

1 **RANGE AS A FUNCTION OF DUAL-POLARIZED QUANTITATIVE PRECIPITATION**
2 **ESTIMATION**

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12

13 **Abstract.** Since the advent of dual-polarized technology, many studies have been conducted to determine
14 the extent to which the differential reflectivity (ZDR) and specific differential phase shift (KDP) add
15 benefits to estimating rain rates (R) to reflectivity (Z). It has been previously noted that this new
16 technology provides significant improvement to rain rate estimation, but only for ranges within 125 km
17 from the radar. Beyond this range, it is unclear as to whether the National Weather Service conventional
18 R(Z)-Convective algorithm is superior, as little research has investigated radar precipitation estimate
19 performance at large ranges. The current study investigates the performance of three radars, St. Louis
20 (KLSX), Kansas City (KEAX), and Springfield (KSGF), MO, with respect to range, with 15 terrestrial-
21 based tipping bucket gauges served as ground-truth to the radars. Over 1100 hours of precipitation data
22 were analyzed for the current study. It was found that, in general, performance degraded with range
23 beyond, approximately, 150 km from the radar. Probability of detection in addition to bias values
24 decreased, while the false alarm ratios increased as range increased. Bright-band contamination was
25 observed to play a potential role as large increases in the absolute bias and overall error values near 120
26 km for the cool season, and 150 km in the warm season. Addition of dual-polarized technology was
27 shown to better estimate quantitative precipitation estimates than the conventional equation. The analyses
28 found further our understanding in the strengths and limitations of the Next Generation Radar system
29 overall, and from a seasonal perspective.

30

31

32 **1 Introduction**

33 In 2012, the National Weather Service (NWS) began upgrading the Next Generation Radar
34 (NEXRAD) system from single- to dual-polarization. The potential benefits of this upgrade were
35 investigated by the National Severe Storms Laboratory (NSSL) and the Cooperative Institute for
36 Mesoscale Meteorological Studies. These advantages include, but are not limited to, (1) significant
37 improvement in radar rainfall estimation (Ryzhkov et al., 2005; Gourley et al., 2010) through better
38 representation of precipitation shape (Brandes et al., 2002; Gorgucci et al., 2000, 2006), (2)
39 discrimination between solid and liquid precipitation (Zrnich and Ryzhkov, 1996), allowing for better
40 distinction between areas of heavy rain and hail (Park et al., 2009; Giangrande and Ryzhkov, 2008;
41 Cunha et al., 2013), (3) identifying the melting layer position in the radar field (Straka et al., 2000; Park
42 et al., 2009), and (4) calculating drop-size distributions retrieved from measurements of reflectivity (Z),
43 differential reflectivity (ZDR), and specific differential phase shift (KDP) as opposed to using ground-
44 based point located disdrometers (Zhang et al., 2001; Brandes et al., 2004; Anagnostou et al., 2008).

45 Rain rate retrieval by weather radars is an estimation based upon the dielectric properties of the
46 hydrometeors encountered in the atmosphere. Therefore, there is no direct measurement of rainfall, and
47 this inherently introduces error. However, dual-polarized technology allows for in-depth analyses on the
48 microphysics of precipitation that single-polarization was incapable of conducting. In spite of this
49 technology, conflicting studies report the benefits for quantitative precipitation estimation (QPE). For
50 example, Gourley et al. (2010) and Cunha et al. (2015) reported that conventional $R(Z)$ algorithms have
51 significantly better bias than algorithms containing ZDR and/or KDP , while others (e.g., Ryzhkov et al.,
52 2013; Simpson et al., 2016) report the opposite. This could be due, at least in part, to the fact that

53 hydrometeor types (e.g., rain versus hail) vary on spatial scales that cannot be easily resolved by even
54 densely gauged networks.

55 Multiple studies have found that, in general, the performance of radar rain rate estimates decrease
56 as range increases (Smith et al., 1996; Ryzhkov et al., 2003) which is caused, primarily, by degradation of
57 beam quality and broadening of the beam with range. Furthermore, the researchers also discuss how the
58 probability of detection at larger ranges decreases, as the radar beam overshoots shallow, stratiform
59 precipitation, including winter storms. Bright-banding can also play a crucial role in significantly
60 increasing the amount of precipitation estimated by the radar.

61 Despite these overall disadvantages, studies have shown that radar rainrate algorithms seldom
62 exceed absolute errors on the order of 10 mm h^{-1} . However, many of these studies have looked at a small
63 sample of rain events (on the order of 10-50 hours) (Kitchen and Jackson, 1993; Smith et al., 1996;
64 Ryzhkov et al., 2003; Gourley et al., 2010; Cunha et al., 2013). Long-term performances of weather radar
65 are becoming more prevalent as the availability of data becomes more abundant (e.g., Haylock et al.,
66 2008; Goudenhoofdt and Delobbe, 2012; Fairman et al., 2015; Goudenhoofdt and Delobbe, 2015).
67 Additionally, few studies (e.g., Smith et al., 1996; Cunha et al., 2015; Simpson et al., 2016) quantified
68 meteorologically significant measures including the probability of detection and false alarm ratio. In order
69 to get a better understanding of the performance of weather radars on rain rate estimates, more data must
70 be collected over a broader range of precipitation regimes in addition to an overall broader region of
71 interest.

72 The overarching objective of the current study was to assess the overall performance of three
73 different radars within the state of Missouri at various ranges from the radar, using terrestrial-based
74 tipping bucket gauges as ground-truth data. Radar rain rate estimation algorithms include 55 algorithms
75 encompassing standard R(Z) relations, in addition to algorithms containing dual-polarization variables
76 including ZDR and KDP. A rain rate echo classification algorithm was also tested for performance in
77 correctly identifying the suitable rain rate algorithm to choose based on the Z, ZDR, and KDP radar

78 fields. The current work expands upon that of Simpson et al. (2016) such that a larger sample of data were
79 analyzed (over 1000 hours of rainfall data from forty-six separate days in 2014) to encompass multiple
80 different precipitation regimes for both summer and winter, with several ground-truth tipping buckets to
81 analyze the performance of three separate radars as a function of range, and further expanding upon the
82 effects of erroneous precipitation estimates on the overall radar error. Objectives for this study included,
83 (1) statistically analyze the performance of each radar at various ranges (compared against the terrestrial-
84 based gauges), (2) compute (a) the amount of precipitation incorrectly estimated by the radar (quantifying
85 the probability of false detection) and (b) the amount of precipitation incorrectly missed by the radar but
86 measured by the rain gauge, (3) test the overall best radar rain rate algorithm, and (4) perform objectives
87 (1), (2), and (3) while the data is separated into warm and cool seasons which have been shown to result
88 in significantly different QPE's (Smith et al., 1996; Ryzhkov et al., 2003; Cunha et al., 2015).

89

90 **2 Study area and methods**

91 **2.1 Study area**

92 National Weather Service radars from St. Louis (KLSX), Kansas City (KEAX), and Springfield
93 (KSGF), MO are able to scan the majority of the state of Missouri. Because of this, the three
94 aforementioned radars were used to assess overall performance in estimating precipitation for this study.
95 Each radar covered a 200-km radius for which a different number of gauges were within the domain:
96 KLSX, KEAX, and KSGF covered 9, 8, and 5 gauges, respectively (Figure 1).

97 Missouri is characterized as a continental type of climate, marked by relatively strong seasonality.
98 Furthermore, Missouri is subject to frequent changes in temperature, primarily due to its inland location
99 and its lack of proximity to any large lakes. All of Missouri experiences below-freezing temperatures on a
100 yearly-basis. For example, the majority of the state experiences, on average, 110 days with temperatures
101 below freezing, while the Bootheel (i.e., southeast region) registers, on average, 70 days of below

102 freezing days. This elaborates upon the typical northwest to southeast warming pattern of temperatures
103 observed in the state. Because of the large variability in temperature, the warm and cool seasons were
104 defined from an agronomic perspective, primarily taking probabilities of freezing into account. Based on
105 the climatological averages of Missouri, from 1983 to 2013, November through April registered average
106 minimum temperatures below freezing, and was considered the cool season, while May through
107 October's minimum average temperature were above freezing and constituted the warm season.

108

109 **2.2 Rainfall data**

110 Terrestrial-based (ground-truthed) precipitation gauge data were collected from 15 separate
111 weather stations within the Missouri Mesonet, established by the Commercial Agriculture Program of
112 University Extension (Table 1). All precipitation data were aggregated in hourly intervals to match the
113 temporal resolution of the ground-truthed gauges. Forty-six out of 365 days for the year of 2014 were
114 analyzed based on precipitation being registered across the entire study domain (Figure 1). Of these 46
115 days, approximately 400 out of 1,104 hours of precipitation occurred such that the tipping buckets
116 recorded more than one tip (i.e., greater than 0.254 mm) for each location. This results in a relatively
117 standard year of rainfall for the state of Missouri. Furthermore, the days were chosen based on availability
118 of data from the National Climate Data Center's (NCDC) Hierarchal Data Storage System (HDSS) for all
119 three radars, in addition to error-free performance notes from each of the gauges used. The dates analyzed
120 were split near evenly between warm (May – October) and cool (November – April), therefore
121 encompassing an overall performance of each of the radars throughout the year with no preferential bias
122 towards rain or snow. Additionally, days were distributed evenly during the summer between convective
123 and stratiform events with a threshold of 38 dBZ (Gamache and Houze, 1982).

124 Observed precipitation data were collected using Campbell Scientific TE525 tipping buckets
125 located at each of the locations for the study (Table 1). The precipitation gauges have a 15.4-cm orifice
126 which funnels to a fulcrum which registers 0.01 mm of rainfall per tip. The performance of each gauge is

127 maximized between 0 and 50°C, for which each day of the study's temperature did not exceed. Accuracy
128 in gauge measurements range between -1 to 1%, -3 to 0%, and -5 to 0% for precipitation up to 25.4 mm h⁻¹,
129 25.4 to 50.8 mm h⁻¹, and 50.8 to 76.2 mm h⁻¹, respectively, which are, primarily, associated with local
130 random errors and errors in tip-counting schemes (Kitchen and Blackall, 1992; Habib et al., 2001). Each
131 tipping bucket is located, approximately, 1 m above the ground in areas clear of buildings and properly
132 maintained vegetation height to mitigate turbulence effects (Habib et al., 1999). Due to the well-
133 maintained nature of the mesonet gauges, these errors were assumed negligible and, therefore, allowed for
134 the gauges to be representative of the true rainfall rate. In spite of the non-homogeneous spacing of the
135 gauges, unbiased statistics including the normalized mean bias and normalized standard errors were
136 utilized.

137

138 **2.3 Radar data and radar-rainfall algorithms**

139 Next Generation Radar (NEXRAD) level-II data were retrieved from the NCDC's HDSS. Files
140 were analyzed using the Weather Decision Support System – Integrated Information (WDSS-II) program
141 (Lakshmanan et al., 2007) to assess reflectivity (Z) in addition to dual-polarized radar variables including
142 differential reflectivity (ZDR) and specific differential phase shift (KDP). Many different quality control
143 techniques are available (e.g., Lakshmanan et al., 2007b, 2010, 2014) and were implemented to the
144 weather radar data processing with WDSS-II. Three other variables were also generated based on a KDP-
145 based smoothing field (Ryzhkov et al., 2003) for reflectivity, differential reflectivity, and specific
146 differential phase: DSMZ, DZDR, and DKDP, respectively. These were analyzed to determine whether
147 the additional KDP-smoothing fields tend to over- or underestimate QPE's (Simpson et al., 2016). A rain
148 rate echo classification variable (RREC) was also computed, which chooses whether an R(Z), R(KDP),
149 R(Z,ZDR), or R(ZDR, KDP) algorithm is implemented in estimating rain rates based on the radar fields
150 of Z, ZDR, and KDP (Kessinger et al., 2003) to determine whether a multi-parameter algorithm is
151 superior to the single algorithms.

152 All seven variables (Z, ZDR, KDP, DSMZ, DZDR, DKDP, and RREC) were converted from
153 their native polar grid to 256 x 256 1-km Cartesian grids, where the lowest radar elevation scans (0.5°)
154 were used to mitigate uncalculated effects from evaporation and wind drift. An average of 5-minute scans
155 were used for each of the variables, which were aggregated to hourly totals to be compared to the hourly
156 tipping-bucket accumulations. In spite of previous reports suggesting 5 minute to hourly aggregates can
157 have significant effects on QPE (Fabry et al., 1994), evidence has been presented that overestimation in
158 accumulations may not exceed 26% for a pixel size of 1 km (Shucksmith et al., 2011).

159 The latitude and longitude of each of the 15 gauges were matched with the radar pixel that
160 corresponds to the Cartesian grid value of the seven radar variables which were then implemented in rain
161 rate calculations. These rain-rate calculations were calculated using the equations presented by Ryzhkov
162 et al. (2005) (Table 2), which were gathered from multiple studies using disdrometers to derive a
163 relationship between reflectivity, differential reflectivity, and specific differential phase (Bringi and
164 Chandrasekar, 2001; Brandes et al., 2002; Illingworth and Blackman, 2002; Ryzhkov et al., 2003).
165 Standard R(Z) algorithms were also included to test whether the addition of dual-polarized technology
166 improves QPE's.

167 With the use of both Z, ZDR, KDP, and DSMZ, DZDR, and DKDP fields produced by WDSS-II,
168 the number_of algorithms tested was 55. This includes the three standard single-polarized algorithms
169 (stratiform, convective, and tropical) which were calculated using reflectivity R(Z), and then calculated as
170 R(DSMZ), while algorithms 1-6 (R(KDP)) were also calculated as R(DKDP). Algorithms 7-11 (R(Z,
171 ZDR)) were additionally calculated as R(Z, DZDR), R(DSMZ, ZDR), and R(DSMZ, DZDR), while the
172 same four combinations of non- and KDP-smoothed fields were applied to the R(KDP, ZDR) algorithms
173 (12-15).

174

175 2.4 Statistical analyses

176 To test the performance of each algorithm, several statistical analyses were calculated. The
177 average difference (Bias) was calculated as

$$178 \quad Bias = \frac{\sum (R_i - G_i)}{N} \quad (1)$$

179 where R_i is each hourly aggregated radar estimated rainfall amount calculated from one of the 55
180 algorithms, G_i is the hourly aggregated gauge (observed) measurement, and N is the total number of
181 observations which, for this study, was 1,104 hours. A second statistical parameter, the normalized mean
182 bias (NMB), was calculated as

$$183 \quad NMB = \frac{1}{N} \frac{\sum (R_i - G_i)}{\sum G_i} \quad (2)$$

184 The normalized mean bias is included in the analyses due to the fact that overestimations (i.e., radar
185 estimates larger than gauge measurements) and underestimations (i.e., radar estimates smaller than gauge
186 measurements) are treated proportionately. This is directly analogous to choosing the mean absolute error
187 (MAE) opposed to the standard deviation as the MAE does not penalize smaller or larger errors,
188 obscuring the overall results (Chai and Draxler, 2014). Bias measurements (Bias and NMB) were
189 calculated to determine whether radar derived rain rates were over- or under-estimated in comparison to
190 the gauges. However, to calculate the overall magnitude of error associated with the performance of the
191 radars, the absolute values of (1) and (2) were performed to yield the mean absolute error (MAE), and
192 normalized standard error (NSE), respectively.

193 Several other meteorological parameters were calculated, including probability of detection
194 (PoD) which was calculated as

$$195 \quad PoD = \frac{\sum |R_i \bullet G_i > 0 \& R_i > 0|}{\sum |G_i|} \quad (3)$$

196 where the bullet (\bullet) indicates “if”, to determine how accurate the radars were at correctly detecting
197 precipitation. The probability of detection values range between 0.0 (radar did not detect any precipitation
198 correctly) and 1.0 (radar detected the occurrence of all precipitation 100% correctly). The probability of
199 false detection takes into account the amount of precipitation the radars incorrectly estimated when the
200 gauges recorded zero values, and was calculated as

$$201 \quad P_{oFD} = \frac{\sum R_i \bullet (G_i = 0 \& R_i > 0)}{\sum G_i} \quad (4)$$

202 Quantitative measures including the missed precipitation amount (MPA) and the false precipitation
203 amount (FPA) were defined such that

$$204 \quad MPA = \sum R_i \bullet (G_i > 0 \& R_i = 0) \quad (5)$$

$$205 \quad FPA = \sum R_i \bullet (G_i = 0 \& R_i > 0) \quad (6)$$

206 which analyzes the total amount of precipitation due to misses and false alarms. The total
207 precipitation error was also recorded to assess the overall error from each radar.

208

209 **3 Results and discussion**

210 **3.1 Overall algorithm performance**

211 To test the overall performance of each radar, it was necessary to determine the overall best
212 algorithm for each statistical measure. The best algorithm from each grouping of equations was
213 determined to have the lowest normalized standard error (NSE), indicating the best performance relative
214 to the gauge-recorded precipitation amount (Ryzhkov et al., 2005). The best algorithm from each
215 grouping of equations was determined to have the lowest normalized standard error (NSE), indicating the
216 best performance relative to the gauge-recorded precipitation amount (Ryzhkov et al., 2005). This reduces

217 the impact of bias inherent within the dataset between warm/cool season, stratiform/convective events,
218 and allows for statistical measurements in spite of the (typical) non-Gaussian behavior of precipitation
219 (Kleiber et al., 2012; Alaya et al., 2017).

220 From the results obtained, the three R(Z) three R(DSMZ) displayed a particular bias in favor of
221 the R(Z)-Convective algorithm for all three radars with R(Z)-Stratiform displaying similar performance
222 (Figure 2a). This could be due, at least in part, to the near-equal stratiform and convective precipitation
223 regimes throughout 2014. Although errors generally increased as range increased for KEAX and KLSX,
224 the results were nebulous for KSGF. The lowest NSE values were, typically, closest to each of the radars
225 (between 0.4 and 0.8), with the notable exception of the closest gauge to KSGF. In general, the RREC
226 performed worst, potentially due to the algorithm's ability to correctly assess the hydrometeors present
227 (Cifelli et al., 2011; Yang et al. 2016). Additionally, the poor performance by the R(DSMZ)-Tropical
228 equation is due to the lack of tropical precipitation within Central Missouri. Overall, the KDP-smoothed
229 reflectivity fields (DSMZ) performed worse than their counter-parts, resulting in over-prediction of
230 precipitation and, thus, larger errors (Simpson et al., 2016). Errors did not exceed 2.4 for any of these
231 algorithms.

232 However, the performance of the KDP-smoothed KDP field (DKDP) performed better than the
233 original specific differential phase shift field (Figure 2b). For nearly all gauges for each of the 3 radars,
234 R(DKDP)₄ performed the best, with NSE values ranging from 1.4 to 4.1. The range of NSE values were
235 largest at KEAX, while the spread was relatively small for KLSX and KSGF. In spite of this, the overall
236 spread of the performance of the 12 KDP algorithms varied greatly (average of 2 NSE units), exhibiting
237 the sensitivity of KDP estimates on QPE (Ryzhkov et al., 2005; Cunha et al., 2013). In general, the
238 NSSL-derived R(KDP) equations (i.e., equations 4-6) outperformed those from Bringi and Chandrasekar
239 (2001, equation 1), Brandes et al. (2002, equation 2), and Illingworth and Blackman (2002, equation 3).
240 Regardless, the magnitudes were all, approximately, more than 1 NSE unit than the performance of the
241 R(Z) algorithms.

242 The algorithms with the lowest NSE values were equations 7-11. For example, the overall lowest
243 NSE was at a distance of 130 km from KEAX (0.3), with no locations exceeding NSE values of 2.0
244 (Figure 2c). The large values at the closest location for KSGF (85 km, 1.3 – 1.9 NSE units), and the fifth
245 closest gauge to KLSX (135 km, 1.3 – 1.8 NSE units), Cook Station, were similar to the R(Z) and
246 R(DSMZ) results, indicating potential issues with reflectivity measurements. Additionally, these locations
247 were the closest in performance to the R(KDP) and R(DKDP) NSE values. Observations from this gauge
248 (Cook Station) indicated hail occurred during the evening of 01 August, for which KDP estimates would
249 be more ideal than Z for QPE (Ryzhkov et al. 2005; Kumjian 2013a; Cunha et al. 2015). In spite of this,
250 the overall spread in performance of the R(Z,ZDR) equations were less than the R(KDP) equations,
251 demonstrating the robust performance of R(Z,ZDR) for QPE (Wang and Chandrasekar 2010; Seo et al.,
252 2015).

253 The R(ZDR,KDP) algorithms performed the worst, overall (Figure 2d). In spite of the differential
254 reflectivity being implemented, the overall NSE values increased in magnitude, exceeding 6 units for the
255 second gauge analyzed by KEAX. Algorithms containing DKDP measurements performed better than
256 simply KDP, demonstrating that even with the scaling behavior of ZDR, DKDP is superior to KDP
257 estimates. This provides a potential solution to the noisy-ness that tends to be exhibited in the KDP field
258 (Ruzanski and Chandrasekar 2012).

259 Due to the overall NSE values obtained, for the remainder of the analyses, equation 11 (i.e.,
260 R(Z,ZDR)⁵) and equation 13 (i.e., R(ZDR,KDP)²) will be utilized as the best and worst algorithms,
261 respectively. Equations containing DZDR were not included in the following discussion due to the very
262 large QPE errors for each radar (not included).

263

264 **3.2 KEAX**

265 The overall bias showed that there was a positive bias, peaking at 5.5 mm hr^{-1} at the second gauge
266 for KEAX, approximately 115 km from the radar for both the best and worst performing algorithms
267 (Figure 3). This corresponds well with the spike in falsely detected precipitation recorded, which is
268 canceled by the maximum in missed precipitation. The overall worst algorithm, equation 13, an
269 $R(ZDR, KDP)$ relationship, revealed a decreasing trend in bias as the distance from the radar increased.
270 For example, a bias of 4 mm hr^{-1} was observed at a distance of 75 km from the radar, whereas the bias
271 reduced to 3 mm hr^{-1} at distances near 175 km. This could be due, at least in part, to the algorithm's
272 utilization of KDP which performs poorly in frozen (especially light) precipitation (Zrnich and Ryzhkov,
273 1996; Kumjian 2013a), causing the overestimation. Conversely, the algorithm with the lowest bias was an
274 $R(Z, ZDR)$ algorithm (equation 11). There was a maximum in the bias calculations while utilizing
275 equation 11 near 120 km, similar to equation 13, however, there was a more pronounced minimum in the
276 data near 150 km. Furthermore, it appears the data oscillates around a bias value of 0 mm hr^{-1} when using
277 equation 13. This could be due to ZDR's capability to respond to precipitation shape (Kumjian 2013a),
278 which helps to scale the reflectivity portion of the rainfall estimation algorithm to a more accurate value
279 (Seo et al., 2015). In general, the cool season displayed a larger magnitude of error in terms of bias for
280 both algorithms.

281 The normalized mean bias (NMB) reveals the same trend in values for bias but with an overall
282 decrease in magnitude. It is important to note, however, that the algorithms that tend to perform the worst
283 (e.g., algorithms containing KDP) result in anomalous range responses which would be due, at least in
284 part, to a stronger response to precipitation type. This indicates that observations above the melting layer
285 are dominant for which QPE's tend not to be calculated (Cifelli et al., 2011; Seo et al., 2015) but are
286 important for regions devoid of adequate radar coverage (Ryzhkov et al., 2003; Simpson et al., 2016).

287 The absolute bias and normalized standard error (NSE) shows the same maxima in the data at the
288 second gauge (Brunswick) that was present in the bias data (6.2 mm hr^{-1} and 5.6 units, respectively).
289 However, a second maxima is located at the fifth gauge at, approximately, 150 km (Linneus) with values

290 of 5.9 mm hr⁻¹ and 4.0, respectively. Bright-band issues are detected due, at least in part, to the increased
291 missed precipitation amount (240 mm) at this particular distance for the R(ZDR,KDP) equation (i.e.,
292 worst performing algorithm). There was also a pronounced minimum in the absolute bias and NSE results
293 at the fourth gauge for equations 11 and 13, 4.0 mm hr⁻¹ and 0.8 mm hr⁻¹, and 2.8 and 0.8, respectively,
294 potentially indicating an idealized range of QPE for KEAX. Furthermore, the historical records at this
295 particular gauge showed less issues (e.g., clogging) than any of the others analyzed by the KEAX radar.
296 This highlights the importance of choosing ground-truth data, in particular tipping buckets which are
297 prone to numerous errors (Ciach and Krajewski, 1999b). The largest contributions to the NSE and NMB
298 were due to the warm season.

299 The probability of detection (PoD) and probability of false detection (PoFD) results indicate a
300 large difference in algorithm choice for correctly detecting precipitation. The low PoD at, approximately
301 150 km, indicates overshooting of the beam. This is further evidenced by the MPA results, as about 250
302 mm of precipitation was missed by the radar at 150 km, whereas only 100 mm of precipitation was
303 missed by the radar at the second gauge at 120 km. Although equation 11, an R(Z,ZDR) algorithm was
304 superior in terms of the bias, the same algorithm with a KDP-smoothed reflectivity value, R(DSMZ,ZDR)
305 revealed the overall least amount of falsely missed precipitation (by 10 mm). However, the summation of
306 the amount of precipitation falsely detected (PoFD) by KEAX showed a larger source of error than the
307 MPA in terms of magnitude. For example, at the second (fifth) gauge, only 100 (225) mm of precipitation
308 was missed by the radar, but nearly 750 (800) mm of precipitation was incorrectly estimated by the radar.

309 Correlation coefficient (CC) values for any of the 9 stations analyzed by KEAX ranges from 0.02
310 (Linneus, 151 km) to 0.93 for the cool season (St. Joseph, 115 km). The lowest R² were due to a
311 combination of false alarms and misses (Figure 4). For example, the CC for the warm seasons at Sanborn
312 (170 km) and Jefferson Farm (173 km) were 0.22 and 0.24, respectively, whereas when the instances of
313 false alarms and misses were removed, increased to 0.48 and 0.52. Few locations (Brunswick, 114 km

314 and Versailles, 129 km) saw little improvement in the CC values when only hits were analyzed (less than
315 0.1 increase), indicating the mean absolute error (in terms of hits) contributed the largest portion of error.

316

317 **3.3 KLSX**

318 Unlike the KEAX data, the gauges used for analyses for the KLSX radar span between 90 – 150
319 km. Furthermore, 5 out of the 8 gauges were located within 10 km of range from one-another, near 140
320 km from the radar, limiting the data available for analyses between 100 and 140 km (Figure 5).

321 The bias and NMB both show a relatively modest peak in values near the second gauge of 5 mm,
322 which decreases to approximately 3.6 mm at the third gauge, 120 km from the radar. The worst
323 performing algorithm, equation 13, was the same $R(ZDR,KDP)$ relation as the worst KEAX bias and
324 NMB data. Additionally, the overall trend of decreasing bias and NMB as distance from the radar
325 increases was noted, presumably due to overshooting effects similar to the KEAX data. Furthermore, the
326 non-biased results from the $R(Z,ZDR)$ equation demonstrates its robust capabilities in QPE, in spite of its
327 sensitivity to calibration (Zrnic et al., 2005; Bechini et al., 2008). Similar to KEAX, the cool season bias
328 resulted in larger biases than the summer season for $R(Z,ZDR)$, but as distance extended beyond 130 km,
329 the warm season produced larger biases (by, approximately, 1 mm).

330 The overall double maxima in the absolute bias graph are present as with the KEAX data, but are
331 not as pronounced. For example, the absolute bias at 95 km and 140 km from KLSX were 5.9 mm and 1.1
332 mm, and 4.9 mm and 1.4 mm for equations 13 and 11, respectively. Additionally, the overall minima in
333 the absolute bias for both KEAX and KLSX are at, approximately, 125 km from the radar (3.9 mm and
334 1.0 mm, respectively, for equations 13 and 11). The relative distance from the radars are the same, where
335 the two maxima for KEAX were at 115 and 150 km, while the maxima were at, approximately, 100 and
336 140 km for KLSX. The overall best and worst performing algorithms for the absolute bias and NSE were
337 equations 11 and 13, the $R(Z,ZDR)$ and $R(ZDR,KDP)$ algorithms, respectively. The large absolute bias

338 values, none of which were lower than 3 mm for R(ZDR,KDP) and 0.8 mm for R(Z,ZDR) were mostly
339 due to the warm season.

340 The magnitude of error in terms of absolute bias, normalized mean bias, and normalized standard
341 error, all showed a decreasing pattern as distance from KLSX increased. This was due, primarily, from a
342 maximum in the false precipitation amount at 95 km from the radar. Historical notes at this location
343 indicate frequent clogging of the rain gauge, either due to bugs or leaves. From a particular series of
344 events spanning from 01 to 04 April and 01 to 03 August, 2014, over 130 mm of precipitation occurred
345 during each period which was not captured by the gauge, resulting in a large amount of overall error.
346 These results indicate the important of dual gauges in the same vicinity (Krajewski et al. 1998; Ciach and
347 Krajewski 1999). Interestingly, the cool season displayed a larger NSE (5 % for R(ZDR,KDP))
348 potentially due to the very low probability of detection (0.2) at this range of 118 km.

349 One of the main differences between the KLSX and KEAX data was the decreased probability of
350 detection at 120 km for KLSX, while there was an increased probability of detection for KEAX. In
351 general, the PoD values were worse for KLSX when compared to KEAX. For example, equation 11 had
352 no PoD values below 0.90, whereas no PoD values exceeded 0.84 for KLSX. There was also a slight
353 trend of increasing PoD values as distance from the St. Louis radar increased and, at one point near 140
354 km, the best algorithm, R(DSMZ) convective and the worst algorithm, KDP1, were not significantly
355 different ($p < 0.10$). Additionally, the maxima in the PoD while utilizing KDP1 corresponds to a minima
356 in the R(DSMZ) detection percentage, which is well correlated by the similarly valued MPA results.

357 The missed precipitation amount (MPA) displayed the cool season contributed the most, whereas
358 the warm season contributed the most amount of false precipitation amount. The R(Z,ZDR) equation only
359 registered, on average, 25 mm of MPA and 160 mm of FPA, whereas the R(ZDR,KDP) equation was
360 very dependent upon range. For example, the FPA from R(ZDR,KDP) decreased as range increased from
361 the radar from a maximum of, approximately, 850 mm to 620 mm. However, the fifth-furthest gauge (137
362 km from KLSX) displayed a sharp increase in the MPA for both cool seasons (above 100 mm).

363 Apart from Cook Station (119 km), the cool season R^2 values were either similar to, or exceeded
364 the warm season values when false alarms and misses were removed (Figure 6). This is due from the fact
365 that the precipitation rate during the cool season seldom exceeded 5 mm hr^{-1} , whereas rates as large as 18
366 mm hr^{-1} were observed for multiple locations. Therefore, the magnitude of error during the warm season
367 is larger when compared to the cool season and thus results in a lower CC value. Conversely, within 100
368 km from the radar, when false alarms and misses are accounted for, the warm season R^2 exceeds the cool
369 season R^2 , due to the lower counts of misses. Ranges for the KLSX R^2 were from a low of 0.17 during the
370 cool season (Monroe City, 137 km) to a high of 0.69 for both seasons at the closest location
371 (Williamsburg, 94 km). Similar to the KEAX results, the cool season CC typically exceeded the warm
372 season CC values when false alarms and misses were removed.

373 Four gauges were analyzed by both KEAX and KLSX: Bradford (177 and 135 km), Capen Park
374 (169 and 139 km), Sanborn (170 and 144 km), and Jefferson Farm (173 km and 145 km). Because KEAX
375 was further from all four of the gauges, the CC values for the cool season were less than KLSX. However,
376 when false alarms and misses were removed, the KEAX warm season tend to outperform KLSX. For
377 example, the R^2 values are Bradford, Capen, Sanborn, and Jefferson Farm were 0.54 and 0.41, 0.40 and
378 0.45, 0.43 and 0.48, and 0.52 and 0.52 for KLSX and KEAX, respectively. Since an R(Z,ZDR) algorithm
379 was utilized for the R^2 analyses, this may indicate calibration issues with KLSX either due to hardware
380 issues (Gorgucci et al., 1992; Scarchilli et al., 1996; Zrnice et al., 2006) or inadequate temperature control
381 inside the radome (Holleman et al., 2010; Figueras I Ventura et al., 2012; Hubbert, 2017).

382

383

384 **3.4 KSGF**

385 In spite that the KLSX and KEAX data strongly suggests false precipitation errors near 100 km in
386 addition to bright-banding near 150 km from the radars, the KSGF results reveal an overall smooth

387 decrease (increase) of error with range (Figure 7) for $R(ZDR,KDP)$ and $R(Z,ZDR)$, accordingly. One of
388 the main reasons for this could be due to the fact that only 5 gauges were analyzed from KSGF (the
389 fewest of the 3 radars analyzed), smoothing the overall trend lines.

390 The bias remained relatively constant near -0.3 mm for $R(Z,ZDR)$, whereas the bias exhibited a
391 sharp decrease from 4 mm to 2.7 mm over a distance of, approximately, 100 km. In general, the cool
392 season displayed the lower of bias magnitudes when compared to the warm season, similar to the KEAX
393 results. This may be due, at least in part, to the low PoFD values for the warm season close to the KSGF
394 radar.

395 Similar to the bias, the absolute bias for $R(Z,ZDR)$ was constant at all ranges (near 1 mm)
396 whereas the $R(ZDR,KDP)$ equation decreased from 5.2 mm to 3.8 mm. This is potentially due to the low
397 cool season PoD values (below 0.6), while the warm season $R(ZDR,KDP)$ values (near 0.8) remained
398 constant. A larger contribution from more correctly detected precipitation in addition to the decreasing
399 trends in the NMB and NSE would result in a lower absolute bias.

400 The closest location (90 km) typically displayed the largest errors for the $R(ZDR,KDP)$ equation,
401 and then decreased in error magnitude as range increased. In spite of this, the PoFD results indicate both
402 algorithms increased in PoFD values as range increased, with the warm season typically dominating,
403 particularly due to the large convective clouds dominate in the warm season. False detection values as
404 low as 0.01 for the cool season while utilizing $R(Z,ZDR)$ were observed at distances near 100 km and 140
405 km from the radar.

406 Normalized standard error values increased from 0.7 % at a distance of 105 km to 1.8 % at a
407 distance of 185 km for $R(Z,ZDR)$. Large NSE values for the warm season (7.5 %) were calculated for
408 $R(ZDR,KDP)$ which decreased to 3.8 % at 185 km from the radar. Furthermore, this was the only
409 instance when the warm season was less than the cool season in terms of NSE. Otherwise, the overall
410 NSE decreased from 5 % to 3.9 % for $R(ZDR,KDP)$. The NMB followed a similar trend for the KDP-

411 containing algorithm, with a noticeable exception at the second gauge (105 km from KSGF), where the
412 overall NSE was closer to the warm than cool season. This is due to the low PoFD values at this location,
413 in addition to a smaller difference between the two algorithm's FPA measurements.

414 The MPA results, unlike for KEAX and KLSX, displayed a larger range of performance between
415 seasons. However, the warm season still exhibited the overall best performance in terms of MPA, yet
416 contributed the most to the FPA for both R(Z,ZDR) and R(ZDR,KDP). In spite of the MPA typically
417 increasing as range increased, the FPA was more nebulous. For example, the second gauge (105 km from
418 KSGF) had the overall lowest NSE (0.8 %), MPA (15 mm), and FPA (95 mm) for R(Z,ZDR). The third-
419 furthest location (142 km) resulted in slightly larger errors, overall, while the fourth-furthest location had
420 errors similar to the second gauge (105 km). Then, at the furthest tipping bucket location (185 km), NSE
421 values increased, whereas FPA and MPA decreased. Therefore, the furthest location's errors are due,
422 primarily, from discrepancies between precipitation magnitude between the gauge and radar.

423 Excluding Versailles (142 km from KSGF), the cool season exhibited larger R^2 values in
424 comparison to the cool season (Figure 8). Furthermore, CC values exceeded 0.9 when false alarms and
425 misses were excluded from Mt. Grove (101 km) and was 0.84 when included. Otherwise, the other four
426 stations analyzed by the Springfield radar displayed many counts of false alarms and misses, leading to
427 low R^2 values.

428 Due to the relatively large ranges from the Springfield (KSGF) radar, most of the correlation
429 coefficient values were low in comparison to either KLSX or KEAX. For the warm (cool) season without
430 false alarms and misses, R^2 values ranged from 0.44 (0.38) and 0.34 (0.36) for KLSX and KSGF,
431 respectively, at Cook Station (119 and 185 km). Similarly, the CC values ranged from 0.61 (0.71) to 0.42
432 (0.56) at Green Ridge (76 and 154 km) for KEAX and KSGF, accordingly.

433

434 4 Conclusions

435 Dual-polarization technology was implemented to the National Weather Service Next Generation
436 Radar network in the Spring of 2012 to, primarily, improve quantitative precipitation estimation and
437 hydrometeor classification. The current study observed over 1,100 hours of precipitation data with three
438 separate radars in Missouri using 55 algorithms including the three conventional R(Z) radar rain-rate
439 estimation algorithms (stratiform, convective, and tropical) along with a myriad of R(KDP), R(Z,ZDR),
440 and R(ZDR,KDP) algorithms which can be found in Ryzhkov et al. (2005). Additionally, a KDP-
441 smoothing field of reflectivity, differential reflectivity, and the specific differential phase shift (DSMZ,
442 DZDR, and DKDP, respectively) were measured and used for analyses. Unlike previous studies, the
443 current work emphasizes the amount of precipitation correctly and incorrectly estimated by the radar in
444 comparison to the terrestrial based precipitation gauges through measurements of the missed and false
445 precipitation amount.

446 For all three radars, Kansas City, St. Louis, and Springfield, MO (KEAX, KLSX, and KSGF,
447 respectively), the majority of precipitation error (over 60%) was contributed by the amount of
448 precipitation falsely detection by the radar (up to 725 mm), while 20% was due to the radar missing the
449 precipitation (up to 225 mm) for KEAX. Similar magnitudes of error were reported for KLSX and KSGF,
450 with an overall error in precipitation for each radar ranging between 250 mm for the best performing of
451 the 55 algorithms, equation 11 (an R(Z,ZDR) algorithm), and up to 2000 mm for the worst performing
452 algorithms, R(ZDR,KDP) equation 13. The R(Z,ZDR) equation (an NSSL algorithm) was determined to
453 be the most robust due to it registering the lowest NSE.

454 The data was divided into summer (May – October) and winter (November – April) months
455 resulting in 652 hours for summer, and 452 hours for winter (59 and 41% of the entire data, respectively).
456 Despite the winter data contributing less than the summertime data, it accounted for 20% of the overall
457 MPA, and 40% to the overall PoFD. The R^2 values were less during the winter in comparison to the warm
458 season primarily due to the smaller magnitude of precipitation that occurred. Furthermore, CC values

459 increased by as much as 0.4 when instances of hits and misses were removed from the analyses, resulting
460 in the warm season to outperform the cool season CC values at particularly short ranges from the radar.

461 These results aid in our understanding in the possibilities for hydrometeorological studies. Nearly
462 50% of the 1,100 hours analyzed for the study consisted of either falsely estimated precipitation by the
463 radar, or missed by the radar. Furthermore, these errors accumulate between 500 to 2,000 mm of
464 precipitation depending on the algorithms chosen. Although the overall performance increased when false
465 alarms and misses were removed, correlation coefficient values still, typically, remained below 0.50 at
466 ranges beyond 130 km.

467 Furthermore, results demonstrate the issues with analyzing QPE from a single gauge, explaining
468 why the Community Collaborative Rain, Hail, and Snow Network (Kelsch 1998; Cifelli et al., 2005;
469 Reges et al., 2016) tends to be more utilized since results have shown that measurements or quality
470 controlled-techniques made by CoCoRaHS are significantly more accurate than rain gauges (Simpson et
471 al., 2017), especially for convective events (Moon et al. 2009).

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473

474 **Author Contribution.** N. Fox designed the experiment and provided feedback while M. Simpson carried
475 out the calculations and wrote the manuscript.

476 **Acknowledgements.** This material is based upon work supported by the National Science Foundation
477 under Award Number IIA-1355406. Any opinions, findings, and conclusions or recommendations
478 expressed in this material are those of the authors and do not necessarily reflect the views of the National
479 Science Foundation.

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633 Table 1. Terrestrial-based precipitation gauge locations used for the study in addition to the National
 634 Weather Service Radars Springfield, MO (KSGF), Kansas City, MO (KEAX), and St. Louis, MO
 635 (KLSX) used in conjunction with each gauge.

Gauge Location	Latitude (°N)	Longitude (°W)	Radar(s) Used
Bradford	38.897236	-92.218070	KLSX, KEAX
Brunswick	39.412667	-93.196500	KEAX
Capen Park	38.929237	-92.321297	KLSX, KEAX
Cook Station	37.797945	-91.429645	KLSX, KSGF
Green Ridge	38.621147	-93.416652	KEAX, KSGF
Jefferson Farm	38.906992	-92.269976	KLSX, KEAX
Lamar	37.493366	-94.318185	KSGF
Linneus	39.856919	-93.149726	KEAX
Monroe City	39.635314	-91.725370	KLSX
Mountain Grove	37.153865	-92.268831	KSGF
Sanborn Field	38.942301	-92.320395	KLSX, KEAX
St. Joseph	39.757821	-94.794567	KEAX
Vandalia	39.302300	-91.513000	KLSX
Versailles	38.434700	-92.853733	KEAX, KSGF
Williamsburg	38.907350	-91.734210	KLSX

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Table 2. List of single- and dual-polarimetric algorithms used for radar rainfall estimates.

$R(Z) = aZ^b$			
Precipitation type	a	b	c
Stratiform	200	1.6	-
Convective	300	1.4	-
Tropical	250	1.2	-
$R(KDP) = a KDP ^b \text{sign}(KDP)$			
Algorithm number			
1	50.7	0.85	-
2	54.3	0.81	-
3	51.6	0.71	-
4	44.0	0.82	-
5	50.3	0.81	-
6	47.3	0.79	-
$R(Z, ZDR) = aZ^b ZDR^c$			
Algorithm number			
7	6.70×10^{-3}	0.927	-3.43
8	7.46×10^{-3}	0.945	-4.76
9	1.42×10^{-2}	0.770	-1.67
10	1.59×10^{-2}	0.737	-1.03
11	1.44×10^{-2}	0.761	-1.51
$R(ZDR, KDP) = a KDP ^b ZDR^c \text{sign}(KDP)$			
Algorithm number			
12	90.8	0.930	-1.69

13	136	0.968	-2.86
14	52.9	0.852	-0.53
15	63.3	0.851	-0.72

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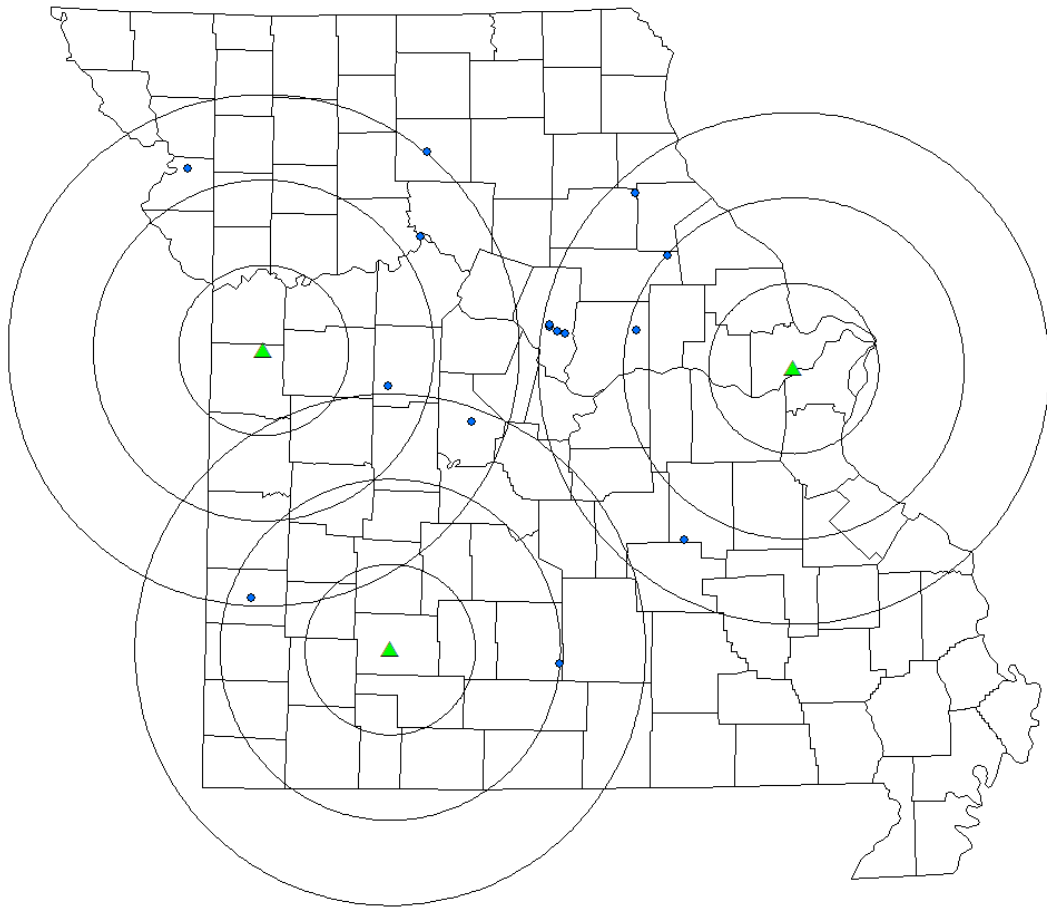
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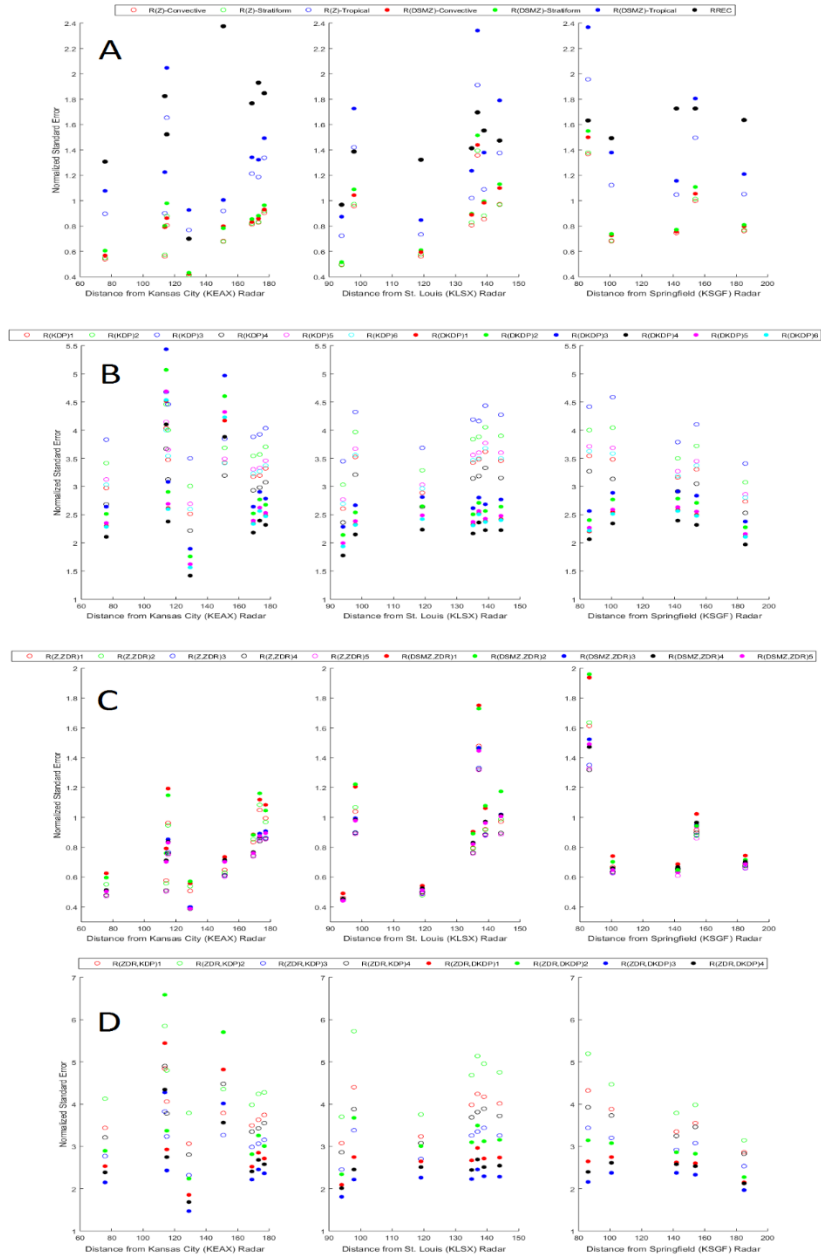
661 Figure 1. Study location (Missouri) with St. Louis (KLSX), Kansas City (KEAX), and Springfield
662 (KSGF), MO radars (triangles) overlaid with 50-, 100-, and 150-km range rings in addition to the 15
663 terrestrial-based precipitation gauges utilized as ground-truthed data.

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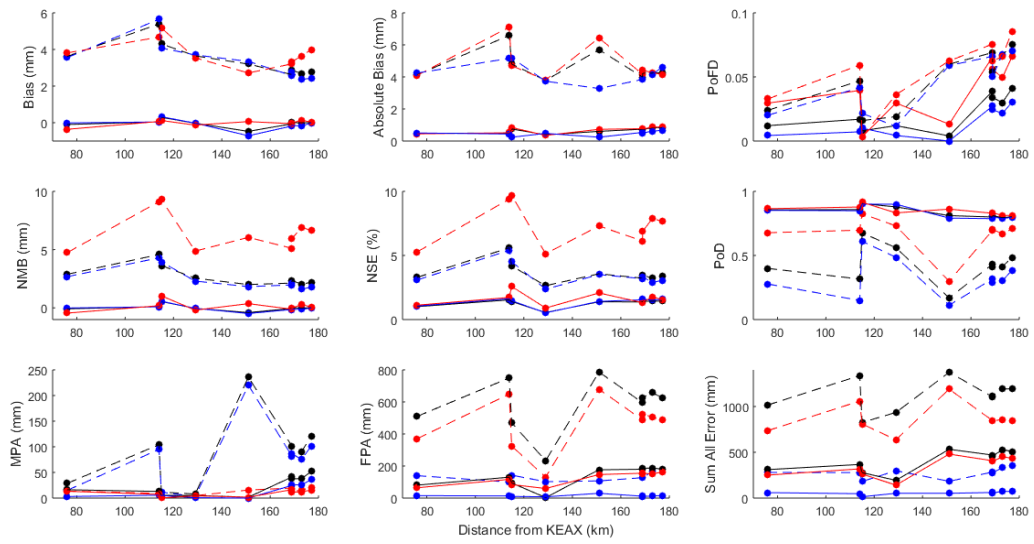
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Figure 2. Normalized standard error values for the overall performance of the a) 3 R(Z), 3 R(DSMZ), and RREC algorithms, b) 6 R(KDP) and 6 R(DKDP) algorithms (equations 1-6 from Table 2), c) 5 R(Z,ZDR) and 5 R(DSMZ,ZDR) algorithms (equations 7-11 from Table 2), and d) 4 R(ZDR,KDP) and 4 R(ZDR,DKDP) algorithms (equations 12-15 from Table 2) for the three radars utilized for the current study.



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675 Figure 3. Values of analyses from the Kansas City (KEAX) radar. Dashed lines and points represent
 676 the analyses of the worst-performing algorithm (R(ZDR,KDP)) while the solid lines and points
 677 represent the analyses of the best-performing algorithm (R(Z,ZDR)). Red, blue, and black colors
 678 represent analyses conducted during the warm and cool seasons, and overall, respectively.

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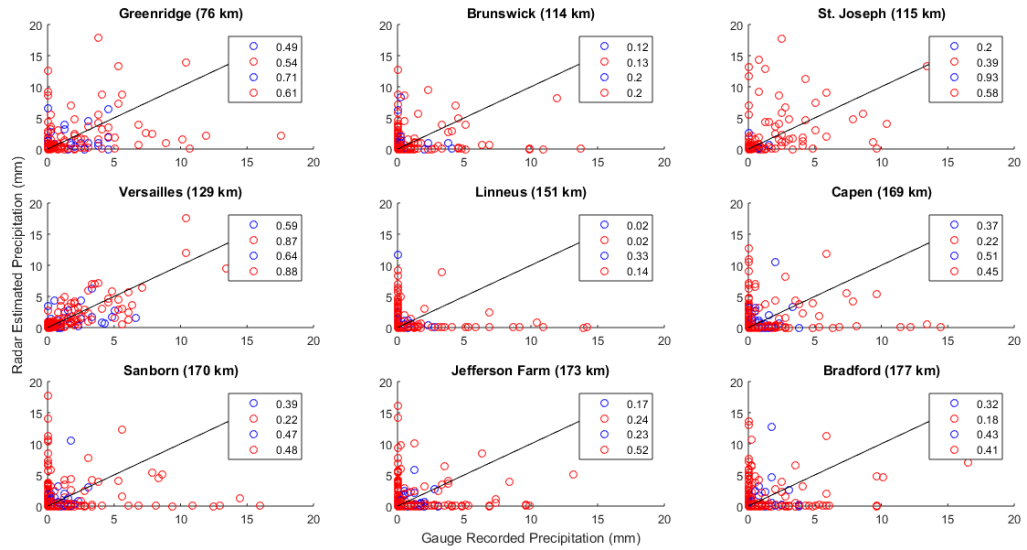
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689 Figure 4. Correlation coefficient values for the 9 locations analyzed by the Kansas City (KEAX) radar

690 with the R(Z,ZDR) NSSL equation. Blue and red scatter points represent the cool and warm season

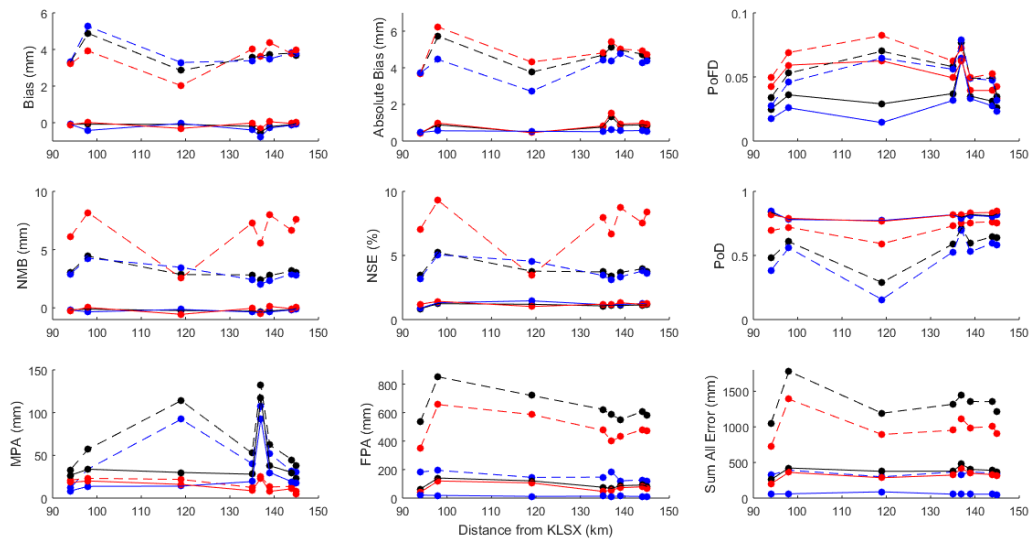
691 data, respectively. The top two numbers on each plot indicate the overall R^2 value, whereas the

692 bottom two numbers represent the R^2 when false alarms and misses are removed.

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697 Figure 5. Values of analyses from the St. Louis (KLSX) radar. Dashed lines and points represent the
 698 analyses of the worst-performing algorithm (R(ZDR,KDP)) while the solid lines and points represent
 699 the analyses of the best-performing algorithm (R(Z,ZDR)). Red, blue, and black colors represent
 700 analyses conducted during the warm and cool seasons, and overall, respectively.

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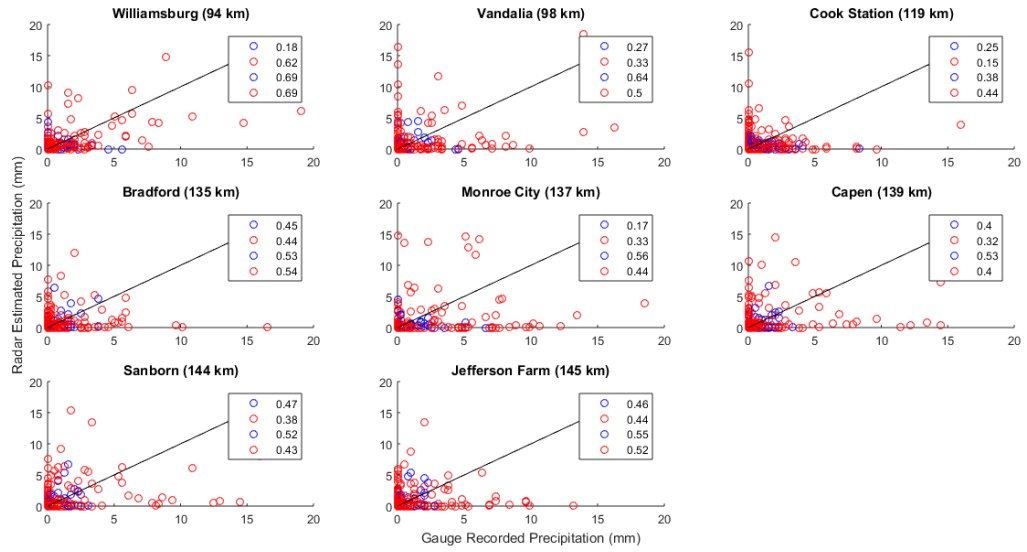
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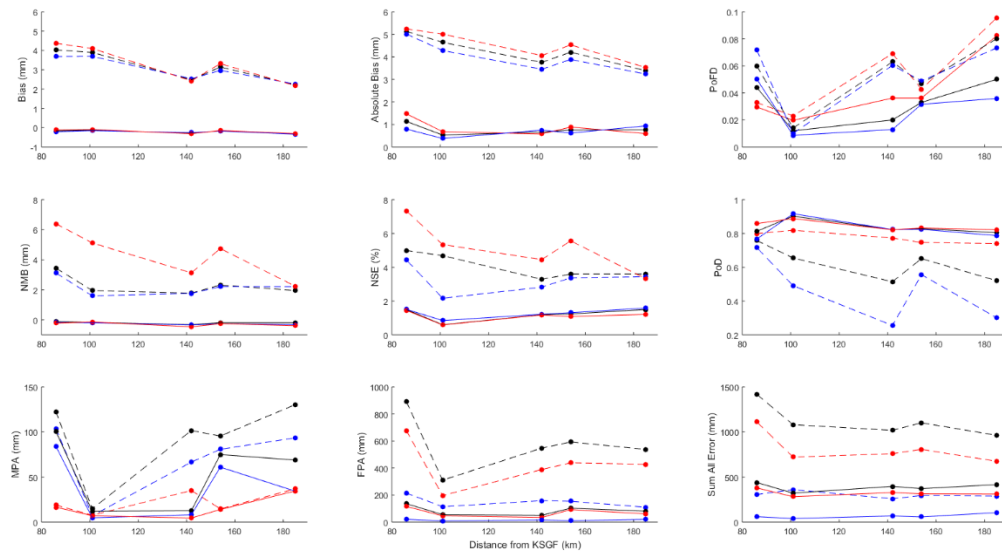


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711 Figure 6. Correlation coefficient values for the 8 locations analyzed by the St. Louis (KLSX) radar
 712 with the R(Z,ZDR) NSSL equation. Blue and red scatter points represent the cool and warm season
 713 data, respectively. The top two numbers on each plot indicate the overall R^2 value, whereas the
 714 bottom two numbers represent the R^2 when false alarms and misses are removed.

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718 Figure 7. Values of analyses from the Springfield (KSGF) radar. Dashed lines and points represent

719 the analyses of the worst-performing algorithm (R(ZDR,KDP)) while the solid lines and points

720 represent the analyses of the best-performing algorithm (R(Z,ZDR)). Red, blue, and black colors

721 represent analyses conducted during the warm and cool seasons, and overall, respectively.

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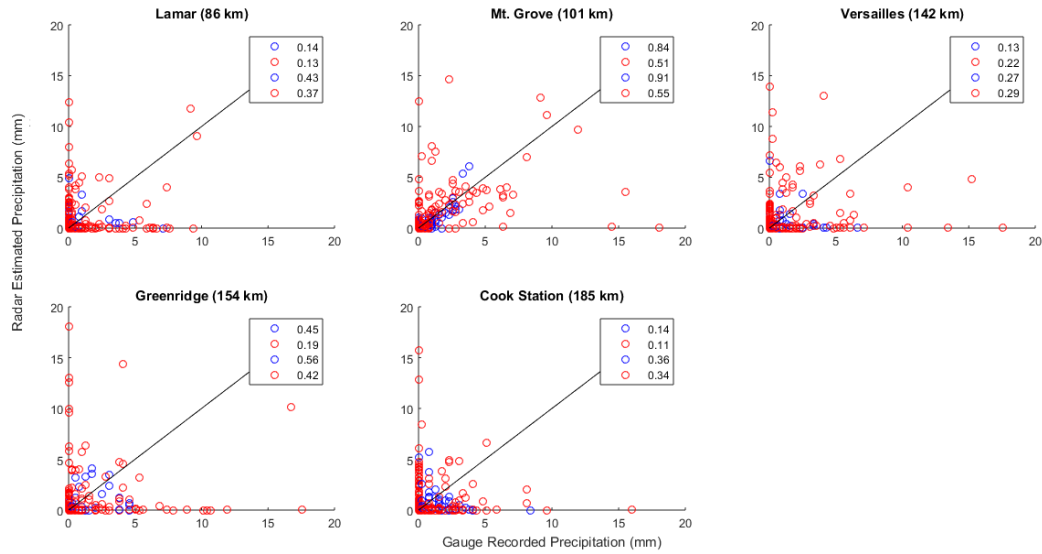
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732 Figure 8. Correlation coefficient values for the 5 locations analyzed by the Springfield (KSGF) radar with
 733 the R(Z,ZDR) NSSL equation. Blue and red scatter points represent the cool and warm season data,
 734 respectively. The top two numbers on each plot indicate the overall R^2 value, whereas the bottom two
 735 numbers represent the R^2 when false alarms and misses are removed.