1	Incorporation of globally available datasets into the roving cosmic-ray neutron probe
2	method for estimating field scale soil water content
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13	
14	Abstract
15	The need for accurate, real-time, reliable, and multi-scale soil water content (SWC)
16	monitoring is critical for a multitude of scientific disciplines trying to understand and predict the
17	earth's terrestrial energy, water, and nutrient cycles. One promising technique to help meet this
18	demand is fixed and roving cosmic-ray neutron probes (CRNP). However, the relationship
19	between observed low-energy neutrons and SWC is affected by local soil and vegetation
20	calibration parameters. This effect may be accounted for by a calibration equation based on local

21 soil type and the amount of vegetation. However, determining the calibration parameters for this equation is labor and time intensive, thus limiting the full potential of the roving CRNP in large 22 surveys and long transects, or its use in novel environments. In this work, our objective is to 23 develop and test the accuracy of globally available datasets (clay weight percent, soil bulk 24 density, and soil organic carbon) to support the operability of the roving CRNP. Here, we 25 develop a 1 km product of soil lattice water over the CONtinental United States (CONUS) using 26 a database of *in-situ* calibration samples and globally available soil taxonomy and soil texture 27 data. We then test the accuracy of the global dataset in the CONUS using comparisons from 61 28 *in-situ* samples of clay percent (RMSE = 5.45 wt. %,  $R^2 = 0.68$ ), soil bulk density (RMSE = 29  $0.173 \text{ g/cm}^3$ ,  $R^2 = 0.203$ ), and soil organic carbon (RMSE = 1.47 wt. %,  $R^2 = 0.175$ ). Next, we 30 conduct an uncertainty analysis of the global soil calibration parameters using a Monte Carlo 31 error propagation analysis (maximum RSME  $\sim 0.035 \text{ cm}^3/\text{cm}^3$  at a SWC = 0.40 cm<sup>3</sup>/cm<sup>3</sup>). In 32 terms of vegetation, fast growing crops (i.e. maize and soybeans), grasslands, and forests 33 contribute to the CRNP signal primarily through the water within their biomass and this signal 34 must be accounted for accurate estimation of SWC. We estimated the biomass water signal by 35 using a vegetation index derived from MODIS imagery as a proxy for standing wet biomass 36  $(RMSE < 1 \text{ kg/m}^2)$ . Lastly, we make recommendations on the design and validation of future 37 roving CRNP experiments. 38

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## 40 1. Introduction

By the year 2050, over nine billion people are predicted to inhabit the Earth (United
Nations, 2015). The monumental task of feeding the projected global population will require a
near doubling of grain production (FAO, 2009). As of today, the majority (~2/3) of water

44 consumption by humans is used for agriculture, where approximately half of all global food production comes from irrigated agriculture (Mekonnen et al., 2011). As such, an increase in 45 food demand will put an even greater demand on fresh water resources, particularly an 46 increasing reliance on groundwater (Mekonnen et al., 2011). The ability to model and forecast 47 the hydrologic cycle will continue to play a major role in effective water resource management 48 in the coming decades. Currently, most land surface models (LSM) aimed at characterizing the 49 fluxes of water, energy, and nutrients, have relied on either sparse point scale SWC monitoring 50 networks (Crow et al. 2012) or remote sensing products with large pixel sizes (~36 km) and 51 52 shallow penetration depths (Kerr et al., 2010 and Entekhabi et al., 2010). A critical scale gap exists between these methods requiring innovative monitoring strategies (Robinson et al., 2008). 53 Moreover, as LSMs continue to move towards highly refined spatial resolutions of 1 km or less 54 55 (Wood et al., 2011), the need for accurate and spatially exhaustive SWC datasets continues to grow (Beven and Cloke, 2012). 56

57 Estimating and monitoring SWC at the appropriate spatial and temporal scale for effective incorporation into LSMs has proven to be a difficult task. On one hand, monitoring networks at 58 the regional (e.g., Nebraska Automated Weather Data Network; AWDN, Oklahoma Mesonet) 59 60 and continental scales (Climate Reference Network; CRN, Soil Climate Analysis Network; SCAN) have continuously recording point sensors. However, these sparse networks are difficult 61 to place in the context of the surrounding landscape given the multifractal behavior that soil 62 63 moisture fields exhibit (Korres et al. 2015). Techniques such as temporal stability analysis (Vachaud et al., 1985) can help improve the representativeness of the monitoring networks but 64 65 require *a priori* spatial information. On the other hand, remote sensing satellites using passive microwaves can monitor global SWC data every few days albeit with large spatial footprints (~36 66

by 36 km, Entekhabi et al., 2010 and Kerr et al., 2010). In addition, passive microwaves lack
significant penetration depths (~ 2-5 cm Njoku et al., 1996), limiting their effectiveness as a
remote sensing input for full root zone coverage in LSMs.

70 Alternatively, the field of geophysics offers a variety of techniques to help fill the spatial and temporal gaps between point sensors and remote sensing products (Bogena et al., 2015). 71 72 Bridging this gap requires both novel geophysical techniques and integrated modeling strategies 73 capable of merging both point and remotely sensed data into a unified framework (Binley et al., 2015). One promising geophysical technique to help fill this need is fixed (Desilets et al., 2010, 74 75 Zreda et al., 2012) and roving cosmic-ray neutron probes (CRNP; Chrisman et al., 2013, Dong et al., 2014), which measure the ambient amount of low-energy neutrons in the air. The low-energy 76 neutrons are highly sensitive to the mass of hydrogen, and thus SWC, in the near surface (Zreda 77 78 et al., 2012). CRNPs estimate the area-average SWC because neutrons are well mixed within the footprint of the sensor which typically has a radius of several hundred meters and depths of tens 79 of decimeters (Desilets and Zreda 2013, Köhli et al., 2015). 80

To date, the CRNP method has been mostly used as a fixed system in one location to 81 continuously measure SWC as part of a large monitoring network (Zreda et al., 2012, Hawdon et 82 al., 2014). Recent advancements have allowed the CRNP to be used in mobile systems to 83 monitor transects across Hawaii (Desilets et al., 2010), monitor entire basins in southern Arizona 84 (Chrisman et al., 2013), compare against remote sensing products in central Oklahoma (Dong et 85 al., 2014), and monitor ~140 agricultural fields in eastern Nebraska (Franz et al., 2015). In order 86 to accurately estimate SWC, the CRNP method relies on a calibration function to convert 87 88 observed low-energy neutron counts into SWC (Desilets et al., 2010, Bogena et al., 2013, see Sec. 2.2 for full details). The calibration procedure requires site specific sampling of both soil 89

90	and vegetation data in order to determine the required parameters. While the calibration of a
91	fixed CRNP is fairly standardized (Zreda et al., 2012; Franz et al., 2012; Iwema et al., 2015,
92	Baatz et al., 2014), the heterogeneous nature of soil and vegetation characteristics across a
93	landscape makes the pragmatic calibration of the roving CRNP a significant challenge.
94	Specifically, the presence of water within vegetation and the soil minerals may alter the shape of
95	the local calibration function and thus accuracy of SWC (Baatz et al., 2015). The need for
96	reliable, accurate, depth-dependent, and localized soil and vegetation spatial information for use
97	in the calibration function is critical in order to fully exploit the potential of the roving CRNP to
98	monitor landscape scale SWC across the globe.
99	The objective of this study is to explore the utility and accuracy of currently available
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100	global soil and vegetation datasets (soil organic carbon, soil bulk density, soil clay weight
101	percent, and crop biomass) for use in the calibration function. To accomplish our objective, we
102	aimed to answer the following questions:
103	1) Can global datasets of soil bulk density, soil organic carbon, and soil clay weight percent be
104	used instead of <i>in-situ</i> sampling within reasonable error for use in the roving CRNP calibration
105	function?
106	2) Can the use of remotely sensed vegetation products, specifically the Green Wide Dynamic
107	Range Vegetation Index (GrWDRVI) be used to quantify fresh biomass with reasonably low
108	error (< $1 \text{ kg/m}^2$ ) for use in the roving CRNP calibration function?
109	To answer these questions, we tested the accuracy of these datasets against <i>in-situ</i> sample
110	datasets of the same parameters. Existing in-situ datasets from across the CONtinental United
111	States (CONUS) were combined with <i>in-situ</i> datasets from eastern Nebraska, which focused on

112 fast growing crops of maize and soybean. Specifically, we tested the accuracy and use of a  $\sim 1$ km global soil dataset (Shangguan et al., 2014). In addition, we examined the use of the Green 113 Wide Dynamic Range Vegetation Index (GrWDRVI, Gitelson, 2004) derived from NASA's 114 MODIS sensor aboard the Terra satellite for use in estimating the amount of fresh crop biomass. 115 The remainder of the paper is organized as follows: In the Methods section, the CRNP 116 117 method is first presented, with emphasis on the integration of the calibration function and soil and vegetation parameters to convert observed low-energy neutron counts into SWC. Next, in-118 119 *situ* methods for estimating the soil and vegetation calibration parameters are discussed, which is 120 followed by discussions on the soil and vegetation products available globally at ~1 km resolution. In the Results section, we first compare the *in-situ* soil sampling against the global 121 122 datasets. Next, we develop a 1 km CONUS soil lattice water map using *in-situ* samples. We then compare the GrWDRVI against in-situ samples from Nebraska to estimate the changes in maize 123 and soybean fresh biomass. Lastly, we present an error propagation analysis investigating the 124 potential uncertainty of using the global soil calibration data vs. local *in-situ* sampling. The paper 125 concludes with a discussion on best practice recommendations for calibrating and validating a 126 roving CRNP experiment. 127

128

## 129 **2. Methods**

130 2.1 Overview of Cosmic-ray Neutron Probe

The CRNP estimates area-averaged *SWC* via measuring the intensity of low-energy neutrons (i.e. ~epithermal) near the ground surface (Zreda et al. 2008, 2012). A cascade of neutrons with a continuous energy spectrum are created in the earth's atmosphere when

134 incoming higher energy particles produced within supernovae interact with atmospheric nuclei (Zreda et al., 2012 and Köhli et al., 2015). After fast neutrons are created, they continue to lose 135 energy during numerous collisions with nuclei in air and soil, and become low-energy neutrons 136 that are detected with the probe. The abundance of hydrogen atoms in the air and soil largely 137 controls the removal rate of low-energy neutrons from the system (Zreda et al. 2012). Water in 138 the near surface soil (i.e. SWC) is one of the largest sources of hydrogen present in terrestrial 139 systems (McJannet et al. 2014). Thus, relative changes in the intensity of low-energy neutrons 140 are overwhelmingly due to changes in the SWC. However, the shape of the calibration function 141 142 (see section 2.2) is somewhat modified by local soil and vegetation parameters (Zreda et al. 2012) reflecting the variation of background hydrogen levels across landscapes. 143

Using a standard neutron detector with a 2.54 cm layer of plastic, Zreda et al. (2008) first 144 described the support volume the detector measures to be a circle of ~300 m in radius with 145 vertical penetration depths of 12 to 76 cm depending on SWC. Recent neutron transport 146 modeling has further refined the footprint area to be a function of atmospheric water vapor, 147 elevation (Desilets and Zreda, 2013), surface heterogeneity (Köhli et al., 2015), vegetation 148 (Köhli et al., 2015), and SWC (Köhli et al., 2015). Köhli et al. (2015) found the footprint to range 149 150 between 130 and 240 m in radius depending on conditions. Despite the varying footprint characteristics, the large measurement area at tens of hectares makes this non-invasive technique 151 an ideal complement to long-term surface energy balance monitoring around the globe. 152 153 Currently, there are >200 fixed CRNP (personal communication with Darin Desilets of HydroInnova LLC, Albuquerque, NM) functioning in this capacity around the United States of 154 America (Zreda et al., 2012), Australia (Hawdon et al., 2014), Germany (Baatz et al., 2014), 155 South Africa, China, and the United Kingdom. The real-time SWC data provide critical 156

infrastructure for use in weather forecasting and data assimilation in LSMs (Shuttleworth et al.,
2013, Rosolem et al., 2014, Renzullo et al., 2014).

159 In addition to the fixed CRNP measuring hourly SWC, a roving version of the CRNP has 160 been used to reliably measure SWC at temporal resolutions as low as 1 minute (Chrisman et al., 2013; Dong et al., 2014) providing the ability to make SWC maps over hundreds of square 161 162 kilometers in a single day. Moreover, Franz et al. (2015) found that a combination of fixed and roving CRNP data in a statistical framework has the ability to form an accurate, real-time, and 163 multiscale monitoring network. With the continued increase in observation spatial scales, the use 164 165 of *in-situ* sampling in the traditional CRNP calibration procedure is no longer practical, thus requiring the use of alternative available datasets to improve its operability. The remainder of 166 this work will first describe the availability of such global datasets and then test the accuracy of 167 using the datasets in the CNRP calibration function. 168

169

## 170 **2.2 The Cosmic-ray Neutron Probe Calibration Function**

In order to convert observed low-energy neutron measurements into SWC, a series of 171 scaling factors, correction factors, and calibration functions have been developed. Zreda (2012) 172 describes in detail the affects from changes in geomagnetic latitude, changes in incoming high-173 energy cosmic-ray intensity, and atmospheric pressure. Rosolem et al. (2013) further describes 174 175 changes in absolute air humidity near the surface. Following these four scaling and correction factors, the corrected low-energy neutron counts can be converted into SWC. Desilets et al. 176 (2010) proposed the original calibration function (Eq. 1) valid for mass based gravimetric 177 measurements which Bogena et al. (2013) further expanded for volumetric water content. The 178

calibration function has been successfully tested against direct sampling and point sensor
measurements with RMSE < 0.03 cm<sup>3</sup>/cm<sup>3</sup> across the globe including arid shrublands in
Arizona, USA (Franz et al., 2012), semi-arid forests in Utah, USA (Lv et al., 2014), to humid
forests in Germany (Bogena et al., 2013), and across ecosystems in Australia (Hawdon et al.,
2014). The original calibration function proposed by Desilets et al., (2010) is:

184 
$$\theta_T = \left(\frac{a_0}{\frac{N}{N_0} - a_1} - a_2\right) \tag{1}$$

185 where  $\theta_T$  (g/g) is the total gravimetric water content,  $a_0 = 0.0808$ ,  $a_1 = 0.3720$ ,  $a_2 = 0.1150$  (see 186 Desilets et al., (2010) for details), *N*(counts per time interval) is the aforementioned low-energy 187 corrected neutron count rate, and  $N_0$  (counts per time interval) is the theoretical counting rate at a 188 location with dry silica soils. Zreda et al. (2012) illustrated that:

189 
$$\theta_T = \theta_p + \theta_{LW} + \theta_{SOC}$$
 (2)

190 where  $\theta_p$  (g/g) is the gravimetric pore water content in the soil,  $\theta_{LW}$  (g/g) is the soil lattice water, 191 and  $\theta_{SOC}$  (g/g) is the soil organic carbon water equivalent. The volumetric soil water content, 192 *SWC*, (cm<sup>3</sup>/cm<sup>3</sup>) is found by multiplying  $\theta_p$  by  $\frac{\rho_b}{\rho_w}$ , where  $\rho_b$  (g/cm<sup>3</sup>) is dry soil bulk density and 193  $\rho_w = 1$  g/cm<sup>3</sup> is the density of water.

To account for effects of time varying above-ground vegetation on the low-energy neutron counts (Franz et al., 2013; Coopersmith et al., 2014), Franz et al. (2015) proposed the following additional correction factor to  $N_0$ :

197 
$$N_0(BWE) = m * BWE + N_0(0)$$
 (3)

where  $N_0(0)$  is the instrument specific estimate of  $N_0$  with no standing biomass, *BWE* is the biomass water equivalent (kg/m<sup>2</sup> ~ mm of water/m<sup>2</sup>), and *m* is the slope of the relationship between  $N_0$  and *BWE*, determined via *in-situ* calibration datasets. The *BWE* is further defined as:

$$202 \quad BWE = SWB - SDB + SDB * f_{WE} \tag{4}$$

where SWB is the standing wet biomass per unit area (kg/m<sup>2</sup> ~ mm of water/m<sup>2</sup>), SDB is the 203 standing dry biomass per unit area (kg/m<sup>2</sup> ~ mm of water/m<sup>2</sup>), and  $f_{WE} = 0.494$  is the 204 stoichiometric ratio of H<sub>2</sub>O to organic carbon (assuming organic carbon is cellulose, C<sub>6</sub>H<sub>10</sub>O<sub>5</sub>). 205 206 Using nine in-situ calibration datasets for maize and soybean crops, Franz et al. (2015) found their roving CRNP had a statistically significant linear relationship between  $N_0$  and BWE 207 yielding  $N_0(0) = 518.34$  counts per minute and m = -4.9506 (R<sup>2</sup> = 0.515 and p-value = 0.03). 208 We note the coefficients are less suitable for forest canopies given the need for a neutron 209 geometric efficiency factor described further in the supplemental material of Franz et al. (2013). 210 We also refer the reader to Coopersmith et al. (2014) and Baatz et al. (2015) for further 211 discussion of CRNP use in forest canopies, and Bogena et al. (2013) for a discussion of below-212 ground biomass and litter layers. In addition, plant specific root-shoot ratios (Peichl et al., 2012) 213 or allometric relationships (Jenkins et al., 2003) may be used to derive a better understanding of 214 the impact of time-varying below-ground biomass on  $N_0$ . This is an open and challenging 215 research area and beyond the scope of the current work. 216

217

# 218 2.3 In-situ Soil and Vegetation Calibration Parameters

219 In the simplest form, the calibration function summarized in equations (1-4) requires depth-average estimates of three soil parameters,  $\theta_{LW}$ ,  $\theta_{SOC}$ , and  $\rho_b$ , and two vegetation 220 parameters SWB and SDB. We note that depth-weighted average parameters, belowground 221 biomass, and depth-weighted SWC are needed to fully understand the decreasing sensitivity of 222 the CRNP with depth as recommended elsewhere (Bogena et al., 2013 and Köhli et al., 2015). 223 As a first step, here we will only consider depth and area-average properties given the resolution 224 of the global remote sensing products. We expect future work to improve on these analyses as 225 regional datasets contain higher spatial resolution data. In order to estimate depth and area-226 average soil parameters, Zreda et al. (2012) and Franz et al. (2012) recommended averaging 108 227 individual *in-situ* soil samples from 18 locations (every 60 degrees and radii of 25, 75, 200 m) 228 229 and six depths (every 5 cm from 0-30 cm) within a CRNP footprint. In light of recent modeling work (Köhli et al. 2015), this sampling pattern may need to be adjusted to be more representative 230 231 of encountered conditions (such as shorter sampling distances due to reduced footprint area). 232 Given the mixture of previously published datasets and new datasets used here, we decided to 233 use the original sampling location description. Zreda et al. (2012) found that a composite sample of 1 g of material gathered from each of the 108 samples was adequate to estimate  $\theta_{LW}$  and  $\theta_{SOC}$ . 234 These composite samples can be analyzed directly for lattice water (g/g), soil total carbon (TC, 235 g/g), and inorganic carbon (TIC, g/g) determined by measuring CO<sub>2</sub> after the sample is acidified 236 237 (e.g. by Actlabs of Ontario Canada, Analysis Codes: 4E-exploration, 4F-CO2, 4F-C, and 4F-H2O+/-). Franz et al. (2015) reported  $\theta_{SOC} = (TC - TIC) * 1.724 * f_{WE}$ , where 1.724 is a 238 constant to convert total organic carbon into total organic matter and  $f_{WE}$  is given above. To 239 estimate  $\rho_b$  at each location, Zreda et al. (2012) used a 30 cm long split tube auger, which 240

contained six 5 cm diameter by 5 cm length rings. All samples were then averaged to get acomposite value.

In order to estimate standing wet biomass (*SWB*) and standing dry biomass (*SDB*) in maize and soybeans, Franz et al. (2015) measured average plant density in 1 m<sup>2</sup> quadrats at each of the 18 sampling locations. In a subset of six sites (randomly chosen from one radius for each of the six transects) three plants were removed and placed in a paper bag for weighing within two hours (to minimize water loss). The plants were then dried for five days at 70° C and weighed again. Using the density of plants, wet weight, and dry weight, *SWB* and *SDB* can be determined at each site and averaged across the CRNP footprint.

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# 251 2.4 Global Datasets of Soil Properties

Shangguan et al. (2014) compiled a thirty arc second (~1 km) Global Soil Dataset 252 (GSDE) with 34 soil parameters in 8 layers (0-0.045, 0.045-0.091, 0.091-0.166, 0.166-0.289, 253 0.289-0.493, 0.493-0.829, 0.829-1.383, and 1.383-2.296 m). In order to construct an average 254 value relevant to the CRNP, we arithmetically averaged the top four layers in each grid location 255 256 to form a composite value (~30 cm) over the CONUS. The GSDE contains estimates of soil bulk density and soil organic carbon. In order to construct a map of lattice water, we explored if any 257 relationships existed between clay weight fraction and lattice water following the work of 258 259 Greacen et al. (1981) using active neutron probe calibration procedures developed for Australian soils. In order to account for variations in chemical and physical weathering on lattice water 260 (Zreda et al., 2012), we further partitioned the analyses based on soil order. A global soil order 261 map with a resolution of five arc minutes (~ 8 km) containing 25 major soil classifications was 262

263 first uploaded to ArcMap (ESRI, v. 10.2.2) and clipped to the CONUS. The 25 soil classifications were then categorized into 12 major classifications of U.S. soil taxonomy (see Fig. 264 1, personal communication with Prof. M. Kuzila, University of Nebraska-Lincoln, Soil Survey 265 Staff, 1999). The reduction from 25 to 12 soil classifications allowed us to generate larger 266 sample sizes for each classification from the available calibration datasets. Using the available 267 268 lattice water samples from Zreda et al. (2012) and additional samples collected *in-situ* over 2014, we analyzed if any statistically significant relationships existed between GSDE clay weight 269 percent and 61 in-situ lattice water samples for each of the US soil orders (Table S1). We note 270 271 that this procedure could be used globally if *in-situ* lattice water samples were available for all 25 soil taxonomic groups. From these relationships, a map of the CONUS lattice water weight 272 percent was developed by using either the mean value of the *in-situ* lattice water or the linear 273 relationships between clay weight percent (from the GSDE) and the lattice water *in-situ* samples. 274 A statistically significant p value (<0.05) was used to discriminate between using the mean 275 values and linear relationship. Additionally, *in-situ* samples of soil organic carbon, bulk density, 276 and clay weight percent were compared against the same parameters derived from the GSDE. 277

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# 279 2.5 Global Datasets of Vegetation Properties

In order to estimate *SWB* and *SDB*, we downloaded remotely sensed 500 m MODIS reflectance data from NASA's Terra satellite (http://earthexplorer.usgs.gov/). To calibrate and validate the *in-situ* vegetation data to the remotely sensed vegetation estimates, we sampled two different agricultural areas in eastern Nebraska. The MODIS reflectance data were used to generate a widely used vegetation index (see detailed information below), and then calibrated against historical biomass data (2003-2013) from 3 fields near Mead, NE. Each field is part of

286 the AmeriFlux network (http://ameriflux.ornl.gov/) with data going back to 2001 (site description given in Suyker et al., 2005). Each field is approximately 65 ha in area. Field 1 287 (Mead Irrigated/US-Ne1, 41.1650°, -96.4766°) is irrigated with continuous maize. Field 2 (Mead 288 Irrigated Rotation/US-Ne2, 41.1649°, -96.4701°) is irrigated with a rotation of maize and 289 soybean. Field 3 (Mead Rainfed/US-Ne3, 41.1797°, -96.4396°) is rainfed with a rotation of 290 maize and soybean. At these three fields, destructive biomass samples were collected 291 approximately every two weeks at 6 different locations in the field, typically consisting of 30-35 292 individual plants per sampling bout. From the destructive sampling bouts, we were able to 293 294 compute SWB and SDB. The sites, with their long sampling records consisting of both rainfed and irrigated soybean and maize, are an ideal location for calibrating the remote sensing 295 reflectance data and vegetation indices. In order to validate the derived vegetation index and 296 297 coefficients from the above mentioned three sites, we used 4 bouts of destructive biomass sampling at two fields (each approx. 65 ha.) during 2014 near Waco, NE (Franz et al. 2015). The 298 fields were irrigated maize (40.9482°, -97.4875°) and irrigated soybean (40.9338°, -97.4587°). 299 SWB and SDB were collected following the protocol described in section 2.3. 300

A total of 924 MODIS images over the growing seasons (May to October) between 2003 301 302 and 2014 were downloaded for calibration and validation of the corresponding destructive biomass samples at the five field sites in central and eastern Nebraska (note: MODIS images 303 from the closest date to *in-situ* sampling were used with up to a 4 day offset). We extracted the 304 305 MODIS reflectance data in the green and near-infrared electromagnetic spectrum range. Next, we removed any pixels that were skewed by incidental cloud cover (Nguy-Robertson & Gitelson, 306 2015). The resulting data were then transformed from separate reflectance images into the Green 307 Wide Dynamic Range Vegetation Index (GrWDRVI; Gietelson, 2004): 308

$$309 \quad GrWDRVI = \frac{(0.1*Near \, Infrared-Green)}{(0.1*Near \, Infrared+Green)} \tag{5}$$

310	where near-infrared light (MODIS band 2) has wavelength between 841 and 876 nm and green
311	light (MODIS band 4) has wavelength between 545 and 565 nm. The GrWDRVI has been shown
312	to have better correlations with observed <i>in-situ</i> biomass as compared to other vegetation indices
313	such as NDVI (Nguy-Robertson et al., 2012; Nguy-Robertson & Gitelson, 2015). We then
314	investigated if any relationships existed between GrWDRVI and SWB and SDB. We note that a
315	variety of vegetation indices exist in the literature (c.f. Kumar et al. 2015 and Duncan et al.
316	2015) and that this analysis is a first step for use with maize and soybean. We anticipate that
317	other vegetation indices may be more appropriate with use in other crops or vegetation types and
318	more research is needed in this area.

#### 320 **2.6 Error Propagation Analysis of GSDE Soil Properties**

We used a Monte Carlo analysis to estimate the expected uncertainty if the GSDE 321 parameters were used instead of *in-situ* estimates. The statistical metrics of root mean square 322 323 error (RMSE), mean absolute error (MAE), and bias describe the error propagation in the Monte Carlo simulation experiment. From the 61 CONUS in-situ samples and the GSDE soil properties, 324 we estimated the mean difference and the covariance matrix for  $\theta_{LW}$ ,  $\theta_{SOC}$ , and  $\rho_b$ . With these 325 data, we simulated 100,000 realizations of the "true" (i.e. from the *in-situ* sampling) and 326 perturbed soil properties using a multivariate normal distribution. Using a range of observed 327 328 neutron counts and solving equations (1-2) with the true and perturbed soil properties, we also estimated the true and perturbed SWC. In order to provide realistic constraints on the error 329 propagation results, we assumed soil bulk density was constrained between 1.2-1.5 g/cm<sup>3</sup>, lattice 330

water between 1-8 wt. %, soil organic carbon between 0-8 wt. %, and *SWC* between 0.03-0.45
cm<sup>3</sup>/cm<sup>3</sup>. Simulated and calculated values outside of these bounds were either reset to the
minimum or maximum value or removed from the Monte Carlo statistics. A minimum threshold
of 70% of simulated cases was used to compute all error statistics for each case. We note that the
effects of growing biomass were not included here given the lack of available calibration datasets
at all sites, but could be incorporated in future work following a similar methodology.

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338 3. Results
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# 339 3.1. Comparison of *In-situ* and Global Soil Calibration Parameters

The comparisons between observed clay weight percent, soil bulk density, soil organic 340 carbon and the GSDE values are summarized in Table S1 and Figure 2 a, b, c for the 61 341 sampling sites within the CONUS. Other than 1 outlier (see discussion in 4.1.), the comparison 342 between the mean observed and GSDE clay weight percent (of sites that had clay weight 343 percent) behaved well (RMSE = 5.45 wt. %,  $R^2 = 0.68$ ) considering the difference in scale and 344 methods. The comparisons between soil bulk density (RMSE =  $0.173 \text{ g/cm}^3$ , R<sup>2</sup> = 0.203) and soil 345 organic carbon as it was during the various 2011-2014 sampling campaigns, (RMSE = 1.47 wt. 346 %,  $R^2 = 0.175$ ) generally followed the same positive trend. We note that the slope of the 347 relationships for soil bulk density and soil organic carbon is different from 1 and can lead to 348 biased results. Caution should be used for using these estimates as opposed to local in-situ 349 sampling. 350

In order to construct a map of the CONUS lattice water, we investigated if any significant relationships existed between GSDE clay wt. % and observed lattice water for each US soil

353 taxonomic group (Table 1). We found that a significant linear relationship existed between clay wt. % and lattice water for all 61 sites ( $R^2 = 0.183$ , p value <0.001). However, after partitioning 354 the sites into soil taxonomic groups, only the mollisol taxonomic group yielded a statistically 355 significant relationship ( $R^2 = 0.539$ , p value <0.001). Therefore, in order to construct a CONUS 356 lattice water map, we used the mean values for six taxonomic groups and neglected the 357 remaining five taxonomic groups due to an inadequate number of samples (Figure 3). Figure 2d 358 illustrates the comparison between the derived and observed lattice water for the 61 CONUS 359 sites (RMSE = 1.299 wt. %,  $R^2 = 0.315$ ). Table S1 summarizes the observed and GSDE values 360 for all 61 sites and Table 2 summarizes the mean difference and covariance matrix between the 361 *in-situ* values and GSDE values. The mean difference and covariance differences were used in 362 the error propagation analysis described in section 2.6 and 3.3. We note that each of the mean 363 differences followed a normal distribution (see Table S1 for *in-situ* and GSDE values). 364

365

#### 366 3.2. Comparison of *In-situ* and Remotely Sensed Vegetation Calibration Parameters

Using the 11 years of destructive vegetation sampling from 3 fields near Mead, NE, we 367 found that the GrWDRVI was able to reasonably predict SWB when partitioning the data into 368 maize and soybean, irrigated and rainfed, and green-up/mature and senescence periods of crop 369 development (Figure 4 and Tables S2 and S3). Figure 4a and 4b illustrate the logistic functions 370 that were used to predict SWB for maize green-up (RMSE =  $0.88 \text{ kg/m}^2$ ) and soybean green-up 371 (RMSE =  $0.47 \text{ kg/m}^2$ ). We note that *SWB* relationships with *GrWDRVI* indicate that *GrWDRVI* 372 values less than 0.25 equated to the absence of SWB. During senescence, we found that a second 373 order power law function fit the data well. We found the maize senescence functions (DOY> 374 210) needed to be further partitioned by irrigated and rainfed conditions as limitations in soil 375

376 water will occur more quickly with mature plants that utilize the entire root zone. The resulting functions for irrigated maize during senescence (RMSE =  $0.75 \text{ kg/m}^2$ ) and rainfed maize during 377 senescence (RMSE =  $0.92 \text{ kg/m}^2$ ) behaved well. For the soybean senescence function 378 (DOY>230), we found a single function behaved reasonably well for both irrigated and rainfed 379 conditions (RMSE =  $0.45 \text{ kg/m}^2$ ). As expected from previous research (Ciganda et al, 2008; 380 Peng et al. 2011), we found that the *GrWDRVI* was a poor predictor of *SDB*/percent water 381 content of the vegetation. We will discuss the reasons and alternative strategies for estimating 382 SDB in section 4.2. 383

384 Using the derived relationships from the three study sites near Mead, NE, we applied the equations to our two study sites near Waco, NE (~ 88 km from Mead, NE, Figure 5 and Tables 3 385 and 4). Figure 5 illustrates the time series of SWB using the 8 day MODIS product in 386 combination with the derived equations for both field sites. The figure also illustrates the 387 observed destructive sampling for 4 different sampling bouts. With the limited data, we found 388 the time series of SWB calculated from the MODIS data followed the expected green-up and 389 senescence SWB behavior for both the irrigated maize and soybean. The GrWDRVI derived SWB 390 largely captured the maximum observed value for both the irrigated maize  $(6.58 \text{ kg/m}^2 \text{ vs. } 6.2 \text{ kg/m}^2 \text{ vs. } 6$ 391  $kg/m^2$ ) and irrigated soybean (2.61 kg/m<sup>2</sup> vs. 1.81 kg/m<sup>2</sup>). The largest discrepancy was during 392 the maize green-up period (DOY 183) where the observed value was 2.4 kg/m<sup>2</sup> and  $\sim$ 4.0 kg/m<sup>2</sup> 393 calculated from the *GrWDRVI*. While the derived equations behaved well for this limited 394 395 validation dataset, the equations should be tested at additional sites where other crop and soil types may influence the function coefficients. Overall, the equations and regression fits resulting 396 in  $RMSE < 1 \text{ kg/m}^2$  are within the uncertainty of destructive biomass sampling in crops (Franz et 397 al., 2013; 2015). We note that  $1 \text{ kg/m}^2$  is approximately equal to 1 mm of water or about 0.0033 398

399 cm<sup>3</sup>/cm<sup>3</sup> of SWC over 300 mm. This indicates that for relatively small changes in *BWE* it will be 400 nearly indistinguishable from the noise in the CRNP measurements. By having general *SWB* 401 relationships (for eastern Nebraska) through time using the 8 day MODIS data, this could allow 402 for reasonable biomass corrections to  $N_0$  with minimal effects (<0.01 cm<sup>3</sup>/cm<sup>3</sup>) on the overall 403 estimation of *SWC*.

404

## **3.3. Results of GSDE Soil Properties Error Propagation Analysis**

406 In order to further assess the accuracy of our datasets, we synthetically altered the parameters via a Monte Carlo error analysis. This was done using the GSDE soil parameters 407  $(\theta_{LW}, \theta_{SOC}, \text{ and } \rho_b)$  as compared to using local sampling (Figure 6). The analysis revealed that 408 for the given bounds of  $\theta_{LW}$ ,  $\theta_{SOC}$ , and  $\rho_b$ , the maximum RSME was around 0.035 cm<sup>3</sup>/cm<sup>3</sup> at a 409  $SWC = 0.40 \text{ cm}^3/\text{cm}^3$ . The asymmetric shape of all the curves is expected given the nonlinear 410 calibration function given in Eq. (4) and the bounded nature of soil moisture. We found that  $\rho_{h}$ 411 was by far the most sensitive parameter, followed by  $\theta_{LW}$  and then  $\theta_{SOC}$ . We expect the 412 influence of vegetation changes to be small on the overall accuracy of SWC ( $<0.01 \text{ cm}^3/\text{cm}^3$ ) 413 given the low RMSE described in section 3.2 (<  $1 \text{ kg/m}^2$ , which is ~1 mm of water or 0.0033 414  $cm^{3}/cm^{3}$  for a soil depth of 300 mm). We also note the critical factor in the error propagation 415 analysis is the assumed range of  $\rho_b$ , given that it is directly multiplied by the gravimetric water 416 content in the calibration function. Therefore, future sampling efforts or evaluations of available 417 datasets should seek to improve the accuracy of bulk density, meaning better estimates of the 418 mean, standard deviation, quantiles, and impact of land use practices on bulk density. 419

### 421 4. Discussion

### 422 4.1. Global Soil Calibration Parameters

The correlation between observed and GSDE clay content was very strong (Figure 2a) for 423 all 61 sites in the CONUS except for the site in south central Texas (29.9492°, -97.9966°). The 424 site occurred near a transition from vertisol to alfisol soil taxonomic groups; the site may have 425 been improperly categorized (Table S1) or may have straddled a sharp gradient in clay contents. 426 The strong correlation of the GSDE clay content with the observed values allowed us to use the 427 428 GSDE clay content in understanding the correlation between clay content and lattice water organized by US soil taxonomic groups (Table 1). A strong correlation was only found for clay 429 content and lattice water for the mollisol soil taxonomic group (see Greacen, 1981; Zreda et al., 430 431 2012). This strong correlation is significant because large areas of the Midwest and Great Plains regions of the United States are made up of mollisol soils. Globally, mollisol soils comprise 432 about 7% of the land surface (United Nations 2007) but contain some of the highest productive 433 grassland and crop areas (i.e. Central USA, Argentina, Central Eurasia). As such, the roving 434 CRNP method remains applicable within grassland agricultural settings. No significant linear 435 relationships with clay content were found for alfisol, aridisol, entisol, inceptisol, spodosol, or 436 ultisol. Instead the mean value was assigned to the alfisol, aridisol, entisol, inceptisol, spodosol, 437 and ultisol soil taxonomic groups when generating the CONUS map. We found the differences in 438 most of the soil taxonomic mean values were statistically significant among different taxonomic 439 groups given the small standard errors of the means (not shown but can be calculated from data 440 in Table 1). The current analysis did not contain enough samples for the soil taxonomic groups 441 of andisol, gelisol, histosol, oxisol, or vertisol to perform a linear regression or assign a mean 442 value. We recommend future work to consider repeating the analysis for a larger dataset using 443

the FAO 2007 (United Nations 2007) soil classification of all 25 groups (also classified for our 444 sites in Table S1). Given the widespread interest in both the fixed and roving cosmic-ray 445 technology, a database of lattice water and clay content for each site could be developed. In 446 addition, warehouses like the Natural Resources Conservation Service (NRCS) in Lincoln, NE 447 contain stored samples from around the USA. This warehouse with others around the globe 448 could be further sampled to help complete the global dataset for use by the cosmic-ray 449 community. Finally, the NRCS regularly updates the Soil Survey Geographic Database 450 (SSURGO), which contains higher spatial resolution and vertically resolved estimates of soil 451 452 texture and structure (i.e. clay content and bulk density). With the defined regression relationships and soil taxonomic groups, better spatial maps of lattice water could be generated. 453 This may become important for applications of the rover at scales less than 1 km, such as using it 454 for applications in precision agriculture as well as increasing the reliability of the calibration 455 function. 456

The correlation between the observed and GSDE soil organic carbon was fairly poor, 457 particularly at the high end (> 4 wt. %). The history of land use is critical in determining carbon 458 pools and how they change through time (Post et al., 2000) and may not be well represented in 459 460 the GSDE. For arable lands, we note that organic carbon has a relatively small impact on the calibration function as it is multiplied by several factors in the calibration equation, and is 461 relatively low and homogeneously distributed in the A-horizon due to land management 462 463 activities. However, in grassland and forest sites, high SOC amounts and strong SOC vertical gradients typically exist in the top soil and may need to be quantified with local in-situ sampling 464 (e.g. Bogena et al., 2013). For rover survey experiments in these areas, we suggest that SOC be 465

sampled with composite samples, particularly between sites with varying land use historieswhich can be identified using historical land cover maps.

468 Observed *in-situ* soil bulk density and GSDE bulk density exhibited a positive relationship, albeit with low  $R^2$ . The poor fit and sensitivity of the parameter in the calibration 469 function increases the importance of identifying the range and variability of bulk density within 470 471 the rover sample domain. The variability shown here by the standard deviation of the bulk density for the individual point samples within the 28 ha sample domain varied between 0.1 and 472  $0.2 \text{ g/cm}^3$ . Moreover, estimating the quantiles of bulk density at a site is key given the 473 474 propagation of error analysis presented in section 3.3. Thus, this result supports direct sampling at key locations (along gradients of land use, soil taxonomic groups, etc.) to constrain the 475 476 quantiles of expected bulk density values. We also suggest that for rover surveys in the USA (and regional elsewhere), additional higher resolution datasets like SSURGO, and its derivatives 477 (e.g. Polaris, Chaney et al., 2016), be used instead of the 1 km GSDE (in particular bulk density 478 data as a function of depth), as significant small scale variability may be averaged out. This may 479 be critical to account for in future roving CRNP research areas, such as precision agriculture or 480 small scale watershed monitoring where significant soil texture variation may exist at short 481 482 length scales. We note that this analysis is a first step in the incorporation of existing soil databases that will no doubt continue to increase in spatial resolution and accuracy. Given the 483 increasing use of the roving CRNP technology, we anticipate similar analyses and procedures 484 485 will be undertaken on regional and local scales from existing and new databases as they become available. 486

487

### 488 4.2. Global Remotely Sensed Vegetation Calibration Parameters

489 The comparison of 11 years of destructive vegetation samples from maize and soybeans at 3 sites in eastern Nebraska indicated that the GrWDRVI was able to predict SWB in 490 agricultural fields, especially when partitioned into green-up vs. senescence and irrigated vs. 491 rainfed (Figure 4). However, as expected the *GrWDRVI* was unable to predict *SDB*. The main 492 reason is as the plants begin to dry out during the late summer and early fall, leaves lose their 493 chlorophyll and leaf structure beings to collapse thereby increasing reflected green and reducing 494 near-infrared light (Ciganda et al. 2008; Peng et al. 2011). This is exaggerated by a change in the 495 allocation of resources by the plant from leaves to grain, shifting where the majority of mass is 496 located and thus weakening the capacity for the GrWDRVI to predict SDB. This biological 497 investment of resources is more pronounced for maize than soybeans. As additional crops are 498 included in this analysis, the location and development of the fruit and seed will impact the 499 predictive relationships using vegetation indices. We refer to the reader to Duncan et al. (2015) 500 and Kumar et al. (2015) for a recent review of vegetation indices in remote sensing. 501

While the developed regression relationships for maize and soybean (Table S3) were 502 tested against independent biomass estimates from Waco, NE (Figure 5), we note that further 503 validation is needed. In terms of a strategy for estimating SDB, we suggest that proxies such as 504 crop type and growth stage be used. Franz et al. (2013 and 2015) found that in early stages, 505 maize and soybean had canopy water contents from 75-90 wt. %. By the end of senescence 506 before harvest, the canopy water contents were down to 25-35 wt. %, and thus very low BWE 507 508 and minimal impact on the low-energy neutron intensity. If growth stage is not directly known, local meteorological observations, planting date, and crop variety can be used to compute 509 proxies (e.g. growing degree days) or simulated from crop models (Allen et al. 1998). We note 510 that having a reasonably accurate estimate of SWB and thus BWE (within ~ 1 kg/m<sup>2</sup>) is all that is 511

512	require	ed to have a relatively small impact ( $< 0.01 \text{ cm}^3/\text{cm}^3$ ) on the estimated <i>SWC</i> . Finally, we
513	note th	at this methodology is not applicable to areas with woody biomass. Following Franz et al.,
514	(2013)	, Hawdon et al., (2014), Baatz et al., (2015), and Coopersmith et al., (2014) we suggest
515	other v	regetation relationships (i.e. <i>BWE</i> vs. $N_0$ ) be defined. However, given the relatively small
516	change	es in <i>BWE</i> over the year in forests, we would expect small changes in $N_0$ through time. For
517	a more	complete discussion of CRNP calibration in forests and estimates of time varying
518	change	es in $N_0$ please see Bogena et al., 2013 and Heidbüchel et al., (2016).
519		
520	4.3. Ro	oving CRNP Survey Recommendations
521		With the continuing use of the roving CNRP we make the following recommendations on
522	best ca	libration and use:
523	1)	Collect a series of full calibration datasets ( $\theta_{LW}$ , $\theta_{SOC}$ , $\rho_b$ , SWB, SDB) in different land
524		use areas and soil types in order to estimate the instrument specific slope and intercept for
525		dependence of $N_0$ with <i>BWE</i> .
526	2)	In the rover sampling area, construct a map of land use including descriptions of:
527		vegetation/crop type, planting date, variety, rainfed vs. irrigated, and gravel vs. paved
528		roads vs. natural areas (see Chrisman and Zreda 2013 for a discussion of road influence
529		on neutron counts).
530	3)	Collect a series of aggregate soil samples for soil organic carbon and lattice water around

taxonomic groups. The GSDE or more local datasets like SSURGO and Polaris (Chaney

531

24

the survey area. The samples should be collected across land use, soil texture, and soil

et al., 2016) in the USA can be used to select sites, cross validate samples, and fill in datagaps.

535	4) Soil bulk density is the critical parameter in the calibration equations and overall
536	accuracy of the cosmic-ray neutron method. Bulk density should be collected locally
537	wherever possible to determine reasonable quantiles. More local datasets like SSURGO
538	and Polaris in the USA will likely perform better at smaller scales than the 1 km GSDE.
539	5) <i>SWC</i> validation datasets should be collected to independently assess the accuracy of the
540	rover survey results.

541

### 542 5. Summary and Conclusions

In this work, we developed a framework using globally available datasets for estimating 543 four ( $\theta_{LW}$ ,  $\theta_{SOC}$ ,  $\rho_b$ , SWB) of the five key soil and vegetation parameters needed by the roving 544 cosmic-ray neutron method for estimating SWC in fast growing vegetation areas such as row 545 crop production in agricultural areas. The remaining crop vegetation parameter (SDB) can be 546 fairly well approximated by crop type, growth stage or simulated with crop models. The 547 accuracy of the GSDE soil database was tested against 61 calibration datasets from the CONUS. 548 We found that the 1 km GSDE compares well against observed clay content ( $R^2 = 0.68$ ) but 549 much poorer against soil bulk density ( $R^2 = 0.203$ ) and soil organic carbon ( $R^2 = 0.175$ ). 550 551 Surprisingly, of the six soil taxonomic groups we investigated, only mollisols showed a 552 statistically significant correlation with clay content. The remaining five soil taxonomic groups we investigated did show statistically different mean values. These mean values were used to 553 554 generate a map (not complete) of lattice water for the CONUS. From 11 years of destructive

sampling of maize and soybean fields in eastern Nebraska, we found that the 8-day 500 m resolution MODIS derived *GrWDRVI* was highly correlated to *SWB*, particularly when partitioning the fields into green-up vs. senescence and irrigated vs. rainfed (RMSE < 1 kg/m<sup>2</sup>). A propagation of error analysis indicated that the range of bulk density values was the most sensitive calibration parameter. For the selected ranges, we found the GSDE vs. local sampling resulted in a maximum RMSE of 0.035 cm<sup>3</sup>/cm<sup>3</sup> at a *SWC* = 0.40 cm<sup>3</sup>/cm<sup>3</sup>. Finally, a list of best practices for future roving CRNP experiments is provided.

562

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- 756

# 757 **Table Captions**

Table 1. Summary of mean, standard deviation of *in-situ* lattice water samples organized by USA
soil taxonomic groups. The table also summarizes a linear regression analysis using the GSDE

clay percent and *in-situ* sample. The last column indicates how the 1 km CONUS lattice water

map was generated. Note NA stands for not applicable because of a lack of data.

USA Soil Taxonomic Group	Mean Lattice Water (Wt. %)	Std. Lattice Water (Wt. %)	Number of Samples	Linear Regression Slope	Linear Regression Intercept	Linear Regression R <sup>2</sup>	Linear Regression p value	GSDE Derived CONUS Lattice Water Product
Alfisol	4.31	1.36	9	6.09	-0.11	0.086	0.44330	Mean
Andisol	NA	NA	NA	NA	NA	NA	NA	NA
Aridisol	2.73	1.36	10	4.82	-0.15	0.095	0.38607	Mean
Entisol	1.47	0.93	5	2.48	-0.14	0.233	0.41064	Mean
Gelisol	NA	NA	NA	NA	NA	NA	NA	NA
Histosol	NA	NA	NA	NA	NA	NA	NA	NA
Inceptisol	4.98	0.28	2	NA	NA	NA	NA	Mean
Mollisol	3.18	1.22	24	1.03	0.11	0.539	0.00004	Linear
Oxisol	NA	NA	NA	NA	NA	NA	NA	NA

Spodosol	2.68	2.10	4	3.45	-0.11	0.020	0.85919	Mean
Ultisol	2.82	2.33	6	0.28	0.20	0.229	0.33672	Mean
Vertisol	5.18	NA	1	NA	NA	NA	NA	NA
ALL	3.16	1.58	61	1.68	0.09	0.183	0.00066	NA

764	Table 2. Top) Summary of mean difference between <i>in-situ</i> samples and GSDE values (Figure 3)
765	for bulk density, lattice water and organic carbon. Bottom) Summary of covariance matrix of
766	difference between <i>in-situ</i> values and GSDE values. The mean difference and covariance data
767	were used in an error propagation analysis illustrated in Figure 6.

	Bulk Density (g/cm <sup>3</sup> )	Lattice Water (Wt. %)	Organic Carbon (Wt. %)				
Mean Difference of in-situ value - GSDE value	-0.10035	-0.05789	-0.07077				
Covariance matrix of in-situ value - GSDE value							
	Bulk Density (g/cm <sup>3</sup> )	Lattice Water (Wt. %)	Organic Carbon (Wt. %)				
Bulk Density (g/cm <sup>3</sup> )	0.0386	-0.0567	-0.2077				
Lattice Water (Wt. %)		1.6745	0.3624				
Organic Carbon (Wt. %)			3.5810				

Table 3. Summary of 2014 *GrWDRVI* and calculated standing wet biomass for irrigated maize
and irrigated soybean fields near Waco, NE. Note that the senescence equation was applied to
DOY 209 for the irrigated maize field as planting date and development can vary locally. The
drop in *GrWDRVI* between DOY 201 and 209 is a clear indicator of change in plant growth stage
that can be used on a field by field basis.

DOY (2014)	GrWDRVI, Irrigated- Maize	GrWDRVI- Irrigated Soybean	Calculated Standing Wet Biomass- Irrigated Maize (kg/m <sup>2</sup> )	Calculated Standing Wet Biomass- Irrigated Soybean (kg/m <sup>2</sup> )
153	0.23	0.23	0.00	0.00
161	0.24	0.24	0.00	0.00
169	0.32	0.28	0.53	0.06
177	0.57	0.54	4.69	1.25
185	0.55	NA	4.33	NA
193	0.63	0.63	5.63	1.91
201	0.61	0.71	5.34	2.48
209	0.55	0.73	6.50*	2.61
217	0.57	0.74	6.58	2.67
225	0.50	0.73	6.27	2.61
233	0.47	0.74	6.07	NA
241	0.40	0.68	5.38	2.89
249	0.43	0.64	5.73	6.77
257	0.27	0.47	1.44	6.07
265	0.25	0.44	0.00	5.83
281	0.21	0.28	0.00	2.02
289	0.21	0.26	0.00	0.78
297	0.20	0.25	0.00	0.00

- Table 4. Summary of 2014 observed standing wet biomass for irrigated maize and irrigated
- soybean fields near Waco, NE. The observations represent the aggregation of 18 plants collected
- at 6 different locations across the field on the sampling date.

DOY (2014), Irrigated Soybean	Observed Standing Wet Biomass- Irrigated Soybean (kg/m <sup>2</sup> )	DOY (2014), Irrigated Maize	Observed Standing Wet Biomass- Irrigated Maize (kg/m <sup>2</sup> )
167	0.19	161	0.13
196	1.63	183	2.40
211	1.81	217	6.22
259	1.63	259	0.30

801	Table S1. Summary of <i>in-situ</i> and GDSE soil information for 61 CONUS study sites (see
802	supplemental material zip file).
803	
804	Table S2. Summary of observed standing wet biomass and MODIS derived GrWDRVI for each
805	of the 3 fields near Mead, NE (see supplemental material zip file).
806	
807	Table S3. Summary of derived equations estimating standing wet biomass from <i>GrWDRVI</i> for
808	maize and soybean partitioned into irrigated and rainfed areas and green-up (DOY< 210 for
809	maize, DOY<230 for soybean) and senescence. Destructive biomass data is aggregated from 3
810	fields near Mead, NE between 2003-2013 (Table S2). We note that the maize and soybean
811	functions were bounded to provide realistic behavior at the observed GrWDRVI and destructive
812	vegetation sampling bounds. See main text for details.
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## 821 Figure Captions

Figure 1. Map of soil taxonomic classification map over the Continental United States of
America using the twelve USA soil taxonomic orders (data source FAO 2007 and personal
communication with M. Kuzila). Note gelisols are not present in the CONUS. Black dots
indicate 61 locations where we have *in-situ* composite/average samples for soil bulk density, soil
lattice water, soil organic carbon, and clay weight fraction collected over a 12.6 ha circle and
averaged over the top 30 cm (Table S1).

828

Figure 2. Comparison between 61 *in-situ* composite sample and GSDE value from the closest
pixel for a) clay weight percent b) soil bulk density, and c) soil organic carbon. d) Comparison
between *in-situ* lattice water and derived values using GSDE clay weight fraction and soil
taxonomic orders. See Table 1 for summary of data by taxonomic group, Table S1 for raw data,
and Table 2 for statistical summary of differences between *in-situ* and GSDE product. Note error
bars denote +/- 1 standard deviation.

835

Figure 3. Derived 1 km resolution lattice water weight percent map using the GSDE clay percent
and regression analyses organized by soil taxonomic classification. See Table 1 for estimates of
the mean, standard deviation, and linear regression vs. clay percent organized by taxonomic

group. Black dots indicate 61 locations where we have *in-situ* composite/average samples for soil
bulk density, soil lattice water, soil organic carbon, and clay weight fraction collected over a 12.6
ha circle and averaged over the top 30 cm (Table S1). Missing areas indicate surface water
bodies or soil taxonomic groups with no or limited *in-situ* lattice water sampling (see Table 1).

843

Figure 4. Relationship between *GrWDRVI* and observed standing weight biomass for maize (a,
c) and soybean (b, d) partitioned into green-up (DOY< 210 for maize, DOY<230 for soybean)</li>
and senescence. Destructive vegetation data is aggregated from 3 fields near Mead, NE between
2003-2013 (Table S2). The regression coefficients and equations are summarized in Table S3.
Note that the maize and soybean functions were subject to the constraints in order to provide
realistic behavior at the observed *GrWDRVI* and destructive vegetation sampling bounds. See
main text for details.

851

Figure 5. Time series of standing wet biomass for two study sites (irrigated maize and irrigated
soybean) near Waco, NE over the 2014 growing season. The graph contains the observed *in-situ*sampling in addition to the *GrWDRVI* estimates using the equations summarized in Table S3.
See Table 3 for *GrWDRVI* values and Table 4 for *in-situ* estimates.

856

Figure 6. Propagation of error analysis using Monte Carlo simulations of 100,000 soil parameter
datasets of true soil parameters (i.e. soil bulk density, lattice water, soil organic carbon) and
perturbed parameters with matching mean differences and covariance matrix between *in-situ*samples and GSDE derived parameters (see Table 2). Three error metrics are presented across a

range of neutron counts (and thus *SWC* values). Note that soil bulk density was constrained to
1.2-1.5 g/cm<sup>3</sup>, lattice water was constrained from 1-8 wt. %, soil organic carbon was constrained
from 0-8 wt. %, and soil water content was constrained from 0.03-0.45 cm<sup>3</sup>/cm<sup>3</sup>. Simulated and
calculated values outside of these bounds were either reset to the minimum or maximum or
removed from the Monte Carlo statistics. A minimum threshold of 70% of simulated cases were
used to compute error statistics.

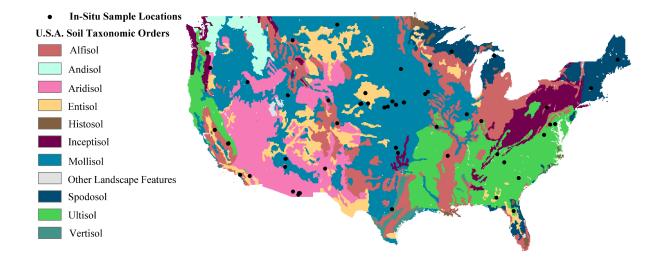


Figure 1. Soil taxonomic classification map over the Continental United States of America using the twelve USA soil taxonomic orders (data source FAO 2007 and personal communication with M. Kuzila). Note gelisols are not present in the CONUS. Black dots indicate 61 locations where we have *in-situ* composite/average samples for soil bulk density, soil lattice water, soil organic carbon, and clay weight fraction collected over a 12.6 ha circle and averaged over the top 30 cm (Table S1).

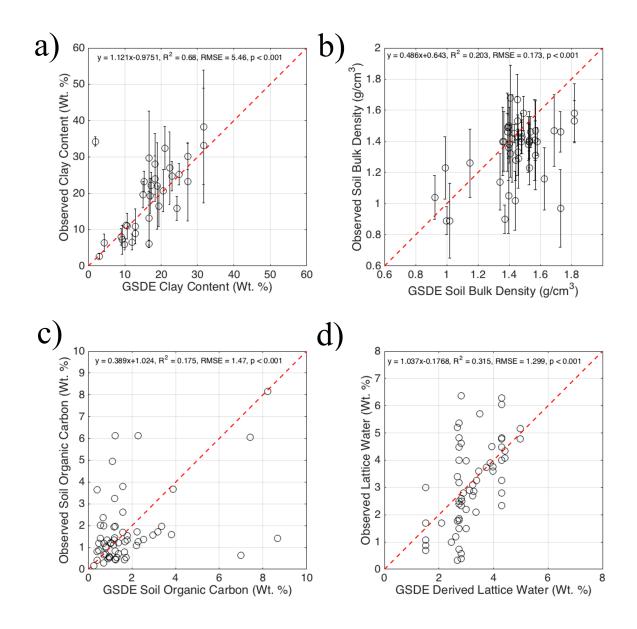


Figure 2. Comparison between 61 *in-situ* composite sample and GSDE value from the closest pixel for a) clay weight percentb) soil bulk density, and c) soil organic carbon. d) Comparison between *in-situ* lattice water and derived values using GSDE clay weight fraction and soil taxonomic orders. See Table 1 for summary of data by taxonomic group, Table S1 for raw data, and Table 2 for statistical summary of differences between *in-situ* and GSDE product. Note error bars denote +/-1 standard deviation.

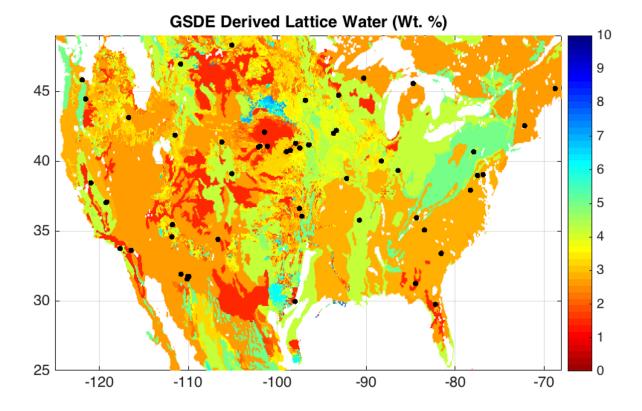


Figure 3. Derived 1 km resolution lattice water weight percent map using the GSDE clay percent and regression analyses organized by soil taxonomic classification. See Table 1 for estimates of the mean, standard deviation, and linear regression vs. clay percent organized by taxonomic group. Black dots indicate 61 locations where we have *in-situ* composite/average samples for soil bulk density, soil lattice water, soil organic carbon, and clay weight fraction collected over a 12.6 ha circle and averaged over the top 30 cm (Table S1). Missing areas indicate surface water bodies or soil taxonomic groups with no or limited *in-situ* lattice water sampling (see Table 1).

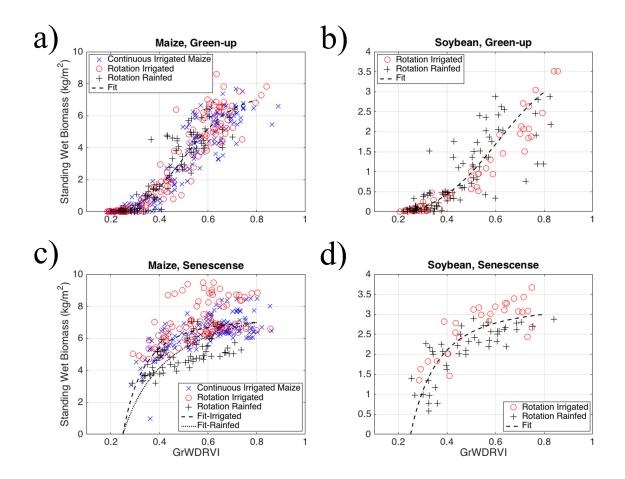


Figure 4. Relationship between *GrWDRVI* and observed standing weight biomass for maize (a, c) and soybean (b, d) partitioned into green-up (DOY<210 for maize, DOY<230 for soybean) and senescence. Destructive vegetation data is aggregated from 3 fields near Mead, NE between 2003-2013 (Table S2). The regression coefficients and equations are summarized in Table S3. Note that the maize and soybean functions were subject to the constraints in order to provide realistic behavior at the observed *GrWDRVI* and destructive vegetation sampling bounds. See main text for details.

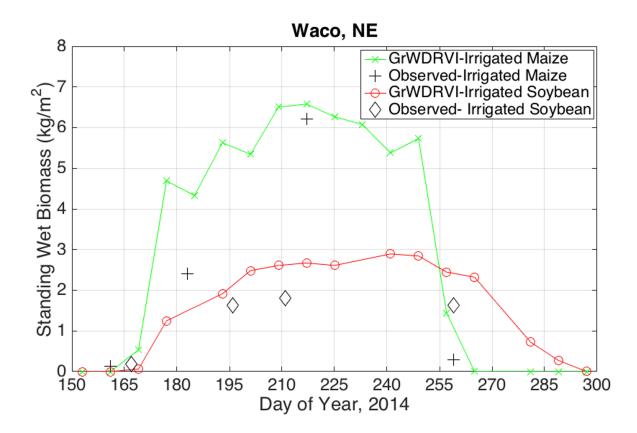


Figure 5. Time series of standing wet biomass for two study sites (irrigated maize and irrigated soybean) near Waco, NE over the 2014 growing season. The graph contains the observed *in-situ* sampling in addition to the *GrWDRVI* estimates using the equations summarized in Table S3. See Table 3 for *GrWDRVI* values and Table 4 for *in-situ* estimates.

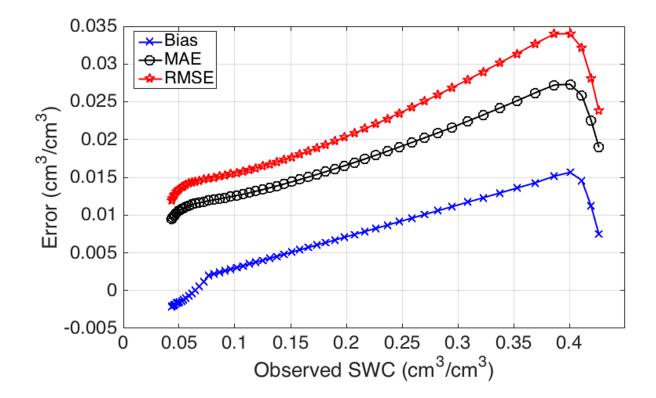


Figure 6. Propagation of error analysis using Monte Carlo simulations of 100,000 soil parameter datasets of true soil parameters (i.e. soil bulk density, lattice water, soil organic carbon) and perturbed parameters with matching mean differences and covariance matrix between *in-situ* samples and GSDE derived parameters (see Table 2). Three error metrics are presented across a range of neutron counts (and thus *SWC* values). Note that soil bulk density was constrained to 1.2-1.5 g/cm<sup>3</sup>, lattice water was constrained from 1-8 wt. %, soil organic carbon was constrained from 0-8 wt. %, and soil water content was constrained from 0.03-0.45 cm<sup>3</sup>/cm<sup>3</sup>. Simulated and calculated values outside of these bounds were either reset to the minimum or maximum or removed from the Monte Carlo statistics. A minimum threshold of 70% of simulated cases were used to compute error statistics.