

1 **Hydrological characterization of cave drip waters in a porous limestone: Golgotha**  
2 **Cave, Western Australia**

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10

11 **Abstract**

12 Cave drip water response to surface meteorological conditions is complex due to the heterogeneity of water  
13 movement in the karst unsaturated zone. Previous studies have focused on the monitoring of fractured rock  
14 limestones that have little or no primary porosity. In this study, we aim to further understand infiltration water  
15 hydrology in the Tamala Limestone of SW Australia, which is Quaternary aeolianite with primary porosity. We  
16 build on our previous studies of the Golgotha Cave system and utilize the existing spatial survey of 29  
17 automated cave drip loggers and a LiDAR-based flow classification scheme, conducted in the two main  
18 chambers of this cave. We find that a daily sampling frequency at our cave site optimizes the capture of drip  
19 variability with least possible sampling artifacts. With the optimum sampling frequency, most of the drip sites  
20 show persistent autocorrelation for at least a month, typically much longer, indicating ample storage of water  
21 feeding all stalactites investigated. Drip discharge histograms are highly variable, showing sometimes  
22 multimodal distributions. Histogram skewness is shown to relate to the wetter than average 2013 hydrological  
23 year and modality is affected by seasonality. The hydrological classification scheme with respect to mean  
24 discharge and the flow variation, can distinguish between groundwater flow types in limestones with primary  
25 porosity, and the technique could be used to characterize different karst flow paths when high-frequency  
26 automated drip logger data are available. We observe little difference in the coefficient of variation (COV)  
27 between flow classification types, probably reflecting the ample storage due to the dominance of primary  
28 porosity at this cave site. Moreover, we do not find any relationship between drip variability and discharge  
29 within similar flow type. Finally, a combination of multi-dimensional scaling (MDS) and clustering by k-means  
30 is used to classify similar drip types based on time series analysis. This clustering reveals four unique drip  
31 regimes which agree with previous flow type classification for this site. It highlights a spatial homogeneity in  
32 drip types in one cave chamber, and spatial heterogeneity in the other, which is in concordance with our  
33 understanding of cave chamber morphology and lithology.

34

35 **Keywords:** karst aquifers, drip loggers, infiltration, cave drip water

## 37 1 Introduction

38 Karst features in limestone are typically developed from the solutional dissolution of fractures and bedding  
39 planes in carbonate rocks (Arbel et al., 2010; Kurtzman et al., 2009). Worldwide, karst regions represent  
40 significant geographical areas with potentially high rates of infiltration through fractured and karstified  
41 carbonate rocks. The most usual recharge method in karstic aquifers is the faster infiltration through the deep  
42 karstic openings (Ford and Williams, 2007). Complex spatial spreading of various karst features such as  
43 solutionally widened fractures, caves and conduits, makes the monitoring and precise groundwater recharge  
44 modeling very difficult (Lange et al., 2003; Arbel et al., 2010). The upper part of karstified rock (the epikarst  
45 zone) has higher permeability than the underlying vadose zone (Klimchouk, 2004). Therefore, infiltration into  
46 the epikarst zone is faster compared to the drainage through it, and water is kept stored in this region. This  
47 stored water in the vadose zone seeps slowly and finally emerges inside caves as infiltrating drip waters  
48 (Williams, 1983).

49 Karstic features such as speleothems, commonly used to reconstruct paleo-environmental records, are formed  
50 due to calcite deposition from cave drip water. Therefore, the knowledge of drip water hydrology is critical to  
51 study the paleoclimatic records (Baldini et al., 2006). An early study using tipping bucket loggers formulated a  
52 relationship between maximum discharge and coefficient of variation of discharge to categorize cave discharges  
53 (Smart and Friederich, 1987), for a fractured-rock limestone system with a vertical range of approximately 140  
54 m (GB Cave, Mendip Hills, UK). They found that the drips close to the surface have extreme coefficient of  
55 variations, whereas the drips in depths have fairly constant flow rates over time, with a significant possibility of  
56 water storage in vadose zone fractures. Thus the stalagmite record resulting from slower drips may be more  
57 closely related to the karst hydrology rather than palaeoclimate (Baldini et al., 2006). This may also be a  
58 consequence of the developed connection between the surface and the cave. Quantitative analysis of such  
59 stalagmite drip data has, in the past, used manual observations of cave drips (e.g. Baker et al 1997). However,  
60 the recent development of automatic cave drip loggers (Collister and Matthey, 2008) has enabled the generation  
61 of high temporal resolution and continuous drip discharge time-series (e.g. (Jex et al., 2012; Cuthbert et al.,  
62 2014; Markowska et al., 2015; Mariethoz et al., 2012)), providing new opportunities for quantitative  
63 hydrological analysis.

64 Here we present monitoring data from Golgotha Cave located in SW Western Australia that has been  
65 extensively monitored since 2005, with the aim of better understanding karst drip water hydrogeology and the  
66 relationship between drip hydrology and surface climate. We build on the work of Mahmud et al. (2016), which  
67 presented the largest spatial and temporal survey of automated cave drip monitoring with matrix (primary)  
68 porosity published to date. This previous study consisted of data from two large chambers within this cave,  
69 measured in the period from August 2012 to March 2015, using a highly spatially (29 sites in two separate  
70 chambers) and temporally (0.001 Hz, 15 min intervals) resolved dataset and developed a recharge estimation  
71 technique for caves using the drip data and flow classification techniques of Mahmud et al. (2015a). Mahmud et  
72 al. (2015a) performs morphological analysis of karstic features, based on ground-based LiDAR data, to identify

73 different flow processes in karstified limestone. Based on the findings of Mahmud et al. (2015a); Mahmud et al.  
74 (2016), here we investigate the relationship between drip water hydrology and cave depth, spatial location and  
75 stalactite type, and develop a hydrological classification scheme that is appropriate to high-frequency drip  
76 logger data and limestones with a primary porosity. This classification scheme is also compared with previous  
77 studies (Smart and Friederich, 1987; Baker et al., 1997) to examine the limitations of these previous schemes.  
78 These findings will also help better characterize and understand water movement in highly porous karst  
79 formations.

80 Finally, we use a combination of multi-dimensional scaling (MDS) and the popular K-Means algorithm for  
81 clustering similar drip characteristics. Time series clustering has been shown to be effective in providing useful  
82 information in various domains (Liao, 2005) and is implemented here to determine the degree of similarity  
83 between two drip time series. There seems to be an increased interest in time series clustering as part of the  
84 research effort in temporal data mining. The method we use here is suitable for large datasets, has been studied  
85 extensively in the past and achieves good results with minimum computational cost (Jex et al., 2012; Scheidt  
86 and Caers, 2009; Borg and Groenen, 1997).

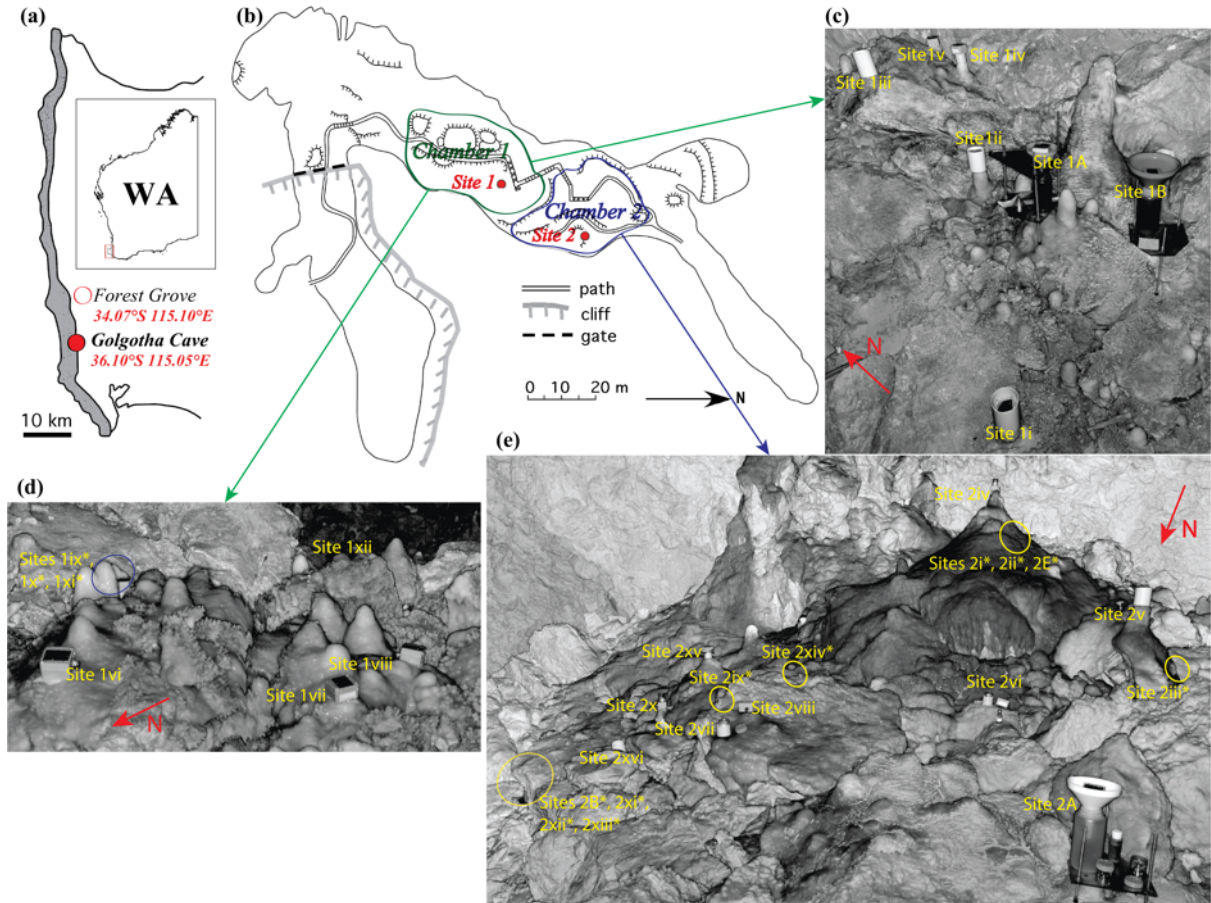
## 87 **2 Site Description**

### 88 **2.1 Studied Cave**

89 The cave site has been explained in detail by Mahmud et al. (2015a); Mahmud et al. (2016); Treble et al. (2013).  
90 Briefly, the field site, Golgotha Cave is 200 m in length and up to 25 m in width (Figure 1), is developed in  
91 Quaternary aeolianite, which consists of wind-blown calcareous sands that were deposited along the southwest  
92 coast of Australia (Brooke et al., 2014). Vadose zone water flow, and subsequent widening by ceiling collapse,  
93 formed the cave chambers. Treble et al. (2013) described the cave site as being developed in the Spearwood  
94 System of the Tamala Limestone and is mantled by a variable thick layer of sand formation having depths of  
95 between 0.3 m and 3 m. Diffuse (or matrix) flow is likely to be dominant in the Tamala Limestone formation  
96 due to its high matrix porosity as 0.3 – 0.5 (Smith et al., 2012). Karst in this region is also called “syngenetic”  
97 (Treble et al., 2013) that implies processes like preferential vertical dissolution and varying morphology of the  
98 subsurface caprock. These processes may establish vadose-zone preferential flow extending to the cave ceiling,  
99 with occasional rapid delivery of percolating waters deep into the calcarenite which end up seeping through to  
100 the cave ceiling. Therefore, this young limestone formation offers various opportunities for preferential flow  
101 into the hostrock and storage within it (Brooke et al., 2014). Golgotha Cave was chosen because (a) it is located  
102 in an intensively studied karst area (Mahmud et al., 2015a; Mahmud et al., 2016; Treble et al., 2015; Treble et  
103 al., 2013; Treble et al., 2016), which has over ten years of manual and 3 years of automated drip water  
104 monitoring, (b) it contains actively growing speleothems, and (c) it is accessible year-round.

105 Based on the findings of Treble et al. (2013) and the morphological analysis of stalactite clusters by Mahmud et  
106 al. (2015a), combined with the classification of drip rate data from the underlying drip sites (Mahmud et al.,  
107 2016), we determined previously that Chamber 1 (Figure 1b, c and d) is mostly dominated by matrix flow  
108 representing water flowing down and seeping through the rock matrix, characterised by both icicle-shape and

109 soda straw stalactites with slow drip rates of low variability. In contrast, Chamber 2 (Figure 1b and e) is  
 110 typically controlled by fracture and combined flow, with high drip rates that are shown to vary over time  
 111 depending upon the mode of water delivery to the preferential flow system. In fracture flow, water moves along  
 112 the fracture orientation, forming curtain-shape stalactites in the direction of highest fracturing. Finally,  
 113 combined flow is defined as the combination of conduit, matrix and fracture flow, resulting in a circular pattern  
 114 of stalactite formation.



115  
 116 Figure 1: a) Coastal belt of SWWA (South-West Western Australia). (b) Golgotha cave plan view displaying  
 117 both Chamber 1 (green marked area), which comprises Site 1, and Chamber 2 (blue marked area) containing  
 118 Site 2. Average limestone thickness from cave ceiling to ground surface over Site 1 and 2 are 32.33 m and 40.24  
 119 m respectively. LiDAR scans of drip sites on: (c) Chamber 1 north floor, (d) Chamber 1 south floor and (e)  
 120 Chamber 2 floor. The red arrows show the geographic orientation (c, d and e). \* indicates the sites where the  
 121 stalagmate loggers are not clearly visible in the LiDAR floor images as they are obscured by formations in front  
 122 of them, however the approximate locations are marked in yellow circles. Additional scans of cave ceiling and  
 123 photographs of underlying stalagmites are shown in Fig. 3 of Mahmud et al. (2016).

124 **2.2 Climate and Meteorology**

125 A comprehensive description of the climate at our study site has been presented in Mahmud et al. (2015a);  
 126 Mahmud et al. (2016); Treble et al. (2013). To summarize, the site is a Mediterranean climate, associated with

127 wet winters and dry summers. Annual rainfall recorded at Forest Grove weather station (Figure 1a, 5 km away  
128 from the study site) is  $1136.8 \pm 184$  mm, among which ~75% occurs between May and September, with an  
129 average daily maximum temperature variation from 16°C (in July) to 27°C (in February) (BoM, 2015).  
130 Typically, the peak rainfall begins in late autumn (May) and the wet season continues until end of September  
131 with a median monthly rainfall of ~100 mm (Mahmud et al., 2016). Each hydrological year is defined as April  
132 to March, as April has the lowest water budget (precipitation-evapotranspiration).

133 As reported in Mahmud et al. (2016), all hydrological years have water deficit during the dry season (October to  
134 April) and significant infiltration during the wet period (Mahmud et al., 2016). Low evaporative conditions  
135 during winter should permit increased infiltration to the caves, enhancing the drip discharge response to winter  
136 rainfall. The hydrological year 2012 had roughly similar annual rainfall of 1008.6 mm to the long-term annual  
137 mean, whereas 2013 was rather wet (total rainfall of 1239.8 mm) and 2014 was a relatively dry year with a total  
138 rainfall of 943.8 mm. Recorded rainfall was significantly above average in the 2013 hydrological year for  
139 various weather stations in Western Australia (BoM, 2015). Therefore, our site had a wetter winter in 2013 with  
140 an estimated annual recharge of 858.67 mm which is very much above average (ten year mean annual recharge  
141 is 564 mm).

### 142 **2.3 Drip data acquisition and characteristics**

143 Data acquisition and pre-processing has been previously described in Mahmud et al. (2016) and is concisely  
144 summarized here. Stalagmate drip loggers ([www.driptych.com](http://www.driptych.com)) were set up in approximate transects throughout  
145 the two large chambers from higher to lower ceiling elevation in 34 locations and are currently being monitored  
146 since August 2012. Each chamber has contrasting discharge, dune facies and karst features of Golgotha Cave  
147 (Figure 1). Data loggers were set to record continuously at 15 minute intervals. The notation used for site  
148 identification follows the same style as described in Mahmud et al. (2016), consisting of a numerical number  
149 (represents the chamber) and a letter/roman number (represents a drip site within the given chamber, with a  
150 letter indicates the sites having both manual and automatic drip counts and a roman number specifies the sites  
151 only having drip logger data). Based on the initial data screening of Mahmud et al. (2016), 29 sites are  
152 considered in the time series analysis although short periods of poor quality data were omitted if they were  
153 associated with changes in the mean and variability at the time of fieldwork. This impacted sites 1A, 1B, 2A,  
154 2B, 2E as the logger was temporarily placed aside every 6 weeks in order to sample water from a collection  
155 bottle underneath the logger. Time series gaps are filled with synthetic data based on the drip statistics and  
156 correlation between drip rates. The processed drip rate time series for all the sites and three hydrological years  
157 from April 2012 to March 2015 were published in previous work of Mahmud et al. (2016).

158 As previously reported, drip rates in Chamber 1 are generally very low (the fastest drip rate was 25 drips per 15  
159 mins) consistent with the predominance of matrix flow in this chamber. However, it is obvious that most drip  
160 loggers exhibit a clear response to the 2013 wet winter (Mahmud et al., 2016) and also indicate the substantial  
161 inter-annual variation in discharge between three hydrological years. All Chamber 1 drip sites (except site 1x)  
162 show a gradual drip rate decrease during summer 2012 to winter 2013 due to below average rainfall in 2012.  
163 Then after displaying the sudden increase in all drip discharges that express the 2013 wet winter, the drip rates

164 further reduce due to the dry 2014 hydrological year. This intra-annual variation is identified much greater than  
165 the inter-annual discharge variation of the drip sites, as previously observed in Baker et al. (1997). This suggests  
166 that high-resolution intra-annual drip rate data is helpful to obtain a complete picture of changing flow  
167 variability with recharge. The high resolution of the data sets includes precise characterization of the temporal  
168 behavior of an individual drip, illustrating the differences inherent to the drip sites.

169 In contrast, Chamber 2 drip rates present more variability between sites both in intra-annual and inter-annual  
170 discharges, except few very slow dripping sites (Mahmud et al., 2016). Of the Chamber 2 drips, the slow drip  
171 sites have the lowest coefficient of variations (COVs) and lowest discharges, indicative of matrix flow types  
172 (Mahmud et al., 2016). The timing of maximum drip rates is generally delayed in Chamber 2 versus Chamber 1:  
173 Chamber 1 drip rates typically peak in late spring/early summer (Oct-Dec) while Chamber 2 drips tend to peak a  
174 few months later (Dec-May), reflecting a longer water residence time. This may be a function of the thicker  
175 ceiling above Chamber 2 (40.24 versus 32.33 m) but also heterogeneity in flow paths to each chamber (Mahmud  
176 et al., 2015; Treble et al., 2016). Overall the drip response to the 2013 wet winter is amplified in Chamber 2  
177 versus Chamber 1, consistent with the presence of greater fracture flow in Chamber 2 (Mahmud et al., 2015).

178 By applying morphological analysis of ceiling features acquired by LiDAR data, Mahmud et al. (2015a)  
179 distinguished three flow patterns (i.e. matrix flow, fracture flow, and a combination of conduit, fracture, and  
180 matrix flow) for the observed ceiling morphological features. All the drip sites were then characterized  
181 according to this flow classification in Mahmud et al. (2016), which is used here as a reference for clustering  
182 similar drip time series.

### 183 **3 Methods**

#### 184 **3.1 Hydrological classification of cave drips**

185 Research involving automated drip monitoring systems is increasing, for example at Cathedral Cave in  
186 Wellington (Cuthbert et al., 2014) and Harrie Wood Cave in the Snowy Mountains, Yarrangobilly (Markowska  
187 et al., 2015). The variability of the drip discharge might not only be a function of discharge itself, but could also  
188 depend on the sampling frequency. We investigate this possibility by plotting the COV versus sampling interval  
189 (the original 15 mins and calculated by resampling the data at 1 hour, 1 day, 1 week and 1 month). COV is  
190 supposed to be artificially high at the high frequency of 15 mins because of sampling bias that artificially  
191 increases the noise. The resampling at low frequencies is only a way of smoothing out this noise. Using the  
192 optimum sampling frequency to minimize its effect on drip variability, we plot drip rate histograms to identify  
193 the response of drips between the flow classifications and the response to intra and inter-annual variability in  
194 infiltration. Finally, we summarize the mean discharge of drip sites in relation to the variability in discharge  
195 using the optimum sampling frequency. These are the same drip discharge parameters as used in the  
196 classification method proposed by Friederich and Smart (1982), Fairchild et al. (2006) and Baker et al. (1997)  
197 that were based on manual drip collection at low frequency.

### 198 3.2 Clustering of similar drip time series

199 We employed multi-dimensional scaling (MDS), which allows data dimensionality reduction i.e., mapping  
200 complex multidimensional data on a low-dimensional manifold. MDS is a technique that embeds a set of points  
201 in a low-dimensional space, so that the distances between the points resemble as closely as possible a given set  
202 of dissimilarities between the objects they represent (Birchfield and Subramanya, 2005). MDS requires a  
203 distance matrix to be computed, in which a single scalar number characterizes the similarity between any two  
204 time-series. In our case, each drip logger is an object and a specific distance between drip loggers is considered  
205 to characterize the similarity between any two loggers. It takes an input matrix giving dissimilarities between  
206 pairs of items and outputs a coordinate matrix whose configuration minimizes a loss function. MDS is also  
207 known as Principal Coordinates Analysis (PCoA). MDS operates on a distance or dissimilarity matrix (Pisani et  
208 al., 2016), which is different than principle-component analysis (PCA) that is based on a covariance matrix.  
209 Even if PCA and MDS methods can return the same results in specific contexts, MDS can be considered more  
210 general because it remains validity for non-euclidean distances, such as the distance matrix ( $d$ ) chosen in this  
211 study. MDS is used to translate these distances into a configuration of points defined in an  $n$ -dimensional  
212 Euclidean space (Cox and Cox, 1994). A MDS results in a set of points arranged so that their corresponding  
213 Euclidean distances indicate the dissimilarities of the time series. According to Birchfield and Subramanya  
214 (2005) the basic steps of performing the MDS algorithm are:

215 i) Construct the distance matrix  $\mathbf{D}$ : One key component in clustering is the function used to measure the  
216 temporal similarity (or distance) between any two time-series being compared. To define an appropriate  
217 measure of similarity between time series, we determine two factors: firstly, the offset ( $O$ ) to match two  
218 time-series based on their maximum correlation, and secondly the complement of the correlation coefficient  
219 ( $1-R$ ) between the time series (Jex et al. 2012). Initially, we compute the cross-correlation function, a  
220 measure of similarity of two time-series as a function of the displacement of one relative to the other. The  
221 cross-correlation function is an estimate of the covariance between two time-series,  $y_{1t}$  and  $y_{2t}$ , at lags  $k = 0,$   
222  $\pm 1, \pm 2, \dots$ . The offset ( $O$ ) is defined as the lag time based on the maximum correlation between two time-  
223 series. Next, we define  $R$  as the correlation coefficient with the time series being moved by the offset  
224 amount  $O$  to have maximum correlation coefficient. Both  $O$  and  $R$  are calculated to all  $n(n-1)/2$  pairs of drip  
225 data, where  $n$  is the number of drip data. Here, we use the original recorded drip counts in 15 mins interval.  
226 The sampling bias discussed in section 3.1 is only affecting the drip variability, not the cluster analysis.  
227 Moreover, high resolution (15 mins interval) data are more suited for the cluster analysis because it allows  
228 better defining the cross-correlation between drips, as sometimes the offset of maximum correlation  $O$   
229 might be less than a day. Finally, the distance matrix  $\mathbf{D}$  is computed for each pair of loggers using the  
230 following equation (Jex et al. 2012):

$$231 \quad \mathbf{D} = O(1 - R)$$

232 The distance matrix ( $\mathbf{D}$ ) is square, symmetric, and has dimension equal to the number of drip loggers.

233 ii) Compute the inner product matrix  $B = -\frac{1}{2}J\mathbf{D}J$ , where  $J = I - \frac{1}{n}\mathbf{1}\mathbf{1}^T$  is the double-centering matrix and  $\mathbf{1}$  is  
234 a vector of ones.

235 iii) Decompose  $B$  as  $B = V\Lambda V^T$ , where  $\Lambda = \text{diag}(\lambda_1, \dots, \lambda_n)$ , the diagonal matrix of eigenvalues of  $B$ , and  $V =$   
236  $[\mathbf{v}_1, \dots, \mathbf{v}_n]$ , the matrix of corresponding unit eigenvectors. Sort the eigenvalues in non-increasing order:  
237  $\lambda_1 \geq \dots \geq \lambda_n \geq 0$ .

238 iv) Extract the first  $p$  eigenvalues  $\Lambda_p = \text{diag}(\lambda_1, \dots, \lambda_p)$  and corresponding eigenvectors  $V_p = [\mathbf{v}_1, \dots, \mathbf{v}_p]$ .

239 v) The corresponding Euclidean distances of the set of points, indicating the dissimilarities of the time series,  
240 are now located in the  $n \times p$  matrix  $X = [\mathbf{x}_1, \dots, \mathbf{x}_p]^T = V_p\Lambda_p^{1/2}$ .

241 The k-Means clustering algorithm is then used to divide these points into  $k$  clusters, which corresponds to a  
242 categorization of the drip data time series. k-means clustering, or Lloyd's algorithm (Lloyd, 1982), is a method  
243 of vector quantization that is popular for cluster analysis in data mining. k-means clustering aims to partition  $n$   
244 observations into  $k$  clusters in which each observation belongs to the cluster with the nearest mean, serving as a  
245 prototype of the cluster. Here we use 4 clusters as this was the number of flow categories identified by Mahmud  
246 et al. (2016). The algorithm proceeds as follows:

247 i) Choose  $k$  initial cluster centers (*centroid*): Here, we use  $k=4$  clusters as this was the number of flow  
248 categories identified by Mahmud et al. (2016).

249 ii) Compute point-to-cluster-centroid distances of all observations to each centroid. There are two steps  
250 to follow: first assign each observation to the cluster with the closest centroid. Then individually assign  
251 observations to a different centroid if the reassignment decreases the sum of the within-cluster, sum-of-  
252 squares point-to-cluster-centroid distances.

253 iii) Compute the average of the observations in each cluster to obtain  $k$  new centroid locations.

254 iv) Repeat steps 2 and 3 until cluster assignments do not change, or the maximum number of iterations  
255 is reached.

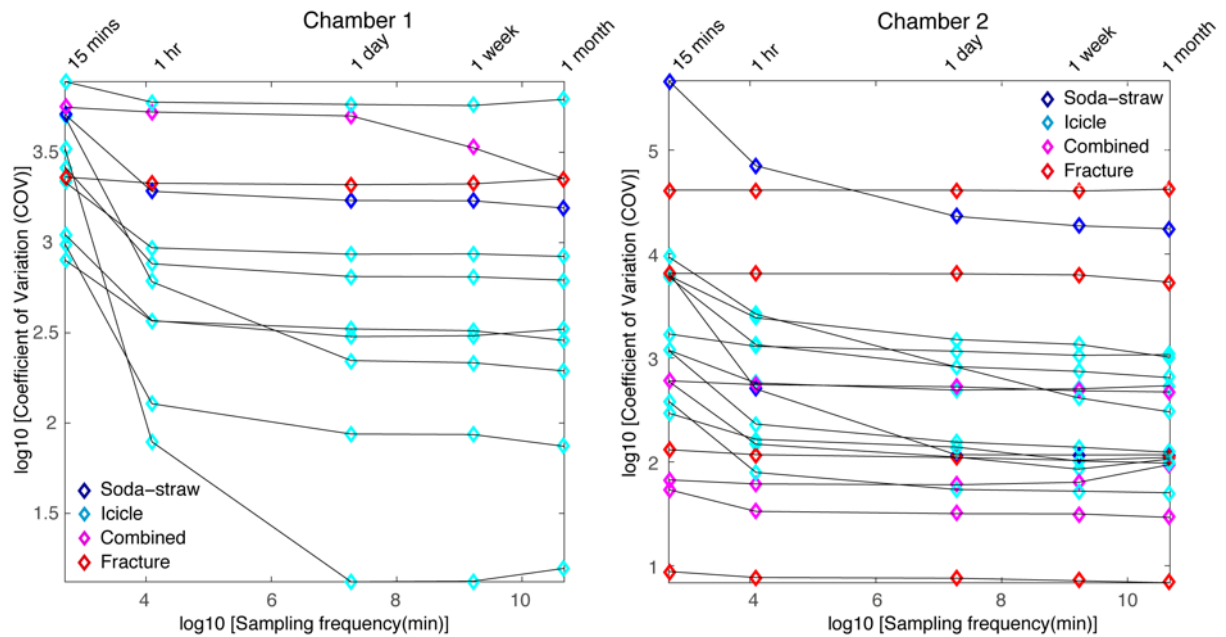
## 256 4 Results and Discussion

### 257 4.1 Determining the relationship between sampling frequency and drip discharge COV

258 We test the variability of drip discharge COV with the sampling frequency in Figure 2, to find the optimum  
259 sampling frequency that minimizes sampling artifacts while maximizing the capture of natural variability. For  
260 high discharge, COV increases with sampling frequency, which we explain by the smaller sampling interval  
261 better capturing the actual drip variability. For low discharges, COV also increases with sampling frequency,  
262 which we explain by the variability introduced due to drip rates being less than the sampling frequency. From  
263 the data presented in Figure 2, we can conclude that for both chambers and to compare all different types of



264 flow, a sampling frequency of 1 day gives the minimum COV, which does not change significantly with a finer  
 265 sampling frequency. Therefore, we use a sampling frequency of 1 day that minimizes sampling artifacts while  
 266 maximizing the capture of natural variability. For Golgotha Cave, this would be to sum the 15 minutes drip rates  
 267 over a 1-day period. This optimized sampling frequency is used to plot the histograms (section 4.2), ACFs  
 268 (supplementary section S1) and examine the drip discharge behavior with drip variability for various flow types  
 269 (section 4.3).

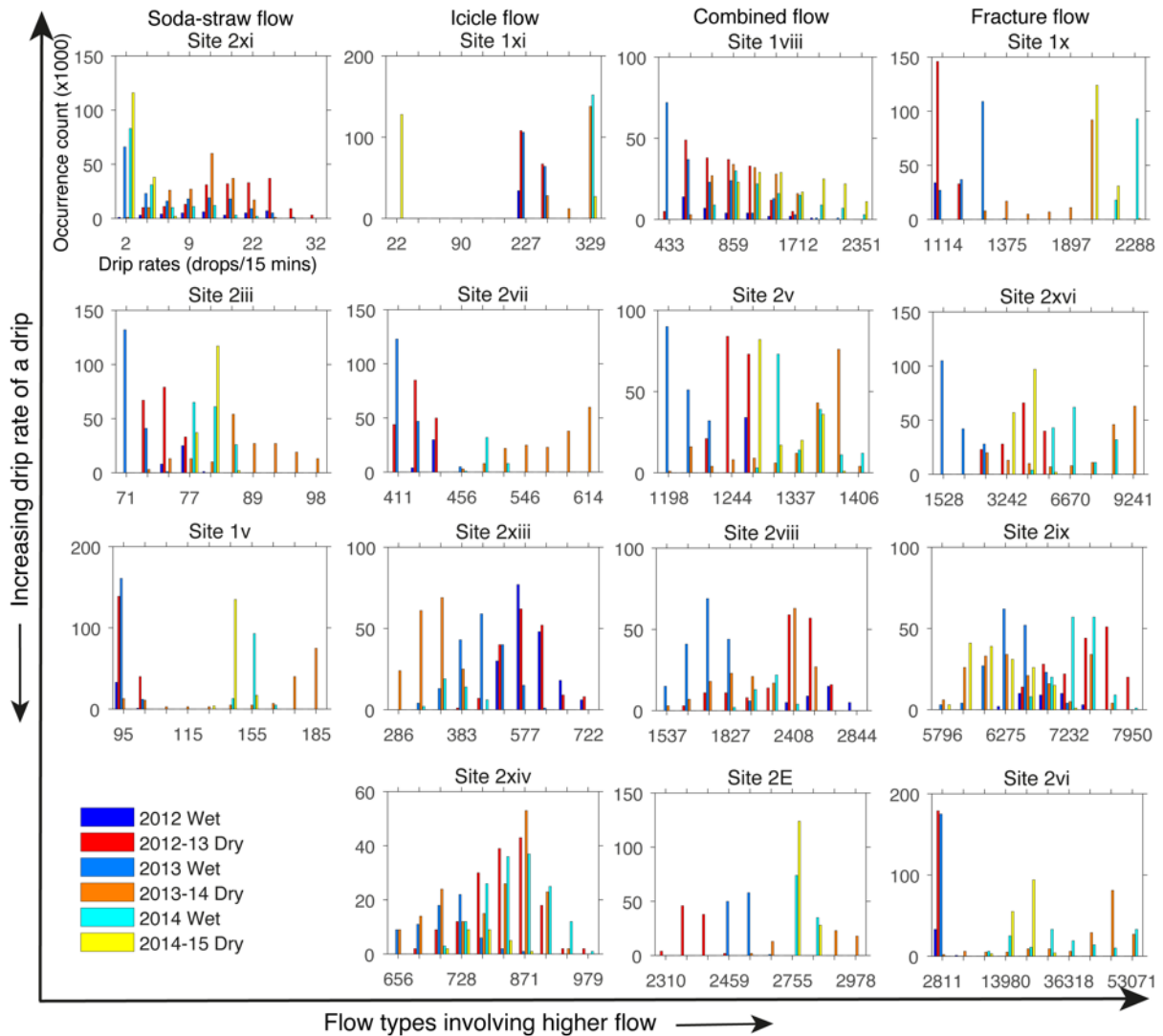


270  
 271 Figure 2: Optimum sampling frequency that minimizes sampling artifacts while maximizing the capture of  
 272 natural variability.

#### 273 4.2 Drip rate frequency distributions

274 Figure 3 shows the drip rate histograms for representative drip sites and different flow categories with optimum  
 275 sampling frequency of 1-day. Drip sites are organized from lowest to highest discharge in each flow  
 276 classification (Mahmud et al., 2016). Slow dripping soda-straw flows (e.g. sites 2xi, 2iii and 1v) show variation  
 277 of drips with seasonality and the response to wetter recharge period with an approximate six-month lag, which  
 278 suggests the drip water is supplied from storage in the limestone formation. Among these, site 1v displays the  
 279 response to recharge in much shorter duration, the 6 months following 2013 recharge and then a shift to lower  
 280 flow rates which may represent flow poaching. The histograms for icicle and combined flow systems represent  
 281 unimodal skewed to bimodal distributions, indicating the shift to higher drip rates in response to the wetter 2013  
 282 hydrological year (except site 2xiii, which shows a shift to lower drip rates). The rest of the fracture sites show  
 283 bimodal or multimodal distributions. With the limited temporal scale of the analysis, it seems that the  
 284 histograms with skewed distributions represent the consequences of wetter 2013 hydrological year. These  
 285 skewed distributions seem to have higher drip rate response to the drier 2014-15 period rather than the earlier  
 286 normal/wetter years. This clearly denotes potential refilling of storage within the system during the 2013 wet  
 287 winter, and later supplying drip water in 2014-15 seasons. The presence of ample storage in the karst system is

288 also supported by the autocorrelation functions (ACFs) discussed in supplementary section S1. In contrast, the  
 289 bimodal distribution of site 2viii indicates the drip response to the annual cycle of wet and dry seasons of each  
 290 hydrological year with an approximate six-month lag. Several bimodal (e.g. site 1x) and multimodal (e.g. sites  
 291 2xvi, 2vi) distributions, characterize as fracture flow, also distinguishes the dry period of 2012 - 2013 (having  
 292 low drip rates) from the later period of 2013 wet winter (with high drip rates).

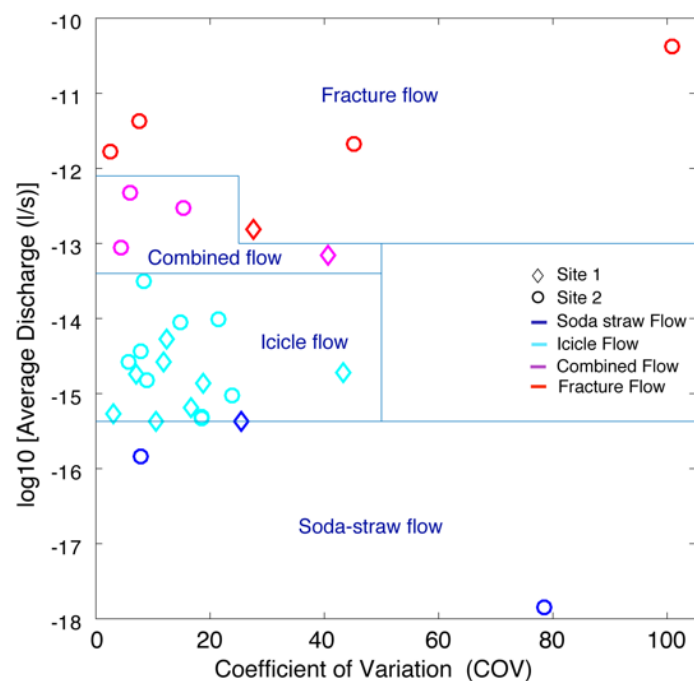


293

294 Figure 3: Histogram plots of both chambers drip data according to four flow types identified by Mahmud et al.  
 295 (2016). Each histogram represents the frequencies of the drip counts per day (The axes labels are shown in the  
 296 first histogram). Bin size is uniform for all plots and the external tick marks in x-axes delineates the bin  
 297 intervals. The legend shows all the seasons over the monitoring period (blue to cyan for wet seasons: April to  
 298 September and red to yellow for dry seasons: October to March, with the color gradually shifting for different  
 299 years). The 2012 wet season experienced similar rainfall to the long-term annual mean, whereas 2013 was rather  
 300 wet and 2014 was a relatively dry year. Histogram data for all sites appear in Supplementary Figure S1.

### 301 4.3 Hydrological classification of cave drips

302 We examine the hydrological behavior of the drips at daily resolution with respect to mean discharge and flow  
303 variation in Figure 4. The boundary lines drawn on this figure are based on the flow classification from the  
304 morphological analysis of Mahmud et al. (2015b). It is clear from Figure 4 that there is no relationship between  
305 COV and flow-type. One soda-straw discharge (site 2xi) has a seasonal dryness, a very low discharge, and a  
306 very high coefficient of variation due to its irregular dripping. Otherwise, nearly all soda-straw flow, icicle flow,  
307 combined flow and fracture flow drips have COV <60%, with the exception of one fracture flow site showing  
308 the highest COV (Figure 4). But in general, there is little difference in the COV between classification types,  
309 probably reflecting the ample storage (supplementary section S1) due to the dominance of primary porosity at  
310 this cave. We do not clearly observe increasing variability with decreasing discharge within similar flow type, in  
311 contrast to other studies from older, fractured rock limestones (Smart and Friederich, 1987; Baldini et al., 2006;  
312 Baker et al., 1997). This shows that Golgotha Cave drip sites do not fit within the drip classification method  
313 proposed by Smart and Friederich (1987) and Baker et al. (1997), which were based on manual drip counts with  
314 limited number of intermittent drip sites. Moreover, we utilize drip data from a cave with primary porosity,  
315 capturing the full range of flow types from matrix through to fracture, whereas the previous classifications only  
316 captured slow vs fast drips that were likely dominated by fracture flow paths given the host rock setting.



317  
318 Figure 4: Hydrological behaviour of drip sites expressed in terms of daily mean discharge versus daily discharge  
319 variability calculated from the automatic drip rate data for three hydrological years. Measured drip rates are  
320 converted to volume units assuming a drip volume of 0.1433 ml (Genty and Deflandre, 1998). Blue lines and  
321 symbols reflect flow classification given in Mahmud et al. (2015).

322 **4.4 Clustering of similar drip time series**

323 The clustering results are overlain upon the chamber ceiling images in Figure 5 and also summarized in Tables 1  
 324 and 2 with the average drip discharges and LiDAR classified flow type taken from Mahmud et al. (2015a);  
 325 Mahmud et al. (2016). Average drip discharges are calculated from the 15-minute drip rates of Mahmud et al  
 326 (2016). As mentioned above, drip logger time series are deemed similar if they are well correlated and only have  
 327 a small offset with each other, and so these time series should cluster together. Most of the drip sites that are  
 328 identified as matrix flow (soda-straw and icicle flow) cluster together in C1. However, three of the icicle flow  
 329 sites with drip rate greater than 4 per 15 minutes fall in C2. The combined flow category and the fracture type  
 330 usually cluster in C3 and C4 respectively. Therefore we observe that our clustering generally agrees with the  
 331 morphology-based flow classification of Mahmud et al. (2016). Few of the flow classes show exceptions, for  
 332 example site 2vi is a fracture type flow and cluster in C1. This site has really high discharge with high  
 333 variability, showing irregular drip rate.

334 Table 1: MDS cluster groups with statistical properties of Chamber 1 drip data.

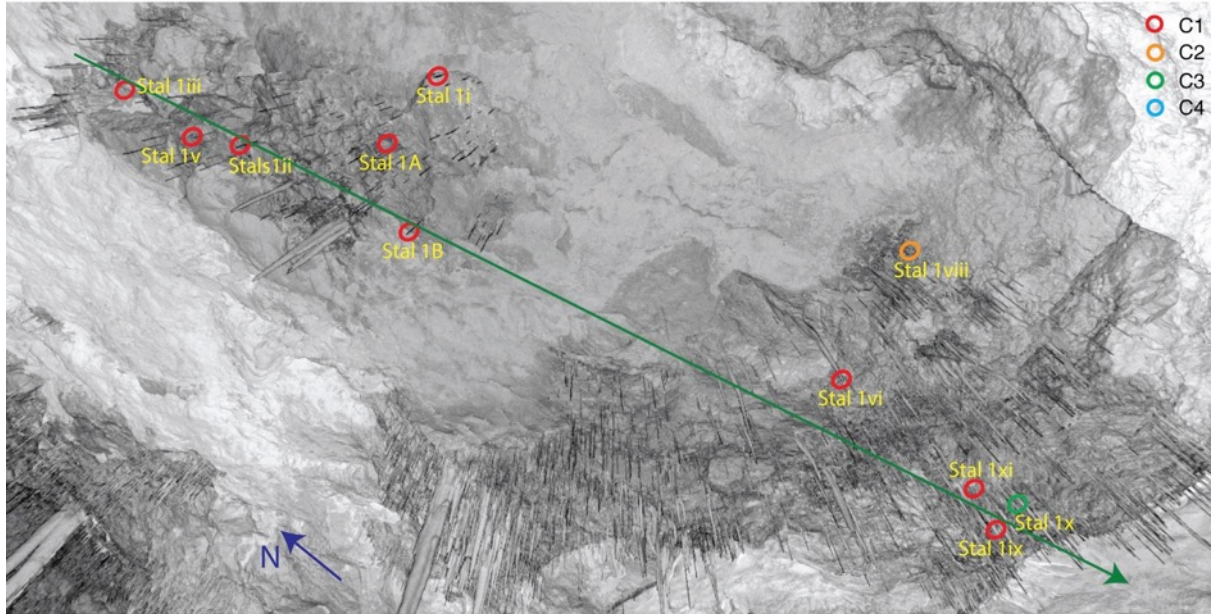
Site/Stalagmate	MDS Cluster Group	Average drip discharge (l/yr)	Flow type (LiDAR-based)
1A	1	19.8	Icicle
1B	1	12.6	Icicle
1i	1	6.6	Icicle
1ii	1	11.2	Icicle
1iii	1	8.1	Icicle
1v	1	6.7	Soda-straw
1vi	1	7.4	Icicle
1viii	2	60.9	Combined
1ix	1	14.8	Icicle
1x	3	86.2	Fracture
1xi	1	12.7	Icicle

335 Table 2: MDS cluster groups with statistical properties of Chamber 2 drip data

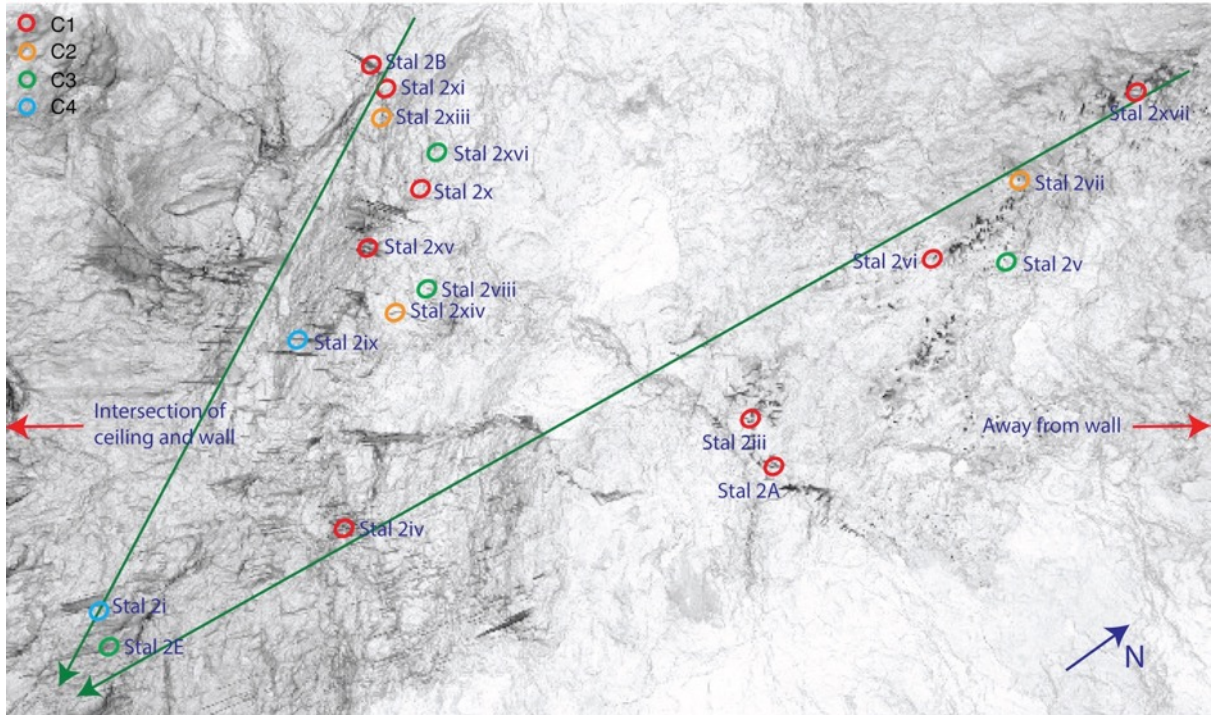
Site/Stalagmate	MDS Cluster Group	Average drip discharge (l/yr)	Flow type (LiDAR-based)
2A	1	9.4	Icicle
2B	1	17.1	Icicle
2E	3	140.3	Combined
2i	4	243.0	Fracture
2iii	1	4.2	Soda-straw
2iv	1	14.6	Icicle
2v	3	67.8	Combined
2vi	1	985.0	Fracture
2vii	2	25.0	Icicle
2viii	3	113.8	Combined
2ix	4	360.2	Fracture
2x	1	7.0	Icicle
2xi	1	0.6	Soda-straw
2xiii	2	26.2	Icicle
2xiv	2	42.8	Icicle
2xv	1	11.6	Icicle
2xvi	3	266.9	Fracture
2xvii	1	7.0	Icicle

336 One consistent feature that appears from the cluster analysis of Figure 5 is the spatial homogeneity of the  
337 clusters in Chamber 1, suggesting that they are spatially connected, or that their flow paths are connected to the  
338 same hydrological domain (the karst matrix), and supporting the overall dominant matrix flow patterns (both  
339 soda-straw and icicle). Chamber 2 presents a completely different situation, where it is obvious that drip sites  
340 can have similar behavior (well correlated together with a small lag), and be spatially distinct features, separated  
341 by spans of approximately 6 meters (Figure 5). In particular, clusters 3 and 4 are spatially scattered, representing  
342 the presence of fractures and combined flow systems throughout the chamber ceiling. This indicates an overall  
343 strong heterogeneity of the flow paths between the surface and the cave for Chamber 2. Hence, in Chamber 2 we  
344 expect flow paths to be more complex with routing between multiple stores and interconnected fracture  
345 networks potentially resulting in non-linear response to infiltration. This is supported by dripwater  $\delta^{18}\text{O}$  data for  
346 this chamber (Treble et al., 2013).

(a) Chamber 1 ceiling image



(b) Chamber 2 ceiling image



347  
348 Figure 5: Cluster group plot overlain upon the cave ceiling for both chambers. The ceiling images are captured  
349 by LiDAR and the circles represent the ceiling locations of stalactites dripping on various stalagmites in both  
350 chambers (shown in Figure 1). The colour of the circles indicates individual MDS cluster group. The blue  
351 arrows in both Figures show the geographic orientation and the green arrows represent the approximate transects  
352 throughout the chambers from higher to lower ceiling elevation.

## 353 5 Implications of the findings and future research

354 Starting with the time-series analysis, this research presents a methodology that can be applied globally for drip  
355 logger data. The results show that some data-integration is necessary to avoid artefacts from slow drip sites. For

356 sites where there is significant matrix flow, our study has demonstrated that the Smart and Friederich  
357 classification is not appropriate. Therefore, this study has presented alternative hydrological classification  
358 schemes that are suitable for cave sites that include matrix flow. The times series approach adopted in this study  
359 also opens the way for improved analysis and classification of hydrology time series in general i.e. tests for  
360 histogram, autocorrelation, cluster analysis, and all of these will certainly benefit our understanding of the  
361 hydrology of karst systems.

362 In this study, we also extend the analysis of drip time series to multiple sites, whereby we take advantage of the  
363 ensemble of loggers to extract common properties by clustering, which would not be possible with single site  
364 analysis. The results show that by considering multiple simultaneous time series, one can make better inferences  
365 about water flow and unsaturated zone properties. The main impact is to recommend the use of spatial networks  
366 of loggers over individual loggers. It should be noted that currently, most researchers deploy only a few loggers  
367 to understand the flow to individual sites. This study also proposes a possible methodology for the analysis of  
368 such datasets.

369 Regarding application of our findings, we believe that our methodology based on drip logger datasets can  
370 provide direct evidence of deep drainage, and therefore the timing of diffuse recharge, which could be used for  
371 basic model calibration. Spatial drip data (possibly combined with Lidar) is beneficial to infer flow types (e.g.  
372 the proportion of fracture vs matrix, etc.) which could be used for model configuration to produce realistic karst  
373 recharge (Hartmann et al., 2012), and hence large-scale groundwater estimation (Hartmann et al., 2015).  
374 Another potential application is the integration of flow types in groundwater models through inverse modelling.  
375 Such data could also be used to constrain water isotope model configurations used for forward modelling  
376 speleothem  $\delta^{18}\text{O}$  (Bradley et al., 2010; Treble et al., 2013). Overall, the findings of this work will definitely  
377 provide a better understanding of processes that control vadose zone flow and transport processes, which would  
378 ultimately help develop approaches to incorporate these processes into simulation models (Hartmann and Baker,  
379 2017).

380 The analysis, presented here and combined with the findings of our previous two papers (Mahmud et al., 2015a;  
381 Mahmud et al., 2016), provides valuable information for paleoclimatologists and geochemists wishing to sample  
382 stalagmites. While these studies have characterised Golgotha Cave, they could be applied to any other cave  
383 system. In our previous work, we have: 1) devised a classification for flow-type based on stalactite morphology  
384 (Mahmud et al., 2015a); 2) quantified the recharge response of each flow type to infiltration (Mahmud et al.,  
385 2016) and; 3) combined the findings of points 1-2 to estimate the total volume of cave discharge; 4) compared  
386 cave discharge with infiltration to estimate the total recharge volume and identify highly focused areas of  
387 recharge (Mahmud et al., 2016). The current study has further developed the spatial and temporal statistical  
388 relationships between the flow sites, permitting both quantification and visualisation of the hydrology between  
389 the ground surface and the cave ceiling. More generally, these studies illustrate the heterogeneity between flow  
390 sites and what causes this, as well as putting forth methods that can be applied to any cave system to better  
391 understand diffuse recharge and paleoclimate records from speleothems.

392 We further propose some ideas for future research that have evolved from this study:

- 393 a) Combining a drip logger network with a surface weather station and soil moisture network to constrain  
394 the water balance in hydrological models. Additionally, employing sap flow meters could allow  
395 constraining tree water use.
- 396 b) Combining the logger network, which constrains diffuse recharge, to boreholes measuring groundwater  
397 level to understand the relative importance of diffuse and river recharge.
- 398 c) Combining cave drip logger data with surface geophysics data to track water movement.

## 399 **6 Conclusion**

400 Cave drip water response to surface climatic conditions is often complex due to numerous interacting drip routes  
401 with varying response times (Baldini et al., 2006). This study explores the relationship between drip water and  
402 rainfall in a SW Australian karst, where both intra- and inter-annual hydrological variations are strongly  
403 controlled by seasonal variations in recharge. The multi-year drip response data capture the inter-annual drip  
404 water variability that are likely to be greater than intra-annual variability as suggested by Baker et al. (1997).  
405 Building on the studies of Mahmud et al. (2015a) and Mahmud et al. (2016), we further analyse a set of  
406 statistical properties of three hydrological years of drip data under varying precipitation rates. We test the  
407 relationship between drip discharge variability and drip data sampling frequency to determine the optimum  
408 sampling frequency that maximizes the capture of natural variability with minimum sampling artifacts. Using  
409 the daily optimum sampling frequency, the histogram distributions of various drip data time series illustrate the  
410 differences between the flow classifications. Most of the drip sites show persistent autocorrelation for at least a  
411 month. The hydrological behavior of the drips is examined with respect to mean discharge and the flow types  
412 similar to the classification method proposed by previous researchers (Smart and Friederich, 1987; Baldini et al.,  
413 2006; Baker et al., 1997). The drip sites at Golgotha Cave described in this study do not fit within the drip  
414 classification method proposed by Smart and Friederich (1987) and Baker et al. (1997). These previous studies  
415 were based on manual drip counts with limited number of intermittent drip sites. Here we overcome these  
416 limitations with automated drip monitoring system.

417 Finally, we apply a well-developed clustering method to determine the degree of similarity between drip time  
418 series. The clustering indicates one dominating group: C1 (characterized by matrix flow type) with very slow  
419 continuous drip discharge indicating matrix porosity in the thick limestone formation. This finding concurs with  
420 the observed cave chamber morphology and lithology. Moreover, the cluster analysis agrees with the flow  
421 classification of Mahmud et al. (2016) by grouping similar flow type in one single cluster. Overall this study  
422 establishes a novel way to find consistent characterization of cave hydrology, which can be obtained by  
423 performing together both methodologies of Mahmud et al. (2015a) and Jex et al. (2012). It relies on a metric that  
424 defines drip logger time series as similar if they are well correlated and only have a small offset with one  
425 another, and therefore these time series should cluster together. The MDS analysis supports this hypothesis and  
426 moreover, displays the spatial patterns of the flow paths between the surface and the cave chambers. This  
427 technique shows potential to classify, quantify and visualise the observed relationships between infiltration  
428 through the fractured limestone rocks and surface climate inputs.



429 Over the last decade, the automation of cave drip water hydrology measurements has permitted the routine  
430 generation of continuous hydrological time series for the first time. This study demonstrates a complete  
431 methodology for such datasets, which will help better characterize karst drip water hydrogeology and  
432 understand the relationship between drip hydrology and surface climate at any cave site where such  
433 measurements are made. We demonstrate that the analysis of the time series produced by cave drip loggers  
434 generates useful hydrogeological information that can be applied generally, beyond the example presented here.  
435 The time series behaviour integrates a variety of characteristics that combine the properties of the epikarst  
436 (storage), fracture configuration, and recharge. The clustering approach can identify which drip behaviour are  
437 related to these cave characteristics, and their spatial relationship. Most importantly, information on cave  
438 characteristics can now be gathered at a very low cost in terms of measurement and time.

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