

August 4, 2017

Memorandum

To: Pro. Nunzio Romano, Editor of *Hydrology and Earth System Sciences*

Subject: **Revision of hess-2016-654**

Dear Pro. Nunzio Romano:

Upon your request, we have carefully addressed all the comments made by the anonymous reviewer on our manuscript (hess-2016-654) entitled "Spatio-temporal patterns of the effects of precipitation variability and land use/cover changes on long-term changes in sediment yield in the Loess Plateau, China" and revised the manuscript accordingly. The detailed comments have helped us further improve the overall quality of the manuscript. The following is the point-point response to all the comments. The page and line numbers in the following response refer to the revised manuscript with changes marked.

### **Response to Anonymous Referee #1:**

#### General comments:

The paper is based on a desktop study of the "Spatio-temporal patterns of the effects of precipitation variability and land use/cover changes on long-term changes in sediment yield in the Loess Plateau, China" i.e. no measurements were performed by the authors. This is, in my own opinion, a very exciting study as it attempted to decouple impacts of two very important controls of sediment generation at catchment scale. Several data sources were consulted and a number of analyses performed, in my own opinion, very well. Great detail is provided and was easy to follow what the authors did to the data collected. This paper will add useful information to the body of knowledge on one of the most important river basins in the world insofar as sedimentation is concerned and should be supported to get it published. Apart from the too many errors, mostly of a grammar nature (understandably most of the authors appear to be non-native English speakers), the authors can do with summaries to some parts of the results presentations. In addition, the structure of the paper, especially the omission of a separate Discussion section, cast further doubts on whether due internal editing of the draft was done before submission for peer review. If the idea was to combine result presentation and discussion, then some parts lack adequate discussion of the results.

Overall, I see this as an important paper which should be published after corrections and improvements as will be indicated below.

Reply: *Thanks very much for the nice comments.*

*First, we have double checked the writing of the manuscript and addressed all the specific comments about the presentation of the paper (see the following point-to-point replies to the comments). The revision further polished the manuscript.*

*Second, we have changed the structure of the manuscript and separated the results and discussion. The statements about the reasons for the spatial-temporal patterns of the impacts of precipitation variability (especially the role of within-year rainfall patterns and storm events) and land*

*use/cover changes on sediment yield variations were moved into the "3.6 Discussion" section (see P.22, Line 478 to P.25, Line 533).*

*It is necessary to point out that the first author finished this work through collaboration with Pro. Murugesu Sivapalan who hosted the visit of the first author in University of Illinois at Urbana-Champaign during June 2016-June 2017. Pro. Murugesu Sivapalan edited the original and revised versions of the manuscript for three times to guarantee the quality of writing.*

Specific comments:

**Abstract**

Line 25: Insert the exact study period in that sentence, i.e. 1961-2011, not just 50 years.

Reply: *The revegetation efforts and engineering measures have been implemented since the 1950s in the Loess Plateau (see), and the study period of this study was 1961-2011 (see P.1, Line 25).*

**Introduction**

Line 75: ... in China. This is the ...: combine these two sentences as "... in China, which is the ..."

Reply: *Done (see P.4, Line 75).*

Line 80: put comma (,) after SWCM

Reply: *Done (see P.4, Line 81).*

Line 81: put comma (,) after "reestablishment"

Reply: *Done (see P.4, Line 82).*

Line 83: ... on slopes exceeding ...; replace "implemented" by "launched or started"

Reply: *Done (see P.4, Line 85).*

Line 85: no double full stop, "i.e. ..." should be "i.e."

Reply: *Done (see P.4, Line 86).*

Line 87-88: ... hydrological regimes of the LP in combination with ...

Reply: *Done (see P.4, Lines 88-89).*

Line 89: ... declining trend ...

Reply: *Done (see P.4, Line 90).*

Line 93: ... contribution of ...

Reply: *Done (see P.5, Line 94).*

Line 94: ... between 64 and 89% ...

Reply: *Done (see P.5, Line 95).*

Line 95: what kind of results did Zhao et al (2017) get? Just present a summary like you did for the other references used in this paragraph.

Reply: *We have given the summary results of Zhao et al. (2017) (see P.5, Lines 97-100).*

Line 96: Zhang et al (2016) pointed that ...

Reply: *Done (see P.5, Line 100).*

Line 100: ... between the 1970s and 1990s ...

Reply: *Done (see P.5, Line 104).*

Line 102: ... of these studies ...

Reply: *Done (see P.5, Line 107).*

Line 105: Sun Q et al., 2015; Sun W et al., 2015, please make one "a" and the other one "b" and then remove their initials i.e. Sun et al., 2015a; 2015b, even if they are different people. I think this is better than present their initials.

Reply: *Done (see P.5, Lines 110-111).*

Line 108: They will also ...

Reply: *Done (see P.6, Line 114).*

Line 112: ... region (Figure 1) located in the ... LP. The CSHC supplied ...

Reply: *Done (see P.6, Line 118).*

Line 113-4: This region was the focus of our ...

Reply: *Done (see P.6, Line 120).*

Line 115: ... of this study were, therefore, to ...

Reply: *Done (see P.6, Line 122).*

Line 118-9: Move "from 15 catchments within the region" to Line 114 between "... sediment load" and "within ..." to read "... sediment load from 15 catchments within the region within ..."

Reply: *Done (see P.6, Lines 121 and 125).*

Line 124: ... the Toudaoguai and Longmen ...

Reply: *Done (see P.6, Line 131).*

Line 126: ... long and its drainage catchment covers  $12.97 \times 10^4$  km<sup>2</sup>, which is ...

Reply: *Done (see P.6, Lines 133-134).*

Line 128: ... precipitation in the region during 1961-2011 was ...

Reply: *Done (see P.6, Line 135 to P.7, Line 136).*

Line 129: rearrange to read "... varied from lower than 300 in the northwest to 580 mm in the ...

Reply: *Done (see P.7, Lines 136-137).*

Line 133: delete CSHC to read "... within the region ..."

Reply: *Done (see P.7, Line 141).*

Line 136: ... along a north-south ...

Reply: *Done (see P.7, Line 144).*

Line 139: put a reference ... hydrological data during 1961-2011 (REFERENCE). Characteristics ...

Reply: *References have been put (see P.7, Lines 147-148).*

Line 140: ... catchments are presented in Table 1 and Figure 2, showing that the catchments ...

Reply: *Done (see P.7, Lines 148-149).*

Line 142: ... (#1-6) had relatively ...

Reply: *Done (see P.7, Line 150).*

Line 145: ... (#7-14) were ...

Reply: *Done (see P.7, Line 154).*

Line 148: delete CSHC

Reply: *Done (see P.7, Line 156).*

Line 151: delete CSHC

Reply: *Done (see P.8, Line 159).*

Line 152: delete CSHC

Reply: *Done (see P.8, Line 160).*

Line 156: **2.2 Data collection**

Reply: *Done (see P.8, Line 164).*

Line 159: delete CSHC, and insert (Figure 1) i.e. ... region (Figure 1) were obtained ...

Reply: *Done (see P.8, Line 167).*

Line 162: The hydro-meteorological data ...

Reply: *Done (see P.8, Line 170).*

Line 167: is it catchment slope gradient?

Reply: *Yes (see P.8, Line 175).*

Line 177: delete **2.2 Methods**

Reply: *Done (see P.9, Line 185).*

Line 178: **2.3 Trend test**

Reply: *Done (see P.9, Line 186).*

Line 195: ... this method, a regression ...

Reply: *Done (see P.10, Line 203).*

Line 212: This was, therefore, used ...

Reply: *Done (see P.10, Line 220).*

Line 223: Figure 4 shows ...

Reply: *Done (see P.11, Line 231).*

Line 224: delete CSHC

Reply: *Done (see P.11, Line 232).*

Line 225: ... whole area was occupied by ...

Reply: *Done (see P.11, Line 233).*

Line 226-7: ... was no significant change ...

Reply: *Done (see P.11, Line 235).*

Line 232: ... (Figure 4). In the period 1975 to 2000, ...

Reply: *Done (see P.11, Line 240).*

Line 239-40: ... terraces were seen ... control projects were ...

Reply: *Done (see P.12, Lines 247-248).*

Line 241: Although the area utilized for engineering ...

Reply: *Done (see P.12, Line 249).*

Line 242: ... they immediately and substantially ...

Reply: *Done (see P.12, Line 250).*

Line 244: ... catchment area) increased from ...

Reply: *Done (see P.12, Line 252).*

Line 246: ... watersheds and the 2000s ...

Reply: *Done (see P.12, Line 255).*

Line 247-49: Some decreases ... destroyed". Please do not keep the readers curious here, may you give more light to what happened.

Reply: *We have given more statements to make it clear (see P.12, Lines 255-259).*

Line 250: delete CSHC

Reply: *Done (see P.12, Line 260).*

Line 255-7: In the period from 1982-1990 to ... increase of LAI ... sub-catchments was ...

Reply: *Done (see P.12, Lines 265-267).*

Line 260: ... during the period 1961-2011.

Reply: *Done (see P.13, Lines 270-271).*

Line 261-2: Jialu did not decrease, isn't it?

Reply: *Yes, the annual precipitation in the Jialu catchment increased (see P.13, Lines 271-272).*

Line 267-8: The corresponding Q, SSY, SC and C for the whole region were ...

Reply: *Done (see P.13, Lines 278-279).*

Line 270: ... whole region were ...

Reply: *Done (see P.13, Lines 280-281).*

Line 273: ... and Cs for the fifteen ...

Reply: *Done (see P.13, Line 284).*

Line 276: ... with distinctly different ...

Reply: *Done (see P.13, Line 287).*

Line 290: ... indicate substantially different ...

Reply: *Done (see P.14, Line 301).*

Line 297: On average, LUCC ...

Reply: *Done (see P.14, Line 309).*

Line 298-300: ... period-2, with their respective contributions to sediment load reduction from the reference period to period-3 being 88.67 and 11.33%.

Reply: *Done (see P.14, Line 310 to P.15, Line 312).*

Line 301: ... than in period-2 as ...

Reply: *Done (see P.15, Line 313).*

Line 305: ... increased and thus the contribution ...

Reply: *Done (see P.15, Line 317).*

Line 314: Correlations between the potential factors ...

Reply: *Done (see P.15, Line 326).*

Line 317: ... (see Table 4) showed that check-dam ...

Reply: *Done (see P.15, Line 329).*

Line 318: ... period to period-2. Pasture ....

Reply: *Done (see P.15, Line 330).*

Line 319: ... acted as the dominant ...

Reply: *Done (see P.15, Line 331).*

Line 322-324: Based on the above results, the variation ... depended on precipitation in the reference period before LUCC took effect and any spatial ... of SSY in the catchments were controlled by ...

Reply: *Done (see P.16, Lines 334-336).*

Line 325-7: ... (period-2 and period-3) when increased LUCC had taken effect ... considerably. The decrease of ... insignificant and LUCC contributed over ...

Reply: *Done (see P.16, Lines 338-340).*

Line 328-9: ... SSY depended more on ... surface area and ... possibly played a secondary ...

Reply: *Done (see P.16, Lines 341-342).*

Line 330: ... yield was dependent ...

Reply: *Done (see P.16, Line 343).*

Line 331-2: ... framework, data were next analysed to generate ... patterns constituting respective ...

Reply: *Done (see P.16, Line 344-345).*

Line 335: ... Table 3. The spatial ...

Reply: *Done (see P.16, Line 348).*

Line 337: ... period, most of the ... How many? I think you can state the figure here.

Reply: *There were eleven catchments with significant correlation between precipitation and sediment yield (see P.16, Lines 350-353).*

Line 339: ... was significant in eleven ... Is it? I see 10!

Reply: *The correlation between precipitation and sediment yield was significant in eleven catchments (catchments #1-#7, #10, #12, #13 and #15, see Table 3).*

Lines 340-342: Move "Overall, the regressed ... yield changes" to line 353, immediately after "... group of  $0.2 < a < 0.3$ ".



Reply: *Done (see P.16, Lines 353-355, P.17, Lines 367-370).*

Line 352: ... the Shiwang ... I do not understand this.

Reply: *The slope of regression equation between annual precipitation and sediment yield was lowest in the Shiwang catchment compared to other fourteen catchments (see P.17, Lines 365-366).*

Line 355: ... as indicated by lower  $R^2$  ...

Reply: *Done (see P.17, Line 372).*

Line 356: ... values in Table 3. The slopes of the regression lines in the ...

Reply: *Done (see P.17, Line 373).*

Line 357-8: ... except in Huangfu, Gushan and Kuye which increased slightly.

Reply: *Done (see P.17, Lines 374-375).*

Line 360: no double full stops ... (Figure 9a and 9b).

Reply: *Done (see P.17, Line 377).*

Line 362-3: ... yield were weaker compared to the reference ...

Reply: *Done (see P.18, Lines 380-381).*

Line 364: ... relationships between ... yield were not significant in all the ...

Reply: *Done (see P.18, Line 382).*

Line 365-7: The slopes of the regression lines during period-3 decreased sharply (Table 3). Six catchments (five in the ...part) had negative regression slopes (Figure 9c).

Reply: *Done (see P.18, Lines 383-385).*

Line 368: ... precipitation decreased greatly ...

Reply: *Done (see P.18, Line 386).*

Line 369-70: ... did not lead to increased sediment ...

Reply: *Done (see P.18, Line 388).*

Line 371: ... during period-3 were clearly different from ... period and period-2 (compare Figure 9c against Figure 9a-b).

Reply: *Done (see P.18, Lines 389-391).*

Lines 375-458: Sounded like a mixture of result presentation and discussion. You need to decide on a style of writing that is consistent throughout the paper.

Reply: *We have changed the structure of the manuscript and separated the results and discussion. The statements about the reasons for the spatial-temporal patterns of the impacts of precipitation variability (especially the role of within-year rainfall patterns and storm events) and land use/cover changes on sediment yield variations were moved into the "3.6 Discussion" section (see P.22, Line 478 to P.25, Line 533).*

Line 377: ... with time. The impacts were ...

Reply: *Done (see P.18, Line 396).*

Line 390: ... In order to fully explore ...

Reply: *Done (see P.22, Line 483).*

Line 392: ... scenarios would be needed (Ma et al., 2014; ...

Reply: *Done (see P.22, Line 485).*

Line 407: ... more detail and taking ...

Reply: *Done (see P.23, Line 500).*

Line 412: ... on 25<sup>th</sup> August ...

Reply: *Done (see P.23, Line 505).*

Line 413: ... 10<sup>th</sup> August ...

Reply: *Done (see P.23, Line 506).*

Line 420: ... be considered when investigating ...

Reply: *Done (see P.24, Line 513).*

Line 422: **3.5 Spatio-temporal pattern** ... This is the most important from the paper as deduced from the title of this draft paper; therefore, readers expect it to come early in the discussion.

Reply: *We have changed the structure of the manuscript and separated the results and discussion. Through this revision, section 3.5 moved forward (see P.20, Line 441 to P.22, Line 477).*

Line 423: Why did the results drift from CSHC to LP? This study is about CSHC, not LP; even though CSHC is located within LP. I see some kind of mix-up starting with this section.

Reply: *We have focused on the CSHC in this section, and presented the results in only CSHC (see P.24, Line 515 to P.25, Line 533).*

Line 430: ... area to retain precipitation ... What do you mean? I think there is a better way of saying what you are trying to say.

Reply: *We have revised this sentence to make it clear (see P.24, Lines 522-523).*

Line 437: ... in the LP, which trapped ... Is it about LP now? Are the results presented here on LP or CSHC?

Reply: *We have presented the results about the sediment trapping of check-dams in the CSHC (see P.24, Lines 529-531).*

Line 439-40: ... progressively filled with ... restoration played a greater role in controlling soil erosion.

Reply: *Done (see P.25, Lines 532-533).*

Line 441: In order to quantify the effects ...

Reply: *Done (see P.21, Line 460).*

Line 443: ... 15 catchments were analysed ...

Reply: *Done (see P.21, Line 462).*

Line 447: The correlations were ...

Reply: *Done (see P.22, Line 466).*

Line 449: ... correlations between sediment coefficients and conservation measures were stronger ...

Reply: *Done (see P.22, Line 468).*

Line 456: ... respectively. Half ...

Reply: *Done (see P.22, Line 475).*

Lines 460-464: "The Loess ... insignificant" is just a summary of what happened in the LP and not a conclusion on the study results. I suggest suppressing this or moving elsewhere.

Reply: *We have deleted these sentences (see P.25, Lines 535-539).*

Line 465: ... study has shown that long-term ....

Reply: *Done (see P.25, Line 540).*

Line 466: ... located in the CSHC region.

Reply: *Done (see P.25, Line 542).*

Line 468: ... and landscape controls for the period 1961-2011.

Reply: *The study period (1961-2011) has been given in P.25, Line 542.*

Line 469: suppress "(1961-2011)"

Reply: *Done (see P.25, Line 546).*

Line 472-5: ... measures, there were major reductions in streamflow (65%), sediment yield (88%), sediment concentration (68%) and sediment efficiency, i.e. annual sediment yield/annual precipitation (86%) over the entire 50-year period.

Reply: *Done (see P.25, Lines 549-552).*

Line 476: ... catchments also exhibited interesting ...

Reply: *Done (see P.25, Line 553).*

Line 478: Before LUCC took effect, the data indicates ...

Reply: *Done (see P.26, Line 556).*

Line 485-6: ... yield, thus ... controls.

Reply: *Done (see P.26, Lines 562-563).*

Line 487: ... linear decreasing ...

Reply: *Done (see P.26, Line 565).*

If you have any further questions about this revision, please contact us.

Sincerely Yours,

Dr. Guangyao Gao (gygao@rcees.ac.cn)

Pro. Bojie Fu (bjf@rcees.ac.cn)

Pro. Murugesu Sivapalan (sivapala@illinois.edu)

1 Spatio-temporal patterns of the effects of precipitation variability  
2 and land use/cover changes on long-term changes in sediment yield  
3 in the Loess Plateau, China

4  
5 Revised manuscript submitted to *Hydrology and Earth System Sciences* (hess-2016-654)

6  
7 Guangyao Gao<sup>1,2</sup>, Jianjun Zhang<sup>1</sup>, Yu Liu<sup>3</sup>, Zheng Ning<sup>1</sup>, Bojie Fu<sup>1,2</sup>, and Murugesu  
8 Sivapalan<sup>4,5</sup>

9  
10 <sup>1</sup>State Key Laboratory of Urban and Regional Ecology, Research Center for  
11 Eco-Environmental Sciences, Chinese Academy of Sciences, Beijing 100085, China

12 <sup>2</sup>Joint Center for Global Change Studies, Beijing 100875, China

13 <sup>3</sup>Key Laboratory of Ecosystem Network Observation and Modeling, Institute of Geographical  
14 Sciences and Natural Resources Research, Chinese Academy of Sciences, Beijing 100101,  
15 China

16 <sup>4</sup>Department of Geography and Geographic Information Science, University of Illinois at  
17 Urbana-Champaign, Champaign, Illinois, USA

18 <sup>5</sup>Department of Civil and Environmental Engineering, University of Illinois at  
19 Urbana-Champaign, Urbana, Illinois, USA

20  
21 *Correspondence to:* Guangyao Gao (gygao@rcees.ac.cn)

22  
23 **Abstract**

24 Within China's Loess Plateau there have been concerted revegetation efforts and  
25 engineering measures ~~since the 1950s~~ ~~over the last 50 years~~ aimed at reducing soil erosion

26 and land degradation. As a result, annual streamflow, sediment yield and sediment  
27 concentration have all decreased considerably. Human induced land use/cover change  
28 (LUCC) was the dominant factor, contributing over 70% of the sediment load reduction,  
29 whereas the contribution of precipitation was less than 30%. In this study, we use 50-year  
30 time series data (1961-2011), showing decreasing trends in the annual sediment loads of  
31 fifteen catchments, to generate spatio-temporal patterns in the effects of LUCC and  
32 precipitation variability on sediment yield. The space-time variability of sediment yield was  
33 expressed notionally as a product of two factors representing: (i) effect of precipitation and  
34 (ii) fraction of treated land surface area. Under minimal LUCC, the square root of annual  
35 sediment yield varied linearly with precipitation, with the precipitation-sediment load  
36 relationship showing coherent spatial patterns amongst the catchments. As the LUCC  
37 increased and took effect, the changes of sediment yield pattern depended more on  
38 engineering measures and vegetation restoration campaign, and the within-year rainfall  
39 patterns (especially storm events) also played an important role. The effect of LUCC is  
40 expressed in terms of a sediment coefficient, i.e., ratio of annual sediment yield to annual  
41 precipitation. Sediment coefficients showed a steady decrease over the study period,  
42 following a linear decreasing function of the fraction of treated land surface area. In this  
43 way, the study has brought out the separate roles of precipitation variability and LUCC in  
44 controlling spatio-temporal patterns of sediment yield at catchment scale.

45

46 **Keywords:** Loess Plateau, sediment yield, land use/land cover change, climate change,  
47 precipitation variability

## 48 **1 Introduction**

49 Streamflow and sediment transport are important controls on biogeochemical processes  
50 that govern ecosystem health in river basins (Syvitski, 2003). Changes in soil erosion on  
51 landscapes and the resulting changes in sediment transport rates in rivers have great  
52 environmental and societal consequences, particularly since they can be brought about by  
53 climatic changes and human induced land use/cover changes (LUCC) (Syvitski, 2003;  
54 Beechie et al., 2010). Understanding the dominant mechanisms behind such changes at  
55 different time and space scales is crucial to the development of strategies for sustainable  
56 land and water management in river basins (Wang et al., 2016).

57 In recent decades, streamflows and sediment yields in large rivers throughout the world  
58 have undergone substantial changes (Milly et al., 2005; Nilsson et al., 2005; Milliman et al.,  
59 2008; Cohen et al., 2014). Notable decreases in sediment yields have been observed in  
60 approximately 50% of the world's rivers (Walling and Fang, 2003; Syvitski et al., 2005).  
61 Many studies have investigated the dynamics of streamflows and sediment yields at  
62 different spatial and temporal scales (Mutema et al., 2015; Song et al., 2016; Gao et al.,  
63 2016; Tian et al., 2016). In addition to climate variability, LUCC, soil and water  
64 conservation measure (SWCM) and construction of reservoirs and dams have substantially  
65 contributed to the sediment load reductions (Walling, 2006; Milliman et al., 2008; Wang et  
66 al., 2011). While previous studies have certainly provided valuable insights into the  
67 streamflow and sediment load changes, the distinctive roles of LUCC and precipitation  
68 variability in changing sediment loads still need further investigation in large domains and  
69 across gradients of climate and land surface conditions (Walling, 2006; Mutema et al.,

70 2015). A particularly useful approach to the development of generalizable understanding of  
71 the effects of precipitation variability and LUCC is a comparative analysis approach  
72 focused on extracting spatio-temporal patterns of sediment yields based on observations in  
73 multiple locations within the same region, or even across different regions. This is  
74 especially valuable and crucial in areas with severe soil erosion and fragile ecosystems, e.g.,  
75 the Loess Plateau (LP) in China, ~~which~~ This is the motivation for the work presented in  
76 this paper.

77 The LP lies in the middle reaches of the Yellow River (YR) Basin, and contributes  
78 nearly 90% of the YR sediment (Wang et al., 2016). The historically severe soil erosion in  
79 the LP is due to sparse vegetation, intensive rainstorms, erodible loessial soil, steep  
80 topography and a long agricultural history (Rustomji et al., 2008). To control such severe  
81 soil erosion, several SWCMs, including terrace and check-dam construction, afforestation  
82 and pasture reestablishment, have been implemented since the 1950s (Yao et al., 2011;  
83 Zhao et al., 2017). A large ecological restoration campaign, the Grain-for-Green (GFG)  
84 project converting farmland on slopes exceed ~~2~~25° to forest and pasture lands, was  
85 ~~launched~~implemented in 1999 (Chen et al., 2015). Furthermore, the climate in the LP  
86 region has been showing both warming and drying trends (i.e., increased potential  
87 evapotranspiration and reduced precipitation) since the 1950s (Zhang et al., 2016).

88 These substantial LUCC have notably altered the hydrological regimes ~~of in~~ the LP in  
89 combination with the climate change. Consequently, the sediment yields within the LP  
90 have showed a predictable declining trend over the past 60 years (Zhao et al., 2017),  
91 resulting in approximately a 90% decrease of sediment yield in the YR (Miao et al., 2010,



2011; Wang et al., 2016). Many other studies have detected the influences of LUCC and precipitation variability on sediment load changes within the LP. Rustomji et al. (2008) estimated that the contributions of catchment management practices to the decrease of annual sediment yield ranged between 64% and 89% for eleven catchments in the LP during 1950s-2000. Zhao et al. (2017) examined the spatio-temporal variation of sediment yield from 1957 to 2012 across the LP, and indicated that the adoption of large-scale SWCMs led to significant reduction of sediment yield between Toudaoguai and Tongguan stations and large reservoirs operation played a critical role in sediment yield reduction between Tongguan and Huayuankou stations. Zhang et al. (2016) pointed-out that the combined effects of climate aridity, engineering projects and vegetation cover change have induced significant reductions of sediment yield between 1950 and 2008. Wang et al. (2016) found that engineering measures for soil and water conservation were the main factors for the sediment load decrease between the 1970s and 1990s, but large-scale vegetation restoration campaigns also played an important role in reducing soil erosion since the 1990s.

On the basis of the outcomes of these ~~previous~~ studies, it is now generally accepted that the largest reductions of sediment yield within the LP resulted from LUCC. However, this is general knowledge covering the whole region, and given the significant variability of climate and catchment characteristics across the LP (Sun Q-et al., 2015a; Sun W-et al., 2015b), it is important to go further and explore how these might affect spatio-temporal patterns of sediment yield. Exploration of these patterns is important for sustainable ecosystem restoration and water resources planning and management within the LP. They

114 ~~also~~ will also serve as the basis for future research aimed at the development of more  
115 generalizable understanding of landscape and climate controls on sediment yields at the  
116 catchment scale.

117 Most of the sediment yield of the LP was produced in the Coarse Sandy Hilly  
118 Catchments (CSHC) region (Fig. 1) located in the central region of the LP. The CSHC,  
119 ~~which~~ supplied over 70% of total sediment load in the YR, especially coarse sand  
120 (Rustomji et al., 2008). This CSHC region was the focus of our efforts to investigate the  
121 variation of sediment load from 15 catchments within the region within the LP. The specific  
122 objectives of this study were, therefore, are to: (1) attribute the temporal changes in  
123 sediment yield to changes in both precipitation variability and LUCC over the entire study  
124 period (1961-2011) within the CSHC region, (2) extract spatio-temporal trends in sediment  
125 yields on the basis of annual sediment yield data ~~from 15 catchments within the region~~, (3)  
126 separate the contributions of precipitation variability and fractional area of LUCC to the  
127 observed spatio-temporal patterns of sediment yields, and pave the way for more detailed  
128 process-based studies in the future.

## 129 **2 Materials and methods**

### 130 **2.1 Study area**

131 The CSHC region covers the area between the Toudaoguai ~~and~~ Longmen hydrological  
132 stations in the mainstream of the YR (Fig. 1). The main stream that flows through the  
133 CSHC region is 733 km long and its drainage catchment covers ~~an area of~~  $12.97 \times 10^4$  km<sup>2</sup>,  
134 which is accounting for 14.8% of the entire YR Basin. The CSHC region is characterized  
135 by arid to semi-arid climate conditions. The annual precipitation in the ~~CSHC~~ region during

136 1961-2011 ~~was~~ 437 mm on average, and varied from lower than 300 mm in the northwest  
137 to 580 mm in the southeast ~~to lower than 300 mm in the northwest~~ (McVicar et al., 2007).

138 The precipitation that occurs during the flood season (June-September) is usually in the  
139 form of rainstorms with high intensity and accounts for 72% of the annual rainfall total.  
140 Correspondingly, about 45% of the annual runoff and 88% of the annual sediment yield  
141 within the ~~CSHC~~ region are produced during the flood season. The northwestern part of the  
142 CSHC is relatively flat while the southeastern part is more finely dissected (Rustomji et al.,  
143 2008).

144 Fourteen main catchments along ~~the~~ north-south transect within the CSHC study area  
145 were chosen for the study (Fig. 1). These catchments account for 57.4% of the CSHC area,  
146 and contribute about 70% and 72% of streamflow and sediment load of the overall CSHC,  
147 respectively, based on observed hydrological data during 1961-2011 (Rustomji et al., 2008;  
148 Yao et al., 2011). Characteristics of these catchments are ~~presented~~shown in Table 1 and  
149 Fig. 2, showing. ~~It can be seen that that~~ the catchments present strong climate and land  
150 surface gradients. The catchments in the northwestern part (#1-6) had~~ve~~ relatively lower  
151 mean annual precipitation ( $380 \text{ mm} < \bar{P} < 445 \text{ mm}$ , where  $\bar{P}$  is mean annual precipitation  
152 over 1961-2011) and low growing season (April-October) LAI ( $0.41 < \text{LAI} < 0.48$ , where  
153 LAI is the leaf area index), while the corresponding values for catchments in the  
154 southeastern part (#7-14) weare 470-570 mm and  $0.63 < \text{LAI} < 3.26$ , respectively.

155 The entire CSHC region is considered as an additional “catchment” and it is also  
156 examined independently. The streamflow and sediment load for the whole ~~CSHC~~ region  
157 were taken to be equal to the differences of corresponding measurements between the

158 Toudaoguai and Longmen gauging station. The average annual precipitation, streamflow  
159 and sediment load of the ~~CSHC~~-region during 1961-2011 was 437.27 mm, 33.30 mm and  
160 5.17 Gt, respectively. Both the annual river discharge and sediment load across the ~~CSHC~~-  
161 region showed significant decreasing trends ( $-0.82 \text{ mm yr}^{-1}$ ,  $p < 0.001$  and  $-0.19 \text{ Gt yr}^{-1}$ ,  
162  $p < 0.001$ , respectively) over the past five decades, whereas precipitation decreased only  
163 slightly ( $-0.93 \text{ mm yr}^{-1}$ ,  $p = 0.25$ ) (Fig. 3).

## 164 **2.2 Data collection**

165 Monthly streamflow and sediment load data during 1961-2011 were provided by the  
166 Yellow River Conservancy Commission of China. Daily rainfall data from 1961 to 2011 at  
167 66 meteorological stations in and around the ~~CSHC~~-region (Fig. 1) were obtained from the  
168 National Meteorological Information Center of China. The spatially average of rainfall data  
169 was carried out using the co-kriging interpolation algorithm with the DEM as an additional  
170 input. ~~I~~With the hydro-meteorological data (including annual precipitation,  $P$  [mm],  
171 streamflow,  $Q$  [mm], and sediment load,  $S$  [t]), specific sediment yield defined as  $SSY = S/A$   
172 [ $\text{t km}^{-2}$ ], where  $A$  is the drainage area of the hydrological station [ $\text{km}^2$ ], sediment  
173 concentration defined as  $SC = S/(Q \cdot A)$  [ $\text{kg m}^{-3}$ ] and the sediment coefficient defined as  
174  $C_s = SSY/P$  [ $\text{t km}^{-2} \text{ mm}^{-1}$ ] were estimated for each catchment.

175 The mean catchment slope gradient based on the ASTER GDEM data with a resolution of  
176 30 m and soil data (scale 1:500,000) were provided by the National Earth System Science  
177 Data Sharing Infrastructure (<http://www.geodata.cn>). The land use information as at 1975,  
178 1990, 2000 and 2010 was determined with Landsat MSS and TM remote sensing images at a  
179 spatial resolution of 30 m. Six land use types were classified, i.e., forestland, cropland,

180 grassland, construction land, water body, and barren land. The LAI data during 1982-2011  
181 were obtained from the Global Land Surface Satellite (GLASS) NDVI Series with spatial  
182 resolution of 1 km (www.landcover.org, Zhao et al., 2013). The total areas impacted by  
183 various SWCMs (i.e., afforestation, grass plantation, terraces and check-dams) in each  
184 catchment during 1960s-2000s were obtained from Yao et al. (2011).

## 185 **2.3 Methods**

### 186 **2.3.1 Trend test**

187 The non-parametric Mann-Kendall (M-K) test method proposed by Mann (1945) and  
188 Kendall (1975) was used to determine the significance of the trends in annual  
189 meteorological and hydrological time series. A precondition for using the MK test is to  
190 remove the serial correlation of climatic and hydrological series. In this study, the  
191 trend-free pre-whitening (TFPW) method of Yue and Wang (2002) was used to remove the  
192 auto-correlations before the trend test. There was no residual autocorrelation remaining  
193 after performing the TFPW. A Z-statistic was obtained from the M-K test on the whitened  
194 series. A negative value of Z indicates a decrease trend, and vice versa. The magnitude of  
195 the slope of the trend ( $\beta$ ) was estimated by (Sen, 1968; Hirsch et al., 1982):

$$196 \quad \beta = \text{Median} \left[ \frac{x_j - x_i}{j - i} \right] \quad \text{for all } i < j \quad (1)$$

197 where  $x_i$  and  $x_j$  are the sequential data values in periods  $i$  and  $j$ , respectively.

### 198 **2.4.3.2 Attribution analysis of changes in sediment yield**

199 The time-trend analysis method was used to determine the quantitative contributions of  
200 LUCC and precipitation variability to sediment yield changes. This method is primarily  
201 designed to determine the differences in hydrological time series between different periods

202 (reference and validation periods) with different LUCC conditions (Zhang et al., 2011). In  
 203 this method, ~~at~~ the regression equation between precipitation and sediment yield is  
 204 developed and evaluated during the reference period, and the established equation is then  
 205 used to estimate sediment yield during the validation period. The difference between  
 206 measured and predicted sediment yields during the validation period represents the effects  
 207 of LUCC, and the residual changes are caused by precipitation variability. The governing  
 208 equations of the time-trend analysis method can be expressed as:

$$209 \quad SSY_1 = f(P_1) \quad (2)$$

$$210 \quad SSY'_2 = f(P_2) \quad (3)$$

$$211 \quad \Delta SSY^{LUCC} = \overline{SSY_2} - \overline{SSY'_2} \quad (4)$$

$$212 \quad \Delta SSY^{Pre} = (\overline{SSY_2} - \overline{SSY_1}) - \Delta SSY^{LUCC} \quad (5)$$

213 where  $SSY'$  is the predicted sediment yield, subscripts 1 and 2 indicate the reference and  
 214 validation periods, respectively.  $\overline{SSY_1}$  and  $\overline{SSY_2}$  represent mean measured sediment yield  
 215 during the reference and validation periods, respectively, and  $\overline{SSY'_2}$  represents mean  
 216 predicted sediment yield during the validation period.  $\Delta SSY^{LUCC}$  and  $\Delta SSY^{Pre}$  are sediment  
 217 yield changes during the validation period associated with LUCC and precipitation  
 218 variability, respectively. Rustomji et al. (2008) found that the square root of annual  
 219 sediment yield in the catchments of the Loess Plateau was linearly related to annual  
 220 precipitation. This was, ~~there~~ therefore, used in this study as the motivation to develop the  
 221 precipitation-sediment yield relationship during the reference period:

$$222 \quad \sqrt{SSY} = aP + b \quad (6)$$

223 In this study, the full data period of 1961-2011 was divided into three phases

224 (1961-1969, 1970-1999 and 2000-2011). The first period was considered the reference  
225 period as the effects of human activities were slight and could be ignored (Wang et al.,  
226 2016). During the second stage, numerous SWCMs were implemented. For the third stage,  
227 a large ecological restoration campaign (GFG project) was launched in 1999.

### 228 **3 Results and discussion**

#### 229 **3.1 Changes of land use/cover**

230 The CSHC region has undergone extensive LUCC caused by the implementation of  
231 SWCM and vegetation restoration projects (e.g., the GFG project). Fig. 4 shows the  
232 distribution of land use types of the ~~CSHC~~ region in 1975, 1990, 2000 and 2010. More than  
233 90% of the whole area ~~wais~~ occupied by the cropland, forestland and grassland. The area of  
234 cropland decreased by 26.72% and forestland increased by 53.15%, and there was no  
235 ~~significantobvious~~ change for the area of grassland (increase of 4.21%) in the CSHC region  
236 from 1975-2010. The majority of changes occurred during 2000-2010 due to the GFG  
237 (reforestation) project (26.67% decrease and 36.21% increase for cropland and forestland,  
238 respectively). The transition from cropland to forestland was greater in the catchments of  
239 the southeastern part (especially in catchments #7-#9) than that in the northwestern part  
240 (Fig. 4). ~~In the periodFrom~~ 1975 to 2000, the increase of forestland was 26.34% and 4.55%  
241 in the southeastern and northwestern part, respectively, and the change of cropland was  
242 negligible (only -0.39% and 0.22%, respectively). During 2000-2010, the forestland  
243 increased by 47.79% and 18.30%, and the cropland decreased by 44.84% and 21.04% in  
244 the southeastern and northwestern part, respectively.

245 The SWCMs implemented in the LP included both biotic treatments (e.g., afforestation

246 and grass-planting) and engineering measures (e.g., construction of terrace and check-dam  
247 and gully control projects). Afforestation, grass-planting and construction of terraces ~~were~~  
248 seen as the slope measures, while building of check-dams and gully control projects ~~were~~  
249 the measures on the river channel. Although the ~~area~~ utilized ~~for area of~~ engineering  
250 measures was much smaller than the biotic treatments, they ~~can~~ immediately and  
251 substantially trap streamflow and sediment load. The fraction of the treated area (area  
252 treated by erosion control measures relative to total catchment area) ~~within the CSHC~~  
253 increased from 3.95% in the 1960s to 28.61% in the 2000s (Fig. 5). The increase of the  
254 treated area was greatest during the 1980s as a result of comprehensive management of  
255 small watersheds and ~~during~~ the 2000s due to the GFG project since 1999. Some decreases  
256 ~~of SWCM in these~~ areas (i.e. afforestation and check-dams) occurred during the 1990s (Fig.  
257 5) as some planted trees were died due to drought and some small and medium check-dams  
258 were fully deposited by sediment and some of the erosion control measures undertaken  
259 ~~were~~ then subsequently destroyed by floods.

260 The growing season LAI of the whole ~~CSHC~~ region changed from 0.74 during  
261 1982-1999 to 0.81 during 2000-2011, an increase of 10.16% (Fig. 5). The LAI did not  
262 show significant increase during 1982-1999 ( $0.003 \text{ yr}^{-1}$ ,  $p=0.11$ ), and it increased  
263 significantly during 2000-2011 ( $0.024 \text{ yr}^{-1}$ ,  $p<0.01$ ). The increase of growing season LAI  
264 during 1982-2011 was greater for the catchments in the southeastern part ( $0.009 \text{ yr}^{-1}$ )  
265 compared to the northwestern part ( $0.004 \text{ yr}^{-1}$ ), especially after 2000 (Fig. 6). ~~In From~~ the  
266 period ~~from of~~ 1982-1999 to 2000-2011, the average increase of growing LAI of the  
267 fourteen sub-catchments ~~was~~  $0.088 \text{ yr}^{-1}$  ( $0.010\text{-}0.183 \text{ yr}^{-1}$ ), with the increase of  $0.114 \text{ yr}^{-1}$



268 and  $0.053 \text{ yr}^{-1}$  in the southeastern and northwestern part, respectively.

### 269 3.2 Trends of hydro-meteorological and sediment yield variables

270 Table 2 shows the trends in annual  $P$ ,  $Q$ ,  $SSY$ ,  $SC$  and  $C_s$  of the fifteen catchments during the  
271 period 1961-2011. The annual  $P$  showed a decline trend in all catchments except the Jialu  
272 catchment, but the changing trend is only significant in the Xinshui and Zhouchuan  
273 catchments ( $p < 0.05$ ). The annual  $Q$ ,  $SSY$ ,  $SC$  and  $C_s$  showed significant decreasing trends in  
274 all the catchments, and most of the decreases were at the 0.001 significance level. For the  
275 fourteen sub-catchments, the average decrease rates of annual values of  $Q$ ,  $SSY$ ,  $SC$  and  $C_s$   
276 were  $0.86 \text{ mm yr}^{-1}$  ( $0.24\text{-}1.66 \text{ mm yr}^{-1}$ ),  $190.06 \text{ t km}^{-2} \text{ yr}^{-1}$  ( $26.47\text{-}398.82 \text{ t km}^{-2} \text{ yr}^{-1}$ ),  $2.73$   
277  $\text{kg m}^{-3} \text{ yr}^{-1}$  ( $0.69\text{-}4.70 \text{ kg m}^{-3} \text{ yr}^{-1}$ ) and  $0.38 \text{ t km}^{-2} \text{ mm}^{-1} \text{ yr}^{-1}$  ( $0.04\text{-}0.87 \text{ t km}^{-2} \text{ mm}^{-1} \text{ yr}^{-1}$ ),  
278 respectively. ~~T~~For the whole CSHC region, the corresponding change rates of  $Q$ ,  $SSY$ ,  $SC$   
279 and  $C_s$  for the whole region were  $-0.85 \text{ mm yr}^{-1}$ ,  $-131.52 \text{ t km}^{-2} \text{ yr}^{-1}$ ,  $-2.06 \text{ kg m}^{-3} \text{ yr}^{-1}$  and  
280  $-0.27 \text{ t km}^{-2} \text{ mm}^{-1} \text{ yr}^{-1}$ , respectively. The annual average reductions in the whole ~~CSHC~~ region  
281 were equivalent to 2.56%, 3.30%, 2.01% and 3.07% of the mean annual values of  $Q$ ,  $SSY$ ,  
282  $SC$  and  $C_s$ , respectively.

283 The mean and the coefficient of variation,  $C_v$ , representing inter-annual variability of  
284 annual values of  $P$ ,  $Q$ ,  $SSY$ ,  $SC$  and  $C_s$  ~~for~~ the fifteen catchments during the three phases  
285 (reference period-1, period-2 and period-3) are shown in Fig. 7. Compared to standard  
286 deviation, the  $C_v$  value was better able to indicate the inter-annual variability of precipitation,  
287 streamflow and sediment load among the catchments with distinctly different average values.  
288 Compared to the reference period, the mean annual precipitation decreased by 11.73%  
289 (6.36%-15.69%) and 10.64% (5.88%-16.7%) on average in period-2 and period-3,

290 respectively. From period-2 to period-3, the change of mean annual precipitation was slight  
291 (increased by 1.32% on average) with a decrease of 2.45%-5.87% in four catchments and an  
292 increase in the remaining catchments (0.35%-8.29%). The variability of annual  $P$  also  
293 decreased as indicated by the reductions of  $C_v$  values during period-2 and period-3 (Fig. 7a).  
294 In contrast to annual  $P$ , the reductions of mean annual  $Q$ ,  $SSY$ ,  $SC$  and  $C_s$  were clearly more  
295 evident. With respect to the reference period, the reduction was 34.41% (9.45%-54.72%),  
296 48.02% (17.98%-67.61%), 24.20% (-9.93%-47.77%) and 39.31% (4.64%-63.5%) for  $Q$ ,  $SSY$ ,  
297  $SC$  and  $C_s$  during period-2, and the decreasing rate was even more in period-3 with values of  
298 64.82% (36.72%-84.19%), 88.23% (64.94%-97.64%), 67.81% (17.28%-91.12%) and 85.85%  
299 (63.51%-96.97%), respectively.  $C_v$  of annual  $Q$  increased in eight catchments, with the  
300 remaining ones showing decreasing trends (Fig. 7b), while  $C_v$  values for  $SSY$ ,  $SC$  and  $C_s$   
301 increased in all catchments (Figs 7c-7e). The above results indicate ~~the~~ substantially different  
302 behaviors of the changes among precipitation, streamflow and sediment load.

### 303 **3.3 Quantitative attribution of sediment yield decline**

304 The effects of precipitation change and LUCC on sediment yield reductions in period-2 and  
305 period-3 were quantified using Eqs. (2-6) and the results are shown in Fig. 8. The form of  
306 Eq. (6) during the reference period is shown in Table 3. The analysis showed that both  
307 decreased precipitation and increased area treated with erosion control measures  
308 contributed to the observed sediment load reduction, and that LUCC played the major role.  
309 On average, ~~the~~ LUCC and precipitation change contributed 74.39% and 25.61%,  
310 respectively, to sediment load reduction from the reference period to period-2, with  
311 and their respective contributions ~~were, respectively, 88.67% and 11.33%~~ to sediment load

312 reduction from the reference period to period-3 ~~being 88.67 and 11.33%, respectively~~. The  
313 effect of LUCC in period-3 was greater than ~~that~~ in period-2 as the land use/cover (see Figs.  
314 4-5) and vegetation coverage (see Fig. 6) had undergone substantial changes due to the  
315 ecological restoration campaigns launched during period-3. From period-2 to period-3, the  
316 contribution of precipitation was negative for sediment yield reduction in eleven  
317 catchments where the annual precipitation slightly increased ~~during these two periods~~, and  
318 thus the contribution of LUCC was larger than 100% (Fig. 8c). In the remaining four  
319 catchments, the average contribution of LUCC increased to 83.96%.

320 In broad terms there are two factors that govern annual sediment yield of a catchment:  
321 precipitation and landscape properties (soil, topography and vegetation). Precipitation is the  
322 primary driver of runoff and, therefore, directly influences the sediment transport capacity  
323 of streamflow and sediment yield at the catchment scale. Higher precipitation means higher  
324 streamflow, which is the immediate driver of erosion and sediment transport. Landscape  
325 properties not only have an impact on the volume or intensity of streamflow, but also  
326 determine the erodibility of the soil. ~~CWe have investigated the e~~correlations between the  
327 potential factors (precipitation, percentage area of afforestation, pasture plantation,  
328 terracing, check-dams and construction land, and LAI) and sediment yield change between  
329 different stages (see Table 4) ~~showed. It was found~~ that check-dam construction was the  
330 dominant factor for sediment yield reduction from reference period to period-2. ~~P, and~~  
331 ~~p~~pasture plantation and check-dam construction acted as the dominant factors for sediment  
332 yield from reference period to period-3. The increase of precipitation mitigated the  
333 reduction of sediment yield to some degree from period-2 to period-3.

334 Based on the above results, ~~in the reference period before LUCC took effect,~~ the  
335 variation of *SSY* mainly dependeds on precipitation in the reference period before LUCC  
336 took effect, and any spatial patterns of *SSY* in the-among catchments were may be-  
337 controlled by differences in annual precipitation and land surface conditions. During the  
338 validation period (period-2 and period-3) when increased ~~th~~ LUCC hadincreased and  
339 takenook effect, *SSY* decreased considerably. T-whereas the decrease of precipitation was  
340 insignificant and, LUCC contributeding over 70% of the sediment yield reduction. In this  
341 case, the temporal changes of *SSY* depended more on the fraction of treated surface area  
342 and precipitation possibly ~~might~~ played a secondary role. The spatial pattern of the impacts  
343 of precipitation on sediment yield wasis dependent on the landscape properties among  
344 catchments. Guided by this framework, data were next ~~organize the data~~ analysedis to  
345 generate separate spatial and temporal patterns ~~that constituting the~~ respective components  
346 of the spatio-temporal patterns.

### 347 **3.4 Spatial-temporal pattern of the impacts of precipitation on sediment yield**

348 The regression equations of  $\sqrt{SSY} = aP + b$  are shown in Table 3. T, ~~and~~ the spatial  
349 distributions of precipitation-sediment relationships during the three stages are shown in  
350 Fig. 9. During the reference period, ~~most of the catchments showed strong the~~ correlation  
351 between precipitation and sediment yield was significant in eleven catchments ( $p < 0.05$ )  
352 with t. The coefficient of determination ( $R^2$ ) ranged from 0.4287 to 0.87, ~~and the~~  
353 ~~correlation was significant in eleven catchments ( $p < 0.05$ )~~ (Table 3). ~~Overall, the regressed~~  
354 ~~equations were significant for most of the catchments, and were suitable for estimating the~~  
355 ~~relative contributions of LUCC and precipitation variability to sediment yield changes.~~

356 Furthermore, the precipitation-sediment yield relationship varied from catchment to  
357 catchment and showed a spatial pattern. The correlation coefficient between precipitation  
358 and sediment yield was greater for catchments in the northwestern part with average  $R^2$   
359 value of 0.75 and  $p$  value of 0.007 compared to those in the southeastern part where the  
360 average  $R^2$  and  $p$  values were 0.48 and 0.059, respectively (Table 3). Based on the slopes of  
361 the regression equations between annual precipitation and sediment yield, the fourteen  
362 catchments were classified into four groups (Group-1:  $a > 0.3$ , Group-2:  $0.2 < a < 0.3$ , Group-3:  
363  $0.1 < a < 0.2$  and Group-4:  $0 < a < 0.1$ ), which indicate that the sediment production capability  
364 of annual precipitation is different among the catchments (Fig. 9a). The four catchments in  
365 the northwestern part (#1-3 and 5) had the greatest regression slopes of  $a > 0.3$  (Group-1)  
366 and the Shiwang catchment had the lowest regression slope of 0.07 (Group-4). Most of the  
367 catchments in the southeastern part were in the second group of  $0.2 < a < 0.3$ . Overall, the  
368 regressed equations were significant for most of the catchments, and were suitable for  
369 estimating the relative contributions of LUCC and precipitation variability to sediment  
370 yield changes.

371 Compared to the reference period, the correlation between precipitation and sediment  
372 yield during the period-2 decreased in the catchments, as indicated by ~~lower the reductions~~  
373 ~~of  $R^2$  values~~ in Table 3. The slopes of the regression lines in the period-2 decreased in most  
374 of the catchments with respect to the reference period, except in ~~some catchments (e.g.,~~  
375 ~~Huangfu, Gushan and Kuye~~ catchments which ) increased with slightly increase.  
376 Furthermore, the spatial patterns of the precipitation-sediment yield relationship during  
377 these two periods were somewhat different (Figs. 9a and 9b). From the reference period to

378 period-2, Jialu catchment moved from Group-1 to Group-2 and five catchments moved  
379 from Group-2 to Group-3.

380 During period-3, the correlation between precipitation and sediment yield was ~~much~~  
381 weaker compared to ~~that during~~ the reference period and period-2 (Table 3). The  
382 relationships between precipitation and sediment yield ~~were~~ not ~~n~~-significant in all ~~of~~ the  
383 catchments (Table 3). The slopes of the regression lines during period-3 decreased sharply  
384 (Table 3). ~~S~~, and for six catchments ~~the regression slope~~ (five in the north-western part and  
385 one in the south-eastern part) ~~had~~ ~~was even~~ negative regression slopes (Fig. 9c). This result  
386 indicates that the sediment production capability of annual precipitation ~~decreased~~ ~~reduced~~  
387 greatly during period-3, and the increase of precipitation amount in some catchments did  
388 not lead to ~~an~~ ~~increased~~ ~~of~~ sediment yield. Furthermore, the spatial patterns of  
389 precipitation-sediment relationship during period-3 ~~were~~ clearly ~~much~~ different from  
390 those during the reference period and period-2 (~~based on~~ ~~compar~~ ~~isons of~~ Fig. 9c  
391 ~~against~~ ~~with~~ Figs. 9a-9b). There were only three groups with two catchments having  
392 regression slopes of  $0.1 < a < 0.2$ , six catchments having regression slopes of  $0.1 < a < 0.2$  and  
393 six catchments having negative regression slopes.

394 The aforementioned analysis of the precipitation-sediment yield relationship in  
395 different periods clearly indicates that the impacts of precipitation on sediment yield  
396 declined with time. ~~T~~, and the impacts were different among catchments, with a clear  
397 spatial pattern. The effects of precipitation on the sediment yield were greater in the  
398 north-western part compared to those in the south-eastern part. The decreased effects of  
399 precipitation on sediment yield with time were consistent with the significant reductions of

400 sediment coefficient (Table 2) and the decreased contribution of precipitation to sediment  
401 load reduction (25.61% and 11.33% in period-2 and period-3, respectively). During  
402 period-2, the LUCC were mainly induced by SWCM, especially engineering measures.  
403 During period-3, the combined effects of substantial vegetation cover and conservation  
404 measures further weakened the effects of precipitation on sediment load reduction.

405 ~~Differences in catchment characteristics, including land use/cover, soil properties and~~  
406 ~~topography, as well as precipitation characteristics, are clearly the reason for the spatial~~  
407 ~~patterns in the precipitation-sediment yield relationship (Morera et al., 2013; Mutema et al.,~~  
408 ~~2015). The lower vegetation cover was the main reason for the greater effects of~~  
409 ~~precipitation on sediment yield in the northwestern part. To fully explore this, the mapping~~  
410 ~~of information of catchment characteristics into sediment yield models and simulations~~  
411 ~~under different climate scenarios are needed (Ma et al., 2014; Achete et al., 2015). In this~~  
412 ~~context, the inter-annual and intra-annual patterns of variability of precipitation, including~~  
413 ~~the distribution of storm events, may also contribute to the observed spatial patterns of~~  
414 ~~precipitation-sediment yield relationship.~~

415 ~~As LUCC took effect during period-2 and period-3, and despite the much reduced role~~  
416 ~~of precipitation in driving changes in sediment yield, within-year temporal rainfall patterns~~  
417 ~~did play an important role in the observed changes of sediment yield, given that most of the~~  
418 ~~sediment yield was produced during a few key storm events. The correlation between~~  
419 ~~sediment yield and storm events with daily precipitation amount larger than 20 mm~~  
420 ~~(including storm numbers, precipitation amount of storms) in the CSHC region during~~  
421 ~~different decades were investigated (see Table 5). The analysis showed that the sediment~~

yield was significantly correlated with storm numbers in the 1960s, 1970s and 1980s ( $p < 0.05$ ), and precipitation amount of storms in the 1960s and 1970s ( $p < 0.05$ ). This result indicated the critical role of storm events in sediment yield, especially during the periods before substantial LUCC took effect.

Looking into this in more detail, taking the Yanhe catchment as an example, the precipitation amount during the rainy season (May–October when sediment load was measured) in 2003 and 2004 was 514.31 mm and 389.05 mm, respectively, whereas the sediment load in 2003 ( $2427.37 \times 10^4$  t) was about over four times of that in 2004 ( $590.04 \times 10^4$  t). As shown in Fig. 10, there were six days with precipitation amounts over 20 mm and the maximum daily precipitation amount on 25th August was 27.85 mm in 2003, and the values in 2004 were five days and 46.34 mm on 10th August. Furthermore, heavy rainfall events were distributed in every month in 2003, whereas they were concentrated in July and August in 2004. There were five evident peaks of sediment load with the sum of  $1646.24 \times 10^4$  t (67.82% of annual total) in 2004, especially the one on 10th August produced  $784.53 \times 10^4$  t sediment load (32.32% of annual total) (Fig. 10b). In contrast, there were three peaks of sediment load in 2003, and the maximum value was only  $139.97 \times 10^4$  t (Fig. 10a). Therefore, apart from annual precipitation amounts, within-year rainfall patterns should also be considered to investigate the effects of precipitation on temporal-spatial changes of streamflow and sediment load.

### 3.5 Spatial-temporal pattern of the impacts of land use/cover on sediment yield

The sediment load reductions in the LP were primarily caused by the LUCC and the implementation of SWCM. The cropland area decreased  $9733.91 \text{ km}^2$  (8.73% of region area)



444 and the forestland area increased 7662.50 km<sup>2</sup> (6.87% of region area) from 1975 to 2010.  
445 Most of the increase in forestland area was converted from cropland area induced by the GFG  
446 or reforestation project. As a result of the land use change, vegetation cover increased greatly  
447 and it substantially contributed to the decreases of runoff and sediment production. The  
448 SWCMs, such as afforestation and engineering measures were the major interventions in the  
449 study area to retain precipitation and consequently reduce streamflow and sediment load.  
450 Establishing perennial vegetation cover was considered as one of the most effective measures  
451 to stabilize soils and minimize erosion (Farley et al., 2005; Liu et al., 2014). It was reported  
452 that both runoff coefficient and sediment concentration of catchments in the LP decreased  
453 significantly and linearly with the vegetation cover (Wang et al., 2016). The engineering  
454 structures mainly included creation of terrace and building of check dams and reservoirs,  
455 which reduced flood peaks and stored water and sediment within the catchment. There were  
456 about 110,000 check dams in the LP which trapped about 21 billion m<sup>3</sup> of sediment during  
457 the past six decades (Zhao et al., 2017). Over time, the effectiveness of engineering measures  
458 decreased as they progressively fill with sediments, and vegetation restoration must in future  
459 play a greater role in control of soil erosion for the LP.

460 In order to quantify the effects of SWCM on sediment load reduction, the relationships  
461 between the decadal sediment coefficient and the fraction of area treated with erosion control  
462 measures in the 15 catchments were analysed and the results are presented in Table 56. The  
463 decadal sediment coefficient ( $\overline{SC}$ ) decreased linearly with the fraction of treated land surface  
464 area ( $A_c$ ) in all catchments:

$$465 \quad \overline{SC} = -mA_c + n \quad (7)$$

466 The correlations were significant in eleven catchments ( $p < 0.05$ ) with  $R^2$  ranging from  
467 0.78 to 0.99 (Table 56). The effects of SWCM on sediment load change show a spatial  
468 pattern. The correlation between sediment coefficients and conservation measures were  
469 stronger in catchments located in the north-western part compared to that in the south-eastern  
470 part (Table 56). Based on the slope of the regression equation between the sediment  
471 coefficient and fraction of the treated area, the catchments were classified into three groups in  
472 Fig. 104 (Group-1:  $0.8 < m < 1.2$ , Group-2:  $0.4 < m < 0.8$  and Group-3:  $0 < m < 0.4$ ), which indicated  
473 that the degree of sediment load impacted by conservation measures was different among the  
474 catchments. The average  $m$  value was 0.73 and 0.37 for the catchments in the north-western  
475 and south-eastern part, respectively. Half of the catchments in the north-western part were in  
476 Group-1 and the other half were in Group-2, whereas six of the eight catchments in the  
477 south-eastern part were in Group-3 with lowest regression slope.

### 478 3.6 Discussion

479 Differences in catchment characteristics, including land use/cover, soil properties and  
480 topography, as well as precipitation characteristics, are clearly the reason for the spatial  
481 patterns in the precipitation-sediment yield relationship (Morera et al., 2013; Mutema et al.,  
482 2015). The lower vegetation cover was the main reason for the greater effects of  
483 precipitation on sediment yield in the northwestern part. In order to fully explore this, the  
484 mapping of information of catchment characteristics into sediment yield models and  
485 simulations under different climate scenarios would be needed (Ma et al., 2014; Achete et  
486 al., 2015). In this context, the inter-annual and intra-annual patterns of variability of  
487 precipitation, including the distribution of storm events, may also contribute to the

488 observed spatial patterns of precipitation-sediment yield relationship.

489 As LUCC took effect during period-2 and period-3, and despite the much reduced role  
490 of precipitation in driving changes in sediment yield, within-year temporal rainfall patterns  
491 did play an important role in the observed changes of sediment yield, given that most of the  
492 sediment yield was produced during a few key storm events. The correlation between  
493 sediment yield and storm events with daily precipitation amount larger than 20 mm  
494 (including storm numbers, precipitation amount of storms) in the CSHC region during  
495 different decades were investigated (see Table 6). The analysis showed that the sediment  
496 yield was significantly correlated with storm numbers in the 1960s, 1970s and 1980s  
497 ( $p < 0.05$ ), and precipitation amount of storms in the 1960s and 1970s ( $p < 0.05$ ). This result  
498 indicated the critical role of storm events in sediment yield, especially during the periods  
499 before substantial LUCC took effect.

500 Looking into this in more detail and taking the Yanhe catchment as an example, the  
501 precipitation amount during the rainy season (May-October when sediment load was  
502 measured) in 2003 and 2004 was 514.31 mm and 389.05 mm, respectively, whereas the  
503 sediment load in 2004 ( $2427.37 \times 10^4$  t) was about over four times of that in 2004  
504 ( $590.04 \times 10^4$  t). As shown in Fig. 11, there were six days with precipitation amounts over  
505 20 mm and the maximum daily precipitation amount on 25<sup>th</sup> August was 27.85 mm in 2003,  
506 and the values in 2004 were five days and 46.34 mm on 10<sup>th</sup> August. Furthermore, heavy  
507 rainfall events were distributed in every month in 2003, whereas they were concentrated in  
508 July and August in 2004. There were five evident peaks of sediment load with the sum of  
509  $1646.24 \times 10^4$  t (67.82% of annual total) in 2004, especially the one on 10<sup>th</sup> August

510 produced  $784.53 \times 10^4$  t sediment load (32.32% of annual total) (Fig. 11b). In contrast, there  
511 were three peaks of sediment load in 2003, and the maximum value was only  $139.97 \times 10^4$  t  
512 (Fig. 11a). Therefore, apart from annual precipitation amounts, within-year rainfall patterns  
513 should also be considered when investigating the effects of precipitation on  
514 temporal-spatial changes of streamflow and sediment load.

515 The sediment load reductions in the CSHC region were primarily caused by the LUCC  
516 and the implementation of SWCM. The cropland area decreased  $9733.91 \text{ km}^2$  (8.73% of  
517 region area) and the forestland area increased  $7662.50 \text{ km}^2$  (6.87% of region area) in the  
518 region from 1975 to 2010. Most of the increase in forestland area was converted from  
519 cropland area induced by the GFG or reforestation project. As a result of the land use change,  
520 vegetation cover increased greatly and it substantially contributed to the decreases of runoff  
521 and sediment production. The SWCMs, such as afforestation and engineering measures were  
522 the major interventions in the study area to reduce the runoff-sediment generation from  
523 precipitation and retain streamflow and sediment load within the catchment. Establishing  
524 perennial vegetation cover was considered as one of the most effective measures to stabilize  
525 soils and minimize erosion (Farley et al., 2005; Liu et al., 2014). It was reported that both  
526 runoff coefficient and sediment concentration of catchments in the LP decreased significantly  
527 and linearly with the vegetation cover (Wang et al., 2016). The engineering structures mainly  
528 included creation of terrace and building of check-dams and reservoirs, which reduced flood  
529 peaks and stored water and sediment within the catchment. There were about 59, 874  
530 check-dams in the region which trapped about  $9842 \times 10^4$  t of sediment per year  
531 (approximately 19% of annual sediment yield) during the past six decades (Yao et al., 2011).

~~Over time, the effectiveness of engineering measures decreased as they progressively filled with sediments, and vegetation restoration played a greater role in controlling soil erosion.~~

#### 4 Conclusions

~~The Loess Plateau has undergone major changes in land use/land cover over the last 50 years as part of a concerted effort to cut back on soil erosion and land degradation and sediment yield of rivers. These included terrace and check dam construction, afforestation, and pasture reestablishment. Over the same period the region has also experienced some reduction in rainfall, although this is relatively insignificant.~~ Through analyses of hydrological and sediment transport data, this study has ~~shown~~brought out ~~that~~the long-term decreasing trends in sediment loads across fifteen large sub-catchments located in the CSHC region for the period 1961-2011. The study was particularly aimed at extracting spatio-temporal patterns of sediment yield and attributing these patterns to the broad hydro-climatic and landscape controls. The effects of precipitation variability and land use/cover changes on sediment yield were investigated in detail.

Over the study period ~~(1961-2011)~~, the total area undergoing erosion control treatment went up from only 4% to over 30%. This included to decrease of cropland by 27%, increase of forestland by 53% and grassland by 4% from 1975-2010. Over the same period annual precipitation decreased by not more than 10%. As a result of the erosion control measures, ~~over the entire 50-year period~~, there ~~have been~~were major reductions in streamflow (65%), sediment yield (88%), sediment concentration (68%) and sediment efficiency, i.e., annual sediment yield/annual precipitation (86%) over the entire 50-year period.

The observed data in the 15 study catchments also exhibiteded interesting

554 spatio-temporal patterns in sediment yield. The study attempted to separate the relative  
555 contributions of annual precipitation and LUCC to these spatio-temporal patterns. Before  
556 LUCC took effect, the data indicates a linear relationship between square root of annual  
557 sediment yield and annual precipitation in all 15 catchments, with highly variable slopes of  
558 the relationship between the catchments, which exhibited systematic spatial patterns, in  
559 spite of some scatter. As LUCC increased and took effect, the scatter increased and the  
560 slopes of the sediment yield vs precipitation relationship became highly variable and lost  
561 any predictive power. The study then looked at the controls on sediment coefficient instead  
562 of sediment yield, ~~(thus eliminating the effect of precipitation and enabling a direct focus~~  
563 ~~on landscape controls)~~. The results of this analysis found that sediment coefficient was  
564 heavily dependent on the area under land use/cover treatment, exhibiting a linear  
565 ~~(decreasing)~~ relationship. Even here, there was a considerable variation in the slope of the  
566 relationship between the 15 catchments, which exhibited a systematic spatial pattern.

567 Preliminary analyses presented in this study suggest that much of the sediment yield in  
568 the LP may be caused during only a few major storms. Therefore, the seasonality and  
569 intra-annual variability of precipitation may play important roles in annual sediment yield,  
570 which may also explain the spatial patterns of sediment yield and the effects of the various  
571 LUCC. Also, the precipitation threshold for producing sediment yield would have increased  
572 greatly as a result of SWCM and vegetation restoration in the LP. Exploration of these  
573 questions in detail will require a more physically based model that can account for fine  
574 scale rainfall variability and catchment characteristics. This is the next immediate step in  
575 our investigations, and will be reported on in the near future.

576

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682

683 **Figure captions**

684 **Figure 1.** Location of the studied catchments in the Coarse Sandy Hilly Catchments  
685 (CSHC) region within the Loess Plateau.

686 **Figure 2.** Spatial distribution of (a) annual precipitation (1961-2011), (b) growing season  
687 leaf area index (LAI, 1982-2011), (c) soil type and (d) slope in the study area.

688 **Figure 3.** Annual precipitation, streamflow and sediment load for the whole CSHC region  
689 during 1961-2011.

690 **Figure 4.** Land use and cover of the study area in (a) 1975, (b) 1990, (c) 2000 and (d)  
691 2010.

692 **Figure 5.** The changes of soil and water conservation measures area and growing season  
693 LAI in the study area.

694 **Figure 6.** Long-term trends in growing season LAI changes over (a) 1982-2011, (b)  
695 1982-1999 and (c) 2000-2011 in the study area. Inset in each figure shows the  
696 frequency distribution of the LAI trends.

697 **Figure 7.** The changes of (a) precipitation, (b) streamflow, (c) sediment yield, (d) sediment  
698 concentration and (e) sediment coefficient during different stages (1961-1969,  
699 1970-1999 and 2000-2011).

700 **Figure 8.** Contributions of precipitation and land use/cover to reductions of sediment load  
701 from (a) reference period (P1) to period-2 (P2), (b) reference period (P1) to period-3 (P3)  
702 and (c) period-2 (P2) to period-3 (P3).

703 **Figure 9.** Spatial distribution of slope  $a$  in the regression equation  $\sqrt{SSY} = aP + b$  during  
704 (a) reference period (1961-1969), (b) period-2 (1970-1999) and (c) period-3

705 (2000-2011).  $SSY$  is specific sediment yield, and  $P$  is precipitation.

706 ~~Figure 10. Daily precipitation and sediment load of the Yanhe catchment during rainy~~  
707 ~~season (May-October) in (a) 2003 and (b) 2004.~~

708 **Figure 101.** Spatial distribution of slope  $m$  in the regression equation  $\overline{SC} = -mA_c + n$ .  $\overline{SC}$

709 is the decadal average sediment coefficient, and  $A_c$  is the percentage of the area affected

710 by soil and water conservation measures in the catchments.

711 Figure 110. Daily precipitation and sediment load of the Yanhe catchment during rainy  
712 season (May-October) in (a) 2003 and (b) 2004.