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Simulations of water, heat, and solute transport in partially frozen soils

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7 Abstract

8 Experiments for soil freezing/thawing were conducted in two seasonally frozen agricultural fields in northern China during 2011/2012 and 2012/2013 wintertime, respectively. Mass 9 balance was checked based on measured data at various depths. Simulation work was 10 conducted by combining CoupModel with Monte-Carlo sampling method to achieve 11 parameter sets with equally good performance. Uncertainties existed in both measurements 12 and model due to complexity in freezing/thawing processes as well as in surface energy 13 partitioning. Parameters related to surface radiation and soil frost were strongly constrained 14 with datasets available in two sites combining multi-criterion on outputs. Simulated soil heat 15 processes were better described than soil water processes given the data obtained for 16 calibration. Model performance was improved with consideration of solute effects on 17 freezing point depression. More detailed solute transport processes in CoupModel needed to 18 be improved by taking more processes such as diffusion and expulsion into consideration 19 based on more precise experimental results, to reduce uncertainty in model. Generally, 20 combination of measurement with process-based model and Monte-Carlo sampling method 21 provided an approach for understanding of solute transport as well as its influences on soil 22 freezing/thawing in cold arid agricultural regions. Incorporating more detailed descriptions of 23 processes for frozen soil in the model can be justified if uncertainties in measurements can be 24 reduced by introducing of high-precision novel technologies. 25

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27 Keywords: Frozen soil; Solute; Uncertainty; Freezing point; Salinization

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28 1. Introduction

29 Soil freezing and thawing processes has long been recognized for its importance in not only engineering applications (e.g., construction of roads and pipelines) (Hansson et al., 30 2004; Wettlaufer and Worster, 2006; Jones, 1981), but also environmental issues (e.g., soil 31 erosion, flooding, and pollutants migration) (Seyfried and Murdock, 1997; Andersland et al., 32 1996; Baker and Spaans, 1997; McCauley et al., 2002). The investigation of soil freezing and 33 thawing could result in a better understanding of water and solute distribution in soil (Baker 34 35 and Osterkamp, 1989), frost heaving (Wettlaufer and Worster, 2006), waste disposal (McCauley et al., 2002), climate change in cold regions (Lopez et al., 2007). 36

Many experimental observations have been conducted since 1930s to study the 37 freezing/thawing phenomenon in soil (Beskow, 1947; Edlefsen and Anderson, 1943; Spaans 38 and Baker, 1996; Miller, 1980). For example, Beskow (1947) observed the accumulation of 39 water towards freezing front and the influences of water, soil and solutes on freezing point 40 depression, as well as the similarity between freezing and drying. Edlefsen and Anderson 41 (1943) then formulated the relationship between soil temperature and freezing soil water 42 43 potential by generalized Clausius-Clapeyron equation. Koopmans and Miller (1966) then tested the similarity between soil freezing curves and water retention curves. Burt and 44 Williams (1976) measured hydraulic conductivity of freezing soil in laboratory, and this work 45 was then put forward by others (Nakano et al., 1982; Horiguchi and Miller, 1983; Black and 46 Hardenberg, 1991). At the same time, formulation of the soil freezing/thawing processes has 47 been raised up. e.g., the use of Clausius-Clapeyron equation for representation of soil 48 freezing equilibrium (Kay and Groenevelt, 1974; Groenevelt and Kay, 1974), the power 49 relationship between liquid water content and soil temperature (Anderson and Tice, 1973), 50 the capillary bundle model for soil freezing characteristics (Watanabe and Flury, 2008; 51 Lebeau and Konrad, 2012), and the influences of solute on soil freezing/thawing (Bing and 52 Ma, 2011; Azmatch et al., 2012; Wu et al., 2015). 53

Laboratory and field experiments on soil freezing/thawing processes have received more 54 55 attention. Watanabe et al. (2013) conducted laboratory experiments to describe the influence 56 of soil freezing on infiltration. Zhou et al. (2014) measured the water content and ice content in a freezing soil column with gamma ray attenuation and TDR method. Also, field 57 experiments were conducted to study plot-scale or regional water, heat and solute transport 58 during freezing/thawing from different aspects. Radke and Berry (1998) analyzed the 59 influences of soil water, bulk density as well as microbial activities on soil water and solute 60 transport in the field soil column experiments. Stahli et al. (2004) characterized the 61





62 preferential flow in frozen soil with dying method and proposed a two-domain model for flow in frozen soil. Iwata et al. (2008, 2010a, 2010b) studied the infiltration of snow melt 63 under various controls as well the influences on water, heat dynamics in frozen soil, in the 64 agricultural field in Japan. Parkin et al. (2013) studied the effects of tillage on 65 freezing/thawing of agricultural field. Zhao et al. (2013) conducted field experiment to 66 analyze influence of snowmelt infiltration on hydrological processes in winter in Inner 67 Mongolia, China. Wu et al. (2016) studied the evaporation from seasonally frozen soil under 68 various salt and groundwater conditions using field frost tube experiments, in Inner 69 Mongolia, China. All these experiments demonstrated that water, heat and solute in frozen 70 71 soil could be influenced by both soil conditions and boundary conditions, but due to a lot of uncertainties in experimental treatments as well in measurements, experimental studies on 72 73 frozen soil could result in some uncertainties in knowledge of soil freezing/thawing.

74 Along with the experimental studies, numerical models have been put forward by many. The coupled water and heat transport model by Harlan (1973) considered the coupled 75 relationship between water and heat in frozen soil. Then, Jame and Norum (1980) set up a 76 77 finite element numerical model based on Harlan's model, and tested it with laboratory experimental results. This coupled model was then improved and tested by a lot of 78 researchers with datasets from both laboratory and field (Mu and Ladanyi, 1987; Li et al., 79 2000; Li et al., 1998). All these models did not consider the transport of solute in frozen soil 80 and neglected the influence of solutes on soil freezing. Flerchinger and Saxton (1989) 81 proposed a simultaneous heat and water model for simulating water, heat and solute transport 82 in frozen soil with snow and residue covering. Then this model was tested in many cold 83 regions (Li et al., 2012; Li et al., 2013) and showed high flexibility in application under 84 various conditions. Jansson and Karlberg (2004) developed a coupled process-based model 85 based on the SOIL model to simulate water, heat as well solute transport in frozen soil. This 86 model was verified in forests (Gustafsson et al., 2004; Wu and Jansson, 2013), agricultural 87 field (Wu et al., 2011), permafrost (Zhang et al., 2012; Scherler et al., 2013) and other 88 89 ecosystems (Khoshkhoo et al., 2015). Hansson et al. (2004) also added a freezing module to 90 one-dimensional water, heat and solute transport model HYDRUS, and tested the sensitivity of model using experimental results. Meanwhile, a lot of other models have taken soil 91 freezing/thawing into consideration when applied to wintertime (e.g., SWAP, DRAINMOD, 92 SWAT, HBV, VIC etc.). Numerical models have become a popular tool for understanding 93 water, heat as well as solute transport in winter with complex boundary conditions and phase 94 95 change.





96 However, there are large uncertainties in both experiments and models for soil freezing 97 and thawing due to the complexity of phase change and coupled processes. For example, the measurement of liquid water content in frozen soil, the sampling of frozen soil, the 98 measurements of hydraulic and thermal properties for frozen soil could be difficult due to 99 limitations in technologies and in considering all effects on soil freezing/thawing (e.g., water, 100 101 heat, solutes, soil textures as well as boundary conditions). Meanwhile, the setup of model always neglected some minor influences by taking the major one into consideration, e.g., the 102 assumption of thermo-equilibrium of soil freezing, the neglecting of solute dispersion and 103 expulsion in frozen soil, or even the neglecting of solute effects on freezing point depression, 104 etc. All these would pose uncertainties to the study on soil freezing/thawing in natural 105 conditions. To reduce uncertainties in both experiments and modeling, uncertainty analysis 106 method is always used by combining experimental data with numerical model to calibrate the 107 model for better representing reality. The generalized likelihood uncertainty estimation 108 (GLUE) technique (Beven and Binley, 1992) is the commonly used method for uncertainty 109 analysis in environmental modeling. 110

111 Instead of searching for an optimal parameter set, the GLUE method generates ensembles of parameter sets that show equally good performance in simulations (Candela et 112 al., 2005), as called 'equifinality' by Beven (2006). This method has been widely used in 113 hydrologic simulations (Freer et al., 1996; Beven and Freer, 2001; Liu et al., 2009; Li et al., 114 2010; Song et al., 2015; Sun et al., 2016) and climate change projections (Cameron et al., 115 2000; Wilby, 2005; Choi and Beven, 2007; Bastola et al., 2011; Lin et al., 2015). There are 116 only a few modeling work with agricultural water resources (Brazier et al., 2000; Wang et al., 117 2006; He et al., 2010; Wu et al., 2011; DeJonge et al., 2012; Chisanga et al., 2015). 118

In models for soil water, heat and solute transport (e.g., CoupModel, HYDRUS, 119 SHAW), there are many parameters related to different coupled transport processes, also 120 including non-linear responses, especially when considering soil freezing/thawing and solute 121 transport. The parameters in these models are possible to measure with independent methods 122 123 but those are big challenges because of high variability in the environments and many 124 temporal and spatial scale related dependencies. Also, model structures are always simplified for description of some processes. Thus, for investigation of coupled processes in seasonally 125 frozen agricultural field, it is important to use the GLUE method combining process-based 126 model to unveil the freezing/thawing phenomenon. 127

In this study, we conducted field experiments on water, heat and solute transport in two sites in northern part of China. They are special both for the climates and the soils in cold





regions in China. The coupled transport of water, heat and solute during wintertime is
common for these two sites. Also, due to limited tools in measurements, only the common
variables were observed using common methods during experiments.

The main objective was to search for constrain with the current available data and models by considering also explicit salinity impacts on freezing in the model. With the collected data from field, the model was combined with Monte-Carlo sampling method to 1) investigate how well simulated water and solute dynamics corresponded to measured data; 2) identify variability in modeling of water, heat and solute using ensemble simulations; and 3) discuss the influences of solute on soil freezing/thawing based modified freezing point depression function.

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141 **2. Material and methods**

142 2.1 Study sites

Studies were conducted at two experimental fields in north China, during 2011/2012, 143 and 2012/2013 wintertime, respectively. One site was located in Qianguo Irrigation District, 144 Songyuan, Jilin (Site NE) (Fig. 1). Annual precipitation in Site I was 451 mm and mean 145 monthly air temperature was 5.1 °C. The study site is typical for its soil texture of clay, which 146 has a high bulk density, low porosity, and low hydraulic conductivity (Table 1). The water 147 table in this area fluctuated between 1.5 and 2.0 m. Maximum frost depth in Site I was 1.2 m. 148 In Site I, six plots $(2 \times 2 \text{ m}^2)$, denoted as P1 to P6) were selected in an agricultural field, which 149 was cultivated with rice from May to October. On 2011/10/09, 20 mm NaBr solution 150 containing 6.5 g L⁻¹ Br⁻ was applied to six plots to form the initial profile for Br⁻. Before 151 spraying of the solution, stubbles were removed from the plots and surface was ploughed to 152 depth of 20 cm. Field experiment in Site I was conducted during 2011/2012 wintertime. 153

The other site was located in Hetao Irrigation District, Inner Mongolia, China (Site IM) 154 (Fig. 1). Annual precipitation in this site was 140 mm. Annual mean air temperature 6.4 °C. 155 Soil texture in this site is characterized as silt loam, with porosity of 0.42~0.46 and saturated 156 hydraulic conductivity of 3.84×10^{-5} m s⁻¹. Water table was kept between 1.5 and 3 m for the 157 winter time, and three irrigation events occur every year in May, July, and November. Soil 158 salt content (mainly NaCl) was 0.1% g g⁻¹ for the study field, and irrigation water with 159 electrical conductivity of 0.5 mS cm⁻¹. Before autumn irrigation, five plots (2×2 m², denoted 160 as D1-D5) were selected at different parts of the agricultural field, and ploughed to 20 cm 161 depth. Field experiment in this site was conducted during 2012/2013 wintertime. 162





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165 2.2 Experimental design

TDR probes with 15-cm long and three-rod (CS605) were installed in Site NE to detect 166 liquid water content. A datalogger (TDR 100; Campbell Scientific Inc.) was connected to the 167 probes and recorded daily water data. TDR probes were inserted horizontally into the soil pit 168 (10 m apart from the plots) from 5 cm to 100 cm with 10 cm interval. TDR probes were 169 calibrated in laboratory with unfrozen soil, and the precision was maintained within R^2 of 170 0.97. PT100 temperature sensors were installed at the same depth as TDR probes, and the 171 daily temperature data was also collected. During soil freezing/thawing, sampling was 172 conducted at 7 dates (2011/10/09, 2011/11/09, 2011/11/25, 2011/12/20, 2012/02/15, 173 2012/04/10, 2012/04/20), and soil samples from 5 to 100 cm with 10 cm interval were 174 collected for determining total water content and Br content. An electric drill (5 cm in 175 diameter, 10 cm in length) was used for sampling soil from depth to depth. Total water 176 content was determined by oven-dry method. Br content was determined by diluting 50 g 177 wet soil into 250 mL deionized water, and measuring the electrical potential (mV) using an 178 electrical potential meter (MP523-06). Then the electrical potential was converted into Br 179 concentration by a pre-calibrated relationship between Br concentration and electrical 180 potential (R^2 =0.99). Total water content and Cl⁻ content in Site IM were sampled at 14 dates 181 (with around 25-d interval) from October 2012 to April 2013 (2012/10/16, 2012/10/27, 182 2012/11/10, 2012/12/04, 2012/12/15, 2012/12/26, 2013/01/05, 2013/01/14, 2013/01/25, 183 2013/03/05, 2013/03/14, 2013/03/25, 2013/04/07, 2013/04/18). The sampling and 184 measurement methods for total water content and Cl⁻ content were the same with those in Site 185 NE. Hourly soil temperatures at 5, 15, 25 and 35 cm depth were recorded by the PT100 186 temperature sensors from the micro-meteorological station in the field. Groundwater table 187 depth was measured for every 5 d, and the frequency was increased to every 1 d during the 188 autumn irrigation period (2012/11/4 to 2012/11/15). Meteorological data for two sites, e.g., 189 air temperature, humidity, radiation, wind speed, and precipitation, were obtained from the 190 191 nearest meteorological station with hourly-resolution.

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193 2.3 CoupModel theory

In seasonally frozen soil, the transport of water, heat, and solute in soil profile is coupled with lower boundary (groundwater) and upper boundary (atmosphere) (**Fig. 2**). Water transport processes in CoupModel could be described by combining Darcy's law with mass conservation law:





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$$\frac{\partial \theta}{\partial t} = \frac{\partial}{\partial z} \left[k_w \left(\frac{\partial \psi}{\partial z} - 1 \right) \right] + \frac{\partial}{\partial z} \left(D_v \frac{\partial C_v}{\partial z} \right) - \frac{\partial q_{bypass}}{\partial z}$$
(1)

where θ is water content (m³ m⁻³); k_{ψ} is hydraulic conductivity (m s⁻¹); ψ is matric potential (m); D_{ψ} is vapor diffusion coefficient (m² s⁻¹); C_{ψ} is vapor density (kg m⁻³); q_{bypass} is the bypass flow in macro pores (m s⁻¹); z is depth to soil surface (positive downward) (m); and t is time (s).

Unsaturated hydraulic conductivity, k_w , is calculated by Mualem equation (Mualem, 1976) combined with Brooks-Corey water retention curve (Brooks and Corey, 1964). Hydraulic conductivity in freezing/thawing soil is modified by dividing water flow domain into high flow and low flow domains (Stähli *et al.*, 1996), and adjusted by using impedance factors, respectively.

Heat flow in soil is described by the heat transport equation, considering conduction, convection and latent heat flow:

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$$\frac{\partial(CT)}{\partial t} - L_f \rho_i \frac{\partial \theta_i}{\partial t} = \frac{\partial}{\partial z} \left(k_h \frac{\partial T}{\partial z} \right) - C_w T \frac{\partial q_w}{\partial z} - L_v \frac{\partial q_v}{\partial z}$$
(2)

where *C* is soil (containing solid, water, and ice) heat capacity (J m⁻³ °C⁻¹); *T* is temperature (°C); L_f is latent heat of freezing (J kg⁻¹); ρ_i is density of ice (kg m⁻³); θ_i is ice content (m³ m⁻³); k_h is thermal conductivity soil (W m⁻¹ °C⁻¹); q_w is water flux (m s⁻¹); L_v is latent heat of vaporization (J kg⁻¹); and q_v is vapor flux (m s⁻¹).

Thermal conductivity for frozen/unfrozen soil is calculated by the Balland and Arp (2005) method considering the influences of soil components on heat flow.

217 Solute in CoupModel is considered to transport with water, neglecting diffusion. Solute 218 transport is converted into Cl⁻ transport in soil:

$$q_{Cl} = c_{Cl} q_w \tag{3}$$

220 where c_{cl} is concentration of Cl⁻ (kg m⁻³); and q_w is water flux (m s⁻¹).

Upper boundary for model is atmosphere and snow layer is also taken into consideration. Lower boundary is saturated soil layer and drainage is calculated by the combination of empirical drainage equation with Hooghoudt drainage equation The detailed descriptions of water, heat, and solute transport processes as well as model boundaries could be found in the CoupModel manual (Jansson and Karlberg, 2004). Equations used for this simulation work were detailed listed in **Table S2** in Appendix.

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228 2.4 Modification of freezing point depression functions

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In CoupModel, the freezing-point depression (**Fig. 3**(a)) is described as below:





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$$r = \left(1 - \frac{E}{E_f}\right)^{d_2 \lambda + d_3} \min\left(1, \frac{E_f - E}{E_f + L_f w_{ice}}\right)$$
(4)

231 where d_2 , d_3 are empirical constants, λ is the pore size distribution index.

In saline frozen soil, ice formation does not start at 0 °C, but at freezing point of T_0 . T_0 is a parameter related to soil type, solute type and solute content. In this approach, T_0 needs to be defined as a parameter in CoupModel, and this parameter could be determined based on experiments or by calibration with soil temperature data for frozen soil. In the present version of CoupModel, T_0 is actually taken as 0 °C, as shown in **Fig. 3**(a). In this development of the model, T_0 is introduced with values below 0 (from -3 to 0 °C), the freezing point depression will be like in **Fig. 3**(b).

When calibrating the model in terms of freezing/thawing, parameter T_0 needs to be determined with respect to salt influence on soil freezing/thawing.

As the influence of salt on freezing point is mainly dependent on the osmotic potential of soil solution, a third relationship between freezing point and osmotic potential is built. According to Banin and Anderson (1974), the relationship between freezing point and salt solution could be written as below:

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 $T_0 = -1.86c \frac{N_m}{Z} \tag{5}$

where *c* is salt concentration (mol L^{-1}); N_m is number of ions to which the salt molecule dissociates; *Z* is valency of salt.

248 In CoupModel, osmotic potential (π (cm)) is a function of salt concentration 249 $\pi = 10^{-3} \times R(T + 273.15) \cdot c$ (6)

where *R* is gas constant (8.31 J mol⁻¹ K⁻¹); *T* is temperature (°C); *c* is salt concentration (mol L⁻¹).

252 Substituting Equation (6) to Equation (5) will obtain:
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$$T_0 = -1.86 \times 10^3 \cdot \frac{N_m}{Z} \cdot \frac{\pi}{R(T+273.15)}$$
(7)

For simplicity, Equation (7) could be expressed as below with a linear relationship between freezing point and osmotic potential:

$$T_0 = -10^{-4+sc} \times \frac{\pi}{1.221} \tag{8}$$

where T_0 is the freezing point (°C); π is osmotic potential (cm); *sc* is a scale factor for considering the influences of solute types on the relationship (range from -2 to 2); -4 is a constant for converting osmotic potential unit from cm to MPa.

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261 2.5 Uncertainty analysis approach

262 1) Model performance indicator selection

The likelihood is necessary for a formal objective selection of accepted simulations 263 based on the uncertainty of also the measurement method. However, this requires information 264 about various errors in the measurement approach, which is tricky to obtain without making 265 very extensive investigations. There are many different kinds of substitutes for likelihood 266 functions (Beven and Freer, 2001) when evaluating model performance, and they are widely 267 used in hydrology (e.g., Li et al., 2010; Besalatpour et al., 2012; Pathak et al., 2012). Here, 268 the Nash-Sutcliff index was selected as a performance indicator to be used to reject non-269 behavioral simulations. This function is calculated as follows: 270

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$$L(\theta | Y) = \text{NSE } R^2 = 1 - \frac{\sum_{i=1}^{N} (Y_i - \hat{Y}_i)^2}{\sum_{i=1}^{N} (Y_i - \overline{Y})^2}$$
(9)

where $L(\theta | Y)$ is the indicator for each model run with parameter set θ , N is the total number of measurements, Y_i is the measured value for the *i*th measurement and \hat{Y}_i is the corresponding output of the model, \overline{Y} is the average of the measured data. If the model predicts the measurements perfectly, we have $Y_i = \hat{Y}_i$, implying NSE $R^2 = 1$. If $\hat{Y}_i = \overline{Y}$ for all *i*, then NSE $R^2 = 0$ has the same goodness of fit as using the average of the measured data for every situation.

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279 2) Model calibration with Monte-Carlo sampling method

In this work, we calibrated the model in two study sites using data from one winter period within the GLUE framework, respectively. Since this study is to discuss the model performance and parameter uncertainty for a process-based model in simulation of water, heat and solute transport in frozen soils, we would focus more on the calibration of the model instead of validation.

In a physically based model assuming possible variability between soil layers, around 285 100 parameters are used for simulation. However, for most parameters, they are not 286 necessarily to be calibrated according to the interest of the modeling work. Thus, in this 287 study, a set of parameters that show high sensitivities were selected for calibration, as shown 288 in Table S1. These parameters were selected on the basis of one-parameter-at-a-time 289 sensitivity analysis, which was conducted before setting up the model. For the other 290 parameters, they were set as fixed values based on experimental results or references to 291 292 previous research (Wu et al., 2011a; Gustafsson et al., 2001; Metzger et al., 2015). Then, a uniform prior distribution was assigned to each parameter and 70,000 random parameter sets 293





were created by Monte-Carlo sampling method.

The simulated variables for two sites (temperature, liquid water content at 5, 15, 25, and 295 35 cm depth for Site NE; temperature, total water content at 5, 15, 25, and 35 cm depth, and 296 groundwater level for Site IM) were compared with mean of measured from multi-plot, and 297 NSE R^2 was used to constrain model performance to achieve the accepted simulations. At 298 Site NE, NSE $R^2 > 0.7$ for soil temperature at multi-depth and NSE $R^2 > -1$ for liquid water 299 content at multi-depth were chosen to constrain the simulations. At Site IM, NSE $R^2 > 0.8$, 300 NSE $R^2 > 0.5$, and NSE $R^2 > 0.5$ were used for constraining temperature, total water content 301 and groundwater table depth, respectively. These criteria were chosen based on the 302 cumulative distribution of the model performance, by reducing the number of accepted 303 simulations to around 200, and keeping the model performance (NSE R^2) of accepted 304 simulations higher than 80% of all the simulations. Finally, 204 and 222 accepted simulations 305 were obtained for Site NE and Site IM, respectively. 306

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308 3. Results and discussion

309 *3.1 Water and solute balance analysis*

Changes of water and solute storage for different soil horizons showed typical patterns 310 (Fig. 4). Seven sampling dates at Site NE divided the whole experimental duration into six 311 periods. While at Site IM, 13 sub-periods were obtained by 14 sampling dates during the 312 experiment. At Site NE, water storage tended to increase from Period 1 to 5 (Fig. 4(a)), for 0-313 10, 0-40, and 0-100 soil zones, except for Periods 2 and 3, when water storage tended to 314 decrease for some zones. For Period 2, water storage decrease in 0-10 cm zone was mainly 315 due to evaporation loss because solute storage (Fig. 4(b)) in 0-10 cm zone of this period 316 increased. Besides, solute storage change in three zones during Period 2 were similar, which 317 meant that water and solute change in soil layer lower than 10 cm depth did not influence 318 water and solute storage change in 0-10 cm zone. 319

Similarly, in Period 3, water loss in 0-10 cm soil layer was also due to evaporation. In Periods 4 and 5, water storage increased largely in soil profile, because of upward movement of water under temperature and potential gradients during soil freezing. In Period 6, water storage in 0-100 cm depth generally decreased, because in this period, soil was thawing, evaporation, runoff would cause large amounts of water loss from soil profile. Solute storage in Periods 5 and 6 showed less change or slight decrease due to loss of water from soil profile.



Water storage at Site NE (Fig. 4(c)) generally increased for most periods, with Period 2





328 for exception. This was because Period 2 is the period when soil was going through extensive 329 evaporation for the fact that water storage at 0-10 cm depth decreased while solute storage (Fig. 4(d)) at the same depth increased. Then during the whole winter, water storage in 0-100 330 cm soil depth kept increasing. Solute storage showed continuous increase in the whole 331 winter-time. Comparing water and storage changes in all three zones for each period, it could 332 333 be found that changes occurred in the whole soil profile. It was not like that at Site NE, where changes occurred only in the upper layer for some time. This might be due to the climatic 334 335 differences in two sites during experiments. At Site NE, more precipitation occurred during the winter-time of 2011/2012, which could disturb water and heat as well as solute transport 336 in soil profile due to infiltration of water, snow cover, and re-freezing of soil. The 0-10 cm 337 soil layer at Site NE would be influenced largely by this disturbance and thus show more 338 frequent changes in water and solute storages during experiment. Site IM was characterized 339 as dry winter with sparse precipitation during the experiment. Soil water and solute transport 340 in this site was mainly influenced by the water potential and temperature gradients in soil 341 profile. High groundwater level in this region would also enhance the upward movements of 342 343 water and solute during winter-time 2012/2013.

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345 3.2 CoupModel performance and parameter uncertainty analysis

346 Most parameters show similar posterior ranges as the prior ranges (Table S1). Large differences between prior and posterior ranges were detected for C_{md} at Site NE, and for ψ_{eg} , 347 s_{def} , $r_{a,max}^{-1}$, $\psi_a(1)$, $\psi_a(3)$, $\psi_a(4)$ at Site IM. The correlations between parameters were 348 normally low, showing that most of the parameters can be taken as independent (Table S1). 349 For the parameter showing large differences in prior and posterior range ratios, NP was 350 always larger than 1, indicating there were some parameters showing high correlations with 351 it. It should be noticed that the posterior range was obtained based on the substantial 352 improvement of model performance even if the change of most parameters distributions were 353 small. The correlation between parameters should be taken into consideration also when the 354 355 correlation is low since the combination of many parameters still improved model 356 performance and make interpretation of individual parameters uncertain. However, it does not mean that there must be direct relationships between two parameters with high correlation 357 coefficients. Sometimes the "mass correlation" might exist in statistical analysis work, which 358 means that the correlation between two parameters does not result in the conclusion that the 359 two parameters are connected to each in the model, it is just a statistical result based on large 360 amounts of samples (here the samples are accepted parameter sets). 361





362 Thus, a further step of analysis work was conducted (not shown in the study) to plot the scattered relationships between the parameters with correlation coefficients (absolute values) 363 larger than 0.2. Then, the plots were checked one by one based on the scattered relationships 364 and the processes related to these parameters. Finally, 6 parameters in each site were 365 determined as sensitive parameters in calibration, and the cumulative distributions of these 366 parameters are illustrated in Fig. 5 and Fig. 6. For Site NE, the model-sensitive parameters 367 were $r_{a,max}^{-1}$, d_1 , $z_{0M,snow}$, C_{md} , s_k , r_{kl} , and they were connected radiation and evaporation 368 process $(r_{a,max}^{-1}, z_{0M,snow}, s_k, r_{kl})$ and frozen soil heat process (C_{md}, d_1) . For Site IM, the six 369 sensitive parameters belonged to radiation and evaporation process (ψ_{eg} , s_{def} , $r_{a,max}^{-1}$, r_{kl}) and 370 soil water process ($\psi_a(1)$, $\psi_a(4)$), respectively. These parameters showed very different 371 posterior distributions in comparison with the prior uniform distributions, and they showed 372 rapid increase in cumulative probability for certain part of the pre-set ranges. 373

When looking into the processes that were related to these parameters, it could be found 374 that radiation and evaporation process in both sites seemed to be more sensitive in 375 parameterization. For example, $r_{a,max}^{-1}$ and r_{kl} are two parameters accounting for windless 376 conditions and radiation estimations, respectively. The distributions of these parameters 377 indicated that the proper choice of parameter ranges and distribution related to soil 378 evaporation and radiation process would be of importance in obtaining high model 379 performance. Besides, due to the site-specified conditions in simulation, the specific 380 processes in each site should also be taken into consideration. For example, at Site NE, snow 381 and frost processes showed more sensitive feedbacks with model performance. At Site IM, 382 the water processes in soil and the surface energy balance needed to be taken into account 383 more carefully in calibration of model. 384

In Table 2, the accepted range ratio for model performance for multi-variable is 385 depicted, as well as the number of parameters showing strong correlation with each variable. 386 At Site NE, the accepted ratios for NSE R^2 with respect to all the variables of interest were 387 well constrained to the 50% best, using the selected criteria. The model performance (NSE 388 389 R^{2}) for soil temperature at four selected layers was better constrained than total water content 390 and liquid water content at respective layer. This might firstly be due to the fact that soil temperature data was more sufficient (with daily resolution) in comparison with total water 391 content (7 records for each layer) and liquid water content data (around 30 records for each 392 layer). The measurement of soil temperature was automatic with higher precision in 393 comparison with the manual measurement of soil total water content. 394

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Besides, the measurement of liquid water content with TDR was not totally automatic in





396 this study. The measurement was taken at some irregular days by connecting the TDR cables 397 to the datalogger. The measurement of liquid water content with TDR was influenced by a lot of factors, such as soil texture, soil solute and water conditions, these would result in large 398 uncertainties in the data obtained by TDR (Topp et al., 1980; Stähli and Stadler, 1997). The 399 second reason for the poorer performance in modeling water than in temperature was that the 400 401 water process in frozen soil is much more complex than heat transport, with ice formation, refreezing and infiltration and drainage considered. These processes are actually influenced by 402 403 each and result in a high nonlinearity in the model.

The modeling of water and temperature in seasonally frozen soil was reported to have a 404 trade-off, because of the coupled relationships between water and heat transport processes 405 (Wu et al., 2011). At Site NE, the higher precision in temperature data prevailed in obtaining 406 better model performance. It would as a result cause the decrease in model performance for 407 water when constraining the model with selected criteria and aimed size for accepted 408 simulations. For the number of parameters showing strong correlation with model 409 performance of each variable, it could be noted that accepted model performance for each 410 411 variable was correlated to certain number of parameters in their accepted ranges. This relationship indicated that, when aiming at achieving certain level of model performance for 412 some variables of interest, the parameters related to the processes would be constrained 413 accordingly. They should also be taken into consideration to try to get rid of the condition that 414 one parameter showed opposite feedbacks to variables of different processes or different 415 layers. This would also cause the trade-off between model performance indicators in 416 variables, especially when a multi-objective constraining study was required, both water and 417 temperature were constrained for accepted simulations. 418

Similarly, at Site IM, the model performance was also well constrained to the 30% best 419 of all the simulations. Soil temperature and total water content model performances were 420 constrained to the 5% best, which were higher than at Site IM. This was mainly because that 421 at Site IM, the soil temperature used for calibration was with 1-h interval recorded data, this 422 423 would result in a better model performance. The application of criteria for soil temperature 424 model performance would not reduce the number of simulations largely. Even though the total water content data was obtained manually at several dates of the experiment, with 425 relatively large uncertainties, the constraining of total water content model performance 426 would produce reasonable number of accepted simulations. For groundwater table depth, the 427 23% best of the simulations was obtained, showing good constraining of this variable. Also, 428 429 several parameters were shown to be tightly related to model performance of each variable,





430 indicating the complexity and nonlinearity in model, and the uncertainty in obtaining a multi-

431 objective model constraining result.

Fig. 7 shows the modeling results with selected variables in two sites. Uncertainties in 432 measurements and modeling results were added for better understanding of uncertainties in 433 calibration work. Soil temperature at 5 cm depth (Fig. 7(a), (d)) show small uncertainties 434 435 both in measurements and modeling. Total water content at 5 cm depth show relatively large uncertainties in two sites, and larger uncertainties for modeled total water content existed 436 437 when soil was at the beginning of freezing or end of thawing. Most of the data was obtained when soil was deeply frozen with less water movement in upper layer. This indicated that 438 model parameters might be well constrained for frozen conditions with less water movement. 439 These parameters would not be well applicable for conditions when soil was not frozen 440 deeply with larger water movement in profile or in certain layers. It also implied that it might 441 442 be good to consider the seasonal characteristics for some parameters for achieving modeling results with consistent performance for the whole period. 443

In this study, data for non-frozen season was not accessible, further work will be 444 445 necessary to consider the seasonal patterns in calibration work. When looking into the mean of measured total water content in comparison with modeled mean, total water content at 5 446 cm at Site IM was generally underestimated by the model, especially for the frozen season 447 (after December). And the range for the measured total water content at 5 cm depth reached 448 as high as 60% cm³ cm⁻³, which indicating an over-saturation condition. Eye-inspection 449 during sapling also verified this phenomenon that soil samples at surface layer was detected 450 with some ice-lenses existing, as shown in Fig. S1. These ice-lenses would result in large 451 water content in soil and also cause the frost heave in soil profile. This ice-lens at Site IM 452 was mainly due to the intensive irrigation before soil freezing, which maintained high soil 453 water content profile during freezing. When soil began freezing, large amount of water would 454 move upward to occupy the soil pores, and surplus water would accumulate at freezing front, 455 forming the ice lens (Konrad and Morgenstern, 1980; Konrad and Morgenstern, 1981; 456 457 Watanabe and Mizoguchi, 2000).

Liquid water content at Site NE (**Fig.** 7(c)) was underestimated by the model, in comparison with the measured. This might be due to the fact that, the influence of solutes on freezing/thawing was not taken into account in the model, which would result in a lower modeled liquid water content during soil freezing/thawing. Studies by many researchers (Banin and Anderson, 1974; Stähli and Stadler, 1997; Wu *et al.*, 2015; Wu *et al.*, 2016) have shown that the influences of solutes on soil freezing and thawing should not be neglected in





464 modeling water, heat and solute transport in frozen soil, because the freezing point depression effect was strong. Groundwater table depth at Site IM was also underestimated by the model 465 (Fig. 7(f)). In the model, drainage was described by combination of empirical with physical 466 drainage equations. The returning flow was not taken into consideration, as well as the 467 freezing of water in drainage system during the winter. Due to the rapid freezing after 468 469 irrigation, most of the drained water in the ditches were frozen immediately, and was melted until the end of soil thawing. This would result in a high water level in drainage system, 470 471 which would make it impossible for efficient drainage during soil freezing/thawing periods. A combination of more detailed agricultural field drainage processes with water body 472 freezing/thawing in cold regions would be of high interest in the future to better predict 473 dynamics of groundwater. 474

475

476 *3.3 Uncertainties in water-solute dynamics simulations*

Comparison of simulated water storage with measured water storage in different soil 477 profiles is depicted in Fig. 8. Results indicated that CoupModel could predict water process 478 479 well in upper 40 cm soil layer, and some errors occurred for prediction of layer between 40 480 and 100 cm. This was because the accepted simulations was derived by constraining model performance for variables in upper 40 cm soil layer, and the data from layers lower than 40 481 cm was not used for validation. This indicated that there might be some other processes in 482 lower layers there were not included in the upper 40 cm layer, and would influence water 483 processes in whole soil profile (from surface to groundwater). Since the model calibration 484 work was focusing on the surface water and energy balance, and the upper layer water 485 process was shown to be well-represented by the model, the more detailed consideration of 486 lower layer water processes exceeded the scope of this study. Further work would be 487 conducted to calibrate the model for the whole soil profile with more detailed measurements. 488

Fig. 9 shows the simulated salt storage in comparison with measured salt storage based 489 on measured data at various soil layers. For Site NE, the Br storage was generally over-490 491 estimated by the model in comparison with measured Br storage in whole soil profile. Also, 492 the simulated Br storage shows larger uncertainty than the measured. Similarly, at Site IM, the simulated Cl⁻ storage at various layers was larger than measured Cl⁻ storage. Also, 493 simulated Cl⁻ storage was shown with larger uncertainty. In the calibration work, only outputs 494 for soil heat (e.g., soil temperature) and soil water (e.g., soil water, liquid water, groundwater) 495 processes were constrained with certain criteria. Due to the assumption of only convection for 496 497 solute transport in soil, the simulated solute dynamics was tightly related to water dynamics.





However, as could be detected from **Fig. 8**(a), water storage was generally under-estimated for different soil layers. This was in conflict with results from Br⁻ dynamics. Br⁻ was added to soil surface before freezing/thawing, and the original Br⁻ in soil could be neglected. The only changes in Br- storage in each layer could be attributed to transport to or from adjacent soil layers. At Site IM, the Cl⁻ was used as tracer. Spatial variability in Cl⁻ caused large uncertainty in measured Cl⁻ storage at various depths (**Fig. 8**(b)).

As is known that, during soil freezing period the upward of water and solute was the 504 505 major process. The over-estimation of Br storage at various depths indicated that the upward movement of Br with water was over-estimated. This might be due to the neglecting of 506 diffusion and repulsion of solute in model. Studies by Cary and Mayland (1972) have shown 507 that, the diffusion and expulsion processes in frozen soil actually played important roles in 508 509 solute transport even though the convection was the major process. This was because when soil is frozen, soil solution would condense. This would increase solute concentration 510 gradient between frozen layer and unfrozen layer. Also, high solute concentration at low 511 temperature would cause solute expulsion from solution due to low solute saturation. 512 513 However, it is difficult to obtain the diffusion and expulsion of solute in frozen soil. More detailed experiments on diffusion and expulsion of solute would be of high interest in study 514 of water, heat and solute coupled transport in frozen soils. Large uncertainties in simulated 515 solute storage in both sites indicated that the simulation of solute transport needed to be taken 516 into account more carefully. e.g., more data on solute transport as well as water transport 517 would be of importance in calibration of model, since the water and solute transport 518 processes are tightly coupled in CoupModel. 519

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521 3.4 Influences of solutes on soil freezing/thawing

In modeling of transport processes in saline frozen soil, the influence of solute on freezing point is also another uncertainty. In CoupModel, the formulation of freezing point depression only takes soil types into consideration, while the solute has shown to be a more important factor in freezing point depression (Banin and Anderson, 1974, Wu et al., 2015). CoupModel takes the freezing point of soil as 0 °C, which is not reasonable in most agricultural soils because minerals commonly exist in agricultural fields.

In Fig. 10 and Fig. 11, the sensitivity of model results to freezing point depression was analyzed for 5 cm soil layer, based on the proposed freezing point depression functions. The influences of freezing point on soil heat are obvious in Fig. 10. Also the model performance was improved by considering freezing point depression due to solute. Mean error (*ME*) for





soil temperature 5 cm depth decreased from 1.25 °C to 0.29 °C at Site NE, and from 2.54 °C to 1.83 °C when freezing point decreased from 0 °C to -3 °C. This indicated that the neglecting of solute effects on freezing/thawing of soil would cause uncertainties in simulation results.

As shown in **Fig. 11**, the relationships between soil temperature and soil heat storage at surface 5 cm layer are different when different values of sc are assigned. *ME* decreased from 1.35 °C to 0.64 °C when sc changed from 0 to 1 for Site NE. For Site IM, *ME* decreased from 2.54 °C when sc is 0 to 2.14 °C when sc is 1. This indicated that in calculation of freezing point, not only the solute content, but also the solute type should also be taken into consideration for reducing uncertainty in modeling soil temperature when soil solute dynamics in frozen soil is not negligible.

In Fig. 12, model performance changes in simulating soil temperature at multi-depth 543 were compared before and after adding solute influences on freezing point depression, by 544 using Equation (8). sc was calibrated with ranges from -2 to 2, based on the 204 and 222 545 calibrated parameter sets with other parameters. The cumulative distribution for NSE R^2 is 546 plotted in Fig. 12 for comparison. Model performance was improved for different soil depths 547 in both sites, especially for Site NE. This was because at Site NE, only daily mean data was 548 used for calibration of soil temperature. This could cause large uncertainty in simulation of 549 frozen soil. The consideration of solute effects on soil freezing/thawing could improve model 550 performance in simulating heat as well as water processes. This in-turn compensated the 551 552 uncertainty in inputs. For Site IM, model performance in simulating soil temperature was significantly improved in upper layers (e.g., 5 cm and 15 cm depths). This indicated that 553 554 detailed description in solute influences on soil freezing/thawing could reduce uncertainty in modeling, and thus improve model performance in modeling surface water and energy 555 balance. 556

557

558 4. Conclusions

Water, temperature and solute during freezing/thawing were simulated and compared with measurements in two seasonally frozen soils in northern China. The uncertainties in both measurements and model were discussed using Monte-Carlo sampling method. Seasonal patterns of water and solute dynamics were detected in both sites during soil freezing/thawing. Water in different soil layers was influenced by both freezing/thawing and evaporation. Solutes tended to accumulate in upper soil layer during the winter. Some model parameters related to radiation/evaporation, soil freezing and snow pack as well hydraulic





566 properties were possible to constrained to a more narrow range based on the applied multicriterion constraining soil temperature, liquid or total water content and groundwater table. 567 However, trade-off existed between different criteria as well as between different model 568 outputs. Solute has shown to be a key factor influencing soil freezing/thawing. Diffusion and 569 expulsion of solute in frozen soils could result in uncertainties in estimating both water and 570 571 solute transport with numerical model. Modification of freezing point depression function has shown to be necessary in simulation of mineral-contained soils. Uncertainty in inputs could 572 573 also be compensated by more reasonable representation of freezing/thawing processes in agricultural soils. CoupModel combined with ensemble simulations method provides an 574 575 approach for understanding water, heat and solute dynamics as well as parameter uncertainty in seasonally frozen soils. More phenomena like the diffusion and expulsion of solute could 576 not be clarified by the limited amount of data. Detailed experiments on solute transport 577 578 mechanism would be of high interest in investigation of salinization in cold arid agricultural districts. Future work using more precise measurements and maybe also incorporating more 579 processes into the model are still of high interest in understanding coupled processes in 580 581 seasonally frozen soil.

582

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- 821



Fig. 1 Study sites layout. P1-P6 denote six sampling plots at Site NE, D1-D5 denote five
 sampling plots at Site IM.







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Fig. 4 Mean water and solute storage changes during different periods of soil freezing and thawing at Site NE (a), (b) and Site IM (c), (d). Time period denotes the period during two sampling dates in each site. 0.9 0. 0. 0. 0 0 0.0 0.02 z_{0M,snow} (m) 0.03 0.040.0 1.0 D (d) 0.9 0. 0. 0.8 0. 0. probability 0.0 0.0 0. 0.; 0 0.

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0,

C_

Fig. 5 Cumulative distribution for selected sensitive parameters at Site NE. Grey dashed line
 denotes prior distribution, and black solid line denotes posterior distribution.

 $s_{\rm k}$ (W m⁵ °C kg⁻²)

0.

0.

8E-6 1E-5

.16 0.18 0.20 0.22 0.24 0.26 0.28 0.30

 $r_{\rm kl}$

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843 Fig. 6 Cumulative distribution for selected sensitive parameters at Site IM. Grey dashed line





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Fig. 7 Comparison of simulated results with measured data in two sites. (a), (b) and (c) are soil temperature, total water content and liquid water content at 5 cm depth at Site NE; (e), (f) and (g) are soil temperature, total water content at 5 cm depth and groundwater water table depth (positive upward) at Site IM. Band shows the accepted range for each variable with minimum and maximum values, and error bar shows the standard error range for all plots.







Fig. 8 Comparison of simulated accumulated water storage with measured accumulated water storage in two sites at various soil depths. (a) for Site NE and (b) for Site IM. X and Y error bars denote standard errors for measured and simulated results, respectively.

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Fig. 9 Comparison of simulated solute storage with measured in two sites. (a) for Site NE and
(b) for Site IM. X and Y error bars denote standard errors for measured and simulated results,
respectively.











Fig. 11 Relationships between soil temperature and soil heat storage for different *sc* values at
5 cm depth at (a) Site NE and (b) Site IM.



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Fig. 12 Comparison of cumulative distribution of NSE R^2 for soil temperature at different soil depths without or with consideration of solute effects on freezing point depression. (a) 5 cm, (b) 15 cm, (c) 25 cm, and (d) 35 cm depths. Red dashed and solid lines denote cumulative distribution of NSE R^2 without consideration of solute effects on freezing point depression with ensemble simulations. Blue dashed and solids lines denote cumulative distribution of NSE R^2 with consideration of solute effects on freezing point depression simulations. Blue dashed and solids lines denote cumulative distribution of NSE R^2 with consideration of solute effects on freezing point depression with ensemble simulations.





Table 1 Soil properties for two sites.								
Site	Depth (cm)	Clay (%)	Silt (%)	Sand (%)	Organic matter (%)	CEC (meq/100g)	Bulk density (g/cm ³)	Porosity (-)
NE	0-140	32.40	38.40	29.30	1.76	25.00	1.42	0.46
	0-10	27.91	17.20	54.89	1.00	0.50	1.49	0.44
	10-20	37.46	21.65	40.90	1.00	0.50	1.45	0.45
	20-30	31.69	48.39	19.92	1.00	0.50	1.44	0.46
	30-40	34.08	34.32	31.61	1.00	0.50	1.45	0.45
IM	40-60	28.74	34.85	36.40	1.00	0.50	1.47	0.45
	60-80	34.67	30.45	34.88	1.00	0.50	1.45	0.45
	80-100	17.65	18.46	63.88	1.00	0.50	1.53	0.42
	100-140	14.81	35.05	50.15	1.00	0.50	1.53	0.42

Table 2. Model	performance for	or accepted	simulations.
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Site name	Site NE								Site IN	1		
Variable	Accep		^a NP Accepted range ratio NSE R ²				NP					
/Depth	Т	θ_t	$ heta_l$	Т	θ_t	θ_l	Т	θ_t	GWTD	Т	θ_t	GWTD
5 cm	0.24	0.41	0.26	8	4	3	0.02	0.05		6	5	
15 cm	0.16	0.35	0.50	4	2	7	0.02	0.05	0.22	4	2	4
25 cm	0.14	0.29	0.20	6	3	7	0.02	0.03	0.23	4	1	4
35 cm	0.11	0.31	0.43	3	2	8	0.02	0.02		4	1	

^a*NP* denotes number of parameters that show correlation coefficient>0.2 with model performance (NSE R^2).





888 Appendix

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Fig. S1 Ice lens in soil column.

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Table S1 Calibrated parameters with ranges and statistical results for posterior.

Name	Symbol	Pr	ior	Pos Site NE	^e NP	
		Min	Max	Mean	Range Ratio	
		Radiation and evaporation	ı			
		-		1.	00 1.00	0
AlbedoKExp	k_a	0.5	1.5	/1.	05 /0.98	/0
				37.	08 0.97	2
AlbSnowMin	a_{min}	30	50	/40.	57 /1.00	/0
				0.1	26 0.98	1
KonzelmannCoef_1	r_{kl}	0.15	0.31	/0.1	21 /1.00	/1
				0.	89 0.99	2
EquilAdjustPsi	ψ_{eg}	0.5	1.2	/1.	11 /0.40	/2
				2.	96 0.99	0
MaxSoilCondens	$e_{max,cond}$	2/ ^b 1	4/ ^b 2	/1.	52 /0.98	/0
				-2.2	25 0.99	0
MaxSurfDeficit	S_{def}	-3	-1	/-2.2	79 /0.37	/1
				0.	01 0.98	1
RoughLBareSoilMom	aZ_{0m}	1.0E-05	0.05	/0.	01 /0.99	/0
		0.5	. 1	0.	77 0.99	7
WindLessExchangeSoil	$r_{a,max}$	/ ^b 1.0E-04	/ ^b 0.05	/0.	03 /0.87	/2
				0.	78 0.95	2
KBMinusOne	kB^{-1}	0	2.5	/1.	23 /0.99	/0
				1.	26 0.97	0
MaxSurfExcess	Sexcess	0.5	2	/1.	14 /0.99	/0





		0.005	0.05	0.04	0.98	3
RoughLMomSnow	a ZOM, snow	/ ^b 0.025	/ ^b 0.05	/0.04	/1.00	/1
•	, , , , , , , , , , , , , , , , , , ,	2.5E-06	1.0E-05	3.98E-06	0.98	2
SthermalCondCoef	a_{s_k}	/ ^b 1.0E-06	/ ^b 1.0E-05	/3.89E-06	/1.00	/0
		Soil heat processes				
		× ×		0.85	0.60	3
CFrozenMaxDamp	C_{md}	0.5	0.9	/1.05	/0.98	/1
-				0.19	1.00	0
^c Ballard_Arp Alpha	α	0.1	0.3	/0.20	/1.00	/0
				19.80	0.99	0
^c Ballard_Arp Beta	β	10	30	/20.07	/0.99	/0
				0.49	0.99	0
"Ballard_Arp a	а	0.4	0.6	/0.50	/0.99	/0
Alshall a Carf	a	0.1 /*500	5000 / ^b 5000	528.40	0.97	0
AlphaHeatCoel	α_h	/ 500	/ 5000	/2.1/E+03	/0.99	/0
FreezenointFWi	d.	0.1	0.5	/0.40	/0.99	2 /0
rieezepolititwi	u_1	0.1	80	42.00	0.98	/0
HighFlowDampC	Car	/ ^b 0.1	/ ^b 50	/25 72	/0.98	/0
ingii iowbumpe	0,1	, 0.1	/ 50	4 92	0.99	0
LowFlowCondImped	Ca	0.1	10	/5.05	/1.00	/0
Bowriowcondimped	сji	G 11	-0	, 0100	/1100	, 0
		Soil water processes	0.001	2015.04	0.05	0
Minimum ConstVature	a1_	0.0001	¢1 0E 05	2.91E-04	0.95	0
MinimumCondvalue	K _{min uc}	/ 1.0E-06	/1.0E-05	/4.18E-06	/0.98	/0
TempEacl inIncrease	P	0.02	0.025	0.02	/0.99	/0
rempraciamierease	'AIT	0.02	0.025	50.02	0.98	/0
Air Entry(1))//	0.01	100	/64 33	/0.81	/1
All Endy(1)	φ_a	0.01	100	62.74	0.98	0
Air Entry(2)	<i>W</i>	0.01	100	/50.78	/0.92	/0
	r a			61.84	0.98	3
Air Entry(3)	ψ_a	0.01	100	/64.9	/0.80	/0
$\operatorname{Air} \operatorname{Entry}(A)$		^b O 01	^b 100	/72 07	/0.74	/4
All Elitiy(+)	φ_a	0.01	htee	-/12.07	-/0.74	-/-
Air Entry(5)	ψ_a	50.01	°100	-/38.98	-/0.98	-/0
Air Entry(6)	ψ_a	^b 0.01	^b 100	-/45.73	-/0.99	-/0
Air Entry(7)	Wa	^b 0.01	^b 100	-/46.17	-/0.99	-/0
A in Entero(Q)	7 4	^b 0.01	b100	112 65	10.02	/0
Air Entry(8)	ψ_a	0.01	100	-/43.65	-/0.93	-/0
SurfDoolMay		20	100	01.71 /62.14	/0.09	0
Surroonviax	Wpmax	20	100	/02.14	0.99	/0
DVanTortuosity	<i>d</i> ,	0.01	2	/1 04	/1.00	/1
Diapronuosity	Сегарь	0.1	10	2.75	0.99	1
AScaleSorption	a_{scale}	/ ^b 0.02	/ ^b 0.1	/0.05	/0.99	/0
I	scare	-1	-0.5	-0.76	0.99	0
InitialGroundWater	-	/ ^b -2.2	/ ^b -1.8	/-1.99	/1.00	/0
				-2.23	0.98	0
DrainLevel	Z_p	-2.5	-2	/-2.26	/0.99	/0
				-1.04	0.99	0
DrainLevelMin	-	-1.5	-0.5	/-1.00	/0.98	/0
				276.03	0.99	1
DrainSpacing	d_p	250	300	/276.23	/1.00	/0
Eme CEL D 1			0.5	-0.98	0.99	0
EmpGFLevPeak	z_1	-1.5	-0.5	/-0.88	/1.00	/0
EmpGElowDool	~	F	15	10.19	0.99	1/0
Строгомчеак	q_1	5	15	/9.05	/1.00	/0

Salt tracer





		20	40	28.10	0.99	0
SaltInitConc	a_{Cl}	/ ^b 800	/ ^b 1200	/1004.00	/1.00	/1
		1	10	3.87	0.99	1
SaltInputConc	a_{Cldep}	/ ^b 0.01	/ ^b 500	/275.10	/0.98	/0
		1500	2500	1966.00	0.99	0
SaltIrrigationConc	$a_{Clirrig}$	/ ^b 500	/ ^b 1000	/756.92	/1.00	/0
				0.25	1.00	0
$Ad_c(1)$	Sadc	0	0.5	/0.25	/1.00	/0
				0.25	1.00	0
$Ad_c(2)$	Sadc	0	0.5	/0.26	/1.00	/0
				0.23	1.00	0
$Ad_c(3)$	Sadc	0	0.5	/0.24	/0.99	/0
				0.27	0.99	0
$Ad_c(4)$	Sadc	0	0.5	/0.25	/0.99	/0
				0.26	0.99	0
$Ad_c(5)$	Sadc	0	0.5	/0.24	/1.00	/0
				0.26	1.00	0
Ad_c(6)	Sadc	0	0.5	/0.24	/1.00	/0
		_		0.25	0.99	0
$Ad_c(7)$	Sadc	0	0.5	/0.25	/1.00	/0
				0.26	0.99	1
$Ad_c(8)$	Sadc	0	0.5	/0.25	/1.00	/0
		_		0.26	0.99	1
$Ad_c(9)$	Sadc	0	0.5	/0.25	/1.00	/0
		_		0.26	0.99	1
$Ad_c(10)$	Sadc	0	0.5	/0.25	/1.00	/0
				0.26	0.99	1
$Ad_c(11)$	Sadc	0	0.5	/0.25	/1.00	/0
				0.26	0.99	1
$Ad_c(12)$	Sadc	0	0.5	/0.25	/1.00	/0
		_		0.26	0.99	1
Ad_c(13)	Sadc	0	0.5	/0.25	/1.00	/0
				0.26	0.99	1
Ad_c(14)	Sadc	0	0.5	/0.25	/1.00	/0
				0.26	0.99	1
Ad_c(15)	Sadc	0	0.5	/0.25	/1.00	/0
		<u>^</u>	0 -	0.26	0.99	1
Ad_c(16)	Sadc	0	0.5	/0.25	/1.00	/0

⁸⁹⁵

^aThis parameter was sampled using stochastic log distribution, the others using stochastic linear distribution; 896

897 ^bRange specific for Site IM;

"These parameters are not included into the CoupModel manual (2009), but could be selected in the new version 898 899 of the model;

^dEquation is corresponded to the number in CoupModel manual (2009). 900

^eNP denotes number of parameters showing correlation coefficient >0.2 901

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Table S2 Equations and their descriptions in CoupModel. 903

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No.	Equation		Definition
		Soil water processes	
(E.1)		$q_w = -k_w \left(\frac{\partial \psi}{\partial z} - 1\right) - D_v \frac{\partial C_v}{\partial z}$	The total water

flow

where k_w is the unsaturated conductivity, Ψ is water tension, z is





(E.5)

depth, C_{ν} is the concentration of vapor in soil air, and D_{ν} is the diffusion coefficient for vapor in the soil. (E.2) Mass $\frac{\partial}{\partial} = \frac{\partial q_{\nu}}{\partial z} + s_{\nu}$ conservation where θ is the soil water content and s_w is a source/sink term for e.g. equation horizontal in the outflow or root water uptake. (E.3) Sorption capacity $S_{\text{mat}} = a_{\text{scale}}a_{\text{r}}k_{\text{mat}}\text{pF}$ rate estimation where k_{mat} is matric hydraulic conductivity, a_{r} is the ratio between compartment thickness, Δz , and the unit horizontal area represented by the model, pF is $\log_{10} \psi$, a_{scale} is an empirical scaling coefficient accounting for the geometry of aggregates. (E.4) Soil vapor $D_v = d_{vapb} f_a D_0$ diffusion

where f_a is the fraction of air-filled pores, D_0 is the diffusion coefficient in free air and d_{vapb} is a parameter accounting for tortuosity and the enhancement of vapor transfer observed in measurements compared with theory.

$$S_e = \left(\frac{\psi}{\psi_a}\right)^{\lambda}$$
 Water retention
curve defined by
Brooks and Corey

(1964)

estimation

where Ψ_a is the air-entry tension, λ is the pore size distribution index and S_e the effective saturation.

(E.6)
$$S_e = \frac{\theta - \theta_r}{\theta_s - \theta_r}$$
 Effective saturation

where θ_s is the porosity, θ_r is residual water content and θ actual water content.

(E.7)
$$q_{\text{surf}} = a_{\text{surf}} \left(W_{\text{pool}} - W_{\text{pmax}} \right)$$
 Surface runoff

where a_{surf} is an empirical coefficient, W_{pool} is the total amount of water in the surface pool, and w_{omax} is the maximal amount of water stored on





soil surface without causing surface runoff.

(E.8)	$k_{w} = (r_{\text{AOT}} + r_{\text{AIT}}T_{s})\max(k_{w}^{*}, k_{\text{minuc}})$	Actual
	where r_{AOT} , r_{ATT} and k_{minum} are parameters. k_w^* is the total hydraulic	unsaturated
	conductivity	hydraulic
	conductivity	conductivity after
		temperature
		correction
(E.9)	$h = e^{-\frac{\theta}{c_{p_1}}} (h(\theta)) + (\theta + \theta))$	Hydraulic
	$\kappa_{fh} = e^{-\kappa_w} \left(\kappa_w \left(\sigma_{tot} \right) - \kappa_w \left(\sigma_{lf} + \sigma_i \right) \right)$	conductivity in
	where $k_w(\theta_{tor})$ is hydraulic conductivity for pores saturated with water;	high flow domain
	$k_w \left(\theta_{l'} + \theta_i \right)$ is hydraulic conductivity when water flow in low domain	
	with ice existence; $\theta_i / c_{\theta,i}$ is reduced factor; and $c_{\theta,i}$ is impedance	
	factor.	
(E.10)	$k_{wf} = 10^{-c_eta \mathcal{Q}} k_w$	Hydraulic
		modification for

where c_{fi} is impedance factor; and Q is heat quality.

(E.11)
$$q_{gr} = q_1 \frac{\max\left(0, z_1 - z_{sat}\right)}{z_1} + q_2 \frac{\max\left(0, z_2 - z_{sat}\right)}{z_2}$$

where $q_{\rm 1}\,,\;q_{\rm 2}\,,\;z_{\rm 1}$ and $z_{\rm 2}$ are parameters related to hydro-geological drainage conditions.

(E.12)
$$q_h = -k_h \frac{\partial T}{\partial z} + C_w T q_w + L_v q_v$$

where the indices h, v and w refer to heat, vapor and liquid water, q is flux, k is conductivity, T is soil temperature, C is heat capacity, L is latent heat and z is depth.

(E.13)
$$q_h(0) = k_{h0} \frac{(T_s - T_1)}{\Delta z/2} + C_w (T_a - \Delta T_{pa}) q_{in} + L_v q_{v0}$$
 Upper boundary condition

where k_{ho} is the conductivity of the organic material at the surface, T_s

is the surface temperature, T_1 is the temperature in the upper most soil

low flow domain

Empirical equation for

Soil heat flow





layer, ΔT_{pa} is a parameter that represents the temperature difference

between the air and the precipitation, q_{in} is the water infiltration rate,

 $T_{\text{LowB}} = T_{\text{amean}} - T_{\text{aamp}} e^{-\frac{z}{d}} \cos\left(\left(t - t_{ph}\right) w - \frac{z}{d_a}\right)$

 q_{vo} is the water vapor flow and L_v is the latent heat.

(E.14)

(E.15)

(E.16)

Lower boundary

condition

where t is the time, t_{ph} is the phase shift, ω is the frequency of the cycle and d_a is the damping depth, annual mean air temperature, T_{amean} , and amplitude, T_{aamp} , are the parameters.

$$k_{hm} = 0.143 \left(a_1 log \left(\frac{\theta}{\rho_s} \right) + a_2 \right) 10^{a_3 \rho_s}$$
 For unfrozen mineral soil an

where a_1 , a_2 and a_3 are parameters and ρ_s is the dry bulk soil density. The logarithmic argument, $\frac{\theta}{\rho_s}$, is equivalent to the soil water content by function weight.

 $k_{hm,i} = b_1 10^{b_2 \rho_s} + b_3 \left(\frac{\theta}{\rho_s}\right) 10^{b_4 \rho_s}$

where b_1 , b_2 , b_3 and b_4 are parameters and ρ_s is the dry bulk soil density.

(E.17)
$$R_f = e^{c_f T_s} c_{\rm md} + (1 - c_{\rm md})$$

where T_s is the soil surface temperature and c_f and c_{md} are parameters.

(E.18) $H = E\left(1 - \frac{L_f w_{ice}}{E_f}\right)(1 - r)$

where E is the total energy content in the soil, L_f is the latent heat of partially frozen freezing, E_f is the total heat content of the soil at the temperature T_f , soil

For frozen mineral soil an empirical conductivity function Reduction factor of thermal conductivity in the upper soil layer in frozen

soils

Sensible heat content of a





 $w_{\rm ice}$ is the mass of water available for freezing and r is the freezing-

point depression.

(E.19)
$$w_{ice} = w - \Delta z d_1 \theta_{wilt} \rho_{water}$$
 Mass of water
where w is the total mass of water, d_1 is a parameter and θ_{wilt} is
freezing

volumetric water content at a soil water potential corresponding to pF

4.2, and $\rho_{\rm water}$ is the density of water.

(E.20)

$$r = \left(1 - \frac{E}{E_f}\right)^{d_2/d_3} \min\left(1, \frac{E_f - E}{E_f + L_f w_{ice}}\right)$$
Freezing-point depression

where d_2 and d_3 are parameters and λ is the pore distribution index.

(E.21)
$$q_{\text{freeze}} = \alpha_h \Delta z \frac{T}{L_f}$$
 Redistribution of infiltrating water

where α_h is heat transfer coefficient, Δz is thickness of soil layer, T is from high flow to soil temperature, L_f is the latent heat of freezing. low flow domain

Soil evaporation and radiation processes

(E.22)
$$R_{s} = I_{s} + I_{s} + I_{s}$$
 Surface energy

where $L_v E_s$ is the sum of latent heat flux, H_s is sensible heat flux and balance approach

 q_h is heat flux to the soil.

(E.23)
$$L_{\nu}E_{s} = \frac{\rho_{a}c_{p}}{\gamma} \frac{\left(e_{\text{surf}} - e_{a}\right)}{r_{as}}$$
 Latent heat flux

where r_{as} is the aerodynamic resistance, e_{surf} is the vapor pressure at the soil surface, e_a is the actual vapor pressure in the air, ρ_a is the air density, c_p is the heat capacity of air, L_{γ} is the latent heat of vaporization and γ is the psychometric constant.

(E.24)
$$H_s = \rho_a c_p \frac{(T_s - T_a)}{r_{as}}$$

Sensible heat flux

where T_s is the soil surface temperature, T_a is the air temperature and





 r_{as} , ρ_a , c_p are the same as above.

(E.25)

$$q_h = k_h \frac{(T_s - T_1)}{\frac{\Delta z_1}{2}} + Lq_{v,s}$$

where k_h is the thermal conductivity of the topsoil layer, T_s is the soil surface temperature, T_1 is the middle of uppermost soil compartment temperature, Δz_1 is the depth of the uppermost soil compartment and $Lq_{v,s}$ is the latent water vapor flow from soil surface to the central point of the uppermost soil layer.

(E.26)
$$e_{\text{surf}} = e_s(T_s) e^{\left(\frac{\psi M_{\text{subs}} R^2 c_{\text{orr}}}{R(T_s + 273.15)}\right)}$$

where e_s is the vapor pressure at saturation at soil surface temperature T_s , Ψ is the soil water tension and g is the gravitational constant, R is the gas constant, M is the molar mass of water and e_{corr} is the empirical correction factor.

(E.27)
$$e = 10^{(-\delta_{\text{surf}}\psi_{eg})}$$
 Empirical

where Ψ_{eg} is a parameter and δ_{surf} is a calculated mass balance at the soil surface, which is allowed to vary between the parameters s_{def} and

sexcess given in mm of water.

(E.28)

$$\delta_{\text{surf}}(t) = \max\left(s_{\text{def}}, \min\left(s_{\text{excess}}, \delta_{\text{surf}}(t-1) + W_{\text{pool}} + \left(q_{in} - E_s - q_{v,s} + i_{\text{drip}}(z_1)\right)\Delta t\right)\right) \quad \text{Mass balance at}$$
the soil surface

where W_{pool} is the surface water pool, q_{in} is the infiltration rate, E_s is the evaporation rate, $q_{v,s}$, is the vapor flow from soil surface to the central point of the uppermost soil layer and s_{def} and s_{excess} are parameters.

(E.29)

 $r_{aa} = \frac{1}{k^2 u} \ln \left(\frac{z_{\text{ref}}}{z_{\text{OM}}} \right) \ln \left(\frac{z_{\text{ref}}}{z_{\text{OH}}} \right) f\left(R_{ib} \right)$

Aerodynamic resistance

where u is the wind speed at the reference height, z_{ref} , R_{ib} is the bulk

the soil surface

Vapor pressure at

Heat flux of the

soil



Equation of kB^{-1}

soil



Richardson number, k is the von Karman constant and z_{OM} and z_{OH} are

the surface roughness lengths for momentum and heat, respectively.

(E.30)

(E.35)

 $kB^{-1} = \ln\left(\frac{z_{\rm OM}}{z_{\rm OH}}\right)$

where kB^{-1} is a parameter with a default value 0 (implies $z_{OH} = z_{OM}$).

(E.31)
$$r_{aa} = \left(\frac{1}{r_{aa}} + r_{a,max}^{-1}\right)^{-1}$$
 Aerodynamic resistance in

where $r_{a,\max}^{-1}$ is a parameter for a upper limit of the aerodynamic extreme stable resistance in extreme stable conditions.

(E.32)
$$a_{soil} = a_{dry} + e^{-k_a^{lolg\psi}}(a_{wet} - a_{dry})$$
 Albedo of bare

where a_{drv} is albedo of dry soil; a_{wet} is albedo of wet soil; and k_a is a

transform coefficient from wet to dry soil.

(E.33)
$$E_s = \max\left(-e_{\max, \text{cond}}, L_v E_s / L_v\right) f_{\text{bare}}$$
 Soil evaporation

where $e_{\text{max,cond}}$ is maximum condensation rate for upmost soil layer to maintain water balance.

(E.34)
$$R_{ns} = R_s (1-\alpha) + R_{nl}$$
 Net radiation

where R_s is global radiation; α is albedo of soil; and R_{nl} is net estimation longwave radiation.

$$\mathcal{E}_{a,\text{Konzelmann}} = \left(r_{k1} + r_{k2} \frac{e_a}{T_a + 273.15} \right)^{1/4} \left(1 - n_c^3 \right) + r_{k3} n_c^3 \qquad \text{Longwave}$$
radiation

where e_a is vapor pressure; n_c is cloud fraction; r_{k1} , r_{k2} , and r_{k3} are estimation parameters.

(E.36)
$$a_{\text{snow}} = a_{\min} + a_1 e^{a_2 S_{age} + a_3 \sum T_a}$$
 Albedo of snow

where a_{\min} is minimum albedo of snow, a_1 , a_2 , and a_3 are parameter.

(E.37)
$$k_{\text{snow}} = s_k \rho_{\text{snow}}^2$$
 Thermal

where s_k is empirical parameter, ρ_{snow} is density of snow.

snow

conductivity of







where $f_{\rm liqmax}$ is parameter, $T_{\rm RainL}$ and $T_{\rm snowL}$ are temperatures above or

below which only rain or snow occurs.

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