



# What controls the stable isotope composition of precipitation in the Asian monsoon region?

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## 10 **Abstract**

This study analyzes the influence of local and regional climatic factors on the stable isotopic composition of rainfall in the Vietnamese Mekong Delta as part of the Asian monsoon region. It is based on 1.5 years of weekly rainfall samples. Their isotopic content is analyzed by Local Meteoric Water Lines (LMWL) and single-factor regressions. Additionally, the contribution of several regional and local factors is quantified by multiple linear regressions (MLR) of all possible factor combinations and by relative importance analysis, a novel approach for the interpretation of isotopic records. The local factors are extracted from local climate records, while the regional factors are derived from atmospheric backward trajectories of water particles. The regional factors, i.e. precipitation, temperature, relative humidity and moving distance of the backward trajectories, are combined with equivalent local climatic parameters to predict the response variables  $\delta^{18}\text{O}$ ,  $\delta^2\text{H}$ , and d-excess of precipitation at the station of measurement.

20 The results indicate that (i) MLR can much better explain the isotopic variation of precipitation ( $R^2 = 0.8$ ) compared to single-factor linear regression ( $R^2 = 0.3$ ); (ii) the isotopic variation in precipitation is controlled dominantly by regional moisture regimes (~70%) compared to local climatic conditions (~30%); (iii) the most important climatic parameter during the early rainy season is the precipitation amount along the trajectories of air mass movements; (iv) the influence of local precipitation amount and temperature is not significant during the early rainy season, unlike the regional precipitation amount effect; (v) secondary fractionation processes (e.g. sub-cloud evaporation) take place mainly in the dry season, either locally for  $\delta^{18}\text{O}$  and  $\delta^2\text{H}$ , or along the air mass trajectories for d-excess. The analysis shows that regional and local factors vary in importance over the seasons, and that the source regions and transport pathways, and in particular the climatic conditions along the pathways, have a large influence on the isotopic composition of rainfall. The proposed methods thus proved to be valuable for the interpretation of the isotopic records in rainfall and the factors controlling it.

30 The results illustrate that the interpretation of the isotopic composition in precipitation as a recorder of local climatic conditions, as for example performed for paleo records of water isotopes, may not be adequate in the Southern part of the



Indochinese Peninsula, and likely also not in other regions affected by monsoon processes. However, the presented approach could open a pathway towards better and seasonally differentiated reconstruction of paleoclimates based on isotopic records.

## 1 Introduction

The analysis of stable water isotopes ( $\delta^{18}\text{O}$  and  $\delta^2\text{H}$ ) and their use as tracers have become an effective tool in hydrology.

5 They are widely used to characterize water resources in a given region and to understand dynamics of hydro-geo-ecological processes such as precipitation, groundwater recharge or groundwater-surface water interactions – from the plot to the catchment scale.

Precipitation is typically composed of regional contributions where atmospheric moisture has been transported over large distances and local contributions, where the moisture has been provided by evapotranspiration within the close vicinity.

10 Understanding the sources of precipitation and their relative contribution is critical for basin-wide water balance studies (Ingraham, 1998). Stable isotopes offer the possibility to identify the sources of precipitation and to quantify the contribution of regional and local sources (Gat, 1996). Furthermore, they can be used to investigate hydrological processes such as mechanisms responsible for streamflow generation (e.g. Kendall and Caldwell, 1998), in groundwater studies (e.g. Gonfiantini et al., 1998) or rainfall-runoff studies (e.g. Genereux and Hooper, 1998).

15 Isotopic variation in precipitation at a given location has been correlated with climatic parameters such as precipitation amount, air temperature, and air mass history (Dansgaard, 1964; Rozanski et al., 1992; Gat, 1996), termed amount effect, temperature effect (Dansgaard, 1964), and circulation effect (Tan, 2009), respectively. Delineating the present-day relationship between climatic factors and stable isotopic variation in precipitation may help to understand past climatic conditions at regional and global scales. However, the factors controlling isotopic variation of precipitation are numerous  
20 and complex; hence a better understanding of the climatic influences on isotopic values would improve the use of precipitation isotopes as a proxy to reconstruct paleoclimates (Yang et al., 2016).

In the Asian monsoon region, isotopic variation of precipitation has been found to correlate with many other climatic parameters and processes, such as distillation during vapor transport (Araguás-Araguás et al., 1998; Yoshimura et al., 2003; Vuille et al., 2005), the source of water vapor and changes in its temperature (Dayem et al., 2010), upstream rainout  
25 (Pausata et al., 2011; Lee et al., 2012; Liu et al., 2014), sea surface temperature and relative humidity of the air masses (Dansgaard, 1964; Merlivat and Jouzel, 1979; Clark and Fritz, 1997; Lachniet, 2009), ENSO (Ichiyanagi and Yamanaka, 2005; Tan, 2014; Yang et al., 2016), vertical wind shear index (Vuille et al., 2005), and convective processes such as re-evaporation and rain–vapor interactions (Risi et al., 2008b; Chakraborty et al., 2016). Recently, many studies have presented evidence that large-scale monsoon circulation is the primary driver of variations in precipitation isotopes instead of local  
30 precipitation amount in some parts of the Asian monsoon region (e.g. Araguás-Araguás et al., 1998; Aggarwal et al., 2004; Vuille et al., 2005; Kurita et al., 2009; LeGrande and Schmidt, 2009; Tan, 2009; Dayem et al., 2010; Peng et al.,



2010;Ishizaki et al., 2012;Liu et al., 2014;Tan, 2014;Baker et al., 2015;Kurita et al., 2015). However, the influence of the different factors has been described qualitatively only, with the exception of the study of Ishizaki et al. (2012). Hence, a better quantitative understanding of the climatic influences on isotopic values over the Asian monsoon region is required.

5 Firstly, the interpretation of isotopic data using only local climatic factors, such as temperature or rainfall amount, can lead to incorrect paleoclimate reconstructions (Noone and Simmonds, 2002). For example, using  $\delta^{18}\text{O}$  as a tracer of local precipitation is usually based on the assumption of a constant precipitation source or similar isotopic signatures of different moisture sources. However, both assumptions are unrealistic. This is particularly true in the parts of the Asian monsoon region located in the transition zone between the Indian and Western North-Pacific monsoon where precipitation originates from both the Indian and Pacific Ocean (Delgado et al., 2012a). Seasonally varying sources of precipitation have been  
10 observed in China (Tan, 2014, and references therein), India (e.g. Breitenbach et al., 2010;Chakraborty et al., 2016), Korea (Lee et al., 2003), Thailand (Ishizaki et al., 2012), and elsewhere (Araguás-Araguás et al., 1998). The isotopic signatures of air masses originating from the Indian Ocean differ considerably from those stemming from the Pacific Ocean, where the average  $\delta^{18}\text{O}$  of the latter is about 2.5‰ more negative (Araguás-Araguás et al., 1998).

Secondly, correlations between isotopic composition of rainfall and climatic factors are typically restricted to single factors.  
15 These mono-factorial relationships do not consider the interplay of different factors and are possibly biased. This is particularly true for the Asian monsoon region with its distinct seasonality and the variation in moisture source regions within and in between the rainy and dry seasons (Breitenbach et al., 2010;Gimeno et al., 2010). Additionally, the relative importance of these factors in controlling isotopic composition in precipitation has not been quantitatively investigated, except for Ishizaki et al. (2012). However, Ishizaki et al. (2012) limited the analysis to two factors only (local precipitation  
20 amount and distillation of the moisture along its transport trajectories).

Hence, we conclude that the isotopic variation of precipitation in the Asian monsoon region should not be regarded solely as being influenced by either local factors (e.g. local rainfall effect or temperature effect) or regional factors (e.g. circulation effect). Instead, it should be regarded a combination of both (Johnson and Ingram, 2004). However, the interplay of various factors has not been analyzed to date. It can be hypothesized that using multiple factors in a single model explains a larger  
25 share of the observed variance as achieved up to now.

The main objective of this study is thus to develop an approach to quantitatively estimate the relative contribution of regional and local factors controlling the isotopic variation of precipitation. The proposed approach is based on multiple linear regression (MLR) specifically considering the widespread issue of multicollinearity of the regression factors, in combination with a regression factor importance analysis. For the derivation of the regional factors a back-tracing of water particles in circulation models was used, similar to Ishizaki et al. (2012).  
30

The study uses the Vietnamese Mekong Delta (VMD) as a test case. During a field campaign, isotopic rainfall samples ( $\delta^{18}\text{O}$  and  $\delta^2\text{H}$ ) were collected with comparatively high frequency (bi-weekly to weekly) for a period of 18 months. This data set enables a better analysis of the temporal dynamics of the isotopic composition as compared to the typically monthly Global



Network of Isotopes in Precipitation (GNIP) data (IAEA/WMO, 2016). The collected data was used to characterize the isotopic composition for the Mekong Delta for the first time. This was achieved by local meteoric water lines, which were set in relation to other locations in South-East Asia. Furthermore, the data was used to test the proposed approach for the identification and quantification of the controls on isotopic composition in rainfall in South-East Asia.

## 5 2 Study area

The study area, the Plain of Reeds (Fig. 1), is located in the northern part of the Vietnamese Mekong Delta (VMD), between latitudes 10°42'7"N to 10°48'9"N and longitudes 105°22'45"E to 105°33'54"E. With an area of 697,000 ha, it accounts for 17.7% of the total area of the VMD. About 95% of the Plain of Reeds is used for rice paddy and vegetable cultivating, and shrimp and fish farming (Hung et al., 2014). The average elevation ranges from 1-4 m above sea level.

10 Located in a tropical monsoon region, the climate of the VMD has a distinct seasonality with two seasons: the rainy season (May to November) resulting from the flow of moisture from the Indian Ocean and Western North-Pacific Ocean accounting for approximately 80-90% of the annual rainfall (Tri, 2012), and the dry season (December to April) controlled by high-pressure systems over the Asian continent (Wang et al., 2001). Precipitation from the Indian monsoon is forced by the convective heat sources over the Bay of Bengal (Wang et al., 2001) and arrives earlier than precipitation from the Western  
15 North-Pacific monsoon (Delgado et al., 2012b), forced by a convective heat source over the South China Sea – Philippine Sea. The average annual rainfall is 1400-2200 mm, characterized by an uneven distribution, both spatially and temporally (Renaud and Kuenzer, 2012;GSO, 2014).

During the study period, i.e. the period of isotope sampling in rainfall lasting from June 2014 to December 2015, the rainy and dry seasons are defined by the monthly precipitation amounts and the monthly number of days with precipitation for Cao  
20 Lanh (Fig. 2). The dry season is defined as the months with rainfall amount smaller than the overall average (blue line), and a monthly number of days with precipitation smaller than the overall average (red line). All other months are included in the rainy season. The data indicates that the rainy season in 2014 lasted from May to November, and in 2015 from June to November. The dry season is thus defined from December 2014 to May 2015 and starts again in December 2015. The study period was very dry with an annual rainfall of 985 mm compared to the long-term average of 1550 mm at the station Cao  
25 Lanh. This anomaly needs to be considered in the interpretation of the results.

The annual average temperature is 27°C with the small interannual variability of about 1°C (Fig. 3). Variation of temperature throughout the year is small with monthly averages of 25°C to 29°C. The average annual relative humidity ranges from 82 to 85%, with a seasonal variation of 80 to 88% during the rainy season and 77 to 83% during the dry season. The mean annual evaporation is 984 mm with a significant difference between the rainy season and the dry season. The monthly evaporation  
30 rate ranges from 67 to 80 mm and from 76 to 109 mm in the dry and rainy season, respectively. Daily sunshine duration is about 8.7 to 9.6 hours in the dry season and 5.5 to 5.9 hours in the rainy season (Renaud and Kuenzer, 2012;GSO, 2014).



### 3 Methodology

An overview of the proposed methodology is given in Fig. 4. For the derivation of local factors relevant for the isotopic composition of precipitation climate data from nearby meteorological stations were collected (section 3.1). At the test location, precipitation samples were analyzed for their isotopic composition (section 3.2 and 3.3). For the construction of local meteoric water lines (LMWL), three methods were applied, in order to test the robustness of the LMWL against different regression methods (section 3.4). The regional factors were derived from atmospheric back trajectory modeling (section 3.5). All possible combinations of local and regional predictors were included in multiple linear regressions, and their ability to explain the observed variance of the isotopic composition of precipitation was determined with performance statistics (MLR, section 3.6). Finally, the influence of the different factors on the explained variance of isotopic composition was determined by relative importance analysis (section 3.7).

#### 3.1 Climatic and isotopic data collection

Daily precipitation, air temperature, and relative humidity were obtained from the National Centre for Hydro-Meteorological Forecasting (NCHMF) of Vietnam at two stations (Chau Doc, Cao Lanh, Fig. 1) for the period 2012-2015. Long-term (more than 30 years) climatic data at these stations was provided by SIWRP (2014). Precipitation isotopic data from six selected GNIIP stations (IAEA/WMO, 2016) located in the Indochinese Peninsula (Fig. 1) was collected for comparison with the isotopic data sampled in this study in the Plain of Reeds.

#### 3.2 Precipitation sampling at An Long

Precipitation at An Long in the Plain of Reeds (Fig. 1) was sampled on a weekly basis between June 2014 and May 2015 and twice a week between June 2015 and December 2015. The rain collector was a dip-in sampler type as described in the guidelines of the IAEA technical procedure for precipitation sampling (IAEA, 2014). It consists of a 5 L accumulation glass bottle fitted with a vertical 14 cm diameter plastic funnel that reaches almost to the bottom to prevent evaporative losses, and a pressure equilibration plastic tube (2 mm in diameter and 15 m in length) to minimize evaporation. All collected samples were stored in 30 mL plastic sample bottles with tight screw caps to avoid evaporation effects. Between collection and laboratory analysis, the samples were stored in the dark.

#### 3.3 Isotopic laboratory analysis

All stable isotope samples were analyzed at the laboratory of the Alfred-Wegener-Institute (AWI) in Potsdam, Germany. The measurements were performed with a Finnigan MAT Delta-S mass spectrometer using equilibration techniques to determine the ratio of stable oxygen ( $^{18}\text{O}/^{16}\text{O}$ ) and hydrogen ( $^2\text{H}/^1\text{H}$ ) isotopes. Analytical results were reported as  $\delta^2\text{H}$  and  $\delta^{18}\text{O}$  (‰, relative to Vienna Standard Mean Ocean Water - VSMOW) with internal  $1\sigma$  errors of better than 0.8‰ and 0.1‰ for  $\delta^2\text{H}$



and  $\delta^{18}\text{O}$ , respectively. The measuring procedure is described in detail in Meyer et al. (2000). The deuterium excess (d-excess) was calculated following Eq. 1 (Dansgaard, 1964):

$$\text{d-excess} = \delta^2\text{H} - 8 \cdot \delta^{18}\text{O} \quad (1)$$

### 3.4 Development of local meteoric water lines

- 5 For the development of local meteoric water lines (LMWL) three methods of linear correlation between  $\delta^{18}\text{O}$  and  $\delta^2\text{H}$  values were applied, in order to test the robustness of the LMWL against different regression methods:
- 1) ordinary least squares regression (OLSR),
  - 2) reduced major axis (RMA) regression,
  - 3) precipitation amount weighted least squares regression (PWLSR).
- 10 OLSR and RMA give equal weight to all data points regardless of their precipitation amount, while PWLSR minimizes the effect of smaller precipitation amounts (Hughes and Crawford, 2012), which are more likely to have a lower d-excess due to re-evaporation of raindrops below the cloud base (Jacob and Sonntag, 1991), or biases in the sampling method (Froehlich, 2001). OLSR tends to be more useful when investigating the interaction between hydro-climatic processes and stable isotope signatures in precipitation, whereas PWLSR is adequate in studying surface and groundwater hydrology (Hughes and
- 15 Crawford, 2012). For a more detailed discussion, the reader is referred to IAEA (1992); Hughes and Crawford (2012); Crawford et al. (2014).

The quality of fit of the three LMWLs resulting from OLSR, RMA, and PWLSR was evaluated based on the coefficient of determination  $R^2$ , also referred to as explained variance, the standard error SE and the statistical significance value (p-value). The regression model indicates a good fit to the data when  $R^2$  is close to 1.0, the standard error is small in relation to the

20 magnitude of the data, and the p-value is smaller than 0.0001 (Helsel and Hirsch, 2002).

### 3.5 Back trajectory modeling

The potential locations of atmospheric moisture sources and the direction of the air mass causing precipitation before reaching An Long station were investigated via back-trajectory analysis. This investigation was performed using the PC Windows-based HYSPLIT (Hybrid Single Particle Lagrangian Integrated Trajectory) model developed by NOAA (National

25 Oceanic and Atmospheric Administration) at the Air Resources Laboratory (ARL) ([www.arl.noaa.gov/HYSPLIT\\_info.php](http://www.arl.noaa.gov/HYSPLIT_info.php)). The model builds on the Lagrangian approach, using a moving frame of reference for the advection and diffusion calculation as the air parcels move from their initial location (Draxler and Rolph, 2003; Stein et al., 2015). The model parameters and inputs are starting time and height of the trajectories, trajectory duration, vertical motion options, type of climatic dataset, and number of trajectories. The back-trajectory outputs are the hourly locations of the trajectory segment endpoints, the

30 altitude of trajectories, and climatic parameters (e.g. precipitation, temperature, relative humidity) along each trajectory.



The  $1^{\circ} \times 1^{\circ}$  climatic dataset generated by the global data assimilation system (GDAS) was used as input to the HYSPLIT model. This dataset was downloaded from the ARL web server using the HYSPLIT graphical user interface. 10-day backward trajectory analysis was performed every 6 hours between 01-JUN-2014 and 31-DEC-2015 at the sampling site (10.72°N, 105.24°E) for three levels at 1000, 1500, and 2000 m above ground (corresponding to barometric surfaces of approximately 900, 850, and 800 hPa). These barometric surfaces were chosen because the 850-hPa vorticity is highly indicative of the strength of the boundary layer moisture convergence and of rainfall in regions away from the equator (Wang et al., 2001), hence rainfall is expected to mostly originate from these altitudes. In total, 6948 backward trajectories were computed. The HYSPLIT outputs, i.e. precipitation, temperature, relative humidity, and moving distance of moisture sources, were used to investigate the influence of the different moisture sources on the variation of the isotopic composition in precipitation at An Long. In order to derive figures representative for each trajectory, accumulated precipitation, mean values of temperature and humidity of the hourly HYSPLIT output were calculated along the trajectory and used as predictors in the MLR.

Backward trajectory computations by the HYSPLIT model can have large uncertainties (Stohl, 1998). Several quality control measures were applied, as recommended in Stohl (1998), to increase confidence in the HYSPLIT-generated back trajectories and to improve the validity of the air mass history. Firstly, trajectories were computed for three pressure levels (900, 850, and 800 hPa). Similar origins of atmospheric moisture for these pressure levels suggest that resolution errors and atmospheric shearing instabilities are negligible which increases the confidence in the results. Secondly, we use the shortest possible integration time step (i.e. 1 h) and a small value for the parameter TRATIO (0.25), which defines the fraction of a grid cell that a trajectory is permitted to transit in one advection time step, to minimize the trajectory computation error.

### 3.6 Analysis of factors controlling isotopic variation in precipitation

Multiple linear regression (MLR) was used to assess how the isotopic variation in precipitation is related to regional and local controlling factors. As indicators of regional factors we used the output of the HYSPLIT model, consisting of the accumulated precipitation amount along the transport pathways (hereafter  $P_{\text{hysplit}}$ ), mean temperature ( $T_{\text{hysplit}}$ ) and mean relative humidity ( $H_{\text{hysplit}}$ ) along the trajectory, and the distance of moisture sources travelled within the time frame of 10 days ( $D_{\text{hysplit}}$ ). The local climatic factors are weekly precipitation amount ( $P_{\text{AL}}$ ) at An Long station, and weekly mean air temperature ( $T_{\text{AL}}$ ) and weekly mean relative humidity ( $H_{\text{AL}}$ ) taken from the nearby Cao Lanh station during the sampling period. These seven predictors were related to isotopic values ( $\delta^{18}\text{O}$ ,  $\delta^2\text{H}$ , and d-excess) defined as response variables in the MLR. Pearson linear correlation coefficients were computed to show inter-correlations between response and predictor variables, and then used to determine the importance of predictors in the MLR.

All possible subset regression models consisting of all possible combinations of predictors ( $2^7 - 1 = 127$  models) were applied separately for  $\delta^{18}\text{O}$ ,  $\delta^2\text{H}$  and d-excess. The coefficient of determination  $R^2$  for the MLR was calculated for each subset regression. The goodness of each MLR model was evaluated based on the Prediction Residual Error Sum of Squares



(PRESS) (Eq. 2) and adjusted  $R^2$  (Eq. 3) (Helsel and Hirsch, 2002). The PRESS residuals are defined as  $e_{(i)} = y_i - \hat{y}_{(i)}$  where  $\hat{y}_{(i)}$  is the regression estimate of  $y_i$  based on a regression equation computed leaving out the  $i^{\text{th}}$  observation. The process is repeated for all  $n$  observations:

$$PRESS = \sum_{i=1}^n e_{(i)}^2 \quad (2)$$

- 5 The selection of best models with PRESS is equivalent to a leave-one-out cross-validation, which tests the regression models for robustness and reduces the chances of model over-fitting, i.e. the chances of finding spurious regression models that provide good results for the given combination of factors and selected time period only.

The adjusted  $R^2$  ( $R_a^2$ ) is defined as follows

$$R_a^2 = R^2 - (1 - R^2) \frac{p}{(n-p-1)} \quad (3)$$

- 10 Where  $p$  is the total number of predictors in the MLR model and  $n$  is the number of observations. The statistical significance of all linear regressions was evaluated based on the  $p$ -value for the F-test as part of a one-way ANOVA analysis. A good MLR model is hereby characterized by:

- (i) PRESS close to zero,  
(ii) Adjusted  $R^2$  ( $R_a^2$ ) close to 1.0,  
15 (iii) a  $p$ -value smaller than 0.0001.

- For each response variable, six pressure layers (800 hPa, 850 hPa, 900 hPa, and mean values of their combinations) and 10 durations of backward trajectories (from 1-day to 10-day backward) were used. The different pressure levels and combinations were chosen in order to tackle the inherent uncertainty regarding the pressure levels from which the rainfall actually stems. Similarly, different durations of the trajectories were chosen in order to avoid fixing the a-priori unknown  
20 travel time of precipitation reaching An Long. Overall, this resulted in 7620 MLR models for each response variable  $\delta^{18}\text{O}$ ,  $\delta^2\text{H}$  and d-excess (6 pressure levels times 10 trajectory durations times 127 predictor sets). The best MLR model was then identified by the smallest PRESS value (Eq. 2). Furthermore, the goodness of fit of the MLR models was characterized based on the adjusted  $R^2$  values.

### 3.7 Relative importance analysis

- 25 Relative importance analysis determines the proportion of the variance explained by the individual predictors in the regression. However, this is difficult when predictors are correlated, since multicollinearity can lead to a high sensitivity of regression coefficients caused by small changes in the model. This means that the importance can strongly shift from one predictor to another well correlated one if the data set is changed even only slightly. The leave-one-out cross-validation may be particularly vulnerable to this effect. Therefore two methods were applied, namely relative weight analysis (Johnson,  
30 2000) and relative partial sum of squares (Gardner and Trabalka, 1985), which have both been developed to quantify the



power of predictors when they are correlated. For a review of approaches to estimate predictor importance, readers are referred to Tonidandel and LeBreton (2011); Kraha et al. (2012).

Relative weight analysis approximates the relative importance of a set of predictors by creating a set of variables that are highly related to the original set of variables but are uncorrelated with each other. The response variable is then regressed on the uncorrelated set of predictors to approximate the relative weight of the original set of predictors, defined as the relative contribution of each predictor to  $R^2$ . This method is computationally efficient even for a large number of predictors and produces very similar results compared to more complex methods. Details are given in Johnson (2000); Tonidandel et al. (2009).

In the relative partial sum of squares (RPSS) method (Gardner and Trabalka, 1985), the total sum of squares of the response variable is partitioned based on multiple linear regression between all predictors. Briefly, the RPSS represents the percentage of the total sum of squares attributable to each of the predictors. To calculate RPSS for predictor  $V_i$ , the difference between the regression sum of squares (RSS) for the full model and the regression sum of squares for the model with  $V_i$  missing (RSS- $i$ ) is divided by the total sum of squares (TSS) (Rose et al., 1991), and expressed as a percentage using Eq. 4.

$$RPSS = 100 * (RSS - RSS_{-i}) / TSS \quad (4)$$

The relative importance derived by the methods above quantifies the proportion of the variance explained by the individual regression factors, and thus identifies the dominant controls on the isotopic composition of rainfall.

## 4 Results and discussion

### 4.1 Variability of moisture sources

Figure 5 shows back-calculated trajectories of atmospheric moisture prior to rainy days at An Long for the sampling period from June 2014 to December 2015. Left and right panels show the results of 850 hPa trajectories for 2014 and 2015, and the upper, middle, and lower panels show the results for the early (June – September) and late (October – November) rainy season and dry season (December – May), respectively. The similarity of back-calculated trajectories at three barometric surfaces (900, 850, and 800 hPa) (not shown) illustrates that the trajectories and thus the source regions do not differ between different atmospheric layers. This indicates a barotropic atmosphere, with the consequence that it is unlikely that the selection of the pressure layer for the HYPLIT trajectories modifies the results of the MLR significantly.

Figure 5 demonstrates that the dry-season precipitation (from December to May) in the Plain of Reeds mainly originates from the moisture sources of the Asian continental air masses and the oceanic air masses carried by the equatorial easterlies, whereas during the rainy season (from June to November) air masses travel a longer distance over the tropical Indian Ocean (from June to September) and the South Pacific Ocean (October to November).

These findings for An Long agree with the general characterization of monsoonal circulation and precipitation over the southeast Asia region, with moisture from the Indian Ocean dominating during the initial stage of monsoon evolution, and



the Pacific Ocean dominating in the later stages (Lim et al., 2002; Aggarwal et al., 2004; Delgado et al., 2012b). This indicates that the HYSPLIT model provides valid trajectories to be used in the MLR.

## 4.2 Isotopic composition of precipitation

### 4.2.1 Meteoric water lines

5 The linear-regression analyses of 74 pairs of  $\delta^{18}\text{O}$  and  $\delta^2\text{H}$  values at An Long yield LMWLs for the Plain of Reeds as follows:

1) Ordinary least squares regression (OLSR):

$$\delta^2\text{H} = (7.56 \pm 0.11) * \delta^{18}\text{O} + (7.26 \pm 0.67)$$

(SE = 2.26;  $r^2 = 0.99$ ;  $p < 0.0001$ ;  $n = 74$ ),

10 2) Reduced major axis regression (RMA):

$$\delta^2\text{H} = (7.61 \pm 0.11) * \delta^{18}\text{O} + (7.58 \pm 0.68)$$

(SE = 2.27;  $r^2 = 0.99$ ;  $p < 0.0001$ ;  $n = 74$ ),

3) Precipitation amount weighted least squares regression (PWLSR):

$$\delta^2\text{H} = (7.61 \pm 0.11) * \delta^{18}\text{O} + (7.87 \pm 0.73)$$

15 (SE = 2.29;  $r^2 = 0.99$ ;  $p < 0.0001$ ;  $n = 74$ ).

The numbers in brackets indicate the estimates of slope and intercept plus/minus the standard deviation, indicating the parameter uncertainty.

The close fit of all considered regressions indicates a very good linear relationship between  $\delta^{18}\text{O}$  and  $\delta^2\text{H}$  in the study area that is independent of the applied regression method. On a global scale, a good linear relationship between  $\delta^{18}\text{O}$  and  $\delta^2\text{H}$  is usually observed at sites where secondary fractionation processes, e.g. sub-cloud evaporation, are insignificant (Crawford et al., 2014).

The LMWL for An Long is slightly different from the global meteoric water line (GMWL; defined by  $\delta^2\text{H} = 8 * \delta^{18}\text{O} + 10$  using OLSR, (Craig, 1961) and the LMWLs derived for six selected GNIP stations (IAEA/WMO, 2016) located in the Indochinese Peninsula (Fig. 6). The small difference in slope between these LMWLs compared to that of GMWL, and the distribution of isotope values along the GMWL indicate that evaporative isotopic enrichment during rainfall is not significant. However, the less positive intercepts of LMWLs ( $< 10\%$ ) (Fig. 6) may reflect smaller kinetic effects during evaporation (Ingraham, 1998) over the Mekong Delta compared to the worldwide average.

### 4.2.2 Seasonal variation and spatial homogeneity

The 74 precipitation samples at An Long showed that  $\delta^{18}\text{O}$  ranges between  $-12.6\%$  and  $-1.0\%$ , with an arithmetic mean value and standard deviation of  $-5.8\% \pm 2.5\%$ , and  $\delta^2\text{H}$  ranges between  $-89.3\%$  and  $0.9\%$ , with an arithmetic mean value and standard deviation of  $-36.2\% \pm 18.7\%$ . Generally, less negative isotopic values are observed in the dry-season



precipitation samples. The most negative values occur in the second half of the rainy season (September and October), whereas the least negative values are observed at late dry season in April and May (Fig. 6 and Fig. 7). This shows that the isotopic composition of precipitation at An Long station exhibits marked seasonal variations, which in turn indicates different dominant moisture sources and/or processes in the different seasons. A comparison of the seasonal variation of  $\delta^{18}\text{O}$  with the long-term monthly averages of Bangkok (Fig. 7) reveals very similar seasonality, both in terms of timing and magnitude. The differences between  $\delta^{18}\text{O}$  for An Long and Bangkok are likely caused by the exceptional low rainfall in the study period compared to the long-term monthly values, particularly during May and July. Considering additionally the similarity of general factors controlling stable isotopic composition of precipitation between the two stations, i.e. annual rainfall amount, air temperature, altitude and latitude (Dansgaard, 1964; Ingraham, 1998), it can be concluded that the isotopic variations of An Long and Bangkok follow the same dynamics and controls, both on an annual and seasonal scale, and can represent or complement each other.

In order to test the representativeness of the An Long data for a wider area, the variability of the monthly mean  $\delta^{18}\text{O}$  data of An Long was compared to the available GNIP data of the Indochinese Peninsula (Table 1). The Levene test (Levene, 1960) for equality of variances was used to compare the data of the different stations. As shown in Fig. 8, the test results in four distinct groups of data series with similar variances: the Northern part of the Indochinese Peninsula (Hanoi and Luang Prabang, Fig. 8b), the Southern part of the Indochinese Peninsula (Bangkok and An Long, Fig. 8c), the islands in the Gulf of Thailand (Ko Samui and Ko Sichang, Fig. 8d), and finally Kuala Lumpur showing only little seasonal variability. The Northern and Southern parts of the Indochinese Peninsula show generally a similar seasonal behavior with a distinct higher depletion during the rainy season, still the highest depletion is one month earlier (August) in the Northern part than in the Southern part, and the magnitude of the depletion is larger. The seasonal  $\delta^{18}\text{O}$  variability in precipitation on the islands is much lower than on the stations located on the continent. This is likely due to the maritime setting and could indicate a continental effect. In summary, the analyzed GNIP data suggests that the data and results from this study are representative for the Southern continental part of the Indochinese Peninsula.

### 4.3 Factors controlling isotopic composition of precipitation

Prior to the MLR, the correlation of the predictors was analyzed (Table 2). The absolute values of the correlation coefficients between local (P\_AL, T\_AL, H\_AL) and regional (P\_hysplit, T\_hysplit, H\_hysplit, D\_hysplit) climatic parameters are relatively small and mostly not significant ( $|r| < 0.4$ , Table 2b). However, the correlation coefficients between regional predictors are in most cases high and significant (Table 2c). Highest correlations are found between temperature and humidity for local factors, and between the regional humidity and precipitation for regional factors. Interestingly, the correlation between P\_AL and H\_AL is quite low. This indicates that the local precipitation is mainly controlled by large-scale circulation. The correlation between the predictors underlines the necessity to consider multicollinearity when investigating how the predictors control the response variables ( $\delta^{18}\text{O}$  and  $\delta^2\text{H}$ ).



#### 4.3.1 Local factors and isotopic composition in precipitation

Typically, in tropical regions subject to a monsoon climate the correlation between  $\delta^{18}\text{O}$  and  $\delta^2\text{H}$  values of precipitation and air temperature is virtually nonexistent, whereas a strong relation between  $\delta^{18}\text{O}$  and amount of precipitation has been  
5 observed (Rozanski et al., 1992; Araguás-Araguás et al., 1998). Our data show that the correlation of local precipitation amount (P\_AL) and local temperature (T\_AL) with isotopic values ( $\delta^{18}\text{O}$  and  $\delta^2\text{H}$ ) are both low ( $|r| < 0.45$ , Table 2a). This suggests that  $\delta^{18}\text{O}$  and  $\delta^2\text{H}$  variation is neither dominated by local precipitation amount nor by local temperature during the sampling period. This lack of a significant correlation ( $|r| < 0.5$ ) between  $\delta^{18}\text{O}$  and local rainfall amount was also observed in  
10 other regions affected by the Asian monsoon climate such as Bangkok, Hong Kong, New Delhi (Ishizaki et al., 2012), and Cherrapunji, India (Breitenbach et al., 2010). This again supports the statement that  $\delta^{18}\text{O}$  may not be an adequate proxy for local climatic conditions (e.g. temperature or rainfall amount) in the Asian monsoon region (Aggarwal et al., 2004; Vuille et al., 2005).

Secondary fractionation processes such as sub-cloud evaporation or secondary evaporation from open water bodies tend to decrease d-excess in the residual rainwater (Stewart, 1975) and enrich it in the heavy isotopes (Guan et al., 2013). The  
15 negative correlation of humidity (H\_AL) with  $\delta^{18}\text{O}$  and  $\delta^2\text{H}$  ( $r = -0.53$ , Table 2a) combined with a positive correlation with d-excess ( $r = 0.2$ , Table 2a), indicates that some secondary fractionation processes (Risi et al., 2008b; Crawford et al., 2017) may take place during some months at An Long. To examine in which month secondary fractionation processes are likely significant, amount-weighted mean and arithmetic mean, for both  $\delta^{18}\text{O}$  and d-excess are compared. The rationale is that if secondary fractionation processes are important (with the assumption that the moisture sources of different events within the  
20 month are the same), the arithmetic mean should have a  $\delta^{18}\text{O}$  value that is more enriched in heavy isotopes, and a much smaller d-excess than the weighted mean (Guan et al., 2013). Figure 9 shows that secondary fractionation processes may take place during the dry season, in December 2014, and in April and May 2015, because in these months a) less negative  $\delta^{18}\text{O}$  values and lower d-excess values compared to the overall arithmetic mean are observed, while at the same time the monthly arithmetic means are higher for  $\delta^{18}\text{O}$ , and lower for d-excess compared to the monthly weighted means.

25 To further corroborate this finding, linear regression were performed for different seasons to derive seasonal LMWL's and relations between local humidity and  $\delta^{18}\text{O}$  and d-excess. Table 3 suggests that secondary fractionation processes are likely to take place in the dry season between December 2014 and May 2015. This is depicted by a slope of lower than 8 (slope = 6.9) for the dry season, the slightly negative correlation between  $\delta^{18}\text{O}$  and local relative humidity, and the markedly positive correlation between humidity and d-excess. This is a distinctly different behavior compared to the rainy season as a whole,  
30 but also for the first (early monsoon) and second (late monsoon) parts of the rainy season. In summary, these findings indicate that secondary fractionation processes influence the isotopic composition of precipitation primarily in the dry season, which is characterized by lower humidity and higher temperature in the Plain of Reeds. While this conclusion is



plausible due to the climatic conditions and low rainfall amounts, one has to consider the low number of rainfall samples during the dry season, which associates some uncertainty to the regressions and thus the interpretation.

#### 4.3.2 Regional factors and isotopic composition in precipitation

In comparison to other regional and local parameters, the precipitation amount along the transport pathways of moisture sources (P\_hysplit) shows the strongest correlation with  $\delta^{18}\text{O}$  and  $\delta^2\text{H}$  as depicted by a correlation coefficient of -0.76 (Table 2a). Thus, P\_hysplit is likely the dominant factor controlling the isotopic composition of precipitation. Other predictors show weaker correlations with  $|r| < 0.55$ . This, however, does not exclude that these predictors do have some predictive power for the isotopic composition of precipitation in An Long when used in combination with other predictors. Although  $\delta^{18}\text{O}$  and  $\delta^2\text{H}$  are rather well correlated with some climatic parameters, d-excess (which is a function of both) is not well correlated. This is because of the relative difference of the variation of  $\delta^{18}\text{O}$  and d-excess, which is expressed by a low correlation coefficient between two these variables ( $r = -0.44$ ). The weak correlation between d-excess and all climatic parameters ( $|r| < 0.36$ ) indicates that the selected predictors (i.e. selected climatic parameters) are not sufficient to explain the processes responsible for the variability of the d-excess. On a global scale, drivers controlling d-excess variation are likely sea surface temperature or near-surface relative humidity of moisture sources (Pfahl and Wernli, 2008; Uemura et al., 2008; Pfahl and Sodemann, 2014), which are not considered in this study. In tropical areas, a major contribution to the seasonal variation in d-excess can be convective processes, e.g. re-evaporation and rain–vapor interactions (Risi et al., 2008a; Risi et al., 2010), or the influence of large-scale processes, e.g. conditions at the vapor source, convection and recycling of moisture along trajectories (Landais et al., 2010). A complete investigation of factors controlling d-excess in precipitation is thus not possible by the presented study design and selected predictors. However, some conclusion about the factors controlling the d-excess can be obtained with the presented method, see below.

#### 4.4 MLR and relative importance analysis

The results of the MLR indicate that  $\delta^{18}\text{O}$  signal in precipitation at An Long is best explained by moisture sources of 5-day backward trajectories (Fig. 10). The MLR of these trajectories produces the lowest PRESS and highest  $R^2$  values, indicating that about 80% of the variability of precipitation  $\delta^{18}\text{O}$  (Fig. 10) and  $\delta^2\text{H}$  (not shown) at An Long can be explained by the best MLR model. The explained variance differs only slightly between the different pressure levels used. But still, the best performance in terms of the lowest PRESS value was obtained by the mean backward trajectories of the 800 hPa and 850h Pa levels.

Contrary to  $\delta^{18}\text{O}$  and  $\delta^2\text{H}$ , the MLR fails to explain the variation of d-excess over the whole study period to a large extent, with a maximal  $R^2$  of 0.3 (Fig. 10). This indicates that the climatic parameters used in our MLR models have only little impact on the annual d-excess variation, which corroborates the findings of the linear correlation analysis in section 4.3.2.



In the next step, the importance of the MLR predictors was analyzed. Figure 11 shows the results applying Johnson's relative weight analysis for the best performing MLR models, i.e. using the mean of the 800 hPa and 850 hPa 5-day backward trajectories. In general, the predictive power of the MLR models increases with increasing number of predictors. Both importance methods, i.e. relative weight analysis and the relative partial sum of squares, yield very similar results (not shown).

The results indicate that regional factors are always more important than local factors if the  $R^2$  value is above 0.5. The local factors dominate only in MLR models with low performance, or when no regional factors are used as predictors. This is also highlighted by the sum ratio line (black line in Fig. 11), defined as the fraction of  $R^2$  explained by regional factors normalized to the overall  $R^2$ . In the best MLR model (124<sup>th</sup> model) with the lowest PRESS value and an  $R^2$  of 0.80, which is equivalent to an explained variance of 80%, the regional factors explain 56% of the absolute  $\delta^{18}\text{O}$  variance (which is equivalent to 70% relative to  $R^2 = 0.80$ ), while local factors explain only 24% (30% relative to  $R^2 = 0.80$ ). This result agrees with Ishizaki et al. (2012) who stated that distillation during transport from source regions is the dominant contributor to inter-annual variability of  $\delta^{18}\text{O}$  precipitation in Bangkok, Bombay, and Hong Kong, accounting for 70%, 60% and 70% relative to the overall explained variance, while the amount of local precipitation contributed the remaining 27%, 33%, and 25% of the explained variance, respectively.

In all models where precipitation amount along transport pathways from moisture source regions ( $P_{\text{hysplit}}$ ) is included, this factor explains the highest proportion of  $R^2$ , which is always at least double and up to triple of the explained variance of other factors (Fig. 11). In turn, the absence of  $P_{\text{hysplit}}$  as a predictor in the MLR model considerably decreases the  $R^2$ , indicating that  $P_{\text{hysplit}}$  is the most dominant factor. In the best MLR model (124<sup>th</sup> model) the most important predictor is  $P_{\text{hysplit}}$ , explaining 47% of the total  $\delta^{18}\text{O}$  variance (partial  $R^2 = 0.47$ , Fig. 11). The second dominant factor is  $T_{\text{AL}}$ , accounting for 21% of the explained the total variance. The remaining factors account for less than 13% of the  $\delta^{18}\text{O}$  variance. This result indicates that the regional amount effect is a dominant process in controlling isotopic variation, whereas the local amount effect is not important in the VMD. Similar findings are reported for other regions in Asia (e.g. Rozanski et al., 1992; Araguás-Araguás et al., 1998). The local humidity  $H_{\text{AL}}$ , however, can be regarded as a modulating factor for the isotopic composition on top of  $P_{\text{hysplit}}$ .

In a next step, the predictor importance analysis is performed for different seasons, in order to analyze if seasonal differences in the dominating factors for the isotopic composition exist, as the correlation analysis of local factors and isotopic composition suggests (section 4.3.1). The samples were split into dry season and rainy season subsets, for which the MLR was applied individually. The definition of the seasons follows the analysis in section 2, i.e. the dry season lasts from December to May. However, due to the low number of samples during this period, the dry season samples were taken from mid-November to mid-June in order to increase the sample number, thus enabling a more robust MLR fitting. This selection can be justified: Because the delineation of the dry and wet season above is based on monthly data, the "sharp" distinction between the rainy and dry season is forced by the temporal resolution in the data used. In reality the transition between rainy



and dry season is rather gradual, thus the delineation between the rainy and dry season should rather be regarded as fuzzy. Using data from the last two weeks of November and the first two weeks of June can be seen as one way to consider this. Furthermore, the rainy season was subdivided according to the different moisture source regions shown in section 4.1: the Indian Ocean, dominating during the initial and high stage of the Indian monsoon from June to September/mid-October, and the South China Sea – Philippine Sea and the North-West Pacific Ocean from October to May during the late rainy and dry seasons, with some contribution from continental Asia (Fig. 5). In order to test if the factors have different importance caused by different source regions during the rainy season, the MLR models and relative importance analysis were applied for these two time periods in addition to the dry season. The number of samples for the different subsets was 42, 18 and 14 for the early rainy season, late rainy season and dry season, respectively.

Figure 12 shows the results of the MLR and importance analysis for the three seasonal subsets for  $\delta^{18}\text{O}$ . The sorting of the models is the same as in Fig. 11. On a first glance, the results for the rainy season subsets (Fig. 12a and Fig. 12b) are quite similar to each other and to the overall data set. The best performing model in terms of the lowest PRESS value is in all cases the model 124. However, in terms of  $R^2$  the performance of the early rainy season is somewhat lower compared to the overall data set, while for the late rainy season it is significantly better, with  $R^2 = 0.96$ . This increase in explained variance is caused by an increased contribution of the regional factors. In the late rainy season, the regional factors alone contribute 76% to the overall  $R^2$  of 0.96 of the best PRESS model, which equals 79% of the explained variance (Table 4). This is a much larger contribution compared to the partial  $R^2$  values of 56% and 51% for the whole data set and the early rainy season, respectively. The increase stems from a larger importance of the other regional factors H\_hysplit and/or T\_hysplit. While their contribution to the whole data set and the early rainy period is rather low and P\_hysplit dominates the contribution of the regional factors, it is raised to about 30% in the late rainy season, either individually or in combination. For the best PRESS model marked with the cyan dot in Fig. 12b, T\_hysplit contributes 27% to the overall  $R^2$  of 0.96. It indicates that temperature and humidity play a larger role in the isotopic fractionation along the trajectories of water stemming from the North-West Pacific/South China Sea and continental Asia compared to water stemming from the Indian Ocean during the boreal summer months. The large regional and thus climatic heterogeneity of water sources during the late rainy season offers a plausible explanation for this result. The source regions during this period are located in oceans and continental regions in higher latitudes outside the tropics, where large climatic differences may occur during the transport along the trajectories. Therefore, fractionation processes caused not only by the rainfall amount, but also by evaporation and condensation are likely to have a larger effect on the final isotopic composition of rainfall reaching An Long during this period, as compared to the low climatic variability of the tropical Indian Ocean region, where the rainfall during the early rainy seasons originates.

A completely different picture reveals the MLR fitting and importance analysis for the dry seasons shown in Fig. 12c. While the overall performance in terms of  $R^2$  is comparable to the early rainy season, the importance of the local and regional factors is very different from the other seasons. For the dry season, the local factors dominate. In the best performing MLR



model with the lowest PRESS value (cyan dot in Fig. 12c), T\_AL contributes 78% of the explained variance. Similar results are obtained for almost all of the MLR models. For the models with  $R^2 > 0.5$ , T\_AL is the most important factor, followed by P\_AL and H\_AL with similar importance. The regional factors generally do not contribute more than 22% of the explained variance, if  $R^2 > 0.6$ . This finding corroborates the assumed higher importance of secondary fractionation processes during the dry season in the VMD, as already hypothesized in section 4.3.1. However, in combination with other predictors, T\_AL seems to be a better predictor of the secondary fractionation processes compared to H\_AL, which was used in 4.3.1. As T\_AL and H\_AL are closely correlated (Table 2), the findings of section 4.3.1 and the MLR of the dry season presented in this section agree well.

The results for the MLR modeling of  $\delta^2\text{H}$  shows very similar results to  $\delta^{18}\text{O}$  leading to the same conclusions (Fig. S1 and Fig. S2 - Supplement). The seasonal modeling of d-excess also improved the MLR fit for the late rainy and dry seasons (Fig. S3 and Fig. S4 - Supplement), while for the early rainy season the results are not satisfying as for the whole dataset. In contrast to  $\delta^{18}\text{O}$  and  $\delta^2\text{H}$  regional factors explain the bulk of the d-excess variance also for the dry season. Among the regional factors P\_hysplit has the lowest importance, while the others factors T\_hysplit, H\_hysplit, and D\_hysplit explain about 65% of the best  $R^2$  of 0.66. This is also a distinctively different result compared to  $\delta^{18}\text{O}$  and  $\delta^2\text{H}$ , where P\_hysplit always dominated the regional factor contribution. The remaining variance is mainly explained by the local precipitation P\_AL, with some contribution of T\_AL. This finding is in line with the rationale outlined in section 4.3.1, that evaporation along the transport pathway decreases the d-excess (Stewart, 1975). This effect is much more variable during the late rainy and dry season due to the transport pathways from higher latitudes, as compared to the rather uniform climatic conditions along the transport pathways during the rainy season, as already argued in the previous paragraph for the late rainy season results of  $\delta^{18}\text{O}$ . This means in summary that the MLR and importance analysis of d-excess for the late rainy and dry season corroborate the hypothesis that secondary fractionation processes caused by evaporation are relevant during the dry season, respectively for rainfall stemming from the Pacific region and continental Asia. However, for  $\delta^{18}\text{O}$  and  $\delta^{18}\text{H}$  local factors describing evaporation are more important, while for d-excess regional factors and thus evaporation processes along the transport pathways dominate.

Overall, applying all possible subset regression MLR models can much better explain the isotopic variation in rainfall compared to approaches considering only one predictor, i.e. a simple correlation analysis. Moreover, the associated relative importance analysis enables the identification of the dominant factors, thus offering interpretation aids for the identification of the processes responsible for the isotopic signature of the local rainfall. The presented analysis illustrates, that investigating dominant factors controlling isotopic composition in precipitation with simple correlation analyses may lead to wrong conclusions, particularly when predictors are cross-correlated. Additionally, MLR is able to consider the combination of different local and regional factors, thus enabling a better identification and interpretation of the manifold processes controlling the isotopic composition of rainfall.



## 5 Conclusions

This study analyzes the influence of local and regional meteorological factors on the isotopic composition of rainfall, expressed as  $\delta^{18}\text{O}$ ,  $\delta^2\text{H}$ , and d-excess, in the Vietnamese Mekong Delta (VMD). For this purpose rainfall samples were taken on a weekly to a bi-weekly basis for a period of 1.5 years at An Long in the North-Eastern part of the VMD and analyzed in the laboratory. The regional influencing factors were derived by back-tracing of water particles up to 10 days from the target location using the HYSPLIT model, while the local factors were derived from local climate records. The influence of the different factors on the isotopic condition was quantified by multiple linear regressions (MLR) of all factor combinations and relative importance analysis.

The MLR showed that up to 80% of the variation of  $\delta^{18}\text{O}$  can be explained by linear combinations of the selected factors. Similar results are obtained for  $\delta^2\text{H}$ . Contrary to this only about 30% of the variation of the d-excess can be explained by the selected factors, if the whole data series is used. General considerations regarding the controls of d-excess in tropical areas suggest that additional factors, like sea surface temperatures of the source region, need to be taken into account for an improved modeling of d-excess variation by MLR over all seasons and source regions.

The study showed that local climatic factors, specifically local rainfall amount and temperature, play a minor role in controlling the isotopic composition of the rainfall at An Long. However, there is evidence that sub-cloud evaporation has a small effect during the dry season. Regional factors, on the contrary, dominate the isotopic composition of rainfall at An Long. 70% of the explained variance, i.e. a partial  $R^2$  of up to 0.56, can be attributed to regional factors, among which precipitation amount along the transport pathway can explain the observed variance best. The remaining 30% of the explained variance is attributed to local factors, among which the temperature plays the most important role. These findings indicate that local secondary fractionation processes like sub-cloud evaporation modulate the isotopic composition, which is otherwise dominated by the rainout along the transport pathway of the precipitation.

Furthermore, the analysis of different transport durations implies that the moisture producing precipitation at An Long travels about 4-6 days, as the best regression results are obtained for these durations. For longer travel durations the explained variability of the regression decreases, suggesting that the moisture is recycled, i.e. precipitated and evaporated again, when the travel time exceeds 6 days.

If the data set is divided into seasonal subsets based on precipitation amount and water source regions, the MLR and importance analysis enables a better identification of factors and thus processes controlling the isotopic composition for the different season. For the late rainy and dry seasons (i.e. October to May) the importance of other factors than P\_hysplit increases and adds to the explained variance. The source regions and the associated transport pathways as well as local processes are more important for these periods, indicating that secondary fractionation processes by evaporation, either along the pathway (for d-excess) or locally (for  $\delta^{18}\text{O}$  and  $\delta^2\text{H}$ ), are more important than the amount effect, which is dominant during the Indian monsoon period. In summary, it can be concluded that the proposed approach, consisting of simultaneous testing of all possible factors by MLR combined with importance analysis, is able to detect the relevant factors controlling



the isotopic composition of rainfall as well as their individual contributions. If applied to seasonal data subsets, the predictions can be improved and the seasonal differences in controlling factors and processes can be identified.

The similarity of isotopic signatures and LMWLs of stations all over Southeast Asia, as well as similar general climatic conditions, allows the conclusion, that the findings are representative of a larger area. Particularly the similarity of the LMWLs, the variability of the monthly isotopic composition of rainfall, and climatic conditions of the VMD and Bangkok suggests that the results are representative for the whole Mekong Delta, and possibly for large areas of the southern tip of the continental Indochinese Peninsula.

The results have direct implications for the interpretation of paleo-records of stable water isotopes in terms of past climate conditions for the Asian monsoon region. Because this study shows that the factors controlling the isotopic signature of precipitation are changing between and even within seasons and that regional factors have large impacts on the local isotopic composition of rainfall. This needs to be considered in the reconstruction of past climates based on isotopic records:  $\delta^{18}\text{O}$  and  $\delta^2\text{H}$  values are likely to be representative for the rainfall during the dry season. However, as regional factors dominate during most of the rainy season (receiving the bulk of the total annual rainfall), reconstructions of the past climate have to be carefully interpreted. Moreover, the analysis can easily be extended to other factors and processes of importance in order to capture the d-excess better, e.g. the sea surface temperatures at the source regions. The proposed approach could easily include additional factors, and could thus open a pathway for a better reconstruction of paleo climate records.

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**Table 1. Isotopic composition of precipitation at An Long and six selected GNIP stations throughout the Indochinese Peninsula.**

Station Name	Location	Country	AL	P (mm)	T (°C)	Period	$\delta^{18}\text{O}$		$\delta^2\text{H}$		d-excess	LMWL (OLSR)		LMWL (RMA)		LMWL (PWLSR)	
							WM	M	WM	M		WM	S	Int.	S	Int.	S
An Long	105.24°E - 10.72°N	Vietnam	2	985* (1550)	27.4* (27.2)	06.2014 - 12.2015	-6.4 ±1.5	-5.8 ±2.5	-40.9 ±11.5	-36.2 ±18.7	10.4 ±1.8	7.56 ±0.11	7.26 ±0.67	7.61 ±0.11	7.58 ±0.67	7.61 ±0.11	7.87 ±0.73
Hanoi **	105.84°E - 21.02°N	Vietnam	10	1659 ±257	24.8 ±0.5	2004- 2007	-8.8 ±0.7	-5.9 ±0.5	-56.9 ±4.2	-33.8 ±3.6	13.5 ±1.5	7.91 ±0.10	12.45 ±1.25	7.99 ±0.18	12.90 ±1.22	7.77 ±0.21	10.92 ±1.91
Bangkok **	100.50°E - 13.73°N	Thailand	2	1558 ±314	28.5 ±0.6	1968- 2015	-6.5 ±1.0	-5.2 ±1.0	-42.6 ±7.6	-33.2 ±7.2	9.4 ±1.6	7.35 ±0.04	5.36 ±0.47	7.53 ±0.08	6.29 ±0.47	7.68 ±0.07	7.25 ±0.49
Ko Samui **	100.03°E - 09.28°N	Thailand	7	1265 ±611	27.9 ±0.2	1979- 1983	-5.8 ±1.4	-4.8 ±0.9	-28.8 ±7.1	-24.1 ±4.4	10.8 ±0.0	7.18 ±0.10	6.89 ±1.20	7.30 ±0.25	7.41 ±1.16	7.45 ±0.25	7.89 ±1.26
Ko Sichang **	100.80°E - 13.17°N	Thailand	26	877 ±320	27.9 ±0.6	1983- 1995	-6.2 ±0.6	-6.2 ±1.1	-39.3 ±5.1	-39.7 ±8.8	10.2 ±0.6	7.62 ±0.06	7.61 ±1.15	7.72 ±0.18	8.16 ±1.12	7.77 ±0.23	8.65 ±1.44
Luang Prabang **	102.13°E - 19.88°N	Lao PDR	305	1228 ±178	25.7 ±0.7	1961- 1967	-7.8 ±1.2	-6.7 ±0.3	-54.2 ±7.6	-45.9 ±0.9	8.4 ±1.9	7.90 ±0.13	7.97 ±2.00	8.01 ±0.27	8.70 ±1.93	7.80 ±0.28	7.52 ±2.29
Kuala Lumpur **	101.68°E - 03.13°N	Malaysia	26	1801 ±787	-	1993- 2012	-7.3 ±0.8	-7.0 ±0.7	-46.6 ±7.7	-45.1 ±6.8	11.8 ±4.1	7.63 ±0.07	8.10 ±1.93	8.26 ±0.26	12.53 ±1.92	7.73 ±0.29	8.95 ±2.24

Note:

\* Measured at An Long in 2015; numbers in parentheses show mean values of long-term measurements at Cao Lanh.

\*\* Data is from <https://nucleus.iaea.org/wiser/gnip.php> (IAEA/WMO, 2016)

P: annual precipitation (mm/year); T: average temperature (°C); AL: altitude (meter above sea level); WM: weighted mean value; M: mean value; S: slope; Int.: intercept



**Table 2. Pairwise correlation coefficients between regional factors (P\_hysplit, T\_hysplit, H\_hysplit, D\_hysplit) and local factors (P\_AL, T\_AL, H\_AL) and stable isotopic values ( $\delta^{18}\text{O}$ ,  $\delta^2\text{H}$ , and d-excess). Bold and italic numbers denote significance at the 0.01 and 0.05 level (2-tailed), respectively. The meteorological data are aggregated to weekly values corresponding to the precipitation sampling at An Long.**

(a)	P_hysplit	H_hysplit	T_hysplit	D_hysplit	P_AL	H_AL	T_AL	Isotopic values vs. Regional and Local factors
$\delta^{18}\text{O}$	<b>-0.74</b>	<b>-0.45</b>	<b>-0.38</b>	<i>0.24</i>	<b>-0.34</b>	<b>-0.53</b>	<b>0.45</b>	
$\delta^2\text{H}$	<b>-0.76</b>	<b>-0.47</b>	<b>-0.39</b>	<i>0.20</i>	-0.32	<b>-0.53</b>	<b>0.45</b>	
d-excess	0.18	0.04	0.07	<b>-0.36</b>	<i>0.27</i>	0.20	-0.15	
(b)	P_hysplit	H_hysplit	T_hysplit	D_hysplit	Regional factors vs. Local factors			
P_AL	0.13	0.23	0.04	0.03				
H_AL	<b>0.38</b>	0.17	0.21	0.10				
T_AL	-0.21	0.05	0.17	<b>-0.33</b>				
(c)	P_hysplit	H_hysplit	T_hysplit	D_hysplit	Regional factors vs. Regional factors			
P_hysplit	1							
H_hysplit	<b>0.77</b>	1						
T_hysplit	<b>0.59</b>	<b>0.67</b>	1					
D_hysplit	-0.10	-0.17	<b>-0.49</b>	1				
(d)	P_AL	H_AL	T_AL	Local factors vs. Local factors				
P_AL	1							
H_AL	0.20	1						
T_AL	-0.14	<b>-0.78</b>	1					



**Table 3. Results of the linear regression analysis between local relative humidity (H\_AL) and isotopic values at An Long. Regressions that are statistically significant at the 0.05 level are marked in bold.**

	<i>Linear regression line</i>	<i>r</i>	<i>R<sup>2</sup></i>	<i>p-value</i>	<i>n</i>	Period
$\delta^{18}\text{O} - \delta^2\text{H}$	$\delta^2\text{H} = 7.56*\delta^{18}\text{O}+7.26$	<b>0.99</b>	<b>0.99</b>	<b>0.000</b>	74	full year
	$\delta^2\text{H} = 7.62*\delta^{18}\text{O}+7.74$	<b>0.99</b>	<b>0.99</b>	<b>0.000</b>	67	rainy season (Jun-Nov)
	$\delta^2\text{H} = 7.58*\delta^{18}\text{O}+7.21$	<b>0.99</b>	<b>0.98</b>	<b>0.000</b>	42	early monsoon (Jun-Sep)
	$\delta^2\text{H} = 7.68*\delta^{18}\text{O}+8.6$	<b>0.99</b>	<b>0.99</b>	<b>0.000</b>	25	late monsoon (Oct-Nov)
	$\delta^2\text{H} = 6.9*\delta^{18}\text{O}+3.98$	<b>0.98</b>	<b>0.96</b>	<b>0.000</b>	7	dry season (Dec-May)
$\delta^{18}\text{O} - \text{Humidity}$	$\delta^{18}\text{O} = -0.51*\text{H\_AL}+36.05$	<b>-0.53</b>	<b>0.28</b>	<b>0.000</b>	74	full year
	$\delta^{18}\text{O} = -0.46*\text{H\_AL}+32.09$	<b>-0.47</b>	<b>0.22</b>	<b>0.000</b>	67	rainy season (Jun-Nov)
	$\delta^{18}\text{O} = -0.33*\text{H\_AL}+21.84$	<b>-0.42</b>	<b>0.17</b>	<b>0.006</b>	42	early monsoon (Jun-Sep)
	$\delta^{18}\text{O} = -0.83*\text{H\_AL}+63.12$	<b>-0.61</b>	<b>0.37</b>	<b>0.001</b>	25	late monsoon (Oct-Nov)
	$\delta^{18}\text{O} = -0.56*\text{H\_AL}+41.34$	<b>-0.88</b>	<b>0.77</b>	<b>0.010</b>	7	dry season (Dec-May)
d-excess - Humidity	d-excess = $0.2*\text{H\_AL}-6.36$	0.20	0.04	0.090	74	full year
	d-excess = $0.13*\text{H\_AL}-0.46$	0.13	0.02	0.301	67	rainy season (Jun-Nov)
	d-excess = $0.18*\text{H\_AL}-5.35$	0.21	0.04	0.211	42	early monsoon (Jun-Sep)
	d-excess = $-0.08*\text{H\_AL}+17.44$	-0.07	0.01	0.734	25	late monsoon (Oct-Nov)
	d-excess = $0.34*\text{H\_AL}-19.42$	0.31	0.10	0.455	7	dry season (Dec-May)

**Table 4. Explained variance (partial R<sup>2</sup>) of regional and local factors of the best MLR model according to the PRESS value. The first value indicates the absolute partial R<sup>2</sup>, the second value the relative contribution to the overall explained variance.**

	Whole period	Early rainy season	Late rainy season	Dry season
Regional factors	0.56   70%	0.51   68%	0.76   79%	0.14   22%
Local factors	0.24   30%	0.24   32%	0.20   21%	0.51   78%
Total	0.80   100%	0.75   100%	0.96   100%	0.65   100%

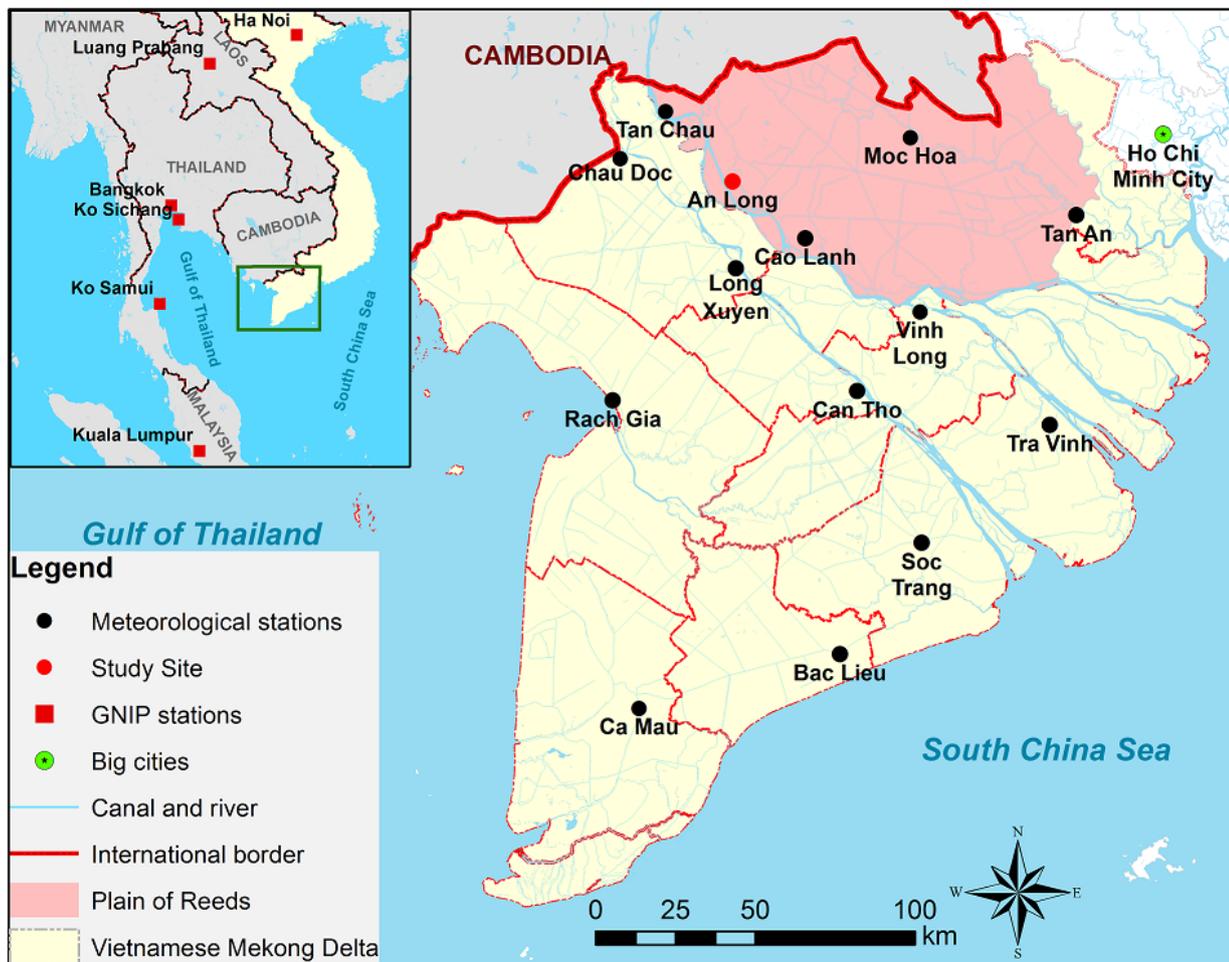


Figure 1: Sampling and monitoring sites in the study area

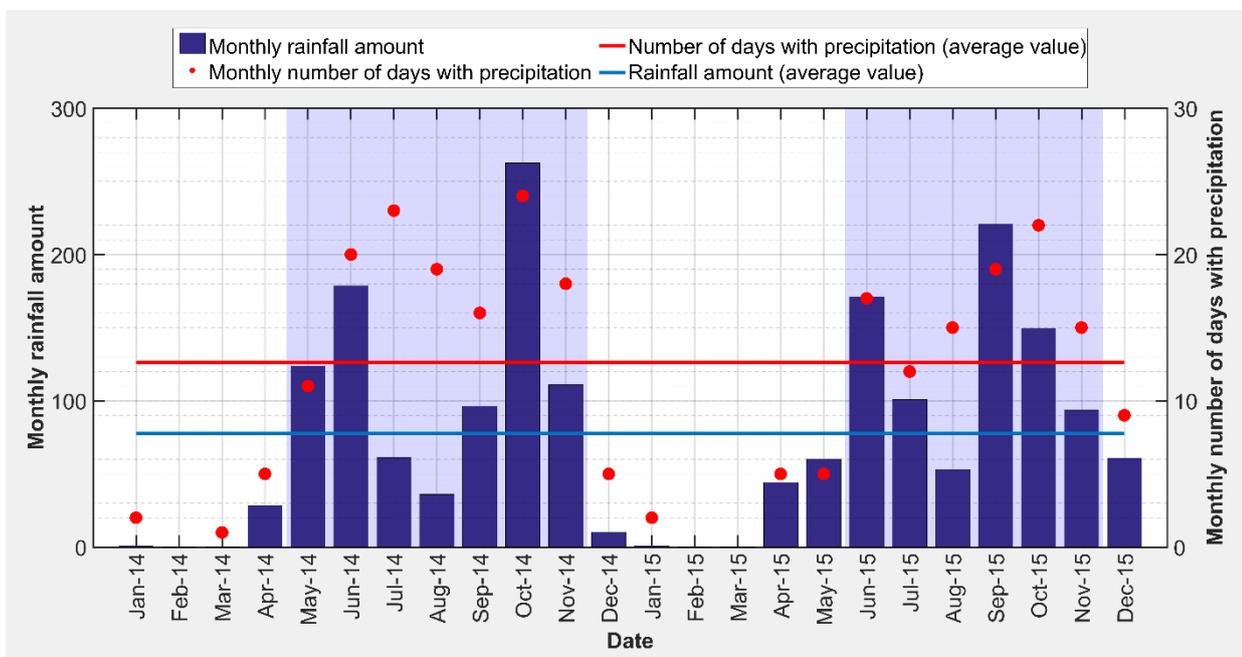


Figure 2: Monthly precipitation (mm) and a monthly number of days with precipitation for Cao Lanh station. Light blue background indicates rainy season.

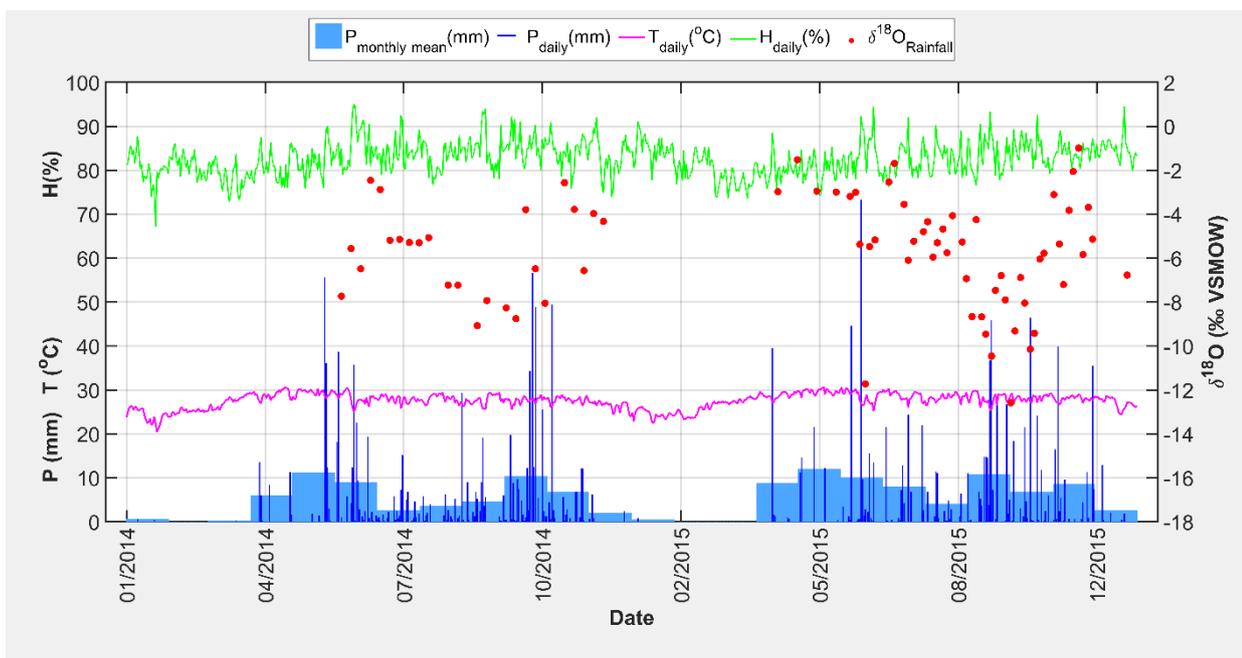


Figure 3: Climate data from the Cao Lanh meteorological station for the study period. Daily temperature (T) is given together with monthly and daily precipitation (P) and daily relative humidity (H). Weekly and bi-weekly  $\delta^{18}\text{O}$  (‰ VSMOW) values of rainwater are presented as red circles.

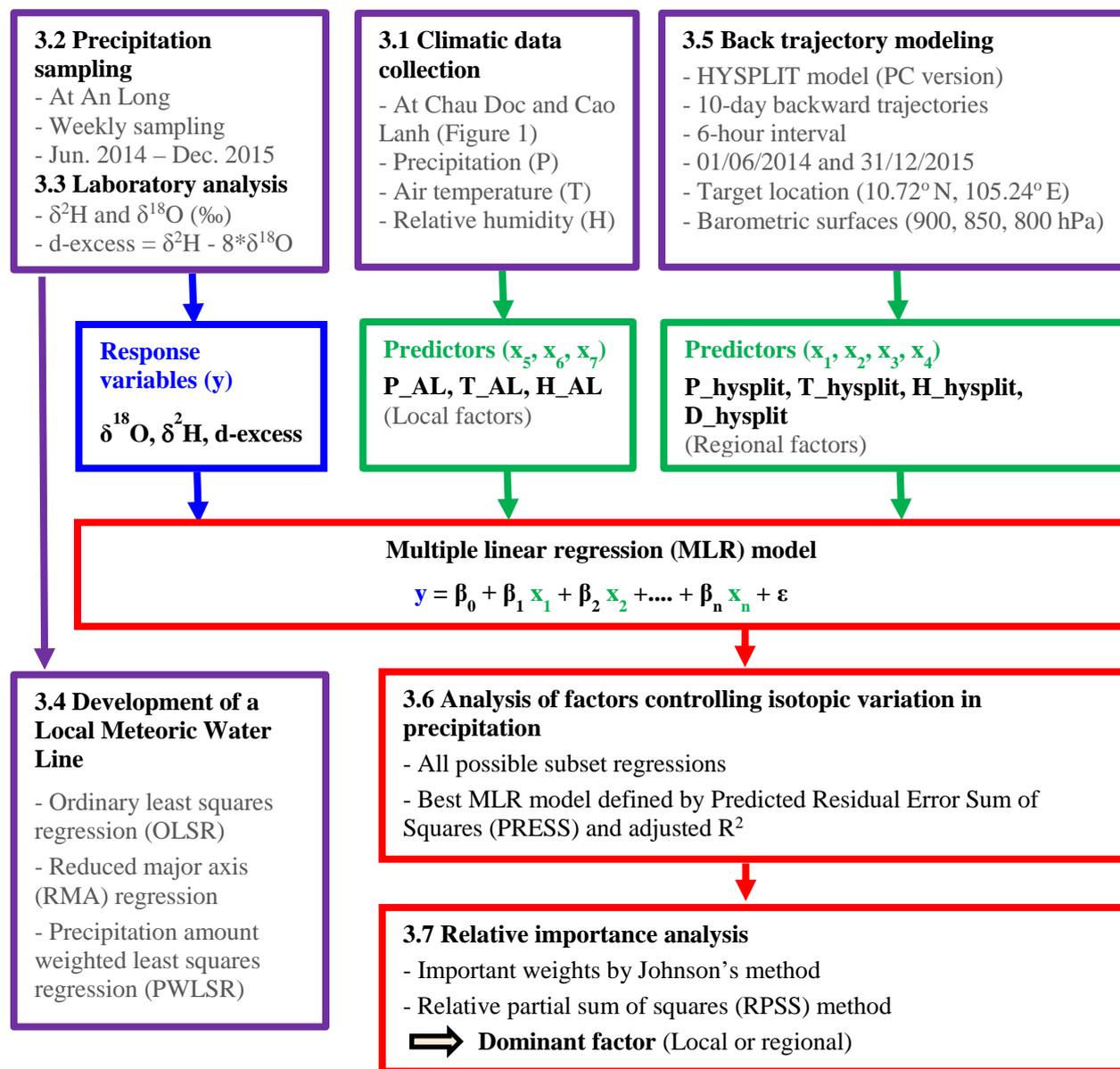
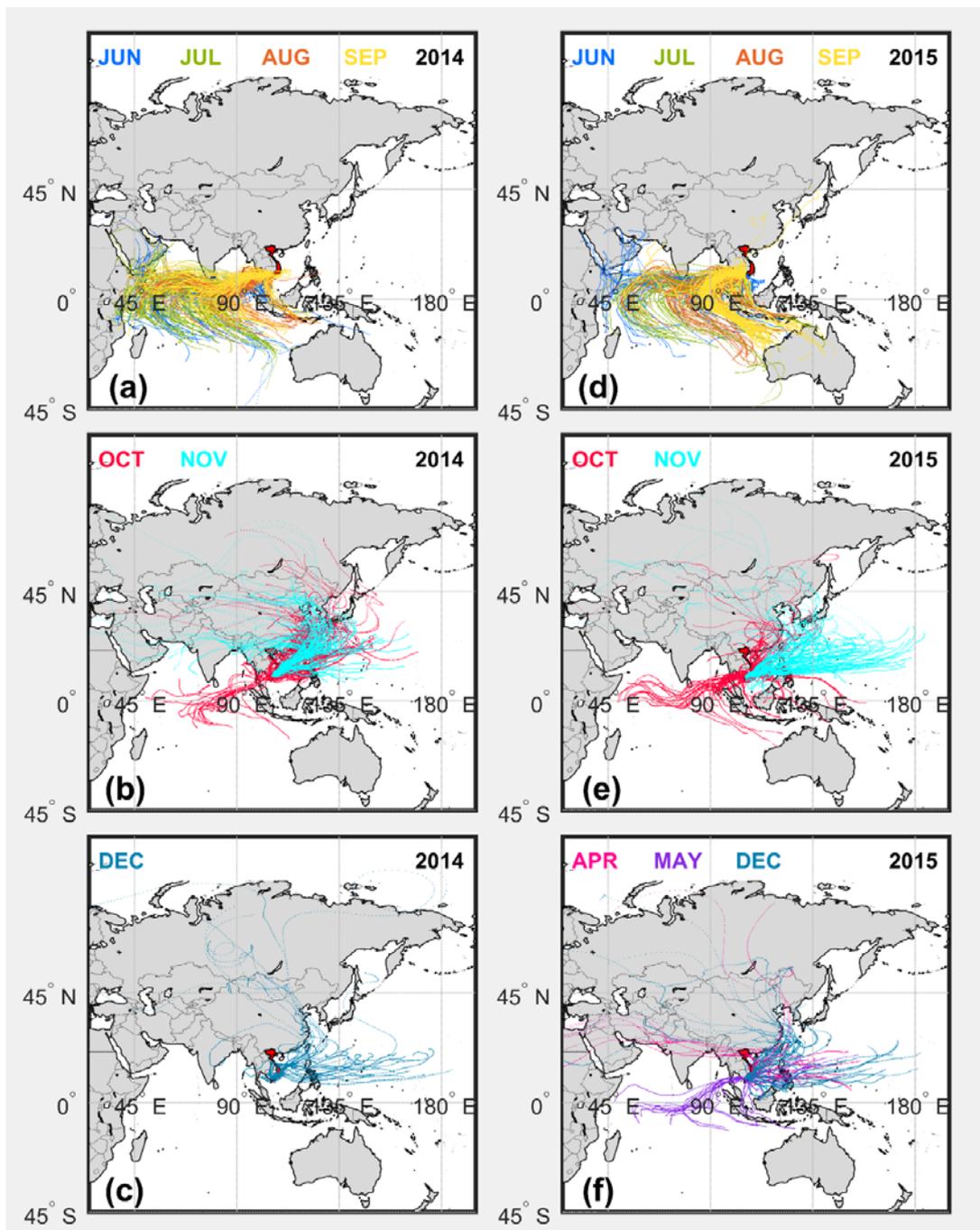


Figure 4: Methodology used in the study. Local precipitation (P\_AL), air temperature (T\_AL), and relative humidity (H\_AL) at An Long. Precipitation amount (P\_hysplit), mean temperature (T\_hysplit) and relative humidity (H\_hysplit) along the transport pathways, and moving distance from moisture sources (D\_hysplit).



**Figure 5: Back-trajectories indicating potential moisture sources of precipitation (plotted only for days with precipitation) at An Long station for the barometric surfaces at 850 hPa between June 2014 and December 2015. Left panels show the results for 2014, right panels for 2015; top row (a, d) early rainy season (June – September), middle row (b, e) late rainy season (October – November), bottom row (c, f) dry season (December – May). In January, February and March 2015 no rainfall was recorded.**

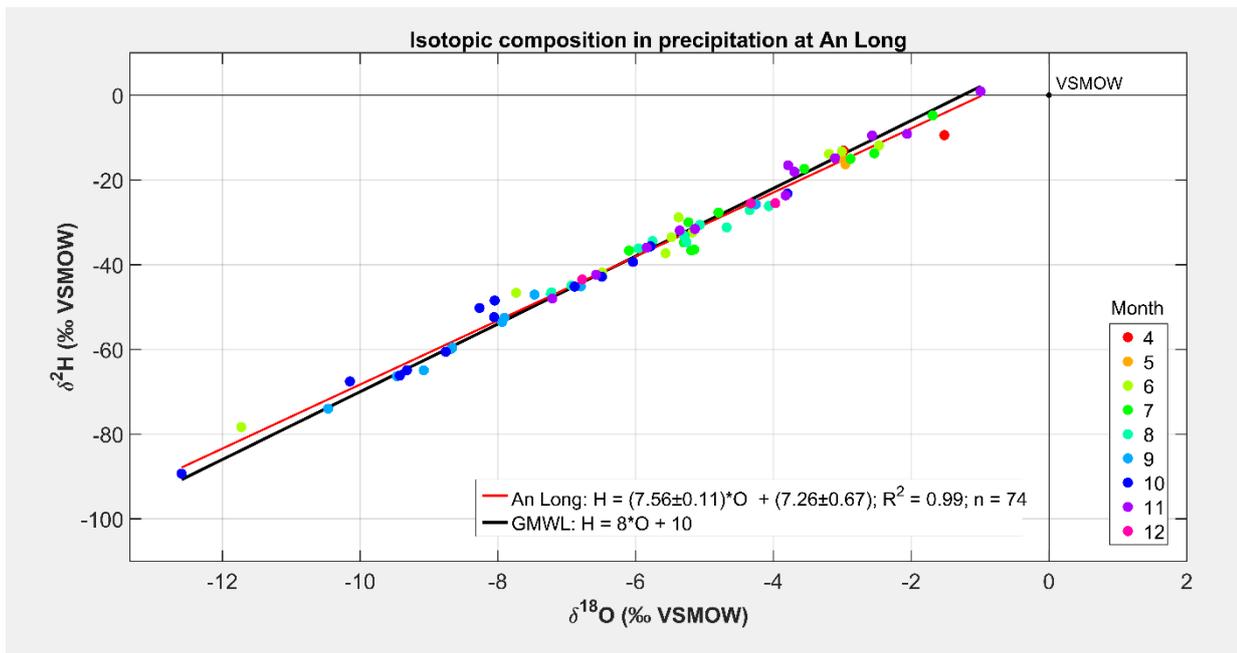


Figure 6: The LMWL of An Long in comparison to the GMWL.

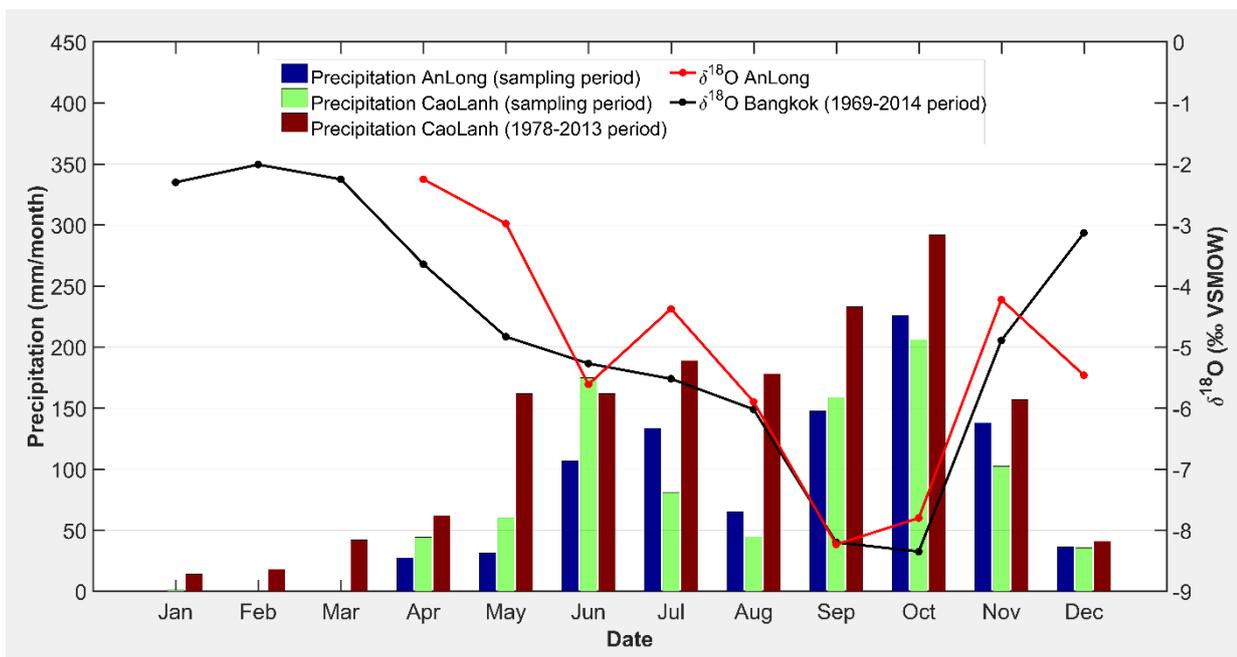
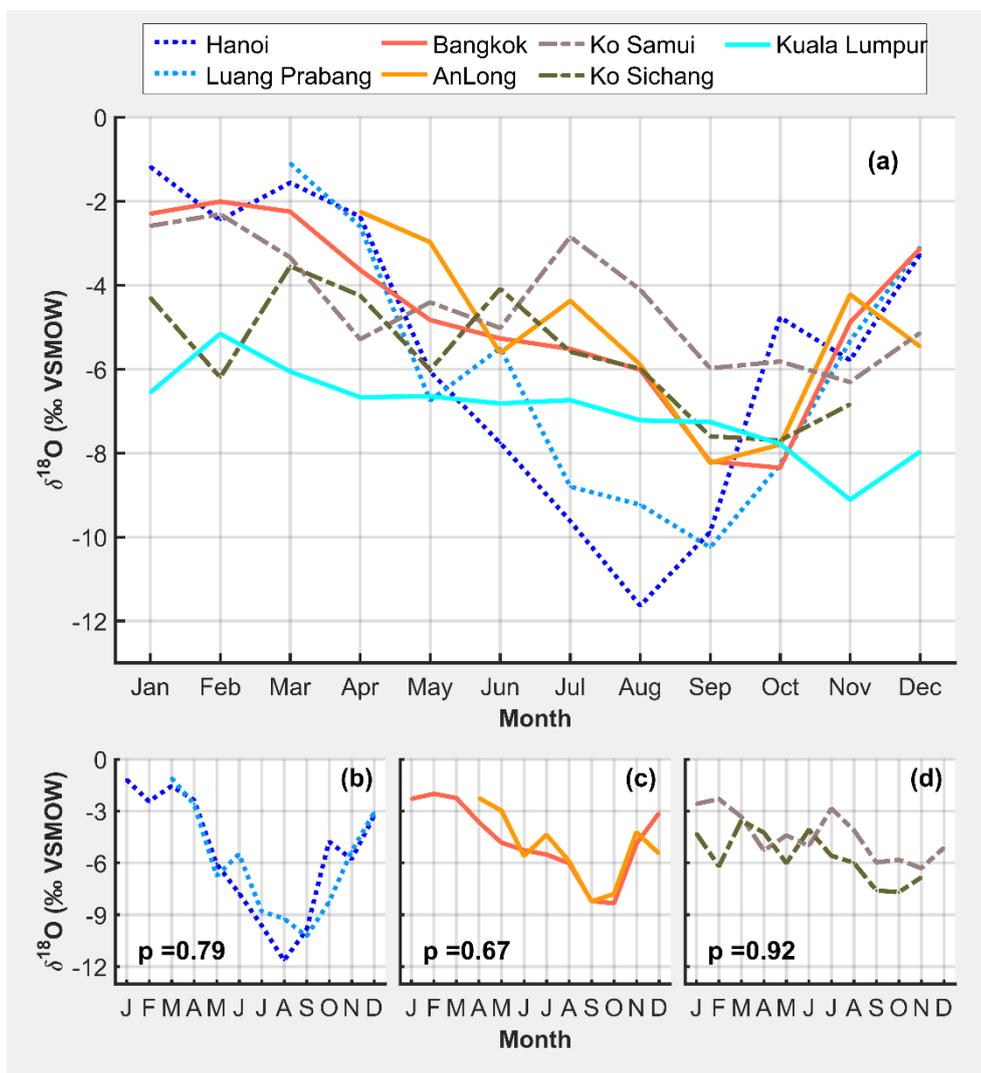


Figure 7: Annual variation of average monthly precipitation for An Long and Cao Lanh and precipitation  $\delta^{18}\text{O}$  for An Long and Bangkok.



**Figure 8: Seasonal monthly mean  $\delta^{18}\text{O}$  values for An Long and GNIP data from the Indochinese Peninsula. The data is grouped according to similar variability tested with the Levene test. The p-values given in (b) to (d) are the test statistics. High values indicate similar variance.**

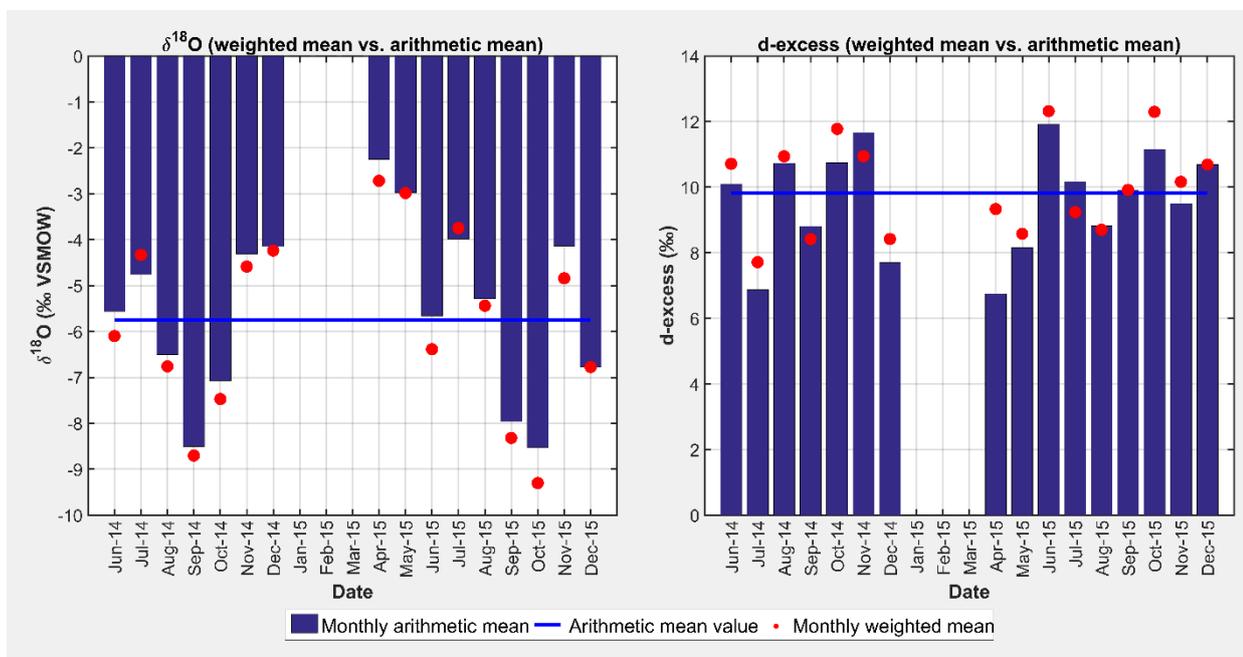


Figure 9: Arithmetic mean and amount-weighted mean monthly  $\delta^{18}\text{O}$  (left) and d-excess (right) at An Long for the sampling period June 2014 to December 2015.

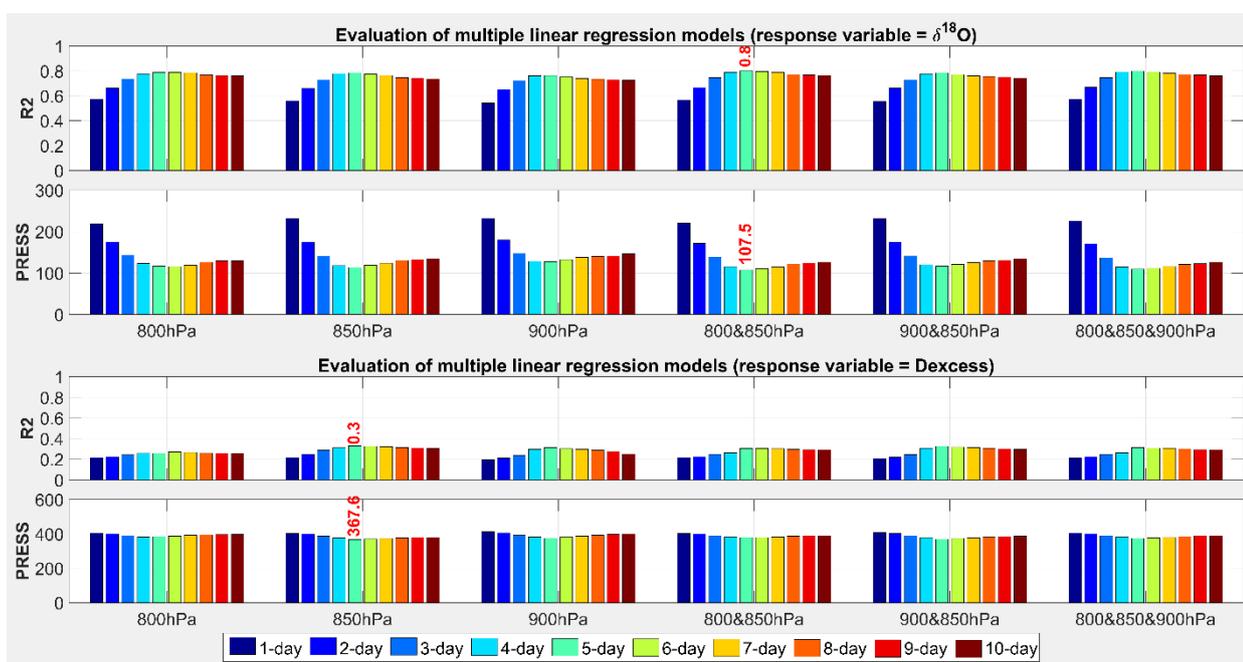
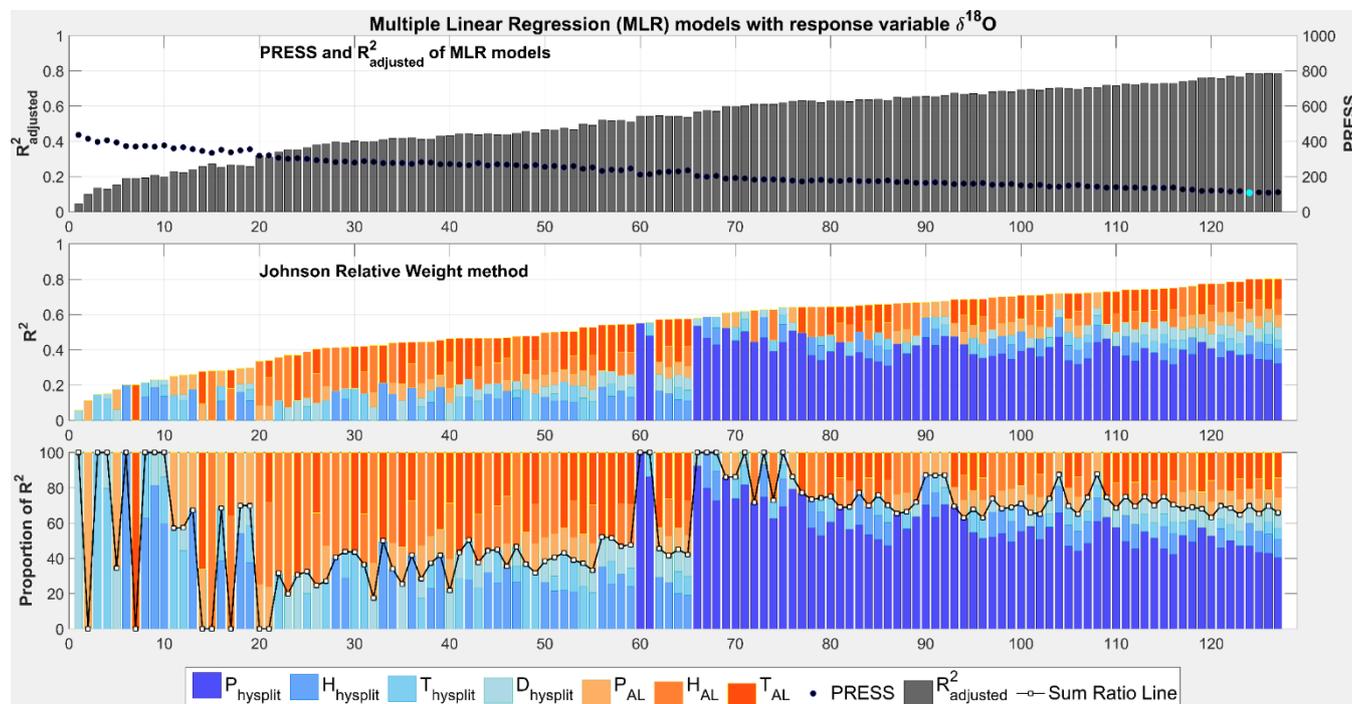


Figure 10: Evaluation of multiple linear regression (MLR) models applied for  $\delta^{18}\text{O}$  and d-excess as response variables for different pressure levels used for three HYSPLIT backward trajectories and their combinations (mean values of the different levels). The best MLR model is highlighted with red text.



**Figure 11.** MLR with response variable  $\delta^{18}\text{O}$  and relative importance analysis applied for all possible subsets. The 127 MLR models are sorted according to their  $R^2$  values in ascendant order. Colors represent the relative contribution (in %) of the predictors. The sum ratio line separates the contribution of local (in red and orange) and regional (in blue) factors. PRESS and adjusted  $R^2$  values indicate the quality of the MLR model. The best MLR model depicted by the lowest PRESS (model 124, highlighted by the cyan dot) explains 80% of the  $\delta^{18}\text{O}$  variation ( $R^2 = 0.8$ ).

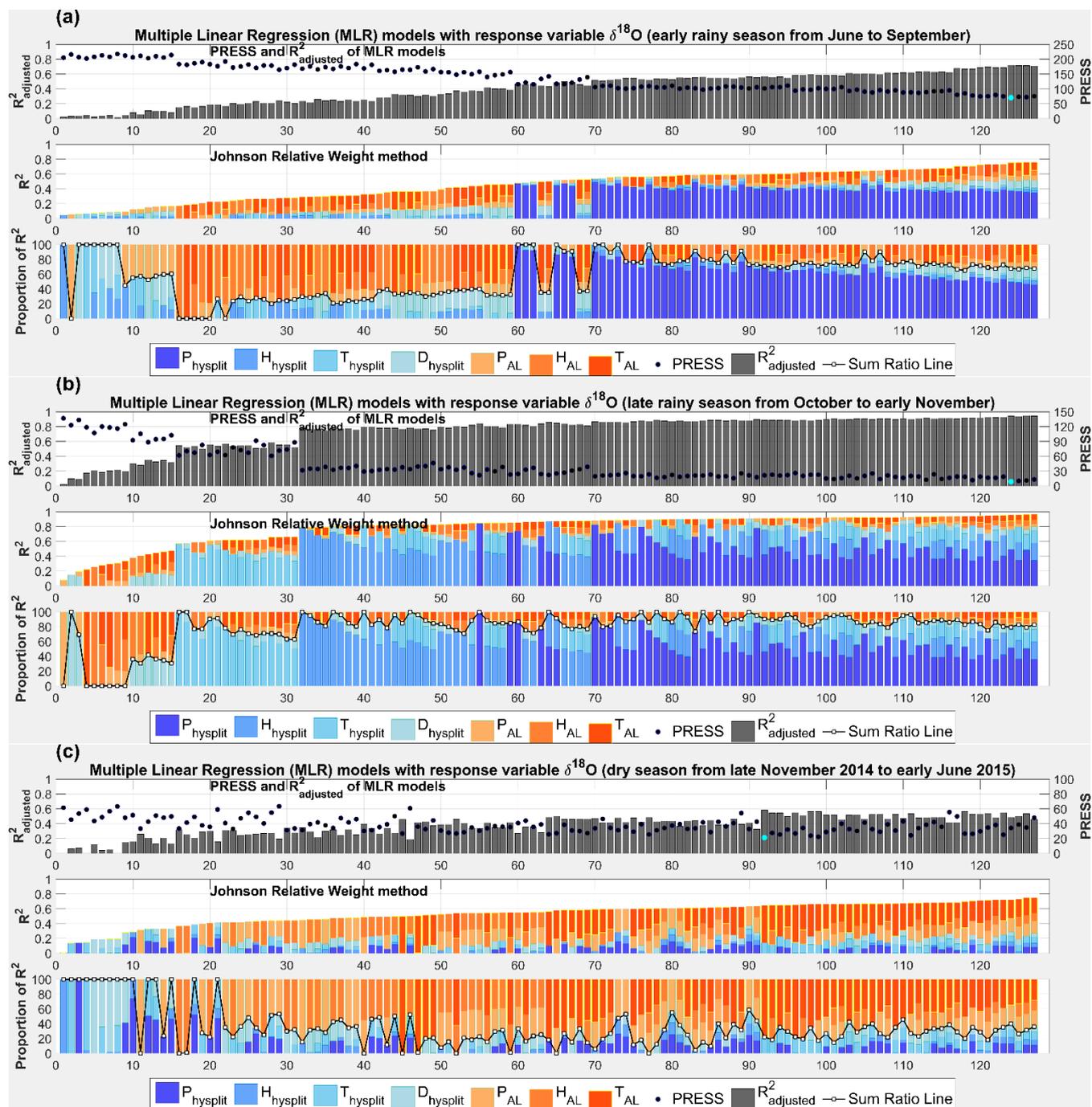


Figure 12. MLR with response variable  $\delta^{18}\text{O}$  and relative importance analysis applied for all possible subsets (127 MLR models) for different seasons: a) early monsoon from June to September, b) late monsoon from October to mid-November, and c) the dry season from mid-November to mid-June.