (ZDR), and specific differential phase shift (KDP) as opposed to using ground-46 based point located disdrometers (Zhang et al., 2001; Brandes et al., 2004; Anagnostou et al., 2008).

47 Rain rate retrieval by weather radars is an estimation based upon the dielectric properties of the 48 hydrometeors encountered in the atmosphere. Therefore, there is no direct measurement of rainfall, and 49 this inherently introduces error. However, dual-polarized radar technology allows for in-depth analyses on 50 the microphysics of precipitation that single-polarization was incapable of conducting. In spite of this 51 technology, conflicting studies report the benefits for quantitative precipitation estimation (QPE). For 52 example, Gourley et al. (2010) and Cunha et al. (2015) reported that conventional R(Z) algorithms have 53 significantly better bias than algorithms containing ZDR and/or KDP, while others (e.g., Ryzhkov et al., 2013; Simpson et al., 2016) report the opposite. This could be due, at least in part, to the fact that
hydrometeor types (e.g., rain versus hail) vary on spatial scales that cannot be easily resolved by even
densely gauged networks.

57 Multiple studies have found thatthe performance of radar rain rate estimates decrease as range 58 increases (Smith et al., 1996; Ryzhkov et al., 2003) which is caused, primarily, by degradation of beam 59 quality with range. Furthermore, the researchers also discuss how the probability of detection at larger 60 ranges decreases, as the radar beam overshoots shallow, stratiform precipitation, especially winter 61 precipitation. Bright-banding can also play a crucial role in significantly increasing the amount of 62 precipitation estimated by the radar, prompting many researchers to produce automated bright-band 63 detection algorithms (e.g., Zhang et al., 2008; . Zhang and Qi, 2010).

Despite these overall disadvantages, studies have shown that radar rainrate algorithms seldom 64 65 exceed absolute errors on the order of 10 mm h^{-1} . However, many of these studies have looked at a small 66 sample of rain events (on the order of 10-50 hours) (Kitchen and Jackson, 1993; Smith et al., 1996; 67 Ryzhkov et al., 2003; Gourley et al., 2010; Cunha et al., 2013). Long-term performances of weather radar 68 are becoming more common in recent years as the availability of data becomes more abundant (e.g., 69 Haylock et al., 2008; Goudenhoofdt and Delobbe, 2012; Fairman et al., 2015; Goudenhoofdt and 70 Delobbe, 2015). Additionally, few studies (e.g., Smith et al., 1996; Cunha et al., 2015; Simpson et al., 71 2016) quantified QPE errors including the probability of detection and false alarm ratio. In order to gain a 72 better understanding of the performance of weather radars on rain rate estimates, more data must be 73 collected over a broad range of precipitation regimes in addition to an overall broader region of interest. 74 The overarching objective of the current study was to assess the performance of three different 75 radars within the state of Missouri at various ranges from the radar, using terrestrial-based tipping bucket

gauges as ground-truth data. Radar rain rate estimation algorithms include 55 algorithms encompassing
standard R(Z) relationsas well as algorithms containing dual-polarization variables including differential
reflectivity (ZDR) and the specific differential phase shift (KDP). A rain rate echo classification

79 algorithm was also tested for performance in correctly identifying the suitable rain rate algorithm to 80 choose based on the Z, ZDR, and KDP radar fields. The current work expands upon that of Simpson et al. 81 (2016) such that a larger sample of data was analyzed (over 300 hours of rainfall data from forty-six 82 separate days in 2014) to encompass multiple different precipitation regimes for both summer and winter, 83 with several ground-truth tipping buckets to analyze the performance of three separate radars as a 84 function of range, and further expanding upon the effects of erroneous precipitation estimates on the 85 overall radar error. Objectives for this study included, (1) statistically analyze the performance of each 86 radar at various ranges (compared against the gauges), (2) compute (a) the amount of precipitation incorrectly estimated by the radar (quantifying the probability of false detection) and (b) the amount of 87 88 precipitation incorrectly missed by the radar but measured by the rain gauge, (3) test the overall best radar 89 rain rate algorithm, and (4) perform objectives (1), (2), and (3) while the data is separated into warm and 90 cool seasons which have been shown to result in significantly different QPE's (Smith et al., 1996; 91 Ryzhkov et al., 2003; Cunha et al., 2015).

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93 2 Study area and methods

94 **2.1 Study area**

National Weather Service (NWS) radars from St. Louis (KLSX), Kansas City (KEAX), and
Springfield (KSGF), MO are able to scan the majority of the state of Missouri. Because of this, the three
aforementioned radars were used to assess overall performance in estimating precipitation for this study.
Each radar covered a 200-km radius for which a different number of gauges were within their domains:
KLSX, KEAX, and KSGF covered 9, 8, and 5 gauges, respectively (Figure 1).

Missouri is characterized as a continental type of climate, marked by relatively strong seasonality.
 Furthermore, Missouri is subject to frequent changes in temperature, primarily due to its inland location
 and its lack of proximity to any large lakes. All of Missouri experiences below-freezing temperatures on a

103 vearly-basis. For example, the majority of the state typically registers, 110 days with temperatures below freezing, while the Bootheel (i.e., southeast region) records, on average, 70 days of below freezing day 104 105 temperatures, emphasizing the typical northwest to southeast warming pattern of temperatures observed in 106 the state. Because of the large variability in temperature, the warm and cool seasons were defined from an 107 agronomic perspective, primarily taking probabilities of freezing into account. Based on the 108 climatological averages of Missouri, from 1983 to 2013, November through April registered average 109 minimum temperatures below freezing, and was considered the cool season, while May through October's minimum average temperature were above freezing and constituted the warm season. 110

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112 **2.2 Rainfall data**

113 In order for the results to be comparable across the domains of the three radars it was necessary to 114 select days on which rain was observed widely across the state. Although measureable rainfall occurs on 115 more than 100 days of the year in Missouri with only 50 days typically recording greater than 25.4 mm in 116 2014 had 46 days with measurable rainfall throughout the state. Furthermore, occurrence of rain was 117 defined as the observation of an amount greater than 0.5 mm (equivalent to two rain gauge tips) in an hour. This amounted to a total of approximately 300 hours of rain across those 46 days. This represents a 118 119 relatively standard year of rainfall for the state of Missouri. Furthermore, the days were chosen based on 120 availability of data from the National Climate Data Center's (NCDC) Hierarchal Data Storage System 121 (HDSS) for all three radars, in addition to error-free performance notes from each of the gauges used. The 122 dates analyzed were split near evenly between warm (May – October) and cool (November – April), 123 therefore encompassing an overall performance of each of the radars throughout the year with no 124 preferential bias towards rain or snow. Additionally, days were distributed evenly during the summer 125 between convective and stratiform events with a threshold of 38 dBZ (Gamache and Houze, 1982).

126 Terrestrial-based precipitation gauge data were collected from 15 separate weather stations within the 127 Missouri Mesonet, established by the Commercial Agriculture Program of University Extension (Table 128 1). All precipitation data were aggregated in hourly intervals to match the temporal resolution of the 129 gauges.Observed precipitation data were collected using Campbell Scientific TE525 tipping buckets 130 located at each of the locations for the study (Table 1). The precipitation gauges have a 15.4 cm orifice which funnels to a fulcrum which registers 0.254 mm of rainfall per tip. The performance of each gauge is 131 132 maximized between 0 and 50°C, for which each day of the study's temperature did not exceed. Accuracy in gauge measurements range between -1 to 1%, -3 to 0%, and -5 to 0% for precipitation up to 25.4 mm 133 hr⁻¹, 25.4 to 50.8 mm hr⁻¹, and 50.8 to 76.2 mm hr⁻¹, respectively, which are, primarily, associated with 134 local random errors and errors in tip-counting schemes (Kitchen and Blackall, 1992; Habib et al., 2001). 135 136 Each tipping bucket is located, approximately, 1 m above the ground in areas clear of buildings 137 and properly maintained vegetation height to mitigate turbulence effects (Habib et al., 1999). Due to the 138 well-maintained nature of the mesonet gauges, these errors were assumed negligible and, therefore, 139 allowed for the gauges to be representative of the true rainfall rate. In spite of the non-homogeneous

spacing of the gauges, unbiased statistics including the normalized mean bias and normalized standarderror were utilized.

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2.3 Radar data and radar-rainfall algorithms

Next Generation Radar (NEXRAD) level-II data were retrieved from the NCDC's HDSS. Files
were processed using the Weather Decision Support System – Integrated Information (WDSS-II) program
(Lakshmanan et al., 2007a) to assess reflectivity (Z) in addition to dual-polarized radar variables
including differential reflectivity (ZDR) and specific differential phase shift (KDP). Three other variables
were also generated based on a KDP-based smoothing field (Ryzhkov et al., 2003) for reflectivity,
differential reflectivity, and specific differential phase: DSMZ, DZDR, and DKDP, respectively. These

150 were implemented to determine whether the additional KDP-smoothing fields tend to over- or

underestimate QPE's (Simpson et al., 2016). A rain rate echo classification variable (RREC) was also

152 computed, which chooses whether an R(Z), R(KDP), R(Z,ZDR), or R(ZDR, KDP) algorithm is

implemented in estimating rain rates based on the radar fields of Z, ZDR, and KDP (Kessinger et al.,

154 2003) to determine whether a multi-parameter algorithm is superior to a single algorithm.

All seven variables (Z, ZDR, KDP, DSMZ, DZDR, DKDP, and RREC) were converted from their native polar grid to 256 x 256 1 km Cartesian grids, where the lowest radar elevation scans (0.5°) were used to mitigate uncalculated effects from evaporation and wind drift. An average of 5 minute scans were used for each of the variables, which were aggregated to hourly totals to be compared to the hourly tipping-bucket accumulations. In spite of previous reports suggesting 5 minute to hourly aggregates can have significant effects on QPE (e.g., Fabry et al. 1994), Shucksmith et al.'s (2011) criterion of present accumulation exceeding 26% for a pixel size of 1 km was not reached.

162 The latitude and longitude of each of the 15 gauges were matched with the radar pixel that 163 corresponds to the Cartesian grid value of the seven radar variables which were then implemented in rain 164 rate calculations. These rain-rate calculations were calculated using the equations presented by Ryzhkov et al. (2005) (Table 2), which were gathered from multiple studies using disdrometers to derive a 165 166 relationship between reflectivity, differential reflectivity, and specific differential phase (Bringi and Chandrasekar, 2001; Brandes et al., 2002; Illingworth and Blackman, 2002; Ryzhkov et al., 2003). 167 168 Standard R(Z) algorithms were also included to test whether the addition of dual-polarized technology improves QPE's. 169

170 With the use of both Z, ZDR, KDP, and DSMZ, DZDR, and DKDP fields produced by WDSS-II, the

171 number of algorithms tested was 55. This includes the three standard single-polarized algorithms

172 (stratiform, convective, and tropical) which were calculated using reflectivity R(Z), and then calculated as

- 173 R(DSMZ), while algorithms 1-6 (R(KDP)) were also calculated as R(DKDP). Algorithms 7-11 (R(Z,
- 174 ZDR)) were additionally calculated as R(Z, DZDR), R(DSMZ, ZDR), and R(DSMZ, DZDR), while the

same four combinations of non- and KDP-smoothed fields were applied to the R(KDP, ZDR) algorithms
(12-15). Quality controlling methods for the algorithms include mitigation of clutter, sun spikes, beam
blockage, anomalous propagation, and removal of non-precipitation echoes (including biological and
chaff returns) through w2qcnn the w2qcnndp algorithms (Lakshmanan et al., 2007b, 2010, 2014).

179 **2.4 Statistical analyses**

180 To test the performance of each algorithm, several statistical analyses were calculated. The181 average difference (Bias) was calculated as

182
$$Bias = \frac{\sum (R_i - G_i)}{N}$$
(1)

where R_i is each hourly aggregated radar estimated rainfall amount calculated from one of the 55 algorithms, G_i is the hourly aggregated gauge (observed) measurement, and N is the total number of observations which, for this study, was 300 hours. A second statistical parameter, the normalized mean bias (NMB), was calculated as

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$$NMB = \frac{1}{N} \frac{\sum (R_i - G_i)}{\sum G_i}$$
 (2)

188 The normalized mean bias is included in the analyses due to the fact that overestimations (i.e., radar 189 estimates larger than gauge measurements) and underestimations (i.e., radar estimates smaller than gauge 190 measurements) are treated proportionately. This is directly analogous to choosing the mean absolute error 191 (MAE) opposed to the standard deviation as the MAE does not penalize smaller or larger errors, 192 obscuring the overall results (Chai and Draxler, 2014). Bias measurements (Bias and NMB) were 193 calculated to determine whether radar derived rain rates were over- or under-estimated in comparison to 194 the gauges. However, to calculate the overall magnitude of error associated with the performance of the radars, the absolute values of (1) and (2) were performed to yield the mean absolute error (MAE), and 195 196 normalized standard error (NSE), respectively.

197 Several other meteorological parameters were calculated, including probability of detection 198 (PoD) which was calculated as

199
$$PoD = \frac{\sum |R_i \bullet G_i > 0 \& R_i > 0|}{\sum |G_i|}$$
 (3)

200 where the bullet (•) indicates "if", to determine how accurate the radars were at correctly detecting precipitation. The probability of detection values range between 0.0 (radar did not detect any precipitation 201 202 correctly) and 1.0 (radar detected the occurrence of all precipitation 100% correctly). The probability of 203 false detection takes into account the amount of precipitation the radars incorrectly estimated when the 204 gauges recorded zero values, and was calculated as

205
$$PoFD = \frac{\sum R_i \bullet (G_i = 0 \& R_i > 0)}{\sum G_i}$$
 (4)

206 Quantitative measures including the missed precipitation amount (MPA) and the false precipitation 207 amount (FPA) were defined such that

208
$$MPA = \sum R_i \bullet (G_i > 0 \& R_i = 0)$$
 (5)

209
$$FPA = \sum R_i \bullet (G_i = 0 \& R_i > 0)$$
 (6)

210 which analyzes the total amount of precipitation due to misses and false alarms. The total

211 precipitation error was also recorded to assess the overall error from each radar.

212

213 **3** Results and discussion

214 **3.1 Overall algorithm performance**

To test the overall performance of each radar, it was necessary to determine the overall best algorithm for each statistical measure. The best algorithm from each grouping of equations was determined to have the lowest normalized standard error (NSE), indicating the best performance relative to the gauge-recorded precipitation amount (Ryzhkov et al., 2005). This reduces the impact of bias inherent within the dataset between warm/cool season, stratiform/convective events, and allows for statistical measurements in spite of the (typical) non-Gaussian behavior of precipitation (Kleiber et al., 2012; Alaya et al., 2017).

222 From the results obtained, the three R(Z), three R(DSMZ), and RREC algorithms displayed a 223 particular bias in favor of the R(Z)-Convective algorithm for all three radars with R(Z)-Stratiform 224 displaying similar performance (Figure 2a). This could be due, at least in part, to the near-equal stratiform and convective precipitation regimes throughout 2014. Although errors generally increased as range 225 226 increased for KEAX and KLSX, the results were nebulous for KSGF. The lowest NSE values were, 227 typically, closest to each of the radars (between 0.4 and 0.8), with the notable exception of the closest 228 gauge to KSGF. In general, the RREC performed worst at the largest of ranges, potentially due to the 229 algorithm's ability to incorrectly assess the hydrometeors present (Cifelli et al., 2011; Yang et al. 2016). 230 Additionally, the poor performance by the R(DSMZ)-Tropical equation is due to the lack of tropical 231 precipitation within Central Missouri. Overall, the KDP-smoothed reflectivity fields (DSMZ) performed 232 worse than their counter-parts, resulting in over-prediction of precipitation and, thus, larger errors 233 (Simpson et al., 2016). Errors did not exceed 2.4 NSE units for any of these algorithms.

However, the performance of the KDP-smoothed KDP field (DKDP) performed better than the original specific differential phase shift field (Figure 2b). For nearly all gauges for each of the 3 radars, R(DKDP)4 performed the best, with NSE values ranging from 1.4 to 4.1. The range of NSE values were largest at KEAX, while the spread was relatively small for KLSX and KSGF. In spite of this, the overall spread of the performance of the 12 KDP algorithms varied greatly (average of 2 NSE units), exhibiting the sensitivity of KDP estimates on QPE (Ryzhkov et al., 2005; Cunha et al., 2013). In general, the NSSL-derived R(KDP) equations (i.e., equations 4-6) outperformed those from Bringi and Chandrasekar
(2001, equation 1), Brandes et al. (2002, equation 2), and Illingworth and Blackman (2002, equation 3).
Regardless, the magnitudes were all, approximately, more than 1 NSE unit than the performance of the
R(Z) algorithms.

244 The algorithms with the lowest NSE values were equations 7-11. For example, the overall lowest 245 NSE was at a distance of 130 km from KEAX (0.3), with no locations exceeding NSE values of 2.0 246 (Figure 2c). The large values at the closest location for KSGF (85 km, 1.3 - 1.9 NSE units), and the fifth 247 closest gauge to KLSX (135 km, 1.3 – 1.8 NSE units), Cook Station, were similar to the R(Z) and 248 R(DSMZ) results, indicating potential issues with reflectivity measurements. Additionally, these locations 249 were the closest in performance to the R(KDP) and R(DKDP) NSE values. Observations from this gauge 250 (Cook Station) indicated hail occurred during the evening of 01 August, for which KDP estimates would 251 be more ideal than Z for OPE (Ryzhkov et al. 2005; Kumjian 2013a; Cunha et al. 2015). In spite of this, 252 the overall spread in performance of the R(Z,ZDR) equations were less than the R(KDP) equations, 253 demonstrating the robust performance of R(Z,ZDR) for QPE (Wang and Chandrasekar 2010; Seo et al., 254 2015).

The R(ZDR,KDP) algorithms performed the worst, overall (Figure 2d). In spite of the differential reflectivity being implemented, the overall NSE values increased in magnitude, exceeding 6 units for the second gauge analyzed by KEAX. Algorithms containing DKDP measurements performed better than simply KDP, demonstrating that even with the scaling behavior of ZDR, DKDP is superior to KDP estimates. This provides a potential solution to the noisy-ness that tends to be exhibited in the KDP field (Ruzanski and Chandrasekar 2012).

Due to the overall NSE values obtained, for the remainder of the analyses, equation 11 (i.e.,
R(Z,ZDR)5) and equation 13 (i.e., R(ZDR,KDP)2) will be utilized as the best and worst algorithms,
respectively. Equations containing DZDR were not included in the following discussion due to the very
large QPE errors for each radar.

266 **3.2 KEAX**

The overall bias showed that there was a positive bias, peaking near 5.5 mm hr^{-1} at the second 267 268 gauge for KEAX, approximately 115 km from the radar for both the best and worst performing 269 algorithms (Figure 3). This corresponds well with the spike in falsely detected precipitation recorded, 270 which is canceled by the maximum in missed precipitation at the second distance of, approximately, 150 271 km. The overall worst algorithm, equation 13, an R(ZDR,KDP) relationship, revealed a decreasing trend in bias as the distance from the radar increased. For example, a bias of 4 mm hr⁻¹ was observed at a 272 273 distance of 75 km from the radar, whereas the bias reduced to 3 mm hr⁻¹ at distances near 175 km. This 274 could be due, at least in part, to the algorithm's utilization of KDP which performs poorly in frozen 275 (especially light) precipitation (Zrnic and Ryzhkov, 1996; Kumjian 2013a), causing the overestimation. 276 Conversely, the algorithm with the lowest bias was an R(Z,ZDR) algorithm (equation 11). There was a 277 maximum in the bias calculations while utilizing equation 11 near 120 km, similar to equation 13, however, there was a more pronounced minimum in the data near 150 km. Furthermore, it appears the 278 data oscillates around a bias value of 0 mm hr⁻¹ when using equation 13. This could be due to ZDR's 279 280 capability to respond to precipitation shape (Kumjian 2013a), which helps to scale the reflectivity portion 281 of the rainfall estimation algorithm to a more accurate value (Seo et al., 2015). In general, the cool season displayed a larger magnitude of error in terms of bias for both algorithms. 282

The normalized mean bias (NMB) reveals the same trend in values for bias but with an overall decrease in magnitude. It is important to note, however, that the algorithms that tend to perform the worst (e.g., algorithms containing KDP) result in anomalous range responses which would be due, at least in part, to a stronger response to precipitation type. This indicates that observations above the melting layer are dominant for which QPE's tend not to be calculated (Cifelli et al., 2011; Seo et al., 2015) but are important for regions devoid of adequate radar coverage (Ryzhkov et al., 2003; Simpson et al., 2016). 289 The absolute bias and normalized standard error (NSE) shows the same maxima in the data at the 290 second gauge (Brunswick) that was present in the bias data (6.2 mm hr^{-1} and 5.6, respectively). However, 291 a second maxima is located at the fifth gauge at, approximately, 150 km (Linneus) with values of 5.9 mm 292 hr⁻¹ and 4.0, respectively. Bright-band issues are detected due, at least in part, to the increased missed precipitation amount (240 mm) at this particular distance for the R(ZDR,KDP) equation (i.e., worst 293 294 performing algorithm). There was also a pronounced minimum in the absolute bias and NSE results at the 295 fourth gauge for equations 11 and 13, 4.0 mm hr⁻¹ and 0.8 mm hr⁻¹, and 2.8 and 0.8, respectively, 296 potentially indicating an idealized range of QPE for KEAX. Furthermore, the historical records at this particular gauge showed less issues (e.g., clogging) than any of the others analyzed by the KEAX radar. 297 298 This highlights the importance of choosing ground-truth data, in particular tipping buckets which are 299 prone to numerous errors (Ciach and Krajewski, 1999b). The largest contributions to the NSE and NMB 300 were due to the warm season.

301 The probability of detection (PoD) results indicate a large difference in algorithm choice for 302 correctly detecting precipitation. The low PoD at, approximately 150 km, indicates overshooting of the 303 beam. This is further evidenced by the MPA results, as about 225 mm of precipitation was missed by the 304 radar at 150 km, whereas only 100 mm of precipitation was missed by the radar at the second gauge at 305 120 km. Although equation 11, an R(Z,ZDR) algorithm was superior in terms of the bias, the same 306 algorithm with a KDP-smoothed reflectivity value, R(DSMZ,ZDR) revealed the overall least amount of 307 falsely missed precipitation (by 10 mm). However, the summation of the amount of precipitation falsely detected (PoFD) by KEAX showed a larger source of error than the MPA in terms of magnitude. For 308 309 example, at the second (fifth) gauge, only 100 (225) mm of precipitation was missed by the radar, but 310 over 700 (725) mm of precipitation was incorrectly estimated by the radar.

Correlation coefficient (CC) values for any of the 9 stations analyzed by KEAX ranges from 0.02
(Linneus, 151 km) to 0.93 for the cool season (St. Joseph, 115 km). The lowest R² were due to a
combination of false alarms and misses. For example, the CC for the warm seasons at Sanborn (170 km)

314	and Jefferson Farm (173 km) were 0.22 and 0.24, respectively, whereas when the instances of false
315	alarms and misses were removed, increased to 0.48 and 0.52. Few locations (Brunswick, 114 km and
316	Versailles, 129 km) saw little improvement in the CC values when only hits were analyzed (less than 0.1
317	increase), indicating the mean absolute error (in terms of hits) contributed the largest portion of error.
318	
319	3.3 KLSX
320	Unlike the KEAX data, the gauges used for analyses for the KLSX radar span between $90 - 150$
321	km. Furthermore, 5 out of the 8 gauges were located within 10 km of range from one-another, near 140
322	km from the radar, limiting the data available for analyses between 100 and 140 km (Figure 5).
323	The bias and NMB both show a relatively modest peak in values near the second gauge of 5 mm.
324	which decreases to approximately 3.6 mm at the third gauge, 120 km from the radar. The worst
325	performing algorithm, equation 13, was the same R(ZDR,KDP) relation as the worst KEAX bias and
326	NMB data. Additionally, the overall trend of decreasing bias and NMB as distance from the radar
327	increases was noted, presumably due to overshooting effects similar to the KEAX data. Furthermore, the
328	overall non-biased results from the R(Z,ZDR) equation demonstrates its robust capabilities in QPE, in
329	spite of its sensitivity to calibration (Zrnic et al., 2005; Bechini et al., 2008).
330	The double maxima in the absolute bias graph are present as with the KEAX data, but are not as
331	pronounced. For example, the absolute bias at 95 km and 140 km from KLSX were 5.9 mm and 1.1 mm
332	and 4.9 mm and 1.4 mm for equations 13 and 11, respectively. Additionally, the overall minima in the
333	absolute bias for both KEAX and KLSX are at, approximately, 125 km from the radar (3.9 mm hr ⁻¹ and
334	1.0 mm hr ⁻¹ , respectively, for equations 13 and 11). The relative distance from the radars are the same,
335	where the two maxima for KEAX were at 115 and 150 km, while the maxima were at, approximately,
336	100 and 140 km for KLSX. The overall best and worst performing algorithms at KLSX for the absolute
337	bias and NSE were equations 11 and 13, the R(Z,ZDR) and R(ZDR,KDP) algorithms, respectively.

338	The magnitude of error in terms of absolute bias, normalized mean bias, and normalized standard
339	error, all showed a decreasing pattern as distance from KLSX increased. This was due, primarily, from a
340	maximum in the false precipitation amount at 95 km from the radar. Historical notes at this location
341	indicate frequent clogging of the rain gauge, either due to bugs or leaves. From a particular series of
342	events spanning from 01 to 04 April and 01 to 03 August, 2014, over 130 mm of precipitation occurred
343	during each period which was not captured by the gauge, resulting in a large amount of overall error.
344	These results indicate the important of dual gauges in the same vicinity (Krajewski et al. 1998; Ciach and
345	Krajewski 1999). Interestingly, the cool season displayed a larger NSE (5 % for R(ZDR,KDP))
346	potentially due to the very low probability of detection (0.2) at this range of 118 km.
347	One of the main differences between the KLSX and KEAX data was the decreased probability of
348	detection at 120 km for KLSX, while there was an increased probability of detection for KEAX. In
349	general, the PoD values were worse for KLSX when compared to KEAX. For example, equation 11 had
350	no PoD values below 0.90, whereas no PoD values exceeded 0.84 for KLSX. There was also a slight
351	trend of increasing PoD values as distance from the St. Louis radar increased and, at one point near 140
352	km, the best algorithm, R(DSMZ) convective and the worst algorithm, KDP1, were not significantly
353	different (p < 0.10). Additionally, the maxima in the PoD while utilizing KDP1 corresponds to a minima
354	in the R(DSMZ) detection percentage, which is well correlated by the similarly valued MPA results.
355	The missed precipitation amount (MPA) displayed the cool season contributed the most, whereas
356	the warm season contributed the most amount of false precipitation amount. The R(Z,ZDR) equation only
357	registered, on average, 25 mm of MPA and 160 mm of FPA, whereas the R(ZDR,KDP) equation was
358	very dependent upon range. For example, the FPA from R(ZDR,KDP) decreased as range increased from
359	the radar from a maximum of, approximately, 850 mm to 620 mm. However, the fifth-furthest gauge (137
360	km from KLSX) displayed a sharp increase in the MPA for both cool seasons (above 100 mm).

362 **3.4 KSGF**

363

364	In spite that the KLSX and KEAX data strongly suggests false precipitation errors near 100 km in
365	addition to bright-banding near 150 km from the radars, the KSGF results reveal an overall smooth
366	decrease (increase) of error with range (Figure 7) for R(ZDR,KDP) and R(Z,ZDR), accordingly. One of
367	the main reasons for this could be due to the fact that only 5 gauges were analyzed from KSGF (the
368	fewest of the 3 radars analyzed), smoothing the overall trend lines.
369	The bias remained relatively constant near -0.3 mm for R(Z,ZDR), whereas the bias exhibited a

sharp decrease from 4 mm to 2.7 mm over a distance of, approximately, 100 km. In general, the cool
season displayed the lower of bias magnitudes when compared to the warm season, similar to the KEAX
results. This may be due, at least in part, to the low PoFD values for the warm season close to the KSGF
radar.

Similar to the bias, the absolute bias for R(Z,ZDR) was constant at all ranges (near 1 mm)
whereas the R(ZDR,KDP) equation decreased from 5.2 mm to 3.8 mm. This is potentially due to the low
cool season PoD values (below 0.6), while the warm season R(ZDR,KDP) values (near 0.8) remained
constant. A larger contribution from more correctly detected precipitation in addition to the decreasing
trends in the NMB and NSE would result in a lower absolute bias.

The closest location (90 km) typically displayed the largest errors for the R(ZDR,KDP) equation, and then decreased in error magnitude as range increased. In spite of this, the PoFD results indicate both algorithms increased in PoFD values as range increased, with the warm season typically dominating, particularly due to the large convective clouds dominate in the warm season. False detection values as low as 0.01 for the cool season while utilizing R(Z,ZDR) were observed at distances near 100 km and 140 km from the radar. 385 Normalized standard error values increased from 0.7 % at a distance of 105 km to 1.8 % at a 386 distance of 185 km for R(Z,ZDR). Large NSE values for the warm season (7.5 %) were calculated for 387 R(ZDR,KDP) which decreased to 3.8 % at 185 km from the radar. Furthermore, this was the only 388 instance when the warm season was less than the cool season in terms of NSE. Otherwise, the overall 389 NSE decreased from 5 % to 3.9 % for R(ZDR,KDP). The NMB followed a similar trend for the KDP-390 containing algorithm, with a noticeable exception at the second gauge (105 km from KSGF), where the 391 overall NSE was closer to the warm than cool season. This is due to the low PoFD values at this location, in addition to a smaller difference between the two algorithm's FPA measurements. 392

393 The MPA results, unlike for KEAX and KLSX, displayed a larger range of performance between 394 seasons. However, the warm season still exhibited the overall best performance in terms of MPA, yet 395 contributed the most to the FPA for both R(Z,ZDR) and R(ZDR,KDP). In spite of the MPA typically 396 increasing as range increased, the FPA was more nebulous. For example, the second gauge (105 km from 397 KSGF) had the overall lowest NSE (0.8 %), MPA (15 mm), and FPA (95 mm) for R(Z,ZDR). The thirdfurthest location (142 km) resulted in slightly larger errors, overall, while the fourth-furthest location had 398 399 errors similar to the second gauge (105 km). Then, at the furthest tipping bucket location (185 km), NSE 400 values increased, whereas FPA and MPA decreased. Therefore, the furthest location's errors are due, 401 primarily, from discrepancies between precipitation magnitude between the gauge and radar.

Excluding Versailles (142 km from KSGF), the cool season exhibited larger R² values in
comparison to the cool season (Figure 8). Furthermore, CC values exceeded 0.9 when false alarms and
misses were excluded from Mt. Grove (101 km) and was 0.84 when included. Otherwise, the other four
stations analyzed by the Springfield radar displayed many counts of false alarms and misses, leading to
low R² values.

Due to the relatively large ranges from the Springfield (KSGF) radar, most of the correlation
coefficient values were low in comparison to either KLSX or KEAX. For the warm (cool) season without
false alarms and misses, R² values ranged from 0.44 (0.38) and 0.34 (0.36) for KLSX and KSGF,

- respectively, at Cook Station (119 and 185 km). Similarly, the CC values ranged from 0.61 (0.71) to 0.42
 (0.56) at Green Ridge (76 and 154 km) for KEAX and KSGF, accordingly.
- 412

413 4 Conclusions

414 Dual-polarization technology was implemented to the National Weather Service Next Generation 415 Radar network in the Spring of 2012 to, primarily, improve quantitative precipitation estimation and 416 hydrometeor classification. The current study observed over 300 hours of precipitation data with three 417 separate radars in Missouri using 55 algorithms including the three conventional R(Z) radar rain-rate 418 estimation algorithms (stratiform, convective, and tropical) along with a myriad of R(KDP), R(Z,ZDR), 419 and R(ZDR,KDP) algorithms which can be found in Ryzhkov et al. (2005). Additionally, a KDP-420 smoothing field of reflectivity, differential reflectivity, and the specific differential phase shift (DSMZ, 421 DZDR, and DKDP, respectively) were measured and used for analyses. Unlike previous studies, the 422 current work emphasizes the amount of precipitation correctly and incorrectly estimated by the radar in 423 comparison to the terrestrial based precipitation gauges through measurements of the missed and false 424 precipitation amount.

425 For all three radars, Kansas City, St. Louis, and Springfield, MO (KEAX, KLSX, and KSGF, 426 respectively), the majority of precipitation error (over 60%) was contributed by the amount of 427 precipitation falsely detection by the radar (up to 725 mm), while 20% was due to the radar missing the 428 precipitation (up to 225 mm) for KEAX. Similar magnitudes of error were reported for KLSX and KSGF, 429 with an overall error in precipitation for each radar ranging between 250 mm for the best performing of 430 the 55 algorithms, equation 11 (an R(Z,ZDR) algorithm), and up to 2000 mm for the worst performing 431 algorithms, R(ZDR,KDP) equation 13. The R(Z,ZDR) equation (an NSSL algorithm) was determined to 432 be the most robust due to it registering the lowest NSE. These values of false precipitation amount and 433 missed precipitation amount generally increased as range from the radar increased.

434 Most algorithms showed a degradation in the normalized standard error with range. In particular, 435 the KDP-smoothed equations displayed larger biases and NSE values than their non-KDP counterparts, 436 with the exception of R(KDP) algorithms themselves. Some larger errors were recorded at gauge 437 locations close to the radar, potentially due to bright-banding effects which were determined to be due to 438 the large false precipitation amount analyzed at these locations.

The data was divided into summer (May – October) and winter (November – April; 59 and 41% of the entire data, respectively). Despite the winter data contributing less than the summertime data, it accounted for 20% of the overall MPA, and 40% to the overall PoFD. The R² values were less during the winter in comparison to the warm season primarily due to the smaller magnitude of precipitation that occurred. Furthermore, CC values increased by as much as 0.4 when instances of hits and misses were removed from the analyses, resulting in the warm season to outperform the cool season CC values at particularly short ranges from the radar.

These results aid in our understanding in the possibilities for hydrometeorological studies. Nearly 50% of the 300 hours where precipitation occurred analyzed for the study consisted of either falsely estimated precipitation by the radar, or missed by the radar. Furthermore, these errors accumulate between 500 to 2,000 mm of precipitation depending on the algorithms chosen. Although the overall performance increased when false alarms and misses were removed, correlation coefficient values still, typically, remained below 0.50 at ranges beyond 130 km.

Furthermore, results demonstrate the issues with analyzing QPE from a single gauge, explaining why the Community Collaborative Rain, Hail, and Snow Network (Kelsch 1998; Cifelli et al., 2005; Reges et al., 2016) or other densely-gauged networks (e.g., the Hydrometeorological Automated Data System, HADS, Meteorological Assimilation Data Ingest System, MADIS) tends to be more utilized since results have shown that measurements or quality controlled-techniques made by these organizations, especially CoCoRaHS, are significantly more accurate than rain gauges (Simpson et al., 2017), especially for convective events (Moon et al. 2009).

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465	Science Foundation.				
466					
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614					
615	Table 1. Terrestrial-based precipitation gauge locations used for the study in addition to the National				

- 616 Weather Service Radars Springfield, MO (KSGF), Kansas City, MO (KEAX), and St. Louis, MO
- 617 (KLSX) used in conjunction with each gauge.

Gauge Location	Latitude (°N)	Longitude (°W)	Radar(s) Used	
Bradford	38.897236	-92.218070	KLSX, KEAX	-
Brunswick	39.412667	-93.196500	KEAX	
Capen Park	38.929237	-92.321297	KLSX, KEAX	
Cook Station	37.797945	-91.429645	KLSX, KSGF	
Green Ridge	38.621147	-93.416652	KEAX, KSGF	
Jefferson Farm	38.906992	-92.269976	KLSX, KEAX	
Lamar	37.493366	-94.318185	KSGF	
Linneus	39.856919	-93.149726	KEAX	
Monroe City	39.635314	-91.725370	KLSX	
Mountain Grove	37.153865	-92.268831	KSGF	
Sanborn Field	38.942301	-92.320395	KLSX, KEAX	

	St. Joseph	39.757821	-94.794567	KEAX
	Vandalia	39.302300	-91.513000	KLSX
	Versailles	38.434700	-92.853733	KEAX, KSGF
	Williamsburg	38.907350	-91.734210	KLSX
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Table 2. List of single- and dual-polarimetric algorithms used for radar rainfall estimates.

$R(Z) = aZ^b$			
Precipitation type	a	b	с
Stratiform	200	1.6	-
Convective	300	1.4	-
Tropical	250	1.2	-
$R(KDP) = a \mid KDP \mid^{b} sign(KDP)$			
Algorithm number			
1	50.7	0.85	-
2	54.3	0.81	-

3	51.6	0.71	-
4	44.0	0.82	-
5	50.3	0.81	-
6	47.3	0.79	-

) $ (ZDR, KDP) = a KDP ^{b} ZDR^{c} sign(KDP)$	6.70×10^{-3} 7.46×10^{-3} 1.42×10^{-2} 1.59×10^{-2} 1.44×10^{-2}	0.927 0.945 0.770 0.737	-3.43 -4.76 -1.67
) $\frac{1}{2(ZDR, KDP) = a KDP ^{b} ZDR^{c} sign(KDP)}$	7.46×10^{-3} 1.42×10^{-2} 1.59×10^{-2} 1.44×10^{-2}	0.945 0.770 0.737	-4.76 -1.67
$2(ZDR, KDP) = a KDP ^b ZDR^c sign(KDP)$	1.42×10^{-2} 1.59×10^{-2} 1.44×10^{-2}	0.770 0.737	-1.67
$\frac{1}{2(ZDR, KDP) = a KDP ^{b} ZDR^{c} sign(KDP)}$	1.59×10^{-2} 1.44×10^{-2}	0.737	
$2(ZDR, KDP) = a KDP ^{b} ZDR^{c} sign(KDP)$	1.44×10^{-2}		-1.03
$P(ZDR, KDP) = a KDP ^{b} ZDR^{c} sign(KDP)$		0.761	-1.51
lgorithm number			
2	90.8	0.930	-1.69
3	136	0.968	-2.86
4	52.0		
	52.9	0.852	-0.53

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- Figure 1. Study location (Missouri) with St. Louis (KLSX), Kansas City (KEAX), and Springfield
- 644 (KSGF), MO radars (triangles) overlaid with 50-, 100-, and 150-km range rings in addition to the 15
- 645 terrestrial-based precipitation gauges utilizeed as ground-truthed data.

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Figure 2. Normalized standard error values for the overall performance of the a) 3 R(Z), 3 R(DSMZ),
and RREC algorithms, b) 6 R(KDP) and 6 R(DKDP) algorithms (equations 1-6 from Table 2), c) 5
R(Z,ZDR) and 5 R(DSMZ,ZDR) algorithms (equations 7-11 from Table 2), and d) 4 R(ZDR,KDP)
and 4 R(ZDR,DKDP) algorithms (equations 12-15 from Table 2) for the three radars utilized for the
current study.





Figure 3. Values of analyses from the Kansas City (KEAX) radar. Dashed lines and points represent the analyses of the worst-performing algorithm (R(ZDR,KDP)) while the solid lines and points represent the analyses of the best-performing algorithm (R(Z,ZDR)). Red, blue, and black colors represent analyses conducted during the warm and cool seasons, and overall, respectively.





Figure 4. Correlation coefficient values for the 9 locations analyzed by the Kansas City (KEAX) radar
with the R(Z,ZDR) NSSL equation. Blue and red scatter points represent the cool and warm season
data, respectively. The top two numbers on each plot indicate the overall R² value, whereas the
bottom two numbers represent the R² when false alarms and misses are removed.





Figure 5. Values of analyses from the St. Louis (KLSX) radar. Dashed lines and points represent the analyses of the worst-performing algorithm (R(ZDR,KDP)) while the solid lines and points represent the analyses of the best-performing algorithm (R(Z,ZDR)). Red, blue, and black colors represent analyses conducted during the warm and cool seasons, and overall, respectively.





Figure 6. Correlation coefficient values for the 8 locations analyzed by the St. Louis (KLSX) radar with the R(Z,ZDR) NSSL equation. Blue and red scatter points represent the cool and warm season data, respectively. The top two numbers on each plot indicate the overall R^2 value, whereas the bottom two numbers represent the R^2 when false alarms and misses are removed.





Figure 7. Values of analyses from the Springfield (KSGF) radar. Dashed lines and points represent the analyses of the worst-performing algorithm (R(ZDR,KDP)) while the solid lines and points represent the analyses of the best-performing algorithm (R(Z,ZDR)). Red, blue, and black colors represent analyses conducted during the warm and cool seasons, and overall, respectively.



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Figure 8. Correlation coefficient values for the 5 locations analyzed by the Springfield (KSGF) radar with

the R(Z,ZDR) NSSL equation. Blue and red scatter points represent the cool and warm season data,

respectively. The top two numbers on each plot indicate the overall R^2 value, whereas the bottom two

716 numbers represent the R^2 when false alarms and misses are removed.