## 1 Detecting seasonal and long-term vertical displacement in the North

## 2 China Plain using GRACE and GPS

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Abstract. Twenty-nine continuous Global Positioning System (GPS) time series data 12 together with data from Gravity Recovery and Climate Experiment (GRACE) are analyzed to 13 determine the seasonal displacements of surface loadings in the North China Plain (NCP). 14 Results show significant seasonal variations and a strong correlation between GPS and 15 16 GRACE results in the vertical displacement component; the average correlation and Weighted 17 Root-Mean-Squares (WRMS) reduction between GPS and GRACE are 75.6% and 28.9% 18 respectively when atmospheric and non-tidal ocean effects were removed, but the annual 19 peak-to-peak amplitude of GPS (1.2~6.3 mm) is greater than the data (1.0~2.2 mm) derived 20 from GRACE. We also calculate the trend rate as well as the seasonal signal caused by the mass load change from GRACE data, the rate of GRACE-derived Terrestrial Water Storage 21 22 (TWS) loss (after multiplying by the scaling factor) in the NCP was 3.39 cm/yr (equivalent to

23	12.42 km <sup>3</sup> /yr) from 2003 to 2009. For a 10-year time span (2003 to 2012), the rate loss of
24	TWS was 2.57 cm/yr (equivalent to 9.41 $\text{km}^3$ /yr) which is consistent with the groundwater
25	storage (GWS) depletion rate (the rates loss of GWS were 2.49 cm/yr and 2.72 cm/yr during
26	2003~2009 and 2003~2012, respectively) estimated from GRACE-derived results after
27	removing simulated soil moisture (SM) data from GLDAS/Noah model. We also found that
28	GRACE-derived GWS changes are in disagreement with the groundwater level changes from
29	observations of shallow aquifers from 2003 to 2009, especially between 2010 and 2013.
30	Although the shallow groundwater can be recharged from the annual climate-driven rainfall,
31	the important facts indicate that GWS depletion is more serious in deep aquifers. The
32	GRACE-derived result shows an overall uplift in the whole region at the 0.37~0.95 mm/yr
33	level from 2004 to 2009, but the rate of change direction is inconsistent in different GPS
34	stations at $-0.40$ ~0.51 mm/yr level from 2010 to 2013. Then we removed the vertical rates
35	which are induced by TWS from GPS-derived data to obtain the corrected vertical velocities
36	caused by tectonic movement and human activities. The results show that there are uplift
37	areas and subsidence areas in NCP. Almost the whole central and eastern region of NCP
38	suffers serious ground subsidence caused by the anthropogenic-induced groundwater
39	exploitation in the deep confined aquifers. In addition, the slight ground uplifts in the western
40	region of NCP are mainly controlled by tectonic movement (e.g. Moho uplifting or mantle
41	upwelling).

43 Keywords: GPS, GRACE, Seasonal and long-term displacement, Terrestrial water storage,
44 the North China Plain

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## 45 **1 Introduction**

Using Global Positioning System (GPS) to monitor crustal motion, especially in the vertical 46 47 or height component due to its large amplitude, which has been used to study surface loading caused by mass change. Site-position time series recorded by continuous GPS arrays have 48 revealed the vertical displacement variations resulted from trend or seasonal distribution of 49 mass in a region or global changes, e.g. a change of continental water (Bevis et al., 2005; van 50 51 Dam et al., 2007; Wahr et al., 2013), ice (Sauber et al., 2000; Khan et al., 2010; Nielsen et al., 2013), snow (Heki, 2001; Grapenthin et al., 2006), ocean (van Dam et al., 2012; Wahr et al., 52 53 2014) and atmospheric mass (van Dam et al., 1994; Boehm et al., 2007).

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On the global scale, terrestrial hydrologic mass exchanges that causes significant large-scale 55 56 loading occur between the oceans, continents, and atmosphere at seasonal and inter-annual time scales. On the local scale, for the inter-annual and long-periodic change in the hydrologic 57 cycle, what significantly affects loading are large anthropogenic disturbances on groundwater 58 59 extraction and artificial reservoir water impoundment and other climate-driven factors, e.g. 60 natural floods and droughts (Chao et al., 2008; Rodell et al., 2009; Feng et al., 2013; Joodaki et al., 2014; Wang et al., 2014a). The global-scale mass variations closely related to changes 61 in terrestrial water storage (TWS) are observed by the Gravity Recovery and Climate 62 Experiment (GRACE) satellite mission, while the surface elastic displacement can be 63 estimated if the load and rheological properties of the Earth were known (Farrell, 1972). The 64 65 majority of previous loading studies solved the three components of crustal motion by adopting joint analysis of GRACE time-variable gravity field coefficients and GPS data 66

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(Kusche and Schrama, 2005; van Dam et al., 2007; Fu and Freymueller, 2012; Fu et al., 2013). 67 In principle the loading effects caused by the majority of mass redistributions near the Earth's 68 69 surface are from water, atmospheric, and ocean transports on daily to inter-annual timescales 70 (Kusche and Schrama, 2005). The contribution to the surface displacement made by the 71 variations of the atmospheric and ocean can be reasonably modeled by using global atmospheric surface pressure data and space geodetic data respectively. Thus, after removing 72 73 the loading effects of the atmospheric and ocean, GRACE-derived displacement and GPS data 74 allow the detection of changes in the Earth's larger hydrological storage systems.

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In general, changes in TWS capacities depend on precipitation and human consumption. 76 Variations in TWS may be related to precipitation which is strongly driven by climate and can 77 78 be simulated from global water and energy balance models (Syed et al., 2008). This is related to soil texture and root depth in the case of soil water storage, e.g. soil moisture and 79 vegetation canopy storage can be derived from the Global Land Data Assimilation System 80 81 (GLDAS) (Rodell et al., 2004), the WaterGAP Global Hydrology Model (WGHM) (D dl et al., 82 2003) and the Community Land Model (CLM) (Oleson et al., 2013), surface water storage (e.g. water in rivers, lakes, reservoirs and wetlands can be derived from WGHM while snow 83 or ice can be derived from WGHM and CLM), and naturally occurring (i.e. climate-driven) 84 85 aquifer storage (e.g. groundwater predicted by WGHM and CLM). Variations in TWS may 86 also be caused by man-made factors, such as water withdrawals for irrigation purposes (D dl, 87 2009) and dam construction for power generation and navigation (Wang et al., 2011). These changes in TWS can be observed in situ (i.e. groundwater level and impounded water level). 88

89 The TWS integrated by all the variations can lead to the overall changes in crust 90 displacement.

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92 This study focuses on the crustal deformation of the North China Plain (NCP) (Figure 1), 93 which is one of the most uniformly and extensively altered areas by human activities in the world (Tang et al., 2013). The NCP is one of the world's largest aquifer systems and supports 94 95 an enormous exploitation of groundwater. Overexploitation of groundwater has seriously affected agriculture irrigation, industry, public supply, and ecosystems in the NCP. Previous 96 97 studies used GRACE data, land surface models, and well observations to provide insight on groundwater depletion in the NCP (Su et al., 2009; Zhong et al., 2009; Feng et al., 2013; 98 Moiwo et al., 2013; Tang et al., 2013; Huang et al., 2015). Liu et al. (2014) has discussed 99 100 loading displacement in the NCP before. Only five GPS stations (i.e. BJFS, BJSH, JIXN, TAIN, and ZHNZ) data are used in their work. Although they calculated the seasonal 101 amplitudes, phases and trends of vertical displacement from GRACE and GPS, the 102 103 atmospheric and non-tidal ocean loading effects were not removed in the Liu et al.'s work, i.e. 104 they added the Atmosphere and Ocean De-aliasing Level-1B (AOD1B) solution (GAC solution) back to the GRACE spherical harmonic solutions. 105

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Here, we use GRACE and data from 29 GPS sites to study the seasonal and long-term loading displacement due to dynamic hydrological processes and groundwater-derived land subsidence in the NCP. In contrast to previous focus study (Liu et al., 2014), the most obvious difference between our results and their work is we removed other loading effects (e.g.

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atmospheric and non-tidal ocean) in order to reflect the seasonal and long-term displacement caused by TWS loads better. Additionally, we discuss long-term trend due to mass change revealed by GRACE measurements and its impacts on tectonic vertical rates evaluations.

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## 115 **2 Data analysis**

## 116 **2.1 GRACE data**

The GRACE mission design makes it particularly useful for time-variable gravity studies. 117 GRACE was jointly launched by NASA and the German Aerospace Center (DLR) in March 118 119 2002 (Tapley et al., 2004). The Level-2 gravity products consist of sets of complete spherical harmonic (Stokes) coefficients out to some maximum degree and order (typically  $l_{max} = 120$ ) 120 averaged over monthly intervals. When considering large-scale mass redistribution in the 121 122 Earth system on a timescale ranging from weekly to interdecadal, it is reasonable to assume that all relevant processes occur in a thin layer at the Earth's surface (Kusche and Schrama, 123 2005). In this analysis, we assume that the gravitational and geometrical response of the Earth 124 125 can be described by Farrell's (1972) theory, where the loads Love numbers only depend on 126 the spherical harmonic degree. Thus, the elastic displacements due to the surface mass change can easily be represented in terms of spherical harmonic coefficients for the gravity field and 127 load Love numbers,  $k_l$ ,  $l_l$ , and  $h_l$  (Wahr et al., 1998; Kusche and Schrama, 2005). Level-2 128 products are generated at several project-related processing centers, such as the Center for 129 Space Research (CSR) at the University of Texas, GeoForschungsZentrum (CSR) in Potsdam, 130 131 Germany, and the Jet Propulsion Laboratory (JPL) in California. The mass estimates (TWS and sea level) show very good agreement among these products (Fu and Freymueller, 2012; 132

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Wahr et al., 2014; Wang et al., 2014b).

135 This study used monthly sets of spherical harmonic (Stokes) coefficients from GRACE RL05 (i.e. Release 5) gravity field solutions generated from the CSR, spanning from February 2003 136 to April 2013. Each monthly GRACE field consisted of a set of Stokes coefficients, C<sub>lm</sub> and 137  $S_{lm}$ , up to a degree and order (l and m) of 60. In fact, the GRACE Stokes coefficients ("GSM" 138 coefficients denoted by the GRACE Project) have had modeled estimates of the atmospheric 139 and oceanic mass signals removed. Thus the GRACE coefficients include the full terrestrial 140 water storage signal with remaining atmospheric and oceanic signals due to errors in the 141 respective models (Swenson et al., 2008). Generally, using the GRACE AOD1B products can 142 add back the de-aliasing atmospheric and non-tidal oceanic effects to the GRACE data. 143 144 However, we would like to reduce the environmental loading contributions to the GRACE and GPS observations, if we study on the accurate interpretation of displacement due to TWS 145 loading. Thus, we analyzed the effects of non-tidal ocean variations and atmospheric loading 146 147 on the GRACE model and GPS coordinates, please see Section S1 in the supporting information for details. 148

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We replaced the GRACE  $C_{20}$  coefficients with  $C_{20}$  coefficients inferred from satellite laser ranging (Cheng et al., 2013). Due to the fact that the reference frame origin used in the GRACE gravity field determination is the Earth's center of mass (CM), GRACE cannot determine the degree-1 terms variations in the Earth's gravity field (geocenter motion). Here, we used degree-1 coefficients as calculated by Swenson et al. (2008) to determine the position

of the CM relative to the center of figure (CF) of the Earth's outer surface. We applied the 155 post-processing method described in Swenson and Wahr (2006) to remove north-south stripes. 156 157 We adopted 250 km as the averaging radius to implement Gaussian smoothing, a technique which suppresses errors at high degrees (Wahr et al., 1998; van Dam et al., 2007). Stokes 158 coefficients resulted from A et al. (2013) were used to remove contributions from Glacial 159 Isostatic Adjustment (GIA). The contribution of GIA is about 0.28~0.33 mm/yr and 160 non-seasonal in the NCP, which is small and non-seasonal; so their impact on the seasonal 161 results discussed in this paper would be minimal, even if they were not removed. 162 163 The spatial pattern of TWS, shown in Figure 2, was obtained from monthly GRACE mass solutions for NCP and surrounding regions between spring, 2003, and spring, 2013. An 164 obvious negative trend was identified localized over North China, including some of the 165 166 Northwest regions (i.e. Shanxi province) and Northeast regions (i.e. Liaoning province). The TWS changes derived from the GRACE data show significant loss trends across the entire 167 study area (NCP), specifically in Beijing, Tianjin, Hebei province, and Shanxi province. 168 169 Previous studies have investigated how much groundwater depletion has caused the GRACE-derived TWS loss in the whole of the NCP (Su et al., 2009; Feng et al., 2013; Moiwo 170 et al., 2013) or in different sub-regions of the NCP (Zhong et al., 2009; Tang et al., 2013; 171 Huang et al., 2015). These investigations, however, did not focus on regional displacement 172 due to seasonal or long term variations of hydrologic loading. 173 174

## 175 **2.2 GPS data**

176 Twenty-four GPS sites from the Crustal Movement Observation Network of China

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(CMONOC) and five GPS sites from the International GNSS Service (IGS) (Table 1) were 177 analyzed in this study (Figure 1 shows the locations of the GPS stations). Eight GPS sites of 178 179 them were located in the surrounding area of the NCP. Daily values for the upward, eastward and northward coordinates were determined by GPS data of IGS stations between 2003 and 180 2013, which is consistent with GRACE time span. The 24 GPS sites of CMONOC provided 181 data from 2010 to 2013. GIPSY/OASIS-II (Version 5.0) software was used in point 182 positioning mode to obtain the daily coordinates and covariances; these were used to 183 transform the daily values into ITRF2008 (Altamimi et al., 2011). We estimated this daily 184 185 frame alignment transformation using a set of reliable ITRF stations (~10 stations each day). In the GPS processing, corrections for solid Earth tides were undertaken, and ocean tide 186 loading effects were corrected using ocean tide model FES2004 with Greens Functions 187 188 modeled in the reference frame of CM (center of the mass of the total Earth system) to maintain theoretical consistency and adherence to current IERS conventions (Hao et al., 2016; 189 Fu et al., 2012), but atmospheric pressure loading or any other loading variations (non-tidal 190 191 ocean loading) with periods > 1 day were not removed. In order to focus on the seasonal and 192 trend feature over the entire observation time, we first smoothed the data to reduce large scatter before using a 3-month-wide moving window filter to remove the short-period terms. 193

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Due to the coseismic displacement of the 2011 Mw9.0 Tohoku earthquake, we estimated and removed offsets (i.e. using the differences of the average values of seven days between before and after earthquake to obtain the coseismic displacement) at those times for the vertical time series of GPS stations at Eastern China. Wang et al. (2011) study results reveal that the 199 coseismic horizontal displacements induced by the earthquake are at the level of millimeters 200 to centimeters in North and Northeast China, with a maximum of 35 mm, but the vertical 201 coseismic and postseismic displacements are too small to be detected. In order to maintain 202 consistency with the GIA effects presented in the GRACE solutions, we remove GIA effects 203 for all GPS stations by using Stokes coefficients (*l*=100) results computed by A et al. (2013) 204 which used the ICE5G ice history and VM2 viscosity profile (Peltier et al., 2004).

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Figure 3a shows the time series (2003~2012) of daily solutions for IGS GPS sites BJFS, 206 207 ZHNZ, BJSH, JIXN and TAIN. The long-term linear trends are mainly dominated by surface mass loading and tectonic processes, and the GPS time series shows significant seasonal 208 variations. The peak-to-peak seasonal amplitude can be seen to be more than 20 mm which 209 210 reflects the strong seasonal mass changes in the NCP. The GRACE data from CSR uses model output to remove the gravitational effects of atmospheric and oceanic mass variability from 211 the satellite data before constructing monthly gravity field solutions. In order to compare the 212 213 displacement from GPS with GRACE, the effects of atmospheric and non-tidal oceanic 214 loading on the GPS coordinates needed to be removed. Displacements due to atmospheric loading were calculated using data and programs developed by the GGFC (Global 215 Geophysical Fluid Center) (T. van Dam, NCEP Derived 6 hourly, global surface 216 displacements at  $2.5^{\circ} \times 2.5^{\circ}$  spacing, http://geophy.uni.lu/ncep-loading.html, 2010). These 217 utilized the NCEP (National Center of Environmental Protection) reanalysis surface pressure 218 data set. The 12-hour sampling model, ECCO (Estimating the Circulation & Climate of the 219 Ocean, http://www.ecco-group.org/), is used to compute the surface displacement driven by 220

221 non-tidal ocean effects and its spatial resolution is  $1^{\circ} \times 0.3 - 1.0^{\circ}$ , i.e. 1 degree longitude (zonal) 222 interval and 0.3 to 1.0 degree in latitude (meridian) intervals from equator to high latitude. An 223 example of the effects of the non-tidal ocean and atmospheric loading in the GPS and 224 GRACE data is provided in the supporting information (Figure S1).

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The displacements caused by atmospheric pressure and non-tidal ocean loading mainly show 226 seasonal fluctuations and no obvious long-term trend during GPS observation (e.g. time series 227 228 of height from atmospheric and non-tidal ocean loading at IGS sites in Figure 3b). The annual 229 amplitude is 4.0~4.6 mm and 0.24~0.42 mm for the atmospheric and non-tidal ocean loading effects respectively, while the semi-annual amplitude is about 0.3 mm and 0.03 mm 230 respectively. But the phases between the atmospheric and non-tidal ocean loading effects have 231 232 more apparent difference. The results of the seasonal amplitudes and phase fits of vertical displacements, derived by GRACE and GPS for IGS stations between before and after 233 correcting atmospheric and non-tidal ocean, are summarized in Table S1 in the supporting 234 235 information.

236

## 237 **2.3 Elastic displacements due to mass loads**

GRACE Stokes coefficients (Wahr et al., 1998) and load Love numbers (Farrell, 1972) can be
used to estimate the displacement effects in three components (Up, North and East) caused by
mass load changes. The mathematical relationships (Kusche and Schrama, 2005; van Dam et
al., 2007) between the radial surface displacement (Up or Height) and the Stokes coefficients
of mass is:

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$$\Delta h = dr(\theta, \phi) = R \sum_{l=1}^{N_{\text{max}}} \sum_{m=0}^{l} \widetilde{P}_{l,m}(\cos\theta) \cdot (C_{lm}\cos(m\phi) + S_{lm}\sin(m\phi)) \frac{h_l}{1+k_l}$$
(1)

where  $\Delta h$  is the displacement of the Earth's surface in the radial direction at latitude  $\theta$  (theta) and eastward longitude  $\phi$  (phi);  $N_{max}$ =60, R is the Earth's radius;  $\tilde{P}_{l,m}$  is fully normalized Legendre functions for degree l and order m;  $C_{lm}$  and  $S_{lm}$  are time variable components of the (l,m) Stokes coefficients for some month; and  $h_l$ ,  $k_l$  and  $l_l$  are the three degree dependent load Love numbers which are functions of Earth's elastic property. In this equation we adopted the load Love numbers provided by Han and Wahr (1995).

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251 Similarly, horizontal displacements (North and East) can be calculated using the following252 equations:

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$$\Delta n = dr(\theta, \phi) = -R \sum_{l=1}^{N_{\text{max}}} \sum_{m=0}^{l} \frac{\partial}{\partial \theta} \widetilde{P}_{l,m}(\cos \theta) \cdot (C_{lm} \cos(m\phi) + S_{lm} \sin(m\phi)) \frac{l_l}{1 + k_l}, \quad (2)$$

254 
$$\Delta e = dr(\theta, \phi) = \frac{R}{\sin \theta} \sum_{l=1}^{N_{\text{max}}} \sum_{m=0}^{l} \widetilde{P}_{l,m}(\cos \theta) \cdot m(-C_{lm}\sin(m\phi) + S_{lm}\cos(m\phi)) \frac{l_l}{1+k_l}, \quad (3)$$

where  $\Delta n$  and  $\Delta e$  are north and east components of the displacement respectively, both having positive values when the crust moves towards the north and east respectively. As is mentioned in Section 2.1 above, in order to be consistently comparable to the GPS time series, we corrected the degree-1 components to GRACE-derived mass variations, using Stokes coefficients derived by Swenson et al. (2008). With corresponding to degree-1 contribution to vertical displacement, the value of load Love numbers of the degree-1 in the CF frame should be computed by using equation (23) in Blewitt (2003).

Figure 4 shows an example (site BJFS, JIXN, TAIN and ZHNZ) of the GRACE-derived 263 vertical (Figure 4c) and horizontal displacements (Figure 4a and 4b) before and after 264 265 destriping. It can be clearly seen that the maximal amplitude of vertical displacement is several order of magnitude higher than horizontal displacements. In addition, the calculated 266 267 results using the monthly GRACE model data after destriping show that the effects of TWS (soil moisture, etc.) on surface displacements are seasonal variations and long-term changes 268 on vertical and horizontal components. As most of the stations are located in areas of TWS 269 loss in the NCP (see sites location in Figure 2), the fact is that the motion is upward (see the 270 271 positive trend of GRACE-derived vertical in Table 1) during this event (if a load is removed, the site uplifts and moves away from the load, e.g. Wahr et al., 2013). Identified horizontal 272 displacements are important as they constrain the location of load changes (Wahr et al., 2013; 273 274 Wang et al., 2014c). The displacement of the ZHNZ site is upwards and to the south (see the negative trend of the ZHNZ north component in Figure 4a) due to the mass loss almost due 275 north of the site. Correspondingly, the displacement of the TAIN site is upwards and to the 276 277 southeast (see the negative trend of the north component and the positive trend of the east 278 component of the TAIN site in Figure 4a and 4b) caused by the mass loss located to the northwest of the site, based on the use of GPS horizontals for loading studies from Wahr et al. 279 (2013). 280

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## **3 GRACE-derived seasonal variations and comparison with GPS measurements**

Using equation (1) and GRACE-derived Stokes coefficients, the vertical displacements at the

284 GPS sites in the NCP and its surrounding region can be calculated. To focus on these changes,

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GRACE-derived vertical displacements were computed by fitting a model with a linear trend 285 and annual periodic terms using Least-Squares method over the entire 11 year time span, for a 286 287 comparison to the seasonal variations observed by GPS (Table 1). Figure 5 shows time series of vertical displacements for GPS sites of IGS stations (BJFS, BJSH, JIXN, TAIN and ZHNZ). 288 289 The fitting results show the GRACE-derived (without ADO1B) peak-to-peak annual amplitudes can be more than 2 mm, and the semi-annual amplitude are also visible at these 290 five GPS sites. This reflects the climate-derived seasonal hydrological fluctuations in the 291 NCP. 292

293

Compared with GRACE results mainly due to the mass change in seasonal and long-term 294 linear period, all GPS time series show significant seasonal and long-term trends which are 295 296 mainly dominated by tectonic and hydrological process. The fitting results (after Least-Squares fitting) show the peak-to-peak vertical seasonal displacements from GPS time 297 series are to be larger than GRACE-derived results at those GPS sites, and the peak-to-peak 298 299 seasonal amplitude changes between 5 mm and 6 mm (Table 1). The results of the comparison 300 between GPS and GRACE-derived seasonal height variations at 24 GPS sites from CMONOC can be seen in Figure S3 in the supporting information. For all the selected GPS 301 sites, the annual component is more dominant than the semi-annual one. The peak-to-peak 302 annual amplitude is 1.2~6.3 mm and 1.0~2.2 mm for the GPS and GRACE solutions, 303 respectively, while the semi-annual amplitude is about  $1/2 \sim 1/3$  times of that in annual 304 amplitude. These more consistent seasonal variations of GRACE and GPS height time series 305 reflect the climate-derived seasonal hydrologic process, i.e. heavy monsoonal precipitation in 306

the late summer months result in mass loads increase (the maximum negative of vertical 307 amplitude) and largely pumping for agricultural usage in late spring months cause mass loads 308 309 decrease (the maximum positive of vertical amplitude). The facts show that GPS data relatively has a larger amplitude than GRACE-derived displacements, which does not merely 310 exist in the IGS stations but also almost all CMONOC stations except SXGX (Table 1). This 311 indicates that GPS has a strong sensitivity for local surface loading. By contrast, because the 312 spatial resolution of GRACE data is limited to approximately 300 km ( $N_{max}$ =60), 313 GRACE-derived results are mainly constrained by large scale areas. This means that 314 315 GRACE-derived vertical displacements show a small difference between stations due to the results are averaged over scales of several hundred km or more. 316

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318 Next, we compare GPS observed and GRACE-derived seasonal height variations. The estimated annual amplitudes and initial phases derived from GPS (grey vector) and GRACE 319 (red vector) are shown in Figure 6. We find that there are many sites where the signals 320 321 disagree in both amplitude and phase. The annual amplitudes and phases from 322 GRACE-derived results are much more spatially coherent than those determined from the GPS heights because GRACE solutions truncate to  $l_{max}$ =60 and the Gaussian filtering was 323 used to lead to so smooth out concentrated loads. The phase results of the GRACE-derived 324 325 displacements show that the annual signal peaks basically appear between September and October, which indicates a maximum load occurs in this two months (summer monsoon). 326 327 However, there are several differences between the results of GPS and GRACE in some sites, more specifically, the signal peaks sometimes appear between August and September 328

- 15 -

according to GPS data. The five signals of sites in the northwest foothills region of NCP agree 329 in phase, while annual amplitudes from GRACE are significantly less than GPS, e.g. NMTK, 330 331 NMZL, HEYY, HEZJ and HECC. The cause of most phase inconsistency may be the different spatial resolution of GRACE compared to GPS. That is, GPS measurements can sense the 332 333 difference between loads very near the site, and loads a bit further away, but GRACE with wavelengths on the order of 300 km reflects this variation at a monthly scale. Another 334 important reason is that a one-month sampling of GRACE means a phase sampling of 30°, 335 while a one-day sampling of GPS means a phase sampling of  $\sim 1^\circ$ , the different temporal 336 337 sampling rate caused the inconsistent phase between GRACE and GPS.

338

With the purpose of quantitatively evaluating the consistency between GPS and GRACE, we 339 340 remove GRACE-derived seasonal displacement from GPS observed detrended height time series to compute the reductions of Weighted Root Mean Squares (WRMS) based on the 341 equation (2) in van Dam et al. (2007). Correlation between GPS and GRACE derived 342 343 seasonal variations and WRMS reduction ratio of remove GRACE-derived seasonal 344 displacement from GPS observed detrended height time series, please see the Table S3 in the supporting information for details. All the selected sites show high correlation (85%~99%, 345 without TJBH site) when atmospheric and non-tidal ocean effects was not removed. By 346 contrast, the seasonal amplitudes and phases from GRACE results are much more spatially 347 coherent than those determined from the GPS heights, caused by the different spatial 348 resolution between them. In addition, we also attempt to calculate GRACE-derived horizontal 349 displacement using equations (2) and (3), and compare it with the GPS measurements. An 350

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351	example (five IGS sites) of the comparison between GRACE-derived and GPS observed
352	horizontal displacements was presented to demonstrate the correlation of seasonal horizontal
353	variation caused by surface hydrological load. Please see the Figure S4 in the supporting
354	information.

#### 356 4 Long-term uplift caused by TWS loss

# 4.1 Compare groundwater storage (GWS) variations with in-situ measurements and previous results

359 To estimate TWS changes averaged over the NCP, an averaging kernel based on a calculation that using weighted Gaussian convolution to construct monthly time series from GRACE 360 Stokes coefficients described by equation (5) of Wahr et al. (2014) was used. This method 361 362 extends the averaging kernel convolution approach (Swenson and Wahr, 2002) by allowing for nonuniform weighting during the convolution. We took the NCP "basin function" from the 363 China provincial boundary grid points and we convolved with a 250 km Gaussian smoothing. 364 365 We then applied this averaging kernel to GRACE Stokes coefficients to obtain a TWS time 366 series for NCP (Figure 7). The results identified a continuous decrease in TWS from 2003 to 2009; the rate of this decrease slowed towards the end of 2009. The rate of TWS loss obtained 367 by this analysis was 1.62 cm/yr from 2003 to 2009 and 1.23 cm/yr from 2003 to 2012 (Table 368 2). 369

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The estimated results for the time series analysis also include some contributions outside the NCP due to the finite number of harmonic degrees in the GRACE solution (e.g.  $l_{max}$ =60 for

- 17 -

CSR solutions). The average kernel in our study is also not an exact unity cover for the entire 373 NCP area; these two factors result in under- or overestimation of the true TWS time series 374 375 signal. To estimate this "leakage in" signal, a scaling factor method was used to restore the amplitude-damped TWS time series. This method, as described by Wahr et al. (2014), requires 376 377 the construction of a set of simulated Stokes coefficients which represents the signal from a uniformly distributed 1 cm water depth change over the NCP. This estimates a water 378 volume=3.6626 km<sup>3</sup> based on the overall area of "basin function" (i.e. 366260 km<sup>2</sup>). By 379 applying our GRACE analysis procedure to these simulated Stokes coefficients, we can infer 380 381 an average water thickness change equal to 0.47 cm for the NCP. Each monthly GRACE estimate of NCP water thickness is then multiplied by a scaling factor=1 (cm)/0.47 (cm) to 382 obtain variations in the total water thickness per area of the NCP. Multiplying the monthly 383 384 GRACE estimates of NCP water thickness by a scaling factor= $3.6626 \text{ (km}^3)/0.47 \text{ (cm)}$ provides a mass change of the NCP. Table 2 shows the rate of GRACE-derived TWS loss 385 (after multiplying by the scaling factor) in the NCP was 3.39 cm/yr from 2003 to 2009; this is 386 equivalent to a volume of 12.42 km<sup>3</sup>/yr. For a 10-year time span, the rate was 2.57 cm/yr, 387 which is equivalent to a volume of  $9.41 \text{ km}^3/\text{yr}$ . 388

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In our study, the GRACE-based TWS time series covers water change at all depths: surface water storage, soil moisture, snow and groundwater, including anthropogenic effects (i.e. groundwater withdrawal, inter-basin diversion, reservoir and coal transport). To isolate the groundwater contributions, the Noah version of GLDAS which possesses monthly intervals and spatial resolution of 1.0 degrees (Rodell et al., 2004) was used to subtract monthly water storage estimates predicted by land surface models. GLDAS generates a series of land surface forcing (e.g. precipitation, surface meteorology and radiation), state (e.g. soil moisture and temperature, and snow), and flux (e.g. evaporation and sensible heat flux) data simulated by land surface models. The GLDAS/Noah model can provide values of snow, vegetation and all soil moisture layers, but it does not include anthropogenic and climate-driven groundwater depletion. So we isolated GWS variations by subtracting simulated SM data from GLDAS/Noah model from GRACE-derived total TWS.

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403 In order to confirm validation of the results in this study, our GRACE-based estimate was compared with field measurement data of groundwater level (e.g. in situ water table 404 observations) and the results from previous studied, e.g. the reported TWS loss from Zhong et 405 406 al. (2009), Su et al. (2011), Moiwo et al. (2009), and the reported GWS loss from Huang et al. (2015), Feng et al. (2013), Tang et al. (2013). We have acquired in situ groundwater level 407 measurements (most of groundwater table depth in the shallow unconfined aquifers, available 408 409 from 2002 to 2013), which are mainly located in the central and eastern plain of the NCP 410 (including the Beijing, Tianjin and some cities of Hebei, Henan and Shandong province). The data series are obtained from Ministry of Water Resources of China (MWR) (available at: 411 http://sqqx.hydroinfo.gov.cn/shuiziyuan/). We get the area-weighted mean groundwater level 412 change series in the NCP from time series of monthly groundwater table depth changes of 20 413 cities in our study region. We also collected the daily precipitation data (rainfall amount) for 414 weather stations during the period of 2003~2012 from China Meteorological Data Sharing 415 Service System (CMDSSS) (available at: http://cdc.cma.gov.cn/index.jsp). Figure 7 shows our 416

GRACE-based estimate is generally consistent with that monthly groundwater level changes
observed by monitoring wells after multiplying by mean value of specific yields in the NCP
during 2002~2012.

420

Note that our GRACE-based TWS time series covers all depth of water mass changes and 421 most of the groundwater level changes from observations of shallow aquifers, which showed 422 the long-term mass loss in the NCP from 2003 to 2009, but the rate of this decrease slowed 423 towards the end of 2009 and then increases again after 2010. This difference in two 424 425 sub-periods (2004~2009 and 2010~2012) is mainly induced by climate-driven precipitation recharge in NCP (Figure 7). In addition, comparison between monthly GWS variations 426 estimated from GRACE minus GLDAS/Noah model and in situ groundwater level 427 428 measurements also confirmed the difference of trend changes of GWS in these two sub-periods (Table 2). The rate of GRACE-derived GWS loss (after multiplying by the 429 scaling factor) in the NCP was 2.49 cm/yr from 2003 to 2009 and 2.72 cm/yr from 2003 to 430 2009; this is equivalent to a volume of 9.12 km<sup>3</sup>/yr and 9.96 km<sup>3</sup>/yr, respectively. Our 431 GRACE-based depletion of groundwater was significantly higher than ground-based 432 measurements. Although the shallow GWS increase from 2010 to 2012 due to precipitation 433 recharge in NCP, but the increase of rainfall is difficult to recharge the current more serious 434 depletion of groundwater in the deep aquifers. 435

436

In addition, we compare the depletion in TWS or GWS between our results and previousstudied results. Table 2 shows our results and compare them with the earlier analysis in

- 20 -

439	different zones where TWS or GWS loss surveys have been published. We found that the
440	trend rate of our GRACE-based GWS in the whole NCP region is in good agreement with that
441	reported by Huang et al. (2015) during 2003~2012 and Feng et al. (2013) during 2003~2010,
442	which are estimated from the level 2 Release-05 GRACE data and multiplied by the scaling
443	factor. However, other previous results showed obvious difference between the loss rate of
444	TWS and GWS because these studies used the early versions of the GRACE data, different
445	defined area of NCP or do not use scaling factor compared with Huang's, Feng's and our
446	study. For instance, Zhong et al. (2009) found a rate of 2.4 cm/yr from 2003 to 2007 based on
447	level 2 Release-04 GRACE data in Beijing, Hebei and Tianjin; Su et al. (2009) calculated
448	TWS and GWS declining at a rate of 1.1 cm/yr and 0.5 cm/yr from 2002 to 2010, respectively,
449	based on level 2 Release-04 GRACE data in Beijing, Tianjin, Hebei, Shandong, and Henan;
450	and Moiwo et al. (2013) estimated a TWS loss rate of 1.68 cm/yr from 2002 to 2009 in the
451	vast north China (i.e. in addition to Beijing and Tianjin, the study area is comprised of 12
452	other provinces). Although Tang et al. (2013) did not applied scaling factor to restore the
453	amplitude-damped GRACE signal, but they used the latest GRACE products (RL05) and
454	same region of NCP with our study. Thus, Tang et al. (2013) estimated a GWS depletion rate
455	of 0.84 to 1.4 cm/yr (2003~2011) is also in good agreement with our estimated result before
456	being multiplied by scaling factor (i.e. the rate of TWS loss was 1.23 cm/yr from 2003 to
457	2012).

# 459 **4.2 Groundwater depletion contributions to long-term uplift**

460 Loading or unloading of the crust from surface mass changes will cause the crust to subside or

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uplift with different amplitudes. These displacements depend on the amplitude of the load and 461 462 the distance between the load and the observation point (Farrell, 1972). On this basis, we used 463 GRACE-derived vertical displacements (the method of elastic displacements due to mass loads described by Section 2.3) to evaluate TWS loss contributions for the evident crustal 464 uplift in the GPS measurements. Time series of monthly predicted vertical surface 465 displacements from GRACE for 25 GPS sites in the NCP were plotted (Figure 8a). The fitting 466 results (after Least-Squares fitting) show the trend rate of GRACE-derived vertical 467 displacements for the whole region at the 0.37~0.95 mm/yr level from 2004 to 2009, but the 468 rate of change direction is inconsistent in different GPS stations at -0.40~0.51 mm/yr level 469 from 2010 to 2013 (Table 1). The smoothed results indicate a rising trend from 2004 to 2012 470 (Figure 8a) which represented the TWS loss in the observation time span. Figure 8a also 471 472 clearly shows mass anomaly due to TWS changes in the vertical component, e.g. a notable negative peak from 2003 to 2005 and subsidence in 2012 (grey background in Figure 8a). 473 These GRACE-derived long-term height fluctuations mainly include variations in the storage 474 475 of natural surface water: high storage in wet years and low storage in dry years (Tang et al., 476 2013), which can be modeled using land surface model output such as those provided by the GLDAS (Rodell et al., 2004). 477

478

Figure 8b shows the GRACE-derived height amplitudes after removing the continental water storage signal which uses the output from the GLDAS/Noah hydrology model. The calculated results show that the contributions of other types of TWS effects (except groundwater) on the surface are small relative to groundwater depletion, and those main loading effects on the

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amplitudes of seasonal displacement with no obvious long-term trend. Meanwhile, we clearly 483 see that these fluctuations (grey background in Figure 8a) are almost erased from the 484 485 GRACE-derived minus GLDAS/Noah vertical displacements, and the obvious continuous uplift are presented in grey background of Figure 8b, which is mainly because the 486 contributions from groundwater depletion in the NCP. Contrasts between the seasonal 487 amplitudes, phases and trends fit of vertical displacement derived by GRACE after removing 488 GLDAS/Noah effects and the original ones. Please see the Table S4 in the supporting 489 information. 490

491

For the results described above, after the subtraction of the GLDAS/Noah contributions, 492 GRACE-derived heights largely reflect loading effects from the groundwater (natural and 493 494 anthropogenic factors) and anthropogenic contributions. The anthropogenic impact on mass change was investigated by Tang et al. (2013) for the effect of inter-basin diversion, reservoir 495 and coal transport distribution on the GRACE-derived estimates of groundwater depletion in 496 497 the NCP. Results from their investigation showed that the trend of anthropogenic 498 contributions was equivalent to 4.83 mm/yr water thickness (described by equations in Table 2 of Tang et al. 2013) during 2003~2011 for the whole NCP. This means that there was a large 499 groundwater depletion contribution for the GRACE-derived vertical displacements in 500 501 long-term uplift. Investigating groundwater withdrawal due to anthropogenic activities (drinking water extraction, agricultural irrigation and industrial manufacturing) should be of 502 503 high importance because precipitation data for this area (shown in Figure 7) indicated no long-term droughts during the GRACE observation period of 2003~2011. 504

## 506 **5 Discussion**

## 507 5.1 The loading effects of non-tidal ocean and atmospheric variations

As part of the processing performed by the GRACE Project, the GRACE Stokes coefficients 508 (denoted by the GRACE Project as "GSM" coefficients) have had modeled estimates of the 509 atmospheric and oceanic mass signals removed. Thus the GRACE coefficients include the full 510 effects of terrestrial water storage. The GRACE Project provides the modeled atmospheric 511 and oceanic contributions to the Stokes coefficients in two forms: "GAC" files which include 512 the global atmospheric and oceanic effects, and "GAD" files which have had the atmospheric 513 signals over land set to zero. The coefficients in the GAD file therefore represent ocean 514 bottom pressure variations. "GAA" files are those add CSR's GAC files to the GSM files, and 515 516 subtract the GAD files. The coefficients in the GAA file represent atmospheric pressure variations. 517

519 As is mentioned in Section 1, the cause of the difference between our results and Liu's work 520 (Liu et al., 2014) is we removed atmospheric and non-tidal ocean loading effects while they did not. However, we found that the amplitude of GPS after removing atmospheric and 521 non-tidal ocean loading effects, is still greater than the GRACE while we added the AOD1B 522 (GAC solution) de-aliasing model to the GRACE solutions (i.e. no atmospheric and non-tidal 523 ocean corrected, please see the Table S1 in the supporting information). The most obvious 524 525 difference between our results and Liu's work (Liu et al., 2014) is that they adopt the load Love numbers from Guo et al. (2004) to transform these coefficients into vertical surface 526

527	displacement estimates. We check the two results of Love numbers (ocean-load and
528	atmospheric pressure-load) from Guo et al. (2004), there are significant differences between
529	ocean-load and atmospheric pressure-load Love numbers. Meanwhile, we compared $k_n$ Love
530	numbers from Guo et al. (2004) (Liu et al.'s work) and $k_n$ Love numbers from Han and Wahr
531	(1995) (our work) with the $k_n$ Love numbers used in ADO1B products (Farrell, 1972),
532	respectively. The different Love numbers have caused the amplitude of the same station from
533	Liu's GRACE-derived vertical displacements much more than GPS and our GRACE results,
534	due to $k_n$ from atmospheric pressure-load Love numbers (Guo et al., 2004) significantly larger
535	than Love numbers from Han and Wahr (1995) and Farrell (1972). The detailed analysis of
536	the different Love numbers from Guo et al. (2004), Han and Wahr (1995) and Farrell (1972),
537	please see the Section S1 in the supporting information. Moreover, Table S3 in the supporting
538	information indicate that our correlation results of IGS stations (BJFS, BJSH, JIXN, TAIN
539	and ZHNZ) are consistent with Liu's work (Liu et al., 2014), indicating that the seasonal
540	variations might come from the same geophysical process. The WRMS residual reduction
541	ratio for all the stations ranged from 19% to 85%, which is better than Liu's work (Liu et al.,
542	2014). However, the correlation and WRMS reduction between GPS and GRACE are weak
543	when atmospheric and non-tidal ocean effects was removed, with the average correlation and
544	WRMS reduction reduce to 75.6% and 28.9%, respectively. This is mainly because the
545	seasonal hydrologic process is major contributors to seasonal changes, and different stations
546	are greatly influenced by the surrounding hydrological process.

# 548 5.2 Removing hydrological loading displacement from GPS using GRACE data

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Coordinate variations measured by GPS stations, principally for the vertical component, have 549 been used to investigate global (Dong et al., 2002) and local (Grapenthin et al., 2006) tectonic 550 551 activity, as well as seasonal displacement modes for constraining estimates of continental, atmospheric and ocean water storage. Some previous studies (e.g. Fu et al., 2012) have 552 553 focused on the vertical component of crustal motion with relying on the accurate interpretation of GPS motion in terms of surface stress or tectonic movement. Thus, the 554 displacement signal from surface mass loading is a source of noise (van Dam et al., 2007). 555 For these applications, they would like to obtain reliable loading models or even surface mass 556 557 observations, which can be used to reduce the environmental loading contributions to the GPS observations. In this study, we also attempt to separate tectonic and hydrological effect using 558 GRACE-derived hydrological vertical rates. As mentioned in the Section 3, the good seasonal 559 560 correlation between GRACE and GPS signals indicates that the long-term uplifts revealed by GRACE detections are probably true and mixed in the GPS measurements. 561

562

563 Figure 9 shows results for individual GPS time series. Crustal subsidence or uplift due to vertical tectonic motion and TWS changes in the studied period are clearly evident in the 564 vertical component shown in most of the GPS stations; In fact, the analysis in the five IGS 565 GPS stations (BJFS, BJSH, JIXN, TAIN and ZHNZ) suggests that the GPS vertical time 566 series can be described by two different rates around 2010, due to a continuous decrease in 567 TWS from 2004 until 2009; towards the end of 2009 the rate of this decrease slowed and rate 568 started to rise since 2010 (please see Figure 6). Thus, we divided it into two sub-periods when 569 fitting GPS and GRACE trend for these five stations (Figure 9a). GPS trend changes indicate 570

571	an overall uplift for the whole region at the 0.04~1.47 mm/yr level from 2004 to 2009, but the
572	rate of change direction is inconsistent in different GPS stations at -0.94~2.55 mm/yr level
573	from 2010 to 2013 (Table 1).

In addition, the long-term trend rate is different in different areas from 2010 to 2013 (Figure 575 9b). For example, the trend rate from GPS measurements shows the uplift in western NCP 576 (Shanxi-SX, and some of Hebei-HE stations), but opposite trends in the central and eastern 577 plain of NCP (Beijing-BJ, Tianjin-TJ and some of Hebei-HE stations). The groundwater 578 579 depletion which occurs in the shallow unconfined aquifers in Piedmont Plain leads to the loading uplift effect from mass loss. But groundwater depletion occurs in the deep confined 580 aquifers in the central and eastern plain of NCP, which causes serious ground subsidence, 581 582 rather than ground uplift caused by groundwater loss.

583

It is also possible that some GPS signals could be a result of loading from changes in the 584 585 distribution of water stored in the surface and ground around the GPS surrounding region. To 586 remove those contributions, Stokes coefficients output from the GRACE model were used to compute crustal motion at the NCP (Figure 8a), and then transform the monthly results into 587 daily resolution data using a spline interpolation. In addition, GRACE solutions are corrected 588 for GIA while GPS ones are not. Here Stokes coefficients results from A et al. (2013) were 589 used to remove GIA effects from GPS measurements, which is about 0.2~0.4 mm/year in the 590 land areas of China and 0.28~0.33 mm/year in the NCP (Please see the Figure S5 and Table 591 S4 in the supporting information). 592

We compute the GRACE-derived long-term uplift for all continuous GPS sites used in this 594 595 paper. The results indicate an overall uplift for the NCP region. Then we remove this TWS induced uplift from GPS actual observed vertical rates to derive the corrected vertical 596 597 velocities. Figure 10 divided the time into two sub-periods (2004~2009 and 2010~2013) to indicate an overall long-term trend before (gray arrow) and after (red arrow) removing 598 hydrological loading displacement for the whole region. Secular displacement results between 599 2004 and 2009 show that loading displacement due to the TWS loss reduce the uplift rate of 600 601 GPS to some extent, and groundwater exploitation was the main contributor to crustal uplift caused by TWS loss in the NCP (BJFS, BJSH and JIXN in the Figure 10a). However, studies 602 indicate that groundwater withdrawal produces localized subsidence which can be largely 603 604 relative to tectonic displacement (Bawden et al., 2001). Therefore, in this study, more 605 attention was paid to land subsidence due to groundwater loss.

606

## 607 5.3 Land subsidence in the central and eastern of NCP

Land subsidence has been commonly observed in the NCP, and has become the main factor that impacts regional sustainable economic and social development (Guo et al., 2015). Over the past years, the scope and magnitude of land subsidence has expanded. In this study, we used GPS sites to obtain time series of land subsidence evolution characteristics. The trend rates from GPS sites, after removing the rates from GRACE-derived long-term uplift and GIA effects can be seen in Figure 10b (the gray background areas in the dashed white box) to reflect the rate of land subsidence from 2010 to 2013, which is because the groundwater

615	exploitation in the deep confined aquifers has a more serious impact on land subsidence (Guo
616	et al., 2015). The results show that Tianjin becomes the most serious subsidence area, e.g. in
617	the Tanggu and Hangu district (TJBH), with an average subsidence of ~14 mm/yr after 2010;
618	In Wuqing district (TJWQ), recent subsidence averaged ~43 mm/yr. Because the Cangxian
619	district (HECX) is close in proximity to the Jinghai region of Tijian, the sedimentation rate of
620	~20 mm/yr can represent the subsidence trend of southwest Tianjin. However, the difference
621	of spatial distribution of land subsidence is large in Tianjin, and uneven settlement
622	characteristics are obvious. For example, the southwest and western areas of Tianjin are the
623	most serious areas, and the trend of land subsidence exists in the northward but the amplitude
624	is small relative to the southwest and western areas, i.e. (JIXN site shows a small negative
625	trend (~-0.6 mm/yr). The cause for subsidence in the Tianjin area is linked to over
626	exploitation of groundwater, an issue that has not been effectively controlled resulting in
627	rapidly developing land subsidence in the suburbs in recent years (Yi et al., 2011).

In the central and eastern region of NCP, where disastrous land subsidence has also occurred in Beijing and cities in central of Hebei province and the northeast of Shandong province, for instance, large subsidence zone in Hebei province has formed from north to south, where start from the western region of Beijing (BJFS, BJSH and BJYQ station), via the eastern region of Xingtai and Handan (HELY station), extend to the northern of Hebi (HAHB station belong to Henan province).

635

636 However, results from our investigation show that the center of land subsidence does not

- 29 -

completely overlap the TWS loss contributions (see the secular trend maps of the TWS 637 changes of NCP in Figure 2). The uplift still exists even when we removed the rates from 638 639 GRACE-derived and GIA effects in the piedmont of Taihang Mountains and the western part of NCP (Shanxi province), where the groundwater depletion occurs in the shallow unconfined 640 aquifers have not led to a large area of subsidence. The reason for this difference with the 641 western region of NCP is that crustal uplift is mainly controlled by tectonic movement, which 642 is the orogenic belt and plateau area in western of the Taihang Mountains basic in the uplift. 643 In our results, most of the corrected vertical velocities at GPS stations, especially in the 644 645 central and eastern region of NCP, agree with the previous study results, i.e. combining with mobile and continuous GPS observation (Zhao et al., 2014) and using GPS stations from 646 GNSS and leveling data (MLR, 2015), The results of vertical crust movement in the NCP 647 648 from the previous study, please see the Figure S6 in the supporting information.

649

## 650 6 Conclusions

Temporal variations in the geographic distribution of surface mass (continental water, ocean mass and atmospheric mass) can lead to displacement of the Earth's surface. Due to excessive exploitation of groundwater resources the NCP area has become susceptible to land subsidence, and it has become one of the most affected areas in the world. Calculating the loading displacement can explain the natural displacement phenomenon, and it presents new insight into the dynamics of land subsidence.

657

658 Traditional displacement observation has space limitations. Based on the elastic displacement

- 30 -

of the Earth's crust by surface loadings, this study combined GRACE and GPS data to 659 investigate vertical displacements in the NCP area. GRACE data was used to model vertical 660 661 displacements due to changes in hydrological loads. The results showed both GPS and GRACE data to observe strong seasonal variations. Comparisons between the observed GPS 662 663 seasonal vertical displacement and GRACE-derived seasonal displacement demonstrated that a consistent physical mechanism is responsible for TWS changes, i.e. the seasonal 664 hydrospheric mass movements due to climate variability cause periodic displacements of the 665 lithosphere. 666

667

As well as the significant seasonal characteristics, GRACE also exhibited a long-term mass 668 loss in this region; the rate of GRACE-derived TWS loss (after multiplying by the scaling 669 670 factor) in the NCP was 3.39 cm/yr from 2003 to 2009, which is equivalent to a volume of 12.42 km<sup>3</sup>/yr. The rate was 2.57 cm/yr from 2003 to 2012, equivalent to a volume of 9.41 671 km<sup>3</sup>/yr. The TWS loss was principally due to groundwater depletion in the NCP. We 672 673 calculated that the consequent trend rate caused by the load mass change using GRACE data and removed this hydrological effect from observed GPS vertical rates. Secular displacement 674 results showed that TWS losses reduced loading displacement to some extent, but the trend 675 rates disagree due to the difference of spatial distribution with anthropogenic depletion of 676 677 TWS in the NCP.

678

679 Particularly, land subsidence has been affecting the central and eastern region of NCP,680 especially in Tianjin for the past years. Over-pumping of groundwater is the main cause of

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land subsidence which has led to comprehensive detrimental effects on the society, the economy and the natural environment. The impact of groundwater exploitation in different aquifer systems and active faults in the different regions on land subsidence needs to be analyzed in future investigations. For example, using GRACE to remove mass loading signals from a GPS record requires either confidence that there is no concentrated load signal very near the site, or a scaling factor based on a reliable model of the mass change (the groundwater depletion rate estimated from monitoring well stations) pattern around the site.

688

689 Data availability. The GPS data of CMONOC and IGS were made by First Crust Monitoring 690 and Application Center, China Earthquake Administration (http://www.eqdsc.com/data/pgv-sjxl.htm). The groundwater level data series were obtained 691 692 from MWR (http://sqqx.hydroinfo.gov.cn/shuiziyuan/), and the daily precipitation data for weather stations were collected from CMDSSS (http://cdc.cma.gov.cn/index.jsp). The 693 GRACE solutions are available at ftp://podaac.jpl.nasa.gov/allData/grace/L2/CSR/RL05/ and 694 695 the GLDAS/Noah model data provided by the NASA Goddard Earth Sciences Data and 696 Information Services Center (http://disc.sci.gsfc.nasa.gov/).

697

698 *Competing interests.* The authors declare that they have no conflict of interest.

699

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- 878 observations, Chin. Sci. Bull., 54(5), 816–821, 2009.

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- 879 **Table captions:**
- **Table 1.** GPS Station information.
- 881
- 882 Table 2. Trends of GRACE-derived TWS and GWS (GRACE minus GLDAS/Noah), in situ
- measurements (shallow aquifers) and compare with the previous studies during 2003–2012.

885	Figure	captions:
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Figure 1. Study region of North Plain China (NCP) showing locations of continuous GPS
stations. White dots represent continuous GPS sites in the Crustal Movement Observation
Network of China (CMONOC) and red stars represent the International GNSS Service (IGS)
sites). Cities and provinces are labeled as follows: Beijing (BJ), Tianjin (TJ), Hebei province
(HE), and Shanxi province (SX).

892	Figure 2.	The 2003~2012	secular trend	maps (ci	m/yr) of the	terrestrial water	storage	(TWS)
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893 changes in North Plain China (NCP) and surrounding regions derived from GRACE data.

894 Results have been destriped and smoothed with a 250-km Gaussian smoothing function.

895

896 Figure 3. Daily values of the vertical (positive upward) components of position, as measured

at IGS GPS sites BJFS, ZHNZ, BJSH, JIXN and TAIN. The example of displacement due to

898 atmospheric and non-tidal ocean loading at BJFS IGS sites are shown in (b).

899

Figure 4. Surface horizontal (north and east components) and vertical deformation modeled
by GRACE in four IGS sites. (a) and (b) show the time series and trend rates of north and east
components in BJFS, JIXN, TAIN and ZHNZ, respectively, (c) show the time series of

903 vertical displacements.

904

905 Figure 5. Time series showing daily values (a) and fitting results (b) of the vertical (positive
906 upward) components from GPS and GRACE-derived at five IGS GPS sites.

- 42 -

**Figure 6.** Comparison of annual amplitudes and initial phases between GPS (grey) and GRACE (red). The initial phases are counterclockwise from the east (reference time is 2004.0).

911

**Figure 7.** Time series showing total terrestrial water storage (TWS) changes in the spatially averaged area (kernel) of the NCP estimated from CSR GRACE data, monthly groundwater level changes observed by monitoring wells after multiplying by mean value of specific yields in the NCP during 2002~2012 and the daily precipitation data (rainfall amount) for weather stations during the period of 2003~2012 from CMDSSS. The black dashed curve is the temporal smoothing GRACE-based result, the red and blue dashed curve are the long trend of GRACE-based result during 2003~2009 and 2003~2012, respectively.

919

**Figure 8.** GRACE-derived smoothed (dash curves) and long-term (solid curves) vertical displacement time series due to load changes (a), the groundwater depletion contributions estimated from GRACE minus GLDAS data for smoothed (dash curves) and long-term (solid curves) vertical displacements (b), as measured at five IGS stations and twenty CMONOC stations in NCP and its surrounding region. The grey background highlight part shows inflexion effects due to TWS changes in the vertical component.

926

927 Figure 9. Smoothed (dash curves) and long-term (solid curves) versions of daily values of the
928 vertical (positive upward) component of position, as measured at twenty nine GPS sites in

- 43 -

929 NCP and its surrounding region, (a) five IGS stations and (b) twenty four CMONOC stations.

930

931	Figure 10.	GPS (gray arro	w, positive upwar	d) and corrected	GPS (red arrow)	, positive upwa	ard)
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- 932 vertical trend rate after subtracting the GRACE-derived long-term uplift rate due to load
- changes and GIA effect between 2004 and 2009 (a), and between 2010 and 2013 (b).

935	Table 1:

Stations Lat. Lon.		Lon.	Lon. Time	Annual An vertical dis (mi	nplitude of placement m)	Annual Phas displacem Reference ti	se of vertical ent (days) me is 2004.0	Trend Rate displaceme 2004-	s of vertical nt (mm/yr) ~2009	Trend Rates displaceme 2010-	s of vertical nt (mm/yr) -2013
				GPS	GRACE	GPS	GRACE	GPS	GRACE	GPS	GRACE
BJFS*	39.6	115.8		2.50±0.26	1.35±0.24	40.09±6.39	359.63±10.73	1.47±0.14	0.58±0.06	$-0.37\pm0.23$	0.26±0.13
$BJSH^*$	40.2	116.2	2002	3.25±0.23	1.25±0.23	52.75±4.20	1.00±11.09	0.12±0.12	0.53±0.06	-0.94±0.25	0.14±0.13
$JIXN^*$	40	117.5	2003	2.46±0.22	1.32±0.23	32.20±5.11	359.72±10.56	1.21±0.12	0.53±0.06	-0.19±0.20	0.09±0.13
$\mathrm{TAIN}^*$	36.2	117.1	~2013	3.31±0.32	2.07±0.39	16.44±5.66	349.43±11.36	0.18±0.15	0.80±0.09	0.46±0.31	0.04±0.16
$\operatorname{ZHNZ}^*$	34.5	113.1		2.38±0.36	2.24±0.43	28.18±8.94	354.76±11.39	0.04±0.15	0.65±0.10	2.55±0.32	$-0.35 \pm 0.17$
BJGB <sup>#</sup>	40.6	117.1		3.61±0.41	1.25±0.23	32.07±6.58	4.25±11.11		$0.49 \pm 0.06$	$0.25 \pm 0.34$	0.02±0.13
BJYQ <sup>#</sup>	40.3	115.9		3.55±0.41	1.23±0.23	25.82±6.87	3.34±11.26		0.52±0.06	$-0.41 \pm 0.34$	0.14±0.13
HAHB <sup>#</sup>	35.6	114.5		3.44±0.42	2.13±0.42	24.12±6.93	349.19±11.79		0.77±0.10	$-0.55\pm0.27$	$-0.28\pm0.17$
HAJY <sup>#</sup>	35.1	112.4		2.28±0.51	2.05±0.44	9.28±13.00	$355.65 \pm 12.80$		0.84±0.10	-0.30±0.33	$-0.40\pm0.17$
HECC#	40.8	115.8		2.49±0.39	1.17±0.23	9.25±9.05	11.15±11.81		0.49±0.06	1.32±0.25	0.06±0.13
HECD <sup>#</sup>	41	117.9		4.09±0.36	1.27±0.23	40.28±5.21	7.63±11.09		$0.45 \pm 0.06$	$-0.68\pm0.25$	$-0.07 \pm 0.13$
HECX#	38.4	116.9		4.57±0.51	1.62±0.29	41.20±6.82	348.56±10.80		0.73±0.07	$-20.85 \pm 0.38$	0.26±0.14
HELQ#	38.2	114.3		2.06±0.37	1.67±0.28	30.89±10.63	354.34±9.95		0.68±0.07	1.76±0.26	0.31±0.14
HELY#	37.3	114.7		2.65±0.37	1.88±0.33	10.54±8.44	350.61±10.56		0.79±0.08	0.30±0.27	0.11±0.15
HETS#	39.7	118.2		1.59±0.42	1.39±0.24	279.75±15.31	355.30±10.28		0.53±0.06	3.70±0.32	0.07±0.13
HEYY <sup>#</sup>	40.1	114.1		3.40±0.39	1.20±0.23	2.92±6.44	7.32±11.44		0.49±0.06	0.76±0.30	0.29±0.13
$\mathrm{HEZJ}^{\#}$	40.8	114.9	2010	1.95±0.35	1.13±0.23	364.91±10.74	15.62±12.11		0.47±0.06	1.06±0.25	0.11±0.13
NMTK <sup>#</sup>	40.2	111.2	~2013	3.37±0.47	1.02±0.23	$8.85 \pm 7.09$	25.83±13.67		0.37±0.06	1.20±0.37	0.51±0.13
NMZL <sup>#</sup>	42.2	115.9		1.72±0.39	1.15±0.23	37.43±14.85	30.16±12.06		0.41±0.06	-0.49±0.28	-0.10±0.13
SDJX <sup>#</sup>	35.4	116.3		2.36±0.40	2.22±0.41	39.05±9.97	350.76±11.00		0.71±0.10	0.78±0.33	$-0.07 \pm 0.17$
SDZB <sup>#</sup>	36.8	117.9		3.59±0.44	1.90±0.37	25.65±6.87	347.50±11.50		0.79±0.09	-1.12±0.30	0.12±0.16
SXCZ#	36.2	113.1		3.99±0.47	1.93±0.39	35.27±6.48	352.64±12.24		0.87±0.09	0.22±0.30	-0.22±0.16
SXGX <sup>#</sup>	36.2	111.9		1.17±0.51	1.81±0.39	311.44±26.46	359.06±12.86		0.92±0.09	3.17±0.38	-0.17±0.16
SXLF <sup>#</sup>	36	111.3		3.65±0.48	1.79±0.40	18.16±7.77	363.02±13.33		0.95±0.10	1.21±0.31	-0.19±0.17
SXLQ <sup>#</sup>	39.3	114		3.63±0.47	1.38±0.24	25.49±7.95	362.70±10.45		0.54±0.06	1.42±0.33	0.39±0.13
SXXX <sup>#</sup>	35.1	111.2		2.93±0.54	1.98±0.43	$15.09 \pm 10.44$	363.17±13.18		0.90±0.10	1.30±0.41	-0.36±0.17
TJBD <sup>#</sup>	39.6	117.3		3.36±0.48	1.38±0.24	25.80±7.38	355.65±10.54		0.58±0.06	-1.10±0.33	0.16±0.13
TJBH <sup>#</sup>	39	117.6		6.25±0.45	1.49±0.26	42.18±9.56	350.10±10.60		0.64±0.06	-16.84±0.37	0.20±0.13
TJWQ <sup>#</sup>	39.3	117.1		5.08±0.49	1.43±0.25	0.11±8.23	353.28±10.58		0.62±0.06	-44.46±0.45	0.21±0.13

937 <sup>\*</sup>IGS sites: the observation time between 2003 and 2013.

938 <sup>#</sup>CMONOC sites: the observation time between 2010 and 2013.

# 943 Table 2:

	TWS 2003~2009	TWS 2003~2012	GWS 2003~2009	GWS 2003~201
<sup>a</sup> This sutdy	-1.62±0.39	-1.23±0.23	-1.17±0.41	-1.28±0.2
<sup>a</sup> This sutdy after multiplied by a scaling factor=1 (cm)/0.47 (cm)	-3.39±0.81	-2.57±0.49	-2.49±0.41	−2.72±0.
<sup>b</sup> This sutdy after multiplied by a scaling factor=3.6626 (km <sup>3</sup> )/0.47 (cm)	-12.42±3.15	-9.41±1.79	-9.12±3.34	-9.96±1.
<sup>a</sup> In situ measurements (shallow aquifers)			-1.57±0.31	-0.98±0.
<sup>a</sup> Huang et al. (2015)				-2.83±0.
<sup>a</sup> Feng et al. (2013)			-2.2±0.3 (2003~2010)	
<sup>a</sup> Tang et al. (2013)				-0.8~-1 (2003~20
<sup>a</sup> Su et al. (2011)	-1.1 (2002~2010)		-0.5 (2002~2010)	
<sup>a</sup> Moiwo et al. (2009)	-1.68 (2002~2009)			
<sup>a</sup> Zhong et al. (2009)	-2.4 (2003~2007)			

946 <sup>b</sup> km<sup>3</sup>/yr of the mass



**Figure 2:** 





# **Figure 4:**







961







2003 2004 2005 2006 2007 2008 2009 2010 2011 2012 2013 Time (years) 2003 2004 2005 2006 2007 2008 2009 2010 2011 2012 2013 Time (years)





977