

Using dry and wet year hydroclimatic extremes to guide future hydrologic projections

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Abstract

There are growing numbers of studies on climate change impacts on forest hydrology but limited attempts have been made to use current hydroclimatic variabilities to constrain projections of future climatic conditions. Here we used historical wet and dry years as a proxy for expected future extreme conditions in a boreal catchment. We showed that runoff could be underestimated by at least 35% when dry year parameterizations were used for wet year conditions. Uncertainty analysis showed that behavioural parameter sets from wet and dry years separated mainly on precipitation related parameters and to a lesser extent on parameters related to landscape processes. While uncertainties inherent in climate models (as opposed to differences in calibration or performance metrics) appeared to drive the overall uncertainty in runoff projections under dry and wet hydroclimatic conditions. Hydrologic model calibration for climate impact studies could be based on years that closely approximate anticipated conditions to better constrain uncertainty in projecting extreme conditions in boreal and temperate regions.

Keyword: Boreal forest, boreal hydrology, climate change, uncertainty assessment, hydroclimatic extremes

31 **1 Introduction**

32 There are growing numbers of studies on climate change impacts on watershed hydrology but these
33 are usually based on long-time series that depict average system behaviour (Bonan, 2008; Lindner et
34 al., 2010; Tetzlaff et al., 2013). As a result, limited attempts have been made to use extreme dry and
35 wet conditions to assess plausible future conditions. Increasing numbers of studies are showing the
36 importance of ensemble projections to create a matrix of possible futures, where the mean provides
37 a statistically more reliable estimate than can be obtained from a single realization of possible future
38 conditions (Bosshard et al., 2013; Dosio and Paruolo, 2011; Oni et al., 2014a; Raty et al., 2014).

39 However, the predictive uncertainty of precipitation projections is still larger than that for
40 temperature (Teutschbein and Siebert, 2012). This inherent uncertainty might further increase in the
41 warmer future as precipitation dynamics become less consistent due to a shift in winter precipitation
42 patterns toward rainfall dominance (Berghuijs et al., 2014; Dore, 2005).

43 It is unequivocally believed that climate is a first order control on watershed hydrology (Oni et al.,
44 2015a, b; Vörösmarty et al., 2000). Although climate change is a global phenomenon (IPCC, 2007), it
45 will likely also alter local catchment water balances (Oni et al., 2014b; Porporato et al., 2004).

46 Prolongation of drought regimes or increasing frequency of storm events observed in different parts
47 of the world (Dai, 2011; Trenberth, 2012) calls for greater attention on how to constrain uncertainty
48 in predicting extreme dry and wet conditions. While the frequency of hydroclimatic extremes might
49 be low under present day conditions (Wellen et al., 2014), there could be intensification of
50 precipitation events globally as climate changes (Chou et al., 2013). Otherwise, preparations for the
51 future could be undermined by our inability to properly simulate or project new conditions outside
52 our current modelling conditions.

53 Models are useful tools in hydrology and runoff has become a central feature in the modelling
54 community to assess cumulative impacts (Futter et al., 2014; Lindström et al., 2010). Hydrological
55 modelling has benefitted immensely from the use of long term runoff series from monitoring
56 programs to gain insights on change in fundamental system behaviour (Karlsson et al., 2013) and to
57 aid our understanding of watershed responses to both short and long term environmental changes
58 (Wellen et al., 2014). While conceptualization of many of these hydrologic models is based on
59 average natural rainfall-runoff processes derived from long term series, both simple and complex
60 models still performed well in simulating long term dynamics at the watershed scale (Breuer et al.,
61 2009; Li et al., 2015; Vansteenkiste et al., (2014a). Growing complexity in hydrologic models has led
62 to increasing equifinality (Beven, 2006) due to multi-dimensionality of compensatory parameter
63 spaces. However, extensive explorations of parameter spaces in complex models have also helped to
64 gain further insights on system behaviour beyond simple models.

65 Uncertainty in model predictions depends on the length of time series used for calibration and
66 validation (Larssen et al., 2007). Despite strong arguments against the use of the term “validation”
67 (Oreskes et al., 1994), it is still a norm in the hydrologic modelling community to calibrate to one
68 condition and reevaluate the model on different conditions (Cao et al., 2006; Donigiang, 2002; Wilby,
69 2005). This has made split-sample testing a popular way of assessing the internal working process of
70 a model in hydrologic study (Klemeš, 1986) to ensure that model is not over-tuned or over-
71 parameterized before embarking on future projections. While modelling staged under this
72 framework is usually based on average system conditions depicted by long term series, it may not
73 fully reflect processes operating under very dry and wet hydroclimatic conditions. This can also be
74 due in part to inherent structural uncertainties in models (Butts et al., 2004; Refsgaard et al., 2006,
75 Vansteenkiste et al., 2014b) that can stem from conceptualization, scaling and connectivity of
76 processes between the landscape mosaic patches of a watershed that the models are representing
77 (Tetzlaff et al., 2008; Ren and Henderson-Seller, 2006). This is the case of Karlson et al. (2013) that
78 showed increasingly large predictive uncertainty when their model was tested on over a century long
79 record due to non-stationarity of the historical series. It is therefore inevitable that this level of
80 uncertainty will be amplified when projected into the unknown future where, unlike at present, we
81 have no data to confirm our findings (Refsgaard et al., 2014). However, no consensus has yet been
82 reached regarding whether the uncertainty due to differences in hydrologic model structures and/or
83 calibration strategies would be greater than the unresolved uncertainty inherent in climate models
84 when projecting hydrologic conditions in boreal or temperate ecozones.

85 One way to constrain the uncertainty in hydroclimatic projections is to utilize historical wet and dry
86 years as a proxy for the future conditions expected as climate changes. This is analogous to
87 differential split-sample test previously used (Coron et al., 2012; Klemeš, 1986; Seibert, 2003;
88 Refsgaard and Knudsen, 1996) but is less commonly used in hydrology (Andreassian et al., 2014;
89 Refsgaard et al., 2014). Here we used hydrological and meteorological observations in dry and wet
90 years in a long term monitored headwater catchment in northern Sweden. The objectives of this
91 study were to: 1) utilize long term field observations in Svartberget to gain insights into hydroclimatic
92 behaviour in dry and wet years as a proxy to future climate extremes and 2) quantify the uncertainty
93 in our current predictive practices that is based on such long term series. Such uncertainty
94 quantification will allow us to assess the limitations and uncertainties in hydrological model based
95 climate change impact analysis related to the hydrological model calibration strategies and to
96 compare these with the uncertainty related to the climate models.

97

99 **2 Data and method**

100 **2.1 Study site**

101 This modeling exercise was carried out in Svartberget (64° 16' N, 19° 46' E), a 50 ha headwater boreal
102 catchment within the Krycklan experimental research infrastructure in northern Sweden (Fig. 1)
103 (Laudon et al., 2013). Modelling results presented here were based on the long-time series of
104 precipitation, air temperature and runoff (1981-2012) from a weather and flow monitoring station at
105 the outlet of Svartberget. Svartberget has two headwater streams, one of which drains a completely
106 forest landscape while the other drains a headwater mire. The catchment has a long term mean
107 annual temperature of about 1.8°C with minimum (January) and maximum (July) mean monthly
108 temperatures of -9.5°C and 14.5°C. The catchment receives a mean annual precipitation of 610 ± 109
109 mm with more than 30% falling as snow (Laudon and Ottosson-Löfvenius, 2015). Snow cover usually
110 lasts from November to May (Oni et al., 2013). The catchment has a long term mean annual runoff of
111 320 ± 97 mm with subsurface pathways dominating runoff delivery to streams. Spring melt
112 represents the dominant runoff event in the catchment and lasts 4 to 6 weeks. Forest cover includes
113 a century old Norway spruce (*Picea abies*) and Scot pine (*Pinus sylvestris*) with some deciduous birch
114 species (*Betula spp*). *Sphagnum sp* dominates the mire landscape and riparian zones (Ledesma et al.,
115 2016). Svartberget has gneissic bedrock overlain by compact till of about 30 m thickness to the
116 bedrock. The catchment elevation ranges from 114-405 m above sea level and was delineated using
117 DEM and LIDAR (Laudon et al., 2013).

118 **2.2 Climate models**

119 We used 15 different regional climate models (RCMs) from the ENSEMBLES project (Van der Linden
120 and Mitchell, 2009, Table 1). All RCMs had a resolution of 25 km and were based on Special Report
121 on Emission Scenario (SRES) A1B emission scenarios. The SRES A1B represents a balanced growth of
122 economy and greenhouse gas emission in the future (IPCC, 2007). The old greenhouse gas scenario
123 (SRES based) became outdated in the meantime; the new Representative Concentration Pathway
124 (RCP) based scenarios could have been used in current climate change impact studies. However,
125 because the focus of this paper lies on the methodology rather than on the impact results, it is
126 acceptable to rely on old SRES scenario in line with our other recent studies in this region (Jungkvist
127 et al., 2014; Oni et al., 2014, 2015b). Precipitation and temperature values (2061-2090) were
128 obtained by averaging the values of the RCM grid cell with center coordinates closest to the center of
129 the catchment and of its eight neighboring grid cells. Due to systematic biases in RCM data and the
130 spatial disparity between RCM grid cell and small catchment like Svartberget, post processing of RCM

131 data is required Teutschbein and Seibert, 2012; Ehret et al., 2012; Muerth et al., 2013). The
132 distribution mapping method (Ines and Hansen, 2006; Boe et al., 2007) was used for bias-correction
133 of the 15 RCM-simulated precipitation and air temperature series on monthly basis using data from a
134 weather station (1981-2010) located within the Svartberget catchment. This was achieved by
135 adjusting the theoretical cumulative distribution function (CDF) of RCM-simulated control runs
136 (1981-2010) to match the observed CDF. The same transformation was then applied to adjust the
137 RCM-simulated scenario runs for the future (2061-2090). As some RCMs tend to simulate a large
138 number of days with low precipitation (e.g. drizzle) instead of dry conditions, we applied a specific
139 precipitation threshold to prevent considerable alteration of the distribution. RCM bias corrections
140 presented here were fully described in Jungqvist et al. (2014) and Oni et al. (2014, 2015b).

141 **2.3 Modelling and analysis**

142 The Precipitation, Evapotranspiration and Runoff simulator for Solute transport (PERSiST) is a semi-
143 distributed bucket type rainfall-runoff model with a flexibility that allows modelers to specify the
144 routing of water following the perceptual understanding of their landscapes (Futter et al., 2014). This
145 feature makes PERSiST a useful tool to simulate streamflow from landscape mosaic patches at a
146 watershed scale. The model operates on a daily time scale with inputs of precipitation and air
147 temperature. The spatial interface requires an estimate of area, land cover proportion and reach
148 length/width of the hydrologic response units. In the PERSiST application presented here, we used
149 three buckets to represent the hydrology of Svartberget. These include snow, upper soil and lower
150 soil buckets. In the snow routine bucket, the model utilized a simple degree day evapotranspiration
151 and degree day melt factor (Futter et al., 2014). Although the maximum rate of evapotranspiration
152 could be independent of wet and dry years as used in this study, the actual rate of
153 evapotranspiration could be influenced by the amount of water in the soil and by an
154 evapotranspiration (ET) adjustment parameter. The latter is an exponent for limiting
155 evapotranspiration that adjusts the rate of evapotranspiration (depending on water depth in the
156 bucket or how much is evapotranspired). The snow threshold partitions precipitation as either rain or
157 snow. The model also simulates canopy interception for snowfall and rainfall to the uppermost
158 bucket. In the modelling analysis presented here, we used three buckets to generate runoff
159 processes in Svartberget. The quick flow bucket simulates surface or direct runoff in response to the
160 inputs of rainfall or snowfall depending on antecedent soil moisture status. The runoff generation
161 process was partitioned between the quick flow and lower soil buckets (upper and lower) following
162 the square matrix described in Table 2.

163 We utilized Monte Carlo analysis to explore parameter spaces using a range of parameter values
164 listed in Table 3. The evapotranspiration adjustment parameter sets the rate at which ET can occur

165 when the soil is no longer able to generate runoff and this was set to 1 in the upper soil box.
166 Maximum capacity is the field capacity of the soil that determines the maximum soil water content
167 held. The time constant specifies the rate of water drainage from a bucket and requires a value of at
168 least 1 in PERSiST. The relative area index determines the fraction of area covered by the bucket and
169 is also set to 1 for our simulations. Infiltration parameters in each bucket determine the rate of water
170 movement through the soil matrix. The model is based on series of first order differential equations
171 that are solved sequentially following the bucket order in the square matrix. More detailed
172 information about PERSiST parameterization and equations is provided in Futter et al. (2014).

173 The model was calibrated against streamflow to generate present day runoff conditions. Initial
174 manual calibration was performed on the entire time series to minimize the difference between the
175 simulated and observed runoff based on Nash-Sutcliffe (NS) statistics. The manual calibration also
176 helped to identify a suite of parameters ranges to be used in the Monte Carlo analysis by varying
177 each parameter value following steps listed in Futter et al. (2014). The Monte Carlo tool works in
178 such a way that the model was calibrated on NS-1 in line with other works (Senatore et al., 2011;
179 Mascaro et al., 2013), so that NS value for the overall period of simulation tends toward 1. This
180 helped to determine the ranges to use in the subsequent Monte Carlo analysis for the wet and dry
181 year simulations. Starting from a random point, we sampled each parameter space 500 times before
182 jumping to the next space (depending on whether the model performance was better or worse). We
183 specified 100 iterations during the initialization of Monte Carlo tool so that 100 ensemble of credible
184 parameter sets could be generated. This resulted in 50,000 (500 x 100) runs. In addition to Nash-
185 Sutcliffe statistics, the Monte Carlo tool also takes note of other metrics during sampling. The Monte
186 Carlo tool utilizes the Metropolis-Hasting algorithm and its mode of operation was described in
187 Futter et al. (2014).

188 The best parameter sets (100 in this case) were selected based on highest NS statistics from
189 untransformed/log transformed data. The parameter sets were also analyzed for other metrics such
190 as variance of modeled/observed series (Var), absolute volume difference (AD), root mean square
191 error (RMSE) and coefficient of determination (R^2). These top parameter sets derived from the
192 Monte Carlo tool are referred to as behavioural parameters henceforth. The behavioural parameters
193 were subjected to further analyses to determine hydrologic behaviour in dry and wet years. These
194 include the cumulative distribution function (CDF) of behavioural parameters to determine the
195 sensitive parameters and discriminant function analysis (DFA) to determine the dominant
196 parameter(s) that separate the hydrology of wet from dry years. Wet years were defined as
197 hydrologic years with runoff exceeding 430 mm/yr or 40% higher than average annual runoff (1995,
198 2002, 2005 and 2010). Dry years were defined as hydrologic years with runoff less than 150 mm/yr or

199 less than 50% of average annual runoff (1987, 1992, 2000 and 2001). Hydrologic year was September
200 1 of a year to August 31 of the following calendar year. The bias corrected future climate series from
201 the ensemble of climate models (Table 1) were used to drive PERSiST so as to project future
202 hydrologic conditions under long term, as well as dry and wet year conditions.

203 **3 Results**

204 **3.1 Long term climate and hydrology series**

205 Preliminary analysis showed that the Svartberget hydroclimate was highly variable and thus helped
206 partition the long term series into dry and wet years as shown in Supplementary Information 1 (SI 1).
207 As a result, dry and wet year conditions differed in terms of climate and cumulative runoff patterns.
208 The cumulative distribution of the dry/wet year series (Fig 2a) showed that dry year precipitation
209 (462 ± 102 mm) was only 64% of precipitation observed in wet years (716 ± 56 mm). Similar patterns
210 were observed in runoff dynamics (Fig. 2b) where total runoff in dry years (129 ± 35 mm) was 29% of
211 total runoff observed in wet years (449 ± 19 mm). Runoff response was 63% of total precipitation in
212 wet years and 28% of precipitation in the dry year regime (Table 4). Mean annual temperature was
213 2.4 °C in wet versus 1.8 °C in dry years.

214 When assessed on a seasonal scale, both precipitation and runoff were higher in almost all months in
215 wet compared to dry year conditions (Fig. 3) but differed in terms of seasonal patterns. While runoff
216 peaked in May in both wet and dry years reflecting spring snowmelt dynamics that characterize
217 Svartberget, runoff magnitude differed. Peak precipitation events occurred in summer months with
218 additional autumn peaks in wet year. However, there was a shift in precipitation patterns with lowest
219 precipitation in February/March in dry years compared to April in wet years. Winter months were
220 generally slightly warmer during wet years and summers slightly warmer in dry years (Fig 3c).

221 **3.2 Future climate projections**

222 There was less agreement between the observed series and uncorrected individual RCMs (SI 2a, b).
223 However, bias correction helped to reduce the uncertainty on the historical time scale by providing a
224 better match for the ensemble mean of the air temperature and precipitation with their
225 corresponding observed series (SI 2c, d). The ensemble mean performed better in fitting observed air
226 temperature than precipitation. There is also a possible increase in air temperature by 2.8 - 5 °C
227 (median of 3.7 °C) and possible increase in precipitation by 2-27% (median of 17%). Although
228 precipitation and temperature were projected to increase throughout the year, the temperature
229 changes would be more pronounced during winter months irrespective of whether it was a dry or
230 wet year (Fig. 3c). However, projected changes in precipitation followed similar patterns to historical
231 wet years with more precipitation expected between late winter months through spring (Fig. 3a).

232 Result also showed that the winter period with temperature below 0°C could be shortened as climate
233 warms in the future (SI 2).

234 **3.3 Model calibrations and performance statistics**

235 Model behavioural performance followed similar patterns when metrics such as R^2 , NS and log NS
236 were used (SI 3a-c) and metrics could be used interchangeably to measure model performances. The
237 model performed better when calibrated to wet and dry conditions (compared to long term) using
238 NS metrics (SI 3b, c). It may be clarified that this is logical because otherwise (using the NS) too much
239 weight is given to the central part of the distribution (due to many more values in that part).
240 Although no major improvements to model efficiency above NS of 0.79 and 0.81 were obtained in
241 dry and wet years, respectively, we obtained a wider range of model performances in wet relative to
242 dry year. The patterns of other performance metrics were different as we observed the highest RMSE
243 in dry years and lowest RMSE in wet year condition (SI 3d). There was minimum AD range in the long
244 term record and maximum range in dry years (SI 3e). Model performances based on the Var metric
245 also showed the largest variability in dry years compared to the long term record and least Var in the
246 wet year (SI 3f).

247 **3.4 Runoff simulations and behavioural prediction range**

248 Using the best performing parameter sets based on the NS statistic as an example, the model
249 performed well in simulating interannual runoff patterns but underestimated the peaks (SI 4). When
250 resolved to their respective dry and wet year components, the model performed better in simulating
251 runoff conditions in wet years despite its larger data spread and higher spring peaks than the dry
252 year regime (SI 5). When parameterization for dry years was used for runoff prediction in wet years,
253 runoff was underestimated by 35% due to significant uncertainty that stemmed from the growing
254 season months (Fig. 4). Modelling analysis also showed that no single metric can be an effective
255 measure of model performance under dry and wet year conditions (Fig 5a- c). However, utilizing a
256 behavioural mean of these different performance metrics (Fig. 5d-f) appeared to be a more effective
257 way of calibrating to extremely dry and wet hydroclimatic conditions. While the behavioural mean
258 performed better in simulating runoff dynamics in winter through spring in the long term record and
259 significantly reduced the uncertainty in dry and wet years, larger uncertainty existed in summer
260 through autumn months in dry and wet years compared to the long term record.

261 **3.5 Parameter uncertainty assessments**

262 While we observed a wide prediction range from behavioural parameter sets (Fig. 5), we have limited
263 information on the underlining processes. Therefore, we subjected the behavioural parameter sets
264 to further analysis to identify sensitive parameters and plausible patterns of hydrologic processes
265 that differentiate dry and wet years (Fig. 6). The cumulative distribution function (CDF) of

266 behavioural parameter sets showed that both rain and flow multipliers were sensitive parameters in
267 dry years. The rain multiplier was less sensitive in wet years unlike the flow multiplier. Long term
268 simulations showed no sensitivity to the rain multiplier but were sensitive to the flow multiplier. We
269 observed similar patterns of response to the flow multiplier in all three hydrologic regimes (Fig. 6b).
270 Result also pointed to the sensitivity of interception in wet years but all the three hydrologic regimes
271 showed similar patterns for the time constant (water residence time) in lower soil.

272 We subjected the pool of behavioural parameters in dry and wet year regimes to discriminant
273 function analysis (DFA) to identify the key parameters that separate the extreme hydroclimatic
274 conditions (Fig. 7). Results showed that both dry and wet years separated well in canonical space.
275 However, the separation was driven mainly on quantitative parameters related to precipitation,
276 interception and evapotranspiration on canonical axis 1 (Rmult, Int and DDE). The parameters
277 separated to a lesser extent on processes related to snow parameters on canonical axis 2 (Smult, SM
278 and DDM).

279 **3.6 Quantification of uncertainty in hydrologic projections**

280 We compared the effects of different performance metrics in wet and dry year regimes to constrain
281 uncertainty in runoff projections under future hydroclimatic extremes in Svartberget catchment (SI
282 6). Results showed that differences in model representation of present day conditions might be
283 minimal (compared to the observed) but a wide range of runoff regimes were projected in the
284 future. We also observed small difference in the range of runoff projections (derived from minimum
285 and maximum of behavioural parameter sets) using different model performance metrics.
286 Uncertainties inherent in climate models (as opposed to differences in calibration or performance
287 metrics) appeared to drive the overall uncertainty in runoff projections under dry and wet
288 hydroclimatic conditions. Wet year is the closest to plausible projections of future condition
289 expected in the boreal ecozone. However, model results suggested that the uncertainty in present
290 day long term simulations is mostly driven by dry years. We compared the runoff predictions using
291 dry year parameterization to parameterization based on wet years to quantify our current predictive
292 uncertainty. Results showed that future runoff could be under predicted by up to 40% (relative to
293 wet year ensemble mean) if the projections are based on dry year parameterization alone (Fig. 8).
294 Both parameterizations projected a shift in spring melt from May to April in the future. However,
295 ensemble projections showed that summer months could be a lot wetter (based on wet year
296 parameterization compared to dry year) and wet year spring peak could be up to 43% more
297 compared to projections based on the wet year ensemble mean.

298 **4 Discussion**

299 **4.1 Insights from long term hydroclimatic series**

300 Several studies have evaluated the impact of climate change on surface water resources (Berghuijs et
301 al., 2014; Chou et al., 2013; Dore, 2005 among the others) but most of these were based on long
302 term series that depict mean system behaviour. However, present day hydroclimatic extremes, such
303 as those derived from historical wet and dry years, can be used as simple proxies to gain insights that
304 will aid our understanding of future hydroclimatic conditions. Using this approach we found that
305 standard calibrations can result in underestimation of runoff by up to 35% due to high variability of
306 hydroclimate series in northern boreal catchments. Several explanations can be offered for the high
307 variability in the long term hydroclimate series at the study site. First, snowmelt hydrology is
308 important in understanding the boreal water balances due to their location in the northern
309 hemisphere (Euskirchen et al., 2007; Dore, 2005; Tetzlaff et al., 2011, 2013). As a result, northern
310 headwater catchments tend to show high variability (Brown and Robinson, 2011; Burn, 2008).

311 We observed annual runoff yield to be 63% of total precipitation in the wet years compared to 28%
312 of total precipitation in dry year. More runoff yield in the wet year regime could be seen as a result of
313 near field capacity of the soils throughout the year, leading to greater propensity for runoff
314 generation because hydrological conductivity increases towards soil surface in the catchment
315 (Nyberg et al., 2001). This can also imply more winter snow accumulation during the long winter
316 period, resulting in higher spring melt that drives the overall water fluxes (Laudon et al., 2004). Less
317 runoff yield in dry years could be attributed to higher soil moisture deficit and relatively more
318 important evapotranspiration rates (Dai, 2013).

319 We also observed differences in dry/wet year peak summer precipitation and a shift in the lowest
320 precipitation in late winter/early spring. Despite the differences in precipitation, we observed similar
321 patterns of runoff responses that only differ in terms of magnitude. This suggested that there was
322 more effective rainfall (net available water) available to infiltrate, continuously recharge
323 groundwater systems and generate runoff from upstream sources in wet year. Slightly warmer
324 temperatures in summer months could drive more of growing season evapotranspiration in dry year.
325 Small differences in temperature regime between wet and dry year, unlike precipitation, also
326 explained why larger uncertainty and biases still exist during post-processing of precipitation series in
327 using any scenario-based GCMs as observed in SI 2.

328 **4.2 Multi-criteria calibration of hydrological models**

329 There has been considerable discussion about the calibrating procedure in the hydrological modelling
330 community (Andreassian et al., 2012; Boij and Krol, 2010; Efstratiadis and Koutyiannis, 2010; Oreskes

331 et al., 1994; Price et al., 2012). One of the key reasons for this is the difference in goodness-of-fit
332 measures utilized in each model (Krause et al., 2005; Pushpathala et al., 2012). The most common
333 strategy is to calibrate hydrologic models using the Nash-Sutcliffe (NS) statistic (Nash and Sutcliffe,
334 1970). However, many modelers believe that the NS-based method alone tends to underestimate
335 variance in modelled time series as this metric could be biased toward high or low flow periods
336 (Futter et al., 2014; Jain and Sudheer, 2008; Pushpalatha et al., 2012; Willens, 2009). This is
337 promoting our use of multi-criteria statistics in model calibrations to constrain predictive uncertainty
338 in hydrologic projections to extreme dry and wet hydroclimatic conditions. Therefore, multi-criteria
339 calibration objectives that assessed model performances using different goodness-of-fit metrics
340 could aid our understanding of hydrologic behaviour in boreal catchments. Our observation of
341 differences in model performances in terms of NS and other metrics presented here is expected as a
342 three box model proposed by Seibert and McDonnell (2002) similarly showed good fit for NS but
343 poor fit using other metrics. However none of these focus on the extremes. Another way to evaluate
344 model for its performance in describing extremes is the approach presented in Willems (2009) or the
345 one by Van Steenberger and Willems, (2012). However, lower model performance (based on NS) for
346 the long term record is explainable as most hydrologic models are based on mean system behaviour
347 represented by long term rainfall-runoff processes (Futter et al., 2014; Oni et al., 2014b; Wellen et
348 al., 2014).

349 The lower range of model performances in calibrating to the observed runoff in dry years is an
350 indication of variable runoff generation processes associated with this wetness regime. Dry years
351 cause drought-like conditions (Dai, 2011; Mishra and Singh, 2010) as a result of less water availability
352 that reduces hydrologic connectivity within the catchment. However, the model performed better
353 when applied to wet and dry years individually compared to the long term record based on NS
354 statistics. This suggested that the mechanisms driving hydrologic processes in dry and wet years
355 might be similar but their relative magnitude differs from long term average conditions (Grayson et
356 al., 1997). Better performance under dry conditions (compared to average long term) can also be
357 attributed to the bias of NS towards baseflow (Futter et al., 2014; Jain and Sudheer, 2008;
358 Pushpalatha et al., 2012). Durations of high flows associated with wet years are typically shorter than
359 the low flow durations; as a result, higher flows receive lower weight because of the squared flow
360 terms in the NS computation. Therefore the uncertainty is higher in extrapolating low flows
361 (compared to high flows) and was also shown by others (Bae et al., 2011; Najarafai et al., 2011;
362 Maurer et al., 2010; Vansteenkiste et al., 2014b; Velazquez et al., 2013).

363 However, NS statistics alone are not enough to assess model performances in climate-sensitive
364 boreal headwater streams such as Svartberget. Other metrics such as the RMSE showed that dry

365 years could be a major driver of the uncertainty we observed in simulating the long term record. A
366 possible explanation could be that the soil moisture deficit is larger in dry year, leading to soil matrix
367 or vertical flow (Grayson et al., 1997) that can only generate runoff after filling soil pore spaces
368 (McDonnell, 1990). For example, soil pore spaces are usually not close to saturation under dry
369 condition due to 1) intermittent precipitation events throughout the year and 2) several patchy
370 source areas of high water convergence that are characterized by local landscape terrain or soil
371 properties (Fang and Pomeroy, 2008; Jencso et al., 2009). Also higher rates of evapotranspiration
372 coupled with low precipitation can contribute to more spatially decoupled antecedent soil moisture
373 conditions and thus lower runoff in dry years (Dai, 2013; Vicente-Serrano et al., 2010). Therefore, no
374 single model performance metric can be effective in simulating the hydrology of dry and wet year
375 conditions, as our results showed that the mean of behavioural metrics outperformed any individual
376 metric in dry and wet years under present day conditions.

377 **4.3 Parameter sensitivity in dry and wet year regimes**

378 The robust uncertainty assessment conducted here showed that extensive exploration of model
379 parameter spaces suggests how hydrologic behaviour differs between wet and dry year regimes. A
380 possible explanation for the non-sensitivity of the rain multiplier in wet years could be attributed to
381 1) a more consistent or stable precipitation feeding the system throughout the year compared to
382 intermittent precipitation in dry years (Fang and Pomeroy, 2008; McNamara et al., 2005) or 2) the
383 effect of rain water collector missing proportionally more rain in dry than wet years. This can explain
384 the smaller spring peak that characterizes the dry year regime or its non-sensitivity to interception
385 unlike its role in wet year regimes.

386 We observed that sensitivity of the lower soil time constant followed similar patterns in dry and wet
387 years unlike the upper soil box. Therefore, we could expect faster flow and higher runoff ratio in the
388 wet years due to rapid response to precipitation events and more macropore flow (Peralta-Tapia et
389 al., 2015). This can lead to steady runoff generation due to 1) near saturation of soils and 2) greater
390 connectivity between stream channels and upland areas (Bracken et al., 2013; Ocampo et al., 2006)
391 that become disconnected in dry years. The patterns of the flow multiplier parameter showed that
392 both dry and wet year conditions followed similar runoff generation processes. These suggested that
393 the main physical mechanisms to explain parameter sensitivity and hydroclimatic behaviour to
394 dry/wet conditions were related to differences in their precipitation patterns rather than landscape-
395 driven hydrologic processes.

396 **4.4 Drivers of hydrologic behaviour in dry and wet year regimes**

397 Even though equifinality limits the use of CDFs alone in identifying all sensitive parameters, DFA of
398 behavioural parameters gave further holistic insights into plausible differences in wet/dry hydrologic

399 behaviour when projected on canonical space. This suggested that hydrological model
400 parameterizations calibrated to high flow associated with wet years differ from parameterizations for
401 long term or dry conditions. Therefore, parameter separation primarily on quantitative parameters
402 (R_{mult} , I_{nt} and DDE) related to rainfall and evapotranspiration on canonical axis 1 suggested that
403 climate is still a first order control of dry and wet year hydroclimatic regimes in the boreal forest. This
404 is consistent with Wellen et al. (2014), who showed that extreme conditions could be triggered in a
405 watershed when precipitation reaches a threshold that can initiate saturation overland flow. This is
406 because soils are always near saturation capacity under prolonged wet conditions (Grayson et al.,
407 1997). This can explain the increase in hydrologic model uncertainty in capturing the peak runoff
408 events in wet years unless parameter ranges that combined different performance metrics are
409 considered. Unfortunately, we might face a new challenge of increased precipitation ranges in the
410 future as climate changes (Chou et al., 2013; Dore, 2005). The separations of wet and dry years on
411 snow process-related parameters (S_{mult} , S_{M} and DDM) to a lesser extent on canonical axis 2
412 suggested that indirect landscape influences on snow processes could be important but are a second
413 order control on runoff response to dry and wet conditions. This agrees with Jencso et al. (2009),
414 who showed that landscape mosaic structures with their unique source contribution areas control
415 the overall watershed response.

416 **4.5 Implications for future climate projections**

417 Climate change in many places of the world leads to more extremes, both high and low flows. This
418 study is not an exception as all 15 RCMs considered here projected a range of plausible futures in the
419 Swedish boreal forest. Irrespective of the model performance metrics, results suggested that the
420 future could be substantially wetter and could make drought conditions less severe in boreal
421 ecozones. This could explain the large uncertainty in projecting runoff under wet conditions. For
422 example, dry year and long term parameterizations were similar and runoff was under-predicted by
423 35% under the present day condition when parameterization in dry years was used for wet years.
424 This was due to large predictive uncertainty in runoff dynamics (Fig. 4) that resulted from high
425 evapotranspiration rates during the snow free growing seasons in dry year. This suggests that wet
426 year calibration could give more credible projections of the future in the boreal ecozone as the
427 distribution of precipitation in wet years is closer to the precipitation pattern expected in the future.
428 While our modelling results suggested negligible differences in runoff projections based on either dry
429 year or long term parameterization, wetter conditions could become a more dominant feature in the
430 boreal ecozone.

431 These have implications for future climate change as both dry and wet year parametrization showed
432 a consistent shift in spring melt patterns from May to April (Fig. 8). This temporal advance in spring

433 melt patterns could result from altered distribution of snowfall and rainfall patterns in the winter
434 (Berghuijs et al., 2014; Dore, 2005), and may likely have effects on soil frost in the upper layer
435 (Jungkvist et al., 2014) or change in evapotranspiration rates (Jung et al., 2010; Vicente-Serrano et al.,
436 2010). Therefore, intensification of hydroclimatic regimes as climate changes in the future (Kunkel et
437 al., 2013) could drive water quality issues to a new level in the boreal forest due to changes in the
438 flux of organic carbon and aquatic pollutants. Furthermore, precipitation has been shown to have
439 much larger biogeochemical implications for the boreal carbon balance than previously anticipated
440 (Öquist et al., 2014).

441 The large spread of mean annual runoff projected by each RCM in wet years is an indication of less
442 agreement between RCMs when predicting future conditions. This suggested that inherent
443 uncertainty in climate models, rather than differences in model calibrations, drive the overall
444 uncertainty in runoff projections. However, hydrologic model calibration for climate impact studies
445 should be based on years that closely approximate anticipated conditions to better constrain
446 uncertainty in projecting extremely dry and wet conditions in boreal and temperate regions.

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Table 1: List of RCMs from EU ENSEMBLES project used in this study and their respective driving GCM.

No.	Institute	RCM	Driving GCM
1	C4I	RCA3	HadCM3Q16
2	CNRM	Aladin	ARPEGE
3	DMI	HIRHAM5	ARPEGE
4	DMI	HIRHAM5	BCM
5	DMI	HIRHAM5	ECHAM5
6	ETHZ	CLM	HadCM3Q0
7	HC	HadRM3Q0	HadCM3Q0
8	HC	HadRM3Q16	HadCM3Q16
9	HC	HadRM3Q3	HadCM3Q3
10	ICTP	RegCM	ECHAM5
11	KNMI	RACMO	ECHAM5
12	MPI	REMO	ECHAM5
13	SMHI	RCA	BCM
14	SMHI	RCA	ECHAM5
15	SMHI	RCA	HadCM3Q3

Table 2: Square matrix used to partition runoff generation between buckets in PERSiST application presented here. For example, we conceptualized that 40% of the precipitation inputs are retained in the upper box, 60% are transferred to the lower box and 0% are transferred to the groundwater (row 1)

	Upper box	Lower box	Groundwater
Upper box	0.4	0.6	0
Lower box	0	0.5	0.5
Groundwater	0	0	1

Table 3: Parameter notations, descriptions and ranges used in the Chain Monte Carlo analyses in this study

	Notation	Parameter description	Min	Max	Units
SNOW	SMT	Snowmelt temperature	-3	5	°C
	ISD	Initial snow depth	40	120	mm SWE
	DDM	Degree day melt factor	1	4	mm °C day ⁻¹
	DDE	Degree day evapotranspiration	0.05	0.3	mm °C day ⁻¹
	GDT	Growing degree threshold	-3	3	°C
	Smult	Snow multiplier	0.5	1.5	-
	RM	Rain multiplier	0.5	1.5	-
	CI	Canopy interception	0	4	mm day ⁻¹
UPPER BOX	IWD_1	Initial water depth	40	100	mm
	RWD_1	Retain water depth	100	250	mm
	Infilt_1	Infiltration	1	15	mm day ⁻¹
	DRF	Drought runoff fraction	0	0.5	-
	REI	Relative evapotranspiration index	1	1	-
	EA_1	Evapotranspiration adjustment	1	10	-
LOWER BOX	IWD_2	Initial water depth	80	250	mm
	Infil_2	Infiltration	1	15	mm day ⁻¹
	RWD_2	Retain water depth	200	200	mm
	TC_2	Time constant	2	50	days
	EA_2	Evapotranspiration adjustment	0	0	-
	InunT_2	Inundation threshold	80	150	mm
GROUNDWATER	IWD_3	Initial water depth	80	250	mm
	Infil_3	Infiltration	0.1	10	mm day ⁻¹
	EA_3	Evapotranspiration adjustment	0	0	-
	RWD_3	Retain water depth	250	250	mm
	TC_3	Time constant	2	50	days
REACH	a	Flow multiplier	0.004	0.762	-
	b	Streamflow exponent	0.01	0.98	-
	ST	Snow threshold temperature	-2	3	°C

Table 4: Quantification of runoff and precipitation dynamics in wet and dry year using the observed series and simulated series from PERSiST.

	Observed series (%)	Simulated series (%)
Precipitation proportion (dry:wet year)	64	
Runoff proportion (dry:wet year)	29	29
Runoff response to precipitation events		
Dry year	28	30
Wet year	63	66

Figure 1: Svartberget, a long term monitored headwater catchment in the northern boreal ecozone of Sweden. The catchment (50ha) drains terrestrial area consisting of forest (82%) and upland mire (18%). Streamflow measurements were taken at the downstream confluence point .

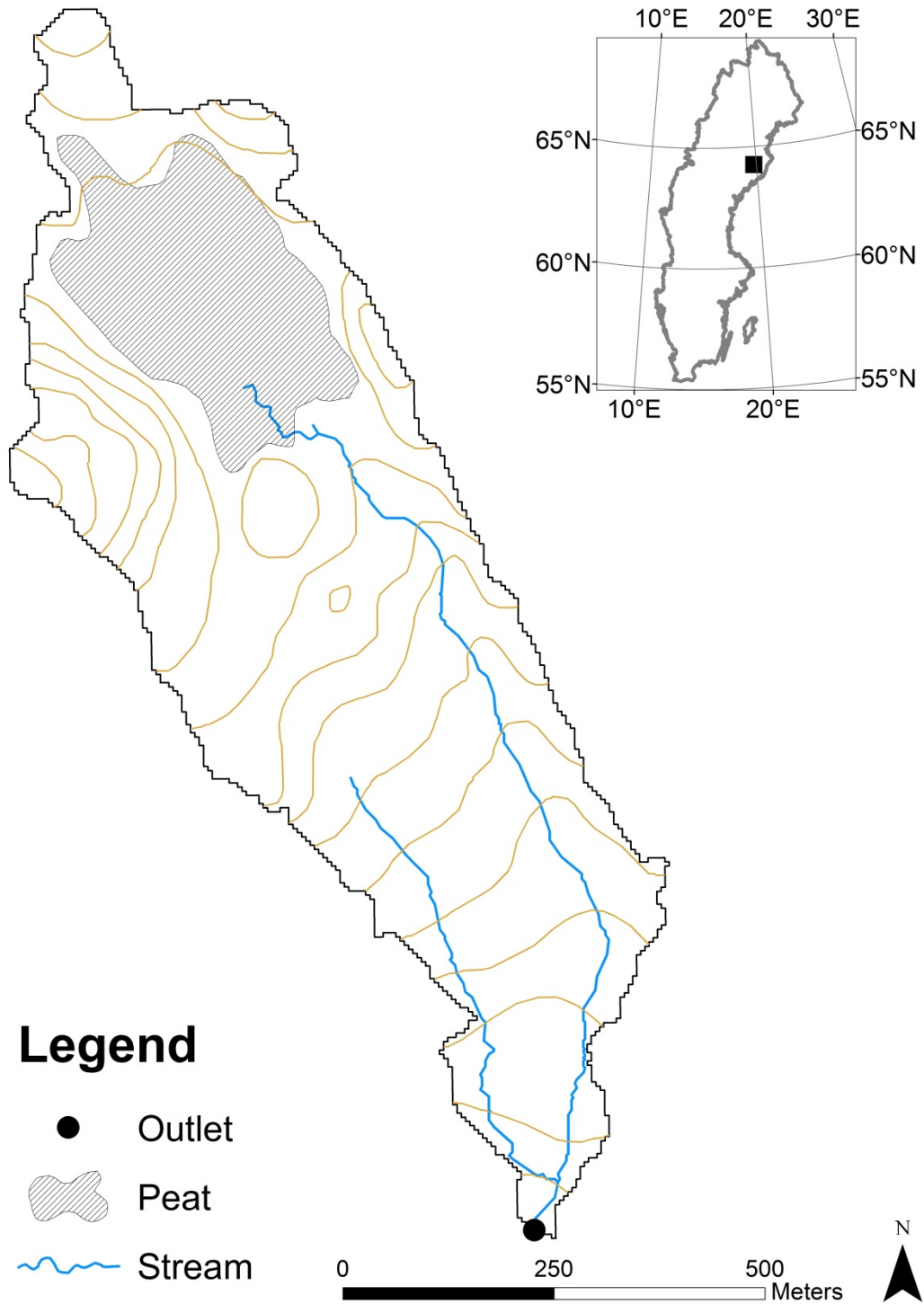


Figure 2: Cumulative plots of (a) precipitation and (b) runoff in dry (1995, 2002, 2005 and 2010) and wet (1987, 1992, 2000 and 2001) hydrologic years. Hydrologic year is September 1 (day 1) to August 31 of the following year (day 365). The cumulative plots shown here represent average for all the dry and wet years noted above.

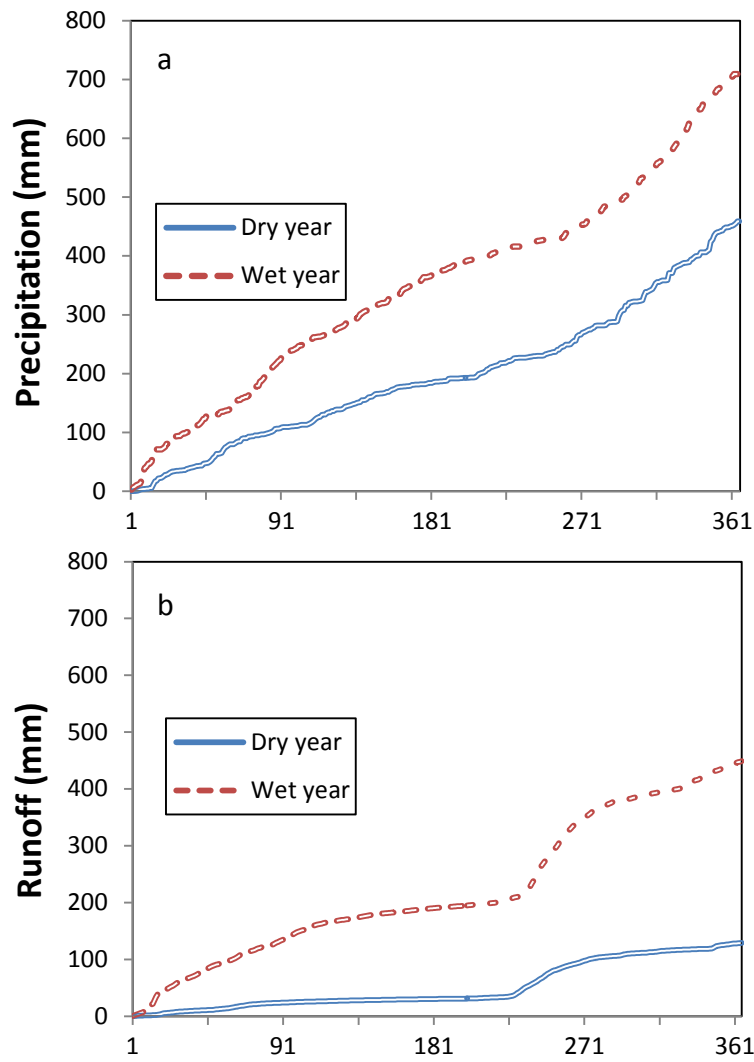


Figure 3: Seasonal patterns of (a) present day precipitation in dry and wet years versus ensemble mean (bias-corrected) of future precipitation projections, (b) present day runoff dynamics in dry and wet year and (c) present day temperature in dry and wet years relative to ensemble mean (bias corrected) of future temperature projections. Note that the dry and wet years in these plots represent average of all the individual dry and wet years respectively.

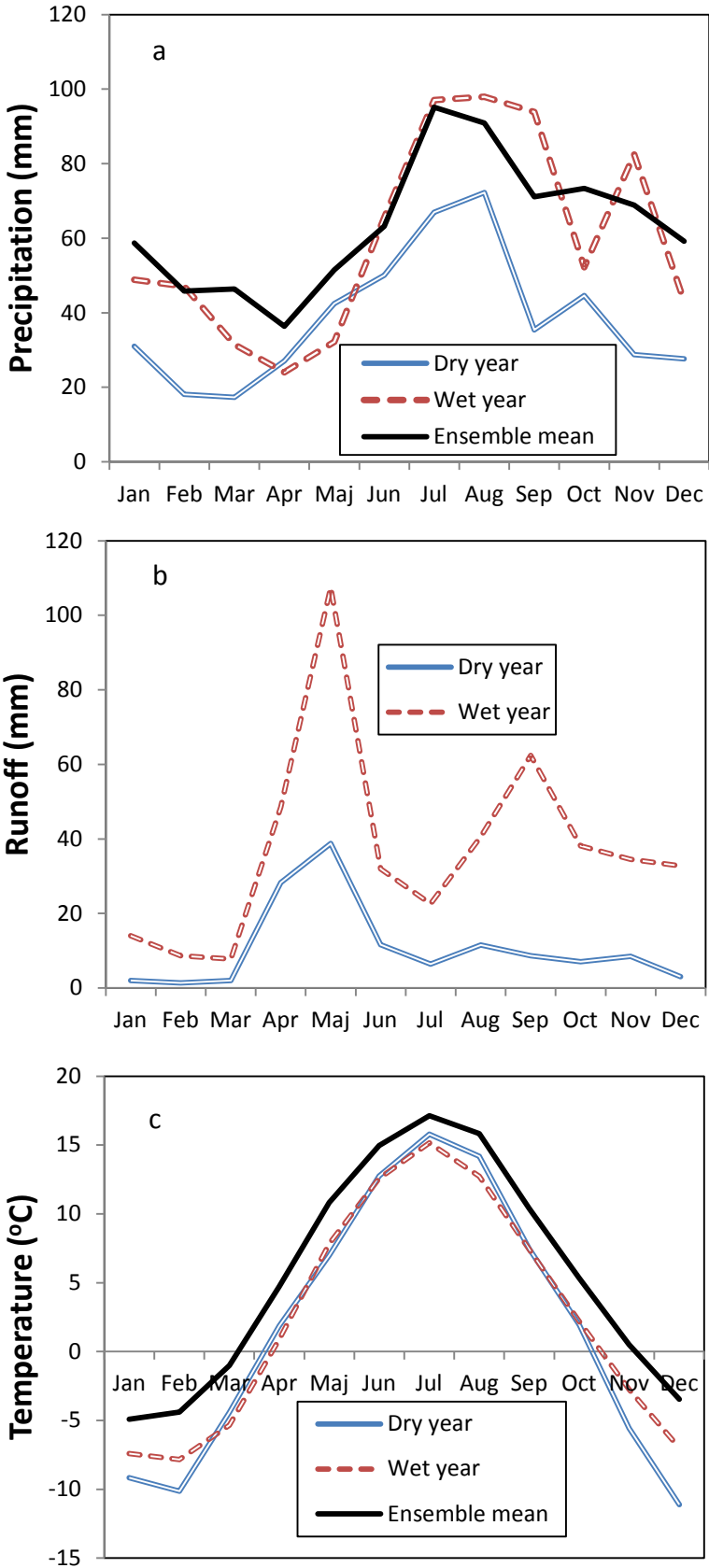


Figure 4: Quantification of predictive uncertainty in runoff simulations when best parameter set (based on NS) calibrated for dry year was used for wet year observed series.

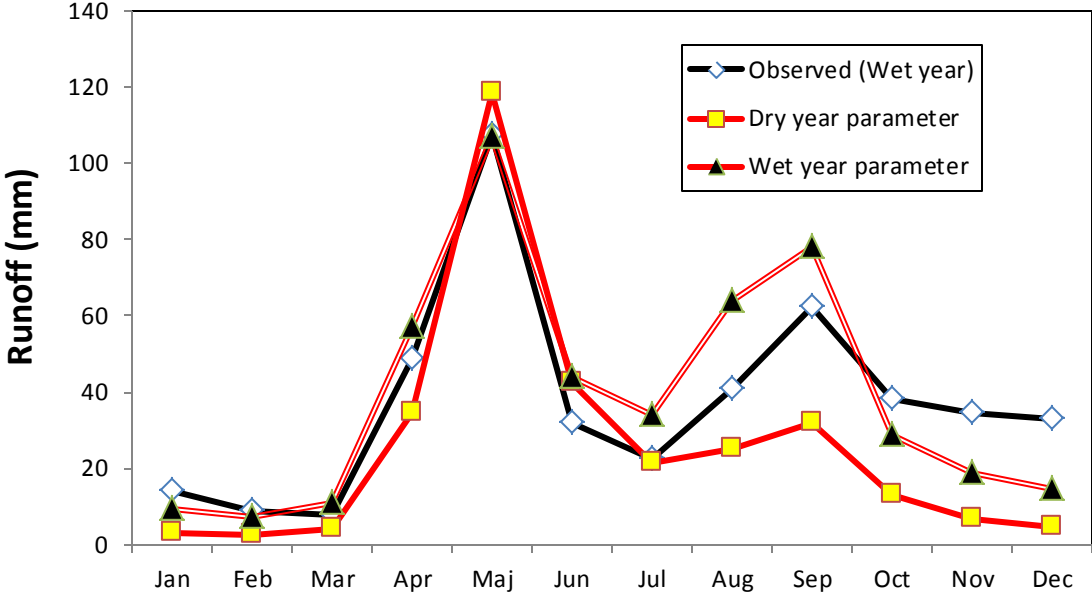


Figure 5: Summary plots showing prediction range of seasonal runoff dynamics of behavioural parameter sets using different performance metrics in a) dry year, b) wet year and c) long term. (d) to (f) show the corresponding model performances using behavioural mean of the metrics in (a) to (c).

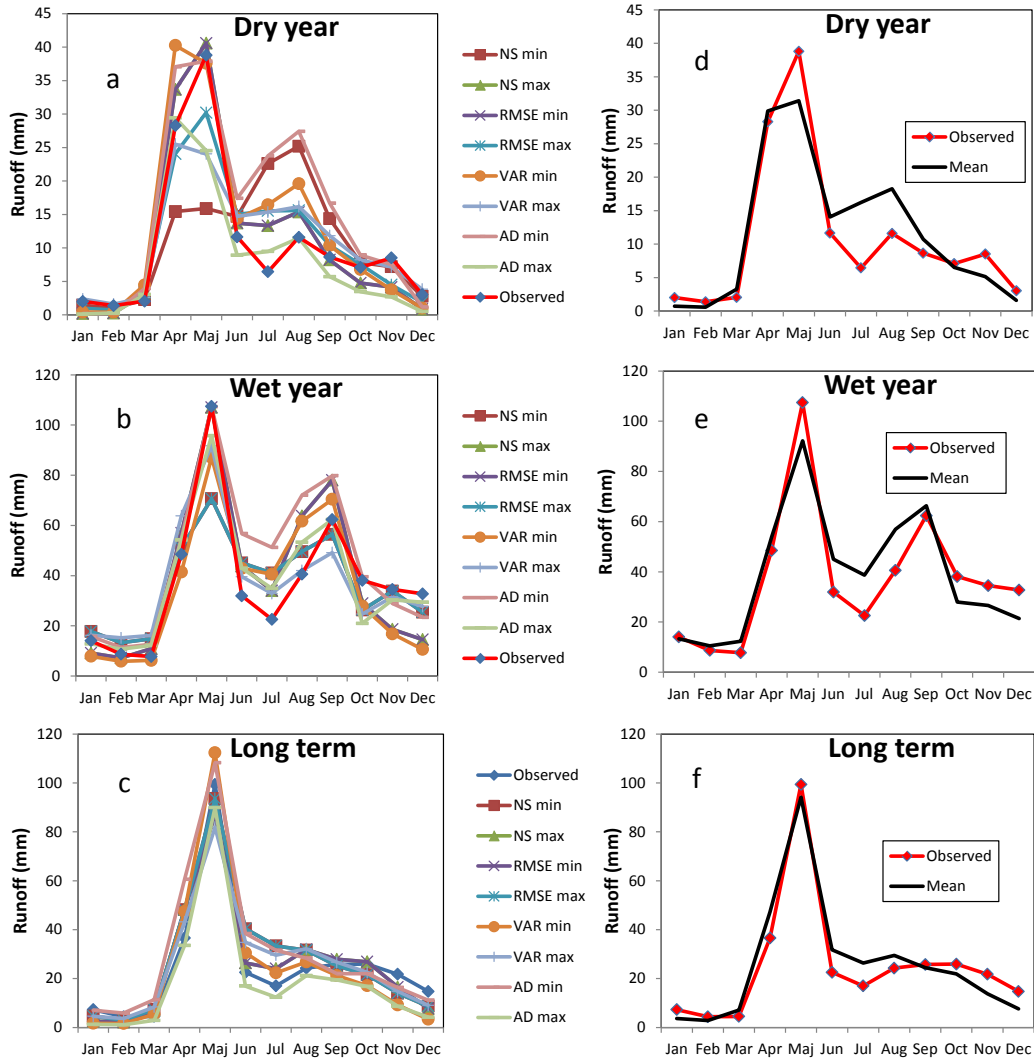


Figure 6: Cumulative distribution function (CDF) of behavioural parameters (top 100 iterations from the MCMC) in wet and dry years versus long term record. (a) is the rain multiplier, b) is the flow multiplier, c) is the interception and d) is the lower soil time constant in the lower soil box. A rectangular distribution (straight line plot) defines parameter behaviours that were not sensitive (not left- or right-skewed).

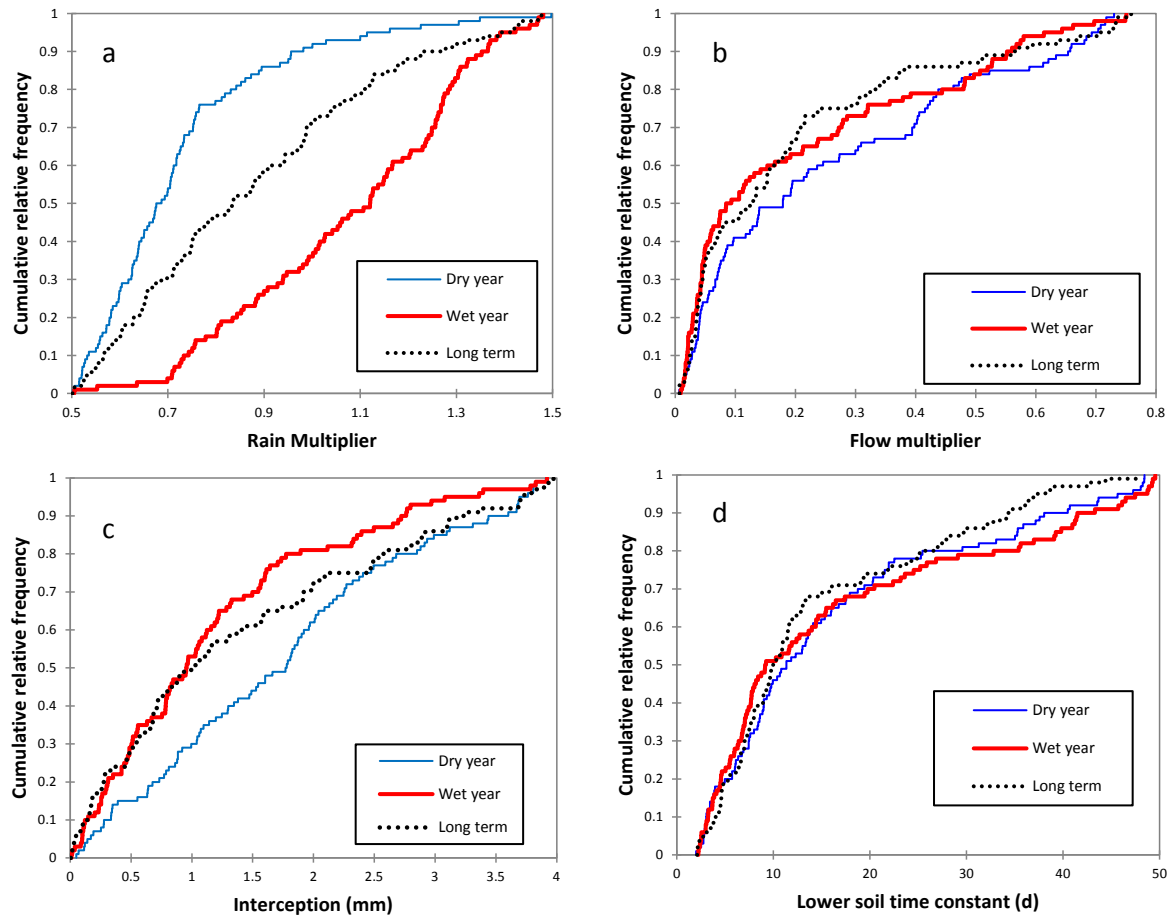


Figure 7: Separation of the behavioural parameter sets (top 100 iterations from MCMC) in the dry and wet year hydrologic regimes using Discriminant Function Analysis (DFA). Wet and dry year hydrology separated mainly on parameters related to evapotranspiration (DDE), interception (Int) and rain multiplier (Rmult) on canonical 1. Parameters were separated on snow multiplier (Smult), snowmelt (SM) and degree day melt factor (DDM) on canonical 2. The circles represent normal 50% contours. Parameters are defined in Table 3.

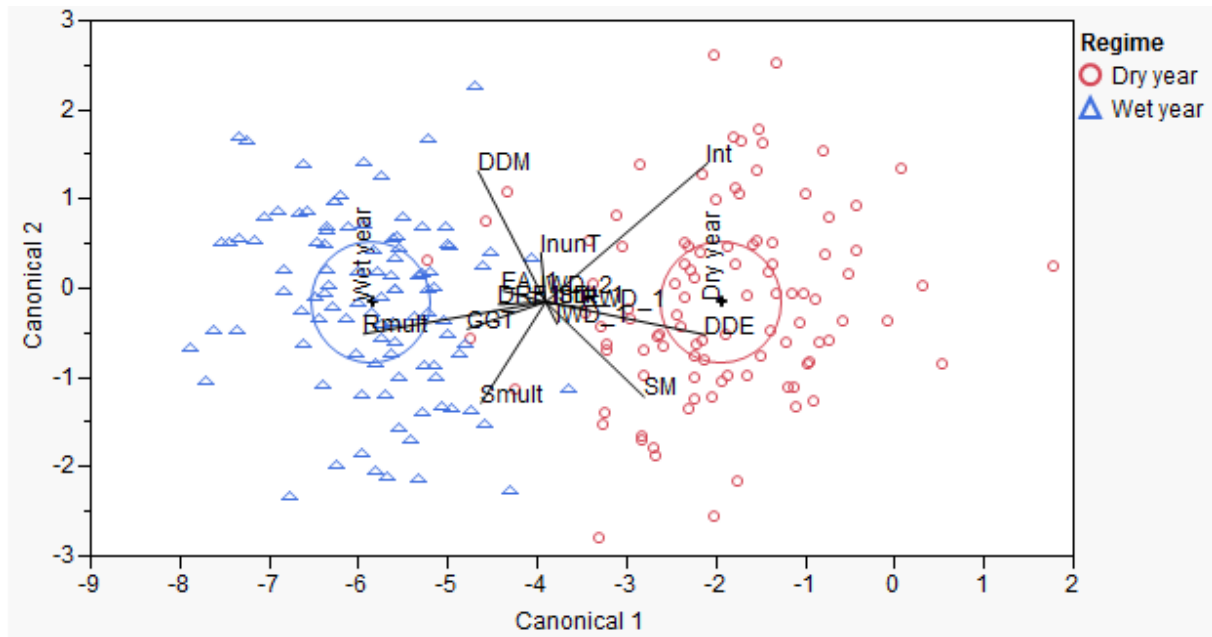


Figure 8: Example of range of runoff projection using wet year parameterization that closely depicts the future versus projected range based on dry year parameterization. The projected range was simulated to constrain uncertainty in extreme wet and dry conditions in the future using the behavioural parameter sets (top 100 iterations from MCMC) for each of the 15 RCM scenarios (100 parameters by 15 RCMs = 1500 runs each for dry and wet year). Ensemble mean represents the mean of the 1500 realizations while long term depicts mean of the long term series.

