Analysing surface energy balance closure and partitioning over a semi-arid savanna FLUXNET site in Skukuza, Kruger National Park, South Africa

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17 Abstract

18 Flux towers provide essential terrestrial climate, water and radiation budget information needed for environmental

19 monitoring and evaluation of climate change impacts on ecosystems and society in general. They are also intended 20 for calibration and validation of satellite-based earth observation and monitoring efforts, such as assessment of

21 evapotranspiration from land and vegetation surfaces using surface energy balance approaches.

In this paper, 15 years of Skukuza eddy covariance data, i.e. from 2000 to 2014, were analysed for surface energy balance closure (EBC) and partitioning. The surface energy balance closure was evaluated using the ordinary least squares regression (OLS) of turbulent energy fluxes (sensible (H) and latent heat (LE)) against available energy (net radiation (Rn) less soil heat (G)), and the energy balance ratio (EBR). Partitioning of the surface energy during the wet and dry seasons was <u>also</u> investigated, as well as how it is affected by atmospheric vapor pressure deficit (VPD), and net radiation.

After filtering years with <u>bad-low quality</u> data (2004-2008), our results show an overall mean EBR of 0.93. Seasonal variations of EBR also showed <u>summer-wet with 1.17</u> and spring (1.02) <u>being</u> closest to unity, with winter-dry (0.70) having the <u>highest imbalance</u>. Nocturnal surface energy closure was very low at 0.26, and this was linked to low friction velocity during night-time, with results showing an increase in closure with increase in friction velocity.

The surface energy partitioning of this savanna ecosystem showed that sensible heat flux dominated the energy partitioning between March and October, followed by latent heat flux, and lastly the soil heat flux, and during the wet season where latent heat flux dominated sensible heat flux. An increase in net radiation was characterized by an increase in both LE and H, with LE showing a higher rate of increase than H in the wet season, and the reverse happening during the dry season. An increase in VPD is <u>correlated with</u> a decrease in LE and increase in H during the wet season, and an increase of both fluxes during the dry season.

40 1. Introduction

41 Net solar radiation (Rn) reaching the earth's surface determines the amount of energy available for latent (LE),

42 sensible (H) and soil (G) heat fluxes, and heat stored by the canopy, the ground and energy storage by

43 <u>photosynthesis</u>. Energy partitioning on the earth's surface is a function of interactions between biogeochemical

- 44 cycling, plant physiology, the state of the atmospheric boundary layer and climate (Wilson et al., 2002). How the
- 45 turbulent fluxes (H and LE) are partitioned in an ecosystem plays a critical role in determining the hydrological
- 46 cycle, boundary layer development, weather and climate (Falge et al., 2005). Understanding the partitioning of
- 47 energy, particularly the turbulent fluxes, is important for water resource management in (semi) arid regions, where
- 48 <u>potential-reference</u> evapotranspiration far exceeds precipitation.

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49 Eddy covariance (EC) systems are currently the most reliable method for measuring carbon, energy and 50 water fluxes, and they have become a standard technique in the study of surface-atmosphere boundary layer 51 interactions. They provide a distinct contribution to the study of environmental, biological and climatological 52 controls of the net surface exchanges between the land surface (including vegetation) and the atmosphere 53 (Aubinet, et al., 1999; Baldocchi et al., 2001). The accuracy of these data is very important because they are used 54 to validate and assess performance of land surface and climate models. However, the EC techniques have 55 limitations in terms of data processing and quality control methods, especially under complex conditions (e.g., 56 unfavorable weather, such as high turbulence and low wind speed, and heterogeneous topography). In EC 57 measurements, the ideal situation is that available energy, i.e. net radiation minus soil heat flux is equal to the sum 58 of the turbulent fluxes (Rn-G = LE+H); however, in most instances, the measured available energy is larger than 59 the sum of the measurable-measured turbulent fluxes of sensible heat and latent heat. Extensive research on the 60 issue of surface energy imbalance in EC observations has been done (Barr et al., 2012; Chen et al., 2009; Foken 61 et al., 2010; Franssen et al., 2010; Mauder et al., 2007), and closure error (or imbalance) has been documented to 62 be around 10-30 % (Wilson et al., (2002); von Randow et al., (2004); Sanchez et al., (2010)).

63 Causes for non-closure, as extensively discussed, include unaccounted soil and canopy heat storage 64 terms, non-inclusion of the low and high frequency turbulence in the computation of the turbulent fluxes, land 65 surface heterogeneities, systematic measurement and sampling errors. This imbalance has implications on how 66 energy flux measurements should be interpreted and how these estimates should be compared with model 67 simulations. The surface energy balance closure is an accepted performance criterion of EC flux data (Twine et 68 al., 2000; Wilson et al., 2002), and different methods have been used to assess the energy closure and partitioning, 69 including ordinary least squares regression (OLS) method, i.e. a plot of turbulence fluxes (H+LE) against available 70 energy (Rn-G), the residual method, i.e. Rn-G-H-LE, and the energy balance ratio, i.e. (H+LE)/(Rn-G).

71 Several researchers have investigated surface energy partitioning and energy balance closure for different 72 ecosystems, including savannas. Bagayoko et al. (2007) examined the seasonal variation of the energy balance in 73 West African savannas, and noted that latent heat flux played a major role in the wet season, whereas sensible 74 heat flux was significant in the dry season. In the grassland Mongolian Plateau, Li et al. (2006) concluded that 75 sensible heat flux dominated the energy partitioning, followed by ground heat flux, with the rainy season showing 76 slight increase in latent heat flux. Gu et al. (2006) used different ratios (Bowen ratio, G/Rn, H/Rn and LE/Rn) to 77 investigate surface energy exchange in the Tibetan Plateau, and showed that during the vegetation growth period, 78 LE was higher than H, and this was reversed during the post-growth period.

Research using the Skukuza EC system data has focused mainly on the carbon exchange, fire regimes, and in global analysis of the energy balance (Archibald et al., 2009; Kutsch et al., 2008; Williams et al., 2009). However, there has been no investigation of surface energy partitioning and energy balance closure in this ecosystem. In this study, we examined the surface energy balance partitioning into soil heat conduction, convection (sensible) and latent heat components and its energy balance closure using 15 years (2000-2014) of eddy covariance data from the Skukuza flux tower.

First, a multi-year surface energy balance closure (EBC) analysis was done, including the seasonal and daynight EBC evaluations, and an assessment of its error sources. This included investigating how friction velocity affects the closure, and its link to low nighttime EBC. Then we examined how the surface energy partitioning varies over time in this ecosystem, based on the climate conditions in the region, particularly, in relation to water availability (precipitation) and vegetation dynamics. The effect of VPD and Rn on surface energy partitioning
 between turbulent fluxes, during the wet and dry seasons was also examined. Through this study, we expect to

contribute to existing literature on the surface energy balance closure and partitioning, especially in savanna sites.

91 92

93 2. Materials and methods

94 2.1. Site description

95 The Skukuza flux tower (25.02°S, 31.50°E) was established early 2000 as part of the SAFARI 2000 campaign 96 and experiment, set up to understand the interactions between the atmosphere and the land surface in Southern 97 Africa by connecting ground data of carbon, water, and energy fluxes with remote sensing data generated by Earth 98 observing satellites (Scholes et al., 2001; Shugart et al., 2004).

- 99 The site is located in the Kruger National Park (South Africa) at 365 m above sea level, and receives 550 100 \pm 160 mm precipitation per annum between November and April, with significant inter-annual variability. The 101 year is divided into a hot, wet growing season and a warm, dry non-growing season. The soils are generally 102 shallow, with coarse sandy to sandy loam textures (about 65 % sand, 30 % clay and 5% silt). The area is 103 characterized by a catenal pattern of soils and vegetation, with broad-leaved Combretum savanna on the crests 104 dominated by the small trees (Combretum apiculatum), and fine-leaved Acacia savanna in the valleys dominated 105 by Acacia nigrescens (Scholes et al., 1999). The vegetation is mainly open woodland, with approximately 30 % 106 tree canopy cover of mixed Acacia and Combretum savanna types. Tree canopy height is 5–8 m with occasional 107 trees (mostly Sclerocarya birrea) reaching 10 m. The grassy and herbaceous understory comprises grasses such 108 as Panicum maximum, Digitaria eriantha, Eragrostis rigidor, and Pogonarthria squarrosa.
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110 **2.1.1.** Eddy covariance system

Since 2000, ecosystem-level fluxes of water, heat and carbon dioxide are measured using an eddy covariance system mounted at 16 m height of the 22 m high flux tower. The measurements taken and the instruments used are summarized in Table 1.

114 (**Table 1**)

- 115 From 2000 to 2005, H and LE were derived from a closed-path CO_2/H_2O monitoring system, which was replaced
- 116 by the open-path gas analyzer in 2006. Also, from 2000 to 2008, incident and reflected shortwave radiation (i.e.
- 117 300-1100 nm, Wm⁻²), incident and reflected near-infrared (600-1100 nm, Wm⁻²) and incoming and emitted
- 118 longwave radiation (>3.0 µm, Wm⁻²) measurements were made using a two-component net radiometer (Model
- 119 CNR 2: Kipp & Zonen, Delft, The Netherlands) at 20 s intervals and then recorded in the data-logger as 30 min
- 120 averages; this was replaced with the Kipp & Zonen NRlite net radiometer in 2009. Soil heat flux is measured
- 121 using the HFT3 plates (Campbell Scientific) installed at 5 cm below the surface at three locations, two under tree
- 122 canopies^a and one between canopies.
- Ancillary meteorological measurements include air temperature and relative humidity, also measured at 16 m height, using a Campbell Scientific HMP50 probe; precipitation at the top of the tower using a Texas
- 125 TR525M tipping bucket rain gauge; wind speed and direction using a Climatronics Wind Sensor; and soil
- 126 temperature using Campbell Scientific 107 soil temperature probe.
- 127

128 **2.1.2.** Data pre-processing

- 129 The Eddysoft software was used to process the raw data collected from the eddy covariance system (Kolle & 130 Rebmann, 2007). Post-processing of the raw high frequency (10 Hz) data for calculation of half-hour periods of 131 the turbulent fluxes and CO₂ (F_c; g CO₂ m⁻² time⁻¹) involved standard spike filtering, planar rotation of velocities 132 and lag correction to CO₂ and q (Aubinet et al., 1999; Wilczak et al., 2001). Frequency response correction of 133 some of the energy lost due to instrument separation, tube attenuation, and gas analyzer response for LE and F_c 134 was performed with empirical co-spectral adjustment to match the H co-spectrum (Eugster and Senn, 1995; Su et 135 al., 2004). 136 137 2.2. Data analysis 138 Half-hourly measurements of eddy covariance and climatological data from 2000 to 2014 were used to assess 139 surface energy partitioning and closure. When measuring the different variables, instruments like the sonic 140 anemometer and the net radiometer are affected by different phenomena, like rainfall events and wind gusts, 141 resulting in faulty diagnostic signals, outliers and data gaps, which are sources of error and bias. Thus cleaning, 142 which involved screening, diagnosing and editing, of these half-hourly surface energy data, which was done to 143 reduce bias and error, rejected i) data from periods of sensor malfunction (i.e. when there was a faulty diagnostic 144 signal), (ii) incomplete 30-minute datasets of Rn, G, LE and H, and iii) outliers. The data outliers were detected 145 using the outlier detection procedure found in the Statistica software. After data screening, flux data with non-146 missing values of Rn, G, LE and H data were arranged according to monthly and seasonal periods (summer 147 (December - February), autumn (March - May), winter (June - August), and spring (September - November)), 148 as well as into daytime and nighttime. 149 Soil heat flux was then computed as a weighted mean of the three measurements, i.e., two taken under 150 tree canopies and one on open space. 151 152 2.2.1. Surface energy balance assessment 153 The law of conservation of energy states that energy can neither be created nor destroyed, but is transformed from 154 one form to another, hence the ideal surface energy balance equation is written as: Rn - G = H + LE155 (1) 156 Energy imbalance occurs when both sides of the equation do not balance. The energy balance closure was 157 evaluated at different levels, i.e. multi-year, seasonal, and day/ night periods (the assumption being that daytime 158 has positive Rn and nighttime has negative Rn), using two methods, i.e. 159 i) The ordinary least squares method (OLS), which is the regression between turbulent fluxes and available 160 energy. 161 Ideal closure is when the intercept is zero and slope and the coefficient of determination (R^2) are one. An 162 assumption is made using this method, that there are no random errors in the independent variables, i.e. Rn and 163 G, which of course is an incorrect assumption a simplification. 164 The energy balance ratio (EBR), which is ratio of the sum of turbulent fluxes to the available energy, ii) 165 $\sum (LE + H) / \sum (Rn - G).$ 166 The EBR gives an overall evaluation of energy balance closure at longer time scales by averaging over errors in
- 167 the half-hour measurements; and the ideal closure is 1. EBR has the potential to remove biases in the half-hourly

- 168 data, such as the tendency to overestimate positive fluxes during the day and underestimate negative fluxes at
- night. We did not account for the heat storage terms in the EBR, including soil and canopy heat storage, and
- 170 <u>energy storage by photosynthesis and respiration, in this study. The significance of neglecting these storage terms</u>
- 171 <u>will be discussed.</u>

To investigate the effect of friction velocity on EBR and how it is related to time of day, using friction velocity, the <u>half-hourly</u> data were separated into <u>four</u> 25-percentiles, and the EBR and OLS evaluated. <u>Matlab</u> was used to create the graphs.

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176 2.2.2. Analyzing surface energy partitioning

177 To evaluate solar radiation variation and partitioning into latent and sensible heat fluxes in this biome, EC surface 178 energy data from 2000 to 2014 were used. Violations in micrometeorological assumptions, instrument 179 malfunction and poor weather result in a proportion of the data being rejected. Yet, our aim was to construct 180 continuous records of half-hourly fluxes measured by eddy covariance and compute monthly, seasonal and annual 181 sums of surface energy fluxes. To fill the gaps in our dataset, we used the Amelia II software, an R-program 182 designed to impute missing data using Expectation-Maximization with Bootstrapping (EMB) multiple imputation 183 algorithm (Honaker et al., 2011). The original dataset is resampled using bootstrapping, after which the missing 184 data values are imputed using Expectation-Maximization algorithm. Each complete imputed dataset is in such a 185 way that the observed values are the same as those in the original data set; only the missing values are different. 186

The minimum, maximum and mean statistics of Rn, H, LE and G were then estimated. The monthly and seasonal trends of energy partitioning were assessed, and how each component is affected by vegetation dynamics at the site. Surface energy partitioning was also characterized as a direct function of vapor pressure deficit (VPD) and Rn during the wet and dry seasons, following Gu et al. (2006).

191 3. Results and Discussion

192 **3.1.** Meteorological conditions

193 Fig 1 shows the 15-year average dailymean monthly anomalies of air temperature, VPD and rainfall totals at the 194 Skukuza flux tower site. The annual average temperatures over the 15-year period ranged between 21.13°C in 195 2012 and 23.23 °C in 2003, with a 15-year average temperature of 22.9 °C. While 2003 was the hottest year, it 196 was also the driest year, with annual rainfall of 273.6 mm, with 2002 also recording very low rainfall of 325.4 197 mm, both receiving rainfall amounts below the recorded mean annual rainfall of 550±160 mm. The wettest years 198 were 2013, 2000, 2014 and 2004 which received 1414, 1115.6, 1010.2 and 1005.7 mm, respectively. 2007 and 199 2008 had incomplete rainfall data records to assess their annuals. The annual daily average VPD was between 200 0.024 and 4.03 kPa, with an overall average of 1.28 ± 0.62 kPa. The daily average VPD decreased with rainy 201 days, and showed an increase during rain-free days. The wet years, i.e. 2000, 2013 and 2014 had low annual 202 average VPD of 1.98, 1.34 and 1.83 kPa, respectively, whereas the drought years exhibited high VPDs with 2002 203 and 2003 with 2.77 and 2.97 kPa, respectively. The long-term weather records are comparable with the 1912 -204 2001 and 1960 – 1999 climate analysis for the same area as reported by Kruger et al. (2002) and Scholes et al. 205 (2001), showing a mean annual total precipitation of 547.1 mm and air temperature of 21.9 °C. The low rainfall 206 during 2000-2003 seasons was also reported by Kutch et al. (2008), who were investigating the connection 207 between water relations and carbon fluxes during the mentioned period.

208 (Figure 1)

210 **3.2.** Surface energy balance assessment

Data completeness varied largely 7.59 % (2006) and 67.97 % (2013), with a mean of 34.84 %. The variation in data completeness is due to a number of factors including instrument failures, changes and (re)calibration, and poor weather conditions.

214 215

3.2.1. Multi-year analysis of surface energy balance closure

216 Fig 2 summarizes results of the multi-year energy balance closure analysis for the Skukuza eddy covariance 217 system from 2000 to 2014. The coefficient of determination (R^2) for the 15-years period varied between 0.74 and 218 0.92, with a mean value of 0.85 ± 0.06 . The slopes ranged between 0.56 and 1.25, with a mean 0.77 ± 0.19 , while 219 the intercepts varied from -23.73 to 26.28, with a mean of 1.03 with and standard deviation of 18.20 Wm⁻². The 220 annual energy balance ratio (EBR) for the 15 years extended between 0.44 in 2005 and 2007 and 1.09 in 2011, 221 with a mean of 0.78 ± 0.24 . Between 2004 and 2008, EBR rangeds between 0.44 and 0.53, whereas from 2000 to 222 2003 and 2009 to 2014, the EBR ranged-was between 0.76 and 1.09. The EBR for 2010 to 2012 were slightly 223 greater than 1 (1.08, 1.09 and 1.01, respectively), indicating an overestimation of the turbulent fluxes (H+LE) 224 compared to the available energy, this still giving the absolute imbalance values of within 30 %. The remaining 225 years, 2000-2003 and 2009, were less than 1, indicating that the turbulent fluxes were lower than the available 226 energy. The further away the slope is from unity, the lower the EBR, as shown by the low slope values between 227 2004 and 2008. The period of low EBR between 2004 and 2008 is characterized by the absence of negative values 228 of available energy (Rn-G) as illustrated in Fig 2. Between 2000 and 2004, the CNR2 net radiometer was used to 229 measure long and shortwave radiation, and these were combined to derive Rn. However, when the pyrgeometer 230 broke down in 2004, Rn was derived from measured shortwave radiation and modelled longwave radiation until 231 the CNR2 was replaced by the NRLite net radiometer in 2009. This was a significant source of error, as shown 232 by the low EBR between 2004 and 2008. The closed-path gas analyzer was also changed to open-path gas analyzer 233 in 2006. An analysis of the 2006 data (which had very low data completeness of 7.59 %) showed that there were 234 no measurements recorded until September, possibly due to instrument failure. Further analysis and discussion of 235 the EBR was done with the exclusion of years with low quality data.

Our final mean multiyear EBR estimate, excluding the years with <u>poor</u> data <u>quality</u> (2004 to 2008), was therefore 0.93 ± 0.11 , <u>ranging between 0.76 and 1.09</u>. The R² for these years varied between 0.77 and 0.92, with a mean value of 0.87 ± 0.05 . The slopes were from 0.7 to 1.25, with a mean 0.87 ± 0.17 , while the intercepts varied from -12.57 to 26.28, with a mean of 10.79 and standard deviation of 13.67 Wm⁻².

240 (Figure 2)

241 The EBR results for the Skukuza eddy covariance system, which vary between 0.76 and 1.09 with an annual mean 242 of 0.93 (only the years with high quality data), are generally within the reported accuracies as shown in most 243 studies that report the energy balance closure error at 10–30,%, across different ecosystems. For instance, Wilson 244 et al., (2002) also recorded an annual mean EBR of 0.84, ranging between 0.34 and 1.69 in an extensive study 245 investigating 22 FLUXNET sites across the globe; EBR in ChinaFLUX sites ranged between 0.58 and 1.00, with 246 a mean of 0.83 (Yuling et al., 2005); according to Were et al. (2007), reported EBR values of about 0.90 were 247 found over shrub and herbaceous patches, in a dry valley in southeast Spain, whereas, Chen et al. (2009) report 248 showed a mean of 0.98 EBR for their study in the semi-arid region of Mongolia, and an EBR value of 0.80 was

found by Xin and Liu (2010) in a maize crop<u>in semi-arid conditions, in China.</u> Using data from the Tibetan Observation and Research Platform (TORP), Liu et al. (2011) observed an EBR value of 0.85 in an alfalfa field in semi-arid China.

3.2.2. Seasonal variation of EBR

254 Fig 3 shows the seasonal OLS results for the 15 year period, excluding years 2004 to 2008. The slopes ranged 255 between 0.94-67 and $\frac{1.210.87}{1.210.87}$, with a mean of $\frac{1.10 \pm 0.110.78 \pm 0.08}{1.10 \pm 0.110.78 \pm 0.08}$, and the intercepts were a mean of $\frac{11.97}{19.13}$ 256 $Wm^{-2} \pm \frac{3.87-16.30}{2}Wm^{-2}$. R² ranged between 0.81 and 0.88 with a mean of 0.84±0.04. The EBR for the different 257 seasons ranged between 0.70 and 1.12, with a mean of 0.92 ± 0.19 . The winter-dry season had the lowest EBR of 258 0.70, while summer recorded 1.02, and spring were closest to unity with EBR of -and 1.12, respectively, and 259 autumn had EBR of 0.84. A large number of outliers is observed in summer due to cloudy weather conditions and 260 rainfall events that make the thermopile surface wet, thus reducing the accuracy of the net radiometer. A study 261 comparing different the performance of different net radiometers by Blonquist et al. (2009) shows that the NR-262 Lite is highly sensitive to precipitation and dew/ frost since it-the sensor is not protected.

263 (Figure 3)

252 253

264 The results of our study concur with similar studies that assessed the seasonal variation of EBR. For instance, 265 Wilson et al. (2002) comprehensively investigated the energy closure of the summer and winter seasons for 22 266 FLUXNET sites for 50 site-years. They also reported higher energy balance correlation during the wet compared 267 to the dry season, with the mean R² of 0.89 and 0.68, respectively. Whereas our results show significant differences 268 between the wet (1.12) and dry (0.70)-their, their EBR showed smaller differences between the two seasons, being 269 0.81 and 0.72, for summer and winter, respectively. Ma et al. (2009) reported an opposite result from the Skukuza 270 results, showing energy closures of 0.70 in summer and 0.92 in winter over the flat prairie on the northern Tibetan 271 Plateau.

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3.2.3. Day – night-time effects

Fig 4 shows the daytime and nocturnal OLS regression results for the 15 year period. The daytime and nocturnal slopes were 0.99 and 0.11, with the intercepts being 76.76 and 1.74 Wm⁻², respectively. Daytime and nocturnal R² were 0.64 and 0.01, respectively. The EBR for the different times of day were 0.96 and 0.27, daytime and nocturnal, respectively.

278 (Figure 4)

Other studies also reported a higher daytime surface energy balance closure. For instance, Wilson et al., (2002)
showed that the mean annual daytime EBR was 0.8, whereas the nocturnal EBR was reported to be was negative
or was much less or much greater than 1.

To understand the effect of friction velocity on the energy balance closure, <u>surface energy data</u> which had <u>corresponding</u> friction velocity (u*) data, were used. Using friction velocity, the data were separated into 4 25-percentiles, and the EBR and OLS evaluated. Results show that the first quartile, the EBR was 3.94, with the 50-percentile at 0.99, the third quartile at unity, and the fourth quartile at 1.03 (Fig 5). The slopes were between 1.01 and 1.12, with the intercepts ranging between -9.26 and -0.17 Wm⁻², whereas R² were 0.82, 0.86, 0.85 and 0.81 for the first to the fourth quartiles, respectively.

288 (Figure 5)

289 An assessment shows that the time associated with the low friction velocities, i.e. the first quartile are night-time 290 data constituting 81 % of the whole first quartile dataset, and the last quartile had the highest number of daytime 291 values at 79.29 % of the fourth quartile dataset. Lee and Hu (2002) hypothesized that the lack of energy balance 292 closure during nocturnal periods was often the result of mean vertical advection, whereas Aubinet et al., 293 (1999) and Blanken et al., (1997) showed that energy imbalance during nocturnal periods is usually greatest when 294 friction velocity is small. Another source of error in the nocturnal EBR is the high uncertainty in night-time 295 measurements of Rn. At night, the assumption is that there is no shortwave radiation, and Rn is a product of 296 longwave radiation. Studies show that night-time measurements of longwave radiation were less accurate than 297 daytime measurements (Blonquist et al., 2009). The RN-Lite, for instance has low sensitivity to longwave 298 radiation, resulting in low accuracy in low measurements.

299 Soil heat flux (G) plays a significant role in the surface energy balance as it determined how much energy 300 is available for the turbulent fluxes, especially in areas with limited vegetation cover. In this study, we examined 301 how G, i.e., its presence or absence, impacts on the EBR. Our results revealed a decrease of up to 7 %, with an 302 annual mean of 3.13±2.70, in EBR when G was not included in the calculation. During the daytime, the absence 303 of G resulted in a decrease of approximately 10 % of the initial EBR, while at nighttime EBR was as low as 50 % 304 of the initial EBR, showing that G has greater impact on the surface energy balance at night. While G plays a 305 significant role on the surface energy balance closure, our study ignored the different energy storage terms in 306 determining the EBR, including the soil heat storage. The exclusion of the soil heat storage results in the 307 underestimation of G, as the real value of G is a combination of the flux measured by the plate and the heat 308 exchange between the ground and the depth of the plate. This in turn contributes to overestimating the available 309 energy, which then lowers the EBC. As reported by different studies, the omission of the soil heat storage results 310 in the underestimation of the energy EBC by up to 7 %. For instance, Zuo et al. (2011) reported an improvement 311 of 6 to 7 % when they included the soil heat storage in their calculation of EBR,, at the Semi-Arid Climate and 312 Environment Observatory of Lan-Zhou University (SACOL) site in semi-arid grassland over the Loess Plateau 313 of China. In their study in the three sites in the Badan Jaran desert, Li, Liu, Wang, Miao, and Chen (2014) analysed 314 the effect of including soil heat storage derived by different methods in the energy balance closure; their EBR 315 improved by between 1.5 % and 4 %. The improvement of the EBR in the study in a FLUXNET boreal site in 316 Finland by Sánchez, Caselles, and Rubio (2010) was shown to be 3 % when the soil heat storage was included, 317 which increased to 6 % when other storage terms (canopy air) were taken into account.

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319 **3.3.** Surface energy partitioning

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3.3.1. Surface energy measurements

The mean daily and annual measurements of the energy budget components from 2000 to 2014 are highlighted in Fig 6 and Table 2. The seasonal cycle of each component can be seen throughout the years, where at the beginning of each year the energy budget components are high, and as each year progresses they all decrease to reach a low during the middle of the year, which is the winter/ dry season, and a gradual increase being experienced during spring right to the summer at the end of each year. The multi-year daily means of Rn, H, LE and G were 139.1 Wm⁻², 57.70 Wm⁻², 42.81 Wm⁻² and 2.94 Wm⁻², with standard deviations of 239.75 Wm⁻², 104.15 Wm⁻², 70.58 Wm⁻² and 53.67 Wm⁻², respectively.

328 (Figure 6)

- 329 The gaps in 2006 indicate the absence of the surface energy flux measurements in those years, which was a result
- 330 of instrument failure. Between 2004 and 2008, the Rn was calculated as a product of measured shortwave radiation
- and modelled longwave radiation, which was a high source of error in the estimation of Rn. These years are also
- B32 <u>characterisedcharacterized</u> by poor energy balance closure, as shown in Section 3.2.1 above.
- 333 (Table 2)
- 334

335 **3.3.2.** Influence of weather conditions and seasonality

In arid/semi-arid ecosystems, solar radiation is not a limiting factor for <u>latent heat flux</u>, instead it is mainly limited by water availability. The seasonal fluctuations of energy fluxes are affected by the seasonal changes in the solar radiation, air temperature, precipitation and soil moisture (Baldocchi et al., 2000; Arain et al., 2003). These climatic variables influence vegetation dynamics in an ecosystem, as well as how solar radiation is partitioned. Hence, daily measurements of precipitation, air temperature and VPD were evaluated to investigate the partitioning of the surface energy in the semi-arid savanna landscape of Skukuza.

342 (Figure 7)

To illustrate the partitioning of solar radiation into the different fluxes throughout the year, Fig 7 presents the multi-year mean monthly variations of the surface energy components showing a general decrease of the components between February and June, which then gradually increases again until November. The multi-year monthly means of Rn, H, LE and G were 71.27 Wm⁻² (June) and 197.33 Wm⁻² (November), 37.11 Wm⁻² (June) and 80.37 Wm⁻² (November), 8.52 Wm⁻² (August) and 127.17 Wm⁻² (December), -2.28 Wm⁻² (June) and 20.78 Wm⁻² (November), respectively. The month of August had the highest BR of 6.42, whereas December had the least at 0.42. The residual accounted for between -<u>19.69 and</u> 34.74 % of Rn, and an average of 4.70 %.

The general trend shows that sensible heat flux dominated the energy partitioning between May and October, followed by latent heat flux, and lastly the soil heat flux, except during the wet season where latent heat flux was larger than sensible heat flux. This is illustrated by the trend of BR, <u>showing an</u> increase from April, with the peak in August, then a steady decrease until it hits lowest in December. The period of low BR is characterisedcharacterized by high Rn and high precipitation. As the season transitions into <u>winterthe dry season</u>, it is <u>characterisedcharacterized</u> by reduced net radiation and low measurements H and LE.

356 Just before the first rains, i.e. between September and November, tree flowering and leaf emergence 357 occurs in the semi-arid savanna in the Skukuza area (Archibald and Scholes, 2007), and grasses shoot as soil 358 moisture availability improves with the rains (Scholes et al., 2003). This is characterized by a gradual 359 increase in LE and decrease in BR, which, when compared to the winter-dry season, is significantly lower than 360 the H, as illustrated in Fig 7. As the rainy season progresses, and vegetation development peaks, LE also reaches 361 its maximum, becoming significantly higher than H, and hence, low BR. Between March and September, when 362 leaf senescence occurs, the leaves gradually change colour to brown and grass to straw, and trees defoliate, H 363 again gradually becomes significantly higher than LE.

364 (Figure 8)

The influence of VPD and Rn on surface energy partitioning was investigated during the wet and dry seasons. Results show <u>that during both periods</u> there is an increase in H and decrease in LE with an increase in VPD; although the gradient of LE rise differ significantly during the two periods, H increases similarly during both the wet and dry periods (Fig <u>89</u>). VPD is higher in times of little or no rain (low soil water availability),

- 369 which explains the slight increase in LE with a rise in VPD (Fig 948d). In this instance, although the evaporative
- 370 demand is high, the stomatal conductance is reduced due to absence of water in the soil, resulting in smaller LE
- 371 and higher H. Rn, on the other hand, is partitioned into different fluxes, based on other climatic and vegetation
- 372 physiological characteristics. Figure 9 illustrates that both LE and H increase with increase in Rn, although their
- 373 increases are not in proportion, based on season. During the wet season, the rate of increase of LE is higher than
- 374 that of H, whereas in the dry season the reverse is true. The rate of increase of LE is controlled by the availability 375
- of soil water (precipitation), (also illustrated in Figure 6 (LE)), and during the wet season it increases steadily with
- 376 increasing Rn, whereas the rate of increase of H is concave, showing saturation with an increase in Rn. The
- 377 opposite is true during the dry season, with limited water availability, where the rate of increase of LE slows down
- 378 with increase in Rn, and a steady increase of H with Rn increase.
- 379 (Figure 9)

380 Our study results are consistent with similar studies, for exampleGu, Gu et al. (2006), who examined 381 how soil moisture, vapor pressure deficit (VPD) and net radiation control surface energy partitioning at a 382 temperate deciduous forest site in central Missouri, USA. Both studies agree that with ample soil moisture, during 383 the rainy season, latent heat flux dominates over sensible heat flux, and reduced soil water availability reversed 384 the dominance of latent heat over sensible heat, because of its direct effect on stomatal conductance. An increase 385 in net radiation, on the other hand, also increases both sensible and latent heat fluxes. The increase of either then 386 becomes a function of soil moisture availability, since they cannot increase in the same proportion. However, 387 whereas we found that a rise in VPD is characterized by a decrease in LE and an increase in H in both periods, 388 their findings show a significant increase in LE and decrease in H with a rise in VPD during the non-drought 389 period, with both components showing slight increases with increase in VPD in dry conditions. Li et al. (2012) 390 also investigated the partitioning of surface energy in the grazing lands of Mongolia, and concluded that the energy 391 partitioning was also controlled by vegetation dynamics and soil moisture availability, although soil heat flux is 392 reportedly higher than latent heat flux in most instances. In a temperate mountain grassland in Austria, Harmmerle 393 et al., (2008) found that the energy partitioning in this climatic region was dominated by latent heat flux, followed 394 by sensible heat flux and lastly soil heat flux.

395 The consensus in all above studies is that vegetation and climate dynamics play a critical role in energy 396 partitioning. They note that during full vegetation cover, latent heat flux is the dominant portion of net radiation. 397 However, depending on the climatic region, the limiting factors of energy partitioning vary between water 398 availability and radiation. Our study confirms that in semi-arid regions, sensible heat flux is the highest fraction 399 of net radiation throughout the year, except during the wet period, when latent heat flux surpasses sensible heat 400 flux. However, in regions and locations where water availability is not a limiting factor, latent heat flux may take 401 the highest portion of net radiation.

403 4. Conclusion

402

404 This study investigated both surface energy balance and its how it is partitioning partitioned into turbulent fluxes 405 during the wet and dry seasons in a semi-arid savanna ecosystem in Skukuza using eddy covariance data from 406 2000 to 2014. The analysis revealed a mean multi-year energy balance ratio of 0.93, Thethe variation of RBR 407 based on season, time of day and as a function of friction velocity was explored. The seasonal EBR varied between 408 0.70 and <u>1.12</u>, with winter the dry season recording the highest energy imbalance. Daytime EBR was as high as

409 0.96, with 0.27 EBR for the nighttime. The high energy imbalance at night was explained as a result of stable 410 conditions, which limit turbulence that is essential for the creation of eddies. The assessment of the effect of 411 friction velocity on EBR showed that EBR increased with an increase in friction velocity, with low friction 412 velocity experienced mainly during night-time.

413 The energy partition analysis revealed that sensible heat flux is the dominant portion of net radiation in 414 this semi-arid region, except in summer, when there is rainfallduring the rainfall period. The results also show 415 that water availability and vegetation dynamics play a critical role in energy partitioning, whereby when it rains, 416 vegetation growth occurs, leading to an increase in latent heat flux / evapotranspiration. Clearly an increase in Rn 417 results in a rise in H and LE, however their increases are controlled by water availability. During the wet season, 418 the rate of increase of LE is higher than that of H, whereas in the dry season the reverse is true. The rate of increase 419 of LE is controlled by the availability of soil water (precipitation), and during the wet season it increases steadily 420 with increasing Rn, whereas the rate of increase of H shows saturation with an increase in Rn. The opposite is 421 true during the dry season, with limited water availability, the rate of increase of LE reaches saturation with 422 increase in Rn and a steady increase of H with Rn increase. An increase in VPD, on the other hand, results in an 423 increase in H and decrease in LE, with higher VPD experienced during the dry season, which explains the high 424 H, although the evaporative demand is high.

425

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- 525 526

527 Table 1: Measurements taken and instruments used at Skukuza flux tower

Instrument	Model/ brand	Measurement		
Sonic anemometer	Gill Instruments Solent R3, Hampshire, England	3-dimensional, orthogonal components of velocity (u, v, w (ms ⁻¹)), sonic temperature		
Closed path gas analyser	IRGA, LiCOR 6262, LiCOR, Lincoln	Water vapor, carbon dioxide concentrations		
Radiometer	Kipp and Zonen CNR1, Delft, The Netherlands	Incoming and outgoing longwave and shortwave radiation		
HFT3 plates	Campbell Scientific	Soil heat flux at 5 cm depth with 3 replicates, i.e. two under tree canopies and one on open space		
Frequency domain reflectometry probes	Campbell Scientific CS615, Logan, Utah	Volumetric soil moisture content with two in the Acacia – dominated soils downhill of the tower at 3, 7, 16, 30, and 50 cm, and another two at 5, 13, 29, and 61 cm in the Combretum – dominated soils uphill		

Year	% data completion		Н	LE	G	Rn
2000	•	Max	470.31	422.89	191.53	817.60
	14.16	Min	-139.77	-72.43	-61.60	-95.93
		Mean	45.82	36.11	5.32	91.46
2001		Max	790.82	513.09	292.87	899.90
	12.78	Min	-159.87	-85.95	-90.27	-116.58
		Mean	58.56	43.68	9.27	128.27
2002	17.77	Max	415.93	174.07	171.93	583.30
		Min	-117.66	-89.16	-86.00	-122.21
		Mean	61.35	10.29	4.10	90.72
2003	41.50	Max	556.21	308.71	217.60	879.30
		Min	-92.99	-97.81	-106.23	-116.04
		Mean	58.15	21.68	6.17	94.53
2004		Max	505.36	498.10	129.96	925.30
	28.21	Min	-150.08	-89.07	-69.76	-5.88
		Mean	56.46	17.99	7.97	156.10
2005		Max	606.28	737.43	288.20	933.20
	35.37	Min	-130.40	-97.00	-107.37	-4.92
		Mean	51.43	17.82	0.99	159.09
2006		Max	583.66	331.25	335.30	1003.30
	7.59	Min	-72.45	-119.09	-72.80	-6.56
		Mean	84.67	35.94	19.69	247.70
2007	48.77	Max	552.93	426.34	340.67	1011.30
		Min	-131.40	-130.79	-129.70	-6.71
		Mean	59.04	14.32	4.14	169.84
2008	54.30	Max	616.43	439.76	238.57	1038.50
		Min	-140.13	-144.97	-104.60	-5.91
		Mean	63.06	26.30	6.22	191.26
2009	42.69	Max	551.34	776.62	328.93	1060.50
		Min	-96.68	-135.43	-94.20	-155.90
		Mean	55.42	96.54	6.87	207.77
2010	57.65	Max	626.68	624.38	199.33	888.00
		Min	-173.11	-135.62	-66.35	-180.70
		Mean	57.23	52.54	3.74	105.10
2011	41.34	Max	591.16	688.46	171.27	832.00
		Min	-135.77	-127.02	-58.59	-96.50
		Mean	63.88	73.11	1.75	127.94
2012	27.62	Max	572.11	566.88	185.80	899.00
		Min	-171.83	-148.49	-50.92	-99.69
		Mean	59.25	52.49	2.16	111.31
2013	67.97	Max	570.79	665.48	146.03	845.58
		Min	-197.40	-149.10	-55.36	-107.70
		Mean	50.25	38.63	-1.22	92.80
2014		Max	533.46	726.31	89.50	893.00
	28.66	Min	-238.65	-134.39	-33.36	-89.70
		Mean	59.37	69.55	1.18	147.30

Table 2: Statistical summary of annual values of the energy balance components



537 Years
538 Figure 1: summaries of daily mean monthly anomalies (a) average air temperature, (b) average VPD, and (c) total
539 rainfall from 2000 to 2014

534

Figures



Figure 2: 15-year series of annual regression analysis of turbulent (sensible and latent) heat fluxes against available energy (net radiation minus ground-conduction heat flux) from 2000 to 2014 at Skukuza, (SA). The colour bars represent the count of EBR values.



546Figure 3: Seasonal turbulent fluxes (H+LE) correlation to available energy (Rn-G) for Skukuza flux tower from547summer(Dec-Feb), autumn (March-May), winter (June-Aug), spring (Sept-Nov). The colour bars represent the count548of EBR values







556 Figure 5: OLS and EBR evaluations at different friction velocity sorted at 4 quartiles. The colour bar represents the 557 count of EBR values. The colour bars represent the count of EBR values.



Figure 6: Time series of daily mean surface energy balance component fluxes from 2000 to 2014 at Skukuza flux tower site (SA)



567 568 Figure 7: 15-year (2000-2014) monthly means of surface energy balance fluxes of Skukuza flux tower site (SA), highlighting the partitioning of Rn





571 Figure 8: Relationship between the fluxes and VPD under wet and dry conditions



