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1	Simulation of Surface Fluxes in Two Distinct Environments along a Topographic
2	Gradient in a Central Amazonian Forest using the INtegrated LAND Surface Model
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4	Elisângela Broedel ¹ , Celso von Randow ¹ , Luz Adriana Cuartas ² , Antônio Donato Nobre ⁶ , Alessandro Carioca de
5	Araújo ⁴ , Bart Kruijt ³ , Etienne Tourigny ⁵ , Luiz Antônio Cândido ⁶ , Martin Hodnett ⁷ , Javier Tomasella ¹
6	
7	¹ Earth System Science Center, National Institute for Space Research (INPE), São José dos Campos, Brazil.
8	
9	² Brazilian Center for Monitoring and Warning of Natural Disasters (CEMADEN), São José dos Campos, Brazil.
10	
11	³ Alterra Research Institute, Wageningen University, Wageningen, Netherlands.
12	
13 14	* Brazilian Agricultural Research Corporation (EMBRAPA), Belém, Brazil.
15	⁵ Barcelona Supercomputing Center (BSC), Barcelona, Spain.
16	
17	⁶ Large Scale Biosphere-Atmosphere Experiment in Amazônia (LBA), National Institute for Amazonian Research (INPA),
18	Manaus, Amazonas, Brazil.
19	
20	⁷ Centre for Ecology and Hydrology, Wallingford, Oxfordshire, United Kingdom.
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Abstract

The Integrated Land Surface model (INLAND) land surface model, in offline mode, was 24 adjusted and forced with prescribed climate to represent two contrasting environments along a 25 topographic gradient in a central Amazon Terra Firme forest, which is distinguished by well-26 drained, flat plateaus and poorly drained, broad river valleys. To correctly simulate the valley 27 area, a lumped unconfined aquifer model was included in the INLAND model to represent the 28 water table dynamics and results show reasonable agreement with observations. Field data 29 30 from both areas are used to evaluate the model simulations of energy, water and carbon fluxes. The model is able to characterize with good accuracy the main differences that appear 31 in the seasonal energy and carbon partitioning of plateau and valley fluxes, which are related 32 to features of the vegetation associated with soils and topography. The simulated latent heat 33 flux (LE) and net ecosystem exchange of carbon (NEE), for example, are higher on the 34 plateau area while at the bottom of the valley the sensible heat flux (H) is noticeably higher 35 than at the plateau, in agreement with observed data. Differences in simulated hydrological 36 fluxes are also linked to the topography, showing a higher surface runoff (R) and lower 37 38 evapotranspiration (ET) in the valley area. The different behavior of the fluxes on both annual



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and diurnal time scales confirms the benefit of a tiling mechanism in the presence of large
contrast and the importance to incorporate subgrid-scale variability by including relief
attributes of topography, soil and vegetation to better representing *Terra Firme* forests in
land surface models.

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Key words: Landscape heterogeneity; plateau; valley; land surface model; water balance;
carbon balance; central Amazon; *Terra Firme* forest soil and vegetation parameters.

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47 **1. Introduction**

The Amazon Rainforest, which has an area of more than 6.3 million km², contains 48 approximately 50% of the world's tropical forests (Pan et al., 2011). The region is a mosaic of 49 landscapes which are traditionally divided into two major forest types: inundated (over 50 alluvial terrain) and non-inundated upland (Terra Firme) forests (Prance et al., 1979). Terra 51 52 *Firme* forests are found in well-drained areas with an elevation lower than 100 m; these forests cover a high percentage of the Amazon. In the central region, for example, Terra 53 Firme forests represent 80% to 90% of the area (Ayres, 1995, Hess et al., 2003) or perhaps 54 more (Anderson et al., 2009). Furthermore, these forests contain approximately 74% of the 55 terrestrial biomass in the Brazilian Amazon basin (Vieira et al., 2004), including complex 56 strata of emergent trees, canopy trees, understory trees, understory shrubs, saplings, seedlings, 57 herbs and ferns. When viewed on a large scale, Amazonian Terra Firme forests appear to 58 form a homogeneous plain that is structurally uniform. However, when analyzed at a smaller 59 scale (spatial scales on the order of tens of meters to a few kilometers), there are diverse 60 environments that are determined by topography, soil type and soil moisture regime that are 61 often masked by dense forest cover (Nobre et al., 2011). In the central Amazon, a relatively 62 small area of *Terra Firme* forest usually comprises the topographic gradient of the plateau, 63 slope and valley environments (Chauvel et al., 1987; Araújo et al., 2010; Hodnett et al., 1997, 64 Nobre et al., 2011; Rennó et al., 2008). These environments are characterized by a high 65 variability in species composition, which is at odds with the homogeneous appearance found 66 in satellite images. The plateaus are approximately 50 to 90 m higher than the valley bottoms, 67 with moderately steep slopes between them. The valley bottom areas are swampy, with pools 68 of water and small streams which are locally known as Igarapés (Chauvel et al., 1987). 69 Groundwater from beneath the plateau and slopes continuously flows to the valley where it 70



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71 maintains the water table close to the surface, even in most dry seasons (Hodnett et al., 1997). Using this elevation range as a threshold, Anderson et al. (2009) found that 17% of the Terra 72 73 *Firme* forests in this landscape can be considered a valley vegetation type. According to Nobre et al. (2011), in the central Amazon, which is representative of other extensive areas in 74 Amazonia, the valley forest environment covers 26.2% of the area, whereas the slope and 75 plateau forests occupy 30.7% and 43.1%, respectively. Miguez-Macho and Fan (2012) found, 76 using a numerical model, that the water table exists between the surface and a depth of 2 m 77 across 20 - 40% of the area of the Amazon throughout the year. 78

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The local topographic heterogeneity is an important factor that governs the diversity of 80 elements comprising the landscape in Terra Firme forests (Liberman et al., 1985; Takyu et 81 al., 2002). This topographic heterogeneity exerts a significant influence on soil composition 82 and the distribution of vegetation (Pelissier et al., 2001). The type of vegetation in each 83 topographic zone is associated with differences in leaf area index, which influences the 84 radiation balance and the gas and energy exchange between the plant environment and the 85 atmosphere (Araújo, 2009; Leitão 1994; Oliveira, 2010). Thus, the topographical 86 heterogeneity is also associated with carbon exchange changes between the forest and the 87 atmosphere (Araujo et al., 2008). The topography also affects the water content and the water 88 dynamics of the soil (Tomasella et al., 2008; Ferreira et al. 2002) and plays a decisive role in 89 the establishment of areas influencing water table variations (Cuartas et al., 2012; Nobre et 90 al., 2011). These factors affect the infiltration process and runoff as well as the amount of 91 water available in the soil for extraction by plant roots, which is associated with the 92 transpiration process (Tomasella et al., 2008). The topographic effects on hydrology, soils and 93 vegetation described here are certainly not due the topography per se, but to environmental 94 conditions defined by the topography. Although these fluxes can be quantified through land 95 surface models (LSMs) or soil-vegetation-atmosphere-transfer models (SVATs), their coarse 96 grid resolutions and simplified parameterizations of subgrid-scale heterogeneity induce errors 97 98 in the modeled mean fluxes due to the strong nonlinearity and fine-scale heterogeneity of land surface processes. There are still no studies that explicitly incorporate subgrid-scale 99 variability by including relief attributes (topography), soil and vegetation to better represent 100 101 Terra Firme forests in these models. Developing models with this perspective will allow progress in the representation of the Amazon basin at the large scale within integrated Earth 102 System models. However, to better understand its role in the global climate and how each 103 topographical type is associated with the effects of vegetation, soil and soil moisture 104



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105 dynamics on the regional water budget, a better understanding of smaller-scale features is needed. The main objective of the present work was to determine and characterize the 106 107 necessary components (including soil, vegetation and hydraulic) of the Integrated Land Surface (INLAND) model to simulate the plateau and valley environments in a primary forest 108 in the central Amazon. To assess the quality of the model, we evaluated the surface fluxes 109 over a plateau and valley and compared them against in situ observations. We hypothesized 110 that the model would simulate the differences in fluxes between the plateaus and valleys 111 because the energy exchange dynamics of these ecosystems are different due to the large 112 diversity in their surface characteristics. 113

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115 2. Materials and methods

116 a. Study area description

The study area is an instrumented hydrological catchment of 6.37 km² (54° 58'W, 2° 51'S) 117 that is located in the Cuieiras Biological Reserve, also known as ZF2, which belongs to the 118 National Institute for Amazon Research (INPA). The site is 80 km northwest of Manaus in the 119 central Brazilian Amazon rainforest (Figure 1). This site is typical of the topography of much 120 of central Amazonia and the vegetation is typical of undisturbed primary tropical forests or 121 dense Terra Firme forests (Ferreira et al., 2005). The climate in central Amazonia is tropical 122 rainforest climate (Af) according to the Koppen classification (Alvares et al., 2014), with 123 monthly average temperatures between 25 °C in July and 27 °C in November and an average 124 relative humidity that exceeds 80% (Leopoldo et al., 1987). The mean annual rainfall is 125 approximately 2000 mm, although it can vary from 1400 to 2800 mm. The rainy season is 126 from November to May, and a dry (or less wet) season occurs from June to October. 127 Approximately 73% of total precipitation, falls during short, heavy rainfall events (Leopoldo 128 et al., 1987). 129

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The site area is a headwater catchment consisting of plateau areas that vary in height between 90 and 110 m above sea level (asl) and are strongly incised. The slopes are steep, with a concave form leading to rather broad, flat valley bottoms (much narrower at the head) at 40-60 m asl. Over the 6.37 km² catchment area, the valley bottoms occupy 43% of the surface, and the slope and plateau areas occupy 26% and 31%, respectively (Nobre et al., 2011). The vegetation cover on the plateau and slope areas is composed of tall and dense *Terra Firme* (non-flooding) tropical forest, with canopy heights varying between 30 to 44 m. On the valley





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138 floor, the vegetation cover is less dense, with canopy heights from 15 to 25 m (Oliveira et al., 2002). The soil composition is strongly controlled by the topography and can be classified 139 140 into three dominant types along the topographic gradient: clayey Oxisols on the plateaus (Yellow Latosols in the Brazilian system), transitioning to less clayey Ultisols on the slopes 141 (Podzol in the Brazilian system) and ending with the sandy Spodosols on the valley bottoms 142 (Chauvel et al., 1987). These soils are typically acidic and very low in nutrients such as 143 phosphorus, calcium, and potassium (Bravard and Righi, 1989). In the valley bottoms, the 144 water table remains near the surface for most of the year, often up to 100 m from the stream, 145 and these areas often contain swampy pools. A more detailed description of the site can be 146 found in Araújo et al. (2002), Waterloo et al. (2006), Cuartas et al. (2007), Luizão et al. 147 (2004) and Chambers et al. (2004). 148

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Figure 1. Location of the study area in in the Cuieiras Biological Reserve, Manaus, Amazonas, Brazil. Source: Adapted from Cuartas et al. (2012)

154 *b. Site measurements*

The datasets used in this study include meteorological and hydrological data which were provided by the Large-Scale Biosphere-Atmosphere (LBA) Experiment in the Amazon (Figure 2). The meteorological data were obtained from two micrometeorological eddy flux towers located 600 m apart: one on the plateau and the other on the valley bottom, with an elevation difference of approximately 60 m. The temporal resolution of the data used in this study is 60 minutes. The plateau tower (known as K34, 2° 36'32.67"S, 60° 12'33.48"W, 54 m asl) provided the data for this study from January 2000 to December 2011, including air





temperature (°C), precipitation (mm), downward shortwave and longwave radiation (W m⁻²), wind speed (m s⁻¹), relative humidity, carbon dioxide (CO₂, μ mol m⁻² s⁻¹), and latent (LE, W m⁻²) and sensible (H, W m⁻²) heat fluxes. The valley bottom tower (known as B34, 2° 36'09.8"S, 60° 12'44.5"W, 42 m asl) provided data from January 2006 to December 2006, including CO₂ and LE and H fluxes. All measurements were made from the top of the towers. More details about the K34 and B34 tower instrumentation and the measured variables can be found in Araújo et al. (2002) and Araújo (2009).

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The hydrological data obtained was the soil water content in the plateau and stream discharge 170 and water table level in the valley. The soil water content (m³ m⁻³) was obtained using two 171 instruments: neutron probe (Didcot Instrument Co., Burwell, Cambridge, UK) and time 172 173 domain reflectometry - TDR (Campbell Scientific Inc. CS615, Logan, Utah, USA), which are both located on the plateau, approximately 30 m from the K34 meteorological tower (Figure 174 175 2). Neutron probe measurements were obtained from 3 access tubes (T1, T2 and T3) installed from the surface to a depth of 4.8 m, with weekly or biweekly measurements from December 176 2001 to December 2006 (Cuartas et al., 2012). The soil water content was also obtained from 177 TDR sensors between depths of 0.8 to 8.0 m, which were installed along the walls of a lined 178 15 m shaft, with continuous data recording at hourly intervals between January 2003 and 179 February 2006 (Broedel et al., 2017). Furthermore, water table depth (m) and stream 180 discharge (m³ s⁻¹) data were used for the study area during the period from July 2002 to 181 October 2006. The stream discharge (m³ s⁻¹) was measured using the ultrasonic Doppler 182 technique (Starflow model 6526, UNIDATA, O' connor, Fremantle, Australia) in the valley 183 184 area. The water level and average and maximum discharge velocity data necessary to obtain the stream discharge were logged every 30 minutes and downloaded from a data logger on a 185 186 weekly basis. Details on the derivation of the stream discharge data can be found in Waterloo et al., (2006). The water table depth was measured weekly with 7 piezometers spread over the 187 valley area, consisting of perforated Polyvinyl Chloride (PVC) tubes with a diameter of 5 cm 188 and filters (Eijkelkamp Agrisearch Equipment, Nijverheidsstraat, Giesbeek, The Netherlands) 189 (Tomasella et al., 2008). 190



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192 Figure 2. Topographical gradient in a tropical rain forest in the central Amazon and instrumental data. Blue 193 triangle symbols show the height of the water table at the positions of boreholes for monitoring the water table.

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195 d. The INLAND model

Version 1.0 of the INtegrated LAND surface model (INLAND) was used in this study 196 197 (Tourigny, 2014). The INLAND model is a Brazilian development based on the Integrated Biosphere Simulator (IBIS) model (Foley et al., 1996; Kucharik et al., 2000), including all of 198 199 its main features, using a modular, physically consistent framework to perform integrated simulations of water, energy, and carbon fluxes, but with improvements to simulate particular 200 201 tropical processes. Here we discuss the components of INLAND that are most relevant to the focus of this paper. The model consists of four component modules organized with respect to 202 their characteristic temporal scales: a land surface module (minutes to hours), a vegetation 203 phenology module (days to weeks), and carbon balance and vegetation dynamics modules, 204 which both have a temporal scale of years. The land surface module of INLAND is taken 205 from the second-generation LSX model (Pollard and Thompson, 1995) and includes 6 soil 206 layers (with varying thicknesses) and an upper (trees) and lower (shrubs and grasses) canopy. 207 Plants are represented by 12 plant functional types (PFTs), each with distinct carbon pools for 208 leaves, stems and roots. The Amazon basin in INLAND model is predominantly represented 209 by the tropical broadleaf evergreen tree PFT. The soil module in INLAND simulates soil 210 temperature, water content, and ice content (when required) in each of the 6 soil layers and 211 212 solves the θ -based form of the Richards equation, where the soil moisture change in time and space is a function of soil water retention curve, soil hydraulic conductivity, upper and lower 213 boundary conditions and plant water uptake. The plant root-water uptake (represented by a 214 sink term in the macroscopic Richard's equation) is a function of atmospheric demand, soil 215





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physical properties, root distribution, and soil moisture profile (Kucharik et al., 2000).The
drainage from the bottom soil layer is modeled assuming gravity drainage and neglects
interactions with groundwater aquifers.

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Energy balance in the INLAND model is separately calculated for each surface type. Under 220 steady-state conditions, the balance between incoming and outgoing radiation or net radiation 221 at the surface (Rn) must equal the sum of sensible (H), latent (LE) and ground (G) heat fluxes, 222 heat storage within the vegetation canopy (S) and the sum of additional energy sources and 223 sinks (Q). Both S and Q are frequently neglected because of their small magnitudes. Net 224 radiant energy is partitioned between latent, sensible and ground heat fluxes following a 225 Penman-Monteith approach (for details see Monteith, 1965). The model calculates the 226 canopy-level surface carbon balance through the flow of carbon between the atmosphere and 227 plants, which is also known as the net ecosystem exchange (NEE). Negative NEE values 228 correspond to the net carbon uptake by the land surface. The NEE is computed as the 229 difference between heterotrophic respiration (Rh) and net primary productivity (NPP). NPP 230 231 refers to the carbon that remains stored in plants after taking up CO_2 from the atmosphere during gross primary productivity (GPP) and that has partially respired back through 232 autotrophic respiration (Ra). Leaf-level photosynthesis in the model is determined by the 233 234 formulations of Farquhar (Farquhar et al., 1980). In these formulations, the photosynthesis rates are a function of absorbed light, leaf temperature, CO₂ concentration within the leaf, and 235 the Rubisco enzyme capacity of photosynthesis. The stomatal conductance is dependent on 236 the photosynthetic rate, CO₂ concentration, and water vapor concentration (Foley et al. 1996). 237 The current version of the model uses a single-leaf photosynthesis approach, and the coupling 238 239 between photosynthesis and canopy conductance is based on vapor pressure deficit (Leuning, 1995). 240

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242 e. Development of an unconfined aquifer model

The INLAND model does not explicitly represent water table dynamics. Instead, the lower boundary condition is allowed to vary from 100% free drainage to zero flux (based on an empirical coefficient ranging from 0 to 1). This means that the model can be applied to a plateau environment, although it cannot correctly simulate a valley environment where the water table remains at or very close to the soil surface for much of the year. Consequently, we incorporated into the INLAND model a lumped unconfined aquifer model developed by Yeh





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and Eltahir (2005 a, b), in which the water table is interactively coupled to the soil column
through the soil drainage (groundwater recharge) fluxes. All processes within INLAND,
except for those computing the soil moisture, were preserved with the original IBIS equations.
The lumped water balance equation for an unconfined groundwater aquifer can be written as
follows, according to Yeh and Eltahir (2005 a):

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$$S_{y}\frac{dH}{dt} = I_{gw} - Q_{gw}$$
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where Sy (dimensionless) is the specific yield of the unconfined aquifer, H (m) is the water 256 table level above the datum, Igw (mm day⁻¹) is the groundwater recharge flux, which is the 257 flux at the interface between the unsaturated and saturated zone, i.e., the water table, and Qgw 258 (mm day⁻¹) is the groundwater discharge to streams (i.e., groundwater runoff). For the sandy 259 soils typical of the valleys in the central Amazon rainforests, the value of Sy was specified as 260 0.265, which is based on specific yield data compiled by Johnson (1967). Yeh and Eltahir 261 (2005a) identified a strong nonlinear relationship between the baseflow discharge and water 262 table depth. A regression analysis was performed on 5 years of baseflow discharge and water 263 level data from our study site; this analysis indicated a similarly strong relationship (Eq. 4), 264 with a correlation coefficient of 0.85 (Figure 3). The baseflow was separated from the daily 265 discharge using a digital recursive filter technique (Lyne and Hollick, 1979). This method has 266 been widely used for the continuous partitioning of streamflow discharge between surface 267 runoff and baseflow because it is fast, efficient, reproducible and objective (e.g., Furey and 268 Gupta, 2001; Nathan and McMahon, 1990 and Lo et al., 2008). Yeh and Eltahir (2005 a) 269 showed that a digital recursive filter can have a high coefficient of determination (i.e., R^2) of 270 0.84, while Arnold and Allen (1999) found a coefficient of determination of 0.86. 271

$$Q_{gw} = \frac{0.5156}{(D_{gw})^{0.796}} - 1.4$$
 (2)

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The groundwater model represented by Eq. (3) and Eq. (4) was interactively coupled with the soil model in INLAND such that the total length of the active unsaturated soil column varied in response to the water table depth fluctuations by keeping the number of unsaturated layers variable.









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Figure 3. Regression analysis, including water table depth versus monthly baseflow, from July 2002 to October
 2006 in the valley study area.

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The INLAND model includes a non-linear root water extraction scheme to describe the 282 impact of soil water stress (Folley et al., 1996), in which potential transpiration is first 283 distributed over the rooted zone and then reduced to actual root-water-uptake by a soil water 284 285 stress reduction function. However, after incorporating the unconfined aquifer model into INLAND to represent the water table dynamics of the valley site, it was also important to 286 represent the stress due to saturated (or waterlogged) conditions, which lead to oxygen 287 deficiency (hypoxia) in the soil. These conditions cannot be ignored because they influence 288 plant survival, growth and functioning at the valley sites (Pezeshki and DeLaune, 2012). We 289 290 used the linear function presented by Feddes et al. (1978) to describe the soil water uptake reduction caused by root oxygen deficiency, which is added to Folley function (Figure 4). 291 292 Under optimal moisture conditions, the maximum possible root water extraction rate integrated over the rooting depth is equal to the potential transpiration rate, whereas, under 293 non-optimal conditions (i.e. when the soil is either too dry or too wet) the root water 294 extraction rate may be reduced, causing a reduction in transpiration (Figure 4). For saturated 295 conditions, the reduction of root water uptake occurs between 0.83 - 1.0 degree of soil 296 297 saturation, which leads to a reduction of 0-17% in the plant transpiration.







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Figure 4. The general shape of root water extraction from Folley et al. (1996) and Feddes et al. (1978) for dry and wet conditions, respectively.

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303 f. Experimental design

All of the simulations reported here in were run with the single-point off-line version of the 304 model (0D, uncoupled from a Global Circulation Model or GCM) with the CO₂ concentration 305 set to a constant value of 400 parts per million (ppm). INLAND was forced using the 306 observed hourly meteorological data collected at the K34 tower for 12 full years, from 307 January 1, 2000, to December 31, 2011. For the plateau simulations, the top-to-bottom 308 thicknesses of the 6 soil layers in INLAND were set to 0.20, 0.30, 0.50, 1.0, 2.0 and 4.0 m, 309 resulting in a total depth of 8 m. For the valley, the total profile depth was set to 4 m, with 310 layer thicknesses of 0.10, 0.20, 0.30, 0.40, 1.0 and 2.0 m from the top to the bottom. We 311 conducted two sets of simulations, with a time step of 60 minutes, for each location (plateau 312 and valley); in the first set of experiments, to avoid additional complexity, the vegetation was 313 set to fixed, or "static", in the INLAND vegetation dynamics module. Thus, no change in the 314 315 stand structure was assumed to occur during the simulation period. For simplicity, we used the same forcing data for the spin-up period, which is a preliminary simulation to equilibrate 316 the model parameters, such as soil temperature and soil moisture. The spin-up in our 317 simulations was done for duration of 60 years, initialized on January 1, 1940 and was 318 319 discarded in the analysis. In the second set of experiments, the dynamic vegetation routine was employed in order to evaluate changes in vegetation cover (biomass stocks) and carbon 320 fluxes (productivity) between the plateau and valley after 100 years of integration (from 321





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322 January 1, 1900 to December 31, 1999). This approach consists of two different sets of simulations for both the plateau and valley environments: a dynamic vegetation run, which 323 324 starts from initial vegetation similar to the current tropical forest biome or *dynamic vegetation* 1 (DV1), and a "cold start" run that starts from bare soil and lets the model build the 325 vegetation cover according to climate conditions or dynamic vegetation 2 (DV2). Plant 326 growth, which results from the assimilation of C through photosynthesis, contributes to the 327 formation of a canopy and is moderated by competition-related mortality. Carbon pools in 328 live and dead biomass and in the soil are continuously updated and provide a "memory" of the 329 state of the system over a series of years to decades (Folley et al. 2000). 330

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In the original OD version of INLAND, the values for the soil hydraulic parameters are 332 obtained from soil texture-based look-up tables commonly used in LSMs, based on pedo-333 transfer functions (PTFs), such as those of Clapp and Hornberger (1978) and Rawls et al. 334 (1982). However, Hodnett and Tomasella (2002) showed that these PTFs, which were 335 originally derived using data from temperate region soils, do not accurately predict the 336 337 properties of tropical soils, particularly Oxisols. Although Oxisols have a high clay content, they have low water availability and highly saturated conductivity; these characteristics differ 338 from temperate clay soils. Therefore, these look-up tables were replaced with hydraulic 339 properties for Oxisols taken from Tomasella and Hodnett (1996), Ferreira et al. (2002) and 340 Marques (2009). On the other hand, hydraulic properties for sandy soils located in valley area 341 was taken from Campbell and Norman (1998) and Verhoef and Egea (2014). Furthermore, 342 different soil hydraulic parameters were used for each layer of the soil profile. The 343 performance of the INLAND model was evaluated by comparing the simulations against the 344 observational data from both the plateau and valley areas, including LE, H, Rn, NEE, soil 345 moisture and water table level; three indices of error statistics were used (Ambrose and 346 Roesch, 1982): bias, coefficient of determination (R^2), and the Root Mean Square Error 347 (RMSE). 348

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350 3. Results and discussion

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a. Representation of water table dynamics in the valley

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After identifying the optimal vegetation and soil parameters set of plateau and valley forest (Table 1), and incorporating the unconfined aquifer model into INLAND to correct represent





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- the valley area, we compared the water table depth (WTD) simulated by model with
- observations, from 2002 to 2006.

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 Table 1. Vegetation and soil parameters used in the INLAND simulations for the Plateau and Valley. The initial values refer to the parameters used by Imbuzeiro (2005).

Parameter	Description	Unit	Initial	Plateau	Valley
Vegetation					
fl	Fraction of overall area covered - lower canopy	-	1.00	1.00	0.90
fu	Fraction of overall area covered - upper canopy	-	0.50	0.50	0.60
dispuhf	Zero-plane displacement height - upper canopy	m	0.70	0.70	0.60
rhoeveg_NIR	Reflectance of an avg leaf/stem near infrared - upper canopy	-	0.400	0.310	0.260
plaievgr	Initial total LAI - upper canopy	m ² m ⁻²	5.00	4.60	4.30
ztop	Canopy height	m	30	35	18
beta1	Parameter for Jackson rooting profile - lower canopy		0.950	0.950	0.940
beta2	Parameter for Jackson rooting profile - upper canopy	-	0.975	0.970	0.965
V _{max}	Maximum Rubisco capacity of the top canopy at 15°C	mol CO2 m ⁻² s ⁻¹	80x10 ⁻⁶	80x10 ⁻⁶	70x10 ⁻⁶
coefm	m coefficient for stomatal conductance relationship	-	8.0	9.0	7.5
tauleaf	Foliar biomass turnover time constant	years	1.01	1.02	1.01
tauwood0	Wood biomass turnover time constant	years	25.0	45.0	35.0
tempvm	Stress maximum coefficient of Vmax	-	3500	6000	4500
tdripu	Decay time of liquid intercepted by leaves - upper canopy	s	7200	16200	10800
tdrips	Decay time of liquid intercepted by stems - upper canopy	s	7200	16200	10800
tdripl	Decay time of liquid intercepted by leaves and stem - lower canopy	s	7200	16200	10800
Soil					
swilt	Wilting point	m ³ m ⁻³	0.272	0.370	0.030
suction	Air entry potential	m_H ₂ O	0.370	0.100	0.050
sfield	Fiel capacity	m ³ m ⁻³	0.369	0.430	0.090
poros	Porosity	m ³ m ⁻³	0.475	0.48; 0.52; 0.52; 0.575; 0.59; 0.595	0.40; 0.41; 0.42; 0.43; 0.44; 0.45
bex	Campbell 'b' exponent	-	7.60	7.6 8.0; 10.0; 11.3; 13.1; 16.5	10.0
hydraul	Saturated hydraulic condutivity	m s ⁻¹	1.66x10 ⁻⁷	9.99x10 ⁻⁶	9.99x10 ⁻⁶ ; 9.99x10 ⁻⁶ ; 9.99x10 ⁻⁶ ; 9.99x10 ⁻⁶ ; 9.99x10 ⁻⁷ ; 9.99x10 ⁻⁸

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In general, the simulations reproduced the observed weekly or biweekly variability in the 362 WTD reasonably well, indicating distinct seasonality and interannual variations over the 363 studied years, with primarily very shallow WTDs in the valley environment (Figure 5a). 364 Seasonal and interannual variability are a response to the seasonality of precipitation at the 365 366 study site (Figure 5b). As soon as the rainy season begins in November, the simulated WTD decreases, with minimum values generally recorded in May, according to observed data. On 367 368 the other hand, the maximum simulated WTD were recorded at the end of the dry season (September-October) or in the early wet season of next year (November), consistent as 369 observed data. The observed data showed two strong drought events with maximum reduction 370 of the depth of the water table, during the period analyzed. In the early wet season of 2003, 371 the water table fell below 0.61 m from the surface (Table 2). This decrease was due to the 372 lower annual precipitation (33% less than 2002), which was associated with the occurrence of 373 a moderate El Niño event that influenced the Amazonian region between the end of 2002 and 374





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2003 (Climanálise, 2003). Previous studies have documented the association between El Niño events and precipitation reduction in Amazonia (Marengo, 2004). In the dry season of 2003, the mean observed values were underestimated by the model by less than 9%. Another drought event occurred two years later, when a significant rainfall deficit resulted in an exceptional drought during the dry season of 2005 (Marengo *et al.*, 2008; Tomasella et al., 2010), with values up to 50% below the climatological mean in August (Figure 5b).

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During this period, the WTD observed fell below 0.54 m from the surface and the model 382 overestimated the observed WTD by approximately 40%. Although WTD showed a larger 383 decrease during the dry season of 2003, the data indicate a higher rainfall deficit in 2005 384 when the total accumulated was only 393.6 mm, about 32% lower than the presented value 385 during the same period in 2003. This result suggest for this year the INLAND model "dried 386 out" more rapidly than indicated by the observations, highlighting the limited capacity of 387 INLAND to reproduce the abrupt change from wet to dry conditions for the studied area. On 388 the other hand, in the normal years the INLAND simulated very well the transition between 389 390 wet to dry conditions, indicating a good agreement with observation data. The minimum WTD simulated was found during the wet season of 2004/2005, about 0.08m, while in the 391 same period the observed data indicated the water table closer the surface at 0.01m. The 392 observed and simulated mean WTD in all periods were 0.21 and 0.22 m, respectively, below 393 the surface during the rainy season (November-May), increasing to 0.26 and 0.31 m below the 394 surface at the onset of the dry season (June-October) (Table 2). In general, the observed 395 values were slightly overestimated by the model (Table 3), except in the wet season of 396 2002/2003 (RMSE = 0.08 m; R^2 = 0.65) and dry season of 2003 (RMSE = 0.06 m; R^2 = 0.79). 397 The overestimation was higher in the dry season of 2005 (0.11 m) with higher RMSE (0.18m) 398 and lower R^2 (0.52). On the other hand, a better agreement was found during the wet season 399 of 2004/2005 with biases of 0.01 m, RMSE of 0.07 m and R^2 of 0.76. The overestimation of 400 the INLAND simulations during 2002-2006 was less compared to that of the Community 401 402 Land Model (CLM) presented in Fan and Miguez-Macho (2010) at the same study site. According to the authors, the CLM model produced a water table that was 2 m too low 403 compared to the observations. Cuartas et al. (2012) also verified an overestimation of the 404 WTDs simulated using the Distributed Hydrology Soil Vegetation Model (DHSVM) at the 405 same study site, with an RMSE of 0.25 m during both the wet and dry seasons (2002-2006) 406 and an R^2 of 0.60 and 0.72 in the wet and dry seasons, respectively. 407







Figure 5. (a) Observed and simulated water table depth fluctuations in the valley area from July 2002 to December 2006: (b) Observed precipitation during the same period (vertical bars) and climatological mean precipitation between 1901-1999 in the city of Manaus (dashed line and blue dots).

Table 2. Mean, maximum and minimum water table depth from 2002 to 2006, in the valley area.

	Water table depth (m)						
		Observe	d	Simulated			
	Mean	Maximum	Minimum	Mean	Maximum	Minimum	
Wet season 2002-2003	0.25	0.49	0.12	0.20	0.43	0.10	
Wet season 2003-2004	0.22	0.61	0.01	0.25	0.58	0.09	
Wet season 2004-2005	0.20	0.48	0.01	0.21	0.43	0.08	
Wet season 2005-2006	0.16	0.54	0.04	0.23	0.76	0.09	
Total	0.21	0.61	0.01	0.22	0.76	0.08	
Dry season 2002	0.26	0.41	0.16	0.31	0.48	0.18	
Dry season 2003	0.33	0.53	0.12	0.33	0.55	0.09	
Dry season 2004	0.22	0.34	0.12	0.23	0.30	0.10	
Dry season 2005	0.29	0.51	0.14	0.40	0.71	0.10	
Dry season 2006	0.22	0.45	0.04	0.29	0.51	0.10	
Total	0.26	0.53	0.04	0.31	0.71	0.09	





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418 **Table 3**. Performance of the INLAND model in representing the depth of the water table in lowland forest,

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located in the study area, during the period from 2002 to 2006.	
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	Wat	ter table depht (m)	
	Bias	RMSE	R ²
Wet season 2002-2003	-0.05	0.08	0.65
Wet season 2003-2004	0.03	0.09	0.68
Wet season 2004-2005	0.01	0.07	0.71
Wet season 2005-2006	0.07	0.18	0.52
Total	0.02	0.11	0.46
Dry season 2002	0.04	0.07	0.66
Dry season 2003	-0.003	0.06	0.79
Dry season 2004	0.01	0.04	0.57
Dry season 2005	0.11	0.18	0.52
Dry season 2006	0.07	0.10	0.58
Total	0.05	0.11	0.54

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b. Soil moisture characteristic curves on the plateau

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Both the magnitude and seasonal amplitude variations are apparent in the model simulations 424 and observations throughout the monitored soil profile from December 2001 to December 425 2006 (Figure 6). The observational data for the deepest layer (obtained by TDR), which was 426 obtained at an hourly temporal frequency, is represented here using weekly or biweekly 427 temporal frequencies to match the observational data from the first 5 layers (neutron probe) 428 from January 2003 to February 2006. Six soil parameters (Table 1) or the model were 429 430 modified according to available literature in the study region (Tomasella and Hodnett, 1996; Ferreira et al., 2002; Marques, 2009). The sensitivity of the model to these soil parameters 431 432 was examined through several simulations using different soil parameter values. Based on our simulations, the three most significant parameters were porosity (poros), Campbell's 433 434 parameter (bex) and hydraulic conductivity (hydraul). For the baseline simulations, the poros and bex values were allowed to vary with depth according to measurements reported in Table 435 1. The corresponding statistical performances indices are included in Table 4. The simulated 436 soil moisture content followed an annual cycle, with decreasing amplitude in the deep layers. 437 In the first 4 soil layers, the variability in the soil moisture between the dry and wet season 438 was more pronounced, compared to the other layers. This behavior was related to a rapid 439





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response to precipitation in the top soil layers and to high macroporosity. At greater depths,
the response to rain events was damped, particularly in the last 2 layers, which responded
only to the seasonal variability in precipitation.

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In general, the model underestimated the soil moisture content with an RMSE of 0.017 m³ m⁻³ 444 and R^2 of 0.54 for the first layer and RMSE of 0.006 m³ m⁻³ and R^2 of 0.71 for the sixth layer. 445 The RMSE decreased and R² increased with depth to the sixth layer, suggesting that minor 446 errors occurred between the fifth and sixth soil layers, where the smallest soil moisture 447 variation was observed. This behavior can be explained by the high microporosity and low 448 permeability of the deep soil layers, which are responsible for slow percolation at deeper 449 depths (Nortcliff and Thornes, 1981). The lower variation in soil moisture on a seasonal scale 450 in the last 2 layers may reflect a minor presence of roots at this depth and less water. This 451 finding indicates that there was no significant extraction of water from the roots at these 452 depths because there was sufficient water in the layers above 400 cm during most years 453 (Broedel et al., 2017). The sixth layer, for example (depth of 4.00-8.00 m), had a nearly 454 constant water content of 0.57 m³ m⁻³. An interesting behavior that was noticed in our 455 simulations was the underestimation of soil moisture in the dry season of 2005 in all of the 456 457 layers of the profile, suggesting that the model had difficulty simulating the extremely dry conditions of the study area during this period. The observational data also indicated that the 458 depletion of soil moisture was more pronounced and lasted longer during the 2005 dry season 459 over the entire soil profile because root uptake during that period was more intense than in 460 normal years. Discrepancies in the water content at the end of the dry season of 2005 between 461 the observational and simulated results could be due to differences in the actual versus 462 assumed root distributions. 463

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Table 4. The RMSE, bias and R^2 calculated from weekly or biweekly soil moisture data.

Layer	N٥	RMSE	Bias	R ²
(m)		(m³m⁻³)	(m³m⁻³)	-
0.0-0.2	1	0.017	-0.004	0.54
0.2-0.5	2	0.014	-0.001	0.65
0.5-1.0	3	0.012	0.003	0.70
1.0-2.0	4	0.008	-0.002	0.79
2.0-4.0	5	0.007	-0.004	0.72
4.0-8.0	6	0.006	-0.003	0.71





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Figure 6. Observed and simulated soil moisture results for the full profile on the plateau. The observational data
have a weekly or biweekly temporal frequency. Layers 1- 5: from December 2001 to December 2006, obtained
from neutron sonde; Layer 6: from January 2003 to February 2006, obtained from TDR.

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c. Water balance on the plateau and in the valley





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476 The INLAND model simulated very well the difference of ET fluxes between plateau and valley, showing larger values on the plateau than that in the valley, in accordance with the 477 observed data in 2006 (Figure 7a). The simulated annual mean ET was 3.7 mm day⁻¹ and 3.2 478 mm day⁻¹ for the plateau and the valley, respectively, values close to the observed data, from 479 3.0 mm day⁻¹ in the plateau and 2.9 mm day⁻¹ in the valley. Similar results for the plateau area 480 in the same region of study during 2000-2008 were found by Broedel (2012) - 3.6 mm day⁻¹ 481 in the calibration of the CLM model - and by Assunção (2011) - 3.5 mm day⁻¹. In addition, 482 the mean ET found in this study for the plateau area also corroborates both the ET value of 483 3.8 mm mm day⁻¹ estimated by Tomasella et al. (2008), for a four years subset of the data 484 series observed, using the Penman-Monteith method, and a value of 3.7 mm day⁻¹, reported by 485 Shuttleworth (1988). The difference in the ET along a topographic profile was also verified 486 by Hodnett et al. (1997a), who estimated ET in two different locations in a forest in the 487 central Amazon, i.e., on a plateau and slope (between a plateau and valley) using the water 488 balance method and found values of 3.8 mm day⁻¹ and 3.6 mm day⁻¹, respectively. According 489 to Zanchi (2013), the water extraction in poorly drained valleys occurs at a shallower depth 490 491 and can be reduced compared with that on plateaus. Hodnett et al. (1997a) determined that the extraction of water from the soil when the water table is near the surface is small (0.5 to 1 mm 492 day⁻¹) compared with the value obtained when the water table is below 1 m depth (3 mm day⁻¹) 493 ¹). 494

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The model was also able to simulate the seasonal patterns of the water balance components 496 between the plateau and valley with good accuracy. In both areas, there was a minimum in the 497 simulated ET during the wet season (plateau: 3.3 mm day⁻¹; valley: 3.0 mm day⁻¹) that rose in 498 the dry season (plateau: 4.3 mm day⁻¹; valley: 3.6 mm day⁻¹), compared to observed data in 499 wet (plateau: 2.5 mm day⁻¹; valley: 2.4 mm day⁻¹) and dry (plateau: 3.6 mm day⁻¹; valley: 3.2 500 mm day⁻¹) season. Da Rocha et al. (2009), in central Amazonia, also described a progressive 501 increase (by 10%) in ET during the dry season when compared to the wet season (2.8 mm day 502 ⁻¹), and was dominated by a net radiation and vapor density deficit in a plateau area. All the 503 observed ET values were overestimated by INLAND during the entire simulated period, in 504 both areas. However, these estimations are probably lower than the real ET levels because of 505 506 the problem of the energy balance closure of the eddy covariance systems in tropical forests (Twine et al. 2000; Wilson et al. 2002; Von Randow et al. 2004), mainly in the valley area 507 during the wet season. The bias values indicate a better agreement between simulated and 508 observed curves in the valley area (dry season = 0.4 mm day^{-1} , wet season = 0.2 mm day^{-1}) 509







when compared to the plateau (dry season = 0.7 mm day^{-1} ; wet season = 0.9 mm day^{-1}) (Table 5). In the plateau area, the highest R² values were found both in the wet season (0.71) and in the dry season (0.64), indicating a better correspondence between observed and simulated data when compared to valley (wet season = 0.63; dry season = 0.56). In addition, RMSE values for the plateau during the dry and wet season were 1.1 mm day⁻¹ and 1.0 mm day⁻¹, respectively, values similar to those found in the valley during the dry (0, 8 mm dia-1) and wet (1.1 mm day⁻¹) season.

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Mean ET over 2006 corresponded to 55.7% and 45.4% of precipitation in the plateau and 518 shallow areas, respectively. These values are close to those found by Tomasella et al (2008) in 519 the study area of 53%, during the period from 2001 to 2004. In addition, they are also similar 520 to the values of 50% found by Assunção (2011) in the calibration of the IBIS model and 521 56.9% obtained by Cuartas et al. (2012) using the hydrological model DHSVM, both in the 522 same study area. Of the total loss by ET, 76% were due to transpiration in both forest areas. 523 On the other hand, the evaporation of the intercepted water (interception loss) represented 524 525 21.2% and 19.2% in the plateau and valley, respectively, while 2.5% and 3.8% were associated with direct evaporation of the soil, respectively, in the plateau and valley. These 526 results show that in the valley area, water interception by the canopy was slightly lower than 527 in the plateau (10.4%), while soil evaporation was about 52% higher than the plateau. 528 Together these results reflect the structural attributes of the vegetation in this area, 529 characterized by a greater spacing between plants, which shows a more open canopy when 530 compared to the plateau (Nobre et al, 1989), lower trees (Ranzani, 1980; Pinheiro, 2007), a 531 smaller LAI (Cuartas et al., 2012) and different tree composition with less species (Ribeiro et 532 al., 1999; Oliveira and Amaral, 2004). The INLAND model was able to simulate very well 533 the differences between the vegetation in both the areas, including a LAI of 6.1 m² m⁻² in the 534 plateau area and 5.8 m² m⁻² in the basin, in agreement withavailable literature (Cuartas et al., 535 2012; Marques-Filho et al., 2005). The evaporation of intercepted water corresponded to 536 537 11.2% and 9.0% of precipitation, in the plateau and valley area, respectively. These values are comparable to the 11% estimate obtained by Tomasella et al. (2008) for the period 2001-538 2004, in the same experimental area. The same behavior was also observed in the estimate by 539 540 Shuttleworth (1988) of 12.4%, carried out in the forest of the Ducke Reserve, in Manaus, near the study area. However, it is higher than the value reported by Lloyd et al. (1988) of 8.9%, in 541 the field experiment also in the Ducke Reserve and the value of 8.2% found by Assunção 542 (2011) in the study area used in the present study. In addition, the values simulated by 543





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INLAND for plateau and valley are much lower when compared to 16.5% found by Cuartas 544 et al (2007), in the same study area. On the other hand, soil evaporation represented a small 545 546 part of the total precipitation, only about 1.3% (plateau) and 1.7% (valley), lower than that found by Drucker (2001) of 2.2%, in a hydrological modeling study in the central region of 547 the Amazon, near the experimental site. According to Luizão (1989), there is almost no 548 evaporation of the soil under terra firme forest, which explains its lack of consideration in 549 many studies on hydrological modeling in the Amazon, such as that of Tomasella et al. 550 (2008).551

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The surface runoff (R) simulated by INLAND on both areas was reproduced relatively well 553 by INLAND during the period from 2002 to 2006. Simulated R in the valley was larger over 554 the entire simulation compared with the plateau (Figure 7b). Furthermore, the average 555 simulated daily R rates also indicated low seasonal variability on the plateau compared with 556 557 the valley. This result is in agreement with the literature, according to which the surface flow rate in the plateau of the study area was low or even absent (Luizão et al, 1989). This is 558 559 because the higher interception in this area barely reduced the incoming water flux and hence had a minor impact on the R coefficient. The seasonal variability of R rates, which is directly 560 controlled by the seasonal cycle of precipitation, was very well represented by the model, 561 with higher values in the wet season (plateau = 0.4 mm day^{-1} , valley = 2.7 mm day^{-1}) when 562 compared to the dry season (plateau = 0.1 mm day^{-1} , valley = 0.4 mm day^{-1}), in both areas. 563 The INLAND model simulated a peak of R in the valley area during September (0.62 mm 564 day⁻¹), in response to the increase in accumulated mean precipitation in this month (154.9 565 mm), according to observed data (0.43 mm day^{-1}). This happens due to the proximity of the 566 surface water table to the surface in valley area, which may have induced higher soil moisture, 567 generating saturation, and ultimately leading to overland flow. The mean R observed in the 568 valley area was reproduced relatively well by INLAND with strong overestimated during wet 569 season (bias = 1.7) and slight underestimated in the dry season (bias = -0.1) (Table 5). In 570 addition, during the wet season the RMSE was 2.0 mm dia⁻¹ and R^2 of 0.72. In the dry season, 571 a reduction in the value of R^2 (0.40) can be observed, indicating a lower correspondence 572 between simulated and observed R data in the valley while RMSE also exhibited a reduction, 573 dropping to 0.2 mm dia⁻¹. The R represented an average value of 3.9% of total precipitation, 574 575 during 2002 to 2006, in the plateau area. This value is in agreement with other studies in different regions of the Amazon. The average surface runoff estimated at the Ducke Reserve 576 site in the center of the Amazon by Leopoldo et al. (1995) indicated that only 3% of 577





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precipitation was directly lost during storm surges through runoff. Germer et al. (2009) identified a surface runoff of only 1% of the precipitation observed in the southwest of the Amazon, while in the Eastern Amazon, Moraes et al. (2006) reported a coefficient of flow of only 2.7%. On the other hand, in valley area the R simulated by INLAND represented a higher part of precipitation when compared to plateau, about 25.3%.

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Deep drainage (D) is also directly linked to precipitation and has a well-defined seasonal 584 cycle, with higher values during the wet season, in both areas, that was very well represented 585 by the INLAND model (Figure 7c). During the wet season, the mean D was 4.3 mm day⁻¹ and 586 2.9 mm day⁻¹ in plateau and valley area, respectively reducing to 0.8 mm day⁻¹ in the plateau 587 and 0.9 mm day⁻¹ in valley area, during the dry season. In addition, the INLAND model 588 simulated a higher D rate in the plateau than in valley area, during the wet season. These 589 differences found between D in both areas occurred because the soil in the plateau is 590 significantly deeper and the water table is located approximately 35 m deep (Tomasella et al., 591 2008), while in the valley the data showed that the maximum water table depth during the 592 593 analysis period was approximately 0.6 m. The simulated D in valley area is in accordance with observed data in the same period of 2.4 mm day-1 and 1.6 mm day-1 in wet and dry 594 season, respectively. In general, the INLAND model overestimated R during the wet season 595 (bias 0.5 mm day⁻¹) and underestimated in the dry season (bias = 0.6 mm day⁻¹) (Table 5). The 596 RMSE was 0.7 mm day⁻¹ in both areas and R² of 0.65 indicating a better correspondence 597 between observed and simulated data during the wet season. D values represent a large 598 portion of the total precipitation, corresponding to a mean percentage of 41.3% and 27.2% in 599 plateau and valley area respectively during 2002-2006. Simulated D values simulated on the 600 plateau are in accordance with the value of 40% found by Broedel (2012), in the same study 601 area during 2000-2008. It is however considerably lower than the value of 29.8% reported by 602 Assunção (2011), in the same area. 603

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Deep drainage added to the runoff results in total runoff (R_{total}). The mean R_{total} simulated during the period from 2002 to 2006 represented 45.2% and 52.5% of the total precipitation, for plateau and shallow area, respectively. The lower percentage of R_{total} in relation to precipitation in the plateau environment is a consequence of a higher ET in this area when compared to the lowland. The mean values of R_{total} in relation to precipitation found in this study, in both areas, showed a good correspondence with the values of 44.3% reported by Tomasella et al. (2008) during the period from 2001 to 2004, and of 49.3% obtained by





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- Broedel (2012) between 2000 and 2008 using the CLM model, the same area of study (plateau). The value obtained by Broedel (2012) is identical to the value found by Assunção (2011) in the calibration of the IBIS model. In addition, our results also corroborate with the value obtained by Cuartas et al. (2012) from 2002 to 2004. Using the DHSVM model, Cuartas and colleagues reported that the R_{total} value represented 47.5% of the total precipitation in our study area (plateau).
- **Table 5.** Performance of the INLAND model for the representation of the total evaporation (ET) during 2006; R
 and D during 2002-2006 located in the study area, from hourly data.

			Wet season			Dry season		
	Flux	Período	RMSE	Bias	R ²	RMSE	Bias	R^2
			(mm day ⁻¹)	(mm day ⁻¹)	-	(mm day ⁻¹)	(mm day ⁻¹)	-
Plateau	ET	2006	1,1	0,9	0,71	1,0	0,7	0,64
Valley	ET	2006	1,1	0,2	0,63	0,8	0,4	0,56
	R	2002-2006	2,0	1,7	0,72	0,2	-0.1	0,40
	D	2002-2006	0,7	0,5	0,65	0,7	-0.6	0,64

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627 *d.* Net Ecosystem Exchange for the plateau and valley

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In general, the simulated daytime and nighttime NEE fluxes suggest that the patterns and magnitudes were in agreement with observations on the plateau and in the valley in both the wet and dry seasons. The difference between the two areas was well captured by INLAND using an unconfined aquifer model to simulated the valley area and corrected vegetation and soil parameters in both areas (Figure 8 a, b). During the daytime (dominated by photosynthesis processes), the simulated NEE fluxes on the plateau were slightly higher than in the valley during the wet and dry seasons, in agreement with observed data. The average





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NEE flux simulated during the daytime on the plateau, for example, was -8.5 μ mol m⁻² s⁻¹ and 636 -10.2 μ mol m⁻² s⁻¹ in the wet and dry seasons, respectively, whereas in the valley, it decreased 637 to approximately 11.8% and 23.5% of the values in the plateau, during the wet and dry 638 seasons, respectively (Table 6). In contrast, the observed NEE in the plateau presented a 639 mean of -9.1 μ mol m⁻² s⁻¹ and -8.8 μ mol m⁻² s⁻¹ in the wet and dry season, respectively, while 640 in valley the NEE fluxes showed a decrease of 25.3% fall in the wet season and 30.7% in the 641 dry season. The observed values are in agreement with Malhi et al. (1998) of 10.2 μ mol m⁻² s⁻ 642 measured in central Amazonia using eddy covariance, close to study site,. According to 643 Castilho et al. (2006) the higher NEE rate during the daytime on the plateau is suggestive of a 644 stronger CO₂ uptake rate due to more photosynthetic activity resulting from the higher 645 biomass found in this area. According to Laurance et al. (1999), the biomass variation 646 between plateau and valley is mainly associated with nitrogen availability in both areas. The 647 plateau area has a greater amount of nitrogen both in the soil and in the leaves of the plants 648 when compared to the valley, which indicates a greater amount of available resources for the 649 growth of the plants in this area (Luizão et al. 2004). Luizão (1989) conduced a three year 650 long litterfall experiment in an area 10 kilometers to the northwest of study site and showed 651 that on the plateau a 3 year mean of 8.3 tons C ha⁻¹ of litter was produced, with only 7,4 tons 652 C ha⁻¹ in the valley, indicating that plateau area has higher productivity than the valley. In 653 654 addition, using sapflow data from Zanchi (2013) for the study site, Stijnman (2015) showed that photosynthesis starts later and ends earlier in the valley area. According to Stijnman 655 (2015), the start and end of sapflow in our study site is linking with solar radiation. 656

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The observed NEE fluxes during daytime did not indicate a pronounced seasonality. In the 658 plateau area for example, the NEE was only 3.3% and 10.2% higher during the wet season in 659 the plateau and valley, respectively. The simulated data show higher mean values of NEE in 660 the dry season, probably due to a higher solar radiation during this period, mainly in the 661 plateau area (20%). Similar behavior was found by Asunção (2011), through the calibration of 662 663 the IBIS model for the same area of study. The observed daytime NEE values, using eddy covariance, in several areas of Amazonia indicated diverging trends between wet and dry 664 season. The results found by Goulden et al. (2004), for example, in the east of Amazonia 665 666 indicate higher forest assimilation during the dry season. However, according to Goulden et al. (2004), these results are opposite to those expected, based on forest biomass increment 667 observations, which showed a clear increase during the wet season. In central Amazonia, the 668 data from Malhi et al. (1998) showed higher values in the wet season, related with the rainfall, 669





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670 in agreement with observed data from our study site. Despite this, the INLAND model did not represent well the mean seasonality over 2006, but there was a good agreement with the 671 observed daily peak. In the plateau, for example, the maximum values found between 11:00 672 and 12:00 hours were -20.3 μ mol m⁻² s⁻¹ and -16.7 μ mol m⁻² s⁻¹ and in the dry and wet season, 673 respectively. These values are close to the observed data in the plateau area, whose peaks 674 occurred between 10:00 and 11:00, -21.9 μ mol m⁻² s⁻¹ in the dry season and -21.5 μ mol m⁻² s⁻¹ 675 in the wet season. In the valley area, small values of -15.3 μ mol m⁻² s⁻¹ and -14.3 μ mol m⁻² s⁻¹ 676 were found in the dry and wet season, respectively (between 11: 00-12: 00), values 677 comparable to those observed of -19.9 μ mol m⁻² s⁻¹ in the dry season (at 11:00) and -17 μ mol 678 $m^{-2} s^{-1}$ in the wet season (at 10:00). 679

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The INLAND model simulated very well the mean NEE fluxes during the night (dominated 681 by respiration processes), showing higher values on the plateau area in both seasons. The 682 mean of 3.2 μ mol m⁻² s⁻¹ and 2.9 μ mol m⁻² s⁻¹ was found in the wet and dry season, 683 respectively, while in the valley the data indicate a reduction of 18.8% in the wet season and 684 685 17.2% in the dry season, values that show good correspondence with observed data of 24% and 13.3% in wet and dry seasons, respectively (Table 6). According to Araújo (2009), there 686 are two possible explanations for this difference. First, due to either dynamically unstable and 687 turbulent flow or neutral atmosphere above the canopy on the plateau (in contrast to 688 dynamically stable and laminar flow above the canopy in the valley), a more efficient vertical 689 transport is favored. Second, the CO₂ stoke fluxes decreased throughout the night in the 690 valley, whereas this was not the case on the plateau. In addition, the lower values of 691 respiration in the fallow, in both seasons, may also be associated with a lower decomposition 692 rate of organic matter in this area. According to Luizão et al. (2004), the canopy of the 693 vegetation in the valley area have lower values of C:N ratio, which confers poor quality to the 694 leaves of the plants and consequently leads to a reduction of the decomposition process. The 695 INLAND model also simulated satisfactorily the seasonality of observed NEE fluxes during 696 697 the night, which was slightly higher during the wet season, suggesting a higher respiration rate of the plants for the forest during the months with higher precipitation. This increase 698 corresponded to 10.3% and 8.3% in the plateau and valley, respectively, values comparable to 699 700 observed data, of 20% in the plateau and 5.1% in the valley. In part, this can be explained by 701 increasing of the air humidity that favors an intense organic matter decomposition by the decomposing microorganisms (Luizão and Schubart, 1987). In contrast to the wet season, 702 during the dry season higher temperatures and greater moisture limitation favors the limitation 703





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704 of microbial activity (Malhi et al., 1998), mainly in plateau area. The proximity of the water table depth to the surface in the valley area ensures a humid environment for a good part of 705 706 the year, even during the dry season. In addition, during the wet season a greater cloudiness and amount of water vapor is observed in the atmosphere, contributing to a lower loss of 707 longwave radiation (Lin) and less cooling of the vegetation canopy. This influences the 708 stability of the atmosphere, which becomes dynamically unstable and consequently favors 709 vertical transport and mixing of CO₂ fluxes by the forest, both in the plateau and valley areas, 710 during the wet season (Araújo, 2009; Miller et al., 2004). Other studies also found higher 711 respiration values during the wet season. Zanchi et al. (2014) found higher soil respiration or 712 Rsoil (microbial and fungal together with plant roots) during the wet season in the study site, 713 for both plateau and valley area. Higher values of Rsoil also was found by Souza (2004) in the 714 715 plateau area, in the same study site.

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717 In general, all observed NEE fluxes were underestimated by model, mainly during the dry season in the valley area (Table 6). This underestimation, also found by Assunção (2011) in 718 719 the same study area, is due to the overestimated NEE value obtained by the eddy covariance method, observed since the first tests performed using this methodology (Ontaki, 1984). The 720 eddy covariance method underestimates the flux of CO₂ under stable atmospheric conditions 721 during the night (Miller et al., 2004), when CO₂ flow is characterized by autotrophic 722 respiration and the decomposition of organic material from soil. The drainage of CO_2 from 723 the highest areas, as is the case of the site of tower K34, to the lower parts, located in the 724 bottom of the valley (Tóta et al. 2008; Araújo et al. 2010) also contributes to the 725 underestimation of forest CO_2 flow at night. In addition, the lowest values of R^2 also were 726 founding in the valley area (Table 6), suggesting a lower agreement between the observed and 727 simulated NEE values, especially during the dry season (0.55) when compared to the wet 728 season (0.63). The R^2 values in the plateau (wet = 0.76; dry = 0.66) are higher than those 729 found by Assunção (2011) and Imbuzeiro (2005) of 0.54 and 0.41, respectively, both in the 730 same study area. On the other hand, the RMSE values of the two areas were very similar. In 731 the plateau, for example, it was 6.6 μ mol m⁻² s⁻¹ and 7.2 μ mol m⁻² s⁻¹ in the wet and dry 732 season, respectively, while in the valley, corresponding values were 6.4 in the season and 7.5 733 in the dry season. These values are close in magnitude to that reported by Imbuzeiro (2005), 734 of 4.2 μ mol m⁻² s⁻¹, in the plateau area. 735









Figure 8. Average daily observed and modeled net ecosystem exchange (NEE) rates between the ecosystem and the atmosphere). During the daytime, negative values represent the uptake of CO2 from the atmosphere (photosynthetic activity is higher than respiration), whereas a positive flux at nighttime is associated with emissions of CO2 from the forest to the atmosphere (respiration activity only).

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742 **Table 6.** Average observed and simulated net ecosystem exchange (NEE) values for the plateau and valley

during the day (06:00–18:00 h), night (18:00–06:00 h) and daily totals. The Daily peak observed and simulated
as also includes.

		Wet s	eason				Dry sea	son		
	μmol CO ₂ m ⁻² s ⁻¹					µmol CO ₂ m ⁻² s ⁻¹				
	Daytime	Nighttime	Daytime peak	Daily total		Daytime	Nighttime	Daytime peak	Daily total	
Plateau-Obs	-9.1	5.4	-21.5	-2.4		-8.8	4.5	-21.9	-2.8	
Plateau-Sim	-8.5	3.2	-16.7	-3.2		-10.2	2.9	-20.3	-4.2	
Valley-Obs	-6.8	4.1	-15.1	-1.8		-6.1	3.9	-19.9	-1.5	
Valley-Sim	-7.5	2.6	-14.3	-2.9		-7.8	2.4	-15.3	-3.1	

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Table 7. Performance of the INLAND model for the representation of the net ecosystem exchange (NEE) during
 2006, from hourly data.

2000,	from	nourry	uata

	Plat	eau	Valley		
	Wet season	Dry season	Wet season	Dry season	
RMSE (µmol CO2 m ⁻² s ⁻¹)	6.6	7.2	6.4	7.5	
Bias (µmol CO2 m ⁻² s ⁻¹)	-0.7	-1.5	-0.8	-1.7	
R ² (dimensionless)	0.76	0.66	0.63	0.55	

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e. Energy fluxes for the plateau and valley





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751 The simulated Rn diurnal evolution was very similar over the plateau and valley during the wet and dry seasons, which was mainly due to incoming solar radiation and because both 752 have the same meteorological characteristics (Figure 10 a, b). The R^2 of the plateau was 0.99 753 in both the wet (RMSE of 11.7 W m⁻²) and dry (RMSE of 11.3 W m⁻²) seasons, whereas for 754 the valley, it was 0.99 in the dry season (RMSE of 29.8 W m⁻²) and 0.97 (RMSE 44.7 W m⁻²) 755 in the wet season (Table 8). In general, the simulated Rn was slightly underestimated in the 756 valley. One possible explanation for this difference is that the simulated albedo in both the 757 wet and dry seasons was higher than the observed values. The constant albedo simulated by 758 INLAND in the valley were 10.5% and 10.8% in the wet and dry seasons, respectively. These 759 values are 5% (wet season) and 3% (dry season) higher than previous observational values 760 (Araújo, 2008; Oliveira, 2010). Furthermore, this difference could be due to reduced 761 incoming longwave radiation, which is derived from meteorological data input in the model in 762 the plateau. According to Araújo (2008), observed incoming longwave radiation is 763 consistently higher in the valley than on the plateau. The INLAND model simulated very well 764 the difference of the albedos in both areas, showing higher values on the plateau (wet season 765 766 = 12%; dry season = 12.3%) in agreement with observed data (wet season = 11%; dry season = 12%) from Araújo (2008) and Oliveira (2010). The correct adjustment of albedo in both 767 areas was made through reflectance of near infrared radiation from leaves, i.e., 768 *rhoeveg_NIR* parameter of the 0.31 and 0.26 to plateau and valley respectively (Table 1). 769 According to Leitão (1994), this difference between both areas is caused by the vegetation 770 canopy structure. In the valley area, the foliage of the vegetation grouped in the canopy 771 exhibits larger peaks and depressions organized on the canopy surfaces, and a large amount of 772 incident solar radiation penetrates the canopy before being reflected (Shuttleworth, 1989). In 773 774 contrast, the vegetation in plateau area is denser which favors a greater homogeneity of the 775 vegetation, resulting in a greater reflectivity of the solar radiation. The seasonality of albedo also was very well representing by INLAND, with higher values during dry season. 776 777 According to Malhi et al. (1998) the canopy of the forest is darker during the rainy season, 778 due to the seasonality of the precipitation, favoring greater absorption of radiation and consequently lower albedo. 779

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The model was able to accurately simulate the partitioning of available energy (Rn) with higher percentage of Rn destined for LE flux, followed by H flux, also verified by Araújo et al.(2002), Malhi et al.(2002) and Randow et al.(2004). This was possible especially using the vegetation and soil parameters modified for each area (Table 1) and water table dynamic in





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785 the valley area. For the plateau, the LE and H annual averages corresponded to 84.9%, 14.8% of Rn, respectively while the corresponding values in the valley were 72.9% and 26.5%. 786 787 These values were found to agree well with the observations, of 60.4% (LE) and 21.3% (H) for the plateau and 50% (LE) and 21.9% (H) for the valley. The simulated LE was higher on 788 the plateau than in the valley, particularly in the dry season (Figure 10 c, d) according to 789 observed data. One possible explanation for this difference is the higher LAI on the plateau, 790 which produced a higher evapotranspiration rate. According to Marques-Filho et al. (2005), 791 the LAI on the plateau is $6.1 \text{ m}^2 \text{ m}^{-2}$, whereas in the valley, the value may be approximately 792 13% smaller (Zanchi et al., 2014). Another possible reason is the excess water in the valley 793 soils, which can produce oxygen-deficient roots and affect plant survival and functioning 794 (Pezeshki and DeLaune, 2012). The simulated LAI for the mentioned period was 795 approximately 6.1 m² m⁻² on the plateau and 5.8 m² m⁻² in the valley. These values were 796 found to agree well with Cuartas et al (2012) for the same study area during the period from 797 798 2002 to 2006. The INLAND model overestimated the LE flux on the plateau and in the valley. The overestimation was stronger on the plateau, where we found an RMSE of 63.8 W 799 m^{-2} and R^2 of 0.85 in the wet season and an RMSE of 66.8 W m^{-2} and R^2 of 0.88 in the dry 800 season. In the valley, the RMSE and R^2 were 63.6 W m⁻² and 0.72 in the wet season, 801 respectively, whereas in the dry season, the RMSE were slightly smaller, i.e., approximately 802 59.6 W m^{-2} with a R^2 of 0.81, respectively (Table 8). The most likely reason for the 803 overestimation of the simulated LE is the large hourly errors caused by underestimation of the 804 observational LE, which led to the unclosed energy balance. The INLAND model also 805 simulated very well the seasonality of LE, showing higher values during dry season in both 806 areas (plateau = 124.6 W m^{-2} ; valley = 103.6 W m^{-2}) when compared to wet season (plateau = 807 97.2 W m^{-2} ; valley = 86.3 W m^{-2}) which can also be clearly visualized in the observed data. 808 809

In contrast to LE fluxes simulated by INLAND, the simulated H fluxes are higher in the 810 valley area when compared to the plateau, in both wet (valley = 29.8 W m⁻²; plateau = 18.6 W 811 m^{-2}) and dry (valley = 39.8 W m^{-2} ; plateau = 19.5 W m^{-2}) seasons (Figure 9 e, f). This 812 behavior is in agreement with the observed H fluxes mainly during dry season (valley = 36.7 813 W m⁻²; plateau = 33.6 W m⁻²), indicating a good performance of INLAND. The diurnal cycle 814 815 also reveals that the seasonality of the H flux was very well reproduced by the model, presenting higher values during the dry season in both areas, in perfect agreement with the 816 observed data. This strong seasonality in H data occurs because, during the dry season, a 817 higher value of incident solar radiation (Sin) is recorded in Amazonia, due to the lower 818





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819 cloudiness in the region (Araújo, 2009). The mean values of incident radiation found by Araújo (2009) in the valley area, were 44.6% higher in the dry season of 2006. The higher 820 821 values of H in the valley, compared to the plateau, suggest that more Rn was used for the H flux in the valley area during the dry months (consequently less energy for the LE flow). This 822 finding may be due to the smaller aboveground live biomass in the central Amazon forests 823 that grows in bottomland areas such as valleys (Laurence et al., 1999; Castilho et al. 2006). 824 This scenario appears to be the case in our study area, where bigger trees tend to occur more 825 frequently on high and flat areas that are dominated by clay soils (Ranzani, 1980; Oliveira and 826 Amaral, 2004), whereas they are sparser in the bottom of the valley (Nobre, 1989). Figure 9 827 (e, f) also shows the significant bias in the simulated values of H for the valley; the model 828 overestimated the observed hourly totals by 11% and 2% during the dry ($R^2 = 0.78$; RMSE = 829 34.9 W m⁻²) and wet ($R^2 = 0.66$; RMSE = 34.3 W m⁻²) seasons, respectively. This 830 overestimation in the H flux was related to one of the most relevant parameters for the 831 832 simulation of H, *rhoeveg_NIR* (Varejão et al. 2011). The sensible heat flux from vegetation to the air is a function of the leaf and stem temperatures and these two variables are dependent 833 834 on the solar radiation absorbed by the canopies and soil as well as on the net absorbed fluxes of infrared radiation. 835

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Table 8. RMSE, bias and R^2 calculated for the hourly energy and NEE fluxes.

			Wet seaso	n	Dry season
		RMSE	Bias	R^2	RMSE Bias R ²
		(W m ⁻²)	(W m ⁻²)	-	$(W m^{-2}) (W m^{-2})$ -
Plateau	Rn	11.7	-4.3	0.99	11.3 -2.2 0.99
	LE	63.8	-34.0	0.85	66.8 -32.6 0.88
	Н	26.4	2.8	0.75	33.4 14.2 0.78
Valley	Rn	44.7	15.0	0.97	29.8 10.8 0.99
	LE	63.6	-16.5	0.72	59.6 -20.8 0.81
	Н	34.3	-11.0	0.66	34.9 -2.0 0.78

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g. Biomass and productivity of vegetation on the plateau and in the valley

845 The results of the analysis suggest that the INLAND model reasonably reproduced the biomass (leaves, wood and root) and carbon flux variability over the plateau and valley (Table 846 9). The biomass stocks and carbon fluxes on the plateau were greater than in the valley over 847 the 100 years of simulation. The aboveground biomass stock (leaves and wood) on the plateau 848 in the DV1 simulation was 172.6 tons C ha⁻¹, whereas in the valley, it was approximately 849 124.3 ton C ha⁻¹. The aboveground biomass stocks in the second simulation set, DV 2, showed 850 a reduction of 28% on the plateau and 38% in the valley. This finding is not surprising 851 852 because the DV1 simulation had more initial biomass than DV2. The aboveground biomass simulated by INLAND on the plateau and in the valley was similar to the value obtained by 853 Higuchi et al. (2016), i.e., 188 tons C ha⁻¹ in an area of 3 ha of forest located near our site. 854 The value identified by Malhi et al. (2006) in the same region (10 ha) was 148 tons C ha⁻¹. 855





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856 According to Malhi et al. (2006), the aboveground biomass in the eastern Amazon is equivalent to 150-175 tons C ha⁻¹. Our simulations agree with the stock value of 167 tons C 857 ha⁻¹ estimated by Pyle et al. (2008) for the central Amazon for 20 ha of forest, same value of 858 estimative from Johnson (2016) to central Amazonia. In all of these studies, the aboveground 859 biomass was considered as the mean of the entire transect that encompasses a plateau-valley 860 mosaic. The differences in aboveground biomass between the plateau and valley is in 861 agreement with several studies in the region (Castilho et al. 2006; Zarin et. al 2001, Laurence 862 et al 2001; Luizão et al. 2004) indicating good performance of INLAND. Some of these 863 differences are due to the forest structure, as plateau areas have a greater proportion of large 864 trees than valley bottoms (Malhi et al., 2009b). Furthermore, the biomass is influenced by 865 soils, and more fertile soils tend to favor fast-growing plants and low wood density (Malhi et 866 al. 2004), which allocates more energy to wood and leaf production and less to structural and 867 chemical defenses and their associated metabolic costs (Malhi et al. 2009b). Castilho et al. 868 (2006), for example, found that tree biomass tended to increase in clay-rich soils (located in 869 plateaus), whereas sandier soils (located in valleys) are characterized by lower productivity 870 871 (Zarin et al. 2001). Laurance et al. (1999) attributed the great spatial variation on aboveground biomass estimates to nitrogen availability. The clayey soils located on high plateau areas are 872 considered more fertile and are characterized by higher nitrogen availability and 873 decomposition rates (Luizão et al. 1989), which provide greater productivity to the forest, 874 indicating that higher carbon fluxes can be found on plateaus and decline toward valley 875 bottoms. 876

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Coarse and fine roots of live trees compose the belowground biomass stocks. However, the 878 belowground biomass simulated by INLAND is defined only by the fine rootstock, which 879 includes root material less than a threshold diameter, usually 2 mm. According to Malhi et al. 880 (2009 b) the fine roots are a very minor component of the belowground biomass stock, about 881 9.5% of aboveground biomass. The simulated values for both DV1 and DV2 were higher on 882 the plateau compared with the valley. The fine root biomass simulated in DVI was 1.9 tons C 883 ha⁻¹ and 1.6 ton C ha⁻¹ on the plateau and in the valley, respectively. The DV1 simulation 884 represented a large reduction of 26% on the plateau and even stronger in the valley, 885 886 approximately 37%. In general, the model correctly simulated the variability of the fine root biomass over the plateau and valley, with higher values on the plateau. Root biomass would 887 be expected to be low in soils limited by anoxia associated with seasonally higher water 888 tables, such as those found in the valley (Malhi et al., 2009b). However, the simulated results 889





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890 in both environments were underestimated when compared with those of other studies in the central and eastern Amazon, which were realized along a topographic profile in 1 meter of 891 soil. Malhi et al. (2009b) estimated a value of 42 tons C ha⁻¹ belowground biomass (coarse 892 and fine roots) in a forest in the central Amazon, whereas in the east, this value ranged from 893 35 to 48 tons C ha⁻¹. In all these studies, the fine roots showed values of 4 tons C ha⁻¹. A study 894 by Metcalfe et al. (2007) in the same area to the east of the basin reported much lower values 895 of approximately 2 tons C ha⁻¹. The values of belowground biomass in similar forests located 896 within 100 km of one another can exhibit significant differences, and it is not clear what 897 results in these differences (Chambers et al. 2001b). 898

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The INLAND model simulates both autotrophic (Ra) and heterotrophic (Rh) respiration terms 900 that together represent the total ecosystem respiration (Reco). The simulated values of Rh 901 (CO₂ respired by herbivores, detritivores, and higher trophic levels as they consume and break 902 903 down organic matter) and Ra (CO₂ directly respired by plants - leaf, wood and root live- as a breakdown product from their own metabolic activity) rates were not significantly different 904 between the DV1 and DV2 simulations. The Ra flux for DV1 simulations varied from 905 approximately 24.9 tons C ha⁻¹ yr⁻¹ to 20.1 tons C ha⁻¹ yr⁻¹ on the plateau and in the valley, 906 respectively. These results are similar to the estimates reported by Malhi et al. (2009b) and 907 Chambers et al. (2004) in the same region (mean of the entire transect) of approximately 19.8 908 tons C ha⁻¹ yr⁻¹ and 21 tons C ha⁻¹ yr⁻¹, respectively. These values also are close to estimates of 909 21.4 tons C ha⁻¹ yr⁻¹ by Malhi et al (2009) in eastern Amazonia. Joetzjer et al. (2015) found 910 higher values, of 25-32 tons C ha⁻¹ yr⁻¹ in central Amazonia using the land surface model 911 ISBACC (Interaction Soil Biosphere Atmosphere Carbon Cycle), from Noilhan and Mahfouf 912 (1996). Rh was significantly lower than Ra, with values for VD1 simulations varying from 9.4 913 tons C ha⁻¹ yr⁻¹ on the plateau to 9.1 tons C ha⁻¹ yr⁻¹ in the valley. Estimates of Rh from this 914 study were comparable to those previously reported by Malhi et al. (2009b) of 9.6 tons C ha⁻¹ 915 yr^{-1} and Chambers et al. (2004) of 8.5 tons C ha⁻¹ yr^{-1} . 916

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The Rh (microbial and fungal) together with Ra (plant roots) composed efflux of carbon dioxide (CO₂) from soil or soil respiration (Rsoil). According to Chambers et al. (2004) there is a strong correlation between Rsoil with soil texture, which varied along the topography. The root respiration, for example, is higher in the plateau (7.4 tons C ha⁻¹ yr⁻¹) when compared to the valley (5.8 tons C ha⁻¹ yr⁻¹) area (Matcalfe et al. 2007). This result is opposite that found by Silver et al. (2005), also in eastern Amazonia (plateau = 3.2 tons C ha⁻¹ yr⁻¹;





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valley = 5.2 tons C ha⁻¹ yr⁻¹), using a very different methodology. The ratio of root respiration 924 to total Rsoil may vary from 50 to 60% depending on vegetation type and season (Hanson et 925 926 al., 2002). Chambers et al. (2004) estimated that root respiration accounted for 45% of Rsoil in our study area. This value is higher that found by Silver et al. (2005) in east of Amazon, of 927 24-35%. The values of total Rsoil reported by Chambers et al (2004) were 14.4 tons C ha⁻¹ yr⁻ 928 ¹ for plateau (clayey soils) and 9.8 tons C ha⁻¹ yr⁻¹ for valley (sandy soils), suggesting a good 929 performance of the INLAND model in representing Rh. This result can be explained by lower 930 decomposition rates in valley locations (Luizão et al, 2004). According to Luizão et al, 931 (2004), besides the annual production of litter in valley (6.6 tons C ha⁻¹ yr⁻¹) is lower than in 932 plateau (8.9 tons C ha⁻¹ yr⁻¹), similar to result found by Luizão and Schubart (1987), the higher 933 C:N ratio in the leaves of plants in valleys indicate low quality, suggesting that their 934 decomposition rates may be lower than on plateaus (also reported by Souza, 2004). In 935 addition, it is clear from the early studies, that higher water contents can inhibit CO_2 936 937 production in soils (Linn and Doran, 1984; Oberbauer et al., 1992; Sotta et al., 2004; Davidson and Janssens, 2006). Sotta et al. (2004), for example, verified a steep 30% drop of 938 939 Rsoil after rainfall when compared with nonrain periods. During the year of 2006 it is possible to notice that the precipitation in the study area was higher, in almost all months, 940 than the climatological mean in Manaus (Figure 5). The total accumulated precipitation during 941 this period was 2591 mm, about 20% higher than the climatological mean. Because of the 942 higher precipitation in valley areas, the high phreatic level and exfiltration of groundwater 943 provoked slow diffusion of oxygen into the soil, presumably only allowing for anaerobic 944 decomposition with generally slower degradative enzymatic pathways. The results of Rsoil 945 from this work are different from those of Zanchi et al (2014) that indicate higher rates on the 946 valley (15.5 tons C ha⁻¹ yr⁻¹) when compared to the plateau (9.8 tons C ha⁻¹ yr⁻¹) area. 947 However, during the period of Rsoil measurements of Zanchi and co-authors from a plateau 948 area (period completely different than Rsoil measurements in the valley area), which were 949 950 undertaken between August 3 until November 6 (2006) and February 21 until February 26 951 (2008), the precipitation was 45.6% and 50.4% higher than the climatological mean in Manaus (Figure 5) indicating a higher replacement of the air filled pores by water that may 952 form a cap and prevent gas diffusion of CO2 through the soil to the atmosphere. 953

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955 One interesting feature to note is the link in INLAND between Rh and NEE or an exchange of 956 carbon as gaseous CO_2 between ecosystems and the atmosphere. The lower value of Rh in the 957 valley can also produce a smaller simulated NEE in this environment. The NEE simulated by





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INLAND ranged from -0.6 to -0.7 tons C ha⁻¹ yr⁻¹ (for DV2 and DV1, respectively) in the 958 valley, i.e., approximately 40% less than that simulated on the plateau, indicating a lower net 959 960 CO₂ flux in the forest canopy in the valley forest. However, the NEE simulated by INLAND in both environments are generally very similar to the values found by Malhi et al. (1999) of -961 1.1 tons C ha⁻¹ yr⁻¹. The NEE was also influenced by changes in the NPP over time, by 962 sequestering atmospheric CO2, and supplies organic material for Rh. The NPP values 963 simulated in the present study on the plateau, i.e., approximately 10.6 tons C ha⁻¹ yr⁻¹ (DV1 964 and DV2), were slightly larger than in the valley. The NPP reductions in the valley 965 represented 7% and 8% for DV1 and DV2, respectively, and were similar to the estimate 966 provided by Malhi et al. (2009b) for the central Amazon using flux tower data (10.1 tons C 967 ha⁻¹ yr⁻¹). Furthermore, the simulated NPP was also comparable to the observed average of 9.0 968 tons C ha⁻¹ yr⁻¹ from a forest in the same study region (Malhi et al. 2009b). According to 969 Aragão et al. (2009), the total NPP tends to increase with soil phosphorus (P) and leaf 970 971 nitrogen (N) status. It is well known from Ferraz et al. (1998) and Luizão et al (2004) that both soil P and leaf N is higher in plateau areas, where the soil has higher clay content. 972 973 Simulating the NPP together with the GPP in forest biomes is fundamental for realistic global and regional carbon budgets and for projecting how these fluxes are affected by a changing 974 climate (Zha et al. 2013). As expected, the GPP in the plateau was higher than in the valley 975 and area, without significant difference between both VD1 and VD2. The GPPs on the plateau 976 and valley were 36 tons C ha⁻¹ yr⁻¹ and 30 tons C ha⁻¹ yr⁻¹, respectively, agreeing with the 977 estimate of 30.4 tons C ha⁻¹ yr⁻¹ provided by Malhi et al. (1998) close to study site. Similar 978 values were also reported by Malhi et al (2009b) for the same study area, of 29.9 tons C ha⁻¹ 979 yr⁻¹, and by Fischer et al (2007) in a forest located at east of Amazonia, with a mean value of 980 31.2 tons C ha⁻¹ yr⁻¹. All the references given here, included both topographical classes 981 (plateau and valley). This reduction of 16.7% in the simulated GPP in the valley can be 982 related with the difference of the LAI and ET in both areas. Since the valley LAI values are 983 lower than those in the plateau and consequently so is ET, this should reduce the GPP and 984 985 produce a large difference between the plateau and valley area. In addition, in the valley area the sutured condition of this environment could lead to a lower GPP, due to the impact of this 986 condition in the process of transpiration (Feddes et al., 1978), resulting in lower productivity 987 988 of the forest in this area.

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Table 9. Average of the last 10 years of the simulations for the carbon fluxes and biomass on the plateau and in
 the valley of: GPP (gross primary productivity), NPP (net primary production), NEE (net ecosystem exchange),
 Rh (heterotrophic respiration), Ra (autotrophic respiration), leaf biomass, wood biomass and root biomass. The
 VD1 (dynamic vegetation 1) and VD2 (dynamic vegetation 2) values correspond to the dynamic vegetation and
 dynamic vegetation-cold start simulations, respectively.

		Pla	ateau	Valley		
Variable	Unit	VD1	VD2	VD1	VD2	
GPP	ton ha⁻' yr ⁻'	35.5	35.4	29.9	29.9	
NPP	ton ha ⁻¹ yr ⁻¹	10.6	10.6	9.9	9.8	
NEE	ton ha ⁻¹ yr ⁻¹	-1.2	-1.0	-0.7	-0.6	
Ra	ton ha ⁻¹ yr ⁻¹	24.9	24.8	20.1	20.0	
Rh	ton ha ⁻¹ yr ⁻¹	9.4	9.5	9.1	9.2	
Leaf biomass	ton ha⁻¹	2.9	2.2	2.4	1.5	
Wood biomass	ton ha ⁻¹	169.7	122.5	121.9	75.8	
Root fine biomass	ton ha⁻¹	1.9	1.4	1.6	1.0	

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998 4. Conclusions

999 In this study, we demonstrate that the INLAND model is able to reproduce the particularities of the observed energy, water and carbon fluxes with reasonable accuracy between two very 1000 different environments, plateau and valley bottom, in a central Amazonian forest, confirming 1001 our initial hypothesis. To better represent their characteristics, an adjustment of vegetation and 1002 1003 soil parameters and the development of a lumped unconfined aquifer model was required to account for the water table dynamics in the valley area. The model reasonably reproduces 1004 $(R^2=0.69)$ the observed temporal variability of the water table depth in the valley, including 1005 1006 its seasonal cycle and interannual differences, in accordance with precipitation variability. On the plateau, the mean ET was 13% higher than in the valley, with maximum amplitude in 1007 August, when the precipitation is lower. The surface runoff is significantly higher in the 1008 valley bottom during the wet season. The mean surface runoff on the plateau represents a 1009 small portion (3%) of the total precipitation over the entire period. In the valley, this 1010 percentage is much larger, representing approximately 25% of total precipitation. 1011

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The INLAND model also captured the difference in the partitioning of incoming energy and carbon fluxes, mainly LE, H and NEE fluxes in both seasonal and diurnal time scales. On the plateau, the simulated LE flux is higher than in the valley during both the wet and dry seasons, due to its higher biomass stock, allowing more energy to be used for the LE flux. The opposite behavior is observed for the simulated H flux, as in the valley bottom area lower





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1018 stock biomass favor less energy used in LE flux and consequently a higher H flux in both seasons. A large difference between the wet and dry seasons is observed in our results, with 1019 1020 much higher H values during the dry season, when more radiation is incident to the surface. The seasonal variability also shows that the values of LE are higher during the dry season 1021 than in the wet months, in both areas. In general, NEE is higher on the plateau during both the 1022 wet and dry seasons. During the dry season, when simulated NEE is higher, the reduction in 1023 the valley is 26%, with a value of only 9.2% during the wet season. Our results suggest that 1024 the model also reasonably reproduces the biomass and carbon flux variability between the 1025 plateau and valley. The biomass values show significant differences between the two 1026 environments. In general, the dynamic vegetation module using the aboveground biomass 1027 pool (leaves and wood) is much smaller in the valley than on the plateau after 100 years of 1028 model simulation. The biomass reductions in the valley represent 28% and 38% of the two 1029 sets of simulations, i.e., DV1 and DV2, respectively. The simulation of belowground biomass 1030 1031 does not reproduce well the values observed in other studies in the region. However, all of the simulated fluxes are higher on the plateau than in the valley, suggesting the larger 1032 1033 productivity of the plateau forest, in agreement with the available studies in the central and 1034 eastern Amazon.

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