



1 **Sub catchment Assessment of snowpack and snowmelt change**
2 **by analyzing elevation bands and parameter sensitivity in the**
3 **high Himalayas**

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11 **Abstract:** The present work proposes to improve estimates of how much streamflow is generated by snow in the
12 watersheds of the steep Himalayas. Half of the earth's glacial catchments in nonpolar areas are in the Himalayas,
13 and they generate almost a third of the streamflows in India. In River catchments with glacier presence in the region,
14 temporal variability in streamflow generation and the associated distribution of accumulated snow illustrate how
15 changes in snowmelt and precipitation can affect water supplies to a growing population of 1.3 billion people.
16 Estimations of snowpack and snowmelt in watersheds are critical for understanding streamflow generation and
17 sources of catchments. However, estimating precipitation and snow accumulation is constrained by the difficulties
18 complex terrain poses to data collection. The primary objective of this study is to assess the role of elevations in the
19 computation of snowfall (snowpack) and snowmelt in sub-catchments. The study area is the Satluj River Catchment
20 (up to Kasol gauge) with moderate (e.g., 526 m) to very high elevations (e.g., 7429 m) dominated by snow covers
21 and glaciers. The Satluj River Catchment was divided into 14 sub-catchments. Snowpack and snowmelt variations in
22 the sub-catchments in both historical and projected near-term (2011-2130) periods were analyzed using observed
23 and Global Circulation Model (GCM) data sets. Both hydrological scenarios used elevation bands and parameter-
24 sensitivity analyses built in the Soil Water Assessment Tool (SWAT) model. For model calibration/validation and
25 parameter sensitivity analysis, an advanced optimization method—namely, Sequential Uncertainty Fitting (SUFI2)
26 approach was used with multiple hydrological parameters. Among all parameters, the curve number (CN2) was
27 found significantly sensitive for computations. The snowmelt hydrological parameters such as snowmelt factor
28 maximum (SMFMX) and snow coverage (SNO50COV) significantly affected objective functions such as R^2 and
29 NSE during the model optimization process. The computed snowpack and snowmelt were found highly variable
30 over the Himalayan sub-catchments as also reported by previous researchers in other regions. The magnitude of



31 snowpack change consistently decreases across all the sub-catchments of the Satluj River Catchment (varying
32 between 4% and 42%). The highest percentage of changes in snowpack was observed over high-elevation
33 subcatchments.

34

35 **1. Introduction**

36 Most of the perennial river channels such as the Ganga, Indus, and Brahmaputra are originated in Himalayan
37 glaciers. Large snowpacks along the Himalayas are formed by thousands of glaciers in valleys and are the major
38 sources of fresh water reserves in India (Bolch et al., 2012). Many studies reported that the hydroclimatology of the
39 Himalayan catchments is changing, and thus snowpack and glaciers are reducing their mass, which leads to more
40 snowmelt water into the streams (Bhambri and Bolch, 2009; Bolch et al., 2012; Xu et al., 2016; Singh et al., 2016).
41 According to the Intergovernmental Panel on Climate Change (IPCC, 2013), changes in temperature and
42 precipitation are expected to affect the hydrology of Himalayan catchments (IPCC, 2013). Some of these changes
43 can be reflected in the spatial distribution and temporal variability of rainfall, snowfall and glaciers' mass, which at
44 the same time can drive streamflow generation in large catchments in the Himalayas (Singh et al., 2008). While
45 glaciers influence streamflows in high altitudes, rainfall is considered a predominant factor in low altitudes. As a
46 main tributary of the Indus River, the Satluj River has its flow primarily generated by snowmelt during the spring.
47 Thus, a higher melting will result in an increase in runoff downstream before the monsoon season (Jain et al., 2010)
48 and increased vulnerability to floods and risk to the sustainability of agriculture in the Punjab region. Other areas of
49 the world, such as the western United States of America, have experienced increments in altitude of snow
50 accumulation reduction of the snowpack, and earlier snowmelt onsets (Motte et al., 2005; Mote, 2006). All of these
51 factors influence water supply and storage and affect the sustainability of human activities downstream. However, in
52 the Sutlej River Catchment, recent and projected changes in snowmelt and snowpack are inconclusive about how
53 glacial and perennial streamflow will be affected in a changing climate.

54 Several studies highlighted an elevation-dependent warming and revealed that changes in temperature lapse rate
55 (TLR) and precipitation lapse rate (PLR), due to climate change, are responsible for the higher reduction in the
56 snowpack at high elevations than those present at lower elevations (Singh and Goyal, 2016a; Singh and Goyal,
57 2016b). The TLR and PLR are functions of elevation (Gardner et al., 2009), and thus the snowpack and snowmelt
58 rate can be affected by variations in TLR and PLR as analyzed by Singh and Goyal (2016a and 2016b) over eastern
59 Himalayan catchments. After temperature, alterations in precipitation pattern have been recognized as another major
60 factor that determines changes in snowpack over the region. Thus, climate change projections indicate an increment
61 in precipitation variability (Change, I.C., 2013) which will influence PLRs (Singh and Goyal, 2016a) and snowfall
62 patterns, particularly when catchments' topography corresponds with moderate to very high elevations like the
63 Himalayas. Also, influences of a changing climate in the Himalayan regions have evidenced long-term shifts in
64 average air temperature, precipitation and other land surface variables (Sridhar and Nayak, 2010; Jain et al., 2010;



65 Beniston, 2012; Narsimlu et al., 2013;). Bolch et al. (2012) reported that the length of many Himalayan glaciers is
66 shortening, and only 25% of glaciers are stable. Therefore, future changes, especially near-term changes, have made
67 it increasingly important to be able to compute snowpack and snowmelt in sub-catchments to manage water
68 resources.

69 Several studies successfully used the SWAT model to project water yield and streamflow as a function of the
70 variable temperature and precipitation using Coupled Model Intercomparison Project Phase 3 (CMIP3 or CM3)
71 Global Circulation Model (GCM) data sets (Ferrant et al., 2014; Shrestha et al., 2013). Neupane et al. (2014) used
72 SWAT to simulate the effect of climate change on natural water storage at watersheds, evidencing the influence of
73 precipitation and temperature lapse rates and inherent snow accumulation and snowmelt roles. Glacial hydrologic
74 assessments can help track and predict water availability in catchments reliant on snowpack and timing snowmelt.
75 The primary objective of this study is to show the scope of computation and characterization of snowpack and
76 snowmelt in sub-catchments, which could help in understanding modeling complexities, mainly snowmelt induced
77 in the Satluj River catchment. Another important objective of this study is to highlight the near-term future changes
78 in snowfall and snowmelt using GCMs.

79

80 **2. Methodology**

81 **2.1. Study area**

82 The Satluj River Catchment is a part of the Indus River system, which has many major tributaries--such as the
83 Satluj, Beas, Jhelum, Chenab and Ravi--and minor tributaries. The current research focused on a part of the Satluj
84 River Catchment (up to Kasol gauge station), which stream flows through the western Himalayan region. The main
85 outlet point, Kasol, consists of an area about 51055 km², which is located at the head of the Bhakra Dam of India.
86 The geographical extent of the study area lies between 77°00' to 82°39' E longitudes and 30°8' to 33°00' N
87 latitudes (Fig. 1). The Satluj River is the longest river among the five major rivers that flow through northern India
88 and Pakistan. It is north of the Vindhya Range, south of the Hindu Kush segment of the Himalayas, and east of the
89 Central Sulaiman Range in Pakistan. The Satluj Catchment is mainly covered by snow. Glaciers of the Satluj River
90 are at moderate (526 m) to very high elevations (7429 m). The majority of the Satluj River catchment is fed by
91 snowmelt (up to the Rampur gauge station) and rainfall during the summer and by groundwater flow during the
92 winter.

93 **2.2 Historical and near-term scenarios**

94 Daily precipitation, minimum and maximum temperature, humidity, wind speed and solar radiation were obtained
95 from the Indian Meteorological Department (IMD) and Indian Institute of Tropical Meteorology (IITM), Pune,
96 India, in grid format (at 1°×1° scale). Six grids with all of these variables were kept for the drainage area of the Satluj
97 catchment for the historical time series (1991-2008). Additionally, three gauge locations with daily measured



98 precipitation were also used for the same time duration. The geospatial thematic data layers such as SRTM (Shuttle
99 Radar Topographic Mission) digital elevation model (DEM) with 90 m spatial resolution (GLCF, 2005) and
100 landuse/landcover (LULC) map (prepared at 1:50,000 topographical scale using IRS P6 LISS III satellite data sets)
101 were used in the study. The description of the LULC codes is given in the SWAT user manual written by Neitsch et
102 al. (2011). A soil map of India (Figure 1) downloaded from SWAT portal ([www.swat.tamu.edu/conferences/
103 international/2012/data set/](http://www.swat.tamu.edu/conferences/international/2012/data%20set/)) was also used (FAO, 2007). The description of soil categories came from FAO's world
104 harmonic soil database (FAO, 2007).

105 For the assessment of near-term (2011 to 2030) snowpack, snowmelt and water yield, daily precipitation and
106 temperature data sets were downloaded from the IPCC climate data portal. CGCM3.1/T63 atmospheric and sea-ice
107 model outputs—namely, SRES B2 model experiment (Qiao et al., 2013)—were used. CMIP3-SRES B2's daily
108 temperature and precipitation were provided at 128x64 Gaussian grid (approximately 2.81° latitudes x 2.81°
109 longitudes) (Thornton et al., 2009) and bias corrected (Taylor et al., 2012; Mahmood and Babel, 2012; Singh and
110 Goyal, 2016b;). The SRES B2 model experiment was selected for the near-term assessment based on the
111 comparison of IPCC's SRES B1, SRES A2, 20C3M, COMMIT and B2 historical simulations and observed
112 precipitation and temperature. Four GCM data points which fell in the current study area and highlighted the spatial
113 variations of the present study were considered without downscaling (Fig. 1). The occurrence of snowpack and
114 snowmelt changes due to variations in elevation bands is enhanced by dividing the main catchment into sub-
115 catchments. For the sub-catchment calculations, observed grids (six), gauge data sets (3 points) and GCM data grids
116 (four) were spatially interpolated at each sub-catchment using the Inverse Distance Weighting Approach (IDWA)
117 (Lu and Wong, 2008; Snell, 1998, Snell et al., 2000).

118 **2.3. Spatiotemporal approach**

119 Up to 10 elevation bands were incorporated in each sub-catchment to characterize the snowpack and snowmelt. For
120 this, at each subcatchment scale, an average TLR and PLR were computed and incorporated into the SWAT model
121 to improve the snowmelt and snowpack computations. The present study uses a stochastic procedure SUFI2 to
122 characterize model uncertainty and sensitivity analyses to improve modeling outputs in a snow-glacier dominant
123 Himalayan catchment. The model calibration and validation were done using daily measured discharge data at three
124 gauges: Rampur, Suni and Kasol. Hydro-meteorological observations, especially daily measured discharge data
125 from 1989 to 2008 at all three gauges, were used to improve the modeling in both historical (1991-2008) and near-
126 term projection scenarios (2011-2030). The historical scenarios of snowpack, snowmelt and other water balance
127 components were generated using hydro-meteorological data from 1991 to 2008. Near-term scenarios used two of
128 the most relevant GCM variables, temperature and precipitation, to produce the snowfall and snowmelt. CM3 GCM
129 model's daily temperature and precipitation were used to analyze the near-term complexities and changes in
130 snowpack and snowmelt (Ferrant et al., 2014; Shrestha et al., 2013).

131 The Satluj River Catchment was divided into 16 sub-catchments based on the area threshold method (Ficklin and
132 Barnhart, 2014; Neitsch et al., 2011). Each sub-catchment includes a main channel and multiple HRUs which



133 consist of geospatial representations of homogeneous land use, soil type, and management practices. The
134 contributions of each HRU were weight-averaged for every sub-catchment (Zhou et al., 2014). Simulated snowpack
135 and snowmelt were computed at each HRU and also aggregated by sub-catchment. For each sub-catchment, up to 10
136 elevation bands were defined; then, at each elevation band, all snow hydrological parameters were determined
137 (Neitsch et al., 2011). To estimate the spatial variability of the snowpack and snowmelt, an average TLR and PLR
138 were incorporated at each sub-catchment, which adjusted the temperature at each elevation band. Snow and glaciers
139 mainly cover the upper part of the catchment that has a very low TLR, while the lower part of the catchment has a
140 reduced presence of glacier areas with large settlements and high temperatures. These topographical variations
141 brought high variability in TLR and PLR over the Satluj River catchment. The rationale for discretizing the
142 catchment is to simulate streamflow, snowfall and snowmelt processes at each sub-catchment and the respective
143 elevation bands, which is also contributes to account homogeneous land use, soil, and weather generator parameters
144 (e.g., precipitation and temperature). A representation of the water balance components at each sub-catchment,
145 elevation band, and HRUs could be useful to highlight the catchments' variability in an efficient manner especially
146 in the case of large-area catchments.

147 **2.3.1. Modeling approach**

148 The SWAT model is fully capable of computing the long-term water balance components in a semi-distributed
149 manner through the use of hydrological response units (HRUs). Streamflow is simulated using a slope-adjusted
150 modified Soil Conservation Services curve number (CN) method (USDA Soil Conservation Service, 1972; Arnold
151 et al., 1998). Detailed physical and hydrological principles and parameters are fully described in the SWAT user
152 manual (Neitsch et al. 2011).

153 **2.3.2. Model calibration and validation**

154 Simulated and observed streamflows were used in a SWAT stochastic optimization tool, Calibration and Uncertainty
155 Program (CUP), to calibrate and validate physical parameters (Abbaspour et al., 2007). Recorded daily streamflows
156 at three outlet locations (i.e., Rampur, Suni and Kasol) for the period of 1989 to 2008 were used. The initial two
157 years were considered a warm-up period for the historical scenario, and the initial three years for the near-term
158 projected scenarios. The model calibration was performed using the concept of aggregate parameter selection (Yang
159 et al., 2007). An 'aggregate parameter' is obtained by adding terms such as v_{-} , a_{-} and r_{-} to the front of the original
160 parameter to create an absolute increase and a relative change in the initial parameter values, respectively (Zhang et
161 al., 2014). The objective functions such as coefficient of determination (R^2) and Nash-Sutcliffe Efficiency Index
162 (NSE) were used in the calibration and validation procedures (Abbaspour et al., 2007; Zhang et al., 2014).

163 **2.4. Sensitivity analysis**

164 Model outputs are deterministic representations of precipitation, discharge, evapotranspiration (ET), storage and
165 different transport-processes' variables and state variables. A deterministic hydrological model such as SWAT is
166 unable to explore the stochastic behavior of random variables such as rainfall and associated discharges (Abbaspour



167 et al., 2007; Singh et al., 2013). Calibration of any distributed hydrological model using observed hydro-observation
168 data sets always leads to nonidentifiable parametric uncertainties due to complex hydrological processes and data
169 sets, especially in the case of the large catchments (Yang et al., 2007). Thus, it's necessary to estimate the
170 propagation of parameter uncertainty to the uncertainty of the model's outputs. SWAT uses an independent
171 stochastic model SUFI2, which in this work is selected to account for model uncertainty (Yang et al., 2007;
172 Abbaspour et al., 2007).

173 SUFI2 accounts for parametric uncertainty through sequential and fitting approaches. Iteratively old coefficient
174 parameters are updated into a new array of coefficients during calibration to ultimately achieve the final set of
175 parameters (Abbaspour et al. 2011). The SUFI2 algorithm assumes a large parameter uncertainty (or physically
176 meaningful range) occurring in response to data inputs to ensure the observed data fall into the 95% prediction
177 uncertainty (95PPU) band during the first iteration. During this iterative procedure, uncertainty progressive decrease
178 is monitored though the changes of the p-factor and r-factor (Abbaspour et al., 2007). While the p-factor determines
179 the percentage of simulated data falling into the observed-data range, the r-factor contributes to determine the
180 uncertainty of the simulated variables and state variables when compared with observed data sets.

181 The value of the p-factor ranges between 0 and 100%, and the r-factor ranges between 0 and infinity. A value of p-
182 factor = 1 and r-factor = 0 represents a perfect match between simulated and observed data. The parameter
183 sensitivity analysis helps identify the significance of a particular parameter to the calibration process, whether the
184 process is influenced by the parameter values or nature of the forcings. SUFI2 method is based on a global
185 sensitivity analysis (GSA) performed through multiple regression. GSA's parameters were generated through a
186 Latin hypercube sampling (LHS) and the resultant simulated variables and state variables are contrasted to the
187 equivalent observations through the application of an objective function. The LHS method is considered a highly
188 efficient sampling method; it can reduce the sampling points within an individual space. In this study, four iterations
189 with 600 simulations were conducted to estimate the uncertain effect of model parameters in the calibration
190 outcomes.

191 In general, after completion of the first iteration, the model performed well using the majority of parameter
192 combinations sampled from the updated parameter ranges. Therefore, the updated parameter ranges used during the
193 second iteration are regarded as the uncertainty ranges for model simulations and analyses. In this study, the
194 statistical significance tests such as p-value test and t-stat were employed to rank parameters from high sensitive to
195 nonsensitive. A 0 p-values shows a highly sensitive parameter in the GSA . On the other hand, GSA's t-stat is
196 evaluated based on the significance level alpha ($\alpha = 0.05$) and resultant p-values. The alpha value 0.05 was chosen
197 as the local significance level. Based on this significance level, values larger than +1.96 indicate a significant
198 ($p < 0.05$) positive sensitivity and values lower than -1.96 indicate a significant ($p < 0.05$) negative sensitivity. Thus,
199 the p-values closer to zero will enable the use of trend analyses of the simulated variables and state variables
200 (Abbaspour et al., 2011). The parameter-sensitivity results can be observed in Table 3.

201 2.5. Elevation band approach for snowpack and snowmelt measurement



202 In this study, SWAT snowmelt hydrology and related processes were performed at the sub-catchment scale
 203 (Fontaine et al., 2002). Therefore, each sub-catchment was divided into 10 elevation bands in order to incorporate
 204 temperature and precipitation variations with respect to altitude (Neitsch et al., 2011). The sequence of
 205 methodological steps are as follows:

206 2.5.1. TLR and PLR computation and their adjustments at each elevation band

207 For each sub-catchment, lapse rates for precipitation p_{laps} (mm/km) and temperature t_{laps} (°C/km) were computed as
 208 Eq. 1:

$$209 \quad P_B = P + (Z_B - Z) \frac{p_{laps}}{days_{pcp, yr} \times 1000} \quad \text{and} \quad T_B = T + (Z_B - Z) \frac{t_{laps}}{1000} \quad (1)$$

210 where P (mm), T (°C) and Z (m) were the sub-catchment precipitation, temperature and recording gauge elevation,
 211 respectively; while P_B , T_B and Z_B were the adjusted precipitation, temperature and mean elevation for each
 212 elevation band. The variable $days_{pcp, yr}$ represented the mean annual number of days with precipitation. The
 213 temperature lapse rate could be computed using mean annual temperature. In accordance with the delineation
 214 approach used with sub-catchments, temperatures were adjusted within each elevation band by comparing the
 215 elevation bands' midpoint elevation (Z_B) within the station elevation (Z). The elevation difference was multiplied by
 216 the lapse rate to calculate a temperature difference between the station elevation and the elevation band. An updated
 217 elevation band mean temperature (T_B) was calculated by adding or subtracting the temperature difference to or from
 218 the temperature measured at the station elevation (T) as in Eq. 2:

$$219 \quad T_B = T + (Z_B - Z) \frac{dT}{dz} \quad (2)$$

220 where $\frac{dT}{dz}$ is the mean local lapse rate (t_{laps}) (°C/km) calculated at all sub-catchments. A lapse rate for annual
 221 precipitation was represented by the changes of the mean annual precipitation with respect to the station elevation.
 222 Adjusted precipitation in each elevation band (P_B) was based on the difference between the elevations of the
 223 subcatchment meteorological station (Z) and each elevation band (Z_B) multiplied by the lapse rate of (mm/km) per
 224 event (P). If the meteorological station was unavailable in a particular subwatershed, then the next nearest
 225 meteorological station was considered for lapse rate calculations. The equation was defined as Eq. 3:

$$226 \quad P_B = P + (Z_B - Z) \frac{dP}{dz} \quad (3)$$

227 where $\frac{dP}{dz}$ was the mean local lapse rate (p_{laps}) calculated for all sub-catchments.

228 2.5.2 Snow Accumulation

229 The snowpack was represented in SWAT by the snow water equivalent (the mass of liquid water in the snowpack)
 230 SWE (mm), which balanced snowfall SF (mm) and snowmelt SM (mm) or sublimation ES (mm) (Eq. 4):



$$231 \quad SWE_{day} = SWE_{(day-1)} + SF - SM - E_s \quad (4)$$

232 In SWAT, snowmelt SM is controlled by the air and snowpack temperatures, the melting rate, and areal coverage of
 233 snow. When daily mean air temperature is less than a snowfall temperature, as specified by the SWAT variable
 234 SFTMP (Table 1), the precipitation within an HRU is classified as snow, and the liquid water equivalent is added to
 235 the already-present snowpack. The snowpack temperature is a function of the mean daily temperature during the
 236 preceding days and varies as a dampened function of air temperature (Anderson, 1976). The influence of the
 237 previous day's snowpack temperature on the current day's snowpack temperature was controlled by a lagging factor,
 238 ($TIMP$), which intrinsically accounts for snowpack density, snowpack depth, exposure and other factors known to
 239 affect snowpack temperature (Eq. 5):

$$240 \quad T_{snowpack}(day) = T_{snowpack}(day-1) \times (1 - TIMP) + T_{av}TIMP \quad (5)$$

241 where $T_{snowpack}$ (day) and $T_{snowpack}$ (day-1) are the snowpack temperature ($^{\circ}C$) on a given day and on the day
 242 preceding it, respectively, and T_{av} ($^{\circ}C$) is the mean air temperature for the same given day. The fraction of area
 243 covered by snow SNO_{cov} can be computed as Eq. 6:

$$244 \quad sno_{cov} = \frac{SNO}{SNO_{100}} \left(\frac{SNO}{SNO_{100}} + \exp\left(cov_1 - cov_2 \frac{SNO}{SNO_{100}}\right) \right) - 1 \quad (6)$$

245 where SNO is the water content of the snow pack on a given day (mm), SNO_{100} is the threshold depth of snow at
 246 100% coverage (mm), and cov_1 and cov_2 are coefficients that define the shape of the curve. Snow depth over an
 247 elevation band is assumed to be constant when the SWE exceeds SNO_{100} ; i.e., the areal depletion curve affects
 248 snowmelt only when the snowpack water content is between zero and SNO_{100} .

249 2.5.3. Snowmelt and glacier melt

250 Snowmelt rate is controlled by snowpack temperature and air temperature. A snowpack cannot begin to melt and
 251 release water before the entire pack has reached $0^{\circ}C$ and thus we adopted the same. The SWAT model is unable to
 252 calculate glacier melt contributions directly. It corresponds to snowmelt contribution mainly from the snowpack
 253 amount. Hence, in this study the snowmelt amount integrated glacier melt and snowmelt. The melt rate from a
 254 snowpack varies in response to snowpack conditions (Fontaine et al., 2002). In this study, snowmelt and glacier melt
 255 were set up together in the SWAT model as a linear function of the difference between the average of the snowpack
 256 and glacier temperature ($T_{snowpack}$) and the maximum air temperature (T_{max}) on a given day and the base or threshold
 257 temperature for the snowmelt (Eq. 7). It is worth stating that due to the large number of glaciers over the Satluj
 258 catchment, the temporal mass balance of glaciers and melting rates were analyzed at the catchment and HRU levels,
 259 respectively. Hence, standard coefficient values were used:

$$260 \quad SM = b_{melt} \times sno_{cov} \left[\frac{T_{snowpack} + T_{melt}}{2} - TMLT \right] \quad (7)$$

261 where b_{melt} ($mmH_2O/day-^{\circ}C$), is the melt factor for a day:



$$262 \quad b_{mit} = \frac{SMFMN+SMFMX}{2} + \frac{SMFMN-SMFMX}{2} \sin\left(\frac{2\pi}{365}(d_n - 81)\right) \quad (8)$$

263 Eq. 8 has been adapted for application in the Northern Hemisphere, where *SMFMN* is the melt factor for 21st June,
 264 *SMFMX* is the melt factor for 21st December, and d_n represents the day of the year.

265

266 3. Result and analysis

267 Geophysical components, such as topography, land use/land cover change and soil classes, are parameterized in
 268 SWAT (Neitsch et al., 2011) and help determine the spatial distribution of water availability and its physical-state.
 269 For example, more than 30 different soil parameters associated with each soil category such as soil texture, available
 270 water content, hydraulic conductivity, bulk density and organic carbon content were used for this study. These
 271 parameters in SWAT were defined for each soil subtype for different layers (between two and three layers). A key
 272 parameter in SWAT, the curve number (CN) averaged 80.1 in the catchment, though it varied from lower
 273 subcatchment to upstream subcatchment as per LULC, slope and soil properties.

274 SWAT information on model implementation, including the temporal context for the simulated water balance
 275 components for the sensitivity analyses is described in Table 1. The sensitivity results were a product of 20 different
 276 hydrological parameters (Table 2) on both daily and monthly time steps. In Table 3, the parameters which were
 277 found sensitive to snowmelt-induced streamflows are selected for model calibration. The description of parameters
 278 and their coefficients are given in Table 3. For example, TLAPS.sub parameter (TLR) fluctuates from -7.0 °C/km to
 279 2.5°C/km (with the best-fitted value computed as -4.1 °C/km), showing how temperature variations exist across the
 280 Satluj River Catchment. Table 3 also shows the aggregate parameter ranges that result from the final iteration
 281 number, which was optimized through the Latin Hypercube Sampling (LHS) method (Abbaspour et al., 2011). For
 282 TLAPS.sub, the p-value is recorded as 0.01 and its t-stat value is recorded as -2.2, also illustrating that this
 283 parameter is found sensitive for the model calibration and validation.

284 Among the 20 calibration parameters, the 5 parameters R_CN2.mgt, R_SMFMX.bsn, V_CH_K2.rte, TLAPS.sub
 285 and V_GW_DELAY were computed as significantly sensitive parameters for daily calibration while the parameters
 286 SNO50COV.bsn, CN2.mgt, GW_DELAY.gw and SOL_K.sol were found sensitive for monthly analysis. The
 287 computed t-stat values were less than -1.96 or greater than +1.96; the estimated p-values were close to zero. At
 288 daily time steps, sensitive parameters evidence the role of snow melt and the temperature lapse rate on water flowing
 289 in the model. Further, soil properties also evidence the regulatory role of infiltration in the subsurface. For example,
 290 the V_GW_DELAY.gw parameter of aquifer recharge at the catchment was found significantly sensitive for both
 291 daily and monthly time steps (Table 3). In unconfined and shallow aquifers, this factor could influence the temporal
 292 variability and spatial distribution of different components of the water balance, highlighting the contributions of
 293 surface water and groundwater interactions. Also, at the catchment scale A_ALFA_BF.gw, whose p-value was
 294 recorded as 0.172 daily and 0.406 monthly, was found insignificant for the model calibration, indicating the
 295 sensitivity of the model's baseflow parameterization.



296 Other model parameters associated with different types of LULC and soil categories were not found sensitive for the
297 model calibration and validation process. These model parameters included GWQMN.gw, HRU_SLP.hru,
298 SOL_BD.sol, HRU_SLP, PLAPS.sub, CH_N2.rte, SOL_AWC.sol and GW_REVAP. The snowmelt temperature-
299 related parameters such as R_SMTMP.bsn, R_SFTMP.bsn and R_SMTMP.bsn were also recorded nonsignificant
300 during model calibration as shown in Table 3. These properties are relevant to the temperatures that allow the
301 formation or accumulation of snow, rather than the melting of snow already packed (which coincides with the
302 sensitivity of the SMFMX parameter described above). The Satluj River drainage area is dominated by glacial
303 hydrology, permanent ice sheets and seasonal well-packed snow. These, are typical features of the catchment, which
304 at the same time are identified in the sensitivity of parameters such as R__SNOCVMX.bsn and SNO50COV.bsn
305 (parameters that represent the fraction of snowpack and the elevation bands) and the recorded significant parameter
306 sensitivity of daily and monthly calibration, respectively. The significance of elevation differences is reflected in the
307 snowpack computations. The curve number coefficient (R_CN2) was the most significantly sensitive parameter in
308 the model calibration process. CNs were modified based on the fractional HRU slopes so soil physical properties
309 could vary at sub-catchment scale. Thus, groundwater delays and baseflow, together with management practices,
310 soil physical properties (i.e., the CN), and snow properties, influence the generation of return flows, which aligns
311 with the purpose of this work in the Satluj River Catchment.

312 Table 4 presents the daily and monthly results for streamflow calibration (1991 to 2000) and validation (2001 to
313 2008) at all three outlet locations, Rampur, Suni and Kasol. Table 4 also shows the goodness-of-fit between the
314 simulated and measured streamflows with the coefficient of determination (R²) and Nash-Sutcliffe Equation (NSE)
315 (Legates et al., 1999) for the Rampur, Kasol and Suni outlet stations. The computed R² and NSE are found
316 reasonably acceptable for daily and monthly observations. Regarding goodness-of-fit aspects, monthly and daily
317 calibration correlations were similar. Among all the three outlet stations, Kasol and Rampur show better calibration
318 and validation statistics than does Suni station. Before initialization of the model calibration, we took 5% as bias to
319 ignore the extreme ambiguities from the calibration.

320 Uncertainty results, which were computed using the objective functions p-factor and r-factor, provide insights about
321 the precision and accuracy of model simulations (Abbaspour et al., 2011). Also, factors refer to the final uncertainty
322 level of the calibration-validation approach. The p-factor values recorded during model calibration for the Rampur,
323 Kasol and Suni stations were 0.46, 0.57, and 0.52 daily and 0.41, 0.57, and 0.49 monthly for the timespan 1991-
324 2000 (Table 4). During model validation, the p-factor values recorded were 0.43, 0.52, and 0.53 daily and 0.45, 0.60
325 and 0.58 monthly. Along the Satluj River Catchment, resultant p-factors indicate that more than 50% of the
326 simulated flows were encompassed within the uncertainty bonds for Kasol station's daily and monthly simulations,
327 as well as for calibration and validation approaches. In contrast, simulated flows for Rampur showed p-factors
328 below 50%, contrasting with their performance on the SWAT model for Kasol and Suni stations during daily
329 simulations and for model validation. On the other hand, the r-factor values recorded were 1.89, 1.50, and 1.60 daily
330 and 1.90, 1.57, and 1.43 monthly for Rampur, Kasol and Suni. During model validation, the r-factor values were
331 calculated as 1.89, 1.67, and 1.72 daily and 1.92, 1.62 and 1.52 monthly for Rampur, Kasol and Suni. Resultant r-



332 factors indicated the SWAT's ability to precisely reproduce flow values; however, values above 1.43 indicated that
333 other sources of error besides model physics could contribute to the values of the r-factor. The experiments
334 described here are unable to identify the contribution of such sources of error. Kasol, Suni, and Rampur were the
335 only stations with observed data and all were located in the lowest drainage area in the Saltej River Catchment.
336 Although small, differences among model performance metrics illustrate the local contributions of Suni and
337 Rampur's downstream drainage areas to the total streamflow generated at Kasol. Kasol "averages" over and under
338 estimations of streamflows generated upstream, so lower r-factor values are expected, representing higher precision.
339 Further, smaller values of p-factor in Kasol also indicate a lower accuracy of the model in replicating observed
340 streamflows within the uncertainty bonds.

341 Considering that most of the drainage areas of this catchment are snowmelt-dependent and are upstream of Rampur
342 station, a deeper assessment of snowfall and snowmelt along with streamflow generation is required at high
343 altitudes. The temporal variability and spatial distribution of the hydrological components such as precipitation,
344 snowpack, snowmelt, water yield (contributed by rainfall only) and total water yield (contributed by both snowmelt
345 and rainfall) were computed and analyzed. Figure 2 illustrates the aggregation of simulated snowpack and snowmelt
346 compared with precipitation from 1991 to 2008 in sub-catchments. Here, it is evident that the maximum snowpack
347 contribution occurs at sub-catchments at a high elevation. These sub-catchments, such as SB1, SB2, SB3, SB4,
348 SB15 and SB16, have values varying from ~10 to ~380 mm in a single year. Figure 2 also shows that sub-
349 catchments such as SB10, SB11, SB12, and SB13, located in the lowest drainage areas, poorly contribute to the
350 snowpack of the Saltej River Catchment. (They had annual values below 150 mm predominantly). Interannual
351 changes in snowpack and the amount precipitation show local to large-scale influences in snow melt as well as snow
352 accumulation. For example, SB1 shows that the proportion of snowmelt/snowpack with respect to precipitation was
353 larger in 2000 and 2002, which contrasts with those proportions between 1995 and 1996. In the easternmost portion
354 of the catchment, this proportion is consistent during all years, which contrasts with the catchment's lower drainage
355 areas. Further analysis is required to identify causality in those accumulations in response to El Niño Southern
356 Oscillation or interannual changes in monsoon intensity and interannual accumulation of snow. Figure 3 illustrates a
357 possible influence of elevation differences along the catchment.

358 During near-term projection, input parameters such as DEM, LULC, and soil map were kept constant to simulate
359 and isolate possible effects of temperature and precipitation, which could emerge in places with highly variable
360 elevations and large elevation gradients. The TLR and PLR were estimated by elevation band as shown in Figure 3.
361 The TLR and PLR are given as an input to set up the SWAT model for sub-catchment calculations of snowfall and
362 snowmelt, as well as parameters in calibration. Figures 3a and 3b illustrate the TLR or inverse changes in
363 temperature with altitude (Gardner et al., 2009). Figures 3a and 3b also show the winter and summer months'
364 temperature variations in relation to elevation differences, as well as the inherent variation due to seasonal cycles at
365 each sub-catchment. While winter temperatures in low-altitude portions of the catchment vary between 9°C and
366 21°C, summer temperatures range between 22°C and 27°C. At high altitudes, the largest temperature span (21°C)
367 occurs during winter months whereas the summer months' temperature span (5°C) remains the same along the



368 catchment. Parameter sensitivity in daily and monthly analyses (described in Table 3) evidenced SWAT's ability to
369 simulate flows in response to snowmelt rather than changes in temperatures. Figure 3b evidences such sensitivity
370 since the temperature between April and September remains within a 5°C temperature span.

371 Figure 4 shows annual averages of snowpack variations by elevation band (10 numbers) computed at each sub-
372 catchment for the 1991-2030 period. These variations are expressed in fractional snowpack at each sub-catchment,
373 which at the same time define the variations in TLRs and PLRs. The distribution of the fractional snowpack varied
374 throughout the catchment from upstream to downstream sub-catchments. Figures 4a to 4d are examples of high-
375 altitude drainage areas characterized by high and variable snowpacks. In contrast, low-land variations upstream of
376 Rampur station (Figures 4e and 4f) evidenced small variability and low values of accumulated snow. Downstream of
377 Rampur (Figures 4g and 4h) illustrate slightly larger variations in snow accumulation with average values below 50
378 mm/year. Figure 5 is consistent with the fractional variations in snowpack expressed above, expanding such
379 variations into multidecade contributions (1991-2000, 2001-2008, 2011-2020 and 2021-2030). In this figure,
380 snowpack variation is highlighted at each catchment on a cumulative annual average. Figure 5 shows that sub-
381 catchments at high elevations, such as SB1, SB2, SB3, SB8, SB15 and SB16, receive the highest amounts of
382 snowpack. When compared intra-annually, the scenarios computed between 1991-2000 and 2001-2008 showed
383 higher snowpack amounts than those calculated between 2011-2020 and 2021-2030. This difference in snowpack
384 amount mainly occurred due to the variations in fractional snow covers.

385 Figures 6a-e show the spatial distribution of multidecadal averages of precipitation, snowpack, snowmelt, rainfall-
386 runoff and total water yields (contributed by both snowmelt runoff and rainfall runoff) for the period 1991-2008 and
387 their differences with respect to the near-term period 2011-2030. Figure 6a shows that the lower portion of the
388 catchment (i.e., SB10, SB11, SB12 and SB13) and highest elevated part of the catchment (i.e., SB14, SB15 and
389 SB16) had the largest precipitation (1991-2030). However, when compared with split time series sets, such as the
390 1991-2008 and 2011-2030 time series sets, precipitation decreases in the high elevation sub-catchments and
391 increases in the lower parts. The snowpack and snowmelt plots have shown similar kinds of trends in their time
392 series values. A decrease in the snowpack amounts can be observed in Figures 6b and 6c. Figure 6d also shows that
393 the contribution of runoff (due to rainfall) has increased during the time 2011-2030. Figure 6e shows an increase in
394 total water yield in subcatchments at low elevations. The portions of the watershed most vulnerable to hydrologic
395 changes, specifically responses to variations in snow melting and snow accumulation, are the mid- to low-altitude
396 portions of the catchment upstream of Rampur station.

397 Figure 7 illustrates the magnitude of change (shown as “% of change”) in snowpack amount as a function of the
398 fraction of elevation bands. The results showed a decrease in snowpack amount recorded from a minimum of 5% to
399 a maximum of 42% across all the subcatchments. The subcatchments SB1, SB2, SB3 and SB8 correspond with the
400 utmost decrease in snowpack amount (20% to 42%); whereas, the subcatchments SB5, SB7, SB14, SB15 and SB16
401 showed a small to moderate decrease in snowpack amount (4% to 20%). The above showed significant variations in
402 the water balance components of the Satluj River catchment, illustrating an enormous change in snowpack amount
403 over different sub-catchments.



404 **5. Conclusion**

405 This study analyzed the snowpack and snowmelt computations in high elevations of the Satluj River Himalayan
406 catchment. In this study, the snowpack and snowmelt have been evaluated at multiple elevation bands, illustrating
407 spatial variations in their amount at each subcatchment. For the computation of snowpack and snowmelt, both
408 measured and GCM data sets were used to highlight the intraannual changes in snowmelt and snowpack. This study
409 showed an enormous spatial and temporal variability in snowpack amount at elevation bands. The average TLR and
410 PLR were used to compute the more accurate estimation of snowpack. For this, various model calibration
411 parameters were considered and then sensitivity was analyzed. Based on the sensitivity analysis, significant sensitive
412 and nonsensitive parameters were identified, which helped to improve the accuracy of the computation of snowpack
413 and snowmelt. The other water balance components such as precipitation, water yield due to rainfall and water yield
414 due to snowmelt were spatial studies. The long-term spatial comparison of these water balance components showed
415 noticeable spatial variability from upstream subcatchments to downstream subcatchments. The percentage of change
416 analysis clearly showed that snowpack is highly variable over the Satluj catchment and it could be more variable in
417 the near-term period.

418

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423 analysis.

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541 **Tables**

542 Table 1: Details of water balance components simulated in the SWAT model

Model Simulation Details	
General details	Satluj catchment
Simulation period (years)	16
Warmup (years)	3
Hydrological response units	358
Sub-catchments	16
Output time step	Daily, Monthly
Watershed area (km ²)	51055
Water Balance Ratios	
Streamflow/precipitation	0.63
Baseflow/total flow	0.25
Surface runoff/total flow	0.45
Percolation/precipitation	0.26
Deep recharge/precipitation	0.01
ET/precipitation	0.36
Water Balance Components (mm)	
ET	382.0
Precipitation	1073.5
Surface runoff	304.8
Lateral flow	113.0
Return flow	259.0
Percolation to shallow aquifer	283.4
Revaporation from shallow aquifer	10.2
Recharge to deep aquifer	14.2

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549 Table 2: Description of model calibration parameters

Streamflow parameters selected for calibration and validation	Description
SNOCOVMX.bsn	Minimum snow water content
HRU_SLP.hru	Average slope steepness
SOL_K.sol	Soil hydraulic conductivity
SNO50COV.bsn	Fraction of snow volume
PLAPS.sub	Precipitation lapse rate
SFTMP.bsn	Snowfall temperature
GWQMN.gw	Threshold depth of water in shallow aquifer required for return flow
CH_N2.rte	Manning roughness coefficient for main channel
SOL_BD.sol	Moist bulk density
SOL_AWC.sol	Available water capacity of the soil layer
GW_REVAP.gw	Groundwater “revaporation” coefficient
SMTMP.bsn	Snowmelt base temperature
ALPHA_BF.gw	Baseflow alfa factor coefficient
SMFMN.bsn	Melt factor for snow on December 21 st
SOL_Z.sol	Depth from soil surface to bottom layer
GW_DELAY.gw	Groundwater delay time
TLAPS.sub	Temperature lapse rate
CH_K2.rte	Effective hydraulic conductivity
SMFMX.bsn	Melt factor for snow on June 21 st
CN2.mgt	Curve number coefficient

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557 Table 3: Aggregate parameters and their values, ranges and global sensitivity results

SI. No.	Parameter	Daily			t-Stat	P-Value
		Fitted Value	Minimum Value	Maximum Value		
1	A_SNOCOVMX.bsn	300.0	0.0	500.0	-2.1	0.03
2	R_HRU_SLP.hru	0.2	0.2	0.2	-0.2	0.9
3	R_SOL_K.sol	0.3	0.0	1.3	-0.2	0.8
4	R_SNO50COV.bsn	0.4	0.0	50.0	-0.2	0.8
5	A_PLAPS.sub	277.0	100.0	300.0	0.3	0.8
6	A_SFTMP.bsn	-1.7	-1.8	1.0	-0.4	0.7
7	V_GWQMN.gw	1.0	0.8	1.1	-0.4	0.7
8	V_CH_N2.rte	0.3	0.2	0.3	0.5	0.6
9	R_SOL_BD.sol	1.4	1.2	1.5	0.7	0.5
10	R_SOL_AWC.sol	0.6	0.6	0.7	0.7	0.5
11	V_GW_REVAP.gw	0.0	0.0	0.0	0.9	0.4
12	R_SMTMP.bsn	-0.5	-2.7	2.0	-0.9	0.4
13	A_ALPHA_BF.gw	0.12	0.06	0.2	-1.4	0.2
14	R_SMFMN.bsn	7.4	6.4	7.7	-1.4	0.2
15	R_SOL_Z.sol	2813	100	4000.0	1.6	0.1
16	V_GW_DELAY.gw	10.5	-88.6	50.1	-2.1	0.02



17	A__TLAPS.sub	-4.1	-7.0	2.5	-2.2	0.01
18	V__CH_K2.rte	27	22	75.0	2.4	0.0
19	R__SMFMX.bsn	0.5	-0.5	1.4	6.4	0.0
20	R__CN2.mgt	0.03	0.0	0.1	-8.6	0.0
Monthly						
1	R__SOL_BD.sol	1.0	0.9	1.6	-0.1	0.9
2	R__SMFMN.bsn	9.1	6.2	11.2	0.3	0.8
3	A__PLAPS.sub	337	100	350.0	-0.3	0.7
4	V__GW_REVAP.gw	0.1	0.1	0.2	-0.4	0.7
5	R__TLAPS.sub	-4.6	-6.2	2.5	0.4	0.7
6	V__GWQMN.gw	1.6	0.8	1.7	0.7	0.5
7	R__SOL_AWC.sol	0.5	0.5	0.9	-0.8	0.4
8	R__SOL_Z.sol	1296	1265	4388.0	-0.8	0.4
9	V__ALPHA_BF.gw	0.11	0.0	1.7	0.8	0.4
10	R__SNOCVMX.bsn	100	50	500.0	-1.0	0.3
11	R__SMTMP.bsn	0.7	0.6	1.7	1.1	0.3
12	R__SFTMP.bsn	1.4	1.0	1.9	1.4	0.2
13	R__SMFMX.bsn	0.4	0.3	1.5	-1.8	0.1



14	R__SOL_K.sol	0.5	0.6	1.3	2.1	0.0
15	V__GW_DELAY.gw	20	-70	251	2.6	0.0
16	R__CN2.mgt	0.02	0.0	0.1	-4.6	0.0
17	R__SNO50COV.bsn	0.2	0.0	1.0	-11.7	0.0

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572 Table 4: Model calibration and validation results using the SUFI method for the daily and monthly
573 analysis

Outlet Station	Calibration (1991 - 2000)					
	Daily			Monthly		
	p-factor	r-factor	R ²	p-factor	r-factor	R ²
Rampur	0.46	1.89	0.75	0.41	1.90	0.71
Kasol	0.57	1.50	0.76	0.57	1.57	0.78
Suni	0.52	1.60	0.72	0.49	1.43	0.73
Outlet Station	Validation (2001 - 2008)					
	Daily			Monthly		
	p-factor	r-factor	R ²	p-factor	r-factor	R ²
Rampur	0.43	1.89	0.62	0.45	1.92	0.65
Kasol	0.52	1.67	0.71	0.60	1.62	0.73
Suni	0.52	1.72	0.65	0.58	1.52	0.71

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588 **Figure Captions**

589 **Fig. 1:** Study area map of Satluj river catchment (up to Kasol station/gauge).

590 **Fig. 2:** Sub-catchment and annual variability in snowpack and snowmelt (annual average) for the year
591 1991 to 2008.

592 **Fig. 3:** Distribution of average temperature over the sub-watershed's centroid elevation (in chronological
593 order); (a) winter season and (b) summer season.

594 **Figure 4:** (a-h) Sub-catchment snowpack variability (average annual) based on the fractional elevation
595 bands in long term climate domain (1991-2030) and (b) Cumulative variability in snowpack amount over
596 different sub-catchments of Satluj catchment in different temporal domains.

597 **Figure 5:** Cumulative variability in snowpack amount over different sub-catchments of Satluj catchment
598 in different temporal domains.

599 **Fig. 6:** Historical average (1991-2008) and differences between near-term and historical average for (a
600 and b) precipitation, (c and d) snowpack/snowfall, (e and f) snowmelt, (g and h) water yield (due to snow)
601 and (I and j) total water yield (snowmelt and rainfall runoff) in the Satluj River Basin.

602 **Fig. 7:** Percentage of change in snowpack amount (average annual) over different sub-catchments of
603 Satluj River.

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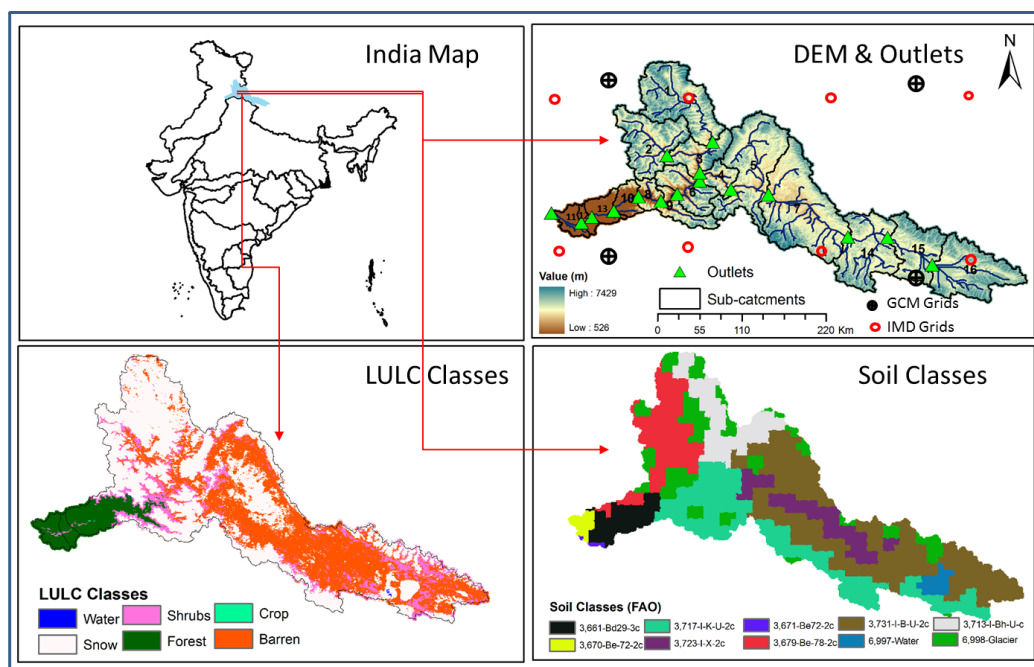
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610 **Fig. 1:** Study area map of Satluj river catchment (up to Kasol station/gauge).

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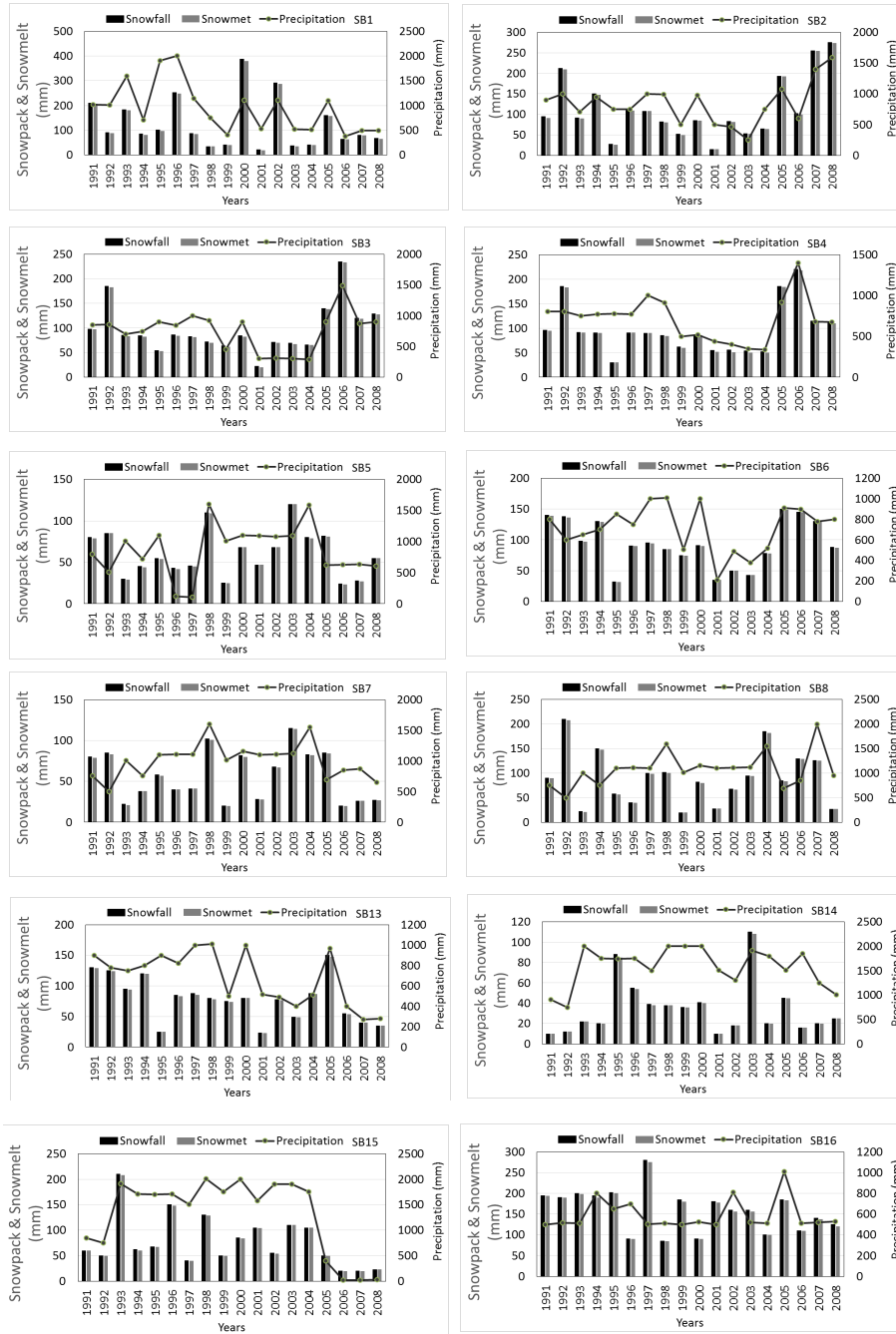
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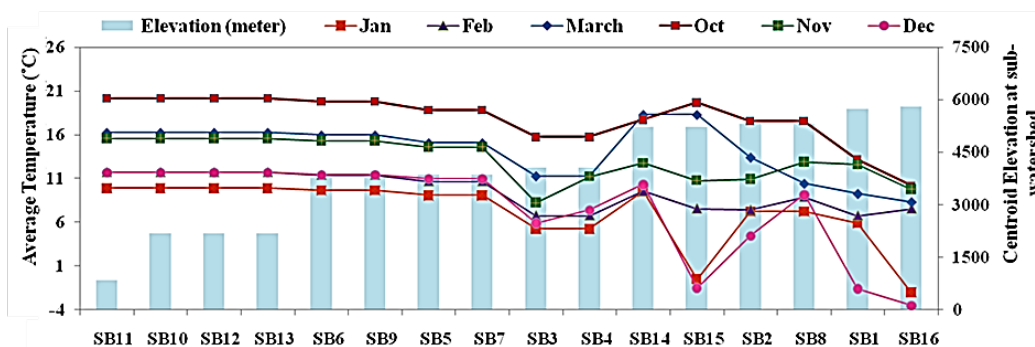
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624 **Fig. 2:** Sub-catchment and annual variability in snowpack and snowmelt (annual average) for the year

625 1991 to 2008.

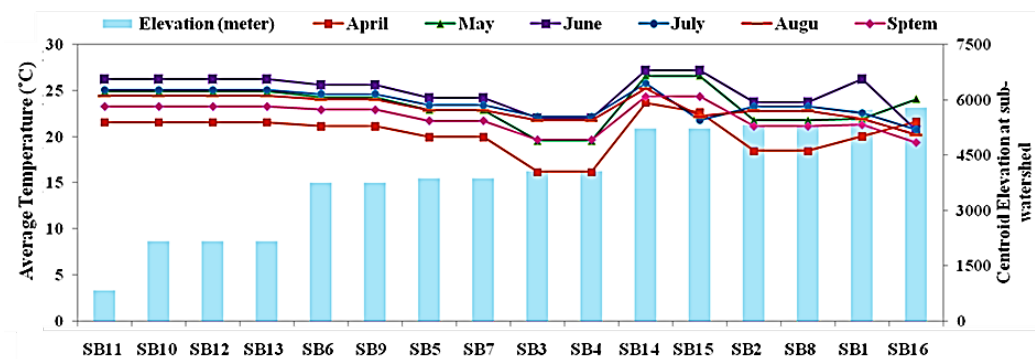


626 (a)



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628 (b)



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630 **Fig. 3:** Distribution of average temperature over the sub-watershed's centroid elevation (in chronological
 631 order); (a) winter season and (b) summer season.

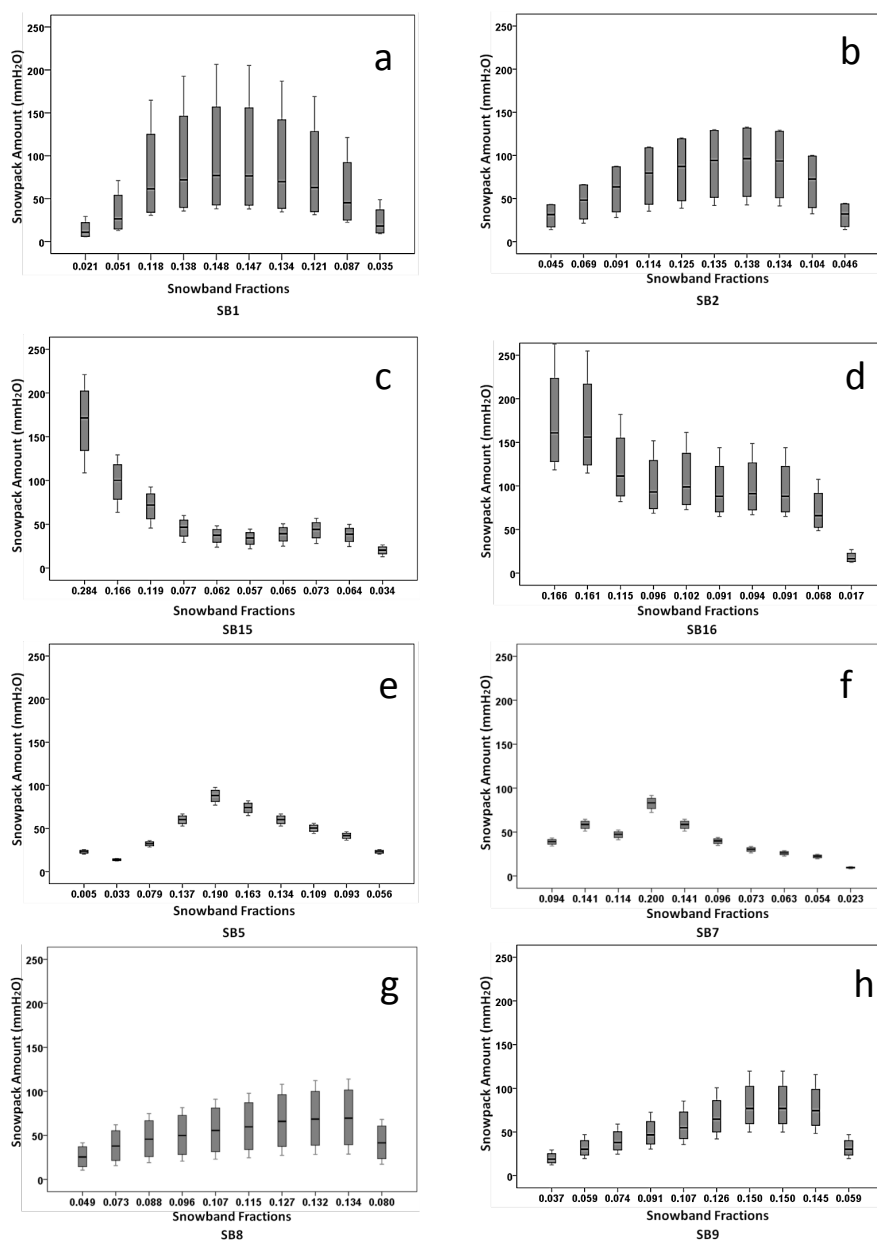
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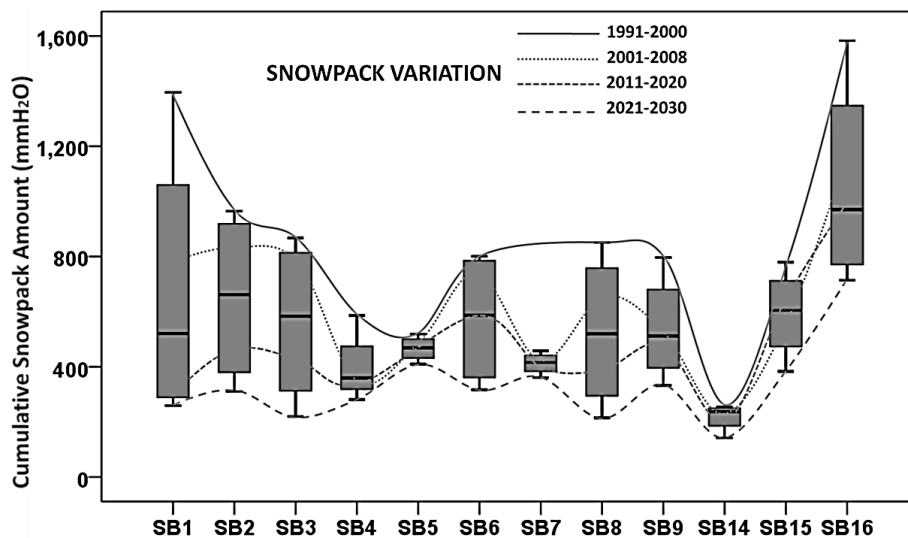
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638 **Figure 4:** (a-h) Sub-catchment snowpack variability (average annual) based on the fractional elevation
 639 bands in long term climate domain (1991-2030) and (b) Cumulative variability in snowpack amount over
 640 different sub-catchments of Satluj catchment in different temporal domains.

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643 **Figure 5:** Cumulative variability in snowpack amount over different sub-catchments of Satluj catchment

644 in different temporal domains.

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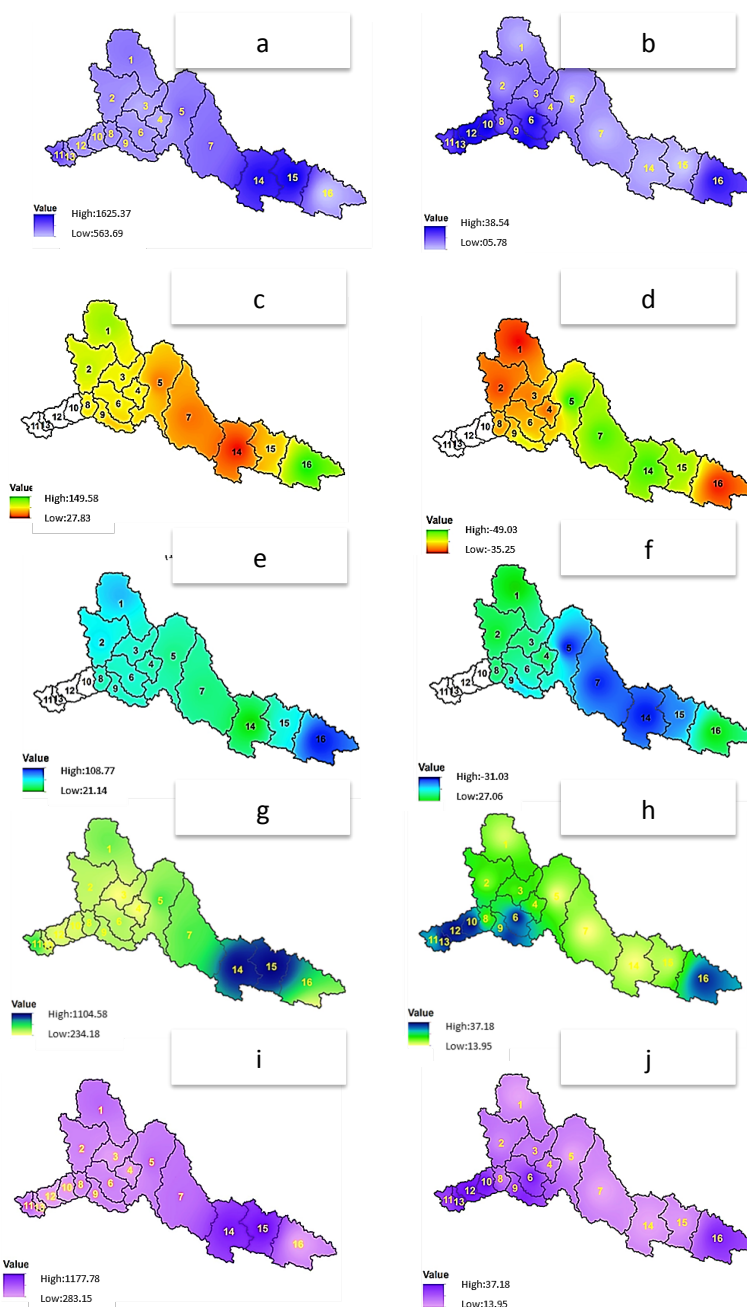
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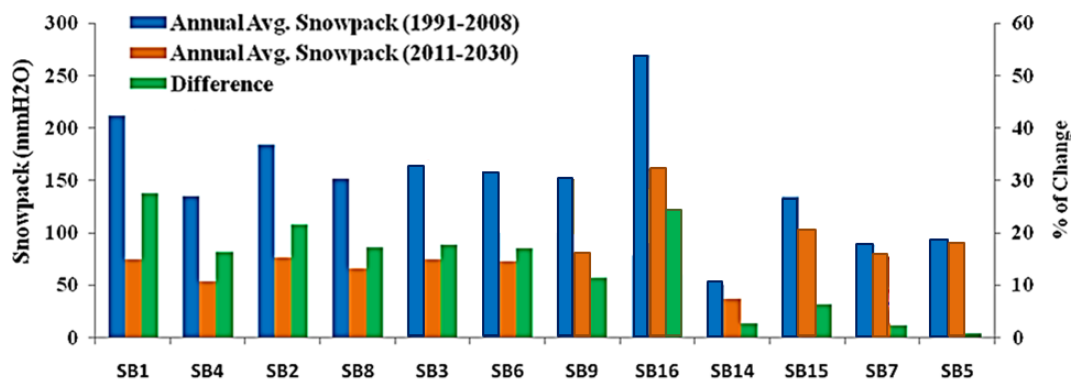
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659 and b) precipitation, (c and d) snowpack/snowfall, (e and f) snowmelt, (g and h) water yield (due to snow)
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662 **Fig. 7:** Percentage of change in snowpack amount (average annual) over different sub-catchments of

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