

Reply to Referee #1

It seems Gong and his/her colleagues have substantially improved the manuscript in the resubmitted version. Generally, the manuscript is written clearly and understandable, but some grammars are still need to be checked and confirmed, probably by a native speaker. I like the discussion about the human impact on evaporation, i.e. vegetation degradation and sand dunes bulldozing. The impact of vegetation degradation did not only change the vegetation cover but also modify the soil conditions. I agree with the authors that the processes are complex, and still needs to be further investigated. Summarily, these relative long-term and intensive land surface water and energy observations are important for us to understand the interaction between land surface and atmosphere and even groundwater, especially in this semiarid region where the ecosystems are vulnerable. But there is still space to improve the quality of this manuscript before publication.

Answer: Thank you for your positive comments. The manuscript has been checked and revised by the English-speaking editors of AJE (the supplement is the certificate).

Other comments:

L24: I think it might be okay to generalize the results a little bit to “improve our understanding . . . in the fragile ecosystems of semiarid regions.”

Answer: We changed the significance of this study in the abstract with the following sentences, please see lines 18-20 in the revised manuscript.

“This study could improve our understanding of the effects of land use/cover change on ET in the fragile ecosystem of semiarid regions and provide a scientific reference for the sustainable management of regional land and water resources”

L34: Not clear info. Please rephrase.

Answer: We rephrased this sentence with the following sentences.

“In terms of physical processes, ET is affected by net radiation (Valipour et al., 2015), water vapor pressure deficit (Zhang et al., 2014), wind speed (Falamarzi et al., 2014), and soil water stress (Allen et al., 1998). Moreover, vegetation condition is also a crucial factor influencing ET (Tian et al., 2015; Wang et al., 2011; Piao et al., 2006; Mackay et al., 2007)”, please see lines 29-33 in the revised manuscript.

L 65: limiting factor for. . .

Answer: We replaced the expression of “limiting factor on vegetation” with “limiting factor for vegetation”, please see line 115 in the revised manuscript.

L66: what do you mean by “common droughts”?

Answer: In this sentence, the “common droughts” referred to droughts. In order to avoid misunderstanding, we deleted “common” in this sentence, please see line 116.

L 62-73: Some detailed information might better go to study site section.

Answer: We used the information in this paragraph to describe the typical characteristics of Mu Us Sandy Land, including the sand dunes, biological soil crusts

(BSCs) and dry sand layer, which result in complex ET process. Therefore, we thought it might be better to leave some information about these typical land surface properties in this paragraph. And following your suggestion, we moved the following sentences describing the vegetation and water condition of Mu Us Sandy Land to the section 2.1 (Site description), please see lines 115-117 in the revised manuscript.

“Shortage of water is the critical limiting factor for vegetation in this regions, and drought-enduring vegetation are prevailed as a result of droughts (Wang et al., 2002; Wu, 2006). There are at least 117 shrub and semi-shrub species within the Mu Us Sandland (Dong and Zhang, 2001).”

L 81: in situ field. . . ?

Answer: Yes, we corrected it.

L88: "doubtful" is a strong word. You'd better change it.

Answer: Following your suggestion, we revised it by replacing “doubtful” with “may induce uncertainty”, please see line 65 in the revised manuscript.

L97: “. . .is little learned. . .” reads awkward. Please rephrase. Again, “field observations”

Answer: We revised the sentence from “To our knowledge, there is little learned of ET under native sparse shrubland and continuous field observations under land degradation and vegetation rehabilitation conditions.” to “Continuous field observations under both land degradation and vegetation rehabilitation processes have rarely been documented, especially in the semiarid shrubland”, please see lines 74-76 in the revised manuscript.

Yes, we corrected the word “field”.

L102 probably change measurements to measurement

Answer: We revised the word “measurements” to “measurement”, please see line 93 in the revised manuscript.

L123: is it better to say “water demand”?

Answer: Yes, we revised it.

L141: “as time went on. . .”. Please keep the same tense in one sentence.

Answer: We revised it.

L187-189: It might be better to briefly describe how you calculated latent heat flux.

Answer: We added a brief description of latent heat flux calculations by eddypro in the section 2.3 (flux data processing) with the following sentences, please see lines 179-185 in the revised manuscript.

“10 Hz 3-dimensional wind speed and water vapor concentrations that collected by EC technique were processed to half-hourly latent heat flux (λE_T) using Eddypro processing software (v5.2.0, LI-COR, Lincoln, NE USA). The main principle is that

λE_T can be expressed as $\overline{\rho_a w' q'}$ (where w' is the fluctuation of vertical wind

speed, q' is the fluctuation of specific humidity and ρ_a is the air density). The software also applies the quality control of data, including spike removal, tilt correction, time lag compensation, turbulent fluctuation blocking and spectral corrections.”

L198: what do you mean by “immediately”?

Answer: We used “immediately” to emphasize that we used the values before and after the data gap. In order to avoid the confusion, we deleted the word “immediately” here, please see line 201 in the revised manuscript.

L266: How did you determine the factors in this equation?

Answer: In our study, θ_r was calculated by θ at different depths ($\theta_i, i = 5, 10, 20, 40, 60, 80, 120, 160$ cm). A schematic diagram is showed in the following.

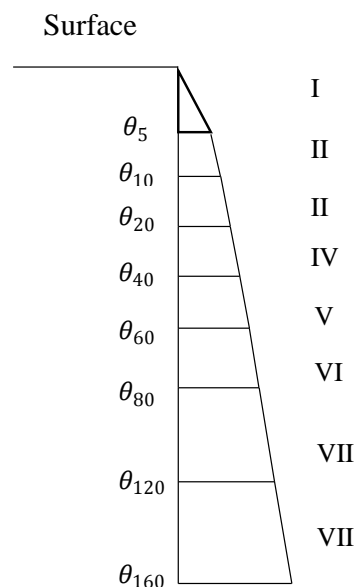


Figure 1. The schematic diagram of root-zone soil water content calculation

In the layer I (0-5 cm), the soil water profile was assumed triangular, while in the layers II, III, IV, V, VI, VII and VIII, the soil water profiles were assumed trapezoidal. Therefore, θ_r was calculated by the following equation,

$$\theta_r = \frac{0.5 \left[\begin{aligned} &5\theta_5 + (\theta_5 + \theta_{10}) * (10 - 5) + (\theta_{10} + \theta_{20}) * (20 - 10) \\ &+ (\theta_{20} + \theta_{40}) * (40 - 20) + (\theta_{40} + \theta_{60}) * (60 - 40) \\ &+ (\theta_{60} + \theta_{80}) * (80 - 60) + (\theta_{80} + \theta_{120}) * (120 - 80) \\ &+ (\theta_{120} + \theta_{160}) * (160 - 120) \end{aligned} \right]}{160}$$

We added the above information in the text (please see lines 308-312 in the revised manuscript). Besides, we revised the Eq.13 in the text as well.

L291-294: Is this the commonly used method to calculate NDVI? If so, you do not need to mention these details. And I have no idea why you describe the NDVI_Terra and NDVI_Aqua. Can you clarify?

Answer: Yes, this method is commonly used to calculate NDVI. As we found that there were slight differences ($|NDVI_{Terra} - NDVI_{Aqua}| = 0.01 \pm 0.0075$) between the calculated daily $NDVI_{Terra}$ and $NDVI_{Aqua}$, we calculated NDVI by averaging $NDVI_{Terra}$ and $NDVI_{Aqua}$ in our study in order to eliminate the impacts caused by such differences.

We added the above information in the section 3.2.2 (vegetation parameter), please see lines 272-277 in the revised manuscript. In addition, we followed your suggestion to simplify the descriptions of the method to calculate NDVI by firstly deleting the superfluous sentences to describe $NDVI_{Terra}$ and $NDVI_{Aqua}$ (e.g., L449-451, L453-461, L472-473 and L474-475 in the marked-up manuscript). Then we rephrased the sentences to state the method to calculate NDVI by averaging $NDVI_{Terra}$ and $NDVI_{Aqua}$ (please see lines 267-278 in the revised manuscript).

L398-399: Since NDVI is a normalized factor and you derived the NDVI_w based NDVI, I do not think it is meaningful to quantify the impact of NDVI on evaporation. This relationship might be changed in different cases and even with different time series datasets. You can describe this relation, but it is probably not suitable as a highlight and mention it in Abstract.

Answer: We agree with you that the relationship between NDVI and ET differs in different cases or with different time series. We also discussed this point in the manuscript (section 5.1). The main purpose for describing the relationship is to compare our result with other studies in different cases to show how strong NDVI affects ET. By our survey, the relationship between NDVI and ET were reported mostly in forests and grassland. Thus, it is meaningful to fill the gap to quantify the effect of NDVI on ET in shrubland.

In summary, we described this relationship in the main body for comparison, and following your suggestion, we deleted this description in the abstract.

L412: do you mean “compared Period I with. . .”?

Answer: Yes, we revised the sentence from “compared to period I with natural land use/cover condition, ...” to “compared with Period I, ...”, please see line 426 in the revised manuscript.

L431-434: The first-order control of evaporation is a long time debate. I agree with the conclusion, but this research might be not directly related to this conclusion. I suggest the authors weaken the tone, to use “probably” or “very likely” etc.

Answer: We followed your suggestion and revised the word by replacing “mainly” in this sentence with “likely”, please see line 445 in the revised manuscript.

L545: “tolerant to” is probably followed by some "vices", not survive. Please rephrase.

Answer: We revised the sentence from “...because shrubs are more tolerant to survive in water-starved ecosystems” to “... because shrubs are easier to survive in water-limited ecosystems”, please see lines 545-546 in the revised manuscript.

L 550: more water than “what”?

Answer: The missing “what” in this sentence was the word “grass”. However, we deleted this sentence “As potato consumes much more water than grass”. Because we have emphasized the fact that potato consumes more water than grass in this paragraph, please see line 842-843 in the marked-up manuscript.

Reply to Referee #2

This study assessed the relationships between evapotranspiration (ET) and change of land by analyzing the eddy-covariance measurements of actual ET together with data of a number of its potentially influencing factors including normalized vegetation index, soil water content as well as climate variables to estimate potential ET. Data are collected from a case study with different periods reflecting changes of land-use conditions, which provides further evidence to support the statistical analyses. The manuscript is well written and the knowledge promoted is a clear contribution to the understanding of how ET processes can potentially change with land-use in semiarid regions. I think this study is suitable for publication after moderate revision, with improved clarity and better flow especially for the Introduction and Methodology - please see my major comments below.

Answer: Thank you for your positive comments. We revised our manuscript by carefully following your comments and suggestions.

Major comments:

1. The Introduction launched quite well with highlighting the importance of the assessing relationship between ET to vegetation conditions in arid/semiarid regions (L28-37), followed by a comprehensive literature review explaining relevant physical mechanisms (L38-61). However, the third paragraph (L62-79) seems to be a bit disjointed as the flow of ET/vegetation stops and shifts to the case study, whereas paragraph 4 (L80-98) returns to the ET/vegetation flow and paragraph 5 again introduces the study site. I think the easiest way to improve the flow is by swapping paragraph 3 and 4 (I found this can in fact fit better with your current connecting sentences between paragraphs i.e. L60-61, L96-98). So you would have: Paragraph 1: importance of the assessing relationship between ET to vegetation conditions in arid/semiarid regions Paragraph 2: physical mechanisms on how vegetation can influence ET (finish with L60-61 which then leads to the method of assessing these impacts) Paragraph 3: method to assess the vegetation impact on ET (finish with L96-98 which then leads to the case study in a sparse shrubland) Paragraph 4: introducing the case study and how it can contribute to the above-mentioned knowledge gap. I'd also recommend combining this use some discussion from the current paragraph 5 (L99-101) to help justifying the choice of the case study. Paragraph 5: I'd recommend to leave this paragraph purely as a summary of the study (as current L102-104), and maybe elaborate a little bit with highlighting the significance of the study. I think the above structure can allow the storyline about ET/vegetation relationship to complete before introducing the study site, which provides a smoother transition and also better justification on the use of Mu US sandland as the case study.

Answer: Thank you for your constructive suggestions. According to your comments, we swapped paragraph 3 and 4 to make these paragraphs jointed, please see lines 58-92 in the revised manuscript.

In addition, we also combined the following sentences to paragraph 4 to help justify the choice of the study site.

“Coincidentally, two processes of land use/cover changes (land degradation and

[vegetation rehabilitation\) have occurred at the edge of the Mu Us Sandy Land, providing us a unique opportunity to study the effects of land use/cover change on ET.](#)”

Furthermore, in paragraph 5, we highlighted the significance of our study by adding the sentence [“Our results were expected to provide a scientific reference for the sustainable management of regional land and water resources in the context of intensive agricultural reclamation”](#), please see lines 95-97 in the revised manuscript.

2. I appreciate the comprehensiveness of Section 2 which covers the details of data collections methods and models used to analyze different data variables. However, I found that Section 2.3.2 become a bit confusing with introducing models related to a number of variables. As this section describes the methods employed for the core analyses of the study, I think the clarity can be further improved by using further subsections for individual variables. In addition, I think the methods used for data analyses should be introduced as well. Currently the statistical methods used for data analyses are mainly described in the Results section (e.g. L325-327, L380-384, L386-L389). I think it can be clearer to summarize them in the Section 2.3.2 instead (probably as an overview in the start of this section). In this way you can better justify why these analyses are conducted and how they help to answer the research questions, while purely focusing on the results and interpretation in the Results section. And then the readers can get an overall understanding on the data analyses to be conducted and knowing what to expect in the Results section. So I'd suggest the following structure for Section 2.3.2: Sub-section 1: overview – introducing the variables which are needed for analyzing the impact of ET and vegetation conditions (these will be detailed in the following sub-sections), and what analyses will be conducted with these variables (e.g. as those introduced in L325-327, L380-384 and L386-L389 etc.) Sub-section 2: estimating potential ET Sub-section 3: estimating soil water content Sub-section 4: estimating NDVI ...

[Answer: Together based on your comment 2 and 3, we separated the pervious section 2 into two sections \(section 2 and section 3\). In the new section 2, we mainly introduced the case study information \(including site information, the measurements in our study site\) and data \(we thought the data here included raw data and processed data\). Thus, we thought it might be better to move the previous section 2.3.1 \(flux data processing\) into this new section 2 as subsection 2.3. We re-arranged and revised section 2 based on the following structure:](#)

[2 Case study and data](#)

[...2.1 Site description](#)

[....2.2 Field measurements](#)

[.....2.2.1 Eddy covariance system](#)

[.....2.2.2 Other measurements](#)

[...2.3 Flux data processing \(lines 178-212\)](#)

[While in section 3, we mainly introduced the methods to calculate the footprint and the variables that have controls on ET. Following your suggestion, we added a subsection 3.3 \(statistical analysis\), including the statistical methods that described in](#)

the Results section previously (as you referred in this comment 2, e.g., L380-384, L386-L389 in previous manuscript). In addition, we also added the information about the reason why we chose linear function to simulate the correlations between ET and its three controlling factors (please see our reply to the minor comment 12).

For the sentence you mentioned in this comment 2 (e.g., L325-327 in the previous manuscript), which described the purpose to calculate energy balance closure, we prefer to leave them in the Result Section (lines 343-346). Because energy balance closure is a common concept and there is no need to describe it in the method part. In addition, if we move the sentence to the method part, the continuity of section 4.1 will be broken.

Therefore, we re-arranged and revised the section 3 according to the following structure:

3 Methodology

...3.1 Footprint model

...3.2 Method of analyzing controlling factors of ET

.....3.2.1 Potential evapotranspiration

.....3.2.2 Vegetation parameters

.....3.2.3 Soil water stress

...3.3 Statistical analysis (new subsection, lines 320-329).

3. I think the Section 2 (Material and Methods) is a bit too long trying to cover different aspects including case study, measurements of raw data, data processing and analyzing. In my opinion a better way to organize these is to break Section 2 into two sections, for example as: Section 2. Case study and data (note:I'd use 'data' to refer to the raw measurements here rather than in the next section, where you introduce data-processing and analyzing.) 2.1 site description 2.2 measurements ... Section 3. Methodology 3.1 flux data processing 3.2 footprint model 3.3 method of analyzing controlling factors of ET (and if you agree with my last comment, the sub-sections can go below:) 3.3.1 ... 3.3.2

Answer: Please see our reply to the comment 2.

Minor comments:

1. L30: 'ET' - please define acronym when it first appears in the text, and please also check if all other acronyms are properly defined.

Answer: We added the definition of ET in the text, please see line 26 in the revised manuscript.

2. L101: '4' - please spell out numbers less than 10 i.e. as 'four-year'.

Answer: We revised it.

3. L111: please delete the repeated 'temperate'. Also, is there a better way to introduce the climate zone, as currently it seems like a 'noun train' ('temperate semiarid continental monsoon climate'). You can find some examples on improving 'noun train' from <http://www.webwritingthatworks.com/DGGuideCOG5b.htm>.

Answer: We have studied the guidelines from the link you provided. Together based on

the guidelines and other scholars' studies (Yang et al., 2015; Wu and Ci, 2002). We thought the sentence might be better by changing it from “the study site is in a temperate semiarid continental temperate monsoon climate” to “This site is a semiarid area with temperate continental monsoon climate”, please see line 104 in the revised manuscript.

References:

Yang, Y., Bu, C., Mu, X., Zhang, K.: Effects of differing coverage of moss - dominated soil crusts on hydrological processes and implications for disturbance in the Mu Us Sandland, China. *Hydrological Processes*, 29(14), 3112-3123, 2015.

Wu, B., Ci, L. J.: Landscape change and desertification development in the Mu Us Sandland, Northern China. *Journal of Arid Environments*, 50(3), 429-444, 2002.

4. L194-195: Would there be any impact on the results from this data removal, and would this be a limitation of the study? This should be briefly discussed (Maybe in the Discussion or Conclusion section?).

Answer: We thought there might be little impact of this data removal on our results due to the following reasons.

Firstly, in our study, the missing and rejected λE_T values almost occurred during nighttime (89.1% in Period I, 91.3% in Period II, 92.6% in Period III and 88.7% in Period IV), which were mainly caused by insufficient electric power supply in low air temperature environment and the low turbulence during the nighttime.

Secondly, in the nighttime, the change in λE_T is small, and ET values are close to zero. Therefore, after removal of the nighttime data, the errors of the gap-filled nighttime values based on the neighboring good data are small. Besides, λE_T values of nighttime accounted a very small proportion to the daily ET.

Thirdly, the ratios of the missing and rejected data points are not so high. For example, Falge et al. (2001) have reported that during quality control procedure of 28 flux sites, there was an average of 31% missing or rejected values of λE_T values. Wever et al. (2002) reported that there was 15% missing or rejected values of λE_T values during the quality control procedure. Mauder et al. (2006) have reported that there was an average of 20% missing or rejected values of λE_T values by 20 flux sites. Therefore, the ratio of rejected and missing half-hourly data in each period was reasonable and the dataset of λE_T after quality control procedure is reliable.

We added the above reasons in the text, please see lines 188-197 in the revised manuscript.

References:

Falge, E., Baldocchi, D., Olson, R., Anthoni, P., Aubinet, M., Bernhofer, C., Burba, G., Ceulemans, R., Clement, R., Dolman, H., Granier, A., Gross, P., Grunwald, T., Hollinger, D., Jensen, N. O., Katul, G., Keronen, P., Kowalski, A., Lai, C. T., Law, B. E., Meyers, T., Moncrieff, H., Moors, E., Munger, J. W., Pilegaard, K., Rannik, U., Rebmann, C., Suyker, A., Tenhunen, J., Tu, K., Verma, S., Vesala, T., Wilson, K. and Wofsy, S.: Gap filling strategies for long term energy flux data sets. *Agricultural and Forest Meteorology*, 107, 71-77, 2001.

Mauder, M., Liebethal, C., Göckede, M., Leps, J. P., Beyrich, F., Foken, T.: Processing and quality

control of flux data during LITFASS-2003. *Boundary-Layer Meteorology*, 121(1), 67-88, 2006.
Wever, L. A., Flanagan, L. B., & Carlson, P. J. (2002). Seasonal and interannual variation in evapotranspiration, energy balance and surface conductance in a northern temperate grassland. *Agricultural and Forest Meteorology*, 112(1), 31-49.

5. L208-211: It would be clearer if these lines can be presented as individual formulae (i.e. in the format of L219). Also, according to L205, the 'n' in 'R_n' should be subscripted - please also check that the use of other symbols is consistent throughout the text.

Answer: After considering your comment, we thought it might be better to change these lines from several formulae to the following form: “ $f = a * (R_n - G)^2 + b * (R_n - G) + c$ (Period I: $a = 0.0014$, $b = 0.075$, $c = 10.69$, $R = 0.77$; Period II: $a = 0.0012$, $b = 0.056$, $c = 17.69$, $R = 0.67$; Period III: $a = 0.0014$, $b = 0.16$, $c = 13.24$, $R = 0.75$; Period IV: $a = 0.0015$, $b = -0.083$, $c = 25.87$, $R = 0.69$)”, please see lines 206-209 in the revised manuscript.

In addition, we revised the R_n and checked the use of other symbols throughout the text.

6. L246: 'psychrometric constant' - what is the value of the constant?

Answer: We added the equation of psychrometric constant (Eq.6 in the revised manuscript), please see lines 252-255 for detailed information.

7. L248: 'U₂' - where is it in Equation (5)?

Answer: U₂ is used to calculate the aerodynamic resistance (r_a, Eq.7 in the manuscript). We moved the equation of calculating U₂ from Eq.6 to Eq.8 for better understand, please see lines 259-262 for detailed information.

8. L337: 'D_s' - not defined as in Minor comment #1. Also, how are the data of D_s obtained? I couldn't seem to find it in Section 2.2.2 (other measurements).

Answer: Thank you for your kind remind. We added the information of measurement that obtained D_s in the section of “other measurements” with the following sentence. “Sunshine duration (D_s) is measured by a sunshine recorder (CSD3; KIPP&ZONEN, Delft, the Netherlands).”

9. L337: 'normal' - I think 'average monthly' would be a better description here.

Answer: We revised it.

10. L347: Figure 4 has not been introduced in the text yet, should it be mentioned somewhere between L336-337?

Answer: Yes, we added the sentences of “Four-year and long-term (1954-2014) average monthly values of D_s, T_a, R_H, and P are shown in Fig.4.” in the section 4.2, please see lines 356-358 in the revised manuscript.

11. L380: 'relationships' - 'correlations' would be a more accurate description.

Answer: Thank you for your suggestion. We revised it, please see line 403 in the revised manuscript.

12. L389-390: the r^2 only investigates linear relationships - are you expecting any non-linear relationships which are not covered here and would this be a limitation? This can be briefly discussed.

Answer: We already used several common functions (e.g., exponential function, linear function, logarithmic function and quadratic function) to fit the correlations between ET and its controlling factors (E_{TP} , NDVI and f_s). The values of determination coefficient (R^2) are listed in the following Tab. 1.

According to the results that showed in the following Tab.1, R^2 of the linear function is generally the highest. Therefore, we chose the linear function to fit the correlations between ET and its three influencing factors in our study.

We added the above information in the section 3.3 (Statistical analysis), please see lines 321-326 in the revised manuscript.

Table 1. The determination coefficient (R^2) of the correlations between ET and the three controlling factors.

	ET and E_{TP}	ET and NDVI	ET and f_s
Exponential function	0.46	0.52	0.27
Linear function	0.46	0.52	0.28
Logarithmic function	0.43	0.51	0.19
Quadratic function	0.45	0.51	0.28

13. L464: The term 'BSC' has already been defined in L68.

Answer: We deleted the definition here.

14. L565: It should be worth highlighting some significance and contributions of this study towards the end of conclusion.

Answer: We highlighted the significance of our study at the end of conclusion with the following sentences:

“Furthermore, our results suggest that when we simulate the impact of land use/cover change on hydrological processes, vegetation factor might not be the unique factor to parameterize, instead, the integrated effects of land surface and vegetation conditions should be considered. Our study also provides a scientific reference to the regional sustainable management of water resources in the context of intensive agricultural reclamation.”, please see lines 566-571 in the revised manuscript.

15. Fig. 6: I don't think the use of different shapes is necessary given that you are using multiple panels?

Answer: We revised Fig.6.

16. L884 (title of Fig. 6): ‘r: Pearson’s correlation significance’ should r be ‘Pearson’s correlation coefficient’ instead?

Answer: Yes, we revised it in the title of Fig.6, please see line 944 in the revised manuscript.

1 **Manuscript Title**

2 Monitoring the variations of evapotranspiration due to ~~the~~ land use/cover changes in a
3 semiarid shrubland

4 Tingting Gong, Huimin Lei, Dawen Yang, Yang Jiao, Hanbo Yang

5 State Key Laboratory of Hydrosience and Engineering, Department of Hydraulic
6 Engineering, Tsinghua University, Beijing, 100084, China

7 **Correspondence to:** Huimin Lei (leihm@tsinghua.edu.cn)

8

9 **Abstract**

10 Evapotranspiration (E_T) is an important process in the hydrological cycle, and
11 vegetation change is a primary factor that affects E_T . In this study, ~~an attempt is made~~
12 ~~to~~ we analyzed the annual and inter-annual characteristics of E_T using continuous
13 observation data from eddy-covariance (EC) measurements over four ~~periods~~ years (1st
14 1 July 2011 to ~~30th~~ 30 June 2015) ~~at in~~ a study site located in a semiarid shrubland (of
15 ~~the~~ Mu Us Sandy Land) of China. ~~The Normalized~~ normalized difference
16 vegetation index (NDVI) was demonstrated as as the predominant factor that influences
17 the seasonal variations in E_T . ~~Normalization method was adopted to exclude the effects~~
18 ~~of potential evapotranspiration (E_{TP}) and soil water stress (f_s) on E_T . Vegetation~~
19 ~~phenological process was validated to have a remarkable positive effect on~~
20 Normalized E_T in a rate of 1.86 (the slope of normalized E_T per NDVI) increased
21 remarkably along with vegetation greening. Additionally, At the annual scale, along
22 with Both on during the the land degradation ~~process~~ and vegetation rehabilitation

23 processes, ~~both~~ E_T and normalized E_T ~~both~~ increased ~~as a result of~~ due to ~~an~~ the
24 ~~integrated effects~~ of the changes in vegetation types, topography, and soil surface
25 ~~characteristics~~. ~~We discussed several possibilities that might lead to the increase. Our~~
26 ~~study suggested that when we simulated the impact of land use/cover change on~~
27 ~~hydrological processes, the integrated effects of land surface and vegetation conditions~~
28 ~~should be considered~~. This study could improve our understanding of the effects of land
29 ~~use/cover changes on E_T in the fragile ecosystems of semiarid regions and provide a~~
30 ~~scientific reference for the sustainable management of regional land and water~~
31 ~~resources~~ Our work may promote our knowledge ~~improve our understanding about the~~
32 ~~characteristics of ET of the mix land use/cover condition (sparse shrubland and~~
33 ~~grassland) in the fragile ecosystems of Mu Us Sandland semiarid regions. —~~
34 **Key words:** evapotranspiration; ~~normalized difference vegetation index~~ ~~vegetation~~
35 ~~phenology~~; land use/cover change; eddy covariance; ~~Mu Us Sandland~~ ~~semiarid~~
36 ~~climate~~ ~~region~~

37 1 Introduction

38 Arid and semiarid biomes cover ~~approximately~~about 40% of the Earth's terrestrial
39 surface (Fernández, 2002). Previous studies have shown that more than 50% of
40 precipitation (P) is consumed by evapotranspiration (E_T) (Yang et al., 2007; Liu et al.,
41 2002). ~~Moreover, a slight change in E_T could have significant influences on water~~
42 ~~cycle and that~~ the ratio of E_T/P could increase to even 90% or more ~~in semiarid and~~
43 ~~arid areas~~in these regions (Mo et al., 2004; Glenn et al., 2007). ~~Therefore, a slight~~
44 ~~change in E_T would have significant influences on water cycle in arid and semiarid~~
45 ~~regions.~~ In terms of physical processes, E_T is affected by not only net climatological
46 factors (e.g., direct solar radiation, air temperature, vapor pressure deficit and wind
47 speed) but also vegetation conditions. For example, direct solar radiation and the
48 ambient air temperature provide the energy to make E_T happen (Valipour et al., 2015).
49 Water vapor pressure deficit is the driving force to remove water vapor from the
50 evaporating surface to the surrounding atmosphere (Zhang et al., 2014). ~~Besides, the~~
51 replacement of the saturated air with drier air depends greatly on wind speed (Falamarzi
52 et al., 2014), and soil moisture stress (Allen et al., 1998). ~~Besides~~Moreover, ~~For another~~
53 side, vegetation condition parameter is also a crucial factor influencing E_T . ~~E_T~~
54 dynamics differ under different vegetation conditions (Tian et al., 2015; Wang et al.,
55 2011; Piao et al., 2006; Mackay et al., 2007). ~~E_T is not only affected by climatic factors~~
56 ~~(e.g., radiation, temperature, and relative humidity), but also affected by vegetation~~
57 ~~conditions~~ (Tian et al., 2015; Wang et al., 2011; Piao et al., 2006; Mackay et al., 2007).
58 As such, there has been an important push to understand how E_T responds to vegetation

59 ~~conditions in these regions.~~

60 Vegetation change mainly ~~integrates-include the~~ phenological change (temporal)
61 and land use/cover change (spatial). ~~The p~~Phenological change reflect~~ss~~ the response
62 of plants to climate change (vegetation greening and browning process~~es~~) (Ge et al.,
63 2015), which actively controls E_T ~~process~~ through internal physiolog~~iesy~~ such as
64 stomatal conductance (Percy et al., 1989) ~~and, as well as stomatal-the~~ numbers and
65 sizes of stomata (Turrell, 1947). In general, transpiration is ~~in-directly~~ proportional to
66 stomatal conductance at ~~the the-leaf-level~~ scale (Leuning et al., 1995). ~~Meanwhile, a~~ At
67 the canopy scale, E_T is positively proportional to surface conductance, ~~that-which~~ is an
68 integration of stomatal conductance and leaf area (Ding et al., 2014). Thus, as a good
69 indicator of vegetation phenological change, many studies have found that E_T ~~was-is~~
70 positively related to vegetation index~~es~~ such as Normalized difference vegetation index
71 (NDVI) (Gu et al., 2007). Land use/cover change influences E_T by ~~means-of~~ modifying
72 vegetation species ~~with-~~ with different transpiration rates, radiation transfers within
73 canopy (Martens et al., 2000; Panferov et al., 2001), topography (Lv et al., 2006),
74 albedos (Zeng et al., 2009), soil texture (Maayar and Chen, 2006), litter coverage (Wang,
75 1992), and biological soil crusts (BSCs) (Yang et al., 2015, Fu et al., 2010; Liu, 2012;
76 Eldridge and Greene, 1994). These complex processes result in no consensus about the
77 effects of land use/cover changes on E_T . For example, during the land degradation
78 process, some researchers found that warming air temperature ~~increase~~ was the
79 ~~dominant-main~~ cause to make E_T increase (Zeng and Yang, 2008; Li et al., 2000;
80 Deffema and Freire, 2001). ~~In-contrary~~ By contrast, a decline in E_T was ~~-~~ found ~~to~~

81 ~~decrease~~ along with deforestation process because of ~~less-less~~ transpiration (Snyman,
82 2001; Souza and Oyama, 2011) or higher albedos (Zeng et al., 2002). Moreover, no
83 changes in differences of E_T during land degradation ~~was-were~~ also reported (Hoshino
84 et al., 2009). ~~Therefore, the impacts of land use/cover changes on E_T still deserve further~~
85 ~~investigations. As such~~ Thus, there has been an important push to better understand how
86 E_T responds to vegetation condition change, especially to the land use/cover changes in
87 these regions.

88 Three methods were usually employed to assess the impacts of land
89 use/cover/vegetation change on E_T : numerical models, paired comparative approaches
90 and the in situ field observations. In these methods, numerical models are widely
91 used (Twine et al., 2003; Feddema et al., 2005; Kim et al., 2005; Li et al., 2009;
92 Cornelissen et al., 2013; Mo et al., 2004). However, model parameterization of
93 vegetation condition is a big challenge, as the aforementioned complex underlying
94 mechanisms mentioned above may cannot be completely considered in the models.
95 Therefore, the simulated impact effects of land use/cover/vegetation change on E_T is are
96 highly dependent on the model parameterizations, which may lead to and there might
97 be large induce uncertainty with the resulting conclusions may be doubtful
98 (Cornelissen et al., 2013; Li et al., 2009). Paired comparative approach is –often
99 considered as the best method, nonetheless, but it is difficult to find two similar medium
100 and large sized sites with similar meteorological conditions but different land
101 use/cover/vegetation conditions (Li et al., 2009; Lorup et al., 1998). Moreover, the
102 method of in situ field observations is also a widely used method for to investigate

103 ~~long-term land-atmosphere exchange measurements~~. However, the land use/cover
104 ~~conditions at the sites are usually~~generally stable, and only the responses of E_T to
105 ~~vegetation phenological changes can be studied~~observed. For example, ~~such as the~~
106 ~~characteristics of E_T variations under~~in grassland (Zhang et al., 2005), mixed plantation
107 (cork oak, black locust and arborvitae) (Tong et al., 2014), vineyard (Li et al., 2015)
108 and grazed steppe (Chen et al., 2009; Vetter et al., 2012). ~~However, To our knowledge,~~
109 ~~there is little learned of E_T dynamics under native~~semiarid sparse shrubland ~~are less~~
110 ~~well understood~~ and continuous field observations under both land degradation and
111 ~~vegetation rehabilitation condition~~processes have rarely been documented, especially
112 ~~in the semiarid shrubland, are little~~not documented.

113 The Mu Us Sandy ~~land~~Land is a semiarid shrubland ecosystem ~~at on~~ the northern
114 margin of the Loess Plateau in China. ~~The area covering covers~~ an area of only 40,000
115 km² (Dong and Zhang, 2001). ~~The region and~~ is ecologically fragile (Yang et al., 2007).
116 ~~Shortage of water is the critical limiting factor on vegetation, and drought enduring~~
117 ~~vegetation are prevailed as a result of common droughts (Wang et al., 2002; Wu, 2006).~~
118 ~~There are at least 117 shrub and semi-shrub species have been found within the Mu Us~~
119 ~~Sandland (Dong and Zhang, 2001).~~ In such arid and semiarid ~~an~~ ecosystem, sand dunes
120 and ~~biological soil crusts (BSCs)~~ are commonly observed (Gao et al., 2014; Yang et al.,
121 2015; Li and Li, 2000; Liu, 2012). Due to the ~~exists~~existence of BSCs (~~Yang et al.,~~
122 ~~2015; Fu et al., 2010; Liu, 2012)~~ and dry sand layers (Wang et al., 2006; Feng, 1994;
123 Liu et al., 2006; Yuan et al., 2007), soil evaporation ~~have~~has been effectively retained,
124 therefore, the Mu Us ~~Sandy Land Sandland holds~~contains abundant groundwater (Li

125 and Li, 2000). During the past decades, rapid land use/cover changes ~~has~~ have taken
126 place ~~occurred~~ in this region due to agricultural reclamation (~~Wu et al., 1997;~~ Wu and
127 Ci, 2002; Wang et al., 2009; Ostwald and Chen, 2006; Zhang et al., 2007), leading to a
128 dramatic changes s in vegetation conditions s. With respect to the specific question of
129 whether land use/cover changes will lead to increases in E_T or not, a continuous
130 measurement of E_T under different land use/cover conditions is ~~needed~~ required in this
131 region. ~~Our study site is at the edge of the Mu US Sandland. Coincidentally, two~~
132 processes of land use/cover changes (land degradation and vegetation rehabilitation)
133 has ~~ve occurred at our study site located at this site~~ the edge of the Mu Us Sandy Land;
134 with long term observations, ~~which provides~~ ing us a unique opportunity to study the
135 effects of land use/cover change on E_T .

136 ~~Three methods were usually employed to assess the impacts of land use/cover~~
137 ~~change on E_T : numerical models, paired comparative approaches and the in-situ filed~~
138 ~~observations. In these methods, numerical models are widely used (Twine et al., 2003;~~
139 ~~Feddema et al., 2005; Kim et al., 2005; Li et al., 2009; Cornelissen et al., 2013; Mo et~~
140 ~~al., 2004). However, model parameterization of vegetation condition is a big challenge~~
141 ~~as the complex underlying mechanisms mentioned above cannot be completely~~
142 ~~considered in the models. Therefore, the simulated impacts of land use/cover change~~
143 ~~on E_T is highly dependent on the model parameterizations, and the resulting conclusions~~
144 ~~may be doubtful (Cornelissen et al., 2013; Li et al., 2009). Paired comparative approach~~
145 ~~is often considered as the best method, but it is difficult to find two similar medium and~~
146 ~~large sized sites with different land use/cover conditions (Li et al., 2009; Lorup et al.,~~

147 ~~1998). In situ observation is also a widely used method for long-term land-atmosphere~~
148 ~~exchange measurements. However, the land use/cover conditions at the sites are usually~~
149 ~~stable, and only the responses of E_T to vegetation phenology change can be studied. For~~
150 ~~example, the characteristics of E_T under grassland (Zhang et al., 2005), mixed~~
151 ~~plantation (cork oak, black locust and arborvitae) (Tong et al., 2014), vineyard (Li et~~
152 ~~al., 2015) and grazed steppe (Chen et al., 2009; Vetter et al., 2012). To our knowledge,~~
153 ~~there is little learned of E_T under native sparse shrubland and continuous field~~
154 ~~observations under land degradation and vegetation rehabilitation conditions are not~~
155 ~~documented.~~

156 Hence, Our study site is at the edge of the Mu US Sandland. Coincidentally, land
157 degradation and vegetation rehabilitation has occurred at this site, which provides us a
158 unique opportunity to study the effects of land use/cover change on E_T . Based Our study
159 site is at the edge of the Mu US Sandland. Coincidentally, land degradation and
160 vegetation rehabilitation has occurred at this site, which provides us a unique
161 opportunity to study the effects of land use/cover change on E_T . based on the four-4-
162 year measurements of E_T by eddy covariance techniques, this study analyzed the
163 seasonal and inter-annual variations of E_T , and discussed the possible reasons for the
164 responses of E_T to land use/cover changes. Our analysis results were expected to
165 provide a scientific reference for the sustainable management of regional land and
166 water resources management in the context of intensive agricultural reclamation.

167 On the basis of understanding the impacts of land use/cover change by human
168 activity on E_T , more effective approaches should be implemented to maintain the water

169 ~~balance and sustainable regional development.~~

170

171 ~~2 Materials and methods~~Case study and data

172 ~~2.1~~ Site description

173 The study was ~~carried out~~conducted at ~~the~~ Yulin flux site (N 38°26′; E 109°47′;
174 1233 m), which was established in June 2011. ~~and This site is~~ located in a landform
175 transition zone that changes from ~~the~~ Mu Us ~~Sandy Land~~Sandy land to ~~the~~ north
176 Shaanxi Loess Plateau (Fig. ~~_~~1). The study site is in a temperate continental semiarid
177 ~~continental temperate~~ monsoon climate. According to ~~the~~ long-term climate data (1951-
178 2012) from a meteorological station in Yulin (Fig. ~~_~~1), the annual precipitation ~~varies~~
179 varied from 235 mm to 685 mm, with a mean of 402 mm, and more than 50% of annual
180 precipitation ~~is falling~~fallen in the monsoon season (July-September). The mean annual
181 air temperature ~~is was~~ 8.4 °C ~~during over~~ the past 61 years. The dominant soil type is
182 sand (98% sand) (saturated soil water content: 0.43 m³m⁻³, field capacity: 0.16 m³m⁻³,
183 residual moisture content: 0.045 m³m⁻³). There are widely distributed fixed sand dunes
184 and semi-fixed sand dunes around the site, and the depth of ~~the~~ dry sand layer is 10 cm
185 (Wang et al., 2006). The mean groundwater depth ~~of at~~ our study site from ~~1st~~1 July
186 2011 to ~~30th~~30 June 2015 was 3.5 m.

187 [Figure 1 is to be inserted here]

188 ~~Shortage of water is the critical limiting factor for vegetation in arid and~~
189 ~~semiarid~~this regionsites, and drought-enduring vegetation (e.g., shrubs) ~~are~~is prevailed
190 as a result of droughts (Wang et al., 2002; Wu, 2006). ~~There are at least 117 shrub and~~
191 ~~semi-shrub species have been found within the Mu Us Sandland (Dong and Zhang,~~

2001). ~~The experimental~~ The study site is mainly covered with mixed vegetation, ~~one~~
2002 ~~kind of vegetation is:~~ the native drought-enduring shrubs with low water ~~demands~~
2003 ~~demand such as (e.g.,~~ *Artemisia ordosica* and *Salix psammophila*) (Fig. 2a); ~~the other~~
2004 ~~kind is and~~ the sparse grassland (~~that~~ mainly distributed at the bottom of sand dunes
2005 because of the better soil moisture condition) (Lv et al., 2006). The maximum root depth
2006 of the shrubs was approximately 160 cm ~~They constitute the dominant vegetation in Mu~~
2007 ~~Us Sandland (An et al., 2011) and are adapted well to semiarid and arid sites. According~~
2008 ~~to our observations around the flux tower on 14th June 2011, the maximum root depth~~
2009 ~~of the shrubs was approximately 160 cm.~~ Xiao et al. (2005) reported that the growing
2010 season of *Artemisia ordosica* and *Salix psammophila* spanned from late April to late
2011 September. Therefore, we defined the period from 1st-1 May to 30th-30 September as
2012 the vegetation growing season for data analysis in this study. On 15th-15 August 2011
2013 and 7th-7 September 2011, we did surveys ~~about of~~ the vegetation coverage ~~with by~~
2014 randomly ~~selected selecting~~ 7-seven samples around the flux tower (5 × 500 cm × 500
2015 cm and 2 × 1000 cm × 1000 cm), ~~and~~ We found that the vegetation coverage was
2016 28.2% in August and 27.9%, ~~respectively. in September.~~

2017 [Figure 2 is to be inserted here]

2018 At the end of June 2012, the land use/cover condition around the eastern area
2019 portion of the flux tower began to be changed by farmers (~~the natural vegetation~~
2020 ~~including the~~ leaves and branches ~~was were~~ cut-off, and the sand dunes were bulldozed)
2021 (Fig. 2c), converting part of the natural vegetated land to bare soiland, with the
2022 planning of planting potatoes in the future. As time ~~goes went~~ on, natural grass
2023

214 ~~gradually~~ grew out ~~gradually~~ in the area of bare land before ~~planting~~ potatoes were
215 planted. ~~Thus~~ Thus, our study period (1 July 2011 to 30 June 2015) ~~can be~~ was divided
216 into four periods according to the land use/cover conditions: (a) Period I (~~1st~~ 1 July 2011
217 to ~~30th~~ 30 June 2012), the period with ~~was~~ the natural land use/cover condition (i.e.,
218 mixed sparsely distributed shrubs and grass) (Fig. 2a and Fig. 2b); (b) Period II (~~1st~~ 1
219 July 2012 to ~~30th~~ 30 June 2013), ~~the~~ was ~~the~~ transitional period when with ~~the~~ land
220 use/cover condition starting started to change ~~with~~ (partial some natural vegetation
221 ~~being cut off removed~~ and sand dunes ~~being~~ bulldozed); (c) Period III (1st July 2013 to
222 30th June 2014), ~~was~~ the period when the land use/cover condition constituted two parts;
223 ~~one was~~ the natural vegetation zone and ~~the other was~~ the bare soil zone (Fig. 2c); and
224 (d) Period IV (1st July 2014 to 30th June 2015), ~~was~~ the period when the bare soil zone
225 ~~was~~ gradually covered by re-growing ing grass (Fig. 2d).

226

227 2.2 Field Measurements measurements

228 2.2.1 Eddy covariance system measurements

229 Net exchange of water vapor between atmosphere and canopy at this site is
230 measured by the eddy- covariance (EC) flux measurements, which assess the fluxes of
231 land-atmosphere (such as water and energy) (Baldocchi et al., 2001). The data are
232 essential for the estimation of the water and energy balance (Franssen et al., 2010). At
233 our site, the EC system is installed at a height of 7.53 m above the ground surface, using
234 CSAT3 three-dimensional sonic anemometers (Campbell Scientific Inc., Logan, UT,
235 USA) for wind and temperature fluctuations measurements and a LI-7500A open-path
236 infrared gas analyzer (LI-COR, Inc., Lincoln, NE, USA) for water vapor content

237 measurement.

238 2.2.2 Other measurements

239 Net radiation (R_n) is measured by a net radiometer (CNR-4; KIPP&ZONEN, Delft,
240 the Netherlands), including four radiometers measuring the incoming and reflected
241 short-wave radiation (R_S), and incoming and outgoing long-wave radiation (R_L).
242 Sunshine duration (D_S) is measured by a sunshine recorder (CSD3; KIPP&ZONEN,
243 Delft, the Netherlands). Wind speed and direction (05103, Young Co. Traverse City,
244 MI, USA) are measured at 10 m above the ground surface. Precipitation (P , mm) is
245 recorded with a tipping bucket rain gauge (TE525MM; Campbell Scientific Inc., Logan,
246 UT, USA) installed at a height of 0.7 m above the ground surface. Air temperature (T_a)
247 and relative humidity (R_H) are measured by a temperature and relative humidity
248 probe (HMP45C; Campbell Scientific Inc., Logan, UT, USA) at a height of 2.6 m above
249 the ground surface. Soil water content (θ) is measured by Time Domain Reflectometry
250 (TDR) sensors (CS616; Campbell Scientific Inc., Logan, UT, USA), soil temperature
251 (T_s) is measured by thermocouples (109; Campbell Scientific Inc., Logan, UT, USA),
252 and soil heat flux (G) is measured by heat flux plates (HFP01SC; Campbell Scientific
253 Inc., Logan, UT, USA) at a depth of 0.03 m below the ground surface. These ground
254 variables (G , θ , T_s) are measured beneath the surface at two profiles ~~(1)~~: a plant
255 canopy patch-profile and ~~(2)~~ a bare soil patch-profile. θ and T_s are measured at
256 depths of 5, 10, 20, 40, 60, 80, 120 and 160 cm below the ground surface. Groundwater
257 table is measured by an automatic sensor (CS450-L; Campbell Scientific Inc., Logan,
258 UT, USA), which is installed in a groundwater well close to the tower.

259

260 2.3 2.3-Flux data processing

261 10 Hz 3-dimensional wind speed and water vapor concentrations that collected by
262 EC technique were processed to half-hourly ~~average~~ latent heat flux (λE_T) using
263 Eddypro processing software (v5.2.0, LI-COR, Lincoln, NE USA). The main principle
264 is that λE_T the flux can be expressed as [...] $\overline{\rho_a w' q'}$ (where w' is the fluctuation
265 of vertical wind speed, q' is the fluctuation of specific humidity and ρ_a is the air
266 density). The software also ~~which applied the the most recent methods for flux~~
267 ~~corrections, conversions and quality control of data, including: spike removal, tilt~~
268 ~~correction, time lag compensation, turbulent fluctuation blocking and spectral~~
269 ~~corrections were contained in this software. The quality control was performed on the~~
270 ~~half-hourly output files, and calculated λE_T was flagged as 0 (excellent quality), 1~~
271 ~~(good quality) and 2 (bad quality, removed and need to be gap filled), respectively. The~~
272 ~~basic principle of the technique is that flux is calculated ??????. The software provides~~
273 ~~almost all the essential correction procedures including (1) detection and elimination of~~
274 ~~spikes; (2) tilt correction; (3) sensor separation correction; (4) density fluctuation~~
275 ~~correction (Webb et al., 1980). The calculated half hourly flux datasets were further~~
276 ~~filtered for the remaining spikes, instrument malfunctions, and poor quality, according~~
277 ~~to the following criteria (Papale et al., 2006): (1) incomplete half-hourly measurement,~~
278 ~~mainly caused by power failure or instrument malfunction; (2) rainy events; and (3)~~
279 ~~outliers caused by occasional spikes for unknown reasons. The ratiospercentages of~~
280 ~~half-hourly λE_T values rejectedremoved (including missing and rejected) through the~~
281 ~~quality control procedure are~~ were 17.3% in Period I, 20.2% in Period II, 16.5% in

282 ~~Period III, and 18.6% in Period IV.; and Almost all missing and the rejected removed~~
283 ~~λE_T values occurred during the nighttime (89.1% in Period I, 91.3% in Period II, 92.6%~~
284 ~~in Period III, and 88.7% in Period IV). During the nighttime, the change in λE_T was~~
285 ~~small, and E_T values were close to zero.; and besides, λ Therefore, after removal of~~
286 ~~the nighttime λE_T values, the errors of the gap-filled nighttime values based on the~~
287 ~~neighboring good data were small. Besides, nighttime λE_T values accounted for only~~
288 ~~a small proportion of the daily E_T . Furthermore, The ratios percentages of rejected and~~
289 ~~missing data in our study are close to other scholars' results. The reported percentage~~
290 ~~was summarized in a range of 15%~31% (For example, Falge et al. (, 2001; Wever et~~
291 ~~al., 2002; Mauder et al., 2006) have reported that during quality control procedure, there~~
292 ~~was an average of 31% missing or rejected values of λE_T values by 28 flux sites.~~
293 ~~Wever et al. (2002) reported that there was 15% missing or rejected values of λE_T~~
294 ~~values during the quality control procedure. Mauder et al. (2006) have reported that~~
295 ~~there was an average of 20% missing or rejected values of λE_T values by 20 flux sites.~~
296 ~~In addition, λE_T values during nighttime changed steady and close to zero, coupling~~
297 ~~with the fact that they accounted a very small proportion throughout whole day.~~
298 ~~Therefore, the dataset of λE_T after quality control procedure was isconsidered~~
299 ~~reliable to use.~~

300 ~~After quality control, missing and rejected data were gap-filled in order to create~~
301 ~~continuous datasets. Three methods were applied in the gap-filling procedure: (1) Daily~~
302 ~~averaged flux data were calculated by firstly gap-filled half-hourly data. Linear~~
303 ~~interpolation was used to fill gaps of less than 1-h by calculating an average of the~~

304 ~~values before and after the data gap-; (2) for Larger gaps (gaps that morelarger than 1-~~
305 ~~h but lesssmaller than 7 -days) in flux data, the were replaced by average values using~~
306 ~~mean diurnal variation (MDV) methods (Falge et al. 2001) was used. This method is~~
307 ~~adopted by FLUXNET for standardized gap-filling; (3) .We found that the daily λE_T~~
308 ~~had the best correlation with daily available energy ($R_n - G$) rather than other~~
309 ~~environmental variables such as vapor pressure deficit (VPD) and NDVI. Therefore,~~
310 ~~for some large gaps that largermore than 7 -days andbut lesssmaller than 15 days in~~
311 ~~daily λE_T , we fitted the relationship between daily λE_T and the daily available energy~~
312 ~~flux ($R_n - G$) in each period. We chose the function f with the highest coefficient of~~
313 ~~correlation (R) in each period (Yan et al., 2013);, and the function was expressed as~~
314 ~~$f = a * (R_n - G)^2 + b * (R_n - G) + c$ (Period I: $a = 0.0014$, $b = 0.075$, $c = 10.69$, R~~
315 ~~$= 0.77$; Period II: $a = 0.0012$, $b = 0.056$, $c = 17.69$, $R = 0.67$; Period III: $a = 0.0014$, b~~
316 ~~$= 0.16$, $c = 13.24$, $R = 0.75$; and Period IV: $a = 0.0015$, $b = -0.083$, $c = 25.87$, $R = 0.69$).~~
317 ~~Then, we used the fitted function f in each period to estimate the daily λE_T values~~
318 ~~of large gaps. In addition, We chose the function f with the highest coefficient of~~
319 ~~correlation (R) in each period (Yan et al., 2013);. The function f of each period was~~
320 ~~showed in Tab.1. Large gaps of more than 7-days but less than 15 days did mostly~~
321 ~~occur appeared in the winter, which accounted for a small proportion of annual λE_T .~~

322 [Table 1 is to be inserted here]

323

324 2.3 Data and methodology3 Methodology

325 2.3.1 Flux data processing

326 The half hourly latent heat flux (λET) data were calculated by EddyPro software

327 (www.licor.com/eddypro) based on the raw data collected from the EC technique, and
328 it is widely used because it is comprehensive, freely available and use friendly (Fratini
329 et al., 2014). The calculated half hourly flux datasets were filtered for spikes,
330 instrument malfunctions, and poor quality, according to the following criteria (Papale
331 et al., 2006): (1) incomplete half hourly measurement, mainly caused by power failure
332 or instrument malfunction; (2) rainy events; and (3) outliers caused by occasional spikes
333 for unknown reasons. The ratios of data removed through this procedure are 17.3% in
334 Period I, 20.2% in Period II, 16.5% in Period III and 18.6% in Period IV.

335 Daily averaged flux data were calculated by firstly gap filled half hourly data.
336 Linear interpolation was used to fill gaps less than 1 h by calculating an average of the
337 values immediately before and after the data gap. Larger gaps (gaps more than 1 h but
338 less than 7 days) in flux data were replaced by average values using mean diurnal
339 variation (MDV) methods (Falge et al. 2001). This method is adopted by FLUXNET
340 for standardized gap filling. We found that the daily λE_T had the best correlation with
341 daily available energy ($R_n - G$) rather than other environmental variables such as vapor
342 pressure deficit (VPD) and NDVI. Therefore, for some large gaps more than 7 days and
343 less than 15 days in daily λE_T , we fitted the relationship between daily λE_T and daily
344 available energy flux ($R_n - G$) in each period. Then we used the fitted function f to
345 estimate the daily λE_T of gaps. We chose the function f with the highest coefficient
346 of correlation (R) in each period (Yan et al., 2013). The function f of each period was
347 Large gaps of more than 7 days did occur in the winter.

348 $\lambda = 0.0014 (R_n - G)^2 + 0.0746 (R_n - G) + 10.69$ (Period I, $R = 0.77$), $\lambda E_T =$

349 $0.0012(Rn-G)^2 + 0.0559(Rn-G) + 17.69$ (Period II, $R = 0.67$) $\lambda E_T =$

350 $0.0014(Rn-G)^2 + 0.16(Rn-G) + 13.244$ (Period III, $R = 0.75$) $\lambda E_T =$

351 $0.0015(Rn-G)^2 - 0.0834(Rn-G) + 25.868$ (Period IV, $R = 0.69$), respectively.

352 ~~Large gaps of more than 7 days did occur in the winter.~~

Period	Formula	R
I	$\lambda E_T = 0.0014 (Rn-G)^2 + 0.0746 (Rn-G) + 10.69$	0.77
II	$\lambda E_T = 0.0012(Rn-G)^2 + 0.0559(Rn-G) + 17.69$	0.67
III	$\lambda E_T = 0.0014(Rn-G)^2 + 0.16(Rn-G) + 13.244$	0.75
III	$\lambda E_T = 0.0015(Rn-G)^2 - 0.0834(Rn-G) + 25.868$	0.69

353

354

355 ~~2.3.2 Footprint model~~ 3.1 Footprint model

356 In order to determine the contributing source area of flux at our site, scalar flux

357 footprint model proposed by Heish et al. (2000) was used. The analytic model

358 accurately ~~described~~ describes the relationship between the footprint, observation

359 height, surface roughness, and atmospheric stability. The ~~footprint~~ fetch F_f ~~is was~~

360 calculated by as follows:-

$$361 \quad F_f/Z_m = D/(0.105 \times k^2) Z_m^{-1} |L|^{1-Q} Z_u^Q \quad (1)$$

362 where k is the von Karman constant ($=0.40$), D and Q are ~~the~~ similarity constants

363 (for stable conditions: $D = 0.28$, $Q = 0.59$; for near neutral and neutral conditions: $D =$

364 0.97 , $Q = 1$; for unstable conditions: $D = 2.44$, $Q = 1.33$), L is the Obukhov Length, Z_m

365 is the height of wind instrument (~~$=7.53$~~ 10.0 m), Z_u is defined as (Heish-Hsieh et al.,

366 ~~2000~~);):

367 $Z_u = Z_m(\ln(Z_m/Z_0) - 1 + Z_m/Z_0)$ (2)

368 where Z_0 is the height of momentum roughness (0.05 m).

369

370 ~~2.3.3 Methods of analyzing controlling factors on E_T~~ 3.2 Method of analyzing

371 controlling factors on E_T ~~E_T~~

372 It is generally recognized that potential evapotranspiration (E_{TP}), vegetation
 373 condition and soil water content are the three main factors ~~controlling that control~~ E_T
 374 (Lettenmaier and Famiglietti, 2006; Chen et al., 2014). In order to decouple the effect
 375 of vegetation change from the integrated effects of these three factors on E_T , we used a
 376 simple equation which ~~is was~~ similar ~~with to~~ the FAO single crop coefficient method
 377 (Irrigation and Drainage Paper No. 56 (FAO-56)). ~~and This equation is can be~~ expressed
 378 as follows:

379 $E_T = E_{TP} \times f_v(\text{vegetation}) \times f_s(\text{soil water})$ (3)

380 where $f_v(\text{vegetation})$ represents the effect of vegetation change on E_T , and
 381 $f_s(\text{soil water})$ represents the effect of soil water content on E_T .

382 ~~Moreover, By transforming the Eq.3,~~ $f_v(\text{vegetation})$ can be regarded as the
 383 normalized E_T , which eliminates the effects of atmospheric and soil water content on
 384 E_T and can be expressed as by rearranging Eq. 3,

385 $f_v(\text{vegetation}) = E_T/[E_{TP} \times f_s(\text{soil water})]$ (4)

386 ~~where $f_v(\text{vegetation})$ can also be regarded as the normalized E_T which eliminates the~~
 387 ~~effects of atmospheric and soil water content.~~

388 3.2.1 Potential evapotranspiration

389 E_{TP} (mm day⁻¹) was estimated by the following equation (Maidment, 1992) which
 390 is a modification of the Penman equation,

$$391 \quad E_{TP} = \frac{\Delta}{\Delta + \gamma} (R_n - G) + \frac{\rho_a c_p / r_a}{\Delta + \gamma} \frac{VPD}{\lambda} \quad (5)$$

392 where the units of R_n and G are mm d⁻¹; ρ_a is the air density ($= 3.486 \frac{P_a}{273 + T_a}$, kg m⁻³,
 393 ³, where P_a is the atmospheric pressure in kPa and T_a is air temperature in degrees
 394 Celsius); c_p is the specific heat of moist air ($= 1.013$ kJ kg⁻¹ °C⁻¹); Δ is the slope of
 395 the saturation vapor-pressure-temperature curve (kPa °C⁻¹); ~~γ is the psychrometric~~
 396 ~~constant (kPa °C⁻¹);~~ VPD is the difference of between the mean saturation vapor
 397 pressure (e_s , kPa) and actual vapor pressure (e_a , kPa); and λ is the latent heat of
 398 vaporization of water ($= 2.51$ MJ kg⁻¹); γ is the psychrometric constant (kPa °C⁻¹),
 399 which is calculated by the following equation;

$$400 \quad \gamma = \frac{c_p P_a}{\varepsilon \lambda} \quad (6)$$

401 where ε is the ratio of the molecular weight of water vapor to that of dry air
 402 ($= 0.622$).

403 ~~U_z is the daily wind speed at a height of 2.0 m (m s⁻¹), which was simulated by the~~
 404 ~~wind speed at the height of 10.0 m (m s⁻¹);~~

$$405 \quad U_z = U_{10} \frac{4.87}{\ln(67.8 + 10 - 5.42z)} \quad (6)$$

406 r_a is the aerodynamic resistance, which ~~was~~ can be calculated as follows (Penman,
 407 1948; ~~1963~~);

$$408 \quad r_a = \frac{4.72 [\ln(\frac{Z_h}{Z_{a0}})] [\ln(\frac{Z_h}{Z_{gao}})]}{1 + 0.536 U_z} \quad -$$

409 (7)

410 where Z_h is the height at which meteorological variables are measured (2 m), and

411 Z_{a00} is the aerodynamic roughness of surface (0.00137 m) (Penman, 1948; 1963); U_2
 412 is the daily wind speed at a height of 2.0 m ($m s^{-1}$), which and it was simulated calculated
 413 by the wind speed at the height of 10.0 m ($U_{10}, m s^{-1}$).

$$414 U_2 = U_{10} \frac{4.87}{\ln(67.8 \cdot 10 - 5.42)} \quad (8)$$

415 ~~The effects of soil water content on E_T can be described in three stages (Idso et al.,~~
 416 ~~1974), stage 1: the soil water is enough to satisfy the potential evaporation rate ($f_s=1$);~~
 417 ~~stage 2: the soil is drying and water availability limits E_T ($0 < f_s < 1$); and stage 3: the soil~~
 418 ~~is dry and evaporation can be considered negligible ($f_s=0$). We used daily soil water~~
 419 ~~content of the root depth (θ_F) to estimate f_s by the following expression (Hu et al.,~~
 420 ~~2006),~~

$$421 f_s = \begin{cases} = 1 & \theta_F > \theta_K \\ = 0 & \theta_F < \theta_W \\ = \frac{\theta_F - \theta_W}{\theta_K - \theta_W} & \theta_W \leq \theta_F \leq \theta_K \end{cases} \quad (9)$$

422 where θ_W is the wilting value, θ_K is the stable field capacity which is considered to
 423 be equivalent to 60% of the field capacity (Lei et al., 1988; Wang et al., 2008). θ_F (m^3
 424 m^{-3}) is the mean soil water content from surface to the depth of 160 cm (root zone) and
 425 was calculated by measured soil water contents at different depths,

$$426 \theta_F = \frac{0.5 \left[10 \frac{\theta_5}{5} + 15 \frac{\theta_{10}}{10} + 30 \frac{\theta_{20}}{20} + 40 \left(\frac{\theta_{40}}{40} + \frac{\theta_{60}}{60} \right) + 60 \frac{\theta_{80}}{80} + 80 \frac{\theta_{120}}{120} + 40 \frac{\theta_{160}}{160} \right]}{160}$$

427 \oplus
 428 Site-averaged soil water content of each depth (θ_i ; $i = 5, 10, 20, 40, 60, 80, 120,$ and
 429 160 cm) was calculated by taking a weighted average of the measured values in the
 430 canopy and bare surface patches;

$$431 \theta_i = M \times \theta_{i,c} + (1 - M) \times \theta_{i,b} \quad (10)$$

432 where $\theta_{i,c}$ and $\theta_{i,b}$ refer to the measured soil water content of canopy patch and bare
 433 soil patch at the depth of i cm, respectively; M is the monthly vegetation coverage of
 434 undisturbed zone, and it was calculated by monthly Normalized Difference Vegetation
 435 Index (NDVI) values (Gutman and Ignatov, 1998),

$$436 M = \frac{NDVI - NDVI_{min}}{NDVI_{max} - NDVI_{min}} \quad (11)$$

437 where $NDVI_{max}$ is the maximum value (0.8 in this study); $NDVI_{min}$ is the minimum
 438 value (0.05 in this study) (Gutman and Ignatov, 1998). The calculated monthly M (27.6%
 439 and 24.2%) was consistent with the measured vegetation coverage in August 2011
 440 (28.2%) and September 2011 (27.9%) at our study site.

442 3.2.2 Vegetation parameters

443 In this study, vegetation phenology ~~is was~~ represented by Moderate Resolution
 444 Imaging Spectroradiometer (MODIS)-NDVI data when the land use/cover conditions

445 ~~is-were~~ fixed. NDVI is sufficiently stable to reflect the seasonal changes of any
 446 vegetation (Huete et.al, 2002). Higher NDVI ~~usually-generally represent-reflect the~~
 447 greater photosynthetic capacity (greenness) of vegetation canopy (Gu et al., 2007;
 448 Tucker, 1979). The daily ~~NDVI was calculated by daily surface reflectance (at 250 m)~~
 449 ~~data from MODIS/Terra (MOD09GQ, <http://reverb.echo.nasa.gov>) and MODIS/Aqua~~
 450 ~~(MYD09GQ, <http://reverb.echo.nasa.gov>) Surface Reflectance (at 250m) data within~~
 451 ~~the footprint and the equation was~~, source area were chosen to calculate NDVI. ,

$$452 \quad NDVI = \frac{NIR-VIS}{NIR+VIS} \quad (9)$$

453 ~~The Surface Reflectance data of MODIS/Terra (MOD09GQ) and MODIS/Aqua~~
 454 ~~(MYD09GQ) were downloaded from reverb (<http://reverb.echo.nasa.gov>). MODIS~~
 455 ~~Reprojection Tool (MRT) (Kalvelage and Willems, 2005) was used to reject the daily~~
 456 ~~Surface Reflectance data to the Universal Transverse Mercator (UTM). The calculation~~
 457 ~~of NDVI is based on its definition,~~

$$458 \quad NDVI_{Terra \text{ or } Aqua} = \frac{NIR-VIS}{NIR+VIS}$$

459 (12)

460 where $NDVI_{Terra}$ and $NDVI_{Aqua}$ are the NDVI values calculated from MODIS/Terra
 461 ~~and MODIS/Aqua reflectance data, respectively~~; NIR is the spectral response in the
 462 near-infrared band (857 nm); ~~and~~ VIS is the visible red radiation band (645 nm).

463 ~~MODIS Reprojection Tool (MRT) (Kalvelage and Willems, 2005) was used to~~
 464 ~~reject~~~~convert~~ the daily Surface Reflectance data to the Universal Transverse Mercator
 465 ~~(UTM). In this study, NDVI was calculated by using MODIS/Terra data (MOD09GQ)~~
 466 ~~($NDVI_{Terra}$) and MODIS/Aqua data (MYD09GQ) ($NDVI_{Aqua}$)~~

467 <http://reverb.echo.nasa.gov>), respectively. As we found that there were slight
 468 differences ($|NDVI_{Terra} - NDVI_{Aqua}| = 0.01 \pm 0.0075$) between $NDVI_{Terra}$ and
 469 $NDVI_{Aqua}$, we calculated NDVI by averaging $NDVI_{Terra}$ and $NDVI_{Aqua}$ in order to
 470 eliminate the impacts of such differences. In order to eliminate the poor quality data
 471 values, the calculated NDVI data series stack values needs to be were then firstly
 472 filtered to remove anomalous hikes and drops (Lunetta et al., 2006). Hikes and
 473 drops were eliminated by removing the values that suddenly decreased or increased,
 474 and the then-smoothing spline method was used to produce a smoother profile. In this
 475 study, daily NDVI value was averaged from $NDVI_{Terra}$ and $NDVI_{Aqua}$.

476 Theoretically, land use/cover changes can be evaluated by comparing the land
 477 use/cover maps in two different periods. However, the transient land use/cover maps
 478 are were unavailable at our site. Therefore, we separated the study area within the the
 479 footprint area into two zones: we assigned the undisturbed zone without any land
 480 use/cover changes was deemed as zone A, and assigned the disturbed zone with land
 481 use/cover changes was deemed as zone B. In zone A, vegetation condition changes
 482 included only vegetation phenological changes; however, in zone B, there were not only
 483 vegetation phenological changes but also land use/cover changes. By assuming Based
 484 on the assumption that the phenological changes caused by climate in the two zones are
 485 were the same, we defined an indicator (D_{lu}) to be the as a measure of land use/cover
 486 changes:

$$487 \quad D_{lu} = M_A - M_B \quad (10)$$

488 where, M_A and M_B are the monthly vegetation coverages of zone A and zone B,

489 respectively. The monthly vegetation coverage was calculated by monthly NDVI values

490 (Gutman and Ignatov, 1998):

$$491 \quad M = (NDVI - NDVI_{\min}) / (NDVI_{\max} - NDVI_{\min}) \quad (11)$$

492 where $NDVI_{\max}$ is the maximum value (0.8 in this study) and $NDVI_{\min}$ is the

493 minimum value (0.05 in this study) (Gutman and Ignatov, 1998). The calculated

494 monthly M values (27.6% and 24.2%) were consistent with the measured vegetation

495 coverages in August 2011 (28.2%) and September 2011 (27.9%) at our study site. The

496 calculation of vegetation coverage will be described in section 3.2.3.

497 3.2.3 Soil water stress

498 The effects of the soil water content on E_T can be described in three stages (Idso et

499 al., 1974), stage 1: the soil water is enough to satisfy the potential evaporation rate

500 ($f_s=1$); stage 2: the soil is drying and water availability limits E_T ($0 < f_s < 1$); and stage 3:

501 the soil is dry and evaporation can be considered negligible ($f_s=0$). We used daily soil

502 water content in the root depth (θ_r) to estimate f_s by the following expression (Hu

503 et al., 2006):

$$504 \quad f_s = \begin{cases} = 1 & \theta_r > \theta_k \\ = 0 & \theta_r < \theta_w \\ = \frac{\theta_r - \theta_w}{\theta_k - \theta_w} & \theta_w \leq \theta_r \leq \theta_k \end{cases} \quad (142)$$

505 where θ_w is the wilting value, and θ_k is the stable field capacity which is considered

506 to be equivalent to 60% of the field capacity (Lei et al., 1988; Wang et al., 2008). θ_r

507 ($m^3 m^{-3}$) is the mean soil water content from surface to the depth of 160 cm (root zone)

508 and was calculated by measured soil water contents at different depths (θ_i ; $i = 5, 10, 20,$

509 40, 60, 80, 120, and 160 cm). From land surface to the depth of 5 cm, the soil water

510 profile was ~~regarded~~assumed as a ~~triangle~~triangular, while ~~in~~at other depths, the soil
 511 water profiles were ~~treated~~assumed as ~~trapezoid~~trapezoidal. Therefore, the ~~root zone~~
 512 soil moisture of root zone was calculated ~~equation was as~~;

$$513 \theta_r = \frac{0.5 \left[\begin{array}{l} 5\theta_5 + (\theta_5 + \theta_{10}) * (10 - 5) + (\theta_{10} + \theta_{20}) * (20 - 10) \\ + (\theta_{20} + \theta_{40}) * (40 - 20) + (\theta_{40} + \theta_{60}) * (60 - 40) \\ + (\theta_{60} + \theta_{80}) * (80 - 60) + (\theta_{80} + \theta_{120}) * (120 - 80) \\ + (\theta_{120} + \theta_{160}) * (160 - 120) \end{array} \right]}{160} \quad (123)$$

514 ~~where, the s~~Site-averaged soil water content of each depth (θ_i ; ($i = 5, 10, 20, 40, 60,$
 515 $80, 120$,and 160 cm) was calculated by taking a weighted average of the measured
 516 values in the canopy and bare surface patches,

$$517 \theta_i = M \times \theta_{i,c} + (1 - M) \times \theta_{i,b}$$

518 (134)

519 where $\theta_{i,c}$ and $\theta_{i,b}$ refer to the measured soil water contents of canopy patch and bare
 520 soil patch at the depth of i cm, respectively; ~~M is the monthly vegetation coverage~~
 521 ~~of undisturbed zone, and it was calculated by monthly Normalized Difference~~
 522 ~~Vegetation Index (NDVI) values (Gutman and Ignatov, 1998),~~

$$523 M = (\text{NDVI} - \text{NDVI}_{\min}) / (\text{NDVI}_{\max} - \text{NDVI}_{\min}) \quad (14)$$

524 where NDVI_{\max} is the maximum value (0.8 in this study); NDVI_{\min} is the minimum
 525 value (0.05 in this study) (Gutman and Ignatov, 1998). The calculated monthly M (27.6%
 526 and 24.2%) was consistent with the measured vegetation coverage in August 2011
 527 (28.2%) and September 2011 (27.9%) at our study site.

528

529

530 3.3 Statistical analysis

531 ~~In this study, we chose Because of the fact that in Period I, the land use/cover~~
532 ~~condition within footprint was undisturbed in Period I, In order to validate EC~~
533 ~~measurements and examine the quality of flux data, we used d~~daily data in period I was
534 ~~used to conduct the linear regression between available energy ($R_n - R_n - G$) and the sum~~
535 ~~of surface fluxes ($\lambda E_T + H$), which thus is used to validate EC measurements and~~
536 ~~examin~~examining the quality of flux data. In addition, d~~daily data in Period I was also~~
537 ~~used to analyze the correlations between E_T and the three controlling factors (E_{TP} ,~~
538 ~~$NDVI$, $NDVI_w$ ($NDVI_w = NDVI_s \times \beta + NDVI_b \times (1 - \beta)$), and f_s). We used several~~
539 ~~common functions (e.g., an exponential function, a linear function, a logarithmic~~
540 ~~function and a quadratic function) to fit these correlations. We found that the~~
541 ~~determination coefficient (R^2) of the linear function was generally the highest.~~
542 ~~Therefore, in this study, we chose the linear function to simulate~~fit the correlations
543 ~~between E_T and the three controlling factors. Additionally, S~~significant T~~t-test was~~
544 ~~performed~~calculated ~~to evaluate the degrees of these correlations between E_T and its~~
545 ~~three controlling factors. Moreover, F~~urthermore, d~~D~~data in ~~on rainy days was removed,~~
546 ~~because in rainy days, E_T values were gap-filled instead of actual~~rather than measured
547 ~~in rainy days. In order to figure out the major seasonal factor that control E_T at our study~~
548 ~~site, significant T test was calculated to evaluate the degree of correlation. The linear~~
549 ~~correlations between E_T and the three factors both passed the 95% t test confidence~~
550 ~~level.~~

551

552 3-4 Results

553 3.1 Footprint and energy balance closure

554 Based on the footprint model, we got the half-hourly scatter data ~~of footprint fetch~~
555 (Eq. ~~(2)~~), and according to the wind rose diagram (Fig. ~~3a~~), the prevailing wind
556 directions ~~in at~~ this site were northwest and southeast, ~~Therefore, so~~ we chose an
557 ellipse to enclose the scatters and simulated the footprint (Fig. ~~3b~~). ~~The long axis of~~
558 ~~the simulated ellipse is 1682 m, and the short axis is 1263 m.~~ There ~~were was~~ 93% half-
559 hourly flux data within the ellipse under unstable conditions.

560 Additionally, We we measured the boundary of zone B in October 2013 when the
561 land use/cover condition in zone B had stopped ~~to changeing~~ (Fig. ~~3b~~). There were 11
562 pixels (250 m × 250 m) in zone A, ~~while there are~~ and 19 pixels (250 m × 250 m) in
563 zone B, and thus, in the following part of calculating the weight-averaged NDVI
564 ($NDVI_w$) within the footprint fetch, we chose the weighted coefficient as $\beta =$
565 $11/(11 + 19)$.

566 [Figure 3 is to be inserted here]

567 EC system performance was assessed by the energy balance closure which was
568 calculated by conducting the linear regression between available energy ($R_n - G$) and
569 the sum of surface fluxes ($\lambda E_T + H$), which is also used to examine the quality of flux
570 data (Wilson et al., 2002). ~~In order to validate EC measurements and examine the~~
571 ~~quality of flux data, we used daily data in period I to conduct the linear regression~~
572 ~~between available energy ($R_n - G$) and the sum of surface fluxes ($\lambda E_T + H$).~~ The linear
573 regression yielded a slope of 0.87, an intercept of -1.42 W m^{-2} , and an R^2 of 0.82. These
574 indicators ~~indicated-suggested~~ that the measurements at our experimental site provided

575 reliable flux data, and that the EC measurements underestimated the sum of the surface
576 fluxes to the extent of 13%. ~~A lot of~~Many researchers have investigated ~~the~~ energy
577 imbalance (Barr et al., 2006; Wilson et al., 2002; Franssen et al., 2010), and there is a
578 consensus that it is difficult to examine the exact reasons ~~leading to the~~for the imbalance.

579

580 3.2 Characteristics of environmental variables

581 A brief summary of ~~the~~ key environmental variables ~~will be~~is presented in this
582 section. Four-year averaged monthly sunshine duration (D_s), T_a , R_H , P and long-term
583 (1954-2014) averaged monthly values of D_s , T_a , R_H , and P were are showed in Fig. 4.
584 Monthly D_s was much higher than the long-term normal average monthly values, of
585 ~~1954-2014~~ except in July and September. The highest value of ~~monthly D_s~~ was
586 observed in May (299.5 h) and the lowest was observed in February (206.6 h). The
587 Seasonal characteristics of T_a showed a highly similar trend with that of
588 the long-term average monthly values of 1954-2014 normal, and the differences were
589 less than 1 °C, except in July, January and March. The highest value of ~~monthly~~
590 T_a was observed in July (22.1 °C) and the lowest was observed in December (-
591 8.1 °C). The values of R_H ~~showed were~~ almost lower than the long-term average
592 monthly values of 1954-2014 normal, especially in March and April. The highest R_H
593 was observed in September (65.4%) and the lowest was observed in March (35.1%).
594 The seasonal distributions of P were consistent with the long-term average monthly
595 values of 1954-2014 normal, and 89.7% of P ~~happened~~occurred in the growing season.
596 ~~The value of P was highest~~ in July ~~was the highest~~ (120.5 mm) and ~~in January was the~~

597 lowest in January (0.3 mm).

598 [Figure 4 is to be inserted here]

599 The inter-annual characteristics of daily T_a , D_s , R_H , T_a , R_H , D_s , θ_r , groundwater
600 level (GWL), and total P in the growing season of each period ~~were~~ are listed in Tab.

601 1.

602 [Table 1 is to be inserted here]

603 The values of T_a , R_H , P , and θ_r in the growing season of Period IV were the
604 lowest compared ~~with to those in~~ other three periods. Periods I–III ~~are~~ were all wet
605 years, while Period IV was ~~the a~~ dry year. The values of θ_r in Periods I–III were
606 ~~basically the same~~ similar, however, θ_r decreased by $0.0113 \text{ m}^3 \text{ m}^{-3}$ in Period IV. The
607 mean GWL in Period III was the shallowest.

608

609 3.4.3 Seasonal variations in E_T due to climate variability

610 ~~The Seasonal seasonal~~ curve of E_T in each year had a single peak value (Fig. 5a),
611 with ~~the~~ higher E_T appearing mostly in the growing season while ~~the~~ lower appeared in
612 the non-growing season. The daily E_T ~~was in a~~ ranged from 0.0 mm day^{-1} to 6.8 mm
613 day^{-1} during the four periods, the highest E_T ~~appeared~~ was observed on 22th June 2013
614 ~~after when. The highest E_T appeared at, which was~~ the day after a continuous rainfall
615 event that started from 19th June 2013 to 21th June 2013 (90.3 mm), ~~E_T rates normally~~
616 ~~increase rapidly after rainfall events.~~ The lowest E_T ~~appeared~~ was on 28th November
617 2012, which was in the frozen period (late November to early March ~~in at~~ our study
618 site). ~~In On~~ rainy days, E_{TP} (Fig. 5b) was ~~much lower~~ due to ~~lower~~ net radiation and air
619 temperature. E_{TP} ~~was in the~~ ranged of from 0.2 mm day^{-1} ~~that appeared~~ in December

620 2011 to 17.9 mm day⁻¹ ~~that appeared~~ in September 2013.

621 [Figure 5 is to be inserted here]

622 ~~The Seasonal~~ seasonal NDVI curve ~~with for~~ natural land use/cover condition (in
623 zone A during Periods ~~I--IV~~ and in zone B during Period I) represented the process of
624 natural vegetation phenology and it had ~~one a~~ single peak value in each year (Fig. ~~_~~5c).
625 In early May, ~~the~~ seasonal NDVI curve began to increase ~~accompanied by that as and~~
626 ~~the~~ native vegetation ~~began to entered~~ entered the growing season, and ~~reached to the a~~
627 maximum value (0.27 ± 0.01) was reached in July or August. In ~~the~~ winter, ~~the~~ daily
628 NDVI ~~basically stayed at a~~ remained relatively constant ~~value~~ (0.13 ± 0.01). ~~f_s~~ (Fig. ~~_~~5d)
629 increased rapidly in response to rainfall events of more than 5 mm a day, ~~—~~ and ~~also~~
630 decreased rapidly one or two days ~~later~~ after rainfall events. ~~During~~ From late
631 November to early March, there was a frozen period ~~at in~~ this site, and soil water content
632 was below the wilting point. The groundwater level ~~fluctuated~~ changed obviously in
633 ~~the~~ monsoon season (July to September) and mildly in ~~the~~ winter (December to
634 February).

635 ~~The relationships between E_T and the three factors (E_{TP} , $NDVI_{sw}$ ($NDVI_{sw} =$
636 $NDVI_A \times \beta + NDVI_B \times (1 - \beta)$), ~~f_s~~) were analyzed and were shown in Fig. 6 (a, b, c)
637 by daily data in Period I. Because in Period I, the land use/cover condition within
638 footprint was undisturbed. Data in rainy days was removed, because in rainy days, E_T
639 was gap filled instead of actual measured.~~

640 [Figure 6 is to be inserted here]

641 ~~In order to figure out the major seasonal factor that control ET at our study site,~~

642 ~~significant T-test was calculated to evaluate the degree of correlation. The linear~~
643 ~~correlations between ET and the three factors both passed the 95% t-test confidence~~
644 ~~level.~~ The linear correlations between E_T and the three controlling factors both all
645 passed the 95% t-test at a 95% confidence level. The ~~The determination coefficient~~
646 ~~($R^2=0.52$)~~ value of the correlation between E_T and $NDVI_w$ (~~$NDVI_w =$~~
647 $NDVI_A \times \beta + NDVI_B \times (1 - \beta)$) was the largest, indicating that NDVI was a
648 dominant factor that controlling highly correlated with the daily variations ~~of in~~ E_T . To
649 better quantify the effects of the phenological process on E_T , the correlation between
650 daily ~~normalized E_T (f_v)~~ and $NDVI_w$ in Period I ~~were was~~ analyzed (Fig. 7a).

651 [Figure 7 is to be inserted here]

652 A Positive-positive linear regression was found between f_v (~~$f_v = E_T / (E_{TP} \times f_s)$~~)
653 and $NDVI_w$ (Fig. 7a). The slope of the linear regression was used to evaluate the
654 controlling degree between ~~f_v normalized E_T~~ and vegetation phenological process,
655 ~~which. The positive regression stated the direct positive relationship between $NDVI_w$~~
656 ~~and normalized E_T , indicating~~ that when $NDVI_w$ ~~increases~~ increased one unit, it ~~will~~
657 would contribute ~~f_v normalized E_T~~ to increase about 1.86 units.

658

659 3.4.4 Inter-annual variations in E_T due to land use/cover changes

660 During the four periods, in zone A, the NDVI values of each period were ~~basically~~
661 ~~the same~~ similar because the land use/cover condition was not changed. While in zone
662 B, the peak values of NDVI first ~~ly~~ declined from 0.28 to 0.15 (Period I to Period III)
663 due to ~~the change of the~~ land use/cover ~~condition changed~~ condition changed from

664 ~~mixed vegetation~~ to bare soil, ~~and then it~~The peak NDVI value then increased to 0.22
665 (Period IV) due to ~~the~~ grass recovery (Figure 5(e), 5c). An interesting phenomenon was
666 found accompanied by the changing process of land use/cover conditions: E_T in the
667 growing season ~~of each period was~~ gradually ~~observed to be~~ increased from Period
668 I to III (Tab.2), ~~while it~~ ~~E_T in Period IV~~ increased ~~strongly~~ greatly in Period IV even
669 with less precipitation, because a mass of soil water and ground water was consumed
670 to satisfy the E_T demand (Fig. 5e).

671 [Table 2 is inserted to be here]

672 Compared to with Period I ~~with natural land use/cover condition~~, D_{lu} ~~D_{lu} values~~ of
673 Period II and Period III gradually increased, ~~and while~~ D_{lu} ~~D_{lu}~~ of Period IV decreased.
674 Taking August in each period as an example, in ~~August of~~ Period I, D_{lu} was 0.2%,
675 while in ~~August of~~ Periods II, Period III and Period IV, D_{lu} were 2.9%, 12.6%, and
676 8.6%, respectively. In order to eliminate the influence of vegetation phenological
677 change on E_T , we chose the growing season of each period to analyze the correlations
678 between f_v ~~normalized E_T~~ and D_{lu} .

679 Quantitative results of the ~~correlation relationship~~ between D_{lu} ~~D_{lu}~~ and ~~normalized~~
680 ~~E_T (f_v)~~ ~~are~~ are shown in Fig. 7b. ~~According to the dynamic path showed in Fig.7b,~~
681 ~~From Period I to Period III, When with the changed land surface characteristics (the~~
682 ~~natural vegetation in Zone zone B was~~ ~~cut off~~ ~~cleared (Period I-III)~~; the fixed and semi-
683 fixed sand dunes were bulldozed; the BSCs and dry sand layers ~~were~~ were disappeared)
684 (Period I-III), ~~normalized E_T (i.e., f_v)~~ increased and the increment was more evident
685 in Period III (from 78.5 to 88.1). When the land use/cover condition ~~of in~~ zone B

686 gradually changed from bare soil to sparse grassland due to the self-restoring capacity
687 of nature, ~~normalization E_T (f_v)~~ increased ~~more~~ significantly (from 88.1 to 111.3).

688

689 ~~4.5~~ Discussion

690 ~~4.5.1~~ Implications of the ~~impacts-effects~~ of phenological change on E_T

691 The correlations between E_T and its controlling factors inferred that at our
692 experimental site, NDVI was the predominant factor that influences the seasonal
693 variations ~~on-in~~ E_T . The ~~strong~~ positive linear relationship between ~~f_v normalized E_T~~
694 and NDVI ~~suggests-suggested~~ that transpiration ~~is-was probably~~ ~~mainly~~ controlled by
695 the stomatal conductance and the numbers of stomata, which ~~is-are~~ proportional to leaf
696 area (Percy et al., 1989; Turrell, 1947), rather than the atmospheric water demand
697 represented by E_{TP} .

698 Various studies have ~~tested~~ assessed the ~~relationships~~ correlation between
699 vegetation phenological changes and E_T , and these results generally showed consistent
700 and positive linear relationships (Nouri et al., 2014; Rossato et al., 2005; Duchemin et
701 al., 2006; Glenn et al., 2008). However, ~~with-for~~ different vegetation species,
702 phenological changes have effects on E_T ~~in-to~~ different degrees. Relative strong
703 regressions between NDVI and E_T have been reported at forested sites (Loukas et al.,
704 2005; Nouri et al., 2014; Chong et al., 2007) and grass-covered sites (Kondoh and
705 Higuchi, 2001; Nouri et al., 2014), ~~with~~ have analyzed the relationships between NDVI
706 and E_T in Greece, and relative strong regressions were found in forested sites ($R^2=0.78$).
707 ~~Kondoh and Higuchi (2001) investigated the correlation between NDVI and E_T in a~~
708 ~~grass-covered site at the university of Tsukuba, and a very high~~ determination

709 coefficients ($R^2=0.92$) ~~higher than 0.7, was showed to revealing~~ the strong control of
710 phenological changes on E_T . ~~Nouri et al. (2014) have analyzed the relationships~~
711 ~~between NDVI and E_T in forests and grasses, and they found that determination~~
712 ~~coefficient of forests ($R^2=0.94$) was higher than the grassland ($R^2=0.88$). Chong et al.~~
713 ~~(2007) have found a strong relationship between NDVI and E_T in forests and moist~~
714 ~~savanna of Africa.~~ Thus, we speculate that, for high ~~dense~~ vegetated ecosystems,
715 phenological changes ~~might may~~ have a strong and significant control on E_T . However,
716 in low ~~vegetation vegetated cover condition ecosystems~~ such as sparse shrubland in this
717 study, the relationship between E_T and seasonal vegetation ~~phenological change change~~
718 ~~is is~~ thus positive but relatively weak.

719

720 4.5.2 Possible reasons for the effects of land use/cover changes

721 During Periods I--IV, the land use/cover conditions ~~of at~~ our experimental site ~~has~~
722 ~~undergone~~ two processes, ~~one was the~~ land degradation process (Periods II--III),
723 ~~while the other was the~~ and vegetation rehabilitation process (Period IV). Interesting
724 ~~phenomenon phenomena was were~~ found ~~that~~ during these two processes: (1) E_T and
725 ~~normalized E_T values were both increased, and (2) and~~ normalized E_T increased much
726 faster ~~from Period III to IV~~ during the vegetation rehabilitation process than that ~~from~~
727 ~~Period I to III~~ during the land degradation process.

728 The impact of phenological changes on E_T demonstrated that E_T ~~will would~~ decrease
729 along with the leaf browning. Thus, we expected ~~ed~~ that E_T ~~will would~~ also decrease if
730 ~~only~~ leaves were cleared by human activities. However, during Periods II--III, not only

731 leaves were cleared, but also other land surface properties (all branches were cut-off,
732 sand dunes (fixed and semi-fixed) were bulldozed, and the dry sand layers and the
733 biological soil crusts (BSCs) were destroyed) were changed, making resulting in the
734 complex land use/cover condition complexs. All these changed land surface properties
735 might contribute to the increase of E_T . The exists of dry sand layers and BSCs were
736 demonstrated to effectively restrained the soil evaporation rates (Wang et al., 2006; Lv
737 et al., 2006; Liu et al., 2006; Chen and Dong, 2001; Yang et al., 2015; Fu et al., 2010;
738 Liu, 2012). However, the bulldozing of sand dunes at our experimental site made the
739 elevation of the flat soil surface be lower than the average elevation of the undisturbed
740 soil surface (about approximately 1.5 m lower, Figure 2(d). 2d), which resulted
741 that making the groundwater depth was much shallower than before the pre-disturbance
742 depth. Thus, it is-was hard for the formation of dry sand layers with shallower
743 groundwater depth level. In this situation with the destroyed BSCs and the disappeared
744 dry sand layers, the sufficient groundwater supply (Li and Li, 2000) accelerated the loss
745 of water that stored in shallow soil through evaporation. The enhancement effect of soil
746 evaporation offset offset the inhibition-inhibiting effect of transpiration by due to leaves
747 clearing, which made E_T increase.

748 A secondary reason for the enhancement-increase of soil evaporation was that more
749 solar radiation was absorbed by soil layer the soil layer absorbed more solar radiation
750 during the land degradation process. In Period I, with natural vegetation, the radiation
751 absorbed by the shadowed soil was the solar radiation transmitted into the canopy of
752 shrubs and grass. However, with-when the natural vegetation being-was cut-off cleared,

753 the leaves and the branches were also removed, which made the shadowed soil exposed
754 and enhanced the radiation absorbed by the soil, ~~thus contribute to the~~thereby increase
755 ~~increasing of~~ soil evaporation (Martens et al., 2000; Panferov et al., 2001).
756 ~~Besides~~Moreover, the removal of leaves and branches and the disappearance of sand
757 dunes both altered the land surface albedos, ~~which~~. ~~Various scholars have demonstrated~~
758 ~~that changes of land surface albedos~~ could directly alter the solar radiation absorbed by
759 the land surface (Dirmeyer and Shukla, 1994; Greene et al., 1999), subsequently leading
760 to the change in E_T .

761 ~~We found s~~Some inconsistent results ~~regarding the response of E_T dynamics~~
762 ~~during and the possible reason contributed to the change of E_T were found from the~~
763 ~~previous analyses that aim at studying the characteristics of E_T with during land~~
764 ~~degradation process were reported in previous studies were reported.~~ Although a
765 portion of ~~For instance, studies reported that E_T decreased during the land degradation~~
766 ~~process with but due to different reasons the causes of this decrease were demonstrated~~
767 ~~different.~~ For example, Li et al. (2013) have analyzed the features of E_T during land
768 ~~degradation process in Qinghai-Tibet Plateau, and they found that warming air~~
769 ~~temperature was the main cause to enhance E_T .~~ Souza and Oyama (2011) and ~~However,~~
770 ~~some other scholars have opposite conclusions. For example, Snyman (2001) have~~
771 ~~compared E_T of natural grassland and degraded grassland resulted from overgrazing in~~
772 ~~a semi-arid are of South Africa, and he found~~demonstrated that E_T ~~was~~ smaller of the
773 ~~degraded grassland decreased~~ during the land degradation process ~~due to less decreased~~
774 transpiration in semiarid regions. ~~Souza and Oyama (2011) have demonstrated that~~

775 ~~desertification in a semi-arid area of Northeast Brazil contributed to the decrease of E_T~~
776 ~~due to the loss of transpiration from natural vegetation.~~ Lu et al. (2011) considered that
777 ~~have found that E_T was lower in disturbed grazed grassland compared to the~~
778 ~~undisturbed grassland, and the lower soil water content was thought to be main reason~~
779 ~~to the explanation to result in for the decrease of E_T in the land degradation process.~~
780 Mao and Cherkauer (2009) ~~also have demonstrated~~ reported a decrease of ~~that E_T~~
781 ~~decreased~~ when land use/cover condition was ~~changed~~ converted from forests to grass
782 or cropland in the Great Lakes region. ~~However~~ However, some
783 ~~contrary~~ different ~~contrasting~~ phenomenon ~~responses~~ results ~~were~~ were also reported
784 regarding the effects of land degradation on E_T by other scholars. Furthermore, Hoshino
785 et al. (2009) ~~have demonstrated~~ found that there was no difference in E_T during the land
786 degradation ~~by~~ process associated with overgrazing in a semi-arid Mongolian grassland,
787 and they ~~thought~~ hypothesized that the reason for this lack of change might be the short
788 ~~time of~~ grazing time (2 years). ~~Furthermore,~~ Li et al. (2013) demonstrated that the
789 warming air temperature was the main cause of the enhanced E_T during the land
790 degradation process in Qinghai-Tibet Plateau. Throughout the above researches of E_T
791 ~~under~~ during land degradation process, we found it ~~was hard~~ difficult to accurately
792 describe the features of E_T , even when the land degradation was only
793 manifest ~~performed~~ by less vegetation coverage. Therefore, ~~in~~ at our study site with
794 complex land surface properties (sand dunes, dry sand layers and BSCs), the ~~impact~~
795 effect of land degradation on E_T was much more complicated.

796 During the vegetation rehabilitation process (Period IV), ~~f_v -normalized E_T~~

797 increased significantly due to the rehabilitation of grass in zone B, even though ~~with~~
798 less precipitation was observed compared with other ~~three~~ periods (Period I, II and III).
799 The rehabilitation of grass, rather than shrubs, was due to the sufficient groundwater
800 supply resulted from bulldozing the sand dunes. Previous researchers reported that
801 sparse shrubs more commonly grew at the top of sand dunes and grass grew at the
802 bottom of sand dunes, because the differences between groundwater depth-level and the
803 top of sand dunes ~~was~~ was larger than that ~~the that~~ between groundwater depth-level
804 and the bottom of the sand dunes (Lv et al., 2006; Chen and Dong, 2001). Because
805 transpiration increases with ~~the greening of~~ vegetation greening ~~(this was~~
806 demonstrated in section 34.3), the regrowing grass ~~will~~ would enhance plant
807 transpiration supplied by the sufficient groundwater. More importantly, the
808 transpiration rate of grass is higher than that of shrubs because shrubs are ~~more tolerant~~
809 ~~to drought~~ easier to survive in water-limited conditions (Yang et al., 2014; Wang et al.,
810 2002; Wu, 2006). Therefore, in the vegetation rehabilitation process, the ~~increasing~~
811 ~~rate~~ enhancement of transpiration rate in Period IV was much higher than that in Periods
812 ~~I--III~~. Consistent conclusions of E_T increase due to the enhancement of transpiration
813 during vegetation rehabilitation process were reported. ~~For example, Qiu et al. (2011)~~
814 ~~Yang et al. (2014) and Sun et al (2006) have demonstrated that in the vegetation~~
815 ~~rehabilitation process, E_T increased and more water was consumed and less rainfall~~
816 ~~would infiltrate deeper soil layer (Qiu et al., 2011; Yang et al., 2014; Sun et al., 2006;~~
817 ~~Li et al., 2009). Yang et al. (2014) and Sun et al (2006) also considered suggest E_T~~
818 ~~would increase with vegetation rehabilitation due to the increase of transpiration.~~

819 ~~Furthermore, Li et al. (2009) have reported that E_T increased when land use/cover~~
820 ~~condition converted from shrubland to grassland.~~ Meanwhile, the regrowing grass ~~can~~
821 ~~could~~ reduce the radiation absorbed by the soil and hence reduce ~~the~~ soil evaporation.
822 However, the interception of radiation by the grass canopy was expected to be smaller
823 than that by the mixed shrub and grass canopy in Periods I--III because the leaf area
824 index of grass ~~is~~ was smaller than the sum of leaf area and stem area indexes of the
825 mix of shrubs and grass. Therefore, the reduction of soil evaporation in Period IV ~~may~~
826 ~~might~~ be small compared with the increment of soil evaporation in Periods I--III.

827 We noticed that ~~the groundwater level~~ GWL decreased continuously ~~from after~~
828 Period III due to the enhancement of E_T by the re-growth of grass and relative lower
829 precipitation, and the regrowing grass has a higher transpiration rate ~~compared with~~ than
830 that of the native mixed shrub and grass ~~ecosystem~~. Therefore, we hypothesized that if
831 the land use/cover condition of zone B ~~continues~~ continues to be grassland ~~in over the~~
832 next several years, the groundwater ~~level~~ level will decrease due to the larger
833 consumption, making the soil water condition gradually become poorer for the growing
834 growth of grass. Then, in this situation, the grassland is expected to degrade to
835 shrubland in zone B because shrubs are ~~more tolerant~~ easier to survive in water-~~starved~~
836 limited ecosystems. ~~Furthermore, i~~ On the other hand, potato n the next few years, potato
837 will be planted in zone B. However, the water requirement of potato is studied to
838 consume wasis more than 320 mm in the growing season (Qin et al., 2013; Liu et al.,
839 2010) and the water consumption is more than that of natural grass (Qin et al., 2013,
840 2014; Hou et al., 2010). ~~Besides~~ Thus, irrigation is necessary for planting potato ~~needs~~

841 ~~to irrigate several times~~ during the growing season ~~in water-limited environment~~ limited
842 ecosystems (Fulton et al., 1970; Liu et al., 2010; Fabeiro et al., 2001). ~~As potato~~
843 ~~consumes much more water than grass,~~ ~~o~~ Our results ~~implied~~ imply that the groundwater
844 ~~level~~ level ~~may~~ might continue to decrease faster with the growth of potato in the future,
845 which may lead to a more fragile ecosystem.

846

847 5-6 Conclusion

848 In this study, seasonal and inter-annual features of E_T were analyzed. ~~The d~~ Daily
849 E_T was in a range from 0.0 mm day⁻¹ to 6.8 mm day⁻¹ during the four periods. NDVI
850 was the predominant factor that influences the seasonal variations in E_T . and ~~r~~
851 vVegetation greening had a positive effect on E_T . During the land degradation process
852 (Periods ~~II--~~ Period III), when natural vegetation (including leaves and branches), sand
853 dunes, dry sand layers and BSCs were all bulldozed ~~by human activities~~, E_T ~~was~~
854 ~~observed to~~ increased ~~with~~ at a mild rate. ~~In~~ During the vegetation rehabilitation process
855 (Period IV) with ~~sufficient groundwater~~ less precipitation, E_T also increased ~~with~~ at a
856 faster rate than that in the degradation process. Our study demonstrated that, when land
857 use/cover condition changed by human activities, the underlying mechanisms that leads
858 to the ~~changes~~ increase of E_T were complex, and vegetation types, topography and soil
859 surface characteristics ~~may alle~~ could contribute to the changes in E_T . ~~ies~~. Furthermore,
860 Our results suggest that when we simulate the impact of land use/cover change on
861 hydrological processes, vegetation factor might not be the unique factor to parameterize,
862 instead, the integrated effects of land surface and vegetation conditions should be

863 considered. Our study also provides a scientific reference to the regional sustainable
864 management of water resources in the context of intensive agricultural reclamation
865 ~~–On the basis of understanding the impacts of destroying virginal ecology by~~
866 ~~human activity on water cycle, calling for paying more attention to water cycle and~~
867 ~~conserve the water environment.–~~

868

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876

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1206

1207 **Figure and table captions**

1208 Fig. 1. Location of the Loess Plateau and ~~the~~ map of study site (LP: the Loess Plateau;
1209 black triangle: flux tower; white triangle: Yulin meteorological station; ①: Tu River;
1210 ②: Yuxi River; ③: Yellow River);

1211
1212 Fig. 2. Land use/cover conditions ~~of at~~ the study site ~~over the Loess Plateau~~: (a) the
1213 natural land use/cover condition of shrubland (photo was taken ~~at on~~ 6th August 2011);
1214 (b) the natural land use/cover condition of grassland (photo was taken ~~at on~~ 7th
1215 September 2011); (c) the undisturbed zone (natural vegetation) and the disturbed zone
1216 (bare soil) in the land degradation process (photo was taken ~~at on~~ 26th April 2013); (d)
1217 the undisturbed zone (natural vegetation) and the disturbed zone (grassland) ~~in during~~
1218 the vegetation rehabilitation process (photo was taken ~~at on~~ 16th August 2014);

1219
1220 Fig. 3. Diagrams of wind rose and footprint: (a) wind rose of ~~the~~ study site by using
1221 half-hourly wind speed and wind direction data; ~~and~~ (b) simulated footprint by ellipse
1222 (the long axis is 1682_m, and the short axis is 1263_m; zone A ~~is is~~ the source area ~~that~~
1223 ~~in which have not encountered any~~ land use/cover ~~change condition did not change~~,
1224 while zone B ~~is is~~ the source area ~~that in which have experienced~~ land use/cover
1225 ~~condition did~~ change ~~by due to~~ human activities; ~~the~~ white triangle is the flux tower);

1226
1227 Fig. 4. Seasonal characteristics of ~~four-year and long-term (1954-2014, from Yulin~~
1228 ~~meteorological station) average~~ monthly ~~values of~~: (a) sunshine duration (D_S); (b)
1229 ~~air~~ temperature (T_a); (c) relative humidity (R_H); ~~and~~ (d) total precipitation (P) ~~of four~~

1230 ~~periods at the study site and climatological normal monthly average (of 1954-2014~~
1231 ~~from climate_ological normal in Yulin meteorological station).~~

1232

1233 Fig. 5. Seasonal and inter-annual characteristics of daily (a) evapotranspiration (E_T ,
1234 mm); (b) potential evapotranspiration (E_{TP} , mm); (c) NDVI in zone A and zone B within
1235 ~~the the~~ footprint; ~~(d) precipitation (P , mm); (ed) the~~ soil water stress of ~~the~~ root zone
1236 (f_s) ~~and (e) the groundwater level (GWL, m) -duringfrom~~ 1st-1 July 2011 to 30th-30
1237 June 2015.

1238

1239 Fig. 6. The correlations between daily evapotranspiration (E_T , mm) and its controlling
1240 factors: (a) daily potential evapotranspiration (E_{TP} , mm); (b) daily weight-averaged
1241 NDVI ($NDVI_w$) within ~~the~~ footprint (~~$NDVI_w$~~); (c) daily soil water stress of ~~the~~ root zone
1242 (f_s) in Period I ~~by~~ excluding the data ~~in-on~~ rainy days (r: Pearson's correlation
1243 ~~significance coefficient~~; T: ~~T~~-test significance).

1244

1245 Fig. 7. Quantitative analysis ~~between-of (a)-the correlations between (a)~~ vegetation
1246 phenological change ($NDVI_w$) and daily normalized E_T ($f_v = E_T / (E_{TP} \times f_s)$) in Period
1247 I (~~exclud~~d the data ~~in-on~~ rainy days and frozen days); ~~and~~ (b) the indicator of land
1248 use/cover change (D_{lu}) and total normalized E_T ($f_v = E_T / (E_{TP} \times f_s)$) ~~of-in~~ the growing
1249 season ~~in-of~~ each period.

1250

1251

1252 Table 21. Daily air temperature (T_a , °C), relatively humidity (R_H , %), total
 1253 sunshine duration (D_s , h), soil water content of the root zone (θ_r , m³ m⁻³), the
 1254 groundwater level (GWL, m), and total precipitation (P , mm) in 1954-2014 and in the
 1255 growing season of each period (~~Because~~ because there were some missing data in
 1256 Period IV (from 12th September 2014 to 23th November 2014 and from 13th March 2015
 1257 to 22th April 2015), we ~~got rid of~~ excluded data in these two time ranges of Periods I
 1258 III and 1954-2014)

1259

Items Variable	1954-2014	I	II	III	IV
T_a (°C)	19.8	19.6	20.4	19.9	19.3
R_H (%)	57.7	57.3	54.9	53.4	52
D_s (h)	213.3	220.7	215.8	218.2	220.7
P (mm)	329.8	357.1	384.1	330.2	199.8
θ_r (m ³ m ⁻³)	–	0.077	0.077	0.076	0.064
GWL (m)	–	-3.8	-3.6	-3.0	-3.5

1260

1261 Table 22. Typical values of total evapotranspiration (E_T , mm), total potential
 1262 evapotranspiration (E_{TP} , mm), the indicator of land use/cover change (D_{lu}), the soil
 1263 water stress of the root zone (f_s), and normalized E_T (~~i.e.~~ f_v ($= E_T / (E_{TP} \times f_s)$)) in
 1264 the growing season of each period. (~~Because~~ because there were some missing data in
 1265 Period IV (from 12th September 2014 to 23th November 2014 and from 13th March 2015
 1266 to 22th April 2015), we removed the values of E_T , E_{TP} and f_s ~~of~~ in these two time

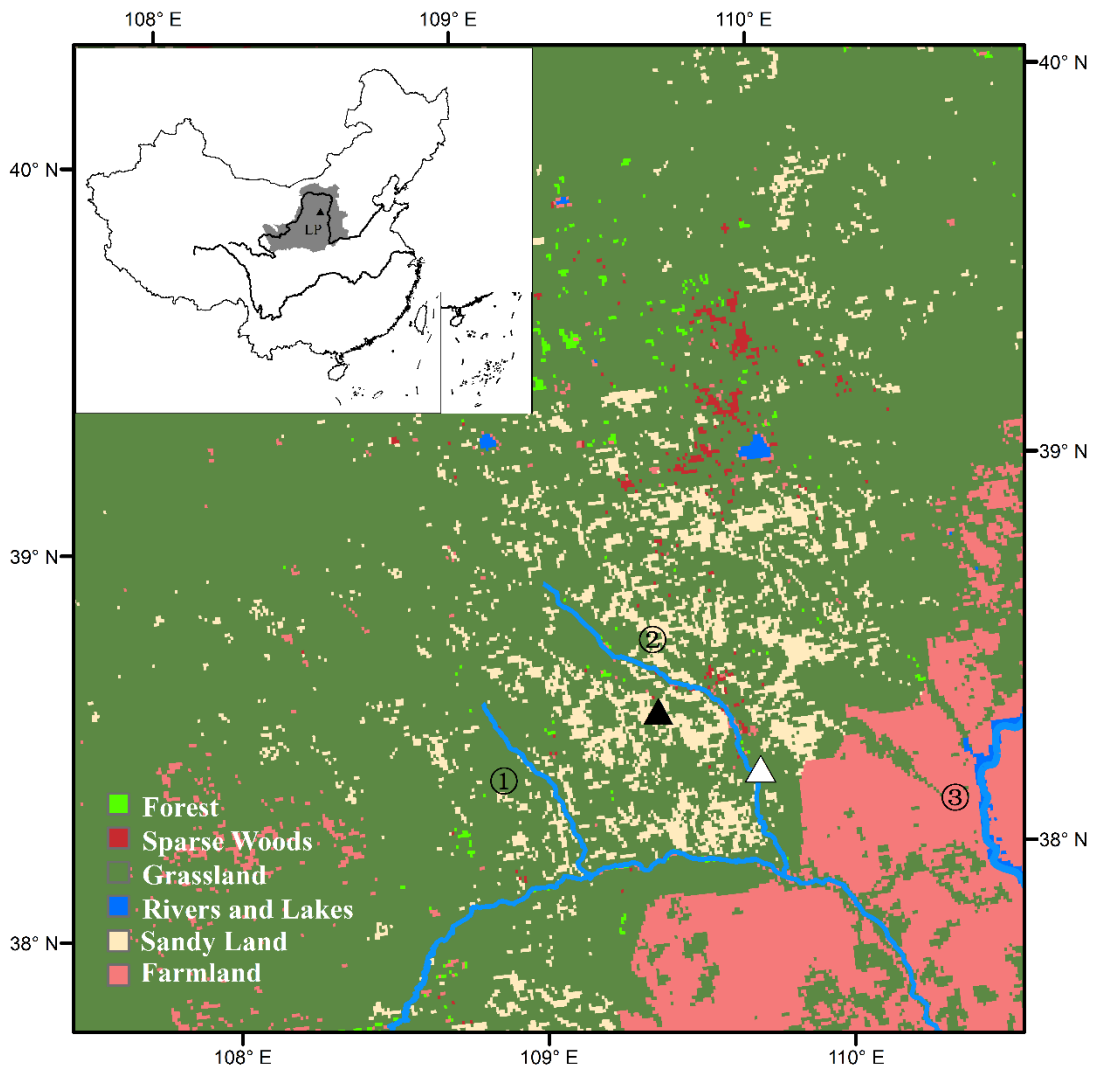
1267 ranges ~~in~~of Periods I-III).

1268

	Items	E_T	E_{TP}	$D_{lu} D_{\overline{H}}$	f_s	f_v
	Periods	(mm)	(mm)	(%)	(dimensionless)	(dimensionless)
Growing season	I	238.4	876.1	-0.2	0.62	78.1
	II	236.5	870.7	4.6	0.63	79.9
	III	292.1	956	10.4	0.59	86.3
	IV	332.2	937	6	0.37	111.9

1270

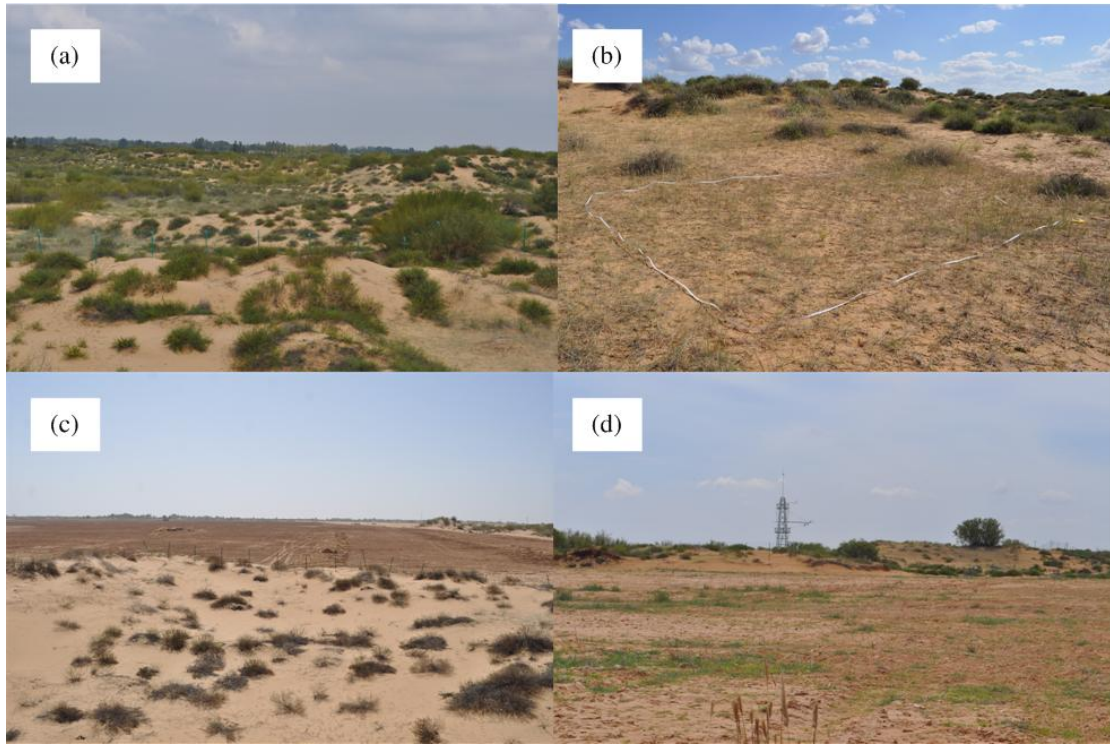
1271 Fig. 1



1272

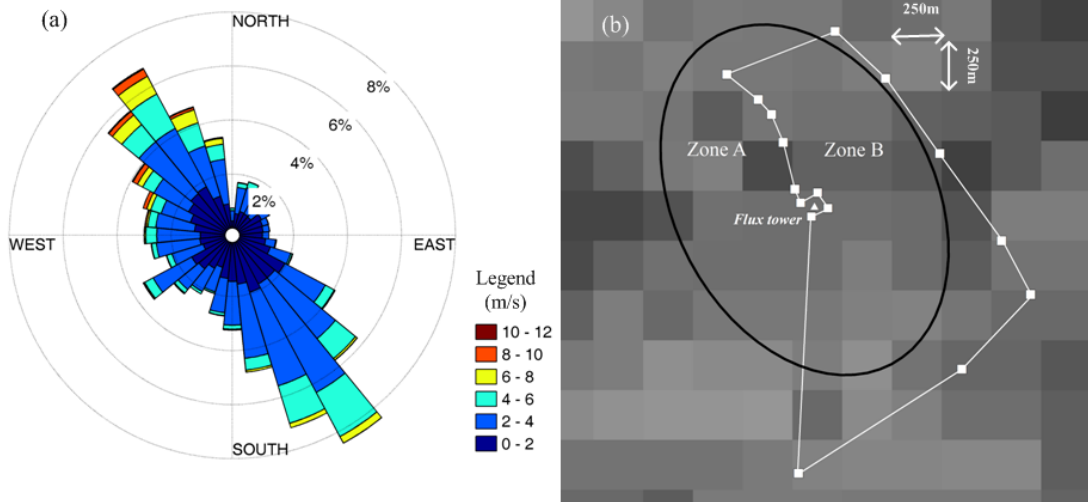
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1274 Fig. 2

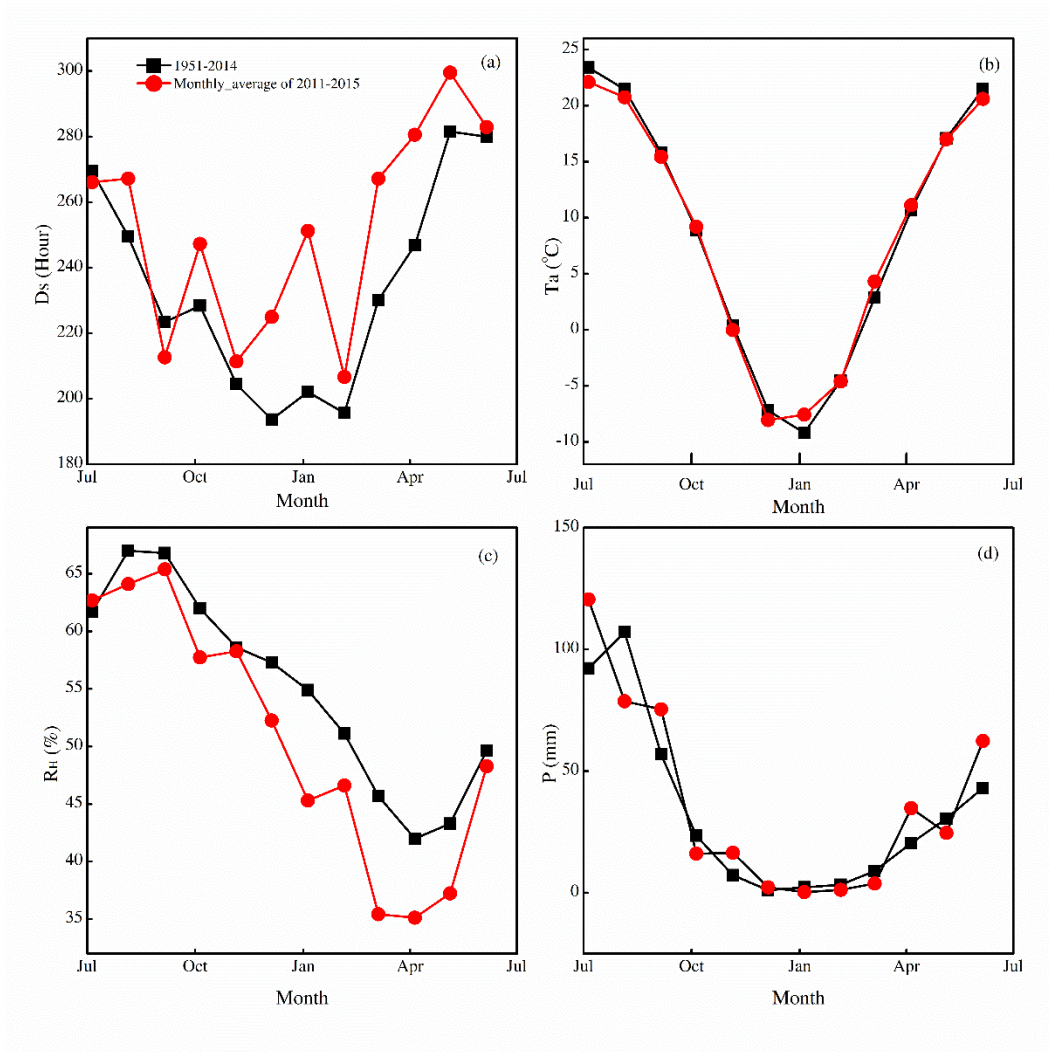


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1279 Fig. 3



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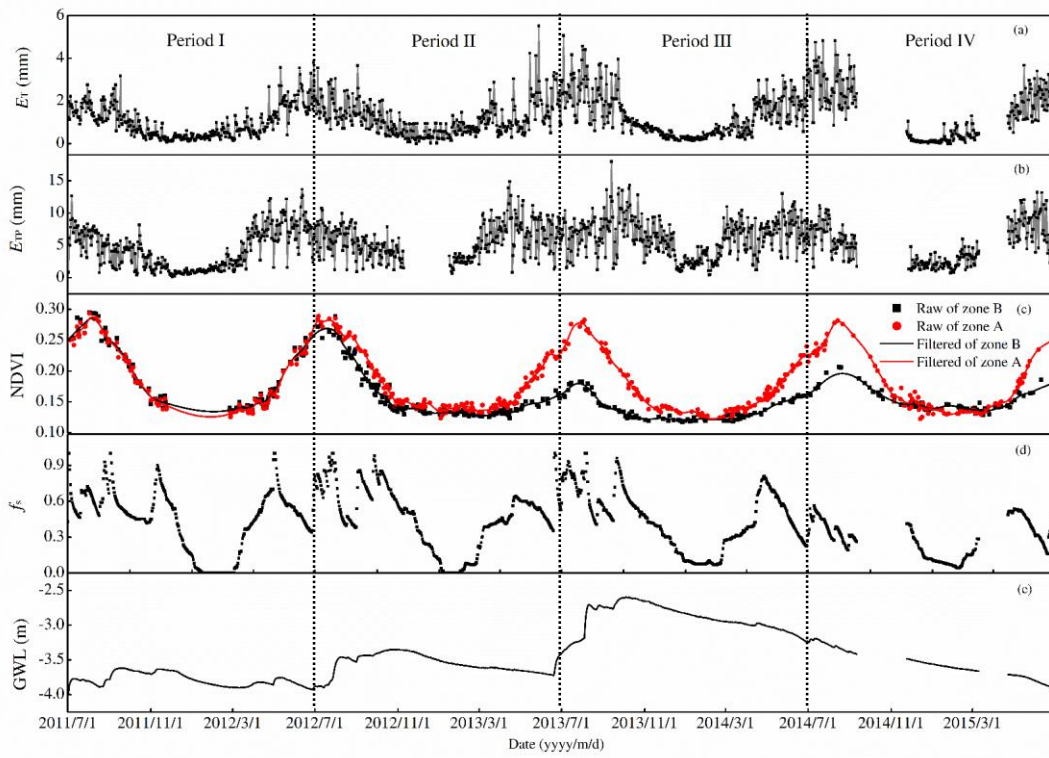


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Fig_5



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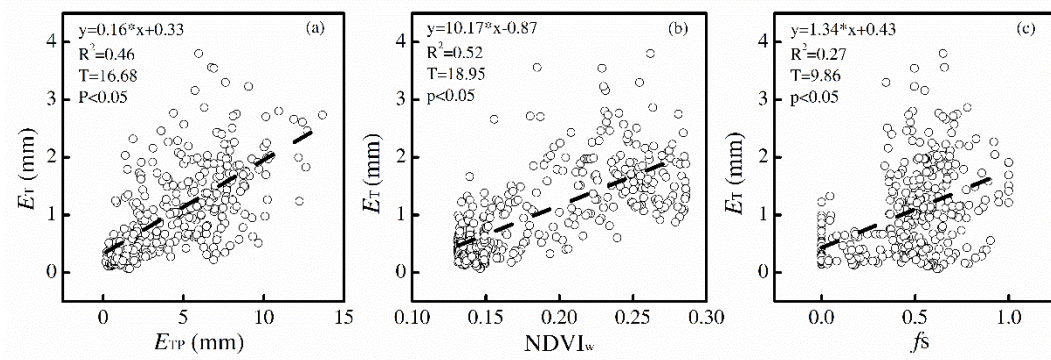
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1294 Fig_6

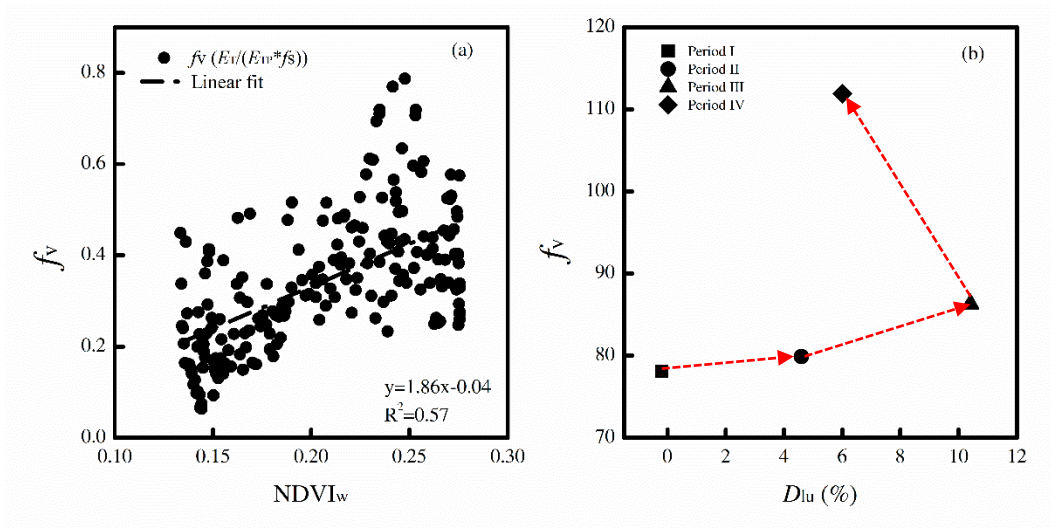
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1298 Fig. 7



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