

Improving SWAT model performance in the upper Blue Nile Basin using meteorological data integration and sub-catchment discretization

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Abstract. The **Blue Nile Basin** is confronted by land degradation problems, insufficient agricultural production, and limited number of developed energy sources. **Hydrological** models provide useful tools to better understand such complex systems and improve water resources and land management practices. In this study, SWAT was used to model the hydrological processes in the **upper Blue Nile Basin**. Comparisons between a Climate Forecast System Reanalysis (CFSR) and a **conventional** ground weather dataset were done under two sub-basin discretization **levels** (30 and 87 sub-basins) to create an integrated dataset to improve the spatial and temporal limitations of both datasets. A SWAT Error Index (SEI) was also proposed to compare the reliability of the models under different discretization levels and weather datasets. This index offers an assessment of the model quality based on precipitation and evapotranspiration. **SEI demonstrates to be a reliable additional and useful method to measure the level of error of SWAT.** The results showed the discrepancies of using different weather datasets with different **sub-basins discretization levels**. Datasets under 30 sub-basins achieved NS values of -0.51, 0.74 and 0.84; p-factors of 0.53, 0.66 and 0.70; and r-factors of 1.11, 0.83 and 0.67 for the CFSR, ground and integrated datasets, respectively. While models under 87 sub-basins achieved NS values of -1.54, 0.43, and 0.80; p-factors of 0.36, 0.67 and 0.77; r-factors of 0.93, 0.68 and 0.54 for the CFSR, ground and Integrated datasets, respectively. Based on the obtained statistical results, the **integrated dataset** provides a better model of the **upper Blue Nile Basin**.

Keywords. SWAT, sub-basins discretization, CFSR, Integrated dataset, SWAT Error Index (SEI).

1 **1 Introduction**

2

3 Water resources in the **upper Blue Nile Basin** are not being managed adequately; land use changes, fast
4 population growth, land erosion and deforestation are some of the causes currently affecting the
5 watershed. Therefore, **in order to improve and provide better land use management practices and**
6 **mitigate the alarmingly erosion problems researchers need to understand the hydrological conditions of**
7 **the basin**. Physically based, distributed models have provided a very efficient alternative for watershed
8 researchers for analyzing the impact of land management practices on soil degradation, agriculture,
9 water allocation and chemical yields (Setegn et al., 2008). Due to its versatility and applicability to
10 complex watersheds, researchers have identified the Soil and Water Assessment Tool (SWAT) as one
11 of the most intricate, consistent and computationally efficient models (Neitsch et al., 2009 and
12 Gassman et al., 2007). Recent studies are a prove that SWAT has become internationally and
13 interdisciplinary accepted for modelling large and small watersheds (Malunjar et al., 2015; Me et al.,
14 2015; Emam et al., 2016; Wang and Sun, 2016). SWAT provides a wide range of parameters to work
15 with, allowing users to analyze several hydrological processes. It also has the advantage to have been
16 developed to analyze the interaction of several hydrological parameters and the impact of land
17 management practices specifically for large and complex basins, thus a good model to be applied in the
18 **upper Blue Nile Basin**. However, due to the lack of a unifying theory to accurately model the
19 interaction of the hydrological processes, complex hydrological models suffer from over-
20 parameterization and high predictive uncertainty (Sivapalan, 2006). Therefore, it is difficult to simulate
21 the complex interactions of hydrological processes and weather conditions of watersheds without
22 uncertainties.

23 Among all the input parameters, the meteorological data has the most significant impact on the water
24 balance of a watershed. However, a common problem to set up hydrological models of the **upper Blue**
25 **Nile Basin** are related to data limitations. In developing countries the distribution of meteorological
26 stations is irregular and dispersed (Worqlul et al., 2014). Other weather data problems are related to
27 measuring gauges; many weather data parameters contain missing data periods, and in several cases
28 erroneous measurements are also possible. Thus, many models are often set up based on limited and
29 incomplete data, which may lead to less reliable models. This lack of hydrological and climatic data
30 has impeded in-depth studies of the hydrology of the **upper Blue Nile Basin** (Tekleab et al., 2011).
31 Several previous studies have modeled the entire and also small catchments of the Nile Basin providing
32 **good and meaningful results** (Tibebe and Bewket, 2011; Setegn et al. 2008; Setegn et al. 2010;
33 Swallow et al. 2009 and Mulungu et al. 2007). However, most of the hydrological models are built for
34 the Lake Tana basin and its sub-basins: Gummara, Ribb, Gilgel Abay and Koga (Chebud et al., 2009;
35 Setegn et al., 2008, 2010 and Wale, 2008). Dessie et al. (2015) and Kebede et al. (2006) performed a
36 very detailed daily water balance analysis and annual water budget for the Lake Tana basin where the
37 runoff and outflows of ungauged catchment were estimated. Uhlenbrook et al. (2010) performed an
38 analysis of the hydrological processes and responses of Gilgel Abay and Koga catchments applying the
39 HBV model. Other studies have modeled the entire **upper Blue Nile Basin**, for instance, Abera et al.
40 (2016) performed a water budget analysis in the **upper Blue Nile Basin** where precipitation, outflow

1 and evapotranspiration analyses were done. Betrie et al. (2011) and Easton et al. (2010) also modelled
2 and calibrated the **upper Blue Nile Basin** using discharge data to estimate sediment yield and erodible
3 areas of the basin, values of the calibrated parameters for flow and sediment were also shown. Dessie
4 et al. (2014) also performed a runoff and sediment yield analysis in the **upper Blue Nile Basin**,
5 although the main analysis was done at the Lake Tana region. Tekleab et al. (2011) also modeled the
6 **upper Blue Nile Basin** where an interesting water balance analysis was done and monthly stream flows
7 for several sub-catchments were modeled. However, most of the studies at large scale in the **upper Blue**
8 **Nile Basin** do not provide detailed values for the each of the water balance components of the basin.
9 Another important issue when setting up SWAT models is regarding the right number of sub-basins,
10 because the number of meteorological stations to be used by SWAT will depend on the number of sub-
11 basins. For instance, if two stations are located within one sub-basin, SWAT will choose the station
12 nearest to the center of the sub-basin, the other station will be disregarded. But if more sub-basins are
13 created in a model, and these two stations lie in different sub-basins then both stations will be
14 considered by SWAT, which provides different water balance results.
15 Therefore, the first objective of this study has been the comparison of different weather datasets at
16 large scale and under different sub-basin discretization levels. Two models were created using different
17 sub-catchment discretization, 30 and 87 sub-basins, hereafter named SWAT30 and SWAT87,
18 respectively (Figure 3). The time frame of the models was from 1990 to 2004, using a 4 years warm up
19 period (1990-1993), a 6 years calibration period (1994-1999) and a 5 years validation period (2000-
20 2004). This comparison provided a better understanding of the effects of different sub-basin
21 discretization levels on the total water balance of a watershed. It also helped to identify the temporal
22 and spatial constraints of both datasets. Roth and Lemann (2016) performed a comparison between
23 CFSR and conventional data in small catchments in the Ethiopian highlands, where they showed that
24 the CFSR data provided unreliable results. However, Roth and Lemann (2016) made it clear that the
25 CFSR data was tested only in very small catchments ranging from 112 to 477 hectares and not at large
26 scale, also suggesting that CFSR data should be carefully checked and compared with conventionally
27 measured data of similar climatic stations. Furthermore, this study proposes an integration of CFSR
28 and conventional weather data to be used at large scale in the **upper Blue Nile Basin** with an area of
29 approximately 199,812 km². Additionally, the used CFSR stations were compared with conventionally
30 measured data. Based on the obtained statistical results, the integration of these two datasets provides
31 better models and a better representation of the magnitudes and distribution of the different weather
32 variables in the **upper Blue Nile Basin**.
33 After a hydrological model has been setup, a critical point to determine its quality is the water balance.
34 Therefore, in addition to graphical assessments, other statistical indicators as Nash-Sutcliffe coefficient
35 (NS), percent bias (PBIAS), and ratio of the root-mean-square error (RSR) to the standard deviation of
36 measured data were proposed by Moriasi et al. (2007). Based on these commonly used statistical
37 indicators most of the SWAT models provide very good results for discharge values at the outlet of a
38 basin (Griensven et al., 2012). However, the evaluation of the models based on both evapotranspiration
39 and water balance are not discussed in details, and the evapotranspiration behavior of a catchment is
40 usually not presented. Several published documents could even report unrealistic parameter values

1 (Griensven et al., 2012). Therefore, the second objective of this study has been to propose an index, the
2 SWAT Error Index (SEI), to quantify the level of error of a hydrological model. The SEI uses flexible
3 weighting values for the relative Root Mean Square Error (rRMSE) obtained from measured flow
4 discharge data and satellite evapotranspiration data. **SEI showed** to be an useful additional method to
5 develop models that can provide a better representation of the water balance of a watershed.

6 7 **2 Materials and methods**

8 9 **2.1 Study site**

10
11 The **upper Blue Nile Basin**, also known as **Abay** basin, is located in the northwestern highlands of
12 Ethiopia, approximately between Latitude 7 40'N and 12 51'N, and Longitude 34 25'E and 39 49' E,
13 with elevations raging between 483 and 4248 m.a.s.l. The total area of the **upper Blue Nile Basin** is
14 approximately 199,812 km², including two sub-basins shared with Sudan in the northern region. The
15 climate in the **upper Blue Nile Basin** fluctuates from humid to semi-arid and it is mainly dominated by
16 latitude and altitude, with average temperatures ranging from 13°C in the **south eastern** to 26°C in the
17 south western **regions**. The lowest rainfall data detected during the current research period (1990-2004)
18 corresponds to the eastern region, for the sub-basins of Beshelo, North Gojam, South Gojam, Welaka,
19 Jemma, Muger, Guder and Fincha; where the precipitation drops below 1000 **mm/year** (**Figure 1 and**
20 **Figure 4**). While the highest precipitation ranges belong to the western region: Didessa, Wenbera,
21 Anger, Dabus and Beles; with precipitations above 1900 **mm/year** (**Figure 1 and Figure 4**). The
22 topographic disparity and variations in altitude of the **upper Blue Nile Basin** have a great impact in the
23 weather, soil and vegetation conditions. Consequently, rainy seasons are very variable in this watershed,
24 for instance the total discharge peaks at the Eldiem gauging station can reach 7,000 m³/s; and dry
25 seasons can go as low as 100 m³/s (**Figure 7 and Figure 8**). Soils in the **upper Blue Nile Basin** are
26 mainly dominated by ten types (Figure 2): Eutric Nitosols, Eutric Cambisols, Humic Fluvisols, Cambic
27 Arenosols, Chromic Vertisols, Dystric Cambisols, Eutric Fluvisols, Eutric Regosols, Orthic Acrisols
28 and Pellic Versitols (FAO, 2015).

29 30 **2.2 Datasets**

31
32 A Shuttle Radar Topographic Mission Digital Elevation Model (SRTM DEM) from the Consultative
33 Group on International Agricultural Research-Consortium for Spatial Information (CGIAR-CSI) was
34 used to setup the model. This DEM has a resolution of 90 meters, and was used to perform an
35 automatic watershed delineation of the **upper Blue Nile Basin**, where the flow direction, flow
36 accumulation and streams network were automatically determined by SWAT.

37
38 The second input dataset was a land use map, which was obtained from the GIS Portal of the
39 International Livestock Research Institute (ILRI), and corresponds to the year 2004
40 (<http://data.ilri.org/geoportal/catalog/main/home.page>).

1 The soil map used for these models was developed by the Food and Agriculture Organization of the
2 United Nations (FAO-UNESCO). This world soils map was prepared by FAO and UNESCO at 1:5 000
3 000 scale ([http://www.fao.org/soils-portal/soil-survey/soil-maps-and-databases/faunesco-soil-map-of-
4 the-world/en/](http://www.fao.org/soils-portal/soil-survey/soil-maps-and-databases/faunesco-soil-map-of-the-world/en/)). The information provided by this map was used in combination with the Harmonized
5 World Soil Database v1.2, a database that combines existing regional and national soil information
6 ([http://www.fao.org/soils-portal/soil-survey/
7 soil-maps-and-databases/harmonized-world-soil-database-
8 v12/en/](http://www.fao.org/soils-portal/soil-survey/soil-maps-and-databases/harmonized-world-soil-database-v12/en/)).

9 The last input dataset was the meteorological information. Two weather datasets from different sources
10 were used to setup the models. The first weather dataset was collected from the National Meteorology
11 Agency of Ethiopia (NMA). The data used for these models correspond to 42 stations distributed in the
12 **upper Blue Nile Basin** (Figure 3). However, only 15 of these stations are capable of measuring all 5
13 parameters needed to set up SWAT: rainfall, temperature, relative humidity, solar radiation and wind
14 speed. Moreover, few of these 15 station have available complete and continuous data for the entire
15 period under study (1990-2004). For instance, the collected data for solar radiation was limited to 2
16 stations only, wind speed was available for 4 stations; only maximum temperature was available for 4
17 stations, relative humidity was available for 3 stations, and precipitation was available for all 42
18 stations. Additionally, the quality of this observed data is somehow questionable. Many meteorological
19 stations are more than 10 years old, and their constant technical failure due to the lack of continuous
20 expert maintenance also questions the quality of the data. Large part of the available ground data has
21 been collected from old stations that could have in many cases malfunctioning, defected and outdated
22 devices. The second weather dataset was the Climate Forecast System Reanalysis (Figure 3), a dataset
23 that has been produced by the National Centers for Environmental Prediction (NCEP)
24 (<http://globalweather.tamu.edu/>). CFSR data brings several uncertainties due to its multiple spatial and
25 temporal interpolations (Dile and Srinivasan, 2014). It was generated using different assimilation
26 techniques that include satellite radiances, advanced coupled atmospheric, oceanic and land surface
27 modelling components. The global atmosphere resolution of CFSR data is approximately 38km. These
28 atmospheric, oceanic and land surface output products are available at a 0.5°x0.5° latitude and
29 longitude resolution. Both weather datasets used for these models correspond to **the period 1990-2004**.

30
31 For the analysis of the quality of the SWAT models, monthly flow discharge data and
32 evapotranspiration data were used. The flow discharge data was obtained from the Ministry of Water,
33 Irrigation and Electricity of Ethiopia and corresponds to the gauging stations at Kessie and Eldiem at
34 the main stream of the **upper Blue Nile Basin** (Figure 3). For the evapotranspiration analysis, **data from
35 the MOD16 Global Terrestrial Evapotranspiration Project** (<http://www.ntsug.umd.edu/project/mod16>)
36 **was obtained**. The global evapotranspiration data from MOD16 are regular 1 km² land surfaces
37 datasets for the 109.03 million km² of vegetated area in the whole globe at different time interval: 8
38 days, monthly and annual, from which monthly data generated specifically for the Nile basin was used.

2.3 Water balance and evapotranspiration processes in SWAT

Water balance in watersheds is one of the most important factors used to determine if a model is good enough for any particular application. Hence, analyses of the processes involved in the estimation of the water balance of a watershed (evapotranspiration, runoff and groundwater) can provide more details about the hydrological behavior of a watershed and can be used to understand the interaction of main hydrological processes (Zhang et al., 1999). For the input data processing and hydrological estimation SWAT is using two levels of discretization, sub-basins and Hydrologic Response Units (HRUs). HRUs are contained in the sub-basins and are defined based on the land use map, soil map and slope classes. HRUs allow the model to reflect differences in evapotranspiration and other hydrologic conditions for each crop and soil type. The water balance in SWAT is calculated for each HRU using the following formula (Neitsch et al., 2009):

$$SW_t = SW_0 + \sum_{i=1}^t (R_{day} - Q_{surf} - E_a - W_{seep} - Q_{gw})$$

Equation (1)

where SW_t is the final soil water content (mm), SW_0 is the initial soil water content on day i (mm), R_{day} is the amount of rainfall on day i (mm), Q_{surf} is the amount of surface runoff on day i (mm), E_a is the amount of evapotranspiration on day i (mm), W_{seep} is the amount of water entering the vadose zone from the soil profile on day i (mm), and Q_{gw} is the amount of return flow on day i (mm).

SWAT can estimate the evapotranspiration using several methods, from which Hargreaves and Penman-Monteith methods were compared in this study (Figures 11 and Figure 12). The Hargreaves method calculates the potential evapotranspiration using minimum and maximum daily temperature as input data (Hargreaves and Samani, 1982). This method was chosen as a better option for the upper Blue Nile Basin due to the data scarcity of the meteorological stations in the basin. Hargreaves equation can be used with the sole input of temperature data, while Penman-Monteith requires more data, for instance wind speed, solar radiation and relative humidity. Hargreaves method has been recommended for computing potential evaporation in cases when only the maximum and minimum temperatures are available (Allen et al., 1998). A study from Tekleab et al. (2011) was also able to successfully use the Hargreaves equation to calculate the potential evaporation in the upper Blue Nile Basin. Several improvements were made to the original equation since 1975 (Hargreaves and Samani, 1982). The final form of the Hargreaves equation used in SWAT and published in 1985 (Hargreaves et al., 1985) is as follows (Neitsch et al., 2009):

$$\lambda E_0 = 0.0023 * H_0 * (T_{mx} - T_{mn})^{0.5} * (\bar{T}_{av} + 17.8)$$

Equation (2)

where λ is the latent heat of vaporization (MJ kg^{-1}), E_0 is the potential evapotranspiration (mm d^{-1}), H_0

1 is the extraterrestrial radiation ($\text{MJ m}^{-2}\text{d}^{-1}$), T_{mx} and T_{mn} are the maximum and minimum air temperature
2 for a given day ($^{\circ}\text{C}$), respectively, and T_{av} is the mean air temperature for a given day.

3 Following the potential evapotranspiration, the actual evapotranspiration must be calculated. Initially,
4 SWAT calculates the evaporated water intercepted by the canopy, then, maximum transpiration and
5 soil evaporation are calculated. Evaporation from canopy is very significant in forested areas and in
6 several cases can be higher than transpiration. Transpiration for the Hargreaves equation is calculated
7 as (Neitsch et al., 2009):

$$8 \quad E_t = \frac{E'_0 \cdot LAI}{3.0} \quad \text{Equation (3)}$$

10
11 where E_t is the maximum transpiration on a given day ($\text{mm H}_2\text{O}$), E'_0 is the potential
12 evapotranspiration adjusted for evaporation of free water in the canopy ($\text{mm H}_2\text{O}$), and LAI is the leaf
13 area index.

14 Evaporation from the soil on a given day is calculated with following equation (Neitsch et al., 2009):

$$15 \quad E_s = E'_0 \cdot cov_{sol} \quad \text{Equation (4)}$$

17 where E_s is the maximum soil evaporation on a given day ($\text{mm H}_2\text{O}$), E'_0 is the potential
18 evapotranspiration adjusted for evaporation of free water in the canopy ($\text{mm H}_2\text{O}$), and cov_{sol} is the
19 soil cover index.

20 **2.4 Weather data processing and integration**

21
22 **If input data is** used without the respective analyses, models will provide less reliable results. And even
23 small errors in temperature or precipitation can result in considerable inaccuracies and impacts on the
24 models results (Maraun et al., 2010). Tekleab et al. (2011) and Uhlenbrook et al. (2010) checked the
25 data quality of stream flow data in the **upper Blue Nile Basin** based on comparisons graphs and
26 additionally a double mass analysis. In this study the data quality and consistency of the time series on
27 monthly basis in terms of magnitude and spatial distribution of the five input variables required by
28 SWAT were also analyzed through comparison graphs (**Figure 4, Figure 5 and Figure 6**) to determine
29 the deficiencies of the two datasets (CFSR and ground datasets) and to form an integrated dataset.

30
31 In the first case, the ground dataset was used without alterations to create the SWAT models. This
32 ground dataset obtained from the NMA corresponds to 42 stations in the **upper Blue Nile Basin**, where
33 most of the meteorological stations were located in the eastern part of the watershed (Figure 3).
34 Additionally, the data obtained from these stations had several months of missing data, leading to
35 temporal uncertainties.

1 For the second case, the SWAT models were setup using the CFSR dataset, also without alterations.
2 This dataset is evenly distributed at 38 km resolution, with over 100 stations available for the **upper**
3 **Blue Nile Basin**, and is temporally continuous.

4 However, after performing a quality check through a comparison of maps and graphs between the
5 ground and CFSR datasets (Figures 4, Figure 5 and Figure 6), it was noticed that not all the weather
6 variables from CFSR are reliable. The precipitation distribution appeared to be underestimated in the
7 eastern region of the **upper Blue Nile Basin** and overestimated in the western region (Figure 4). The
8 map created from the ground stations (Figure 4, right) showed a precipitation distribution in the
9 western region that is the result of SWAT using the precipitation values from the nearest stations. Two
10 stations in the eastern part, Alemketema and Adet (Figure 5A, 5B, and Figure 6A, 6B), showed the
11 underestimation of the CFSR rainfall at the eastern region; and Ayehu (Figure 5C and Figure 6C)
12 showed the overestimation of the CFSR rainfall in the western region. For this reason, additional CFSR
13 rainfall stations were not used in the integrated dataset. However, the graphical and statistical
14 comparisons of the few available stations for relative humidity, temperature and solar radiation showed
15 an acceptable level of agreement between the ground and CFSR datasets. The seasonal behavior and
16 magnitudes of the values for these variables are similar, additionally the 1-1 graphs showed an
17 acceptable degree of matching (Figure 6). For instance, the values for relative humidity for Debre
18 Tabor and Aykel with both datasets show very similar values (Figure 5D, 5E and Figure 6D, 6E). The
19 comparisons of maximum temperature for Aykel also showed good degree of matching (Figure 5G and
20 Figure 6G), although for Bahir Dar the results were not very good showing a slight underestimation
21 (Figure 5H and Figure 6H). The solar radiation comparison at Bahir Dar (Figure 5I and Figure 6I) also
22 showed a good agreement between both datasets, although results at Debre Tabor (Figure 5J and Figure
23 6J) showed slightly different results. The exception was the wind speed data, which in both cases at
24 Adet and Ayehu (Figure 5K, 5L and Figure 6K, 6L) was overestimated by the CFSR dataset.

25

26 Therefore, these two datasets were integrated to form a third input dataset for SWAT with the objective
27 of overcoming their spatial and temporal limitations. Tekleab et al. (2011) and Uhlenbrook et al. (2010)
28 filled in missing stream flow data of the **upper Blue Nile Basin** using regression analysis, which is also
29 a good approach to fill in missing meteorological values. However in this study, the missing values of
30 the ground dataset refer to complete time series of a specific station and variable. Thus, to create the
31 integrated dataset, the 42 rainfall stations of the ground dataset were taken as basis, this means that the
32 location of the weather stations of the final integrated dataset correspond to the location of the 42
33 rainfall stations of the ground dataset. From there, the missing variables (relative humidity, temperature
34 and solar radiation values) of those 42 rainfall stations were completed by using the variables of their
35 nearest CFSR stations. The integrated dataset has 42 stations where the data for each variable was
36 combined as follows: the precipitation is formed by 42 rainfall stations taken entirely from the ground
37 dataset; the relative humidity is formed by 3 stations from the ground dataset and 39 stations from the
38 CFSR dataset; the maximum temperature is formed by 4 stations from the ground dataset and 38
39 stations from the CFSR dataset, the values for the minimum temperature were taken totally from the
40 CFSR dataset; the solar radiation was formed by 2 stations from the ground dataset and 40 stations

1 from the CFSR dataset; no wind speed data was used in the models. However, missing daily values
2 within a variable were completed by the built-in SWAT weather generator. This integrated dataset
3 contained more data than the ground dataset, and also provided more reliable precipitation values and
4 distribution than those provided by the CFSR dataset.

5 6 **2.5 Parameterization for the calibration and validation of the models**

7
8 One of the major constrains of hydrological modeling is the difficulty of the parameterization of
9 different variables (Hauhs and Lange, 2008). The correct combination of the values of the parameters
10 influencing the ground water, runoff and evapotranspiration processes is a key point on a model
11 calibration. The characterization of watersheds considering their most influential variables is a good
12 approach to determine the predictive capabilities of a model (McDonnell et al., 2007). Initially, it is
13 recommended to perform calibrations for annual discharge values, once acceptable results are acquired;
14 a calibration based on monthly values can be performed to achieve more detailed results (Neitsch et al.,
15 2009). During a model calibration, a potential value can be assigned for each parameter and for each
16 HRU, which would generate a large number of parameters. However, these values can also be applied
17 as a global modification to estimate parameters by multiplying or adding values. Table 2 shows the
18 parameterization applied to the respective regions in the watershed to calibrate stream flows at Kessie
19 and Eldiem, where r stand for relative values and v for values to be replaced. The same
20 parameterization was applied to all the models with different sub-catchment delineations and data
21 sources. Land coverage, soil types and slope **have a great impact on the total water balance, and a**
22 **calibration with wrong parameters values will only produce models with good statistical results but**
23 **with less realistic representation of the actual properties of the watershed.** Therefore, the values of the
24 parameters were modified within the ranges specified by the SWAT Input/Output Documentation 2012
25 (Arnold et al., 2012). For instance, the available water content of the soils were calibrated in such a
26 way that they did not change the physical properties of the soils. The Curve Number 2 (CN2) values
27 were defined within different ranges based on the type of land cover.

28 29 **2.6 Statistical indices and SWAT quality analyses**

30 31 **2.6.1 Calibration and validation with flow discharge**

32
33 In the case of hydrological modeling, limitation with the data quality and capabilities of the model to
34 represent the complexity of the hydrological process often constitute obstacles. Therefore, models must
35 be calibrated, and a statistical analysis is also required **to determine** how reliable the results of the
36 model are prior to their applications (Bastidas et al., 2002). Since sediment data for the **upper Blue Nile**
37 **Basin** is very limited, the calibration and validation of the models were done using flow discharge data
38 only. The calibrated stations were Kessie and Eldiem at the mainstream of the Blue Nile River (Figure
39 3). For the automatic calibration the Sequential Uncertainty Fitting version 2 (SUFI-2) was used to
40 efficiently calculate the coefficient of determination (R^2) and Nash Sutcliffe coefficient (NS) as

1 likelihood measures, trying to catch the seasonal dynamics and magnitudes of the measured discharge
2 data.

3 SUFI-2 is a sequential parameter estimation method that operates within parameter uncertainty
4 domains (Tanveer et al. 2016). SUFI-2 performs several iterations, where each iteration provides better
5 results than the previous iteration and reduces the parameters ranges. In SUFI-2 the objective is to
6 capture most of the observed values within the 95PPU (95% prediction uncertainty) range at the same
7 time that thinner 95PPU range is preferable. The 95PPU represents the uncertainty in the model outputs.
8 Therefore, the simulation starts assuming large and physically meaningful parameter ranges, so that the
9 measure data falls within the 95PPU, and continuously decreases the ranges of the 95PPU and
10 produces better results. The final 95PPU is the 95% of the observed data captured within the final
11 95PPU band, which is defined by the final parameters intervals. Therefore, the best simulation is the
12 best iteration within the 95PPU, and considering that is difficult to claim a specific parameter range for
13 a certain watershed, then any solution within the 95PPU should be an acceptable solution. The fit of
14 simulated results within the 95PPU is quantified through the p-factor and r-factor. The p-factor is the
15 percentage of observed data falling within the 95PPU and ranges from 0 to 1, while r-factor is the
16 thickness of the 95PPU band and ranges from 0 to the infinity. The quality of a calibration and the
17 prediction uncertainty are judged based on how close p-factor is to 1 and how close r-factor is to 0
18 (Yang et al., 2007). A p-factor of 1 and r-factor of 0 represents the measured data. As the number of
19 iterations increases SUFI-2 continues to reduce the 95PPU thickness and produces smaller values for p-
20 factor and r-factor, trying to find a better combination of the parameter values. The uncertainty in
21 SUFI-2 is expressed as an uniform distribution of parameters ranges, and parameters uncertainties are
22 considered for any possible source in variables, for instance model inputs, model structure, model
23 parameters and also measured data (Abbaspour et al., 2015). The uncertainties in the outputs are
24 expressed as the 95PPU. The uncertainty analysis in SUFI-2 is based on the concept that a single
25 parameter value generates a single model response, while a parameter range or propagation of the
26 parameter uncertainty leads to the 95PPU.

27
28 The coefficient of determination (R^2) is a measure of how well the regression line represents the data
29 and gives a measure of the proportion of the fluctuation of a variable that is predictable from another
30 variable. The values for this coefficient denote the strength of the linear relation between Q_m and Q_s ,
31 representing the percentage of the data closest to the line of best fit. The R^2 objective function
32 provided in SWAT-CUP is as follows:

$$R^2 = \frac{[\sum_{i=1}^n (Q_{m,i} - \bar{Q}_m)(Q_{s,i} - \bar{Q}_s)]^2}{\sum_{i=1}^n (Q_{m,i} - \bar{Q}_m)^2 \sum_{i=1}^n (Q_{s,i} - \bar{Q}_s)^2}$$

Equation (5)

33
34
35
36
37 where Q are discharge values, m and s stand for observed and simulated values, respectively, and i is
38 the i^{th} measured or simulated data.

1 Nash-Sutcliffe coefficient (NS), is widely used as goodness-of-fit indicator that expresses the potential
 2 predictive ability of a hydrological model (Nash and Sutcliffe, 1970). The Nash-Sutcliffe objective
 3 function provided in SWAT-CUP is as follows:

$$4 \quad NS = 1 - \frac{\sum_{i=1}^n (Q_m - Q_s)_i^2}{\sum_{i=1}^n (Q_{m,i} - \bar{Q}_m)^2}$$

6 Equation (6)

7
 8 where Q are discharge values, m and s stand for observed and simulated data, respectively, and the bar
 9 stands for the average values.

11 2.6.2 Actual evapotranspiration analysis

12
 13 Additional to the calibration and validation of the SWAT models with flow discharge, comparisons
 14 with evapotranspiration data could also provide more details to quantify the reliability of hydrological
 15 models. Therefore, actual evapotranspiration data for the **upper Blue Nile Basin** was obtained from the
 16 MODIS Global Terrestrial Evapotranspiration Project (MOD16). This is a global estimated data from
 17 land surface by using satellite remote sensing data. This data is intended to be used to calculate
 18 regional water balances, hence a very important source of data for watershed management and
 19 hydrological models analyses. The original MOD16 ET algorithm (Mu et al., 2007) was based on the
 20 Penman-Monteith equation (Monteith, 1965), while the current MOD16 ET has used the improved
 21 evapotranspiration algorithm (Mu et al., 2011). In this improved algorithm, the sum of the evaporation
 22 from the wet canopy surface, transpiration from the dry canopy surface and evapotranspiration from
 23 the soil surface constitute the total daily ET (Mu et al., 2011). The formulae for the total daily ET (λE)
 24 and potential ET (λE_{POT}) are:

$$25 \quad \lambda E = \lambda E_{wet_C} + \lambda E_{trans} + \lambda E_{SOIL}$$

$$26 \quad \lambda E_{POT} = \lambda E_{wet_C} + \lambda E_{POT_trans} + \lambda E_{wet_SOIL} + \lambda E_{SOILPOT}$$

28 Equation (7)

29
 30 where λE_{wet_C} is the evaporation from the wet canopy surface, λE_{trans} is the transpiration from the dry
 31 canopy surface (plant transpiration), λE_{SOIL} is the evaporation from the soil surface, λE_{POT_trans} is the
 32 potential plant transpiration, $\lambda E_{SOILPOT}$ is the potential soil evapotranspiration.

33
 34 Previous studies have already shown that the annual ET derived from the MOD16 algorithm are lower
 35 than those provided by hydrological models, principally when using the Hargreaves method. For
 36 instance, Ruhoff et al. 2013, detected an underestimation of 21% in the evapotranspiration provided by
 37 MOD16 in the Rio Grande basin, Brazil, where the underestimation was mainly caused by the
 38 misclassification of the land use. Sun et al., 2007, also identified certain disadvantages in the MOD16
 39 evapotranspiration. Nevertheless, in this study the evapotranspiration estimations from SWAT were

1 compared with satellite evapotranspiration data. This was done only as comparison and not with
 2 objective of calibrating the models, and also as a test to understand the performance of the proposed
 3 SWAT Error Index (SEI).

4 Evapotranspiration estimations shown as percentage of the average annual precipitation are frequently
 5 given for the **upper Blue Nile Basin**. But these percentages would yield totally different amounts
 6 depending on the average annual precipitation provided by different weather data sources and under
 7 different sub-basin discretization. Therefore, a comparison of the actual evapotranspiration data
 8 provided by MOD16 with the values calculated by SWAT under Hargreaves and Penman-Monteith
 9 equations was done to show the level of discrepancy between data sets (Figure 11, Figure 12 and
 10 Figure 14). MOD16 ET data is available only for the period 2000-2010, hence, the comparison was
 11 done only for 5 years (2000-2004).

12 13 **2.6.3 SWAT Error Index (SEI)**

14
15 A common problem of hydrological models is the wrong combination of the values of the calibrated
 16 parameters, which can also lead to good graphical results, consequently good statistical values, but
 17 wrong water balance values. Consequently, good R² and NS values do not always denote the reliability
 18 of a model. R² and NS are common statistical parameters used to evaluate and compare time series in
 19 hydrological models (Abbaspour, 2015; De Almeida Bressiani et al., 2015; Dile and Srinivasan, 2014;
 20 and Gebremicael et al., 2013). Additionally, rainfall distribution, parameterization and
 21 evapotranspiration are also crucial points to be considered in any hydrological model. Therefore, in this
 22 study, after good calibration and validation values for R² and NS were achieved, and after a
 23 comparison between the SWAT ET and MOD16 ET values was done, an index to quantify the models
 24 quality has been introduced, the SWAT Error Index (SEI). This index is intended to be used only as an
 25 additional indicator to assess the reliability of the SWAT model, where the relative Root Mean Square
 26 Error (rRMSE) was chosen as fitting function.

27
28 Several reliable measured flow discharge datasets are available for rivers, but that is not the case for
 29 evapotranspiration data. However, satellite evapotranspiration data is available for most watersheds in
 30 the world. Furthermore, the measured discharge dataset and the satellite estimated evapotranspiration
 31 dataset do not have the same level of reliability. Therefore, SWAT Error Index uses different weighting
 32 values (W_1 and W_2) to define differences in the level of reliability of the datasets, 0.7 for flow discharge
 33 and 0.3 for evapotranspiration. The proposed equation for SEI is as follows:

34
35

$$SEI = W_1 \left(\frac{\left(\sqrt{\frac{\sum_{i=1}^n (Q_{oi} - Q_{si})^2}{N}} \right)}{(Q_o \max - Q_o \min)} \right) + W_2 \left(\frac{\left(\sqrt{\frac{\sum_{i=1}^n (ET_{oi} - ET_{si})^2}{N}} \right)}{(ET_o \max - ET_o \min)} \right)$$

36 Equation (8)
37
38

1 The first part of the equation corresponds to the rRMSE of the values obtained from the discharge data,
2 where, Q_{oi} is the observed discharge data (m^3/s), Q_{si} is the simulated discharge data (m^3/s), Q_{omax} is
3 the maximum value of the observed discharge data and Q_{omin} is the minimum value of the observed
4 discharge dataset. The second part of the formula corresponds to the rRMSE achieved from the
5 evapotranspiration data that was obtained from MOD16, where, ET_{oi} is the MOD16 evapotranspiration
6 values, ET_{si} is the SWAT simulated evapotranspiration data, ET_{omax} and ET_{omin} are the maximum and
7 minimum values of the MOD16 evapotranspiration data, respectively. W_1 and W_2 are the assigned
8 weighted values for discharge and evapotranspiration, respectively.

9
10 SEI ranges from 0 to $+\infty$, with 0 corresponding to the ideal value. The closer the SEI value of the
11 model is to 0, the model will have a better match with the flow discharge and the evapotranspiration
12 data. Since SEI includes the rRMSE values for discharge and evapotranspiration data, a model with a
13 good SEI results represents a model with a good agreement between these two hydrological processes,
14 which are two important processes influencing the water balance of a watershed. By analyzing the SEI
15 results, the quality of the combination of the parameter used for the calibration could also be evaluated
16 and is less expectable to have a wrong parameterization. SEI was tested for two cases, the first one in
17 whole **upper Blue Nile Basin** and the second in the Ribb sub-catchment in the Lake Tana region.

18 19 **3 Results and discussions**

20 21 **3.1 Impact of different sub-catchment discretization levels and rain gauge combinations**

22
23 After analyzing the different datasets under different discretization levels, it was detected that not only
24 the input data and the parameterization have a critical impact on the water balance, but also the sub-
25 basins distribution. The water balance analysis was done for two calibrated stations, three datasets, and
26 two different sub-basins distributions. Water balance results for the **upper Blue Nile Basin** and also the
27 values for the different hydrological processes and models are given in Table 3, values for these
28 hydrological processes from literature are also given in Table 1 (Cherie, 2013 and Mengistu et al.,
29 2012). The average annual precipitation in the **upper Blue Nile Basin** differs between **literature** (Table
30 1) and also between datasets sources (Table 3). The uncertainty of the rainfall in the **upper Blue Nile**
31 **Basin** basin is also noticeable when models with different sub-basin delineations are compared and
32 show different values (Table 3, Figure 7 and Figure 8 for Eldiem; Figure 9 and Figure 10 for Kessie;
33 with SWAT30 and SWAT87, respectively). With the values provided in Table 2 was possible to obtain
34 good statistical values for the calibrated models (Table 4).

35
36 Figure 7 and Figure 8 show the magnitude and dynamics of the measured and estimated monthly
37 discharge flow at Eldiem. The integrated dataset provided good statistical values for R^2 and NS (Table
38 4) under both discretization levels. The other models using the ground and CFSR datasets also showed
39 good R^2 results, but very low NS values, with the exception of SWAT87 with ground data (Table 4,
40 Figure 7 and Figure 8). Although R^2 is always high in all the models, R^2 is a coefficient that measures

1 only the dynamic of a model. Meaning that the models behave with accuracy matching the seasonality
2 of the rainfalls and dry periods in the **upper Blue Nile Basin**. However, NS is probably a more
3 important factor to be considered as it can be used to quantitatively describe the accuracy of models
4 outputs. Calibrations and validations at Kessie showed good statistical values for the models using the
5 ground and integrated datasets, achieving good R^2 and NS values (Table 4, **Figures 9 and Figure 10**).

6
7 **SWAT30 under the CFSR dataset** provides an average annual precipitation of 1253 mm (Table 3).
8 **While SWAT87 shows an** average annual precipitation increases to 1481 mm. This rainfall increase
9 provided by the CFSR dataset is caused by the number of sub-basins, SWAT87 considered more
10 stations than the SWAT30. However, both average annual precipitation values compared to the other
11 two datasets and to the literature (Table 1) is still within acceptable ranges for **upper Blue Nile Basin**,
12 and it is not the main factor affecting the water balance, but its distribution in the watershed (Figure 4).
13 **Figure 9 and Figure 10** showed how CFSR data is underestimating the precipitation in the eastern part
14 of the basin (at Kessie) compared to that provided by the ground and integrated datasets. **Figure 9 and**
15 **Figure 10** also showed the effect of the number of sub-basins on the simulated discharge flow. The
16 flow discharge provided by the CFSR data is slightly higher in SWAT87 compare to SWAT30,
17 although in both cases this dataset continues to underestimate the flow discharge at Kessie. As the
18 precipitation in the watershed changes in magnitude and distribution, the parameterization for the
19 calibration of the models will be different. Therefore, in order to meet good R^2 and NS for the model
20 with a wrong precipitation distribution (in this case the CFSR data), the values of the parameters
21 needed to be modified to unrealistic values.

23 **3.2 Average annual evapotranspiration and the impact of different data sources and PET** 24 **methods**

25
26 The evapotranspiration has been another critical factor subject to analysis in this study. Depending on
27 the weather dataset, the evapotranspiration values in the **upper Blue Nile Basin** varied from 729
28 mm/year in **SWAT30 with the CFSR dataset up to 932 mm/year in SWAT30 with the integrated**
29 **dataset**. SWAT models using the ground and integrated datasets and the Hargreaves equation showed
30 acceptable discharge values and trends compared to those of measured discharge data (**Figures 7 and**
31 **Figure 8**). However, the models overestimated the evapotranspiration values compared to those
32 provided by MOD16 (Figure 11). Nevertheless, when using the Penman-Monteith method, the SWAT
33 models using the ground and integrated datasets provided more similar evapotranspiration values,
34 better R^2 and NS values compared to the values given by the MOD16 evapotranspiration data (Figure
35 12). **The best match with the evapotranspiration values provided by MOD16** are obtained using the
36 CFSR dataset, this model provided low evapotranspiration values (Figure 12) consequently
37 overestimated the flow discharges (**Figure 7 and Figure 8**). For the second case done in the Rib sub-
38 catchment the evapotranspiration rates provided by the ground and CFSR datasets are much better
39 having relatively good statistical values compared to those obtained at large scale in the **upper Blue**
40 **Nile Basin** (**Figure 13 and Figure 14**).

3.3 SWAT Error Index (SEI) evaluation

In the first case, SEI results for the Eldiem station (Table 5) showed that the behavior and capability of SEI to quantify the level of error of a model through an evaluation of both flow discharge and evapotranspiration estimations is good. For instance, values in Table 5 showed that the lower the value of the discharge data is, the value for evapotranspiration tends to increase. This is because the flow discharge data is being matched, however the evapotranspiration increases and tends to overestimate those value provided by MOD16 ET. If MOD16 ET had a good representation of the evapotranspiration data of a watershed, then the rRMSE values for both discharge and evapotranspiration values should be closer to 0, which could provide better SEI values (second test done at Ribb sub-catchment). However, SEI showed that the models using the integrated datasets are more reliable than the other two datasets, achieving a SEI values of 0.29 and 0.27 for SWAT30 and SWAT87, respectively. It also demonstrated that the CFSR dataset is less accurate, with SEI values of 0.4 for both SWAT30 and SWAT87. In the second test done at the Ribb sub-catchment, the calibration with flow discharge data provided good statistical results, where the CFSR dataset achieved R² and NS values of 0.81 and 0.75, respectively; and the Ground dataset achieved R² and NS values of 0.85 and 0.83, respectively (Figure 13 and Table 6). Unlike the SEI test performed for the entire upper Blue Nile Basin, the statistical results obtained from the comparison of the evapotranspiration data in the Ribb sub-catchment are significantly better. The CFSR dataset achieved R² and NS values of 0.78 and 0.47, respectively; while the ground dataset achieved R² and NS values of 0.59 and 0.24, respectively (Figure 14 and Table 6). SEI showed better values than those obtained from the first test done in the whole BLUE NILE BASIN. The CFSR dataset provided better R² and NS values than the ground dataset for the evapotranspiration analysis, however the ground dataset performed better during the calibration with outflow data (Table 6). SEI values for both datasets were 0.16, a much better value that those obtained in the first test (Table 5). This second test provides a better understanding of how SEI works, it also proved how using reliable evapotranspiration data can improve the SEI values.

4 Conclusions

The CFSR dataset and a conventional observed ground dataset were analyzed in terms of statistical results, water balance and precipitation distribution in the upper Blue Nile Basin. After detecting their limitations and disadvantages, an integration of both datasets was proposed with the purpose of overcoming their uncertainties and limitations. This data integration method was effectively used in the upper Blue Nile Basin to create a better SWAT model and can also be applied in other watersheds where observed data is limited and incomplete. However, data analyses and tests should always be performed before performing an integration for other watersheds. Despite its limitations, the CFSR datasets continuous to be an important source that can be very useful in regions where conventional measured data is not available.

1 A comparison of the three datasets under different discretization levels was also performed. This
2 comparison was important to obtain a better understanding of how crucial the sub-basin discretization
3 process is during a SWAT model setup. The comparisons showed that the three input datasets, under
4 models with different number of sub-basins, yield different results. The number of sub-basins in a
5 SWAT model will affect the magnitude of the flow discharge, hence the total water balance of a
6 watershed.

7 The comparison of the results of SWAT30 demonstrates that the values for the total annual average
8 precipitation at Eldiem are similar for the three datasets. Nevertheless, only the model using the CFSR
9 dataset was not able to achieve good water balance results under similar parameterization. The quality
10 of the CFSR rainfall data is not reliable for the **upper Blue Nile Basin**, although this case cannot be
11 generalized for other watersheds in the **world**. **However**, this dataset needs to be equally verified in
12 other watersheds before using it. For the second case, the three datasets were analyzed in more details
13 using SWAT87, and although an exact number of the correct precipitation amounts in the **upper Blue**
14 **Nile Basin** cannot be given, CFSR data showed an overestimation of the rainfall and also a wrong
15 precipitation distribution compared to the other datasets. **Additionally, the model under 87 sub-basins**
16 **was the model that provided more details in terms of number of HRUs, and also achieved better**
17 **statistical values. Therefore, this study proposes that 87 is a suitable number of sub-basins for the upper**
18 **Blue Nile Basin. SWAT87 is more suitable to perform several types of hydrological analyses and**
19 **propose watershed management practices in the Blue Nile Basin.**

20
21 Furthermore, the SWAT Error Index (SEI) has **proved** to be an useful additional tool to express the
22 level of error of **SWAT models**. **This index used the weighted relative Root Mean Square Error**
23 **(rRMSE) of the discharge and evapotranspiration data. SEI was** tested in two locations, being the
24 second case done at the Ribb sub-catchment more accurate. **Nevertheless**, further tests and
25 improvements should be done to this index. SEI also showed that the integrated dataset successfully
26 achieved better and more reliable results than the ground and CFSR datasets. The integrated dataset
27 improved the results of the model, obtaining better R^2 , NS and SEI values.

28 Although further improvements must done in the methods proposed in this study, the integration of
29 datasets, the sub-basin delineation and the application of the SEI, are important approaches that can be
30 applied in other watersheds and can significantly help to develop better hydrological models.

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1 **7 List of tables**

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3 **Table 1: Average annual water balance components in the upper Blue Nile Basin based on different literature.**

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Cherie, 2013		
Hydrologic parameters	Calibration period 1976-1982 (mm/year)	Validation period 1992-1995 (mm/year)
Precipitation	1338	1348
Evapotranspiration	962	960
Revap/shallow aquifer	59	58
Surface runoff	143	151
Return flow	70	38
Transmission losses	9	9
Mengistu et al., 2012		
Hydrologic parameters	Calibration period 1991-1996 (mm/year)	Validation period 1997-2000 (mm/year)
Precipitation	1422	1547
Evapotranspiration	820.9	816
Groundwater in the shallow aquifer	264.8	302
Surface runoff	314.4	410
Transmission losses	11	12
Groundwater recharge	286	327

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7 **Table 2: Parameterization of the SWAT models using the SUFI-2 algorithm for the period 1990-2004.**

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Parameter	Description	Type of change	Threshold		Fitted value	Ranges of fitted absolute values for the BLUE NILE BASIN calibration
			Min	Max		
CN2	Curve number for moisture condition II	r	-0.1	0.1	-0.05	60-87
SOL_AWC	Available water capacity of the soil	r	-2	2	1.7	0.095-0.49
ESCO	Soil evaporation compensation factor HRU	v	0.01	1	0.01	0.01
EPCO	Plant uptake compensation factor HRU	v	0.01	1	0.01	1
ESCO	Soil evaporation compensation factor BSN	v	0.01	1	0.01	0.01
EPCO	Plant uptake compensation factor BSN	v	0.01	1	0.01	1
CANMX	Maximum canopy storage	v	0	100	100	57
RCHRG_DP	Deep aquifer percolation fraction	v	0.01	1	0.01	0.01

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Table 3: Water balance analysis in the upper Blue Nile Basin (1990-2004).

Water balance in the Blue Nile Basin (All values in mm/year)						
Hydrological Component	SWAT30			SWAT 87		
	CFSR Data	Ground Data	Integrated Data	CFSR Data	Ground Data	Integrated Data
Precipitation	1253	1301	1270	1481	1209	1243
Evapotranspiration	729	887	932	848	798	860
Revap/shal. aquifer	27	31	31	27	27	28
Surface runoff	172	167	114	228	166	125
Return flow	274	107	139	307	136	147
Lateral flow	40	50	50	80	73	74
Perc. to deep aquifer	313	199	175	349	168	181
Rechg. deep aquifer	16	10	9	17	8	9

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Table 4: Statistical results for the calibrations and validations with outflow data at Eldiem and Kessie gauging stations.

		CFSR dataset		Ground dataset		Integrated dataset	
Sub-basins		30	87	30	87	30	87
Eldiem							
Calibration	R²	0.94	0.96	0.86	0.92	0.88	0.92
	NS	-0.51	-1.54	0.74	0.43	0.84	0.80
	p-factor	0.53	0.36	0.66	0.67	0.70	0.77
	r-factor	1.11	0.93	0.83	0.68	0.67	0.54
Validation	R²	0.92	0.89	0.96	0.95	0.92	0.94
	NS	-0.48	-0.05	0.45	0.85	0.91	0.91
Kessie							
Calibration	R²	0.87	0.77	0.74	0.77	0.74	0.77
	NS	0.46	0.37	0.72	0.72	0.74	0.72
	p-factor	0.49	0.57	0.60	0.63	0.60	0.63
	r-factor	0.61	0.71	0.72	0.59	0.72	0.59
Validation	R²	0.86	0.74	0.78	0.80	0.76	0.78
	NS	0.49	0.37	0.74	0.76	0.74	0.78

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Table 5: SWAT Error Index results for the upper Blue Nile Basin.

SWAT30							
Process	Weighting	CFSR Dataset		Ground Dataset		Integrated Dataset	
		rRMSE	Weighted rRMSE	rRMSE	Weighted rRMSE	rRMSE	Weighted rRMSE
Water Discharge	0.7	0.33	0.231	0.17	0.119	0.098	0.068
Evapotranspiration	0.3	0.58	0.174	0.70	0.21	0.75	0.225
SWAT Error Index		0.4		0.33		0.29	
SWAT87							
Process	Weighting	CFSR Dataset		Ground Dataset		Integrated Dataset	
		rRMSE	Weighted rRMSE	rRMSE	Weighted rRMSE	rRMSE	Weighted rRMSE
Water Discharge	0.7	0.37	0.259	0.17	0.119	0.1	0.07
Evapotranspiration	0.3	0.46	0.138	0.58	0.174	0.66	0.198
SWAT Error Index		0.4		0.29		0.27	

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Table 6: Statistical results for the Ribb sub-catchment in the Lake Tana region of the upper Blue Nile Basin.

Statistical results for the Ribb sub-catchment									
Process	Weighting	CFSR Dataset				Ground Dataset			
		R ²	NS	rRMSE	Weighted rRMSE	R ²	NS	rRMSE	Weighted rRMSE
Water Discharge	0.7	0.81	0.75	0.13	0.091	0.85	0.83	0.11	0.077
Evapotranspiration	0.3	0.78	0.47	0.23	0.069	0.59	0.24	0.28	0.084
SWAT Error Index		0.16				0.16			

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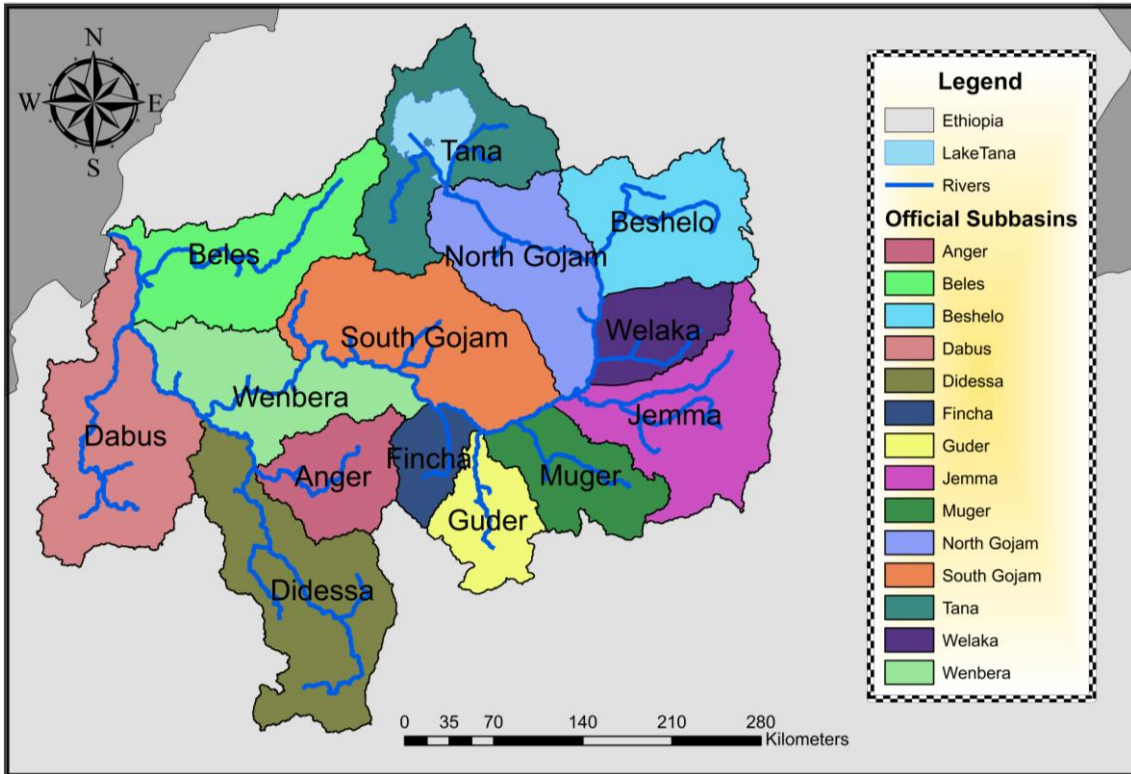


Figure 1: Official sub-basin distribution of the upper Blue Nile Basin.

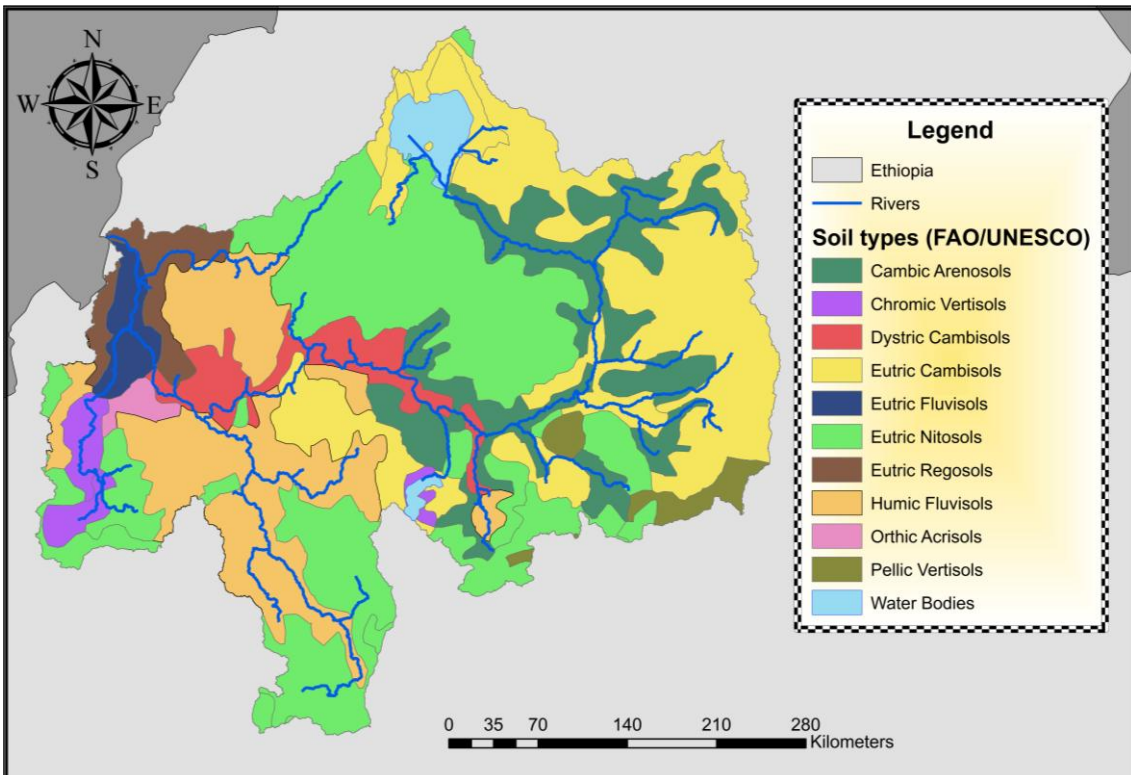


Figure 2: FAO/UNESCO soil map of the upper Blue Nile Basin.

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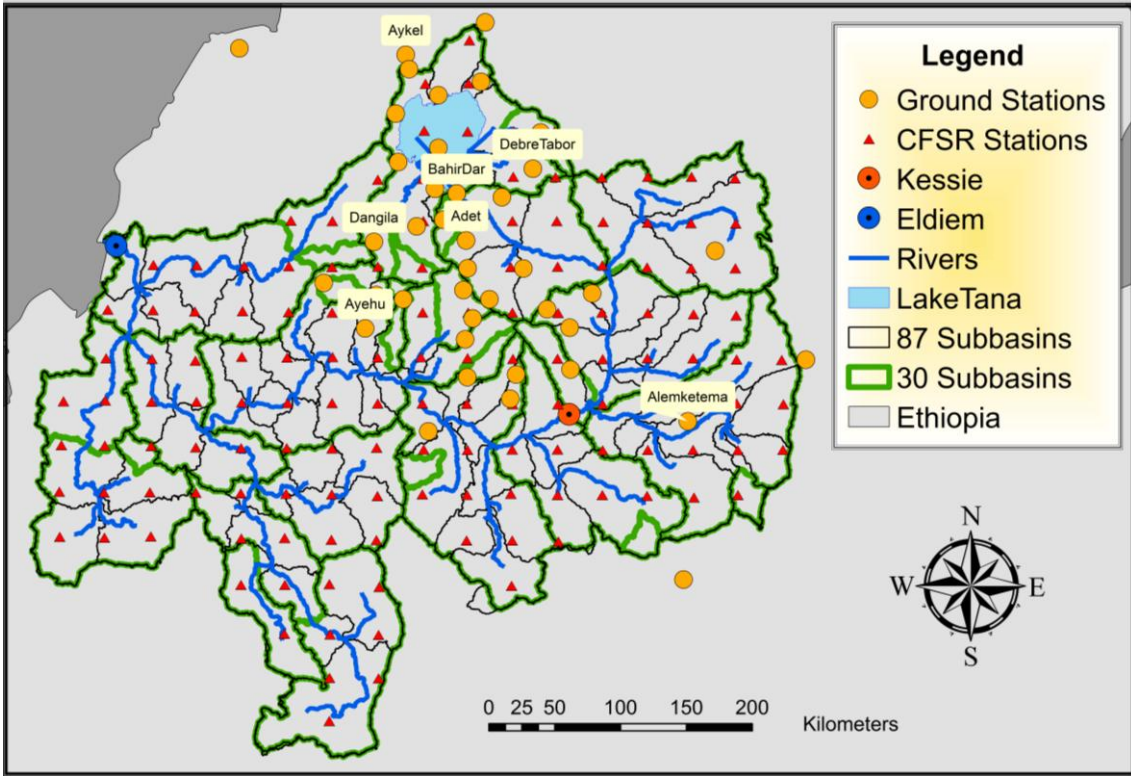


Figure 3: Weather and hydrometric gauging stations in the **upper Blue Nile Basin** under two discretization levels, 30 and 87 sub-basins (SWAT30 and SWAT87).

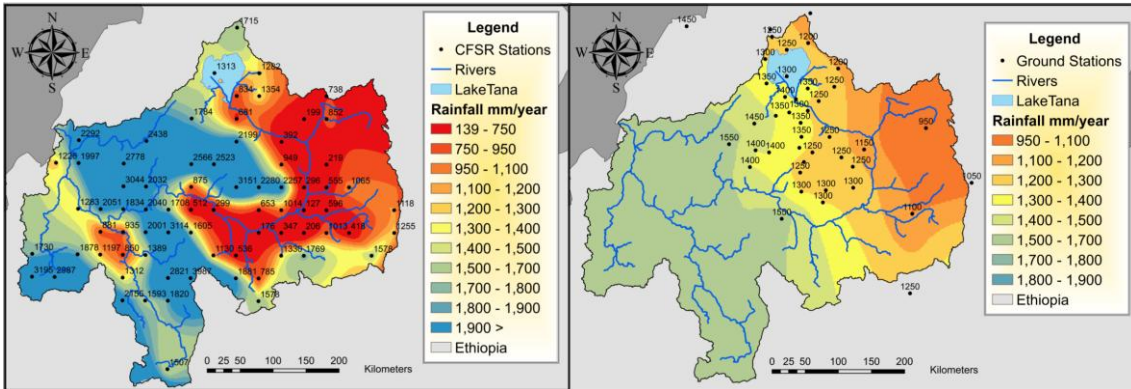


Figure 4: Spatial annual rainfall variation in the **upper Blue Nile Basin** using two different data sources: CFSR dataset (Left) and Ground dataset (Right).

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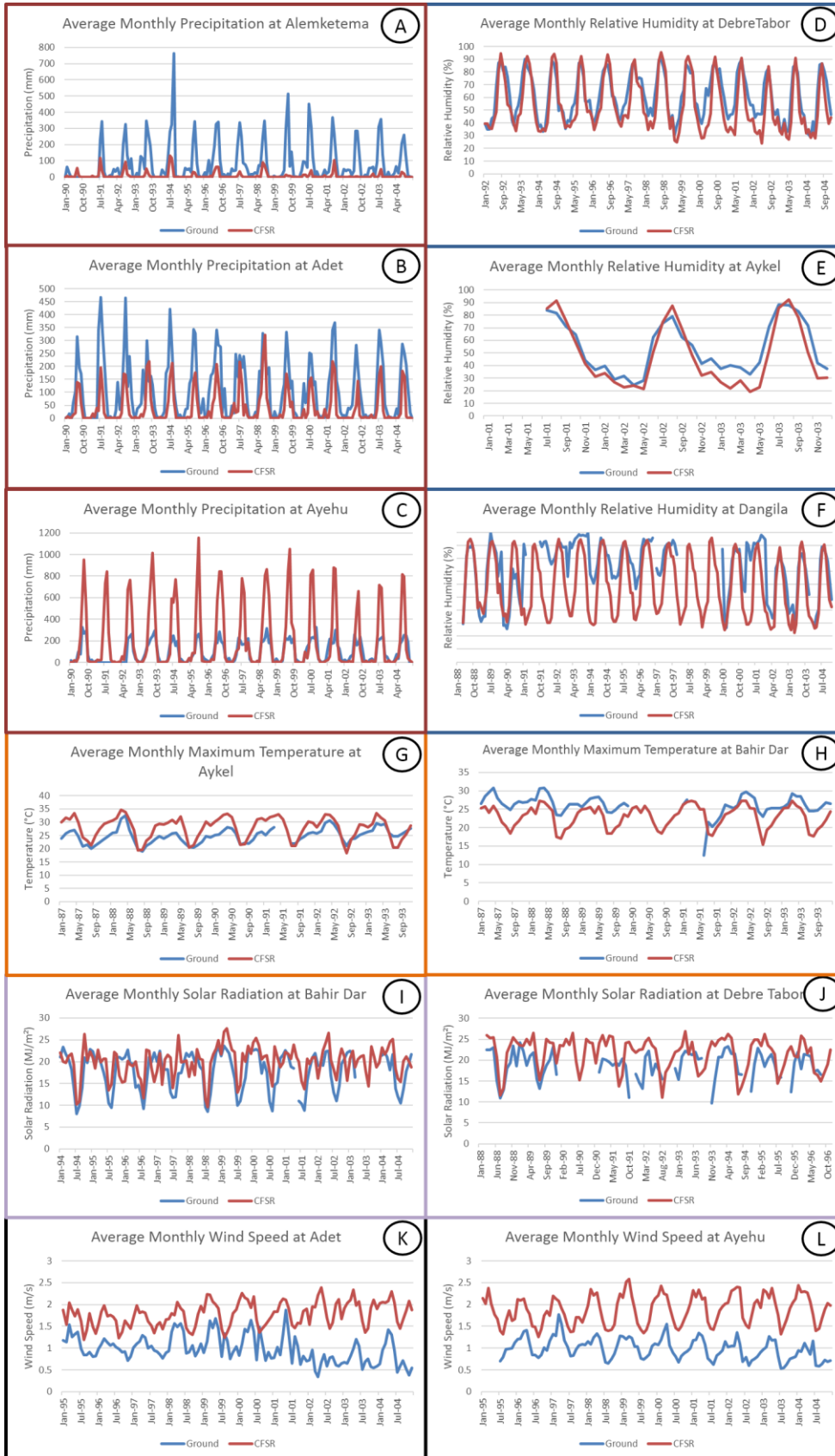


Figure 5: Comparisons between the Ground and CFSR weather datasets. A, B and C are average monthly precipitation; D, E and F are average monthly relative humidity; G and H are average monthly maximum temperatures; I and J are average monthly solar radiation; K and L are average monthly wind speed.

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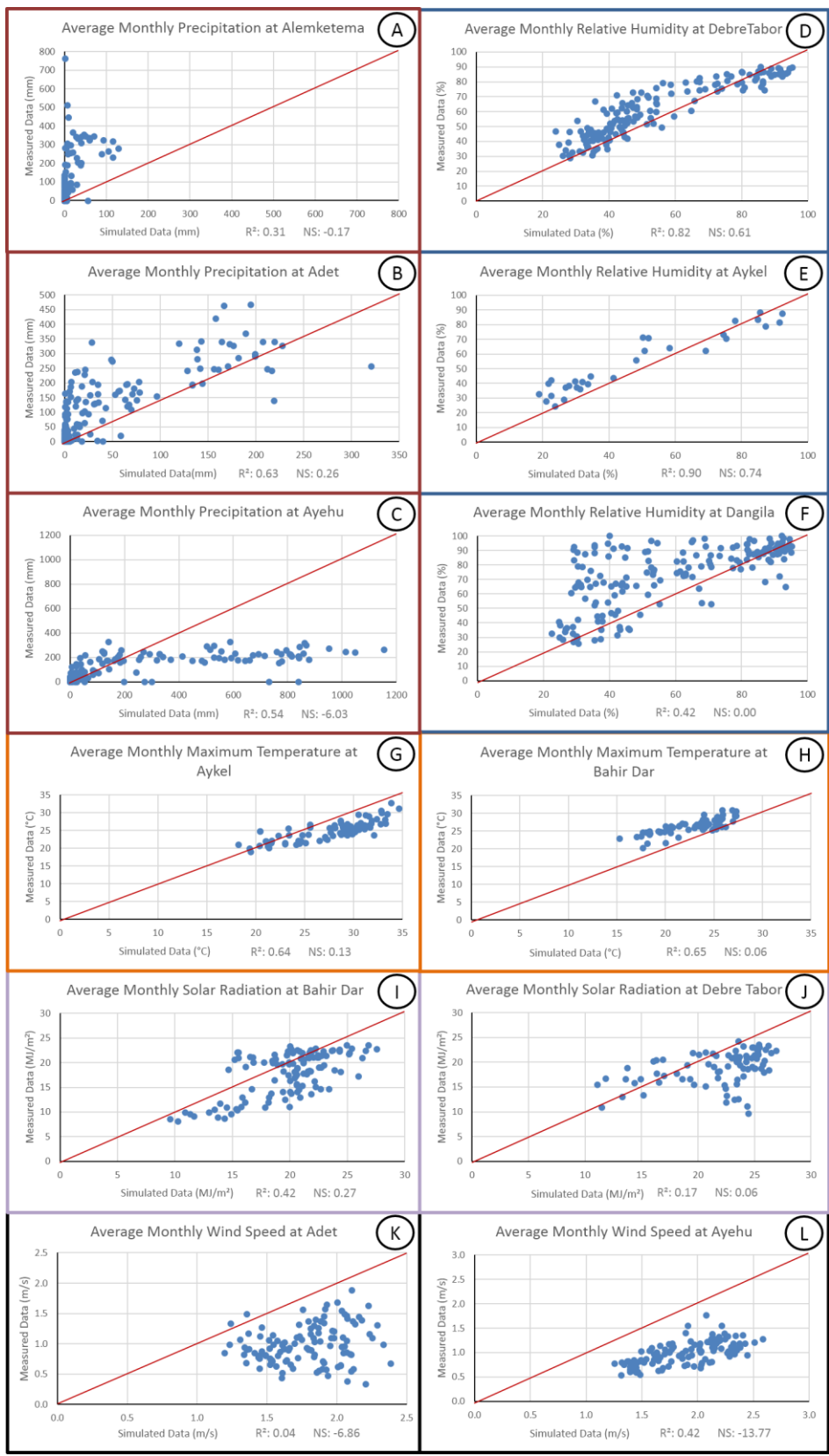


Figure 6: Significance of matching between the Ground and CFSR weather datasets. A, B and C are average monthly precipitation; D, E and F are average monthly relative humidity; G and H are average monthly maximum temperatures; I and J are average monthly solar radiation; K and L are average monthly wind speed.

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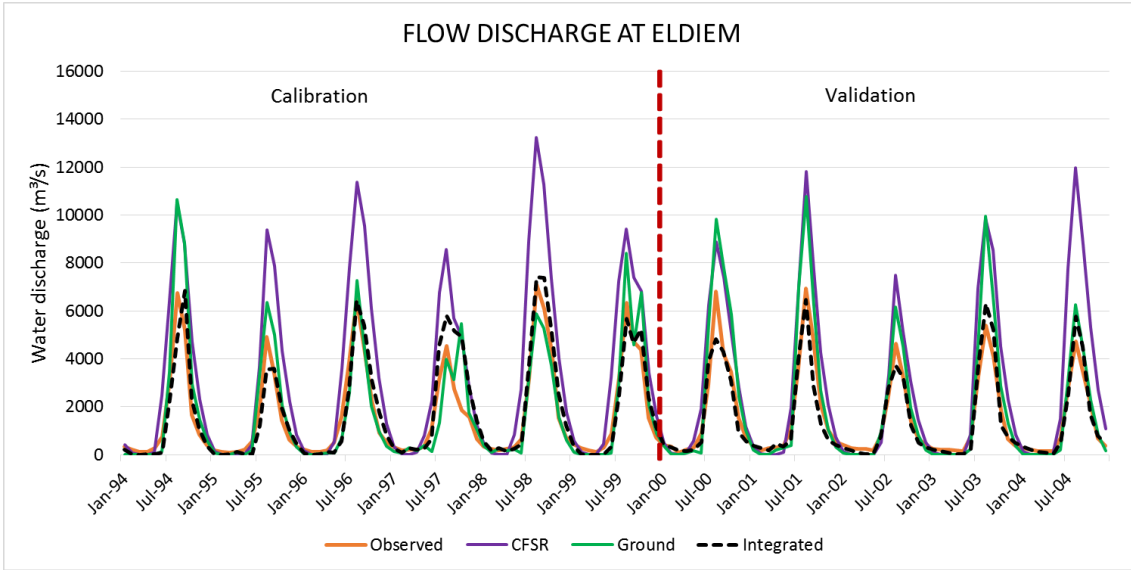


Figure 7: Calibration and validation of SWAT30 at Eldiem. Calibration results achieved R^2 and NS values of: Integrated data: 0.88, 0.84; Ground data: 0.86, 0.74; CFSR data: 0.94, -0.51; respectively. Validation results achieved R^2 and NS of: Integrated data: 0.92, 0.91; Ground data: 0.96, 0.45; CFSR data: 0.92, -0.48; respectively.

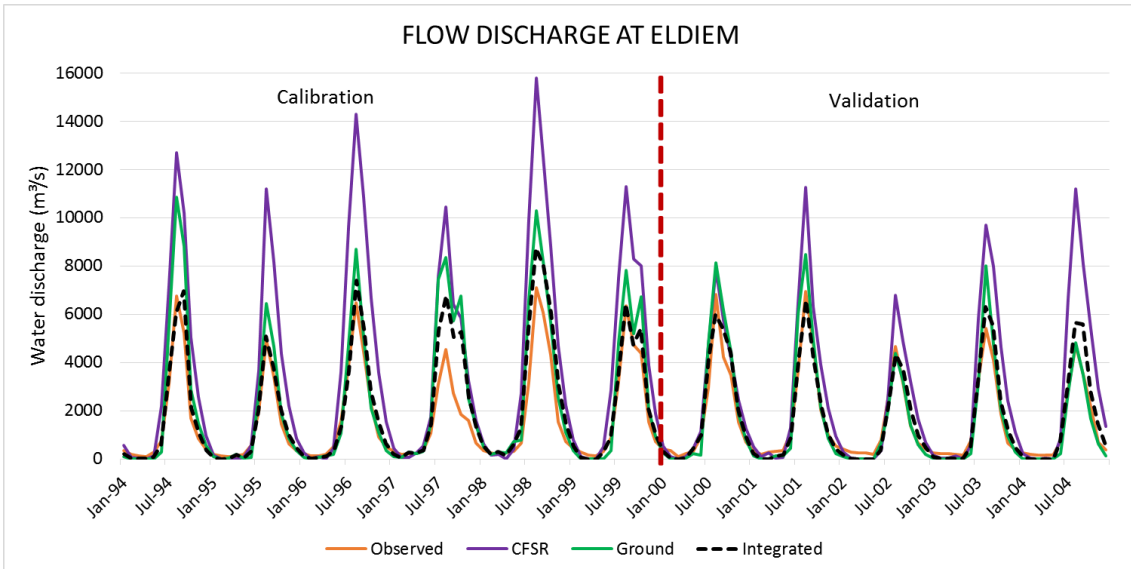


Figure 8: Calibration and validation of SWAT87 at Eldiem. Calibration results achieved R^2 and NS values of: Integrated data: 0.92, 0.80; Ground data: 0.92, 0.43; CFSR data: 0.96, -1.54; respectively. Validation results achieved R^2 and NS of: Integrated data: 0.94, 0.91; Ground data: 0.95, 0.85; CFSR data: 0.89, -0.05; respectively.

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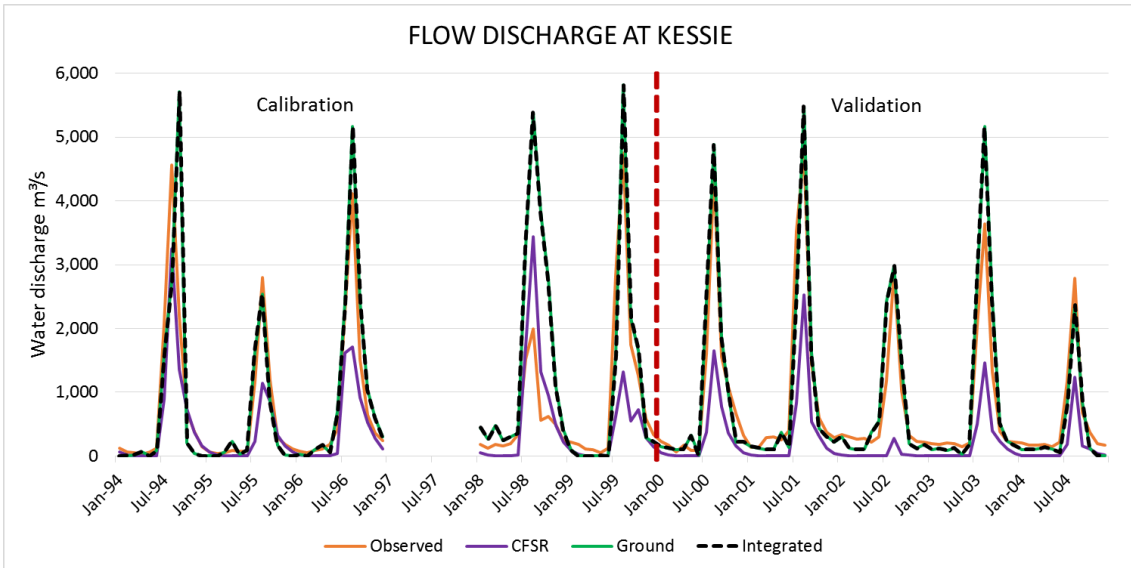


Figure 9: Calibration and validation of SWAT30 at Kessie. Calibration results achieved R^2 and NS values of: Integrated data: 0.74, 0.74; Ground data: 0.74, 0.72; CFSR data: 0.87, 0.46, respectively. Validations results achieved R^2 and NS values of: Integrated data: 0.76, 0.74; Ground data: 0.78, 0.74; CFSR data 0.86, 0.49; respectively.

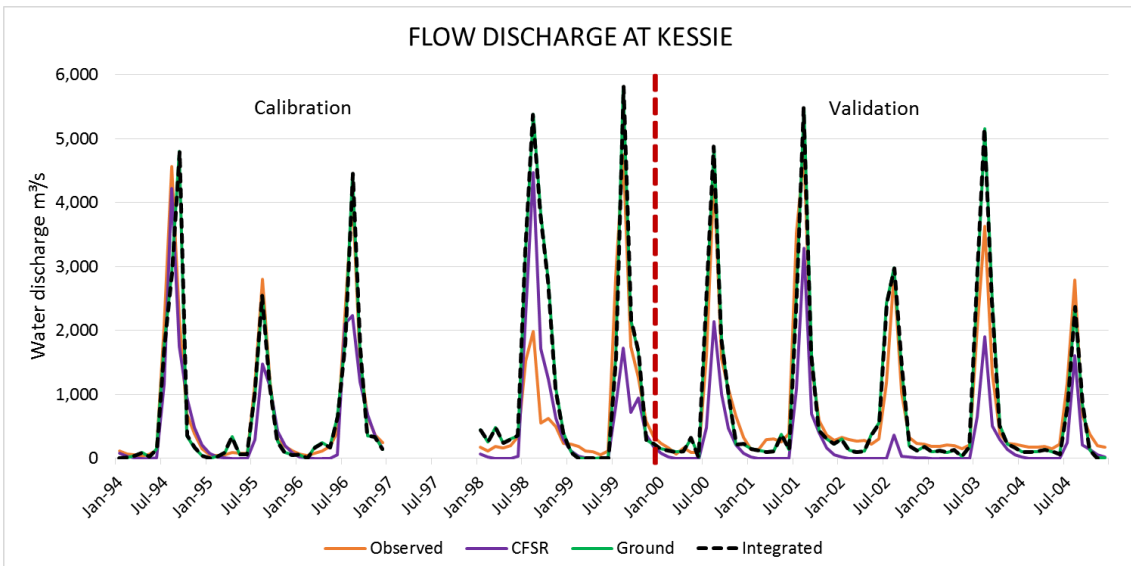


Figure 10: Calibration and validation of SWAT87 at Kessie. Calibration results achieved R^2 and NS values of: Integrated data: 0.77, 0.72; Ground data: 0.77, 0.72; CFSR data 0.77, 0.37; respectively. Validations results achieved R^2 and NS values of Integrated data: 0.78, 0.78; Ground data: 0.80, 0.76; CFSR data 0.74, 0.37; respectively.

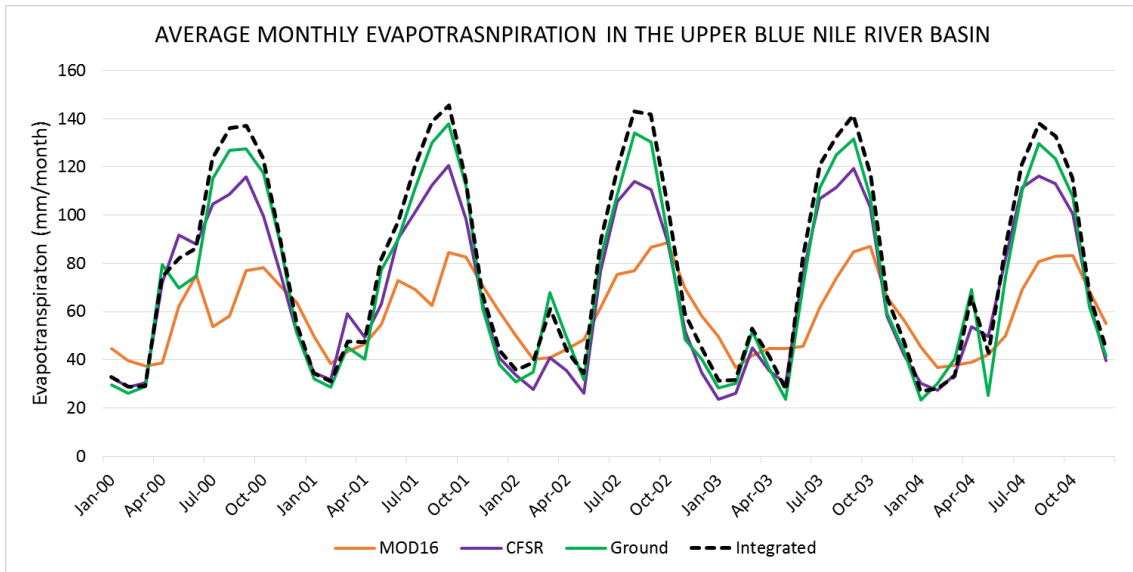


Figure 11: Average monthly evapotranspiration analysis using SWAT87 and the Hargreaves method, with R^2 and NS values of Integrated dataset: 0.63, -2.32; Ground dataset: 0.60, -1.32; CFSR dataset: 0.63, -1.20; respectively, compared to the MOD16 data.

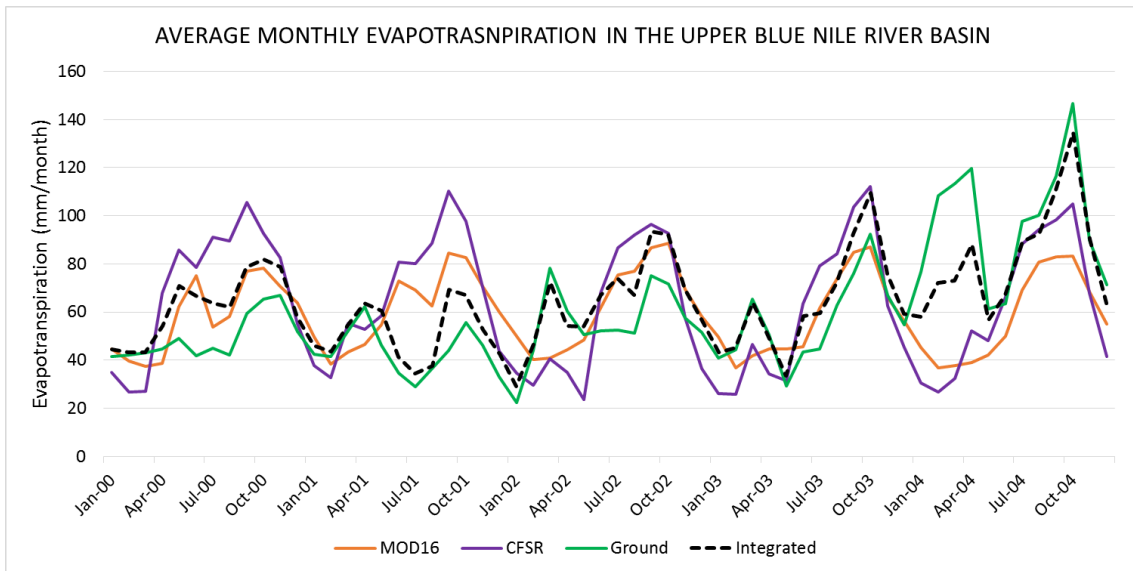


Figure 12: Average monthly evapotranspiration analysis using SWAT87 and the Penman-Monteith method, with R^2 and NS values of Integrated dataset: 0.36, -0.02; Ground dataset: 0.34, -0.10; CFSR dataset: 0.74, 0.03; respectively, compared to the MOD16 data.

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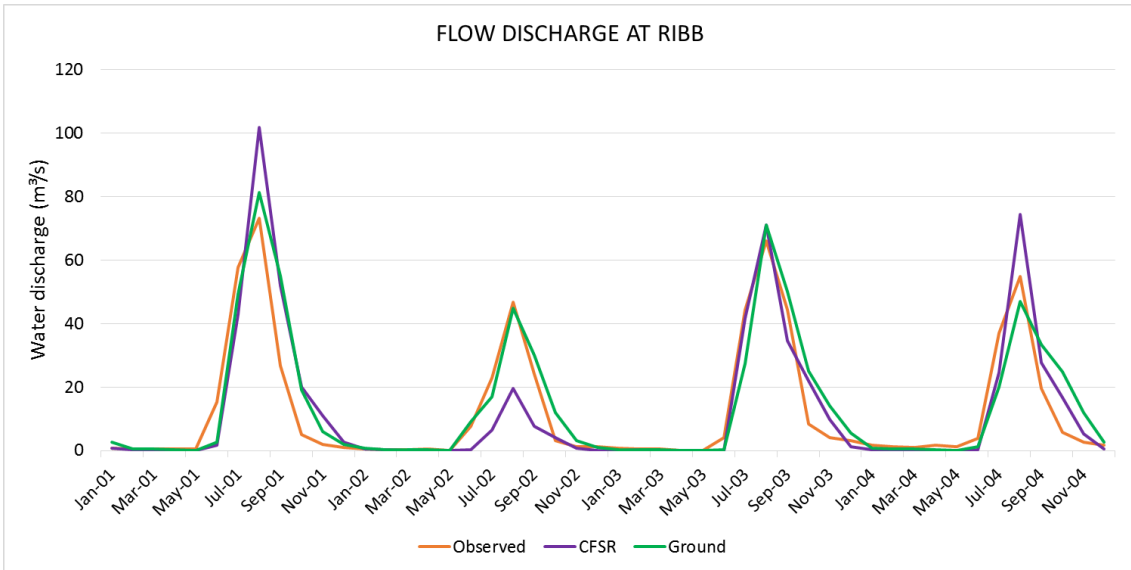


Figure 13. Flow discharge in the Ribb sub-catchment. Calibration with outflow data achieved R^2 and NS values of CFSR dataset: 0.81, 0.75 and Ground dataset: 0.85, 0.83; respectively.

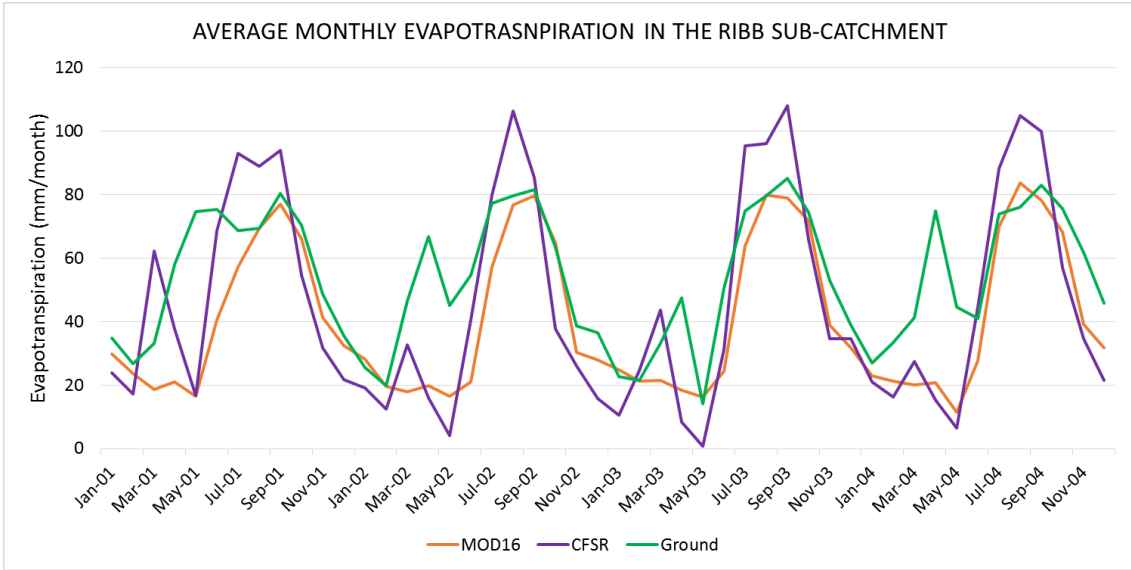


Figure 14. Average monthly evapotranspiration in the Ribb sub-catchment. Statistical results achieved R^2 and NS values of CFSR dataset: 0.78, 0.47 and Ground dataset: 0.59, 0.24; respectively, compared to the MOD16 data.