
Response to Interactive comment Anonymous Referee #1

We heartily appreciate the reviewer's assessment on this study and the valuable suggestions provided to improve this manuscript. We hereby provide our point by point responses how the comments by referee #1 will be addressed in the revised manuscript.

1. Responses to major comments

Comment: The authors have to be more specific concerning the spatial resolution of the SWAT model. First, the SWAT model is a semi-distributed model consisting of subbasins and hydrological response units (HRU). Thus, please change from "distributed model" to "semi-distributed model".

Reply: Thanks for your correction. The "distributed hydrological model" is changed to "semi-distributed hydrological model" in the manuscript.

Comment: Since the manuscript is focused on spatial heterogeneity, a clear description of the three levels in the SWAT model, namely catchment, subbasin and HRU level, is required.

Reply: We appreciate the comment. It is really necessary to state the spatial discretization of the SWAT model. The following statement is added to the third paragraph in section 1:

"To spatially characterize the inhomogeneity, the SWAT model delineates a catchment into a number of sub-basins, which were subsequently divided into Hydrologic Response Units (HRUs). In SWAT model, HRUs are basic simulation units of the land phase of the hydrological cycle that controls the total yield of streamflow, sediment, pesticide and nutrient to the main channel in corresponding sub-basin. Afterwards the routing phase converges the land phase results to the watershed outlet through the channel network."

Comment: Moreover, concerning the parameter heterogeneity and variability, it has to be clarified that some parameters (e.g. included in .bsn) are fixed for the whole catchment, others can be modified for each subbasins and a third group can be varied for each HRU. The authors should mention that the unit hydrograph is parameterized in the SWAT model version on the catchment level, which means that no spatial variation within a catchment is possible. In general, the SWAT model also allows a parameter variation on subbasin or HRU level. This has to be considered in the whole manuscript. In addition, the sentence in the abstract in L. 18 has to be more precise to avoid a misunderstanding.

Reply: Thank you very much for your advice. We've realized that the parameter heterogeneity and variability are important issues in distributed or semi-distributed hydrological model application.

The following description is added to the fifth paragraph in section 1:

“Due to the spatial discretization in the SWAT model, the model parameters are categorized into three levels: (1) basin level parameters are fixed for the whole catchment; (2) sub-basin level parameters are varied with sub-basins; (3) HRU level parameters are distributed in different HRUs.”

The sentence “In addition, the SWAT model provides a uniform parameter set with which to adjust the shape of the UH in each sub-basin.” in the fifth paragraph in section 1 is rephrased as follows:

“By default, the UH related parameters in the SWAT model are on the basin level, which indicates that no spatial variation within a catchment is possible when adjusting the shape of the UH in each sub-basin.”

The sentence in the abstract in L. 18 is changed as follows:

“SWAT uses a basin level parameter that is fixed for the whole catchment to parameterize the Unit Hydrograph (UH), thereby ignoring the spatial heterogeneity among the sub-basins when adjusting the shape of the UHs.”

Comment: The SWAT model version 2005 is a very old one. SWAT2009 and SWAT2012 are available since several years. Thus, please justify the use of SWAT2005. This is in particular relevant since the SWAT model was continuously improved and bugs were removed. Thus, the newer versions are certainly better. Please give a statement on this.

The justification for the use of SWAT2005 (P.3, L. 13-15) cannot be accepted. Certainly it is possible to use SWAT-CUP for calibration, but it is certainly possible to use different calibration method for all SWAT version. There are lots of study using a different calibration approach for the SWAT model. Moreover a link between the use of SWAT2005 and the selected study catchment is not clear. Thus, please remove this part and provide a better explanation why SWAT2005 was selected instead of SWAT2009 or SWAT2012.

Reply: We propose to add the following for justification at the last paragraph in section 1:

“SWAT is an open-source code model, which makes it possible to produce such a modification. The SWAT2005 version has an existing auto-calibration module and such integrated design of model simulation and auto-calibration is easily manageable and modified since there is no need to couple external optimization algorithms.”

Comment: It is not acceptable to use only four sub-basins for 30630 km² catchment and claim at the same time limitations in spatial heterogeneity. According to my experience at least more than 100

subbasins can be expected for this catchment size. In particular, since the manuscript is focused on spatial heterogeneity, it is surprising that the subbasin number is very low.

Reply: We agree with the reviewer for this comment. Sub-basin is assumed homogeneous with parameters at the sub-basin level. Since this paper discussed the spatial differences in model parameter, we are going to redefine the sub-basins and do all the simulations again. In the revised manuscript, we add the following statement to describe the watershed delineation in the second paragraph in section 2.2:

“Since there is no specific instruction to subdivide the catchment, the threshold sub-basin size was decided by the model developer, depending on the computational time and the size of the catchment (Romanowicz et al., 2005). Consequently, the study catchment was divided into 21 sub-basins according to the given threshold of 844.64 km², as shown in Fig. 1.”

Comment: Moreover, the SWAT model only provides spatially located outputs for each subbasin. In contrast, the authors stated that there are 138 gauges available. Thus, why do you not define a separate subbasin for each gauge or at least for the majority of the gauges? This would be even more a good approach to consider spatial heterogeneity.

Reply: Since we consider redefinition of the sub-basins, we will use the Taisen Polygon Method to calculate area rainfall in each sub-basin to consider the spatial heterogeneity of rainfall gages.

Comment: The evaluation of the model results with Nash-Sutcliffe efficiency coefficient (ENS) and coefficient of determination (R2) is redundant. Both indices are mathematically closely related. R2 did not provide any additional knowledge about process or parameter behaviour. Even though that I am aware that there are still publications using ENS and R2, it is not anymore state-of-the-art. At the same time, the use of three performance criteria is recommended. Thus, please select in addition to ENS and PBIAS, a contrasting performance criteria which provides additional information and replace R2.

Reply: We appreciate the comment. We would like to use the ratio of the root mean square error to the standard deviation of measured data (R_{SR}) instead of R^2 . The R_{SR} index standardizes the root mean square error using the observations standard deviation, varying from 0 to a positive value. The optimal value of R_{SR} is 0, which indicates the perfect model simulation.”

Comment: I do not think that your approach really shows an example for flood forecasting. It is a sub-daily model studies, but I do not see that there is a forecasting. The model is calibrated and validated.

Could you please provide more information on how your approach is related to flood forecasting? Or say that this approach might be also beneficial for flood forecasting, but this was not considered here or will be part of future projects?

Reply: Thanks for your comment. We want to make some explanations here.

The hydrological model itself is not an example for flood forecasting, but a core part of the flood forecasting system. The quality of the hydrological model (in terms of its structure and parameter estimates) is one of the important factors for accurate flood forecasting (Noh et al., 2014). Thus this paper mainly focused on the modification of the structure of the original SWAT model to verify its suitability for flood simulation in study area, which is tightly related to the flood forecasting.

Meantime, model parameter estimation is an inevitable issue accompanied by the application of the hydrological model. Typically, calibration is performed by using multiple historical flood events data. Subsequently, the model validation consists in running the model under another group of historical flood events, with the input of parameter values thus being estimated in the calibration phase. This kind of calibration and validation is the common solution in many flood forecasting practices (Hapuarachchi and Wang, 2008). Thus the modified SWAT model was calibrated with 16 flood events from 1991 to 2004 and validated with 8 flood events from 2005 to 2010 in this paper.

In addition, Berthet et al. (2009) declared that the major drawback of the continuous simulation lies in its data requirements: long continuous precipitation time series up to the day of interest are difficult to obtain in an operational forecasting perspective. Yao et al. (2014) claimed that long continuous daily simulations are implemented to compute the soil moisture states that are used as antecedent conditions for the flood events in the operational use. Therefore the Figure 3 in this paper showed the operation at two time scales (i.e., continuous daily simulation and event-base sub-daily simulation).

In summary, this study addressed the model-based problems that related to flood forecasting. However we think it is still necessary to further clarify the relationship between the flood forecasting and our approaches.

The second paragraph in section 1: “A large number of distributed or semi-distributed hydrological models have been applied in flood forecasting (BEVEN and KIRKBY, 1979;Singh, 1997;Xiong and Guo, 2004;Mendes and Maia, 2017;Hapuarachchi et al., 2011).” replaces the “The Soil and Water Assessment Tool (SWAT) model (Arnold et al., 1998;Srinivasan et al., 1998;Arnold and Fohrer, 2005) is the most widely

used of the prevailing distributed models.” to emphasize the model-based approaches of flood forecasting.

We propose a paragraph explaining the approaches that have addressed the model structures and parameters issues in flood forecasting in the conclusions section:

“Flood forecasting is a synthetic system that integrates the data acquisition and processing, rainfall-runoff modeling and warning information release etc. Hydrological models are always the core part of the forecasting system. Model structures and model parameters are one of the most important issues for accurate flood forecasting (Noh et al., 2014). The original SWAT model is not competent to flood forecasting due to its initial design of long-term simulations with daily time-steps. This paper mainly focused on the modification of the structure of the original SWAT model to perform event-based simulation, which was applicable for the area without continuous long-term observations. The newly developed SWA-EVENT model was applied in the upper reaches of the Huaihe River. Model calibration and validation were made by the using of historical flood events, showing good simulation accuracy. To improve the spatial representation of the SWA-EVENT, the lumped UH parameters were then adjusted to the distributed ones. Calibration and validation results revealed the improvement of event-based simulation performances. This study expands the application of the original SWAT model in event-based flood simulation.”

Comment: I have one general major comment: The authors suggest to improve the SWAT model in two ways. At first, at the spatial level, the model parameter t_{adj} is moved from the catchment level to the subbasin level to allow an individual parameterization for each subbasin and thus to consider spatial heterogeneity. Secondly, at the temporal scale, a sub-daily modeling is suggested to improve the representation of flood peaks. Both aspects, spatial and temporal improvements, are not clearly enough separated. It would interesting to know why aspect improves the model more and in which part of the hydrograph. The study would benefit from a four-step comparison instead of a two-step comparison. To be more precise: I recommend to add two cases: (1) Sub-daily calculation with t_{adj} at catchment level (without t_{subadj}) and the opposite case (2) daily calculation with t_{adj} at subbasin level (with t_{subadj}).

Reply: Thanks for your comment. We assume the referee is here referring the four-step comparison:

daily calculation with catchment level parameter (t_{adj});

daily calculation with sub-basin level parameter (t_{subadj});

sub-daily calculation with catchment level parameter (t_{adj});

sub-daily calculation with sub-basin level parameter (t_{subadj}).

If so, we want to respectfully clarify some confusions. In SWAT model, the Unit Hydrograph (UH) method is only used for sub-daily simulation, rather than a daily simulation. The main reason of this situation is that the flood hydrograph resulting from a known storm often vary significantly within sub-daily time-scales, while the daily calculation may exceed the time of concentration for most of the sub-basins. Even for large sub-basins with a time of concentration greater than 1 day, SWAT has incorporated a lag equation to store a portion of the surface runoff release to the main channel. Thus we think there are no such cases: daily calculation with basin level parameter (t_{adj}) and daily calculation with sub-basin level parameter (t_{subadj}).

The other argument is that modification at the temporal level was the first step, and modification at the spatial level was the second step.

At the temporal level, there are two drawbacks in the application of the original SWAT model for event-based flood simulation: (1) algorithms with daily time step for some hydrological processes are implicitly assumed (2) the continuous long-term simulation loop of its initial design. This paper referenced the methodologies in a previous study (Jeong et al., 2010) to solve the aforementioned problem (1). Then this paper broke down the continuous cycle of the model structure to solve the problem (2). With this, the SWAT-EVENT model was developed to simulate the event-based floods. At the spatial level, the UH parameter was modified from basin level to sub-basin level to represent the spatial heterogeneity of studied catchment, expecting a more reasonable UH characterization in the SWAT-EVENT model.

This paper used a two-step analysis to prove the improvement of the SWAT model for event-based flood simulation. Firstly, as noted in section 4.2, the newly developed SWAT-EVENT model simulated with the refined sub-basin level UH parameter (t_{subadj}). Nash-Sutcliffe efficiency coefficient (E_{NS}), relative peak discharge error (E_{RP}), relative peak time error (E_{RPT}) and relative runoff volume error (E_{RR}) were used to evaluate the applicability of the SWAT-EVENT model for event-based flood simulation. In addition, SWAT-EVENT model results were also compared to the daily simulation with the SWAT model to verify its superiority of the simulation in flood seasons. Secondly, as noted in section 4.3, in order to analyze the influences of UH parameters on the SWAT-EVENT model performances, the lumped parameter (t_{adj}) was then calibrated while the other parameters remained unchanged exactly as the distributed case was calibrated. At this stage, the SWAT-EVENT model was simulated with different UH methods while keeping other modeling conditions consistent, the changes in simulation results would be directly attributable to the UH

parameters.

Comment: The model modification of Jeong et al. (2010) to simulate on sub-daily resolution needs to be explained and not only mentioned (P.5, L.4). This is a core point of the manuscript. The readers need to understand this modification without reading the paper of Jeong et al. (2010).

Reply: Thank you for your valuable suggestion. Model modification of Jeong et al. (2010) is explained in the revised manuscript in the second paragraph in section 3.1.

Comment: You have mentioned that the SWAT is in its default version not adapted to sub-daily flood peak simulations. Keeping in mind that a large number of models is available: Why do you have selected the SWAT model and not a model which is focused on the hydrograph simulation. The major points of the SWAT model such as nutrient simulation, detailed land managements operation etc. are not relevant for your study.

Reply: The perceptive comment shows the reviewer's knowledge in the field, and we have to admit that the SWAT model is not the first choice of flood simulation because there are so many other models have good applicability in this field. Here we respectfully argue that this study still has certain scientific significance. Though the highlights of the model of the SWAT model are the predictions of the impact of land management practices on water, sediment and agricultural chemical yields, runoff simulation is always a fundamental. Moreover, we have noticed that the study on the event-based water quality assessment has been a hot topic (He et al., 2010;Nguyen and Meon, 2013;He et al., 2011). Therefore the improvement of the SWAT model for event-based flood simulation will lay the lay the foundation for event-based water quality modeling. To emphasize our points, the following statement is added to the conclusion section:

“Event-based runoff quantity and quality modeling has become a challenge task since the impact of hydrological extremes on the water quality is particularly important. The improvement of the SWAT model for event-based flood simulation will lay the foundation for dealing with the event-based water quality issues.”

Comment: The model results from SWAT2005 are used as input for SWAT-EVENT to simulation the flood peak. Is the model output of SWAT-EVENT then transfered back to SWAT2005? This point might be relevant since the first two flood events occured with a time lag of 19 days (P.9, L. 11). Thus, I expect a difference in the model states at the end of the first flood between SWAT and SWAT-EVENT. In this context, I like to mention that SWAT-EVENT does not impact the amount of water available in the system,

but the water redistribution.

Reply: The answer to the question “Is the model output of SWAT-EVENT then transferred back to SWAT2005” is no. In fact, the daily SWAT model and the sub-daily SWAT-EVENT model were executed independently. According to Figure 3, the continuous daily SWAT model ran first to calculate the antecedent conditions for each flood event. After this, the SWAT-EVENT model began to run. Such continuous soil moisture modeling using the daily data series to estimate sub-daily initial conditions would be a traditional solution for the derivation of the antecedent moisture conditions, as suggested by Nalbantis (1995).

Comment: P.5, L.16-29: This part needs to be reformulated to present the idea in a better way. In the current version, it is difficult to understand.

Reply: We agree that better reformulation about the modification of the SWAT model should be presented. This part has been removed. Reorganization of this part is as follows:

“However, the event-based modeling requires a separate method to derive the antecedent conditions of model states. The combination of daily continuous modeling and sub-daily event-based modeling was used in this study (Fig. 3). A continuous daily rainfall sequence was imported into the original SWAT model to independently perform long-term daily simulations. In the SWAT model, there are another two subroutines "varinit" and "rchinit" initializing the daily simulation variables for the land phase of the hydrologic cycle and the channel routing, respectively. In the SWAT-EVENT model, condition judgments were added into those two initialization subroutines. That is, when the simulation process is at the beginning of a given flood event, antecedent soil moisture and antecedent reach storage are set equal to the respective values extracted from the long-term daily simulations of the original SWAT model; otherwise, they should be updated by the SWAT-EVENT model simulation states of the previous day.”

Comment: P. 7, L. 1-2: This is a major point of the manuscript and has to be emphasised. A new model parameter is introduced at the subbasin level to include spatial heterogeneity. This is really important that it becomes clear.

Reply: Thank you for the suggestion. We think it is really necessary to add more descriptions for this major point. The last paragraph in section 3.2 is changed to:

“According to Eq. (2), the time base of the UH (t_b) is determined by both concentration time for the sub-basin (t_c) and shape adjustment factor (t_{adj}) concurrently. As seen in Fig. 1 and Table 2, there are obvious spatial differences of the geographical attributes among sub-basins. For instance, the values of

sub-basin area vary from 2.94 km² to 4795.46 km² with the average value of 1437.12 km², and the mean slopes in source sub-basins (e.g. sub 1, sub 16, sub 19, sub 20 and sub 21) are much steeper than those in downstream sub-basins (e.g. sub 7, sub 8 and sub 11). As a result, the sub-basin concentration time t_c synthesizes all those geographical attributes and it can fully present the spatial differences among sub-basins according to Eq. (5) and (6). However, the parameter t_{adj} in Eq. (2) is a basin level parameter possessing a lumped value for all sub-basins, meaning that the spatial heterogeneity of t_b may be homogenized due to the constraints between sub-basins. Generally, the time base of triangular UH (t_b) should be reduced to produce increased peak flow for steep and small sub-basins, or increased to produce decreased peak flow for flat and large sub-basins. Thus, the shape adjustment parameter t_{adj} was modified from the basin level to the sub-basin level, and renamed t_{subadj} which allowed the UHs to be adjusted independently by distributed values.”

Comment: P. 11, L. 18-19: This statement is not right. The SWAT model is not limited in representing low flows. It is more that there is a trade-off between high and low flow simulations at the same time. At it is true that it is difficult to represent high and low flows in a very good quality with the same model run. This is by the way an often occurring problem in hydrological modelling. The major point here is that the selection of the performance measures is at the same time a decision on the study focus. By selecting the NashSutcliffe Efficiency high flows are more weighted than low flows. Thus, it would not be a surprise if the high flows are well represented while low flows perform poorly. This results could be different if using ENSlog or a different performance measure related to low flows. Please improve this statement.

Reply: Thank you very much for pointing out my mistake. The following statement is going to correct the mistake:

“On the one hand, the SWAT model was calibrated using the sum of squares of the residuals as the objective function, which was more sensitive to high flows than low flows. Thus the calibration results ensured the simulation accuracy at the expense of the low flow performances”

Comment: The aspect of flood forecasting is strongly emphasised in the conclusion. I still do not see that the strength of the manuscript is related to flood forecasting. Please rework the conclusion accordingly.

Reply: We will rework the whole conclusion section as follows:

“Flood forecasting is a synthetic system that integrates the data acquisition and processing, rainfall-runoff modeling and warning information release etc. Hydrological models are always the core part of the forecasting system. Model structures and model parameters are one of the most important issues for accurate flood forecasting (Noh et al., 2014). The original SWAT model was not competent to flood forecasting due to its initial design of long-term simulations with daily time-steps. This paper mainly focused on the modification of the structure of the original SWAT model to perform event-based simulation, which was applicable for the area without continuous long-term observations. The newly developed SWA-EVENT model was applied in the upper reaches of the Huaihe River. Model calibration and validation were made by the using of historical flood events, showing good simulation accuracy. To improve the spatial representation of the SWA-EVENT, the lumped UH parameters were then adjusted to the distributed ones. Calibration and validation results revealed the improvement of event-based simulation performances. This study expands the application of the original SWAT model in event-based flood simulation.

The determination of hydrological model parameters is an inevitable process before flood forecasting. Parameter estimations of distributed or semi-distributed hydrological models commonly depend on automated calibration procedure due to overparametrization. The optimal parameters of the SWAT-EVENT model were obtained by the automatic parameter calibration module that integrated SCE-UA algorithm in this study. However, several factors such as interactions among model parameters, complexities of spatio-temporal scales and statistical features of model residuals may lead to the parameter non-uniqueness, which is the source of the uncertainty in the estimated parameters. Uncertainty of model parameters will be finally passed to the model results, hence leading to certain risks in flood forecasting. In the future, emphasis will be placed on the quantification of the parameter uncertainty to provide better supports for flood operations.

Event-based runoff quantity and quality modeling has become a challenge task since the impact of hydrological extremes on the water quality is particularly important. The improvement of the SWAT model for event-based flood simulation will lay the foundation for dealing with the event-based water quality issues.”

2. Responses to specific comments

Comment: P.3, L.3: How do you "relate hydrologic response to specific catchment characteristics"?

By parameter settings?

Reply: The dimensionless UH used in the SWAT model is just one form of the Synthetic Unit Hydrograph (SUH), which can be used to the ungauged catchments. The SUH was derived from catchment characteristics rather than rainfall-runoff data (Bhunya, 2011). According to Equation (3), the UH was defined based on the hydrologic property of the catchment.

Comment: P.4, L. 18: The weather generator is only used in the case of missing climate data. Please improve this statement.

Reply: We suggest the following statement to be a replacement:

“The SWAT model has developed a weather generator (WXGEN) to fill the missing climate data by the use of monthly statistics.”

Comment: P. 5, L. 21: It was not mentioned before that the SWAT model includes HRUs. Please improve the description of the SWAT model.

Reply: We suggest the following description to be added to the third paragraph in section 1:

“To spatially characterize the inhomogeneity, the SWAT model delineates a catchment into a number of sub-basins, which were subsequently divided into Hydrologic Response Units (HRUs). In SWAT model, HRUs are basic simulation units of the land phase of the hydrological cycle that controls the total yield of streamflow, sediment, pesticide and nutrient to the main channel in corresponding sub-basin. Afterwards the routing phase converges the land phase results to the watershed outlet through the channel network.”

Comment: P. 7, L. 9: Please denote the 26 parameters, maybe in the attachments.

Reply: Thanks for the good suggestion. We denote the model parameters in Appendix A.

Comment: P. 8, L.13: Please explain the three indices, at best with equations.

Reply: We explain the three indices with equations (7), (8) and (9).

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Response to Interactive comment Anonymous Referee #2

We heartily appreciate the reviewer's assessment on this study and the valuable suggestions provided to improve this manuscript. We hereby provide our point by point responses how the comments by referee #2 will be addressed in the revised manuscript.

Comment: General Comment: I would expect that in 2017 SWAT modeller would use the newest version of SWAT 2012 especially as next year SWAT+ a new generation of the model will be presented. However I can understand that simpler structure of the 2005 version is easily manageable and modified when you start with this kind of research. Introduction P3, L13-14: Please better justify selection of SWAT 2005. Current justification is not satisfactory.

Reply: We propose to add the following for justification:

“SWAT is an open-source code model, which makes it possible to produce such a modification. The SWAT2005 version has an existing auto-calibration module and such integrated design of model simulation and auto-calibration is easily manageable and modified since there is no need to couple external optimization algorithms.”

Comment: 2.2 Model dataset P4, L18-21: I am surprised that you used Weather generator. That is really rare. The area is very large I would expect to have at least some data. Did you also use it for precipitation? And why data back to 1979 if you're modelling period 1991 – 2010.

Reply: Thanks for the comments. We want to make some explanations here. Weather generator is only used in the case of missing climate data. In this study only the observed rainfall data were available while the other climatic data such as relative humidity, wind speed, solar radiation and the minimum and maximum air temperatures were unavailable. Therefore we did not use weather generator for precipitation and we downloaded those unavailable climatic data from the Climate Forecast System Reanalysis (CFSR) during the time period 1979-2010 to calculate the statistical characteristics for weather generator. And we modeling the period 1991-2010 because the observed rainfall and flow data were available in that period. We think the last paragraph in section 2.2 has illustrated the usage of the observed rainfall data.

We suggest the following statement to illustrate the usage of the weather generator in the fourth paragraph in section 2.2:

“The SWAT model has developed a weather generator (WXGEN) to fill the missing climate data by the

use of monthly statistics.”

Comment: How did you model land use management (.mgt) where did you obtain the data.

Reply: We think that the land use management is not within the scope of this study. The land use management (.mgt) file contains input data for planting, harvest, irrigation applications, nutrient applications, pesticide applications, and tillage operations. We used the default setting for these operations in .mgt file.

Comment: Please add table with data used in the model. For example refer to this manuscripts: Glavan, M., Ceglar, A. and Pintar, M., 2015. Assessing the impacts of climate change on water quantity and quality modelling in small Slovenian Mediterranean catchment - lesson for policy and decision makers. Hydrological Processes, 29(14): 3124-3144.

Reply: Thanks for your good suggestion. The following table is added to the section 2.2-Model dataset:

Data	Resolution	Source	Description
DEM	90m×90m	http://srtm.csi.cgiar.org/	Digital Elevation Model
Land use	1km×1km	http://www.landcover.org/	Land use classification
Soil	30 arc-second	http://www.fao.org/soils-portal/soil-survey/soil-maps-and-databases/harmonized-world-soil-database-v12/en/	Soil type classification and characterization of soil parameters
Global weather data	30 stations	https://globalweather.tamu.edu/	Relative humidity, wind speed, solar radiation and the minimum and maximum air temperatures
Observed rainfall	138 gauges	Hydrologic Bureau of Huaihe River Commission	Daily data: 1991-2010; subdaily data: flood periods during 1991-2010
Observed streamflow	1 gauge	Hydrologic Bureau of Huaihe River Commission	Wangjiaba station, daily data for 1991-2010, sub-daily data for flood periods during 1991-2010

Comment: 3.1 Development of : : P5, L4: If you are following the method proposed by Jeong et al. (2010) please describe why and for what purpose was it made or used.

Reply: Thank you for your valuable suggestion. Model modification of Jeong et al. (2010) is explained in the revised manuscript in the second paragraph in section 3.1.

Comment: 3.3 Model calibration Please introduce table with parameters used in calibration. Include also default value, range, final value. For example refer to this manuscripts: Glavan, M., Ceglar, A. and Pintar, M., 2015. Assessing the impacts of climate change on water quantity and quality modelling in small Slovenian Mediterranean catchment - lesson for policy and decision makers. Hydrological Processes, 29(14): 3124-3144. This manuscript should also be part of introduction or discussion chapters as it clearly describes the process that need to be followed while using SWAT model.

Reply: Thanks for your good suggestion. We denote the model parameters in Appendix A.

Comment: Please clearly describe what the scenarios were. I assume you had three scenarios as follows out from Table 3 where you presented for certain version (I assume SWAT-EVENT, please write this in title of the table) three scenarios Daily simulation, Basin level UH parameter simulation and Sub-basin level UH parameter simulation. From Figure & I can see you had two scenarios Simulated daily discharge SWAT and simulated sub-daily discharge SWAT-EVENT. In methodologies clearly describe what is base scenario and to which scenario is it compared.

Reply: Yes, three scenarios are:

- (a) daily simulation with SWAT model;
- (b) SWAT-EVENT model with sub-basin level UH parameter (t_{subadj}) for even-based simulation;
- (c) SWAT-EVENT model with basin level UH parameter (t_{adj}) for event-based simulation while keeping the other model parameters in line with the scenario (b).

We assume the referee is here referring Figure 6. This paper used a two-step analysis to prove the improvement of the SWAT model for event-based flood simulation. Firstly, as noted in section 4.2, the newly developed SWAT-EVENT model simulated with the refined sub-basin level UH parameter (t_{subadj}). Nash-Sutcliffe efficiency coefficient (E_{NS}), relative peak discharge error (E_{RP}), relative peak time error (E_{RPT}) and relative runoff volume error (E_{RR}) were used to evaluate the applicability of the SWAT-EVENT model for event-based flood simulation. In addition, SWAT-EVENT model results were also compared to the daily simulation with the SWAT model to verify its superiority of the simulation in flood seasons. Secondly, as noted in section 4.3, in order to analyze the influences of UH parameters on the SWAT-EVENT model performances, the lumped parameter (t_{adj}) was then calibrated while the other parameters remained unchanged exactly as the distributed case was calibrated. At this stage, the SWAT-EVENT model was simulated with different UH methods while keeping other modeling conditions consistent, the changes in simulation results would be directly attributable to the UH parameters.

Comment: 5 Discussion P10, L28-30: Sentences from previous chapters are often repeated.

Reply: We delete this part to avoid repeated.

Comment: Conclusions P12, L16-30: All the text in the conclusions is just repeated from previous chapters. Delete existent text and please write down answers to this questions in conclusions: Why is this research unique? What are the shortcomings/uncertainties of this research? What did us and science

community learned from it? Future work?

Reply: We will rework the whole conclusion section as follows:

“Flood forecasting is a synthetic system that integrates the data acquisition and processing, rainfall-runoff modeling and warning information release etc. Hydrological models are always the core part of the forecasting system. Model structures and model parameters are one of the most important issues for accurate flood forecasting (Noh et al., 2014). The original SWAT model was not competent to flood forecasting due to its initial design of long-term simulations with daily time-steps. This paper mainly focused on the modification of the structure of the original SWAT model to perform event-based simulation, which was applicable for the area without continuous long-term observations. The newly developed SWA-EVENT model was applied in the upper reaches of the Huaihe River. Model calibration and validation were made by the using of historical flood events, showing good simulation accuracy. To improve the spatial representation of the SWA-EVENT, the lumped UH parameters were then adjusted to the distributed ones. Calibration and validation results revealed the improvement of event-based simulation performances. This study expands the application of the original SWAT model in event-based flood simulation.

The determination of hydrological model parameters is an inevitable process before flood forecasting. Parameter estimations of distributed or semi-distributed hydrological models commonly depend on automated calibration procedure due to overparametrization. The optimal parameters of the SWAT-EVENT model were obtained by the automatic parameter calibration module that integrated SCE-UA algorithm in this study. However, several factors such as interactions among model parameters, complexities of spatio-temporal scales and statistical features of model residuals may lead to the parameter non-uniqueness, which is the source of the uncertainty in the estimated parameters. Uncertainty of model parameters will be finally passed to the model results, hence leading to certain risks in flood forecasting. In the future, emphasis will be placed on the quantification of the parameter uncertainty to provide better supports for flood operations.

Event-based runoff quantity and quality modeling has become a challenge task since the impact of hydrological extremes on the water quality is particularly important. The improvement of the SWAT model for event-based flood simulation will lay the foundation for dealing with the event-based water quality issues.”

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Improvement of the SWAT model for event-based flood forecasting on a sub-daily time scale

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Abstract. Flooding represents one of the most severe natural disasters threatening the development of human society. Flood forecasting systems imbedded with hydrological models are some of the most important non-engineering measures for flood defense. The Soil and Water Assessment Tool (SWAT) is a well-designed hydrological model that is widely applied for runoff and water quality modeling. The original SWAT model is a long-term yield model. However, a daily simulation time step and continuous time marching limit the application of the SWAT model for detailed, event-based flood forecasting. In addition, SWAT uses a basin level parameter that is fixed for the whole catchment to parameterize the Unit Hydrograph (UH), thereby ignoring the spatial heterogeneity among the sub-basins when adjusting the shape of the UHs. This paper developed a method to perform event-based flood forecasting on a sub-daily time scale based on SWAT2005 and simultaneously improved the UH method used in the original SWAT model. First, model programs for surface runoff and water routing were modified for a sub-daily time scale. Subsequently, the entire loop structure was broken into discrete flood events in order to obtain a SWAT-EVENT model in which antecedent soil moisture and antecedent reach storage could be obtained from daily simulations of the original SWAT model. Finally, the original lumped UH parameter were refined into distributed parameters to reflect the spatial variability of the studied area. The modified SWAT-EVENT model was used in the Wangjiaba catchment located in the upper reaches of the Huaihe River in China. Daily calibration and validation procedures were first performed for the SWAT model with long-term flow data from 1990 to 2010, after which sub-daily ($\Delta t = 2 \text{ h}$) calibration and validation in the SWAT-EVENT model were conducted with 24 flood events originating primarily during the flood seasons within the same time span. Daily simulation results demonstrated good model performances with Nash-Sutcliffe efficiency coefficient (E_{NS}) values of 0.80 and 0.83 for the calibration and the validation, respectively. Event-based flood simulation results indicated reliable performances, with E_{NS} values varying from 0.68 to 0.93. The SWAT-EVENT model, compared to the SWAT model, particularly improved the simulation accuracies of the

批注 [L1]: Modified the description of the UH parameter used in the SWAT model.

批注 [L2]: The improvement of the UH method was added.

批注 [L3]: Change the "acceptable" to "good" for daily simulation results.

批注 [L4]: Since the daily SWAT model was re-built, the simulation results was changed.

批注 [L5]: Since the event-based SWAT-EVENT model was re-built, the simulation results was changed.

flood peaks. Furthermore, the SWAT-EVENT model results of the two UH parameterization methods indicated that the use of the distributed parameters resulted in a more reasonable UH characterization and better model fit compared to the lumped UH parameter.

Keywords: SWAT model; Event-based flood forecasting; Antecedent conditions; Unit Hydrograph

批注 [L6]: The effect of UH parameterization methods on the SWAT-EVENT model results.

5 1 Introduction

A flood represents one of the most severe natural disasters in the world. It has been reported that nearly 40 % of losses originating from natural catastrophes are caused by floods (Adams Iii and Pagano, 2016). Numerous measures have been designed to defend against the threats of flooding. Of the many non-engineering measures, flood forecasting is one of the most important. A complete flood forecasting system consists of many different functional components, the most significant of which is the hydrological model.

Numerous hydrological models have been developed since their first appearance. According to the spatial discretization method, these existing hydrological models can be divided into two categories: lumped models and distributed (semi-distributed) models (Maidment, 1994). Although lumped models are commonly accepted for research and associated applications, they are not applicable to large catchments since they do not account for the heterogeneity of the catchments (Yao et al., 1998). Meanwhile, distributed (semi-distributed) models subdivide the entire catchment into a number of smaller heterogeneous sub-units with dissimilar attributes. A large number of distributed or semi-distributed hydrological models have been applied in flood forecasting (BEVEN and KIRKBY, 1979; Singh, 1997; Xiong and Guo, 2004; Mendes and Maia, 2017; Hapuarachchi et al., 2011).

批注 [L7]: This part tried to relate the hydrological models to the flood forecasting.

The Soil and Water Assessment Tool (SWAT) model was developed by the United States Department of Agriculture (USDA) in 1994 and represents a typical semi-distributed hydrological model that can simulate long-term surface and subsurface discharge, sediment deposition, nutrient transport and transformation processes under varying land uses, soil types and management conditions. To spatially characterize the inhomogeneity, the SWAT model delineates a catchment into a number of sub-basins, which were subsequently divided into Hydrologic Response Units (HRUs). In the SWAT model, HRUs are basic simulation units of the land phase of the hydrological cycle that controls the total yield of streamflow, sediment, pesticide and nutrient to the main channel in corresponding sub-basin. Afterwards the routing phase converges the land phase results to the watershed outlet through the channel network. The SWAT model has been widely applied throughout the world (Gassman et al., 2010), with corresponding research involving runoff simulation, non-point source pollution, model parameters, hydrological responses to changed scenarios and so on.

批注 [L8]: More precisely speaking, distributed is changed to semi-distributed.

SWAT is a continuous (i.e., long-term) model (Kiniry et al., 2005) with a limited applicability toward simulating instantaneous hydrologic responses. Therefore, Jeong et al. (2010) extended the capability of SWAT to simulate operational sub-daily or even sub-hourly hydrological processes, the modifications of which primarily focused on the model algorithms to enable the SWAT model to operate at a finer time scale with a continuous modeling loop. According to flood forecasting

批注 [L9]: This part explained the method of discretization in the SWAT model.

programs and technology in China (MWR, 2009), rainfall and discharge observations at a sub-daily time scale are usually only collected during flood periods, while daily data are measured otherwise. Hydrological models are usually applied at different time scales (i.e., a daily time scale for continuous simulations and a sub-daily time scale for event-based flood forecasting) according to the availability of observed rainfall and discharge data (Yao et al., 2014). Hence, a major constraint for the application of the SWAT model as modified by Jeong et al. (2010) is the conflict between a continuous simulation loop and the discontinuous observed sub-daily data in China.

To capture the sophisticated characteristics of flood events at a sub-daily time scale, a refinement of the spatial representation within the SWAT model is necessary. A dimensionless Unit Hydrograph (UH), which was distributed as a triangular shape and embedded within an sub-daily overland flow routing process in the SWAT model, was applied to relate hydrologic responses to specific catchment characteristics, such as the dimensions of the main stream and basin area, through applications of Geographic Information System (GIS) or Remote Sensing (RS) software (Jena and Tiwari, 2006).

Due to the spatial discretization in the SWAT model, the model parameters are grouped into three levels: (1) basin level parameters are fixed for the whole catchment; (2) sub-basin level parameters are varied with sub-basins; (3) HRU level parameters are distributed in different HRUs. By default, the UH-specific parameters in the SWAT model are programmed on the basin level, which means that no spatial variation within a catchment is possible when adjusting the shape of the UH in each sub-basin. Given the spatial heterogeneity of the catchment, the application of this basin level adjustment parameter seems to be rather unconvincing. Moreover, because a great deal of research has primarily focused on daily, monthly or yearly simulations using the SWAT model, little effort has actually been provided toward demonstrating the usage of the UH method in the SWAT model.

This study developed a method to perform event-based flood forecasting on a sub-daily time scale based on the SWAT model and simultaneously improved the UH method used in the original SWAT model in the upper reaches of the Huaihe River in China. SWAT is an open-source code model, which makes it possible to produce such a modification. The SWAT2005 version has an existing auto-calibration module and such integrated design of model simulation and auto-calibration is easily manageable and modified since there is no need to couple external optimization algorithms.

批注 [L10]: This part clarified the three levels of the SWAT parameters.

批注 [L11]: This part justify the selection of the SWAT2005.

2 Study area and data

2.1 Study area

The Huaihe River basin (30°55'–36°36' N, 111°55'–121°25' E) is situated in the eastern part of China. The Wangjiaba (WJB) catchment is situated within the upper reaches of the Huaihe River basin and was chosen as the study area for this paper (see Fig. 1). The WJB catchment has a drainage area of 30630 km², wherein the long channel reaches from the source region to the WJB outlet. The southwestern upstream catchment is characterized as a mountain range with a maximum elevation of 1110 m above sea level. The central and eastern downstream regions are dominated by plains. The study catchment is a

subtropical zone with an annual average temperature of 15 °C. The long-term average annual rainfall varies from 800 mm in the north to 1200 mm in the south. Since the catchment is dominated by a monsoon climate, approximately 60 % of the annual rainfall is received during the flood season ranging from mid-May to mid-October. Severe rainfall events within the study area typically transpire during the summer, frequently resulting in severe floods (Zhao et al., 2011).

5 2.2 Model dataset

To construct and execute the SWAT model, a Digital Elevation Model (DEM), together with land use and soil type data, is required. Climate data, including that of rainfall, temperature, wind speed, etc., are also used. Table 1 lists the model data used in this study.

The DEM data in this study were downloaded from the website of the U.S. Geological Survey (USGS) with a spatial resolution of 90 m. Since there is no specific instruction to subdivide the catchment, the threshold sub-basin size was decided by the model developer, depending on the computational time and the size of the catchment (Romanowicz et al., 2005). Consequently, the study catchment was divided into 21 sub-basins according to the given threshold of 844.64 km², as shown in Fig. 1. The geographic features of all the sub-basins are displayed in Table 2.

A land use map was produced from the Global Land Cover 2000 (GLC2000) data product with a grid size of 1 km (Bartholomé and Belward, 2005). Six categories of land use were identified for this catchment, as are shown in Fig. 2 (a): agricultural land (80.51 %), forest-deciduous (6.76 %), forest-evergreen (2.26 %), range-brush (1.09 %), range-grasses (8.09 %) and water (1.29 %).

Soil data were obtained from the Harmonized World Soil Database (HWSD) with a spatial resolution of 30 arc-seconds. The HWSD also provides an attributed database that contains the physico-chemical characteristics of soil data worldwide (Nachtergaele et al., 2012). Since the built-in soil database within the SWAT model does not cover the study area, additional soil parameters were calculated using the method proposed by Jiang et al. (2014). Fig. 2 (b) exhibits the distribution of soil types in the study area according to the FAO-90 soil classification. Consequently, Eutric Planosols and Cumulic Anthrosols are the two main soil types with area percentages of 24.71 % and 19.95 %, respectively.

The SWAT model has developed a weather generator (WXGEN) to fill the missing climate data by the use of monthly statistics. Relative humidity, wind speed, solar radiation and the minimum and maximum air temperatures were obtained from the Climate Forecast System Reanalysis (CFSR), which was designed based on the forecast system of the National Centers for Atmospheric Prediction (NCEP) to provide estimation for a set of climate variability from 1979 to the present day. There were 30 weather stations included in the study catchment.

A dense rain gauge network consisting of 138 gauges is distributed throughout the study area, as illustrated in Fig. 1. Thiessen average rainfall was calculated to incorporate spatially variable rainfall in each sub-basin. Daily observed rainfall data were retrieved from 1991 to 2010 with coverage during the entire year, while sub-daily ($\Delta t = 2 \text{ h}$) rainfall data are only available for flood periods from May to September during the years 1991 and 2010.

批注 [L12]: The model data used in this study is presented in Table 1.

批注 [L13]: The catchment was re-delineated. There were 21 sub-basins.

批注 [L14]: The statement of the weather generator used in the SWAT model.

批注 [L15]: Weather stations used in this study.

批注 [L16]: Interpolation methods for rainfall used in this study.

3 Methodologies

3.1 Development of a sub-daily event-based SWAT model

The original SWAT model was designed for continuous simulations using a daily time step. The SWAT model operates most effectively during the prediction of long-term catchment responses to land cover changes or soil management practices (Jeong et al., 2011). When faced with flood forecasting issues, a finer time scale is required to realistically capture the instantaneous changes representative of flood processes. Within the flood forecasting program and technology of China, discharges are observed daily during the dry seasons, which is intensified to sub-daily during flooding seasons in order to depict the details of flooding hydrographs and provide timely flood warnings (MWR, 2009).

Therefore, the original daily simulation-based SWAT model first needs to be modified in order to perform sub-daily simulations. In a previous study, the sub-daily and even the sub-hourly modeling capacities of the SWAT model have been developed to allow flow simulations with any time step less than a day (Jeong et al., 2010). In the original SWAT model, the surface runoff lag was estimated by a first order lag equation, which was represented by a function of the concentration time and the lag parameter. However, this lag equation was implicitly fixed with daily time interval. Jeong et al. (2010) then introduced the simulation time interval into the lag equation to lag a fraction of the surface runoff at the end of each time step. In addition, channel and impoundment routings were also estimated at operational time interval while other processes such as base flow and evapotranspiration were calculated by equally dividing the daily results over the time steps. In this study, the modifications from daily modeling to sub-daily modeling followed the methods proposed by Jeong et al. (2010). Second, the modified sub-daily SWAT model must be applied in such a manner to achieve the simulation of individual flooding events rather than to simulate in a continuous way, as performed in the original SWAT model. Event-based flood modeling is necessary for these reasons: (1) to enable the modelers to acknowledge the detailed information of up-coming floods and (2) to potentially conduct flood forecasting within a watershed without possessing continuously recorded hydrologic data at short time step. To enable the SWAT model to simulate flood events, the original source codes were modified and compiled into a new version known as SWAT-EVENT. In the source code of SWAT2005, the subroutine "simulate" contains the loops governing the hydrological processes following the temporal marching during the entire simulation period. Here, the continuous yearly loop was set into several flood events, meanwhile, the continuous daily loop was broken into flood periods according to the specific starting and ending dates.

However, the event-based modeling requires a separate method to derive the antecedent conditions of model states. The combination of daily continuous modeling and sub-daily event-based modeling was used in this study (Fig. 3). A continuous daily rainfall sequence was imported into the original SWAT model to independently perform long-term daily simulations. In the SWAT model, there are another two subroutines "varinit" and "rchinit" initializing the daily simulation variables for the land phase of the hydrologic cycle and the channel routing, respectively. In the SWAT-EVENT model, condition judgments were added into those two initialization subroutines. That is, when the simulation process is at the beginning of a

批注 [L17]: This part specifically described the modifications suggested by Jeong et al. (2010).

批注 [L18]: This part described how to broke the continuous time marching in the SWAT model.

given flood event, antecedent soil moisture and antecedent reach storage are set equal to the respective values extracted from the long-term daily simulations of the original SWAT model; otherwise, they should be updated by the SWAT-EVENT model simulation states of the previous day.

批注 [L19]: This part explained how to set the antecedent conditions for event-based simulation.

3.2 Application of Unit Hydrographs with distributed parameters

5 The dimensionless UH method employed in the SWAT model exhibits a triangular shape (SCS, 1972), as shown in Fig. 4, wherein the time t (h) represents the X-axis, and the ratio of the discharge to peak discharge represents the Y-axis. This UH is defined as follows:

$$q_{uh} = \frac{t}{t_p} \quad \text{if } t \leq t_p$$

$$q_{uh} = \frac{t_b - t}{t_b - t_p} \quad \text{if } t > t_p \quad (1)$$

10 where q_{uh} is the unit discharge at time t , t_p is the time to the peak (h), and t_b is the time base (h). Then, the dimensionless UH is expressed by dividing by the area enclosed by the triangle (Jeong et al., 2010). There are two time factors that determine the shape of the triangular UH, and they are defined by the following equations:

$$t_b = 0.5 + 0.6g_c + t_{adj} \quad (2)$$

$$t_p = 0.375g_s \quad (3)$$

15 where t_c is the concentration time for the sub-basin (h), and t_{adj} is a shape adjustment factor for the UH (Neitsch et al., 2011).

The time of concentration t_c can be calculated based upon the geographic characteristics of the sub-basin considered, for which t_c is denoted by the accumulation of the overland flow time t_{ov} (h) and the channel flow time t_{ch} (h):

$$t_c = t_{ov} + t_{ch} \quad (4)$$

$$t_{ov} = \frac{L_{slp}^{0.6} g^{0.6}}{18g S_{sub}^{0.3}} \quad (5)$$

$$20 \quad t_{ch} = \frac{0.62g L_{slp}^{0.75}}{A^{0.125} g S_{ch}^{0.375}} \quad (6)$$

where L_{slp} is the average slope length for the sub-basin under consideration (m); n is the Manning coefficient for the sub-basin; S_{sub} is the average slope steepness of the sub-basin ($m \cdot m^{-1}$); L is the longest tributary length in the sub-basin (km); A denotes the area of the sub-basin (km^2); and S_{ch} is the average slope of the tributary channels within the sub-basin ($m \cdot m^{-1}$).

According to Eq. (2), the time base of the UH (t_b) is determined by both concentration time for the sub-basin (t_c) and shape adjustment factor (t_{adj}) concurrently. As seen in Fig. 1 and Table 2, there are obvious spatial differences of the geographical attributes among sub-basins. For instance, the values of sub-basin area vary from 2.94 km² to 4795.46 km² with the average value of 1437.12 km², and the mean slopes in source sub-basins (e.g. sub 1, sub 16, sub 19, sub 20 and sub 21) are much steeper than those in downstream sub-basins (e.g. sub 7, sub 8 and sub 11). As a result, the sub-basin concentration time t_c synthesizes all those geographical attributes and it can fully present the spatial differences among sub-basins according to Eq. (5) and (6). However, the parameter t_{adj} in Eq. (2) is a basin level parameter possessing a lumped value for all sub-basins, meaning that the spatial heterogeneity of t_b may be homogenized due to the constraints between sub-basins. Generally, the time base of triangular UH (t_b) should be reduced to produce increased peak flow for steep and small sub-basins, or increased to produce decreased peak flow for flat and large sub-basins. Thus, the shape adjustment parameter t_{adj} was modified from the basin level to the sub-basin level, and renamed t_{subadj} which allowed the UHs to be adjusted independently by distributed values.

批注 [L20]: This part emphasised the need for modification of UH parameter in the SWAT model.

3.3 Model calibration and validation

3.3.1 Sensitivity analysis

Sensitivity analysis is a process employed to identify the parameters that result in significant changes within a model output due to disturbances of the input (Holvoet et al., 2005). Generally, sensitivity analysis takes priority over the calibration process to reduce the complexity of the latter (Sudheer et al., 2011). Here, a combined Latin-Hypercube and One-factor-At-a-Time (LH-OAT) sampling method embedded within the SWAT model (Griensven et al., 2006) was used to conduct a sensitivity analysis. A total of 26 model parameters related to the flow simulation were involved in sensitivity analysis (see Appendix A). Only the most sensitive parameters were used for the optimization procedure, while the values of the others parameters were set to their default values.

3.3.2 Daily model calibration and validation

Due to the high spatial heterogeneity within the hydrological processes simulated by semi-distributed hydrological models, the values of numerous parameters will be difficult to determine by manual calibration alone. Therefore, the application of an automatic calibration process to estimate the model parameters that minimize the errors between the observed and simulated results is necessary. The Shuffled Complex Evolution (SCE-UA) algorithm (Duan et al., 1992) is a global optimization technique that is incorporated as a module into the SWAT model. In this study, the SWAT-EVENT model employed the same built-in automatic calibration subroutine. The SCE-UA algorithm has been applied to multiple physically based hydrological models (Sorooshian et al., 1993; Luce and Cundy, 1994; Gan and Biftu, 1996) and has exhibited good

performance similar to other global search procedures (Cooper et al., 1997;Thyer et al., 1999;Kuczera, 1997;Jeon et al., 2014).

Daily simulations were performed within the time span, from 1990 to 2010, using observed data at the outlet of WJB. One year (1990) was selected as the model warm-up period, the period from 1991 to 2000 was used for the model calibration, and the remaining data from 2001 to 2010 were employed for validation.

Multiple statistical values, including the Nash-Sutcliffe efficiency coefficient (E_{NS}) (Nash and Sutcliffe, 1970), ratio of the root mean square error to the standard deviation of measured data (R_{SR}) (Singh et al., 2005) and the percent bias (P_{BIAS}) (Gupta et al., 1999), were selected in this study to evaluate the daily model performances, as shown in Eq. (7), (8) and (9). The E_{NS} provides a normalized statistic indicating how closely the observed and simulated data match with each other, wherein a value equal to 1 implies an optimal model performance insomuch that the simulated flow perfectly matches the observed flow. The R_{SR} index standardizes the root mean square error using the observations standard deviation, varying from 0 to a positive value. The optimal value of R_{SR} is 0, which indicates the perfect model simulation. The P_{BIAS} detects the degree that the simulated data deviates from the observed data.

$$E_{NS} = 1 - \frac{\sum_{i=1}^n (Q_{obs}(i) - Q_{sim}(i))^2}{\sum_{i=1}^n (Q_{obs}(i) - \bar{Q}_{obs})^2} \quad (7)$$

$$R_{SR} = \frac{\sqrt{\sum_{i=1}^n (Q_{obs}(i) - Q_{sim}(i))^2}}{\sqrt{\sum_{i=1}^n (Q_{obs}(i) - \bar{Q}_{obs})^2}} \quad (8)$$

$$P_{BIAS} = \left[\frac{\sum_{i=1}^n (Q_{obs}(i) - Q_{sim}(i))}{\sum_{i=1}^n Q_{obs}(i)} \right] \cdot 100 \quad (9)$$

where $Q_{obs}(i)$ is the i th observed streamflow ($m^3 s^{-1}$); $Q_{sim}(i)$ is the i th simulated streamflow ($m^3 s^{-1}$); n is the length of the time series.

3.3.3 Event-based sub-daily model calibration and validation

Sub-daily simulations in the SWAT-EVENT model were conducted within the same time span as the daily simulation, with a primary focus on the flood season with a series consisting of 24 flood events, two-thirds of which were utilized for the calibration while the rest were used for validation. Preferential implementation was applied to daily calibration from which the antecedent conditions were extracted.

批注 [L21]: To avoid repetition , ratio of the root mean square error to the standard deviation of measured data (R_{SR}) was replaced coefficient of determination (R^2).

批注 [L22]: Description of the R_{SR}

Flow parameters, together with additional distributed parameters t_{subadj} associated with the UH method, were used for the sub-daily calibration. To analyze the influences of UH parameters on the SWAT-EVENT model performances, the lumped parameter t_{adj} was then calibrated while the other parameters remained unchanged exactly as the distributed case was calibrated. For the sub-basin level calibration, t_{subadj} was updated with distributed values for each of the sub-basins in each iteration; for the basin level calibration, t_{adj} was consistently updated with lumped values for all of the sub-basins in each iteration.

E_{NS} , relative peak discharge error (E_{RP}), relative peak time error (E_{RPT}) and relative runoff volume error (E_{RR}) were selected as the performance evaluation statistics for the flood event simulations to comply with the Accuracy Standard for Hydrological Forecasting in China (MWR, 2008). E_{RP} , E_{RPT} , and E_{RR} are specific indicators used to indicate whether the accuracies of the simulations reach the national standard (MWR, 2008). They are considered to be sufficiently qualified when the absolute values are less than 20 %, 20 % and 30 %, respectively.

4 Results

4.1 Daily simulation results

The model performances for daily streamflow simulations at outlet WJB are summarized in Table 3. The E_{NS} value is 0.80 for the calibration period and 0.83 for the validation period. These two values of the daily E_{NS} both exceed 0.75, which is considered to be good according to performance ratings for evaluation statistics recommended by Moriasi et al., (2007). The daily R_{SR} values are 0.45 and 0.42 for the calibration and validation, respectively, indicating that the root mean square error values are less than half the standard deviation of measured data, i.e. the “very good” model performances suggested by Moriasi et al. (2007). The SWAT model underestimates the streamflow by -14.32 % and -18.29 % for calibration and validation, respectively. Visual comparisons between the observed and simulated streamflows for both of the calibration and validation periods are shown in Fig. 5, from which it can be observed that the SWAT model could simulate well the temporal variation of streamflow at daily time scale. In general, the daily simulation results obtained from the SWAT model at WJB demonstrate decent applicability and can consequently represent a preliminary basis for further flood event simulation.

4.2 Event-based simulation results

The sub-daily simulation results for 24 flood events, as shown in Table 4, exhibit reliable performances of the SWAT-EVENT model, with E_{NS} values varying from 0.68 to 0.93, except for the event 19960917. The qualified ratios of E_{RP} ,

批注 [L23]: This part illuminated how to analyze the influences of UH parameters on the SWAT-EVENT model performances,

批注 [L24]: Since the daily SWAT model was re-built, the simulation results was changed.

E_{RPT} and E_{RR} are 75%, 100% and 75%, respectively. Since the SWAT-EVENT model was developed on the base of the SWAT model, its superiority of the simulation in flood seasons was investigated by comparing those two model results for the same flood events. Table 4 also displays the model performances of the daily simulation results using the SWAT model for specific flood events. Most daily E_{NS} values are lower than the sub-daily ones, indicating that the flood hydrographs simulated by the sub-daily SWAT-EVENT model are much more reliable than those simulated by the daily SWAT model. In addition, the peak flows simulated by the SWAT-EVENT model on a sub-daily time scale are much closer to the observed flows relative to the predictions obtained from the SWAT model on a daily time scale, especially for flood events with high peak flows in Table 4. There are eight flood events (19910610, 19910629, 19960628, 20020622, 20030622, 20050707, 20050822 and 20070701) that exhibit peak flows greater than $5000 \text{ m}^3 \text{ s}^{-1}$. The sub-daily simulation results of these eight floods were aggregated into daily averages and then compared with those of the daily simulations, the results of which are illustrated in Fig. 6. It can be concluded that the daily simulations are likely to miss the high flood peaks. The more effective performances of the SWAT-EVENT model could be due to rainfall data with a higher temporal resolution and the model calculation with more detailed time steps, which can capture the instantaneous changes representative of flood processes. All the statistical indicators suggest that the SWAT-EVENT model can accurately reproduce the dynamics of observed flood events based upon antecedent conditions extracted from SWAT daily simulations.

4.3 Effects of the UH parameters on the SWAT-EVENT model performances

To analyze the spatial variability of the UH parameters and their influences on the event-based flood simulation results, the time characteristics of the sub-basins as well as two sets of optimized UH parameters are displayed in Table 5. From Eq. (5) and Eq. (6), in addition to the geographic features of the sub-basins depicted in Table 2, the overland flow time t_{ov} and the channel flow time t_{ch} were calculated in Table 5. For the 21 sub-basins in studied catchment, the values of t_{ch} are always much greater than those of t_{ov} , implying that the channel flow time t_{ch} is the dominant factor that determines the total time of concentration t_c in Eq. (4). Due to the comprehensive function of longest tributary length, sub-basin area and average slope of the tributary channels in Table 2, the channel flow times (t_{ch}) are distributed in sub-basins, reaching the maximum (35.38 h) in sub-basin 16 and the minimum (1.12 h) in sub-basin 9. The optimized sub-basin level UH parameters (t_{subadj}) are distributed in sub-basins, ranging from 0.48 h to 75.21 h, while the basin level parameters (t_{adj}) display a uniform value of 31.89 h for all sub-basins. As a consequence, the optimized t_{subadj} values enable the base time (t_b) and the peak time (t_p) of the UHs within the ranges of 6.38 h - 97.60 h and 2.39 h - 36.60 h, respectively. While for the basin level UH parameter case, the values of t_b and t_p distribute in a relatively narrow range, i.e. 33.54 h - 54.28 h for t_b and 12.58 h - 20.35 h for t_p . The Coefficient of Variation (CV) in Table 5 was used to describe the spatial variability of the time characteristics of the UHs. As expected, the spatial variation of UHs derived by the sub-basin

批注 [L25]: Since the event-based SWAT-EVENT model was rebuilt, the simulation results was changed.

批注 [L26]: Section title was changed.

批注 [L27]: Sub-basin time factors were changed.

level parameters is 0.66, which is larger than the basin level case with the CV value of 0.13. Moreover, considering that the spatial CV of the concentration time t_c is 0.57, the spatial variation of the UHs calculated by the sub-basin UH parameters is deemed to be more reasonable. Though the UH time indicators (t_b and t_p) derived by the basin level UH parameter t_{adj} are always completely linear correlated to the concentration time t_c according to Eq. (2) and (3), its spatial variability could not be guaranteed. However, Fig. 7 still shows a correlation between t_c and t_b for the sub-basin level case, with higher t_c generally having higher value of t_b . On this premise, the distributed UH parameters method makes the UH more accurate physical significance.

The SWAT-EVENT simulation results using the basin UH parameters are also presented in Table 4. Compared with the sub-basin level case, the sub-basin level case induces a decrease in the qualified ratio of E_{RP} from 75 % to 66.7 %, while keeping the same qualified ratio for E_{RPT} and E_{RR} . It can be concluded that changing the spatial level of the UH parameter affects the peak simulations significantly. In this procedure, model parameters except for the UH parameter remain fixed, thus there is little change in the specific values of E_{RR} between the two cases in Table 4. All these findings indicate that the application of sub-basin level UH parameters in the SWAT-EVENT model can improve the simulation accuracies of flood peaks.

The overall distributions of statistics for flood events for the two UH methods (i.e., the basin level UH parameter vs. the sub-basin level UH parameters) are plotted in Fig. 8. Since both cases fail to predict the event 19960917, of which the simulation result is excluded. The box plots therein exhibit rectangle heights equal to the interquartile range (IQR), the upper and lower ends of which are separately marked with the upper and lower quartile values, respectively. The median is represented by a line transecting either of the two rectangles. The extended whiskers denote the range of the batch data (Massart et al., 2005; Cox, 2009). According to Table 4 and Fig. 8, the SWAT-EVENT model simulated using sub-basin level UH parameters demonstrates improvements for event-based flood simulation. For the sub-basin level case in Fig. 8, half of the E_{NS} values range from 0.78 (lower quartile) to 0.90 (upper quartile), with a median of 0.87, which can potentially represent the second flood forecasting accuracy standard (i.e. B) according to MWR (MWR, 2008). However, the basin level case performs comparatively poorly with regard to reproducing the flood hydrograph, wherein the majority of E_{NS} values vary between 0.75 and 0.88. In comparison, the application of spatially distributed UH parameters allows the SWAT-EVENT model to simulate the flood events more accurately.

5 Discussion

Floods are always triggered by intense rainfall events with short duration. In order to adequately capture and analyze the rapid response of flood events, simulation time step is required at sub-daily resolution. Normally, an appropriate simulation time step is chosen depend on the observed catchment response time to a rainfall event. By examining the observed sub-daily

批注 [L28]: Since the SWAT-EVENT model was re-calibrated, the model parameters were changed.

批注 [L29]: The effect of UH parameters on the SWAT-EVENT simulation results.

批注 [L30]: The effect of UH parameters on the SWAT-EVENT simulation results.

rainfall and runoff time series at the WJB station, the general average response time between 1 day and 2 days. Moreover, considering the time interval of data acquisition (i.e. 2h to 6h), the 2-hour simulation step chosen in this study was more than sufficient for flood simulation. In an operational flood forecasting perspective, many endusers and practitioners are still in favor of the event-based models (Berthet et al., 2009). The emphasis on event-based modeling in this study was due to the unavailability of the long continuous hydrological data at sub-daily time scale. The data scarcity issue has also promoted the applications of the event-based models in some developing countries (Hughes, 2011;Tramblay et al., 2012). More broadly, the preferred event-based approach is highlighted when the hydrological model is used for more than flood prediction, for example the evaluation of the design floods and the estimation of urban storm water quantity and quality (Sansalone et al., 2005).

Several studies have declared that the catchment's antecedent moisture conditions prior to a flood event can have a strong influence on flood responses, including the flood volume, flood peak flow and its duration (Rodr -Guez-Blanco et al., 2012;Tramblay et al., 2012;Coustau et al., 2012). Experimentally, the validation period was re-simulated by the SWAT-EVENT model when the antecedent moisture conditions were set to zero. The impact of antecedent soil moisture conditions on the event-based flood simulation results is presented in Fig. 9. The simulated flood hydrographs are comparatively lower when the antecedent conditions are initialized to zero relative to when they are extracted directly from the daily SWAT model. The flood volumes decrease accordingly. It is therefore rational to consider that accurate calculation of the antecedent moisture conditions is of crucial importance for the flood modeling. Since the major drawback of event-based models lies in its initialization: external information is needed to set the antecedent conditions of a catchment (Berthet et al., 2009;Tramblay et al., 2012). Numerous methods have been used to set up the initial conditions of event-based models, such as in-situ soil moisture measurements, retrieved soil moisture from the remote sensing products and continuous soil moisture modeling. Among these methods, continuous soil moisture modeling using the daily data series to estimate sub-daily initial conditions would be a traditional solution, as suggested by Nalbantis (1995). Tramblay et al. (2012) also tested different estimations of the antecedent moisture conditions of the catchment for an event-based hydrological model and concluded that the continuous daily soil moisture accounting method performed the best. However, there might be some deficiencies in the continuous simulation of the SWAT model in this study. On the one hand, the continuous SWAT model was calibrated using the sum of squares of the residuals as the objective function, which was more sensitive to high flows than low flows. As a consequence, the SWAT model ensured the simulation accuracy at the expense of the low flow performances, which would certainly bring errors to the estimations of antecedent moisture conditions. On the other hand, the continuous soil moisture modeling required long data series and took a long time to implement. Active microwave remote sensing has proved the feasibility and rationality of obtaining temporal and spatial soil moisture data. It means that there is a potential interest of using the remote sensing data to estimate the initial conditions (Tramblay et al., 2012).

Rainfall is the main driving force for the hydrological cycle. Hence, the temporal resolution of rainfall data could also have substantial impact on the simulation of flood processes. The decent performance of the SWAT-EVENT model at peak flows as shown in Fig. 6 could be due to the high temporal resolution of the input rainfall. Rainstorms may significantly vary over

批注 [L31]: This part explain the necessity for event-based simulation.

批注 [L32]: This part discussed the initialization method for event-based model.

the course of a day, and thus, the use of daily rainfall data might not adequately represent the temporal profile. For example, a rainfall event (2 July 2003) prior to the peak of flood event 20030622 (Fig. 6) was characterized by an average daily rainfall of 80.6 mm for sub-basins located in the south part of study catchment, 85.24 % of which occurred during the first four time intervals ($\Delta t = 2$ h) between 0 and 8 am. The daily surface runoff was calculated using the SCS curve number method in the SWAT model, whereas the sub-daily surface runoff was calculated using the Green & Ampt infiltration method in the SWAT-EVENT model. On a daily basis, the Green & Ampt method will perform more effectively due to rainfall intensity and duration considerations. Similar results were analyzed through the comparison of the aforementioned two methods on the Goodwin Creek Watershed (Vol., 1999).

The UH represents the most widely practiced technique for determining flood hydrographs. Sherman (1932) first proposed the UH concept in 1932. However, because the UH proposed by Sherman is based on observed rainfall-runoff data at gauging sites for hydrograph derivations, it is only applicable for gauged basins (Jena and Tiwari, 2006). A prominent lack of observed data promoted the appearance of the Synthetic Unit Hydrograph (SUH), which extended the application of the UH technique to ungauged catchments. The triangular dimensionless UH used in this study denotes the simplest of SUHs, which relates hydrologic responses to the catchment geographic characteristics according to Eq. (2) - Eq. (6). There was a positive effect from the application of the distributed parameters of the UHs on the simulation of flood peaks as indicated in Table 4 and Fig. 8. However, due to the interaction between model parameters during the calibration procedure, not all sub-basin UH parameters would ensure the high linear relationship between the UH time base t_b and the sub-basin concentration time t_c in Fig. 7. From the calibrated results in Table 5, it was found that the optimized UH parameter in sub-basin 8 is unreasonably small. When sub-basin 8 was excluded, the coefficient of determination (r^2) in Fig. 7 would increase from 0.53 to 0.69. Optimization, admittedly, is not the only solution to obtain the UH parameters. Jena and Tiwari (2006) developed regression equations between individual UH parameters and geomorphologic parameters of the watershed. In addition to the triangular dimensionless UH used in this study, there are many other available methods for derivation of the SUH. Bhunya et al. (2007) compared four probability distribution functions (pdfs) in developing SUH and concluded that such statistical distributions method performed better than the traditional synthetic methods. There might be room for further improving the current UH method used in the SWAT-EVENT model.

6 Conclusions

Flood forecasting is a synthetic system that integrates the data acquisition and processing, rainfall-runoff modeling and warning information release etc. Hydrological models are always the core part of the forecasting system. Model structures and parameters are one of the most important issues for accurate flood forecasting (Noh et al., 2014). The original SWAT model was not competent to flood forecasting due to its initial design of long-term simulations with daily time-steps. This paper mainly focused on the modification of the structure of the original SWAT model to perform event-based simulation,

批注 [L33]: This part emphasized the importance of distributed UH parameters used in the SWAT-EVENT model, and suggested some other UH methods.

5 which was applicable for the area without continuous long-term observations. The newly developed SWA-EVENT model was applied in the upper reaches of the Huaihe River. Model calibration and validation were made by the using of historical flood events, showing good simulation accuracy. To improve the spatial representation of the SWA-EVENT, the lumped UH parameters were then adjusted to the distributed ones. Calibration and validation results revealed the improvement of event-based simulation performances. This study expands the application of the original SWAT model in event-based flood simulation.

10 The determination of hydrological model parameters is an inevitable process before flood forecasting. Parameter estimations of distributed or semi-distributed hydrological models commonly depend on automated calibration procedure due to overparametrization. The optimal parameters of the SWAT-EVENT model were obtained by the automatic parameter calibration module that integrated SCE-UA algorithm in this study. However, several factors such as interactions among model parameters, complexities of spatio-temporal scales and statistical features of model residuals may lead to the parameter non-uniqueness, which is the source of the uncertainty in the estimated parameters. Uncertainty of model parameters will be finally passed to the model results, hence leading to certain risks in flood forecasting. In the future, emphasis will be placed on the quantification of the parameter uncertainty to provide better supports for flood operations.

15 Event-based runoff quantity and quality modeling has become a challenge task since the impact of hydrological extremes on the water quality is particularly important. The improvement of the SWAT model for event-based flood simulation will lay the foundation for dealing with the event-based water quality issues.

批注 [L34]: This part was reworked. On the one hand, the main conclusions and the research significance were summarized; on the other hand, future work was proposed.

Data availability

20 The DEM data were downloaded from the website <http://srtm.csi.cgiar.org/>.

The land use data (GLC2000) were downloaded from the website <http://www.landcover.org/>.

The soil data (HWSD) were downloaded from the website <http://webarchive.iiasa.ac.at/Research/LUC/External-World-soil-database/HTML/>.

The global weather data were downloaded from the website <https://globalweather.tamu.edu/>.

25 The rainfall observations at 138 stations and the discharge observations at the outlet (WJB) were provided by Hydrologic Bureau of Huaihe River Commission.

The source codes of SWAT model are available at the website <http://swat.tamu.edu/>.

Appendix A

批注 [L35]: Appendix was added to explain the model parameters.

Table A 1 Flow simulation related parameters and their lower bound and upper bound in the SWAT model, and the additional UH parameters for the SWAT-EVENT model.

Parameters	Definition	lower bound	upper bound
ALPHA_BF	Baseflow alpha factor (days).	0	1
BIOMIX	Biological mixing efficiency.	0	1
BLAI	Maximum potential leaf area index.	0	1
CANMX	Maximum canopy storage (mm H2O).	0	10
CH_K(2)	Effective hydraulic conductivity in main channel alluvium (mm/hr).	0	150
CH_N	Manning's "n" value for the main channel.	0	1
CN2	Initial SCS runoff curve number for moisture condition II.	-50	50
EPCO	Plant uptake compensation factor.	0	1
ESCO	Soil evaporation compensation factor	0	1
GW_DELAY	Groundwater delay time (days).	-10	10
GW_REVAP	Groundwater "revap" coefficient.	-0.036	0.036
GWQMN	Threshold depth of water in the shallow aquifer required for return flow to occur (mm H2O).	-1000	1000
REVAPMN	Threshold depth of water in the shallow aquifer for "revap" or percolation to the deep aquifer to occur (mm H2O).	-100	100
SMTMP	Snow melt base temperature (°C).	0	5
SLOPE	Average slope	-25	25
SLSUBBSN	Average slope length (m).	-25	25
SMFMN	Melt factor for snow on December 21 (mm H2O/°C-day).	0	10
SMFMX	Melt factor for snow on June 21 (mm H2O/°C-day).	0	10
SMTMP	Snow melt base temperature (°C).	-25	25
SOL_ALB	Moist soil albedo.	-25	25
SOL_AWC	Available water capacity of the soil layer (mm H2O/mm soil).	-50	50
SOL_K	Saturated hydraulic conductivity (mm/hr).	-25	25
SOL_Z	Depth from soil surface to bottom of layer (mm).	-40	40
SURLAG	Surface runoff lag coefficient.	0	10
TIMP	Snow pack temperature lag factor.	0	1
TLAPS	Temperature lapse rate (°C/km).	0	50
<i>t_{adj}</i>	Basin level UH parameter (h)	0	100
<i>t_{subadj}</i>	Sub-basin level UH parameter (h)	0	130

Table A 2 The optimal parameters for the SWAT model and the SWAT-EVENT model.

Parameters	Daily simulation with SWAT model	Event-based simulation with SWAT-EVENT model
Alpha_Bf	0.84	0.96
Blai	1.00	0.31
Ch_K2	70.99	0.37
Ch_N	0.16	0.02
Cn2	9.00	47.75
Esco	0.96	0.22
Revapmin	-83.91	-92.27
Sol_Awc	49.47	-1.13
Sol_Z	12.94	35.14
Surlag	2.25	0.22
<i>t_{adj}</i>		0.48~75.21
<i>t_{subadj}</i>		31.89

Competing interests

The authors declare that they have no conflict of interest.

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Table 1 SWAT model input data and sources for the Wangjiaba (WJB) catchment

Data type	Resolution	Source	Description
DEM	90m×90m	http://srtm.csi.cgiar.org/	Digital Elevation Model
Land use	1km×1km	http://www.landcover.org/	Land use classification
Soil	30 arc-second	http://www.fao.org/soils-portal/soil-survey/soil-maps-and-databases/harmonized-world-soil-database-v12/en/	Soil type classification and characterization of soil parameters
Global weather data	30 stations	https://globalweather.tamu.edu/	Relative humidity, wind speed, solar radiation and the minimum and maximum air temperatures
Observed rainfall	138 gauges	Hydrologic Bureau of Huaihe River Commission	Daily data: 1991-2010; sub-daily data: flood periods during 1991-2010
Observed streamflow	1 gauges	Hydrologic Bureau of Huaihe River Commission	Wangjiaba station, daily data for 1991-2010, sub-daily data for flood periods during 1991-2010

批注 [L36]: Table 1 was added to introduce the model data used in this study.

Table 2 Geographic features of sub-basins for the Wangjiaba (WJB) catchment

Sub-basin No.	Drainage area	Mean elevation	Mean slope	Mean slope length	Longest tributary length	Average slope of the tributary
	(km ²)	(m)	(°)	(m)	(km)	(m m ⁻¹)
1	1997.74	83	7.49	60.96	140.06	0.0010
2	262.15	62	1.05	121.91	49.46	0.0001
3	1032.38	60	1.41	121.91	130.46	0.0010
4	2515.71	161	4.58	91.44	175.31	0.0040
5	1712.57	42	1.20	121.91	121.25	0.0010
6	3852.86	57	2.71	91.44	295.11	0.0010
7	4.26	30	1.32	121.91	4.13	0.0010
8	722.28	32	0.93	121.91	81.10	0.0001
9	2.94	32	2.26	91.44	4.92	0.0020
10	927.36	49	0.95	121.91	101.10	0.0010
11	450.41	31	1.12	121.91	73.08	0.0001
12	31.34	35	1.59	121.91	16.31	0.0010
13	477.56	47	0.88	121.91	48.86	0.0001
14	295.68	49	1.13	121.91	42.90	0.0010
15	886.69	54	1.10	121.91	104.65	0.0010
16	4795.46	96	7.28	60.96	209.67	0.0020
17	999.62	57	3.68	91.44	95.88	0.0040
18	2216.48	50	4.43	91.44	141.88	0.0030
19	2029.25	148	13.17	24.38	170.84	0.0040
20	2399.24	74	8.42	60.96	160.71	0.0060
21	2567.61	100	8.80	60.96	120.53	0.0060

批注 [L37]: Since the catchment was re-delineated, this table was changed.

Table 3 SWAT model performance statistics for the calibration and validation periods.

	E_{NS}	R_{SR}	P_{BIAS} (%)
Calibration	0.80	0.45	-14.32
Validation	0.83	0.42	-18.29

批注 [L38]:

Table 4 Performance evaluations for the daily simulation with the SWAT model for specific flood events, and the SWAT-EVENT model performances with sub-basin level UH parameters and basin level UH parameter.

批注 [L39]: Table was changed.

Flood event	Start date	End date	Observed peak flow (m ³ s ⁻¹)	Daily simulation with SWAT model		SWAT-EVENT model with sub-basin level UH parameters				SWAT-EVENT model with basin level UH parameter					
				Simulated peak flow (m ³ s ⁻¹)	<i>E_{NS}</i>	Simulated peak flow (m ³ s ⁻¹)	<i>E_{RP}</i>	<i>E_{RPT}</i>	<i>E_{RR}</i>	<i>E_{NS}</i>	Simulated peak flow (m ³ s ⁻¹)	<i>E_{RP}</i>	<i>E_{RPT}</i>	<i>E_{RR}</i>	<i>E_{NS}</i>
19910521	21-May	10-Jun	2935	1720	0.58	2350	-19.93	-6.04	-9.84	0.87	2520	-14.14	-7.38	-9.99	0.87
19910610	10-Jun	29-Jun	7577	4690	0.80	6210	-18.04	0.00	-14.82	0.93	6360	-16.06	2.70	-14.75	0.94
19910629	29-Jun	21-Jul	5931	3870	0.85	4880	-17.72	-2.63	-15.46	0.90	4740	-20.08	1.75	-15.46	0.86
19910804	4-Aug	17-Aug	4824	3340	0.74	4030	-16.46	-4.76	-5.03	0.89	4350	-9.83	-6.35	-4.61	0.89
19950707	7-Jul	18-Jul	2613	2250	0.59	3560	36.24	-7.32	38.15	0.87	3250	24.38	-14.63	38.15	0.85
19950803	3-Aug	6-Sep	922.1	995	0.69	1280	38.81	-8.02	39.00	0.72	1270	37.73	-4.40	39.02	0.71
19960628	28-Jun	25-Jul	5298	3280	0.30	4810	-9.21	-1.53	-1.42	0.68	4870	-8.08	-1.15	-1.33	0.66
19960917	17-Sep	26-Sep	1239	1490	0.79	1560	25.91	9.76	17.06	0.19	1640	32.36	9.76	18.32	0.17
19970629	29-Jun	30-Jul	2171	1340	0.82	2360	8.71	12.05	35.79	0.73	2550	17.46	8.93	36.22	0.63
19980630	30-Jun	13-Jul	4504	3070	0.77	4350	-3.42	-4.92	-13.56	0.78	4370	-2.98	-3.28	-13.49	0.74
19980725	25-Jul	2-Sep	3698	3360	0.81	3180	-14.01	-5.96	-15.78	0.91	3750	1.41	-7.28	-15.66	0.93
20020622	22-Jun	11-Jul	5715	4170	0.75	7050	23.36	-8.16	35.38	0.87	7960	39.28	-10.20	35.49	0.82
20020722	22-Jul	4-Aug	4088	3290	0.73	3850	-10.26	-10.20	-20.61	0.89	4220	-1.63	-10.20	-20.39	0.89
20030622	22-Jun	29-Jul	8740	4940	0.68	5690	-34.90	-3.73	-9.98	0.84	6150	-29.63	-3.73	-10.25	0.80
20040717	17-Jul	29-Jul	2229	2080	0.27	1920	-13.86	-6.12	14.92	0.82	2100	-5.79	-10.20	15.47	0.85
20040804	4-Aug	13-Aug	2641	2280	0.67	2890	9.43	-16.33	7.81	0.80	2720	2.99	-16.33	8.99	0.78
20050707	7-Jul	12-Aug	7331	4320	0.65	6290	-14.20	-11.84	-16.11	0.83	6530	-10.93	-9.21	-16.13	0.86
20050822	22-Aug	10-Sep	5650	3330	0.45	3990	-29.38	0.00	-33.02	0.69	4260	-24.60	-0.83	-32.94	0.73
20060722	22-Jul	16-Aug	1770	1270	0.83	1450	-18.08	10.00	-14.08	0.81	1670	-5.65	5.45	-13.90	0.84
20070701	1-Jul	1-Aug	7926	5780	0.74	6550	-17.36	-7.32	-19.51	0.91	6820	-13.95	-6.50	-19.32	0.91

Calibration

Validation

20080722	22-Jul	9-Aug	4264	3120	0.68	4250	-0.33	6.12	8.67	0.92	4370	2.49	2.04	8.72	0.90
20080814	14-Aug	27-Aug	4219	2730	0.69	3380	-19.89	-4.84	-8.19	0.88	3590	-14.91	-4.84	-7.75	0.88
20090826	26-Aug	13-Sep	2221	2030	0.72	2590	16.61	1.39	35.41	0.72	2790	25.62	-1.39	35.63	0.75
20100712	12-Jul	5-Aug	4314	2930	0.87	4290	-0.56	-1.75	-9.79	0.92	4300	-0.32	-0.88	-9.72	0.93
Qualified (%)							75	100	75			66.67	100.00	75.00	

Table 5 Time characteristics of the sub-basins (t_c , t_b , t_p) and the optimized UH parameters for each sub-basin.

批注 [L40]: Calibrated parameters were changed.

Sub-basin	t_{ov} (h)	t_{ch} (h)	t_c (h)	Sub-basin level UH parameters			Basin level UH parameters		
				t_{subadj} (h)	t_b (h)	t_p (h)	t_{adj} (h)	t_b (h)	t_p (h)
1	1.12	18.23	19.35	16.69	28.80	10.80		44.00	16.50
2	0.40	19.68	20.08	51.24	63.78	23.92		44.44	16.66
3	1.15	18.44	19.59	45.77	58.02	21.76		44.14	16.55
4	1.12	13.18	14.30	1.90	10.98	4.12		40.97	15.36
5	1.12	16.09	17.21	37.67	48.50	18.19		42.72	16.02
6	1.10	35.38	36.48	75.21	97.60	36.60		54.28	20.35
7	1.15	1.16	2.31	6.07	7.96	2.98		33.78	12.67
8	1.25	28.42	29.68	9.40	27.70	10.39		50.20	18.82
9	0.80	1.12	1.91	4.73	6.38	2.39		33.54	12.58
10	1.21	14.48	15.70	51.17	61.09	22.91		41.81	15.68
11	1.25	27.17	28.42	62.16	79.71	29.89	31.89	49.44	18.54
12	1.04	3.57	4.60	8.53	11.79	4.42		35.15	13.18
13	1.30	18.03	19.33	53.32	65.42	24.53		43.99	16.50
14	1.25	7.09	8.34	27.62	33.12	12.42		37.40	14.02
15	1.21	15.07	16.29	0.48	10.75	4.03		42.16	15.81
16	0.74	18.86	19.60	63.50	75.76	28.41		44.15	16.56
17	0.76	8.09	8.85	25.75	31.55	11.83		37.70	14.14
18	0.81	12.07	12.88	39.83	48.06	18.02		40.12	15.04
19	0.69	13.19	13.88	45.37	54.20	20.33		40.72	15.27
20	0.14	10.44	10.58	6.19	13.04	4.89		38.74	14.53
21	0.16	7.76	7.92	20.43	25.68	9.63		37.14	13.93
CV	0.38	0.60	0.57		0.66	0.66		0.13	0.13

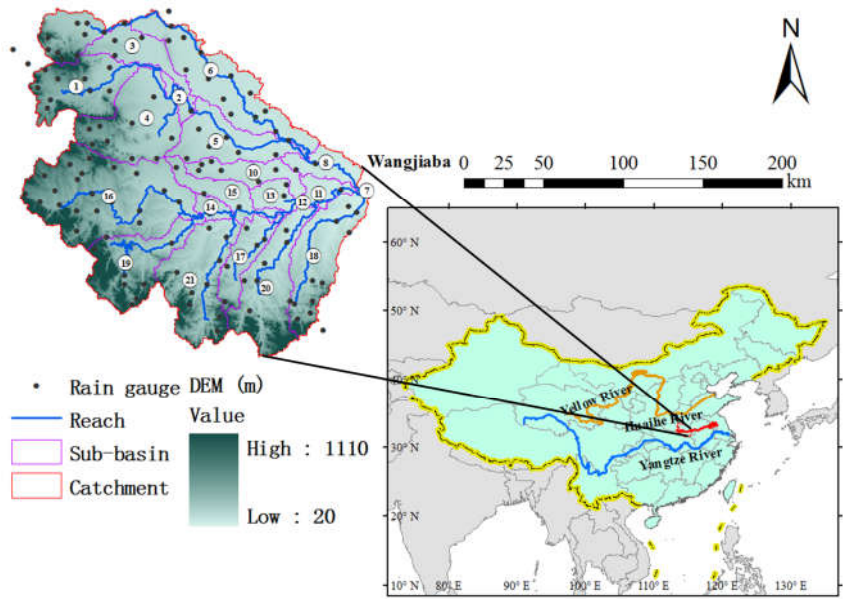


Figure 1 The Wangjiaba (WJB) catchment.

批注 [L41]: Sub-basins were changed.

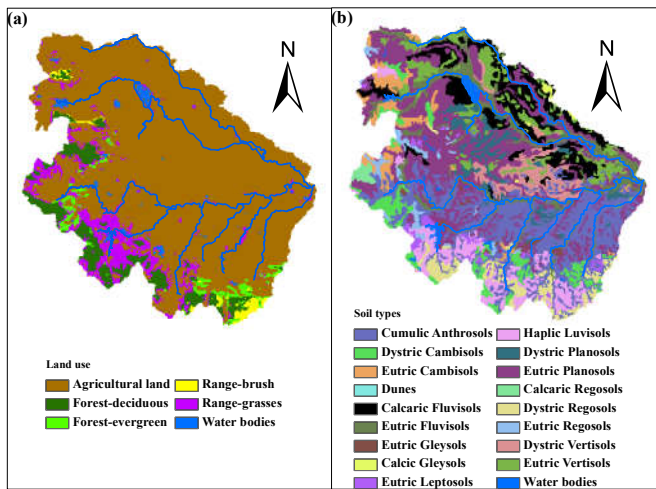
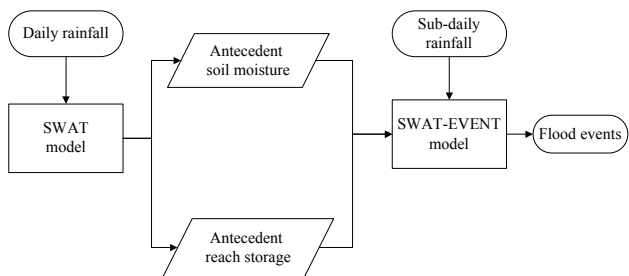


Figure 2 (a) Land use and (b) soil types throughout the study area.



5 Figure 3 SWAT-EVENT model for the simulation of event-based flood data based on the initial conditions extracted from daily simulation results produced by the original SWAT model.

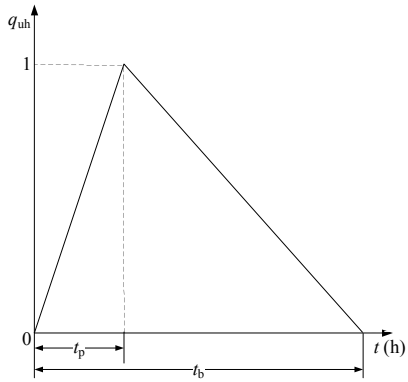
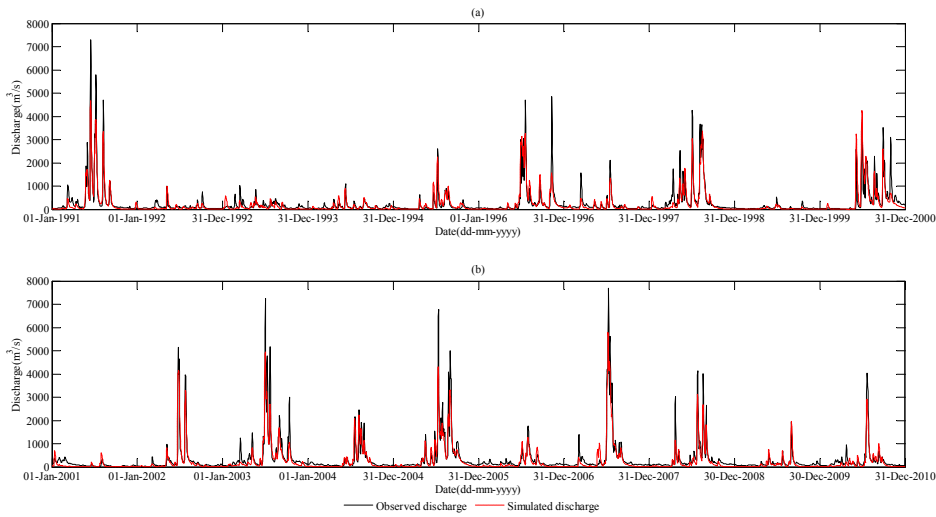


Figure 4 Shape of the dimensionless triangular UH.



5 Figure 5 Comparisons between the observed and simulated daily discharges for the calibration and validation periods at WJB.

批注 [L42]: Daily simulation results were changed.

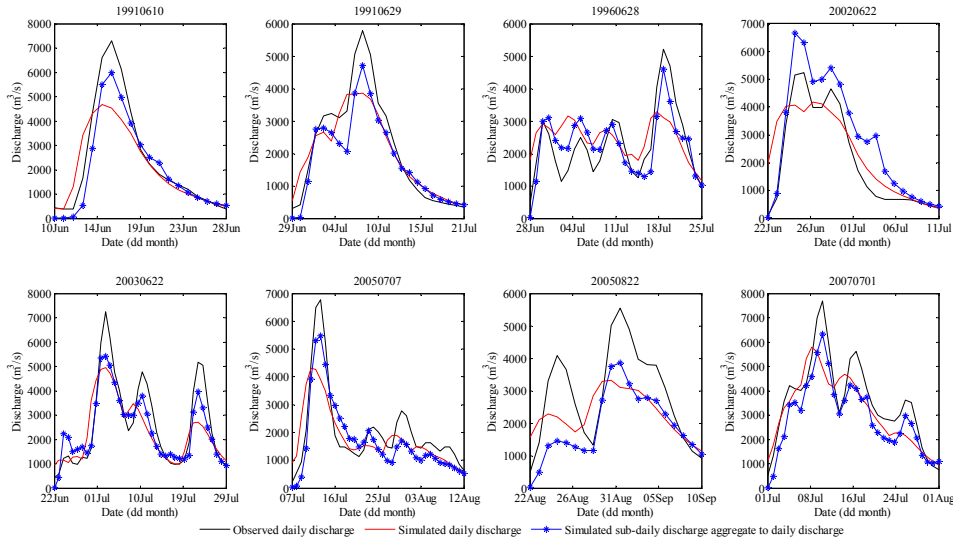
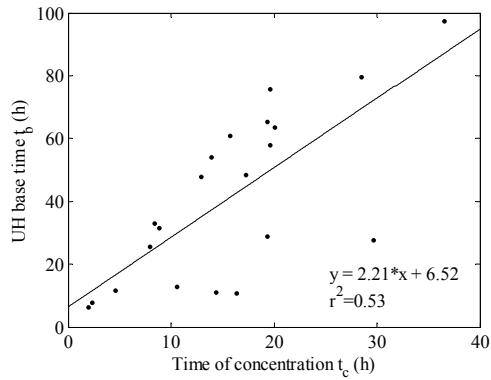


Figure 6 Comparisons of the daily simulations conducted using the SWAT model and the aggregated sub-daily simulations conducted using the SWAT-EVENT model.

批注 [L43]: Figure was changed.



5 Figure 7 Relationship between UH time base t_b and concentration time t_c , with a coefficient of determination (r^2).

批注 [L44]: Figure was added.

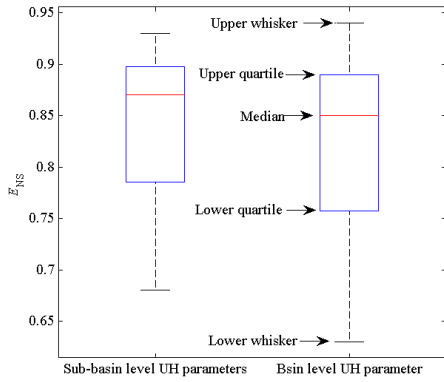


Figure 8 Box plots of E_{NS} values for the SWAT-EVENT model results for sub-basin level UH parameters and basin level UH parameters.

批注 [L45]: SWAT-EVENT simulation result was changes.

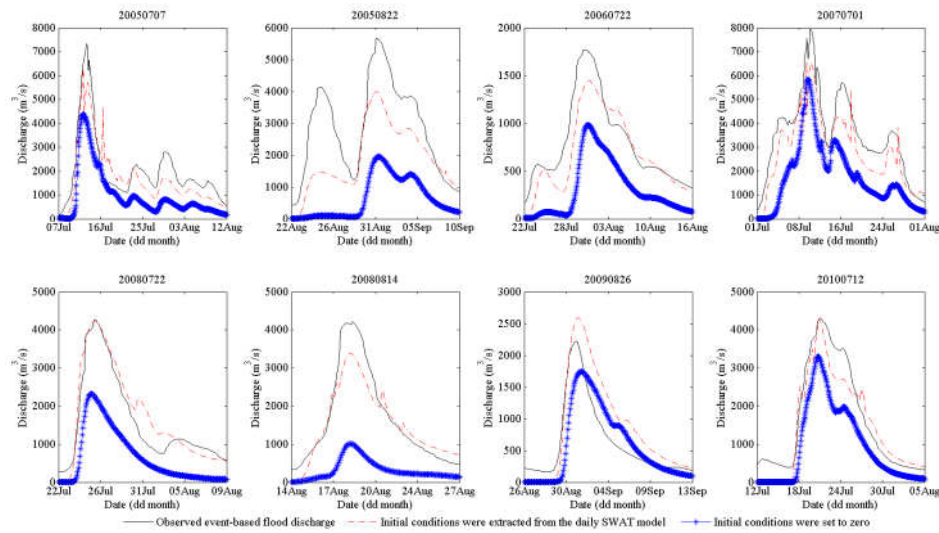


Figure 9 Impact of the antecedent conditions on the SWAT-EVENT model simulation results.

批注 [L46]: Impact of the antecedent conditions on the SWAT-EVENT model simulation results was changed.