

Sediment and nutrient budgets are inherently dynamic: evidence from a long-term study of two subtropical reservoirs

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Abstract. Accurate reservoir budgets are important for understanding regional fluxes of sediment and nutrients. Here we present a comprehensive budget of sediment (based on total suspended solids, TSS), total nitrogen (TN) and total phosphorus (TP) for two subtropical reservoirs on rivers with highly intermittent flow regimes. The budget is completed from July 1997 to June 2011 on Somerset and Wivenhoe reservoirs in southeast Queensland, Australia, using a combination of monitoring data and catchment model predictions. A major flood in January 2011 accounted for more than half of the water entering and leaving both reservoirs in that year, and approximately 30% of water delivered to and released from Wivenhoe over the 14 year study period. The flood accounted for an even larger proportion of total TSS and nutrient loads: in Wivenhoe more than one third of TSS inputs and two thirds of TSS outputs between 1997 and 2011 occurred during January 2011. During non-flood years, mean historical concentrations provided reasonable estimates of TSS and nutrient loads leaving the reservoirs. Calculating loads from historical mean TSS and TP concentrations during January 2011, however, would have substantially underestimated outputs over the entire study period, by a factor of up to ten. The results have important implications for sediment and nutrient budgets in catchments with highly episodic flow. Firstly, quantifying inputs and outputs during major floods is essential for producing reliable long-term budgets. Secondly, sediment and nutrient budgets are dynamic, not static. Characterizing uncertainty and variability is therefore just as important for meaningful reservoir budgets as accurate quantification of loads.

1 **1 Introduction**

2 Over the past century, human activities have caused unprecedented changes in water, sediment and nutrient
3 movement between the atmosphere, lithosphere, hydrosphere and biosphere (Rockström et al., 2009). Modification of
4 these natural biogeochemical cycles on a range of scales has the potential to alter fundamental earth system processes and
5 undermine the ecosystem services on which human societies depend (Vörösmarty and Sahagian, 2000; Steffen et al.,
6 2015). For example, artificial fixation of atmospheric nitrogen by humans exceeds fixation rates by all natural processes
7 combined, contributing to a range of environmental problems including acidification, eutrophication and climate change
8 (Gruber and Galloway, 2008; de Vries et al., 2013). The rate of application of P to erodible soil is unsustainable in many
9 parts of the world (Carpenter and Bennett, 2011) and may threaten future food security (Cordell et al., 2009; Van Vuuren
10 et al., 2010).

11 Managing soil and nutrient resources more sustainably is therefore imperative, requiring reliable, quantitative
12 sediment and nutrient budgets at local, regional and global scales (e.g. Syvitski et al., 2005; Radach and Pätsch, 2007;
13 Metson et al., 2012). Reservoirs have a major impact on nutrient and sediment budgets due to their high residence times
14 and burial rates relative to free-flowing rivers (Sherman et al., 2001; Friedl and Wüest, 2002; Bosch and Allan, 2008; Kunz
15 et al., 2011). Reservoirs are also more effective than lakes at retaining both phosphorus (P) and nitrogen (N) (Harrison et
16 al., 2009; Kõiv et al., 2011). Globally, reservoirs are estimated to trap 26 % of the modern export of sediment to the coastal
17 zone, and billions of tonnes of sediment have been impounded within reservoirs since the mid-20th century (Syvitski et al.,
18 2005).

19 While quantifying sediment and nutrient loads is essential for closing local and regional nutrient budgets (Metson
20 et al., 2012; Walling and Collins, 2008), estimating uncertainty in these loads is a major challenge (Walling and Collins,
21 2008; Parsons, 2011; Carpenter et al., 2015). Sediment and nutrient retention in reservoirs depends on many factors,
22 including delivery (which is related to catchment size, land use and geology and river discharge volumes), sediment particle
23 size, storage capacity and water release practices (Mahmood, 1987; Graf et al., 2010; Leigh et al., 2010; Issa et al., 2015). In
24 tropical and subtropical river systems, large and episodic fluctuations in discharge due to seasonal and inter-decadal cycles
25 in rainfall patterns mean that large sediment and nutrient inputs can be delivered in relatively short time-frames (Kennard
26 et al., 2010; Lewis et al., 2013). For example, in one reservoir in subtropical Australia, net phosphorus retention over a 6-
27 year drought period was driven by moderate-flow events over just 12 days (Burford et al., 2012). Thus reservoir budgets
28 can vary across different time periods (Parsons, 2011). The greater the climatic variability in the catchment, the longer the
29 budget timeframe required to capture representative data.

1 This study aims to complete budgets of sediment and nutrients (N and P) for two large subtropical reservoirs. The
2 catchments of both reservoirs are characterised by high intensity episodic rainfall and runoff events, therefore the budgets
3 are conducted over more than a decade to capture a wide range of climatic conditions. More specifically, the study
4 assesses the effect of variability in flow on both the magnitude and uncertainty in sediment and nutrient loads entering,
5 leaving and retained within the reservoirs.

6 **2 Materials and Methods**

7 Sediment and nutrient budgets were completed for Somerset and Wivenhoe reservoirs over 14 years from July
8 1997 to June 2011. For this study, sediment is defined as the mixture of inorganic and organic matter, measured by dry
9 weight of filtered solids, i.e. total suspended solids (TSS). Inputs and outputs of water, TSS, total nitrogen (TN) and total
10 phosphorus (TP) were estimated using a combination of catchment model predictions and monitoring data, measured at
11 intervals ranging from hourly to monthly. Output loads of TSS, TN and TP were estimated using four different methods to
12 deal with missing data.

13 **2.1 Study area**

14 Somerset and Wivenhoe reservoirs are major drinking water and flood mitigation reservoirs in southeast
15 Queensland, Australia (27° 7' S, 152° 33' E and 27° 24' S, 152° 36' E, respectively) linked by the Stanley River. The Stanley
16 River was dammed to form Somerset reservoir in 1959, and the Wivenhoe dam wall was constructed further downstream
17 below the confluence of the Stanley River and upper Brisbane River (UBR) in 1984 (Figure 1). The catchment areas of
18 Somerset and Wivenhoe reservoirs are 1 340 and 7 020 km², respectively. At full supply capacity, Somerset holds 0.380 km³
19 (380 000 ML), with a mean water depth of 9.3 m and a surface area of 42 km². Wivenhoe holds 1.165 km³ (1 165 000 ML)
20 with a mean water depth of 10.5 m, and surface area 107 km² (Leigh et al., 2015). Both reservoirs are eutrophic and warm-
21 monomictic, with overturn in the austral autumn and stratification in the austral summer that result in anoxic bottom
22 waters (Burford and O'Donohue, 2006). Water is released continuously from Wivenhoe reservoir for water treatment
23 downstream.

24 Mean annual rainfall in the region is 743 mm (Bureau of Meteorology, bom.com.au, Figure 2). Inflows enter
25 Somerset reservoir primarily from the Stanley River. Controlled releases from Somerset reservoir combine with inflows
26 from the Upper Brisbane River (UBR) and lateral inflows to supply Wivenhoe reservoir (Figure 1). Stanley River and UBR
27 have highly unpredictable and intermittent flow regimes (Kennard et al., 2010), although major discharge events tend to
28 occur in summer (the 'wet season'). Therefore, 'water years' were defined from July to June in all analyses to capture the
29 entire austral summer wet season within each water year.

1 During the study period, there were three above-average flow events of note: in February 1999 (water year 1998),
2 February 2008 (water year 2007) and January 2011 (water year 2010). From 9-16 January 2011, a large flood with extreme
3 rainfall occurred within the Wivenhoe and Somerset catchments (Seqwater, 2011). It was the second highest flood
4 recorded in the lower Brisbane River over the past century (the highest was in 1974), and water from the Wivenhoe
5 catchment contributed to significant flood damage downstream (van den Honert and McAneney, 2011). The February 1999
6 and 2008 events were small by comparison (e.g. as indicated by rainfall volumes in Figure 2; see also van den Honert and
7 McAneney, 2011). Therefore, water year 2010 (July 2010-June 2011) is referred to hereafter as the “flood year” and all
8 other water years during the study period are denoted as “non-flood years”. The non-flood years (July 1997 to June 2010)
9 comprised a range of hydrological conditions, including the 1999 and 2008 flow events and the 2001-2009 drought (Dijk et
10 al., 2013) which was characterised by low rainfall and low inflows to both reservoirs (Leigh et al., 2015).

11 2.2 Catchment inputs: flows, loads and uncertainty

12 Daily flow and TSS, TN and TP loads from the catchments into Somerset and Wivenhoe reservoirs were estimated
13 using the SourceCatchments (SC) model (Weber et al., 2009). The model was parameterized for hydrology and stream
14 routing using one stream gauge in the Somerset catchment, and four stream gauges in the Wivenhoe catchment. The
15 model used global, land-use-based event-mean concentrations (EMC) and dry weather concentrations (DWC), estimated
16 from water quality information collected across the southeast Queensland region, with a particular focus on those event
17 monitoring sites that adequately characterized the pollutant export from land uses and soil types typical of the regions
18 being modelled. Calibration and validation were undertaken using a combination of manual and automated techniques.

19 The event mean concentrations (EMCs) were derived from event monitoring and continuous sampling within the
20 catchments of interest (Thomson et al. 2013), and thus implicitly represent the range of sediment and nutrient generation
21 processes present within the catchment. The EMCs are attributed to land uses rather than specific generation processes.
22 This attribution is relatively consistent with the spatial characterisation of sediment generation within the catchment as
23 quite often the generation processes are strongly tied to the land management of particular land activities (unpublished
24 data). For channel erosion, denuded areas within the river reaches which are aligned to land uses such as horticulture and
25 grazing where land clearing activities have been conducted to the channel edge. Further improvements in the model would
26 require better data representing individual processes which currently do not exist for many parts of the catchment studied.

27 Uncertainty in the SC model was estimated by comparing SC predictions with flow and loads measured at two
28 gauging stations: Woodford Weir on the Stanley River, and Gregors Creek on the UBR (Figure 1). Flow volume recorded for
29 the gauging stations spanned many orders of magnitude, making it difficult to distinguish between zero flow and missing
30 data. Therefore, model predictions were only compared with non-zero recorded flows. When plotted against flow

1 measured at the gauging stations, SC predictions in both the Stanley River and UBR were scattered around the 1:1 line on
2 the log-log scale (Figure S1). Variability between gauged and predicted water input was highest at low flow, and lowest
3 when predictions and data were integrated to a yearly time-step (Figure S1). The adjusted R^2 for log annual flow (SC) vs log
4 annual flow (gauged) was 0.96 for the Stanley River and 0.95 for the Upper Brisbane River (UBR), and the 95 % confidence
5 interval for the slope contained 1.0 for both rivers. Root mean square error of the difference between measured and
6 predicted flow was 70% of the mean annual flow, when averaged across the Stanley and UBR gauging stations for available
7 data during the study period.

8 Uncertainty in SC predictions of TSS and nutrients was more difficult to quantify, due to data limitations . TN, TP
9 and TSS loads predicted by SC were compared with event loads measured at the Stanley River and UBR gauging stations
10 (Figure 1), for 32 and 15 high flow events, respectively, during the study period. During each high flow event, the
11 concentrations of TSS, TN and TP were measured by the local water authority, Seqwater, at the gauging stations. Water
12 samples were automatically collected using a refrigerated autosampler triggered by the change in height of the depth-
13 gauge above a base-flow threshold. For TN and TP, whole samples were kept on ice until frozen in the laboratory. They
14 were later analyzed using the persulfate digestion method and run through an autoanalyzer (APHA, 1995; Burford and
15 O'Donohue, 2006). For TSS samples, a known volume was filtered onto a pre-weighed and combusted glass fibre filter,
16 then dried and reweighed (APHA, 1995).

17 Loads were determined using the linear-interpolation method, with at least 10 measurements per event, and
18 sampling on both rising and falling limbs of the hydrographs (Olley et al., 2014). TSS, TN and TP loads predicted by SC were
19 well correlated with the loads estimated from the event-sampling data collected for both gauging stations (Figure S2). Total
20 loads across all measured events differed from SC predictions by 24% for TSS, 45% for TN and 26% for TP (and 42% for
21 flow) when averaged across the two sites, verifying that the SC predictions were consistent with flow and loads in the
22 major tributaries during high flow events. However this information did not provide a measure of uncertainty in annual
23 predicted loads to the two reservoirs.

24 To estimate uncertainty in annual inputs, an empirical model was used to predict TN and TP loads at each gauging
25 station based on measured daily flow (Kerr, 2009; Burford et al., 2012). The model was validated against the event loads
26 (Figure S2) and then compared with SC predictions at daily, monthly and annual time steps using gauged flow data (Figure
27 S1). Unfortunately an empirical model was not available for TSS.

28 During high flow events, the empirical model predictions agreed with the SC predictions and the measured TN and
29 TP event loads (Figure S2). Daily, monthly and annual predictions of both TN and TP from the empirical model agreed with
30 SC predictions (Figure S1). Difference between the two models was lowest for the Stanley River site (Woodford Weir), even

1 though the empirical model was developed for the UBR. Variation between the models was lowest when information was
2 integrated to an annual time-step (Figure S1). Over the entire study period, the root mean square difference between the
3 two models as a proportion of mean annual loads was 60% for TN and 45% for TP, when averaged across the Stanley and
4 UBR gauging stations. Uncertainty could not be estimated for TSS, and flow was the only variable for which SC predictions
5 could be directly compared with data. Uncertainty in loads is unlikely to be lower than uncertainty in flow, which was
6 estimated as 70 %, as outlined above. Therefore we assumed an uncertainty of 70% in annual SC model predictions of flow,
7 TSS, TN and TP inputs to both reservoirs (Table S1).

8 **2.3 Reservoir outputs**

9 Loads of TSS, TN and TP exported from the reservoirs each month were calculated by multiplying concentrations
10 ([TSS], [TN] and [TP], in mg L^{-1}) measured at the dam walls by the volumes of water released. The volume of monthly water
11 released from each reservoir was determined by summing daily release values, except during the period 1 July 1997 to 30
12 June 2001 for Wivenhoe reservoir, for which monthly release data were directly available.

13 **2.3.1 Data sources: [TSS], [TN] and [TP] at dam walls**

14 Concentrations of TSS, TN and TP in water released from the reservoirs were determined from routine monthly
15 monitoring and sub-daily turbidity profiles collected near the dam walls.

16 Monthly monitoring data collected by Seqwater were available for surface and bottom concentrations of TSS, TN,
17 TP, ammonium (NH_4), nitrite plus nitrate (NO_2+NO_3), dissolved inorganic P (DIP) at the dam wall of each reservoir from July
18 1997 to June 2011. Surface samples were taken using a 3 m depth-integrated sampler and bottom samples were taken
19 using a van Dorn sampler. TN and TP samples were kept on ice until frozen in the laboratory. They were later analyzed
20 using the persulfate digestion method and run through an autoanalyzer (APHA, 1995; Burford and O'Donohue, 2006). For
21 dissolved nutrients, samples were filtered through $0.45 \mu\text{m}$ membrane filters in situ, and kept on ice until frozen in the
22 laboratory. Samples were analyzed using standard colorimetric methods with an autoanalyzer (APHA, 1995; Burford and
23 O'Donohue, 2006). For TSS samples, a known volume was filtered onto a pre-weighed and combusted glass fibre filter,
24 then dried and reweighed (APHA, 1995).

25 Depth profiles of turbidity (NTU) were also measured at the dam wall in each reservoir, recorded by a calibrated
26 nephelometer deployed on a fixed buoy. Turbidity profiles at 1 m intervals through the water column were available
27 approximately every hour for water years 2009-2010 in Somerset reservoir and water years 2008-2010 in Wivenhoe
28 reservoir.

1 In Somerset reservoir, water release occurs when the dam gates open from the bottom. For low release volumes,
2 “bottom” waters are released, but at higher release rates water from higher in the water column will be entrained. To
3 account for this, the concentrations of nutrients and TSS in the Somerset release water were assumed equal to bottom
4 concentrations when daily release was $< 500 \text{ ML d}^{-1}$. At higher flows (i.e. $\geq 500 \text{ ML d}^{-1}$), TSS and nutrient concentrations in
5 the water released were assumed equal to the average of surface and bottom concentrations. Wivenhoe reservoir is a
6 near-surface water-releasing reservoir, so monthly exports of nutrients and TSS were calculated from surface
7 concentrations only.

8 **2.3.2 Estimating sediment and nutrient loads from turbidity profiles**

9 Monthly monitoring data were available for the entire 14 year study period, but data were missing for December
10 2010 and January 2011, when release volumes and turbidity were both unusually high (Grinham et al., 2012). Turbidity
11 profiles were available for December 2010 and January 2011, but were only available for a short portion of the entire study
12 period (two water years in Somerset and three water years in Wivenhoe). Hence the datasets needed to be combined in
13 some way to complete a long-term budget for the reservoirs.

14 The turbidity profile data could only be used to estimate loads released from the reservoirs if a meaningful
15 relationship could be established between turbidity and sediment and nutrient concentrations ([TSS], [TP], and [TN]). It is
16 quite common to develop local relationships between [TSS] and turbidity. Since P is strongly associated with sediment
17 particles, a relationship between turbidity and [TP] might also be expected. Because dissolved compounds typically make
18 up a large component of [TN], the relationship with turbidity was not expected to be as strong for [TN] as for [TSS] and
19 [TP].

20 Routine monthly surface and bottom measurements of [TSS], [TN] and [TP] were correlated with mean daily
21 surface and bottom turbidity measured on the same day, where data from both sources were available. Daily surface and
22 bottom turbidity were determined from readings in the top 3 m and the bottom 2 m respectively, averaged across each
23 day. Since the objective was to determine concentrations during turbid floodwaters when routine monitoring was
24 unavailable, [TN] and [TP] were only used where $\text{NTU} > 15$. Turbidity data were cleaned prior to analysis: spikes associated
25 with calibration were removed by inspection. Where gaps in the record were no greater than two days, missing data were
26 replaced with the average turbidity of the preceding and subsequent day.

27 Linear regression in matlab was used to determine the correlation coefficients for the relationship described by:

$$28 \quad [y]=a+b \text{ NTU} \quad (1)$$

1 where $[y]$ is [TSS], [TN] or [TP], and a and b are the corresponding intercept and slope (Table S2). Eq (1) was then
2 used to calculate daily estimates for [TSS], [TN], [TP] from surface and bottom mean daily turbidity.

3 **2.3.3 Reservoir outputs calculated from multiple data sources**

4 There were a number of ways in which the monthly monitoring and turbidity profile data could be combined to
5 calculate sediment and nutrient outputs from Somerset and Wivenhoe reservoirs over the study period. We compared four
6 such methods of estimating output loads:

- 7 • *Method 1 Mean historical concentration:* Surface and bottom concentrations [TSS], [TN] and [TP] at dam
8 wall sites in each reservoir were estimated from the mean concentration of monthly monitoring data
9 1997-2011 (Table S3). This had the advantage of a consistent data source for the full timeframe of the
10 study, and was justified because variation in release volume is orders of magnitude above variation in
11 [TSS], [TN] and [TP] at the dam wall. However this method may underestimate the output loads of TSS,
12 TN and TP during very large floods, when water leaving the reservoir has unusually high TSS and nutrient
13 concentrations (e.g. Lewis et al., 2013). Note that mean [TSS] was determined from log-transformed data,
14 due to small numbers of very high values;
- 15 • *Method 2 Monthly measured concentration,* with missing data replaced by mean historical concentration
16 (as defined in Method 1). This makes better use of the information available, but will not provide much
17 advantage over Method 1 in dealing with the flood year, since monitoring data were unavailable for
18 December 2010 and January 2011, when large volumes of water were released and turbidity at the dam
19 wall was very high (Grinham et al., 2012);
- 20 • *Method 3 Monthly measured concentration,* with missing data replaced by information from turbidity
21 profiles where available, and by mean historical concentration otherwise. This enables better estimation
22 of [TSS], [TN] and [TP] during January 2011, and does not rely on turbidity correlations where direct
23 measurements of those concentrations are available;
- 24 • *Method 4 Concentration calculated from turbidity profiles,* with missing data replaced by monthly
25 measured concentrations where available, and by mean historical concentration otherwise. This makes
26 best use of the high resolution turbidity profile information, but relies strongly on the correlation
27 between turbidity and [TSS], [TN] and [TP].

1 The output loads of TSS, TN and TP used in the final budget were calculated from Method 3. The uncertainty in
 2 budget output loads (Table S1) was estimated at 40 % of TSS and TP and 10 % for TN, based on the relative mean
 3 difference between annual loads predicted by Methods 3 and 4 for the only non-flood years for which turbidity data were
 4 fully available: Somerset water year 2009, and Wivenhoe water years 2008 and 2009 (Table S1). Thus the estimated
 5 uncertainty is the difference between loads estimated from monthly monitoring, and the loads estimated from daily
 6 turbidity. Monthly monitoring and turbidity datasets were both complete for these time periods (water years 2008 and
 7 2009).

8 **2.4 Reservoir budgets: inter-annual comparisons and propagation of error**

9 Annual accumulation of TSS, TN and TP in each reservoir was calculated as the sum of catchment inputs (SC model
 10 predications) and loads from the upstream reservoir (in the case of Wivenhoe), minus reservoir outputs. Where data were
 11 combined (e.g. Wivenhoe input loads were the sum of SC model predictions and Somerset output loads), uncertainty was
 12 determined using the law of propagation of errors, assuming that errors were independent (Ku, 1966). Thus errors in total
 13 loads over a given timeframe ($\Delta \sum_{i=1}^n X_i$) were calculated from the square root of the sum of squares of errors in
 14 individual loads (ΔX_i):

$$15 \quad \Delta \left(\sum_{i=1}^n X_i \right) = \sqrt{\left(\sum_{i=1}^n \Delta X_i^2 \right)} \quad (2)$$

16 Relative error in mean load was assumed to equal relative error in total load. Annual retention of TSS, TN and TP
 17 for each reservoir was compared against hydraulic retention time (reservoir volume at full supply divided by annual inflow
 18 volume). Trapping efficiency (TE) was calculated from input and output loads as follows:

$$19 \quad TE = \frac{Input - Output}{Input} \quad (3)$$

20 In accordance with the law of propagation of errors, again assuming errors in input and output loads are
 21 independent (Ku, 1966), the uncertainty in trapping efficiency ΔTE was calculated from the relative errors in input and
 22 output loads ($\Delta Input/Input$ and $\Delta Output/Output$ respectively) as follows:

$$23 \quad \Delta TE = (1 - TE) \sqrt{\left(\frac{\Delta Input}{Input} \right)^2 + \left(\frac{\Delta Output}{Output} \right)^2} \quad (4)$$

1 3 Results

2 The flood year (water year 2010: July 2010-June 2011) dominated inputs and outputs of water, sediment and
3 nutrients for both reservoirs. Inputs of water, TSS, TN and TP to Somerset and Wivenhoe were 5-10 times higher in 2010
4 than on average during the 13 non-flood years (Figure 3, Table 1). Reservoir outputs were approximately 10-50 times
5 higher than during the non-flood years (Figure 3, Table 1). The biggest effect of the flood year was on output of TSS, which
6 was 30-50 times higher in the flood year than on average during the non-flood years. Wivenhoe inflows were particularly
7 impacted: whereas the input of water, sediment and nutrient to both reservoirs was very similar during non-flood years,
8 inputs to Wivenhoe were more than double those to Somerset during the flood year (Table 1).

9 The flood month, January 2011, also had a major impact on the reservoir budgets. The volumes of water entering
10 and leaving Somerset and Wivenhoe during January 2011 (i.e. 0.6% of the study period) accounted for more than half of
11 the total water volume inputs and outputs for the 2010 water year, and almost one third of the total flow in and out of
12 Wivenhoe over the entire study period (Table 1, Figure 4). The impact of the flood month on the total budget was greatest
13 for TSS and nutrient loads. Based on [TSS], [TN] and [TP] estimated from the turbidity profiler at the dam walls, the loads of
14 TSS and nutrient outputs from Somerset during January 2011 accounted for c. 50-70% of output loads during water year
15 2010, and c. 20-50 % of output loads over the study period (Figure 4). The flood month had the greatest impact on
16 Wivenhoe: TSS and nutrient exported in January 2011 accounted for at least two thirds of export loads during the 2010
17 water year, and c. 40-70 % of export loads over the entire 14 year study period (Figure 4).

18 Inter-annual variability in water-release volumes from both reservoirs was much higher than variability in the
19 [TSS], [TN] and [TP] at the dam wall during non-flood years (Figures 3, 5), implying that variation in reservoir output was
20 driven by variation in the volume of water released rather than the concentrations of sediments and nutrients in the water.
21 As a result, there was little difference between output loads estimated from historical mean concentrations (Method 1)
22 and from monthly monitoring (Method 2) during non-flood years (Figure 6). The only non-flood year for which turbidity
23 data were available for both reservoirs was 2009, and there was little difference between loads calculated using mean
24 concentrations, monthly monitoring data or [TSS], [TN] and [TP] calculated from the turbidity profiler at the dam wall for
25 that year (Methods 1-4, Figure 6).

26 The combination of extremely high releases and unusually high turbidity, however, meant long-term historical
27 mean concentrations did not provide a good estimate of reservoir outputs of TSS or TP during the flood year (Figure 6).
28 Monthly monitoring data were unavailable during January 2011, when turbidity, inflows and releases of water were very
29 high for both reservoirs (Figures 3, 7). If TSS and nutrient outputs were estimated from mean concentrations (Methods 1 or
30 2), the TSS export during January 2011 and water year 2010 would have been underestimated by an approximately one

1 order of magnitude (Figures 6-7, Table S4). Additionally, TP output loads during the entire 14 year study period would have
2 been underestimated by more than a factor of two in Somerset, and more than a factor five in Wivenhoe, had historical
3 mean concentrations been used to estimate the outputs during January 2011. However mean historical concentrations
4 provided a reasonable estimate for TN loads during the flood month and flood year, because TN concentrations were less
5 affected by the flood than TSS or TP (Figure 7, Table S4).

6 TSS trapping efficiency was very high during the non-flood period, regardless of the hydraulic residence time
7 (Figure 8). While the majority of TN and TP delivered to both reservoirs over the entire non-flood period was retained
8 (Tables 1-2), Wivenhoe was a net exporter of TN in many water years (Figure 8) due to high concentrations of dissolved
9 inorganic N accumulating in the bottom waters of the reservoir (Figure 5). In water year 2010 the net retention or export of
10 water, TSS, TN and TP was less than the bounds of uncertainty (Table 2), with the exception of retention of TSS in
11 Somerset.

12 As noted earlier, both the flood year and flood month had greater effects on Wivenhoe than Somerset. Wivenhoe
13 has three times the full supply volume of Somerset, and four times the catchment area. Despite the difference in
14 catchment area, mean inputs to Wivenhoe and Somerset were very similar during the non-flood period (hence the
15 hydraulic retention time was typically shorter for Somerset, as shown in Figure 8). However during the flood year, inputs to
16 Wivenhoe were double or triple those to Somerset (Table 1, Figure 3). Wivenhoe receives water from two sources:
17 controlled releases from Somerset and episodic inputs from the catchment, which are dominated by flows from the UBR.
18 Catchment flows account for about half (50-60%) of water inflows and the majority of TSS and nutrient inputs in both flood
19 and non-flood years (Figure 3).

20 [TSS], [TN] and [TP] measured in the main tributary supplying inflows to Wivenhoe, the UBR, were typically greater
21 than in water leaving the reservoirs (Figure 5). The proportion of dissolved nutrients and the N:P ratios, however, differed
22 between the reservoirs and the river inputs (Figure S3). DIP concentrations were higher in the UBR than in either of the
23 reservoirs, while dissolved inorganic N (DIN) concentrations were higher in the bottom waters of the reservoirs than in
24 either the UBR or surface waters of the reservoirs (Figure 5). As a result, DIN:DIP and TN:TP ratios and the proportion of TN
25 in readily bioavailable form (DIN) were all higher in the bottom of the reservoirs than in the rivers (Figure S3). In all cases, a
26 higher proportion of P than N was available in dissolved inorganic form, and DIP:TP was higher in the UBR than in the
27 reservoirs.

1 **4 Discussion**

2 **4.1 Flood impacts on reservoir budgets: implications for monitoring and management**

3 Our budget calculations show that the January 2011 flood dominated inputs, outputs and retention of sediment
4 and nutrient for both reservoirs over the 14 year study period. We have very high confidence in this conclusion because
5 the inputs calculated here for January 2011 represent a lower bound estimate. The catchment model and reservoir release
6 data in this study predicted that 2.1 TL of water flowed into Wivenhoe during the peak of the flood (9-16 January 2011),
7 which is 26 % lower than the 2.64 TL inflow estimated by Seqwater (2011). TSS input to Wivenhoe in January 2011 was
8 estimated by Grinham et al. (2012) as 1.8 Mt, based on event mean concentrations, and 21 Mt, based on a correlation
9 between flow and TSS. Thus the TSS inputs to Wivenhoe calculated by Grinham et al. (2012) using the event-mean and flow
10 correlation methods are one and two orders of magnitude, respectively, above our estimate of 0.2 Mt (Table 1). Event
11 mean concentrations do not account for the shape of the flood peak, and there is an order of magnitude difference
12 between the loads estimated from the event mean concentration method and the flow-load correlations. This
13 demonstrates the difficulty not only in determining loads for reservoir budgets, but also in finding meaningful estimates of
14 uncertainty.

15 Our uncertainty analysis was as thorough as possible given the data available, but our estimate of 70 % confidence
16 in SC model predictions may not be valid for major floods. While the predictions of TSS loads generated by the SC model
17 agreed well with measured loads in flow events at gauging stations on both the Stanley River and UBR, the January 2011
18 event was so large in magnitude that it was outside the calibration range of the SC model and the rating curves at the
19 gauging stations. Refining the estimates of input and output loads during January 2011 is the key to both reducing and
20 better quantifying uncertainty in long-term sediment and nutrient budgets for the reservoirs.

21 Reliable reservoir budgets require reliable data. During non-flood years, mean historical concentrations provided
22 reasonable estimates of TSS and nutrient loads leaving the reservoirs. However calculating loads from historical mean TSS
23 and TP concentrations during January 2011 would have underestimated outputs over the entire study period by a factor of
24 3-7 (Figure 6, Table S4). Since extreme flow events generate both the highest inputs and outputs of TSS and nutrients, and
25 the highest uncertainty in loads, more intensive monitoring during high flow events is required to increase confidence in
26 these long-term reservoir budgets. Reducing the frequency of routine monitoring and using these savings to fund
27 measurements during extreme events may therefore be a cost-effective way to reduce uncertainty in reservoir budgets.

28 The hydrological regimes of both Somerset and Wivenhoe are typical of the unpredictable and intermittent flow
29 regimes found in rivers on the eastern coastal fringe of Australia (Kennard et al. 2010). Hence our findings will be
30 particularly relevant in tropical and subtropical systems, where intra- and inter-annual variability are particularly high
31 (Lewis et al. 2013). Because major floods play such a dominant role in the sediment and nutrient budgets of reservoirs with

1 highly variable flow regimes, sustainable management of soil and nutrient resources will mean addressing sediment
2 erosion and nutrient inputs during major floods. Land use change is the key factor responsible for changes in sediment and
3 nutrient delivery to downstream water bodies throughout Australian catchments and no doubt in similarly modified
4 landscapes beyond (Harris, 2001; Bartley et al., 2012; Powers et al., 2015). In the subtropical catchments of southeast
5 Queensland reservoirs, for example, river channel erosion is the main source of sediment inputs, and restoring riparian
6 vegetation is the main mechanism by which these loads can be reduced (Wallbrink, 2004; Leigh et al., 2013; Olley et al.,
7 2014).

8 **4.2 Uncertainty and variability in reservoir budgets**

9 While catchment and reservoir budgets can be very useful, constructing accurate budgets is difficult due to limited
10 availability of data, and the challenges in reconciling data collected on different spatial and temporal scales, and over
11 different time periods. Given these issues, Parsons (2011) identified three criteria for useful catchment budgets: 1. an
12 explicit statement of the timeframe over which it is valid; 2. quantities determined from the difference between measured
13 loads should be treated with caution; and 3. uncertainty should be specified on all values. This study enables us to refine
14 and update these principles.

15 Our results demonstrate that the timeframe affects the uncertainty in budget estimates in two ways. Firstly, if
16 there are no systematic errors in budget loads, relative error in total loads will decline as duration of the study increases, as
17 can be seen from Eq (2). This explains why relative uncertainty in mean loads over the non-flood years and retention over
18 the entire study period are much lower than uncertainty during the flood year (Table 2). Secondly, budgets conducted over
19 longer timeframes are more likely to capture a realistic representation of climatic conditions, particularly in tropical and
20 subtropical systems where variation in flow can be extremely high (Kennard et al., 2010; Burford et al., 2012; Lewis et al.,
21 2013). Variation in input and output loads was very high even in the 13 non-flood years (Fig 3); the standard deviation of
22 input and output loads was typically similar or equal to the mean load for both reservoirs (Table 1). In systems such as our
23 study sites, where flow is highly episodic, a static budget of water, sediment or nutrient loads will have limited value, and
24 budgets are best presented as time series.

25 While quantifying uncertainty in reservoir budgets is important (Parsons, 2011), it can be extremely difficult, due
26 to the necessity of combining data and predictions from different sources, across different spatial and temporal scales
27 (Walling and Collins, 2008; Hobgen et al., 2014). Here we were able to quantify uncertainty in all loads, using a range of
28 methods, including verification of the catchment model SC against both event loads and independent empirical models.
29 Relative uncertainty was highest in reservoir retention (Table 2), because retention is the difference between input and
30 output loads, and uncertainty in retention depends on the addition of input and output errors squared (Eq 2). Full
31 quantification of uncertainty in all components of the budget (Parsons' third principle of catchment budgets) makes it clear

1 that uncertainty is particularly high in quantities which are calculated from other budget terms, rather than independently
2 determined (Parsons' second principle). Thus these two principles can be combined.

3 We therefore we propose that Parsons' three principles of catchment budgets can be refined to two principles: 1.
4 Budgets should be presented as time-series rather than static quantities to clearly display temporal variability and 2.
5 Uncertainty should be quantified for all budget terms, and accounted for in any interpretation of results.

6 **4.3 Sediment and nutrient trapping**

7 Correct propagation of uncertainty also affects interpretation of reservoir budgets. Uncertainty is higher over
8 shorter time periods, and thus confidence in budget values is lower for the flood year than for the whole study period
9 (Tables 1-2). Net retention of TSS, TN and TP occurred over the 14 year study period in both reservoirs, except for TP in
10 Wivenhoe, where uncertainty was higher than the difference between input and output loads. The flood year dominated
11 the retention of TSS, TN and TP in both reservoirs (e.g. 25 % and 40 % of TSS retained in Somerset and Wivenhoe were
12 captured during the flood year), however the higher relative uncertainty in the values determined for this shorter
13 timeframe means that retention of water, sediment and nutrients in both reservoirs in the flood year was only significantly
14 different to zero for TSS in Somerset (Table 2).

15 Uncertainty in trapping efficiency (retention divided by input) is lower than uncertainty in retention, as outlined in
16 Section 2.4. Thus while retention was not significant for most loads during the flood period, trapping efficiency was
17 quantifiable for all sediment and nutrients across the study period, and for TSS in both reservoirs and TN in Somerset
18 during the flood year (Table 2). Together, these findings engender greater confidence in the proportion of sediment and
19 nutrients retained by the reservoirs (i.e. trapping efficiency) than in the mass retained, and in budget terms calculated for
20 multi-year periods. For a fuller assessment of trapping efficiency in reservoirs with variable flow, such as Wivenhoe and
21 Somerset, hydraulic retention should be calculated on shorter (i.e. monthly) timescales, and particle size distribution
22 should be considered, as outlined in Lewis et al. (2013).

23 Retention of sediments in reservoirs can represent a loss of terrestrial productivity, and reduce the volume
24 available for water supply and flood mitigation. To determine volume occupied by sediment retained in Somerset and
25 Wivenhoe over our study period, we divided the mass of sediment retained (Table 2) by an estimated sediment bulk
26 density of 0.95 g cm^{-3} , using the appropriate unit conversions. The sediment bulk density used here represented an average
27 of the range reported by Avnimelech et al. (2001). For Wivenhoe, we used TSS inputs from two sources for January 2011: 1.
28 TSS inputs from this study (Table 1) and 2) mean TSS input estimated by Grinham et al. (2012): $11.4 \pm 9.6 \text{ Mt}$. In the most
29 extreme case (i.e. highest estimates of sediment inputs during January 2011), Wivenhoe storage volume is estimated to
30 decline by only 1 % over the 14 year study period (Table 3). Using the input loads calculated in this study, decline in storage

1 volume is estimated as only 0.04 %-1.1 % for Wivenhoe over the 14 year study period (Table 3), i.e. 0.003 %-0.1 % per year.
2 Average annual decline in storage volume is two orders of magnitude lower in Wivenhoe compared to Mosul Dam, Iraq,
3 where reservoir volume reduced by more than 10 % due to siltation between 1986 and 2011, i.e. 0.4 % per year on average
4 (Issa et al., 2015). While trapping efficiency of Wivenhoe is slightly less than that estimated for Mosul Dam, the large
5 difference in siltation between these two reservoirs is due primarily to the difference in sediment loads. Mosul Dam has
6 approximately ten times the storage volume of Wivenhoe, but sediment loads entering Mosul Dam are of order 100-1000
7 higher than those entering Wivenhoe (Issa et al., 2015).

8 While the relative siltation rates in both Somerset and Wivenhoe may seem low (Table 3), the corresponding loss
9 in water supply volume is regionally significant. We estimated that the decline in storage capacity over the study period
10 was approximately 0.4 GL for Somerset loss and 0.5-12 GL for Wivenhoe (Table 3). Two of the 15 water supply reservoirs in
11 the region have capacity of less than 10 GL (Leigh et al., 2010). Hence the loss of water storage volume in Wivenhoe over
12 the 14 year study period could be equivalent to the closure of one of the smaller reservoirs. Somerset and Wivenhoe
13 supply water to southeast Queensland, a region of rapid population growth which has recently experienced major drought,
14 and where alternative water sources have much higher greenhouse gas intensity than water supplied from existing
15 reservoirs (e.g. Hall et al. 2011). Therefore any economic assessment of methods to reduce the catchment sediment load in
16 this region should account for costs associated with reservoir siltation and associated loss of water supply volume. Direct
17 measurement of reservoir volume is required for more accurate estimates of storage loss due to siltation.

18 Clear differences between TSS, TN and TP retention were observed across both reservoirs, reflecting the different
19 processing pathways of sediment, nitrogen and phosphorus in aquatic systems. TSS trapping was very high, with lower
20 variability and relative uncertainty than TN and TP, and a stronger correlation to hydraulic residence time (Figure 8). This
21 reflects sediment dynamics, which are strongly controlled by the physical processes of advection and settling. TP retention
22 was lower and more variable than TSS retention in either reservoir, but was also related to hydraulic residence time (Figure
23 8), similar to the findings of a long-term study of an arid lake system in Australia (Cook et al., 2010). P retention has been
24 demonstrated in reservoirs throughout the world (Josette et al., 1999; Bosch and Allan, 2008). However TP retention was
25 more variable than TSS retention because P can be transformed via chemical and biological processes into a range of
26 organic and inorganic forms. TP is associated with the finer fractions of TSS, which are less likely to settle and hence more
27 likely to be transported through the reservoir during periods of short retention time (Kerr et al., 2011; Lewis et al. 2013),
28 increasing the proportion of P likely to be transported through the reservoir during periods of overflow.

29 Interpreting retention of N is more complicated than either TSS or TP. Whereas both nutrients and sediments can
30 be deposited from the atmosphere and buried in sediments, N can also be exported via denitrification and imported
31 through N fixation by cyanobacteria. These processes are not included in the budget, thus uncertainty in TN loads and

1 retention will be underestimated. N is typically retained in reservoirs globally (Harrison et al., 2009), and was consistently
2 retained in Somerset throughout the study period. However Wivenhoe was frequently a net exporter of TN (Figure 8),
3 typically during drought years when releases for water supply were less than reservoir inflows (Figure 3).

4 The impact of reservoirs on downstream aquatic ecosystems depends of the form of nutrients released as well as
5 the total loads (Kunz et al., 2011). Overall, TN is retained by both reservoirs over the study period (Table 2). However the
6 concentration of dissolved inorganic nitrogen [DIN] leaving the bottom of both reservoirs was typically higher than the
7 concentration of DIN measured in the UBR during events (Figure 5), probably due to anoxic conditions in reservoir bottom
8 waters (Burford and O'Donohue, 2006). Ratios of total and dissolved inorganic N:P were substantially higher in both
9 reservoirs than in the UBR (Figure S3). Therefore the impacts of reservoirs on downstream nutrient conditions will depend
10 on the timing and magnitude of sediment and nutrients loads into the reservoirs, trapping efficiency and transformation
11 processes within the reservoirs themselves.

12 **5. Conclusions**

13 Major floods dominated the 14 year sediment and nutrient budgets determined here for Somerset and Wivenhoe,
14 subtropical reservoirs subject to episodic flow. Our results demonstrate that reliable sediment and nutrient budgets
15 depend on the availability of data during high flow periods, and that such budgets may be inherently dynamic. Static
16 budgets of water, sediment or nutrients would be meaningless at best and misleading at worst for these reservoirs,
17 because both the magnitude and timing of loads are highly dynamic. Understanding variability and uncertainty are
18 therefore just as important as quantifying loads in characterizing reservoir budgets in regions with intermittent and
19 variable flow. This is especially relevant in a world in which many once-perennial rivers are expected to transition to
20 intermittent flow regimes (Döll and Schmied, 2012) and the pace of dam construction in many regions continues to
21 escalate (Winemiller et al., 2016).

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7 repository once this manuscript is accepted for publication.

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15

- 1 **Figure 1: Somerset and Wivenhoe reservoirs in subtropical Australia. The major tributaries are Stanley River and Upper**
2 **Brisbane River (UBR), respectively. Flow gauging stations are indicated.**
- 3
- 4 **Figure 2: Annual rainfall (mm per water year) measured at a rainfall station near Wivenhoe and Somerset reservoirs (Bureau of**
5 **Meteorology, bom.com.au). Horizontal line shows long-term mean rainfall.**
- 6
- 7 **Figure 3: Annual input and output loads of water (ML y^{-1}), TSS and nutrients (kt y^{-1}) for Somerset (o) and Wivenhoe (▶)**
8 **reservoirs for water years 1997-2010, and the percentage contribution of Somerset to Wivenhoe input loads.**
- 9
- 10 **Figure 4: Summary of January 2011 input and output loads, as percentage of total loads in and out during the flood year, and**
11 **across the entire study period**
- 12 **Figure 5. Concentration of total and dissolved inorganic nutrients measured at the dam walls of Somerset and Wivenhoe**
13 **reservoirs June 1997-July 2011, and at the gauging stations for Stanley River (SR) and Upper Brisbane River (UBR) July 2002-**
14 **June 2009.**
- 15
- 16 **Figure 6: Comparison of four methods for calculating mean annual TSS, TN and TP output loads (kt y^{-1}), using [TSS], [TN] and**
17 **[TP] from: 1. ▶ Mean historical concentration of monthly monitoring data water years 1997-2010; 2. * Monthly monitoring, with**
18 **missing data replaced by mean historical concentration; 3. ■ Monthly monitoring, with missing data replaced by concentration**
19 **estimated from turbidity profiles, and mean historical concentration where turbidity data unavailable; 4. ● Turbidity profiles,**
20 **with missing data replaced by monthly monitoring, and mean historical concentration otherwise.**
- 21
- 22 **Figure 7: [TSS], [TN] and [TP] at dam outlets measured during monthly monitoring (round symbols), calculated from the daily**
23 **measured turbidity profile (solid lines) and mean historical concentrations (broken lines). Surface concentrations are denoted by**
24 **open circles and thin lines, bottom readings are closed circles and heavy lines. Note that TSS mean is from log-transformed data.**
- 25 **Figure 8: Percentage of annual TSS, TN and TP loads retained in Somerset and Wivenhoe reservoirs compared to hydraulic**
26 **residence time (γ). Dashed line indicates zero trapping, boundary between net positive import and export.**
- 27
- 28

1

2 **Table 1: Inputs and output loads of water, TSS, TN and TP for Somerset and Wivenhoe reservoirs from June 1997 to July 2011 ±**
 3 **uncertainty, σ is the standard deviation of annual values over non-flood years. Water years are defined from July to June. Water**
 4 **year 2010 is the flood year, other years are non-flood years. January 2011 is the flood month. S = Somerset reservoir, W =**
 5 **Wivenhoe reservoir**

6

		Input loads			Output loads		
		<i>Mean,</i> <i>non-flood</i> <i>years</i>	<i>Flood</i> <i>year</i>	<i>Flood</i> <i>month</i>	<i>Mean,</i> <i>non-flood</i> <i>years</i>	<i>Flood</i> <i>year</i>	<i>Flood</i> <i>month</i>
Water, 10³ GL	S	0.32±0.07 $\sigma=0.21$	1.6±1.1	0.8	0.20 $\sigma=0.16$	1.7	0.88
	W	0.39±0.06 $\sigma=0.36$	4.2±1.8	2.7	0.33 $\sigma=0.32$	4.8	2.9
TSS, kt	S	23±6 $\sigma=19$	130±90	73	0.8±0.1 $\sigma=0.9$	27±10	19
	W	25±8 $\sigma=37$	310±200	235	2.6±1 $\sigma=7$	120±50	104
TN, kt	S	0.36±0.1 $\sigma=0.27$	2.0±1.4	1.1	0.13±0.01 $\sigma=0.14$	1.1±0.1	0.59
	W	0.4±0.1 $\sigma=0.5$	4.5±2.4	3.1	0.18±0.01 $\sigma=0.22$	3.4±0.3	2.2
TP, kt	S	0.04±0.01 $\sigma=0.03$	0.19±0.14	0.11	0.01±0.002 $\sigma=0.01$	0.14±0.06	0.08
	W	0.04±0.01 $\sigma=0.05$	0.54±0.28	0.38	0.01±0.004 $\sigma=0.03$	0.57±0.23	0.43

1 Table 2: Retention of water, TSS, TN and TP in Somerset and Wivenhoe reservoirs from June 1997 to July 2011. Water year 2010
 2 is the flood year. S = Somerset reservoir, W = Wivenhoe reservoir

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		Retention		Trapping efficiency = Retention/Input loads	
		<i>Entire study period</i>		<i>Entire study period</i>	
		<i>Flood year</i>		<i>Flood year</i>	
Water	S	1.44±1.46 x10 ³ GL	-0.14 ±1 x10 ³ GL	25±19%	-9±76%
	W	0.21±1.91 x10 ³ GL	-0.56 ±2 x10 ³ GL	2 ±20%	-13 ±47%
TSS	S	400± 120 kt	100 ± 90 kt	92 ±3%	79 ±60%
	W	480± 230 kt	190 ±200 kt	76 ±12%	61 ±30%
TN	S	3.8±1.8 kt	0.9 ±1.4 kt	57 ±12%	44 ±39%
	W	4.0±2.6 kt	1.1 ±2.4 kt	41 ±16%	24 ±41%
TPt	S	0.40±0.19 kt	0.05 ±0.1 kt	60 ±14%	26 ±60%
	W	0.36±0.39 kt	-0.03 ±0.36 kt	33±28%	-5±69%

1 Table 3. TSS retention and estimated decline in storage capacity for Somerset and Wivenhoe reservoirs from June 1997 to July
 2 assuming sediment bulk density of 0.95 gcm^{-3} . * calculated from information in Table 2; ** input of TSS in water year 2010 based
 3 on January 2011 TSS loads estimated by Grinham et al. (2012); all other information from Table 2.

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	TSS retention, kt	Total decrease in storage capacity, GL	Relative decrease in storage capacity
Somerset *	400 ± 120	0.42 ± 0.12	$0.11 \pm 0.03 \%$
Wivenhoe *	480 ± 230	0.51 ± 0.24	$0.04 \pm 0.02 \%$
Wivenhoe **	11600 ± 9600	12 ± 10	$1.1 \pm 0.9 \%$