1 Detecting seasonal and long-term vertical displacement in the North

2 China Plain using GRACE and GPS

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14 Abstract:

Twenty-nine continuous Global Positioning System (GPS) time series data together with data 15 from Gravity Recovery and Climate Experiment (GRACE) are analyzed to determine the 16 17 seasonal displacements of surface loadings in the North China Plain (NCP). Results show significant seasonal variations and a strong correlation between GPS and GRACE results in 18 component; 19 the vertical displacement the average correlation and Weighted 20 Root-Mean-Squares (WRMS) reduction between GPS and GRACE are 75.6% and 28.9% 21 respectively when atmospheric and non-tidal ocean effects were removed, but the annual peak-to-peak amplitude of GPS (1.2~6.3 mm) is greater than the data (1.0~2.2 mm) derived 22

23	from GRACE. We also calculate the trend rate as well as the seasonal signal caused by the
24	mass load change from GRACE data, the rate of GRACE-derived Terrestrial Water Storage
25	(TWS) loss (after multiplying by the scaling factor) in the NCP was 3.39 cm/yr (equivalent to
26	12.42 km ³ /yr) from 2003 to 2009. For a 10-year time span (2003 to 2012), the rate loss of
27	TWS was 2.57 cm/yr (equivalent to 9.41 km ³ /yr) which is consistent with the groundwater
28	storage (GWS) depletion rate (the rates loss of GWS were 2.49 cm/yr and 2.72 cm/yr during
29	2003~2009 and 2003~2012, respectively) estimated from GRACE-derived results after
30	removing simulated soil moisture (SM) data from GLDAS/Noah model. We also found that
31	GRACE-derived GWS changes are in disagreement with the groundwater level changes from
32	observations of shallow aquifers from 2003 to 2009, especially between 2010 and 2013.
33	Although the shallow groundwater can be recharged from the annual climate-driven rainfall
34	(e.g., the groundwater level changes from observations of shallow aquifers show a persistent
35	increase after 2010 when the annual precipitation begins to increase), but the important facts
36	indicate that GWS depletion is more serious in deep aquifers. Basing on spherical harmonic
37	coefficients for the gravity field and load Love numbers, we use GRACE model to remove the
38	vertical rates of elastic displacements due to the surface mass changes from GPS data. The
39	GRACE-derived result shows an overall uplift in the whole region at the 0.37~0.95 mm/yr
40	level from 2004 to 2009, but the rate of change direction is inconsistent in different GPS
41	stations at -0.40~0.51 mm/yr level from 2010 to 2013. Then we removed the uplifted vertical
42	rates which are induced by TWS from GPS-derived data to obtain the corrected vertical
43	velocities caused by tectonic movement and human activities. The results show that there are
44	uplift areas and subsidence areas in NCP. Almost the whole central and eastern region of NCP

45	suffers serious ground subsidence caused by the anthropogenic-induced groundwater
46	exploitation in the deep confined aquifers. In addition, the slight ground uplifts in the western
47	region of NCP are mainly controlled by tectonic movement (e.g., Moho uplifting or mantle
48	upwelling).
49	
50	Keywords: GPS, GRACE, Seasonal and long-term displacement, Terrestrial water storage,
51	the North China Plain
52	
53	1. Introduction
54	Using Global Positioning System (GPS) to monitor crustal motion, especially in the vertical
55	or height component due to its large amplitude, which has been used to study surface loading
56	caused by mass change. Site-position time series recorded by continuous GPS arrays have
57	revealed the vertical displacement variations resulted from trend or seasonal distribution of
58	mass in a region or global changes (e.g., a change of continental water [Bevis et al., 2005; van
59	Dam et al., 2007; Wahr et al., 2013], ice [Sauber et al., 2000; Khan et al., 2010; Nielsen et al.,
60	2013], snow [Heki, 2001; Grapenthin et al., 2006], ocean [van Dam et al., 2012; Wahr et al.,
61	2014] and atmospheric mass [van Dam et al., 1994; Boehm et al., 2007]).
62	
63	On the global scale, terrestrial hydrologic mass exchanges that causes significant large-scale
64	loading occur between the oceans, continents, and atmosphere at seasonal and inter-annual
65	time scales. On the local scale, for the inter-annual and long-periodic change in the hydrologic
66	cycle, what significantly affects loading are large anthropogenic disturbances on groundwater

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67	extraction and artificial reservoir water impoundment and other climate-driven factors (e.g.,
68	natural floods and droughts) [e.g., Chao et al., 2008; Rodell et al., 2009; Feng et al., 2013;
69	Joodaki et al., 2014; Wang et al., 2014]. The global-scale mass variations closely related to
70	changes in terrestrial water storage (TWS) are observed by the Gravity Recovery and Climate
71	Experiment (GRACE) satellite mission, while the surface elastic displacement can be
72	estimated if the load and rheological properties of the Earth were known [Farrell, 1972]. The
73	majority of previous loading studies solved the three components of crustal motion by
74	adopting joint analysis of GRACE time-variable gravity field coefficients and GPS data
75	[Kusche and Schrama, 2005; van Dam et al., 2007; Fu and Freymueller, 2012; Fu et al., 2013].
76	In principle the loading effects caused by the majority of mass redistributions near the Earth's
77	surface are from water, atmospheric, and ocean transports on daily to inter-annual timescales
78	[Kusche and Schrama, 2005]. The contribution to the surface displacement made by the
79	variations of the atmospheric and ocean can be reasonably modeled by using global
80	atmospheric surface pressure data and space geodetic data respectively. Thus, after removing
81	the loading effects of the atmospheric and ocean, GRACE-derived displacement and GPS data
82	allow the detection of changes in the Earth's larger hydrological storage systems.

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In general, changes in TWS capacities depend on precipitation and human consumption. Variations in TWS may be related to precipitation which is strongly driven by climate and can be simulated from global water and energy balance models [Syed et al., 2008]. This is related to soil texture and root depth in the case of soil water storage (e.g., soil moisture and vegetation canopy storage can be derived from the Global Land Data Assimilation System

(GLDAS) [Rodell et al., 2004], the WaterGAP Global Hydrology Model (WGHM) [Döll et al., 89 2003] and the Community Land Model (CLM) [Oleson et al., 2013]), surface water storage 90 91 (e.g., water in rivers, lakes, reservoirs and wetlands can be derived from WGHM while snow 92 or ice can be derived from WGHM and CLM), and naturally occurring (i.e., climate-driven) 93 aquifer storage (e.g., groundwater predicted by WGHM and CLM). Variations in TWS may also be caused by man-made factors, such as water withdrawals for irrigation purposes [Döl], 94 95 2009] and dam construction for power generation and navigation [Wang et al., 2011]. These changes in TWS can be observed in situ (i.e., groundwater level and impounded water level). 96 97 The TWS integrated by all the variations can lead to the overall changes in crust displacement. 98

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100 This study focuses on the crustal deformation of the North China Plain (NCP) (Figure 1), which is one of the most uniformly and extensively altered areas by human activities in the 101 102 world [Tang et al., 2013]. The NCP is one of the world's largest aquifer systems and supports 103 an enormous exploitation of groundwater. Overexploitation of groundwater has seriously 104 affected agriculture irrigation, industry, public supply, and ecosystems in the NCP. Previous 105 studies used GRACE data, land surface models, and well observations to provide insight on groundwater depletion in the NCP [Su et al., 2009; Zhong et al., 2009; Feng et al., 2013; 106 Moiwo et al., 2013; Tang et al., 2013; Huang et al., 2015]. Liu et al. [2014] has discussed 107 loading displacement in the NCP before. Only five GPS stations (i.e., BJFS, BJSH, JIXN, 108 TAIN, and ZHNZ) data are used in their work. Although they calculated the seasonal 109 amplitudes, phases and trends of vertical displacement from GRACE and GPS, the 110

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atmospheric and non-tidal ocean loading effects were not removed in the Liu et al.'s work, i.e.,
they added the Atmosphere and Ocean De-aliasing Level-1B (AOD1B) solution (GAC
solution) back to the GRACE spherical harmonic solutions.

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Here, we use GRACE and data from 29 GPS sites to study the seasonal and long-term loading displacement due to dynamic hydrological processes and groundwater-derived land subsidence in the NCP. In contrast to previous focus study [Liu et al., 2014], the most obvious difference between our results and their work is we removed other loading effects (e.g., atmospheric and non-tidal ocean) in order to reflect the seasonal and long-term displacement caused by TWS loads better. Additionally, we discuss long-term trend due to mass change revealed by GRACE measurements and its impacts on tectonic vertical rates evaluations.

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123 **2. Data Analysis**

124 **2.1 GRACE Data**

125 The GRACE mission design makes it particularly useful for time-variable gravity studies. 126 GRACE was jointly launched by NASA and the German Aerospace Center (DLR) in March 127 2002 [Tapley et al., 2004a]. The Level-2 gravity products consist of sets of complete spherical harmonic (Stokes) coefficients out to some maximum degree and order (typically $l_{max} = 120$) 128 averaged over monthly intervals. When considering large-scale mass redistribution in the 129 Earth system on a timescale ranging from weekly to interdecadal, it is reasonable to assume 130 131 that all relevant processes occur in a thin layer at the Earth's surface [Kusche and Schrama, 2005]. In this analysis, we assume that the gravitational and geometrical response of the Earth 132

133	can be described by Farrell's [1972] theory, where the loads Love numbers only depend on
134	the spherical harmonic degree. Thus, the elastic displacements due to the surface mass change
135	can easily be represented in terms of spherical harmonic coefficients for the gravity field and
136	load Love numbers, k_l , l_l , and h_l [Wahr et al., 1998; Kusche and Schrama, 2005]. Level-2
137	products are generated at several project-related processing centers, such as the Center for
138	Space Research (CSR) at the University of Texas, GeoForschungsZentrum (CSR) in Potsdam,
139	Germany, and the Jet Propulsion Laboratory (JPL) in California. The mass estimates (TWS
140	and sea level) show very good agreement among these products [Fu and Freymueller, 2012;
141	Wahr et al., 2014; Wang et al., 2014].

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This study used monthly sets of spherical harmonic (Stokes) coefficients from GRACE RL05 143 144 (i.e., Release 5) gravity field solutions generated from the CSR, spanning from February 2003 to April 2013. Each monthly GRACE field consisted of a set of Stokes coefficients, C_{lm} and 145 S_{lm} , up to a degree and order (l and m) of 60. In fact, the GRACE Stokes coefficients ("GSM" 146 147 coefficients denoted by the GRACE Project) have had modeled estimates of the atmospheric and oceanic mass signals removed. Thus the GRACE coefficients include the full terrestrial 148 149 water storage signal with remaining atmospheric and oceanic signals due to errors in the respective models [Swenson et al., 2008]. Generally, using the GRACE AOD1B products can 150 add back the de-aliasing atmospheric and non-tidal oceanic effects to the GRACE data. 151 However, we would like to reduce the environmental loading contributions to the GRACE 152 153 and GPS observations, if we study on the accurate interpretation of displacement due to TWS loading. Thus, we analyzed the effects of non-tidal ocean variations and atmospheric loading 154

on the GRACE model and GPS coordinates, please see Section S1 in the supportinginformation for details.

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We replaced the GRACE C_{20} coefficients with C_{20} coefficients inferred from satellite laser 158 ranging [Cheng et al., 2013]. Due to the fact that the reference frame origin used in the 159 GRACE gravity field determination is the Earth's center of mass (CM), GRACE cannot 160 determine the degree-1 terms variations in the Earth's gravity field (geocenter motion). Here, 161 we used degree-1 coefficients as calculated by Swenson et al. [2008] to determine the position 162 163 of the CM relative to the center of figure (CF) of the Earth's outer surface. We applied the post-processing method described in Swenson and Wahr [2006] to remove north-south stripes. 164 165 We adopted 250 km as the averaging radius to implement Gaussian smoothing, a technique 166 which suppresses errors at high degrees [Wahr et al., 1998; van Dam et al., 2007]. Stokes coefficients resulted from A et al. [2013] were used to remove contributions from Glacial 167 Isostatic Adjustment (GIA). The contribution of GIA is about 0.28~0.33 mm/yr and 168 169 non-seasonal in the NCP, which is small and non-seasonal; so their impact on the seasonal 170 results discussed in this paper would be minimal, even if they were not removed.

The spatial pattern of TWS, shown in Figure 2, was obtained from monthly GRACE mass solutions for NCP and surrounding regions between spring, 2003, and spring, 2013. An obvious negative trend was identified localized over North China, including some of the Northwest regions (i.e., Shanxi province) and Northeast regions (i.e., Liaoning province). The TWS changes derived from the GRACE data show significant loss trends across the entire study area (NCP), specifically in Beijing, Tianjin, Hebei province, and Shanxi province.

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Previous studies have investigated how much groundwater depletion has caused the
GRACE-derived TWS loss in the whole of the NCP [Su et al., 2009; Feng et al., 2013; Moiwo
et al., 2013] or in different sub-regions of the NCP [Zhong et al., 2009; Tang et al., 2013;
Huang et al., 2015]. These investigations, however, did not focus on regional displacement
due to seasonal or long term variations of hydrologic loading.

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183 **2.2 GPS Data**

Twenty-four GPS sites from the Crustal Movement Observation Network of China 184 185 (CMONOC) and five GPS sites from the International GNSS Service (IGS) (Table 1) were analyzed in this study (Figure 1 shows the locations of the GPS stations). Eight GPS sites of 186 them were located in the surrounding area of the NCP. Daily values for the upward, eastward 187 188 and northward coordinates were determined by GPS data of IGS stations between 2003 and 2013, which is consistent with GRACE time span. The 24 GPS sites of CMONOC provided 189 data from 2010 to 2013. GIPSY/OASIS-II (Version 5.0) software was used in point 190 191 positioning mode to obtain the daily coordinates and covariances; these were used to transform the daily values into ITRF2008 [Altamimi et al., 2011]. We estimated this daily 192 frame alignment transformation using a set of reliable ITRF stations (~10 stations each day). 193 In the GPS processing, corrections for solid Earth tides were undertaken, and ocean tide 194 195 loading effects were corrected using ocean tide model FES2004 with Greens Functions modeled in the reference frame of CM (center of the mass of the total Earth system) to 196 197 maintain theoretical consistency and adherence to current IERS conventions [Hao et al., 2016; Fu et al., 2012], but atmospheric pressure loading or any other loading variations (non-tidal 198

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199 ocean loading) with periods > 1 day were not removed. In order to focus on the seasonal and 200 trend feature over the entire observation time, we first smoothed the data to reduce large 201 scatter before using a 3-month-wide moving window filter to remove the short-period terms.

202

203 Due to the coseismic displacement of the 2011 Mw9.0 Tohoku earthquake, we estimated and removed offsets (i.e., using the differences of the average values of seven days between 204 before and after earthquake to obtain the coseismic displacement) at those times for the 205 206 vertical time series of GPS stations at Eastern China. Wang et al. [2011] study results reveal 207 that the coseismic horizontal displacements induced by the earthquake are at the level of millimeters to centimeters in North and Northeast China, with a maximum of 35 mm, but the 208 209 vertical coseismic and postseismic displacements are too small to be detected. In order to 210 maintain consistency with the GIA effects presented in the GRACE solutions, we remove GIA effects for all GPS stations by using Stokes coefficients (l=100) results computed by A et al. 211

[2013] which used the ICE5G ice history and VM2 viscosity profile [Peltier et al., 2004].

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Figure 3a shows the time series (2003~2012) of daily solutions for IGS GPS sites BJFS, ZHNZ, BJSH, JIXN and TAIN. The long-term linear trends are mainly dominated by surface mass loading and tectonic processes, and the GPS time series shows significant seasonal variations. The peak-to-peak seasonal amplitude can be seen to be more than 20 mm which reflects the strong seasonal mass changes in the NCP. The GRACE data from CSR uses model output to remove the gravitational effects of atmospheric and oceanic mass variability from the satellite data before constructing monthly gravity field solutions. In order to compare the

displacement from GPS with GRACE, the effects of atmospheric and non-tidal oceanic 221 loading on the GPS coordinates needed to be removed. Displacements due to atmospheric 222 223 loading were calculated using data and programs developed by the GGFC (Global Geophysical Fluid Center) (T. van Dam, NCEP Derived 6 hourly, global surface 224 displacements at $2.5^{\circ} \times 2.5^{\circ}$ spacing, http://geophy.uni.lu/ncep-loading.html, 2010). These 225 utilized the NCEP (National Center of Environmental Protection) reanalysis surface pressure 226 data set. The 12-hour sampling model, ECCO (Estimating the Circulation & Climate of the 227 228 Ocean, http://www.ecco-group.org/), is used to compute the surface displacement driven by 229 non-tidal ocean effects and its spatial resolution is $1 \times 0.3 - 1.0^\circ$, i.e., 1 degree longitude (zonal) interval and 0.3 to 1.0 degree in latitude (meridian) intervals from equator to high latitude. An 230 231 example of the effects of the non-tidal ocean and atmospheric loading in the GPS and 232 GRACE data is provided in the supporting information (Figure S1).

233

234 The displacements caused by atmospheric pressure and non-tidal ocean loading mainly show 235 seasonal fluctuations and no obvious long-term trend during GPS observation (e.g., time 236 series of height from atmospheric and non-tidal ocean loading at IGS sites in Figure 3b). The 237 annual amplitude is $4.0 \sim 4.6$ mm and $0.24 \sim 0.42$ mm for the atmospheric and non-tidal ocean loading effects respectively, while the semi-annual amplitude is about 0.3 mm and 0.03 mm 238 239 respectively. But the phases between the atmospheric and non-tidal ocean loading effects have more apparent difference. The results of the seasonal amplitudes and phase fits of vertical 240 displacements, derived by GRACE and GPS for IGS stations between before and after 241 correcting atmospheric and non-tidal ocean, are summarized in Table S1 in the supporting 242

243 information.

244

245 2.3 Elastic Displacements Due to Mass Loads

GRACE Stokes coefficients [Wahr et al., 1998] and load Love numbers [Farrell, 1972] can be used to estimate the displacement effects in three components (Up, North and East) caused by mass load changes. The mathematical relationships [Kusche and Schrama, 2005; van Dam et al., 2007] between the radial surface displacement (Up or Height) and the Stokes coefficients of mass is:

251
$$\Delta h = dr(\theta, \phi) = R \sum_{l=1}^{N_{\text{max}}} \sum_{m=0}^{l} \widetilde{P}_{l,m}(\cos\theta) \cdot (C_{lm}\cos(m\phi) + S_{lm}\sin(m\phi)) \frac{h_l}{1+k_l}$$
(1)

where Δh is the displacement of the Earth's surface in the radial direction at latitude θ (theta) and eastward longitude ϕ (phi); N_{max} =60, R is the Earth's radius; $\tilde{P}_{l,m}$ is fully normalized Legendre functions for degree l and order m; C_{lm} and S_{lm} are time variable components of the (l,m) Stokes coefficients for some month; and h_l , k_l and l_l are the three degree dependent load Love numbers which are functions of Earth's elastic property. In this equation we adopted the load Love numbers provided by Han and Wahr [1995].

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259 Similarly, horizontal displacements (North and East) can be calculated using the following260 equations:

261
$$\Delta n = dr(\theta, \phi) = -R \sum_{l=1}^{N_{\text{max}}} \sum_{m=0}^{l} \frac{\partial}{\partial \theta} \widetilde{P}_{l,m}(\cos \theta) \cdot (C_{lm} \cos(m\phi) + S_{lm} \sin(m\phi)) \frac{l_l}{1 + k_l}, \quad (2)$$

262
$$\Delta e = dr(\theta, \phi) = \frac{R}{\sin \theta} \sum_{l=1}^{N_{\text{max}}} \sum_{m=0}^{l} \widetilde{P}_{l,m}(\cos \theta) \cdot m(-C_{lm}\sin(m\phi) + S_{lm}\cos(m\phi)) \frac{l_l}{1+k_l}, \quad (3)$$

where Δn and Δe are north and east components of the displacement respectively, both having positive values when the crust moves towards the north and east respectively. As is mentioned in Section 2.1 above, in order to be consistently comparable to the GPS time series, we corrected the degree-1 components to GRACE-derived mass variations, using Stokes coefficients derived by Swenson et al. [2008]. With corresponding to degree-1 contribution to vertical displacement, the value of load Love numbers of the degree-1 in the CF frame should be computed by using equation (23) in Blewitt [2003].

270

271 Figure 4 shows an example (site BJFS, JIXN, TAIN and ZHNZ) of the GRACE-derived vertical (Figure 4c) and horizontal displacements (Figure 4a and 4b) before and after 272 273 destriping. It can be clearly seen that the maximal amplitude of vertical displacement is 274 several order of magnitude higher than horizontal displacements. In addition, the calculated results using the monthly GRACE model data after destriping show that the effects of TWS 275 (soil moisture, etc.) on surface displacements are seasonal variations and long-term changes 276 277 on vertical and horizontal components. As most of the stations are located in areas of TWS 278 loss in the NCP (see sites location in Figure 2), the fact is that the motion is upward (see the positive trend of GRACE-derived vertical in Table 1) during this event (if a load is removed, 279 the site uplifts and moves away from the load [Wahr et al., 2013]). Identified horizontal 280 displacements are important as they constrain the location of load changes [Wahr et al., 2013; 281 Wang et al., 2014]. The displacement of the ZHNZ site is upwards and to the south (see the 282 283 negative trend of the ZHNZ north component in Figure 4a) due to the mass loss almost due north of the site. Correspondingly, the displacement of the TAIN site is upwards and to the 284

southeast (see the negative trend of the north component and the positive trend of the east component of the TAIN site in Figure 4a and 4b) caused by the mass loss located to the northwest of the site, based on the use of GPS horizontals for loading studies from Wahr et al. [2013].

289

3. GRACE-derived Seasonal Variations and Comparison with GPS Measurements

Using equation (1) and GRACE-derived Stokes coefficients, the vertical displacements at the 291 292 GPS sites in the NCP and its surrounding region can be calculated. To focus on these changes, 293 GRACE-derived vertical displacements were computed by fitting a model with a linear trend and annual periodic terms using Least-Squares method over the entire 11 year time span, for a 294 295 comparison to the seasonal variations observed by GPS (Table 1). Figure 5 shows time series 296 of vertical displacements for GPS sites of IGS stations (BJFS, BJSH, JIXN, TAIN and ZHNZ). The fitting results show the GRACE-derived (without ADO1B) peak-to-peak annual 297 amplitudes can be more than 2 mm, and the semi-annual amplitude are also visible at these 298 299 five GPS sites. This reflects the climate-derived seasonal hydrological fluctuations in the NCP. 300

301

Compared with GRACE results mainly due to the mass change in seasonal and long-term linear period, all GPS time series show significant seasonal and long-term trends which are mainly dominated by tectonic and hydrological process. The fitting results (after Least-Squares fitting) show the peak-to-peak vertical seasonal displacements from GPS time series are to be larger than GRACE-derived results at those GPS sites, and the peak-to-peak

- 14 -

307	seasonal amplitude changes between 5 mm and 6 mm (Table 1). The results of the comparison
308	between GPS and GRACE-derived seasonal height variations at 24 GPS sites from
309	CMONOC can be seen in Figure S3 in the supporting information. For all the selected GPS
310	sites, the annual component is more dominant than the semi-annual one. The peak-to-peak
311	annual amplitude is 1.2~6.3 mm and 1.0~2.2 mm for the GPS and GRACE solutions,
312	respectively, while the semi-annual amplitude is about $1/2 \sim 1/3$ times of that in annual
313	amplitude. These more consistent seasonal variations of GRACE and GPS height time series
314	reflect the climate-derived seasonal hydrologic process, i.e., heavy monsoonal precipitation in
315	the late summer months result in mass loads increase (the maximum negative of vertical
316	amplitude) and largely pumping for agricultural usage in late spring months cause mass loads
317	decrease (the maximum positive of vertical amplitude). The facts show that GPS data
318	relatively has a larger amplitude than GRACE-derived displacements, which does not merely
319	exist in the IGS stations but also almost all CMONOC stations except SXGX (Table 1). This
320	indicates that GPS has a strong sensitivity for local surface loading. By contrast, because the
321	spatial resolution of GRACE data is limited to approximately 300 km (N_{max} =60),
322	GRACE-derived results are mainly constrained by large scale areas. This means that
323	GRACE-derived vertical displacements show a small difference between stations due to the
324	results are averaged over scales of several hundred km or more.

325

Next, we compare GPS observed and GRACE-derived seasonal height variations. The estimated annual amplitudes and initial phases derived from GPS (grey vector) and GRACE (red vector) are shown in Figure 6. We find that there are many sites where the signals

disagree in both amplitude and phase. The annual amplitudes and phases from 329 GRACE-derived results are much more spatially coherent than those determined from the 330 331 GPS heights because GRACE solutions truncate to l_{max} =60 and the Gaussian filtering was used to lead to so smooth out concentrated loads. The phase results of the GRACE-derived 332 333 displacements show that the annual signal peaks basically appear between September and October, which indicates a maximum load occurs in this two months (summer monsoon). 334 However, there are several differences between the results of GPS and GRACE in some sites, 335 336 more specifically, the signal peaks sometimes appear between August and September 337 according to GPS data. The five signals of sites in the northwest foothills region of NCP agree in phase, while annual amplitudes from GRACE are significantly less than GPS, e.g., NMTK, 338 339 NMZL, HEYY, HEZJ and HECC. The cause of most phase inconsistency may be the different 340 spatial resolution of GRACE compared to GPS. That is, GPS measurements can sense the difference between loads very near the site, and loads a bit further away, but GRACE with 341 342 wavelengths on the order of 300 km reflects this variation at a monthly scale. Another 343 important reason is that a one-month sampling of GRACE means a phase sampling of 30°, while a one-day sampling of GPS means a phase sampling of $\sim 1^\circ$, the different temporal 344 345 sampling rate caused the inconsistent phase between GRACE and GPS.

346

With the purpose of quantitatively evaluating the consistency between GPS and GRACE, we remove GRACE-derived seasonal displacement from GPS observed detrended height time series to compute the reductions of Weighted Root Mean Squares (WRMS) based on the equation (2) in van Dam et al. [2007]. Correlation between GPS and GRACE derived

seasonal variations and WRMS reduction ratio of remove GRACE-derived seasonal 351 displacement from GPS observed detrended height time series, please see the Table S3 in the 352 353 supporting information for details. All the selected sites show high correlation (85%~99%, without TJBH site) when atmospheric and non-tidal ocean effects was not removed. By 354 355 contrast, the seasonal amplitudes and phases from GRACE results are much more spatially coherent than those determined from the GPS heights, caused by the different spatial 356 resolution between them. In addition, we also attempt to calculate GRACE-derived horizontal 357 displacement using equations (2) and (3), and compare it with the GPS measurements. An 358 359 example (five IGS sites) of the comparison between GRACE-derived and GPS observed horizontal displacements was presented to demonstrate the correlation of seasonal horizontal 360 variation caused by surface hydrological load. Please see the Figure S4 in the supporting 361 362 information.

363

4. Long-Term Uplift Caused by TWS Loss

4.1 Compare Groundwater Storage (GWS) Variations With in-situ Measurements and Previous Results

To estimate TWS changes averaged over the NCP, an averaging kernel based on a calculation that using weighted Gaussian convolution to construct monthly time series from GRACE Stokes coefficients described by equation (5) of Wahr et al. [2014] was used. This method extends the averaging kernel convolution approach [Swenson and Wahr, 2002] by allowing for nonuniform weighting during the convolution. We took the NCP "basin function" from the China provincial boundary grid points and we convolved with a 250 km Gaussian smoothing.

373	We then applied this averaging kernel to GRACE Stokes coefficients to obtain a TWS time
374	series for NCP (Figure 7). The results identified a continuous decrease in TWS from 2003 to
375	2009; the rate of this decrease slowed towards the end of 2009. The rate of TWS loss obtained
376	by this analysis was 1.62 cm/yr from 2003 to 2009 and 1.23 cm/yr from 2003 to 2012 (Table
377	2).

378

The estimated results for the time series analysis also include some contributions outside the 379 NCP due to the finite number of harmonic degrees in the GRACE solution (e.g., $l_{max}=60$ for 380 381 CSR solutions). The average kernel in our study is also not an exact unity cover for the entire NCP area; these two factors result in under- or overestimation of the true TWS time series 382 383 signal. To estimate this "leakage in" signal, a scaling factor method was used to restore the 384 amplitude-damped TWS time series. This method, as described by Wahr et al. [2014], requires the construction of a set of simulated Stokes coefficients which represents the signal from a 385 uniformly distributed 1 cm water depth change over the NCP. This estimates a water 386 volume=3.6626 km³ based on the overall area of "basin function" (i.e., 366260 km²). By 387 applying our GRACE analysis procedure to these simulated Stokes coefficients, we can infer 388 389 an average water thickness change equal to 0.47 cm for the NCP. Each monthly GRACE estimate of NCP water thickness is then multiplied by a scaling factor=1 (cm)/0.47 (cm) to 390 obtain variations in the total water thickness per area of the NCP. Multiplying the monthly 391 GRACE estimates of NCP water thickness by a scaling factor= $3.6626 \text{ (km}^3)/0.47 \text{ (cm)}$ 392 393 provides a mass change of the NCP. Table 2 shows the rate of GRACE-derived TWS loss (after multiplying by the scaling factor) in the NCP was 3.39 cm/yr from 2003 to 2009; this is 394

equivalent to a volume of 12.42 km³/yr. For a 10-year time span, the rate was 2.57 cm/yr,
which is equivalent to a volume of 9.41 km³/yr.

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In our study, the GRACE-based TWS time series covers water change at all depths: surface 398 399 water storage, soil moisture, snow and groundwater, including anthropogenic effects (i.e., groundwater withdrawal, inter-basin diversion, reservoir and coal transport). To isolate the 400 groundwater contributions, the Noah version of GLDAS which possesses monthly intervals 401 402 and spatial resolution of 1.0 degrees [Rodell et al., 2004] was used to subtract monthly water 403 storage estimates predicted by land surface models. GLDAS generates a series of land surface forcing (e.g., precipitation, surface meteorology and radiation), state (e.g., soil moisture and 404 405 temperature, and snow), and flux (e.g., evaporation and sensible heat flux) data simulated by 406 land surface models. The GLDAS/Noah model can provide values of snow, vegetation and all soil moisture layers, but it does not include anthropogenic and climate-driven groundwater 407 depletion. So we isolated GWS variations by subtracting simulated SM data from 408 409 GLDAS/Noah model from GRACE-derived total TWS.

410

In order to confirm validation of the results in this study, our GRACE-based estimate was compared with field measurement data of groundwater level (e.g., in situ water table observations) and the results from previous studied (e.g., the reported TWS loss from Zhong et al. [2009], Su et al. [2011], Moiwo et al. [2009], and the reported GWS loss from Huang et al. [2015], Feng et al. [2013], Tang et al. [2013]). We have acquired in situ groundwater level measurements (most of groundwater table depth in the shallow unconfined aquifers, available

from 2002 to 2013), which are mainly located in the central and eastern plain of the NCP 417 (including the Beijing, Tianjin and some cities of Hebei, Henan and Shandong province). The 418 419 data series are obtained from Ministry of Water Resources of China (MWR) (available at: http://sqqx.hydroinfo.gov.cn/shuiziyuan/). We get the area-weighted mean groundwater level 420 421 change series in the NCP from time series of monthly groundwater table depth changes of 20 cities in our study region. We also collected the daily precipitation data (rainfall amount) for 422 weather stations during the period of 2003~2012 from China Meteorological Data Sharing 423 424 Service System (CMDSSS) (available at: http://cdc.cma.gov.cn/index.jsp). Figure 7 shows our 425 GRACE-based estimate is generally consistent with that monthly groundwater level changes observed by monitoring wells after multiplying by mean value of specific yields in the NCP 426 427 during 2002~2012.

428

Note that our GRACE-based TWS time series covers all depth of water mass changes and 429 430 most of the groundwater level changes from observations of shallow aquifers, which showed 431 the long-term mass loss in the NCP from 2003 to 2009, but the rate of this decrease slowed 432 towards the end of 2009 and then increases again after 2010. This difference in two 433 sub-periods (2004~2009 and 2010~2012) is mainly induced by climate-driven precipitation recharge in NCP (Figure 7). In addition, comparison between monthly GWS variations 434 estimated from GRACE minus GLDAS/Noah model and in situ groundwater level 435 measurements also confirmed the difference of trend changes of GWS in these two 436 sub-periods (Table 2). The rate of GRACE-derived GWS loss (after multiplying by the 437 scaling factor) in the NCP was 2.49 cm/yr from 2003 to 2009 and 2.72 cm/yr from 2003 to 438

- 20 -

439 2009; this is equivalent to a volume of 9.12 km³/yr and 9.96 km³/yr, respectively. Our 440 GRACE-based depletion of groundwater was significantly higher than ground-based 441 measurements. Although the shallow GWS increase from 2010 to 2012 due to precipitation 442 recharge in NCP, but the increase of rainfall is difficult to recharge the current more serious 443 depletion of groundwater in the deep aquifers.

444

In addition, we compare the depletion in TWS or GWS between our results and previous 445 446 studied results. Table 2 shows our results and compare them with the earlier analysis in 447 different zones where TWS or GWS loss surveys have been published. We found that the trend rate of our GRACE-based GWS in the whole NCP region is in good agreement with that 448 reported by Huang et al. [2015] during 2003~2012 and Feng et al. [2013] during 2003~2010, 449 450 which are estimated from the level 2 Release-05 GRACE data and multiplied by the scaling factor. However, other previous results showed obvious difference between the loss rate of 451 TWS and GWS because these studies used the early versions of the GRACE data, different 452 453 defined area of NCP or do not use scaling factor compared with Huang's, Feng's and our 454 study. For instance, Zhong et al. [2009] found a rate of 2.4 cm/yr from 2003 to 2007 based on level 2 Release-04 GRACE data in Beijing, Hebei and Tianjin; Su et al. [2009] calculated 455 TWS and GWS declining at a rate of 1.1 cm/yr and 0.5 cm/yr from 2002 to 2010, respectively, 456 based on level 2 Release-04 GRACE data in Beijing, Tianjin, Hebei, Shandong, and Henan; 457 and Moiwo et al. [2013] estimated a TWS loss rate of 1.68 cm/yr from 2002 to 2009 in the 458 459 vast north China (i.e., in addition to Beijing and Tianjin, the study area is comprised of 12 other provinces). Although Tang et al. [2013] did not applied scaling factor to restore the 460

461	amplitude-damped GRACE signal, but they used the latest GRACE products (RL05) and
462	same region of NCP with our study. Thus, Tang et al. [2013] estimated a GWS depletion rate
463	of 0.84 to 1.4 cm/yr (2003~2011) is also in good agreement with our estimated result before
464	being multiplied by scaling factor (i.e., the rate of TWS loss was 1.23 cm/yr from 2003 to
465	2012).

466

467 **4.2 Groundwater Depletion Contributions to Long-Term Uplift**

468 Loading or unloading of the crust from surface mass changes will cause the crust to subside or 469 uplift with different amplitudes. These displacements depend on the amplitude of the load and the distance between the load and the observation point [Farrell, 1972]. On this basis, we used 470 471 GRACE-derived vertical displacements (the method of elastic displacements due to mass 472 loads described by Section 2.3) to evaluate TWS loss contributions for the evident crustal uplift in the GPS measurements. Time series of monthly predicted vertical surface 473 displacements from GRACE for 25 GPS sites in the NCP were plotted (Figure 8a). The fitting 474 results (after Least-Squares fitting) show the trend rate of GRACE-derived vertical 475 displacements for the whole region at the 0.37~0.95 mm/yr level from 2004 to 2009, but the 476 477 rate of change direction is inconsistent in different GPS stations at -0.40~0.51 mm/yr level from 2010 to 2013 (Table 1). The smoothed results indicate a rising trend from 2004 to 2012 478 (Figure 8a) which represented the TWS loss in the observation time span. Figure 8a also 479 clearly shows mass anomaly due to TWS changes in the vertical component, e.g., a notable 480 negative peak from 2003 to 2005 and subsidence in 2012 (grey background in Figure 8a). 481 These GRACE-derived long-term height fluctuations mainly include variations in the storage 482

of natural surface water: high storage in wet years and low storage in dry years [Tang et al.,
2013], which can be modeled using land surface model output such as those provided by the
GLDAS [Rodell et al., 2004].

486

Figure 8b shows the GRACE-derived height amplitudes after removing the continental water 487 storage signal which uses the output from the GLDAS/Noah hydrology model. The calculated 488 results show that the contributions of other types of TWS effects (except groundwater) on the 489 490 surface are small relative to groundwater depletion, and those main loading effects on the 491 amplitudes of seasonal displacement with no obvious long-term trend. Meanwhile, we clearly see that these fluctuations (grey background in Figure 8a) are almost erased from the 492 493 GRACE-derived minus GLDAS/Noah vertical displacements, and the obvious continuous 494 uplift are presented in grey background of Figure 8b, which is mainly because the contributions from groundwater depletion in the NCP. Contrasts between the seasonal 495 amplitudes, phases and trends fit of vertical displacement derived by GRACE after removing 496 497 GLDAS/Noah effects and the original ones. Please see the Table S4 in the supporting information. 498

499

500 For the results described above, after the subtraction of the GLDAS/Noah contributions, 501 GRACE-derived heights largely reflect loading effects from the groundwater (natural and 502 anthropogenic factors) and anthropogenic contributions. The anthropogenic impact on mass 503 change was investigated by Tang et al. [2013] for the effect of inter-basin diversion, reservoir 504 and coal transport distribution on the GRACE-derived estimates of groundwater depletion in

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the NCP. Results from their investigation showed that the trend of anthropogenic 505 contributions was equivalent to 4.83 mm/yr water thickness (described by equations in Table 506 507 2 of Tang et al. [2013]) during 2003~2011 for the whole NCP. This means that there was a large groundwater depletion contribution for the GRACE-derived vertical displacements in 508 509 long-term uplift. Investigating groundwater withdrawal due to anthropogenic activities 510 (drinking water extraction, agricultural irrigation and industrial manufacturing) should be of high importance because precipitation data for this area (shown in Figure 7) indicated no 511 long-term droughts during the GRACE observation period of 2003~2011. 512

513

514 **5. Discussion**

515 **5.1 The Loading Effects of Non-tidal Ocean and Atmospheric Variations**

516 As part of the processing performed by the GRACE Project, the GRACE Stokes coefficients (denoted by the GRACE Project as "GSM" coefficients) have had modeled estimates of the 517 atmospheric and oceanic mass signals removed. Thus the GRACE coefficients include the full 518 519 effects of terrestrial water storage. The GRACE Project provides the modeled atmospheric and oceanic contributions to the Stokes coefficients in two forms: "GAC" files which include 520 521 the global atmospheric and oceanic effects, and "GAD" files which have had the atmospheric signals over land set to zero. The coefficients in the GAD file therefore represent ocean 522 bottom pressure variations. "GAA" files are those add CSR's GAC files to the GSM files, and 523 subtract the GAD files. The coefficients in the GAA file represent atmospheric pressure 524 525 variations.

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- 24 -

As is mentioned in Section 1, the cause of the difference between our results and Liu's work 527 528 [Liu et al., 2014] is we removed atmospheric and non-tidal ocean loading effects while they 529 did not. However, we found that the amplitude of GPS after removing atmospheric and non-tidal ocean loading effects, is still greater than the GRACE while we added the AOD1B 530 (GAC solution) de-aliasing model to the GRACE solutions (i.e., no atmospheric and non-tidal 531 ocean corrected, please see the Table S1 in the supporting information). The most obvious 532 533 difference between our results and Liu's work [Liu et al., 2014] is that they adopt the load Love numbers from Guo et al. [2004] to transform these coefficients into vertical surface 534 535 displacement estimates. We check the two results of Love numbers (ocean-load and atmospheric pressure-load) from Guo et al. [2004], there are significant differences between 536 ocean-load and atmospheric pressure-load Love numbers. Meanwhile, we compared k_n Love 537 538 numbers from Guo et al. [2004] (Liu et al.'s work) and k_n Love numbers from Han and Wahr [1995] (our work) with the k_n Love numbers used in ADO1B products [Farrell, 1972], 539 respectively. The different Love numbers have caused the amplitude of the same station from 540 541 Liu's GRACE-derived vertical displacements much more than GPS and our GRACE results, 542 due to k_n from atmospheric pressure-load Love numbers [Guo et al., 2004] significantly larger than Love numbers from Han and Wahr [1995] and Farrell [1972]. The detailed analysis of 543 544 the different Love numbers from Guo et al. [2004], Han and Wahr [1995] and Farrell [1972], 545 please see the Section S1 in the supporting information. Moreover, Table S3 in the supporting information indicate that our correlation results of IGS stations (BJFS, BJSH, JIXN, TAIN 546 and ZHNZ) are consistent with Liu's work [Liu et al., 2014], indicating that the seasonal 547 variations might come from the same geophysical process. The WRMS residual reduction 548

ratio for all the stations ranged from 19% to 85%, which is better than Liu's work [Liu et al., 2014]. However, the correlation and WRMS reduction between GPS and GRACE are weak when atmospheric and non-tidal ocean effects was removed, with the average correlation and WRMS reduction reduce to 75.6% and 28.9%, respectively. This is mainly because the seasonal hydrologic process is major contributors to seasonal changes, and different stations are greatly influenced by the surrounding hydrological process.

555

556 **5.2** Removing Hydrological Loading Displacement from GPS Using GRACE Data

557 Coordinate variations measured by GPS stations, principally for the vertical component, have been used to investigate global [Dong et al., 2002] and local [Grapenthin et al., 2006] tectonic 558 activity, as well as seasonal displacement modes for constraining estimates of continental, 559 560 atmospheric and ocean water storage. Some previous studies [e.g., Fu et al., 2012] have focused on the vertical component of crustal motion with relying on the accurate 561 interpretation of GPS motion in terms of surface stress or tectonic movement. Thus, the 562 563 displacement signal from surface mass loading is a source of noise [van Dam et al., 2007]. 564 For these applications, they would like to obtain reliable loading models or even surface mass observations, which can be used to reduce the environmental loading contributions to the GPS 565 observations. In this study, we also attempt to separate tectonic and hydrological effect using 566 567 GRACE-derived hydrological vertical rates. As mentioned in the Section 3, the good seasonal correlation between GRACE and GPS signals indicates that the long-term uplifts revealed by 568 569 GRACE detections are probably true and mixed in the GPS measurements.

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- 26 -

Figure 9 shows results for individual GPS time series. Crustal subsidence or uplift due to 571 vertical tectonic motion and TWS changes in the studied period are clearly evident in the 572 573 vertical component shown in most of the GPS stations; In fact, the analysis in the five IGS GPS stations (BJFS, BJSH, JIXN, TAIN and ZHNZ) suggests that the GPS vertical time 574 575 series can be described by two different rates around 2010, due to a continuous decrease in TWS from 2004 until 2009; towards the end of 2009 the rate of this decrease slowed and rate 576 started to rise since 2010 (please see Figure 6). Thus, we divided it into two sub-periods when 577 578 fitting GPS and GRACE trend for these five stations (Figure 9a). GPS trend changes indicate 579 an overall uplift for the whole region at the 0.04~1.47 mm/yr level from 2004 to 2009, but the rate of change direction is inconsistent in different GPS stations at -0.94~2.55 mm/yr level 580 581 from 2010 to 2013 (Table 1).

582

In addition, the long-term trend rate is different in different areas from 2010 to 2013 (Figure 583 9b). For example, the trend rate from GPS measurements shows the uplift in western NCP 584 585 (Shanxi-SX, and some of Hebei-HE stations), but opposite trends in the central and eastern 586 plain of NCP (Beijing-BJ, Tianjin-TJ and some of Hebei-HE stations). The groundwater depletion which occurs in the shallow unconfined aquifers in Piedmont Plain leads to the 587 loading uplift effect from mass loss. But groundwater depletion occurs in the deep confined 588 aquifers in the central and eastern plain of NCP, which causes serious ground subsidence, 589 rather than ground uplift caused by groundwater loss. 590

591

592 It is also possible that some GPS signals could be a result of loading from changes in the

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distribution of water stored in the surface and ground around the GPS surrounding region. To 593 594 remove those contributions, Stokes coefficients output from the GRACE model were used to 595 compute crustal motion at the NCP (Figure 8a), and then transform the monthly results into daily resolution data using a spline interpolation. In addition, GRACE solutions are corrected 596 597 for GIA while GPS ones are not. Here Stokes coefficients results from A et al. [2013] were used to remove GIA effects from GPS measurements, which is about 0.2~0.4 mm/year in the 598 land areas of China and 0.28~0.33 mm/year in the NCP (Please see the Figure S5 and Table 599 S4 in the supporting information). 600

601

We compute the GRACE-derived long-term uplift for all continuous GPS sites used in this 602 603 paper. The results indicate an overall uplift for the NCP region. Then we remove this TWS 604 induced uplift from GPS actual observed vertical rates to derive the corrected vertical velocities. Figure 10 divided the time into two sub-periods (2004~2009 and 2010~2013) to 605 indicate an overall long-term trend before (gray arrow) and after (red arrow) removing 606 607 hydrological loading displacement for the whole region. Secular displacement results between 608 2004 and 2009 show that loading displacement due to the TWS loss reduce the uplift rate of 609 GPS to some extent, and groundwater exploitation was the main contributor to crustal uplift caused by TWS loss in the NCP (BJFS, BJSH and JIXN in the Figure 10a). However, studies 610 indicate that groundwater withdrawal produces localized subsidence which can be largely 611 relative to tectonic displacement [Bawden et al., 2001]. Therefore, in this study, more 612 613 attention was paid to land subsidence due to groundwater loss.

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5.3 Land subsidence in the central and eastern of NCP

616 Land subsidence has been commonly observed in the NCP, and has become the main factor 617 that impacts regional sustainable economic and social development [Guo et al., 2015]. Over the past years, the scope and magnitude of land subsidence has expanded. In this study, we 618 619 used GPS sites to obtain time series of land subsidence evolution characteristics. The trend rates from GPS sites, after removing the rates from GRACE-derived long-term uplift and GIA 620 effects can be seen in Figure 10b (the gray background areas in the dashed white box) to 621 reflect the rate of land subsidence from 2010 to 2013, which is because the groundwater 622 623 exploitation in the deep confined aquifers has a more serious impact on land subsidence [Guo et al., 2015]. The results show that Tianjin becomes the most serious subsidence area, e.g., in 624 625 the Tanggu and Hangu district (TJBH), with an average subsidence of ~14 mm/yr after 2010; 626 In Wuqing district (TJWQ), recent subsidence averaged ~43 mm/yr. Because the Cangxian district (HECX) is close in proximity to the Jinghai region of Tijian, the sedimentation rate of 627 \sim 20 mm/yr can represent the subsidence trend of southwest Tianjin. However, the difference 628 629 of spatial distribution of land subsidence is large in Tianjin, and uneven settlement 630 characteristics are obvious. For example, the southwest and western areas of Tianjin are the most serious areas, and the trend of land subsidence exists in the northward but the amplitude 631 632 is small relative to the southwest and western areas, i.e., (JIXN site shows a small negative 633 trend (~-0.6 mm/yr). The cause for subsidence in the Tianjin area is linked to over exploitation of groundwater, an issue that has not been effectively controlled resulting in 634 635 rapidly developing land subsidence in the suburbs in recent years [Yi et al., 2011].

636

In the central and eastern region of NCP, where disastrous land subsidence has also occurred in Beijing and cities in central of Hebei province and the northeast of Shandong province, for instance, large subsidence zone in Hebei province has formed from north to south, where start from the western region of Beijing (BJFS, BJSH and BJYQ station), via the eastern region of Xingtai and Handan (HELY station), extend to the northern of Hebi (HAHB station belong to Henan province).

643

However, results from our investigation show that the center of land subsidence does not 644 645 completely overlap the TWS loss contributions (see the secular trend maps of the TWS changes of NCP in Figure 2). The uplift still exists even when we removed the rates from 646 647 GRACE-derived and GIA effects in the piedmont of Taihang Mountains and the western part 648 of NCP (Shanxi province), where the groundwater depletion occurs in the shallow unconfined aquifers have not led to a large area of subsidence. The reason for this difference with the 649 western region of NCP is that crustal uplift is mainly controlled by tectonic movement, which 650 651 is the orogenic belt and plateau area in western of the Taihang Mountains basic in the uplift. 652 In our results, most of the corrected vertical velocities at GPS stations, especially in the central and eastern region of NCP, agree with the previous study results, i.e., combining with 653 mobile and continuous GPS observation [Zhao et al., 2014] and using GPS stations from 654 GNSS and leveling data [MLR, 2015], The results of vertical crust movement in the NCP 655 from the previous study, please see the Figure S6 in the supporting information. 656

657

658 6. Conclusions

- 30 -

Temporal variations in the geographic distribution of surface mass (continental water, ocean mass and atmospheric mass) can lead to displacement of the Earth's surface. Due to excessive exploitation of groundwater resources the NCP area has become susceptible to land subsidence, and it has become one of the most affected areas in the world. Calculating the loading displacement can explain the natural displacement phenomenon, and it presents new insight into the dynamics of land subsidence.

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Traditional displacement observation has space limitations. Based on the elastic displacement 666 667 of the Earth's crust by surface loadings, this study combined GRACE and GPS data to investigate vertical displacements in the NCP area. GRACE data was used to model vertical 668 669 displacements due to changes in hydrological loads. The results showed both GPS and 670 GRACE data to observe strong seasonal variations. Comparisons between the observed GPS seasonal vertical displacement and GRACE-derived seasonal displacement demonstrated that 671 a consistent physical mechanism is responsible for TWS changes, i.e., the seasonal 672 673 hydrospheric mass movements due to climate variability cause periodic displacements of the lithosphere. 674

675

As well as the significant seasonal characteristics, GRACE also exhibited a long-term mass loss in this region; the rate of GRACE-derived TWS loss (after multiplying by the scaling factor) in the NCP was 3.39 cm/yr from 2003 to 2009, which is equivalent to a volume of 12.42 km³/yr. The rate was 2.57 cm/yr from 2003 to 2012, equivalent to a volume of 9.41 km³/yr. The TWS loss was principally due to groundwater depletion in the NCP. We calculated that the consequent trend rate caused by the load mass change using GRACE data and removed this hydrological effect from observed GPS vertical rates. Secular displacement results showed that TWS losses reduced loading displacement to some extent, but the trend rates disagree due to the difference of spatial distribution with anthropogenic depletion of TWS in the NCP.

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Particularly, land subsidence has been affecting the central and eastern region of NCP, 687 especially in Tianjin for the past years. Over-pumping of groundwater is the main cause of 688 689 land subsidence which has led to comprehensive detrimental effects on the society, the economy and the natural environment. The impact of groundwater exploitation in different 690 aquifer systems and active faults in the different regions on land subsidence needs to be 691 692 analyzed in future investigations. For example, using GRACE to remove mass loading signals from a GPS record requires either confidence that there is no concentrated load signal very 693 near the site, or a scaling factor based on a reliable model of the mass change (the 694 695 groundwater depletion rate estimated from monitoring well stations) pattern around the site.

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703 (ftp://podaac.jpl.nasa.gov/allData/grace/L2/CSR/RL05/) and the GLDAS/Noah model data provided by the NASA Goddard Earth Sciences Data and Information Services Center 704 705 (http://disc.sci.gsfc.nasa.gov/). This work was initiated while L. Wang was visiting Prof. John Wahr at the Department of Physics, University of Colorado at Boulder. We are grateful to Prof. 706 707 John Wahr for his helpful suggestions, including estimating the TWS changes averaged over the NCP using averaging kernel method and loading responses due to water change using 708 709 GRACE Stokes coefficients to calculate the elastic displacement. This work is supported by 710 the National Natural Science Foundation of China (NSFC) (Grant No. 41504065, 41574070, 711 41604060), China Postdoctoral Science Foundation funded project (Grant No. 2014T70753), and the Fundamental Research Funds for the Central Universities, China University of 712 713 Geosciences (Wuhan).

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- 876 water storage redistribution at medi- and largespatial scales in recent five years by satellite
- gravity observations, Chin. Sci. Bull., 54(5), 816–821.
- 878

- 879 **Table captions:**
- **Table 1.** GPS Station information.
- 881
- **Table 2.** Trends of GRACE-derived TWS and GWS (GRACE minus GLDAS/Noah), in situ
- measurements (shallow aquifers) and compare with the previous studies during 2003–2012.

885 **Figure captions:**

Figure 1. Study region of North Plain China (NCP) showing locations of continuous GPS
stations. White dots represent continuous GPS sites in the Crustal Movement Observation
Network of China (CMONOC) and red stars represent the International GNSS Service (IGS)
sites). Cities and provinces are labeled as follows: Beijing (BJ), Tianjin (TJ), Hebei province
(HE), and Shanxi province (SX).

Figure 2. The 2003~2012 secular trend maps (cm/yr) of the terrestrial water storage (TWS)

893 changes in North Plain China (NCP) and surrounding regions derived from GRACE data.

894 Results have been destriped and smoothed with a 250-km Gaussian smoothing function.

895

Figure 3. Daily values of the vertical (positive upward) components of position, as measured

at IGS GPS sites BJFS, ZHNZ, BJSH, JIXN and TAIN. The example of displacement due to

atmospheric and non-tidal ocean loading at BJFS IGS sites are shown in (b).

899

Figure 4. Surface horizontal (north and east components) and vertical deformation modeled
by GRACE in four IGS sites. (a) and (b) show the time series and trend rates of north and east
components in BJFS, JIXN, TAIN and ZHNZ, respectively, (c) show the time series of

903 vertical displacements.

904

905 Figure 5. Time series showing daily values (a) and fitting results (b) of the vertical (positive
906 upward) components from GPS and GRACE-derived at five IGS GPS sites.

- 42 -

908 Figure 6. Comparison of annual amplitudes and initial phases between GPS (grey) and
909 GRACE (red). The initial phases are counterclockwise from the east (reference time is
910 2004.0).

911

Figure 7. Time series showing total terrestrial water storage (TWS) changes in the spatially averaged area (kernel) of the NCP estimated from CSR GRACE data, monthly groundwater level changes observed by monitoring wells after multiplying by mean value of specific yields in the NCP during 2002~2012 and the daily precipitation data (rainfall amount) for weather stations during the period of 2003~2012 from CMDSSS. The black dashed curve is the temporal smoothing GRACE-based result, the red and blue dashed curve are the long trend of GRACE-based result during 2003~2009 and 2003~2012, respectively.

919

Figure 8. GRACE-derived smoothed (dash curves) and long-term (solid curves) vertical displacement time series due to load changes (a), the groundwater depletion contributions estimated from GRACE minus GLDAS data for smoothed (dash curves) and long-term (solid curves) vertical displacements (b), as measured at five IGS stations and twenty CMONOC stations in NCP and its surrounding region. The grey background highlight part shows inflexion effects due to TWS changes in the vertical component.

926

927 Figure 9. Smoothed (dash curves) and long-term (solid curves) versions of daily values of the
928 vertical (positive upward) component of position, as measured at twenty nine GPS sites in

- 43 -

929 NCP and its surrounding region, (a) five IGS stations and (b) twenty four CMONOC stations.

930

- **Figure 10.** GPS (gray arrow, positive upward) and corrected GPS (red arrow, positive upward)
- 932 vertical trend rate after subtracting the GRACE-derived long-term uplift rate due to load
- changes and GIA effect between 2004 and 2009 (a), and between 2010 and 2013 (b).

	936										
Stations	Lat.	Lon.	Time	Annual Amplitude of vertical displacement (mm)		Annual Phase of vertical displacement (days) Reference time is 2004.0		Trend Rates of vertical displacement (mm/yr) 2004~2009		Trend Rates of vertical displacement (mm/yr) 2010~2013	
				GPS	GRACE	GPS	GRACE	GPS	GRACE	GPS	GRACE
$BJFS^*$	39.6	115.8		2.50±0.26	1.35±0.24	40.09±6.39	359.63±10.73	1.47±0.14	0.58±0.06	-0.37±0.23	0.26±0.13
$BJSH^*$	40.2	116.2	2003	3.25±0.23	1.25±0.23	52.75±4.20	1.00±11.09	0.12±0.12	0.53±0.06	-0.94 ± 0.25	0.14±0.13
$JIXN^*$	40	117.5	~2003	2.46±0.22	1.32±0.23	32.20±5.11	359.72±10.56	1.21±0.12	0.53±0.06	-0.19±0.20	0.09±0.13
TAIN^*	36.2	117.1	~2015	3.31±0.32	2.07±0.39	16.44±5.66	349.43±11.36	0.18±0.15	0.80±0.09	0.46±0.31	0.04±0.16
$ZHNZ^*$	34.5	113.1		2.38±0.36	2.24±0.43	28.18±8.94	354.76±11.39	0.04±0.15	0.65±0.10	2.55±0.32	-0.35±0.17
$BJGB^{\#}$	40.6	117.1		3.61±0.41	1.25±0.23	32.07±6.58	4.25 ± 11.11		0.49±0.06	0.25±0.34	0.02±0.13
BJYQ [#]	40.3	115.9		3.55±0.41	1.23±0.23	25.82±6.87	3.34±11.26		0.52±0.06	-0.41±0.34	0.14±0.13
HAHB [#]	35.6	114.5		3.44±0.42	2.13±0.42	24.12±6.93	349.19±11.79		0.77±0.10	-0.55 ± 0.27	-0.28±0.17
$HAJY^{\#}$	35.1	112.4		2.28±0.51	2.05±0.44	9.28±13.00	355.65 ± 12.80		0.84±0.10	-0.30±0.33	-0.40±0.17
HECC#	40.8	115.8		2.49±0.39	1.17±0.23	9.25±9.05	11.15±11.81		0.49±0.06	1.32±0.25	0.06±0.13
HECD#	41	117.9		4.09±0.36	1.27±0.23	40.28±5.21	7.63±11.09		0.45±0.06	-0.68±0.25	-0.07±0.13
HECX#	38.4	116.9		4.57±0.51	1.62±0.29	41.20±6.82	348.56±10.80		0.73±0.07	-20.85±0.38	0.26±0.14
HELQ [#]	38.2	114.3		2.06±0.37	1.67±0.28	30.89±10.63	354.34±9.95		0.68±0.07	1.76±0.26	0.31±0.14
HELY#	37.3	114.7		2.65±0.37	1.88±0.33	10.54±8.44	350.61±10.56		0.79±0.08	0.30±0.27	0.11±0.15
HETS#	39.7	118.2		1.59±0.42	1.39±0.24	279.75±15.31	355.30±10.28		0.53±0.06	3.70±0.32	0.07±0.13
HEYY [#]	40.1	114.1		3.40±0.39	1.20±0.23	2.92±6.44	7.32±11.44		0.49±0.06	0.76±0.30	0.29±0.13
HEZJ [#]	40.8	114.9	2010	1.95±0.35	1.13±0.23	364.91±10.74	15.62±12.11		0.47±0.06	1.06±0.25	0.11±0.13
NMTK [#]	40.2	111.2	~2013	3.37±0.47	1.02±0.23	8.85±7.09	25.83±13.67		0.37±0.06	1.20±0.37	0.51±0.13
NMZL [#]	42.2	115.9		1.72±0.39	1.15±0.23	37.43±14.85	30.16±12.06		0.41±0.06	-0.49±0.28	-0.10±0.13
SDJX [#]	35.4	116.3		2.36±0.40	2.22±0.41	39.05±9.97	350.76±11.00		0.71±0.10	0.78±0.33	-0.07±0.17
SDZB [#]	36.8	117.9		3.59±0.44	1.90±0.37	25.65±6.87	347.50±11.50		0.79±0.09	-1.12±0.30	0.12±0.16
SXCZ#	36.2	113.1		3.99±0.47	1.93±0.39	35.27±6.48	352.64±12.24		0.87±0.09	0.22±0.30	-0.22±0.16
SXGX [#]	36.2	111.9		1.17±0.51	1.81±0.39	311.44±26.46	359.06±12.86		0.92±0.09	3.17±0.38	-0.17±0.16
SXLF [#]	36	111.3		3.65±0.48	1.79±0.40	18.16±7.77	363.02±13.33		0.95±0.10	1.21±0.31	-0.19±0.17
SXLQ [#]	39.3	114		3.63±0.47	1.38±0.24	25.49±7.95	362.70±10.45		0.54±0.06	1.42±0.33	0.39±0.13
SXXX#	35.1	111.2		2.93±0.54	1.98±0.43	15.09±10.44	363.17±13.18		0.90±0.10	1.30±0.41	-0.36±0.17
TJBD [#]	39.6	117.3		3.36±0.48	1.38±0.24	25.80±7.38	355.65±10.54		0.58±0.06	-1.10±0.33	0.16±0.13
TJBH [#]	39	117.6		6.25±0.45	1.49±0.26	42.18±9.56	350.10±10.60		0.64±0.06	-16.84±0.37	0.20±0.13
TJWQ [#]	39.3	117.1		5.08±0.49	1.43±0.25	0.11 ±8.23	353.28±10.58		0.62±0.06	-44.46±0.45	0.21±0.13

938 [#]CMONOC sites: the observation time between 2010 and 2013.

943

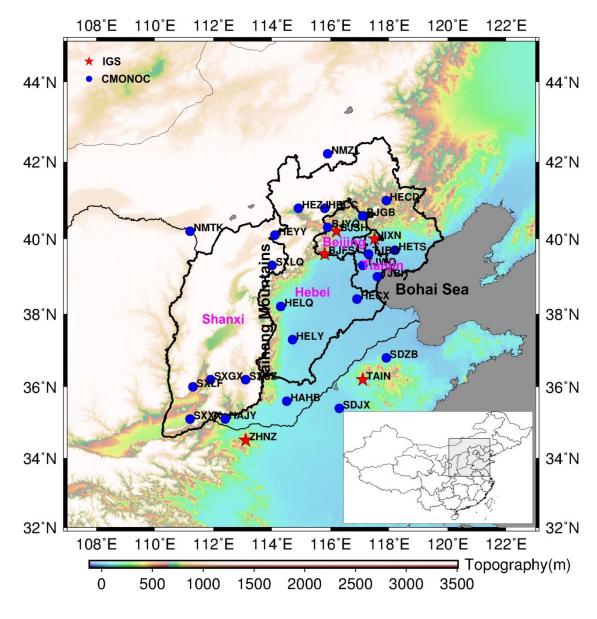
944 **Table 2:**

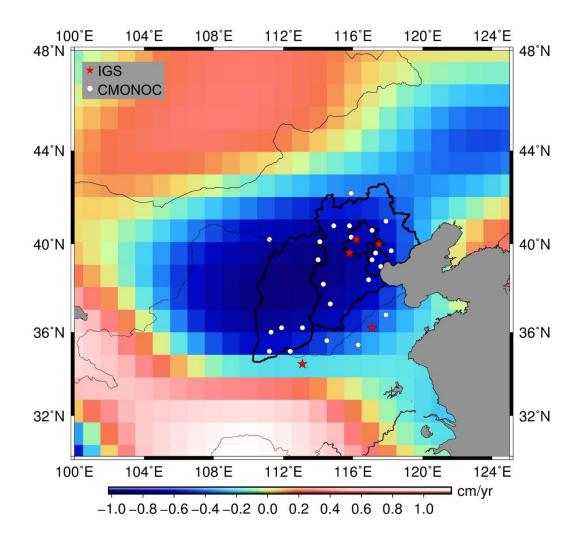
945

	TWS	TWS	GWS	GWS
	2003~2009	2003~2012	2003~2009	2003~2012
^a This sutdy	-1.62±0.39	-1.23±0.23	-1.17±0.41	-1.28±0.25
^a This sutdy after multiplied by a scaling factor=1 (cm)/0.47 (cm)	-3.39±0.81	-2.57±0.49	-2.49±0.41	-2.72±0.25
^b This sutdy after multiplied by a scaling factor=3.6626 (km ³)/0.47 (cm)	-12.42±3.15	-9.41±1.79	-9.12±3.34	-9.96±1.95
^a In situ measurements (shallow aquifers)			-1.57±0.31	-0.98±0.20
^a Huang et al. (2015)				-2.83±0.71
^a Feng et al. (2013)			-2.2±0.3 (2003~2010)	
^a Tang et al. (2013)				-0.8~-1.4 (2003~2011)
^a Su et al. (2011)	-1.1 (2002~2010)		-0.5 (2002~2010)	
^a Moiwo et al. (2009)	-1.68 (2002~2009)			
^a Zhong et al. (2009)	-2.4 (2003~2007)			

946 ^a cm/yr of the water thickness

947 ^b km³/yr of the mass





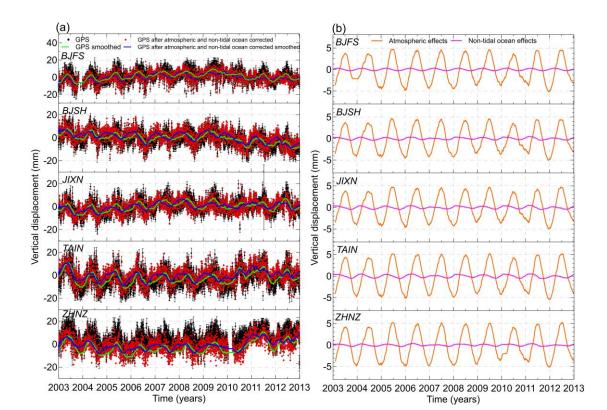
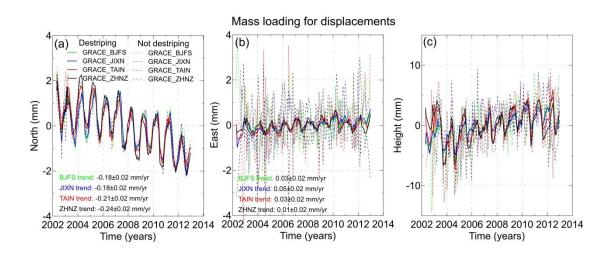
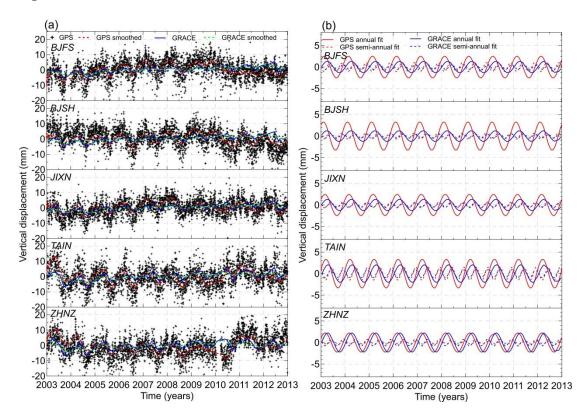


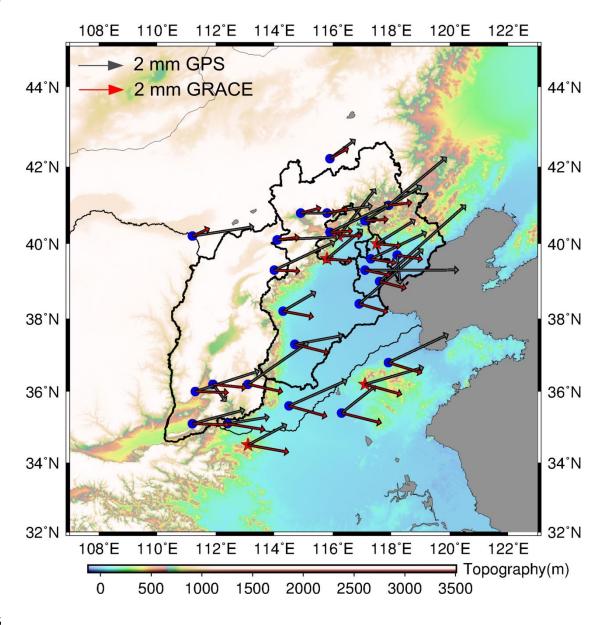
Figure 4:

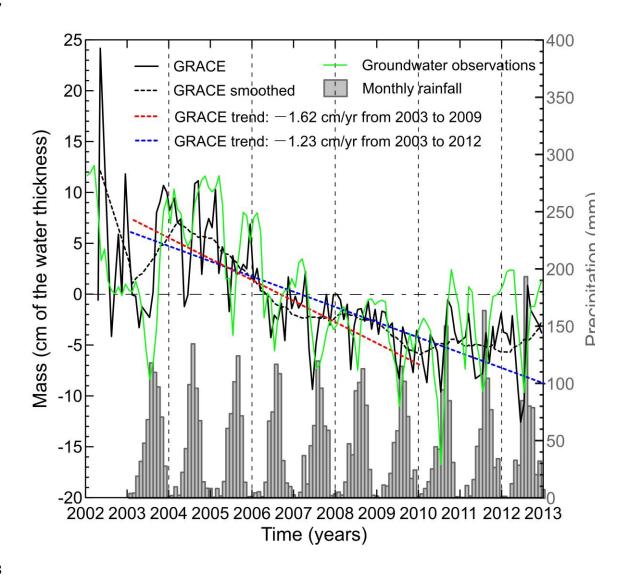


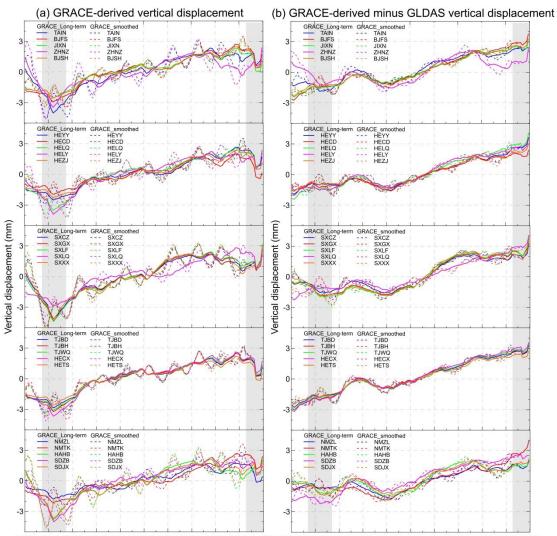




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2003 2004 2005 2006 2007 2008 2009 2010 2011 2012 2013 Time (years) 2003 2004 2005 2006 2007 2008 2009 2010 2011 2012 2013 Time (years)

