



# 1 Adaptation tipping points of urban wetlands under a 2 drying climate

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21 Word count: 5,637 (text); 9,022 (text, tables, figures, and references)

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23     **Key Points**

24     - A modified Adaptation Tipping Point framework is presented to assess the suitability of ecosystem  
25     management when rigorous ecological data are lacking.

26     - Quantitative boundaries or thresholds to define acceptable ecological change can be overcome by  
27     inclusion of pre-existing thresholds based on available information from policy, legislation, and  
28     involvement of management authorities.

29     - The extend of legislation, policies, and management authorities across different scales and levels of  
30     governance; need to be understood to adapt ecosystem management strategies.

31



32 **Abstract**

33 Urban wetlands experience considerable alteration to their hydrology, which typically contributes to a  
34 decline in their overall ecological integrity. Wetland management strategies aim to repair wetland  
35 hydrology and attenuate wetland loss associated with climate change. However, decision makers often  
36 lack the data needed to support complex social environmental systems models, making it difficult to  
37 assess the effectiveness of current or past practices. Adaptation Tipping Points (ATPs) is a method that  
38 can be useful in these situations. The method assesses thresholds exceedance of ecological objectives  
39 obtained from policy and informs about the effectiveness of the management strategy to the delivery of  
40 certain social or environmental goals. Here we trial the method on an urban wetland in a region  
41 experiencing a markedly drying climate. ATPs were defined by linking key ecological objectives  
42 identified by policy documents to threshold values for water depth. We then used long-term hydrologic  
43 data (1978-2012) to assess if and when thresholds were breached. We found that from the mid-1990s  
44 declining wetland water depth caused ATPs for the majority of the wetland objectives. We conclude  
45 that the wetland management strategy has been ineffective from the mid-1990s when the region's  
46 climate dried markedly. Empirical verification of the ATP assessment is required to validate the  
47 suitability of the method. However, in general we consider ATPs to be a useful desktop method to  
48 assess the suitability of management when rigorous ecological data are lacking.

49

50 **Key words**

51 Ecosystem management; urban wetland; adaptation tipping points; climate change; management  
52 strategy

53



54 **1. Introduction**

55 Ecological systems with high resilience are able to cope with frequent disturbance and remain  
56 relatively stable over time, whereas systems with low resilience are likely to transition to altered states,  
57 often with reduced function in the wake of disturbance (Holling 1973). Systems with low resilience  
58 can shift between alternative stable states by an incremental change of conditions that induce a  
59 catastrophic (reversible) shift or by perturbations that are large enough to move the system to a lower  
60 alternative state with reduced functions (Scheffer et al. 2001; Folke et al. 2004). Social-ecological  
61 systems (SES) have many functions that depend on feedback mechanisms between processes that take  
62 place at multiple scales (Sivapalan et al. 2012; Elshafei et al. 2014).

63 Ecosystems are managed to maintain their ecological functions that are particularly vulnerable to  
64 altered processes (e.g. climate change). Such processes can shift ecosystems to reduced ecological  
65 functions (Dudgeon et al. 2006). These complex ecosystems under the influence of drivers of  
66 ecological and social processes can change and then often display nonlinear behaviour with prolonged  
67 periods of stability alternated with sudden changes or critical transitions of the socio-ecological system  
68 (Scheffer et al. 2001; Walker and Meyers 2004). These sudden changes are often not foreseen in  
69 management practices due to its incremental approach which is defined by law enforced threshold  
70 levels along environmental gradients (Walker and Meyers 2004). Interventions to inform policy or  
71 management are therefore not timely or ineffective to maintain ecosystems in a state of prolonged  
72 stability with multiple socio-ecological functions.

73 Thresholds and tipping points are important focal points for adaptive management (Folke et al. 2005;  
74 Rijke et al. 2012; Haasnoot et al. 2013; Werners et al. 2013), but often lack data to define exact  
75 biophysical thresholds to model complicated interactions in SES models (Schlueter et al. 2012).  
76 However, several indicators (Niemi and McDonald 2004) and ‘turning point’ approaches do exist that  
77 are commonly used in flood mitigation (Lavery and Donovan 2005; Kwadijk et al. 2010; Reeder and  
78 Ranger 2011; Gersonius et al. 2012), water resources management (Brown et al. 2011; Poff et al.  
79 2015), and institutional adaptation (Lawrence et al. 2013, Füngfeld 2015) to determine when the  
80 boundaries of a system are reached and future change becomes critical for the system. The method



81 makes clear what the weak points of the current policy and management are under future stressors to  
82 the system (Hanger et al. 2013).  
83 The turning point approach is also known as ‘adaptation tipping point’ (ATP) method. ATPs are  
84 reached if the magnitude of change is such, that a current management strategy can no longer meet its  
85 objectives (Kwadijk et al. 2010). As a result, adaptive management is needed to prevent or postpone  
86 these ATPs. This method was recently applied to a species re-introduction program and assessed how  
87 long the socio-ecological baseline strategy remained effective under future climate change (Bölscher et  
88 al. 2013; Werners et al. 2013; van Slobbe et al. 2016). The timing of an ATP does not necessarily  
89 correspond to ecological or social tipping points (Werners et al. 2013). However, the ATP approach  
90 confronts the lack of quantitative and qualitative ecological data sets to infer acceptability of  
91 management (Wardekker et al. 2010; Haasnoot et al. 2012; Haasnoot et al. 2013) by stakeholder  
92 engagement to determine unknown/ill-defined thresholds and prevents a focus on only existing  
93 management strategies (Wardekker et al. 2010; Bölscher et al. 2013). To prevent confusion with  
94 definitions of tipping points in the other fields (e.g. climate sciences, ecology) we will use ‘adaptation  
95 tipping point’ in our study.  
96 A deficiency of the ATP methodology is the understanding how an ecosystem management strategy  
97 compares to ecological resilience when detailed models or sufficient data are unavailable. In other  
98 words: the management strategy needs to be informed about when the ecosystem could shift into an  
99 alternative state with low resilience when the system is exposed to stressors which are induced by  
100 climate change. Wetlands are ecosystems that are particularly vulnerable to decreased ecological  
101 resilience due to altered hydrology, invasive species, nutrient loading, fire regimes etc. that can cause  
102 wetlands to shift from a ‘clear-water’ stable state to a ‘turbid-water’ stable state or from a permanently  
103 to a seasonal hydro-regime that inadequately supports ecological processes (Scheffer et al. 2001; Folke  
104 et al. 2004).  
105 The wetland in our case study area is located in the biodiverse region in south-west Western Australia  
106 (Myers et al. 2000) and has been noticeably impacted by anthropogenic factors (Bekle 1981; Bekle and  
107 Gentilli 1993). An estimated 85% of the Swan Coastal Plain (SCP) wetlands have been lost since  
108 colonial settlement and are likely to experience increasing hydrological stress due to further decreasing



109 rainfall (Balla 1993; Davis and Froend 1999). The altered hydrology of wetlands in Perth is likely to  
110 have breached natural ecological tipping points and caused environmental degradation unless the  
111 wetlands are highly resilient. The key challenge to the catchment's wetland management is to adapt to  
112 this drier regime while climate change predictions and the ecological response is uncertain. Therefore,  
113 the catchment area is suitable to apply the ATP methodology to determine whether the current wetland  
114 management strategy is effective to prevent undesirable ecological tipping points.

115 We are interested as to when and how much hydrological variation an ecosystem can cope with before  
116 the durability of a strategy to conserve the ecosystem expires. The overall aim of this study is to  
117 provide a modified ATP framework to identify the effectiveness of ecosystem management strategies.  
118 We define effectiveness by three aspects of the ecosystem and subdivide this into three aims to  
119 identify:

- 120 1) the hydrological response and variation of the ecosystem under climate change by conducting a  
121 literature study and by interviews with experts;
- 122 2) temporal scale and ecosystem responses with the determination of ATPs in hydrologic time-series  
123 data for each socio-ecological objective from the wetland management strategy;
- 124 3) the recovery rate or alternative stable state of ecological processes that is defined by minimum and  
125 maximum water-level thresholds compared to objectives mandated by policy and management.

126

127



128 **2. Method**

129

130 **2.1. ATP method and case study area**

131 The original five-step ATP methodology include (Figure 1): (i) the determination of climate change  
132 effects on the system; (ii) followed by identifying key objectives and thresholds; (iii) the determination  
133 when standards were compromised in the past; (iv) analysing when standards were compromised in the  
134 future; and (v) to repeat step 1-4 for alternative strategies. Further details about the original  
135 methodology can be found in Kwadijk et al. (2010). We modified the original methodology to a three-  
136 step assessment as we only assess historical time series. Firstly, we assessed the observed hydrological  
137 changes followed by determining objectives and thresholds. At last, we combined step 3 and 4A of the  
138 methodology to interpreted ATPs in conjunction with understanding the ecosystem processes,  
139 feedbacks, and alternative stable states (Figure 1).

140 This study assessed one wetland, Forrestdale Lake (Figure 2), which is located in the biodiverse region  
141 of the Swan Coastal Plain in south-west Western Australia (Myers et al. 2000). The wetland supports  
142 many waterbirds and its surrounding riparian vegetation supports terrestrial birds, significant reptiles,  
143 mammals, and other vertebrate species (Balla 1993). The lakes' high biodiversity makes it an  
144 important regional conservation area (CCWA 2005). Since colonial settlement, the lake has been  
145 exposed to several stressors, such as land-use changes, urban encroachment, nutrient run-off, and  
146 decreasing surface water levels in the lake.

147 Similarly to other Mediterranean regions in the world, the south-west of Western Australia is  
148 experiencing reductions in rainfall that lead to decreasing recharge of the aquifer (Petrone et al. 2010).  
149 Approximately 80% of the annual precipitation occurs in winter between May and September, with  
150 groundwater recharge occurring from June to September (DoW 2008). The wetland experiences a  
151 Mediterranean climate with a mean annual rainfall of 852 mm in the period 1980-2014. Since the  
152 1970s this region has experienced a 10-20 % decrease in average annual rainfall that resulted in a mean  
153 annual rainfall of 775 mm in the period 2004-2014 (Charles et al. 2010; Smith and Power 2014).  
154 Despite high resilience, the wetland shows a rapid decline of its critical ecological processes as a result



155 of less surface water availability (Froend et al. 1993; Balla and Davis 1995; Sommer and Horwitz  
156 2009; Sommer and Froend 2011). These observed impacts of climate change on the hydrology make  
157 the wetland a suitable study area to apply the ATP method.

158

159 **2.2. Data collection and analyses**

160

161 **2.2.1. Step 1: Legislative framework and impacts of climate change - literature review**

162 The scope of the assessment is defined in line with the legislative basis for Forrestdale Lake. In  
163 Western Australia, the Environmental Protection Act (1986) is the legislative act that underpins the  
164 environmental protection of wetlands. According to the EP Act, the 'Ministerial water requirements for  
165 the Gnangara Mound and Jandakot wetlands' (1992) mandates ecological water requirements that  
166 consist of upper and lower thresholds to maintain ecological processes. Protection of biodiversity or  
167 conservation values such as maintaining biodiversity is included in the Conservation and Land  
168 Management Act (1984) and the Wildlife Conservation Act (1950). Large regional wetlands have also  
169 been listed as Ramsar (e.g. Forrestdale Lake) to protect waterbirds (Ramsar 1994) and to protect  
170 migratory birds under several international agreements (JAMBA 1981; CAMBA 1988; ROKAMBA  
171 2006). Protection of nationally and internationally important flora, fauna, and ecological communities  
172 is arranged by the Commonwealth of Australia under the Environment Protection and Biodiversity  
173 Conservation Act (EPBC 1999). The above mentioned Acts and Agreements provide the statutory base  
174 to formulate wetland management plans. A preceding wetland management plan from 1993 for  
175 Forrestdale Lake was updated in 2005 which includes the ecological values of the wetland; proposes  
176 management actions to control invasive species; and mentions the risks of declining water levels  
177 (CCWA 2005).

178 Climate change, via its impact on rainfall and groundwater recharge, is an important regional driver of  
179 wetland hydrology and ecological functions (Eamus and Froend 2006; Barron et al. 2013). Local-scale  
180 hydrologic changes associated with land-use change and groundwater abstraction may also impact  
181 water levels of wetlands. Although, these changes are considered minimal compared to region-wide



182 changes in rainfall and consequently recharge of the aquifer (Townley et al. 1993; McFarlane et al.  
183 2012). There is evidence that climate change is impacting the hydrology of the unconfined aquifer  
184 since the 1970s (Froend et al. 1993; Davis and Froend 1999; Froend and Sommer 2010; Ali et al.  
185 2012) which is likely to continue during the 21st century (Charles et al. 2010; Smith and Power 2014).  
186 In Figure 3 we represent the rainfall decline and population growth of Perth which resulted in growing  
187 water demand while groundwater availability is declining (ABS 2014).  
188 Changes in the hydrology were noticeable from the end of the 1980s after the rainfall reduction in the  
189 1970s. Prior to the 1950's the wetland was classified as a 'groundwater through flow lake', but is now  
190 considered as a 'permanently inundated and perched lake' depending on rainfall and groundwater  
191 (Semeniuk 1987; Hill 1996; Dawes et al. 2009). However, recently a combination of disconnection  
192 from groundwater and decreasing annual rainfall resulted in a lake that is seasonally inundated  
193 (CCWA 2005). In Figure 4 we present a timeline of the legislation framework with key social and  
194 environmental events that have occurred in Forrestdale Lake and its groundwater catchment area.  
195

196 **2.2.2. Step 2: Select objectives and quantify threshold values - literature review**  
197 In the second step, we reviewed the current wetland management strategy for policy objectives,  
198 indicators, and threshold values of the wetland ecological processes. These functions represent the  
199 critical objectives of the wetland management strategy. Certain water depths are needed within a  
200 wetland to sustain a variety of ecological processes (Froend et al. 2004; Eamus and Froend 2006;  
201 Canham 2011; Barron et al. 2013). Therefore we used water depth as a proxy to link ecological  
202 objectives to acceptable thresholds. We identified two pathways within the SES via which water depth  
203 can impact on wetland ecological objectives:

204  
205 1. Water depth may reach levels that are too low:  
206 (a) to maintain sediment processes  
207 (b) to provide habitat needed by waterbirds, frogs, freshwater turtles, and macro-invertebrates for  
208 survival and reproduction  
209 (c) that lead to increasing weed invasion to compromising habitat needed for wading birds



210 (d) that inhibit the growth of mosquitoes and midges  
211 2. Water depth may reach levels that are too low or too high, such that they lead to:  
212 (a) the death of phreatophytic and fringing vegetation.  
213 (b) compromising habitat needed for terrestrial birds and mammals  
214  
215 From the aforementioned pathways, we derived eight critical ecological objectives, see Table 1. The  
216 objectives were taken from the Forrestdale Lake wetland management strategy (CCWA 2005); the  
217 Ministerial water requirements (EPA 1992), and were discussed with two experts from different  
218 management authorities (Department of Parks and Wildlife and Department of Water). For each  
219 ecological objective, minimum water depth requirements were obtained (i.e. threshold) using the  
220 Ministerial water requirements (Table 1). In cases where water level thresholds were not informed by  
221 the Ministerial water requirements, we relied on peer-reviewed literature (See ‘Source’ in Table 1). A  
222 detailed description of necessary conditions can be obtained from previous research (Balla 1993;  
223 Storey et al. 1993; Balla and Davis 1995; Froend et al. 2004; Dale and Knight 2008; Department of  
224 Environment and Conservation 2011). In addition, two expert interviews were conducted to determine  
225 the accepted exceedance frequency and to define threshold definitions that were not informed by  
226 policy or literature. The appraisal of the ecological objectives in Table 1 reveals that 21.6 mAHD is the  
227 minimum threshold for vegetation (Townley et al. 1993), mammals, and terrestrial birds; and 22.0  
228 mAHD is the minimum threshold to maintain waterbirds, freshwater turtles, frogs, and macro-  
229 invertebrates.  
230  
231 **2.2.3. Step 3: Determine ATPs - statistical analyses**  
232 We observed time series of surface and groundwater depths (Site ID 14578 and 12781400) as provided  
233 by the Department of Water’s water information database (DoW 2015). As the lake experienced  
234 hydrological change during the 1990s, the data set was divided into two time periods 1978-1995 and  
235 1996-2012. To evaluate the ecological resilience of the wetland, we assessed when and for how long  
236 the water level in Forrestdale Lake crossed the thresholds. For the calculation of threshold exceedance  
237 we used the observed (historical) time series of water levels to estimate the frequencies of occurrence



238 of threshold exceedance by annual minimum series (Jenkinson 1955). Equation 1 describes the  
239 distribution  $G(x)$  of the magnitude of events  $x$  smaller than a threshold  $x_0$  over a (non)-consecutive  
240 period of time over a period of years  $T$ . Here  $\alpha$  and  $k$  are constants derived from the average highest  
241 and lowest in sets of  $T$  annual minima and the minimum value to be expected once in  $T$  years. To  
242 interpret the occurrence of ATPs in context with the ecological tipping points; we extended our  
243 analyses by comparing the drought frequency, duration and start month for both the pre and post 1995  
244 water-level time series. A dry period was considered when water depth was lower than 21.6m. for 3  
245 consecutive months. We compared the water levels with the available historical ecological data to  
246 make an estimation of the trajectories over time.

247

248 
$$G(x) = 1 - \left[1 - k \left(\frac{x-x_0}{\alpha}\right)\right]^{\frac{1}{k}} \text{ for } k \neq 0 \text{ (eq. 1)}$$

249 **3.0. Results**

250

251 The results are represented in three steps in accordance with our methodology, as per Figure 1. The  
252 first two steps show the results of the literature review and step 3 shows the results from time series  
253 analyses of historical surface and groundwater level data from 1978-2012. From the literature review,  
254 we revealed that protection of the regional important Forrestdale Lake wetland lake is provided by  
255 legislation and policies on different levels and scales (Figure 5). The management of the lake is  
256 therefore organised on different levels of government institutions that have their own scale of  
257 operation (e.g. local council vs. state wide department). Due to the different institutions and their  
258 operational level, the execution of the wetland management strategy is a shared responsibility of all  
259 stakeholders. However, the co-ordination of this strategy is the responsibility of a state-wide operating  
260 institution (Department of Parks and Wildlife). System controls (e.g. policy and legislation) are  
261 mandated on a larger spatial scale, whereas accumulated stressors (e.g. reduced rainfall or lowering  
262 groundwater table) have larger impacts on a lower spatial scale, such as on ecosystem scale or separate  
263 ecological processes of the ecosystem. These noticeable effects are translated by threshold exceedance  
264 of ecological processes.



265 From the extensive variety of policies and legislation in place to protect the ecological values of the  
266 wetland we were able to derive the important socio-ecological objectives for the wetland. For each  
267 objective, we determined the critical water requirement thresholds. Although for our analyses the water  
268 requirement policies did not provide maximum exceedance frequencies (return period) for each  
269 objective. Where return periods for certain objectives in the management strategy were lacking,  
270 stakeholders were able to provide expert knowledge to determine threshold definitions, such as for  
271 drought duration; water availability for birds, and exposure of acid sulphate soils.

272 We found from the expert interviews that legislation and policy aims are a good starting point to  
273 discuss with stakeholders that operate on a state wide scale. These experts represent management  
274 authorities that are responsible for execution of larger scale (top-down) policies and legislation. Data  
275 of monthly observed surface and groundwater levels in the lake were available and publicly accessible  
276 via the State's Data Portal. Groundwater level data is only available from 1997 and surface water  
277 levels from 1952. Surface water level from the start of the observations until 1978 contains many data  
278 gaps to adequately perform ATP analyses.

279 A combination of a review of peer-reviewed literature and government reports provided a complete as  
280 possible overview of ecological studies undertaken in Forrestdale Lake. Data are predominantly  
281 available in government reports rather than in peer-reviewed media. This included data on bird counts,  
282 macro-invertebrates species composition, and vegetation transects. Ecological data is often patchy and  
283 only available for certain time frames in the 1990s and 2000s for Forrestdale Lake when requested  
284 from government departments. Bird counts for the lake have been discontinued since 2009 (DoW  
285 2012) and vegetation transects are not conducted on regular basis as mandated in policy.

286 ATPs were determined by calculating the re-occurring water level depth using the values from Table 1  
287 with Equation 1. The ATP analysis employed here suggests that a drying climate has compromised  
288 four ecological objectives of Forrestdale Lake (Table 2). ATPs occurred after 1995 and threshold  
289 crossings occurred for vegetation and mammals, waterbirds, turtles, macro-invertebrates. Water levels  
290 for remaining objectives are close to exceeding thresholds such as the capacity of the lake to deliver  
291 sediment processes and limiting the risk of oxidation of acid sulphate soils in the lake bed.



292 When the drought frequency and duration are compared for both periods, before and after 1995, we see  
293 major differences (Figure 6). Prior to 1995, no dry periods of 3 consecutive months occurred, however,  
294 the lake did dry completely five times for at least one month. These five occurrences are not  
295 considered as a drought according to our definition of 3 consecutive months. In figure 5 we have  
296 included the dry periods prior to 1995 to compare the duration and start month of each drought. The  
297 drought frequency is 5x before 1995 (definition 1 month/year) and increases to 16x after 1995  
298 (definition 3 consecutive months). From Figure 6 we observe that Forrestdale Lake dried more  
299 frequent than the recommended return period of 1 in 5 years and that each dry period exceeded the  
300 maximum duration of 3 consecutive months. Drying is most frequent in summer months December,  
301 January and February which is in contrary to regulation that drying of the lake should not occur before  
302 May in order to ensure waterlogged lake bed throughout the year and limited water availability for  
303 species.

304 Although there is not enough data to conduct trend analyses we observe a large increase in the  
305 frequency of droughts and duration of each drought after 1995 compared to prior 1995. When we  
306 combine the results from our ATP analyses (Table 2) with the drought analyses (Figure 6), we observe  
307 a regime shift in the ecosystem from a permanently to a seasonally inundated wetland. The effect of  
308 this hydrological shift translates into passing the defined threshold level that is enforced in policy and  
309 leading to an ATP. In Figure 7 we graphically present the minimum thresholds for all objectives; the  
310 water levels from 1978-2012 compared to the initiation of groundwater abstraction; and the  
311 implementation of the water policy requirements.

312 Compared to the implementation date of the water requirements policy in 1992; water level  
313 exceedance for ecological objectives occur in the period after the water policy was implemented.  
314 Between the 1970s and the implementation period of the policy in 1980s no significant research was  
315 conducted on the gradual decline of water levels in the Swan Coastal Plain wetlands. With available  
316 quantitative ecological data on ecological responses we base our representation with stylised lines to  
317 explain individual ecological responses compared to declining water levels from the 1970s. This  
318 representation is a combination of historical data from previous research and information from expert  
319 interviews. The decline of the ecological processes is simultaneous with the increased duration and



320 frequency of dry periods during the 1990s. While minimum water requirements for the wetland were  
321 not updated in the state water requirements policy since its introduction in 1992; existing water  
322 requirements were used in 2005 to determine the current wetland management strategy. After the mid-  
323 1990s we observe that the management does not respond to maintain declining water levels on the  
324 mandated threshold levels.

325 **4.0. Discussion**

326 **4.1. Temporal and spatial hydrological responses in ATP analysis applied to ecosystems**

327 A major gap in the science-policy interface and socio-hydrologic systems literature is: (i) the  
328 identification of inadequate policy to inform managers or policy makers about the durability of an  
329 ecosystem management strategy; (ii) to perform assessments of hydrological variables when data is  
330 lacking. With the ATP methodology presented we have tried to further close this gap in the literature.  
331 The methodology presented, assessed whether a baseline ecosystem management strategy was  
332 sufficient to sustain the ecological resilience of the ecosystem. Our ATP framework assesses resilience  
333 of the hydrological system across spatial and temporal scales by (Zevenbergen et al. 2008): (i) the  
334 amount of reaction of the ecosystem; (ii) the temporal scale and ecosystem responses to increased  
335 perturbations; and (iii) the recovery rate or by a shift from a desirable stable state to an alternative and  
336 undesirable stable state with limited ecological processes.

337 The observed climatic shift by the end of the 1960s and early 1970s in south-west Western Australia  
338 (Verdon-Kidd et al. 2014) follows the stepwise decreasing rainfall trend in our hydrological time  
339 series. We observe a hydrological response in the 1990s with shorter periods of inundation and ATPs  
340 occurring simultaneously in the same time period. Other studies explain this hydrological shift from  
341 permanent to intermittent water availability in the lake by decreased surface water availability due to  
342 lower rainfall (Eamus and Froend 2006; Davis and Brock 2008; Dawes et al. 2009; Maher and Davis  
343 2009). Our observations of consistent reductions of water levels result in more frequent, prolonged dry  
344 periods. Studies confirmed that a significant reduction in water levels for consecutive years could  
345 threaten the regional function of wetlands to sustain multiple ecological functions (Froend et al. 2004;  
346 Davis and Brock 2008; Maher and Davis 2009).



347 The analysis points to an ineffective water requirements policy as water levels are exceeded for four of  
348 the eight ecological functions. Thresholds were crossed in the 1990s which occurred simultaneously  
349 with the observed hydrological response. The main ecological processes depend on waterlogged soils  
350 during low water availability but are at increasing risk when the lake bed dries completely over  
351 summer. Late drying of the lake does imply a lack of surface water availability for species that have a  
352 limited action radius to alternative habitats, such as macrophytes, freshwater tortoises, frogs, and  
353 macro-invertebrates. Our study did not include the investigation of ecological responses. However, the  
354 hydrological change and ATPs are followed by declining trends in the ecology that was showed in  
355 more recent studies through:  
356 - increasing weed invasion and exotics establishing in the understory and deterioration of fringing  
357 vegetation (Froend et al. 2004; Davis and Brock 2008)  
358 - a gradual declining trend in the species numbers and composition of macro-invertebrates (Balla and  
359 Davis 1995; Maher and Davis 2009; Sommer and Horwitz 2009).  
360 - decreasing numbers of birds from over 20.000 birds in the 1980s (Storey et al. 1993; Maher and  
361 Davis 2009) to just over 10.000 birds in 2009 (Bamford et al. 2010).  
362  
363 Literature describes the responses of ecosystems after perturbations and the shifts that could occur  
364 likely to shift from a desirable higher stable alternative state into a undesirable lower alternative stable  
365 state with high resilience and reduced ecological processes (Scheffer et al. 2001; Folke et al. 2004;  
366 Folke et al. 2005). Due to a lack of data to determine shifts between multiple or alternative stable states  
367 (Capon et al. 2015); our analyses combines rapid hydrological processes and slow response of  
368 ecological processes such as vegetation (Sivapalan and Blöschl 2015) under the influence of an  
369 external boundary condition (lower rainfall due to climate change). Different to regime shifts in the  
370 natural system that trigger a shift in the social system to restore environmental degradation (Elshafei et  
371 al. 2016); a gradual transition appears not to trigger management interventions to maintain the rapid  
372 processes in an ecosystem. The understanding of scale and level of policy and legislation that provide  
373 the legislative framework of management practises is critical, since this could enhance or constrain the  
374 necessary shift in the social system.



375 **4.2. Informing ecosystem management**

376 The presented framework provides in an early stage guiding principles to existing ecosystem  
377 management strategies when these are ineffective. The ineffectiveness of current policy and  
378 management were also shown in flood risk studies (Lavery and Donovan 2005; Reeder and Ranger  
379 2011), flood mitigation under climate change (Gersonius et al. 2012), for river restoration (Bölscher et  
380 al. 2013) and for the impact of the hydrological regime of a river on salmon re-introduction and  
381 shipping (van Slobbe et al. 2016). Central in these studies is to determine *when* and *how much* action is  
382 needed to determine alternative management strategies (Sivapalan and Blöschl 2015) In the interest of  
383 decision makers or managers ATPs are used as a starting point to explore adaptation measures that  
384 adequately resolve the critical adaptation tipping point (Hanger et al. 2013) when quantitative data is  
385 not readily available to support a complex model with predicted feedback mechanism in the socio-  
386 environmental system (Sivapalan et al. 2012; Di Baldassarre et al. 2013; Elshafei et al. 2014; Di  
387 Baldassarre et al. 2015). However, rather than substituting existing quantitative assessments in the  
388 socio-hydrology; the outcomes of an ATP analyses provide better understanding of the role of  
389 individual processes before making more complex models (Hipsey et al. 2015) and highlights the  
390 potential dynamics of scale of legislation, policy and interaction of management authorities in the  
391 hydrological system.

392 To adequately inform existing management practices, we first consider the whole set of clearly stated  
393 objectives in a management strategy without prioritising or aggregating these. As a result, we provide  
394 the alternative states of ecological processes within the spatial and temporal scales of processes and  
395 governance systems (Niemi and McDonald 2004). Studies showed that introducing multiple  
396 management aims overcomes a focus on separate ecological objectives that lead to a lack of  
397 quantitative boundaries or thresholds for acceptable ecological change (Hallegatte 2009; Kwadijk et al.  
398 2010; Haasnoot et al. 2012; Werners et al. 2013). Studies showed that when defined threshold levels  
399 along an environmental gradient are passed which are enforced by law (Walker and Meyers 2004); not  
400 all ecological processes would show a direct decline of species or shift in species composition. From a  
401 management perspective reversing the ecosystem to a stable state with adequate ecological processes  
402 involves measures that need to be far enough to reverse the conditions the ecosystem (Scheffer et al.



403 2001). Therefore, informing decision-makers at an early stage prevents costly measures to reverse the  
404 system.

405 Secondly, in the absence of clearly defined thresholds our framework provides active involvement of  
406 the management authorities (Haasnoot et al. 2012; Haasnoot et al. 2013) from a multi-purpose  
407 perspective (van Slootbouw et al. 2016). The ATP analyses stimulate stakeholders to look at the durability  
408 of their approach (Kwadijk et al. 2010). Continuous improvement in the processes of adaptive  
409 management is an ongoing challenge. Studies demonstrate frameworks for collaborative research in  
410 the science-policy interface across several scales (Mitchell and Hollick 1993; Davis et al. 2015).  
411 Threshold definitions for management approaches also reflect the ideas of multiple management  
412 authorities when management practices need to be updated. Without a coupled system there is still  
413 potential to provide insight into the impact of management interventions by capturing the combined  
414 measures to adapt the current strategy.

415

#### 416 **4.3. Adapting management strategies**

417 For effective governance developing a better understanding of climate and hydrological impacts is  
418 required (Davis et al. 2015). With the involvement of stakeholders in our assessment we can account  
419 for the exploration of future hydrological events and provide decision-makers time periods for when  
420 the expiry of current policies occur. The ATP assessment includes the option to identify measures and  
421 for adequate governance decisions, further exploration of adaptation measures under future climate  
422 scenarios needs to be investigated. This could include: 1) physical/engineered measures; 2) adoption of  
423 new or amended policy instruments; 3) adoption of policy strategies (combination of options 1 and 2);  
424 or 4) implementation of an adaptation strategy (Folke et al. 2005; Nelson et al. 2007; Kwadijk et al.  
425 2010). Critical to successful adaptation requires understanding the scale and level of implementation of  
426 existing policies, legislation or management strategies that are often barriers to local scale adaptation.  
427 Our ATP analysis shows that the ecosystem management strategy is not designed to cope with current  
428 hydrological variation. The application of the proposed methodology is adequate for ecosystems:  
429 without clear boundary conditions and defined thresholds; external drivers that cause regime changes  
430 over time; and a rapid assessment is required to provide overview of *when* management strategies are



431      ineffective and to *which* failing objectives interventions can be taken. However, the limitations of the  
432      study include the effects of multiple stressors on the system; a limited focus on new strategies; and  
433      including objectives or thresholds that change over time due to socio-economic changes.

434      **5. Conclusion**

435

436      The extended ATP method presented in this paper provides a combination of a qualitative and  
437      quantitative analysis of datasets of a wetland ecosystem. We applied the concept of 'adaptation tipping  
438      points', to identify when management response became inadequate to prevent decline in ecological  
439      integrity. Through a combination with conceptual and visual representation of the ecological processes  
440      we proved to be able to identify major trends and transitions in the system in the presence of strong  
441      driver of change and variable hydrological conditions.

442      This approach was useful to determine the effectiveness of an ecosystem management strategy when  
443      data availability is limited and social-ecological dynamic models to fully assess the tipping point and  
444      potential points for interventions are absent to monitor suitability of management. This study showed  
445      that a lack of data, quantitative boundaries or thresholds to define acceptable ecological change can be  
446      overcome by inclusion of pre-existing thresholds based on available information about shifts of the  
447      wetland's hydrological regime. This included information about unacceptable adverse ecological  
448      changes to the unique set of identifiers, and the input of expert knowledge to determine the critical  
449      wetland objectives and thresholds for wetland management. We showed in an early stage information  
450      to stakeholders to determine the effectiveness of existing wetland policy that can be used to adapt or  
451      accept objectives, thresholds; seen in context with ATPs and undesirable ecological changes. With the  
452      absence of SES models the ATPs of underlying ecological processes were seen in relation to  
453      undesirable ecological responses. ATPs could establish a proxy indicator for lag-responses in the  
454      ecology to timely adapt ecosystem management before ecological processes exceed unaccepted levels.

455

456      **Acknowledgements**



457 The authors thank the Department of Parks and Wildlife and the Department of Water that provided  
458 the ecological and water level data of Forrestdale Lake. The RStatistics code to compute the water  
459 level data was provided by Ms. Chrianna Bharat (The University of Western Australia). This research  
460 was conducted within program B4.2 of the Cooperative Research Centre of Water Sensitive Cities.  
461 Amar Nanda would like to acknowledge the PhD scholarship funding provided by the Scholarships for  
462 International Research Fees (SIRF) funded by The University of Western Australia.  
463



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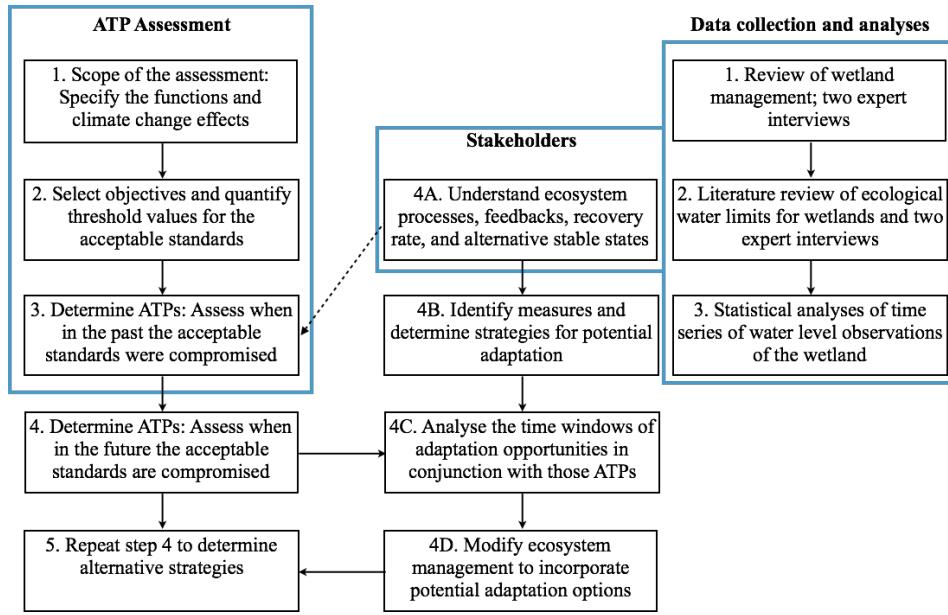
698 Figure 1 The complete Adaptation Tipping Point methodology with an overview of the steps  
699 undertaken in this study (blue boxes) and the according data collection and analyses (Adapted from:  
700 Kwadijk et al. 2010).  
701 Figure 2 Location of Forrestdale Lake (32° 09' 30" S; 115° 56' 16" E) within its groundwater  
702 catchment with the indication of increasing urbanisation in the catchment; the multiple management  
703 authorities; and protection policies (map projection GDA94).  
704 Figure 3 Growing water demand caused by population growth (ABS 2014) in Perth with decreasing  
705 water availability as a result of decreasing rainfall (BoM 2016).  
706 Figure 4 A historical representation of time and scale the traditional human-nature system and water  
707 resources system of Forrestdale Lake with indicated key events of the four subsystems: natural  
708 resources, infrastructure, socio-economics and institution.  
709 Figure 5 Ecological resilience and legislation: across spatial levels, shows large scale impacts through  
710 the catchment that accumulate and result in exceedance of thresholds for ecosystem services.  
711 Figure 6 Comparison of the onset and duration of drought from 1978-2012 at Forrestdale Lake prior  
712 and post 1995. Each bar represents a dry period which is defined as 1 month per year (post 1995) and ≤  
713 3 consecutive months (post 1995).  
714 Figure 7 Ecosystem regime shift on the onset of dry periods with declining water levels and the change  
715 of conditions of ecological processes over time. Incremental management and policy compared to non-  
716 linear ecosystem responses over time are ineffective when sudden changes occur.  
717



718 Table 1 Threshold values for the ecological objectives to determine ATPs for surface water (SW) and  
719 groundwater (GW) levels in (non)-consecutive months, represented as the mean water level in  
720 Australian Height Datum in meters (mAHD).  
721 Table 2 Adaptation tipping points (1 in 5 years exceedance water depth (m) calculated with eq. 1) for  
722 each ecological function of Forrestdale Lake with red indicating an ATP has occurred and green not  
723 occurred.  
724



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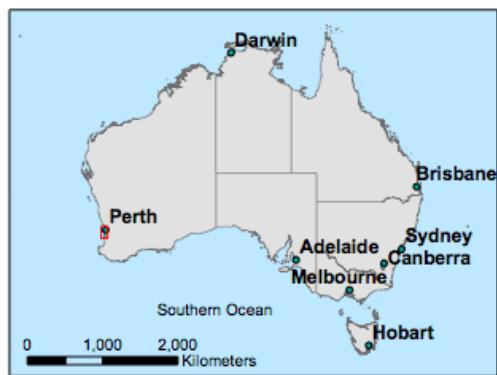
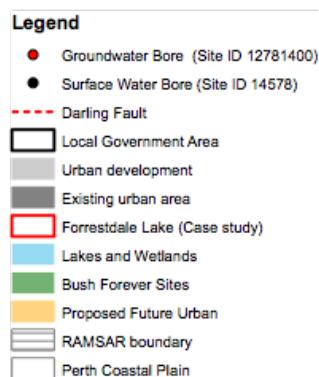
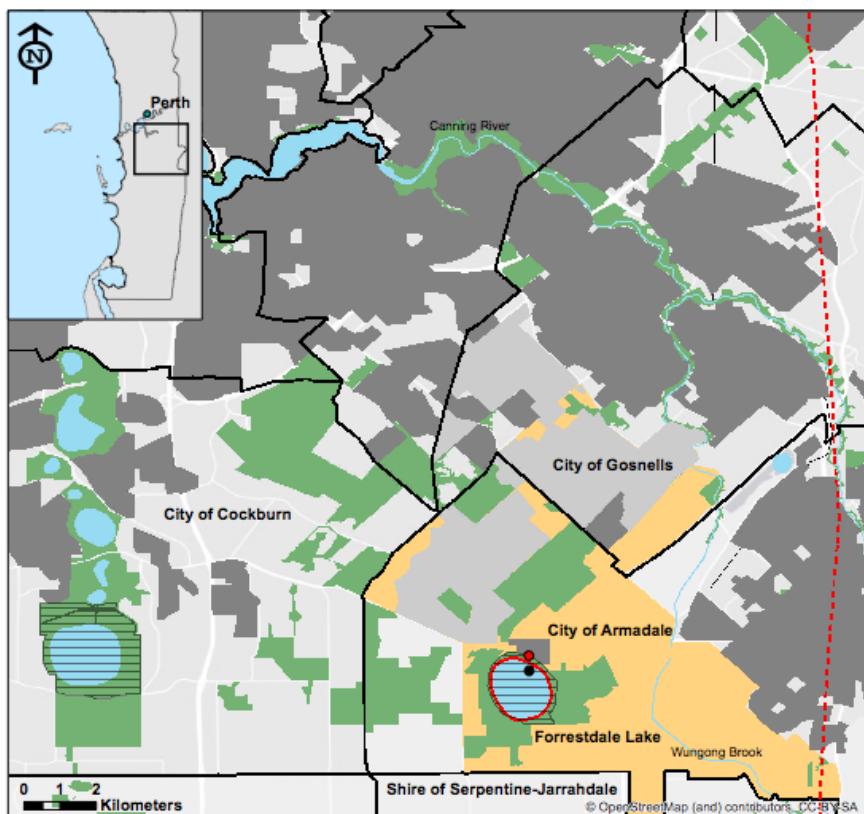


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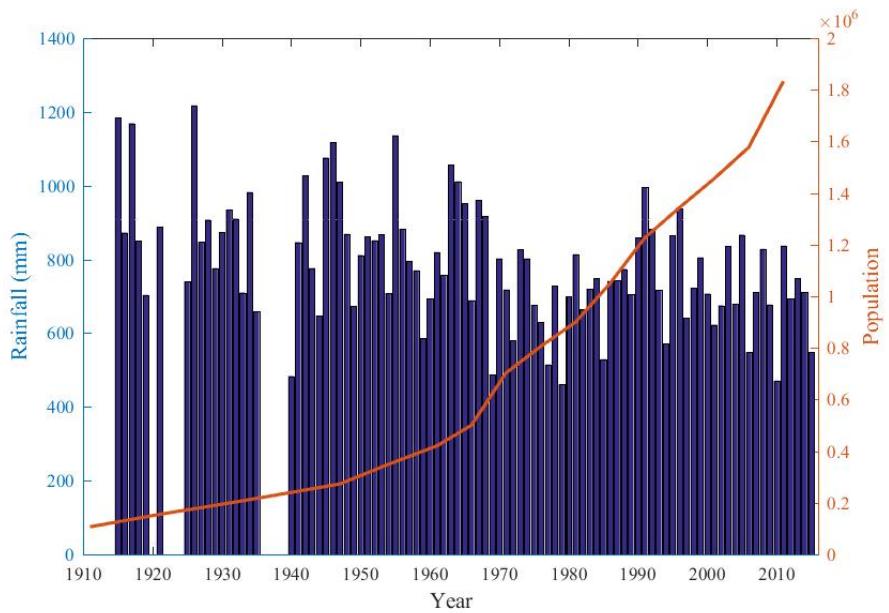
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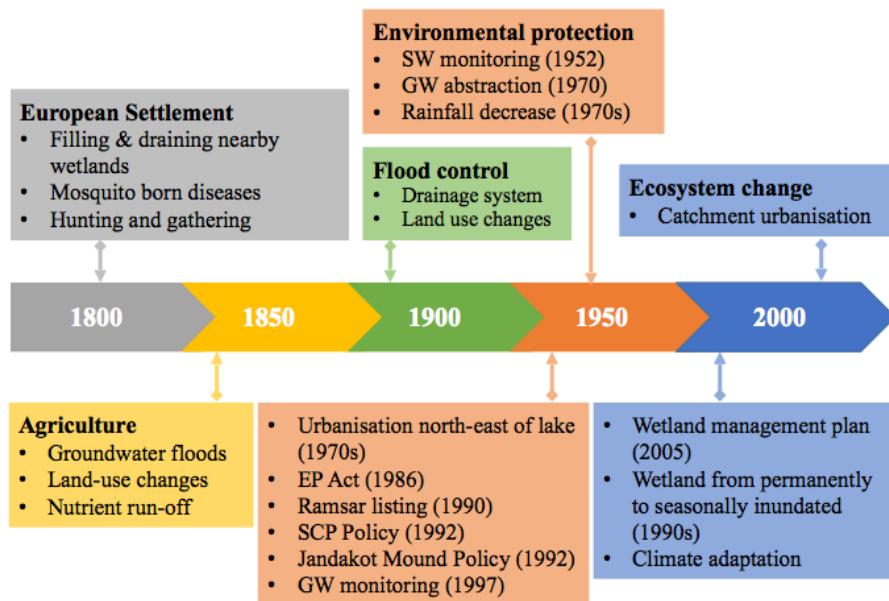
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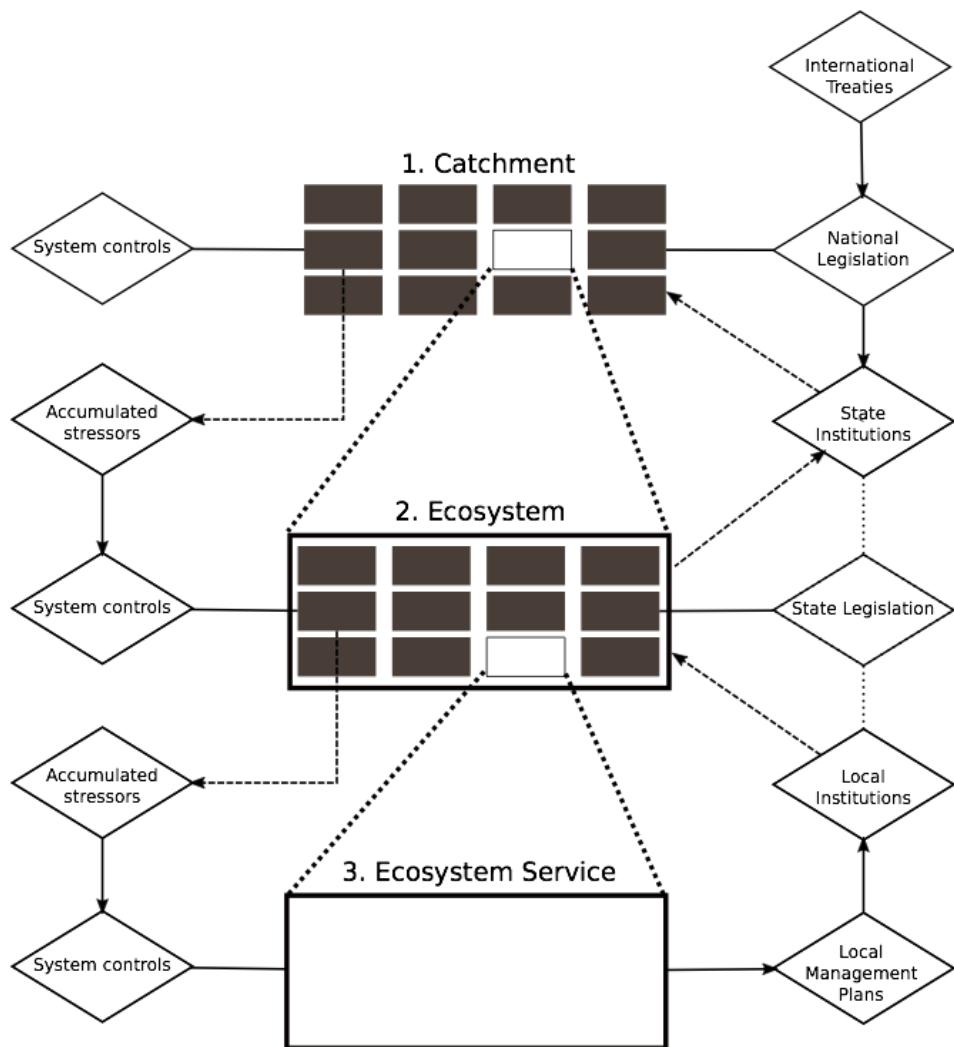
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Ecological objectives	Water level (mAHD)	Threshold definition	Source
1. protect vegetation and mammals; definition of drought	SW < 21.6	3 consecutive months; 1 in 5 years	EPA (1992); Froend et al. (2004); CCWA (2005)
2. prevent mosquitoes	SW < 21.6	1 month per year; 1 in 1 year	CCWA (2005)
3. protect waterbirds	SW < 21.6	6 consecutive months; 1 in 5 years	EPA (1992); Storey et al. (1993); CCWA (2005)
4. protect frogs	SW < 21.6	8 months; 1 in 5 years	Froend et al. (2004); CCWA (2005)
5. protect tortoises	SW < 21.6	3 months; 1 in 5 years	Froend et al. (2004); CCWA (2005)
6. protect macro-invertebrates	SW < 22.0	3 consecutive months; 1 in 5 years	Froend et al. (2004); CCWA (2005)
7. prevent exposure of Acid Sulphate Soils	GW < 21.1	3 consecutive months; 1 in 5 years	Froend et al. (2004)
8. maintain sediment processes	GW < 21.1	3 consecutive months; 1 in 5 years	Froend et al. (2004)

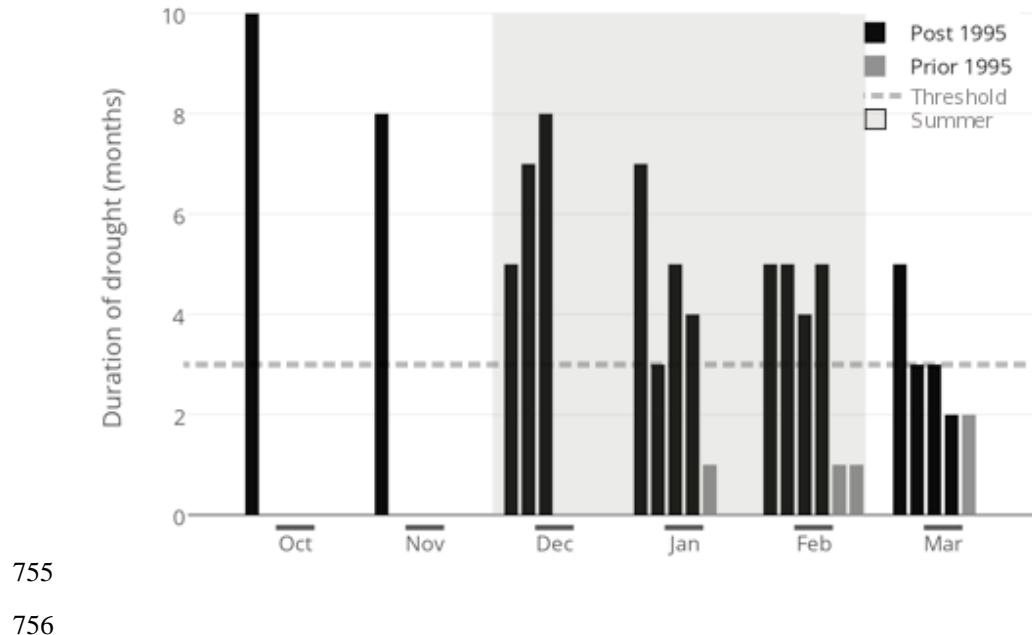
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Ecological objective	Water level (mAHD)		
	Threshold	1978-1995	1996-2012
1. protect vegetation and mammals	SW < 21.6	21.66	21.39
2. prevent mosquitoes	SW < 21.6	21.33	21.41
3. protect waterbirds	SW < 21.6	21.84	21.44
4. protect frogs	SW < 21.6	22.02	21.61
5. protect tortoises	SW < 21.6	21.66	21.39
6. protect macro-invertebrates	SW < 22.0	21.66	21.39
7. prevent exposure of Acid Sulphate Soils	GW < 21.1	21.66	21.39
8. maintain sediment processes	GW < 21.1	21.66	21.39

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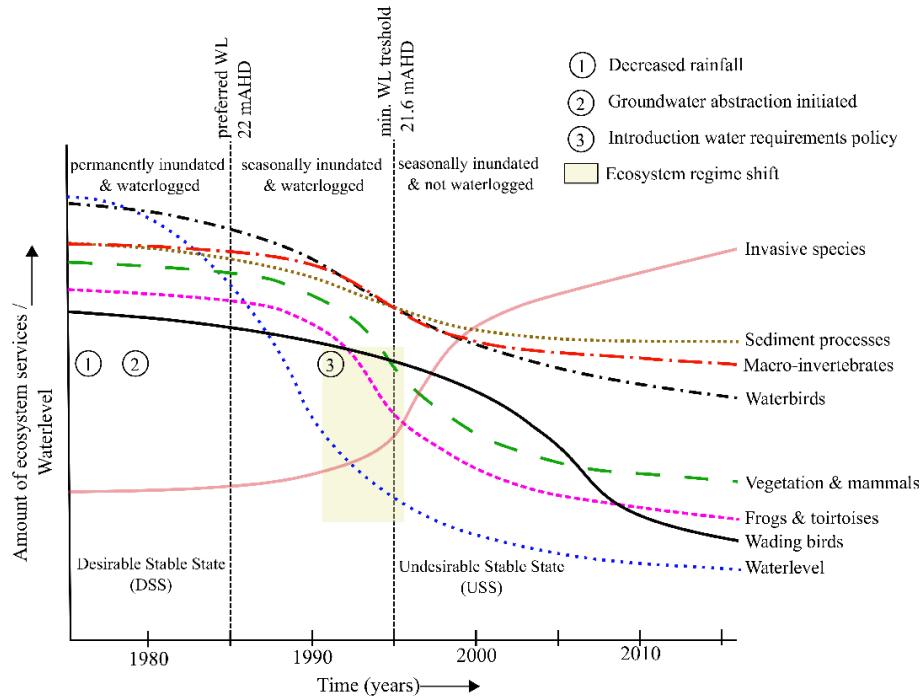


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