1	New insights into the differences between the dual node approach
2	and the common node approach for coupling surface-subsurface
3	flow
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21	Key points
22	Surface-subsurface flow coupling
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# 42 Abstract

43 The common node approach and the dual node approach are two widely applied approaches to couple surface-subsurface flow. In this study both approaches are analyzed for cell-centered as 44 45 well as vertex-centered finite difference schemes. It is shown that the dual node approach should 46 be conceptualized and implemented as a one-sided first-order finite-difference to approximate the 47 vertical subsurface hydraulic gradient at the land surface. This results in a consistent dual node 48 approach in which the coupling length is related to grid topology. In this coupling approach the 49 coupling length is not to be interpreted as a non-physical model parameter. Although, this 50 particular coupling approach is technically not new, the differences between this consistent dual 51 node approach and the common node approach have not been studied in detail. In fact, this coupling scheme is often believed to be similar to the common node approach. In this study it is 52 53 illustrated that in comparison to the common node approach, the head continuity at the surface-54 subsurface interface is formulated more correctly in the consistent dual node approach. Numerical 55 experiments indicate that the consistent dual node approach is less sensitive to the vertical 56 discretization when simulating excess infiltration. It is also found that the consistent dual node 57 approach can be advantageous in terms of numerical efficiency.

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# 63 1 Introduction

64 There exists a variety of hydrogeological problems, such as the hydrologic response of hillslopes 65 and river catchments, which requires an integrated analysis of surface and subsurface flows. This 66 has led to the development of physically-based, distributed parameter models for simulating 67 coupled surface-subsurface flows. Well-known examples of such models include MODHMS 68 [Kollet and Maxwell, 2006; Panday and Huyakorn, 2004], InHM [Ebel et al., 2009], 69 HydroGeoSphere [Therrien et al., 2010], CATHY [Camporese et al., 2010], WASH123D [Yeh et 70 al., 2011], ParFlow [Kollet and Maxwell, 2006] and OpenGeoSys [Kolditz and Shao, 2010]. 71 Typically, subsurface flow is governed by the Richards' equation whereas surface flow is either 72 governed by the kinematic wave or the diffusive wave equation.

73 The coupling between subsurface and surface flow may be either based on the common 74 node approach [Kollet and Maxwell, 2006] or on the dual node approach [Ebel et al., 2009; Panday 75 and Huvakorn, 2004; VanderKwaak, 1999]. In the common node approach coupling is formulated 76 by a continuity in head between surface and subsurface nodes. The dual node approach is based 77 on formulating an exchange flux between the surface and subsurface nodes. Typically, the dual node approach is conceptualized as a hydraulic separation of the surface and the subsurface by an 78 79 interface with a given thickness [Liggett et al., 2012]. The thickness of this interface defines a 80 coupling length between the dual nodes to formulate the discrete exchange flux between the dual 81 nodes.

It has been argued that the coupling length represents a non-physical model parameter, because there is often no evidence to support the existence of a distinct interface between the two flow domains [*Kollet and Maxwell*, 2006]. As such it appears that the common node approach is a more physically based coupling approach [*Kollet and Maxwell*, 2006; *Liggett et al.*, 2012]. It has

86 also been found that accurate simulations based on the dual node approach typically require a very 87 small coupling length [Ebel et al., 2009; Liggett et al., 2012; Liggett et al., 2013]. Since it is known 88 that the dual node approach mimics the common node in the limit as the coupling length goes to 89 zero [*Ebel et al.*, 2009], it thus seems that the dual node approach is most accurate if it mimics the 90 common node approach. Nonetheless, it has been argued that the dual node approach remains an 91 attractive alternative coupling approach since it offers more flexibility than the common node 92 approach. Namely, while it can mimic the common node approach, the dual node approach offers 93 the possibility to simulate a less tight coupling of surface-subsurface flow which results in 94 increased computational efficiency [Ebel et al., 2009].

95 In this study a detailed analysis of both coupling approaches is provided for cell-centered as well as vertex-centered finite difference schemes. This analysis starts with the crucial 96 97 observation that the topmost subsurface nodal values as computed by the finite difference 98 schemes represent the mean values within the topmost discrete control volumes. Numerical 99 experiments to compare the coupling approaches are carried out with the model code DisCo [de 100 *Rooij et al.*, 2013]. It is shown that the dual node approach should be interpreted and implemented 101 as a one-sided finite difference approximation of the vertical hydraulic gradient at the land surface. 102 This yields a consistent dual node scheme in which the coupling length is defined by the half the 103 thickness of the topmost subsurface cells. The scheme of An and Yu [An and Yu, 2014] as well as 104 the scheme of Kumar et al. [Kumar et al., 2009] are essentially very similar to this consistent dual 105 node scheme. In the work of Panday and Huyakorn [Panday and Huyakorn, 2004], one of the 106 suggestions to define the coupling length is to use half the thickness of the topmost subsurface 107 cells, which yields a consistent dual node scheme. While the idea that the coupling length can be 108 based on the grid topology is not new [Panday and Huyakorn, 2004], the idea that it must be

related to grid topology to obtain a consistent approach is a significant new insight. Namely, since the coupling length in the consistent dual node approach is not to be interpreted as the thickness of a layer that separates the subsurface from the surface, the consistent dual node approach is not automatically less physically based than the common node. In fact, as explained in this study in comparison to the common node approach the implementation of a head continuity at the surfacesubsurface interface is formulated more correctly in the consistent dual node approach.

115 The current consensus about how the dual node approach compares to the common node 116 approach is based on alternative dual node approaches which as explained in this study are 117 different from the consistent dual node approach. In this study the consistent dual node approach 118 is compared in detail with the common node approach. It is shown that if the vertical discretization 119 is sufficiently fine, then the common node approach and the consistent dual node approach are 120 equally accurate. However, when simulating excess infiltration the consistent dual node approach 121 is found to be less sensitive to the vertical discretization in comparison to the common node 122 approach. This advantage in accuracy is related to the fact that head continuity is more correctly 123 formulated in the consistent dual node approach. Moreover, it is also shown that the consistent 124 dual node approach can be advantages in terms of numerical efficiency when simulating runoff 125 due to both excess saturation as well as excess infiltration. The finding of this study show that the 126 consistent dual node approach compares more positively with respect to the common node 127 approach than other dual node approaches.

128 2 Interpretation of nodal values

As explained later on, a correct interpretation of nodal values is crucial for understanding the dualand common node approach for coupling surface-subsurface flow. Moreover, both coupling

approaches depend on the configuration of surface and topmost subsurface nodes near the land
surface. This configuration depends on whether cell-centered or vertex-centered schemes are used.
In this study both type of schemes will be covered, but for simplicity only finite difference schemes
are considered.

135 In both cell-centered as vertex-centered schemes the flow variables such as the heads and 136 the saturation are computed on nodes. In vertex-centered schemes these nodes coincide with the 137 vertices of mesh, whereas in cell-centered schemes the nodes coincide with the cell centers. When 138 employing a finite difference scheme, nodal values correspond to the mean value within 139 surrounding discrete control volumes. In cell-centered finite difference schemes these discrete 140 volumes are defined by the primary grid cells. In vertex-centered finite difference schemes these 141 discrete volumes are defined by the dual grid cells. Ideally, the mean values in the discrete control 142 volumes are derived by applying the midpoint rule for numerical integration such that their 143 approximation is second-order accurate. Therefore, the nodal values should ideally represent 144 values at the centroid of the surrounding discrete control volume [Blazek, 2005; Moukalled et al., 145 2016]. In that regard, a cell-centered finite difference scheme is thus more accurate than a vertex-146 centered finite difference scheme. Namely, in cell-centered finite difference schemes the nodal 147 values always correspond to the centroids of the cell whereas in vertex-centered finite difference 148 schemes nodes and centroids (of the dual cells) do not coincide at model boundaries and in model regions where the primary grid is not uniform. It is well-known that this mismatch between nodes 149 150 and centroids can lead to inaccuracies since the mean values within affected discrete volumes are 151 not computed by a midpoint rule [Blazek, 2005; Moukalled et al., 2016].

Typically, vertex-centered schemes for simulating coupled surface-subsurface flow are based on mass-lumped finite element schemes [*Liggett et al.*, 2012]and not on finite difference 154 schemes. However, with respect to coupling surface-subsurface flow there is actually no difference 155 between a mass-lumped finite element scheme and a vertex-centered finite difference scheme. 156 Similar as in vertex-centered finite difference schemes, the nodal values in mass-lumped finite 157 element schemes define the mean values inside dual grid cells [*Zienkiewicz et al.*, 2005]. 158 Moreover, the coupling approaches establish one-to-one relations between surface and topmost 159 subsurface nodes which do not depend on whether a finite difference or a finite element approach 160 is being used.

### 161 **3** Common node approach

162 The common node approach defines a head continuity between the topmost subsurface nodes and the surface nodes. This continuity requires that the topmost subsurface nodes and the surface nodes 163 164 are co-located at the land surface such that there exists a continuity in the elevation head. This 165 requirement is automatically full-filled in vertex-centered schemes. Figure 1a illustrates the 166 configuration of common nodes in ParFlow, a cell-centered scheme [personal communication 167 Maxwell, R. in relation to previous work of the author [De Rooij et al., 2012]]. Figure 1c illustrates 168 the configuration of common nodes for vertex-centered schemes. This configuration is similar to 169 the configuration as used in HydroGeoSphere [Therrien et al., 2010].

Considering that nodal values represent ideally the mean values within discrete control volumes as described in Section 2, it can be argued that the head continuity as implemented in the common node approach is not in agreement with the physical principle of head continuity at the land surface. Namely, the common node approach enforces a continuity between surface heads at the land surface and the mean subsurface heads within the topmost subsurface discrete control volumes which have a finite thickness. This is different from enforcing a continuity between surface heads and subsurface heads within an infinitesimal thin subsurface layer directly below the land surface. As such the common node approach is only numerically correct if the topmost subsurface cells are very thin.

179 **4 Dual node approach** 

180 4.1 Basics

Figure 1b and 1c illustrate the classical arrangement of surface and subsurface nodes in cellcentered and vertex-centered finite difference schemes, respectively. Commonly, the dual node approach is expressed in terms of an exchange flux  $q_e$  [LT<sup>-1</sup>] computed as [*Liggett et al.*, 2012; *Panday and Huyakorn*, 2004]:

$$q_{\rm e} = f_{\rm p} \frac{K_z}{l} \left( h_{\rm s} - h_{\rm ss} \right) \tag{1}$$

186 where  $h_s$  and  $h_{ss}$  are the hydraulic heads [L] associated with the surface node and the topmost 187 subsurface node, respectively,  $f_p$  [-] the fraction of the interface that is ponded and l the coupling 188 length [L]. The ponded fraction of the interface is typically defined by a function that varies 189 smoothly between zero at the land surface elevation and unity at the rill storage height which 190 defines the minimum water depth for initiating lateral overland flow [Panday and Huyakorn, 2004]. In equation (1) the term  $f_{\rm p}K_z/l$  is commonly referred to as the first-order exchange 191 192 parameter, where first-order means that the exchange flux depends linearly of the hydraulic head 193 difference.

Typically, equation (1) is not derived as a numerical approximation of basic flow equations that govern the exchange flux, but is merely presented a numerical technique to couple two different flow domains [*Ebel et al.*, 2009; *Liggett et al.*, 2012]. Subsequently, the dual node approach is conceptualized by interpreting equation (1) as an expression that describes
groundwater flow across a distinct interface separating the two flow domains [*Ebel et al.*, 2009; *Liggett et al.*, 2012; *Liggett et al.*, 2013].

### 200 4.2 Consistent dual node approach

In the following, it is illustrated that the dual node approach can and should be derived from basic equations that describe infiltration into a porous medium. Using Darcy's Law, the infiltration rate at the ponded land surface  $q_{s \rightarrow ss}$  [LT<sup>-1</sup>] can be written as a function of the vertical subsurface hydraulic gradient at the land surface:

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$$q_{s \to ss} = \left(k_{r}K_{z}\frac{\partial h}{\partial z}\right)_{z=z_{s}} = K_{z}\frac{\partial h}{\partial z}|_{z=z_{s}}$$
(2)

where *h* the hydraulic head [L], *z* the elevation head [L],  $k_r$  the relative hydraulic conductivity [-]  $K_z$  the saturated vertical hydraulic conductivity [LT<sup>-1</sup>] and  $z_s$  the elevation head at the land surface. The relative hydraulic conductivity is unity because equation (2) applies to the ponded land surface which implies fully saturated conditions at the land surface (i.e. ponding means  $p_s > 0$ , where  $p_s$  is the pressure head at the surface). Similarly, the infiltrability [LT<sup>-1</sup>], defined as the infiltration rate under the condition of atmospheric pressure [*Hillel*, 1982], can be written as:

212 
$$I = \left( k_{\rm r} K_z \frac{\partial h}{\partial z} \right) \Big|_{z=z_{\rm s}, p_{\rm s}=0} = K_z \frac{\partial h}{\partial z} \Big|_{z=z_{\rm s}}$$
(3)

The relative hydraulic conductivity is again unity because the saturation equals unity under atmospheric conditions ( $p_s = 0$ ). The infiltration rate at non-ponded land surface  $q_{atm \rightarrow ss}$  [LT<sup>-1</sup>] can be expressed as:

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$$q_{\text{atm}\to\text{ss}} = \min\left(\max\left(I,0\right), q_{\text{R}}\right) \tag{4}$$

where  $q_R$  is the effective rainfall rate (i.e. the infiltration rate is limited by either the infiltrability or the available effective rainfall rate). The total exchange flux across the surface-subsurface interface can now be written as:

$$q_{\rm e} = f_p q_{\rm s \to ss} + \left(1 - f_p\right) q_{\rm atm \to ss} \tag{5}$$

221 To approximate the vertical subsurface hydraulic gradient in equations (2) and (3), it is 222 crucial to recognize that according to the principle of head continuity at the land surface, the 223 surface hydraulic head at a surface node must also represent the subsurface head at the land surface 224 at that location. Moreover, since the subsurface hydraulic heads at the topmost subsurface nodes 225 are ideally associated with the centroids of the topmost subsurface discrete control volumes, these 226 head values do not represent values at the land surface but at some depth below the land surface. 227 Because the subsurface hydraulic heads at the dual nodes can be and should be associated with a 228 different elevation, the vertical subsurface head gradient between the dual nodes can be 229 approximated by a standard finite difference approximation. If this approximation is being used to 230 approximate the gradient at the land surface in equations (2) and (3), then this approximation is by definition a one-sided first-order finite difference. Defining the coupling length by  $l = \Delta z_{dn}$  where 231  $\Delta z_{\rm dn}$  is the difference in the mean elevation head associated with the dual nodes, the infiltration 232 233 rate and infiltrability can thus be computed with the following one-sided finite difference 234 approximation:

235 
$$K_{z} \frac{\partial h}{\partial z}\Big|_{z=z_{s}} \approx \frac{K_{z}}{l} (h_{s} - h_{ss})$$
(6)

The above definition of the coupling length  $l = \Delta z_{dn}$  ensures a proper approximation of the vertical gradient in elevation head at the land surface:

$$\frac{\partial z}{\partial z}\Big|_{z=z_{s}} = \frac{\Delta z_{dn}}{l} = 1$$
(7)

239 The above derivation of the consistent dual node approach from basic flow equations has 240 implications for how the dual node approach is conceptualized and how it should be implemented. 241 The idea that the coupling length must be directly related to the spatial discretization is an 242 important new insight. Namely, as the coupling length is related to grid topology, it does not 243 represent a non-physical parameter associated with a distinct interface separating the two domains. 244 It is also crucial to observe the difference between the consistent dual node approach and the 245 common node approach regarding how the head continuity at the surface-subsurface interface is 246 formulated. As explained in Section 2, the formulation in the common node approach is only 247 correct if the topmost subsurface discrete volumes are very thin. In comparison, the formulation 248 in the dual node approach is correct irrespective of the vertical discretization. Namely, irrespective 249 of the vertical discretization the surface hydraulic heads equal the subsurface heads at the interface. 250 Since nodal values in cell-centered scheme are located at the centroids of the cells, the coupling length is simply given by  $l = z_s - z_{ss}$  where  $z_s$  and  $z_{ss}$  are the elevation heads [L] associated 251 252 with the surface node and the topmost subsurface node, respectively. This value for the coupling 253 length in cell-centered schemes has also been suggested by Panday and Huyakorn [Panday and 254 Huyakorn, 2004]. However, in their work, the particular advantage of choosing this value (i.e. 255 maintaining a unit gradient in elevation head) is not recognized. The coupling schemes as used by 256 An and Yu [An and Yu, 2014] and Kumar et al. [Kumar et al., 2009] are also in essence consistent 257 dual node schemes. However, these schemes are not recognized as a dual node scheme. Instead, 258 An and Yu [An and Yu, 2014] argue that their scheme is similar to the common node approach of 259 Kollet and Maxwell [Kollet and Maxwell, 2006]. Kumar et al. [Kumar et al., 2009] argue that their

260 scheme is similar to the dual node approach if the coupling length goes to zero which implies that 261 their scheme would be similar to the common node approach. However, contrary to the common 262 node approach the schemes of An and Yu [An and Yu, 2014] and Kumar et al. [Kumar et al., 2009] 263 compute exchange fluxes between surface and topmost subsurface nodes and therefore these 264 schemes are technically dual node schemes. As explained in this study, it is crucial to observe that 265 the schemes of An and Yu [An and Yu, 2014] and Kumar et al. [Kumar et al., 2009] are actually quite different from the common node approach. As already mentioned, the consistent dual node 266 267 scheme differs from the common node approach with respect to how the head continuity is 268 formulated at the surface-subsurface interface. As discussed later on, this difference has crucial 269 consequences in terms of accuracy as well as numerical efficiency.

270 In vertex-centered schemes the commonly used nodal configuration near the surface is such that  $z_s = z_{ss}$ . However, even though the topmost subsurface node is located at the land surface in a 271 272 vertex-centered scheme, the elevation head at this node should ideally correspond to the mean 273 elevation head within the topmost subsurface discrete volume. This suggests that the topmost 274 subsurface node should be moved to the centroid of the topmost subsurface discrete volume. 275 Although this is a possible solution, the drawback of this solution is that the subsurface model 276 ceases to be a purely vertex-centered scheme. Moreover, such an operation cannot be performed 277 in finite element schemes since the nodal positions define the geometry of the elements. Therefore, 278 an alternative solution is proposed. Namely, in vertex-centered schemes the elevation of the surface nodes are changed according to  $z_s = z_{ss} + l$  where l is equals half the thickness of the 279 280 topmost subsurface dual cell. The resulting nodal configuration is illustrated in Figure 1d. When 281 applying this solution, all the topmost subsurface cells must have the same thickness, such that the 282 topography is increased with the same value everywhere. In essence, the motivation behind this solution is that a more accurate approximation the hydraulic gradient (i.e. enforcing a unit gradient in elevation head) is more important than the actual elevation of the land surface. Similar to the nodal configuration in ParFlow, the resulting nodal configuration may not seem ideal. Namely, the surface elevation does not coincide with the top of the subsurface grid. Nonetheless, as illustrated later on, simulation results obtained with the resulting scheme are reasonable.

To illustrate that the presented dual node approach exhibits consistent behaviour, the necessary conditions for ponding due to excess infiltration and exfiltration are considered. In general ponding starts when  $q_{\rm R} > I$  [*Hillel*, 1982]. Setting  $q_{\rm R} = I$ ,  $p_{\rm s} = 0$  and using h = p + z, it follows from equation (6) and (7) that at the moment of ponding:

$$p_{\rm ss} = l \left( 1 - \frac{q_{\rm R}}{K_z} \right) \tag{8}$$

Ponding due to excess infiltration occurs if  $q_R/K_z > 1$  and implies that saturation in the subsurface 293 starts from the top down [*Hillel*, 1982]. Using  $q_R/K_z > 1$  it follows from equation (8) that ponding 294 due to excess infiltration occurs while  $p_{ss} < 0$ . This is reasonable since this value represents the 295 296 pressure head at a certain depth below the land surface. Namely, if saturation occurs from the top-297 down then the saturation at a certain depth occurs later than saturation at the land surface. It is noted that if the ratio  $q_{\rm R}/K_{\rm z}$  is greater than but close to unity or if the coupling length is very small, 298 299 then this condition becomes  $p_{ss} \approx 0$ . Once ponding starts the total flux rate between the dual nodes equals  $K_z((p_s - p_{ss})/l + 1)$ . Top-down saturation requires that this flux exceeds the vertical 300 hydraulic conductivity. Reaching saturation at the topmost node (  $p_{\rm ss}$  = 0 ) therefore requires  $p_{\rm s}$   $\ge$  0 301 302 . Thus, top-down saturation will occur after ponding is initiated. Ponding due to excess saturation occurs if  $q_R/K_z < 1$  and implies that saturation in the subsurface starts from the bottom up [*Hillel*, 303

304 1982]. It follows from equation (8) that ponding due to excess saturation occurs while  $0 < p_{ss} < l$ . 305 Thus ponding starts after reaching fully saturated conditions at the topmost subsurface node, which 306 is again reasonable. It is noted that if the ratio  $q_R/K_z$  is smaller than but close to unity or if the 307 coupling length is very small, then ponding occurs when  $p_{ss} \approx 0$ .

308

### 4.3 Comparison to alternative coupling approaches

309 To illustrate that it is crucial to account for the meaning of the values at the topmost subsurface 310 nodes, it is instructive to consider what happens if these values are not taken as the mean values 311 within discrete control volumes. As a first example, consider vertex-centered schemes where the dual nodes are defined such that  $z_{ss} = z_s$  as illustrated in Figure 1c. This is inconsistent because it 312 313 defines a zero gradient in elevation head between the dual nodes. Since the vertical gradient in 314 elevation head between the dual nodes is zero the total flux rate after ponding now equals  $K_z(p_s - p_{ss})/l$ . Top-down saturation requires that this flux exceeds the vertical hydraulic 315 conductivity. Thus, reaching saturation at the topmost subsurface node ( $p_{ss} = 0$ ) requires  $p_s > l$ . 316 317 Therefore, top-down saturation will not occur if runoff occurs and if the surface water depths 318 remains smaller than the chosen coupling length. Indeed, it has been pointed out in other studies 319 that the coupling length should be smaller than the rill storage height [Delfs et al., 2009; Liggett et 320 al., 2012]. The zero vertical gradient in elevation head between the dual nodal also means that the required condition for ponding now becomes  $p_{ss} = -lq_R/K_z$ . This implies that ponding due to 321 322 excess saturation occurs while the topmost subsurface node is not yet saturated. This dual node 323 approach has been compared to the common node approach in vertex-centered schemes [Liggett 324 *et al.*, 2012].

325	A second example is the dual node approach for cell-centered schemes as implemented in
326	MODHMS which uses an adapted pressure-saturation relationship for the topmost subsurface
327	nodes such that the topmost subsurface node only becomes fully saturated if hydraulic head at the
328	node rises above the land surface [Liggett et al., 2013]. Since the topmost subsurface heads are
329	associated with the cell centroid, this dual node scheme defines a unit gradient in elevation head
330	at the land surface. However, the saturation value at the topmost node is associated with a location
331	at the land surface and not with the centroid of a discrete control volume. This has undesirable
332	consequences. Namely, saturating the topmost subsurface node ( $p_{ss} = l$ ) due to excess infiltration
333	requires that $p_s > l$ . Indeed, when simulating excess infiltration with MODHMS, a very small
334	coupling length is needed to simulate top-down saturation due to excess infiltration. [Gaukroger
335	and Werner, 2011; Liggett et al., 2013]. It can also be shown that ponding due to excess saturation
336	occurs while $0 < p_{ss} < l$ . But, because of the adapted pressure-saturation relationship this means
337	that ponding starts while the topmost subsurface node is not yet saturated. This dual node approach
338	has been compared to the common node approach in cell-centered schemes [Liggett et al., 2013].
339	The two comparison studies of Liggett et al. [Liggett et al., 2012; Liggett et al., 2013]
340	indicate that the dual node approach is typically only competitive with the common node approach
341	in terms of accuracy once the coupling length is very small. However, the requirement for a very
342	small coupling length, is a logical consequence if the topmost subsurface nodal values are not
343	taken as the mean values within discrete volumes. In essence, by choosing a very small coupling
344	length this inconsistency is minimized. This contrasts with the consistent dual approach in which
345	decreasing the coupling length for a given vertical discretization will result in more inaccurate
346	simulation results as this would be numerically incorrect.

347 CATHY [Camporese et al., 2010] as well as the model of Morita and Yen [Morita and 348 Yen, 2002] are examples of models which are neither based on the common node approach, nor a 349 dual node approach. Both these models are conjunctive models in which the surface and subsurface 350 flow are computed separately in a sequential fashion and in which coupling is established by 351 matching the flow conditions along the surface-subsurface interface. A complete discussion is 352 outside the scope of this paper, but it is worthwhile to mention that these models share some crucial 353 characteristics with the consistent dual node approach. Although the two models are different, both 354 models switch between appropriate boundary conditions along the surface-subsurface interface, 355 such that infiltration fluxes are limited to the infiltrability. In both models the infiltration fluxes 356 are computed while accounting for the unit vertical gradient in elevation head near the surface-357 subsurface interface. In addition, in both models ponding occurs when the infiltrability is 358 exceeded.

359 5 Numerical experiments

### 360 5.1 Numerical model

To compare the consistent dual node approach with respect to the common node approach in terms of accuracy and computational efficiency numerical experiments are presented. These experiments are carried out with the model code DisCo. This model code can simulate coupled surfacesubsurface flow with the dual node approach using a fully implicit or monolithic scheme [*de Rooij et al.*, 2013]. Subsurface flow is governed by the Richards' equation while surface flow is governed by the diffusive wave equation.

367 Starting from a dual node scheme, the implementation of a common node scheme is 368 relatively straightforward. If the surface nodes are numbered last, a permutation vector can be 369 constructed which gives the corresponding topmost subsurface node for each surface node. Then, 370 the node numbering as used in the original dual node scheme can still be used to compute the 371 surface and subsurface flow terms. Subsequently, using the permutation vector the surface and 372 subsurface flow terms associated with a common node can be combined into the same row of the 373 global matrix system. In addition, when using the common node approach, there is no need to 374 evaluate exchange flow terms between the two flow domains. It is noted that the surface flow and 375 subsurface flow computations are exactly the same irrespective of the coupling approach. As such 376 the model permits to compare the two approaches in terms of accuracy as well as numerical 377 efficiency.

An adaptive error-controlled predictor-corrector one-step Newton scheme [*Diersch and Perrochet*, 1999] is used in which a single user-specified parameter controls the convergence as well the time stepping regime. Although, this scheme may not be necessary the most efficient scheme, it ensures that time discretization error is the same irrespective of the applied coupling approach. For brevity further details about the model are not discussed here and can be found elsewhere [*de Rooij et al.*, 2013].

# 384 5.2 Hillslope scenarios

The model code is applied to a set of three hillslope scenarios. Table 1 lists the abbreviations used in the figures to distinguish between the coupling approaches, and to distinguish between cellcentered and vertex-centered schemes. Each scenarios is solved using different but uniform vertical discretizations and  $\Delta z$  specifies the discretization of the primary grid. The first two simulation scenarios consider hillslope problems as designed by Sulis et al. [*Sulis et al.*, 2010]. For the purpose of this study, a third scenario is considered in which the initial and boundary conditions are different to create a flooding wave across an unsaturated hillslope. The problems 392 consist of a land surface with a slope of 0.05 which is underlain by a porous medium. The domain 393 is 400 m long and 80 m wide. The subsurface is 5 m thick. In the direction of the length and in the 394 direction of the width the discretization is 80 m. Different vertical discretizations are considered. The van Genuchten parameters are given by  $s_r = 0.2$ ,  $s_s = 1.0$ ,  $\alpha = 1$  m<sup>-1</sup> and n = 2. The porosity is 395 0.4 and the specific storage is  $10^{-4}$  m<sup>-1</sup>. The manning's roughness coefficients are given by 3.3 x 396 10<sup>-4</sup> m<sup>-1/3</sup>min. The surface flow domain has a zero-gradient outflow condition. For the first two 397 simulation scenarios the domain is recharged with an effective rainfall rate of  $3.3 \times 10^{-4}$  m/min for 398 399 a duration of 200 minutes and the initial water table depth is at a depth of 1.0 m below the land 400 surface.

401 The first scenario considers excess saturation, the saturated conductivity equals  $6.94 \times 10^{-10}$ <sup>4</sup> m/min. Figure 2 and 3 illustrates the simulated runoff and the number of Newton steps, 402 403 respectively. Figure 4 and 5 illustrate the subsurface pressure heads at the topmost subsurface 404 nodes and the water depths on the surface nodes. For the second scenario which considers excess infiltration the saturated hydraulic conductivity equals  $6.94 \times 10^{-7}$  m/min. Figure 6 and 7 show the 405 406 simulated runoff and the number of Newton steps, respectively. Figure 8 and 9 illustrate the 407 subsurface pressure heads at the topmost subsurface nodes and the water depths on the surface 408 nodes for the finest and the coarsest vertical discretization, respectively. In the third scenario a 409 surface water flood wave crossing the hillslope in the downhill direction is simulated by applying 410 a Neumann boundary condition of 1.0 m<sup>3</sup>/s for a duration of 200 minutes to the surface nodes with 411 the highest elevation. The initial water table is located at a depth of 1.5 m. The vertical saturated hydraulic conductivity equals  $6.94 \times 10^{-6}$  m/min. Figure 10 illustrates the differences in simulated 412 413 runoff and Figure 11 illustrates the number of Newton steps of the model runs. Figure 12 and 13

414 illustrate the subsurface pressure heads at the topmost subsurface nodes and the water depths on

415 the surface nodes for the finest and the coarsest vertical discretization, respectively.

#### 416 **6 Discussion**

#### 417 **6.1** Accuracy

418 As discussed by Ebel et al. [Ebel et al., 2009] and confirmed by others [Liggett et al., 2012] the 419 dual node approach mimics the common node approach if the coupling length becomes sufficiently 420 small. When comparing the consistent dual node approach and the common node approach a very 421 similar observation applies. If the topmost subsurface cells are very thin, then the coupling length 422 in the consistent dual node approach is very small. Also, if the topmost subsurface cells are 423 sufficiently thin then the formulation of head continuity at the surface-subsurface interface in the 424 common node approach is correct. Thus, the common node approach will mimic the consistent 425 dual node approach. Indeed, the simulations results indicate that a relatively fine vertical 426 discretization yields similar results for the common node approach as well as for the consistent 427 dual node approach (Figure 2a, 4a, 6a, 8a, 10a and 12a).

A relatively fine uniform vertical discretisation also enables to simulate sharp saturation fronts with the Richards' equation [*Pan and Wierenga*, 1995; *Ross*, 1990]. As such the simulation results based on the finest vertical discretization can be taken as reference solutions that enables comparisons of the coupling approaches when a coarser vertical discretization is used.

432 **6.1.1** Excess saturation

433 The simulation results of runoff due to excess saturation as obtained by the common node approach 434 and the consistent dual node approach as depicted in Figure 2 illustrate that simulating excess 435 saturation runoff is not significantly affected by the vertical discretization. This is because the time 436 needed to reach fully saturated conditions in the subsurface is a simple function of the flow 437 boundary conditions and the initial water content. It is thus expected that the vertical discretization 438 does not significantly affect the simulation of excess saturation. Although the vertical 439 discretization may affect the computed initial water content, this effect is usually negligible. It has 440 been found in other studies that the vertical discretization has little effect on simulated runoff due 441 to excess saturation [*Sulis et al.*, 2010].

## 442 **6.1.2** Excess infiltration

443 When simulating excess infiltration the common node approach requires fully saturated conditions 444 at the topmost subsurface node for ponding to occur. However, top-down saturation associated 445 with excess infiltration implies that reaching fully saturated conditions in the topmost subsurface 446 discrete volumes should requires more time than reaching fully saturated conditions at the land 447 surface, especially if the vertical discretization is relatively coarse. It is thus expected that the 448 common node approach delays runoff and that this delay increases for a coarser vertical 449 discretization. In addition, if the saturation fronts are less sharp due to a relatively coarse vertical 450 discretization, it takes more time to reach saturated conditions at the common node. This will 451 further delay runoff. Indeed, the simulation results indicate clearly that runoff is delayed when using the common node approach, particularly if the vertical discretization is relatively coarse 452 453 (Figure 6, 9a, 10 and 13a). It has also been found in other studies that the common node approach 454 delays runoff due to excess infiltration if the vertical discretization is relatively coarse [Sulis et al., 455 2010].

456 As explained in Section 4.2, when using the consistent dual node approach, ponding due 457 to excess infiltration occurs before reaching fully saturated conditions at the topmost subsurface 458 node. More specifically, ponding occurs when the infiltrability is exceeded. Compared to the

459 condition for ponding in the common node approach this is arguably more correct. Namely, if 460 saturation occurs from the top-down then the saturation at a certain depth occurs later than 461 saturation at the land surface. Indeed, simulation results indicate that when simulating excess 462 infiltration the consistent dual node approach is less sensitive to the vertical discretization in 463 comparison to the common node approach. This is clearly indicated in Figure 6b-d, 9a, 10b-d and 464 13a. To further explain this difference in accuracy, it is emphasized that the spatial resolution only 465 affects the accuracy of the flow computations when using the consistent dual node approach and 466 that the formulation of head continuity at the interface remains correct. In contrast, when using the 467 common node approach, if the spatial resolution is too coarse then this does not only affect the accuracy of the flow computations but in addition the formulation of head continuity becomes 468 469 incorrect. It must be emphasized, however, that regardless of the applied coupling approach, the 470 vertical discretization must be relatively fine. As indicated by Figure 6b-d, 9a, 10b-d and 13a the 471 difference between the simulated results and the reference solution increase for a coarser 472 discretization. Eventually such differences will lead to unreasonable results regardless of the 473 coupling approach.

It is interesting to note that An and Yu [*An and Yu*, 2014] also found that their model was less sensitive to the vertical discretization in comparison to ParFlow when simulating runoff due to excess infiltration. Whereas An and Yu [*An and Yu*, 2014] hypothesized that this difference in performance was related to using irregular grids instead of orthogonal grids as in ParFlow, it is argued here that this difference can be explained by the fact that both models use a different coupling approach.

480 Although the consistent dual node approach is less sensitive to the vertical discretization 481 in comparison to the common node approach, it is useful to explain in detail how the vertical

482 discretization affects the accuracy of the consistent dual node approach to the vertical 483 discretization. A relatively coarse vertical discretization may result in an underestimation of the 484 vertical pressure gradient at the land surface. This is because in a soil close to hydrostatic 485 conditions the pressure heads increase with depth. Therefore, the infiltrability during the early 486 stages of infiltration may be underestimated. If the applied flux rate is sufficiently large such that 487 the underestimated infiltrability is exceeded, then runoff during the early stages will be 488 overestimated. Figure 6d illustrates that the runoff as simulated with the cell-centered scheme, a 489 relatively coarse vertical discretization and a consistent dual node approach is indeed 490 overestimated at early times. During the later stages of infiltration the pressure head at the topmost 491 subsurface node will be underestimated due to the combined effect of an underestimated 492 infiltration rate and the overly diffused saturation fronts. This results in an overestimation of the 493 infiltration rate in the later stages. Thus at some time after ponding has started, it is expected that 494 the amount of runoff is underestimated.

495 If the underestimated infiltrability is not exceeded, then the overly diffused saturation fronts 496 resulting from a relatively coarse vertical discretization will eventually lead to an underestimation 497 of pressure head at the topmost subsurface node and as such the infiltrability may be overestimated 498 at later times. Consequently, when using the consistent dual node approach runoff due to excess 499 infiltration may be delayed. However, the delay in runoff as simulated by the consistent dual node 500 approach will only equal the delay in runoff as simulated by the common node approach in the 501 limit when  $q_R/K_z$  goes to unity. Namely, as explained in Section 4.2 if  $q_R/K_z$  goes to unity, then 502 the consistent dual node approach behaves similar as a common node approach. However, in 503 general, if the consistent dual node approach delays runoff, this delay will be smaller than the delay 504 in runoff as simulated by the common node approach.

505 Comparing Figure 12a and 13a it can be observed that if the vertical discretization is 506 relatively coarse then a common node can act as an artificial barrier for a surface water wave 507 advancing across an initially unsaturated subsurface domain. Namely, as the wave travels 508 downstream the wave can only advance to the next common node once it is fully saturated. The 509 effect of this artificial barrier is that the front of the surface water wave is steepened. In contrast, 510 the consistent dual approach simulates a wave that becomes less steep as it advances downstream 511 for relatively fine as well as relatively coarse vertical discretizations as depicted in Figure 13a.

As illustrated in Figure 6b-d, and 10b-d, if the coupling approach and the vertical discretization are identical, then the vertex-centered schemes are closer to the reference solution with respect to the cell-centered schemes. This difference results solely from the fact the primary mesh is the same for both schemes. As such the vertical extent of the topmost subsurface volumes is twice as small when using the vertex-centered scheme. This difference in vertical grid resolution near the land surface explains the differences between the schemes.

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## 6.2 Computational efficiency

519 The computational efficiency of the schemes is measured in terms of the number of Newton steps. 520 The number of Newton steps equals the number of times that the linearized system of equations is 521 solved and this number depends on the time step sizes as well as the number of failed Newton 522 steps. It is emphasized that the measured efficiency depends crucially on the applied model code. 523 Nonetheless, as shown in the following, the measured differences in efficiencies can be explained 524 in terms of abrupt changes in how fast pressure heads near the surface-subsurface interface are 525 evolving with time. Regardless of the type of scheme used to solve the non-linear flow equations, 526 such abrupt changes are difficult to solve.

527 Once ponding occurs a surface-subsurface flow model will encounter significant numerical 528 difficulties as surface flow terms are activated. In essence, the activation of these terms represents 529 a discontinuity in flow behaviour which is challenging to resolve [*Osei-Kuffuor et al.*, 2014]. 530 Indeed, the Newton steps as depicted in Figure 3 and 7 indicate that simulations encounter 531 difficulties at the moment of ponding. These figures also indicate that the consistent dual node 532 approach can be more efficient in comparison to the common node approach.

## 533 6.2.1 Excess saturation

534 Just before the moment of ponding due to excess saturation, the rate of change in pressure heads 535 at the topmost subsurface nodes is relatively high for both coupling approaches. This high rate is 536 related to the shape of the water retention curve. Typically, the derivative of the saturation with 537 respect to the pressure head goes to zero when approaching fully saturated conditions. Once 538 ponding starts, the surface flow terms are activated and therefore the rate of changes in pressure 539 heads at the topmost subsurface nodes decreases drastically. Both approaches must handle this 540 drastic change. However, from Figure 4b and 5b it can be observed that the rate of change 541 decreases more abruptly when using the common node approach.

542 When using the common node approach the vertical hydraulic gradients in the subsurface 543 are close to zero at the moment of ponding, since additional water volumes can only be 544 accommodated by means of specific storage. This implies that the infiltration rate drops 545 instantaneously at the moment of ponding. In contrast, in the dual node approach ponding starts 546 when the infiltrability is exceeded. Thus at the moment of ponding, the infiltration rate is higher 547 in comparison to the common node approach. After ponding this infiltration rate will decrease 548 quickly as the hydraulic heads at the dual nodes equilibrate. This difference in the infiltration rate 549 at the moment of ponding explains why the topmost subsurface hydraulic heads change more 550 smoothly when using the dual node approach. If the vertical discretization is coarser, then the 551 infiltration rate at the moment of ponding as computed with the consistent dual node approach is 552 even higher and this results in a lower initial rate initial rate of change in water depth as depicted 553 in Figure 5a.

554 The more abrupt changes in pressure heads at the common node in comparison to the 555 changes in pressure heads at the dual nodes mean that solving the activation of ponding with the 556 common node approach is more difficult. It is noted that the differences in the infiltration rates 557 between the two coupling approaches only occur at the moment of ponding and directly thereafter 558 when water depths are relatively small. Namely, quickly after ponding, the hydraulic heads at the 559 dual nodes will equilibrate and after that the two coupling approaches will behave similar. This 560 explains why these differences in infiltration rates do not significantly affect the accuracy of 561 simulated runoff.

562 **6.2.2** Excess infiltration

563 Figure 8, 9, 12 and 13 illustrate the evolution of pressure heads at dual nodes and common nodes 564 when simulating excess infiltration. When applying the consistent dual approach, the net flux into 565 a topmost subsurface cell will decrease once ponding occurs, because the applied flux rate will be 566 partitioned between dual nodes (i.e. between the surface flow and subsurface flow domain). This 567 occurs while the topmost subsurface node is not yet fully saturated. After ponding the infiltration 568 rate decreases such that if the topmost subsurface node reaches fully saturated conditions the net 569 flux into the topmost subsurface node is relatively small. In contrast, partitioning of the applied 570 flux rate on a common node between the surface flow and subsurface domain starts when the 571 common node reaches fully saturated conditions at this node. This means that just before ponding 572 the rate of change in pressure head is relatively high as the common node is driven towards fully

573 saturated conditions while the infiltration rate is relatively high. This means that similar to the 574 excess saturation scenario the rate of change in pressure head at the common node is high just 575 before ponding. At the moment of ponding, this rate must drop abruptly as surface flow terms are 576 activated. This abrupt change explains why the common node approach is less efficient.

Figures 7 and 11 also indicate that a coarser vertical discretization only provides a significant gain in efficiency in terms of Newton steps when using the consistent dual node approach. When using the common node approach, a coarser discretization does not change the fact that the topmost subsurface node must reach fully saturated conditions for ponding to occur and that the infiltration rate is relatively high just before ponding. When using the consistent dual node approach, a coarser vertical discretization means that the saturation fronts are more diffused such that the flow problem becomes easier to solve.

584 Figure 8a and 9a illustrate that for the second simulation scenario, ponding occurs almost 585 simultaneously at all the surface nodes. Figure 12a and 13a show that this is different for the third 586 scenario where ponding occurs at different times as the flooding wave travels downstream. When 587 Figure 11a is compared with Figure 12a and when Figure 11d is compared with Figure 13a, it is 588 clear that the common node approach encounters difficulties around each time ponding starts at a 589 surface node. Figure 11 shows that these difficulties are encountered for all discretizations. In 590 contrast the consistent dual node approach has much less difficulties solving these difficulties. As 591 discussed in Section 6.1.2. the common node approach may result in steepening the advancing 592 wave. This implies that water depths will be changing more quickly. This presents an additional 593 difficulty for solving this flow problem with the common node approach.

# 594 7 Conclusions

595 In this study it is shown that the dual node approach should be conceptualized and implemented 596 as a one-sided finite differences approximation of the vertical hydraulic gradient at the land 597 surface. This provides an important new insight into the coupling length. Namely, if the dual node 598 approach is properly implemented then the coupling length is related to the vertical grid resolution. 599 Thus, the coupling length does not represent an additional non-physical model parameter and 600 therefore the dual node approach is not automatically a less physically based approach in 601 comparison to the common node approach. Actually, this study shows if the vertical discretization 602 is not sufficiently fine then the head continuity at the surface-subsurface interface is formulated more correctly in the consistent dual node scheme. This difference in formulation has 603 604 consequences for how both approaches compare in terms of accuracy and efficiency.

605 Numerical experiment indicate that the consistent dual node approach is equally accurate 606 or more accurate than the common node approach. It has been shown that in comparison to the 607 common node approach the consistent dual node approach is less sensitive to the vertical 608 discretization when simulating excess infiltration. However, the practical advantage of the 609 consistent dual node approach in terms of accuracy is limited. Namely, if the vertical discretization 610 is refined, both approaches will converge to more accurate and eventually similar results when 611 simulating excess infiltration. When simulating excess saturation both approaches yield similar 612 results even if the vertical discretization is relatively coarse.

Nonetheless, even though the advantage of the consistent dual node approach in terms of accuracy is limited, the fact that the consistent dual node approach is equally or more accurate than the common node approach is a significant finding. Namely, this finding is different from the commonly held view that a dual node approach is most accurate if it mimics the common node approach. Moreover, it also illustrates clearly that the consistent dual node approach is not similarto a common node approach.

Numerical experiment indicate that the consistent dual node approach can be more efficient than the common node approach while being equally or more accurate than the common node approach. It has been shown that this difference in efficiency is related to abrupt changes in the evolution of pressure heads around the moment that ponding is initiated.

Based on the findings in this study the models of An and Yu [*An and Yu*, 2014] and Kumar

624 et al. [Kumar et al., 2009] are expected to have some advantages with respect to models that are

based on the common node approach. This is because these models are based on a consistent dual

626 node approach. Moreover, given a model that uses an alternative dual node approach, it is relatively

627 straightforward to implement the numerically more correct consistent dual node approach.

628

### 629 Acknowledgements

630 This research was funded by the Carl. S. Swisher Foundation.

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abbreviation	meaning
сс	cell-centered
vc	vertex-centered
dn	dual node
cn	common node

- 701 Table 1: Abbreviations as used in the figures.



729	Figure 1: a) Common nodes in cell-centered schemes. b) Dual nodes in cell-centered-centered
730	schemes. c) Common nodes and co-located dual nodes in vertex-centered schemes. d) Dual nodes
731	in vertex-centered schemes (not co-located). The white squares and white circles represent surface
732	and subsurface nodes, respectively. The solid and dashed lines represent the primary mesh and the
733	dual mesh, respectively. The grey-shaded area is a topmost discrete volume as associated with a
734	topmost subsurface node. The black dot represents the centroid of this volume. The coupling length
735	<i>l</i> as depicted in this figure applies to the consistent dual node approach.
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Figure 2: Outflow response for excess saturation on a hillslope (first scenario) using different
vertical discretizations.



Figure 3: Number of Newton steps for excess saturation on a hillslope (first scenario) usingdifferent vertical discretizations.



Figure 4: Simulated values at the common nodes for excess saturation on a hillslope (first scenario) with a cell-centered scheme and  $\Delta z = 0.0125$  m. a) Water depths. b) Pressure heads. Nodes are numbered 1-5 in the down-slope direction.



Figure 5: Simulated values for excess saturation on a hillslope (first scenario) with a cell-centered scheme and  $\Delta z = 0.2$  m. a) Water depths at the surface nodes. b) Pressure heads at the topmost subsurface nodes. Nodes are numbered 1-5 in the down-slope direction.



Figure 6: Outflow response for excess infiltration on a hillslope (second scenario) using different
vertical discretizations.



Figure 7: The total number of Newton steps for excess infiltration (second scenario) on a hillslope
using different vertical discretizations.



Figure 8: Simulated values at the common nodes for excess infiltration on a hillslope (second scenario) with a cell-centered scheme and  $\Delta z = 0.0125$  m. a) Water depths. b) Pressure heads. Nodes are numbered 1-5 in the down-slope direction.



Figure 9: Simulated values for excess infiltration on a hillslope with a cell-centered scheme (second scenario) and  $\Delta z = 0.2$  m. a) Water depths at the surface nodes. b) Pressure heads at the topmost subsurface nodes. Nodes are numbered 1-5 in the down-slope direction.



Figure 10: Outflow response for flooding an unsaturated hillslope using different verticaldiscretizations.



Figure 11: Number of Newton steps for flooding an unsaturated hillslope using different verticaldiscretizations.



Figure 12: Simulated values for excess infiltration (third scenario) on a hillslope with a cellcentered scheme and  $\Delta z = 0.0125$  m. a) Water depths at the surface nodes. b) Pressure heads at the topmost subsurface nodes. Nodes are numbered 1-5 in the down-slope direction).



Figure 13: Simulated values for excess infiltration (third scenario) on a hillslope with a cellcentered scheme and  $\Delta z = 0.2$  m. a) Water depths at the surface nodes. b) Pressure heads at the topmost subsurface nodes. Nodes are numbered 1-5 in the down-slope direction).