

Dear Editor,

Please find attached the revised manuscript and author response to the reviewers' comments of the manuscript "Hydrological characterization of cave drip waters in a porous limestone: Golgotha Cave, Western Australia" by Mahmud et al. for your consideration. We believe we have addressed all questions raised by the second reviewer of the manuscript.

As requested, we have included a detailed response to reviewer's questions (below), indicating page and line numbers where the changes are made in the revised manuscript. A marked-up manuscript version is also provided showing all the modifications.

If you have any additional questions, we would be more than happy to address them.

With kind regards,

For the authors,  
Kashif Mahmud

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#### Comments to the Author

Interactive comment on "Hydrological characterization of cave drip waters in a porous limestone: Golgotha Cave, Western Australia" by Mahmud et al.

#### Reviewer(s) Comments:

Anonymous Referee #1

The authors did a pretty good job responding to my concerns and the concerns of the other referee. Therefore, I feel confident recommending the revised version of the manuscript for publication as is.

[We thank the reviewer for the positive feedback.](#)

#### Reviewer(s) Comments:

Anonymous Referee #2

I must admit, I haven't gone over the revision as thoroughly as in the first round. Generally the authors followed the main theme of changes that were required and elaborated on the new methods and results (to lesser extent) rather than the old work. Nevertheless, I still have some troubling issues that must be corrected before it could be published in HESS.

[We appreciate reviewer's comment and clarify the issues raised by the reviewer.](#)

I counted 26 references in the text to the single paper Mahmud et al., 2016. So as publishing the same figures was dealt with, the continuous citing of the same work again and again gives the feeling not much is added in this work. This number of citation of the previous study should be reduced significantly (by about 2/3), talk less about previous results.

We have now drastically reduced the references to previous work, which we agree was too heavily referenced. We only kept the references needed to clearly define which parts of the manuscript are novel or can be attributed to our previous publications.

There is a discrepancy in the coefficient of variation values between Figure 2 , Figure 4. I don't think you have coefficient of variation of  $10^4$ - $10^5$  like it looks in figure 2. In Figure 4 the highest is 100 (probably in % should be noted on axis title).

These were typos which are now corrected in Figure 2 and % is noted on axis title in Figure 5.

The drip data shown in histogram does not show any trend, and the 4 classes are generally distinct by discharge and the temporal statistics play a secondary role. The auto-correlation figure which was in the original manuscript opens the eye of the reader to some temporal statistics. The authors decided to move it to supplemental material, I think it should be in the main text of the manuscript as it is more informative than the MDS and clustering analysis.

The auto-correlation section is now moved to the main body of the manuscript (line 184-185 and section 4.3).

The authors give a wrong reference to Kurtzman et al., 2009. The following is the correct one:

Daniel Kurtzman, Joseph A. El Azzi, F. Jerry Lucia, Jerome Bellian, Christopher Zahm, Xavier Janson; Improving fractured carbonate-reservoir characterization with remote sensing of beds, fractures, and vugs. *Geosphere*; 5 (2): 126–139. doi: <https://doi.org/10.1130/GES00205.1>

This is now corrected (Section Reference).

1 **Hydrological characterization of cave drip waters in a porous limestone: Golgotha**  
2 **Cave, Western Australia**

3 Kashif Mahmud<sup>1</sup>, Gregoire Mariethoz<sup>2</sup>, Andy Baker<sup>3</sup>, Pauline C. Treble<sup>4</sup>

4 <sup>1</sup>Hawkesbury Institute for the Environment, Western Sydney University, Australia

5 <sup>2</sup>Institute of Earth Surface Dynamics, University of Lausanne, Switzerland

6 <sup>3</sup>Connected Waters Initiative Research Centre, UNSW Australia, NSW, Australia

7 <sup>4</sup>Australian Nuclear Science and Technology Organisation, Lucas Heights, NSW, Australia

8

9 *Correspondence to:* Kashif Mahmud (k.mahmud@westernsydney.edu.au)

10

11 **Abstract**

12 Cave drip water response to surface meteorological conditions is complex due to the heterogeneity of water  
13 movement in the karst unsaturated zone. Previous studies have focused on the monitoring of fractured rock  
14 limestones that have little or no primary porosity. In this study, we aim to further understand infiltration water  
15 hydrology in the Tamala Limestone of SW Australia, which is Quaternary aeolianite with primary porosity. We  
16 build on our previous studies of the Golgotha Cave system and utilize the existing spatial survey of 29  
17 automated cave drip loggers and a LiDAR-based flow classification scheme, conducted in the two main  
18 chambers of this cave. We find that a daily sampling frequency at our cave site optimizes the capture of drip  
19 variability with least possible sampling artifacts. With the optimum sampling frequency, most of the drip sites  
20 show persistent autocorrelation for at least a month, typically much longer, indicating ample storage of water  
21 feeding all stalactites investigated. Drip discharge histograms are highly variable, showing sometimes  
22 multimodal distributions. Histogram skewness is shown to relate to the wetter than average 2013 hydrological  
23 year and modality is affected by seasonality. The hydrological classification scheme with respect to mean  
24 discharge and the flow variation, can distinguish between groundwater flow types in limestones with primary  
25 porosity, and the technique could be used to characterize different karst flow paths when high-frequency  
26 automated drip logger data are available. We observe little difference in the coefficient of variation (COV)  
27 between flow classification types, probably reflecting the ample storage due to the dominance of primary  
28 porosity at this cave site. Moreover, we do not find any relationship between drip variability and discharge  
29 within similar flow type. Finally, a combination of multi-dimensional scaling (MDS) and clustering by k-means  
30 is used to classify similar drip types based on time series analysis. This clustering reveals four unique drip  
31 regimes which agree with previous flow type classification for this site. It highlights a spatial homogeneity in  
32 drip types in one cave chamber, and spatial heterogeneity in the other, which is in concordance with our  
33 understanding of cave chamber morphology and lithology.

34

35 **Keywords:** karst aquifers, drip loggers, infiltration, cave drip water

36 **1 Introduction**

37 Karst features in limestone are typically developed from the solutional dissolution of fractures and bedding  
38 planes in carbonate rocks (Arbel et al., 2010; Kurtzman et al., 2009). Worldwide, karst regions represent  
39 significant geographical areas with potentially high rates of infiltration through fractured and karstified  
40 carbonate rocks. The most usual recharge method in karstic aquifers is the faster infiltration through the deep  
41 karstic openings (Ford and Williams, 2007). Complex spatial spreading of various karst features such as  
42 solutionally widened fractures, caves and conduits, makes the monitoring and precise groundwater recharge  
43 modeling very difficult (Lange et al., 2003; Arbel et al., 2010). The upper part of karstified rock (the epikarst  
44 zone) has higher permeability than the underlying vadose zone (Klimchouk, 2004). Therefore, infiltration into  
45 the epikarst zone is faster compared to the drainage through it, and water is kept stored in this region. This  
46 stored water in the vadose zone seeps slowly and finally emerges inside caves as infiltrating drip waters  
47 (Williams, 1983).

48 Karstic features such as speleothems, commonly used to reconstruct paleo-environmental records, are formed  
49 due to calcite deposition from cave drip water. Therefore, the knowledge of drip water hydrology is critical to  
50 study the paleoclimatic records (Baldini et al., 2006). An early study using tipping bucket loggers formulated a  
51 relationship between maximum discharge and coefficient of variation of discharge to categorize cave discharges  
52 (Smart and Friederich, 1987), for a fractured-rock limestone system with a vertical range of approximately 140  
53 m (GB Cave, Mendip Hills, UK). They found that the drips close to the surface have extreme coefficient of  
54 variations, whereas the drips in depths have fairly constant flow rates over time, with a significant possibility of  
55 water storage in vadose zone fractures. Thus the stalagmite record resulting from slower drips may be more  
56 closely related to the karst hydrology rather than palaeoclimate (Baldini et al., 2006). This may also be a  
57 consequence of the developed connection between the surface and the cave. Quantitative analysis of such  
58 stalagmite drip data has, in the past, used manual observations of cave drips (e.g. Baker et al 1997). However,  
59 the recent development of automatic cave drip loggers (Collister and Matthey, 2008) has enabled the generation  
60 of high temporal resolution and continuous drip discharge time-series (e.g. (Jex et al., 2012; Cuthbert et al.,  
61 2014; Markowska et al., 2015; Mariethoz et al., 2012)), providing new opportunities for quantitative  
62 hydrological analysis.

63 Here we present monitoring data from Golgotha Cave located in SW Western Australia that has been  
64 extensively monitored since 2005, with the aim of better understanding karst drip water hydrogeology and the  
65 relationship between drip hydrology and surface climate. We build on the work of Mahmud et al. (2016), which  
66 presented the largest spatial and temporal survey of automated cave drip monitoring with matrix (primary)  
67 porosity published to date. This previous study consisted of data from two large chambers within this cave,  
68 measured in the period from August 2012 to March 2015, using a highly spatially (29 sites in two separate  
69 chambers) and temporally (0.001 Hz, 15 min intervals) resolved dataset. In a separate study, Mahmud et al.  
70 (2015) performed morphological analysis of karstic features, based on ground-based LiDAR data, to identify  
71 different flow processes in karstified limestone. Based on the findings of these two studies, here we investigate  
72 the relationship between drip water hydrology and cave depth, spatial location and stalactite type, and develop a  
73 hydrological classification scheme that is appropriate to high-frequency drip logger data and limestones with a

74 primary porosity. This classification scheme is also compared with previous studies (Smart and Friederich,  
75 1987; Baker et al., 1997) to examine the limitations of these previous schemes. These findings will also help  
76 better characterize and understand water movement in highly porous karst formations.

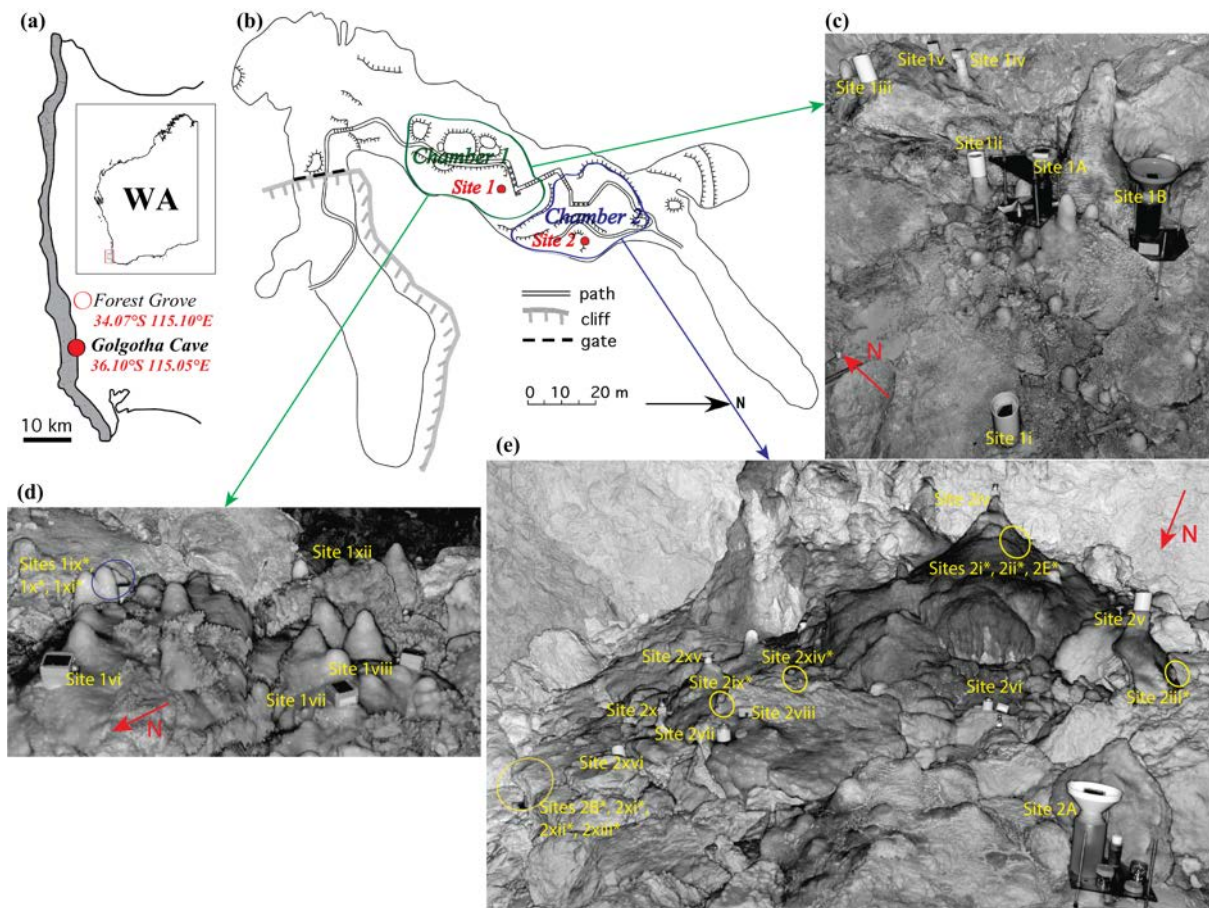
77 Finally, we use a combination of multi-dimensional scaling (MDS) and the popular K-Means algorithm for  
78 clustering similar drip characteristics. Time series clustering has been shown to be effective in providing useful  
79 information in various domains (Liao, 2005) and is implemented here to determine the degree of similarity  
80 between two drip time series. There seems to be an increased interest in time series clustering as part of the  
81 research effort in temporal data mining. The method we use here is suitable for large datasets, has been studied  
82 extensively in the past and achieves good results with minimum computational cost (Jex et al., 2012; Scheidt  
83 and Caers, 2009; Borg and Groenen, 1997).

## 84 **2 Site Description**

### 85 **2.1 Studied Cave**

86 The cave site has been explained in detail by Treble et al. (2013). Briefly, the field site, Golgotha Cave is 200 m  
87 in length and up to 25 m in width (Figure 1), is developed in Quaternary aeolianite, which consists of wind-  
88 blown calcareous sands that were deposited along the southwest coast of Australia (Brooke et al., 2014). Vadose  
89 zone water flow, and subsequent widening by ceiling collapse, formed the cave chambers. Treble et al. (2013)  
90 described the cave site as being developed in the Spearwood System of the Tamala Limestone and is mantled by  
91 a variable thick layer of sand formation having depths of between 0.3 m and 3 m. Diffuse (or matrix) flow is  
92 likely to be dominant in the Tamala Limestone formation due to its high matrix porosity as 0.3 – 0.5 (Smith et  
93 al., 2012). Karst in this region is also called “syngenetic” (Treble et al., 2013) that implies processes like  
94 preferential vertical dissolution and varying morphology of the subsurface caprock. These processes may  
95 establish vadose-zone preferential flow extending to the cave ceiling, with occasional rapid delivery of  
96 percolating waters deep into the calcarenite which end up seeping through to the cave ceiling. Therefore, this  
97 young limestone formation offers various opportunities for preferential flow into the hostrock and storage within  
98 it (Brooke et al., 2014). Golgotha Cave was chosen because (a) it is located in an intensively studied karst area  
99 (Treble et al., 2015; Treble et al., 2013; Treble et al., 2016), which has over ten years of manual and 3 years of  
100 automated drip water monitoring, (b) it contains actively growing speleothems, and (c) it is accessible year-  
101 round.

102 Based on previous studies at this site, we determined previously that Chamber 1 (Figure 1b, c and d) is mostly  
103 dominated by matrix flow representing water flowing down and seeping through the rock matrix, characterised  
104 by both icicle-shape and soda straw stalactites with slow drip rates of low variability. In contrast, Chamber 2  
105 (Figure 1b and e) is typically controlled by fracture and combined flow, with high drip rates that are shown to  
106 vary over time depending upon the mode of water delivery to the preferential flow system. In fracture flow,  
107 water moves along the fracture orientation, forming curtain-shape stalactites in the direction of highest  
108 fracturing. Finally, combined flow is defined as the combination of conduit, matrix and fracture flow, resulting  
109 in a circular pattern of stalactite formation.



110

111 Figure 1: a) Coastal belt of SWWA (South-West Western Australia). (b) Golgotha cave plan view displaying  
 112 both Chamber 1 (green marked area), which comprises Site 1, and Chamber 2 (blue marked area) containing  
 113 Site 2. Average limestone thickness from cave ceiling to ground surface over Site 1 and 2 are 32.33 m and 40.24  
 114 m respectively. LiDAR scans of drip sites on: (c) Chamber 1 north floor, (d) Chamber 1 south floor and (e)  
 115 Chamber 2 floor. The red arrows show the geographic orientation (c, d and e). \* indicates the sites where the  
 116 stalagmite loggers are not clearly visible in the LiDAR floor images as they are obscured by formations in front  
 117 of them, however the approximate locations are marked in yellow circles. Additional scans of cave ceiling and  
 118 photographs of underlying stalagmites are shown in Fig. 3 of Mahmud et al. (2016).

119 **2.2 Climate and Meteorology**

120 A comprehensive description of the climate at our study site has been presented in Treble et al. (2013). To  
 121 summarize, the site is a Mediterranean climate, associated with wet winters and dry summers. Annual rainfall  
 122 recorded at Forest Grove weather station (Figure 1a, 5 km away from the study site) is  $1136.8 \pm 184$  mm, among  
 123 which ~75% occurs between May and September, with an average daily maximum temperature variation from  
 124 16°C (in July) to 27°C (in February) (BoM, 2017). Typically, the peak rainfall begins in late autumn (May) and  
 125 the wet season continues until end of September with a median monthly rainfall of ~100 mm. Each hydrological  
 126 year is defined as April to March, as April has the lowest water budget (precipitation-evapotranspiration).

127 As reported in previous studies, all hydrological years have water deficit during the dry season (October to  
128 April) and significant infiltration during the wet period. Low evaporative conditions during winter should permit  
129 increased infiltration to the caves, enhancing the drip discharge response to winter rainfall. The hydrological  
130 year 2012 had roughly similar annual rainfall of 1008.6 mm to the long-term annual mean, whereas 2013 was  
131 rather wet (total rainfall of 1239.8 mm) and 2014 was a relatively dry year with a total rainfall of 943.8 mm.  
132 Recorded rainfall was significantly above average in the 2013 hydrological year for various weather stations in  
133 Western Australia (BoM, 2017). Therefore, our site had a wetter winter in 2013 with an estimated annual  
134 recharge of 858.67 mm which is very much above average (ten year mean annual recharge is 564 mm).

### 135 **2.3 Drip data acquisition and characteristics**

136 Data acquisition and pre-processing has been previously described in Mahmud et al. (2016) and is concisely  
137 summarized here. Stalagmate drip loggers ([www.driptych.com](http://www.driptych.com)) were set up in approximate transects throughout  
138 the two large chambers from higher to lower ceiling elevation in 34 locations and are currently being monitored  
139 since August 2012. Each chamber has contrasting discharge, dune facies and karst features of Golgotha Cave  
140 (Figure 1). Data loggers were set to record continuously at 15 minute intervals. The notation used for site  
141 identification follows the same style as described in previous studies, consisting of a numerical number  
142 (representing the chamber) and a letter/roman number (representing a drip site within the given chamber, with a  
143 letter indicating the sites having both manual and automatic drip counts and a roman number specifying the sites  
144 only having drip logger data). Based on previous studies of the site, 29 sites are considered in the time series  
145 analysis although short periods of poor quality data were omitted if they were associated with changes in the  
146 mean and variability at the time of fieldwork. This impacted sites 1A, 1B, 2A, 2B, 2E as the logger was  
147 temporarily placed aside every 6 weeks in order to sample water from a collection bottle underneath the logger.  
148 Time series gaps are filled with synthetic data based on the drip statistics and correlation between drip rates.

149 As previously reported, drip rates in Chamber 1 are generally very low (the fastest drip rate was 25 drips per 15  
150 mins) consistent with the predominance of matrix flow in this chamber. However, it is obvious that most drip  
151 loggers exhibit a clear response to the 2013 wet winter and also indicate the substantial inter-annual variation in  
152 discharge between three hydrological years. All Chamber 1 drip sites (except site 1x) show a gradual drip rate  
153 decrease during summer 2012 to winter 2013 due to below average rainfall in 2012. Then after displaying the  
154 sudden increase in all drip discharges that express the 2013 wet winter, the drip rates further reduce due to the  
155 dry 2014 hydrological year. This intra-annual variation is identified much greater than the inter-annual discharge  
156 variation of the drip sites, as previously observed in Baker et al. (1997). This suggests that high-resolution intra-  
157 annual drip rate data is helpful to obtain a complete picture of changing flow variability with recharge. The high  
158 resolution of the data sets includes precise characterization of the temporal behavior of an individual drip,  
159 illustrating the differences inherent to the drip sites.

160 In contrast, Chamber 2 drip rates present more variability between sites both in intra-annual and inter-annual  
161 discharges, except few very slow dripping sites. Of the Chamber 2 drips, the slow drip sites have the lowest  
162 coefficient of variations (COVs) and lowest discharges, indicative of matrix flow types. The timing of maximum  
163 drip rates is generally delayed in Chamber 2 versus Chamber 1: Chamber 1 drip rates typically peak in late

164 spring/early summer (Oct-Dec) while Chamber 2 drips tend to peak a few months later (Dec-May), reflecting a  
165 longer water residence time. This may be a function of the thicker ceiling above Chamber 2 (40.24 versus 32.33  
166 m) but also heterogeneity in flow paths to each chamber. Overall the drip response to the 2013 wet winter is  
167 amplified in Chamber 2 versus Chamber 1, consistent with the presence of greater fracture flow in Chamber 2.

168 By applying morphological analysis of ceiling features acquired by LiDAR data, Mahmud et al. (2015)  
169 distinguished three flow patterns (i.e. matrix flow, fracture flow, and a combination of conduit, fracture, and  
170 matrix flow) for the observed ceiling morphological features. All the drip sites were then characterized  
171 according to this flow classification in Mahmud et al. (2016), which is used here as a reference for clustering  
172 similar drip time series.

### 173 **3 Methods**

#### 174 **3.1 Hydrological classification of cave drips**

175 Research involving automated drip monitoring systems is increasing, for example at Cathedral Cave in  
176 Wellington (Cuthbert et al., 2014) and Harrie Wood Cave in the Snowy Mountains, Yarrangobilly (Markowska  
177 et al., 2015). The variability of the drip discharge might not only be a function of discharge itself, but could also  
178 depend on the sampling frequency. We investigate this possibility by plotting the COV versus sampling interval  
179 (the original 15 mins and calculated by resampling the data at 1 hour, 1 day, 1 week and 1 month). COV is  
180 supposed to be artificially high at the high frequency of 15 mins because of sampling bias that artificially  
181 increases the noise. The resampling at low frequencies is only a way of smoothing out this noise. Using the  
182 optimum sampling frequency to minimize its effect on drip variability, we plot drip rate histograms to identify  
183 the response of drips between the flow classifications and the response to intra and inter-annual variability in  
184 infiltration. We also plot the autocorrelation functions (ACFs) to investigate the relationship between the  
185 strength of correlation and the LiDAR-based flow type. Finally, we summarize the mean discharge of drip sites  
186 in relation to the variability in discharge using the optimum sampling frequency. These are the same drip  
187 discharge parameters as used in the classification method proposed by Friederich and Smart (1982), Fairchild et  
188 al. (2006) and Baker et al. (1997) that were based on manual drip collection at low frequency.

#### 189 **3.2 Clustering of similar drip time series**

190 We employed multi-dimensional scaling (MDS), which allows data dimensionality reduction i.e., mapping  
191 complex multidimensional data on a low-dimensional manifold. MDS is a technique that embeds a set of points  
192 in a low-dimensional space, so that the distances between the points resemble as closely as possible a given set  
193 of dissimilarities between the objects they represent (Birchfield and Subramanya, 2005). MDS requires a  
194 distance matrix to be computed, in which a single scalar number characterizes the similarity between any two  
195 time-series. In our case, each drip logger is an object and a specific distance between drip loggers is considered  
196 to characterize the similarity between any two loggers. It takes an input matrix giving dissimilarities between  
197 pairs of items and outputs a coordinate matrix whose configuration minimizes a loss function. MDS is also  
198 known as Principal Coordinates Analysis (PCoA). MDS operates on a distance or dissimilarity matrix (Pisani et  
199 al., 2016), which is different than principle-component analysis (PCA) that is based on a covariance matrix.



200 Even if PCA and MDS methods can return the same results in specific contexts, MDS can be considered more  
 201 general because it remains validity for non-euclidean distances, such as the distance matrix ( $d$ ) chosen in this  
 202 study. MDS is used to translate these distances into a configuration of points defined in an  $n$ -dimensional  
 203 Euclidean space (Cox and Cox, 1994). A MDS results in a set of points arranged so that their corresponding  
 204 Euclidean distances indicate the dissimilarities of the time series. According to Birchfield and Subramanya  
 205 (2005) the basic steps of performing the MDS algorithm are:

206 i) Construct the distance matrix  $\mathbf{D}$ : One key component in clustering is the function used to measure the  
 207 temporal similarity (or distance) between any two time-series being compared. To define an appropriate  
 208 measure of similarity between time series, we determine two factors: firstly, the offset ( $O$ ) to match two  
 209 time-series based on their maximum correlation, and secondly the complement of the correlation coefficient  
 210 ( $1-R$ ) between the time series (Jex et al. 2012). Initially, we compute the cross-correlation function, a  
 211 measure of similarity of two time-series as a function of the displacement of one relative to the other. The  
 212 cross-correlation function is an estimate of the covariance between two time-series,  $y_{1t}$  and  $y_{2t}$ , at lags  $k = 0,$   
 213  $\pm 1, \pm 2, \dots$ . The offset ( $O$ ) is defined as the lag time based on the maximum correlation between two time-  
 214 series. Next, we define  $R$  as the correlation coefficient with the time series being moved by the offset  
 215 amount  $O$  to have maximum correlation coefficient. Both  $O$  and  $R$  are calculated to all  $n(n-1)/2$  pairs of drip  
 216 data, where  $n$  is the number of drip data. Here, we use the original recorded drip counts in 15 mins interval.  
 217 The sampling bias discussed in section 3.1 is only affecting the drip variability, not the cluster analysis.  
 218 Moreover, high resolution (15 mins interval) data are more suited for the cluster analysis because it allows  
 219 better defining the cross-correlation between drips, as sometimes the offset of maximum correlation  $O$   
 220 might be less than a day. Finally, the distance matrix  $\mathbf{D}$  is computed for each pair of loggers using the  
 221 following equation (Jex et al. 2012):

$$\mathbf{D} = O(1 - R)$$

222 The distance matrix ( $\mathbf{D}$ ) is square, symmetric, and has dimension equal to the number of drip loggers.

224 ii) Compute the inner product matrix  $B = -\frac{1}{2}\mathbf{J}\mathbf{D}\mathbf{J}$ , where  $\mathbf{J} = \mathbf{I} - \frac{1}{n}\mathbf{1}\mathbf{1}^T$  is the double-centering matrix and  $\mathbf{1}$  is  
 225 a vector of ones.

226 iii) Decompose  $B$  as  $B = V\Lambda V^T$ , where  $\Lambda = \text{diag}(\lambda_1, \dots, \lambda_n)$ , the diagonal matrix of eigenvalues of  $B$ , and  $V =$   
 227  $[\mathbf{v}_1, \dots, \mathbf{v}_n]$ , the matrix of corresponding unit eigenvectors. Sort the eigenvalues in non-increasing order:  
 228  $\lambda_1 \geq \dots \geq \lambda_n \geq 0$ .

229 iv) Extract the first  $p$  eigenvalues  $\Lambda_p = \text{diag}(\lambda_1, \dots, \lambda_p)$  and corresponding eigenvectors  $V_p = [\mathbf{v}_1, \dots, \mathbf{v}_p]$ .

230 v) The corresponding Euclidean distances of the set of points, indicating the dissimilarities of the time series,  
 231 are now located in the  $n \times p$  matrix  $X = [\mathbf{x}_1, \dots, \mathbf{x}_p]^T = V_p \Lambda_p^{1/2}$ .

232 The k-Means clustering algorithm is then used to divide these points into  $k$  clusters, which corresponds to a  
233 categorization of the drip data time series. k-means clustering, or Lloyd's algorithm (Lloyd, 1982), is a method  
234 of vector quantization that is popular for cluster analysis in data mining. k-means clustering aims to partition  $n$   
235 observations into  $k$  clusters in which each observation belongs to the cluster with the nearest mean, serving as a  
236 prototype of the cluster. The algorithm proceeds as follows:

237 i) Choose  $k$  initial cluster centers (*centroid*): Here, we use  $k=4$  clusters as this was the number of flow  
238 categories identified in previous work at this site.

239 ii) Compute point-to-cluster-centroid distances of all observations to each centroid. There are two steps  
240 to follow: first assign each observation to the cluster with the closest centroid. Then individually assign  
241 observations to a different centroid if the reassignment decreases the sum of the within-cluster, sum-of-  
242 squares point-to-cluster-centroid distances.

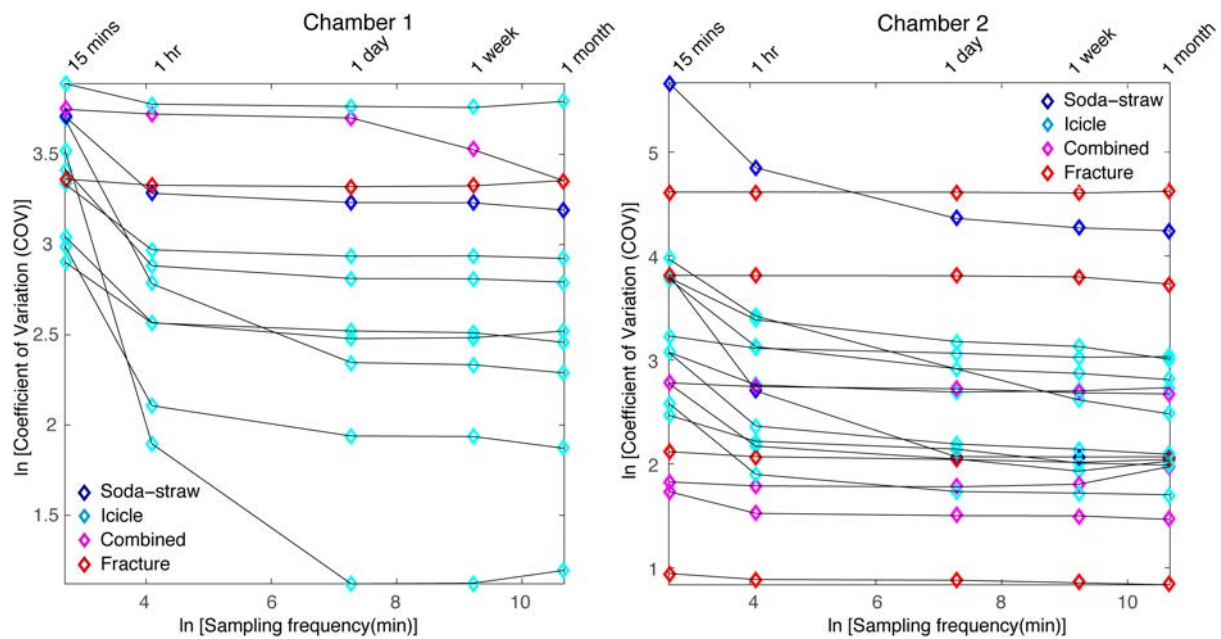
243 iii) Compute the average of the observations in each cluster to obtain  $k$  new centroid locations.

244 iv) Repeat steps 2 and 3 until cluster assignments do not change, or the maximum number of iterations  
245 is reached.

## 246 **4 Results and Discussion**

### 247 **4.1 Determining the relationship between sampling frequency and drip discharge COV**

248 We test the variability of drip discharge COV with the sampling frequency in Figure 2, to find the optimum  
249 sampling frequency that minimizes sampling artifacts while maximizing the capture of natural variability. For  
250 high discharge, COV increases with sampling frequency, which we explain by the smaller sampling interval  
251 better capturing the actual drip variability. For low discharges, COV also increases with sampling frequency,  
252 which we explain by the variability introduced due to drip rates being less than the sampling frequency. From  
253 the data presented in Figure 2, we can conclude that for both chambers and to compare all different types of  
254 flow, a sampling frequency of 1 day gives the minimum COV, which does not change significantly with a finer  
255 sampling frequency. Therefore, we use a sampling frequency of 1 day that minimizes sampling artifacts while  
256 maximizing the capture of natural variability. For Golgotha Cave, this would be to sum the 15 minutes drip rates  
257 over a 1-day period. This optimized sampling frequency is used to plot the histograms (section 4.2), ACFs  
258 (supplementary section S1) and examine the drip discharge behavior with drip variability for various flow types  
259 (section 4.3).

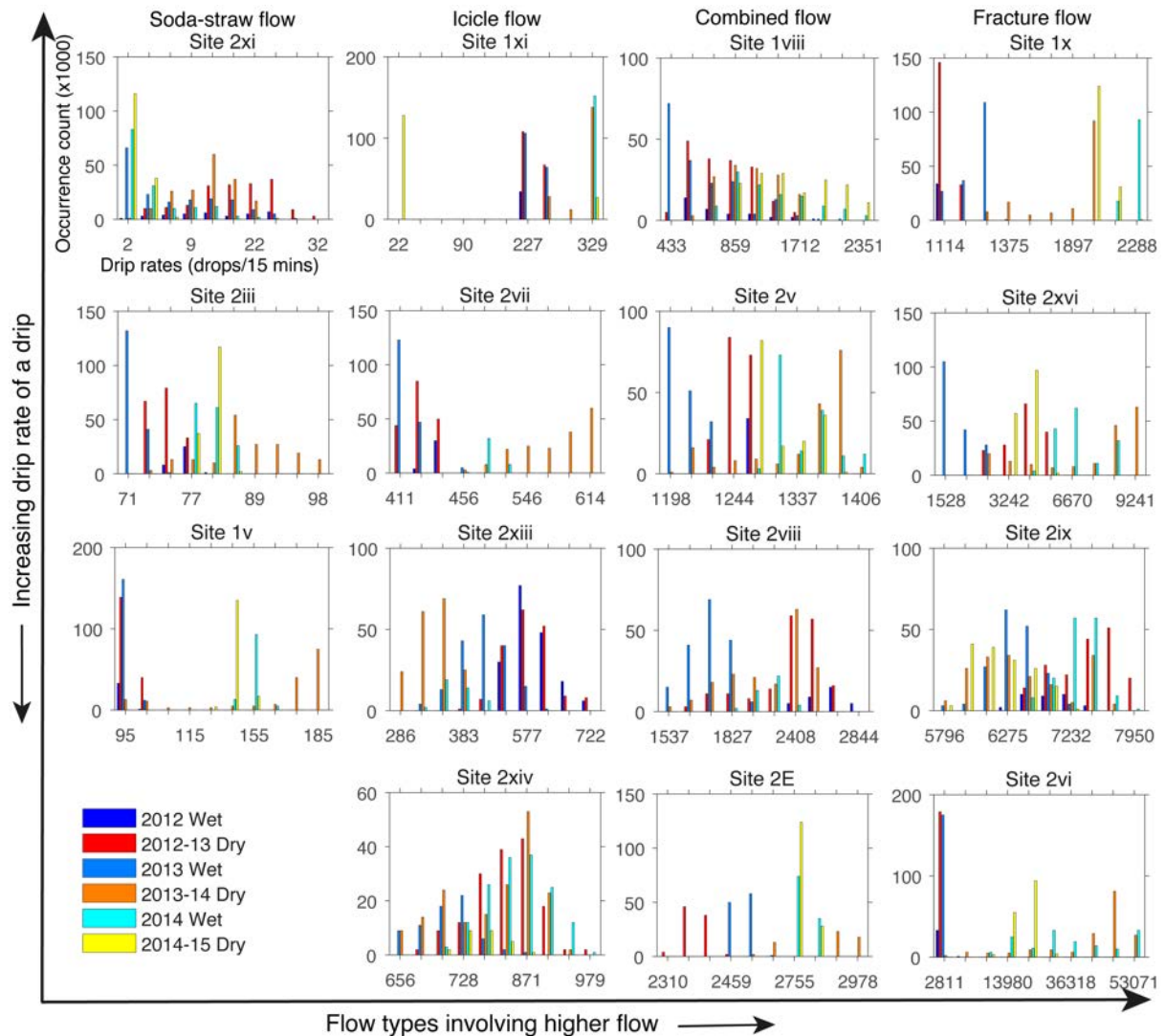


260

261 Figure 2: Optimum sampling frequency that minimizes sampling artifacts while maximizing the capture of  
 262 natural variability.

263 **4.2 Drip rate frequency distributions**

264 Figure 3 shows the drip rate histograms for representative drip sites and different flow categories with optimum  
 265 sampling frequency of 1-day. Drip sites are organized from lowest to highest discharge in each flow  
 266 classification. Slow dripping soda-straw flows (e.g. sites 2xi, 2iii and 1v) show variation of drips with  
 267 seasonality and the response to wetter recharge period with an approximate six-month lag, which suggests the  
 268 drip water is supplied from storage in the limestone formation. Among these, site 1v displays the response to  
 269 recharge in much shorter duration, the 6 months following 2013 recharge and then a shift to lower flow rates  
 270 which may represent flow poaching. The histograms for icicle and combined flow systems represent unimodal  
 271 skewed to bimodal distributions, indicating the shift to higher drip rates in response to the wetter 2013  
 272 hydrological year (except site 2xiii, which shows a shift to lower drip rates). The rest of the fracture sites show  
 273 bimodal or multimodal distributions. With the limited temporal scale of the analysis, it seems that the  
 274 histograms with skewed distributions represent the consequences of wetter 2013 hydrological year. These  
 275 skewed distributions seem to have higher drip rate response to the drier 2014-15 period rather than the earlier  
 276 normal/wetter years. This clearly denotes potential refilling of storage within the system during the 2013 wet  
 277 winter, and later supplying drip water in 2014-15 seasons. In contrast, the bimodal distribution of site 2viii  
 278 indicates the drip response to the annual cycle of wet and dry seasons of each hydrological year with an  
 279 approximate six-month lag. Several bimodal (e.g. site 1x) and multimodal (e.g. sites 2xvi, 2vi) distributions,  
 280 characterize as fracture flow, also distinguishes the dry period of 2012 - 2013 (having low drip rates) from the  
 281 later period of 2013 wet winter (with high drip rates).



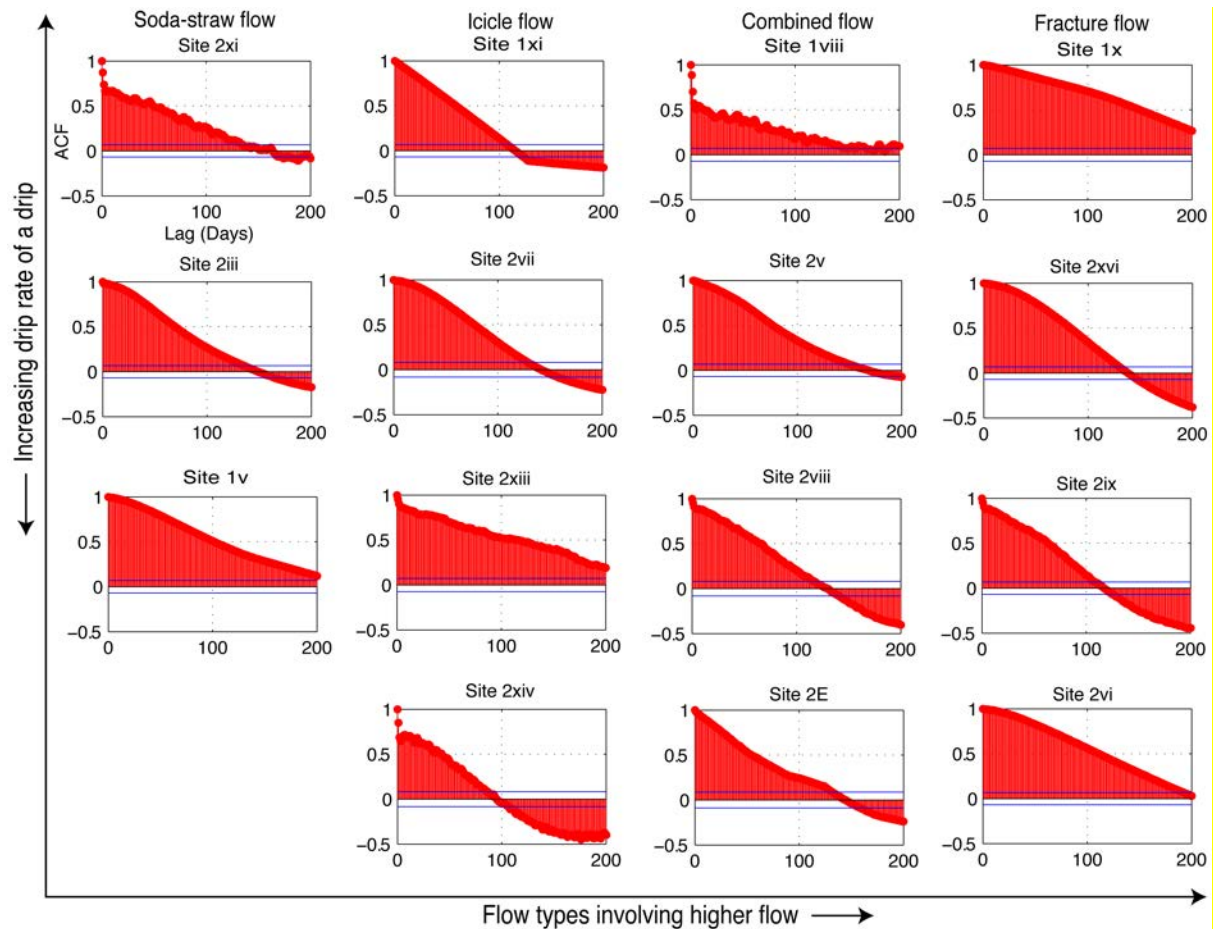
282

283 Figure 3: Histogram plots of both chambers drip data according to four flow types identified in Mahmud et al.  
 284 (2016). Each histogram represents the frequencies of the drip counts per day (The axes labels are shown in the  
 285 first histogram). Bin size is uniform for all plots and the external tick marks in x-axes delineates the bin  
 286 intervals. The legend shows all the seasons over the monitoring period (blue to cyan for wet seasons: April to  
 287 September and red to yellow for dry seasons: October to March, with the color gradually shifting for different  
 288 years). The 2012 wet season experienced similar rainfall to the long-term annual mean, whereas 2013 was rather  
 289 wet and 2014 was a relatively dry year. Histogram data for all sites appear in Supplementary Figure S1.

290 **4.3 Autocorrelation functions (ACFs)**

291 We investigate the use of ACFs to analyze drip behavior using the optimum sampling frequency of 1-day and  
 292 until lags of 365 days. We do not find significant yearly autocorrelation with this limited 3 years of data. In  
 293 some drips, a negative correlation occurred, but it is very insignificant and no physical process can explain a  
 294 negative yearly correlation. Therefore, we plot ACFs in Figure 4 for different flow categories with the optimum  
 295 sampling frequency of 1-day and lag time of 200 days. All sites have an autocorrelation that persists for at least  
 296 a month, and often much longer. However, there is no relationship between the strength or the temporal decay of

297 the correlation and the LiDAR-based flow classification. This indicates the presence of ample storage in the  
 298 system, supplying all stalactite types.

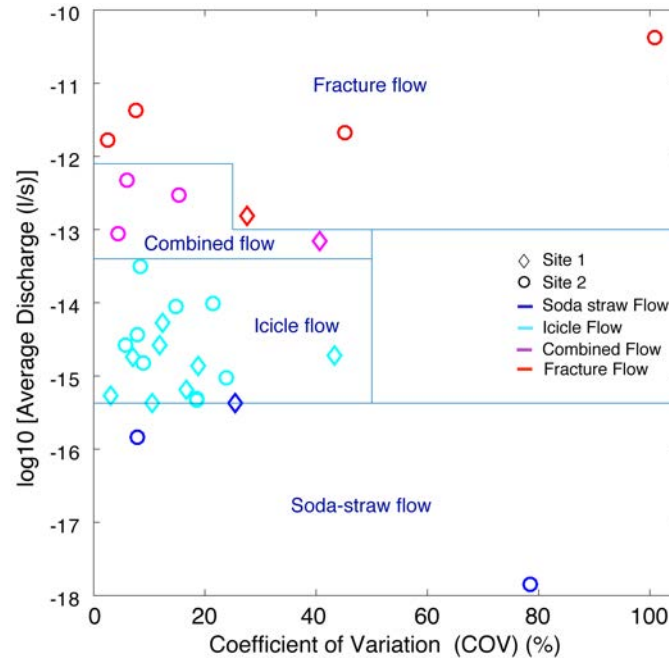


299  
 300 Figure 4: Autocorrelation functions of both chambers drip data according to flow classification of Mahmud et al.  
 301 (2016). X- and Y-axis of individual plots represents the lag (in days) and ACF respectively (The axes labels are  
 302 shown in the first ACF plot). ACFs for all sites appear in Supplementary Figure S2.

#### 303 4.4 Hydrological classification of cave drips

304 We examine the hydrological behavior of the drips at daily resolution with respect to mean discharge and flow  
 305 variation in Figure 5. It is clear from Figure 5 that there is no relationship between COV and flow-type. One  
 306 soda-straw discharge (site 2xi) has a seasonal dryness, a very low discharge, and a very high coefficient of  
 307 variation due to its irregular dripping. Otherwise, nearly all soda-straw flow, icicle flow, combined flow and  
 308 fracture flow drips have COV <60%, with the exception of one fracture flow site showing the highest COV  
 309 (Figure 5). But in general, there is little difference in the COV between classification types, probably reflecting  
 310 the ample storage (supplementary section S1) due to the dominance of primary porosity at this cave. We do not  
 311 clearly observe increasing variability with decreasing discharge within similar flow type, in contrast to other  
 312 studies from older, fractured rock limestones (Smart and Friederich, 1987; Baldini et al., 2006; Baker et al.,  
 313 1997). This shows that Golgotha Cave drip sites do not fit within the drip classification method proposed by

314 Smart and Friederich (1987) and Baker et al. (1997), which were based on manual drip counts with limited  
 315 number of intermittent drip sites. Moreover, we utilize drip data from a cave with primary porosity, capturing  
 316 the full range of flow types from matrix through to fracture, whereas the previous classifications only captured  
 317 slow vs fast drips that were likely dominated by fracture flow paths given the host rock setting.



318  
 319 Figure 5: Hydrological behaviour of drip sites expressed in terms of daily mean discharge versus daily discharge  
 320 variability calculated from the automatic drip rate data for three hydrological years. Measured drip rates are  
 321 converted to volume units assuming a drip volume of 0.1433 ml (Genty and Deflandre, 1998). Blue lines and  
 322 symbols reflect flow classification given in Mahmud et al. (2015).

#### 323 4.5 Clustering of similar drip time series

324 The clustering results are overlain upon the chamber ceiling images in Figure 6 and also summarized in Tables 1  
 325 and 2 with the average drip discharges and flow type classification based on LiDAR. Average drip discharges  
 326 are calculated from the 15-minute drip rates. As mentioned above, drip logger time series are deemed similar if  
 327 they are well correlated and only have a small offset with each other, and so these time series should cluster  
 328 together. Most of the drip sites that are identified as matrix flow (soda-straw and icicle flow) cluster together in  
 329 C1. However, three of the icicle flow sites with drip rate greater than 4 per 15 minutes fall in C2. The combined  
 330 flow category and the fracture type usually cluster in C3 and C4 respectively. Therefore we observe that our  
 331 clustering generally agrees with the morphology-based flow classification of Mahmud et al. (2016). Few of the  
 332 flow classes show exceptions, for example site 2vi is a fracture type flow and cluster in C1. This site has really  
 333 high discharge with high variability, showing irregular drip rate.

334 One consistent feature that appears from the cluster analysis of Figure 6 is the spatial homogeneity of the  
 335 clusters in Chamber 1, suggesting that they are spatially connected, or that their flow paths are connected to the  
 336 same hydrological domain (the karst matrix), and supporting the overall dominant matrix flow patterns (both

337 soda-straw and icicle). Chamber 2 presents a completely different situation, where it is obvious that drip sites  
 338 can have similar behavior (well correlated together with a small lag), and be spatially distinct features, separated  
 339 by spans of approximately 6 meters (Figure 6). In particular, clusters 3 and 4 are spatially scattered, representing  
 340 the presence of fractures and combined flow systems throughout the chamber ceiling. This indicates an overall  
 341 strong heterogeneity of the flow paths between the surface and the cave for Chamber 2. Hence, in Chamber 2 we  
 342 expect flow paths to be more complex with routing between multiple stores and interconnected fracture  
 343 networks potentially resulting in non-linear response to infiltration. This is supported by dripwater  $\delta^{18}\text{O}$  data for  
 344 this chamber (Treble et al., 2013).

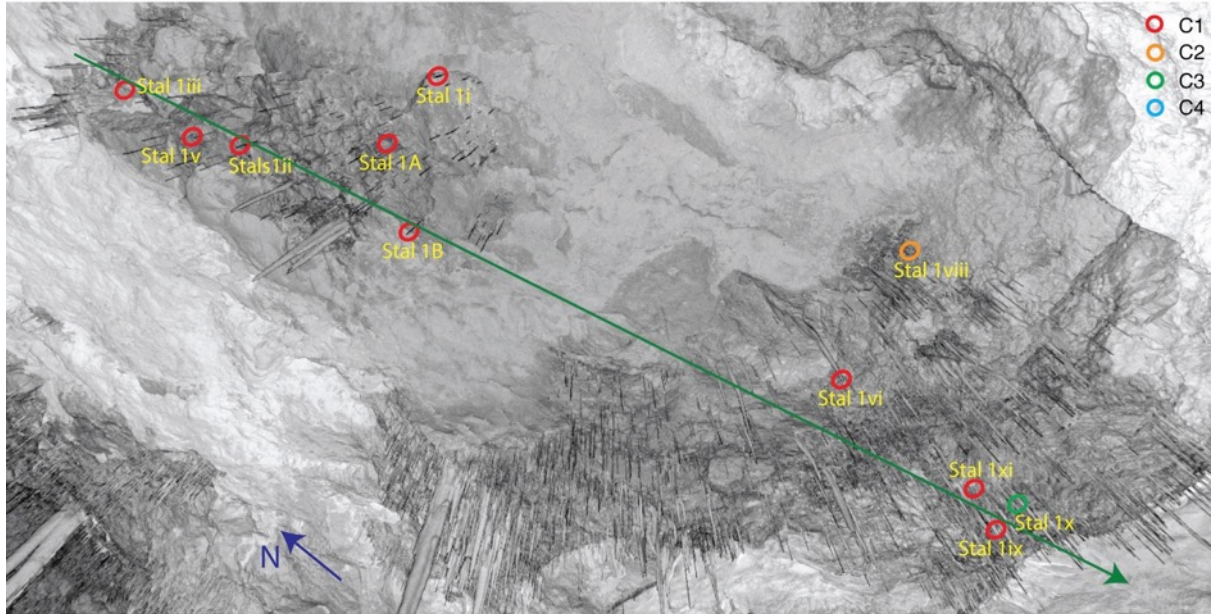
345 Table 1: MDS cluster groups with statistical properties of Chamber 1 drip data.

Site/Stalagmate	MDS Cluster Group	Average drip discharge (l/yr)	Flow type (LiDAR-based)
1A	1	19.8	Icicle
1B	1	12.6	Icicle
1i	1	6.6	Icicle
1ii	1	11.2	Icicle
1iii	1	8.1	Icicle
1v	1	6.7	Soda-straw
1vi	1	7.4	Icicle
1viii	2	60.9	Combined
1ix	1	14.8	Icicle
1x	3	86.2	Fracture
1xi	1	12.7	Icicle

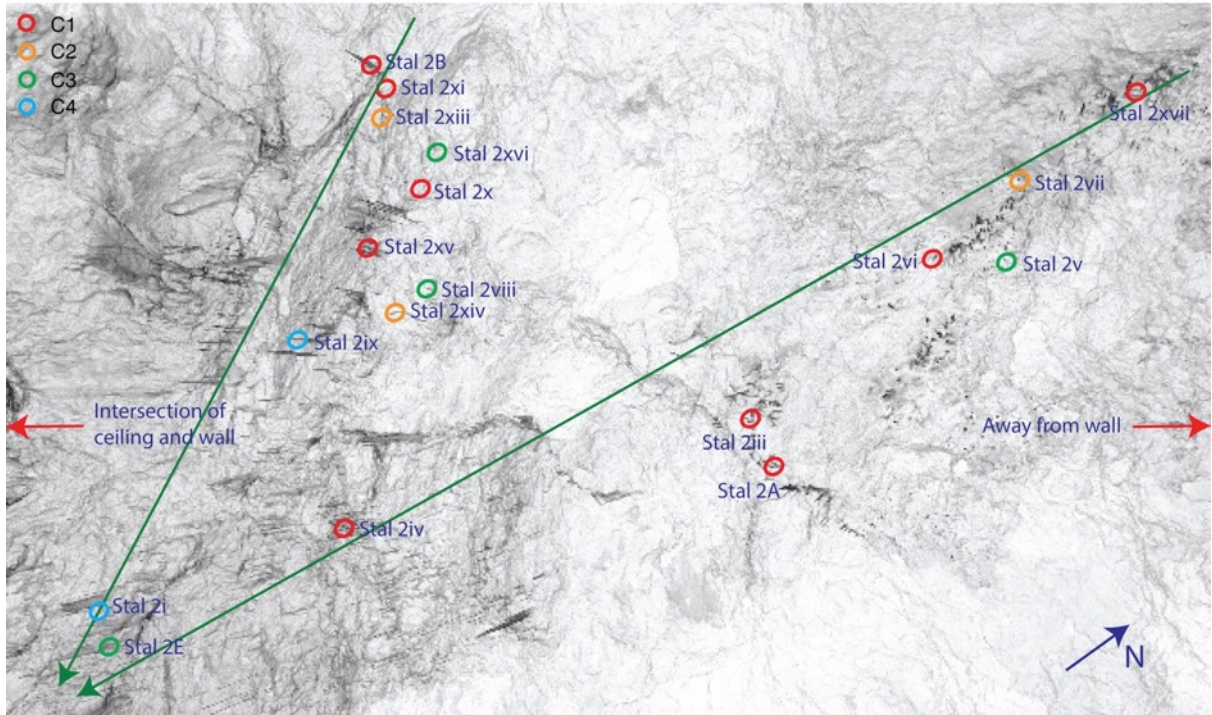
346 Table 2: MDS cluster groups with statistical properties of Chamber 2 drip data

Site/Stalagmate	MDS Cluster Group	Average drip discharge (l/yr)	Flow type (LiDAR-based)
2A	1	9.4	Icicle
2B	1	17.1	Icicle
2E	3	140.3	Combined
2i	4	243.0	Fracture
2iii	1	4.2	Soda-straw
2iv	1	14.6	Icicle
2v	3	67.8	Combined
2vi	1	985.0	Fracture
2vii	2	25.0	Icicle
2viii	3	113.8	Combined
2ix	4	360.2	Fracture
2x	1	7.0	Icicle
2xi	1	0.6	Soda-straw
2xiii	2	26.2	Icicle
2xiv	2	42.8	Icicle
2xv	1	11.6	Icicle
2xvi	3	266.9	Fracture
2xvii	1	7.0	Icicle

(a) Chamber 1 ceiling image



(b) Chamber 2 ceiling image



347  
348 Figure 6: Cluster group plot overlain upon the cave ceiling for both chambers. The ceiling images are captured  
349 by LiDAR and the circles represent the ceiling locations of stalactites dripping on various stalagmites in both  
350 chambers (shown in Figure 1). The colour of the circles indicates individual MDS cluster group. The blue  
351 arrows in both Figures show the geographic orientation and the green arrows represent the approximate transects  
352 throughout the chambers from higher to lower ceiling elevation.

## 353 5 Implications of the findings and future research

354 Starting with the time-series analysis, this research presents a methodology that can be applied globally for drip  
355 logger data. The results show that some data-integration is necessary to avoid artefacts from slow drip sites. For



356 sites where there is significant matrix flow, our study has demonstrated that the Smart and Friederich  
357 classification is not appropriate. Therefore, this study has presented alternative hydrological classification  
358 schemes that are suitable for cave sites that include matrix flow. The times series approach adopted in this study  
359 also opens the way for improved analysis and classification of hydrology time series in general i.e. tests for  
360 histogram, autocorrelation, cluster analysis, and all of these will certainly benefit our understanding of the  
361 hydrology of karst systems.

362 In this study, we also extend the analysis of drip time series to multiple sites, whereby we take advantage of the  
363 ensemble of loggers to extract common properties by clustering, which would not be possible with single site  
364 analysis. The results show that by considering multiple simultaneous time series, one can make better inferences  
365 about water flow and unsaturated zone properties. The main impact is to recommend the use of spatial networks  
366 of loggers over individual loggers. It should be noted that currently, most researchers deploy only a few loggers  
367 to understand the flow to individual sites. This study also proposes a possible methodology for the analysis of  
368 such datasets.

369 Regarding application of our findings, we believe that our methodology based on drip logger datasets can  
370 provide direct evidence of deep drainage, and therefore the timing of diffuse recharge, which could be used for  
371 basic model calibration. Spatial drip data (possibly combined with Lidar) is beneficial to infer flow types (e.g.  
372 the proportion of fracture vs matrix, etc.) which could be used for model configuration to produce realistic karst  
373 recharge (Hartmann et al., 2012), and hence large-scale groundwater estimation (Hartmann et al., 2015).  
374 Another potential application is the integration of flow types in groundwater models through inverse modelling.  
375 Such data could also be used to constrain water isotope model configurations used for forward modelling  
376 speleothem  $\delta^{18}\text{O}$  (Bradley et al., 2010; Treble et al., 2013). Overall, the findings of this work will definitely  
377 provide a better understanding of processes that control vadose zone flow and transport processes, which would  
378 ultimately help develop approaches to incorporate these processes into simulation models (Hartmann and Baker,  
379 2017).

380 The analysis, presented here and combined with the findings of previous work at this site, provides valuable  
381 information for paleoclimatologists and geochemists wishing to sample stalagmites. While these studies have  
382 characterised Golgotha Cave, they could be applied to any other cave system. In our previous work, we have: 1)  
383 devised a classification for flow-type based on stalactite morphology; 2) quantified the recharge response of  
384 each flow type to infiltration and; 3) combined the findings of points 1-2 to estimate the total volume of cave  
385 discharge; 4) compared cave discharge with infiltration to estimate the total recharge volume and identify highly  
386 focused areas of recharge. The current study has further developed the spatial and temporal statistical  
387 relationships between the flow sites, allowing both quantification and visualisation of the hydrology between the  
388 ground surface and the cave ceiling. More generally, these studies illustrate the heterogeneity between flow sites  
389 and demonstrate methods that can be applied to any cave system for studying diffuse recharge and paleoclimate  
390 records from speleothems.

391 We further propose some ideas for future research that have evolved from this study:

- 392 a) Combining a drip logger network with a surface weather station and soil moisture network to constrain  
393 the water balance in hydrological models. Additionally, employing sap flow meters could allow  
394 constraining tree water use.
- 395 b) Combining the logger network, which constrains diffuse recharge, to boreholes measuring groundwater  
396 level to understand the relative importance of diffuse and river recharge.
- 397 c) Combining cave drip logger data with surface geophysics data to track water movement.

## 398 **6 Conclusion**

399 Cave drip water response to surface climatic conditions is often complex due to numerous interacting drip routes  
400 with varying response times (Baldini et al., 2006). This study explores the relationship between drip water and  
401 rainfall in a SW Australian karst, where both intra- and inter-annual hydrological variations are strongly  
402 controlled by seasonal variations in recharge. The multi-year drip response data capture the inter-annual drip  
403 water variability that are likely to be greater than intra-annual variability as suggested by Baker et al. (1997).  
404 Building on previous work, we further analyse a set of statistical properties of three hydrological years of drip  
405 data under varying precipitation rates. We test the relationship between drip discharge variability and drip data  
406 sampling frequency to determine the optimum sampling frequency that maximizes the capture of natural  
407 variability with minimum sampling artifacts. Using the daily optimum sampling frequency, the histogram  
408 distributions of various drip data time series illustrate the differences between the flow classifications. Most of  
409 the drip sites show persistent autocorrelation for at least a month. The hydrological behavior of the drips is  
410 examined with respect to mean discharge and the flow types similar to the classification method proposed by  
411 previous researchers (Smart and Friederich, 1987; Baldini et al., 2006; Baker et al., 1997). The drip sites at  
412 Golgotha Cave described in this study do not fit within the drip classification method proposed by Smart and  
413 Friederich (1987) and Baker et al. (1997). These previous studies were based on manual drip counts with limited  
414 number of intermittent drip sites. Here we overcome these limitations with automated drip monitoring system.

415 Finally, we apply a well-developed clustering method to determine the degree of similarity between drip time  
416 series. The clustering indicates one dominating group: C1 (characterized by matrix flow type) with very slow  
417 continuous drip discharge indicating matrix porosity in the thick limestone formation. This finding concurs with  
418 the observed cave chamber morphology and lithology. Moreover, the cluster analysis agrees with the flow  
419 classification of Mahmud et al. (2016) by grouping similar flow type in one single cluster. Overall this study  
420 establishes a novel way to characterize cave hydrology, which can be obtained by performing together both  
421 methodologies of Mahmud et al. (2015) and Jex et al. (2012). It relies on a metric that defines drip logger time  
422 series as similar if they are well correlated and only have a small offset with one another, and therefore these  
423 time series should cluster together. The MDS analysis supports this hypothesis and moreover, displays the  
424 spatial patterns of the flow paths between the surface and the cave chambers. This technique shows potential to  
425 classify, quantify and visualise the observed relationships between infiltration through the fractured limestone  
426 rocks and surface climate inputs.

427 Over the last decade, the automation of cave drip water hydrology measurements has permitted the routine  
428 generation of continuous hydrological time series for the first time. This study demonstrates a complete  
429 methodology for such datasets, which will help better characterize karst drip water hydrogeology and  
430 understand the relationship between drip hydrology and surface climate at any cave site where such  
431 measurements are made. We demonstrate that the analysis of the time series produced by cave drip loggers  
432 generates useful hydrogeological information that can be applied generally, beyond the example presented here.  
433 The time series behaviour integrates a variety of characteristics that combine the properties of the epikarst  
434 (storage), fracture configuration, and recharge. The clustering approach can identify which drip behaviour are  
435 related to these cave characteristics, and their spatial relationship. Most importantly, information on cave  
436 characteristics can now be gathered at a very low cost in terms of measurement and time.

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