

Thanks for your answers to the reviewer comments. MAJOR revisions are needed for the manuscript to be suitable for publication within HESS.

Thank you for taking time to edit our manuscript entitled Analysing surface energy balance and partitioning over a semi-arid savanna FLUXNET site in Skukuza, Kruger National Park, South Africa. The authors have attempted to respond to the editor comments to the best of our ability, and hope the responses have strengthened the manuscript to be quality that is suitable for publication in HESS.

The authors have responded to the point by point comments as shown below:

- 1) Carefully revise the manuscript according to the responses given. In particular as was not entirely convinced by your argumentation regarding the importance of ground heat flux within the study. The uncertainties resulting from your assumptions would be elaborated more clearly.

Response: *Thank for your comment.*

The authors have revised the manuscript according to the responses given. The changes are highlighted on the edited manuscript.

The authors concur that although soil heat flux (G) is the smallest component of the surface energy balance (SEB), it plays a significant role in the SEB as it determines the amount of energy available for the turbulent fluxes. In some instances, G has been ignored based on the assumption that G is generally negligible at daily scales on the basis that the heat stored during the day is released during the night. Neglecting G in the surface energy balance, however, results in the overestimation of the available energy and higher energy balance ratio (EBR). A handful of studies have focused on G , including its measurement techniques, how it varies with soil temperature and moisture, and the role it plays on the surface energy balance (Heusinkveld et al. 2004; Russell et al. 2015; Santanello Jr & Friedl, 2003; Sauer & Horton, 2005; Yang & Wang, 2008).

Heat storage terms, which include soil and canopy heat storage, and energy storage by photosynthesis and respiration were not accounted for in our study. Neglecting the soil heat storage term has a significant effect on the surface energy balance, as the real value of G is a combination of the flux measured by the plate and the heat exchange between the ground and the depth of the plate. This results in the underestimation of G and hence to a systematic overestimation of the available energy and consequently to an underestimation of the EBR. Soil heat storage term varies with the depth of the soil heat flux plate, as demonstrated by Ochsner et al. (2006), who reported that at a depth of 1 cm, the maximum G is up to 13% less than the maximum surface value, and at 10 cm maximum G is up to 70% less than the surface value, so an exclusion of this term results in high error margin. Meyers and Tilden (2004) showed that the ground heat storage term was as high as 40 W/m² early in the day, which is a considerable amount to be ignored, and Heusinkveld et al. (2004) proved that failure to account for the storage term can cause errors of 10–200 W/m² for bare soils or under sparse vegetation. Liu et al. (2017) reported an increase in OLS slope of an average 8.8% and a mean daily EBR increase of 5% when the soil heat storage term was considered in their study.

Hence, a study that investigates the role of G and the different heat storage terms in the surface energy balance using this dataset would contribute to further understanding this component of the SEB in semi-arid savanna areas.

With this in mind, to address the editor and reviewers comments on the importance of G and uncertainties related with the exclusion of the soil heat storage term, the authors have:

- i. Highlighted the impact G has on the surface energy balance closure, as depicted by the energy balance ratio (EBR), by showing how the inclusion $((H+LE)/(Rn-G))$ and exclusion of $G ((H+LE)/Rn)$ varies the EBR values. This was done using the entire dataset, as well as on the day- night-time datasets.
- ii. Reviewed in detail the magnitude of error that might originate from the exclusion of the soil heat storage term in our study area based on different studies.

The above have been added to the manuscript:

Line299-330: Soil heat flux (G) plays a significant role in the surface energy balance as it determined how much energy is available for the turbulent fluxes, especially in areas with limited vegetation cover. Its exclusion in the surface energy balance results not only in the overestimation of the available energy, but also the overestimation of the EBR. Its exclusion in surface energy balance studies results not only in the overestimation of the available energy, but also the overestimation of the EBR. In this study, we examined how inclusion and exclusion of G impacts the surface energy balance closure. When G was excluded in the calculation, the multiyear EBR ranged between 0.73 and 1.07, with an annual mean EBR of 0.90 ± 0.11 , which is about 3 % lower than the initial EBR (0.93 ± 0.11). While the initial daytime EBR was 0.96, it was 0.87 when G was excluded, which is a decrease of approximately 10 %. The nighttime EBR was 0.13, as low as 50 % of the initial EBR (0.26), showing that G has greater significance on the surface energy balance at night. These results are in agreement with other studies, for instance, Ogee et al., (2001) showed that soil heat flux represents up to 50% of net radiation at midday and up to 80% during night-time. Stull (2012) also reported that during daytime G only accounts for 5-15% of net radiation, whereas at night, it is up to 50%.

While G is an important component of the SEB, our study ignored the different energy storage terms in determining the EBR, including the soil heat storage term. The exclusion of the soil heat storage term results in the underestimation of G , as the real value of G is a combination of the flux measured by the plate and the heat exchange between the ground and the depth of the plate. This in turn contributes to the overestimation of the available energy, which then lowers the EBC. Among other factors (vegetation cover, soil moisture and temperature), this storage term varies with the depth of the soil heat flux plate as demonstrated by Ochsner et al. (2006), who reported that at a depth of 1 cm, the maximum G is up to 13% less than the maximum surface value, and at 10 cm maximum G is up to 70% less than the surface value, thus its exclusion results in similar error margins in the EBC. As reported by different studies, the omission of the soil heat storage results in the underestimation of the energy EBC by up to 7%. For instance, Liu et al. (2017) reported an increase in OLS slope of an average 8.8% and a mean daily EBR increase of 5% when the soil heat storage term was considered in their study in the Taihu Lake region of the Southern China Plain. In their study in the three sites in the Badan Jaran desert, Li et al. (2014) analysed the effect of including soil heat storage derived by different methods in the energy balance closure; their EBR improved by between 1.5 % and 4 %. Zuo et al. (2011) reported an improvement of 6 to 7 % when they included the soil heat storage in their calculation of EBR, at the Semi-Arid Climate and Environment Observatory of Lan-Zhou University (SACOL) site in semi-arid grassland over the Loess Plateau of China. The improvement of the EBR in the study in a FLUXNET boreal site in

Finland by Sánchez et al. (2010) was shown to be 3 % when the soil heat storage was included, which increased to 6 % when other storage terms (canopy air) were taken into account.

2) A clear answer is expected if the dataset will/ is published or not. What is the strategy?

Response: *Thank for your comment.*

The dataset will be published with the manuscript. However, if it is used for any research purpose this publication must be cited.

3) I also expect that future responses to the reviewer comments are made in a more structured way. Normally, answers to the reviewer comments are highlighted. This is not the case with your responses, which makes the document hard to read.

Response: *Thank for your comment.*

The authors have tried to address the comments in a more structured way.

References

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Reviewer #1

General

The article presents an impressive multi-year dataset of energy fluxes over an under sampled part of the world. The focus is on the energy balance closure.

We thank the reviewer for his thorough and positive evaluation of this manuscript; his positive feedback has contributed to its improvement. Further analysis of G was done to investigate how it impacts the surface energy balance closure, as recommended by the reviewer. We hope that this effort will improve the manuscript, by strengthening the weak points highlighted by the Reviewer. We tried to respond to the comments of each reviewer with as much detail as possible to the best of our ability.

Major remarks

The article points out the difficulties of collecting valid data over long periods of time.

My first question is if the cleaning procedures may have introduced any biases? For example, periods with rainfall often produce problems with sonic anemometers. Could you comment on this?

Response: *Thank you for your comment.*

When measuring the different variables using the eddy covariance system, apart from instrument failure, instruments like the sonic anemometer and the net radiometer are affected by different phenomena, like rainfall events and wind gusts, resulting in faulty diagnostic signals, outliers and data gaps, which are sources of error and bias. Thus data cleaning, which involves screening, diagnosing and editing, of these half-hourly surface energy data, was done to reduce bias and error.

In our study we used the Amelia II software, an R-program designed to impute missing data using Expectation-Maximization with Bootstrapping (EMB) multiple imputation algorithm (Honaker et al., 2011). This program resamples the original dataset using bootstrapping, where it then imputes the missing data. The iterations done in this algorithm ensure that any bias is limited, if not completely eliminated.

The second is a pet peeve of mine and concerns the ground heat flux. If I understand correctly (the text is not so clear, see also below under minor remarks), you report EBR per half hour. Over the period of half an hour, ground heat flux can typically play an important role. Ground heat flux is also not very well captured with ground heat flux plates, which basically measure the temperature difference between the top and bottom of a piece of plastic in the ground. Even if the plates would work as intended, they are clearly biased as 2/3 of the plates are under canopies while only 30% of the area has a canopy. I don't ask for you to go back and repeat the measurements with better measurements of G but a critical discussion is needed. A simple way to get some idea is to compare half hourly results with daily averaged EBRs. G will generally be negligible at daily scales while it can easily make up 50% of the energy balance at a half hourly basis.

Response: *Thank you for the comment.*

The authors agree that soil heat flux plays a significant role on the surface energy balance, as it determines the amount of energy available for the turbulent fluxes. In this study, however, we did not do detailed investigation of the influence G has on the surface energy balance, as this would be a subject of study on its own, especially in this study area. We, hence, only highlighted the effect G has on the surface energy balance by calculating how the exclusion of G in the EBR computation $((H+LE)/R_n)$ affects the results compared with the initial EBR $((H+LE)/(R_n-G))$ values. The results reported as follows:

Line 300-305: Soil heat flux (G) plays a significant role in the surface energy balance as it determined how much energy is available for the turbulent fluxes, especially in areas with limited vegetation cover. In this study, we examined how G, i.e., its presence or absence, impacts on the EBR. Our results revealed a decrease of up to 7 %, with an annual mean of 3.13 ± 2.70 , in EBR when G was not included in the calculation. During the daytime, the absence of G resulted in a decrease of approximately 10 % of the initial EBR, while at night-time EBR was as low as 50 % of the initial EBR, showing that G has greater impact on the surface energy balance at night.

Also, the G used was a weighted mean of the three measurements to avoid any biases associated with the fact that 2/3 of the plates are under canopies while only 30% of the area is on bare ground.

Finally, the article would become ten times more valuable if you make the (cleaned?) dataset available online.

Response: *Noted, thank you.*

The issue of publishing this dataset will be discussed with all parties involved.

Minor remarks

Line 29: Winter & summer are not so obvious terms for people not familiar with Kruger National Park. Either use months or, my preference, talk about dry and wet, as you do later under 2.1.

Response: *Summer changed to wet, and winter changed to dry (Line 29, 30).*

Line 36: characterized by or rather correlated with?

Response: *Thank you, this has been changed (Line 37).*

Line 41: Is the heat stored in the ground not the ground heat flux G?

Response: *Thank you for your comment.*

The heat stored referred to in this context is the heat exchange between the ground and the depth of the plate, and not the flux measured by the soil heat flux plate.

Line 47: Potential evapotranspiration is a problematic term. Better use “reference evaporation”.

Response: *Changed, thank you (Line 48).*

Line 58: “measured” instead of “measurable”

Response: *Changed, thank you (Line 59).*

Line 92: Here you use Earth, elsewhere earth. I have no preference but best stick to one.

Response: *Noted, thank you.*

Line 117: canopies instead of canopiesa

Response: *Corrected, thank you (Line 122).*

Line 125: Did you use any software? Is code available?

Response: *The Eddysoft software was used to process the raw data (Line 129).*

Line 126: You state that all upward fluxes are positive but later you clearly change this in Equation 1 and also when you state that daytime Rn is positive.

Response: *The statement has been removed.*

Line 157: I surmised that you evaluated the dataset by looking at half hourly EBRs. The text here is, however, not very clear on that. Please make explicit.

Response: *The sentence now reads:*

Line 173: "...the half-hourly data were separated..."

Line 198: Is the 0.11 the standard deviation in the estimate of the mean? Or is it the standard deviation? Also, with EBR always being larger than zero, perfect at one, and not upwardly bounded, would a logarithmic averaging scheme not make more sense?

Response: *Thank you for your comment.*

± 0.11 is the standard deviation.

Our results show a few of the EBR values above 1, i.e. 2010-2012, December-February and September-November, and the 25 and 100 percentiles, and the rest of the values are below zero. This is in line with other studies that show that EBR is almost always less than 1, i.e. the measured available energy is larger than the sum of the measured turbulent fluxes, as shown by different studies (Chen et al., 2009; Were, Villagarcía, Domingo, Alados-Arboledas, & Puigdefábregas, 2007; Wilson et al., 2002; Xin & Liu, 2010; Yuling, 2005). These studies also alluded to the concern within the micrometeorological community that the turbulent fluxes ($LE + H$) are frequently (though not always) underestimated by about 10–30% relative to estimates of available energy ($Rn-G$), making the EBR less than one.

Lines 213 and further and in general throughout this part: You mix literature review with results. It is more common not to introduce too much additional information from outside the study past the introduction. Would probably be better to move this to intro (but don't make it too long!).

Response: *Thank you for your observation.*

The authors agree that literature is mixed with the results. The results section is combined with the discussion, hence the literature citations are found in this section.

Line 230 and further: The Results and discussion focus on EBR and other outcomes in a very descriptive way. Would be better to already include more physical insights here as to why you see what you see.

Response: *Thank you for your observation.*

The descriptive way shown here is the explanation of the results, since the Results and Discussion sections are combined.

Line 264: Why the hurry? Here also please expand on role of G as mentioned above.

Response: *Thank you for the comment.*

The authors have included how G, its inclusion and non-inclusion, impacts on the value of EBR. This was fully explained above.

Lines 334 and further: In general, there is a bit of a mix between the focus on EBR and the more general and the probably more interesting general interpretation of results. The article is built up around EBR and only towards the end do general energy & water availability considerations come up. Perhaps point to these earlier in the text. In any case, please shift the perspective from starting with other studies, such as by Gu et al., and comparing those with your results to a perspective that starts with your results and then compares those, preferably a bit more systematically, with other studies.

Response: *Thank you for the observation.*

The authors would like to point out that this study focuses on two issues, i.e. the energy balance closure first, then how the available energy is partitioned over time in this ecosystem,

based on the climate conditions in the region, particularly, precipitation (a proxy of soil water availability), VPD and Rn impact on this partitioning.

References

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Reviewer #2

We thank the Reviewer for the positive revision of this manuscript, and for contributing to its improvement. According to their general comments, we added a discussion on the impact the exclusion of the soil heat storage term has on the surface energy balance. We tried to answer every comment in detail.

General comments

The authors evaluated a 15-year EC data record of a savanna FLUXNET site in Kruger National Park. This is a great and unique dataset. The authors focus in their analysis on the surface energy balance closure and energy partitioning. The topic fits very well into the scope of HESS, and the dataset will be interesting for a broad readership of HESS. The dataset is carefully evaluated for several aspects. The authors give interesting insight to technical problems that showed up over the 15 years, and they analyzed, among others, the effect of the season as well as the friction velocity on the EBR.

My major concern is related to the measurement of the ground heat flux.

Firstly, important information is missing. As far as I got it, the authors did not determine the heat storage change in the layer above the heat flux plate (HFP). Please state this clearly in the Material and Method (MM) part.

Response: Thank you for your comment.

The authors have included this information.

Line 169-171: "We did not account for the heat storage terms in the EBR, including soil and canopy heat storage, and energy storage by photosynthesis and respiration, in this study. The significance of neglecting these storage terms will be discussed."

Moreover, it remains unclear how the three HFP readings were averaged. Two were installed under tree canopies and one at open space. How did you compute the mean ground heat flux representative for the footprint? Did you compute a weighted mean or did you compute simply the mean over the three plates. In the latter case the ground heat flux would be systematically underestimated, because the areal fraction of tree canopy is only 30%.

Response: Thank you for your comment.

The soil heat flux for the site was computed as a weighted mean of the three measurements, and this information has been included:

Line 149-151: "Soil heat flux was then computed as a weighted mean of the three measurements, i.e., two taken under tree canopies and one on open space."

Secondly, in general neglecting the heat storage term must result to a certain extent in a systematic underestimation of the ground heat flux and hence to a systematic overestimation of the available energy and consequently to an underestimation of the EBR. From own measurements (HFP were installed in 8 cm depth) I know that this storage term can reach at unshaded surfaces 50 to 100 W/m². Please discuss in detail the magnitude of error that might originate from your methodological approach.

Response: Thank you for your comment.

In this study, the authors did not consider the heat storage terms, and have included this information in the methodology (see above response). Furthermore, we have included a discussion on the expected error that could result for this omission as suggested by the Reviewer.

Line 304-317: While G plays a significant role on the surface energy balance closure, our study ignored the different energy storage terms in determining the EBR, including the soil heat storage term. The exclusion of this storage term results in the underestimation of G, as the real value of G is a combination of the flux measured by the plate and the heat exchange between the ground and the depth of the plate. This in turn contributes to overestimating the available energy, which then lowers the EBC. As reported by different studies, the omission of the soil

heat storage results in the underestimation of the energy EBC by up to 7 %. For instance, Zuo et al. (2011) reported an improvement of 6 to 7 % when they included the soil heat storage in their calculation of EBR, at the Semi-Arid Climate and Environment Observatory of Lan-Zhou University (SACOL) site in semi-arid grassland over the Loess Plateau of China. In their study in the three sites in the Badan Jaran desert, Li, Liu, Wang, Miao, and Chen (2014) analysed the effect of including soil heat storage derived by different methods in the energy balance closure; their EBR improved by between 1.5 % and 4 %. The improvement of the EBR in the study in a FLUXNET boreal site in Finland by Sánchez, Caselles, and Rubio (2010) was shown to be 3 % when the soil heat storage was included, which increased to 6 % when other storage terms (canopy air) were taken into account.

Moreover, I wondered why the authors do not give any information on monthly or annual evapotranspiration rates (in mm). With that information one could get a guess of the climatic water balance of that ecosystem. I think this would be very interesting for the reader and would further strengthen the manuscript.

Response: Thank you for the comment.

This manuscript focuses on the surface energy balance and how solar radiation is partitioned in this savanna site. The evapotranspiration part has been covered in a different manuscript.

Specific comments

Line 132: Please state which software tool (e.g. TK3 or EddyPro) was used to process the EC raw data.

Response: Thank you for your comment. The information was added:

Line 129-130: "The Eddysoft software was used to process the raw data collected from the eddy covariance system (Kolle & Rebmann, 2007)."

Line 135: How did you detect outliers? Please explain!

Response: Thank you for your comment. The information was added:

Line 144-145: "The data outliers were detected using the outlier detection procedure found in the Statistica software."

Line 170: The intention of Figure 1 is to show temperature, VPD and rainfall anomalies between the years. I think this way of displaying the data is not really optimal for this purpose. The authors should think about a better way to present these anomalies. One way could be to compute for every month the difference from the 15- year mean and list these differences in a table (rows: month; column: years). Months, for example, that were warmer than the 15-year average get a red color, months that were colder get a blue color. The larger the difference the more intensive the red and blue color.

Response: Thank you for your comment.

Figure 1 has been redone as shown below.

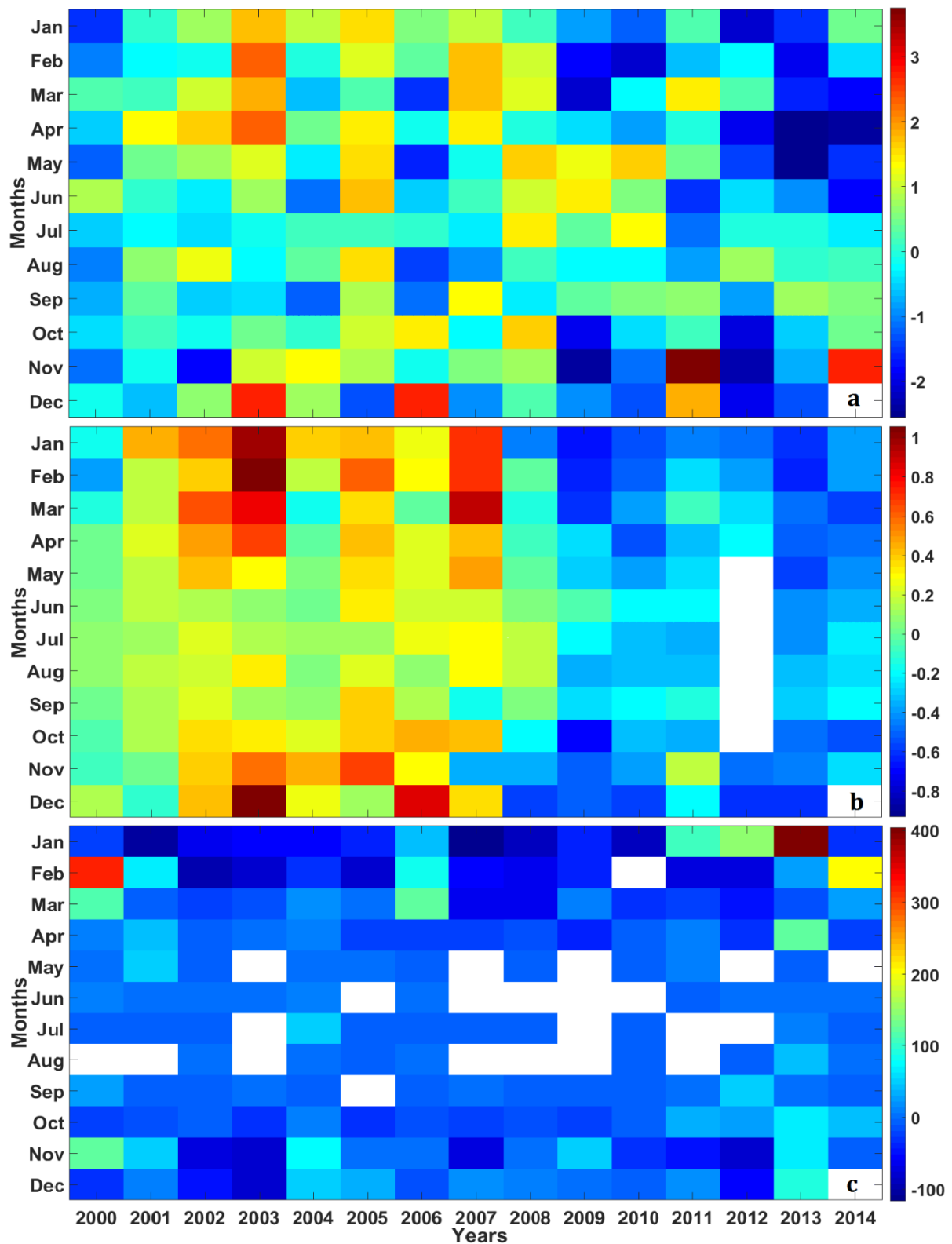


Figure 1: Summary of mean monthly anomalies (a) air temperature, (b) VPD, and (c) rainfall from 2000 to 2014

Line 198: This is a little bit data cosmetic. The very good EBR is achieved thanks to the really bad year 2013, which had an EBR of 3.76. If you remove this year as outlier the mean EBR reduces to 0.77. I suggest that the authors start this chapter with explaining the technical problems that showed up over

the years with the very low EBR and the extremely high EBR in 2013. And after that the authors should refer only to the years with no data or technical issues.

Response: Thank you for your comment.

The authors first reported the yearly EBR, as well as the mean multiyear EBR of all years, including those with low quality data. Here we also explained the technical problems that resulted in the low EBR. Thereafter, we stated that further analysis excluded the years with low quality data (Line 232-233).

Figure 2: In the OLS approach, the dependent variable (turbulent fluxes) is plotted against the independently derived available energy. See for example Wilson et al. (2002). If you plot it the other way round, as you did, the slope of the regression does not fit to the EBR. If the EBR is below one then the slope must also be below one. In the year 2007, for example, the EBR is 0.44 but the slope is 1.46. That does not fit together. Moreover, if you use the turbulent flux in a regression as independent variable your statistical model assumes that this variable has no error. Please correct everywhere the figures and update the numbers for slopes and intercepts!

Response: Thank you for your comment.

The authors have rectified this on Figures 2 to 5.

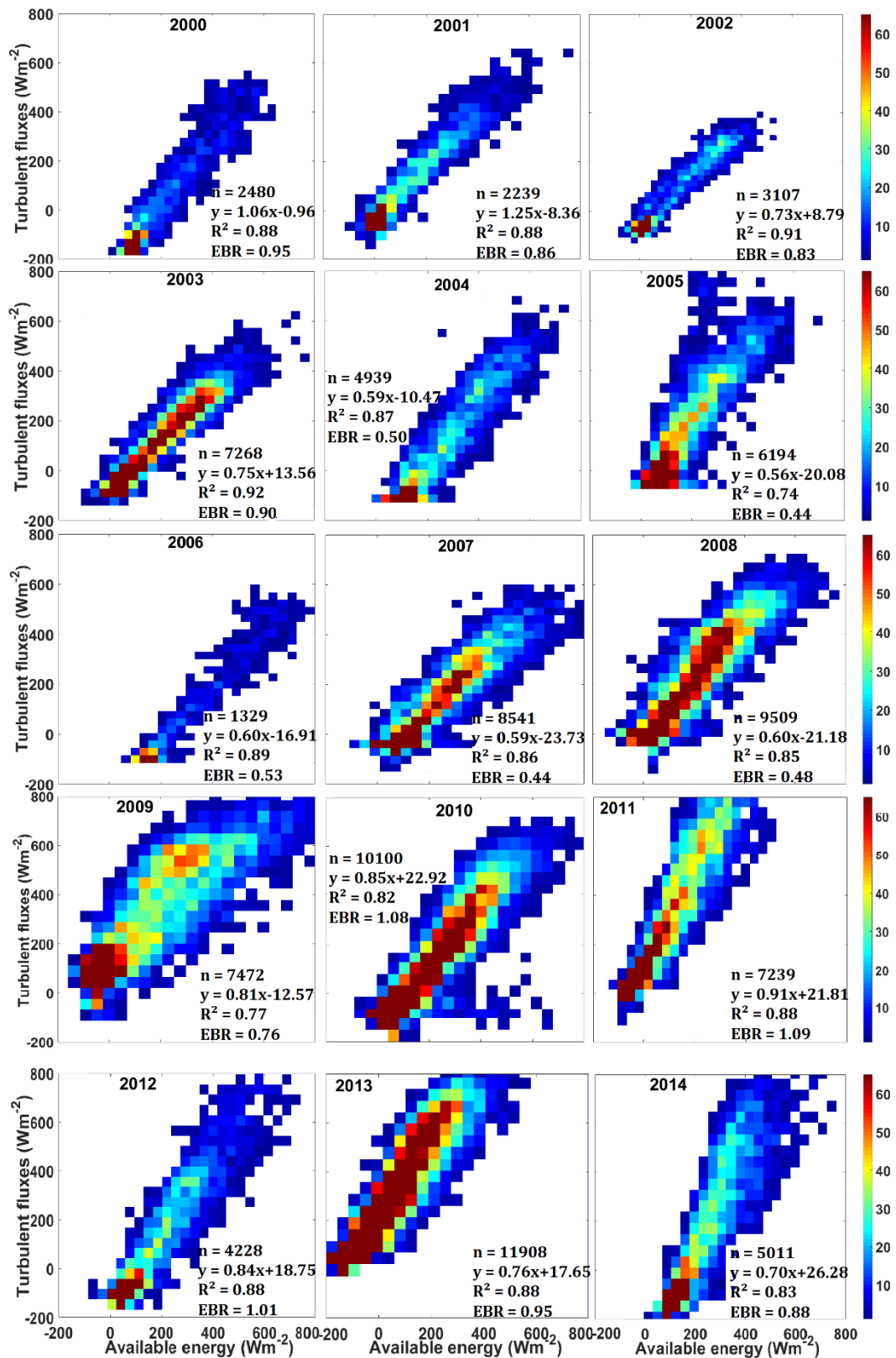


Figure 2: 15-year series of annual regression analysis of turbulent (sensible and latent) heat fluxes against available energy (net radiation minus ground heat flux) from 2000 to 2014 at Skukuza, (SA). The colour bars represent the count of EBR values.

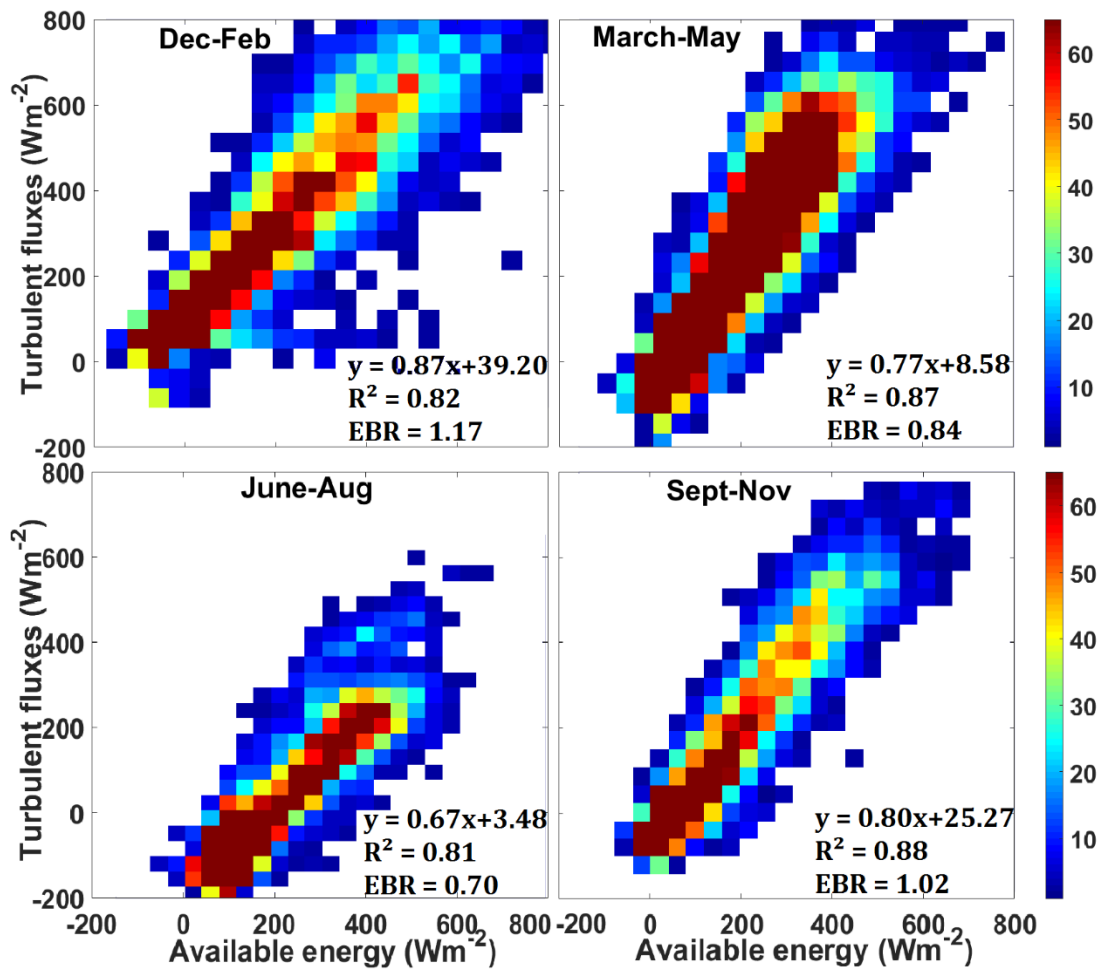


Figure 3: Seasonal turbulent fluxes (H+LE) correlation to available energy (Rn-G) for Skukuza flux tower from summer (Dec-Feb), autumn (March-May), winter (June-Aug), spring (Sept-Nov). The colour bars represent the count of EBR values

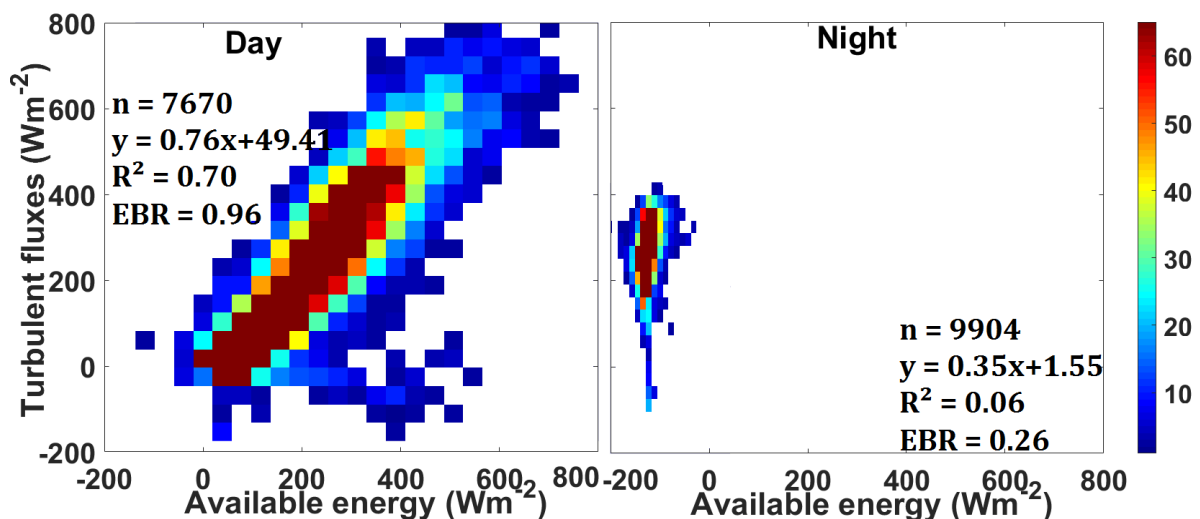


Figure 4: Turbulent fluxes correlation to available energy for daytime (a) and night-time (b), using the full (2000-2014) 15-year available data series. The colour bars represent the count of EBR values

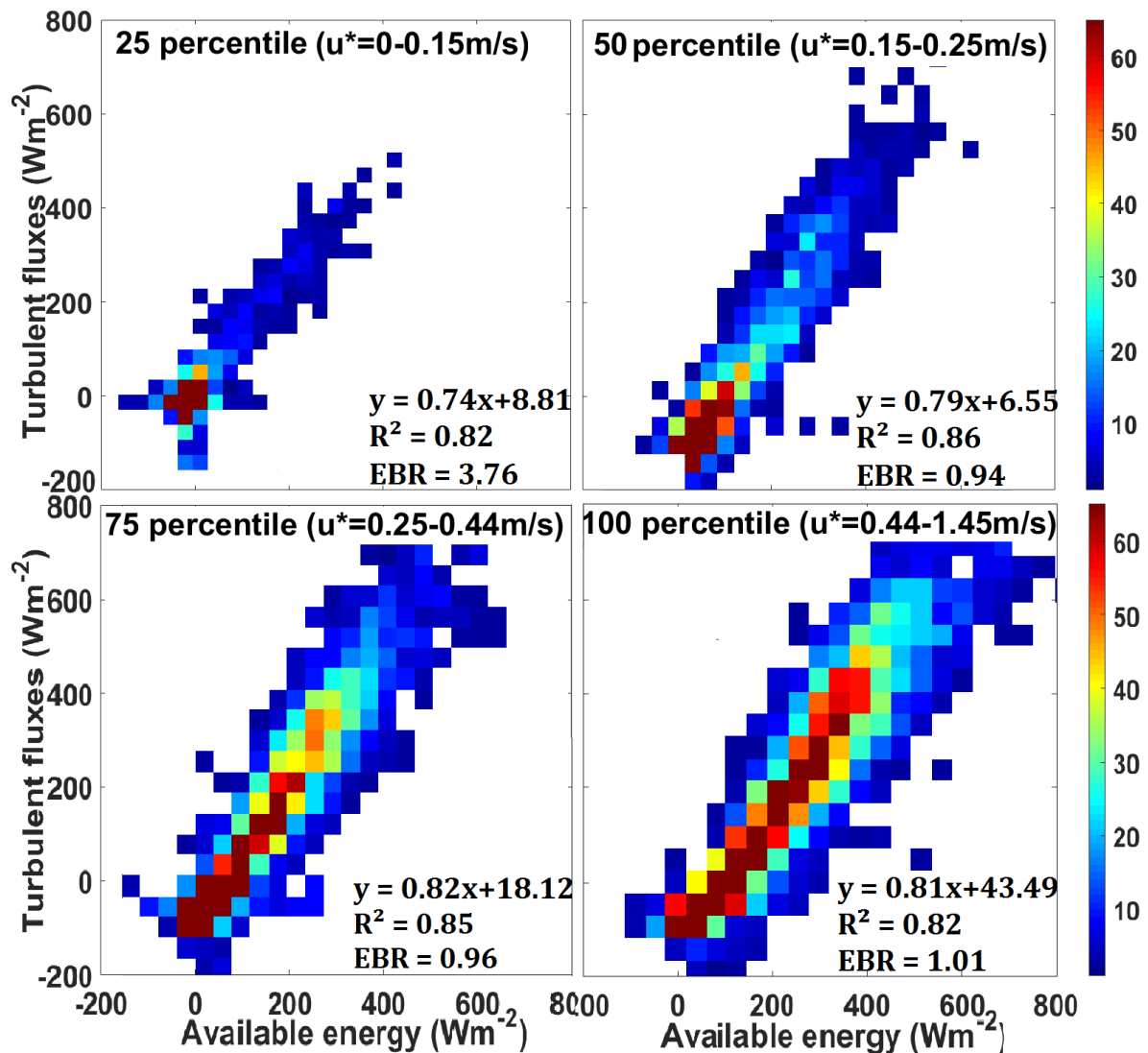


Figure 5: OLS and EBR evaluations at different friction velocity sorted at four quartiles. The colour bar represents the count of EBR values. The colour bars represent the count of EBR values.

Line 205: Here it would be important for the reader to know how you modeled the incoming and outgoing longwave radiation, so that they can avoid this mistake in future. Please describe this model in more detail.

Response: Thank you for your comment.

The authors do not have the detailed information on the methodology used to model incoming and outgoing longwave radiation during the 2004-2008 period. However, different methods that model net radiation from climatic variables (Irmak, Mutiibwa, & Payero, 2011; Ortega-Farias, Antonioletti, & Olioso, 2000; Sabziparvar & Mirgaloybayat, 2015) and remote sensing based methods (Kjaersgaard et al., 2009; Samani, Bawazir, Bleiweiss, Skaggs, & Tran, 2007; Sun et al., 2013; Wu et al., 2017) have been developed. It would also be of interest to evaluate these models using the Skukuza eddy covariance data, as an extension to this study.

Technical comments

Line 28: Avoid the wording bad and good data. Please use instead e.g. low- and high quality data

Response: Corrected, thank you.

Line 40: I would not count energy stored in ground as a minor flux term (see above). Please rephrase.

Response: The sentence has been rephrased as:

Line 42: "...heat stored by the canopy, the ground and energy storage terms by photosynthesis."

Line 83: If you start the sentence with first I expect that there comes a second item.

Response: The succeeding sentence was started as follows:

Line 87: "Then, we examined how the surface energy partitioning...."

Line 150: Replace "incorrect assumption" with "simplification".

Response: Corrected, Line 163.

Line 148: Introduce here the symbol "R2".

Response: Done (Line 161), thank you.

Line 158: Rewrite "4" in "four".

Response: Done (Line 173), thank you.

Line 224: Here it is unclear which storage term was included by Sanchez et al. (2010). Please rewrite!

Response: Thank you for your comment.

This reference has been moved to the section which explains the effect of including storage terms in the EBR.

Table 1: Why clayey? In the MM part you write that the texture ranged from sand to loamy sand. Please check!

Response: Thank you for your comment.

The soil type has been removed in Table 1 to avoid confusion.

Table 1: Campbell Scientific is not the manufacturer of the HFP. The manufacturer is Huskeflux. Please correct that and mention whether you used self-calibrating plates or not.

Response: We used the HFT3 soil heat flux plate, which was manufactured by Campbell Scientific, not the HFP soil heat flux plate, a product of Huskeflux.

Table 1: Beside the wind speed the anemometer measures also the sonic temperature. Please add this variable to the list.

Response: Added, thank you.

Fig. 2, 3 etc.: Please mention in the MM part which software you used to create these graphs.

Response: Mentioned, thank you.

Line 498: Replace "ground conduction heat" with "ground heat flux"

Response: Corrected, thank you.

Line 239: Typo: "if" not "It"

Response: Edited, thank you.

Line 257-258: Please rewrite this sentence. This sentence is unreadable.

Response: Edited, thank you.

Line 282-283: To understand the effect of friction velocity on the energy balance closure, surface energy data which had corresponding friction velocity (u^*) data, were analysed.

Line 323: From here on the numbering of the figures is wrong. In this line, for example, you refer to Fig. 8 not to Fig. 9.

Response: Corrected, thank you.

References

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Analysing surface energy balance closure and partitioning over a semi-arid savanna FLUXNET site in Skukuza, Kruger National Park, South Africa

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Abstract

Flux towers provide essential terrestrial climate, water and radiation budget information needed for environmental monitoring and evaluation of climate change impacts on ecosystems and society in general. They are also intended for calibration and validation of satellite-based earth observation and monitoring efforts, such as assessment of evapotranspiration from land and vegetation surfaces using surface energy balance approaches.

In this paper, 15 years of Skukuza eddy covariance data, i.e. from 2000 to 2014, were analysed for surface energy balance closure (EBC) and partitioning. The surface energy balance closure was evaluated using the ordinary least squares regression (OLS) of turbulent energy fluxes (sensible (H) and latent heat (LE)) against available energy (net radiation (Rn) less soil heat (G)), and the energy balance ratio (EBR). Partitioning of the surface energy during the wet and dry seasons was also investigated, as well as how it is affected by atmospheric vapor pressure deficit (VPD), and net radiation.

After filtering years with ~~bad-low quality~~ data (2004-2008), our results show an overall mean EBR of 0.93. Seasonal variations of EBR also showed ~~summer-wet with 1.17~~ and spring (1.02) ~~being~~ closest to unity, with ~~winter-dry~~ (0.70) having the ~~highest imbalance~~. Nocturnal surface energy closure was very low at 0.26, and this was linked to low friction velocity during night-time, with results showing an increase in closure with increase in friction velocity.

The surface energy partitioning of this savanna ecosystem showed that sensible heat flux dominated the energy partitioning between March and October, followed by latent heat flux, and lastly the soil heat flux, and during the wet season where latent heat flux dominated sensible heat flux. An increase in net radiation was characterized by an increase in both LE and H, with LE showing a higher rate of increase than H in the wet season, and the reverse happening during the dry season. An increase in VPD is ~~correlated with~~ a decrease in LE and increase in H during the wet season, and an increase of both fluxes during the dry season.

1. Introduction

Net solar radiation (Rn) reaching the earth's surface determines the amount of energy available for latent (LE), sensible (H) and soil (G) heat fluxes, and heat stored by the canopy, the ground ~~and energy storage terms by~~ ~~photosynthesis~~. Energy partitioning on the earth's surface is a function of interactions between biogeochemical cycling, plant physiology, the state of the atmospheric boundary layer and climate (Wilson et al., 2002). How the turbulent fluxes (H and LE) are partitioned in an ecosystem plays a critical role in determining the hydrological cycle, boundary layer development, weather and climate (Falge et al., 2005). Understanding the partitioning of energy, particularly the turbulent fluxes, is important for water resource management in (semi) arid regions, where ~~potential-reference~~ evapotranspiration far exceeds precipitation.

49 Eddy covariance (EC) systems are currently the most reliable method for measuring carbon, energy and
50 water fluxes, and they have become a standard technique in the study of surface-atmosphere boundary layer
51 interactions. They provide a distinct contribution to the study of environmental, biological and climatological
52 controls of the net surface exchanges between the land surface (including vegetation) and the atmosphere
53 (Aubinet, et al., 1999; Baldocchi et al., 2001). The accuracy of these data is very important because they are used
54 to validate and assess performance of land surface and climate models. However, the EC techniques have
55 limitations in terms of data processing and quality control methods, especially under complex conditions (e.g.,
56 unfavorable weather, such as high turbulence and low wind speed, and heterogeneous topography). In EC
57 measurements, the ideal situation is that available energy, i.e. net radiation minus soil heat flux is equal to the sum
58 of the turbulent fluxes ($R_n - G = LE + H$); however, in most instances, the measured available energy is larger than
59 the sum of the ~~measurable-measured~~ turbulent fluxes of sensible heat and latent heat. Extensive research on the
60 issue of surface energy imbalance in EC observations has been done (Barr et al., 2012; Chen et al., 2009; Foken
61 et al., 2010; Franssen et al., 2010; Mauder et al., 2007), and closure error (or imbalance) has been documented to
62 be around 10-30 % (Wilson et al., (2002); von Randow et al., (2004); Sanchez et al., (2010)).

63 Causes for non-closure, as extensively discussed, include unaccounted soil and canopy heat storage
64 terms, non-inclusion of the low and high frequency turbulence in the computation of the turbulent fluxes, land
65 surface heterogeneities, systematic measurement and sampling errors. This imbalance has implications on how
66 energy flux measurements should be interpreted and how these estimates should be compared with model
67 simulations. The surface energy balance closure is an accepted performance criterion of EC flux data (Twine et
68 al., 2000; Wilson et al., 2002), and different methods have been used to assess the energy closure and partitioning,
69 including ordinary least squares regression (OLS) method, i.e. a plot of turbulence fluxes ($H + LE$) against available
70 energy ($R_n - G$), the residual method, i.e. $R_n - G - H - LE$, and the energy balance ratio, i.e. $(H + LE) / (R_n - G)$.

71 Several researchers have investigated surface energy partitioning and energy balance closure for different
72 ecosystems, including savannas. Bagayoko et al. (2007) examined the seasonal variation of the energy balance in
73 West African savannas, and noted that latent heat flux played a major role in the wet season, whereas sensible
74 heat flux was significant in the dry season. In the grassland Mongolian Plateau, Li et al. (2006) concluded that
75 sensible heat flux dominated the energy partitioning, followed by ground heat flux, with the rainy season showing
76 slight increase in latent heat flux. Gu et al. (2006) used different ratios (Bowen ratio, G/R_n , H/R_n and LE/R_n) to
77 investigate surface energy exchange in the Tibetan Plateau, and showed that during the vegetation growth period,
78 LE was higher than H , and this was reversed during the post-growth period.

79 Research using the Skukuza EC system data has focused mainly on the carbon exchange, fire regimes, and
80 in global analysis of the energy balance (Archibald et al., 2009; Kutsch et al., 2008; Williams et al., 2009).
81 However, there has been no investigation of surface energy partitioning and energy balance closure in this
82 ecosystem. In this study, we examined the surface energy balance partitioning into soil heat conduction,
83 convection (sensible) and latent heat components and its energy balance closure using 15 years (2000-2014) of
84 eddy covariance data from the Skukuza flux tower.

85 First, a multi-year surface energy balance closure (EBC) analysis was done, including the seasonal and day-
86 night EBC evaluations, role of G on EBC, and an assessment of its error sources. This included investigating how
87 friction velocity affects the closure, and its link to low nighttime EBC. Then, we examined how the surface energy
88 partitioning varies with time in this ecosystem, based on the weather conditions in the region, particularly, in

89 [relation to water availability \(precipitation\) and vegetation dynamics. The effect of VPD and Rn on the energy](#)
90 [partitioning between turbulent fluxes](#) during the wet and dry seasons was [also examined. Through this study, we](#)
91 [expect to contribute to existing literature on the surface energy balance closure and partitioning, especially in](#)
92 [semi-arid savanna areas.](#)

94 2. Materials and methods

95 2.1. Site description

96 The Skukuza flux tower (25.02°S, 31.50°E) was established early 2000 as part of the SAFARI 2000 campaign
97 and experiment, set up to understand the interactions between the atmosphere and the land surface in Southern
98 Africa by connecting ground data of carbon, water, and energy fluxes with remote sensing data generated by Earth
99 observing satellites (Scholes et al., 2001; Shugart et al., 2004).

100 The site is located in the Kruger National Park (South Africa) at 365 m above sea level, and receives 550
101 \pm 160 mm precipitation per annum between November and April, with significant inter-annual variability. The
102 year is divided into a hot, wet growing season and a warm, dry non-growing season. The soils are generally
103 shallow, with coarse sandy to sandy loam textures (about 65 % sand, 30 % clay and 5% silt). The area is
104 characterized by a catenal pattern of soils and vegetation, with broad-leaved *Combretum* savanna on the crests
105 dominated by the small trees (*Combretum apiculatum*), and fine-leaved *Acacia* savanna in the valleys dominated
106 by *Acacia nigrescens* (Scholes et al., 1999). The vegetation is mainly open woodland, with approximately 30 %
107 tree canopy cover of mixed *Acacia* and *Combretum* savanna types. Tree canopy height is 5–8 m with occasional
108 trees (mostly *Sclerocarya birrea*) reaching 10 m. The grassy and herbaceous understory comprises grasses such
109 as *Panicum maximum*, *Digitaria eriantha*, *Eragrostis rigidior*, and *Pogonarthria squarrosa*.

111 2.1.1. Eddy covariance system

112 Since 2000, ecosystem-level fluxes of water, heat and carbon dioxide are measured using an eddy covariance
113 system mounted at 16 m height of the 22 m high flux tower. The measurements taken and the instruments used
114 are summarized in Table 1.

115 (Table 1)

116 From 2000 to 2005, H and LE were derived from a closed-path CO₂/H₂O monitoring system, which was replaced
117 by the open-path gas analyzer in 2006. Also, from 2000 to 2008, incident and reflected shortwave radiation (i.e.
118 300–1100 nm, Wm⁻²), incident and reflected near-infrared (600–1100 nm, Wm⁻²) and incoming and emitted
119 longwave radiation (>3.0 μ m, Wm⁻²) measurements were made using a two-component net radiometer (Model
120 CNR 2: Kipp & Zonen, Delft, The Netherlands) at 20 s intervals and then recorded in the data-logger as 30 min
121 averages; this was replaced with the Kipp & Zonen NRIlite net radiometer in 2009. Soil heat flux is measured
122 using the HFT3 plates (Campbell Scientific) installed at 5 cm below the surface at three locations, two under tree
123 canopies and one between canopies.

124 Ancillary meteorological measurements include air temperature and relative humidity, also measured at
125 16 m height, using a Campbell Scientific HMP50 probe; precipitation at the top of the tower using a Texas
126 TR525M tipping bucket rain gauge; wind speed and direction using a Climatronics Wind Sensor; and soil
127 temperature using Campbell Scientific 107 soil temperature probe.

129 **2.1.2. Data pre-processing**

130 The Eddysoft software was used to process the raw data collected from the eddy covariance system (Kolle &
131 Rebmann, 2007). Post-processing of the raw high frequency (10 Hz) data for calculation of half-hour periods of
132 the turbulent fluxes and CO₂ (F_c; g CO₂ m⁻² time⁻¹) involved standard spike filtering, planar rotation of velocities
133 and lag correction to CO₂ and q (Aubinet et al., 1999; Wilczak et al., 2001). Frequency response correction of
134 some of the energy lost due to instrument separation, tube attenuation, and gas analyzer response for LE and F_c
135 was performed with empirical co-spectral adjustment to match the H co-spectrum (Eugster and Senn, 1995; Su et
136 al., 2004).

137
138 **2.2. Data analysis**

139 Half-hourly measurements of eddy covariance and climatological data from 2000 to 2014 were used to assess
140 surface energy partitioning and closure. When measuring the different variables, instruments like the sonic
141 anemometer and the net radiometer are affected by different phenomena, like rainfall events and wind gusts,
142 resulting in faulty diagnostic signals, outliers and data gaps, which are sources of error and bias. Thus cleaning,
143 which involved screening, diagnosing and editing, of these half-hourly surface energy data, which was done to
144 reduce bias and error, rejected i) data from periods of sensor malfunction (i.e. when there was a faulty diagnostic
145 signal), (ii) incomplete 30-minute datasets of Rn, G, LE and H, and iii) outliers. The data outliers were detected
146 using the outlier detection procedure found in the Statistica software. After data screening, flux data with non-
147 missing values of Rn, G, LE and H data were arranged according to monthly and seasonal periods (summer
148 (December – February), autumn (March – May), winter (June – August), and spring (September – November)),
149 as well as into daytime and nighttime. To be used in this study, soil heat flux was computed as a weighted mean
150 of the three measurements, i.e., two taken under tree canopies and one on open space.

151
152 **2.2.1. Surface energy balance assessment**

153 The law of conservation of energy states that energy can neither be created nor destroyed, but is transformed from
154 one form to another, hence the ideal surface energy balance equation is written as:

$$155 \quad Rn - G = H + LE \quad (1)$$

156 Energy imbalance occurs when both sides of the equation do not balance. The energy balance closure was
157 evaluated at different levels, i.e. multi-year, seasonal, and day/ night periods (the assumption being that daytime
158 has positive Rn and nighttime has negative Rn), using two methods, i.e.

159 i) The ordinary least squares method (OLS), which is the regression between turbulent fluxes and available
160 energy.

161 Ideal closure is when the intercept is zero and slope and the coefficient of determination (R²) are one. An
162 assumption is made using this method, that there are no random errors in the independent variables, i.e. Rn and
163 G, which of course is an incorrect assumption a simplification.

164 ii) The energy balance ratio (EBR), which is ratio of the sum of turbulent fluxes to the available energy,
165 $\Sigma(LE + H)/\Sigma(Rn - G)$.

166 The EBR gives an overall evaluation of energy balance closure at longer time scales by averaging over errors in
167 the half-hour measurements; and the ideal closure is 1. EBR has the potential to remove biases in the half-hourly
168 data, such as the tendency to overestimate positive fluxes during the day and underestimate negative fluxes at

169 night. [We did not account for the heat storage terms in the EBR, including soil and canopy heat storage, and](#)
170 [energy storage by photosynthesis and respiration, in this study. The significance and uncertainty associated with](#)
171 [neglecting particularly the soil heat storage term will be discussed.](#)

172 To investigate the effect of friction velocity on EBR and how it is related to time of day, using friction
173 velocity, the [half-hourly](#) data were separated into [four](#) 25-percentiles, and the EBR and OLS evaluated. [Matlab](#)
174 [was used to create the graphs.](#)

176 2.2.2. Analyzing surface energy partitioning

177 To evaluate solar radiation variation and partitioning into latent and sensible heat fluxes in this biome, EC surface
178 energy data from 2000 to 2014 were used. [Violations in micrometeorological assumptions, instrument](#)
179 [malfunction and poor weather result in a proportion of the data being rejected. Yet, our aim was to construct](#)
180 [continuous records of half-hourly fluxes measured by eddy covariance and compute monthly, seasonal and annual](#)
181 [sums of surface energy fluxes. To fill the gaps in our dataset, we used the Amelia II software, an R-program](#)
182 [designed to impute missing data using Expectation-Maximization with Bootstrapping \(EMB\) multiple imputation](#)
183 [algorithm \(Honaker et al., 2011\). The original dataset is resampled using bootstrapping, after which the missing](#)
184 [data values are imputed using Expectation-Maximization algorithm. Each complete imputed dataset is in such a](#)
185 [way that the observed values are the same as those in the original data set; only the missing values are different.](#)

186 The minimum, maximum and mean statistics of Rn, H, LE and G were then estimated. The monthly and
187 seasonal trends of energy partitioning were assessed, [and how each component is affected by vegetation dynamics](#)
188 [at the site.](#) Surface energy partitioning was also characterized as a direct function of vapor pressure deficit (VPD)
189 and Rn during the wet and dry seasons, [following Gu et al. \(2006\).](#)

190 3. Results and Discussion

192 3.1. Meteorological conditions

193 Fig 1 shows the 15-year [average daily mean monthly anomalies of air](#) temperature, VPD and rainfall totals at the
194 Skukuza flux tower [site](#). The annual average temperatures over the 15-year period ranged between 21.13°C in
195 2012 and 23.23 °C in 2003, with a 15-year average temperature of 22.9 °C. While 2003 was the hottest year, it
196 was also the driest year, with annual rainfall of 273.6 mm, with 2002 also recording very low rainfall of 325.4
197 mm, both receiving rainfall amounts below the recorded mean annual rainfall of 550±160 mm. The wettest years
198 were 2013, 2000, 2014 and 2004 which received 1414, 1115.6, 1010.2 and 1005.7 mm, respectively. 2007 and
199 2008 had incomplete rainfall data records to assess their annuals. The annual daily average VPD was between
200 0.024 and 4.03 kPa, with an overall average of 1.28 ± 0.62 kPa. The daily average VPD decreased with rainy
201 days, and showed an increase during rain-free days. The wet years, i.e. 2000, 2013 and 2014 had low annual
202 average VPD of 1.98, 1.34 and 1.83 kPa, respectively, whereas the drought years exhibited high VPDs with 2002
203 and 2003 with 2.77 and 2.97 kPa, respectively. The long-term weather records are comparable with the 1912 –
204 2001 and 1960 – 1999 climate analysis for the same area as reported by Kruger et al. (2002) and Scholes et al.
205 (2001), showing a mean annual total precipitation of 547.1 mm and air temperature of 21.9 °C. The low rainfall
206 during 2000-2003 seasons was also reported by Kutch et al. (2008), who were investigating the connection
207 between water relations and carbon fluxes during the mentioned period.

208 **(Figure 1)**

210 3.2. Surface energy balance assessment

211 Data completeness varied largely 7.59 % (2006) and 67.97 % (2013), with a mean of 34.84 %. The variation in
212 data completeness is due to a number of factors including instrument failures, changes and (re)calibration, and
213 poor weather conditions.

215 3.2.1. Multi-year analysis of surface energy balance closure

216 Fig 2 summarizes results of the multi-year energy balance closure analysis for the Skukuza eddy covariance
217 system from 2000 to 2014. The coefficient of determination (R^2) for the 15-years period varied between 0.74 and
218 0.92, with a mean value of 0.85 ± 0.06 . The slopes ranged between 0.56 and 1.25, with a mean 0.77 ± 0.19 , while
219 the intercepts varied from -23.73 to 26.28, with a mean of 1.03 with-and standard deviation of 18.20 Wm^{-2} . The
220 annual energy balance ratio (EBR) for the 15 years extended between 0.44 in 2005 and 2007 and 1.09 in 2011,
221 with a mean of 0.78 ± 0.24 . Between 2004 and 2008, EBR ranges between 0.44 and 0.53, whereas from 2000 to
222 2003 and 2009 to 2014, the EBR ranged-was between 0.76 and 1.09. The EBR for 2010 to 2012 were slightly
223 greater than 1 (1.08, 1.09 and 1.01, respectively), indicating an overestimation of the turbulent fluxes (H+LE)
224 compared to the available energy, this still giving the absolute imbalance values of within 30 %. The remaining
225 years, 2000-2003 and 2009, were less than 1, indicating that the turbulent fluxes were lower than the available
226 energy. The further away the slope is from unity, the lower the EBR, as shown by the low slope values between
227 2004 and 2008. The period of low EBR between 2004 and 2008 is characterized by the absence of negative values
228 of available energy (Rn-G) as illustrated in Fig 2. Between 2000 and 2004, the CNR2 net radiometer was used to
229 measure long and shortwave radiation, and these were combined to derive Rn. However, when the pyrgeometer
230 broke down in 2004, Rn was derived from measured shortwave radiation and modelled longwave radiation until
231 the CNR2 was replaced by the NRLite net radiometer in 2009. This was a significant source of error, as shown
232 by the low EBR between 2004 and 2008. The closed-path gas analyzer was also changed to open-path gas analyzer
233 in 2006. An analysis of the 2006 data (which had very low data completeness of 7.59 %) showed that there were
234 no measurements recorded until September, possibly due to instrument failure. Further analysis and discussion of
235 the EBR was done with the exclusion of years with low quality data.

236 Our final mean multiyear EBR estimate, excluding the years with poor data quality (2004 to 2008), was
237 therefore 0.93 ± 0.11 , ranging between 0.76 and 1.09. The R^2 for these years varied between 0.77 and 0.92, with
238 a mean value of 0.87 ± 0.05 . The slopes were from 0.7 to 1.25, with a mean 0.87 ± 0.17 , while the intercepts varied
239 from -12.57 to 26.28, with a mean of 10.79 and standard deviation of 13.67 Wm^{-2} .

240 (Figure 2)

241 The EBR results for the Skukuza eddy covariance system, which vary between 0.76 and 1.09 with an annual mean
242 of 0.93 (only the years with high quality data), are generally within the reported accuracies as shown in most
243 studies that report the energy balance closure error at 10–30 % across different ecosystems. For instance, Wilson
244 et al., (2002) also recorded an annual mean EBR of 0.84, ranging between 0.34 and 1.69 in an extensive study
245 investigating 22 FLUXNET sites across the globe; EBR in ChinaFLUX sites ranged between 0.58 and 1.00, with
246 a mean of 0.83 (Yuling et al., 2005); according to Were et al. (2007), reported EBR values of about 0.90 were
247 found over shrub and herbaceous patches in a dry valley in southeast Spain, whereas Chen et al. (2009) reported
248 showed a mean of 0.98 EBR for their study in the semi-arid region of Mongolia, and an EBR value of 0.80 was
249 found by Xin and Liu (2010) in a maize crop in semi-arid conditions, in China. Using data from the Tibetan

250 Observation and Research Platform (TORP), Liu et al. (2011) observed an EBR value of 0.85 in an alfalfa field
251 in semi-arid China.

252

253 3.2.2. Seasonal variation of EBR

254 Fig 3 shows the seasonal OLS results for the 15 year period, excluding years 2004 to 2008. The slopes ranged
255 between 0.67 and 0.87, with a mean of 0.78 ± 0.08 , and the intercepts were a mean of $19.13 \text{ Wm}^{-2} \pm 16.30 \text{ Wm}^{-2}$.
256 R^2 ranged between 0.81 and 0.88 with a mean of 0.84 ± 0.04 . The EBR for the different seasons ranged between
257 0.70 and 1.12, with a mean of 0.92 ± 0.19 . The winter-dry season had the lowest EBR of 0.70, while summer
258 recorded 1.02, and spring were closest to unity with EBR of 1.12, respectively, and autumn had EBR of 0.84.
259 A large number of outliers is observed in summer due to cloudy weather conditions and rainfall events that make
260 the thermopile surface wet, thus reducing the accuracy of the net radiometer. A study comparing different the
261 performance of different net radiometers by Blonquist et al. (2009) shows that the NR-Lite is highly sensitive to
262 precipitation and dew/ frost since the sensor is not protected.

263 (Figure 3)

264 The results of our study concur with similar studies that assessed the seasonal variation of EBR. For instance,
265 Wilson et al. (2002) comprehensively investigated the energy closure of the summer and winter seasons for 22
266 FLUXNET sites for 50 site-years. They also reported higher energy balance correlation during the wet compared
267 to the dry season, with the mean R^2 of 0.89 and 0.68, respectively. Whereas our results show significant differences
268 between the wet (1.12) and dry (0.70)-their, their EBR showed smaller differences between the two seasons, being
269 0.81 and 0.72, for summer and winter, respectively. Ma et al. (2009) reported an opposite result from the Skukuza
270 results, showing energy closures of 0.70 in summer and 0.92 in winter over the flat prairie on the northern Tibetan
271 Plateau.

272

273 3.2.3. Day – night-time effects

274 Fig 4 shows the daytime and nocturnal OLS regression results for the 15 year period. The daytime and nocturnal
275 slopes were 0.99 and 0.11, with the intercepts being 76.76 and 1.74 Wm^{-2} , respectively. Daytime and nocturnal
276 R^2 were 0.64 and 0.01, respectively. The EBR for the different times of day were 0.96 and 0.27, daytime and
277 nocturnal, respectively.

278 (Figure 4)

279 Other studies also reported a higher daytime surface energy balance closure. For instance, Wilson et al., (2002)
280 showed that the mean annual daytime EBR was 0.8, whereas the nocturnal EBR was reported to be was negative
281 or was much less or much greater than 1.

282 To understand the effect of friction velocity on the energy balance closure, surface energy data which
283 had corresponding friction velocity (u^*) data, were analysed. Using friction velocity, the data were separated
284 into four 25-percentiles, and the EBR and OLS evaluated. Results show that the first quartile, the EBR was 3.94,
285 with the 50-percentile at 0.99, the third quartile at unity, and the fourth quartile at 1.03 (Fig 5). The slopes were
286 between 1.01 and 1.12, with the intercepts ranging between -9.26 and -0.17 Wm^{-2} , whereas R^2 were 0.82, 0.86,
287 0.85 and 0.81 for the first to the fourth quartiles, respectively.

288 (Figure 5)

289 An assessment shows that the time associated with the low friction velocities, i.e. the first quartile are night-time
290 data constituting 81 % of the whole first quartile dataset, and the last quartile had the highest number of daytime
291 values at 79.29 % of the fourth quartile dataset. Lee and Hu (2002) hypothesized that the lack of energy balance
292 closure during nocturnal periods was often the result of mean vertical advection, whereas Aubinet et al.,
293 (1999) and Blanken et al., (1997) showed that energy imbalance during nocturnal periods is usually greatest when
294 friction velocity is small. Another source of error in the nocturnal EBR is the high uncertainty in night-time
295 measurements of R_n . At night, the assumption is that there is no shortwave radiation, and R_n is a product of
296 longwave radiation. Studies show that night-time measurements of longwave radiation were less accurate than
297 daytime measurements (Blonquist et al., 2009). The RN-Lite, for instance has low sensitivity to longwave
298 radiation, resulting in low accuracy in low measurements.

299 Soil heat flux (G) plays a significant role in the surface energy balance as it determined how much energy
300 is available for the turbulent fluxes, especially in areas with limited vegetation cover. Its exclusion in the surface
301 energy balance results not only in the overestimation of the available energy, but also the overestimation of the
302 EBR. -Its exclusion in surface energy balance studies results not only in the overestimation of the available energy,
303 but also the overestimation of the EBR. In this study, we examined how inclusion and exclusion of G impacts the
304 surface energy balance closure. When G was excluded in the calculation, the multiyear EBR ranged between 0.73
305 and 1.07, with an annual mean EBR of 0.90 ± 0.11 , which is about 3 % lower than the initial EBR (0.93 ± 0.11).
306 While the initial daytime EBR was 0.96, it was 0.87 when G was excluded, which is a decrease of approximately
307 10 %. The nighttime EBR was 0.13, as low as 50 % of the initial EBR (0.26), showing that G has greater
308 significance on the surface energy balance at night. These results are in agreement with other studies, for instance,
309 Ogee et al., (2001) showed that soil heat flux represents up to 50% of net radiation at midday and up to 80%
310 during night-time. Stull (2012) also reported that during daytime G only accounts for 5-15% of net radiation,
311 whereas at night, it is up to 50%.

312 While G is an important component of the SEB, our study ignored the different energy storage terms in
313 determining the EBR, including the soil heat storage term. The exclusion of the soil heat storage term results in
314 the underestimation of G , as the real value of G is a combination of the flux measured by the plate and the heat
315 exchange between the ground and the depth of the plate. This in turn contributes to the overestimation of the
316 available energy, which then lowers the EBC. Among other factors (vegetation cover, soil moisture and
317 temperature), this storage term varies with the depth of the soil heat flux plate as demonstrated by Ochsner et al.
318 (2006), who reported that at a depth of 1 cm, the maximum G is up to 13% less than the maximum surface value,
319 and at 10 cm maximum G is up to 70% less than the surface value, thus its exclusion results in similar error
320 margins in the EBC. As reported by different studies, the omission of the soil heat storage results in the
321 underestimation of the energy EBC by up to 7%. For instance, Liu et al. (2017) reported an increase in OLS slope
322 of an average 8.8% and a mean daily EBR increase of 5% when the soil heat storage term was considered in their
323 study in the Taihu Lake region of the Southern China Plain. In their study in the three sites in the Badan Jaran
324 desert, Li et al. (2014) analysed the effect of including soil heat storage derived by different methods in the energy
325 balance closure: their EBR improved by between 1.5 % and 4 %. Zuo et al. (2011) reported an improvement of 6
326 to 7 % when they included the soil heat storage in their calculation of EBR, at the Semi-Arid Climate and
327 Environment Observatory of Lan-Zhou University (SACOL) site in semi-arid grassland over the Loess Plateau
328 of China. The improvement of the EBR in the study in a FLUXNET boreal site in Finland by Sánchez et al. (2010)

was shown to be 3 % when the soil heat storage was included, which increased to 6 % when other storage terms (canopy air) were taken into account.

3.3. Surface energy partitioning

3.3.1. Surface energy measurements

The mean daily and annual measurements of the energy budget components from 2000 to 2014 are highlighted in Fig 6 and Table 2. The seasonal cycle of each component can be seen throughout the years, where at the beginning of each year the energy budget components are high, and as each year progresses they all decrease to reach a low during the middle of the year, which is the winter/ dry season, and a gradual increase being experienced during spring right to the summer at the end of each year. The multi-year daily means of Rn, H, LE and G were 139.1 Wm⁻², 57.70 Wm⁻², 42.81 Wm⁻² and 2.94 Wm⁻², with standard deviations of 239.75 Wm⁻², 104.15 Wm⁻², 70.58 Wm⁻² and 53.67 Wm⁻², respectively.

(Figure 6)

The gaps in 2006 indicate the absence of the surface energy flux measurements in those years, which was a result of instrument failure. Between 2004 and 2008, the Rn was calculated as a product of measured shortwave radiation and modelled longwave radiation, which was a high source of error in the estimation of Rn. These years are also characterised by poor energy balance closure, as shown in Section 3.2.1 above.

(Table 2)

3.3.2. Influence of weather conditions and seasonality

In arid/semi-arid ecosystems, solar radiation is not a limiting factor for latent heat flux, instead it is mainly limited by water availability. The seasonal fluctuations of energy fluxes are affected by the seasonal changes in the solar radiation, air temperature, precipitation and soil moisture (Baldocchi et al., 2000; Arain et al., 2003). These climatic variables influence vegetation dynamics in an ecosystem, as well as how solar radiation is partitioned. Hence, daily measurements of precipitation, air temperature and VPD were evaluated to investigate the partitioning of the surface energy in the semi-arid savanna landscape of Skukuza.

(Figure 7)

To illustrate the partitioning of solar radiation into the different fluxes throughout the year, Fig 7 presents the multi-year mean monthly variations of the surface energy components showing a general decrease of the components between February and June, which then gradually increases again until November. The multi-year monthly means of Rn, H, LE and G were 71.27 Wm⁻² (June) and 197.33 Wm⁻² (November), 37.11 Wm⁻² (June) and 80.37 Wm⁻² (November), 8.52 Wm⁻² (August) and 127.17 Wm⁻² (December), -2.28 Wm⁻² (June) and 20.78 Wm⁻² (November), respectively. The month of August had the highest BR of 6.42, whereas December had the least at 0.42. The residual accounted for between -19.69 and 34.74 % of Rn, and an average of 4.70 %.

The general trend shows that sensible heat flux dominated the energy partitioning between May and October, followed by latent heat flux, and lastly the soil heat flux, except during the wet season where latent heat flux was larger than sensible heat flux. This is illustrated by the trend of BR, showing an increase from April, with the peak in August, then a steady decrease until it hits lowest in December. The period of low BR is characterised by high Rn and high precipitation. As the season transitions into winter the dry season, it is characterised by reduced net radiation and low measurements H and LE.

369 Just before the first rains, i.e. between September and November, tree flowering and leaf emergence
370 occurs in the semi-arid savanna in the Skukuza area (Archibald and Scholes, 2007), and grasses shoot as soil
371 moisture availability improves with the rains (Scholes et al., 2003). This is ~~eharaeterised~~characterized by a gradual
372 increase in LE and decrease in BR, which, when compared to the ~~winter-dry~~ season, is significantly lower than
373 the H, as illustrated in Fig 7. As the rainy season progresses, and vegetation development peaks, LE also reaches
374 its maximum, becoming significantly higher than H, and hence, low BR. Between March and September, when
375 leaf senescence occurs, the leaves gradually change colour to brown and grass to straw, and trees defoliate, H
376 again gradually becomes significantly higher than LE.

377 **(Figure 8)**

378 The influence of VPD and Rn on surface energy partitioning was investigated during the wet and dry
379 seasons. Results show that during both periods there is an increase in H and decrease in LE with an increase in
380 VPD; although the gradient of LE decrease differ significantly during the two periods, H increases similarly during
381 both the wet and dry periods (Fig 89). VPD is higher in times of little or no rain (low soil water availability),
382 which explains the decrease in LE with a rise in VPD. In this instance, although the evaporative demand is high,
383 the stomatal conductance is reduced due to absence of water in the soil, resulting in smaller LE and higher H. Rn,
384 on the other hand, is partitioned into different fluxes, based on other climatic and vegetation physiological
385 characteristics. Fig 9 illustrates that both LE and H increase with increase in Rn, although their increases are not
386 in proportion, based on season. During the wet season, the rate of increase of LE is higher than that of H, whereas
387 in the dry season the reverse is true. The rate of increase of LE is controlled by the availability of soil water
388 (precipitation), (also illustrated in Fig 6 (LE)), and during the wet season it increases steadily with increasing Rn,
389 whereas the rate of increase of H is concave, showing saturation with an increase in Rn. The opposite is true
390 during the dry season, with limited water availability, where the rate of increase of LE slows down with increase
391 in Rn, and a steady increase of H with Rn increase.

392 **(Figure 9)**

393 Our study results are consistent with similar studies, for example Gu, Gu et al. (2006), who examined
394 how soil moisture, vapor pressure deficit (VPD) and net radiation control surface energy partitioning at a
395 temperate deciduous forest site in central Missouri, USA. Both studies agree that with ample soil moisture, during
396 the rainy season, latent heat flux dominates over sensible heat flux, and reduced soil water availability reversed
397 the dominance of latent heat over sensible heat, because of its direct effect on stomatal conductance. An increase
398 in net radiation, on the other hand, also increases both sensible and latent heat fluxes. The increase of either then
399 becomes a function of soil moisture availability, since they cannot increase in the same proportion. However,
400 whereas we found that a rise in VPD is characterized by a decrease in LE and an increase in H in both periods,
401 their findings show a significant increase in LE and decrease in H with a rise in VPD during the non-drought
402 period, with both components showing slight increases with increase in VPD in dry conditions. Li et al. (2012)
403 also investigated the partitioning of surface energy in the grazing lands of Mongolia, and concluded that the energy
404 partitioning was also controlled by vegetation dynamics and soil moisture availability, although soil heat flux is
405 reportedly higher than latent heat flux in most instances. In a temperate mountain grassland in Austria, Hammerle
406 et al., (2008) found that the energy partitioning in this climatic region was dominated by latent heat flux, followed
407 by sensible heat flux and lastly soil heat flux.

408 The consensus in all above studies is that vegetation and climate dynamics play a critical role in energy
409 partitioning. They note that during full vegetation cover, latent heat flux is the dominant portion of net radiation.
410 However, depending on the climatic region, the limiting factors of energy partitioning vary between water
411 availability and radiation. Our study confirms that in semi-arid regions, sensible heat flux is the highest fraction
412 of net radiation throughout the year, except during the wet period, when latent heat flux surpasses sensible heat
413 flux. However, in regions and locations where water availability is not a limiting factor, latent heat flux may take
414 the highest portion of net radiation.

415

416 4. Conclusion

417 This study investigated both surface energy balance and ~~its-how it is partitioning-partitioned~~ into turbulent fluxes
418 during the wet and dry seasons in a semi-arid savanna ecosystem in Skukuza using eddy covariance data from
419 2000 to 2014. The analysis revealed a mean multi-year energy balance ratio of 0.93, ~~The~~the variation of RBR
420 based on season, time of day and as a function of friction velocity was explored. The seasonal EBR varied between
421 0.70 and 1.12, with ~~winter-the dry season~~ recording the highest energy imbalance. Daytime EBR was as high as
422 0.96, with 0.27 EBR for the nighttime. The high energy imbalance at night was explained as a result of stable
423 conditions, which limit turbulence that is essential for the creation of eddies. The assessment of the effect of
424 friction velocity on EBR showed that EBR increased with an increase in friction velocity, with low friction
425 velocity experienced mainly during night-time.

426 The energy partition analysis revealed that sensible heat flux is the dominant portion of net radiation in
427 this semi-arid region, except ~~in summer, when there is rainfall~~during the rainfall period. The results also show
428 that water availability and vegetation dynamics play a critical role in energy partitioning, whereby when it rains,
429 vegetation growth occurs, leading to an increase in latent heat flux / evapotranspiration. Clearly an increase in Rn
430 results in a rise in H and LE, however their increases are controlled by water availability. During the wet season,
431 the rate of increase of LE is higher than that of H, whereas in the dry season the reverse is true. The rate of increase
432 of LE is controlled by the availability of soil water (precipitation), and during the wet season it increases steadily
433 with increasing Rn, whereas the rate of increase of H shows saturation with an increase in Rn. The opposite is
434 true during the dry season, with limited water availability, the rate of increase of LE reaches saturation with
435 increase in Rn and a steady increase of H with Rn increase. An increase in VPD, on the other hand, results in an
436 increase in H and decrease in LE, with higher VPD experienced during the dry season, which explains the high
437 H, although the evaporative demand is high.

438

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442 Foundation under the Thuthuka PhD cycle grant.

443

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Table 1: Measurements taken and instruments used at Skukuza flux tower

Instrument	Model/ brand	Measurement
Sonic anemometer	Gill Instruments Solent R3, Hampshire, England	3-dimensional, orthogonal components of velocity (u, v, w (ms^{-1})), sonic temperature
Closed path gas analyser	IRGA, LiCOR 6262, LiCOR, Lincoln	Water vapor, carbon dioxide concentrations
Radiometer	Kipp and Zonen CNR1, Delft, The Netherlands	Incoming and outgoing longwave and shortwave radiation
HFT3 plates	Campbell Scientific	Soil heat flux at 5 cm depth with 3 replicates, i.e. two under tree canopies and one on open space
Frequency domain reflectometry probes	Campbell Scientific CS615, Logan, Utah	Volumetric soil moisture content with two in the Acacia – dominated soils downhill of the tower at 3, 7, 16, 30, and 50 cm, and another two at 5, 13, 29, and 61 cm in the Combretum–dominated soils uphill

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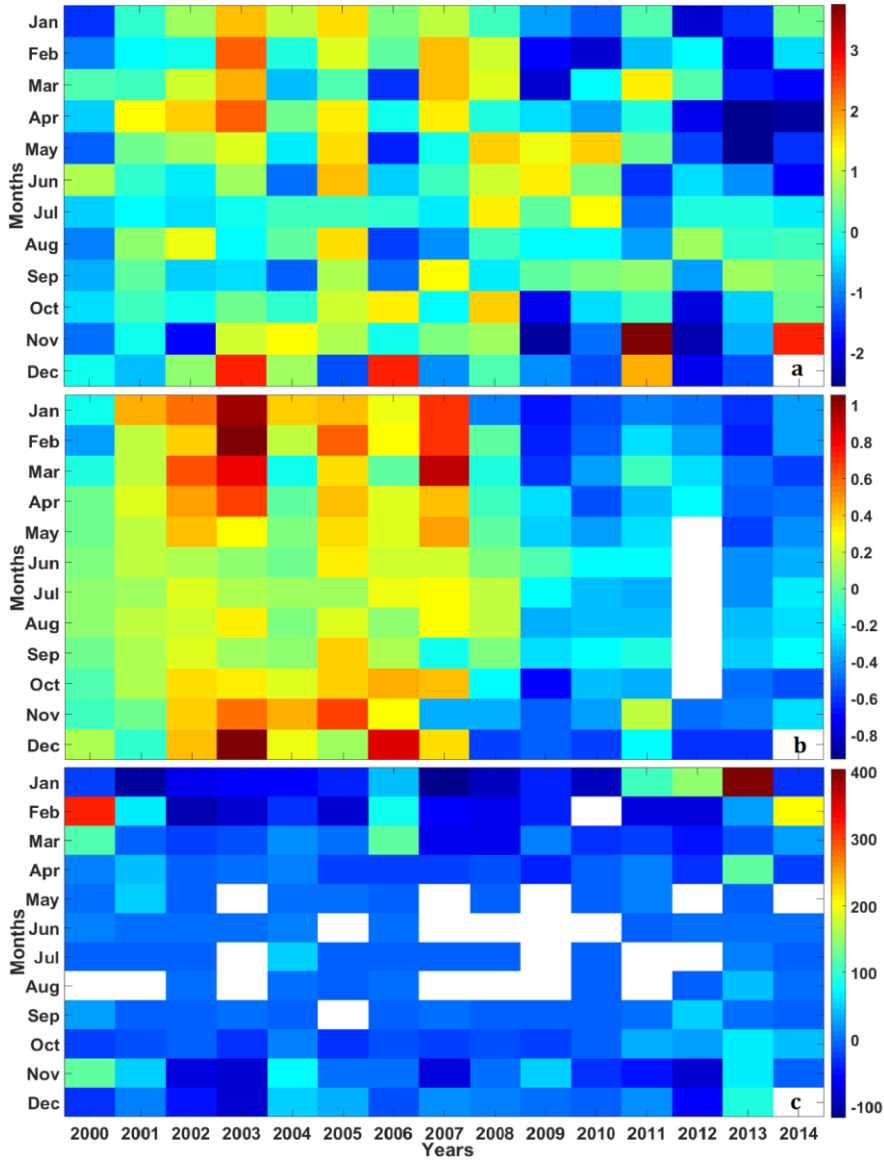
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544 **Table 2: Statistical summary of annual values of the energy balance components**

Year	% data completion		H	LE	G	Rn
2000	14.16	Max	470.31	422.89	191.53	817.60
		Min	-139.77	-72.43	-61.60	-95.93
		Mean	45.82	36.11	5.32	91.46
2001	12.78	Max	790.82	513.09	292.87	899.90
		Min	-159.87	-85.95	-90.27	-116.58
		Mean	58.56	43.68	9.27	128.27
2002	17.77	Max	415.93	174.07	171.93	583.30
		Min	-117.66	-89.16	-86.00	-122.21
		Mean	61.35	10.29	4.10	90.72
2003	41.50	Max	556.21	308.71	217.60	879.30
		Min	-92.99	-97.81	-106.23	-116.04
		Mean	58.15	21.68	6.17	94.53
2004	28.21	Max	505.36	498.10	129.96	925.30
		Min	-150.08	-89.07	-69.76	-5.88
		Mean	56.46	17.99	7.97	156.10
2005	35.37	Max	606.28	737.43	288.20	933.20
		Min	-130.40	-97.00	-107.37	-4.92
		Mean	51.43	17.82	0.99	159.09
2006	7.59	Max	583.66	331.25	335.30	1003.30
		Min	-72.45	-119.09	-72.80	-6.56
		Mean	84.67	35.94	19.69	247.70
2007	48.77	Max	552.93	426.34	340.67	1011.30
		Min	-131.40	-130.79	-129.70	-6.71
		Mean	59.04	14.32	4.14	169.84
2008	54.30	Max	616.43	439.76	238.57	1038.50
		Min	-140.13	-144.97	-104.60	-5.91
		Mean	63.06	26.30	6.22	191.26
2009	42.69	Max	551.34	776.62	328.93	1060.50
		Min	-96.68	-135.43	-94.20	-155.90
		Mean	55.42	96.54	6.87	207.77
2010	57.65	Max	626.68	624.38	199.33	888.00
		Min	-173.11	-135.62	-66.35	-180.70
		Mean	57.23	52.54	3.74	105.10
2011	41.34	Max	591.16	688.46	171.27	832.00
		Min	-135.77	-127.02	-58.59	-96.50
		Mean	63.88	73.11	1.75	127.94
2012	27.62	Max	572.11	566.88	185.80	899.00
		Min	-171.83	-148.49	-50.92	-99.69
		Mean	59.25	52.49	2.16	111.31
2013	67.97	Max	570.79	665.48	146.03	845.58
		Min	-197.40	-149.10	-55.36	-107.70
		Mean	50.25	38.63	-1.22	92.80
2014	28.66	Max	533.46	726.31	89.50	893.00
		Min	-238.65	-134.39	-33.36	-89.70
		Mean	59.37	69.55	1.18	147.30

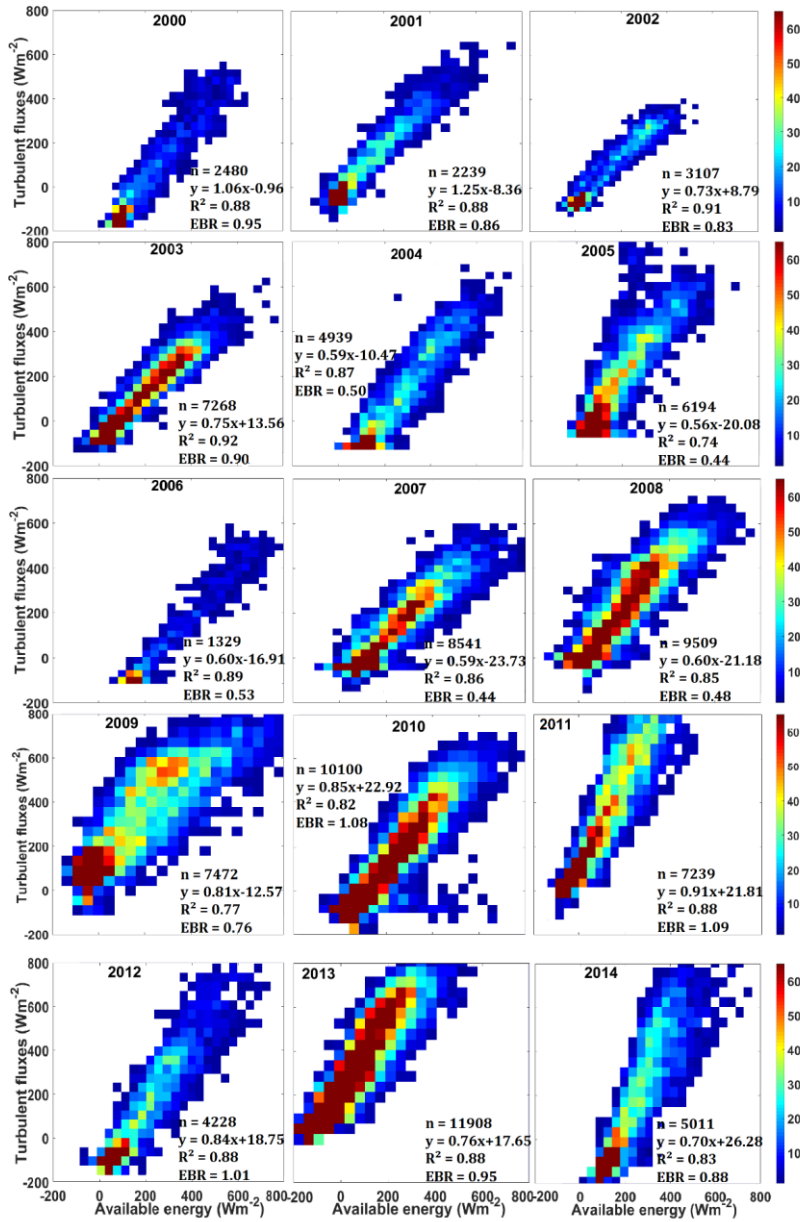
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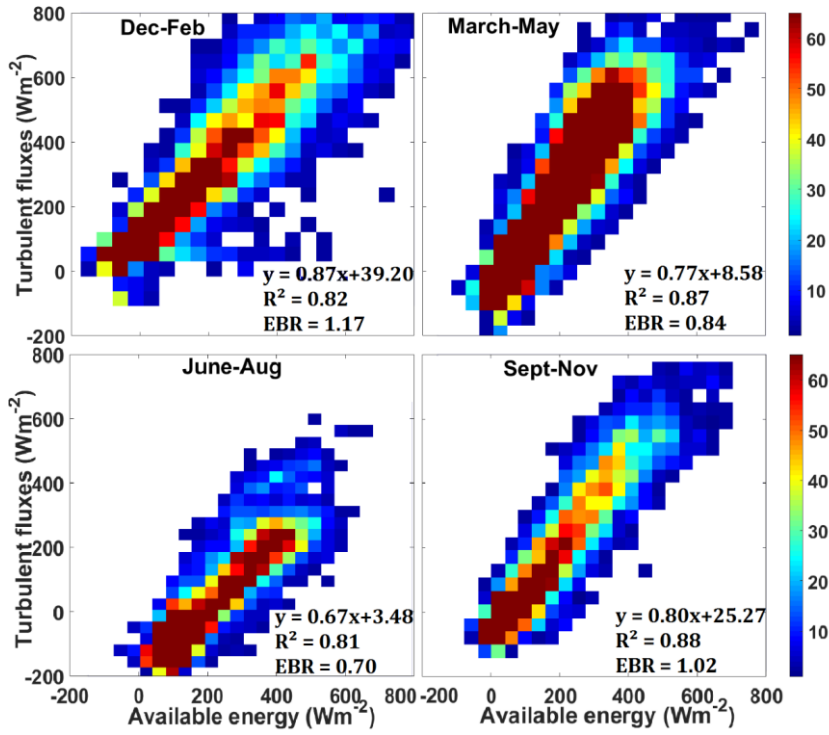


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549 **Figure 1: Summaries of daily-mean monthly anomalies of (a) average air temperature, (b) average VPD, and (c) total**
550 **rainfall from 2000 to 2014**

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552
 553 Figure 2: 15-year series of annual regression analysis of turbulent (sensible and latent) heat fluxes against available
 554 energy (net radiation minus ground-conduction heat flux) from 2000 to 2014 at Skukuza, (SA). The colour bars
 555 represent the count of EBR values.



556

557 Figure 3: Seasonal turbulent fluxes (H+LE) correlation to available energy (Rn-G) for Skukuza flux tower from
 558 summer(Dec-Feb), autumn (March-May), winter (June-Aug), spring (Sept-Nov). The colour bars represent the count
 559 of EBR values

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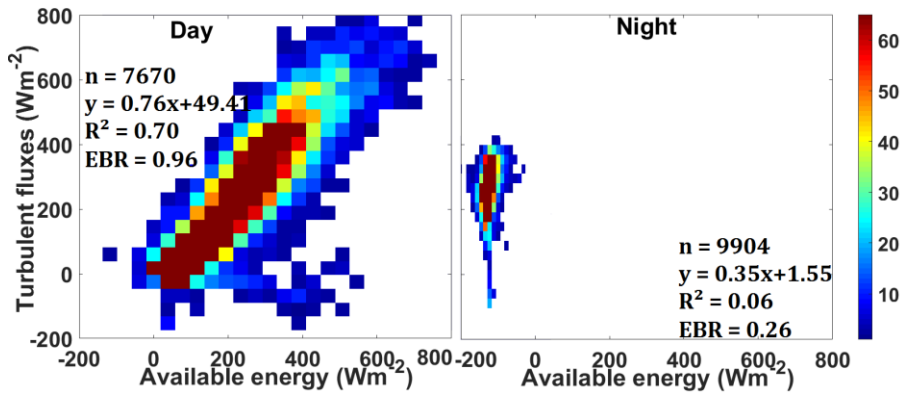


Figure 4: Turbulent fluxes correlation to available energy for daytime (a) and night-time (b), using the full (2000-2014) 15-year available data series. The colour bars represent the count of EBR values

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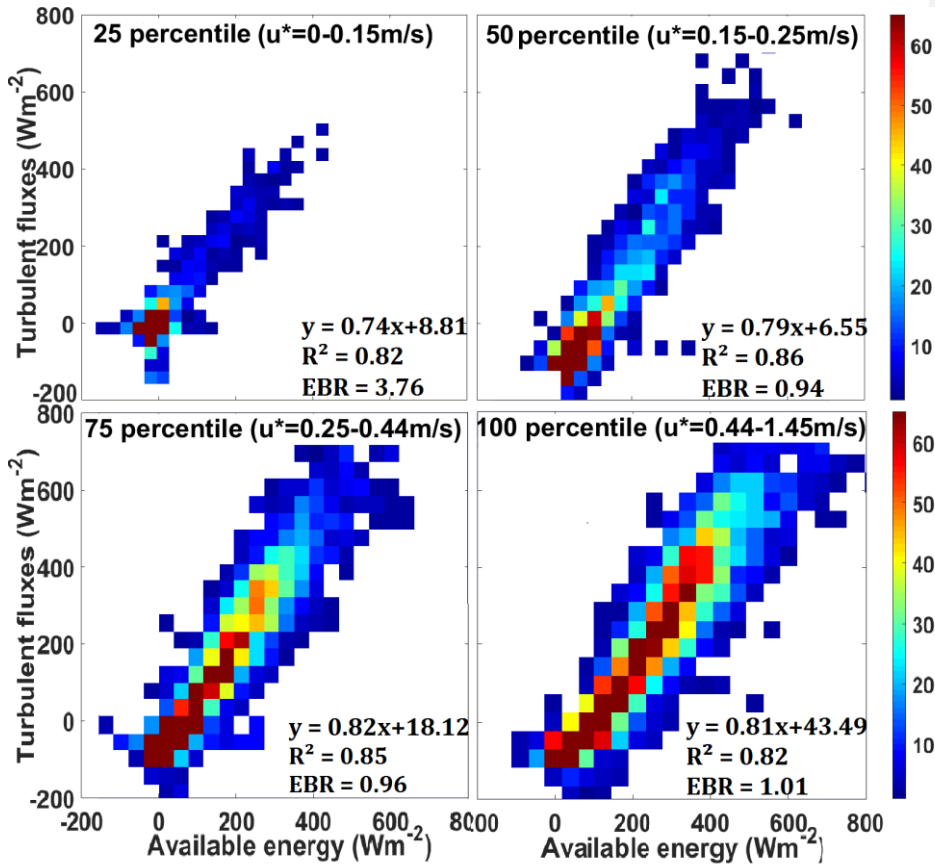
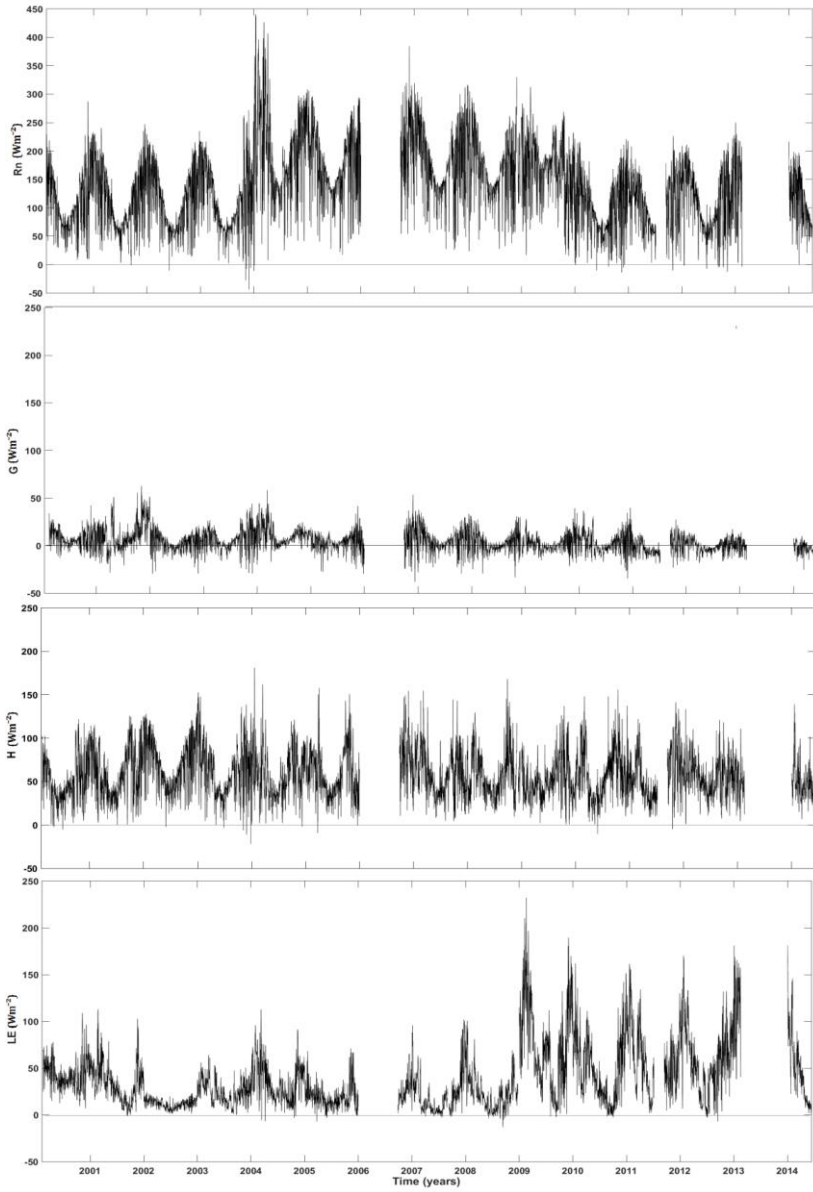


Figure 5: OLS and EBR evaluations at different friction velocity sorted at four quartiles. The colour bar represents the count of EBR values. The colour bars represent the count of EBR values.

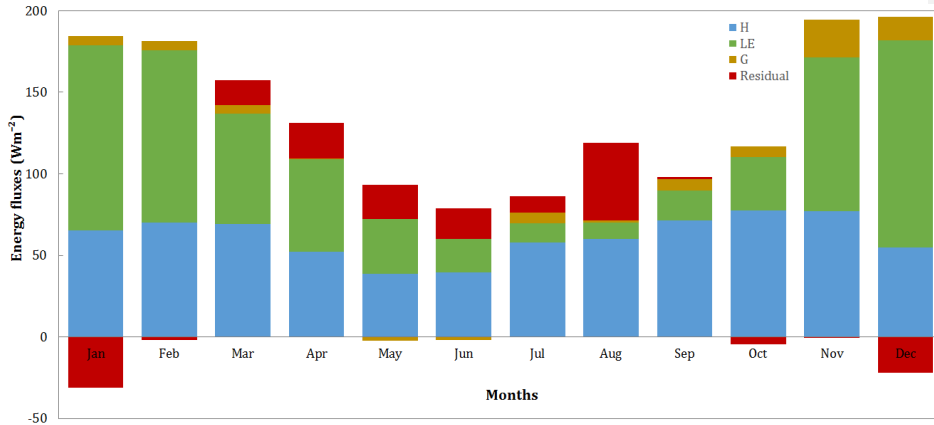
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 572 **Figure 6: Time series of daily mean surface energy balance component fluxes from 2000 to 2014 at Skukuza flux tower**
 573 **site (SA)**

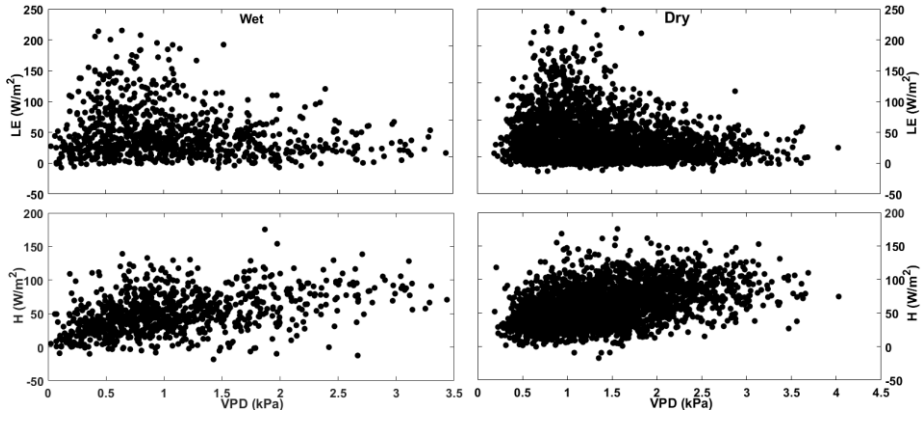
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Figure 7: 15-year (2000-2014) monthly means of surface energy balance fluxes of Skukuza flux tower site (SA), highlighting the partitioning of R_n



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581 **Figure 8: Relationship between the fluxes and VPD under wet and dry conditions**

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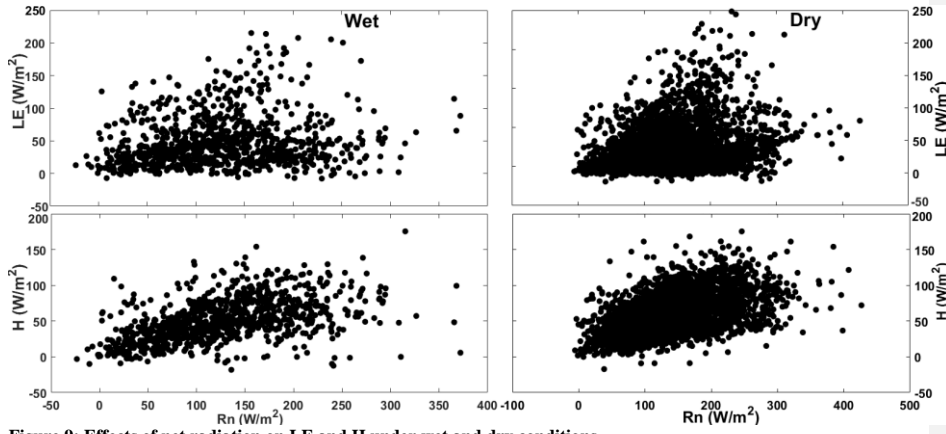


Figure 9: Effects of net radiation on LE and H under wet and dry conditions

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