



1	Using hydroclimatic extremes to guide future hydrologic predictions
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14 Abstract

- 15 There are growing numbers of studies on climate change impacts on forest hydrology but limited
- 16 attempts have been made to use current hydroclimatic extremes to constrain future climatic
- 17 conditions. Here we used historical wet and dry years as a proxy for expected future extremes in a
- 18 boreal headwater catchment. Hydrologic modelling assessments showed that runoff could be
- 19 underestimated by at least 35% when dry year parameterization was used for wet year conditions.
- $20 \qquad {\rm Uncertainty} \ analysis \ showed \ that \ behavioural \ parameter \ sets \ from \ wet \ and \ dry \ year \ separated$
- 21 mainly on precipitation related parameters and to a lesser extent on parameter sets related to
- 22 landscape processes. While inherent uncertainty in climate models still drives the overall uncertainty
- 23 in runoff projections, hydrologic model calibration for climate impact studies should be based on
- $24 \qquad \text{years that best approximate future conditions to constrain uncertainty in projecting future}$
- 25 conditions.

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- 27 Keyword: Boreal forest, boreal hydrology, climate change, uncertainty assessment, hydroclimatic
- 28 extremes





29 1 Introduction

- 30 There are growing numbers of studies on climate change impacts on forest hydrology but these are
- 31 usually based on long-time series that depict average system behaviour (Bonan, 2008; Lindner et al.,
- 32 2010: Tetzlaff et al., 2013). As a result, limited attempts have been made to use current
- 33 hydroclimatic extremes to assess plausible future conditions. These trends in predictive uncertainty
- 34 might continue beyond our current projecting capability if the level of human activities and
- 35 greenhouse gas emission continues. Increasing numbers of studies are showing the importance of
- 36 ensemble projections to create a matrix of possible futures, where the mean provides a statistically
- 37 more reliable estimate (Bosshard et al., 2013; Dosio and Paruolo, 2011; Oni et al., 2014a; Raty et al.,
- 38 2014) instead of using a single climate model to represent the future. This has helped in part to
- 39 constrain the predictive uncertainty and/or uncertainty in precipitation downscaling that is still larger
- 40 than that of temperature (Teutschbein and Siebert, 2012). This inherent uncertainty might further
- 41 increase in the warmer future in northern latitudes and high altitude catchments as precipitation
- 42 dynamics become less consistent due to a shift in winter precipitation patterns toward rainfall
- 43 dominance (Berghuijs et al., 2014; Dore, 2005).
- 44 It is unequivocally believed that climate is a first order control on watershed hydrology (Oni et al.,
- 45 2015; Vörösmarty et al., 2000). As a result, runoff has become a central feature in the modelling
- 46 community (Futter et al., 2014; Lindström et al., 2010) to understand watershed responses to both
- 47 short and long term environmental changes (Wellen et al., 2014). Conceptualization of many of these
- 48 hydrologic models has been based on average long term natural rainfall-runoff processes. However,
- 49 average conditions may not best reflect processes operating under changing conditions. As a result,
- 50 all models have their inherent uncertainties that can be amplified when projecting future conditions.
- 51 The predictive uncertainties resulted from hydrologic models is due in part to issues of
- 52 conceptualization, scaling and connectivity of processes between the landscape mosaic of a
- 53 watershed (Tetzlaff et al., 2008; Ren and Henderson-Seller, 2006). No consensus has yet been
- reached regarding whether the uncertainty due to differences in hydrologic model structures and/or
- 55 calibration strategies would be greater than the unresolved uncertainty inherent in climate models
- 56 when projecting hydrologic conditions in boreal ecozones.
- 57 Although climate change is a global phenomenon (IPCC, 2007), it will likely also alter local catchment
- 58 water balances (Oni et al., 2014b; Porporato et al., 2004). Prolongation of drought regimes or
- increasing frequency of storm events observed in different parts of the world (Dai, 2011; Trenberth,
- 60 2012) calls for greater attention on how to constrain uncertainty in predicting extreme events. While
- 61 the frequency of hydroclimatic extremes might be low under present day conditions (Wellen et al.,
- 62 2014), there could be intensification of precipitation events globally as climate changes (Chou et al.,





- 63 2013). Otherwise, preparations for future hydroclimatic extremes could be undermined by our
- 64 inability to properly simulate or project new conditions expected in the future.
- 65 One way to constrain the uncertainty in hydroclimatic projections is to utilize historical wet and dry
- 66 years as a proxy for the future conditions expected as climate changes. Here we used hydrological
- 67 and meteorological observations in dry and wet years in a long term monitored headwater
- 68 catchment in northern Sweden. The objectives of this study were to: 1) to utilize long term field
- 69 observations to gain insights into present extreme hydroclimatic behaviour; 2) to model the extreme
- behaviour using multi-criteria goodness-of-fit metrics; 3) to quantify the uncertainty in our current
- 71 predictive practices that is based on long term series; 4) to conduct a robust parameter uncertainty
- 72 assessment that will help to gain further insights into plausible differences in hydrologic behaviour in
- 73 dry and wet years; and 5) to use an ensemble of climate change scenarios to test whether our
- 74 current predictive uncertainty regarding future extremes could be attributed to inherent
- 75 uncertainties in climate models or be driven by differences in hydrologic model calibration strategies.

76 **2 Method**

77 2.1 Study site

78 This modeling exercise was carried out in Svartberget (64° 16' N, 19° 46' E), a 50 ha headwater boreal 79 catchment part of the Krycklan experimental research infrastructure in northern Sweden (Fig. 1) (Laudon et al., 2013). Svartberget has two headwater streams, one of which drains a completely 80 81 forest landscape while the other drains a headwater mire. The catchment has a long term mean annual temperature of about 1.8°C. The minimum temperature of -9.5°C occurred in January and 82 83 maximum temperature of 14.5°C occurred in July. The catchment receives a mean annual precipitation of 610 ± 109 mm with more than 30% falling as snow (Laudon and Ottosson-Löfvenius, 84 2015). Snow cover usually lasts between November and May (Oni et al., 2013). The catchment has a 85 86 long term mean annual runoff of 320 ± 97 mm with subsurface pathways dominating the delivery of runoff to streams. Spring melt represents the dominant runoff event in the catchment and lasts 4 to 87 88 6 weeks. Modelling results presented here were based on the long-time series of precipitation, air 89 temperature and runoff from a weather and flow monitoring station at the outlet of Svartberget. Forest cover includes a century old Norway spruce (Picea abies) and Scot pine (Pinus sylvestris) with 90 91 some deciduous Birch species (Betula spp). Sphagnum sp dominates the mire landscape. Svartberget 92 has gneissic bedrock overlain by compact till of about 30 m thickness to the bedrock. The catchment 93 elevation ranges from 235-310 m above sea level and was delineated using DEM and LIDAR (Laudon 94 et al., 2011).





95 2.2 Climate downscaling

- 96 We used 15 different regional climate models (RCMs) from the ENSEMBLES project (Van der Linden
- 97 and Mitchell, 2009) in the downscaling and analysis presented here (Table 1). All the RCMs had a
- 98 resolution of 25 km and were under A1B emission scenarios. Precipitation and temperature values
- 99 (2061-2090) were obtained by averaging the values of the RCM grid cell with center coordinates
- 100 closest to the center of the catchment and of its eight neighboring grid cells. Due to the coarse
- 101 resolution of global climate data, we bias-corrected each RCM using precipitation and air
- 102 temperature data from a weather station (1981-2012) located within the Svartberget catchment. The
- 103 distribution mapping method was used for bias-correction of the 15 RCMs presented here. This was
- achieved by adjusting the theoretical cumulative distribution function (CDF) of RCM-simulated
- 105 control runs (1981-2010) to match the observed CDF. These were then applied to adjust the RCM-
- simulated scenario runs for the future (2061-2090). Downscaling or RCM bias corrections presented
- here were fully described in Jungqvist et al. (2014) and Oni et al. (2014, 2015).

108 **2.3 Modelling and analysis**

109 PERSiST is a semi-distributed bucket type rainfall-runoff model with a flexibility that allows modelers 110 to specify the routing of water following the perceptual understanding of their landscapes (Futter et 111 al., 2014). This feature makes PERSiST a useful tool to simulate streamflow from landscape mosaic patches at a watershed scale. The model operates on a daily time scale with inputs of precipitation 112 113 and air temperature. The spatial interface requires an estimate of area, land cover proportion and 114 reach length/width of the hydrologic response units. In the PERSIST application presented here, we 115 used three buckets to represent the hydrology of Svartberget. These include snow, upper soil and 116 lower soil buckets. In the snow routine bucket, the model utilized a simple degree day 117 evapotranspiration and degree day melt factor (Futter et al., 2014). Although the maximum rate of 118 evapotranspiration could be independent of wet and dry years as used in this study, the actual rate 119 of evapotranspiration could be influenced by the amount of water in the soil and by an 120 evapotranspiration adjustment parameter. The latter is an exponent for limiting evapotranspiration 121 that adjusts the rate of ET (depending on water depth in the bucket or how much is 122 evapotranspired). The snow threshold partitions precipitation as either rain or snow. The model also 123 simulates canopy interception for snowfall and rainfall to the uppermost bucket. 124 The quick flow bucket simulates surface or direct runoff in response to the inputs of rainfall or 125 snowfall as a function of soil moisture saturation. Partitioning of the runoff generation process

- 126 between the quick flow and lower soil buckets (upper and lower) is defined in the square matrix
- 127 (Table 2). The evapotranspiration adjustment parameter sets the rate at which ET can occur when
- 128 the soil is no longer able to generate runoff and this was set to 1 in the upper soil box. Maximum





129 capacity is the field capacity of the soil that determines the maximum soil water content held. The 130 time constant specifies the rate of water drainage from a bucket and requires a value of at least 1 in 131 PERSiST. The relative area index determines the fraction of area covered by the bucket and is also set to 1 for our simulations. Infiltration parameters in each bucket determine the rate of water 132 movement through the soil matrix. The model is based on series of first order differential equations 133 that are solved sequentially following the bucket order in the square matrix. More detailed 134 135 information about PERSiST parameterization and equations is provided in Futter et al. (2014). Parameter values and ranges used in the Monte Carlo analysis are listed in Table 3. 136 137 The model was calibrated against streamflow to generate present day runoff conditions. Initial 138 manual calibration was performed on the entire time series to minimize the difference between the 139 simulated and observed runoff. The manual calibration also helps to identify a suite of parameters 140 and their ranges to be used in the Monte Carlo analysis by varying each parameter value such that 141 the Nash-Sutcliffe (NS) value for the overall period of simulation dropped close to zero. This helped 142 to determine the ranges to use in the Markov Chain Monte Carlo (MCMC) analysis for the wet and dry year simulations. The MCMC tool utilizes the Metropolis-Hasting algorithm and was described in 143 144 Futter et al. (2014). The best parameter sets (top 100) were selected based on highest NS statistics 145 from untransformed/log transformed data and other performance metrics (e.g. variance of modeled/observed series, absolute volume difference, root mean square and R²). These top 100 146 147 parameter sets are referred to as behavioural parameters henceforth. The behavioural parameters were subjected to further analyses to determine hydrologic behaviour in dry and wet years. These 148 149 include the cumulative distribution function (CDF) of behavioural parameters to determine the 150 sensitive parameters and discriminant function analysis (DFA) to determine the dominant parameter(s) that separate the hydrology of wet from dry years. Wet years were defined as the 151 hydrologic years with runoff exceeding 430 mm/yr or 40% higher than average annual runoff (1995, 152 153 2002, 2005 and 2010). Dry years were defined as the hydrologic years with runoff less than 150 154 mm/yr or less than 50% of average annual runoff (1987, 1992, 2000 and 2001). Hydrologic year was September 1 of a year to August 31 of the following calendar year. The bias corrected future climate 155 156 series from ensemble of climate models (Table 1) were used to project future extremes using 157 different goodness of fit metrics.

158 3 Results

159 3.1 Analysis of long term climate and hydrology series

Preliminary analysis showed that the Svartberget hydroclimate was highly variable and thus helped
 to partition the long term series into dry and wet years (SI 1). As a result, both dry and wet year





- 162 conditions were different in terms of climate and cumulative runoff patterns. The cumulative
- 163 distribution of the dry/wet year series (Fig 2a) showed that dry year precipitation (462 ± 102 mm)
- 164 was only 64% of precipitation observed in wet year (716 ± 56 mm). Similar patterns were observed in
- runoff dynamics (Fig. 2b) where total runoff in dry years (129 ± 35 mm) was 29% of total runoff
- observed in wet years (449 ± 19 mm). Runoff response was 63% of total precipitation that fell in wet
- 167 years and 28% of precipitation in the dry year regime. These were summarized in Table 4. Mean
- annual temperature was 2.4 °C in wet versus 1.8 °C in dry years.
- 169 When assessed on a seasonal scale, both precipitation and runoff were higher in almost all months in
- 170 wet compared to dry year condition (Fig. 3) but differed in terms of seasonal patterns. While runoff
- 171 peaked in May in both wet and dry years reflecting spring snowmelt dynamics that characterize
- 172 Svartberget, runoff magnitude differed. Peak precipitation events occurred in summer months with
- 173 additional autumn peaks in wet year. However, there was a shift in precipitation patterns with lowest
- 174 precipitation depth occurring between February/March in dry year compared to April in wet year.
- 175 Result also showed that temperature in wet and dry years were similar on average, while winter
- 176 months were generally slightly warmer during wet years and summers slightly warmer in dry year
- 177 (Fig 3c).

178 **3.2 Future climate projections**

- 179 Results showed that there was less agreement between the observed series and uncorrected
- 180 individual RCMs (SI 2a, b). However, bias correction helped to reduce the uncertainty by providing a
- 181 better match for the ensemble median of the air temperature and precipitation with their
- 182 corresponding observed series (SI 2c, d). Results showed that ensemble median performed better in
- 183 fitting the observed air temperature than precipitation. Results also showed a possible increase in air
- 184 temperature by 2.8-5°C (median of 3.7°C) and possible increase in precipitation by 2-27% (median of
- 185 17%). Although precipitation and temperature were projected to increase throughout the year, the
- 186 temperature changes would be more pronounced during winter months irrespective of whether it
- 187 was a dry or wet year (Fig. 3c). However, projected changes in precipitation followed similar patterns
- 188 to historical wet year with more precipitation expected between late winter months through spring
- 189 (Fig. 3a). Result also showed that the winter period with temperature below 0° C could be shortened
- 190 as climate warms in the future (SI 2).

191 **3.3 Model calibrations and performance statistics**

- 192 Model behavioral performance followed similar patterns when metrics such as R², NS and log NS
- 193 were used (SI 3a-c) and could be used interchangeably to measure model performances. The model
- 194 performed better when calibrated to wet and dry conditions (compared to long term) using NS
- 195 metrics (SI 3b, c). Although no major improvements to model efficiency above NS of 0.79 and 0.81





were obtained in dry and wet years, respectively, we obtained a wider range of model performances
in wet relative to dry year. The patterns of other performance metrics were different as we observed
the highest RMSE in dry year and lowest RMSE in wet year condition (SI 3d). There was minimum AD
range in the long term record and maximum range in dry year (SI 3e). Model performances based on
the Var metric also showed the largest variability in dry year compared to the long term record and
least Var in the wet year (SI 3f).

202 3.4 Runoff simulations and behavioural prediction range

203 Using the best performing parameter sets based on the NS statistic as an example, the model performed well in simulating the interannual runoff patterns but underestimated the peaks (SI 4). 204 205 When resolved to their respective dry and wet year components, the model performed better in 206 simulating runoff conditions in wet year despite its larger data spread and higher spring peaks than the dry year regime (SI 5). When parameterization for dry year was used for runoff prediction in wet 207 208 years, runoff was underestimated by 35% due to significant uncertainty that stemmed from growing 209 season months (Fig. 4). Modelling analysis presented here also showed that no single metric can be an effective measure of model performance under extreme conditions depicted in dry and wet years 210 211 (Fig 5a- c). However, utilizing a behavioural mean of these different performance metrics (Fig. 5d-f) appeared to be a more effective way of calibrating to extreme hydroclimatic conditions. While the 212 213 behavioural mean performed better in simulating runoff dynamics in winter through spring in the 214 long term record and significantly reduced the uncertainty in dry and wet years, larger uncertainty existed in summer through autumn months in dry and wet year compared to the long term record. 215

216 **3.5 Parameter uncertainty assessments**

217 While we observed a wide prediction range from behavioural parameter sets (Fig. 5), we have limited

- 218 information on the underlining processes. Therefore, we subjected the behavioural parameter sets
- to further analysis to identify sensitive parameters and plausible patterns of hydrologic processes
- 220 that differentiate dry and wet years (Fig. 6). The cumulative distribution function (CDF) of
- 221 behavioural parameter sets showed both rain and flow multipliers were sensitive parameters in dry
- 222 year and tended toward lower ranges. The rain multiplier was less sensitive in wet years unlike the
- flow multiplier. Long term simulations showed no sensitivity to the rain multiplier but were sensitive
- to the flow multiplier. We observed similar patterns of behaviour to flow multiplier in all the three
- 225 hydrologic regimes (Fig. 6b). Result also pointed to the sensitivity of interception in wet year but all
- the three hydrologic regimes showed similar patterns for the time constant (water residence time)
- 227 in lower soil.
- We subjected the pool of behavioural parameters in dry and wet year regimes to discriminant function analysis (DFA) to identify the key parameters that separate the extreme hydroclimatic





- 230 conditions (Fig. 7). Result showed that both dry and wet years separated well in canonical space.
- 231 However, the separation was driven mainly on quantitative parameters related to precipitation,
- 232 interception and evapotranspiration on canonical axis 1 (Rmult, Int and DDE). The parameters
- 233 separated to a lesser extent on processes related to snow parameters on canonical axis 2 (Smult, SM
- 234 and DDM).

235 3.6 Quantification of uncertainty in hydrologic projections

- 236 We compared the effects of different performance metrics in wet and dry year regimes to constrain
- 237 uncertainty in runoff projections under future hydroclimatic extremes in Svartberget catchment (SI
- 6). Results showed that differences in model representation of present day conditions might be
- 239 minimal (compared to the observed) but a wide range of runoff regimes were projected in the
- 240 future. We also observed small difference in the range of runoff projections (derived from minimum
- and maximum parameter sets) using different model performance metrics. Uncertainties inherent in
- 242 climate models (as opposed to differences in calibration or performance metrics) appeared to drive
- the overall uncertainty in runoff projections to extreme hydroclimatic conditions. As wet year
- appeared to give more plausible projections of future condition expected in the boreal ecozone, and
- 245 uncertainty in present day long term simulations is driven by dry year. We compared the runoff
- 246 predictions using dry year parameterization to parameterization based on wet year to quantify our
- 247 current predictive uncertainty. Results showed that future runoff could be under predicted by up to
- 248 40% if the projections are based on dry year parameterization alone (Fig. 8). Both parametrizations
- 249 projected a shift in spring melt from May to April in the future. However, ensemble projections
- 250 showed that summer months could be a lot wetter (based on wet year parameterization compared
- to dry year) and wet year spring peak could be up to 43% more compared to projections based on
- 252 wet year ensemble mean.

253 4 Discussion

254 4.1 Insights from long term hydroclimatic series

255 Several studies have evaluated the impact of climate change on surface water resources (Berghuijs et al., 2014; Chou et al., 2013; Dore, 2005) but most of these were based on long term series that depict 256 257 average system behaviour. However present day extremes, such as those derived from historical wet 258 and dry years, can be used as simple proxies to gain insights that will aid our understanding of future 259 hydroclimatic conditions. Using this approach we found that standard calibrations can result in underestimation of runoff by up to 35% due to high variability of hydroclimate series in northern 260 261 boreal catchments. Several explanations can be offered for the high variability in the long term hydroclimate series at the study site. First, snowmelt hydrology is important in understanding the 262 boreal water balances due to their location in a high latitude environment (Brown and Robinson, 263





- 264 2011; Euskirchen et al., 2007; Dore, 2005; Tetzlaff et al., 2011, 2013). As a result, northern headwater
- catchments tend to show high variability (Brown and Robinson, 2011; Burn, 2008).
- 266 We observed annual runoff yield to be 63% of total precipitation that fell in the wet year compared
- to 28% of total precipitation in dry year. More runoff yield in the wet year regime could be as a result
- 268 of near field capacity of the soils throughout the year, leading to greater propensity for runoff
- 269 generation. This can also imply more winter snow accumulation during the long winter period,
- resulting in higher spring melt that drives the overall water fluxes (Laudon et al., 2004). Less runoff
- 271 yield in dry year could be attributed to higher soil moisture deficit and relatively more important
- 272 evapotranspiration rates (Dai, 2013).
- 273 We also observed differences in dry/wet year peak summer precipitation and a shift in the lowest
- precipitation in late winter/early spring. Despite the differences in precipitation, we observed similar
- 275 patterns of runoff responses that only differ in terms of magnitude. This suggested that there was
- 276 more effective rainfall (net available water) available to infiltrate, continuously recharge
- 277 groundwater systems and generate runofffrom upstream sources in wet year. Slightly warmer
- temperatures in summer months could drive more of growing season evapotranspiration in dry year.
- 279 Small differences in temperature regime in wet and dry year, unlike precipitation, also explained why
- larger uncertainty still exists in precipitation downscaling using any scenario-based GCM as observedin SI 2.

282 4.2 Multi-criteria calibration of hydrological models

283 There has been considerable discussion about the calibrating procedure in the hydrological modelling 284 community (Andreassian et al., 2012; Boij and Krol, 2010; Efstratiadis and Koutyiannis, 2010; Krause 285 et al., 2005; Price et al., 2012). One of the key reasons for this is the difference in goodness-of-fit 286 measures utilized in each model (Pushpathala et al., 2012). The most common strategy is to calibrate 287 hydrologic models using the Nash and Sutcliffe (NS) statistic (Nash and Sutcliffe, 1970). However, 288 many modelers believe that the NS-based method alone tends to underestimate variance in 289 modelled time series as this metric could be biased toward high or low flow periods (Futter et al., 290 2014; Jain and Sudheer, 2008; Pushpalatha et al., 2012). This is leading us to use of multi-criteria 291 statistics in model calibrations to constrain predictive uncertainty in our hydrologic projections to 292 extreme hydroclimatic events. Therefore, multi-criteria calibration objectives that assessed model 293 performances using different goodness-of-fit metrics could aid our understanding of hydrologic 294 behaviour to extreme hydroclimatic conditions in boreal catchments. Our observation of differences

- 295 in model performances in terms of NS and other metrics presented here is expected as a three box
- 296 model proposed by Seibert and McDonnell (2002) similarly showed good fit for NS but poor fit using





- 297 other metrics. However, lower model performance (based on NS) for the long term record is
- 298 explainable as most hydrologic models are based on average system behaviour represented by long
- term rainfall-runoff processes (Futter et al., 2014; Oni et al., 2014b; Wellen et al., 2014).
- 300 The lower range of model performances in calibrating to the observed runoff in dry years is an
- 301 indication of variable runoff generation processes associated with this wetness regime. Dry years
- 302 cause drought-like conditions (Dai, 2011; Mishra and Singh, 2010) as a result of less water availability
- 303 that reduce hydrologic connectivity within the catchment. However, the model performed better
- 304 when applied to wet and dry years individually compared to the long term record based on NS
- 305 statistics. This suggested that the mechanisms driving hydrologic processes in dry and wet years
- might be similar but their relative magnitude differs from long term average conditions (Grayson et
- al., 1997). Better performance to extreme conditions (compared to average long term) can also be
- attributed to the fact that NS or log NS are believed to be biased towards high flows and baseflow,
- respectively (Futter et al., 2014; Jain and Sudheer, 2008; Pushpalatha et al., 2012).
- However, NS statistics alone are not enough to assess model performances in climate-sensitive
- 311 boreal headwater streams such as Svartberget. Other metrics such as the RMSE showed that dry year
- 312 could be a major driver of the uncertainty we observed in simulating the long term record. A possible
- 313 explanation could be that the soil moisture deficit is larger in dry year, leading to soil matrix or
- vertical flow (Grayson et al. 1997) that can only generate runoff after filling soil pore spaces
- 315 (McDonnell, 1990). For example, soil pore spaces are usually not close to saturation under dry
- 316 condition due to 1) intermittent precipitation events throughout the year and 2) several patchy
- 317 source area of high water convergence that are characterized by local landscape terrain or soil
- 318 properties (Fang and Pomeroy, 2008; Jencso et al., 2009). Also higher rates of evapotranspiration
- 319 coupled with low precipitation can contribute to a more spatially decoupled runoff and antecedent
- soil moisture conditions in dry years (Dai, 2013; Vicente-Serrano et al., 2010). Therefore, no single
- 321 model performance metric can be effective in simulating the hydrology of extreme conditions, as our
- 322 results showed that the mean of behavioural metrics outperformed any individual metric in dry and
- 323 wet years under present day conditions.

324 4.3 Parameter sensitivity in dry and wet year regimes

Despite the fundamental issues of parameter equifinality (Beven, 2006) in models like PERSiST, more complex models have been shown to perform better in simulating runoff dynamics at the watershed scale (Li et al., 2015). The robust uncertainty assessment conducted here showed that extensive exploration of model parameter spaces could give some hints as to how hydrologic behaviour differs between wet and dry year regimes. A possible explanation for the non-sensitivity of the rain





- 330 multiplier in wet year could be attributed to a more consistent or stable precipitation feeding the
- 331 system throughout the year compared to intermittent precipitation in dry year (Fang and Pomeroy,
- 332 2008; McNamara et al., 2005). This can explain the smaller spring peak that characterizes the dry
- 333 year regime or its non-sensitivity to interception unlike what characterize wet year regimes.
- 334 However, sensitivity of the lower soil time constant followed similar patterns in dry and wet years
- 335 unlike the upper soil box. Therefore, we could expect faster flow and higher runoff ratio in the wet
- 336 years due to rapid response to precipitation events and more macropore flow (Peralta-Tapia et al.,
- 337 2015). This can lead to steady runoff generation due to 1) near saturation of soils and 2) greater
- connectivity between stream channels and upland areas (Bracken et al., 2013; Ocampo et al., 2006)
- 339 that become disconnected in dry year. However, the patterns of the flow multiplier parameter
- 340 suggested that both extreme conditions followed similar runoff generation processes. These
- 341 suggested that the main physical mechanism to explain parameter sensitivity and hydroclimatic
- 342 behaviour to extreme conditions were related to differences in their precipitation patterns rather
- 343 than landscape-driven hydrologic processes.

344 4.4 Drivers of hydrologic behaviour in dry and wet year regimes

- 345 Even though equifinality limits the use of CDFs alone in identifying all sensitive parameters, DFA of
- 346 behavioural parameters gave further insights on plausible differences in wet/dry hydrologic
- 347 behaviour when projected on canonical space. This suggested that hydrological model
- 348 parameterizations calibrated to high flow associated with wet year differ from parameterizations for
- 349 long term or dry conditions. Therefore, parameter separation primarily on quantitative parameters
- 350 (Rmult, Int and DDE) related to rainfall and evapotranspiration on canonical axis 1 suggested that
- 351 climate is a first order control of hydroclimatic extremes in the boreal forest. This is consistent with
- 352 Wellen et al. (2014), who showed that extreme conditions could be triggered in a watershed when
- 353 precipitation reaches a threshold that can initiate saturation overland flow. This is because soils are
- always near saturation capacity under prolonged wet conditions (Grayson et al., 1997). This can
- 355 explain the increase in hydrologic model uncertainty in capturing the peak runoff events in wet years
- 356 unless parameter ranges that combined different performance metrics are considered.
- 357 Unfortunately, we might face a new challenge of increased precipitation ranges in the future as
- 358 climate changes (Chou et al., 2013; Dore, 2005). The separation of wet and dry years on snow
- 359 process related parameters (Smult, SM and DDM) to a lesser extent on canonical axis 2 suggested
- 360 that indirect landscape influences on snow processes could be important but is a second order
- 361 control on runoff response to hydroclimatic extremes. This agrees with Jencso et al. (2009), who
- 362 showed that landscape mosaic structures with their unique source contribution areas control the
- 363 overall watershed response.





364 4.5 Implications for future climate projections

- All the 15 RCMs considered in this study projected a range of plausible futures in the Swedish boreal
 forest. Irrespective of the model performance metrics, results suggested that the future could be
 substantially wetter and could make drought conditions less severe in boreal ecozones. This could
- 368 explain the large uncertainty in projecting runoff under extreme wet conditions. For example, dry
- 369 year and long term parameterization were similar and runoff was under-predicted by 35% under the
- present day condition when parameterization in dry year was used for wet year. This was due to
- 371 large predictive uncertainty in runoff dynamics (Fig. 4) that resulted from high evapotranspiration
- 372 rates during the snow free growing seasons in dry year. This suggests that wet year calibration could
- 373 give more credible projections of the future in the boreal ecozone as the distribution of precipitation
- in wet year is closer to the precipitation pattern expected in the future. While our modelling results
- 375 suggested negligible differences in runoff projections based on either dry year or long term
- 376 parameterization, extreme hydrologic events related to wet conditions could become a more
- 377 dominant feature in the boreal ecozone.
- 378 These have implications on future climate change as both dry and wet year parametrization showed
- a consistent shift in spring melt patterns from May to April (Fig. 8). This temporal advance in spring
- 380 melt patterns could result from altered distribution of snowfall and rainfall patterns in the winter
- 381 (Berghuijs et al., 2014; Dore, 2005), and may likely have effects on soil frost in the upper layer
- 382 (Jungkvist et al., 2014) or change in evapotranspiration rates (Jung et al., 2010; Vicente-Serrano et al.,
- 383 2010). Therefore, intensification of hydroclimatic regimes as climate changes in the future (Kunkel et
- 384 al., 2013) could drive water quality issues to a new level in the boreal forest due to changes in the
- 385 flux of organic carbon and aquatic pollutants. Furthermore, precipitation has been shown to have
- 386 much larger biogeochemical implications for the boreal carbon balance than previously anticipated
- 387 (Öquist et al., 2014).
- 388 The large spread of mean annual runoff projected by each RCM in wet years is an indication of less
- 389 agreement between RCMs when predicting future conditions. This suggested that inherent
- 390 uncertainty in climate models, rather than differences in model calibrations, drive the overall
- 391 uncertainty in runoff projections. However, hydrologic model calibration for climate impact studies
- 392 should be based on years that closely approximate future conditions to best constrain uncertainty in
- 393 predicting extreme conditions.

394 Acknowledgement

This project was funded by two larger projects ForWater and Future Forest, studying the effect of
 climate and forest managements on boreal water resources. Funding for KCS came from the Swedish





- 397 Science Council, Formas, SKB, MISTRA and Kempe Foundation. The ENSEMBLES data used in this
- 398 work were funded by the EU FP6 Integrated Project ENSEMBLES (Contract number 505539) whose
- 399 support is gratefully acknowledged

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No.	Institute	RCM	Driving GCM
1	C4I	RCA3	HadCM3Q16
2	CNRM	Aladin	ARPEGE
3	DMI	HIRHAM5	ARPEGE
4	DMI	HIRHAM5	BCM
5	DMI	HIRHAM5	ECHAM5
6	ETHZ	CLM	HadCM 3Q0
7	HC	HadRM 3Q0	HadCM 3Q0
8	HC	HadRM3Q16	HadCM3Q16
9	HC	HadRM 3Q3	HadCM 3Q3
10	ICTP	RegCM	ECHAM5
11	KNMI	RACMO	ECHAM5
12	MPI	REMO	ECHAM5
13	SMHI	RCA	BCM
14	SMHI	RCA	ECHAM5
15	SMHI	RCA	HadCM 3Q3

Table 1: List of RCMs from EU ENSEMBLE project used in study and their driving GCM.





Table 2: Square matrix used to partition runoff generation between buckets in PERSiST application presented here. For example, we conceptualized that 40% of the precipitation inputs are retained in the upper box, 60% are transferred to the lower box and 0% are transferred to the groundwater (row 2)

	Upper box	Lowerbox	Groundwater
Upper box	0.4	0.6	0
Lowerbox	0	0.5	0.5
Groundwater	0	0	1





Table 3: Parameter notations, descriptions and ranges used in the MCMC analyses in this analysis

	Notation	Parameter description	Min	Max	Units
MONS	SMt ISD DDM DDE GDT Smult RM CI	Snowmelt temperature Initial snow depth Degree day melt factor Degree day evapotranspiration Growing degree threshold Snow multiplier Rain multiplier Canopy interception	-3 40 1 0.05 -3 0.5 0.5 0	5 120 4 0.3 3 1.5 1.5 4	°C mm SWE mm °C day ⁻¹ mm °C day ⁻¹ °C - - - mm day ⁻¹
UPPER BOX	IWD_1 RWD_1 Infilt_1 DRF REI EA_1	Initial water depth Retain water depth Infiltration Drought runoff fraction Relative evapotranspiration index Evapotranspiration adjustment	40 100 1 0 1 1 1	100 250 15 0.5 1 10	mm mm mm day ⁻¹ - -
LOWER BOX	IWD_2	Initial water depth	80	250	mm
	Infil_2	Infiltration	1	15	mm day ⁻¹
	RWD_2	Retain water depth	200	200	mm
	TC_2	Time constant	2	50	days
	EA_2	Evapotranspiration adjustment	0	0	-
	InunT_2	Inundation threshold	80	150	mm
GROUNDWATER	IWD_3	Initial water depth	80	250	mm
	Infilt_3	Infiltration	0.1	10	mm day ⁻¹
	EA_3	Evapotranspiration adjustment	0	0	-
	RWD_3	Retain water depth	250	250	mm
	TC_3	Time constant	2	50	days
REACH	a	Flow multiplier	0.004	0.762	-
	b	Streamflow exponent	0.01	0.98	-
	ST	Snow threshold temperature	-2	3	°C





Table 4: Quantification of runoff and precipitation dynamics in wet and dry year using the observed series and simulated series from PERSiST

	Observed series (%)	Simulated series (%)
Precipitation proportion (dry:wet year)	64	
Runoff proportion (dry:wet year)	29	29
Runoff response to precipitation events		
Dry year	28	30
Wet year	63	66





Figure 1: Map of Svartberget; a long term monitored headwater catchment in northern boreal ecozone of Sweden. The catchment (50ha) drains terrestrial area that consist of forest (80%) and upland mire (20%). Streamflow measurements were taken at downstream confluence point.







Figure 2: Cumulative plots of (a) precipitation and (b) runoff in dry (1995, 2002, 2005 and 2010) and wet (1987, 1992, 2000 and 2001) hydrologic years. Hydrologic year represent September 1 (day 1) to August 31 of the following year (day 365).







Figure 3: Seasonal patterns of (a) precipitation in dry and wet years versus ensemble mean of future precipitation projections, (b) runoff dynamics in dry and wet year and (c) temperature in dry and wet years relative to ensemble mean of future temperature projections.







Figure 4: Quantification of predictive uncertainty in runoff simulations when best parameter set (based on NS) calibrated for dry year was used for wet year.







Figure 5: Summary plots showing prediction range of seasonal runoff dynamics using different performance metrics in a) dry year, b) wet year and c) long term. (d) to (f) show the corresponding model performances using behavioural mean of the metrics in (a) to (c).







Figure 6: Cumulative distribution function (CDF) of behavioural parameters (top 100 iterations from the MCMC) in wet and dry years versus long term record. (a) is the rain multiplier, b) is the flow multiplier, c) is and d) is the lower soil time constant that defines water residence time in the lower soil box. A rectangular distribution (straight line plot) defines parameter behaviours that were not sensitive (not left-or right-skewed).







Figure 7: Separation of the behavioural parameter sets (top 100 iterations from MCMC) in the dry and wet year hydrologic regimes using Discriminant Function Analysis (DFA). Wet and dry year hydrology separated mainly on parameters related to evapotranspiration (DDE), interception (Int) and rain multiplier (Rmult) on canonical 1. Parameters were separated on snow multiplier (Smult), snowmelt (SM) and degree day melt factor (DDM) on canonical 2. The circles represent normal 50% contours. Parameters are defined in Table 3







Figure 8: Example of range of runoff projection using wet year parameterization that closely depicts the future versus projected range based on dry year parameterization that drives the uncertainty in long term series. The projected range was simulated to constrain uncertainty in extreme wet and dry conditions in the future using the behavioural parameter sets (top 100 iterations from MCMC) for each of the 15 RCM scenario considered here (100 parameters by 15 RCMs = 1500 runs each for dry and wet year).Ensemble mean represents the mean of the 1500 realizations while long term depicts mean of the long term series.

