

# 1 **Characterizing the spatial variations and correlations of** 2 **large rainstorms for landslide study**

3  
4 **L. Gao<sup>1</sup>, L. M. Zhang<sup>1</sup> and M. Q. Lu<sup>1</sup>**

5 [1] {Department of Civil and Environmental Engineering, The Hong Kong University of  
6 Science and Technology, Clear Water Bay, Hong Kong}

7 *Correspondence to:* L. M. Zhang (cezhangl@ust.hk)

## 8 9 **Abstract**

10 Rainfall is the primary trigger of landslides in Hong Kong; hence rainstorm spatial distribution  
11 is an important piece of information in landslide hazard analysis. The primary objective of this  
12 paper is to quantify spatial correlation characteristics of three landslide-triggering large storms  
13 in Hong Kong. The spatial maximum rolling rainfall is represented by a rotated ellipsoid trend  
14 surface and a random field of residuals. The maximum rolling 4-h, 12-h, 24-h and 36-h rainfall  
15 amounts of these storms are assessed via surface trend fitting, and the spatial correlation of the  
16 detrended residuals is determined through studying the scales of fluctuation along eight  
17 directions. The principal directions of the surface trend are between 19° and 43°, and the major  
18 and minor axis lengths are 83-386 km and 55-79 km, respectively. The scales of fluctuation of  
19 the residuals are found between 5 km and 30 km. The spatial distribution parameters for the  
20 three large rainstorms are found to be similar to those for four ordinary rainfall events. The  
21 proposed rainfall spatial distribution model and parameters help define the impact area, rainfall  
22 intensity and local topographic effects for landslide hazard evaluation in the future.

## 23 **1 Introduction**

24 Severe rainstorms are one of the most dangerous meteorological phenomena which pose risks  
25 to human lives and properties. A large rainstorm may cause serious damage to infrastructures  
26 and public safety. For instance, a large storm hit Lantau Island, Hong Kong, on 5-7 June 2008  
27 and caused about 2,400 natural terrain landslides and 622 flooding spots (CEDD, 2009).  
28 Historical records show that the spatial rainstorm variation and the potential for triggering  
29 landslides are closely correlated. The Geotechnical Engineering Office (GEO) maintains a  
30 Natural Terrain Landslide Inventory (NTLI) (King, 1999; Maunsell-Fugro Joint Venture,  
31 2007), which has records of 19,763 natural terrain landslides and debris flows up to 2013 and  
32 89,571 relict natural terrain landslides. The data of natural terrain landslides that occurred on  
33 5-7 June 2008 are extracted and the distributions of the landslide volume and the maximum  
34 24-h rolling rainfall are plotted in Fig. 1. There is a close correspondence between the observed  
35 landslide volume and the maximum 24-h rolling rainfall in space. Characterizing the spatial  
36 characteristics of storms is therefore essential for assessing rain-induced landslide hazards.

37 Numerical analyses have also been conducted to establish the relation between rainfall  
38 characteristics and landslides (e.g. Gao et al., 2015; Gao et al., 2016). Geotechnical and  
39 environmental factors, such as slope gradient, rock/soil formations, groundwater conditions,  
40 vegetation, and presence of civil infrastructure, are believed to ultimately affect the triggering  
41 of landslides in addition to rainfall intensity. The main factors that affect triggering of natural  
42 terrain landslides are summarised in Fig. 2.

43 In hazards mitigation and engineering design, certain ‘design storms’ must be considered  
44 and the engineering system should be sufficiently safe under such design storms (Gao et al.,  
45 2015). A design storm is often defined by a hyetograph (time distribution) and an isohyet  
46 (spatial distribution). For a particular region where the spatial rainfall variation is significant, a  
47 uniform representation of the spatial distribution is not reasonable since a storm has a centre

48 and influences a limited area (AECOM and Lin, 2015). Instead, relevant spatial variation  
49 factors of rainfall must be characterized, such as the geometry of spatial form (agglomerate and  
50 local gradient) and the spatial correlation.

51 A storm is difficult to model due to its intermittence (i.e. no rainfall at a particular position  
52 during a particular short period) and strong spatial and temporal heterogeneity (e.g.,  
53 Barancourt et al., 1992; Bacchi and Kottegoda, 1995; Mascaro, 2013). However, the rainfall  
54 amount, which is in form of regionalized variables, is spatially correlated over a certain  
55 distance (Panthou et al., 2014; de Luca, 2014). A regionalized variable is any variable  
56 distributed in space. Random field theory is recognized as a suitable theory for describing  
57 regionalized variables (Vanmarcke, 1977) and has been proven effective for the regionalized  
58 variables (e.g., Dasaka and Zhang, 2012; Li et al., 2015). The random field theory has also been  
59 used in spatial storm analysis (e.g., Rodríguez-Iturbe, 1984; Bouvier, 2003), and adopted to  
60 describe storm spatial structures (e.g., Zawadzki, 1973; Lebel et al., 1987; Gyasi-Agyei and  
61 Pegram, 2014).

62 Research on spatial rainfall distribution using statistical models has been performed in  
63 Hong Kong for different engineering purposes (Leung and Law, 2002; Jiang and Tung, 2014;  
64 AECOM and Lin, 2015). Leung and Law (2002) conducted kriging analysis on Hong Kong  
65 hourly rainfall data in 1997 and 1998. Rainfall contours were interpolated to qualitatively  
66 estimate possible flooding locations. Jiang and Tung (2014) derived rainfall  
67 depth-duration-frequency relations at ungauged sites in Hong Kong using an ordinary kriging  
68 approach based on annual maximum daily rainfall data. The extreme rainfall estimates are  
69 sensitive to assumed statistical parameters and uncertainties of the interpolation method.

70 The storm characteristics such as distribution form and spatial correlation are not  
71 sufficiently analysed when studying the hydrological response of a target system such as a  
72 slope safety system. In particular, limited attention has been paid to event-based spatial

73 characteristics of large rainstorms in Hong Kong, whose patterns and structures are as useful as  
74 the statistical trend based on historic rainfall records, especially when one needs to select large  
75 rainstorms for landslide risk assessment. Sufficient information should be provided including  
76 both spatial variation and correlation. However, several key questions have not been answered.  
77 Can the spatial precipitation distribution of a large storm be represented using a particular  
78 spatial form? How does the spatial correlation of rainfall change with the rainstorm magnitude?  
79 What are the key factors that influence the spatial structure of rainfall distribution? Such  
80 questions motivate the present study on the spatial characteristics of large rainstorms over hilly  
81 terrains in Hong Kong.

82 The objective of this paper is to identify the spatial variations and correlation of large  
83 rainstorms in Hong Kong. Three large storms that caused the most severe landslide hazards in  
84 Hong Kong in the past 20 years are selected for study. These storms were often referred to in  
85 Hong Kong as reference storms in preparing engineering measures for landslide hazard  
86 mitigation. The results are therefore expected to provide valuable information for landslide  
87 hazard analysis and risk management.

88

## 89 **2 Topography and general rainfall distribution in Hong Kong**

90 Hong Kong is located at the southeast coast of China. The subtropical climate in Hong Kong is  
91 characterized by notable dry and wet seasons. About 85% of the annual rainfall is recorded  
92 during the wet season from April to September. Storms with high intensity and short duration  
93 in Hong Kong are typically associated with southwest monsoon or tropical cyclones. The  
94 ground surface elevation on the GIS platform is shown in Fig. 3. The two highest mountain  
95 peaks in Hong Kong are Tai Mo Shan (Near rain gauge N14) and Lantau Peak (Near rain gauge  
96 N21), with peak elevations of 957 m and 934 m above the sea level, respectively. Both the  
97 moisture movements and the topography determine the distribution characteristics (e.g.,

98 agglomerate and local gradient) of rainfall in the spatial domain.

99 AECOM and Lin (2015) studied the orographic factors of rainfall spatial distribution  
100 based on historical records. A spatial distribution of orographic intensification factors has been  
101 developed based on historical hourly data. The 24-h orographic intensification factors at a  
102 resolution of 5 km×5 km are shown in Fig. 4. The factors for the land area are in general larger  
103 than those for the sea area. The higher the elevation is, the larger the orographic intensification  
104 factor. Two of the highest intensity regions are located at Tai Mo Shan in New Territories and  
105 Lantau Peak on Lantau Island. The trend of the factors coincides with the mountain range  
106 alignment, i.e., around N45°E.

107 The magnitude of storms can be assessed corresponding to a depth-area relation, and  
108 characterized by the probable maximum precipitation (PMP). PMP is frequently used to  
109 quantify extreme storm events (WMO, 2009). The scenarios of 4-hour and 24-hour PMP for  
110 Hong Kong have been assessed by Hong Kong Observatory and AECOM (Chang and Hui,  
111 2001; AECOM and Lin, 2015). AECOM and Lin (2015) updated the 24-h PMP for Hong Kong  
112 considering the local orographic intensification. The trend surface is an expected-value  
113 surface. The trend surfaces of 24-h PMP with different storm centres have been updated by  
114 AECOM and Lin (2015), and the typical trends are shown in Fig. 5. The trend surfaces are  
115 derived based on the historical hourly rainfall. According to the 24-h PMP updating study, an  
116 elliptical isohyet is recommended as a generalized convergence pattern. For storms cantered at  
117 Tai Mo Shan, the orientation of 22.5° (N 67.5° E) is found to be the most critical.

118

### 119 **3 Progression and precipitation data of three large storms**

120 The most traditional way to describe the rainstorm severity is by return period, which is  
121 recognized as a combination of intensity and duration. Another measure of the severity of a  
122 storm is the consequence of the storm, such as rain-induced landslides or flooding. An index

123 measuring the potential to trigger landslides, named “Landslide Potential Index (LPI)”, is used  
124 in Hong Kong (CEDD, 2009). The LPI is based on the historic records of landslide events since  
125 1984. For instance, a storm in late July 1994 caused 5 fatalities and its LPI was 10. The value of  
126 LPI can be greater than 10 if a storm is more damaging than the July 1994 storm. According to  
127 the LPI, the top three largest storms in the past 20 years were the 5-7 June 2008 storm, the  
128 17-21 August 2005 storm and the 23 July 1994 storm. Each of these three storms had an LPI  
129 around 10 and led to fatalities. Thus, the three storm events are selected as indicative large  
130 storms to conduct spatial correlation analysis in this paper.

131 The rainfall data in this study is provided by Geotechnical Engineering Office (GEO) and  
132 Hong Kong Observatory (HKO) in Hong Kong. The GEO and HKO rain gauge networks  
133 comprise 88 and 46 stations, respectively (Fig. 3). The rain gauges are more concentrated in the  
134 northern Hong Kong Island and Kowloon where the population density is high. The raw digital  
135 data at 5-minute interval from the high quality network ensures the reliability of this study. The  
136 data covers the period from 00:00 on 5 June to 24:00 on 7 June 2008, from 00:00 on 17 August  
137 to 24:00 on 21 August 2005, and from 00:00 on 22 July to 24:00 on 24 July 1994. Some of the  
138 rain gauges had not been installed in July 1994. The numbers of effective rain gauges for the  
139 three events are 105, 112, and 56, respectively. The three storm hyetographs corresponding to  
140 the maximum local precipitation depth are shown in Fig. 6. The 17-21 August 2005 storm is  
141 more moderate in short durations compared with the 5-7 August 2008 storm and the 22-24 July  
142 1994 storm.

143

### 144 **3.1 The 5-7 June 2008 storm**

145 According to Hong Kong Observatory (HKO), the weather was influenced by an active low  
146 pressure trough over the south China coastal area during the first 10 days of June 2008, and was  
147 heavily rainy and thundery. Fig. 7 (a) shows contours of the total rainfall amount of the 5-7

148 June 2008 storm. The maximum total rainfall amount was 670 mm. The storm centre was on  
149 the southeast of Lantau Island. The magnitudes of the storm characterized by 4-h PMP and  
150 24-h PMP (AECOM and Lin, 2015) are shown in Fig. 8. From the depth-area relationships,  
151 when the area is in the range of 50 - 1100 km<sup>2</sup>, the maximum rolling 4-h rainfall of the 5-7 June  
152 2008 storm has a return period of 1,100 years, corresponding to 60%-67% of the 4-h PMP,  
153 while the return period for the 24-h rainfall is 200 years, corresponding to 33%-41% of the  
154 24-h PMP. The 4-h maximum rolling rainfall value is calculated as the maximum values of  
155 rainfall in 4 consecutive hours on a hyetograph. The storm caused 2,400 natural terrain  
156 landslides (Li et al., 2009), including many debris flows that affected developed regions,  
157 leading to 2 fatalities (CEDD, 2008). The LPI value was recognized as 12.

158 The maximum rolling rainfall values at different locations may not be in the same period  
159 though most of them tend to be in the same period. Hazard consequences are more related to  
160 the maximum rolling rainfall values other than instantaneous one (Dai and Lee, 2001). In  
161 formulations for a hydrological model, the effect of the time scale of aggregation of the rainfall  
162 data and the hydrological response of catchments of different sizes should be investigated in  
163 order to identify the critical scale at which the resulting discharge will be the largest and could  
164 potentially generate flash floods.

165 The most concentrating periods of precipitation are selected. Figure 9 shows the  
166 instantaneous rainfall process from 6: 55 to 7: 35 on 7 June 2008. During this period, the  
167 vapour concentrated on the southwest of Lantau Island, and transported northeast across the  
168 mountains on Lantau Island. A large amount of precipitation was retained on the island.

169

### 170 **3.2 The 17-22 August 2005 storm**

171 August 2005 was much wetter than normal. A very active southwest monsoon during 17-22  
172 August brought in plenty of moisture. Figure 7(b) shows contours of the total amount of

173 rainfall. The maximum total rainfall amount was 890 mm. The storm centre was at the middle  
174 of the territory, Shatin. From Fig. 8, both the maximum rolling 4-h rainfall and 24-h rainfall of  
175 the 17-22 August 2005 storm are least critical among the three storms investigated in this  
176 paper. The storm caused 229 reported landslides, resulting in one fatality. The LPI value is 10  
177 (Kong and Ng, 2006).

178 Figure 10 shows the instantaneous rainfall process from 10:35 to 11:15 on 20 August,  
179 2005, which is recognized as the heaviest rainfall period in this storm event. The prevailing  
180 moisture inflow mainly came southerly during this period. The rainfall centre concentrated on  
181 the south of Tai Mo Shan.

182

### 183 **3.3 The 21-24 July 1994 storm**

184 The total precipitation amount in the storm event from 21 to 24 July 1994 was recorded as the  
185 highest for any consecutive days in July. The weather was related to a trough of low pressure  
186 (Tam et al., 1995). Figure 7(c) shows contours of the total amount of rainfall of this storm  
187 cantering at the middle of New Territories, Tai Mo Shan. The maximum total rainfall amount  
188 was 1450 mm. In Fig. 8, the maximum rolling 24-h rainfall is the most critical, especially for a  
189 smaller area. The storm caused 820 natural terrain landslides and 451 man-made slope failures,  
190 resulting in 5 fatalities and 4 injuries. The LPI value is 10 (Chan, 1995).

191 Figure 11 shows the instantaneous rainfall process from 15:00 to 15:40 on 23 July 1994,  
192 which records the heaviest rainfall process in this storm event. During this period, the moisture  
193 air came from on the northwest of Tai Mo Shan. Most of precipitation concentrated on Tai Mo  
194 Shan, and the spatial distribution of rainfall was quite uneven. As the moisture flux rose across  
195 Tai Mo Shan, a large amount of moisture began to fall as rain. The orographic intensification  
196 effect was very significant in this rainstorm event

197



### 198 **3.4 Summary of the three large storms**

199 All the aforementioned three storms are related to monsoons other than typhoons. The  
200 meteorological factors for these storms are beyond the scope of this paper. This research  
201 focuses on the areal distribution of precipitation which is believed to be more relevant to the  
202 evaluation of the performance of the slope safety system. Thus the maximum rolling rainfall  
203 values are estimated in different durations. According to the records from the automatic rain  
204 gauges, the maximum rolling rainfall among all the rain-gauge stations in each of the three  
205 events can be calculated. The corresponding peak values and stations are summarized in Table  
206 1. The 22-24 July 1994 storm is the largest among the three storms with regard to the amounts  
207 of the maximum rolling 1-h and 24-h rainfall. However, in terms of the maximum rolling 4-h  
208 rainfall, the 5-7 June 2008 storm is the most critical.

209 The contours of the total rainfall for the three storms, interpolated using a triangular  
210 method, are shown in Fig. 7. The total precipitation amount of the 5-7 June 2008 storm is the  
211 smallest among the three events, while that of the 21-24 July 1994 storm is the largest due to its  
212 longer duration. However, the LPI value for the 5-7 June 2008 storm is 12, larger than those of  
213 the other two storms; that is, the 5-7 June 2008 storm is the largest one in terms of damage. One  
214 of the reasons is that the variability of spatial and temporal distributions of the storm affects  
215 both the infiltration dynamics of the surface soil and the water levels above and below the  
216 ground surface. The entire hydrological system is governed by the spatial and temporal  
217 distribution of rainfall.

218

### 219 **4 Methodology of spatial analysis**

220 The varying space-time distribution of rainfall in Hong Kong is a result of the interaction  
221 between governing meteorological covariates and local hilly terrain. Instead of attempting the  
222 use of a physical model to capture the spatial characteristics, our analysis presents a two-step

223 approach in which a surface trend is firstly established to assess the spatial distribution of the  
224 rainfall amount in a fixed duration, followed by a further analysis of the spatial correlation of  
225 the detrended residuals.

226

#### 227 **4.1 Determination of the expected precipitation trend surface**

228 A storm is a phenomenon with gradual geographical changes in space; the rainfall amount can  
229 be simulated as a spatially correlated random field superimposed on a trend surface (Grimes  
230 and Pardo-Igúzquiza, 2010). Such an artificial rainfall trend surface can be used to represent  
231 design storms. One could comprehend that the rainfall is correlated with the local terrain and  
232 the design storm centres are likely to be around the mountain peaks. Hong Kong has a  
233 relatively small area, and an individual storm is usually designed to have one or two centres for  
234 engineering design purposes (AECOM and Lin, 2015). Distinguishing two peaks is not  
235 necessary as the distance between any two peaks will be small with regard to the scale of a  
236 typical rainstorm.

237 Based on random field theory (Vanmarcke, 1977), the trend surface is the expected value  
238 of the precipitation distributed over the rainfall domain, while the residuals are stationary and  
239 not affected by any shift in the coordinate system. Thus the first step is to divide the spatial  
240 distribution into a trend surface and residuals by finding a trend surface fitting function.  
241 Though most natural processes like a storm exhibit spatial variability with complex trends, this  
242 paper uses a polynomial function for simplicity. Denote observations of a storm as  $z_i(x_i, y_i)$   
243 ( $i=1, 2, \dots, n$ ). The fitted values are  $\hat{z}_i = (x_i, y_i)$ :

$$244 \quad z_i(x_i, y_i) = \hat{z}_i(x_i, y_i) + \varepsilon_i \quad (1)$$

245 where  $x$  and  $y$  define the location; and  $\varepsilon_i$  are residuals. The second-order polynomial trend  
246 surface is:

$$247 \quad \hat{z}_i = a_0 + a_1 x_i + a_2 y_i + a_3 x_i^2 + a_4 x_i y_i + a_5 y_i^2 \quad (2)$$

248 The coefficients,  $a_0, a_1, \dots, a_5$ , are determined by minimizing the sum of the squares of the error  
 249 term using the ordinary least squares (OLS) analysis (Journal and Huijbergts, 1978):

$$250 \quad Q = \min \sum_{i=1}^n \varepsilon_i^2 = \min \sum_{i=1}^n [z_i(x_i, y_i) - \hat{z}_i(x_i, y_i)]^2 \quad (3)$$

251 The computed trend surfaces for the total rainfall amounts of the three storms and the  
 252 detrended residuals are shown in Fig. 12. The residuals of the rainfall amounts in different  
 253 durations are often assumed to be stationary. Taking the maximum 4-h rolling rainfall as an  
 254 example, the trend surface is

$$255 \quad \hat{z} = -45984 - 0.0337 x + 0.1527 y + (-1.5297 x^2 + 3.4783 xy - 2.7125 y^2) \times 10^{-7} \quad (4)$$

256 The peak point on the surface is (77429, 77793); the maximum 4-h rainfall on the trend  
 257 surface is 425 mm. The maximum points (extreme values) on the trend surfaces of the three  
 258 storms are summarized in Table 2. The major and minor axes can be calculated as those of the  
 259 ellipse with rainfall value approaching zero. The directions and lengths of the trend surfaces are  
 260 summarized in Table 3. The major and minor axes of the trend surfaces are determined by least  
 261 squares fitting of the original rainfall data. For an individual storm event, the maximum points  
 262 of the trend surfaces are inside a relatively small range of 40 km. The storm centre of each  
 263 event on the trend surface agrees with the reality. The storm centres of the 7 June 2008 storm,  
 264 the 17-21 August 2005 storm and the 23 July 1994 storm are at west Lantau Island, Shatin and  
 265 Tai Mo Shan, respectively. The major directions of the spatial forms are between  $19^\circ$  and  $43^\circ$   
 266 in the anticlockwise direction.

267

## 268 **4.2 Determination of the scale of fluctuation of precipitation residuals**

269 A classical way to characterizing the spatial correlation is through an autocorrelation function  
 270 (ACF),  $\rho(h)$  (Fenton and Griffiths, 2008; Foresti and Seed, 2014). The autocorrelation  
 271 describes the correlation between values of a same series. The autocorrelation  $r(k)$  for lags  $k=0$ ,

272 1, ..., m, where m is the maximum number of lags, is evaluated by the following equation:

$$273 \quad r_k = \frac{\frac{1}{(N - k - 1)} \sum_{i=1}^{N-k} (z_i - \bar{z})(z_{i+k} - \bar{z})}{\frac{1}{(N - 1)} \sum_{i=1}^{N-k} (z_i - \bar{z})^2} \quad (5)$$

274 where  $z_i$  and  $z_{i+k}$  are the detrended storm depths at locations  $i$  and  $i+k$ , respectively;  $N$  is the  
275 total number of the residuals; and  $\bar{z}$  is the mean value of the residuals.

276 In order to assess the autocorrelation structure of the detrended storm amounts, it is  
277 necessary to perform regression analysis to fit the ACF. Among many correlation structures,  
278 the single exponential structure is the most common:

$$279 \quad \rho(h) = \exp(-2h / \theta) \quad (6)$$

280 where  $h$  is the separation distance or lag;  $\theta$  is the scale of fluctuation (SoF). The correlation  $\rho$   
281 ( $h$ ) decays exponentially with separation distance  $h$ . The negative autocorrelation coefficient  
282 will not be evaluated. The values of  $\theta$  can be obtained accordingly. Within the scale of  
283 fluctuation, the rainfall property is strongly correlated. A smaller scale of fluctuation indicates  
284 more rapid fluctuations of the mean.

285 The scale of fluctuation is evaluated in the directions of N 0° E, N 45°E, N 90° E, and N  
286 135° E for each storm. The values of SoF are fitted by an ellipse using least squares fitting. The  
287 values of SoF and the fitting curves are shown in Figs. 13-15. Greater SoF values indicate  
288 smaller variability. The major direction can be recognized as the direction of maximum  
289 continuity.

290 The direction and major and minor scales of fluctuation are summarized in Table 4. The  
291 SoF values of the rainfall residuals are between 6 to 37 km. Regardless of the variations of the  
292 principal axis direction, the minor-axis lengths of the SoF values remain around 7 km (Table  
293 4).

294

## 295 **5 Spatial description of rainstorms**

### 296 **5.1 Geometric spatial form and correlation structure**

297 Though rainfall varies over space, the rainfall amount of a particular storm in terms of  
298 maximum rolling rainfall can be fitted by a polynomial function. The spatial form of the  
299 rainfall amount can be represented by a rotated ellipsoid with only one centre. Such an artificial  
300 spatial form may exhibit geometrical regularity. For each storm, the trend surfaces in different  
301 durations show good consistency in the shape parameters in terms of the peak point, long-axis  
302 direction and axis length. The peak points on the trend surfaces of the three storms are located  
303 in a relatively small range. The long-axis directions of the spatial forms of each event in  
304 different durations almost remain unchanged between  $19^\circ$  and  $43^\circ$ . The lengths of the major  
305 and minor axes for an individual storm show consistency. The 5-7 June 2008 storm has the  
306 largest impact area, as indicated by larger axis lengths among the three rainstorms according to  
307 the results in Table 3.

308 With respect to the instantaneous rainfall processes shown in Figs. 9-11, the rainfall  
309 distributions in terms of maximum rolling rainfall are quite consistent to the heaviest rainfall  
310 process in each storm event. The rainfall distributions are strongly affected by the storm  
311 humidity transportation, and are so uneven that the entire area should not be described as a  
312 single site. The locations of the storm centres determine the general trend of the areal rainfall  
313 distribution. The polynomial trend surfaces are effective for representing large rainstorm  
314 distributions in terms of maximum rolling rainfall.

315 The spatial connectivity can be assessed by the SoF values. A smaller scale of fluctuation  
316 indicates more rapid fluctuations of the mean. According to Figs. 13-15, all of the SoF values  
317 are within 30 km, though the semi-lengths of the major axes of fitting curves are larger. Hence  
318 a reasonable upper threshold for the spatial connectivity is estimated to be 30 km. On the other  
319 hand, the lengths of the minor axis of the SoF values are between 5 to 8 km. The lower limit of

320 the SoF values of the rainfall data is considered to be 5 km. Therefore, the rainfall amount in  
321 Hong Kong is observed to be strongly spatially correlated within 5 km, whose spatial  
322 continuity is smaller than 30 km.

323

## 324 **5.2 Comparison with the spatial structures of ordinary rainfall events**

325 Besides the three large rainstorm events in this paper, ordinary rainstorm events in Hong Kong  
326 have also been studied (Liu, 2013; AECOM and Lin, 2015). Liu (2013) proposed a framework  
327 for analysing dynamic time-space evolution of rain-field in her thesis. Four rain events were  
328 chosen to illustrate the spatial structure of rainfall in Hong Kong: the 18 May 2007, 19 May  
329 2007, 19 April 2008 and 15 September 2009 rain events in Hong Kong. The 2008-04-19  
330 rainstorm event was under a combined effect of Typhoon Neoguri and a northeast monsoon,  
331 while the other three rainstorms were results of tropical depressions. The total rainfall amounts  
332 during the four rainfall events on 18 May 2007, 19 May 2007, 19 April 2008 and 15 September  
333 2009 were 67.0, 99.6, 157.9 and 130.3 mm, respectively. The spatial structures of the four rain  
334 events indicated by variogram ranges corresponding to the peak rainfall intensity (six minutes  
335 resolution) are plotted in Fig. 16. According to the results from ellipse fitting, the major  
336 principal directions of all the tropical depression storms (i.e. on 18 May 2007, 19 May 2007,  
337 and 15 September 2009) are around  $45^\circ$ . The lengths of the principal axis of the tropical  
338 depression storms are within 30 km; while that of the 19 April 2008 storm is 40.8 km. The  
339 correlation structures of the instantaneous rain processes are consistent with those of the three  
340 large storms as illustrated in Section 5.1.

341 The spatial structure of annual maximum daily rainfall using the variogram model  
342 provides additional information for generating design storms from another point of view.  
343 According to the study conducted by Jiang and Tung (2014), the spatial variability represented  
344 by a variogram is used to establish the rainfall depth-duration-frequency relationships. By

345 normalising the indicator semivariogram by the variance of the indicator data, the normalised  
346 semivariances of the mean annual maximum daily rainfall and the maximum rolling 24 hour  
347 rainfall of the three storms are shown in Fig. 17. Based on the samples and the fitted  
348 exponential variogram model, the range of the mean of annual maximum daily rainfall is 7.1  
349 km, which is close to the omnidirectional range values of the maximum rolling 24-hour rainfall  
350 for the storms, particularly those for the 2008 storm and the 2005 storm. Thus, given a large  
351 storm whose spatial distribution is relatively smooth, the range value will be close to that of the  
352 annual maximum daily rainfall. The spatial structures of the three severe storms and the four  
353 ordinary rainfall events do not differ significantly.

354 With aspect to the local terrain impacts, the major directions of both the three large  
355 rainstorms and the ordinary rainfall events are all consistent with the mountain range alignment  
356 in Hong Kong (Fig. 3). However the severe storms are highly uncertain and it is difficult to  
357 ascertain and predict the future precipitation and extreme rainfall. Lu et al. (2013), Lu and Lall  
358 (2016) and Najibi et al. (2017) suggest a potential direction to further study the associated  
359 atmospheric circulation with moisture transport that has improved the predictability of extreme  
360 rainfall and flood in various regions including western Europe, Midwest and Northeast of the  
361 United States. The spatial structure found in this study also indicates that there might be a link  
362 between the distribution and the convergence of the moist air into the Hong Kong region.

363

## 364 **6 Conclusions**

365 A random rain field model has been proposed to study the spatial characteristics of three large  
366 landslide-triggering rainstorms in Hong Kong. The cumulative rainfall depths in terms of  
367 maximum rolling rainfall in different durations are of particular importance for landslide  
368 studies, and are taken as random variables in this study. Based on the study, the following  
369 conclusions can be drawn:

- 370 (1) The amounts of maximum rolling rainfall in different durations share a dominating spatial  
371 structure that can be represented by a rotated ellipsoid surface established using the  
372 ordinary least squares method. The shapes change slightly in different durations for a  
373 particular storm.
- 374 (2) The major principal directions of the surface trends of the three rain storms are between  
375  $19^\circ$  (N  $71^\circ$  E) and  $43^\circ$  (N  $47^\circ$  E), and the principal major and minor axis lengths are  
376 83-386 km and 55-79 km, respectively.
- 377 (3) The spatial connectivity of large storms in Hong Kong is estimated to be between 5 km  
378 and 30 km. The rainfall amounts in the three large storms are observed to be strongly  
379 correlated within 5 km and likely to be connected within 30 km.
- 380 (4) To verify the rationality and reliability of the spatial structures of large rainstorms, the  
381 spatial characteristics of four ordinary rainfall events are also studied. The spatial  
382 structures of the three large rainstorms are similar with those of the ordinary rainfall  
383 events and consistent with the mountain range alignment in Hong Kong.

384

### 385 **Acknowledgements**

386 The authors would like to thank the Geotechnical Engineering Office (GEO) of the Civil  
387 Engineering and Development Department (CEDD) for providing the rainfall data described in  
388 this paper. This research is supported by the Research Grants Council of the Hong Kong SAR  
389 (Nos. C6012-15G and 16202716).

390

### 391 **References**

- 392 AECOM and Lin, B.: 24-hour Probable Maximum Precipitation Updating Study. GEO Report  
393 No. 314, Geotechnical Engineering Office, Hong Kong, 2015.
- 394 Bacchi, B., and Kottegoda, N.: Identification and calibration of spatial correlation patterns of



395 rainfall. *Journal of Hydrology*, 165 (1-4), 311-348, 1995.

396 Barancourt, C., Creutin J.D., and Rivoirard, J.: A method for delineating and estimating rainfall  
397 fields. *Water Resources Research*, 28, 1133-1144, 1992.

398 Berne, A., Delrieu, G., and Boudevillain, B.: Variability of the spatial structure of intense  
399 Mediterranean precipitation. *Advances in Water Resources*, 32, 1031-1042, 2009.

400 Bouvier, C., Cisneros, L., Dominguez, R., Laborde, J. P., and Lebel, T.: Generating rainfall  
401 fields using principal components (PC) decomposition of the covariance matrix: a case  
402 study in Mexico City. *Journal of Hydrology*, 278(1), 107-120, 2003.

403 CEDD: Landslide Potential Index. Information Note 03/2009, Geotechnical Engineering  
404 Office, Civil Engineering and Development Department, Hong Kong, 2009.

405 CEDD: Management of Natural Terrain Landslide Risk. Information Note 03/2008,  
406 Geotechnical Engineering Office, Civil Engineering and Development Department, Hong  
407 Kong, 2008.

408 Chan, W. L.: Hong Kong Rainfall and Landslides in 1994. GEO report No. 54, Geotechnical  
409 Engineering Office, Hong Kong, 1995.

410 Chang, W. L., and Hui, T. W.: Probable Maximum Precipitation for Hong Kong. Reprint 482,  
411 Hong Kong Observatory, Hong Kong, 2001.

412 Dai, F. C., and Lee, C. F.: Frequency–volume relation and prediction of rainfall-induced  
413 landslides. *Engineering Geology*, 59(3), 253-266, 2001.

414 Dasaka, S. M., and Zhang, L. M.: Spatial variability of in situ weathered soil. *Géotechnique*,  
415 62(5), 375-384, 2012.

416 De Luca, D. L.: Analysis and modelling of rainfall fields at different resolutions in southern  
417 Italy. *Hydrological Sciences Journal*, 59(8), 1536-1558, 2014.

418 Fenton, G. A., and Griffiths, D. V.: *Risk Assessment in Geotechnical Engineering*. John Wiley  
419 & Sons, Inc., Hoboken, New Jersey, 2008.

420 Foresti, L., and Seed, A.: The effect of flow and orography on the spatial distribution of the  
421 very short-term predictability of rainfall from composite radar images. *Hydrology and*  
422 *Earth System Sciences*, 18, 4671-4686, 2014.

423 Gao, L., Zhang, L. M., and Chen, H. X.: Likely scenarios of natural terrain shallow slope  
424 failures on Hong Kong Island under extreme storms. *Natural Hazards Review*,  
425 10.1061/(ASCE)NH.1527-6996.0000207, B4015001, 2015.

426 Gao, L., Zhang L. M., Chen, H. X., Shen, P.: Simulating debris flow mobility in urban settings,  
427 *Engineering Geology*, 214, 67-78, 2016.

428 Grimes, D. I. F., and Pardo-Igúzquiza, E.: Geostatistical analysis of rainfall geographical  
429 analysis. *Geographical Analysis*, 42, 136-160, 2010.

430 Gyasi-Agyei, Y., and Pegram, G.: Interpolation of daily rainfall networks using simulated  
431 radar fields for realistic hydrological modelling of spatial rain field ensembles. *Journal of*  
432 *Hydrology*, 519, 777-791, 2014.

433 Jiang P., and Tung Y. K.: Incorporating daily rainfalls to derive rainfall DDF relationships at  
434 ungauged sites in Hong Kong and quantifying their uncertainty. *Stochastic Environmental*  
435 *Research and Risk Assessment*, 29(1), 45-62, 2014.

436 Journel, A. G., and Huijbergts, C. J.: *Mining Geostatistics*. London: Academic Press, 1978.

437 King, J. P.: *Natural Terrain Landslide Study: Natural Terrain Landslide Inventory*. GEO  
438 Report No. 74, Geotechnical Engineering Office, Hong Kong, 1999.

439 Kong, H. S. W., and Ng, A. F. H.: *Factual Report on Hong Kong Rainfall and Landslides in*  
440 *2005*. GEO Report No. 223, Geotechnical Engineering Office, Hong Kong, 2006.

441 Lebel, T., Bastin, G., Obled, C., Creutin, J. D.: On the accuracy of areal rainfall estimation: a  
442 case study. *Water Resources Research*, 23(11), 2123–2134, 1987.

443 Leung, J. K. Y., and Law, T. C.: Kriging analysis on Hong Kong rainfall data. *HKIE*  
444 *Transactions*, 9 (1), 26-31, 2002.

445 Li, A. C. O., Lau, J. W. C., Cheung, L. L. K., and Lam, C. L. H.: Review of Landslides in 2008.  
446 GEO Report No. 274, Geotechnical Engineering Office, Hong Kong, 2009.

447 Li, X. Y., Zhang, L. M., and Li, J. H.: Using conditioned random field to characterize the  
448 variability of geologic profiles. *Journal of Geotechnical and Geoenvironmental*  
449 *Engineering*, 142(4), 04015096, 2015.

450 Liu, P.: Framework for Analysing Dynamic Time-Space Evolution of Rain-Field. MPhil  
451 thesis, The Hong Kong University of Science and Technology, 2013.

452 Lu, M., and Lall, U.: Tropical moisture exports, extreme precipitation and floods in northeast  
453 US. *Hydrology and Earth System Sciences Discussions*,  
454 <https://doi.org/10.5194/hess-2016-403>, 2016.

455 Lu, M., Lall, U., Schwartz, A., and Kwon, H.: Precipitation predictability associated with  
456 tropical moisture exports and circulation patterns for a major flood in France in 1995.  
457 *Water Resources Research*, 49(10), 6381-6392, 2013.

458 Mascaro, G., Deidda, R., and Hellies, M.: On the nature of rainfall intermittency as revealed by  
459 different metrics and sampling approaches. *Hydrology and Earth System Sciences*, 17,  
460 355-369, 2013.

461 Maunsell-Fugro Joint Venture: Final Report on Compilation of the Enhanced Natural Terrain  
462 Landslide Inventory (ENTLI). Maunsell-Fugro Joint Venture & Geotechnical Engineering  
463 Office, Hong Kong, 2007.

464 Panthou, G., Vischel, T., Lebel, T., Quantin, G., and Molinié, G.: Characterising the space-time  
465 structure of rainfall in the Sahel with a view to estimating IDAF curves. *Hydrology and*  
466 *Earth System Sciences*, 18, 5093-5107, 2014.

467 Najibi, N., Devineni, N., and Lu, M.: Hydroclimate drivers and atmospheric teleconnections of  
468 long duration floods: An application to large reservoirs in the Missouri River Basin.  
469 *Advances in Water Resources*, 100, 153-167, 2017.

470 Rodríguez-Iturbe, I., Cox, D. R., and Eagleson, P. S.: January. Spatial modelling of total storm  
471 rainfall. In Proceedings of the Royal Society of London A: Mathematical, Physical and  
472 Engineering Sciences, the Royal Society, 403(1824), 27-50, 1986.

473 Tam, K. H. Au, C. H., and Chang, W. L.: The Severe Rainstorms on 22-24 July 1994 in Hong  
474 Kong. Reprint 256, Hong Kong Observatory, Hong Kong, 1995.

475 Vanmarcke, E. H.: Probability modelling of soil profiles. Journal of the Geotechnical  
476 Engineering Division, 103(11), 1227-1246, 1977.

477 World Meteorological Organization: Manual on Estimation of Probable Maximum  
478 Precipitation (PMP). WMO-No.1045, Geneva, 2009.

479 Zawadzki, I. I.: Statistical properties of precipitation patterns. Journal of Applied Meteorology,  
480 12(3), 459-472, 1973.

481

## 482 **Captions of tables and figures**

483

484 **Table 1.** Values of maximum rolling rainfall of three landslide-triggering storms in Hong  
485 Kong.

486 **Table 2.** Locations of maximum rainfall on the trend surfaces (km).

487 **Table 3.** Directions and lengths of the axes of trend surfaces.

488 **Table 4.** Directions and semi-lengths of the axes of scale of fluctuation (SoF).

489

490 **Figure 1.** Spatial distributions of the maximum 24-h rolling rainfall and the landslides  
491 triggered in Hong Kong on 5-7 June 2008.

492 **Figure 2.** Geotechnical and environmental factors that affect the triggering of natural terrain  
493 landslides.

494 **Figure 3.** The GEO rain-gauge network in Hong Kong.

495 **Figure 4.** 24-hour orographic intensification factors in Hong Kong (modified from AECOM  
496 and Lin, 2015).

497 **Figure 5.** Trend surfaces of 24-h PMP with (a) NE-SW orientation  $45^\circ$ ; (b) ENE-WSW  
498 orientation  $22.5^\circ$  centred at Hong Kong Island; (c) NE-SW orientation  $45^\circ$ ; (d) ENE-WSW

499 orientation 22.5° centred at Lantau Island; (e) NE-SW orientation 45°; (f) ENE-WSW  
500 orientation 22.5° centred at Tai Mo Shan (modified from AECOM and Lin, 2015).

501 **Figure 6.** Hyetographs of three storms: (a) 5-7 June 2008 storm, Station N19; (b) 17-21 August  
502 2005 storm, Station N01; (c) 22-24 July 1994 storm, Station N14.

503 **Figure 7.** Spatial distribution of the total rainfall amount: (a) the 5-7 June 2008 storm; (b) the  
504 17-21 August 2005 storm; (c) the 22-24 July 1994 storm.

505 **Figure 8.** Magnitudes of the three storms characterized by (a) 4-h PMP, and (b) 24-h PMP  
506 (modified from AECOM and Lin, 2015).

507 **Figure 9.** Instantaneous rainfall process from 6:55 to 7:35 on 7 June 2008.

508 **Figure 10.** Instantaneous rainfall process from 10:35 to 11:15 on 20 August 2005.

509 **Figure 11.** Instantaneous rainfall process from 15:00 to 15:40 on 23 July 1994.

510 **Figure 12.** Trend surfaces and residuals of the total rainfall amounts: (a) and (b) the 5-7 June  
511 2008 storm; (c) and (d) the 17-21 August 2005 storm; (e) and (f) the 22-24 July 1994 storm.

512 **Figure 13.** Scale of fluctuation values and ellipse-fitting curves for the 5-7 June 2008 storm: (a)  
513 maximum rolling 4-h rainfall, (b) maximum rolling 12-h rainfall; (c) maximum rolling  
514 24-h rainfall; (d) maximum rolling 36-h rainfall.

515 **Figure 14.** Scale of fluctuation values and ellipse-fitting curves for the 17-21 August 2005  
516 storm: (a) maximum rolling 4-h rainfall; (b) maximum rolling 12-h rainfall; (c) maximum  
517 rolling 24-h rainfall; (d) maximum rolling 36-h rainfall.

518 **Figure 15.** Scale of fluctuation values and ellipse-fitting curves for the 22-24 July 1994 storm:  
519 (a) maximum rolling 4-h rainfall; (b) maximum rolling 12-h rainfall; (c) maximum rolling  
520 24-h rainfall; (b) maximum rolling 36-h rainfall.

521 **Figure 16.** Range values for (a) the 18 May 2007 storm (16:30 pm); (b) the 19 May 2007 storm  
522 (16:00 pm); (c) the 19 April 2008 storm (20:00 pm); (d) the 15 September 2009 storm  
523 (15:00 pm) (modified from Liu, 2013).

524 **Figure 17.** Normalised semivariances of the maximum rolling 24-hour rainfall of the three  
525 storms and the mean annual maximum daily rainfall in Hong Kong.

**Table 1.** Values of maximum rolling rainfall of three landslide-triggering storms in Hong Kong.

Duration	5-7 June 2008 storm		17-21 August 2005 storm		22-24 July 1994 storm	
	Amount (mm)	Station	Amount (mm)	Station	Amount (mm)	Station
1-hour	154	N21	82	N25	212	N14
4-hour	384	N19	174	N18	365	N14
24-hour	623	N19	570	N01	956	N14
2-day	672	N19	768	N01	1216	N14
4-day	768	N19	890	N01	1450	N14

**Table 2.** Locations of maximum rainfall on the trend surfaces (km).

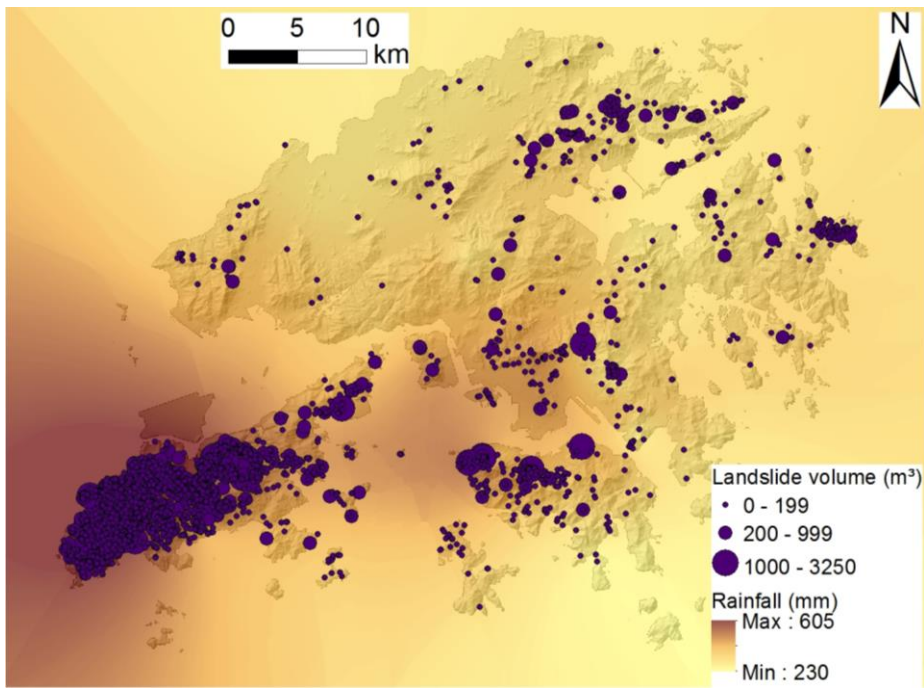
Duration	5-7 June 2008 storm	17-21 August 2005 storm	22-24 July 1994 storm
4-hour	(774, 778)	(822, 816)	(822, 836)
12-hour	(764, 788)	(825, 822)	(822, 835)
24-hour	(781, 752)	(829, 819)	(823, 833)
36-hour	(769, 747)	(830, 820)	(825, 826)

**Table 3.** Directions and lengths of the axes of trend surfaces.

Duration	5-7 June 2008 storm			17-21 August 2005 storm			22-24 July 1994 storm		
	Major axis direction (°)	Major axis length (km)	Minor axis length (km)	Major axis direction (°)	Major axis length (km)	Minor axis length (km)	Major axis direction (°)	Major axis length (km)	Minor axis length (km)
4-hour	36°	229	61	42°	107	56	19°	100	72
12-hour	29°	253	65	40°	97	58	40°	87	62
24-hour	25°	269	71	38°	85	55	39°	92	77
36-hour	27°	386	65	35°	86	55	43°	83	79

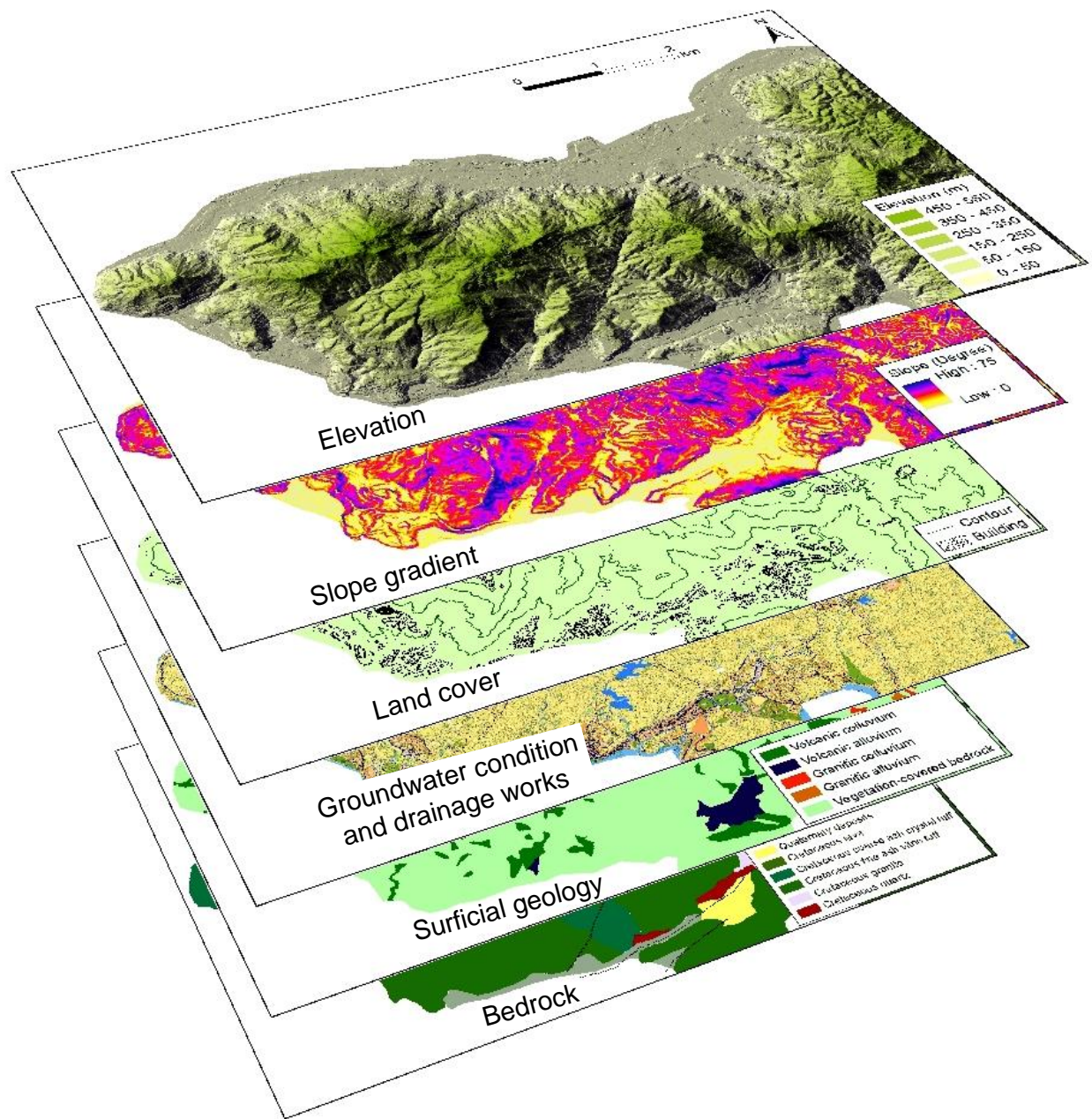
**Table 4.** Directions and semi-lengths of the axes of scale of fluctuation (SoF).

Duration	5-7 June 2008 storm			17-21 August 2005 storm			22-24 July 1994 storm		
	Major axis direction (°)	Semi-lengths of the major axes (km)	Semi-lengths of the minor axes (km)	Major axis direction (°)	Semi-lengths of the major axes (km)	Semi-lengths of the minor axes (km)	Major axis direction (°)	Semi-lengths of the major axes (km)	Semi-lengths of the minor axes (km)
4-hour	-18°	31	9	-3°	14	5	8°	10	7
12-hour	-7°	17	7	38°	37	7	21°	9	6
24-hour	-36°	12	8	33°	23	7	4°	9	6
36-hour	-79°	18	6	36°	24	7	9°	7	6

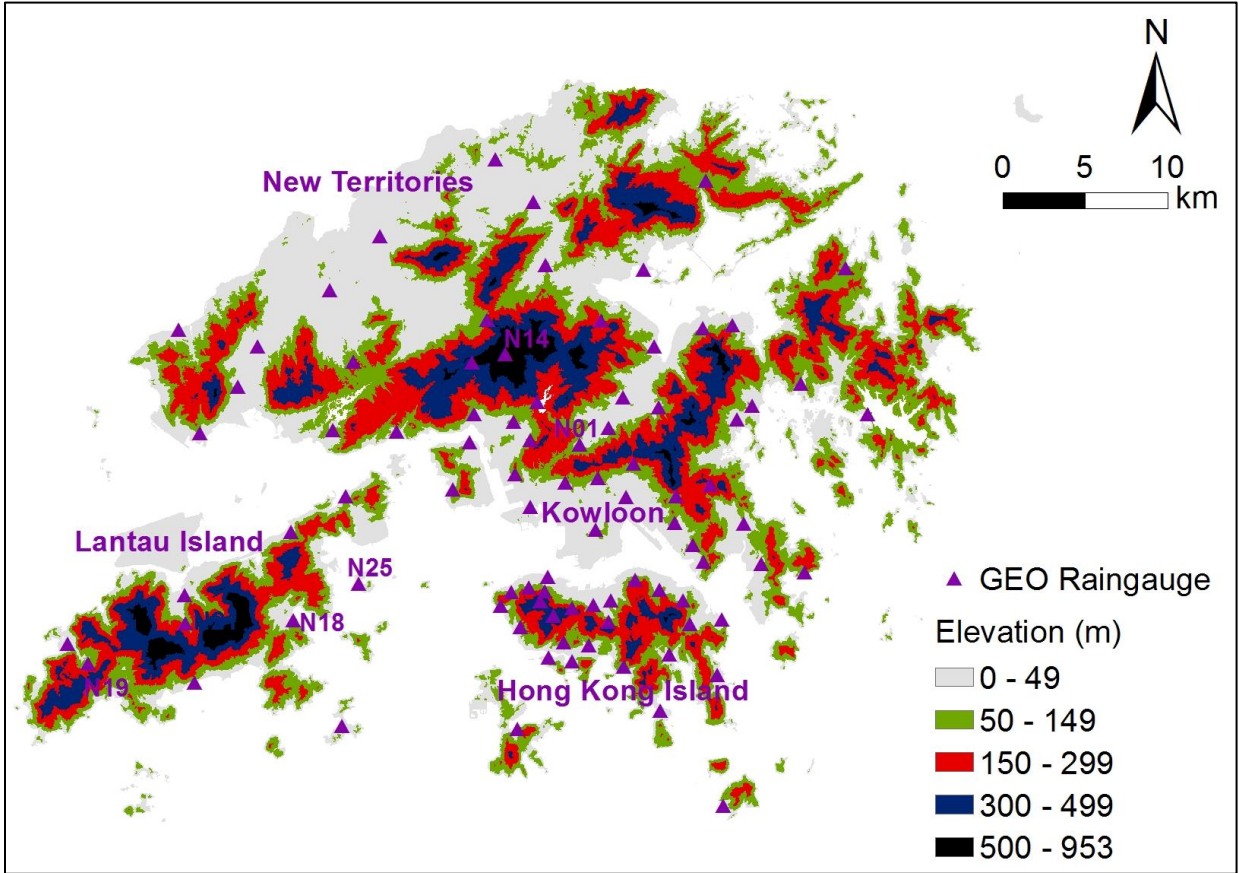


**Figure 1.** Spatial distributions of the maximum 24-h rolling rainfall and the landslides triggered in Hong Kong on 5-7 June 2008.

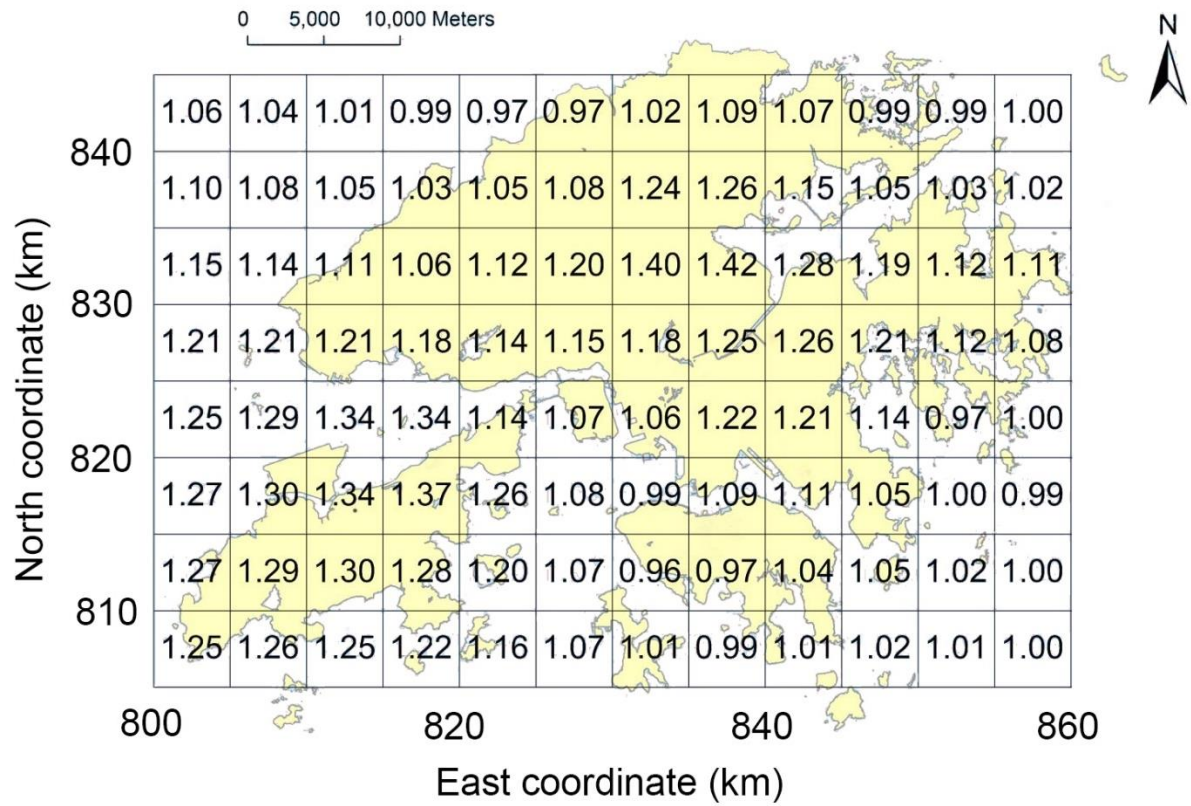




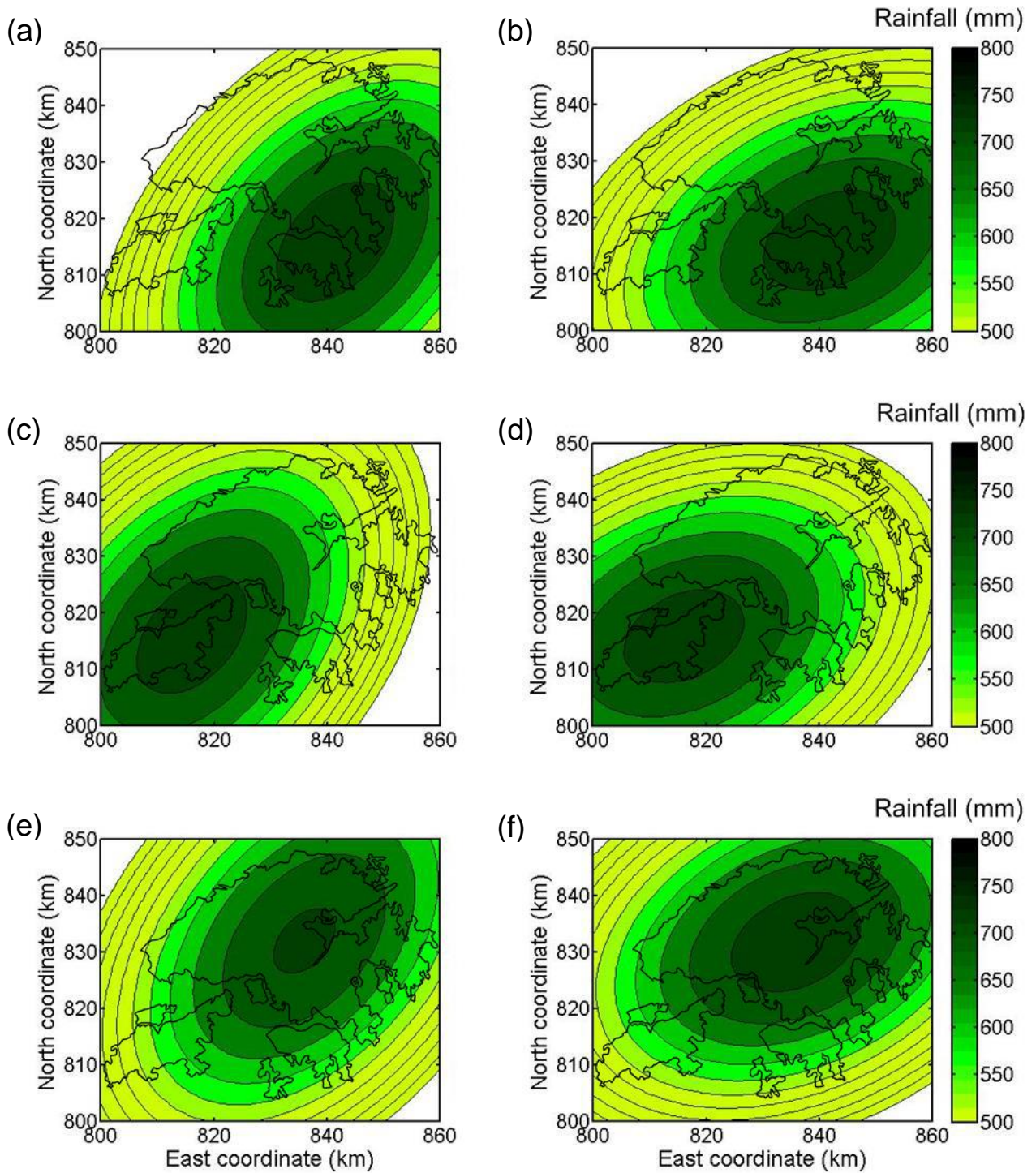
**Figure 2.** Geotechnical and environmental factors that affect the triggering of natural terrain landslides.



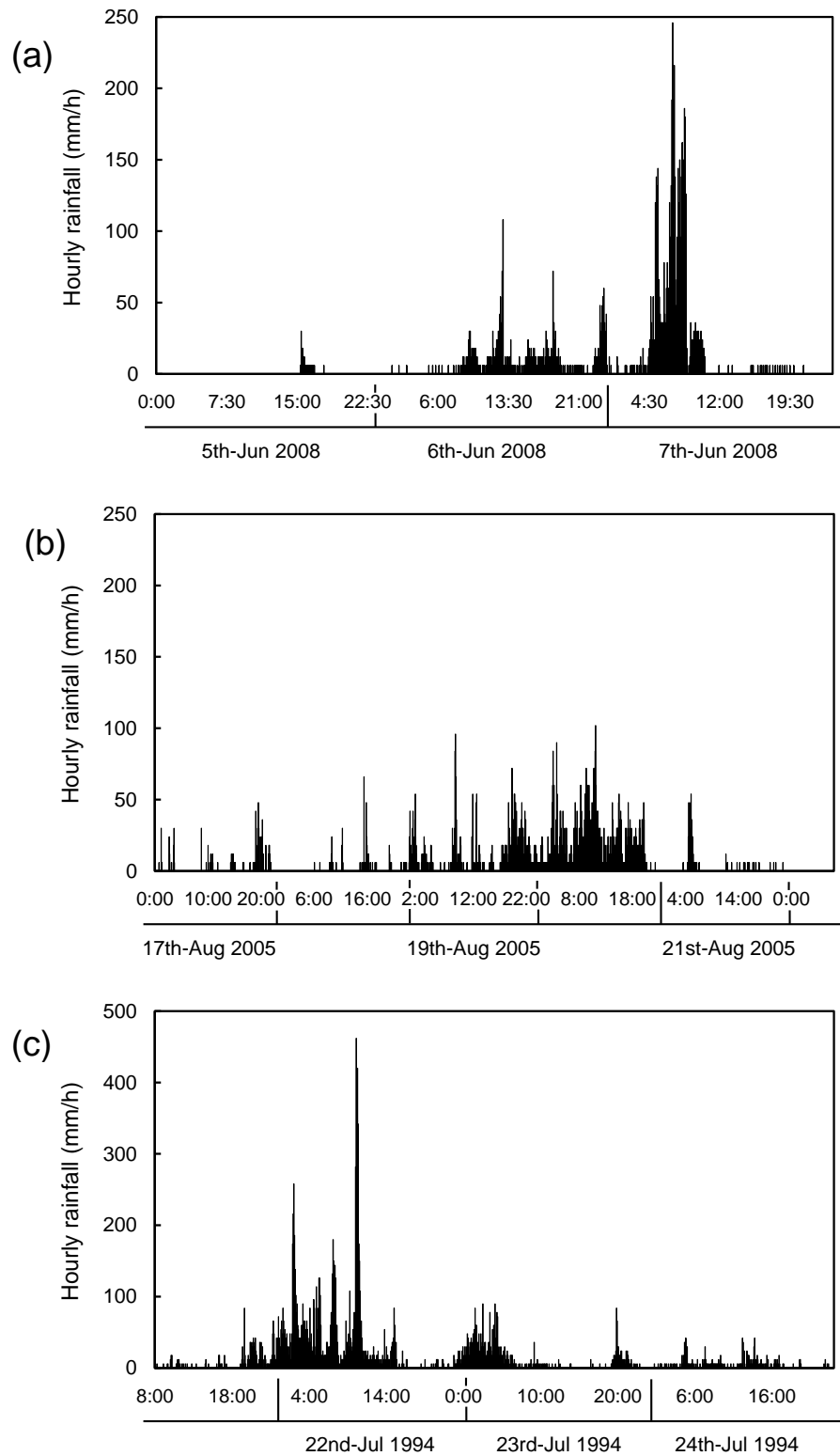
**Figure 3.** The GEO rain-gauge network in Hong Kong.



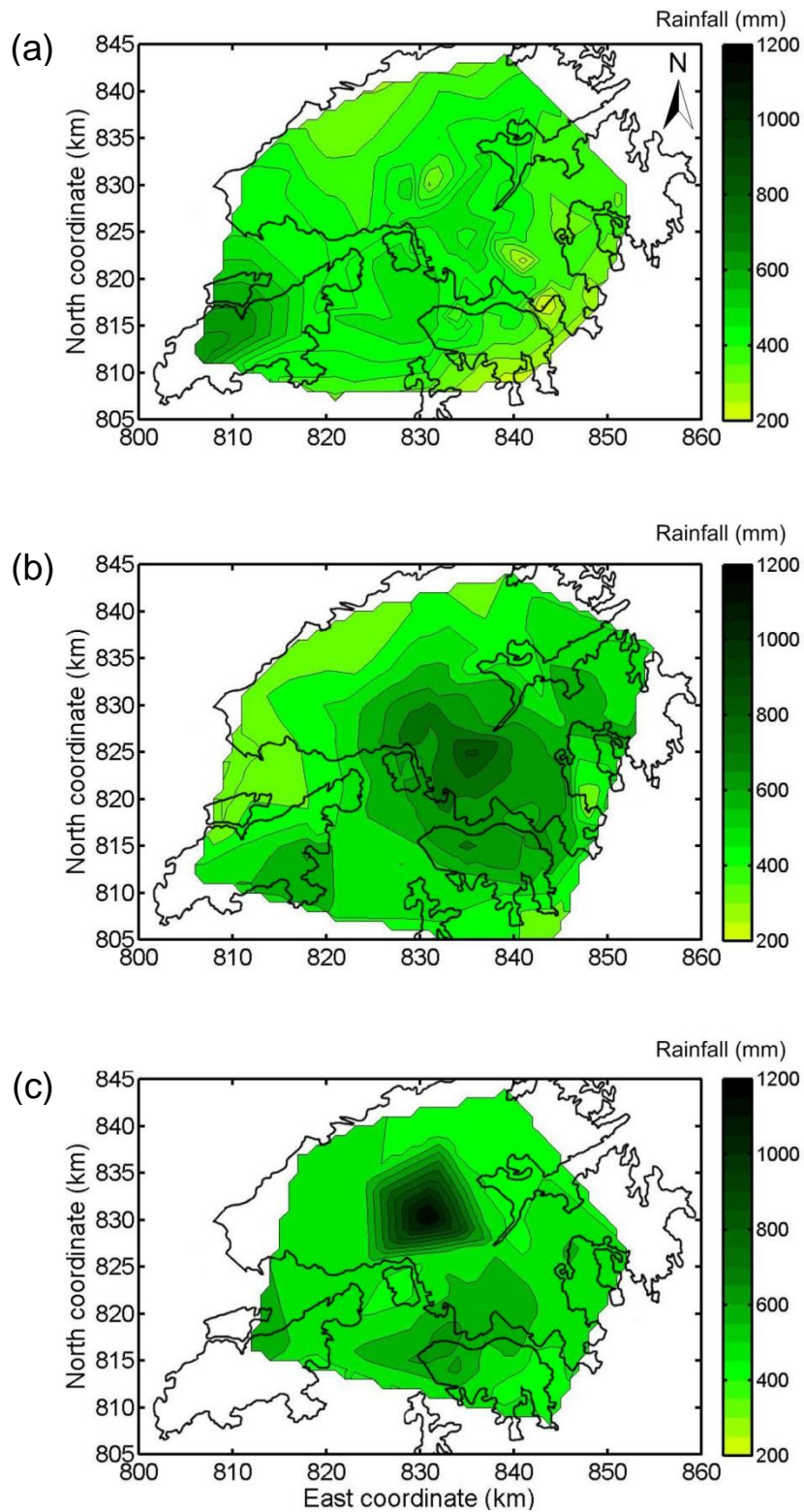
**Figure 4.** 24-hour orographic intensification factors in Hong Kong (modified from AECOM and Lin, 2015).



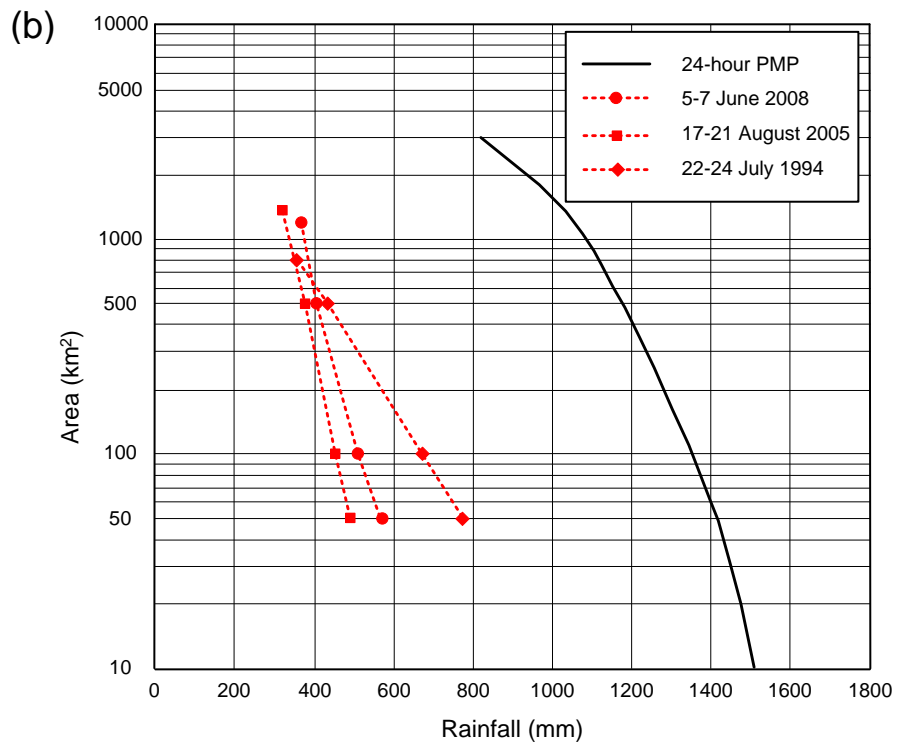
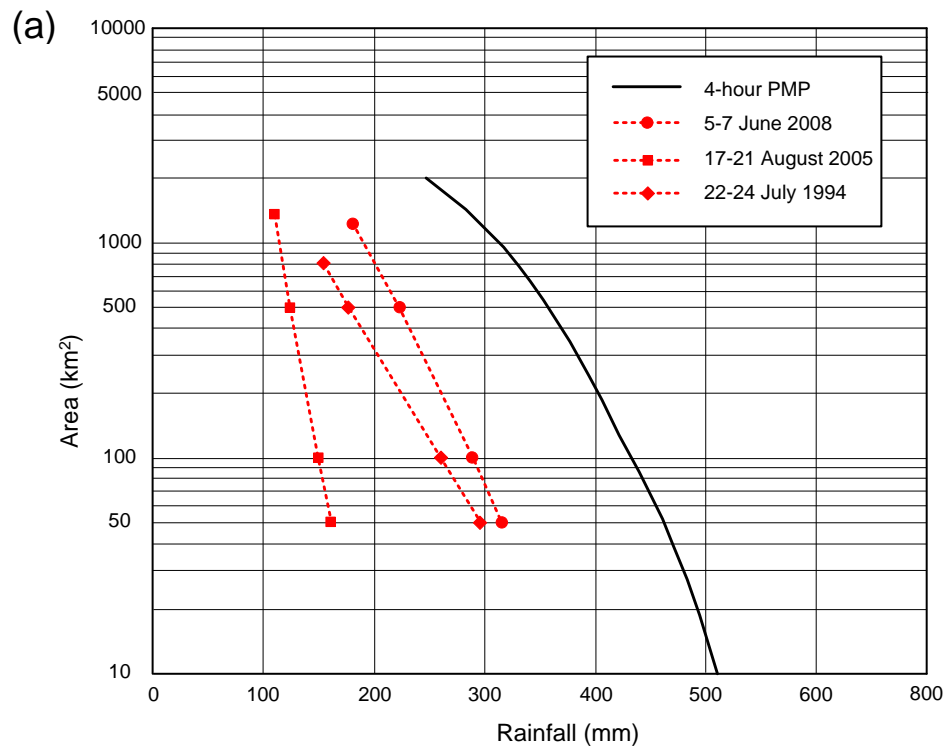
**Figure 5.** Trend surfaces of 24-h PMP with (a) NE-SW orientation  $45^\circ$ ; (b) ENE-WSW orientation  $22.5^\circ$  centred at Hong Kong Island; (c) NE-SW orientation  $45^\circ$ ; (d) ENE-WSW orientation  $22.5^\circ$  centred at Lantau Island; (e) NE-SW orientation  $45^\circ$ ; (f) ENE-WSW orientation  $22.5^\circ$  centred at Tai Mo Shan (modified from AECOM and Lin, 2015).



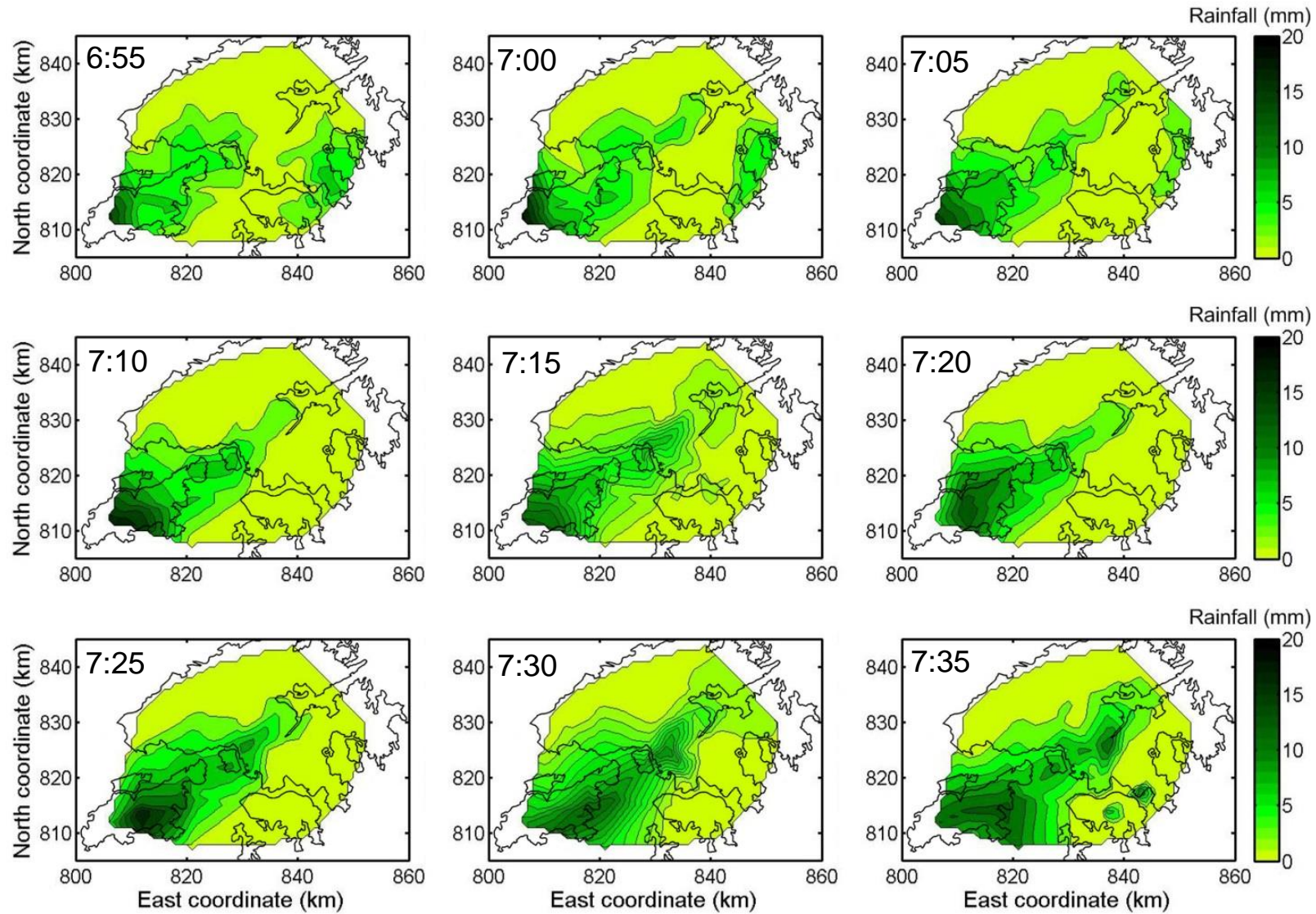
**Figure 6.** Hyetographs of three storms: (a) 5-7 June 2008 storm, Station N19; (b) 17-21 August 2005 storm, Station N01; (c) 22-24 July 1994 storm, Station N14.



**Figure 7.** Spatial distribution of the total rainfall amount: (a) the 5-7 June 2008 storm; (b) the 17-21 August 2005 storm; (c) the 22-24 July 1994 storm.

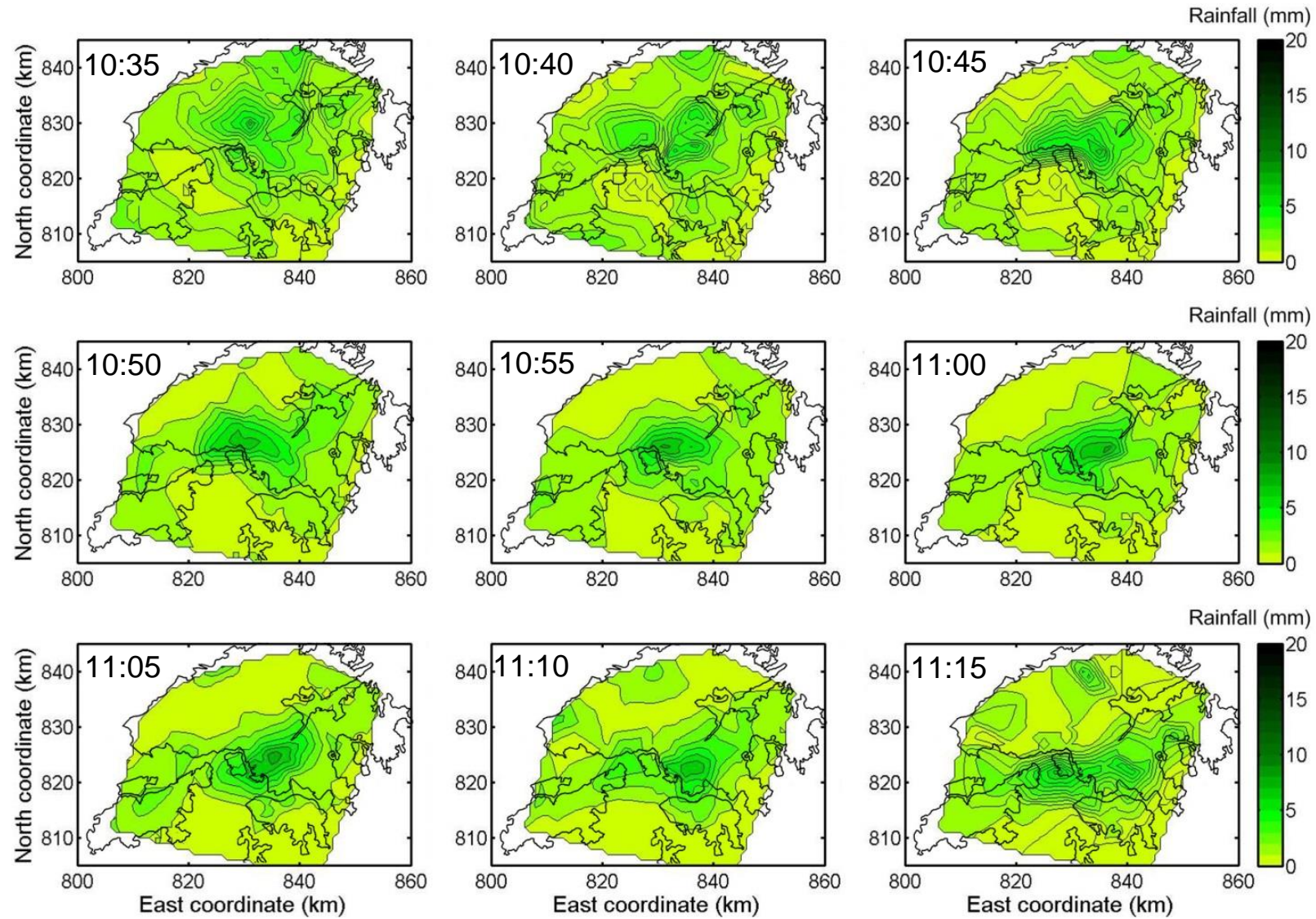


**Figure 8.** Magnitudes of the three storms characterized by (a) 4-h PMP, and (b) 24-h PMP (modified from AECOM and Lin, 2015).

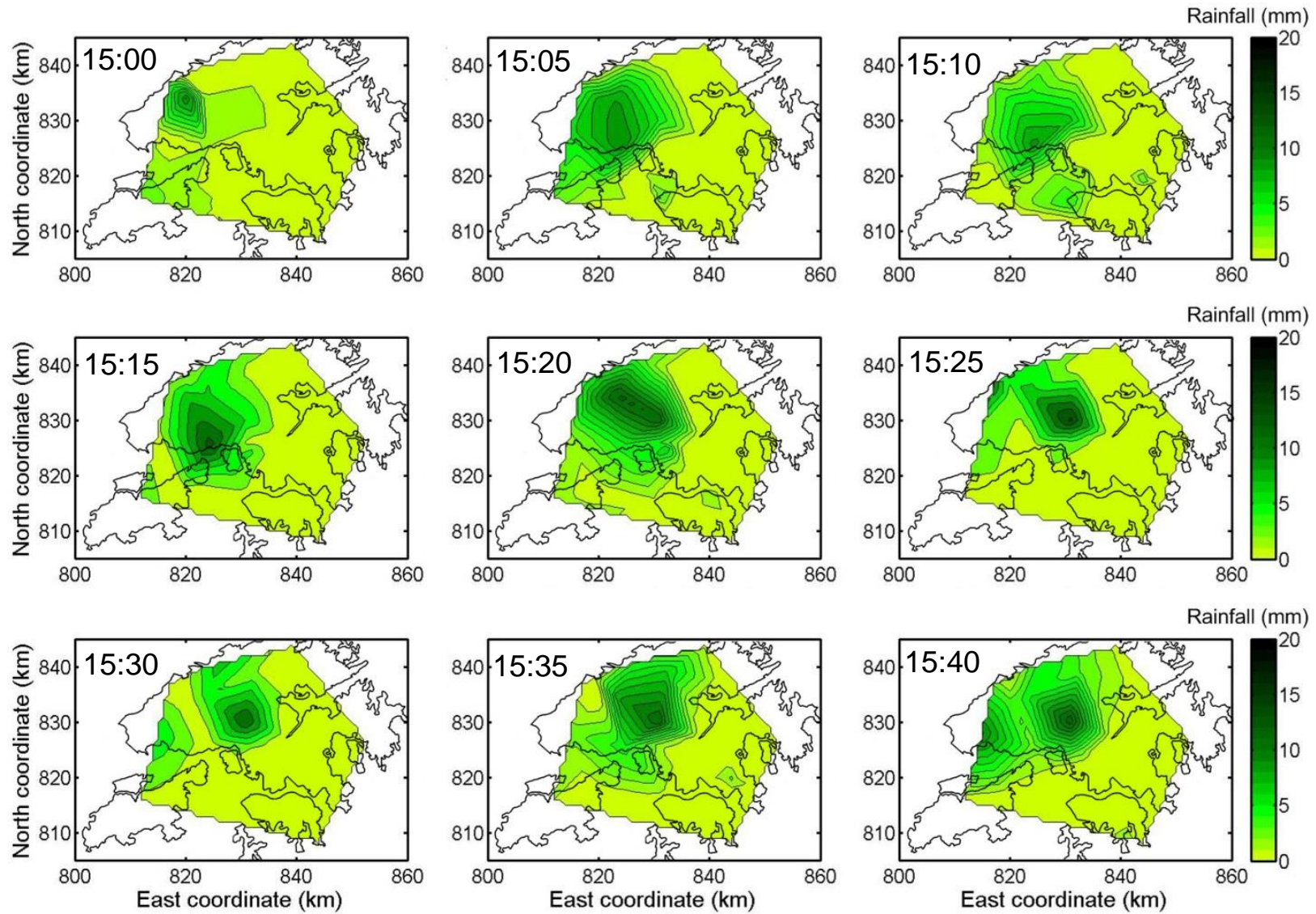


**Figure 9.** Instantaneous rainfall process from 6:55 to 7:35 on 7 June 2008.

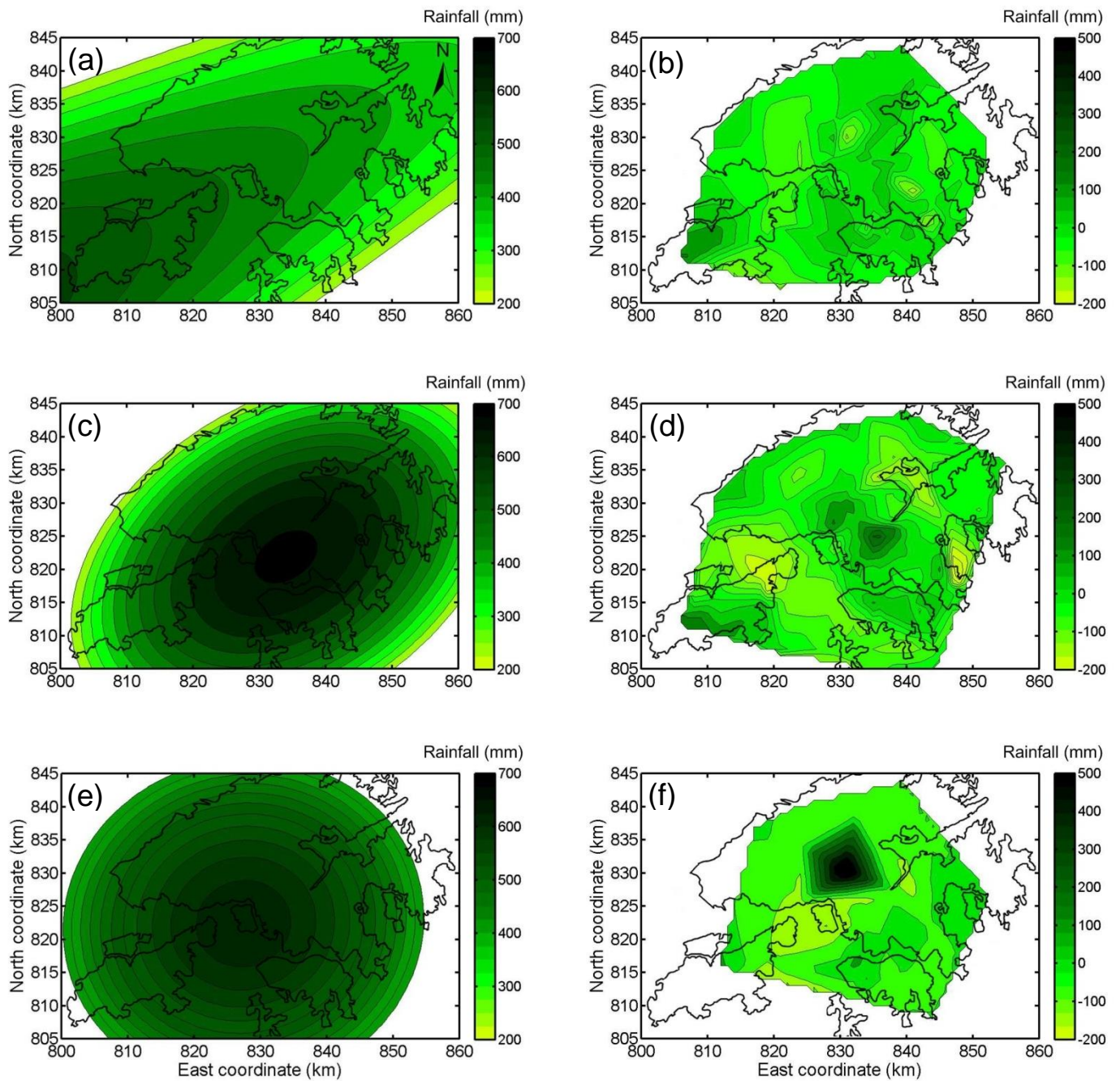




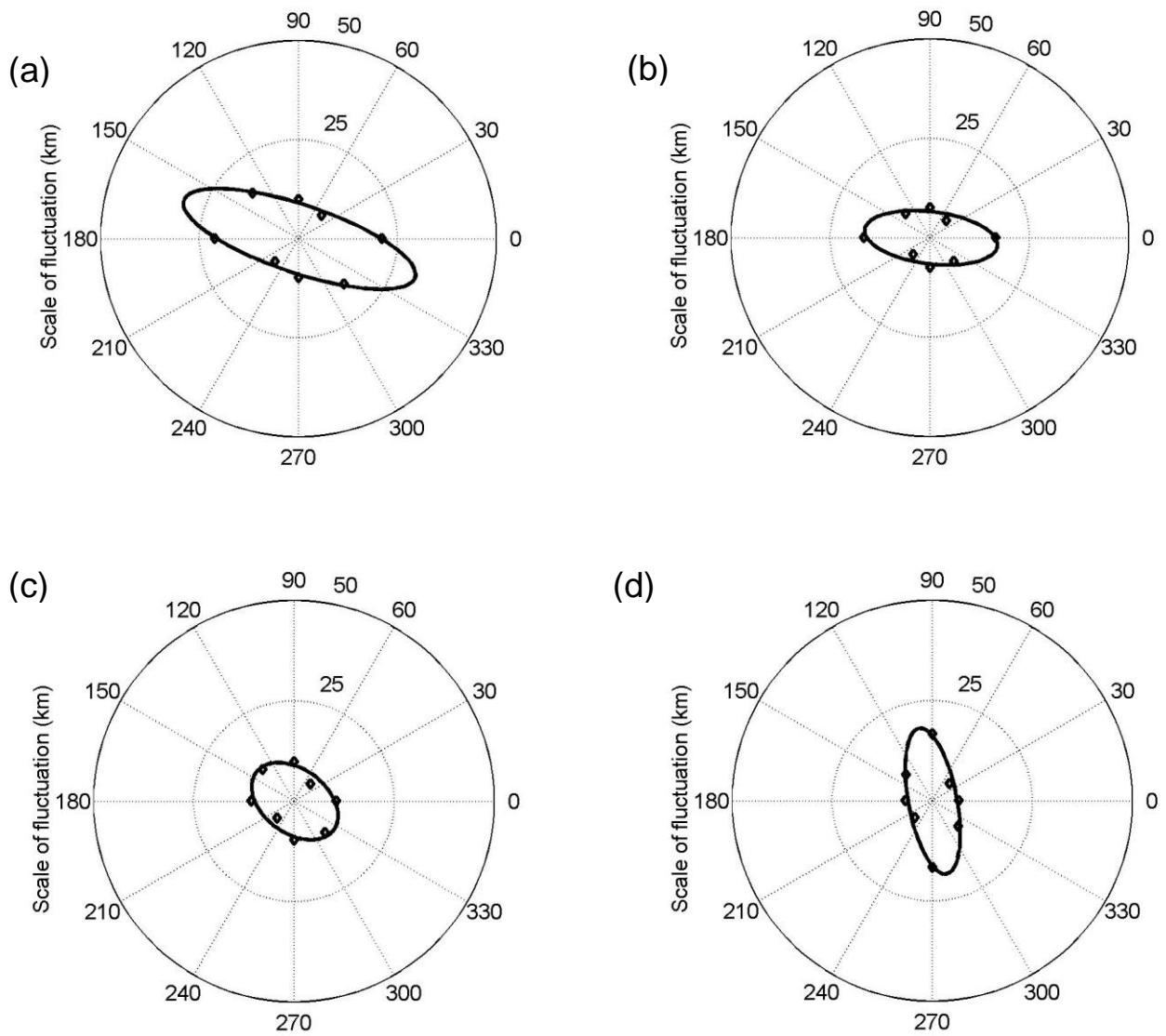
**Figure 10.** Instantaneous rainfall process from 10:35 to 11:15 on 20 August 2005.



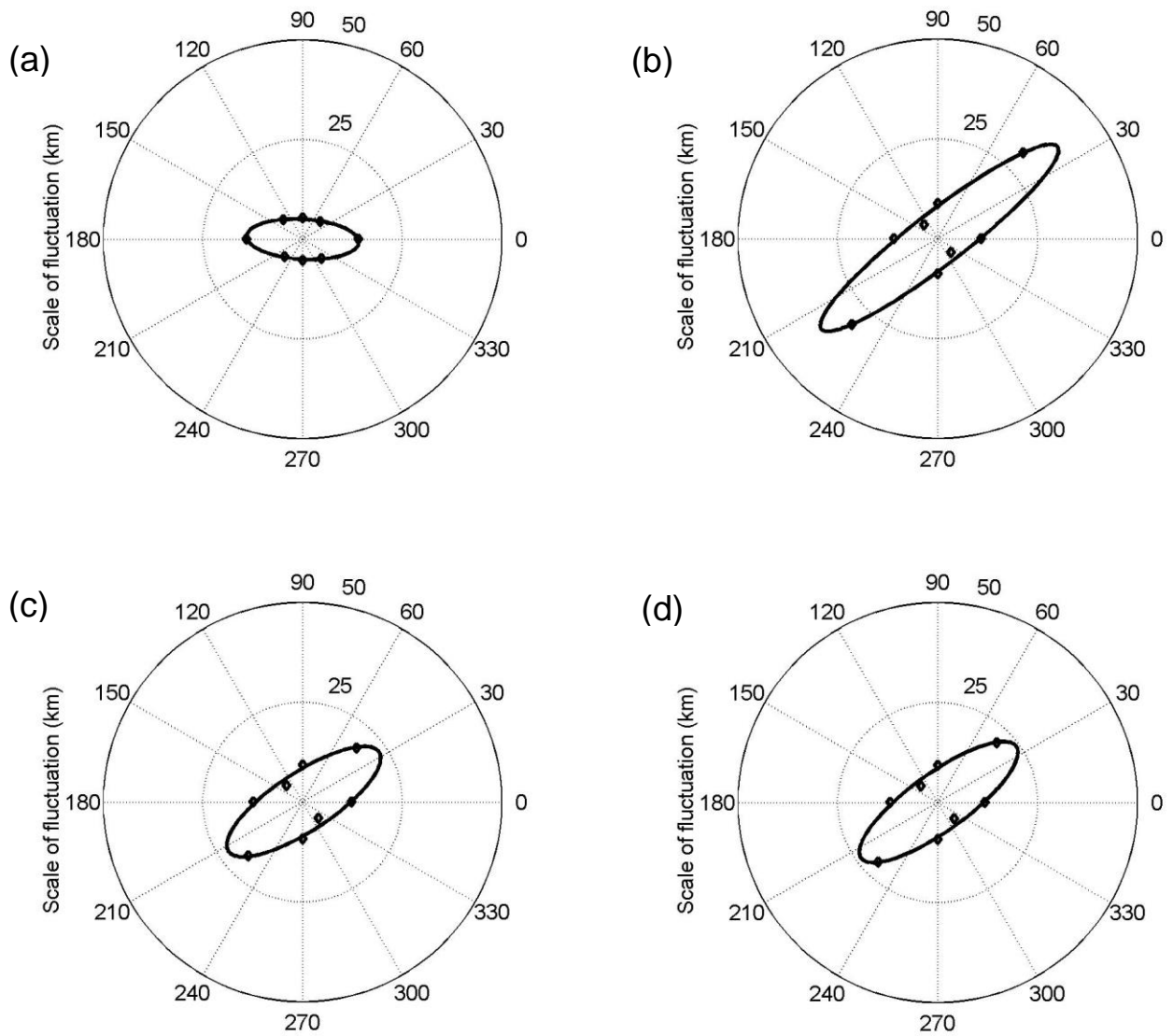
**Figure 11.** Instantaneous rainfall process from 15:00 to 15:40 on 23 July 1994.



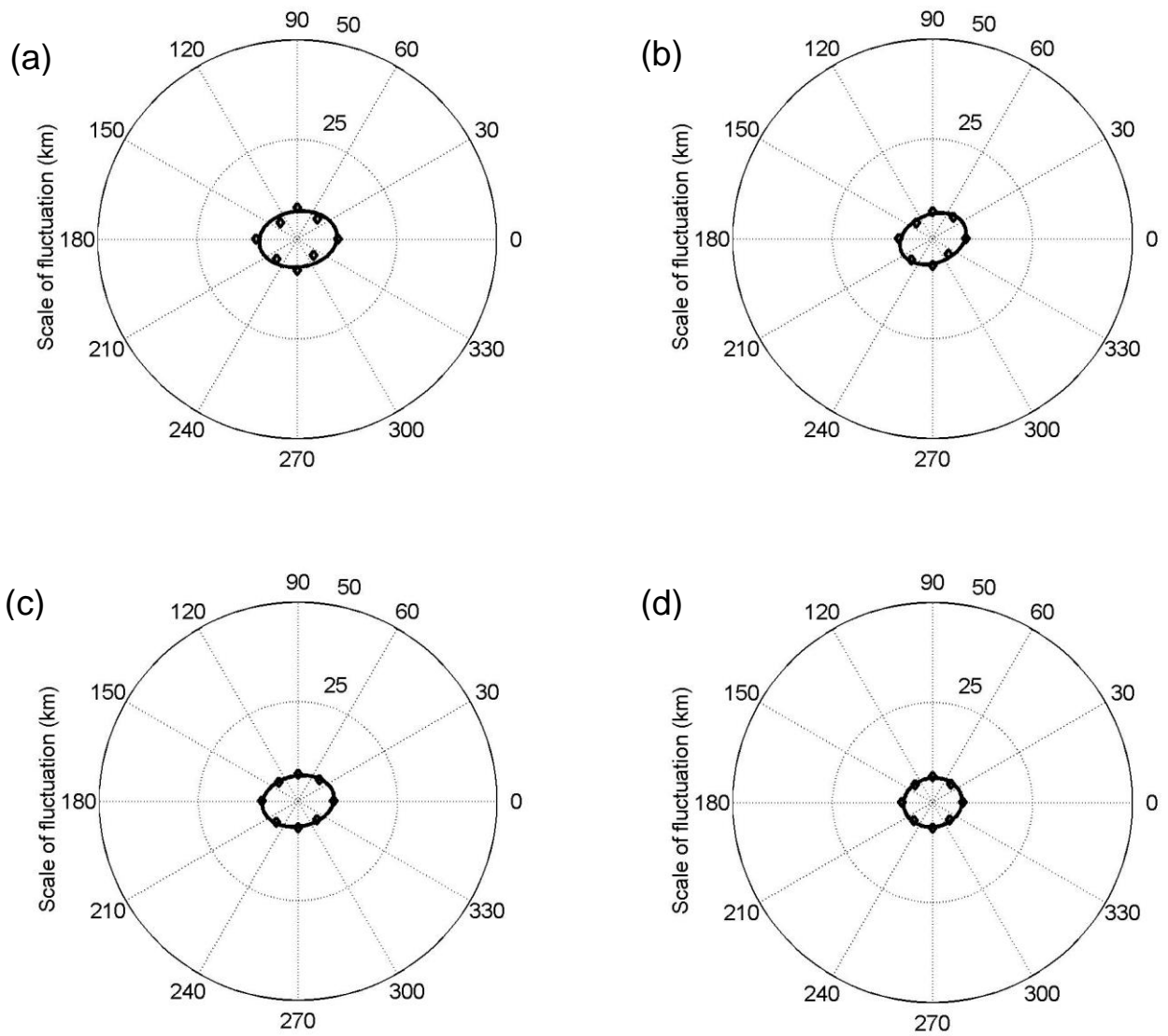
**Figure 12.** Trend surfaces and residuals of the total rainfall amounts: (a) and (b) the 5-7 June 2008 storm; (c) and (d) the 17-21 August 2005 storm; (e) and (f) the 22-24 July 1994 storm.



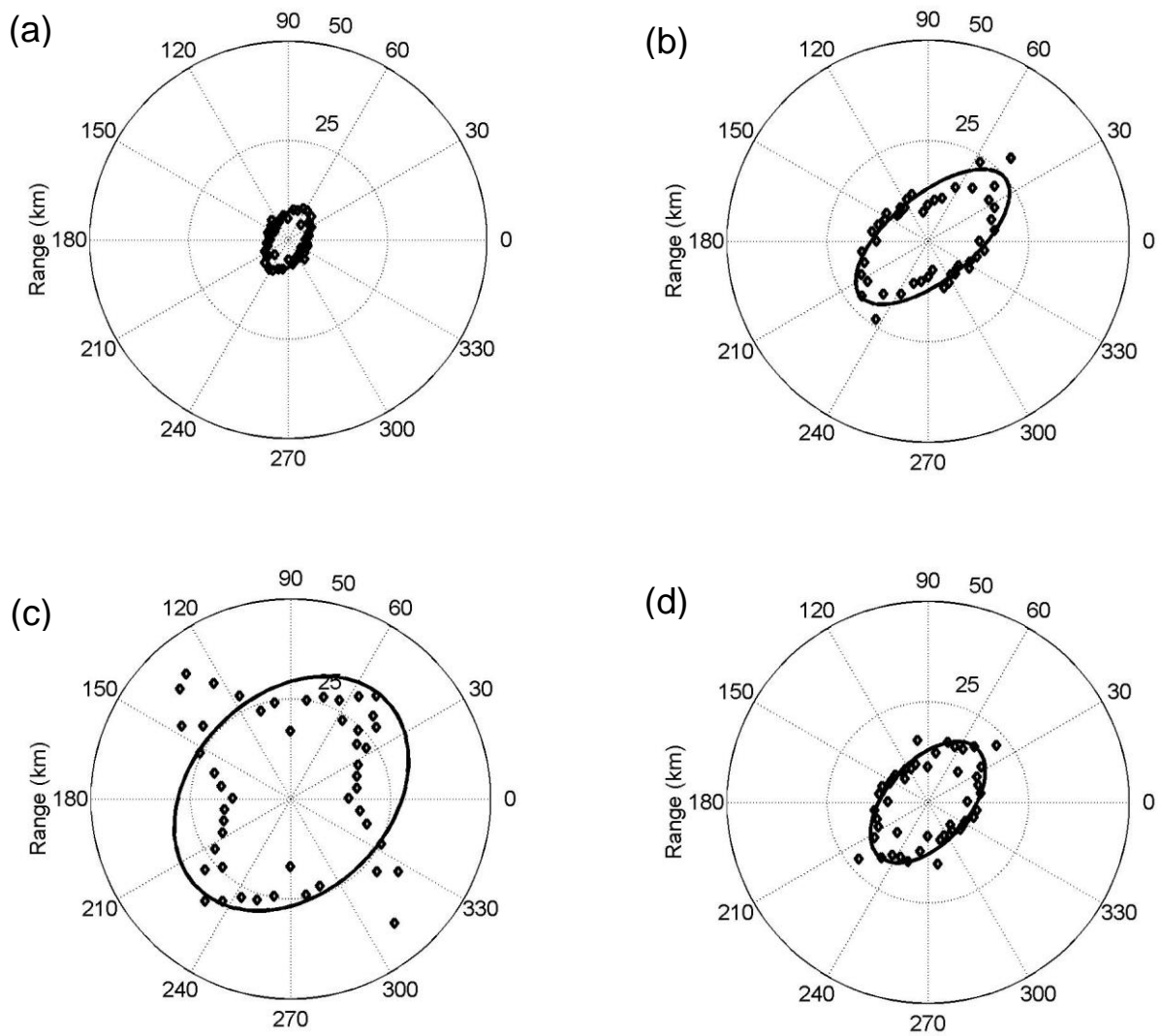
**Figure 13.** Scale of fluctuation values and ellipse-fitting curves for the 5-7 June 2008 storm: (a) maximum rolling 4-h rainfall, (b) maximum rolling 12-h rainfall; (c) maximum rolling 24-h rainfall; (d) maximum rolling 36-h rainfall.



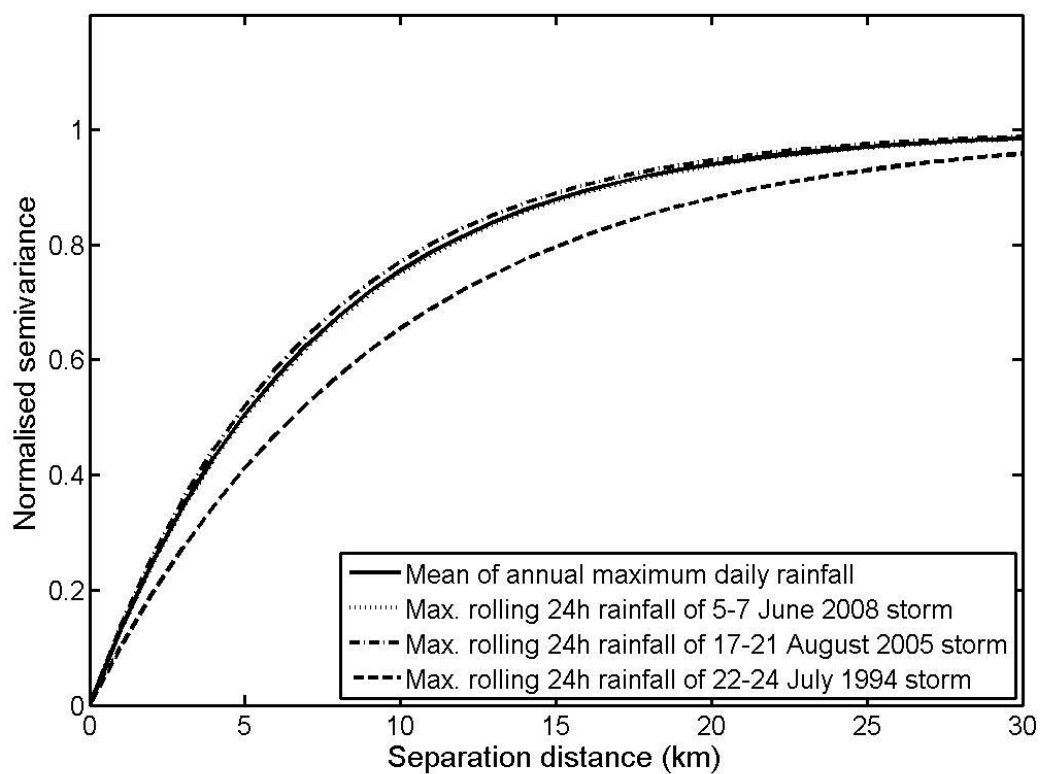
**Figure 14.** Scale of fluctuation values and ellipse-fitting curves for the 17-21 August 2005 storm: (a) maximum rolling 4-h rainfall; (b) maximum rolling 12-h rainfall; (c) maximum rolling 24-h rainfall; (d) maximum rolling 36-h rainfall.



**Figure 15.** Scale of fluctuation values and ellipse-fitting curves for the 22-24 July 1994 storm: (a) maximum rolling 4-h rainfall; (b) maximum rolling 12-h rainfall; (c) maximum rolling 24-h rainfall; (d) maximum rolling 36-h rainfall.



**Figure 16.** Range values for (a) the 18 May 2007 storm (16:30 pm); (b) the 19 May 2007 storm (16:00 pm); (c) the 19 April 2008 storm (20:00 pm); (d) the 15 September 2009 storm (15:00 pm) (modified from Liu, 2013).



**Figure 17.** Normalised semivariances of the maximum rolling 24-hour rainfall of the three storms and the mean annual maximum daily rainfall in Hong Kong.